

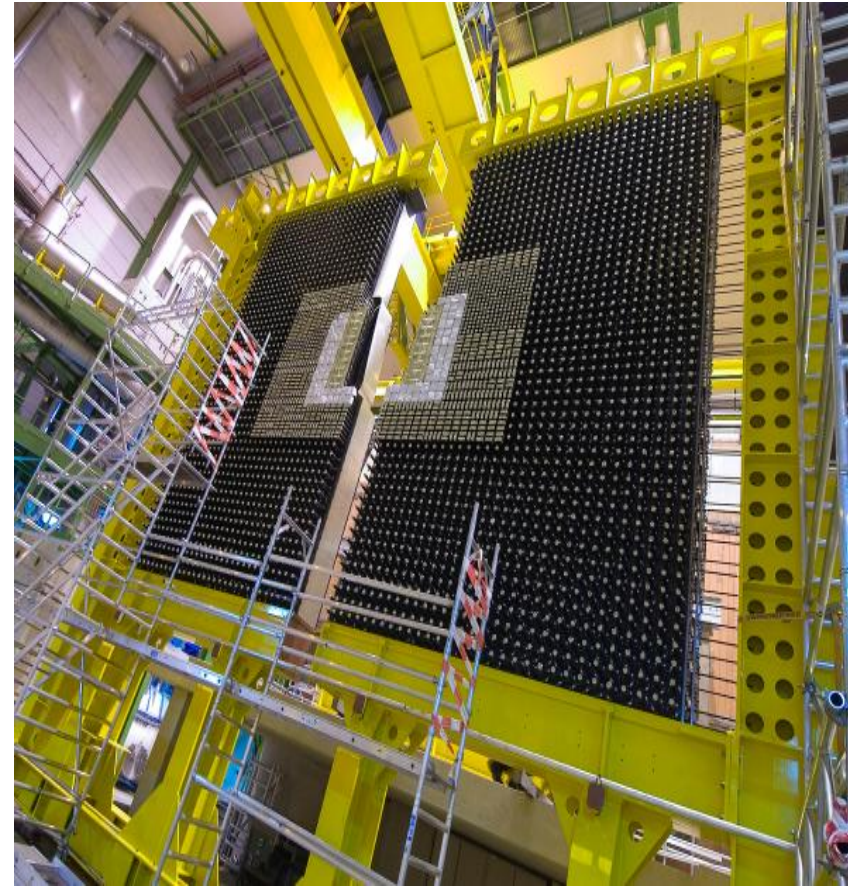
Scintillating sampling ECAL technology for the Upgrade II of LHCb

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on behalf of the LHCb ECAL Upgrade II R&D group



European Physical Society conference on High Energy Physics
(**EPS-HEP 2021**)

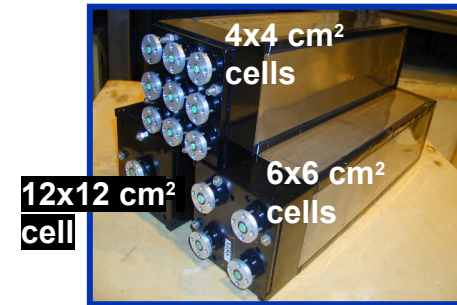
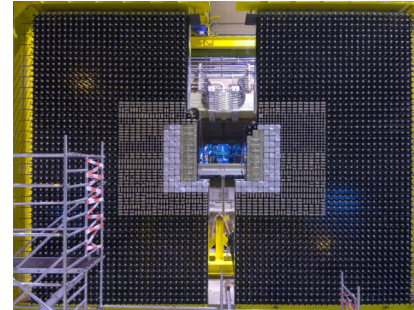
University of Hamburg & DESY, online format, 29/07/2021



Motivation for the Upgrade II of the LHCb ECAL

Current LHCb ECAL:

- Optimised for π^0 and γ reconstruction in the few GeV to 100 GeV region at $2 \times 10^{32} \text{ cm}^{-2}\text{s}^{-1}$
- Radiation hard up to 40 kGy
- Shashlik technology: 4x4 / 6x6 / 12x12 cm² cell size
- Energy resolution: $\sigma(E)/E \approx 10\% / \sqrt{E} \oplus 1\%$
- Large array (8 x 7 m²) with 3312 modules and 6016 channels

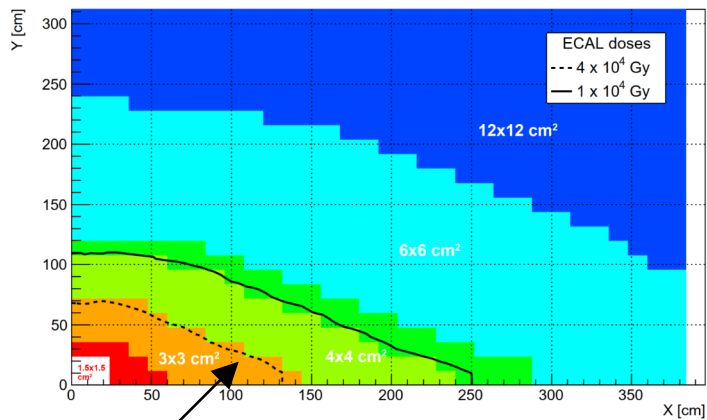


Requirements for the Upgrade II (to be installed during LS4): operation at $L = 1\text{-}2 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

- Sustain radiation doses up to **1 MGy** and $\leq 6 \times 10^{15} \text{ 1 MeV neq / cm}^2$ in the centre for 300 fb^{-1}
- Keep at least **current energy resolution**
- Pile-up mitigation crucial
 - Timing capabilities with **O(10) ps precision**, preferably directly in the calorimeter modules
 - Increased granularity in the central region with denser absorber
- Respect the outer dimensions of 12x12 cm² to reuse existing modules

Opportunity for some consolidation already during LS3

R&D strategy for the ECAL Upgrade II



Radiation limit of current Shashlik technology

SPACAL technology for inner region:

- 32 innermost modules with scintillating crystal fibres and W absorber
 - Development of **radiation-hard scintillating crystals**
 - **1.5x1.5 cm²** cell size
- 144 modules with scintillating plastic fibres and Pb absorber
 - Need radiation-tolerant organic scintillators
 - **3x3 cm²** cell size

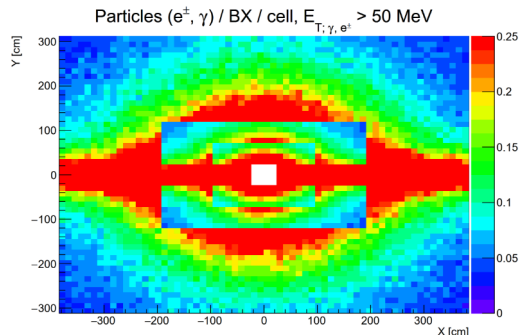
Shashlik technology:

- **Timing** with new WLS fibres, long. segmentation (double-sided readout)
 - Cost optimisation by refurbishing ≈ 2000 existing modules for timing
 - Adapt to the required cell sizes by adding ≈ 1300 new modules

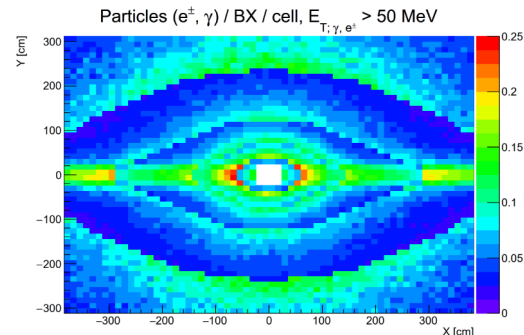
Comparison of current and Upgrade II calorimeter layouts at $L = 1.5 \times 10^{34} \text{ cm}^{-2}\text{s}^{-1}$

- Occupancies manageable in Upgrade II conditions
- Further pile-up mitigation from timing

Current ECAL



Upgrade II configuration



SPACAL-W: prototype with garnet crystals

SPACAL prototype module with W absorber and garnet crystal fibres:

- Pure tungsten absorber with 19 g/cm^3
- **9 cells** of $1.5 \times 1.5 \text{ cm}^2$ ($R_M \approx 1.45 \text{ cm}$)
- 4+10 cm long (**7+18 X_0**)
- Reflective mirror between sections

Crystal garnets from several producers:

- Crytur - YAG
- Fomos - GAGG
- ILM - GAGG
- C&A - GFAG

→ Characterised with laboratory measurements

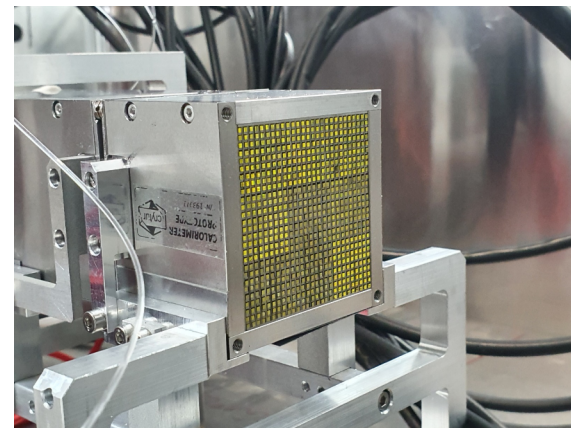
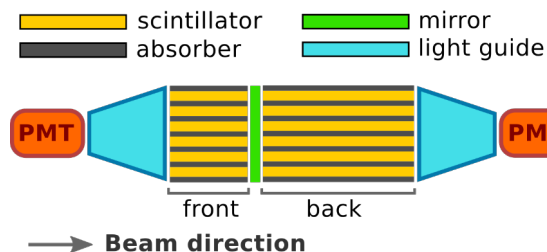
Photon detectors used in recent test beams:

- Hamamatsu **R12421** for energy resolution
- Hamamatsu **R7600U-20** metal channel dynode (MCD) PMT for timing due to better time resolution

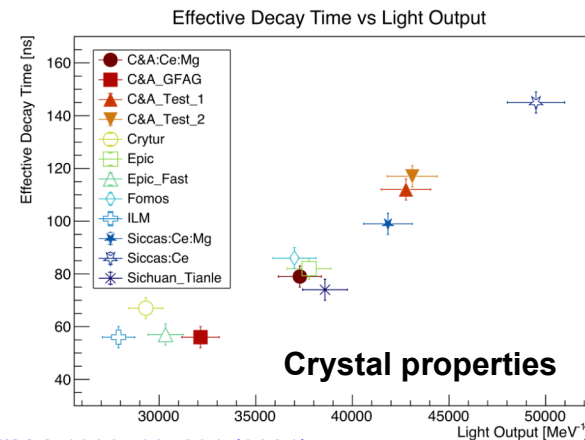
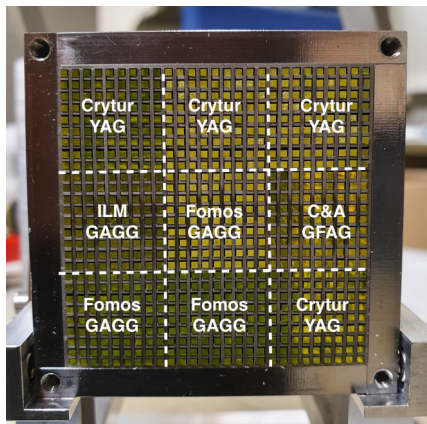
Further tests performed:

- Optical coupling with 3M foil instead of dry air
- 12 m long (instead of 3 m) analog cables between sensor and front-end electronics

→ **not discussed in this presentation**



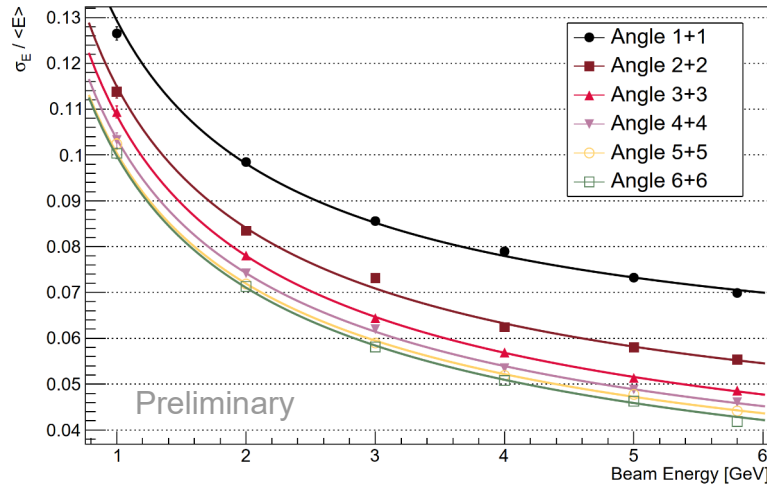
Configuration used at DESY in 2020 and 2021



NIM A 1000, 165231 (2021)

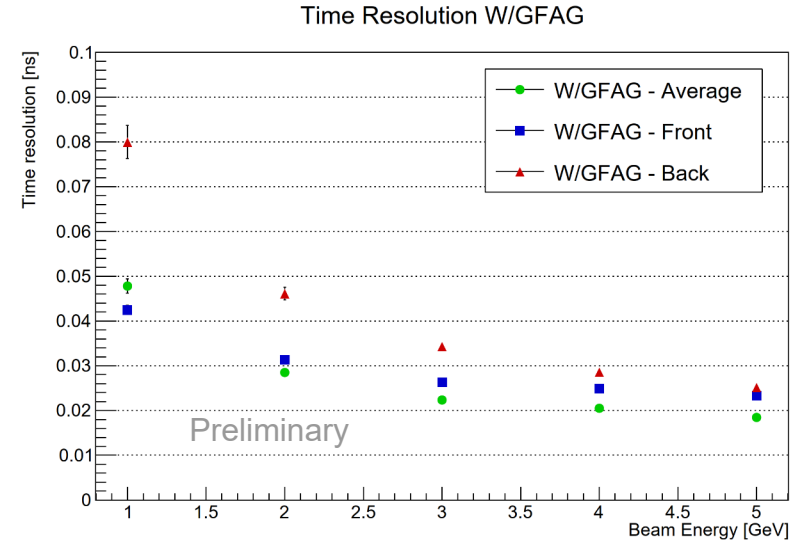
SPACAL-W with crystal fibres: test beam results

Energy resolution (DESY 2020, R12421)



- Better energy resolution with larger incidence angles
- Preliminary analysis of data up to 5.8 GeV gives **10.6% sampling term** and **$1.9 \pm 0.5\%$ constant term** for $\theta_x = \theta_y = 3^\circ$
→ Precision measurement of constant term and better calibration from ongoing test beam at CERN SPS

Time resolution (DESY 2021, R7600U-20)



- Incidence angles: $\theta_x = \theta_y = 3^\circ$
- Time stamps in front and back obtained using **constant fraction discrimination (CFD)**
- Time resolution at 5 GeV for GFAG: **18 ps**

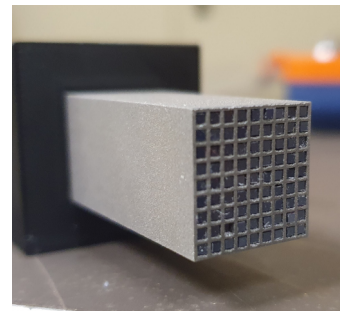
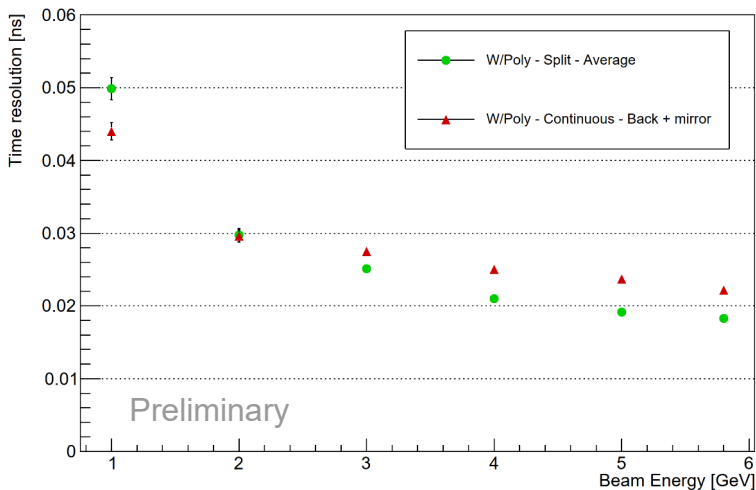
SPACAL-W with organic scintillator fibres

SPACAL prototype module with W absorber and polystyrene fibres:

- Candidate for consolidation of the innermost region during LS3
- 3D-printed pure tungsten absorber
- **1 cell** of $1.5 \times 1.5 \text{ cm}^2$ ($R_M \approx 1.8 \text{ cm}$)
- 5+14 cm long (**7+18 X_0**)
- Reflective mirror between sections

Time resolution (DESY 2021, R7600U-20)

Time Resolution W/Poly - Comparison



Incidence angles: $\theta_x = \theta_y = 3^\circ$

Two configurations tested:

- Split fibres (5+14 cm) with double readout in front and back
→ **19 ps** time resolutions at 5 GeV
- Continuous fibres (19 cm) with single readout at the back and reflective mirror at the front
→ Only somewhat worse above 3 GeV, **24 ps** at 5 GeV

SPACAL-Pb with organic scintillator fibres

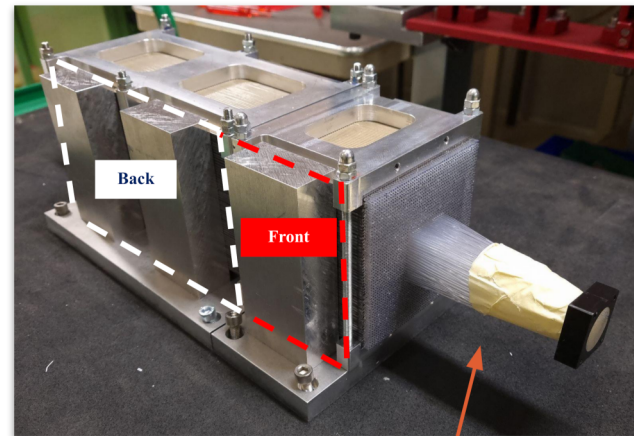
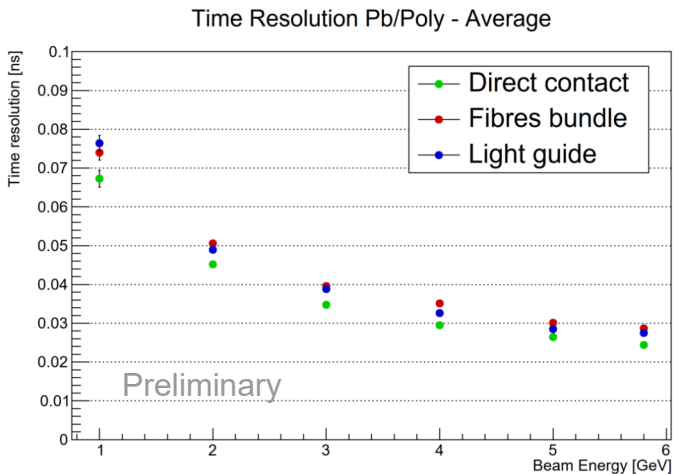
SPACAL prototype module with Pb absorber and polystyrene fibres:

- 9 cells of $3 \times 3 \text{ cm}^2$ ($R_M \approx 3 \text{ cm}$)
- 8+21 cm long (7+18 X_0)
- Reflective mirror between sections

Different readout configurations:

- Direct contact
- 10 cm long PMMA light guide
- Bundle of fibres coupled directly to MCD PMT

Time resolution (DESY 2021, R7600U-20)



- Incidence angles: $\theta_x = \theta_y = 3^\circ$
- Time stamps in front and back obtained using constant fraction discrimination (CFD)
- Only part of cell read out in direct contact due to smaller active area of PMT ($1.8 \times 1.8 \text{ cm}^2$) → room for improvement
- Time resolution at 5 GeV: 26 ps

Shashlik: R&D towards Upgrade II

Shashlik modules: 4 mm thick scintillating tiles and 2 mm thick lead absorber tiles with wavelength shifting (WLS) fibres

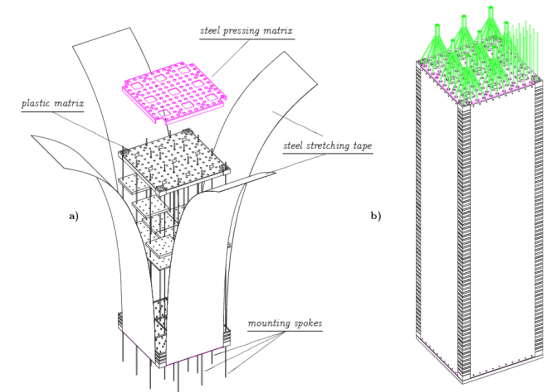
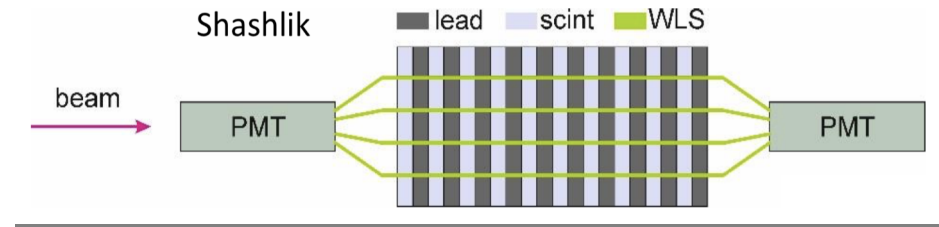
Radiation hardness limit is **40-50 kRad**
→ suitable for periphery of ECAL (\approx 80-90% of area)

R&D towards better time resolution for Upgrade II:

- **Double-sided readout** with continuous or split fibres (to mitigate effect of longitudinal shower fluctuations)
- Usage of better PMTs with smaller transit time spread and transit time distribution on photocathode
- WLS fibres with **shorter decay time**. Tests at DESY in 2021:

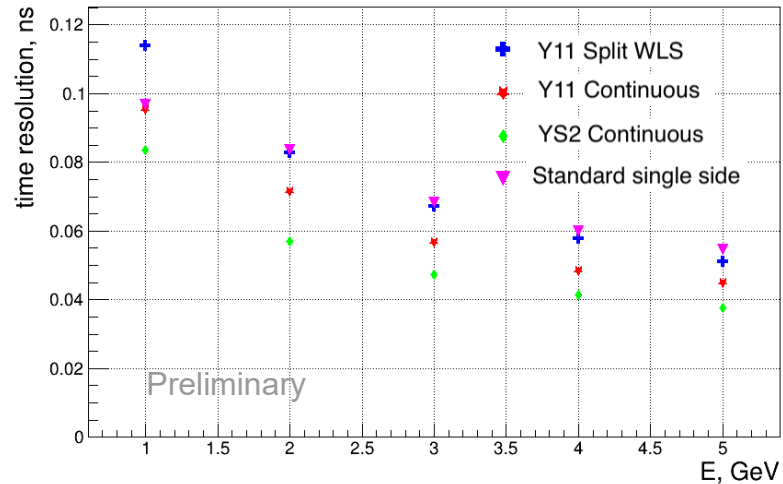
- Y11, 1.2 mm diameter (7 ns decay time) → current LHCb
- YS2, 1.0 mm diameter (3 ns decay time) → **faster**

- R&D ongoing with KURARAY on faster WLS fibres, many thanks for providing preproduction samples

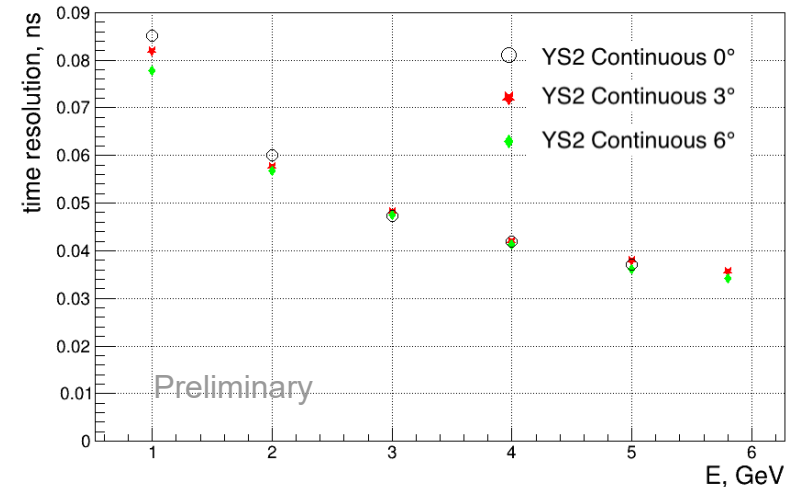


Shashlik: test beam results

Time resolution (DESY 2021, R7600U-20)



Time resolution (DESY 2021, R7600U-20)



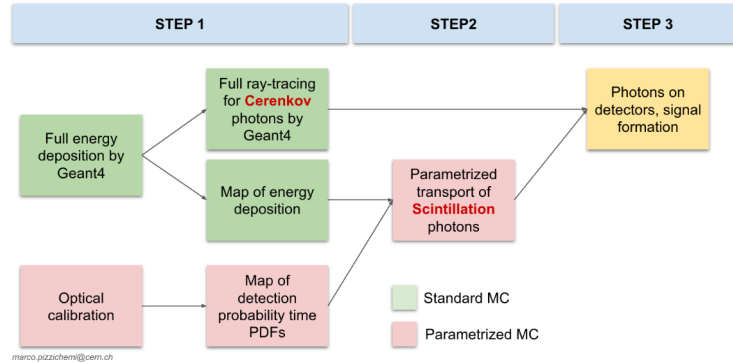
- YS2 fibres provide significantly better time resolutions
- Continuous fibres better than split fibres
- Time resolution at 5 GeV for YS2: **better than 40 ps**

- No impact of incidence angle on time resolution

Detailed Monte Carlo simulations of SPACAL and Shashlik technology



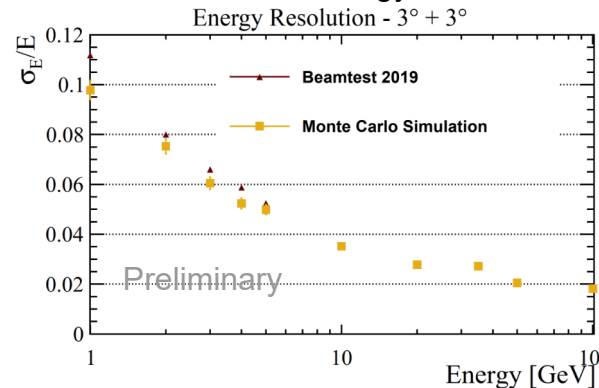
Hybrid-MC: Parametrization strategy



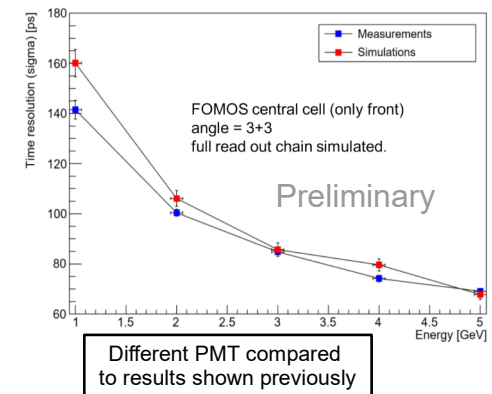
- Simulation validated with test beam data for SPACAL-W up to 5 GeV
→ Comparison for SPACAL-Pb in progress
- Ongoing test beam at CERN
→ **Access to much higher energies**

- Geant4 simulation of energy deposit and parametrised transport for scintillation photons
→ **Gain in computation time by factor 1000**
- Particle flux from full LHCb simulation can be included
- Different module types (SPACAL-W, SPACAL-Pb, Shashlik)
- Parametrised response of photon detector (e.g. PMT)

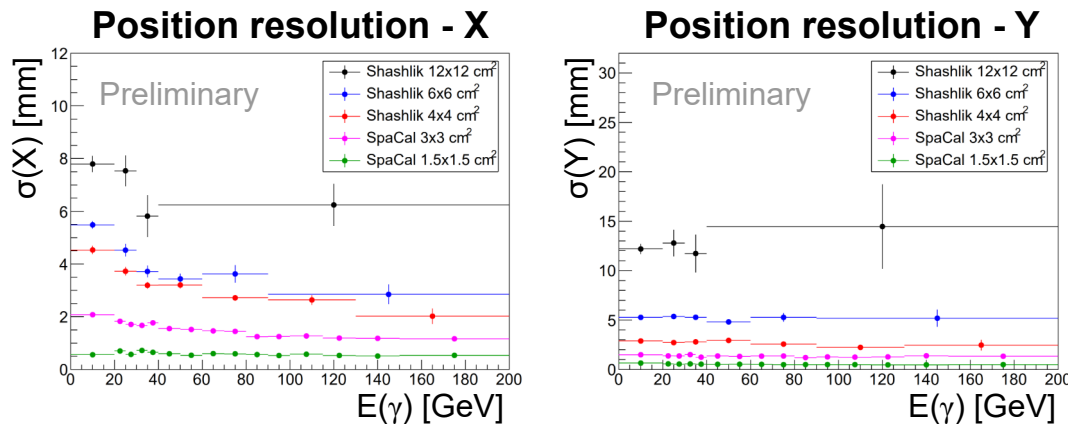
SPACAL-W: energy resolution



SPACAL-W: time resolution

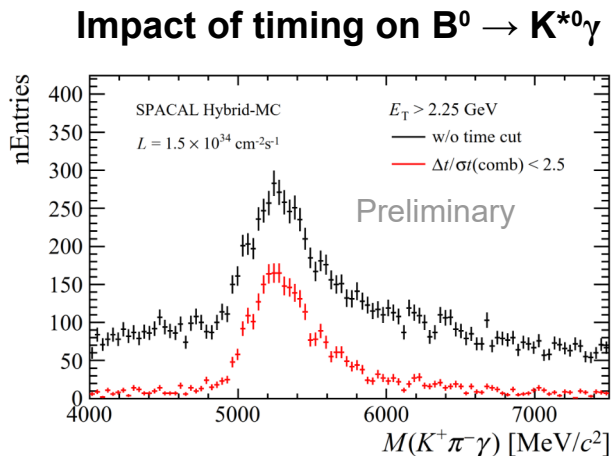


Position resolution and timing for pile-up mitigation



Example: photon position resolution

- Various corrections applied (e.g. leakage, transverse cluster position)
- $\sigma(X, Y) \approx 0.5 \text{ mm}$ (1.5 mm) achieved for $E(\gamma) > 20 \text{ GeV}$ in the SPACAL-W (SPACAL-Pb) regions
- Precisions of several mm in the Shashlik regions



Example: $B^0 \rightarrow K^{*0}\gamma$ in the SPACAL regions

- Large combinatorial background in Upgrade II conditions
- Timing cuts applied on photon candidates
- Substantial improvement of signal significance when using timing information
- Further refinement in progress ...

Summary and outlook

- SPACAL and Shashlik technology provide an attractive option for the Upgrade II of the LHCb ECAL
- A **comprehensive R&D program** is ongoing to address various challenges: studies of radiation-hard scintillating fibres, production of tungsten absorber, detailed Monte Carlo simulations, test beam measurements, ...
- Several prototypes were recently tested at DESY. Time resolutions at 5 GeV: below **40 ps for Shashlik**, below **30 ps for SPACAL-Pb**, below **20 ps for SPACAL-W**

Ongoing test beam at CERN SPS:

- Access to higher energies
- Better energy calibration with MIPs → e.g. detailed study of constant term
- More validation of Monte Carlo simulations
- Studies of PID capabilities exploiting longitudinal segmentation
- New SPACAL-Pb prototype and larger SPACAL-W prototype equipped with polystyrene fibres
- Shashlik with even faster WLS fibres (YS4)

Thank you!

Backup slides