

#### PROBING EFT TOP QUARK PRODUCTION

R. Schöfbeck, July 30<sup>th</sup>, 2021 on behalf of the CMS Collaboration





# TOP CROSS SECTION MEASUREMENTS AT CMS

- No clear sign of new physics (BSM) at LHC so far...
- Future facilities increase  $\int L$ , not  $\sqrt{s}$
- top quark measurements are now systematics limited
- Many BSM theories predict deviations of top quark's couplings

Theme of EFT measurements: Reveal indirect and widely dispersed hints of new physics in precision measurements

Today: 3 new EFT results

top quarks with additional leptons [CMS-TOP-19-001] t/tt+Z in 3l with ML [CMS-TOP-21-001] ttX differential cross section [CMS-TOP-18-010]

CMS

- For the SM aspects, consider these talks:
  - D. Walter: top EWK couplings] [L. Lambrecht: top EWK production at CMS]



#### **OPERATORS AND PHYSICS IMPLICATIONS**







#### • Data set: 41.5 fb<sup>-1</sup> from 2017

- Testing 16 operators; two groups
  - ttV(V): affecting:ttH, tHq, ttZ, ttW
  - with 7 four-fermion operators : ttll, ttlv
- 35 signal regions in total
  - lepton channels split further in jet and b-tag multiplicities
    - "inclusive approach"
  - 2l (same-sign): ttW and ttH processes
  - 3I (with and w/o Z candidate): ttZ(3I), tZq (ttll, tllq, ttlv)

ttZ(4l)

4l (no further binning):

2 quarks	<u>s + bosons</u>	
Operator	Definition	Lead processes affected
$O_{u\varphi}^{(ij)}$	$\overline{\mathbf{q}}_{i}\mathbf{u}_{i}\tilde{\mathbf{\phi}}_{i}(\mathbf{\phi}^{\dagger}\mathbf{\phi})$	tīH, tHq
$O^{1(ij)}_{arphi \mathrm{q}}$	$(\varphi^{\dagger} \overrightarrow{iD}_{\mu} \varphi) (\overline{\mathbf{q}}_{i} \gamma^{\mu} \mathbf{q}_{j})$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, tl\bar{l}q$
$O^{3(ij)}_{arphi \mathrm{q}}$	$(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}^{I}\varphi)(\overline{\mathbf{q}}_{i}\gamma^{\mu}\tau^{I}\mathbf{q}_{i})$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, tl\bar{l}q$
$O_{arphi \mathrm{u}}^{(ij)}$	$(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}\varphi)(\overline{\mathbf{u}}_{i}\gamma^{\mu}\mathbf{u}_{j})$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tl\bar{l}q$
$O_{\varphi ud}^{(ij)}$	$(\tilde{\varphi}^{\dagger}iD_{\mu}\varphi)(\overline{\mathrm{u}}_{i}\gamma^{\mu}\mathrm{d}_{j})$	tīH, tllq, tHq
$O_{uW}^{(ij)}$	$(\overline{\mathbf{q}}_i \sigma^{\mu\nu} \tau^I \mathbf{u}_j)  \tilde{\varphi} \mathbf{W}^I_{\mu\nu}$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, tl\bar{l}q$
$O_{\rm dW}^{(ij)}$	$(\overline{\mathbf{q}}_i \sigma^{\mu\nu} \tau^I \mathbf{d}_j) \varphi \mathbf{W}^I_{\mu\nu}$	tīH, tīllī, tHq, tllīq
$O_{uB}^{(ij)}$	$(\overline{\mathbf{q}}_i \sigma^{\mu\nu} \mathbf{u}_j)  \tilde{\varphi} \mathbf{B}_{\mu\nu}$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, tl\bar{l}q$
$^{\ddagger}O_{C}^{(ij)}$	$(\overline{\mathbf{q}}_{i}\sigma^{\mu\nu}T^{A}\mathbf{u}_{i}) \tilde{\varphi}G^{A}_{\mu\nu}$	tīH, tīlv, tīlī, tHa, tlīa
uG	(1) =	,,,,
2 quark	<u>s + 2 leptons</u>	
Operator	Definition	Lead processes affected
$O_{\ell q}^{1(ijkl)}$	$(\overline{\ell}_i\gamma^\mu\ell_j)(\overline{\mathrm{q}}_k\gamma^\mu\mathrm{q}_\ell)$	$t\bar{t}l\nu$ , $t\bar{t}l\bar{l}$ , $tl\bar{l}q$
$O_{\ell q}^{3(ijkl)}$	$(\overline{\ell}_i \gamma^\mu \tau^I \ell_j) (\overline{\mathbf{q}}_k \gamma^\mu \tau^I \mathbf{q}_\ell)$	$t\bar{t}l\nu$ , $t\bar{t}l\bar{l}$ , $tl\bar{l}q$
$O_{\ell \mathrm{u}}^{(ijkl)}$	$(\overline{\ell}_i\gamma^\mu\ell_j)(\overline{\mathrm{u}}_k\gamma^\mu\mathrm{u}_\ell)$	tīlī
$O_{ m e \overline{q}}^{(ijkl)}$	$(\overline{\mathrm{e}}_i\gamma^\mu\mathrm{e}_j)(\overline{\mathrm{q}}_k\gamma^\mu\mathrm{q}_\ell)$	tītlī, tllq
$O_{ m eu}^{(ijkl)}$	$(\overline{\mathrm{e}}_i\gamma^\mu\mathrm{e}_j)(\overline{\mathrm{u}}_k\gamma^\mu\mathrm{u}_\ell)$	tīlī
$O_{\ell equ}^{1(ijkl)}$	$(\overline{\ell}_i \mathbf{e}_j)  \varepsilon  (\overline{\mathbf{q}}_k \mathbf{u}_\ell)$	tītlī, tllq
$O_{\ell equ}^{3(ijkl)}$	$(\overline{\ell}_i \sigma^{\mu\nu} \mathbf{e}_j) \varepsilon (\overline{\mathbf{q}}_k \sigma_{\mu\nu} \mathbf{u}_\ell)$	$t\bar{t}l\nu$ , $t\bar{t}l\bar{l}$ , $tl\bar{l}q$

- Backgrounds for 2lss
  - Non-prompt lep & charge mis-id
  - Estimated in tt and DY CR
  - FR/misid measurements
- 3l/4l signal regions:
  - dominant diboson background
- Main systematics:
  - Theory ( $\mu_{\mathsf{R},\mathsf{F}}$ ) and modelling
  - Experimental: Jet energy scale, lepton identification and isolation, luminosity
- Obtain 1D and 2D profiled and individual limits from likelihood fit





[CMS-TOP-19-001]



- Good agreement of all WCs with the SM prediction
  - $c_{tW}$ ,  $c_{t\phi}$ ,  $c_{tG}$  just outside the 2 $\sigma$  when all other WC are zero





# MVA-EFT SEARCH IN $\ge_3$ L FINAL STATES

[CMS-TOP-21-001]

- Full Run II Luminosity 138/fb
- Main processes: tZq/ttZ/tWZ
  - Leptonically decaying top + Z boson candidate
- 5 operators: weak dipole moment interactions, left- and right-handed top quark vector couplings
- Main sensitivity: from SR-3l
- Extensive use of MVAs
  - Multiclassifier "NN-SM" in SR-3l to discriminate between several SM processes : tZq / ttZ / (bkg.)
    - 33 (mostly kinematic) event properties
  - 8 neural network binary classifiers to separate SM-events from BSM events



# MVA topologies

# MVA-EFT SEARCH IN ≥3L FINAL STATES

- Plots: Split according to max. value in the output node
  - Very good control of in SR-3l
- 5 MVAs for single-op inference
- Train separate SM vs. EFT MVAs
  - Trainings for tZq and ttZ
  - Single operator  $O_{tZ}$ ,  $O_{tW}$ ,  $O_{3\phi Q}$ 
    - Use for 1D limits
  - NN-5D training with all operators
  - Total of 8 MVAs for SM vs. EFT
- signal extraction with 1D, 2D, and 5D likelihood fit
- Systematics:
  - theory uncertainty and NP lepton systematics dominate





#### MVA-EFT SEARCH IN ≥3L FINAL STATES



- Better limits than eariler results from the ttZ cross section measurement
- Agreement within 2σ in general

[CMS-TOP-21-001]

[CMS-TOP-18-009]

#### MVA-EFT SEARCH IN ≥3L FINAL STATES







 $p_{(\gamma)}$  [GeV]

# TOP QUARK PAIRS WITH A PHOTON

- First CMS tty differential cross section measurement in th 1l channel
  - $N_b \ge 1$ ,  $N_j = 3$ ,  $N_j \ge 4$ , Binned in lepton flavor
- Full Run II luminosity 137 fb<sup>-1</sup>
- Details of the 112 CR: [<u>D. Walter: top EWK couplings</u>]
- Interpretation in c<sub>tZ</sub> (weak dipole moment)
- SM gauge symmetry → linear relations among anomalous interactions



p\_(γ) [GeV]



# TT+Y DIFFERENTIAL CROSS SECTION

[CMS-TOP-18-010]

- Top dipole moments effect tty stronger than ttZ (provided c<sub>tw</sub> is small)
- Best current limits
- Measure real and imaginary part

Wilson coefficient			68% CL interval $(\Lambda / \text{TeV})^2$	95% CL interval $(\Lambda / \text{TeV})^2$		
ed	$c_{tZ}$	$c_{\mathrm{tZ}}^{\mathrm{I}}=0$	[-0.19, 0.21]	[-0.29, 0.32]		
pect		profiled	[-0.19, 0.21]	[-0.29, 0.32]		
EX		$c_{\mathrm{tZ}}=0$	[-0.20, 0.20]	[-0.30, 0.31]		
		profiled	[-0.20, 0.20]	[-0.30, 0.31]		
be	$c_{tZ}$	$c_{\mathrm{tZ}}^{\mathrm{I}}=0$	[-0.35, -0.16]	[-0.42, 0.38]		
serve		profiled	[-0.35, 0.07]	[-0.42, 0.39]		
0p		$c_{\mathrm{tZ}}=0$	[-0.35, -0.16], [0.17, 0.35]	[-0.42, 0.42]		
		profiled	[-0.32, 0.31]	[-0.41, 0.41]		



#### SUMMARY

- Top quark final states have the power to constrain many SM-EFT effects, never tested before
- SM-EFT has become the leading theoretical toolkit for interpreting anomalous signals in precision experiments
- The sound theoretical footing allows for a globally consistent interpretation, with the prospect of benefitting from closely related fields





#### BACKUP



Operators involving two quarks and one or more bosons								
Operator	Definition	WC	Lead processes affected					
$O_{u\varphi}^{(ij)}$	$\overline{\mathbf{q}}_{i}\mathbf{u}_{j}\widetilde{\varphi}(\varphi^{\dagger}\varphi)$	$c_{\mathrm{t}arphi}+ic_{\mathrm{t}arphi}^{I}$	tīH, tHq					
$O_{arphi \mathrm{q}}^{1(ij)}$	$(\varphi^{\dagger} i \overrightarrow{D}_{\mu} \varphi) (\overline{\mathbf{q}}_{i} \gamma^{\mu} \mathbf{q}_{j})$	$c_{\varphi Q}^- + c_{\varphi Q}^3$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, tl\bar{l}q$					
$O_{arphi \mathrm{q}}^{\mathrm{3}(ij)}$	$(\varphi^{\dagger}i\overleftrightarrow{D}_{\mu}^{I}\varphi)(\overline{\mathrm{q}}_{i}\gamma^{\mu}\tau^{I}\mathrm{q}_{j})$	$c_{\varphi Q}^3$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, tl\bar{l}q$					
$O^{(ij)}_{arphi \mathrm{u}}$	$(\varphi^{\dagger}i\overrightarrow{D}_{\mu}\varphi)(\overline{\mathbf{u}}_{i}\gamma^{\mu}\mathbf{u}_{j})$	C <sub>\varphit</sub>	t $\bar{t}H$ , t $\bar{t}l\nu$ , t $\bar{t}l\bar{l}$ , tl $\bar{l}q$					
$O_{\varphi ud}^{(ij)}$	$( ilde{arphi}^{\dagger}iD_{\mu}arphi)(\overline{\mathrm{u}}_{i}\gamma^{\mu}\mathrm{d}_{j})$	$c_{arphi  ext{tb}} + i c_{arphi  ext{tb}}^{I}$	tīH, tllq, tHq					
$O_{uW}^{(ij)}$	$(\overline{\mathrm{q}}_{i}\sigma^{\mu u} au^{I}\mathrm{u}_{j}) ilde{arphi}\mathrm{W}^{I}_{\mu u}$	$c_{\rm tW} + i c_{\rm tW}^I$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, tl\bar{l}q$					
$O_{\rm dW}^{(ij)}$	$(\overline{\mathbf{q}}_i \sigma^{\mu\nu} \tau^I \mathbf{d}_j) \varphi \mathbf{W}^I_{\mu\nu}$	$c_{bW} + i c_{bW}^I$	tīH, tīllī, tHq, tllīq					
$O_{uB}^{(ij)}$	$(\overline{\mathbf{q}}_i \sigma^{\mu\nu} \mathbf{u}_j)  \tilde{\varphi} \mathbf{B}_{\mu\nu}$	$(c_{\rm W}c_{\rm tW} - c_{\rm tZ})/s_{\rm W} +$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, tl\bar{l}q$					
		$i(c_{\rm W}c_{\rm tW}^I - c_{\rm tZ}^I)/s_{\rm W}$						
$O_{uG}^{(ij)}$	$(\overline{\mathbf{q}}_i \sigma^{\mu  u} T^A \mathbf{u}_j)   ilde{arphi} G^A_{\mu  u}$	$\mathbf{g}_{\mathbf{s}}(c_{\mathbf{t}G}+ic_{\mathbf{t}G}^{I})$	$t\bar{t}H, t\bar{t}l\nu, t\bar{t}l\bar{l}, tHq, tl\bar{l}q$					

Operators	involving	two c	juarks and	two l	eptons
operators	III OI VIIIE	,	1 uui Ko ui ko		cptono

Operator	Definition	WC	Lead processes affected
$O^{1(ijkl)}_{\ell q}$	$(\overline{\ell}_i\gamma^\mu\ell_j)(\overline{\mathrm{q}}_k\gamma^\mu\mathrm{q}_\ell)$	$c_{Q\ell}^{-(\ell)}+c_{Q\ell}^{3(\ell)}$	$t\bar{t}l\nu$ , $t\bar{t}l\bar{l}$ , $tl\bar{l}q$
$O_{\ell q}^{3(ijkl)}$	$(\overline{\ell}_i\gamma^\mu au^I\ell_j)(\overline{\mathrm{q}}_k\gamma^\mu au^I\mathrm{q}_\ell)$	$c_{Q\ell}^{3(\ell)}$	$t\bar{t}l\nu$ , $t\bar{t}l\bar{l}$ , $tl\bar{l}q$
$O_{\ell \mathrm{u}}^{(i j k l)}$	$(\overline{\ell}_i\gamma^\mu\ell_j)(\overline{\mathrm{u}}_k\gamma^\mu\mathrm{u}_\ell)$	$c_{\mathrm{t}\ell}^{(\ell)}$	tīll
$O_{ m e \overline{q}}^{(ijkl)}$	$(\overline{\mathrm{e}}_{i}\gamma^{\mu}\mathrm{e}_{j})(\overline{\mathrm{q}}_{k}\gamma^{\mu}\mathrm{q}_{\ell})$	$c_{Q{ m e}}^{(\ell)}$	tītlī, tllq
$O_{ m eu}^{(iar{j}kl)}$	$(\overline{\mathrm{e}}_{i}\gamma^{\mu}\mathrm{e}_{j})(\overline{\mathrm{u}}_{k}\gamma^{\mu}\mathrm{u}_{\ell})$	$c_{ m te}^{(\ell)}$	tīll
${}^{\ddagger}O^{1(ijkl)}_{\ell equ}$	$(\overline{\ell}_i \mathbf{e}_j)  \varepsilon  (\overline{\mathbf{q}}_k \mathbf{u}_\ell)$	$c_{\mathrm{t}}^{S(\ell)} + i c_{\mathrm{t}}^{SI(\ell)}$	tītlī, tllq
${}^{\ddagger}O^{3(ijkl)}_{\ell  m equ}$	$(\overline{\ell}_i \sigma^{\mu\nu} \mathbf{e}_j) \ \varepsilon \ (\overline{\mathbf{q}}_k \sigma_{\mu\nu} \mathbf{u}_\ell)$	$c_{\mathrm{t}}^{T(\ell)} + i c_{\mathrm{t}}^{TI(\ell)}$	$t\bar{t}l\nu$ , $t\bar{t}l\bar{l}$ , $tl\bar{l}q$

#### EFT SEARCH IN MULTILEPTON FINAL STATES



Variable	NN-SM	NN- $c_{tZ}$ -tZq	NN- $c_{tZ}$ -t $\bar{t}Z$	NN-c <sub>tW</sub> -tZq	NN- $c_{tW}$ - $t\bar{t}Z$	NN- $c_{\varphi Q}^3$ -tZq	NN- $c_{\varphi Q}^3$ -t $\bar{t}Z$	NN-5D-tZq	NN-5D-t $\bar{t}Z$
$p_{\mathrm{T}}^{Z}$	_	✓	$\checkmark$	✓	√	✓	1	✓	$\checkmark$
$\eta(Z)$	$\checkmark$	$\checkmark$	$\checkmark$	_	_	$\checkmark$	_	_	$\checkmark$
$\Delta \phi(\ell_1^Z \ell_2^Z)$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$
$p_{\rm T}({\rm t})$	$\checkmark$	$\checkmark$	$\checkmark$	_	$\checkmark$	$\checkmark$	—	$\checkmark$	$\checkmark$
$\eta(t)$	—	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$	—	—	$\checkmark$
m(t,Z)	—	_	_	_	_	_	_	—	—
$ \eta(j') $	$\checkmark$	—	_	_	_	—	-	$\checkmark$	—
$p_{\mathrm{T}}(j')$	$\checkmark$	$\checkmark$	_	$\checkmark$	—	—	—	—	—
$\Delta R(b, \ell_{\rm t})$	—	$\checkmark$	_	$\checkmark$	_	—	—	—	—
$\Delta R(j', \ell_t)$	$\checkmark$	_	_	_	_	-	—	—	—
$\Delta R(t, Z)$	—	$\checkmark$	$\checkmark$	$\checkmark$	_	$\checkmark$	—	—	$\checkmark$
$\Delta \eta(\mathbf{Z}, j')$	—	$\checkmark$	_	_	_	—	—	$\checkmark$	—
$\Delta R$ between t and the closest lepton	—	$\checkmark$	_	$\checkmark$	-	—	-	—	—
$\Delta R$ between $j'$ and the closest lepton	—	_	_	-	-	—	-	$\checkmark$	_
$m_{3\ell}$	$\checkmark$	—	_	_	$\checkmark$	—	$\checkmark$	—	$\checkmark$
$m_{\rm T}^{\rm W}$	$\checkmark$	$\checkmark$	$\checkmark$	_	_	—	—	—	$\checkmark$
$p_{\rm T}^{\rm miss}$	$\checkmark$	_	_	_	_	—	—	—	—
Lepton asymmetry	$\checkmark$	_	_	$\checkmark$	$\checkmark$	—	—	$\checkmark$	—
$\cos \theta_{\rm Z}^{\star}$	-	_	$\checkmark$	_	_	$\checkmark$	—	—	$\checkmark$
Max. $p_{\rm T}$ among jet pairs	—	_	_	_	-	—	$\checkmark$	—	$\checkmark$
Max. DEEPJET discriminant	$\checkmark$	_	_	_	-	—	—	—	—
b jet multiplicity	$\checkmark$	-	_	_	-	—	—	—	—
Three-momenta of the three leading leptons	$\checkmark$	_	_	_	_	_	_	_	_
Three-momenta of the three leading jets	$\checkmark$	_	_	_	_	_	—	_	_
DEEPJET discriminants of the three leading jets	$\checkmark$	_	_	_	-	-	-	—	—
Number of variables	33	11	8	8	6	7	4	7	10 16



Source	$c_{tZ}$	$c_{\mathrm{tW}}$	$c_{\varphi Q}^3$	$c_{\varphi Q}^{-}$	$c_{\phi t}$
tZq normalization	< 0.1	< 0.1	1.2	0.1	0.8
t <sub>t</sub> Z normalization	0.6	< 0.1	0.4	37.2	38
tWZ normalization	0.1	0.1	< 0.1	0.7	2.1
Background normalizations	< 0.1	< 0.1	6.9	3.6	6.8
NPL background estimation	1.4	0.2	5.6	0.3	3.8
Jet energy scale	< 0.1	< 0.1	0.8	0.7	2.3
Jet energy resolution	< 0.1	< 0.1	< 0.1	< 0.1	1.4
$p_{\mathrm{T}}^{\mathrm{miss}}$	< 0.1	< 0.1	< 0.1	< 0.1	0.2
b tagging	< 0.1	< 0.1	0.9	2.0	0.3
Other (experimental)	< 0.1	< 0.1	1.6	0.8	0.6
Lepton identification and isolation	0.4	0.4	1.2	2.2	0.8
Theory	2.1	1.1	0.4	0.9	0.9

# **ELECTROWEAK TOP QUARK COUPLINGS**







- EFT tensor structure induces EWK dipole moments (quadratic)
- most stringent direct constraints on

the top-Z vector coupling and the EWK dipole moments

differential measurement improves sensitivity by factor ~5 •





- Indirect limits: LEP Z pole,  $B \rightarrow X_s \gamma$ •
- Z and  $\gamma$  coupling related by gauge symmetry

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#### CHROMOMAGNETIC DIPOLE MOMENT



• Constrain the top chromo-magnetic & electric dipole moment

$$\mathcal{O}_{tG} = i (\bar{q}_L \sigma^{\mu\nu} \lambda^a t_R) \tilde{\phi} G^a_{\mu\nu} + h.c.$$

- 2HDM, SUSY, technicolor, compositeness  $C_{tG}/\Lambda^2 = \mu_t/(2m_t^2)$
- currently best limit:  $-0.10 < C_{tG}/\Lambda^2 < 0.22 \text{ TeV}^{-2}$



# **CONSTRAINING SM-EFT WITH TTBAR**

• using the dilepton channel, directly constrain EFT with tW and tt final states

Single Top (tW) tt Single Top (tW) + tt split in e/**µ** lepton flavor

- tt ≥ 2 jets (≥ 2 b jets)
- tW: 1-2 jets (0-1 b jet).
- test separately 6 Wilson coeff:
  - Wtb vertex, top-gluon coupling, 3g vertex, FCNC couplings
- Signal extraction via per-channel neural networks
- first attempt of a global analysis at CMS



95%CL

new

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[CMS-TOP-19-001]