

# *Heavy flavored emissions in hybrid collinear/high energy factorization*

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in collaboration with

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*Introduction and motivations*

*BFKL resummation*

*Hybrid collinear/high-energy factorization*

*Heavy flavor production*

Open state production: Heavy-light dijet

Bound state:  $\Lambda_c$ -baryon production

Bound state: Inclusive  $J/\psi$  production

*Conclusions and Outlook*

# *Introduction and motivations*

- Heavy-flavored emissions in hadronic and lepto-hadronic collisions are commonly recognized as excellent probe channels of the dynamics of strong interactions
- This resulted in remarkable interest over the last decades on both their formal and phenomenological aspects
- At modern colliders heavy-flavor production enters the two-scale regime:  $s \gg m_Q^2 \gg \Lambda_{QCD}^2$
- Besides usual renormalization group logarithms, the perturbative series is affected by large energy-type logarithms

# BFKL resummation

*What is the BFKL resummation?*

- The **Balitsky-Fadin-Kuraev-Lipatov (BFKL)** approach is the general framework for the resummation of energy-type logarithms
  - Leading-Logarithm-Approximation (LLA):  $(\alpha_s \ln s)^n$
  - Next-to-Leading-Logarithm-Approximation (NLLA):  
 $\alpha_s (\alpha_s \ln s)^n$

*In which contexts can BFKL approach be applied?*

- **Semi-hard** collision processes, featuring the scale hierarchy

$$s \gg Q^2 \gg \Lambda_{\text{QCD}}^2, \quad Q^2 \text{ a hard scale,}$$

$$\alpha_s(Q^2) \ln \left( \frac{s}{Q^2} \right) \sim 1 \implies \text{all-order resummation needed}$$

- **UGD sector**

The evolution of the **Unintegrated gluon density**,

$$\mathcal{F}(x, \vec{k}) \quad \text{t.c.} \quad f^g(x, Q^2) = \int \frac{d^2 \vec{k}}{\pi \vec{k}^2} \mathcal{F}(x, \vec{k}) \theta(Q^2 - \vec{k}^2)$$

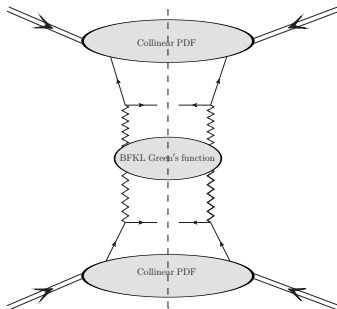
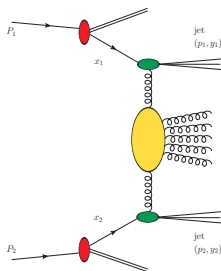
as a function of  $\ln(1/x) = \ln(s/Q^2)$ , is governed by BFKL:

$$\frac{\partial \mathcal{F}}{\partial \ln(1/x)} = \mathcal{F} \otimes \mathcal{K}$$

# Hybrid collinear/high-energy factorization

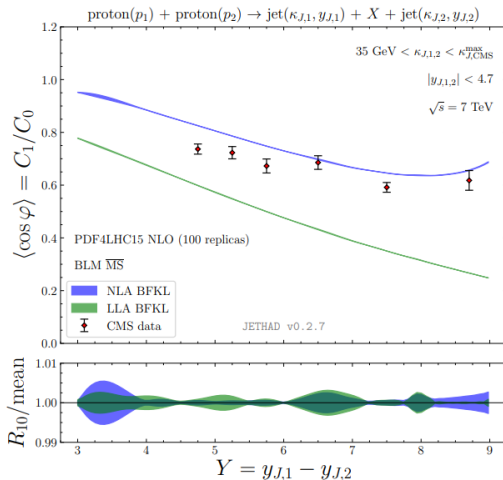
## Mueller-Navelet jets

- Inclusive two jet production in proton-proton collision
- Large  $p_T$  and large rapidity separation
- Large energy logarithms  $\rightarrow$  BFKL resummed partonic cross section
- Moderate values of parton  $x \rightarrow$  collinear PDFs



- **Hybrid** formalism: can be extended to several type of semi-hard reactions

# Muller-Navelet: Theory vs Experiment



[B. Ducloué, L. Szymanowski, S. Wallon (2013)]

[F. Caporale, D.Yu. Ivanov, B. Murdaca, A. Papa (2014)]

In this slide: [F.G. Celiberto (2021)]

# Mueller-Navelet: Theory vs Experiment

- CMS @7Tev with symmetric  $p_T$ -ragens, only! [\[CMS collaboration \(2016\)\]](#)
- LHC kinematic **domain** in between the sectors described by BFKL and DGLAP approaches
- Clearer manifestation of high-energy signatures expected at increasing energies (higher hadronic center-of-mass energy or higher rapidity difference between tagged jets)
- Need for more exclusive final states as well as more sensitive observables

# Mueller-Navelet: Theory vs Experiment

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- Need for more exclusive final states as well as more sensitive observables
- Strong manifestation of higher-order **instabilities** via scale variation

NLA BFKL corrections to cross section with opposite sign with respect to the leading order (LO) result and large in absolute value...

- ◇ ...call for some optimization procedure...
- ◇ ...choose scales to mimic the most relevant subleading terms

- **BLM** [S.J. Brodsky, G.P. Lepage, P.B. Mackenzie (1983)]

- ✓ preserve the conformal invariance of an observable...
- ✓ ...by making vanish its  $\beta_0$ -dependent part

\* "Exact" BLM:

suppress NLO IFs + NLO Kernel  $\beta_0$ -dependent factors

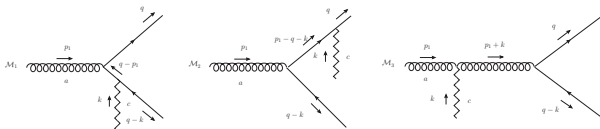


# LO heavy-quark impact factors

- Gluon-initiated impact factor

[A.D. Bolognino, F.G. Celiberto, M. F., D.Yu. Ivanov, A. Papa (2019)]

- Feynman diagrams



- Impact factor

$$d\Phi_{gg}^{\{Q\bar{Q}\}}(\vec{k}, \vec{q}, z) = \frac{\alpha_s^2 \sqrt{N_c^2 - 1}}{2\pi N_c} \left[ \left( m^2 (R + \bar{R})^2 + (z^2 + \bar{z}^2) (\vec{P} + \vec{\bar{P}})^2 \right) - \frac{N_c^2}{N_c^2 - 1} \left( 2m^2 R\bar{R} + (z^2 + \bar{z}^2) 2\vec{P} \cdot \vec{\bar{P}} \right) \right] d^2 \vec{q} dz ,$$

- Projection onto the LO BFKL eigenfunctions

$$\frac{d\Phi_{gg}^{\{Q\bar{Q}\}}(n, \nu, \vec{q}, z)}{d^2 \vec{q} dz} \equiv \int \frac{d^2 \vec{k}}{\pi \sqrt{2}} (\vec{k}^2)^{i\nu - \frac{3}{2}} e^{in\theta} \frac{d\Phi_{gg}^{\{Q\bar{Q}\}}(\vec{k}, \vec{q}, z)}{d^2 \vec{q} dz} \equiv \alpha_s^2 e^{in\varphi} c(n, \nu, \vec{q}, z)$$

- Photon-initiated impact factor

[F.G. Celiberto, D.Yu. Ivanov, B. Murdaca, A. Papa (2017)]

# Heavy-light dijet: Theoretical set-up

- Process:

$$\text{proton}(P_1) + \text{proton}(P_2) \rightarrow Q\text{-jet} + X + \text{jet}$$

- Hadronic cross section

$$\frac{d\sigma_{PP}}{dy_Q dy_J d^2\vec{p}_Q d^2\vec{p}_J}$$

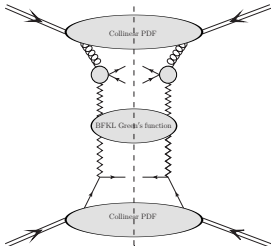
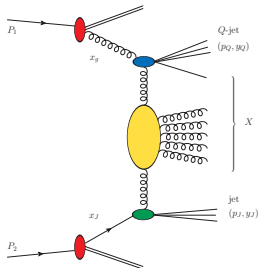
$$= \sum_r \int dx_g \int dx_J f_g(x_g, \mu_{F_Q}) f_r(x_J, \mu_{F_J}) \frac{d\hat{\sigma}}{dy_Q dy_J d^2\vec{p}_Q d^2\vec{p}_J}$$

- BFKL partonic cross section

$$\frac{d\hat{\sigma}}{dy_Q dy_J d^2\vec{p}_Q d^2\vec{p}_J} = \frac{1}{(2\pi)^2}$$

$$\times \int \frac{d^2\vec{q}_1}{\vec{q}_1^2} V_Q(\vec{q}_1, x_g, \vec{p}_Q) \int \frac{d^2\vec{q}_2}{\vec{q}_2^2} V_J(\vec{q}_2, x_J, \vec{p}_J)$$

$$\times \int_{\delta-i\infty}^{\delta+i\infty} \frac{d\omega}{2\pi i} \left( \frac{x_g x_J s}{s_0} \right)^\omega G_\omega(\vec{q}_1, \vec{q}_2)$$



# Heavy-light dijet: Theoretical set-up

- Final structure of the hadronic **cross section**

$$\frac{d\sigma_{pp}}{dy_Q dy_J d|\vec{p}_Q| d|\vec{p}_J| d\phi_Q d\phi_J} = \frac{1}{(2\pi)^2} \left[ C_0 + 2 \sum_{n=1}^{\infty} \cos(n\phi) C_n \right]$$

- Unintegrated azimuthal-angle coefficients

$$C_n = \frac{e^{\Delta Y} |\vec{p}_Q| |\vec{p}_J|^2 M_{Q\perp}}{s} \int dx_g f_g(x_g, \mu_{F_1}) \tilde{f}(x_J, \mu_{F_2})$$

$$\int_{-\infty}^{+\infty} d\nu \left( \frac{W^2}{s_0} \right)^{\bar{\alpha}_s(\mu_R) \chi(n, \nu) + \bar{\alpha}_s^2(\mu_R) \left[ \bar{\chi}(n, \nu) + \frac{\beta_0}{8N_c} \chi(n, \nu) \left( -\chi(n, \nu) + \frac{10}{3} + 2 \ln \frac{\mu_R^2}{M_{Q\perp} |\vec{p}_J|} \right) \right]}$$

$$\times \alpha_s^3(\mu_R) c_Q(n, \nu, \vec{p}_Q, z_Q, x_g) [c_J(n, \nu, \vec{p}_J)]^*$$

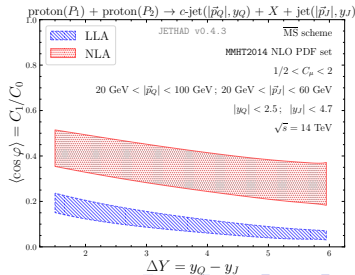
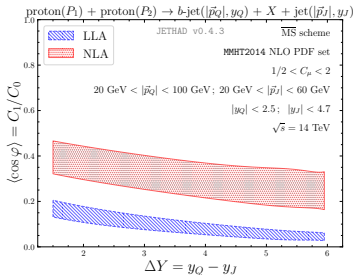
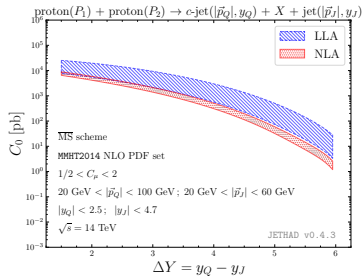
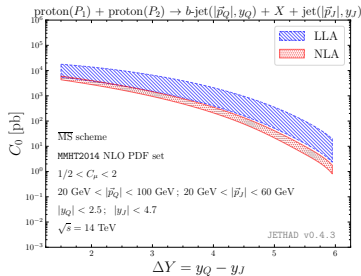
$$\times \left\{ 1 + \frac{c_Q^{(1)}(n, \nu, \vec{p}_Q, z_Q)}{c_Q(n, \nu, \vec{p}_Q, z_Q)} + \left[ \frac{c_J^{(1)}(n, \nu, \vec{p}_J, x_J)}{c_J(n, \nu, \vec{p}_J)} \right]^* + \bar{\alpha}_s^2(\mu_R) \ln \left( \frac{W^2}{s_0} \right) \chi(n, \nu) f_Q(\nu) \right\}$$

- Azimuthal-angle coefficients

$$C_n(\Delta Y, s) = \int_{p_Q^{\min}}^{p_Q^{\max}} d|\vec{p}_Q| \int_{p_J^{\min}}^{p_J^{\max}} d|\vec{p}_J| \int_{y_Q^{\min}}^{y_Q^{\max}} dy_Q \int_{y_J^{\min}}^{y_J^{\max}} dy_J \delta(y_Q - y_J - \Delta Y) C_n$$

# Heavy-light dijet: Observables

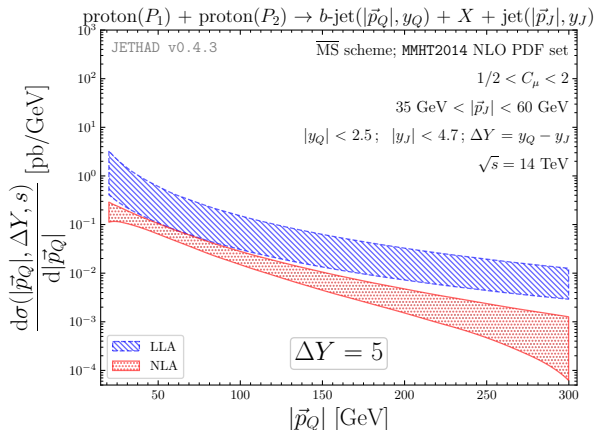
## Azimuthal-angle coefficients and their ratios



# Heavy-light dijet: Observables

## Heavy-jet $p_T$ -distribution

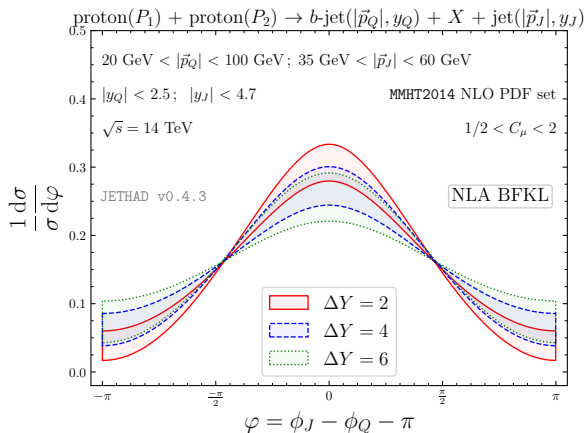
$$\frac{d\sigma_{pp}(|\vec{p}_Q|, \Delta Y, s)}{d|\vec{p}_Q|d\Delta Y} = \int_{p_J^{\min}}^{p_J^{\max}} d|\vec{p}_J| \int_{y_Q^{\min}}^{y_Q^{\max}} dy_Q \int_{y_J^{\min}}^{y_J^{\max}} dy_J \delta(y_Q - y_J - \Delta Y) \mathcal{C}_0$$



# Heavy-light dijet: Observables

## Azimuthal distribution

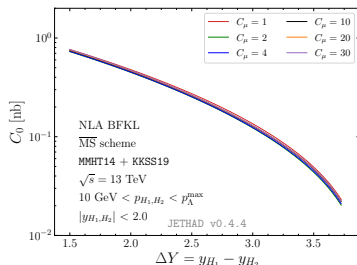
$$\frac{d\sigma_{pp}(\varphi, \Delta Y, s)}{\sigma_{pp} d\varphi} = \frac{1}{\pi} \left\{ \frac{1}{2} + \sum_{n=1}^{\infty} \cos(n\varphi) \langle \cos(n\varphi) \rangle \right\} \equiv \frac{1}{\pi} \left\{ \frac{1}{2} + \sum_{n=1}^{\infty} \cos(n\varphi) R_{n0} \right\}$$



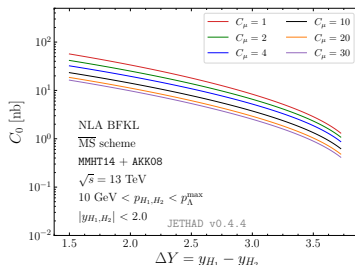
# $\Lambda$ -baryon production

- Process:  $\text{proton}(p_1) + \text{proton}(p_2) \rightarrow \Lambda + X + \Lambda$   
[F.G. Celiberto, M. F., Dmitry Yu. Ivanov, Alessandro Papa (2021)]
- Zero-mass variable flavor number scheme (ZM-VFNS)
- Light parton NLO impact factors  $\rightarrow$  Heavy baryon NLO impact factor  
[M. Ciafaloni and G. Rodrigo (2000)] [V.S. Fadin et al. (2000)]  
[D.Yu. Ivanov, A. Papa (2012)]
- Lambda FFs
  - heavy species  $\rightarrow \Lambda_c$   
KKSS19 [B.A. Kniehl, G. Kramer, I. Schienbein, H. Spiesberger (2020)]
  - light species  $\rightarrow \Lambda^0$   
AKK08 [S.Albino, B.A. Kniehl, and G. Kramer (2008)]

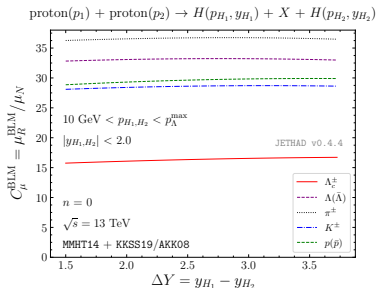
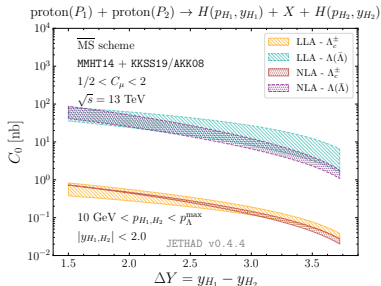
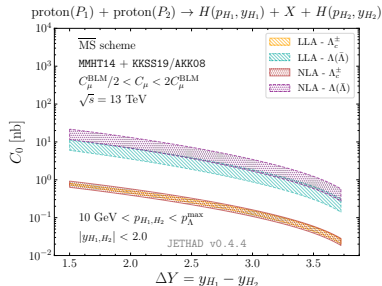
$$\text{proton}(P_1) + \text{proton}(P_2) \rightarrow \Lambda_c^\pm(p_{H_1}, y_{H_1}) + X + \Lambda_c^\pm(p_{H_2}, y_{H_2})$$



$$\text{proton}(P_1) + \text{proton}(P_2) \rightarrow \Lambda(\bar{\Lambda})(p_{H_1}, y_{H_1}) + X + \Lambda(\bar{\Lambda})(p_{H_2}, y_{H_2})$$



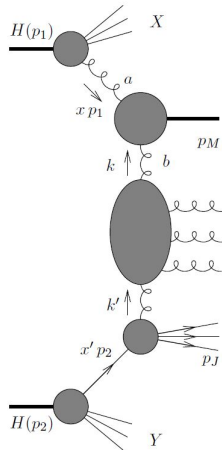
# $\Lambda$ -baryon production





# $J/\psi$ production

- Process:  $\text{proton}(p_1) + \text{proton}(p_2) \rightarrow J/\psi + X + \text{jet}$
- **hybrid collinear/BFKL approach**
- high-energy hadroproduction of a  $J/\psi$  meson and a jet, with a remnant  $X$
- both the  $J/\psi$  and the jet emitted with large transverse momenta and well separated in rapidity
- NLA BFKL + NLO jet + LO  $J/\psi$ 
  - LO  $J/\psi$  IF calculated in **NRQCD** (Color-singlet and Color-octet)
  - LO  $J/\psi$  IF calculated in **color evaporation model (CEM)**
- Realistic CMS and CASTOR rapidity ranges, fixed  $p_T$  final states



[R. Boussarie, B. Ducloué, L. Szymanowski, S. Wallon (2018)]

# Conclusions and outlook

## Conclusions

- Heavy flavored emissions represent a promising channel to investigate the semi-hard regime of QCD, providing with a **fair stability** of the BFKL series
- Theoretical predictions, including complete (or partial) NLLA effects can be build in the context of the hybrid collinear/high-energy factorization
- Early efforts were made to shift the focus to the production of bound states

## Outlook

- More phenomenological analysis on bound states ( $D^*$  meson,  $J/\Psi, \dots$ )
- Inclusion of **subleading corrections** from the heavy-quark pair impact factors, needed to produce full-NLLA predictions.
- Single forward heavy-flavored jet production, via the introduction of the **small- $x$  transverse-momentum-dependent gluon distribution (UGD)**

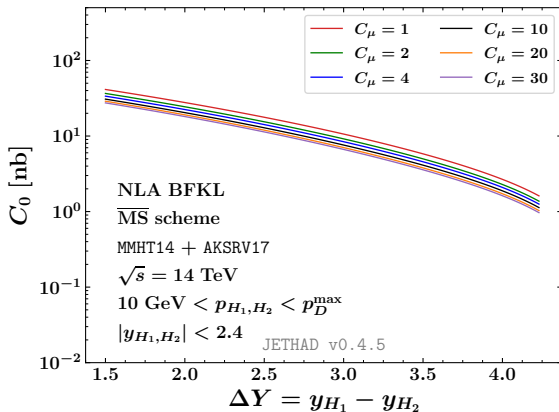
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# Backup

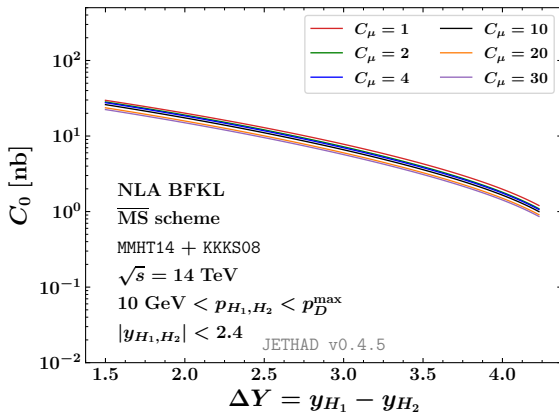
# Towards bound states: Flavor number schemes

- The mass of light quarks ( $q = u, d, s$ ) is always set to zero. They are always present in the initial state
- The presence in the initial state and the way one must treat the mass of an heavy-quark ( $Q = c, b, t$ ) depends on kinematical conditions
- **Zero-mass variable flavor number scheme**
  - $m_Q = 0$
  - Heavy quark is present in the initial state above a fixed threshold.
  - Powers of  $m_Q^2/p_{T,HQ}^2$  missed by the scheme
  - It is appropriate in region of high  $p_{T,HQ}^2 \gg m_Q^2$
- **Fixed flavor number scheme**
  - $m_Q \neq 0$
  - Heavy quark is present only in the final state
  - Logarithms of  $p_{T,HQ}^2/m_Q^2$  missed by the scheme
  - It is appropriate in regions of moderate  $p_{T,HQ}^2$
- **General-mass variable flavor number schemes**
  - It is a matching between the previous schemes
  - There is some arbitrariness in the combination

$$p(P_1) + p(P_2) \rightarrow D^{*\pm}(p_{H_1}, y_{H_1}) + X + D^{*\pm}(p_{H_2}, y_{H_2})$$



$$p(P_1) + p(P_2) \rightarrow D^{*\pm}(p_{H_1}, y_{H_1}) + X + D^{*\pm}(p_{H_2}, y_{H_2})$$



$$p(P_1) + p(P_2) \rightarrow D^{*\pm}(p_{H_1}, y_{H_1}) + X + D^{*\pm}(p_{H_2}, y_{H_2})$$

