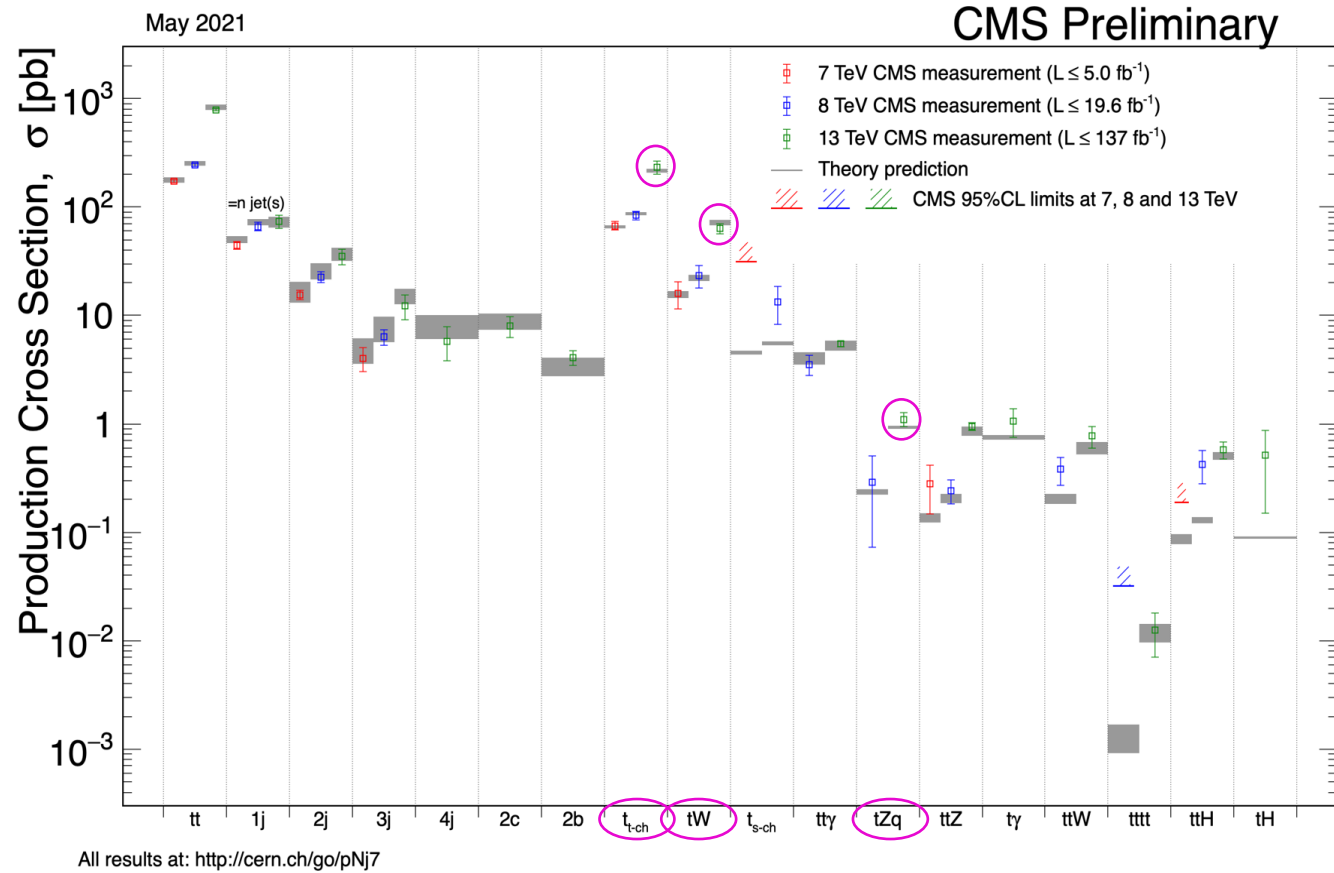


# Study of the top quark electroweak production, including associated productions with vector bosons at CMS

Luka Lambrecht (Ghent University)  
for the CMS Collaboration

# Introduction: single top production



Will focus on single top results in **these** areas:

- first **observation of  $tW$**  in semileptonic channel.
- inclusive and differential  **$tZq$** .
- differential  **$t$ -channel** single top.
- differential  **$tW$** .

# tW in semileptonic channel

- Motivation:
  - tW process is sensitive to CKM element  $V_{tb}$  via Wtb vertex.
- History:
  - tW observed and measured by ATLAS and CMS (in dilepton channel!)
- New observation: tW in semileptonic channel.  $\longleftrightarrow$

## Analysis in a nutshell:

- Event selection:
  - 1 electron or muon
  - 1 b jet, 3 jets
- Control regions with 2 jets and 4 jets.
- Multijet QCD estimated from data in a sideband region.
- BDT to separate tW from  $t\bar{t}$ .

## Fit strategy:

- binned simultaneous maximum likelihood fit to BDT discriminant output.
- systematic uncertainties as nuisance parameters in the fit.

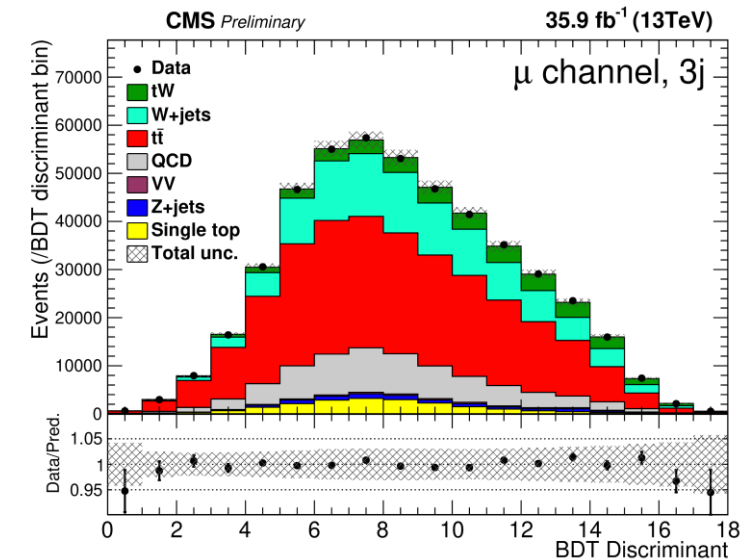
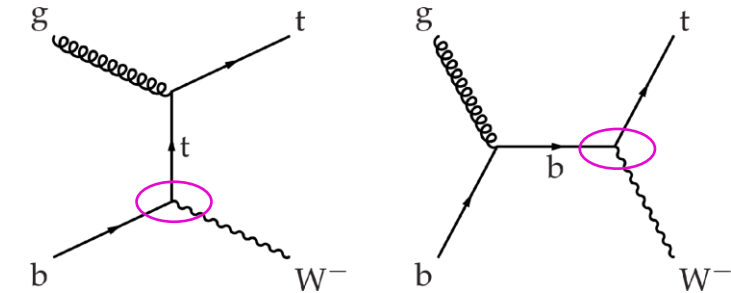
## Results:

- significance  $> 5$  sigma  $\rightarrow$  observation!
- signal strength:  $1.24 \pm 0.18$ .
- cross-section:  $89 \pm 4$  (stat)  $\pm 12$  (syst) pb.

## limiting sources of uncertainty:

- nonprompt lepton background normalization.
- jet energy corrections.

reference: [TOP-20-002](#)



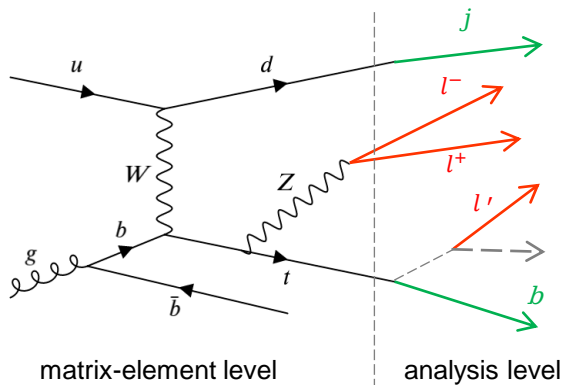
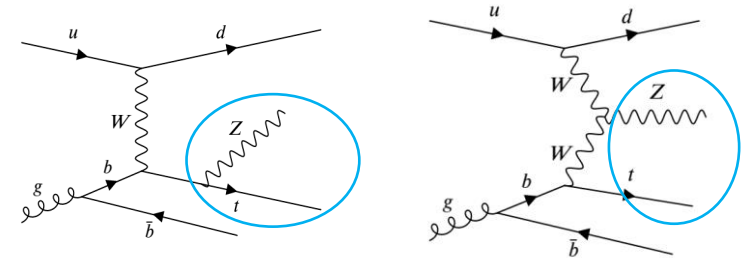
# Inclusive and differential tZq

tZq is a probe for **new physics**:

- sensitive to: **ttZ- and WWZ couplings**, top quark **polarization**, **proton PDFs** via top quark-antiquark ratio.
- impacted by **FCNC** or more generally in the **SMEFT** phenomenology [1].

Earlier tZq measurements by CMS and ATLAS [2-4]: → **precision: ±15%**

reference: [TOP-20-010](#)



- 3 **leptons** (electrons or muons)
  - selection based on **new lepton MVA**.
- $\geq 2$  **jets** ( $p_T > 25$  GeV,  $|\eta| < 5$ ),  $\geq 1$  **b-jet**.
- **Z boson candidate**: OSSF lepton pair with  $|m_Z - m_{ll}| < 15$  GeV.
- **top quark candidate** and accompanying b jet: reconstructed analytically.
- **recoiling jet**: leading non-b-tagged jet, tends to be forward.
- **background from nonprompt leptons estimated from data** and uncertainty constrained in dedicated nonprompt control region.
- **multiclass NN or BDT** to distinguish tZq from backgrounds.



# Inclusive and differential tZq

reference: [TOP-20-010](#)

## Inclusive tZq cross-section:

$$\sigma_{tZq} = 87.9_{-7.3}^{+7.5} \text{ (stat.) }_{-6.0}^{+7.3} \text{ (syst.) fb}$$

→ total uncertainty of  $\pm 11\%$

## Improvement of about 30%

w.r.t. earlier measurements, due to

- larger data set.
- larger measurement region.
- improved lepton MVA.
- constraining nonprompt background (dominant in earlier measurements).

## Spin asymmetry:

$$A_1 = 0.58_{-0.16}^{+0.15} \text{ (stat.) } \pm 0.06 \text{ (syst.)}$$

compared to prediction:

$$A_1^{4FS} = 0.437_{-0.003}^{+0.004}$$

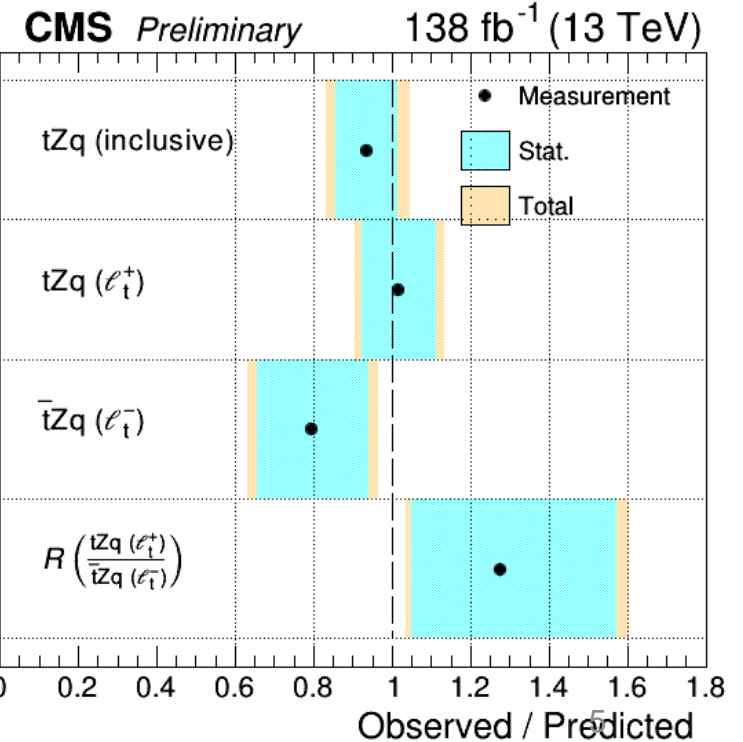
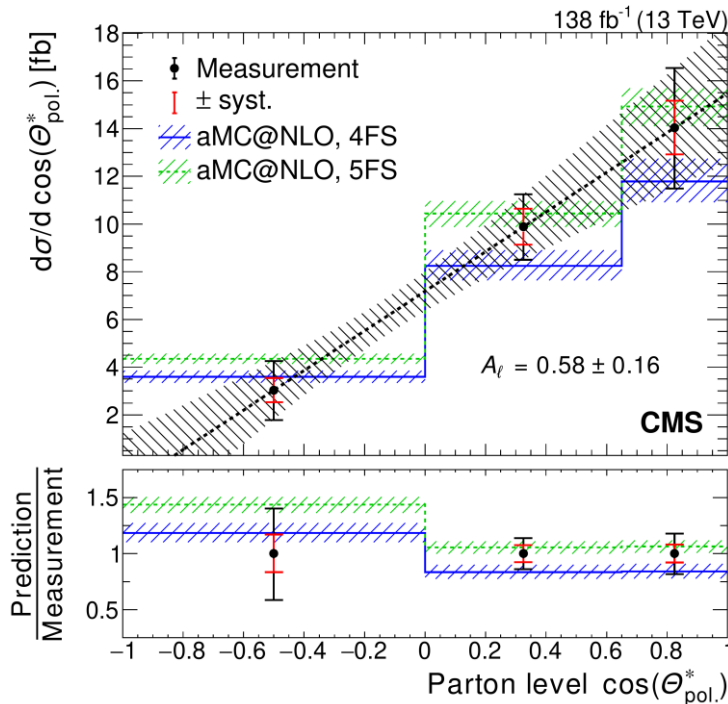
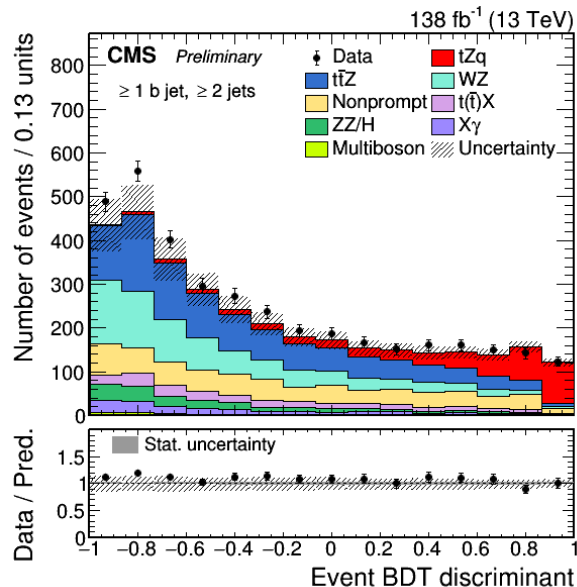
$$A_1^{5FS} = 0.454_{-0.005}^{+0.004}$$

## Partial tZq cross-sections:

$$\sigma_{tZq(l_t^+)} = 62.2_{-5.7}^{+5.9} \text{ (stat.) }_{-3.7}^{+4.4} \text{ (syst.) fb}$$

$$\sigma_{tZq(l_t^-)} = 26.1_{-4.6}^{+4.8} \text{ (stat.) }_{-2.8}^{+3.0} \text{ (syst.) fb}$$

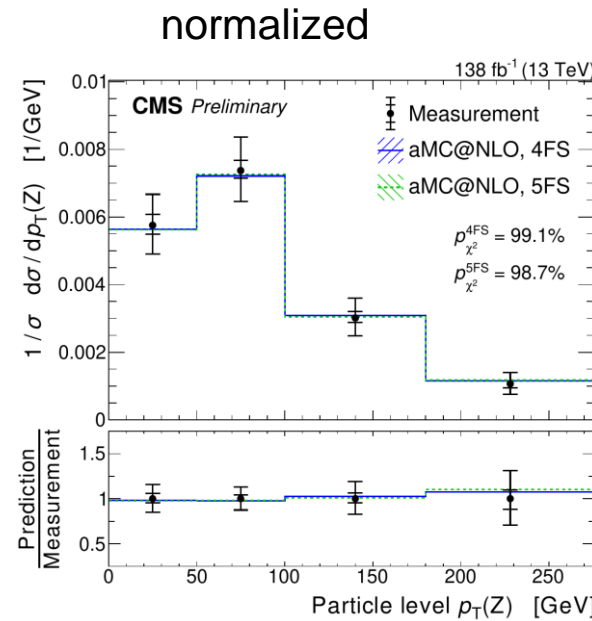
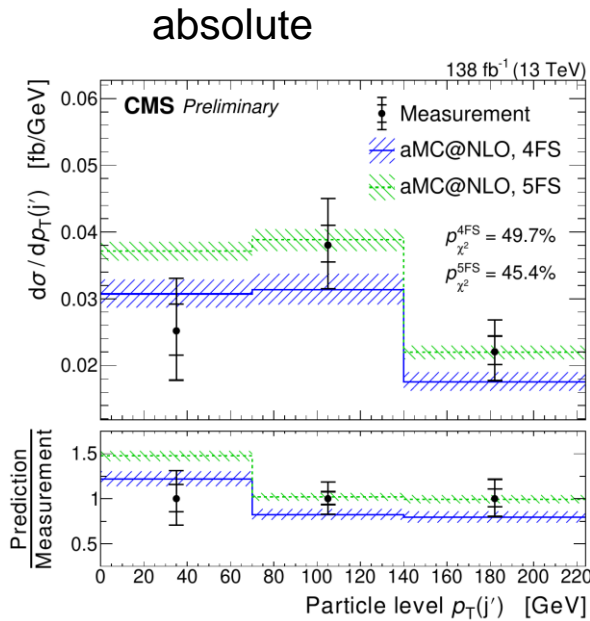
$$R = 2.37_{-0.42}^{+0.56} \text{ (stat.) }_{-0.13}^{+0.27} \text{ (syst.) fb}$$



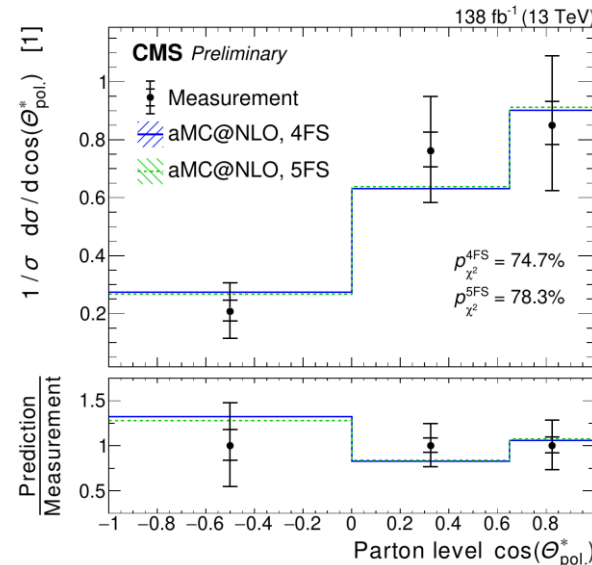
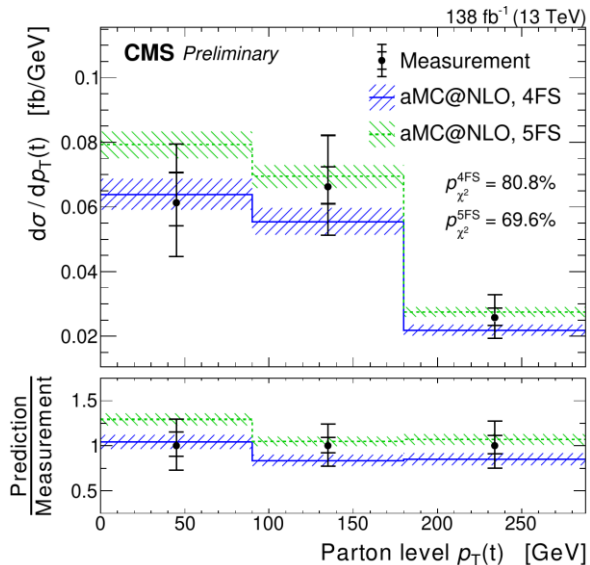
# Inclusive and differential $tZq$

reference: [TOP-20-010](#)

particle level



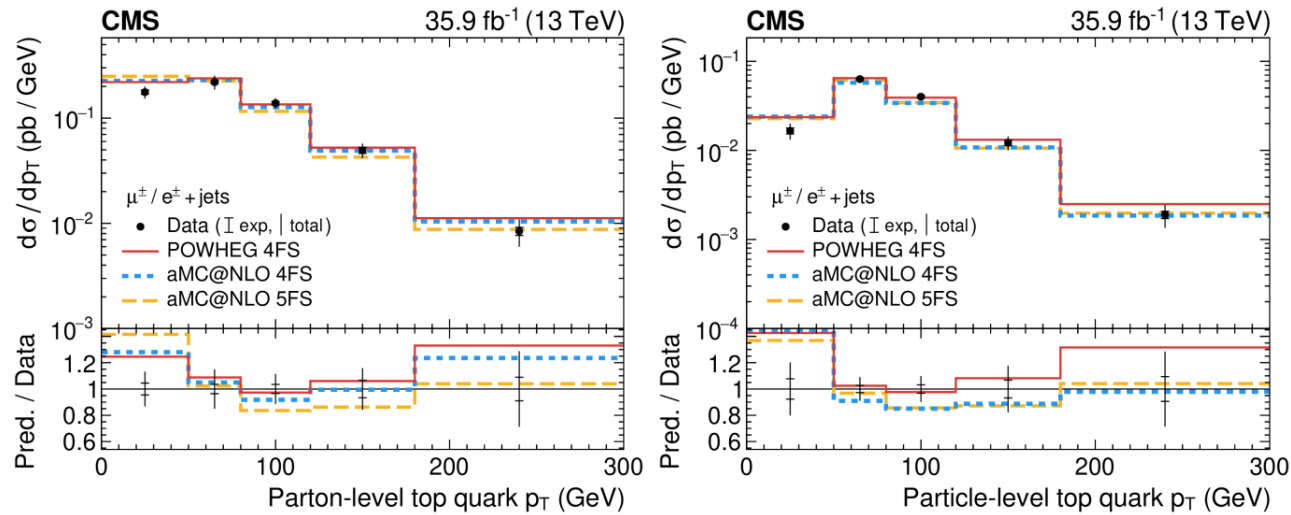
parton level



- In general, **observe good agreement** between measurement and prediction.
- Compared to both 4FS and 5FS prediction.
- Uncertainties down to 15% for purely leptonic observables, down to 25% for observables including jets.
- Other variables: see public note (see references) or backup!
- Note: see also related talk [here](#).
- EFT interpretation: see [TOP-21-001](#) and related talk [here](#).

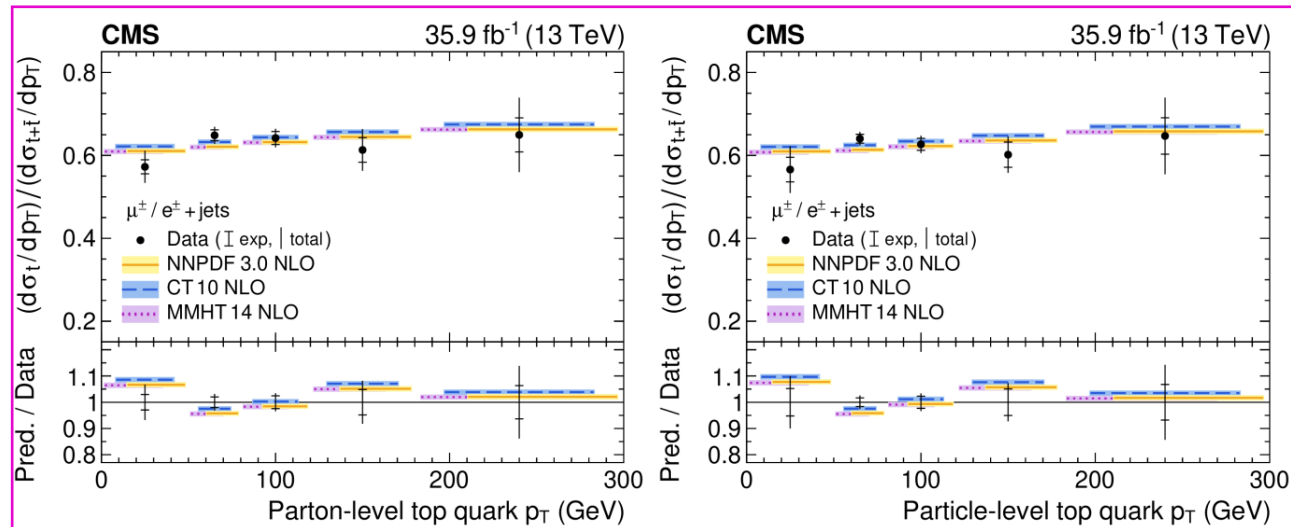
# Differential measurements of t-channel single top

reference: [TOP-17-023](#)



Analysis in a nutshell:

- 1 electron/muon., 2 jets, 1 b jet.
- top quark candidate reconstructed analytically.
- background from QCD multijet *estimated from data*.
- trained 2 BDT's:
  - signal vs. all backgrounds
  - $t\bar{t}$  vs.  $W$ +jets
- unfolding:  $\chi^2$  minimization (TUnfold implementation).
- comparison with both Powheg and Madgraph predictions, and both 4FS and 5FS (in Madgraph).

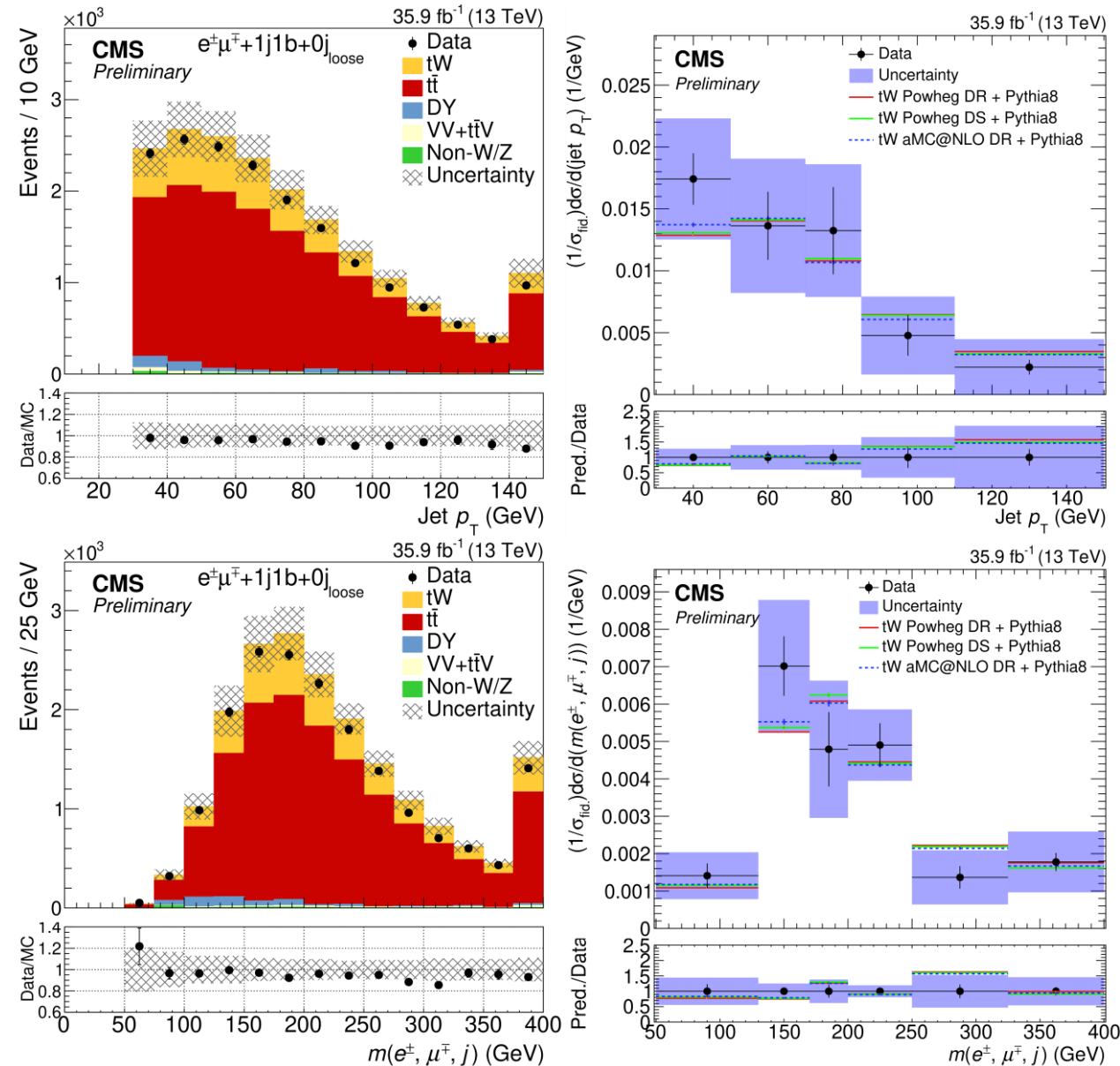


Results:

- differential cross-sections at parton and particle level, absolute and normalized.
- observables:
  - $p_T$  and  $y$  of top quark and lepton
  - $p_T$  of  $W$  boson
  - top quark polarization angle.
- differential  $\sigma_t/\sigma_{t+\bar{t}}$  distributions for the same observables.
- spin asymmetry  $A_1 = 0.439 \pm 0.062$  (prediction: 0.436)
- no appreciable deviations from SM predictions.

# Differential measurements of tW

reference: [TOP-19-003](#)

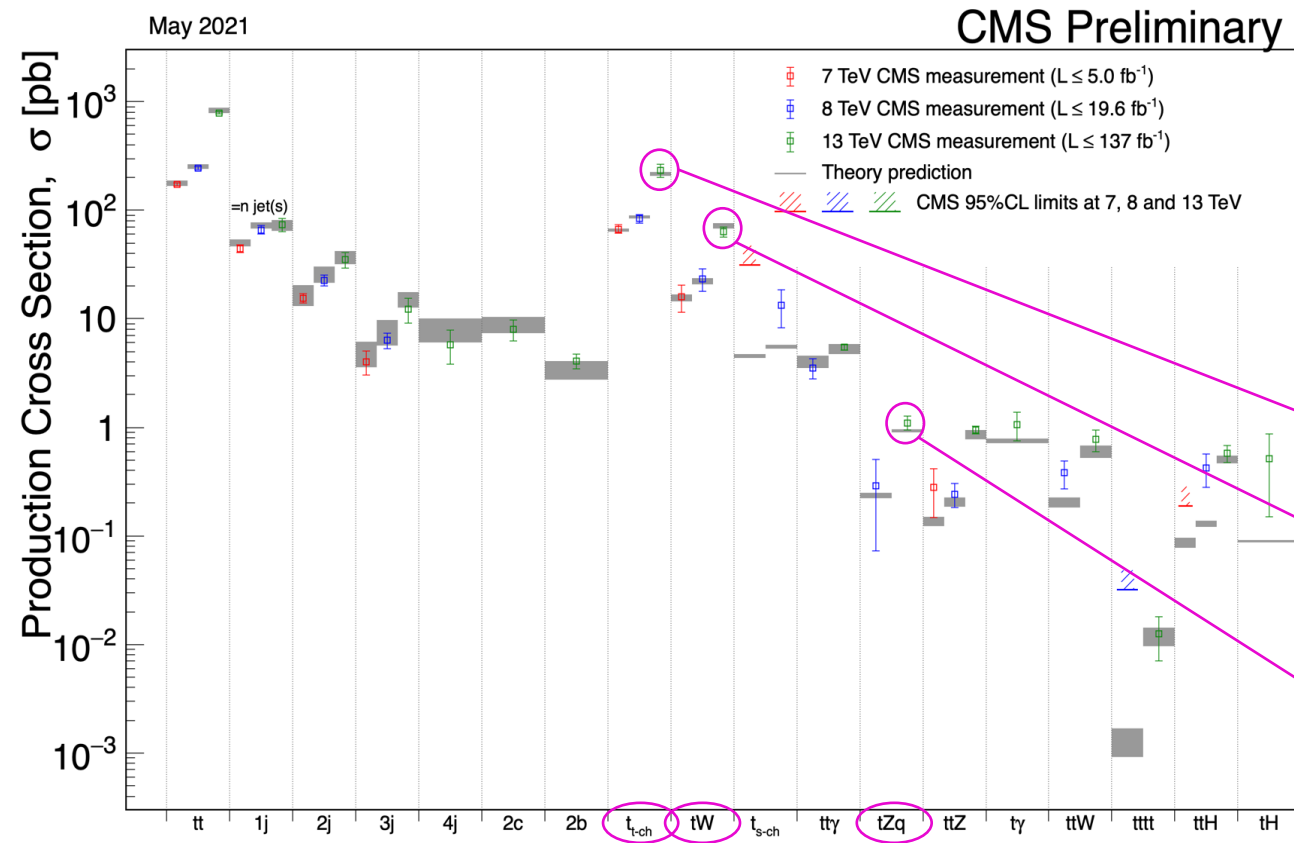


## Analysis in a nutshell:

- $\geq 2$  leptons,  $e^\pm\mu^\mp$  final state, 1 b jet, 1 jet.
- main difficulty: **overwhelming  $t\bar{t}$  background.**
- **unfolding:** 
$$N_i^{\text{sig}} = N_i - N_i^{\text{bkg}} = \sum_{j=1} R_{ij} N_j^{\text{sig, unf}}$$
  - solved using  $\chi^2$  minimization (TUnfold implementation).
- comparison with **both Powheg and Madgraph** predictions, and both DR and DS scheme (in Powheg).

## Results:

- normalized differential cross-sections at particle level.
- observables:
  - $p_T$  of leading lepton and top quark
  - azimuthal angle between electron and muon
  - $p_z$  of electron-muon-jet system.
  - invariant mass of electron-muon-jet system.
  - $m_T$  of electron-muon-jet- $p_T^{\text{miss}}$ -system
- **no appreciable deviations from SM predictions.**
- dominant systematic uncertainties: jet energy corrections (on the  $t\bar{t}$  background).



All results at: <http://cern.ch/go/pNj7>

- Many interesting new results and techniques in single top sector.
- See related talks, posters and public notes for more details!

Differential measurements and charge ratio.

First observation in semileptonic channel + differential measurement.

More precise inclusive cross-section + first differential measurement.

Thank you for your attention!

# Backup

# single top (+ associated bosons) cross sections

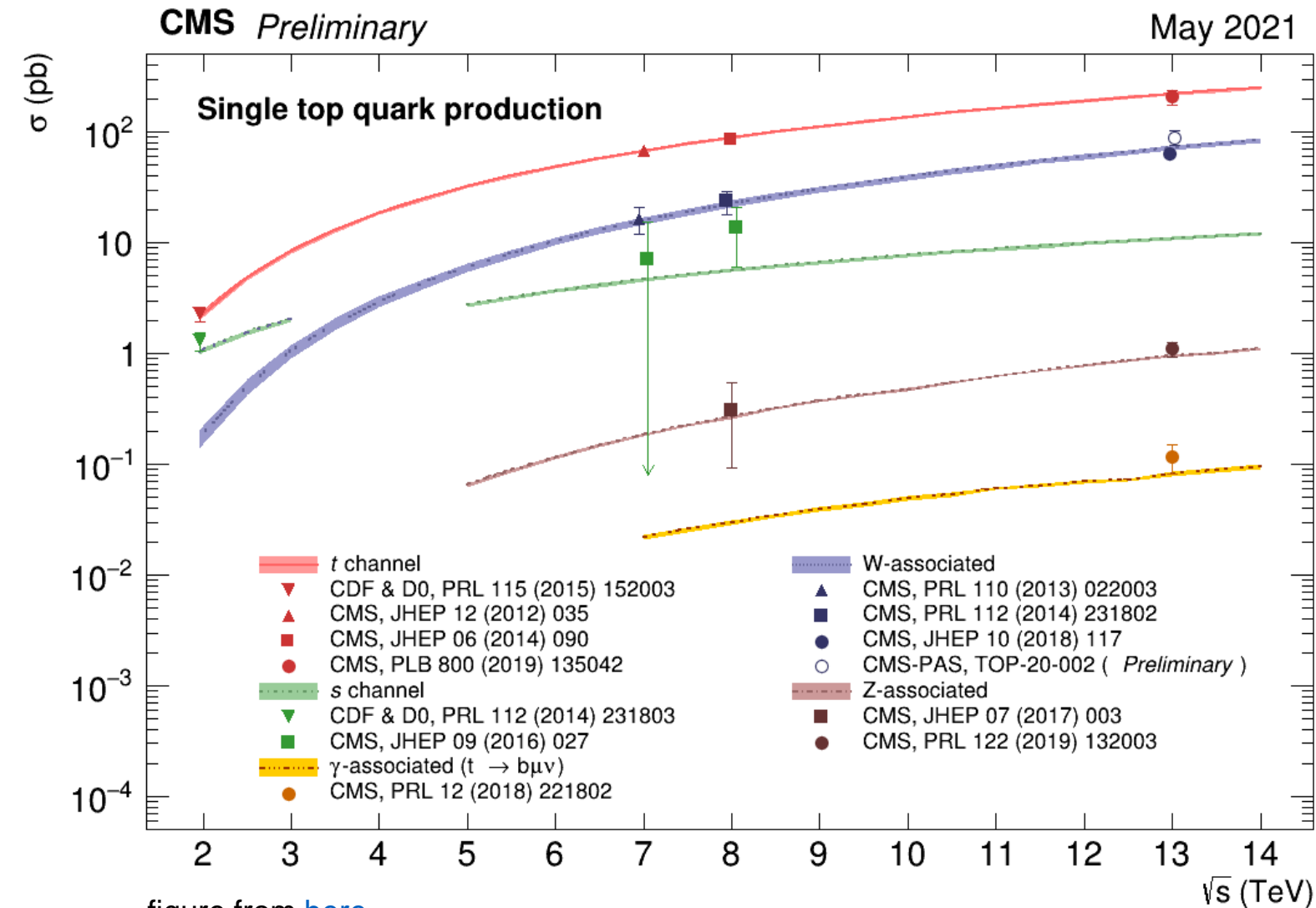


figure from [here](#)



# top quark mass measurements

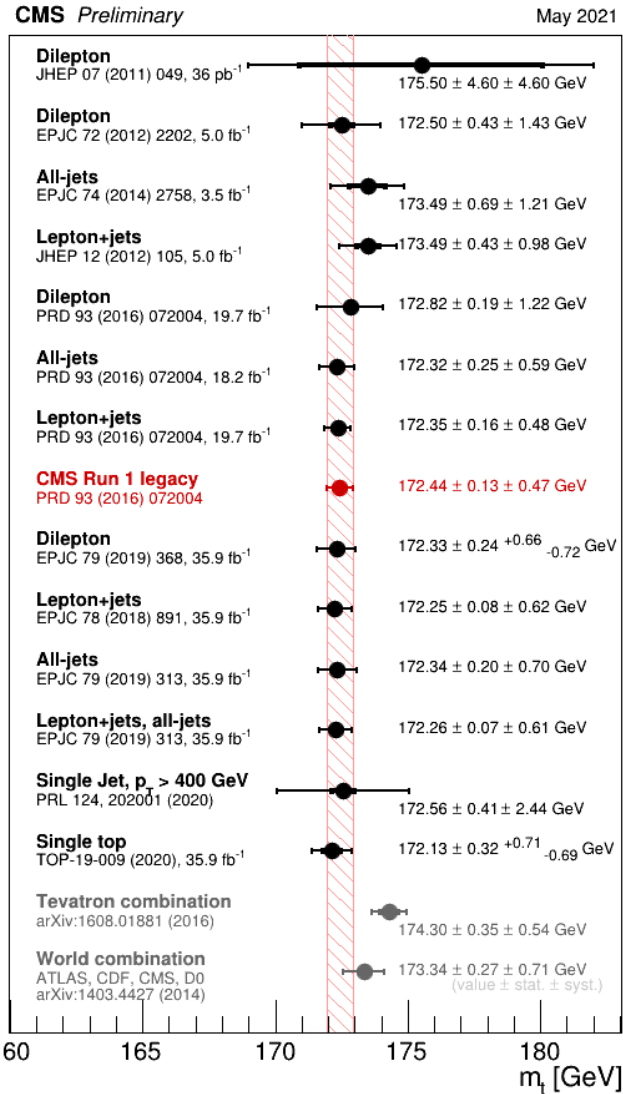
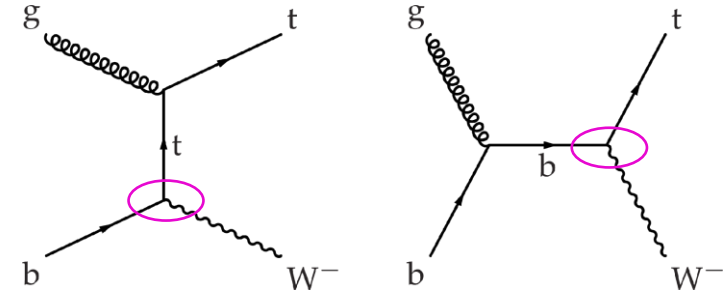


figure from [here](#)



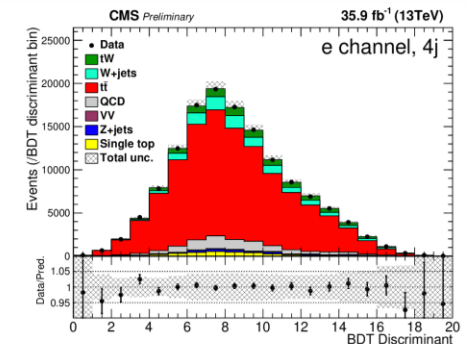
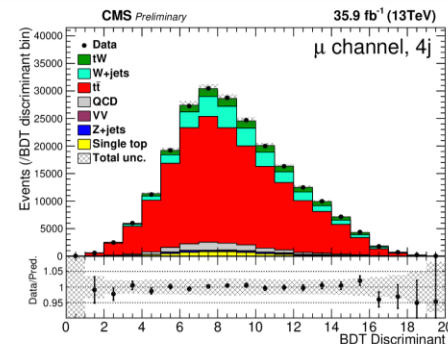
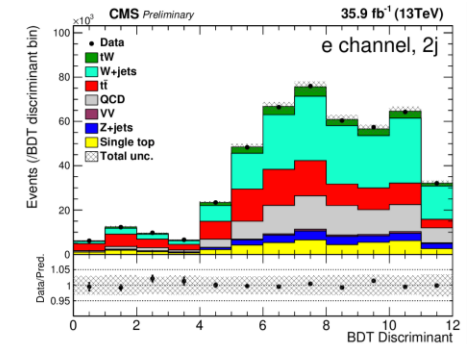
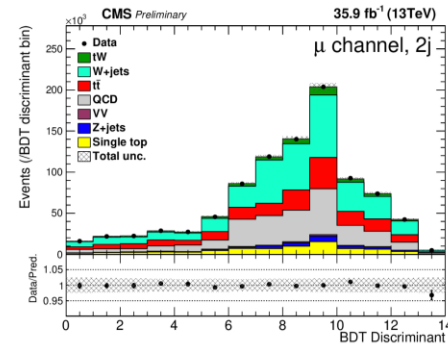
# observation of semileptonic tW (TOP-20-002)

- Motivation:
    - tW process is sensitive to CKM element  $V_{tb}$  via  $Wtb$  vertex.
  - History:
    - tW undiscovered at Tevatron.
    - evidence by ATLAS and CMS at 7 TeV.
    - observation by ATLAS and CMS at 8 TeV.
    - precisely measured at 13 TeV.
- } in dilepton channel.
- New observation at 13 TeV: tW in semileptonic channel.



## Analysis in a nutshell:

- Event selection:
  - single electron or muon trigger
  - 1 electron or muon
  - 1 b jet
  - 3 jets
- Control regions with 2 jets and 4 jets.
- W+jets and multijet QCD estimated from data in a sideband region.
- BDT to separate tW from  $t\bar{t}$ .
- Note: interference with  $t\bar{t}$  taken into account by removing doubly resonant diagrams from signal definition.



# observation of semileptonic $tW$ (TOP-20-002)

## BDT discriminant:

- trained to **separate  $tW$  from dominant  $t\bar{t}$  background**.
- input variables based on different number of b-jets in  $tW$  and  $t\bar{t}$ 
  - ← exactly one b-jet, and 2 non-b-jets coming from a W boson decay.
  - ↓ at least one b-jet must fail reconstruction or identification.
- trained separately for electron and muon channel, with same input variables and hyperparameters.

## Fit strategy:

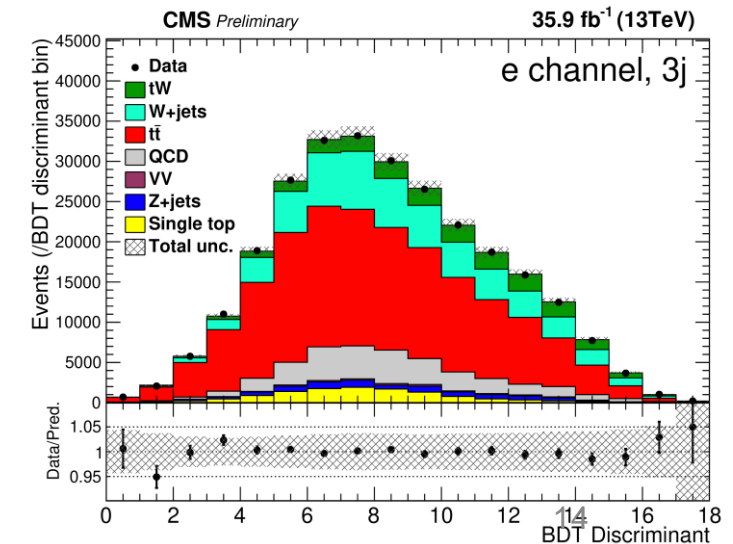
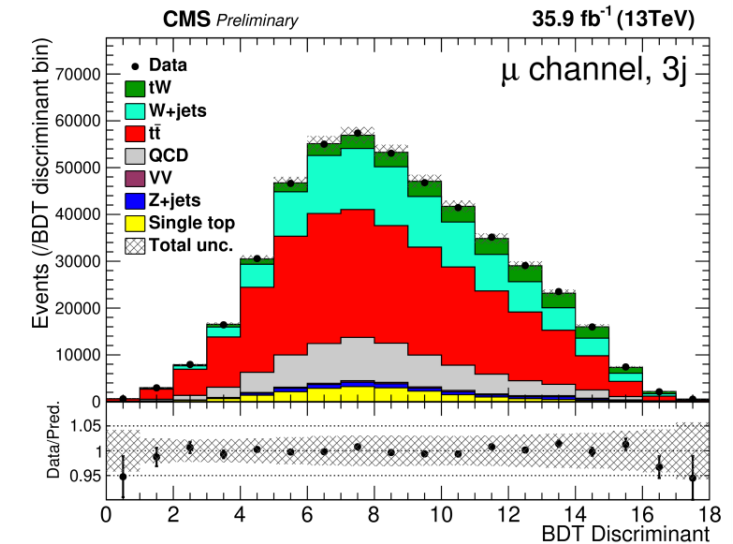
- **binned maximum likelihood fit** to BDT discriminant output.
- all SR and CR in electron and muon channel **fitted simultaneously**.
- **systematic uncertainties as nuisance parameters** in the fit.

## Results:

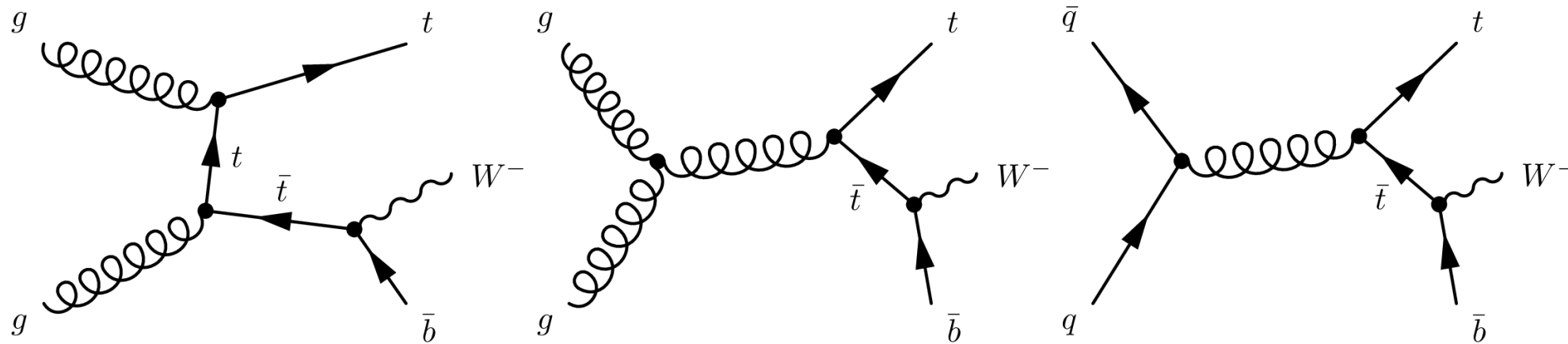
- significance  $> 5$  sigma.
- signal strength:  $1.24 \pm 0.18$ .
- cross-section:  $89 \pm 4$  (stat)  $\pm 12$  (syst) pb.

## limiting sources of uncertainty:

- nonprompt lepton background normalization.
- jet energy corrections.



# observation of semileptonic tW (TOP-20-002)



“Feynman diagrams for tW single top quark production at next-to-leading order that are removed from the signal definition in the DR scheme. The charge-conjugate modes are implicitly included.”

# observation of semileptonic tW (TOP-20-002)



Sample	Muon channel		
	3j	2j	4j
tW	$26091 \pm 62$	$29772 \pm 66$	$10580 \pm 40$
t $\bar{t}$	$272590 \pm 360$	$196690 \pm 300$	$184500 \pm 300$
W+jets	$79800 \pm 1200$	$332300 \pm 3300$	$12000 \pm 330$
QCD multijet	$67470 \pm 320$	$275130 \pm 700$	$10440 \pm 140$
Single top	$15786 \pm 55$	$54930 \pm 100$	$4105 \pm 28$
Z+jets	$7410 \pm 500$	$26450 \pm 970$	$2070 \pm 240$
VV	$2850 \pm 160$	$7450 \pm 250$	$731 \pm 81$
Total prediction	$472000 \pm 2700$	$922700 \pm 5700$	$224400 \pm 1200$
Data	472540	923880	223720

“The total number of events passing event selection in each defined jet topology region for the analysis and their associated statistical uncertainties. The event yields are given for the tW signal and all major backgrounds for both the muon (upper) and electron (lower) channels. The estimations of QCD multijet and W+jets backgrounds include data-based estimates.”

Sample	Electron channel		
	3j	2j	4j
tW	$15725 \pm 35$	$17453 \pm 37$	$6578 \pm 23$
t $\bar{t}$	$157780 \pm 200$	$111030 \pm 160$	$109259 \pm 160$
W+jets	$63400 \pm 850$	$191000 \pm 1800$	$9610 \pm 250$
QCD multijet	$15370 \pm 180$	$85080 \pm 410$	$5960 \pm 100$
Single top	$8939 \pm 30$	$30223 \pm 54$	$2375 \pm 15$
Z+jets	$7080 \pm 300$	$23830 \pm 590$	$1800 \pm 140$
VV	$1645 \pm 85$	$4010 \pm 130$	$461 \pm 44$
Total prediction	$269900 \pm 1700$	$462600 \pm 3200$	$136000 \pm 740$
Data	270330	462930	136190

“Descriptions of the variables used to train and evaluate the BDT, ranked in order of importance in the final result. The same variables are used in both muon and electron channels.”

## Variable Description

---

Mass of the reconstructed Wboson decaying hadronically

Invariant mass of the b-tagged jet and sub-leading non b-tagged jet

Angular separation between the two non b-tagged jets

Angular separation between the reconstructed leptonic Wboson and leading non b-tagged jet

Transverse momentum of the selected lepton

Energy of the two non b-tagged jets system

Angular separation between the b-tagged jet and the selected lepton

Transverse momentum of the system made of the three jets, lepton and  $p_T^{\text{miss}}$

# observation of semileptonic tW (TOP-20-002)

Source	Relative uncertainty (%)
QCD normalization	7
W+jets normalization	6
Z+jets normalization	3
Single top normalization	1
t $\bar{t}$ normalization	1
VV normalization	< 1
JES	6
b-tagging	4
Luminosity	3
LES	2
Trigger	1
JER	1
Mistag	< 1
Unclustered MET	< 1
Pileup	< 1
$h_{\text{damp}}$	4
DR/DS	3
MC tune	3
Colour reconnection	1
PDF	1
ME/PS matching	1
Final state radiation	< 1
Initial state radiation	< 1
Total systematic uncertainty	14
Statistical uncertainty	5
Total uncertainty	15

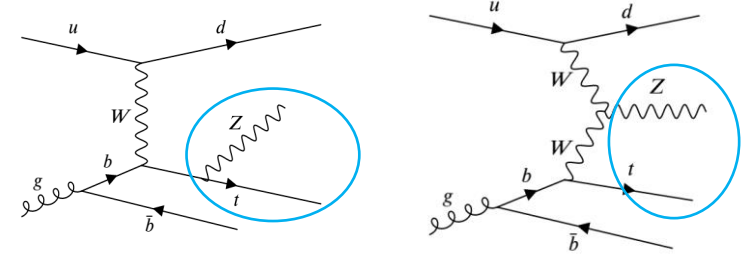
“Uncertainty in the signal strength from each source of systematic uncertainty for the combination of electron and muon channels. The table is divided between normalization, experimental and theoretical uncertainties. Uncertainties arising from the limited size of the MC samples are included in the statistical uncertainty.”



# Inclusive and differential tZq (TOP-20-010)

tZq is a probe for [new physics](#):

- sensitive to [ttZ-](#) and [WWZ](#) couplings.
- sensitive to top quark [polarization](#).
- sensitive to [proton PDFs](#) via top quark-antiquark ratio.
- impacted by [FCNC](#) or more generally in the [SMEFT](#) phenomenology [1].

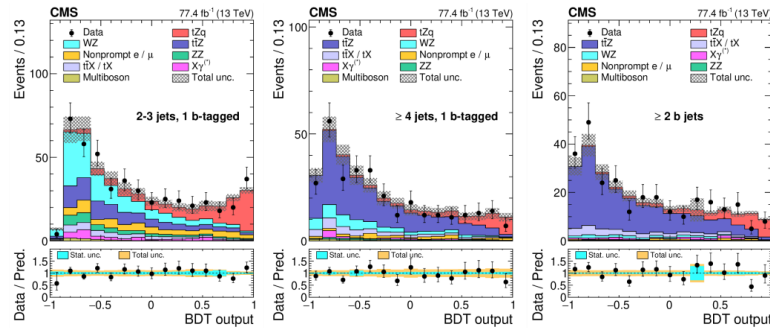


Earlier tZq measurements:

First evidence with 2016 data [2]

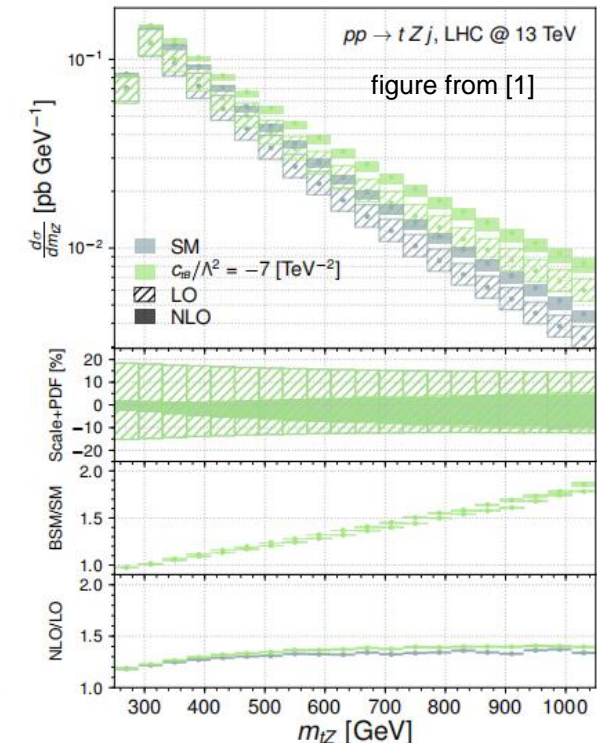
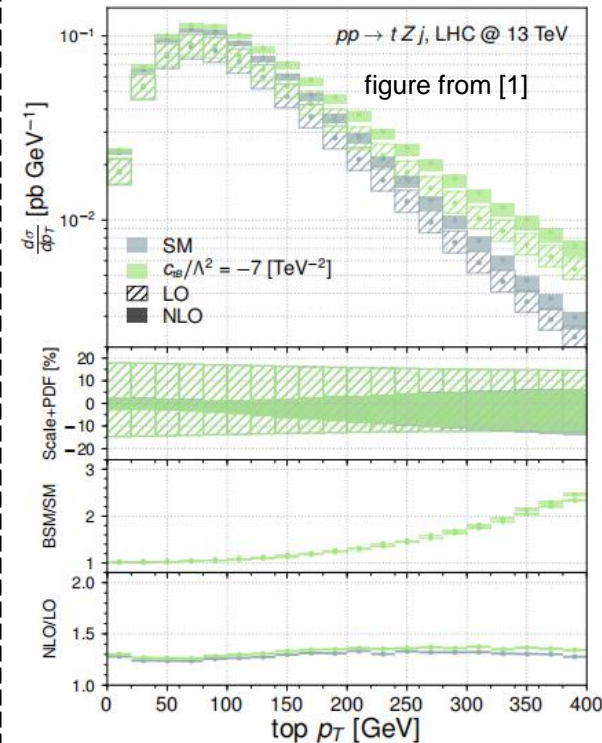
First observation with 2016+2017 data [3]

→ [precision: ± 14-15%](#)

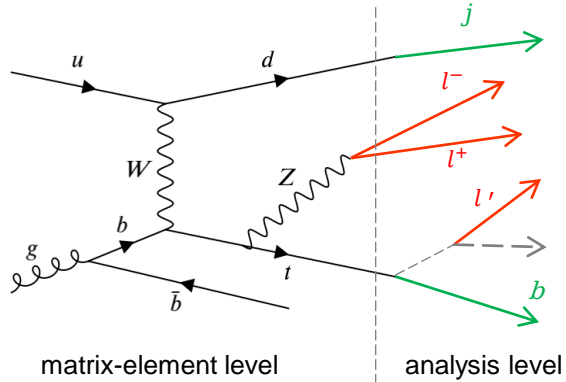


Observation at ATLAS with full Run II dataset [4]

→ [precision: ± 15%](#)



# Inclusive and differential tZq (TOP-20-010)

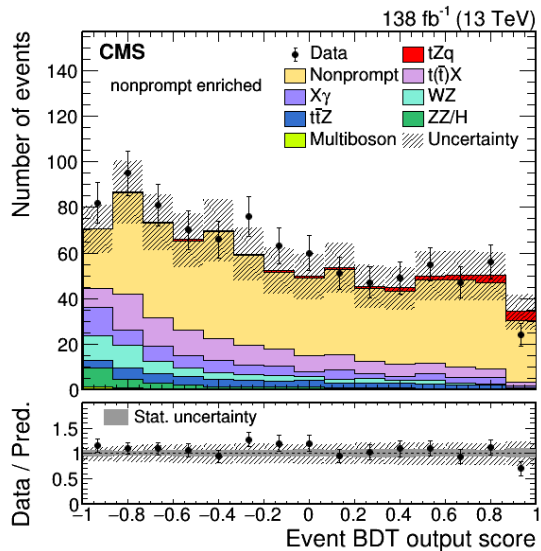


- 3 leptons (electrons or muons)
  - selection based on **new lepton MVA**.
- 1 OSSF pair compatible with Z boson mass within 15 GeV.
- $\geq 2$  jets ( $p_T > 25$  GeV,  $|\eta| < 5$ ).
- $< 4$  central jets ( $|\eta| < 2.4$  (2016) /  $< 2.5$  (2017/2018)) (only in differential).
- $\geq 1$  b-jet (medium **deepFlavor** working point, central).

- **Z boson candidate:**
  - OSSF lepton pair with  $|m_Z - m_{ll}| < 15$  GeV.
- **top quark candidate** and accompanying b jet
  - reconstructed analytically using W boson and top quark mass constraints.
- **recoiling jet**
  - non b-tagged jet with highest  $p_T$ .
  - tends to be emitted in forward region of the detector.

- **background from nonprompt leptons estimated from data** and uncertainty constrained in dedicated nonprompt control region.

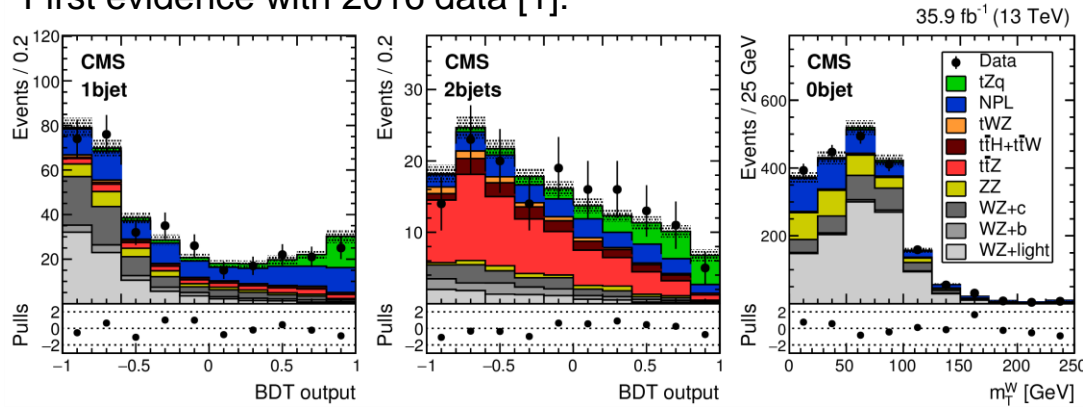
- **discriminating features** based on presence of a hard forward jet, presence of at least one b-jet, charge asymmetry of the top quark etc.
- **combined into MVA** (multiclass NN or BDT) to distinguish tZq from WZ, ttZ and others.





# Inclusive and differential tZq (TOP-20-010)

First evidence with 2016 data [1]:

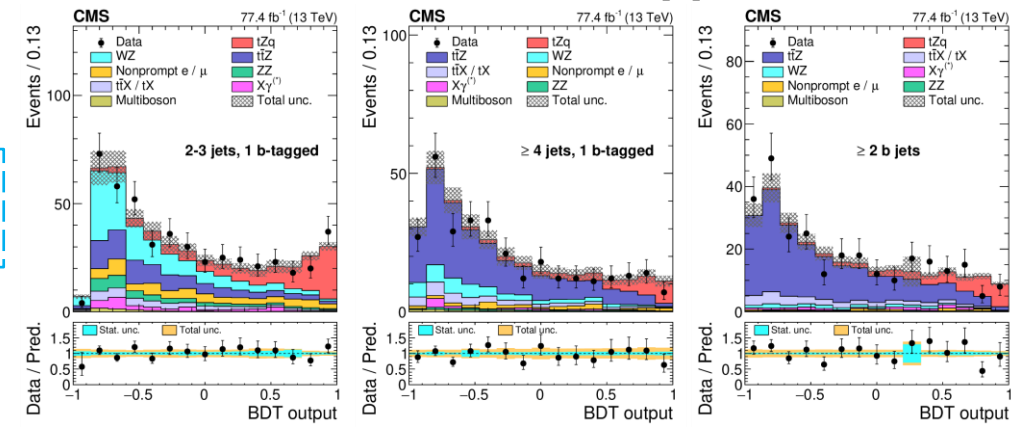


Standard model prediction:  
 $\sigma_{tZq}^{SM} = 94.2^{+1.9}_{-1.8}$  (scale)  $\pm 2.5$  (PDF) fb [1]

$\sigma_{tZq} = 123^{+33}_{-31}$  (stat.)  $^{+29}_{-23}$  (syst.) fb  
 significance = 3.7 (obs.) / 3.1 (exp.)

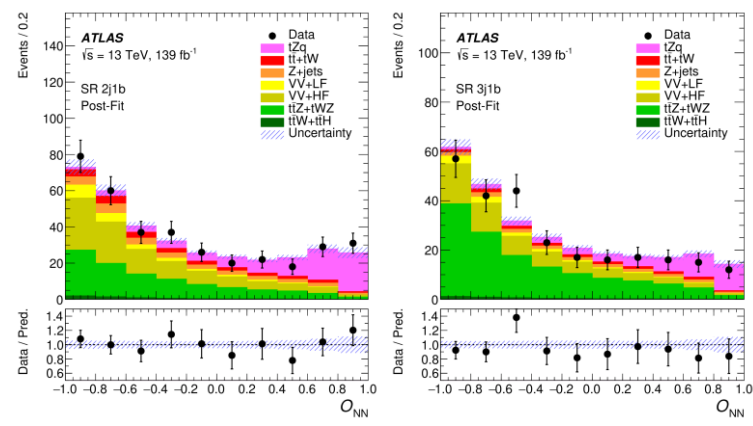
$\sigma_{tZq} = 111 \pm 13$  (stat.)  $^{+11}_{-9}$  (syst.) fb ( $\rightarrow$  precision:  $\pm 14-15\%$ )  
 significance = 8.2 (obs.) / 7.7 (exp.)

First observation with 2016+2017 data [2]:



$\sigma_{tZq} = 97 \pm 13$  (stat.)  $\pm 7$  (syst.) fb ( $\rightarrow$  precision:  $\pm 15\%$ )

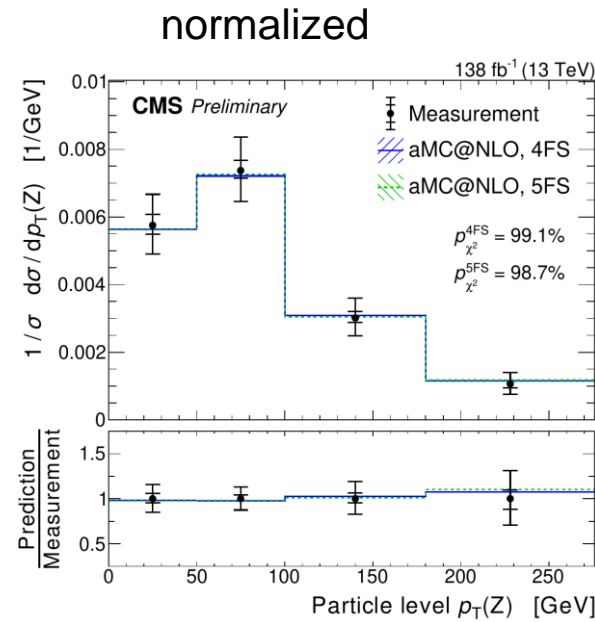
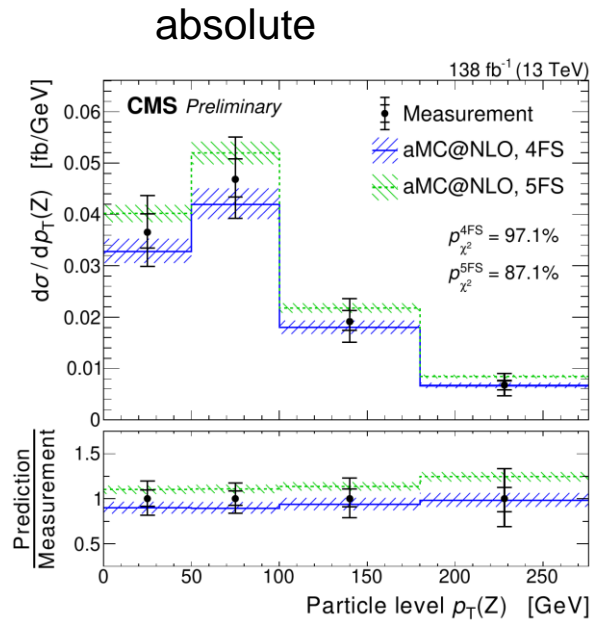
Observation at ATLAS with full Run II dataset [3]:



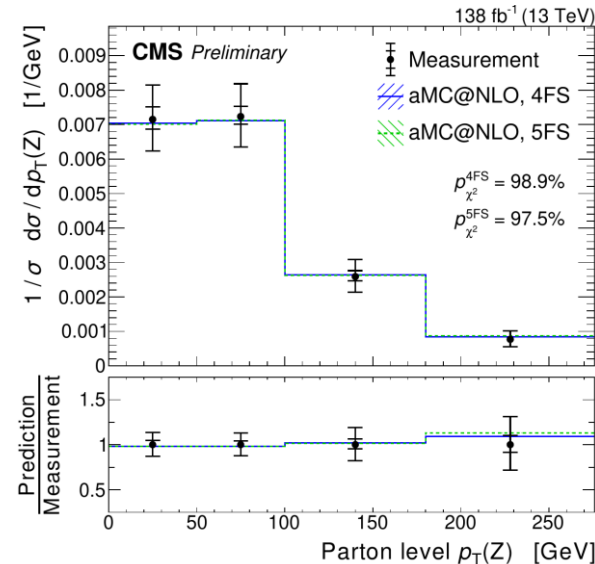
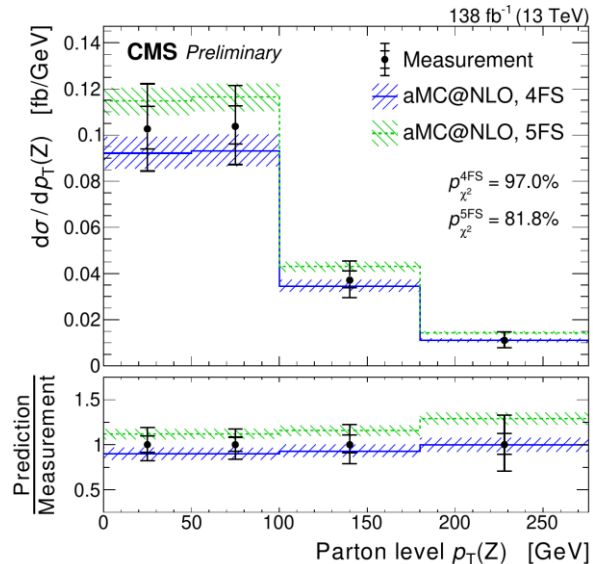
Significance  $\gg 5\sigma \rightarrow$  observation has been established.  
 Next challenges: improve precision on  $\sigma_{tZq}$ .  
 perform first differential measurement.

# Inclusive and differential tZq (TOP-20-010)

particle level



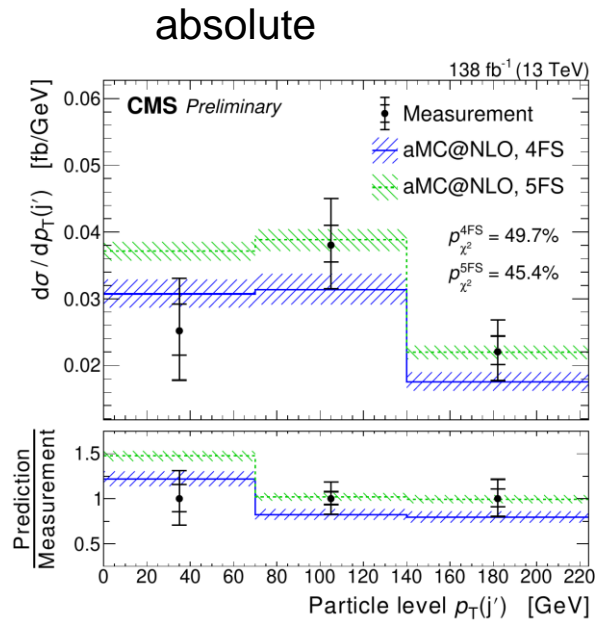
parton level



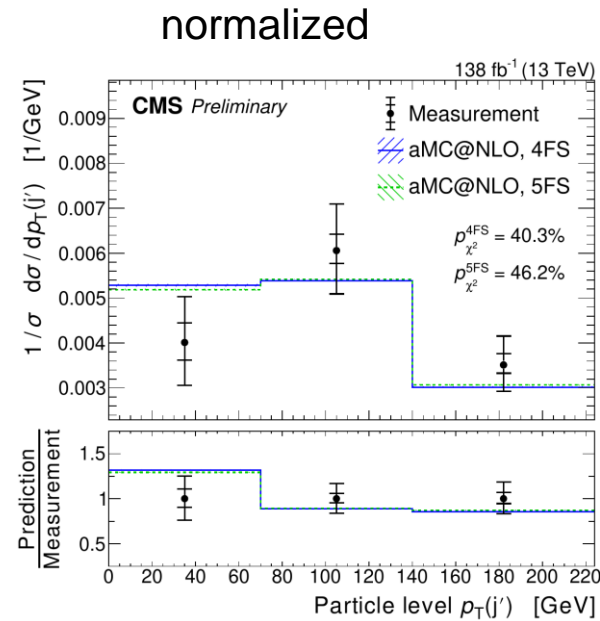
- In general, **observe good agreement** between measurement and prediction.
- Compared to both 4FS and 5FS prediction.
- Uncertainties down to 15% for purely leptonic observables, down to 25% for observables including jets.

# Inclusive and differential $tZq$ (TOP-20-010)

particle level



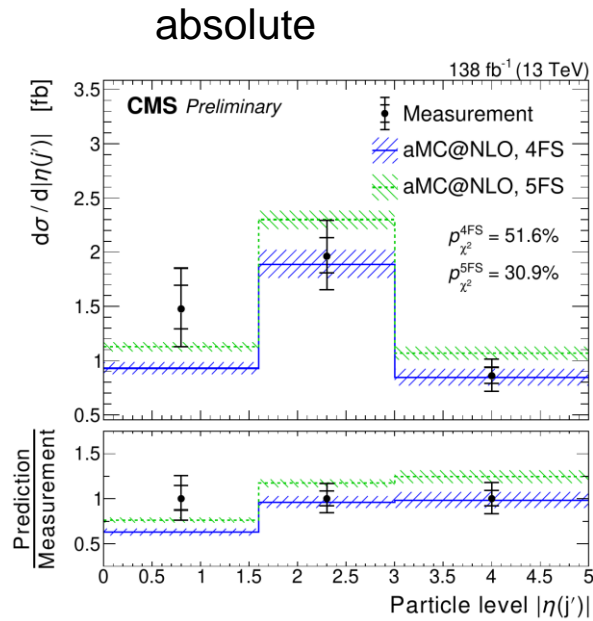
parton level



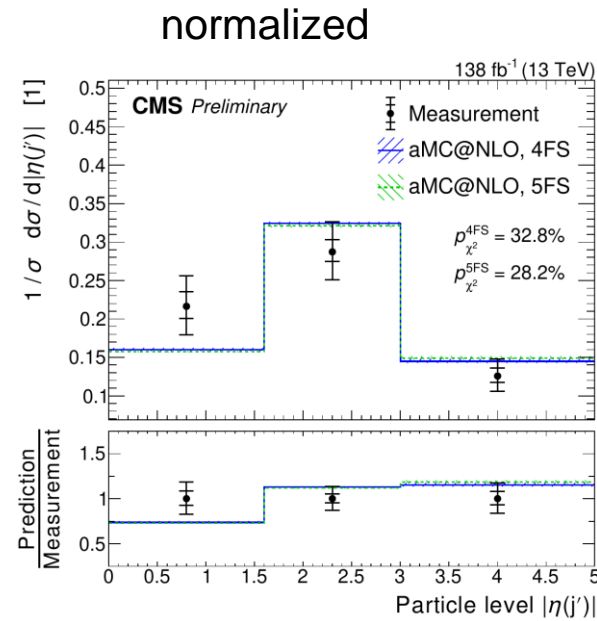
- In general, **observe good agreement** between measurement and prediction.
- Compared to both 4FS and 5FS prediction.
- Uncertainties down to 15% for purely leptonic observables, down to 25% for observables including jets.

# Inclusive and differential tZq (TOP-20-010)

particle level



parton level

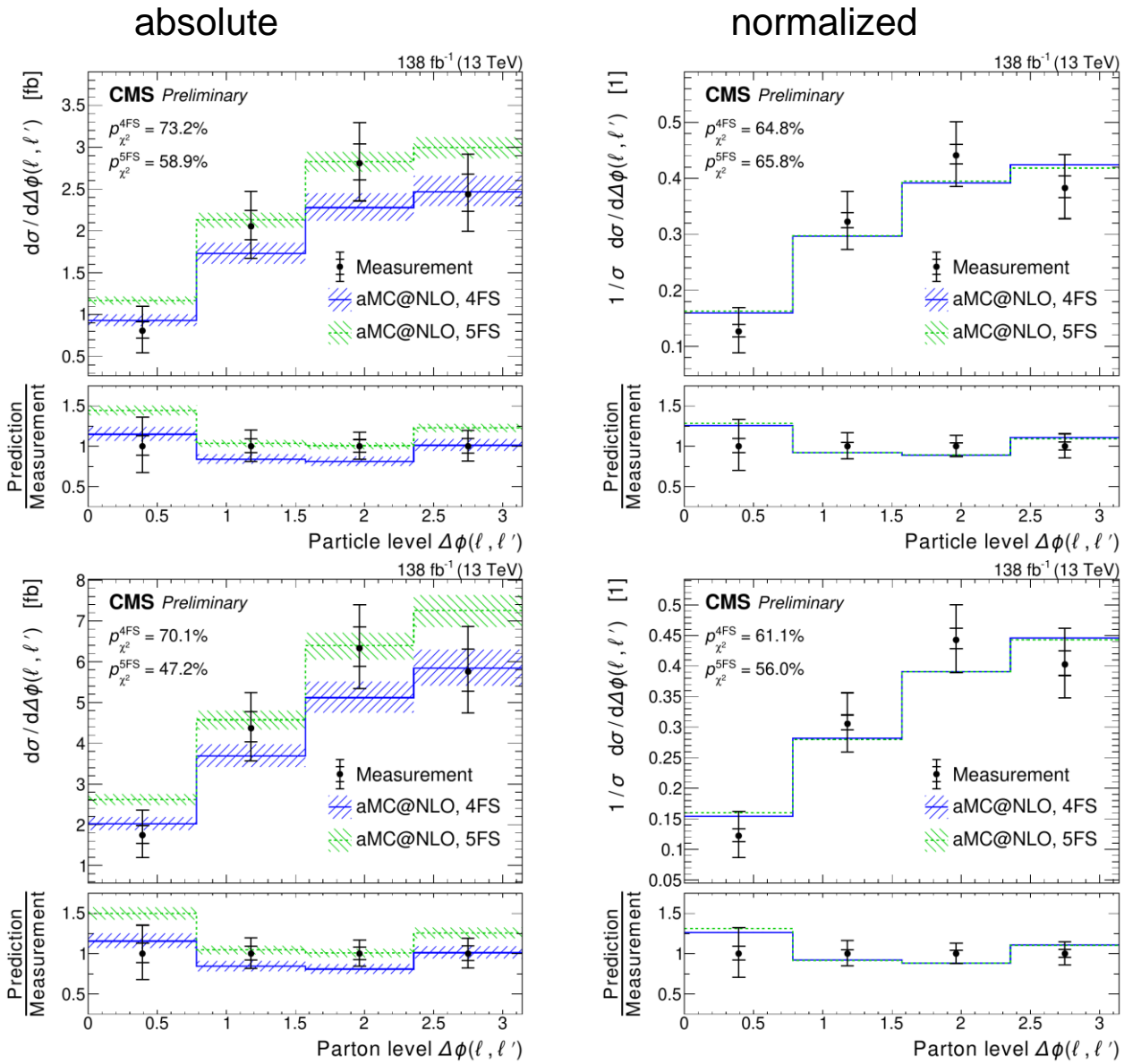


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# Inclusive and differential tZq (TOP-20-010)

particle level

parton level

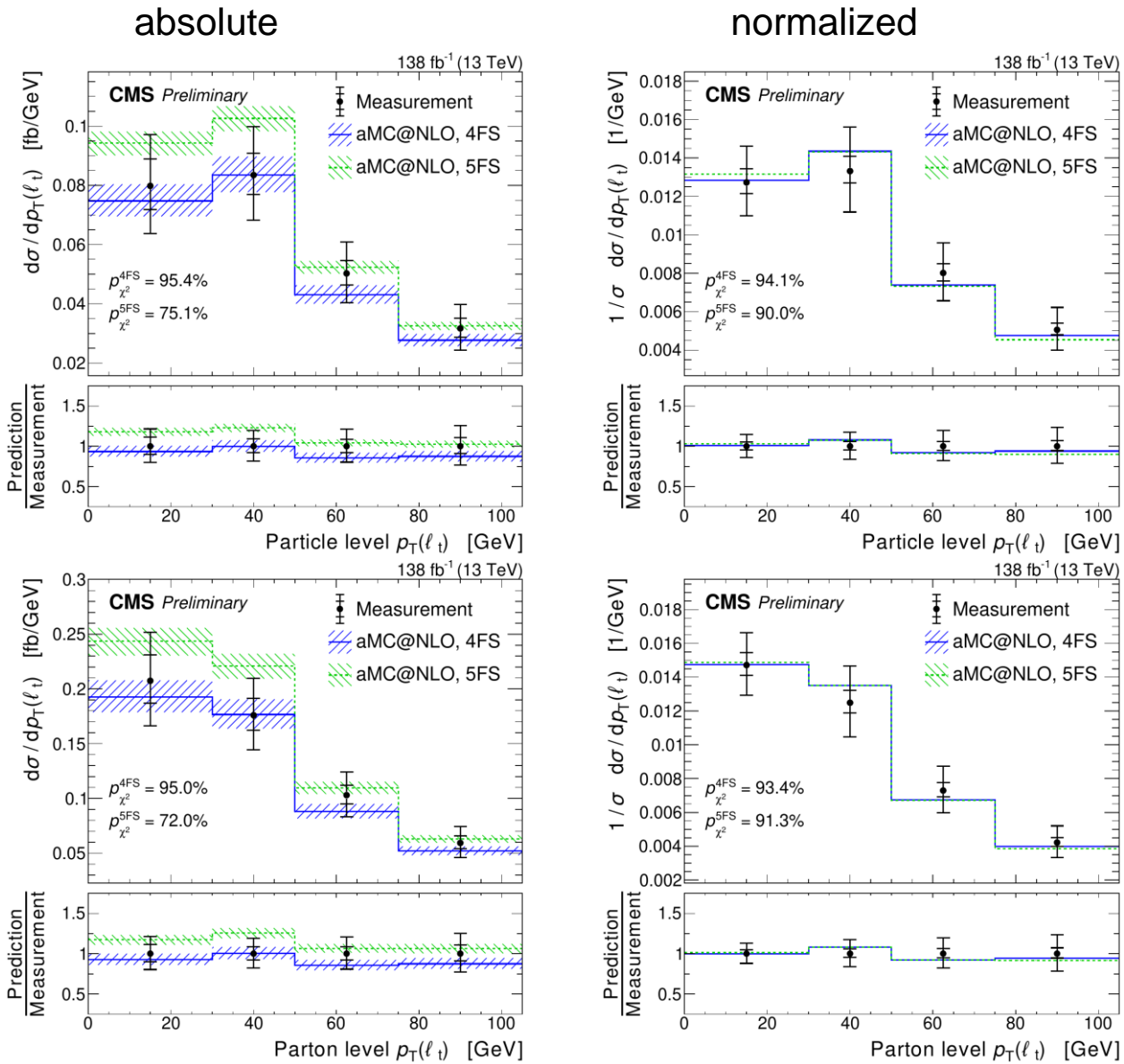


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# Inclusive and differential tZq (TOP-20-010)

particle level

parton level

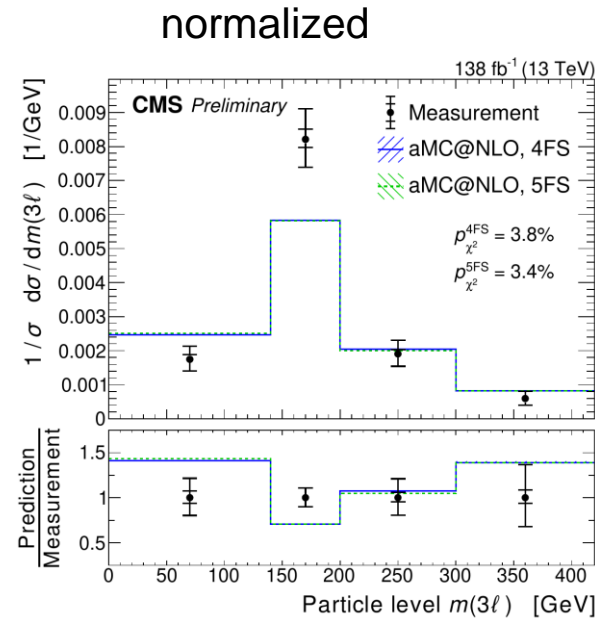
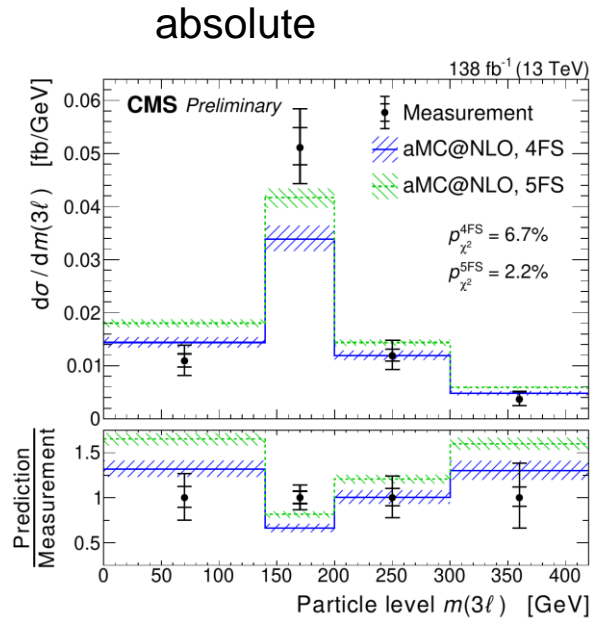


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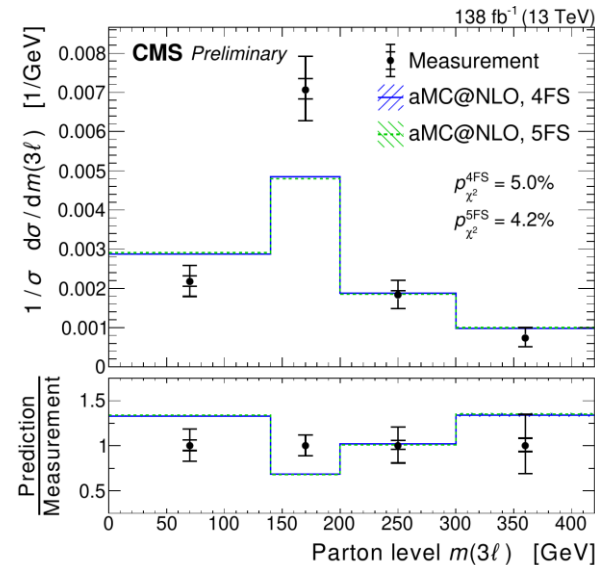
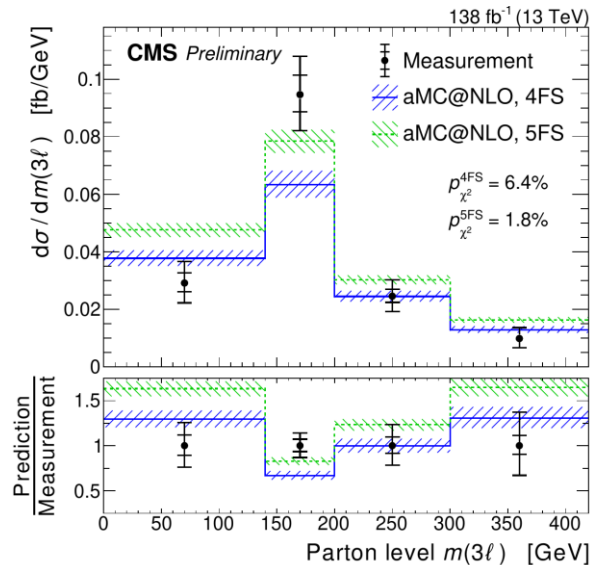


# Inclusive and differential tZq (TOP-20-010)

particle level



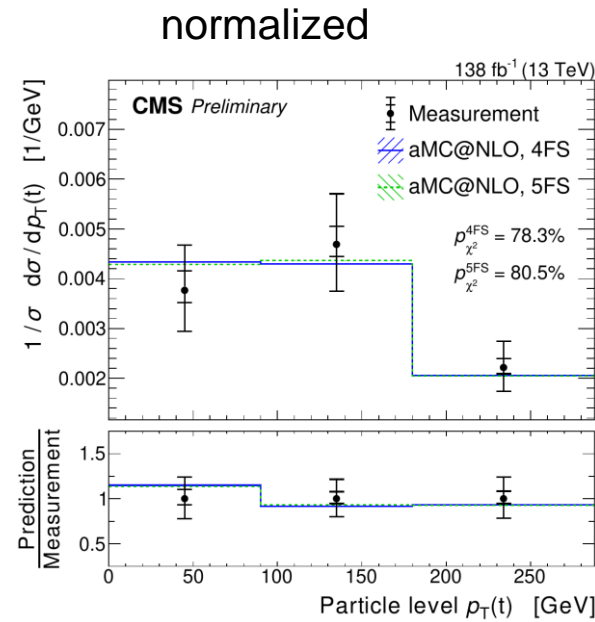
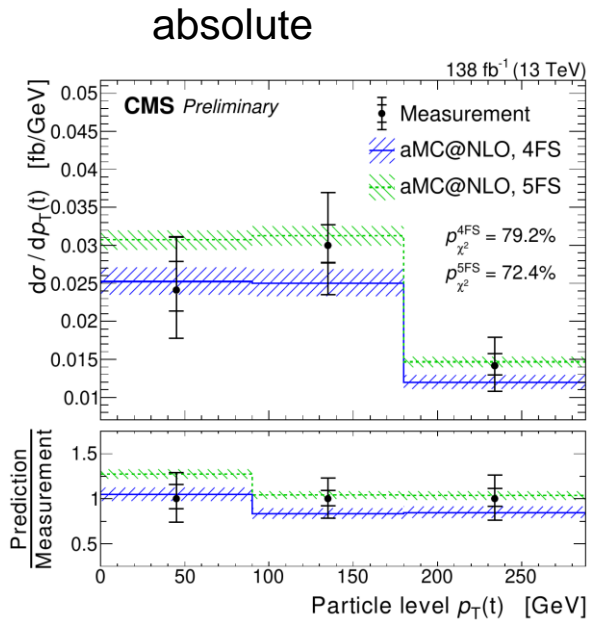
parton level



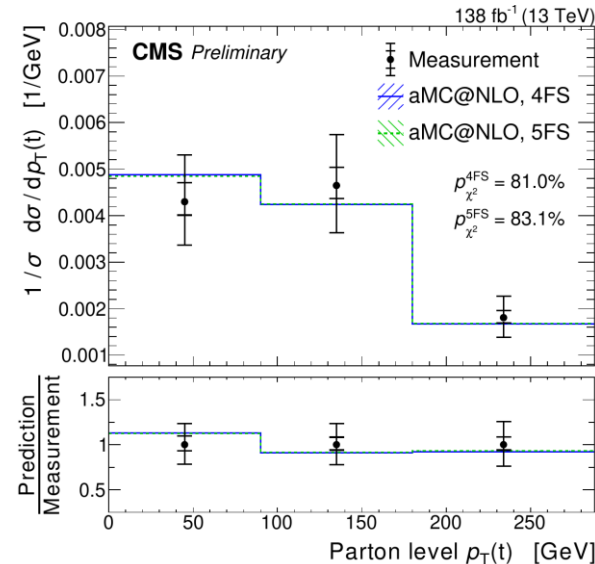
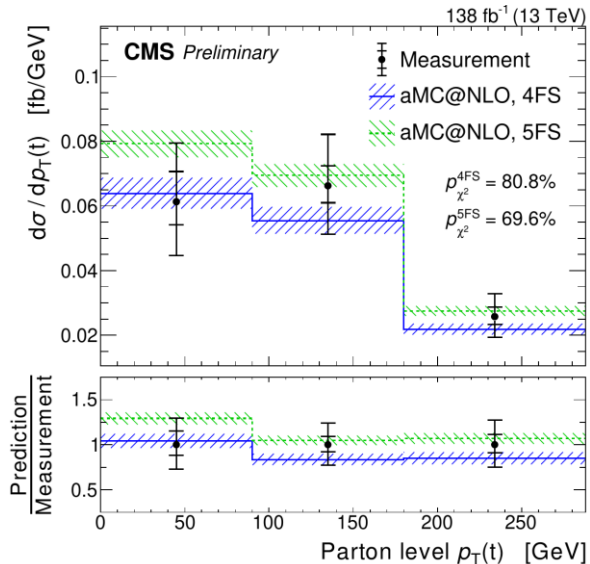
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particle level



parton level

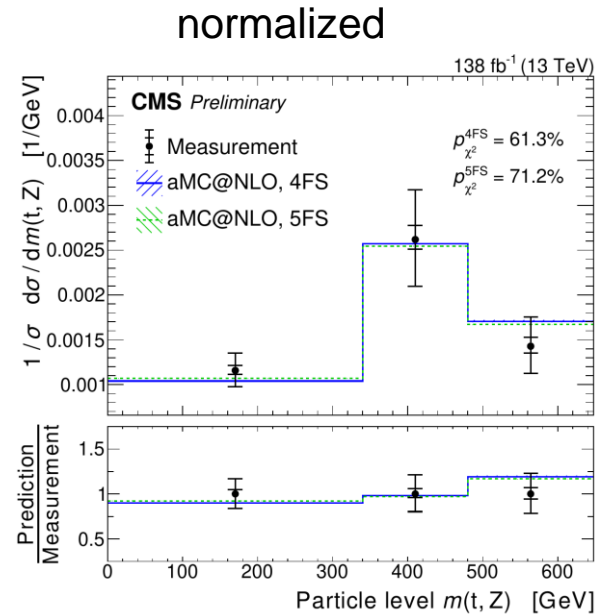
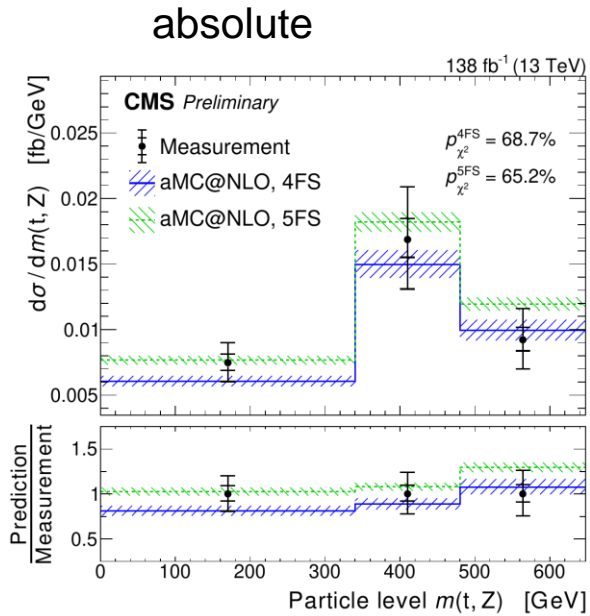


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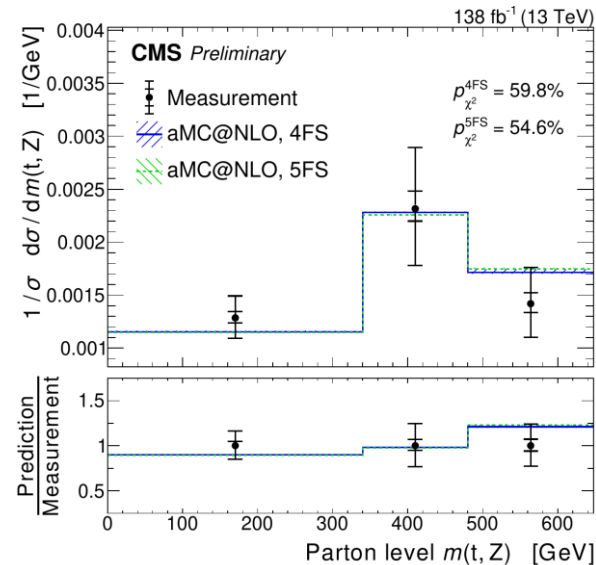
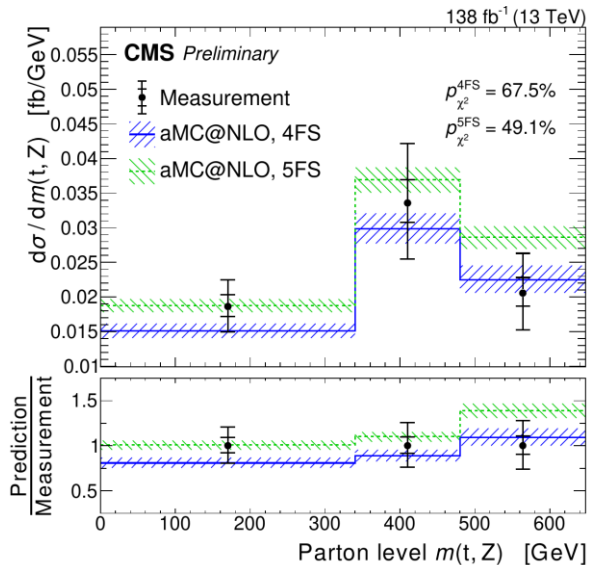


# Inclusive and differential tZq (TOP-20-010)

particle level



parton level

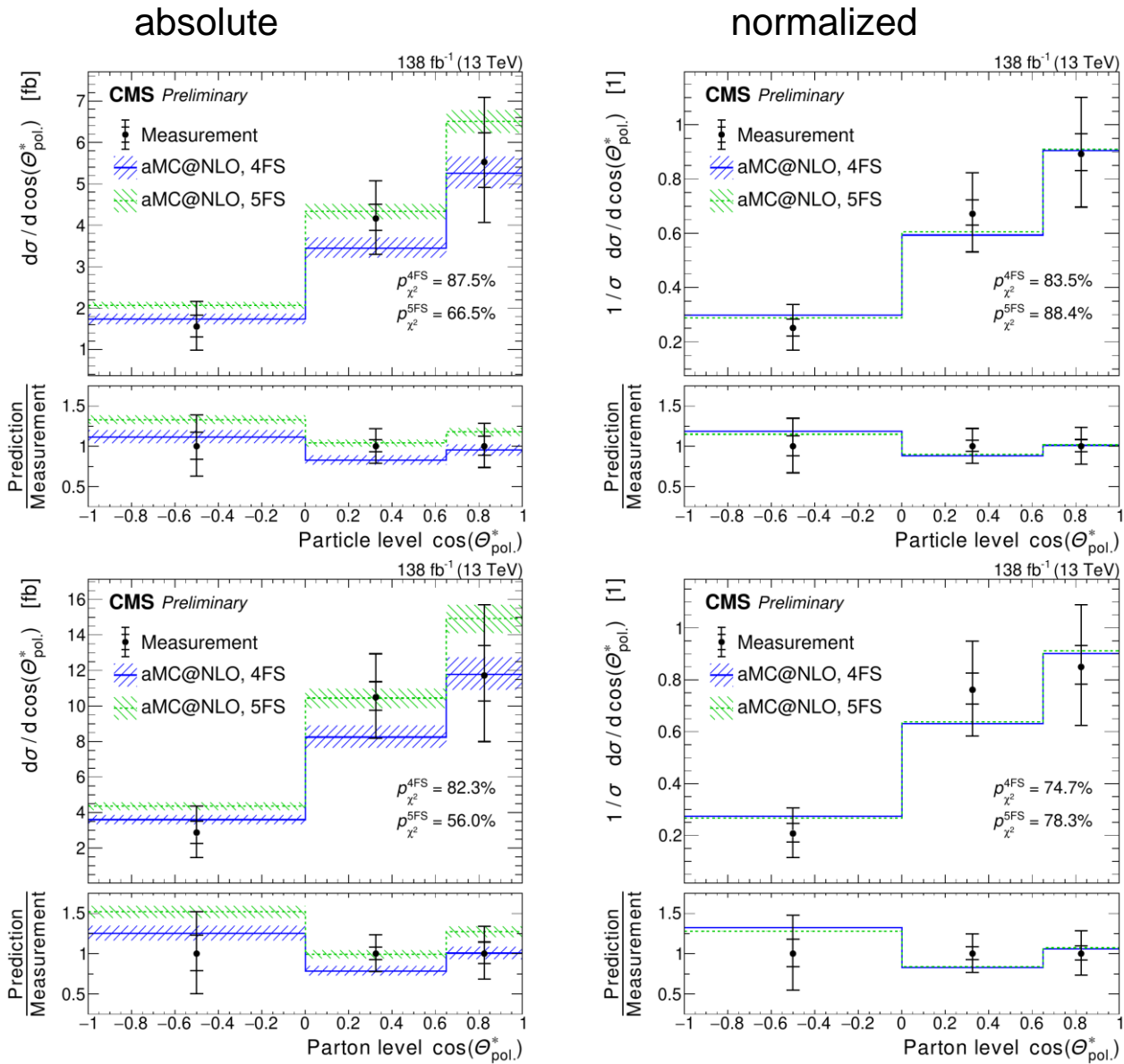


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# Inclusive and differential tZq (TOP-20-010)

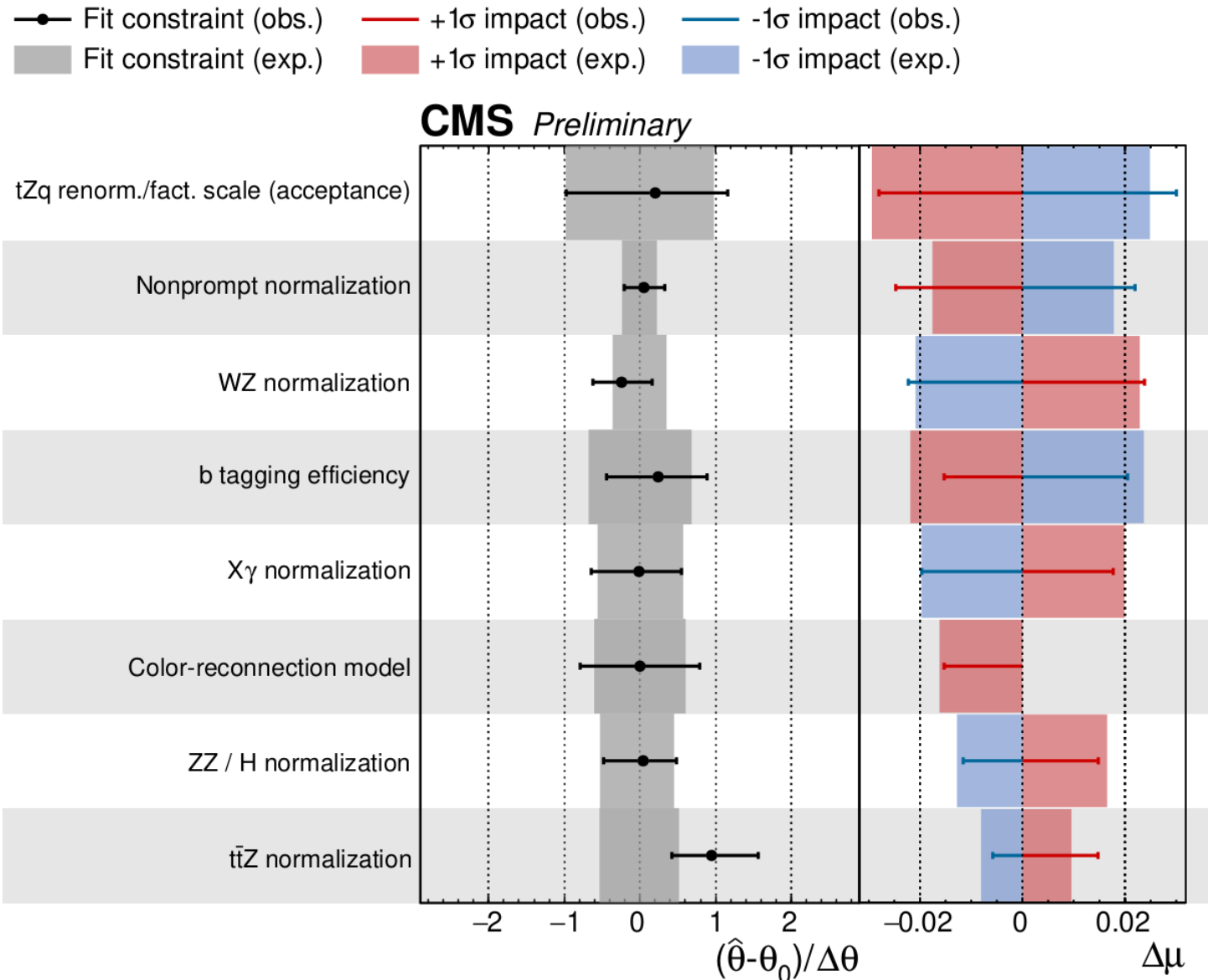
particle level

parton level



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# Inclusive and differential tZq (TOP-20-010)



“Summary of the dominant systematic uncertainties affecting the inclusive tZq cross section measurement. The left column lists the sources of systematic uncertainty, treated as nuisance parameters in the fit, in order of importance. In the middle column, the black points with the horizontal bars show for each source the difference between the observed best-fit value ( $\theta$ ) and the nominal value ( $\theta_i$ ), divided by the expected standard deviation ( $\Delta\theta$ ). The right column plots the change in the tZq signal strength  $\mu$  if a nuisance parameter is varied one standard deviation up (red), or down (blue). The gray, red and blue bands display the same quantity as their corresponding markers, but using a simulated data set where all nuisance parameters are set to their expected values.”

# EFT operators tZq/ttZ (TOP-21-001)

## Introduction:

- Model-independent parametrization of physics beyond the standard model, in the form of additional operators added to the SM Lagrangian.
- Studied 5 operators that affect t-Z coupling:

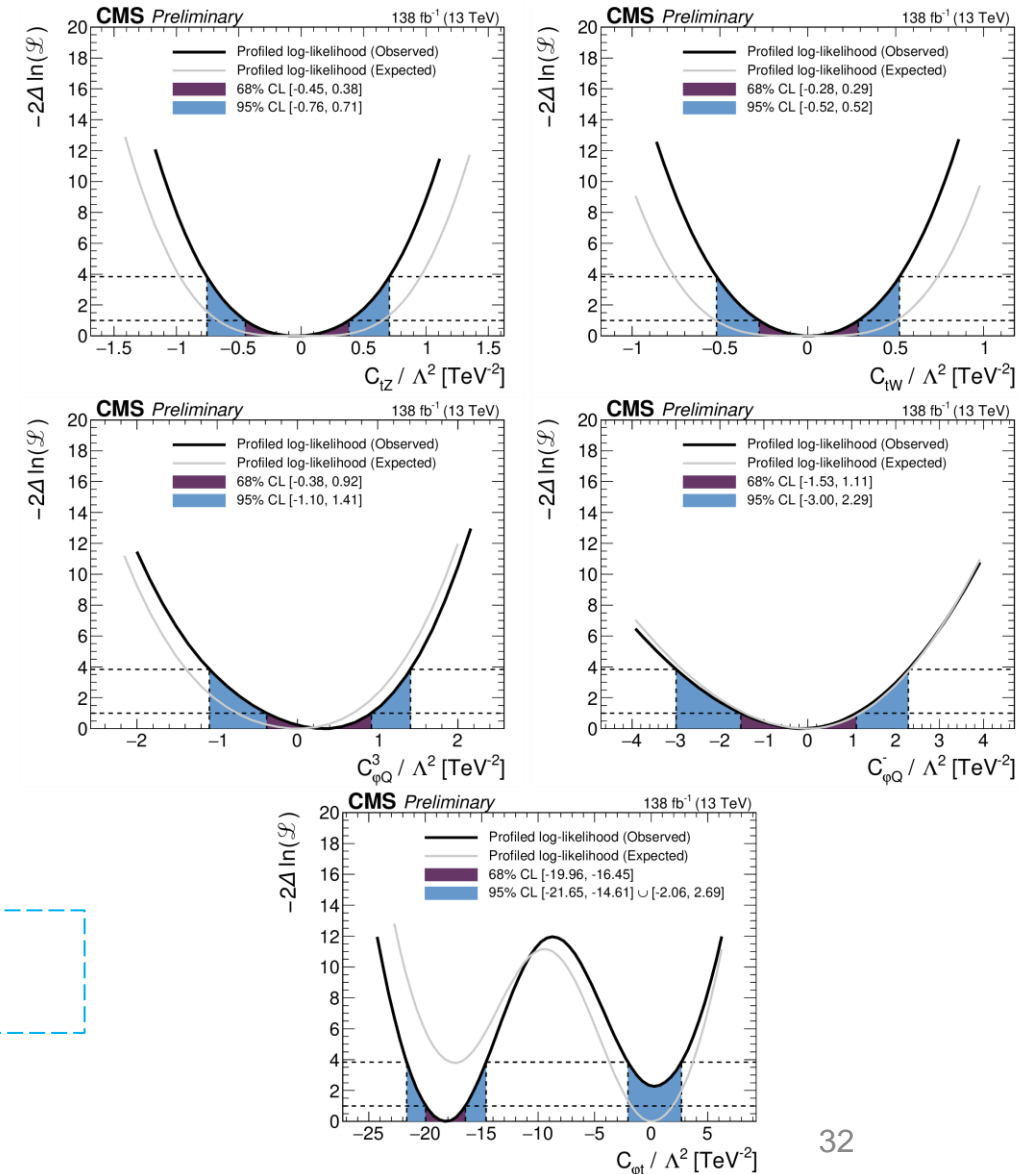
$$O_{tZ}, O_{tW}, O_{\varphi Q}^L, O_{\varphi Q}^-, O_{\varphi t}$$

## Analysis in a nutshell:

- event selection targeted at ttZ, tZq and tW, similar to tZq analysis but including 4-lepton channel.
- parametrized reweighting of signal samples to access every relevant phase space point.
- innovative machine learning techniques: multiclass neural network to separate ttZ and tZq from backgrounds, binary neural networks to distinguish EFT.
- binned maximum likelihood fit with Wilson coefficients as POIs (1D scans, 2D scans, 5D fit).

## Results:

SM values for all Wilson coefficients within 95% confidence interval.



# EFT operators tZq/ttZ (TOP-21-001)

Source	$c_{tZ}$	$c_{tW}$	$c_{\varphi Q}^3$	$c_{\varphi Q}^-$	$c_{\varphi t}$
tZq normalization	<0.1	<0.1	1.2	0.1	0.8
t $\bar{t}$ Z normalization	0.6	<0.1	0.4	37.2	38
tWZ normalization	0.1	0.1	<0.1	0.7	2.1
Background normalizations	<0.1	<0.1	6.9	3.6	6.8
NPL background estimation	1.4	0.2	5.6	0.3	3.8
Jet energy scale	<0.1	<0.1	0.8	0.7	2.3
Jet energy resolution	<0.1	<0.1	<0.1	<0.1	1.4
$p_T^{\text{miss}}$	<0.1	<0.1	<0.1	<0.1	0.2
b tagging	<0.1	<0.1	0.9	2.0	0.3
Other (experimental)	<0.1	<0.1	1.6	0.8	0.6
Lepton identification and isolation	0.4	0.4	1.2	2.2	0.8
Theory	2.1	1.1	0.4	0.9	0.9

statistically limited

systematically limited

“Impacts from different groups of sources of systematic uncertainty on each individual WC. To estimate the impact of a given group, the corresponding sources of systematic uncertainty are excluded, the 1D fits to the data are repeated, and the reduction in the width of the confidence interval is quoted for each WC in %.”

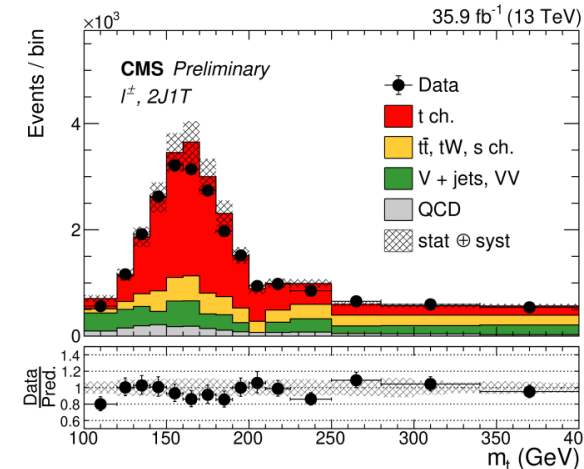
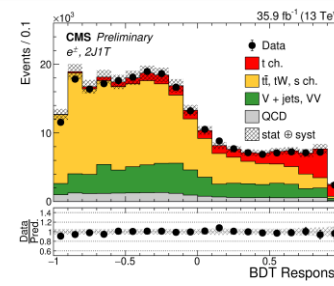
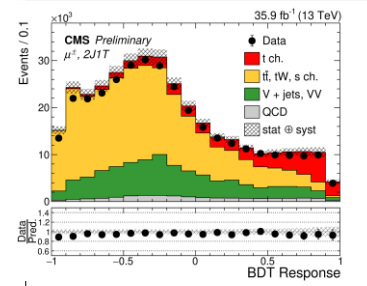
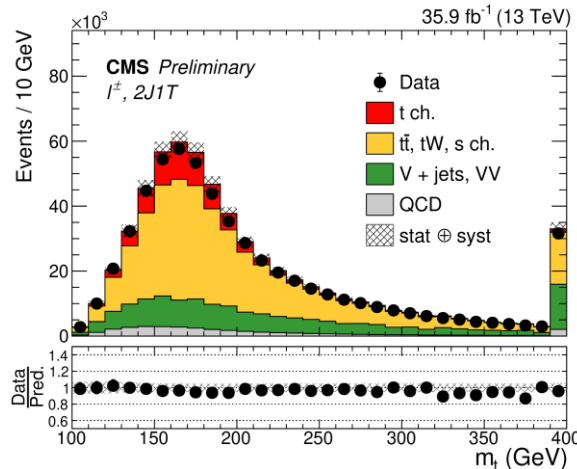
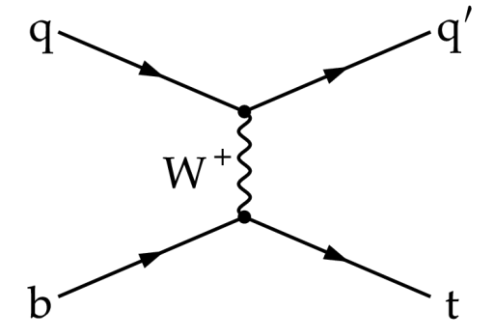
## Motivation:

- top quark mass is **important parameter of the standard model**.
- most precisely measured in  $t\bar{t}$  [1,2].
- **measurement in t-channel single top** provides complementary information (different phase space, different systematic effects).

## Analysis in a nutshell:

- single lepton trigger, **one isolated lepton** with  $p_T > 26/35$  GeV (muon/electron).
- **two jets** with  $p_T > 40$  GeV and  $|\eta| < 4.7$ , of which **one b-tagged jet**.
- reconstructed transverse W boson mass  $> 50$  GeV.
- **top quark mass reconstructed analytically** from lepton, b-tagged jet and  $\vec{p}_T^{\text{miss}}$ .
- **BDT** to purify signal region.

reference: [TOP-19-009](#)





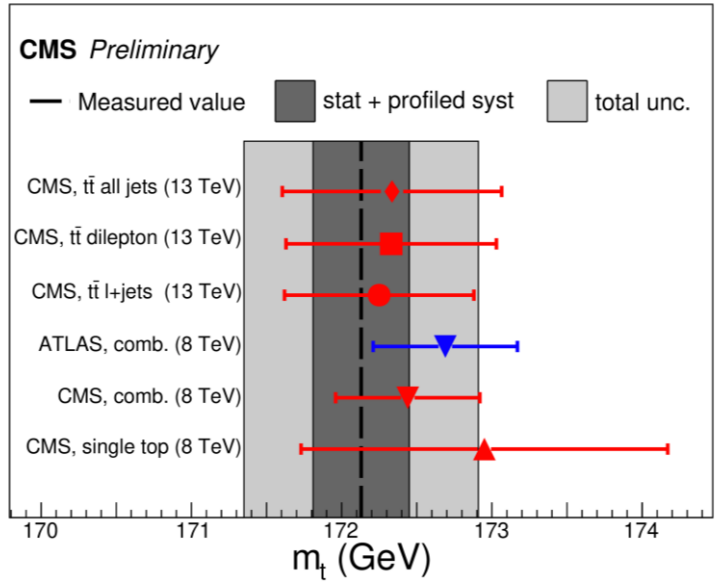
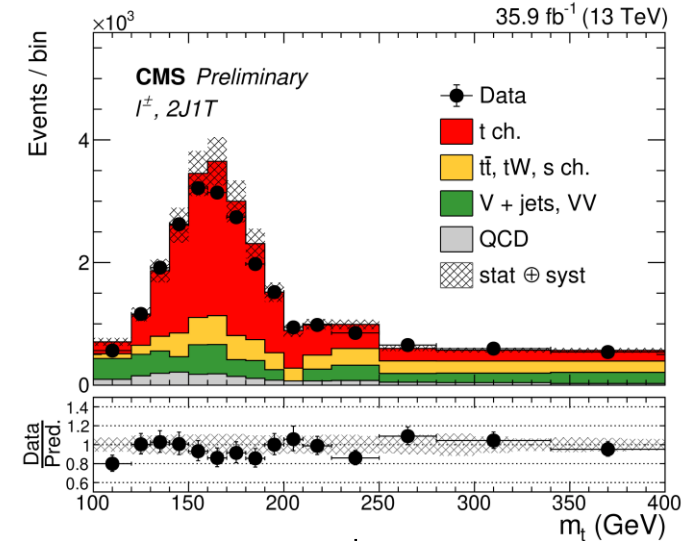
# top quark mass (TOP-19-009)

- Top quark mass extraction:
- fit to **logarithm of reconstructed top mass**.
  - **template shapes** fitted to MC simulation.
  - normalizations + **signal peak value left floating in the fit**.

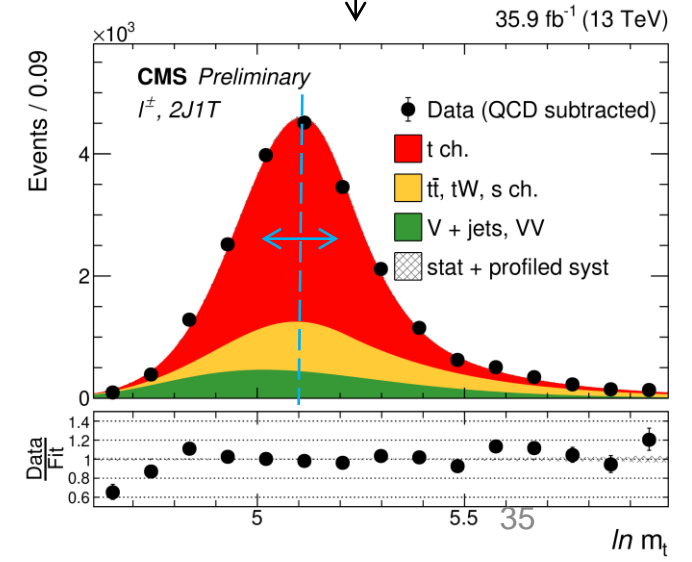
**Results:**

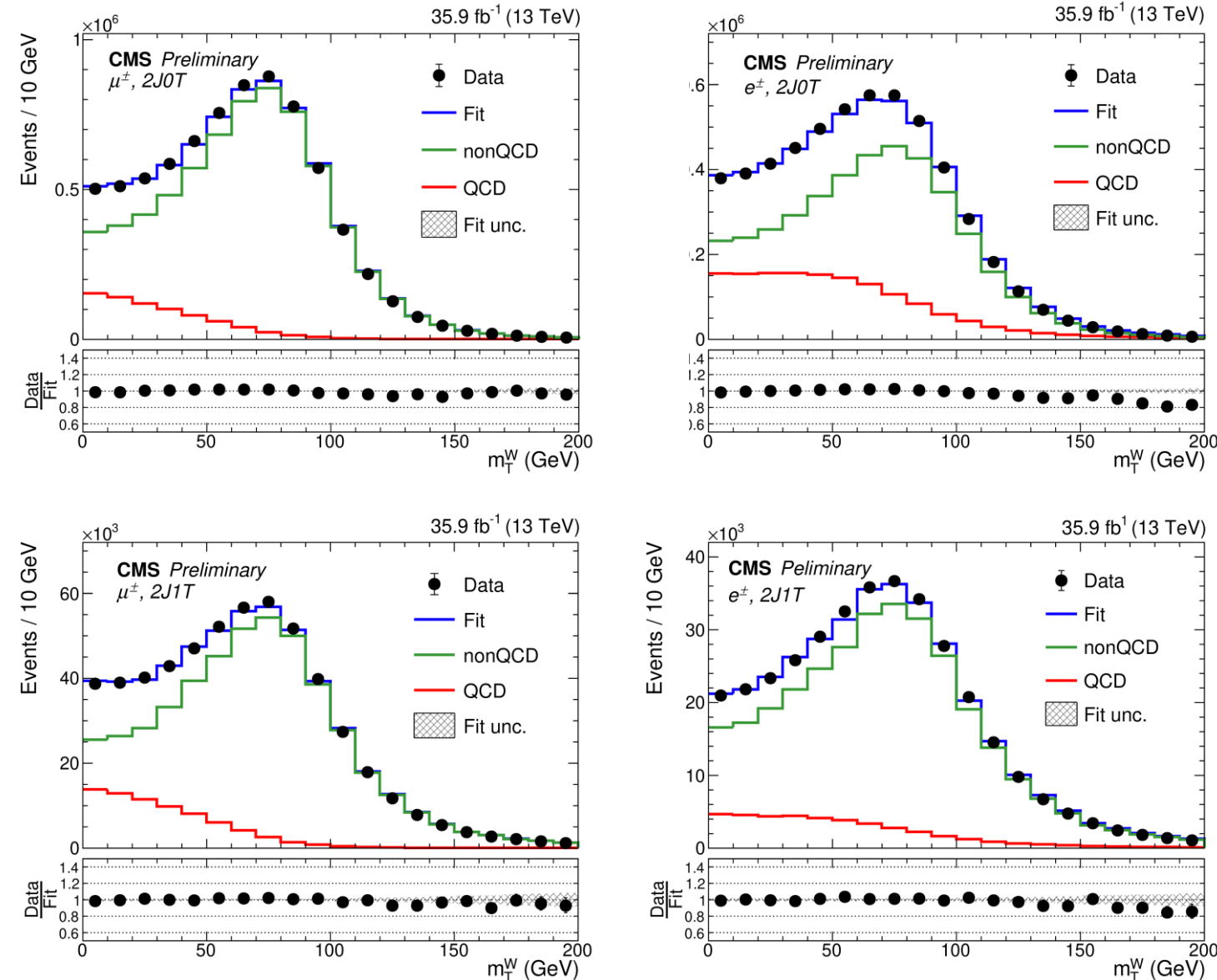
$m_t = 172.13 \pm 0.32$  (stat + prof)<sub>-igpi</sub><sup>digor</sup> (syst) =  $172.13$ <sub>-igpp</sub><sup>digpo</sup> GeV  
 $m_t = 172.62 \pm 0.37$  (stat + prof)<sub>-igon</sub><sup>digrp</sup> (syst) =  $172.62$ <sub>-ignp</sub><sup>djgim</sup> GeV  
 $m_t = 171.79 \pm 0.58$  (stat + prof)<sub>-jglr</sub><sup>djglk</sup> (syst) =  $171.79$ <sub>-jgnj</sub><sup>djgmm</sup> GeV

reference: [TOP-19-009](#)



- Dominating sources of systematic uncertainty:**
- jet energy corrections
  - signal modeling (mainly final-state radiation)





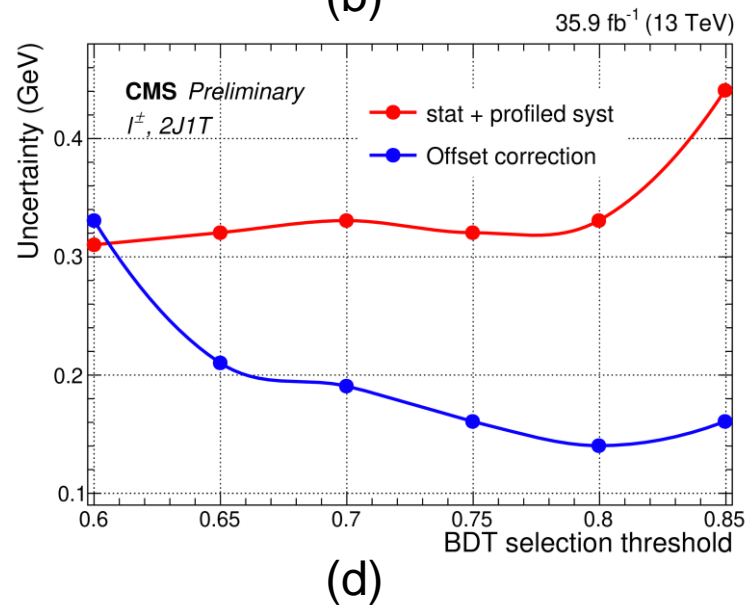
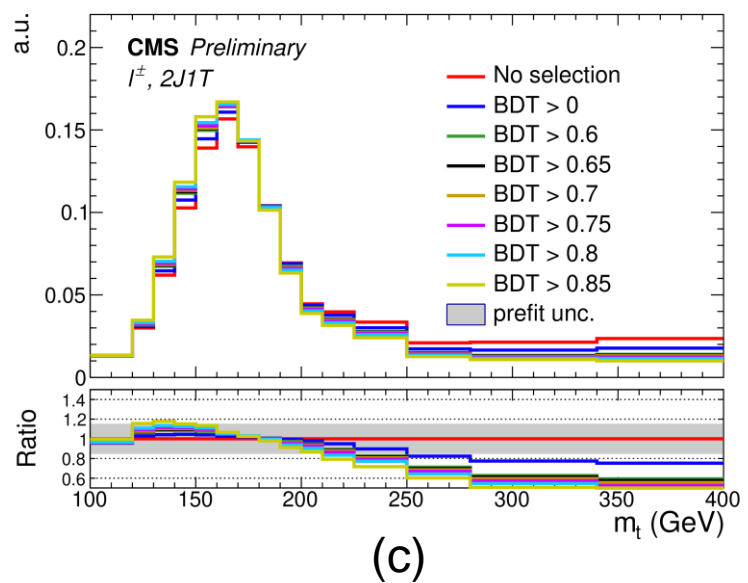
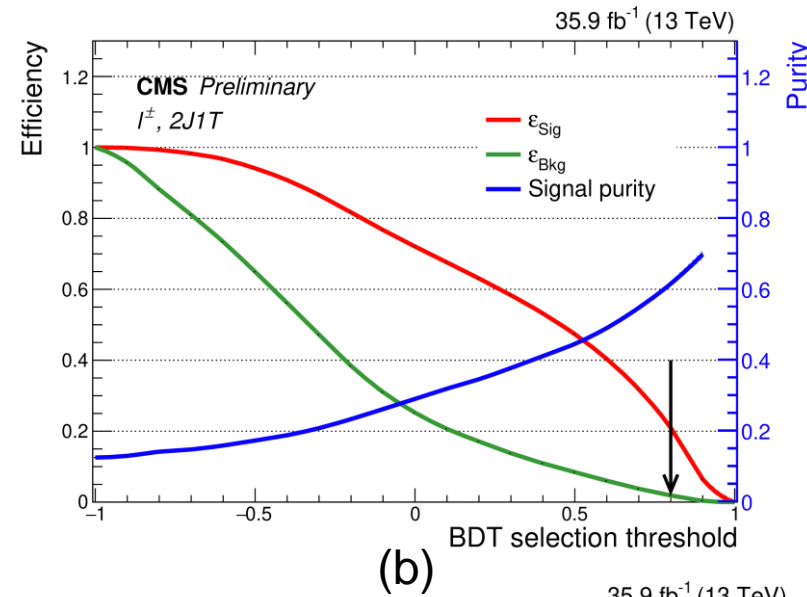
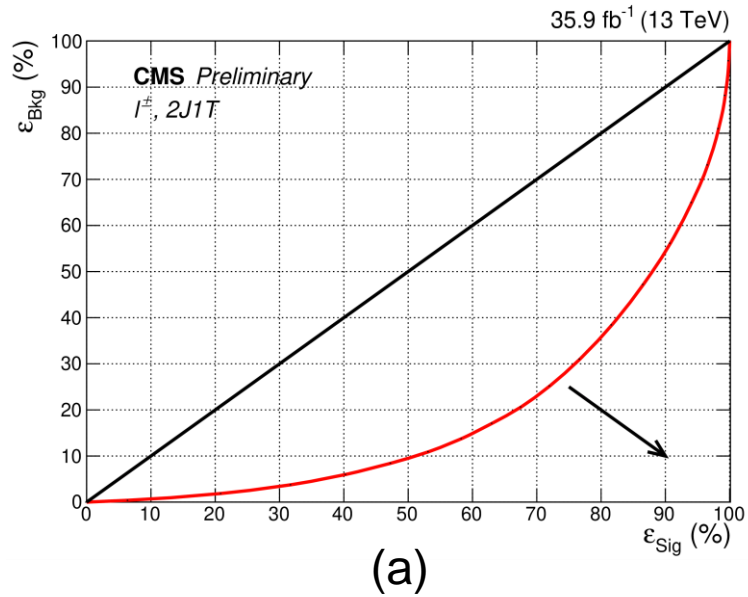
nonprompt background estimation from data:

- define sideband to signal region by requiring muon and electron to fail tight identification requirements  $\rightarrow$  enriched in QCD.
- derive QCD templates in this sideband by subtracting all non-QCD contributions (from MC simulation) from the data.
- calculate the normalization of these templates by fitting QCD template + non-QCD contributions (from MC simulation) to the data in the signal region, using transverse W boson mass.

figures:

“Figure 3: Postfit distributions of  $m_t^W$  for the muon (left) and electron (right) final state in the 2J0T (top) and 2J1T (bottom) event categories. The bands represent the postfit uncertainty on the  $m_t^W$  distribution predicted by the fit.”



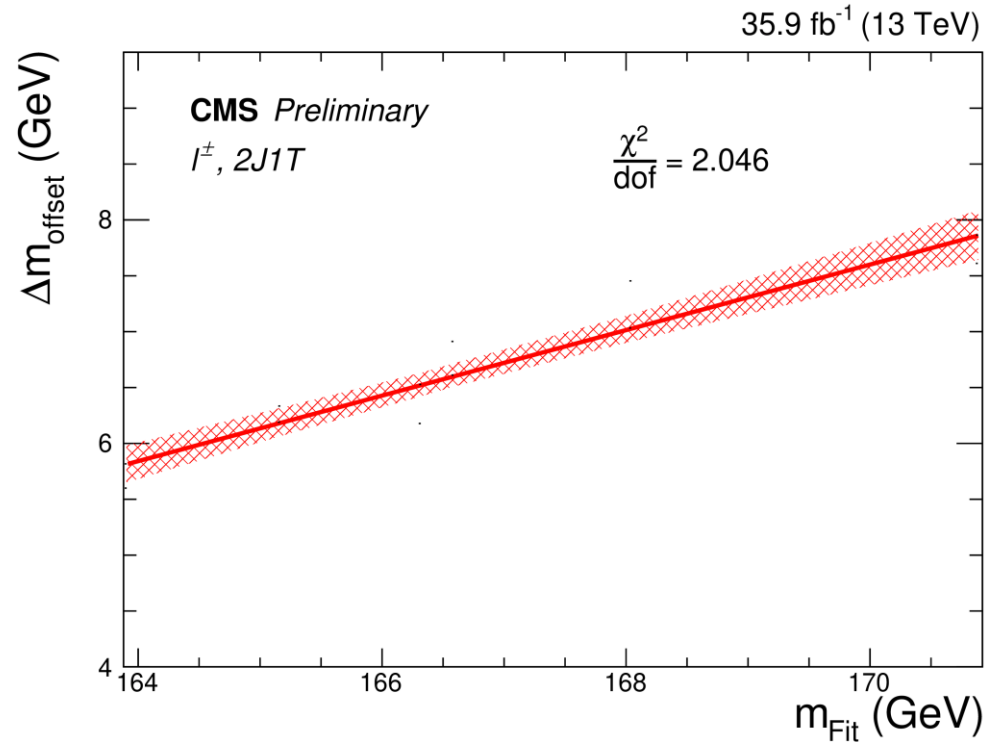
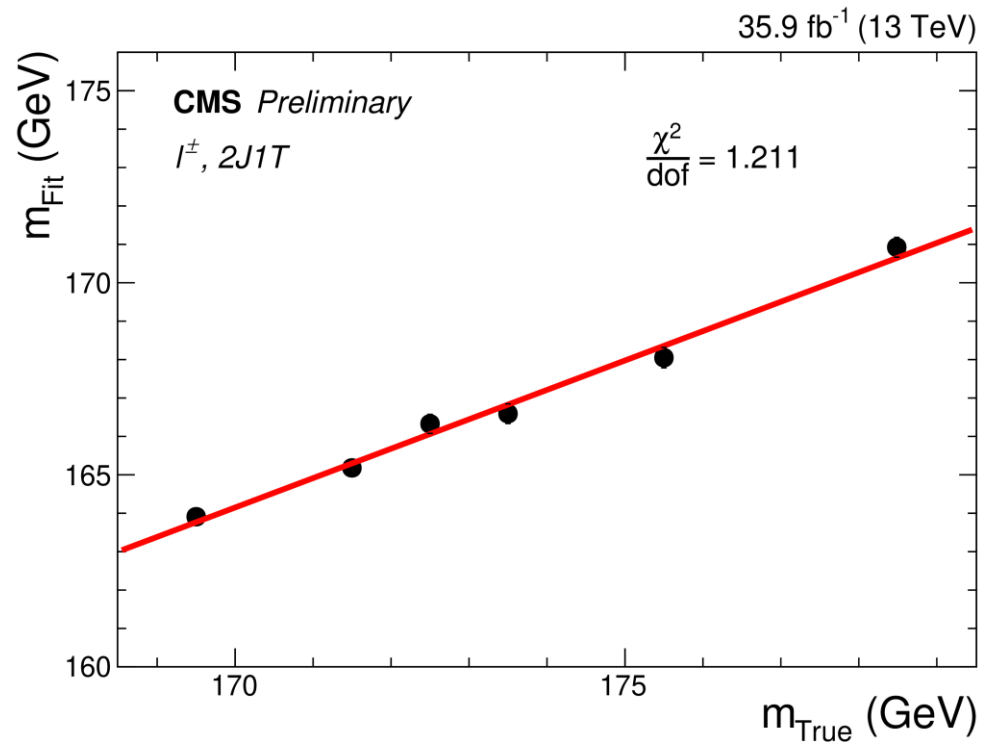


(a) ROC curve for the combined performance of the BDTs in muon and electron final states.

(b) Signal and background efficiencies + signal purity as a function of BDT selection threshold.

(c) Correlation study of BDT response to reconstructed top mass: comparison of normalized  $m_t$  distribution without BDT cut (red) and after several BDT cuts (other colors).

(d) Uncertainties on the mass measurement as a function of BDT selection threshold (evaluated using pseudoexperiments with simulated events).



“Test of the linearity of the fit output for different values of true  $m_t$  (left) and resulting offset correction derived as a function of the postfit mass (right) for events in the 2J1T category [...]. The shaded regions indicate  $\pm 1$  standard deviations about the central values defined by the red line.”

# top quark mass (TOP-19-009)

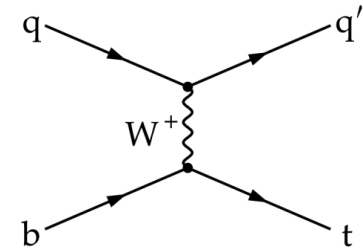
Source	$\delta m_{\ell\pm}$	$\delta m_{\ell+}$	$\delta m_{\ell-}$	
Statistical + profiled systematic	$\pm 0.32$	$\pm 0.37$	$\pm 0.58$	
JES	Correlation Group Intercalibration	$\pm 0.09$	$\pm 0.07$	$\pm 0.12$
	Correlation Group MPFIInSitu	$\pm 0.02$	$\pm 0.02$	$\pm 0.01$
	Correlation Group Uncorrelated	$\pm 0.39$	$\pm 0.17$	$\pm 0.83$
	total (quadrature sum)	$\pm 0.40$	$\pm 0.18$	$\pm 0.84$
JER	$< \pm 0.01$	$< \pm 0.01$	$< \pm 0.01$	
Unclustered energy	$< \pm 0.01$	$< \pm 0.01$	$< \pm 0.01$	
Muon efficiencies	$< \pm 0.01$	$< \pm 0.01$	$< \pm 0.01$	
Electron efficiencies	$\pm 0.01$	$\pm 0.01$	$\pm 0.01$	
Pileup	$\pm 0.14$	$\pm 0.04$	$\pm 0.34$	
b tagging	$\pm 0.20$	$\pm 0.18$	$\pm 0.22$	
QCD multijet background	$\pm 0.02$	$\pm 0.01$	$\pm 0.02$	
Offset correction	$\pm 0.11$	$\pm 0.13$	$\pm 0.20$	
Luminosity	$< \pm 0.01$	$< \pm 0.01$	$\pm 0.01$	
CR model and ERD	$\pm 0.24$ (0.017)	$\pm 0.39$ (0.027)	$\pm 0.68$ (0.048)	
Flavor-dependent JES	gluon	+0.52	+0.75	-0.03
	light quark (uds)	-0.18	+0.18	-0.23
	charm	+0.01	+0.08	+0.11
	bottom	-0.48	-0.29	-0.31
	total (linear sum)	-0.13	+0.72	-0.46
b quark hadronization model	b frag. Bowler-Lund	$\pm 0.03$	$\pm 0.06$	$\pm 0.08$
	b frag. Peterson	$\pm 0.14$	$\pm 0.11$	$\pm 0.19$
	semileptonic B decays	$\pm 0.18$	$\pm 0.17$	$\pm 0.19$
	total (quadrature sum)	$^{+0.23}_{-0.18}$	$^{+0.21}_{-0.18}$	$^{+0.28}_{-0.21}$
Signal modeling	ISR	$\pm 0.01$	$\pm 0.01$	$< \pm 0.01$
	FSR	$\pm 0.28$	$\pm 0.31$	$\pm 0.20$
	$\mu_R/\mu_F$ scale	$\pm 0.09$	$\pm 0.13$	$\pm 0.03$
	PDF + $\alpha_S$	$\pm 0.06$	$\pm 0.06$	$\pm 0.07$
total (quadrature sum)	$\pm 0.30$	$\pm 0.34$	$\pm 0.21$	
$t\bar{t}$ modeling	ISR	$\pm 0.11$ (0.008)	$\pm 0.02$ (0.001)	$\pm 0.22$ (0.016)
	FSR	$\pm 0.10$ (0.007)	$\pm 0.14$ (0.010)	$\pm 0.40$ (0.028)
	ME/PS matching scale	$\pm 0.10$ (0.007)	$\pm 0.10$ (0.006)	$\pm 0.10$ (0.008)
	$\mu_R/\mu_F$ scale	$\pm 0.03$	$\pm 0.03$	$\pm 0.01$
	PDF + $\alpha_S$	$< \pm 0.01$	$< \pm 0.01$	$< \pm 0.01$
	Top $p_T$ - reweighting	-0.04	-0.08	-0.04
	Underlying event	$\pm 0.07$ (0.005)	$\pm 0.04$ (0.003)	$\pm 0.17$ (0.012)
	total (quadrature sum)	$\pm 0.20$	$^{+0.18}_{-0.20}$	$\pm 0.50$
Signal and background shape	signal shape	$\pm 0.05$	$\pm 0.03$	$\pm 0.04$
	Top bkg. shape	$\pm 0.07$	$\pm 0.04$	$\pm 0.05$
	EWK bkg. shape	$\pm 0.03$	$\pm 0.01$	$\pm 0.02$
	total (quadrature sum)	$\pm 0.09$	$\pm 0.05$	$\pm 0.07$
Total systematic	$^{+0.69}_{-0.71}$	$^{+0.97}_{-0.65}$	$^{+1.32}_{-1.39}$	
Grand total	$^{+0.76}_{-0.77}$	$^{+1.04}_{-0.75}$	$^{+1.44}_{-1.51}$	

“Summary of systematic uncertainties in GeV corresponding to final state lepton charge inclusive and exclusive cases as discussed in Section 7. With the exception of the flavor-dependent JES sources, the total systematic uncertainty is obtained from the sum in quadrature of the individual systematic source. The statistical uncertainties on the systematic shifts are quoted within parentheses whenever alternative simulated samples with systematic variations have been used. These statistical uncertainties are determined from 1000 pseudoexperiments in each case.”

# differential t-channel single top (TOP-17-023)

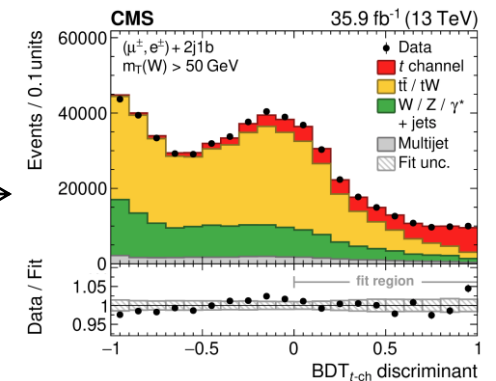
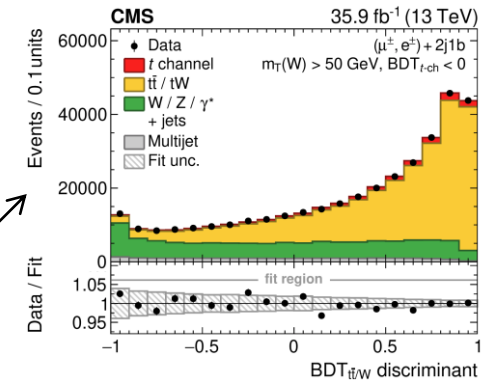
## Analysis in a nutshell:

- single electron and single muon trigger.
- 1 electron/muon.
- 2 jets, 1 b jet.
- control regions with different number of (b-) jets.
- top quark candidate reconstructed analytically using W boson mass constraint.
- background from QCD multijet *estimated from data*.
- trained 2 BDT's:
  - signal vs. all backgrounds ( $BDT_{t\text{-channel}}$ )
  - $t\bar{t}$  vs. W+jets ( $BDT_{t\bar{t}/W}$ )



## Fit procedure:

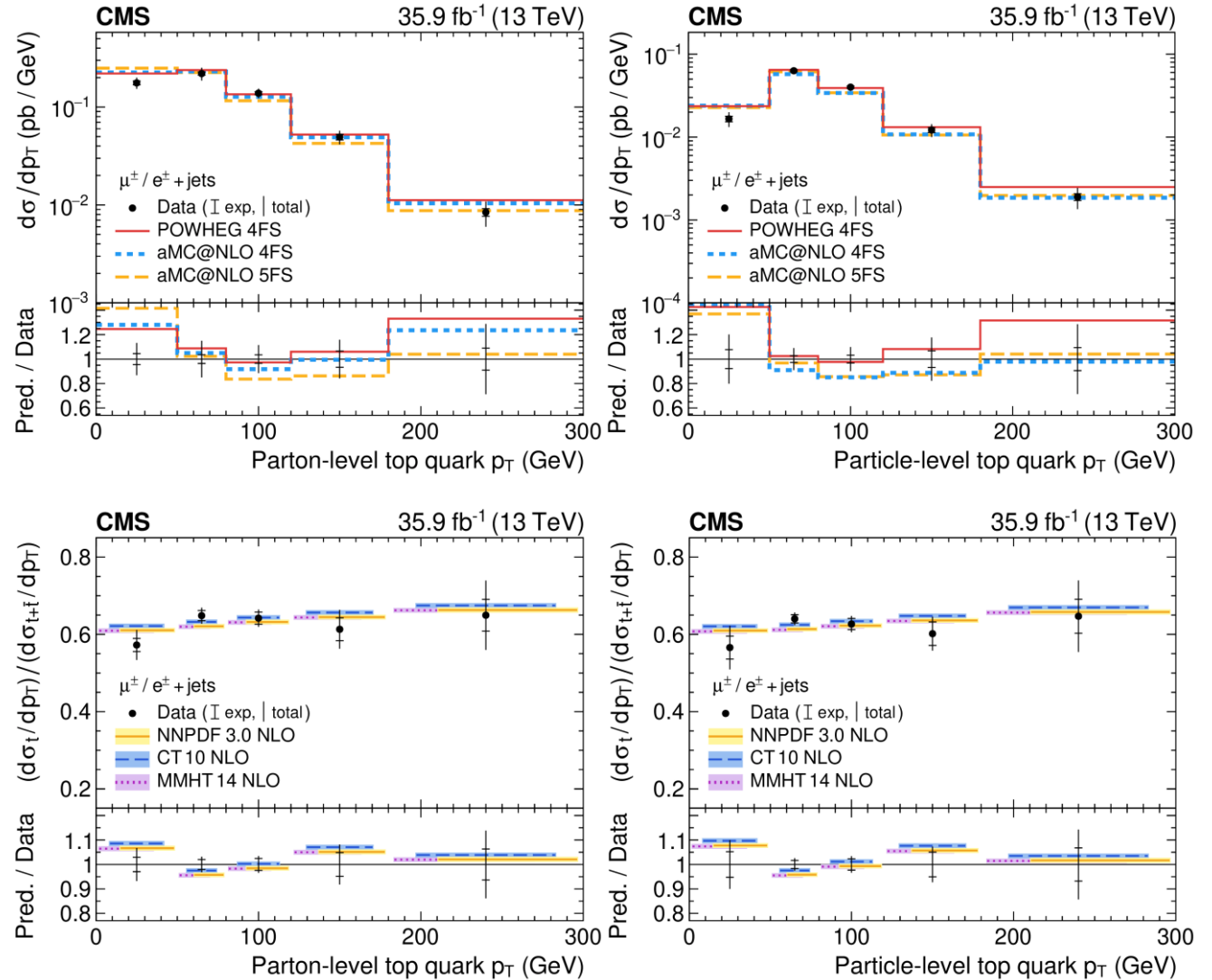
- signal region divided in 3 categories:
  - $m_T(W) < 50$  GeV  $\rightarrow$  fit  $m_T(W)$  distribution.
  - $m_T(W) > 50$  GeV and  $BDT_{t\text{-channel}} < 0 \rightarrow$  fit  $BDT_{t\bar{t}/W}$  distribution.
  - $m_T(W) > 50$  GeV and  $BDT_{t\text{-channel}} > 0 \rightarrow$  fit  $BDT_{t\text{-channel}}$  distribution.
- $m_T(W)$  distribution in 3j2b control region included as well
- split per lepton charge and flavour  $\rightarrow$  16 distributions fitted simultaneously.
- for differential measurement: split per observable bin.



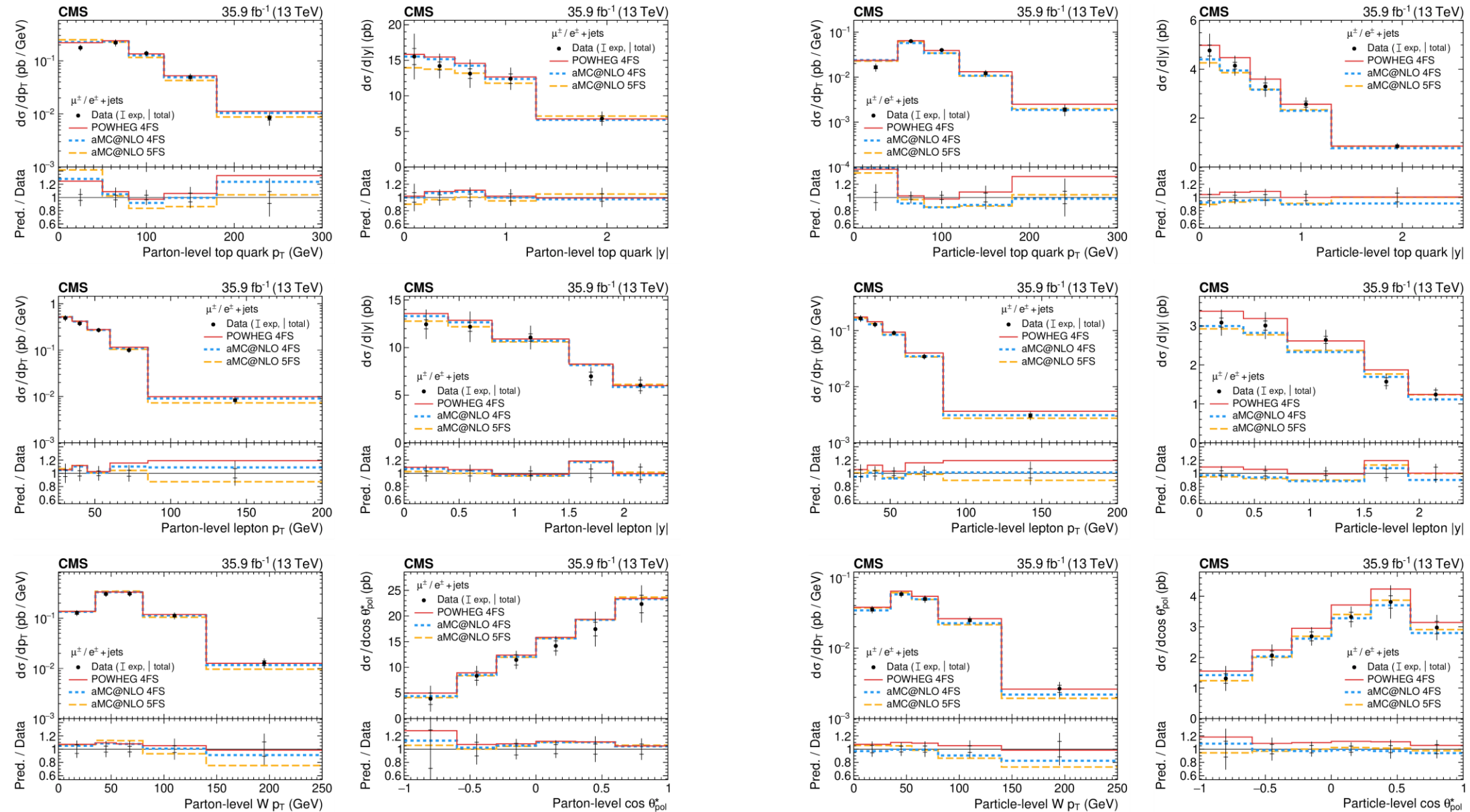
# differential t-channel single top (TOP-17-023)

## Results:

- differential cross-sections at parton and particle level, absolute and normalized.
- observables:
  - $p_T$  and  $y$  of top quark
  - $p_T$  and  $y$  of lepton
  - $p_T$  of W boson
  - top quark polarization angle.
- differential  $\sigma_t/\sigma_{t+\bar{t}}$  distributions for the same observables.
- no appreciable deviations from standard model predictions.

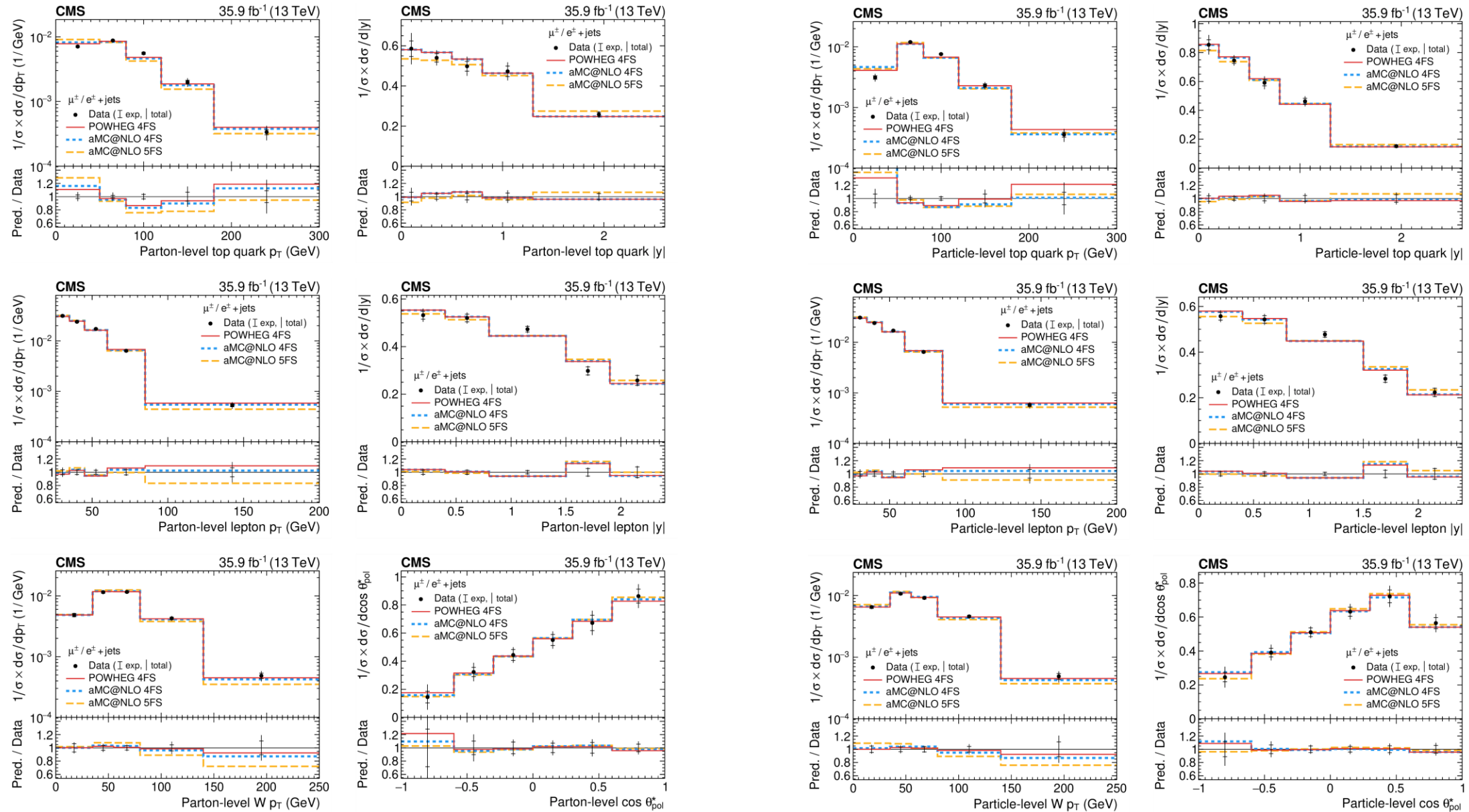


# differential t-channel single top (TOP-17-023)

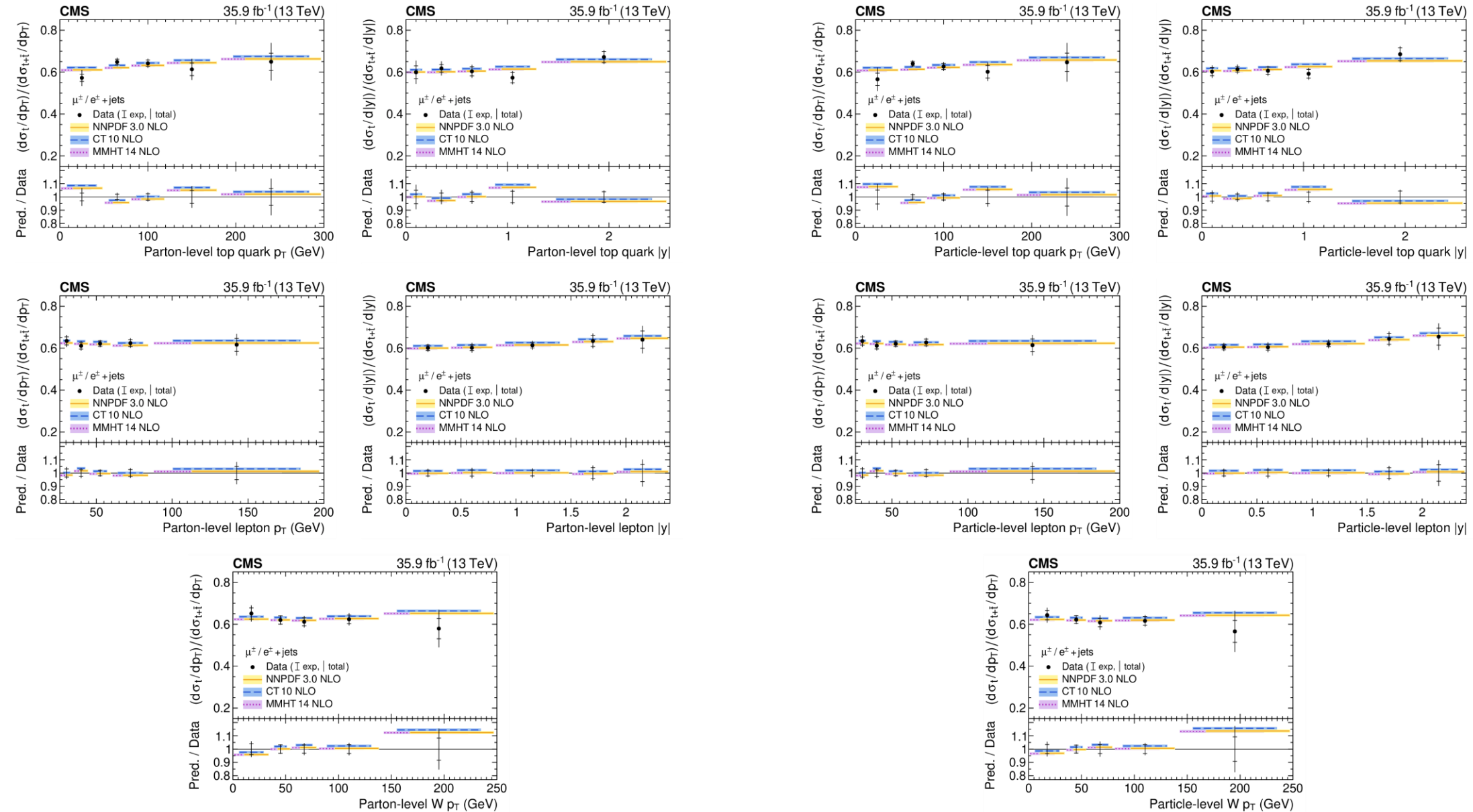




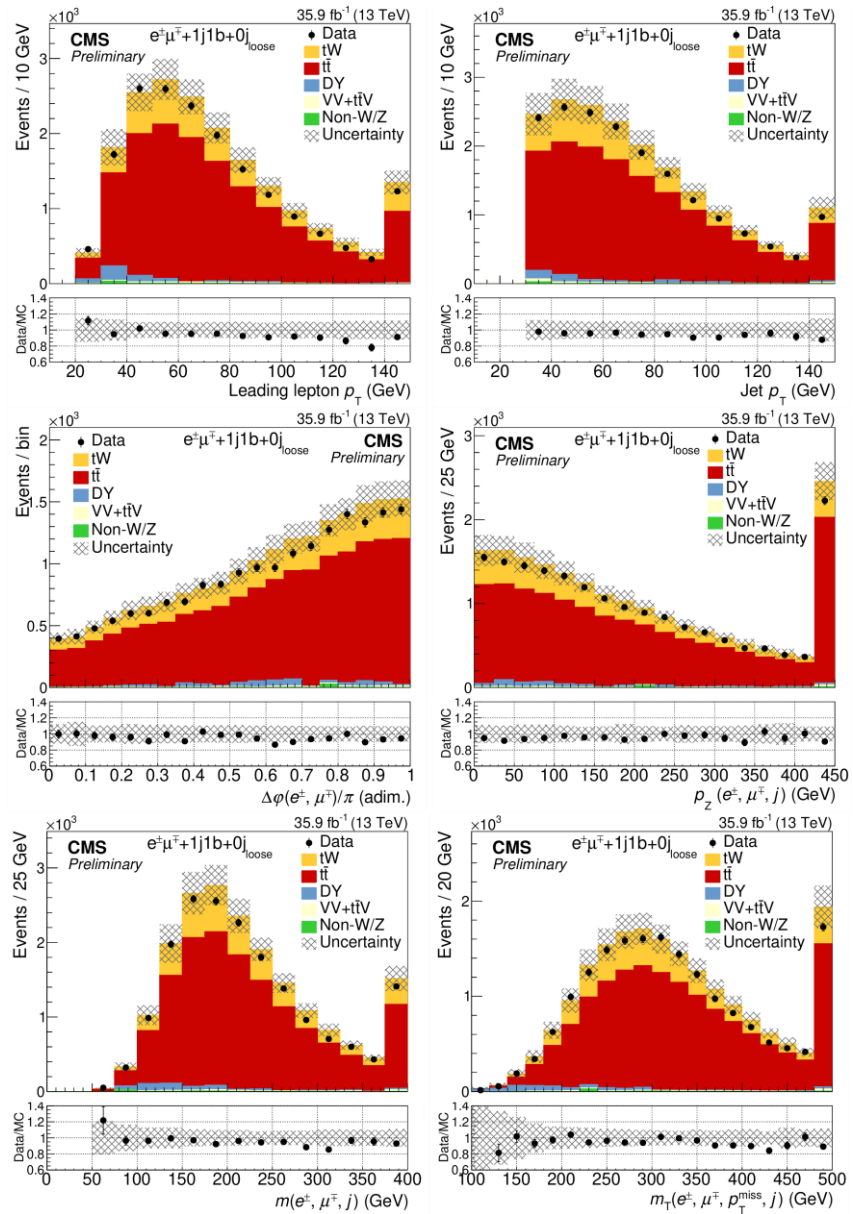
# differential t-channel single top (TOP-17-023)



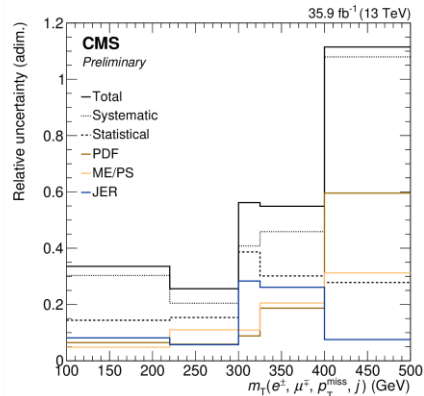
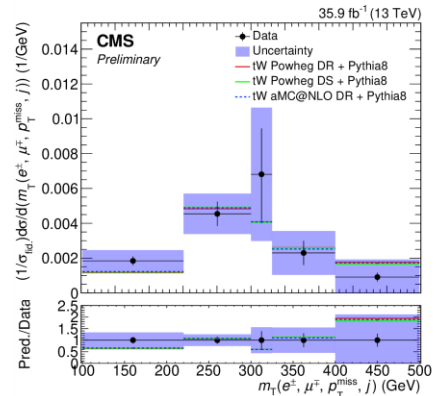
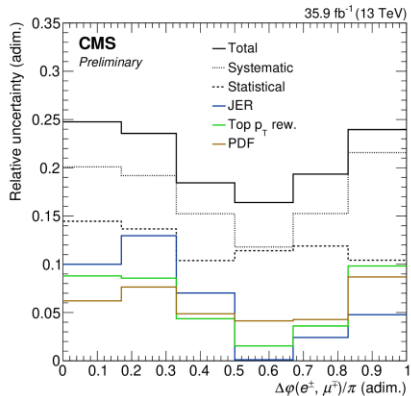
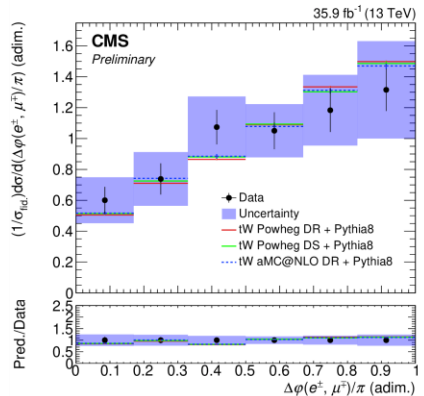
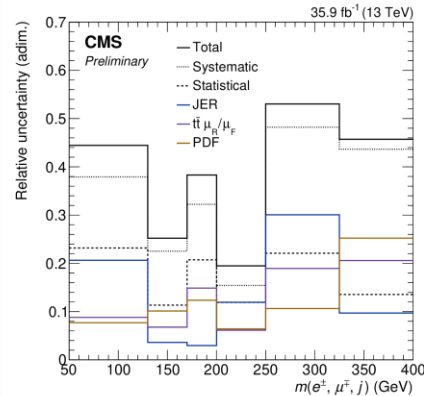
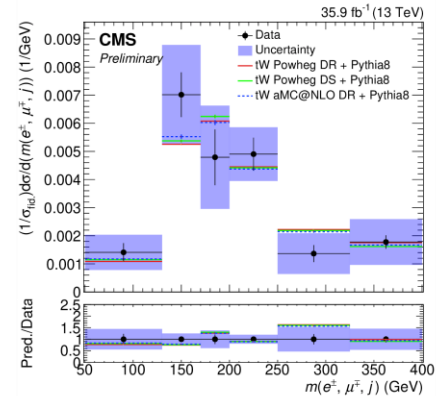
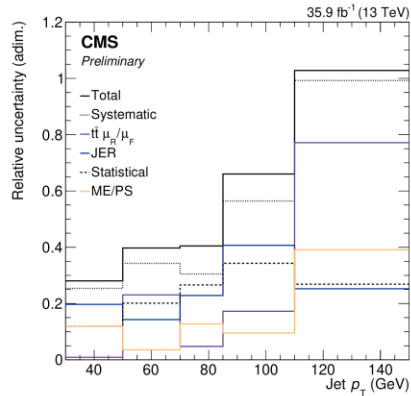
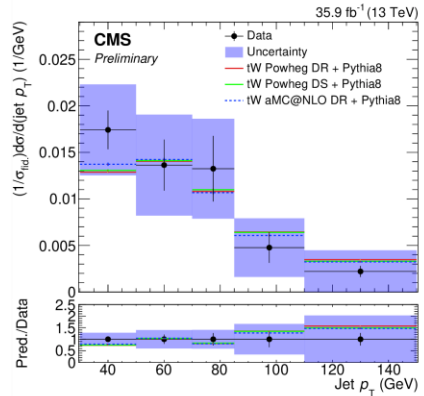
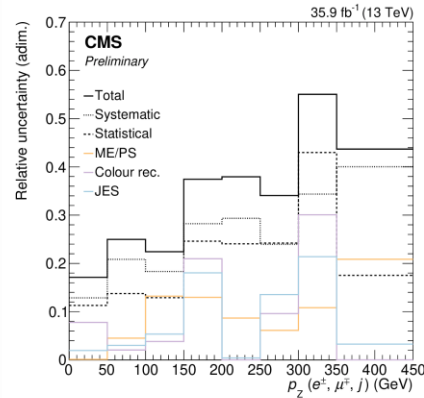
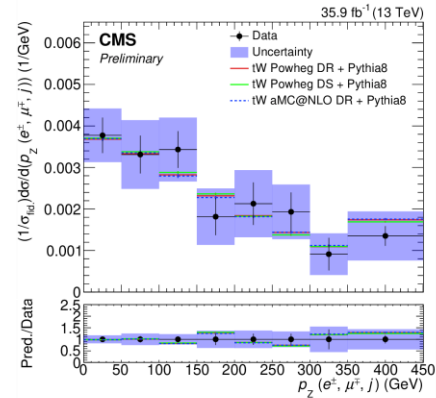
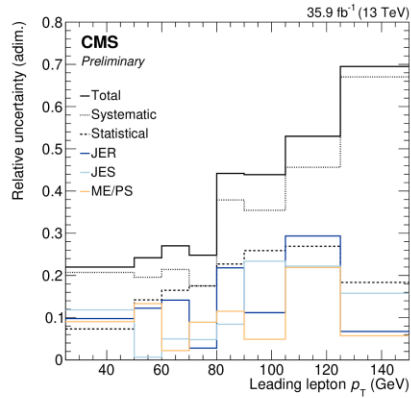
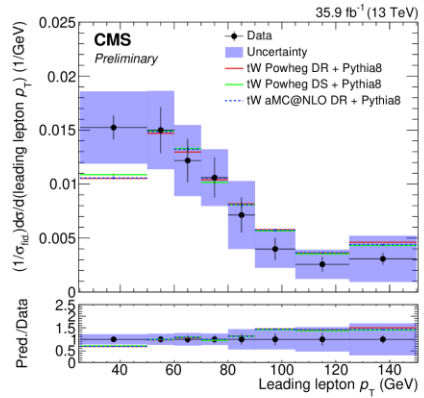
# differential t-channel single top (TOP-17-023)



# differential tW (TOP-19-003)



# differential tW (TOP-19-003)



## Appendix: explicit searches for new physics involving single top quarks

### References:

- “Search for flavor-changing neutral current interactions of the top quark and the Higgs boson in the diphoton decay channel in proton-proton collisions at  $\sqrt{s} = 13$  TeV”, [TOP-20-007](#)
- “Search for charged lepton flavor violation in top quark production and decay in proton-proton collisions at  $\sqrt{s} = 13$  TeV”, [TOP-19-006](#)

# FCNC in t-H interactions (TOP-20-007)

## Motivation:

- FCNC forbidden at tree-level in SM, but **enhanced in many BSM** scenarios.
- strongest enhancement for **Higgs boson as mediator**.

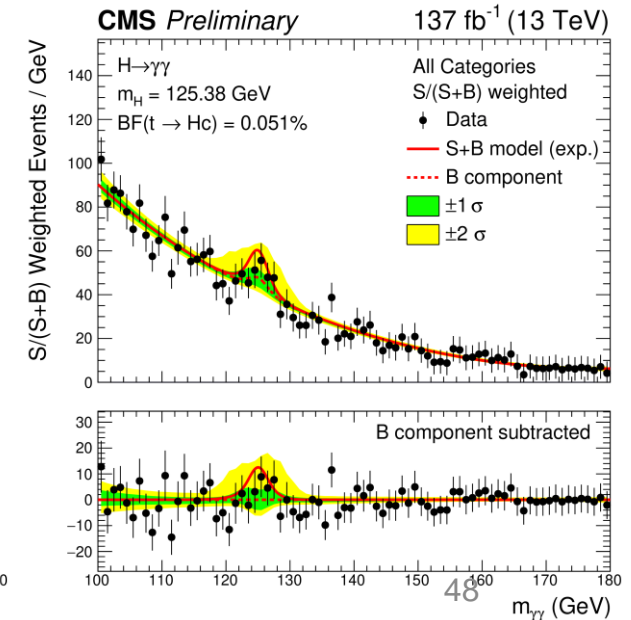
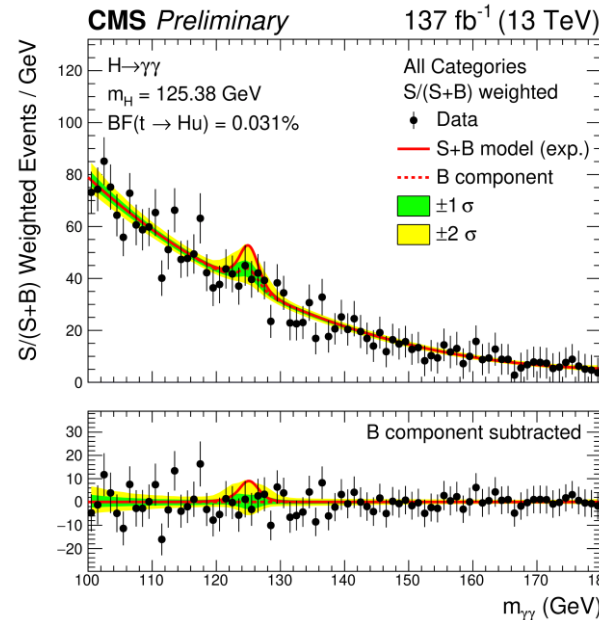
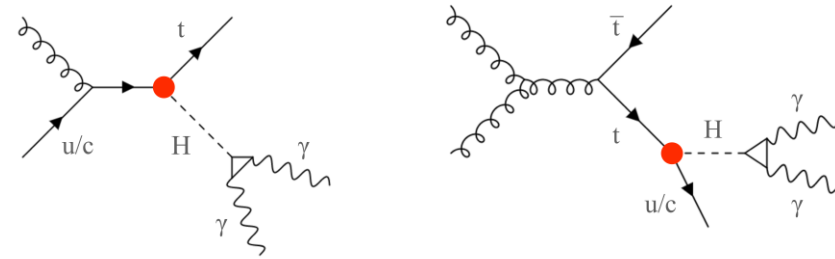
## Analysis in a nutshell:

- signal samples with tHu or tHc vertex enabled.
- double-photon trigger.
- events with **two photons** satisfying BDT identification and  $120 \text{ GeV} < m_{\gamma\gamma} < 130 \text{ GeV}$ .
- **leptonic channel**:  $\geq 1$  jet,  $\geq 1$  isolated lepton.
- **hadronic channel**:  $\geq 3$  jet,  $\geq 1$  b-tagged jet, no isolated leptons.

## Fit strategy:

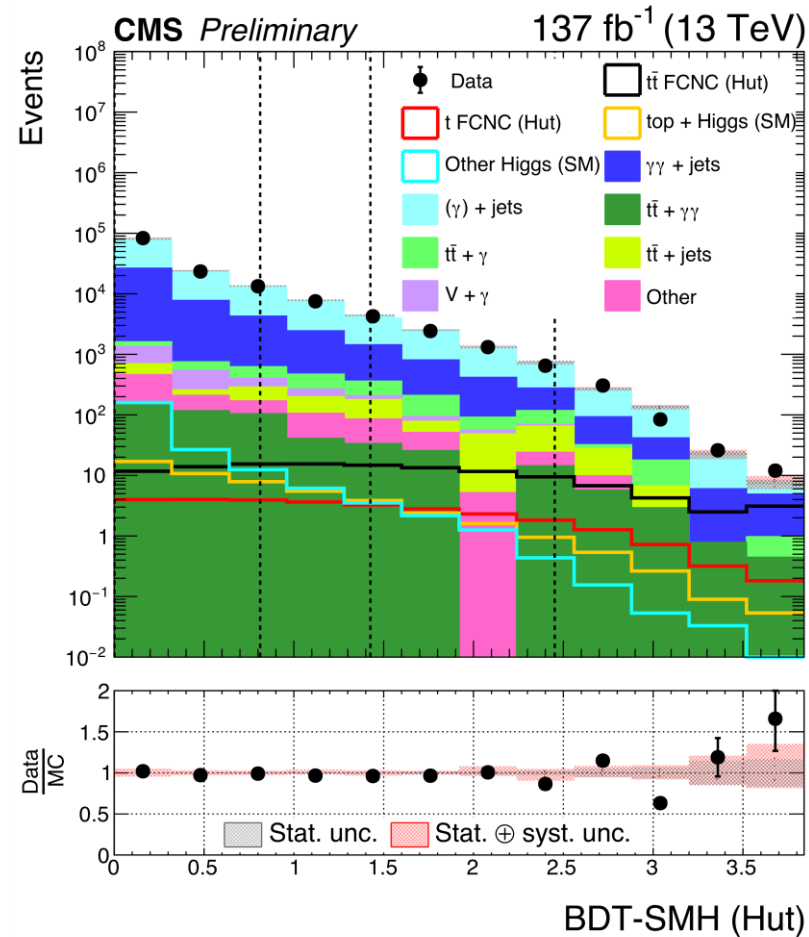
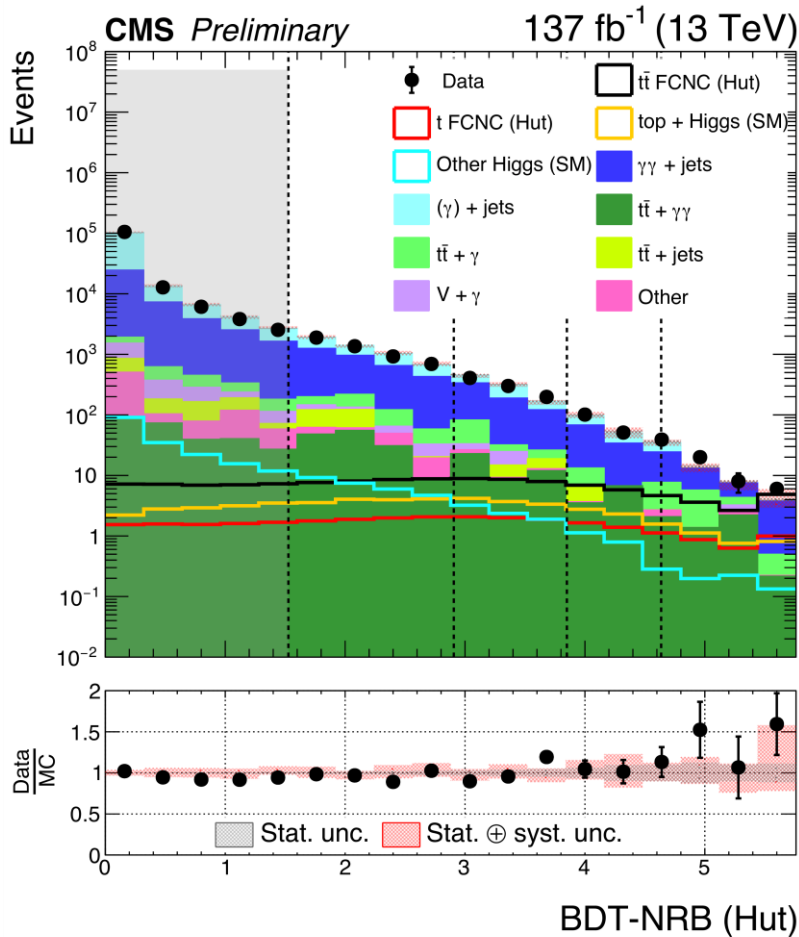
- **BDTs** against resonant background (real higgs) and nonresonant background.
- 14 regions based on BDT output scores.
- simultaneous fit for **95% modified frequentist confidence limit**.

**Results:** no excess over background-only hypothesis,  
 $B(t \rightarrow Hu) < 1.9 \times 10^{-4}$ ,  $B(t \rightarrow Hc) < 7.3 \times 10^{-4}$   
 (strongest limits up to now)





# FCNC in t-H interactions (TOP-20-007)



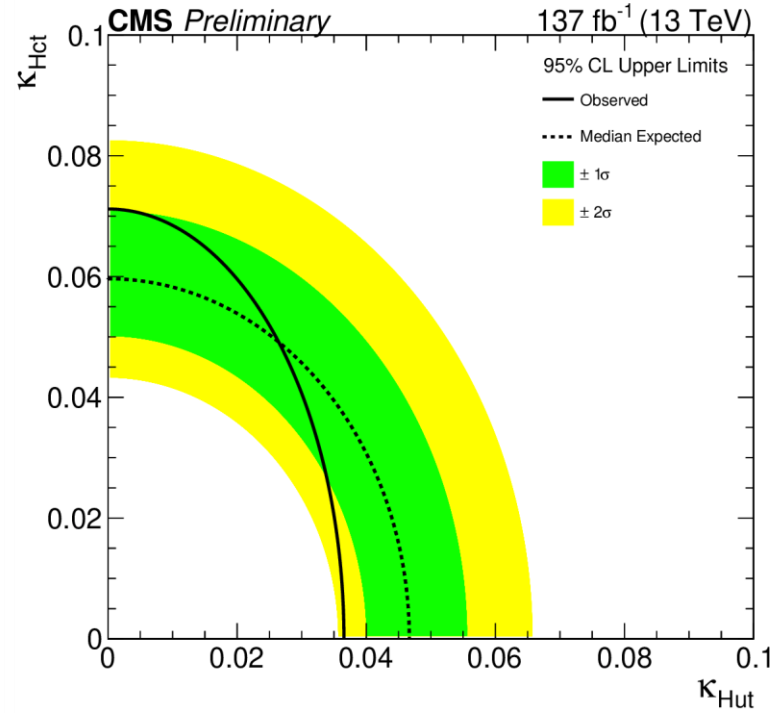
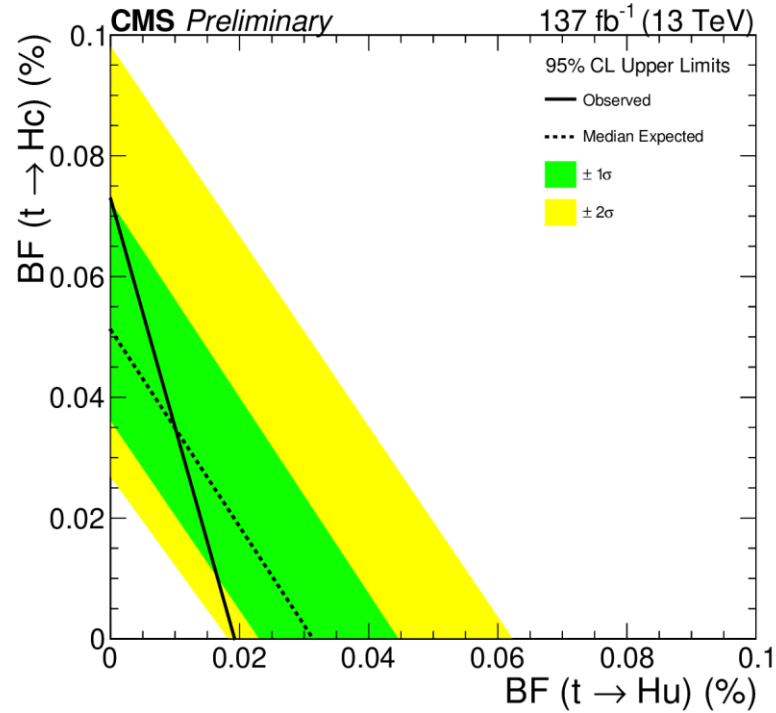
- separate BDT against nonresonant background (NRB) and standard-model-higgs (SMH).
- separate BDT in each channel (semileptonic vs. hadronic).
- separate BDT for each coupling (Hut vs. Hct).

→ 8 BDTs in total

- output scores for BDT-NRB and BDT-SMH are grouped into 7 categories.
- this gives 14 categories in total (semileptonic + hadronic).
- $m_{\gamma\gamma}$  distributions in 14 categories are fitted simultaneously.

“Distributions of BDT-NRB (left) and BDT-SMH (right) output used for the event categorization targeting  $t \rightarrow H_u$  FCNC interactions in the hadronic channel. The “Other” category includes contributions from  $ttZ$ ,  $ttW$ ,  $WW$ ,  $WZ$ ,  $ZZ$ , and  $t + \gamma + jets$ . Category boundaries are indicated with dotted lines. [...]”

# FCNC in t-H interactions (TOP-20-007)



Limits on branching fractions:

$$B(t \rightarrow Hu) < 1.9 \times 10^{-4}$$

$$B(t \rightarrow Hc) < 7.3 \times 10^{-4}$$

Compare to earlier published results [1,2,3]:

$$B(t \rightarrow Hu) < 1.2 \times 10^{-3}$$

$$B(t \rightarrow Hc) < 1.1 \times 10^{-3}$$

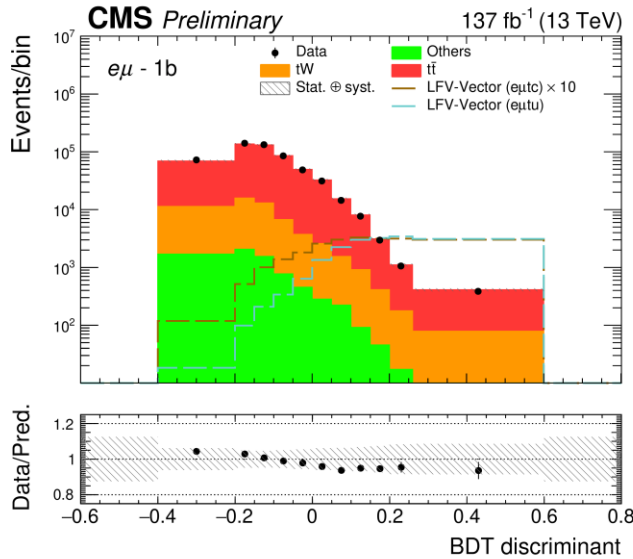
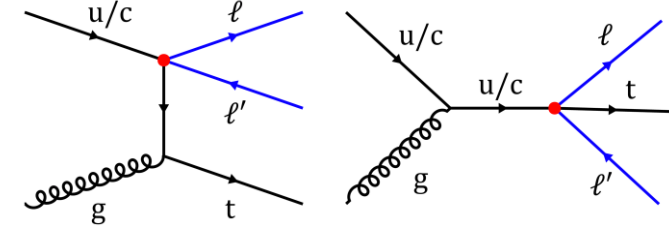
Coupling is related to branching fraction by:

$$\kappa_{Hqt}^2 = \mathcal{B}(t \rightarrow Hq) \frac{\Gamma_t}{\Gamma_{Hqt}}$$

# CLFV in top sector (TOP-19-006)

## Motivation:

- CLFV allowed in SM via neutrino oscillations but heavily suppressed.
- **Experimental hints of CLFV in B-sector** → possibly also in top quark decays!

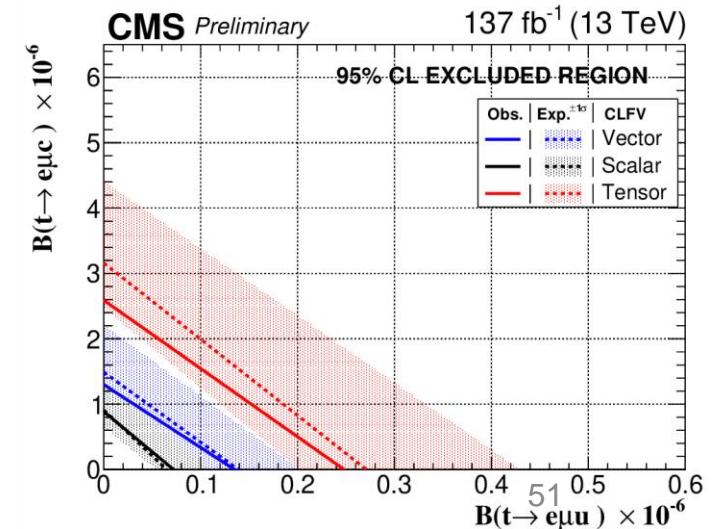
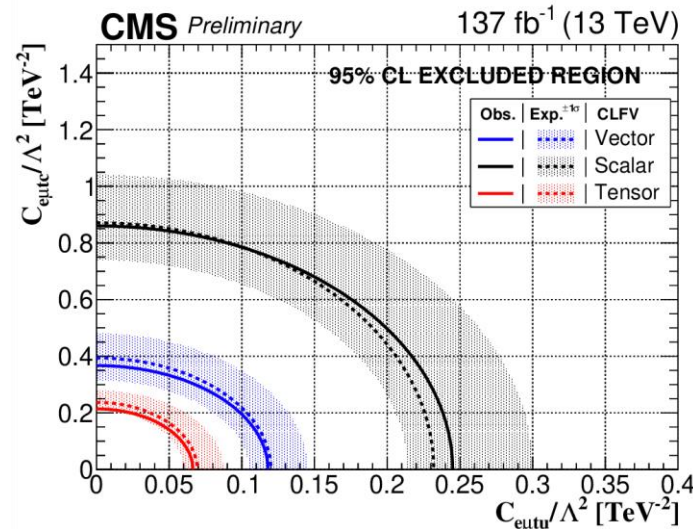


## Analysis in a nutshell:

- combination of single  $e$ , single  $\mu$  and  $e\mu$  triggers.
- **one electron and one muon with opposite charge.**
- one b-tagged jet.
- **BDT** to separate signal from  $t\bar{t}$  background.
- binned maximum likelihood fit on signal region +  $t\bar{t}$  control region.

## Results:

- no excess over background-only hypothesis.
- limits on branching fractions  $B(t \rightarrow e\mu u)$  and  $B(t \rightarrow e\mu c)$ , most restrictive bounds to date.



In the Warsaw basis of EFT operators, the ones that give rise to CLFV in top quark processes are:

$$O_{lq}^{(3)ijkl} = (\bar{l}_i \gamma^\mu \tau^I l_j) (\bar{q}_k \gamma^\mu \tau^I q_l),$$

$$O_{lq}^{(1)ijkl} = (\bar{l}_i \gamma^\mu l_j) (\bar{q}_k \gamma^\mu q_l),$$

$$O_{lu}^{ijkl} = (\bar{l}_i \gamma^\mu l_j) (\bar{u}_k \gamma^\mu u_l),$$

$$O_{eq}^{ijkl} = (\bar{e}_i \gamma^\mu e_j) (\bar{q}_k \gamma^\mu q_l),$$

$$O_{eu}^{ijkl} = (\bar{e}_i \gamma^\mu e_j) (\bar{u}_k \gamma^\mu u_l),$$

$$O_{lequ}^{(1)ijkl} = (\bar{l}_i e_j) \varepsilon (\bar{q}_k u_l),$$

$$O_{lequ}^{(3)ijkl} = (\bar{l}_i \sigma^{\mu\nu} e_j) \varepsilon (\bar{q}_k \sigma_{\mu\nu} u_l),$$

simplified to:

$$O_{\text{vector}} = O_{lq} + O_{lu} + O_{eq} + O_{eu},$$

$$O_{\text{scalar}} = O_{lequ}^{(1)},$$

$$O_{\text{tensor}} = O_{lequ}^{(3)}$$

# CLFV in top sector (TOP-19-006)



Source	$t\bar{t}$ (%)	CLFV signal Decay (%)	CLFV signal Production (%)
Trigger	1.2	1.2	2.9
Electron identification/isolation	1.6	1.6	3.9
Muon identification/isolation	0.6	0.6	0.7
Electron energy scale & resolution	<0.1	<0.1	<0.1
Muon momentum scale & resolution	<0.1	<0.1	<0.1
Jet energy scale & resolution	2.5	2.1	1.2
b tagging	3.1	3.9	4.5
Pileup	0.3	0.3	0.2
ME scale	0.9	0.8	0.7
ISR/FSR scale	1.5	2.9	1.9
PDF	0.8	0.8	0.9
UE tune	0.4	—	—
ME/PS matching	<0.1	—	—
Color reconnection	1.0	—	—
MC statistical	<0.1	<0.1	<0.1

“Summary of representative systematic uncertainties on the selection efficiency for the  $t\bar{t}^l$  process and for the signal processes: single top quark production and top quark decays via vector  $e\mu\nu$  CLFV interactions in the signal and  $t\bar{t}^l$  control regions. ”

# CLFV in top sector (TOP-19-006)



Vertex	Int. type	Cross section [fb]		$C_{e\mu tq} / \Lambda^2$ [TeV <sup>-2</sup> ]		$\mathcal{B} \times 10^{-6}$	
		Exp.	Obs.	Exp.	Obs.	Exp.	Obs.
$e\mu tu$	Vector	7.02	6.78	0.12	0.12	0.14	0.13
		[5.33,10.21]		[0.10,0.14]		[0.11,0.20]	
		(3.39,12.33)		(0.08,0.16)		(0.07,0.24)	
	Scalar	5.63	6.25	0.23	0.24	0.06	0.07
		[4.79,9.38]		[0.21,0.33]		[0.05,0.11]	
		(3.75,12.12)		(0.19,0.34)		(0.04,0.14)	
Tensor	10.01	9.18	0.07	0.06	0.27	0.25	
	[7.51,15.90]		[0.06,0.09]		[0.20,0.43]		
	(4.59,19.24)		(0.04,0.09)		(0.12,0.52)		
$e\mu tc$	Vector	11.21	9.73	0.39	0.37	1.49	1.31
		[7.21,16.63]		[0.32,0.48]		[0.96,2.21]	
		(4.33,21.61)		(0.24,0.55)		(0.58,2.89)	
	Scalar	9.11	8.88	0.87	0.86	0.91	0.89
		[6.58,13.10]		[0.74,1.04]		[0.65,1.31]	
		(3.54,17.41)		(0.54,1.21)		(0.35,1.74)	
Tensor	21.02	17.22	0.24	0.21	3.16	2.59	
	[16.52,29.21]		[0.21,0.28]		[2.48,4.41]		
	(10.51,42.02)		(0.17,0.33)		(1.58,6.32)		

“Expected/Observed upper limits on the signal cross sections (production + decay), CLFV Wilson coefficients, and top quark CLFV branching ratios are shown for all three years combined. For expected limits  $[-1\sigma, +1\sigma]$  and  $(-2\sigma, +2\sigma)$  ranges are shown.”