

# APPLICATION OF THE TOPOLOGICAL TRACK RECONSTRUCTION TO AN IDEALISED WATER-BASED LIQUID SCINTILLATOR DETECTOR

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07.06.2021

BMBF Scintillator R&D general meeting



Universität Hamburg

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GEFÖRDERT VOM



Bundesministerium  
für Bildung  
und Forschung



Topological  
Reconstruction



In unsegmented large-volume liquid scintillator detectors

# Outline

- ① Motivation
- ② Simulation
- ③ Light Separation
- ④ Topological Track Reconstruction
- ⑤ Machine Learning in JUNO
- ⑥ Summary

# Motivation: Introduction

## Motivation for this work

- Idealised detector  $\Rightarrow$  full potential of new and advanced techniques:  
Large Area Picosecond Photodetectors (LAPPDs) and Water-based liquid scintillator (WbLS)
- Reconstructions and light separation algorithms for this detector  
 $\Rightarrow$  experience and results for future experiments like Theia
- Theia: Planned long-baseline beam neutrino experiment with a broad physics program
- Aiming to use WbLS as well as LAPPDs and PMTs

For Theia see also: M. Askins et al. “Theia: An advanced optical neutrino detector” ([arXiv:1911.03501](https://arxiv.org/abs/1911.03501))

# Motivation: Water-based liquid scintillator

## Cherenkov light

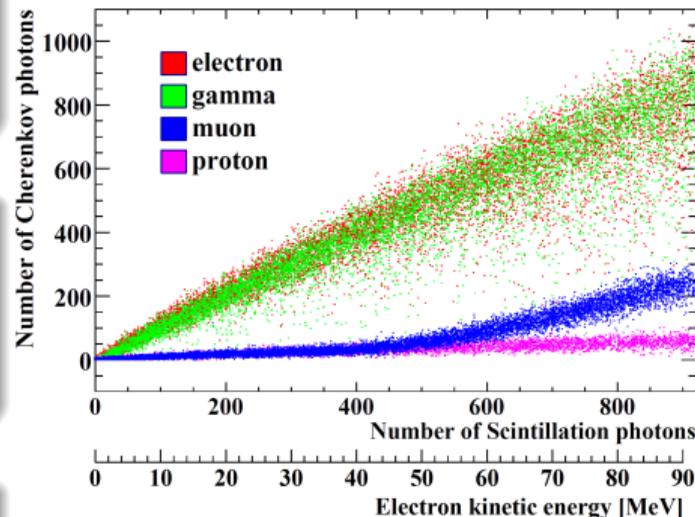
- Prompt and directional emission
- Directional information with ring location and shape
- Particle identification via ring structure

## Scintillation light

- Delayed and isotropic emission
- Low threshold and good energy reconstruction
- Shower reconstruction

## WbLS

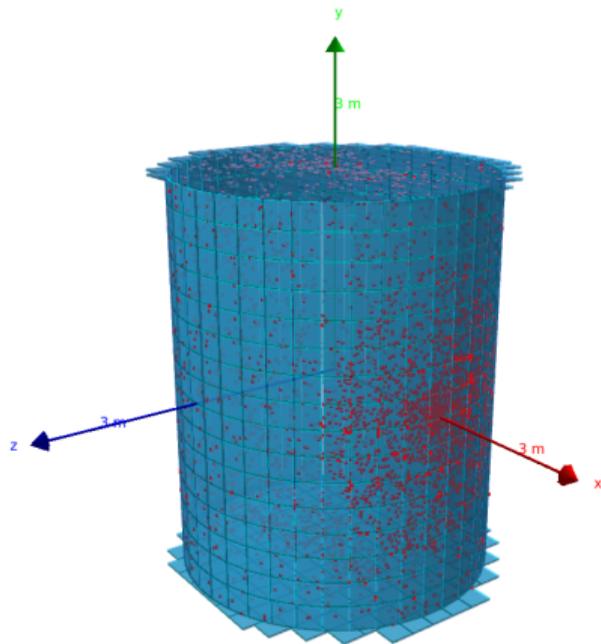
- Separation of light types gives access to all advantages.
- Further particle identification with the ratio of Cherenkov to scintillation light



[arXiv:1607.01671]

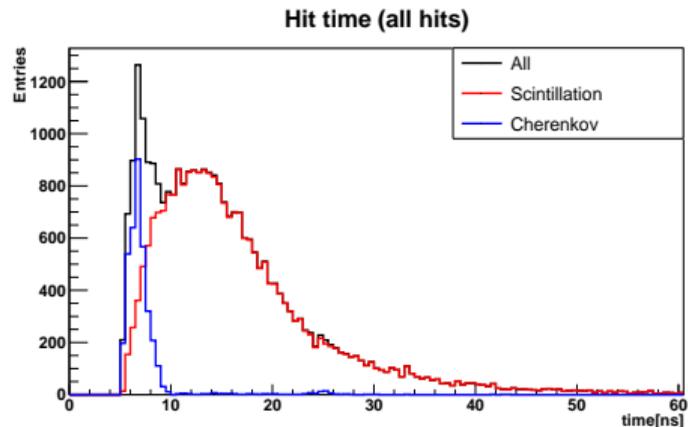
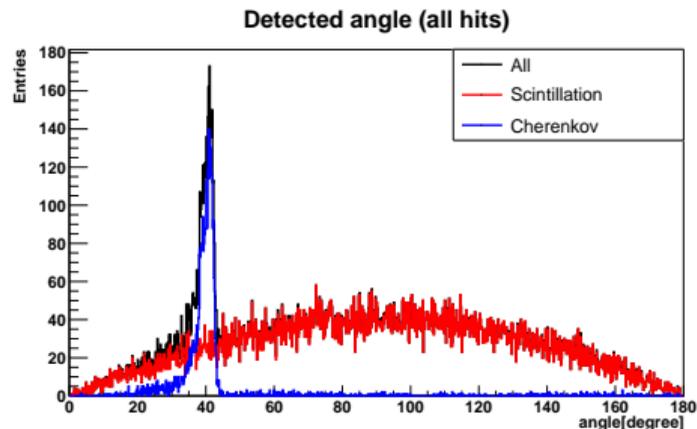
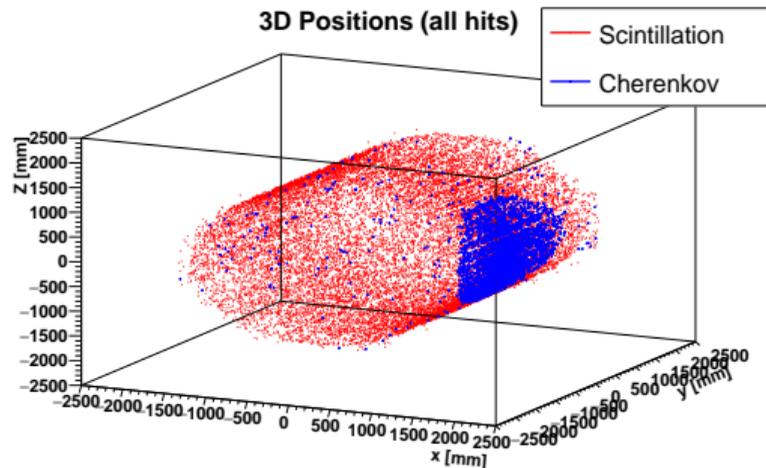
# Simulation: Introduction

- Geant4 simulation of a small detector (Radius:  $\sim 1.5$  m, Height:  $\sim 3.8$  m)
- ⇒ comparable to ANNIE
- ⇒ little scattering and attenuation for optical photons
- Detector completely covered with LAPPDs
- Volume is filled with water or WbLS.
- LAPPD model taken from ANNIE simulation with minor adjustments
- Optical properties of WbLS taken from the Theia simulation
- Example event: 500 MeV muon in x direction in water starting at detector center.



# Simulation: WbLS examples plots

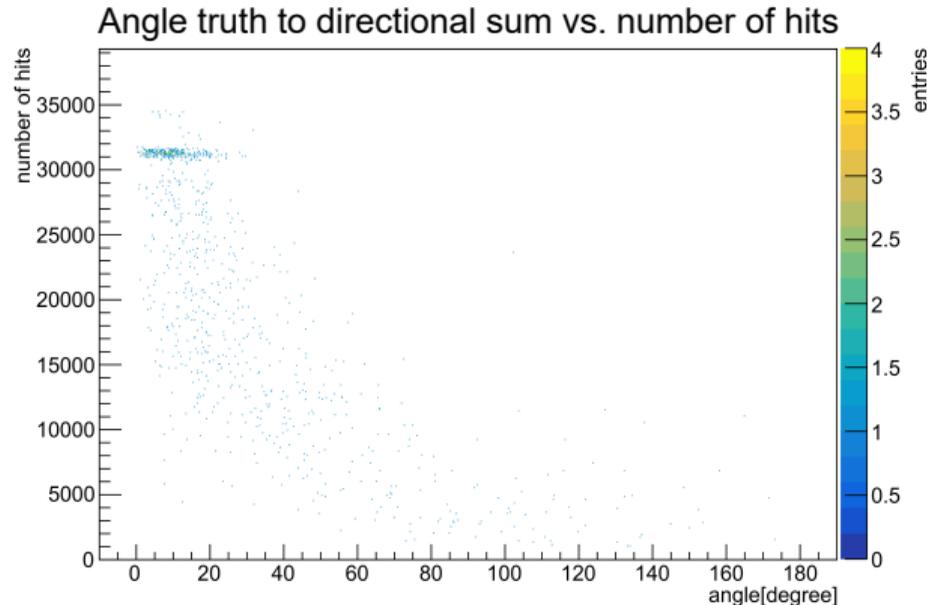
- 500 MeV muon in x direction starting at detector center
- Resulting in  $\sim 3900$  Cherenkov and  $\sim 28,000$  scintillation hits.
- Little scattering due to small detector ( $\sim 6\%$  of hits are scattered or reflected.)
- Cherenkov disk due to exiting muon



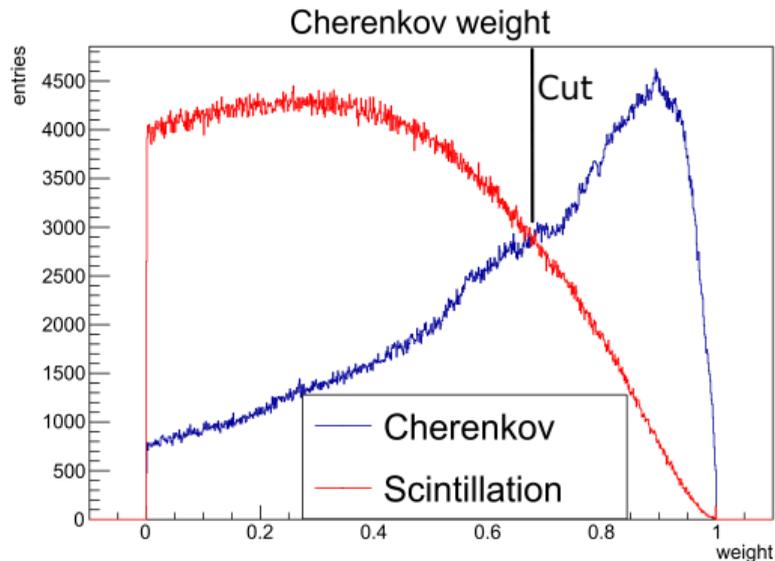
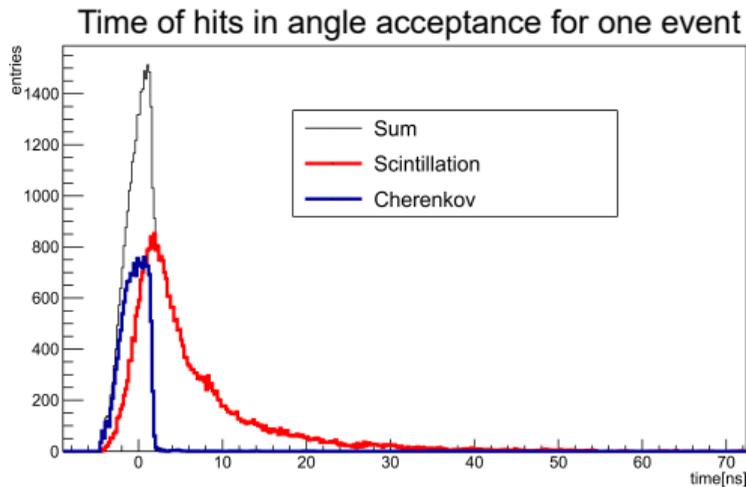
# Light Separation: Concept

## Method for separating Cherenkov and scintillation light

- 1 Cut hits later than 100 ns.
- 2 Smear vertex with an accuracy possible for vertex reconstructions: 20 cm and 1 ns
- 3 Reconstruct particle direction via directional sum.
- 4 Use direction for Cherenkov angle acceptance in samples on the track.
- 5 Calculate expected time and compare with hit time.
- 6 Weight hits according to the timing spectrum.



# Light Separation: First result

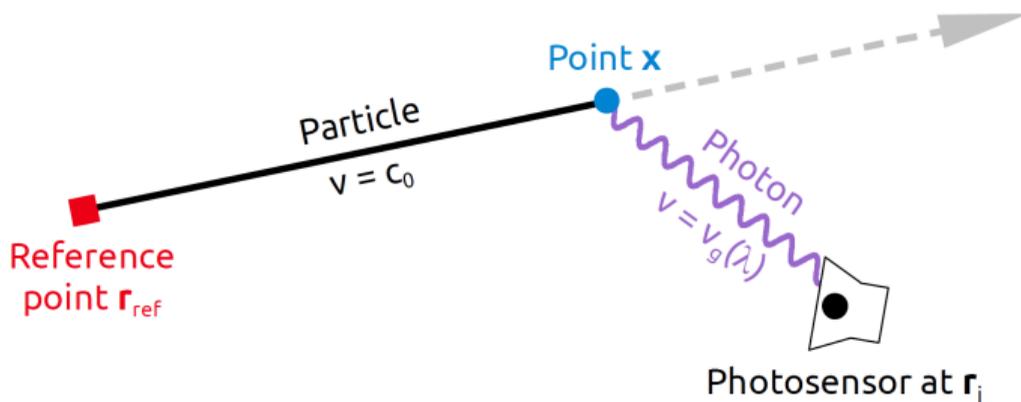


- 12.9% of all hits are Cherenkov hits.
  - 71% of remaining hits with a cut at 0.66 are Cherenkov.
- ⇒ 58% Improvement
- 33.5% of all Cherenkov, 2% of all scintillation photons remain.
  - Room for improvement in angle acceptance, sampling of particle track and weighting function.

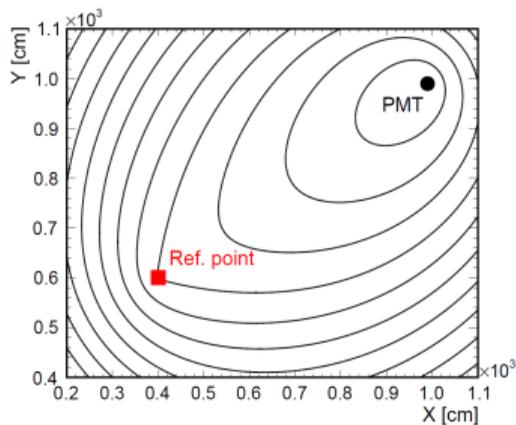
# General concept of the Topological Track Reconstruction (TTR)

- Known reference point in time  $t_{\text{ref}}$  and space  $\mathbf{r}_{\text{ref}}$
- Assume straight particle path with velocity  $c_0$ .
- Calculate possible locations  $\mathbf{x}$  of the particle at time  $t(\mathbf{x})$ .
- Developed by our group in Hamburg. See: Björn Wonsak et al. “Topological track reconstruction in unsegmented, large-volume liquid scintillator detectors” ([arXiv:1803.08802](https://arxiv.org/abs/1803.08802))

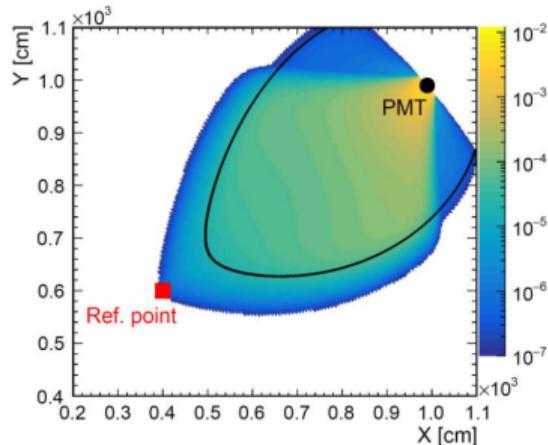
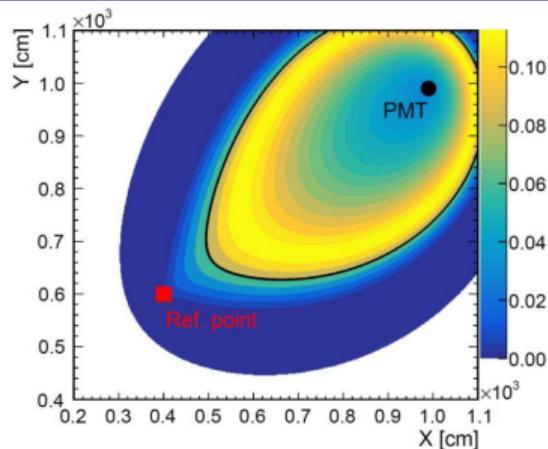
$$t(\mathbf{x}) = t_{\text{ref}} \pm \underbrace{\frac{|\mathbf{x} - \mathbf{r}_{\text{ref}}|}{c_0}}_{\text{particle}} + \underbrace{\frac{|\mathbf{r}_j - \mathbf{x}|}{v_g(\epsilon)}}_{\text{photon}}$$



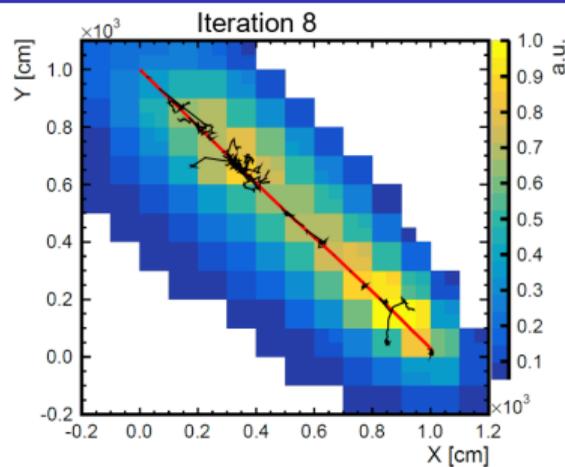
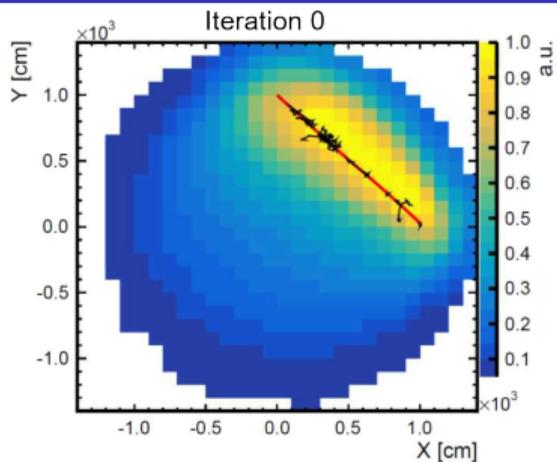
# Probability density functions



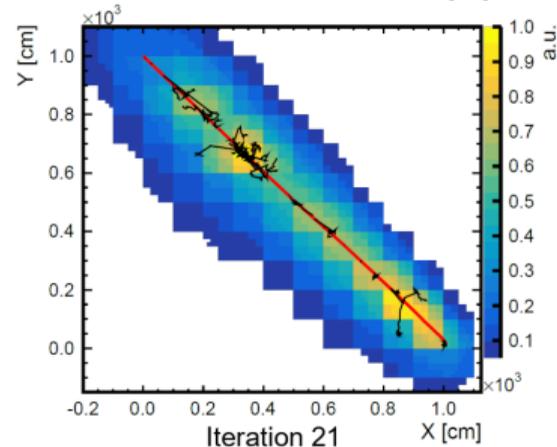
- Develop **probability density functions (p.d.f.s)** when taking more effects into account.
  - 1 Isochrones come from the inversion of  $t(x)$ .
  - 2 Time uncertainty of scintillation light and response of photosensor
  - 3 Detection and propagation effects like angular acceptance and attenuation



# Reconstruction method

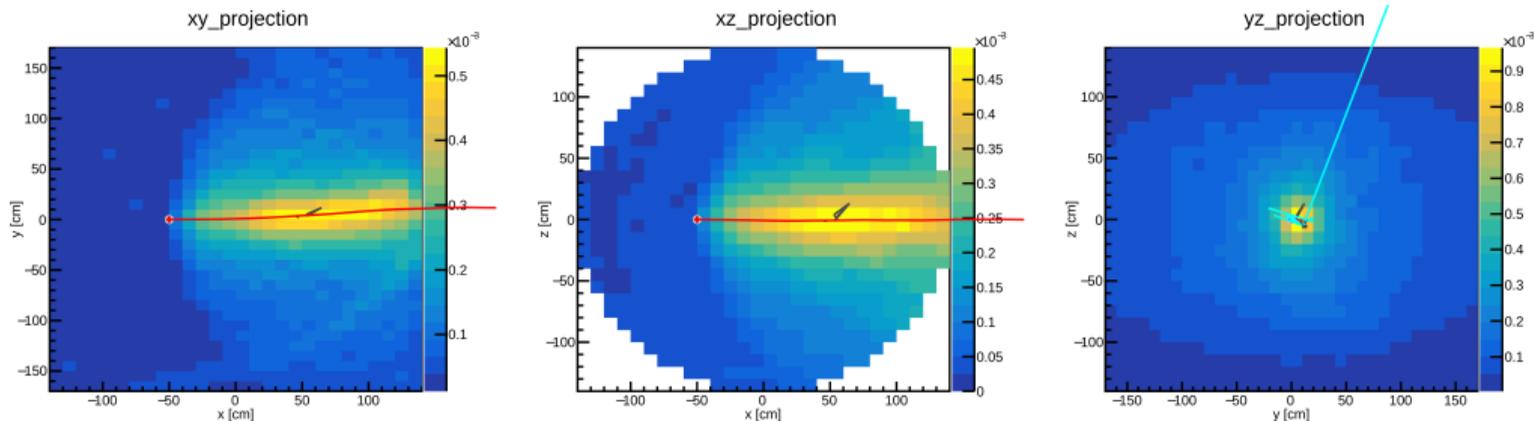


- Create a p.d.f. for every hit and each PMT.
- Superimpose the p.d.f.s for every bin in volume.
- Gain probability mask showing most-likely origin of light.
- Treat prior iteration as truth; cut cells.
- Reconstruct again based on the previous iteration.
- Refine binning for more detailed result.



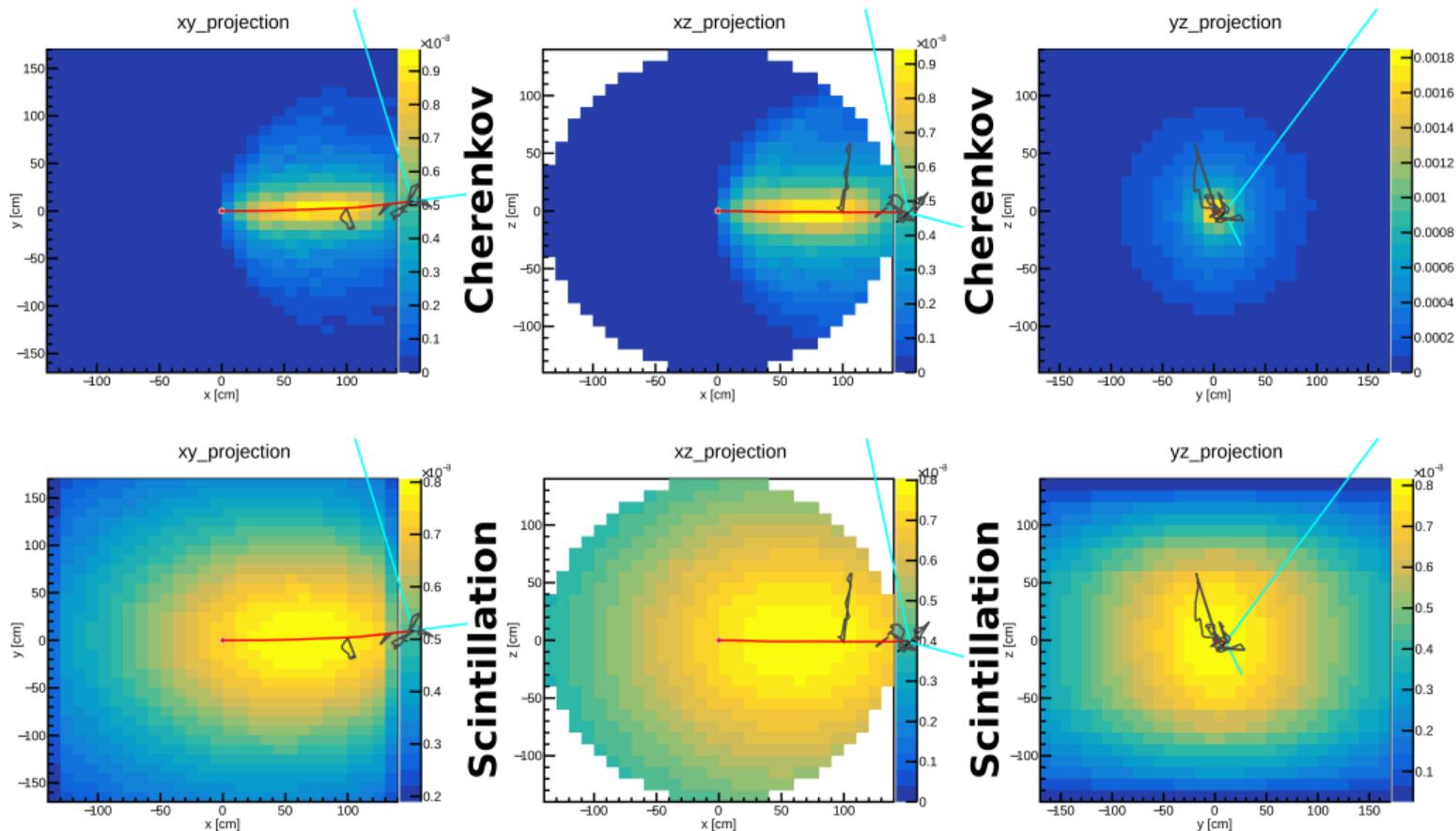
# TTR: Two reconstructions in one

- Modify the reconstruction to work with pure Cherenkov detectors.
- Reconstruct pure Cherenkov event for proof of principle.

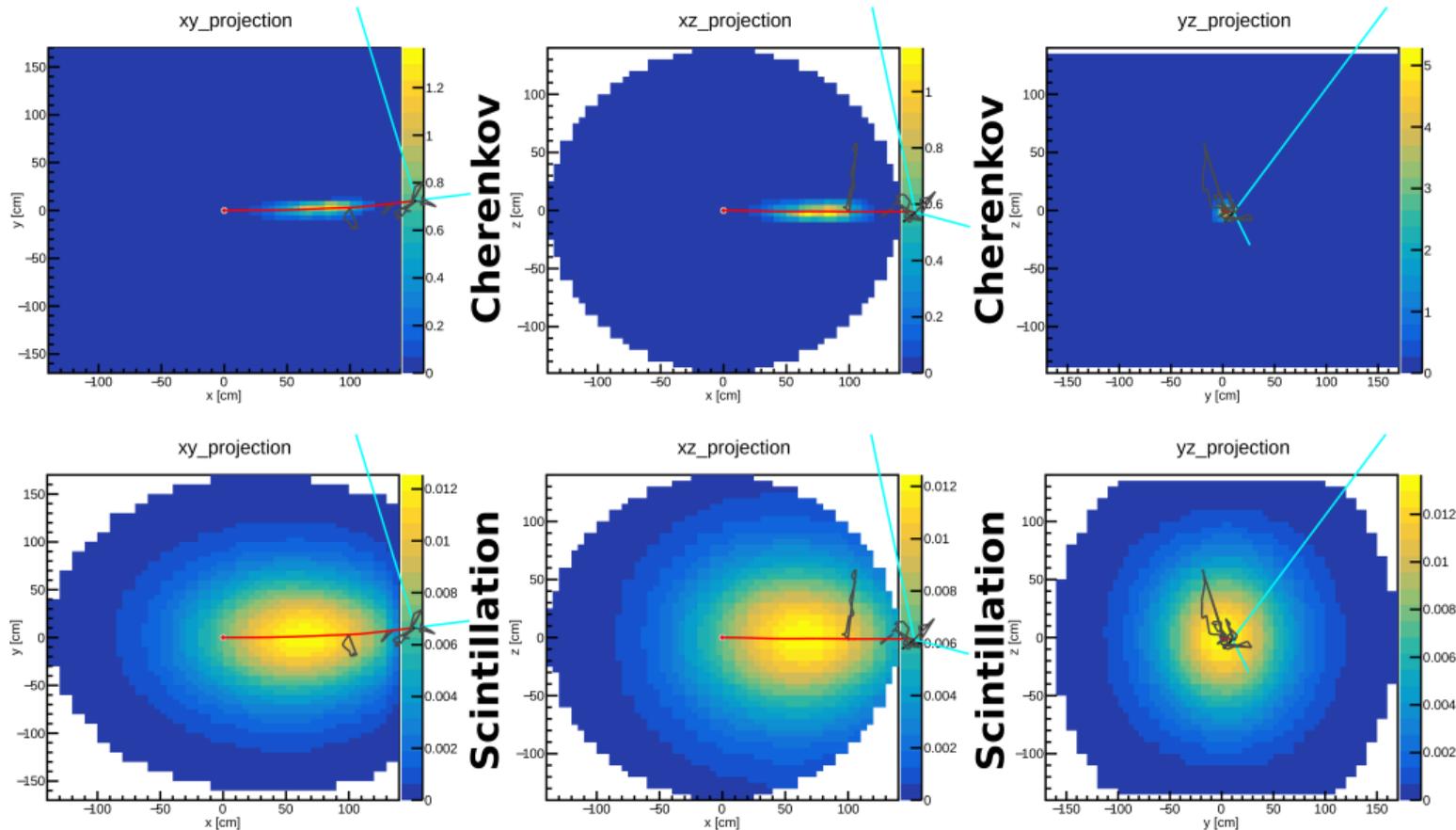


- Modify the reconstruction to have a scintillation and a Cherenkov part.
- With every iteration two reconstructions are performed:  
One considers hits to be Cherenkov photons, the other assumes hits to be Scintillation photons.
- Next slides: Perfect ordering from MC truth, Cherenkov reconstruction reconstructs only Cherenkov hits and vice versa.

# Reconstruction result WbLS: Iteration 0



# Reconstruction result WbLS: Iteration 5



# TTR: Status

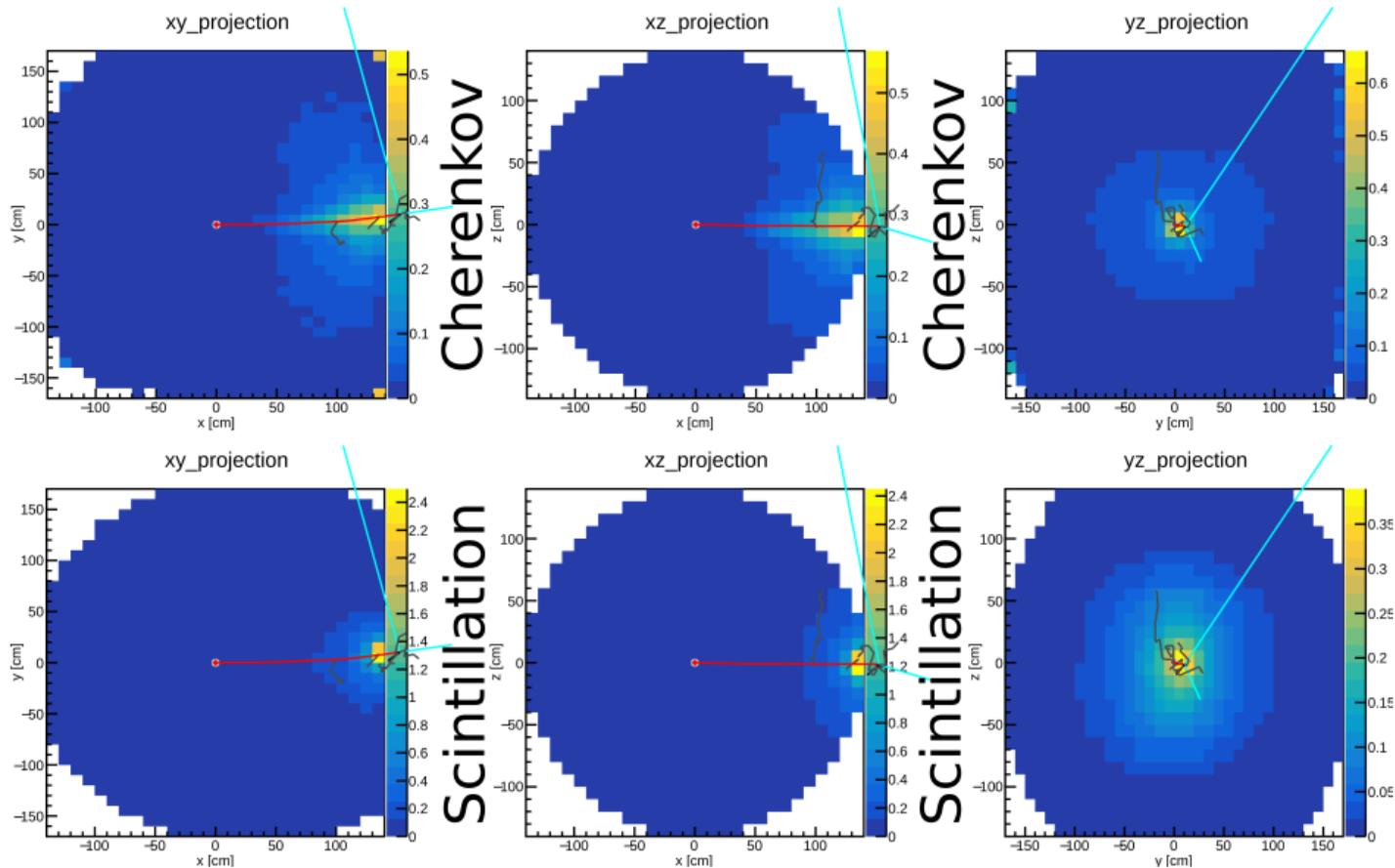
## Status

- Splitting of the reconstruction worked well; results for the raw reconstruction look like expected.
- Raw reconstruction: no usage of propagation effects except angular acceptance of photodetectors
- Characteristics of both light types can be distinguished.
- Probability mask too confined on middle of the track

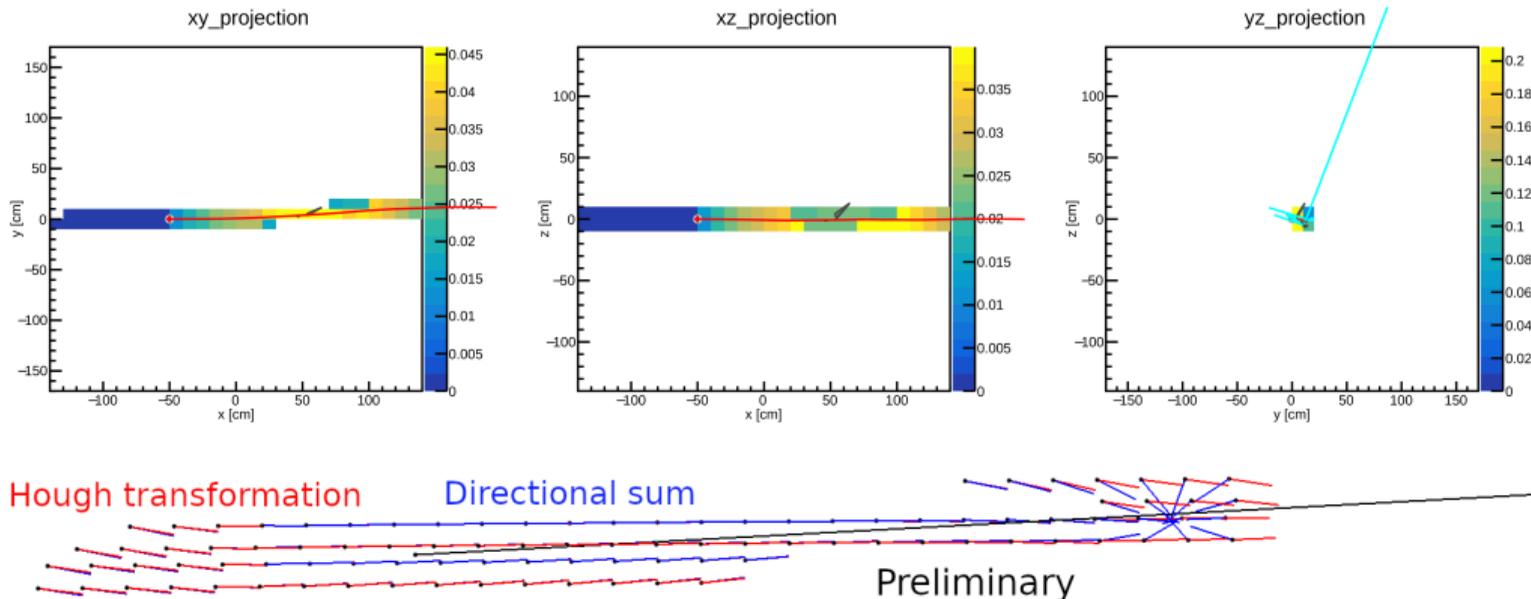
## Issue

- Results for emitted light look unexpected; probability mask has high entries at edge, artefacts.
- Emitted light algorithm: use all propagation effects and the Look-Up-Tables (LUTs) to calculate the number of emitted photons for each cell.
- Worked well for larger detectors like LENA, problematic with this small WbLS detector for particles leaving detector.
- Currently under investigation

# TTR problem: Emitted light at iteration 5



# Reminder: Directional information in pure water

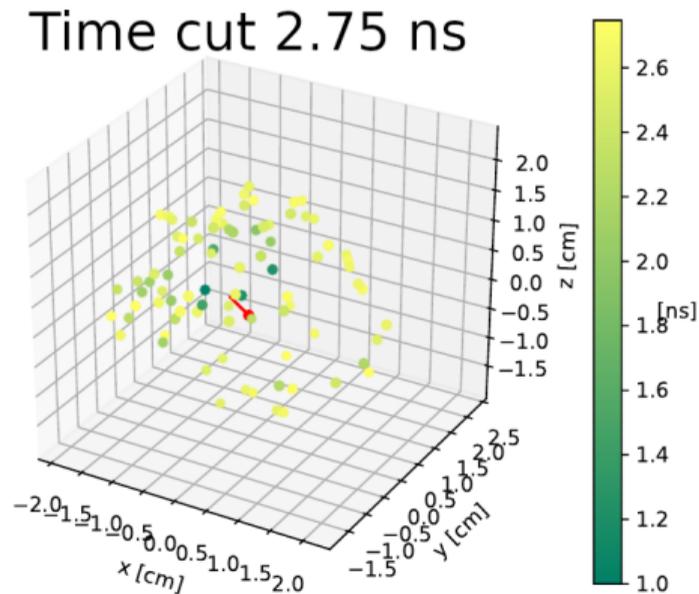


- For each cell take hits giving a contribution to cell content into account.
- Project hits on unit sphere around cell.
- Gain directional information via circular Hough transform and directional sum.
- Needs adjustments and improvements in the new version of the TTR.

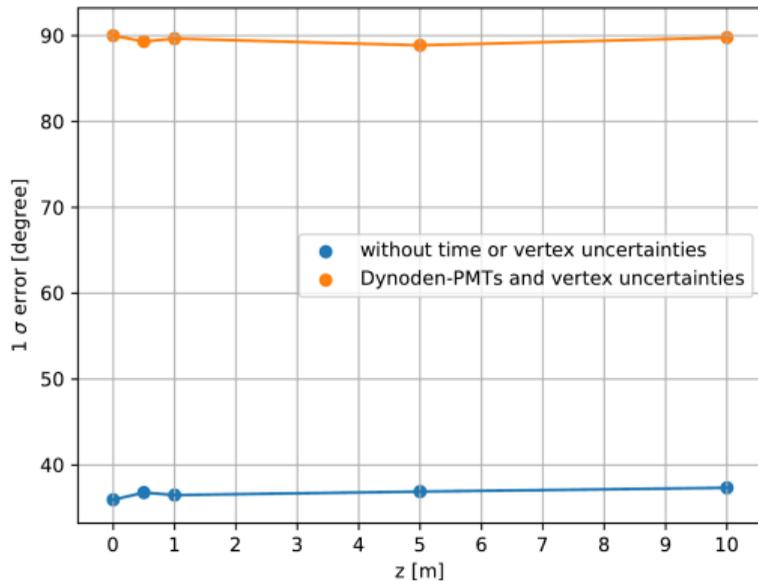
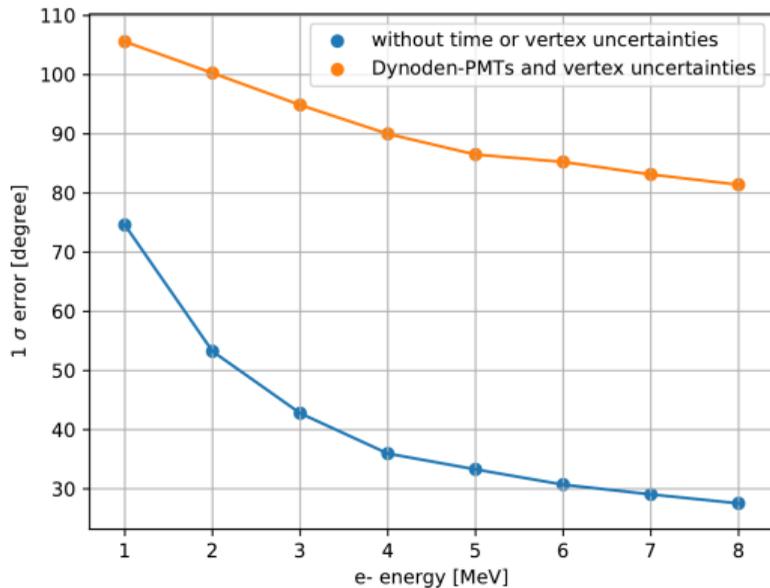
# Machine Learning I: Direction reconstruction in JUNO

Work of Hauke Schmidt

- JUNO has  $\sim 3\%$  Cherenkov light  $\Rightarrow$  direction reconstruction
- Motivation: background suppression for sources with known location, especially solar neutrinos
- Assumption of known vertex
- $\Rightarrow$  Time of flight correction
  - Projection of hit coordinates on unit sphere around the vertex
- $\Rightarrow$  Angular coordinate for every hit
  - Modification of distance of hit point to origin according to time information
- $\Rightarrow$  Time deformed sphere around vertex
  - Network PointNet (see [arXiv:1612.00593](https://arxiv.org/abs/1612.00593))
  - Data represented as PointCloud (PMT positions, hit times)



# Results



- No dependence on position: energy of 8 MeV gives with vertex uncertainty and TTS error of  $90^\circ$ .
- ⇒ Direction can be confined to half of the detector at 8 MeV.
- ⇒ Great result for amount of Cherenkov light in JUNO
- ⇒ Expected to be very useful in a WbLS-detector.

# Machine learning II: introduction and simulated data

Work of Rosmarie Wirth

## Goal

- Reconstruct track and find shower with Machine Learning.

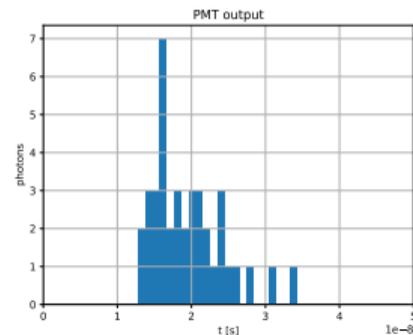
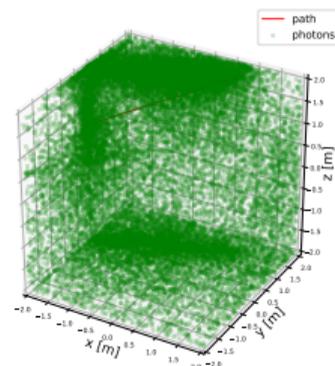
## Simulation

- Toy MC simulating scintillation along random track with a high emission point (Peak)
- Cubic detector with 4 m edge length
- 100 PMTs with 1 ns time resolution per wall
- First stage: Dynamic Graph CNN  
(Yue Wang et al. "Dynamic Graph CNN for Learning on Point Clouds" [arXiv:1801.07829](https://arxiv.org/abs/1801.07829))
- Second stage: fully connected layers

## Output goals

- Coordinate reconstruction (start, peak, end)
- Voxel reconstruction

Simulated Data



# Goal I: Coordinate reconstruction

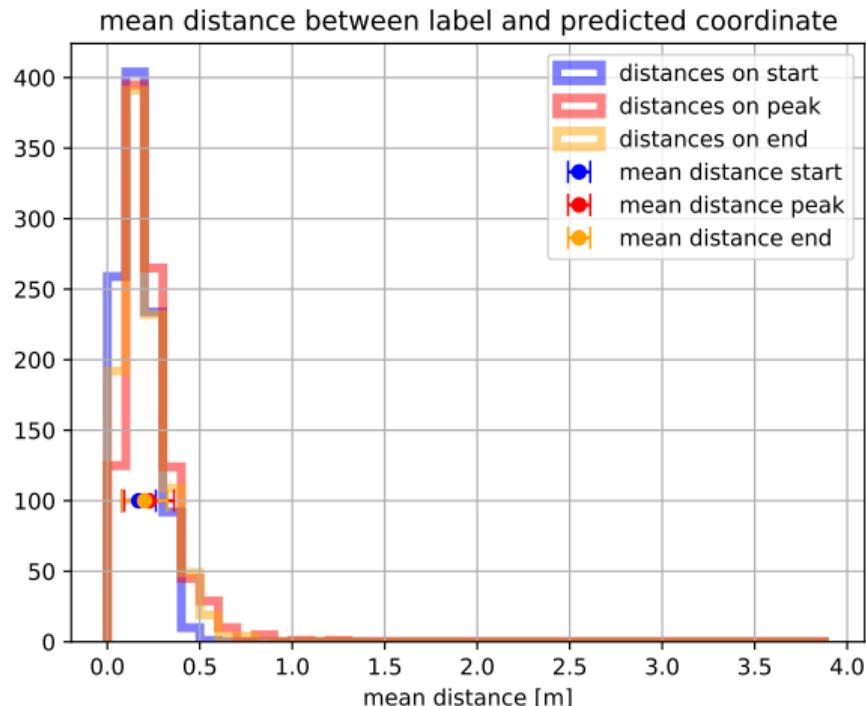
Promising results:

Position	Mean distance
Start	$0.16 \pm 0.20$ m
Peak	$0.22 \pm 0.14$ m
Exit	$0.21 \pm 0.11$ m

⇒ Track and shower identification work.

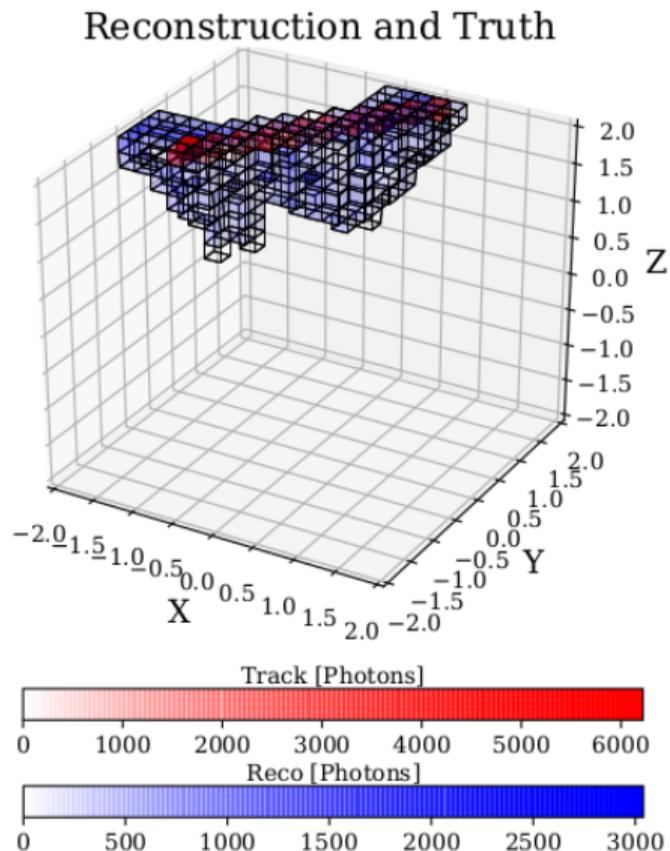
## Outlook

- Implementation for OSIRIS sub-detector of JUNO
- More realistic data
- Use case:  
Muon flux and veto validation



## Goal II: Voxel reconstruction

- $(99.24 \pm 2.84)$  % of the path voxels discriminated to 7 % of detector volume (empty means  $\leq 200$  photons)
- Mean distance reconstructed peak to label  $(0.36 \pm 0.47)$  m
- For 85 % of the analysed peaks, distance reconstructed peak to label  $\leq 0.5$  m
- Reconstructed photon emission distribution is not reliable: number of photons in empty voxels too high and vice versa



# Summary

## Summary

- Simulation in place for simulating events and LUTs
- Light separation algorithm shows first results.
- TTR works well with WbLS in raw reconstruction.
- Directional information can be extracted within the TTR.
- ML: Direction reconstruction can confine direction to half of the detector for 8 MeV electron events in JUNO.
- ML II: Coordinate and voxel reconstruction are working well with toy MC data.
- Additional work for LAPPD simulation in ANNIE and other service work (not shown here)

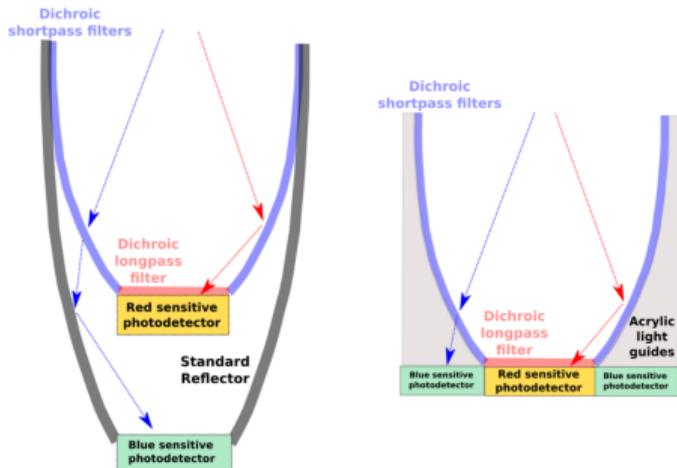
## Outlook

- Improve light separation algorithms and study different energies and particles.
- Fix problems with emitted light algorithm in TTR.
- Use TTR for separation.
- Adjust directional information algorithms to new TTR version.
- Use advantages of light separation.
- Contract is extended for 6 months.

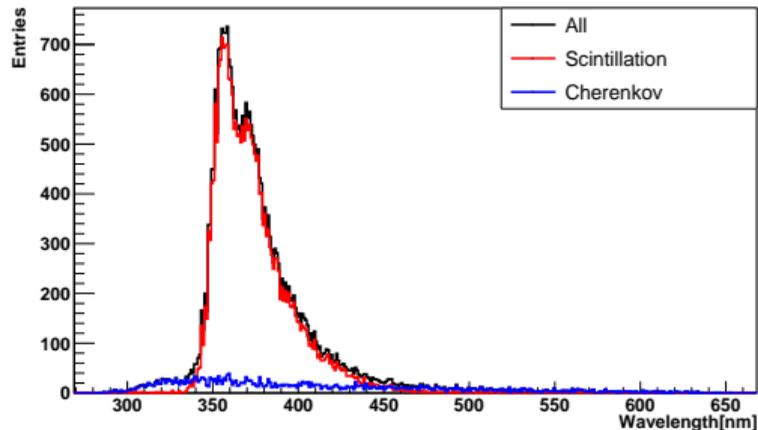
Thank you for your attention.

# Light Separation I: Wavelength

- Cherenkov photons in blue/green wavelengths
  - Scintillation photons in UV/blue wavelengths
- ⇒ Use wavelength filtering for separation.



Detected Wavelength

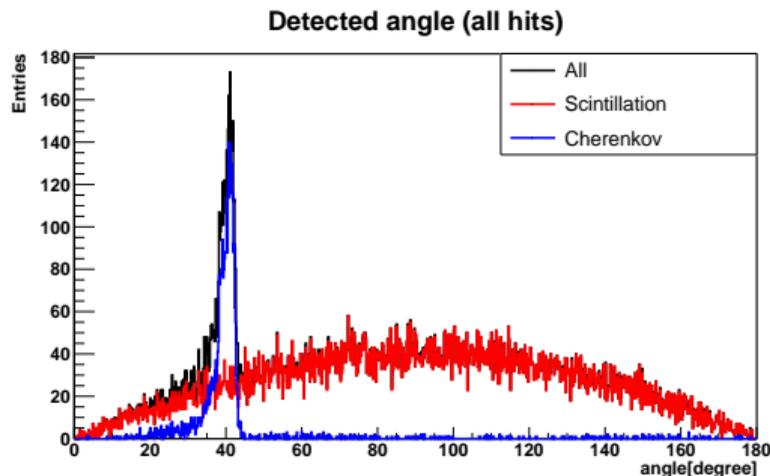


## Dichroicon

- Dichroic filter: Reflection/Transmission dependent on wavelength
- Two photosensor with Winston cones: One for Cherenkov wavelengths, one for scintillation wavelengths

# Light Separation II: Position

- Cherenkov photons emitted at a characteristic angle of  $\sim 38 - 40^\circ$ .
  - Scintillation photons emitted isotropic.
- => Use spatial information for separation.
- In an experiment excellent granularity in direction of Cherenkov cone is needed.
- => LAPPDs are capable of this feature.
- For non-beam events: Track reconstruction is necessary to get direction.



# Light Separation III: Timing

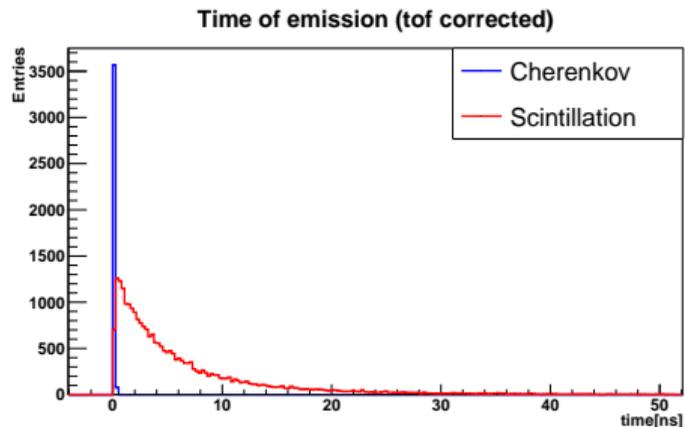
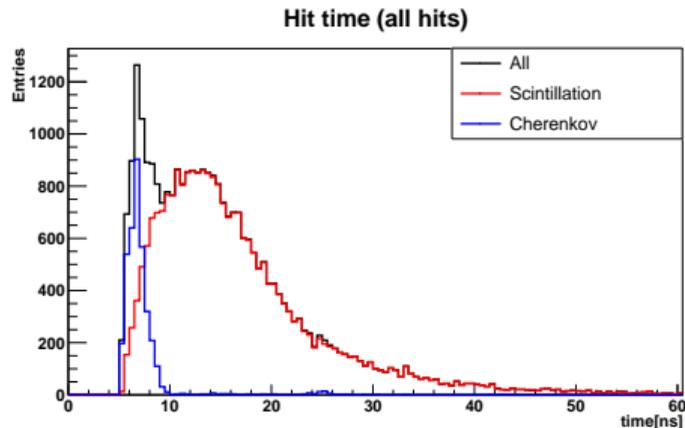
- Cherenkov photons emitted promptly.
- Scintillation photons emitted delayed.
- Velocity dependent on wavelength:  
Scintillation light travels slower

⇒ Use time information for separation.

- Need for fast photosensors

⇒ LAPPDs are fast enough.

⇒ **LAPPDs are a good tool for Cherenkov and scintillation light separation using spatial and timing information!**



# Light Separation IV: outlook

Two main ideas:

- 1 Use algorithms before or in reconstruction to sort hits.
- 2 Use probability information within the reconstruction.

## Algorithm way

- Generate several hundreds of events for looking into overall timing/spatial profile.
- Reconstruct track direction for using spatial information or use the topological track reconstruction for this purpose.
- Combination of timing and spatial cuts/weights for separation.

## Separation within reconstruction

- Scintillation hits get less weight in Cherenkov reconstruction and vice versa at track.
- This information might be usable for separation.
- Furthermore, directional information like directional sum to estimate amount of Cherenkov versus scintillation light in cells.

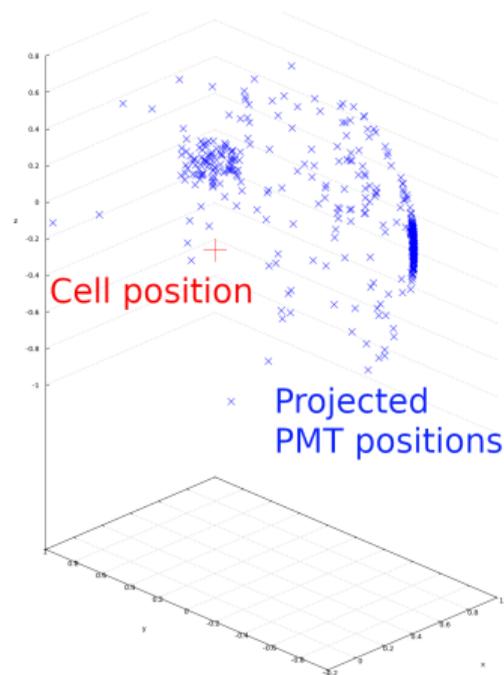
# Directional information

## Goal

- Use the working principle of the TTR to gain directional information
- Find for every cell in volume direction vectors for secondary/shower identification
- Direction vectors might be usable for Cherenkov light identification

## Method

- Project all contributing PMTs to cell on unit sphere.
- Two Methods: Circular Hough transform and directional sum



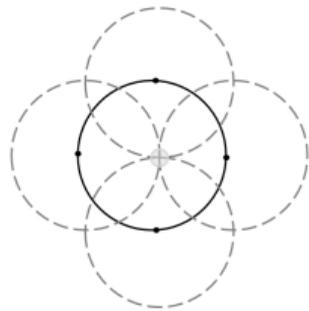
# Directional sum and Hough transform

## Directional Sum

- Add up the unit vectors of contributing PMTs.
- Gives two information:
  - Direction of the directional sum
  - Length of the directional sum
- Both information can be useful.

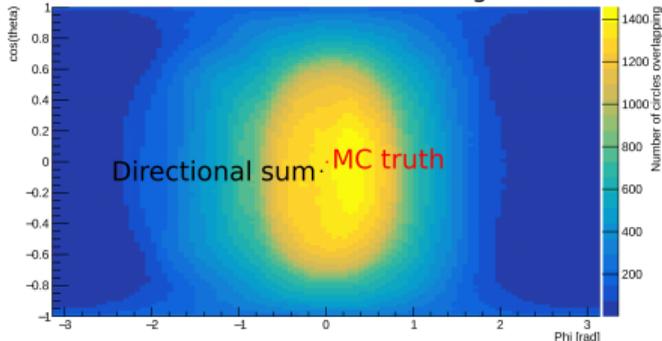
## Hough transform

- Project unit sphere on angle plane.
- Draw circle around PMT position with radius corresponding to Cherenkov angle.
- Full circles useful for leaving tracks

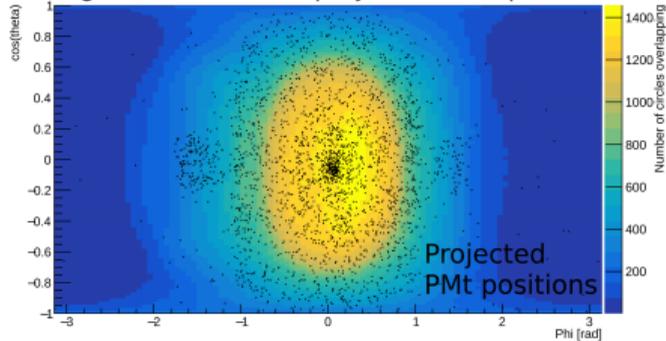


[<https://www.mathworks.com>]

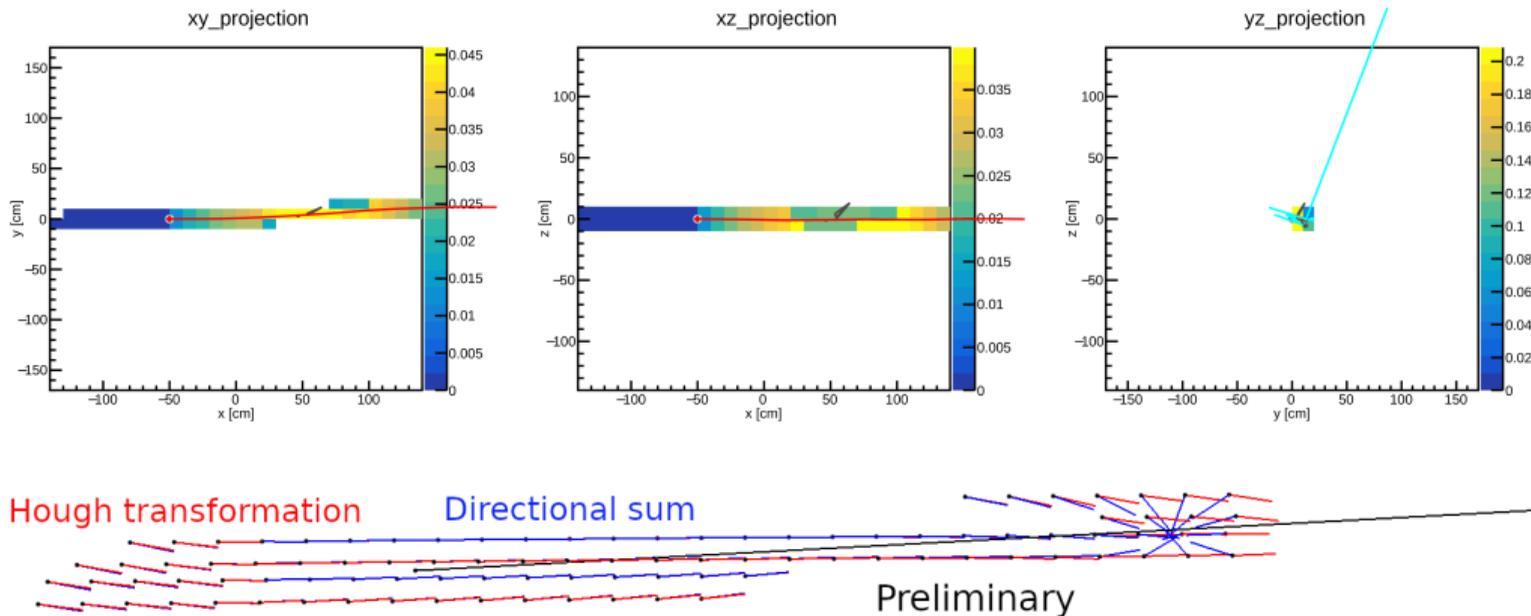
Directional Sum and MC truth in Hough transform



Hough transform and projected PMT positions



# Preliminary results

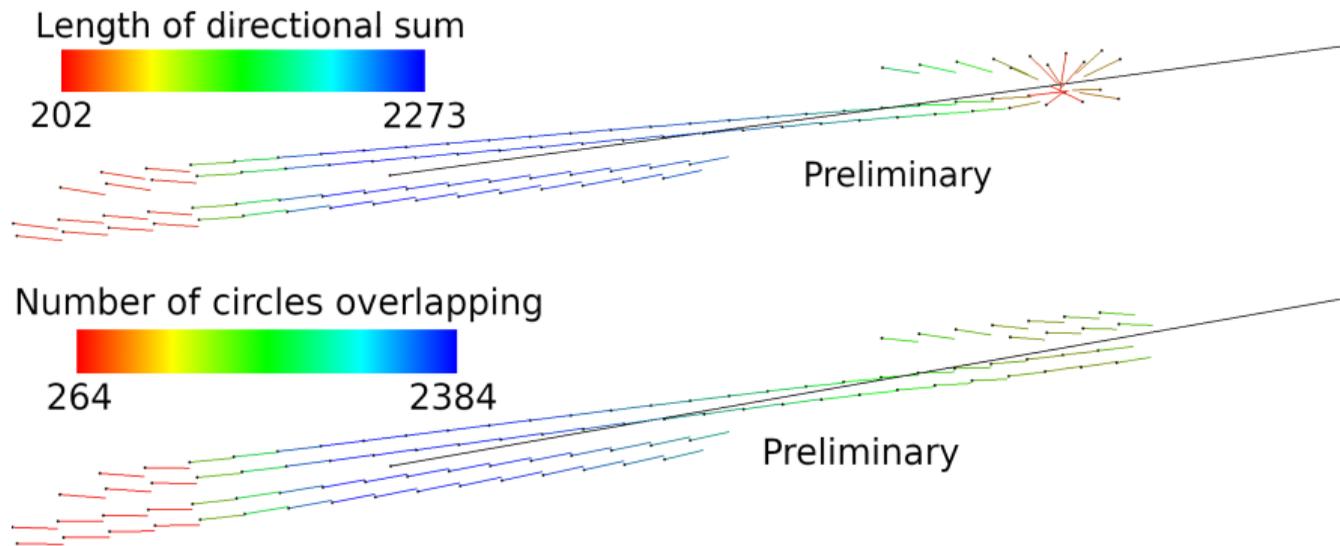


- First information along the track with both methods
- Agreement with the event
- Directional sum needs improvement for end of track.

## Preliminary results II and status

- Not only direction, also “quality” of directional sum and Hough transform useful
- Parameters: Length of directional sum and number of circles overlapping for Hough transform
- Indicate amount of Cherenkov light

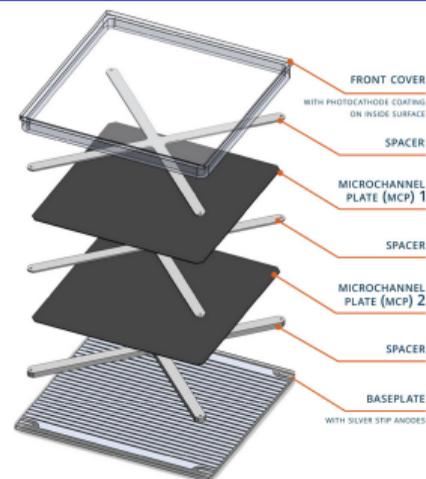
=> Useful for wbLS application



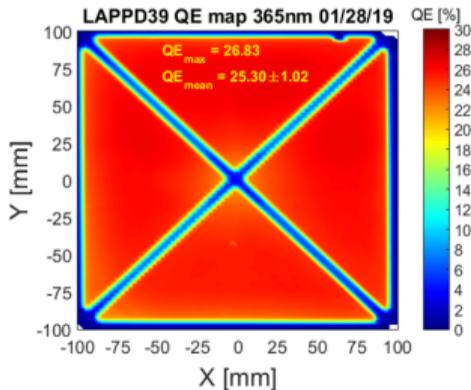
**Status:** Directional information can be found and look promising. Needs testing and validation.

# Motivation III: Large Area Picosecond Photodetectors (LAPPDs)

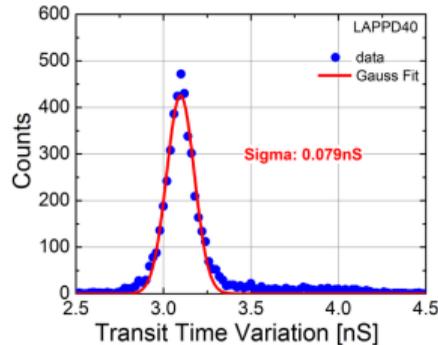
- Photodetectors with two Microchannel Plates and 30 anode strips with 20 cm · 20 cm size
- Excellent time resolution of  $< 100$  ps  
JUNO PMTs have  $\sim 1$  ns
- Spatial resolution of  $< 1$ cm  
JUNO PMTs no granularity:  $> 50$  cm
- Quantum efficiency  $> 20\%$   
JUNO PMTs  $\sim 30\%$



[Incom]

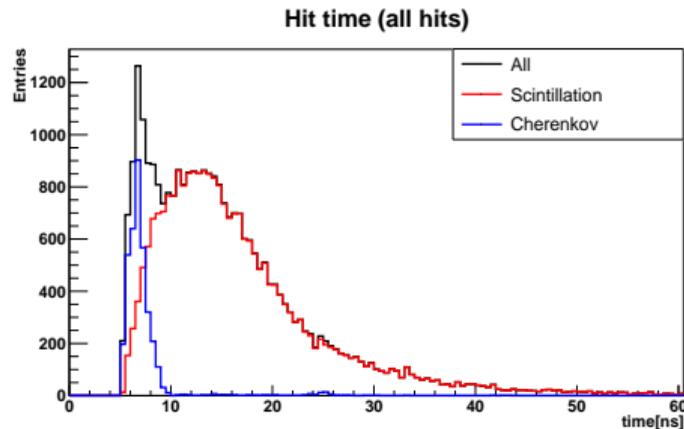
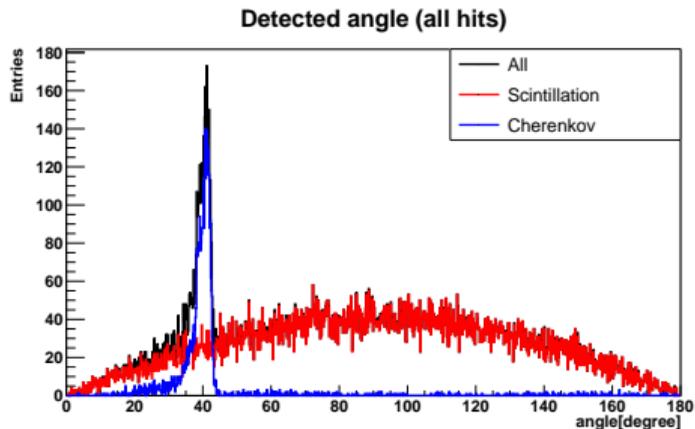
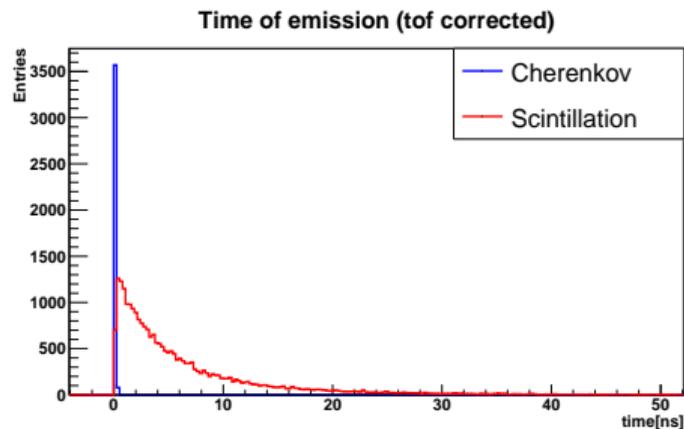
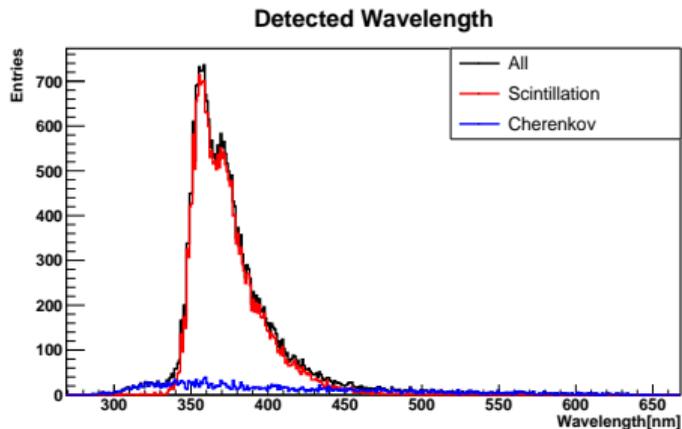


[arXiv:1909.10399]



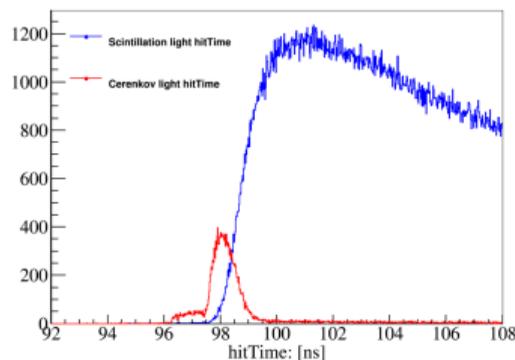
[arXiv:1909.10399]

# Simulation: wbLS examples plots II



# Direction reconstruction

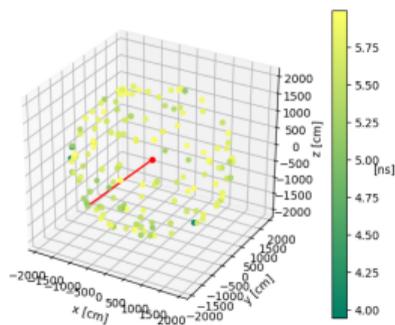
- In JUNO  $\sim 3\%$  of the emitted light is Cherenkov light.
- This opens an opportunity for a direction reconstruction.
- Motivation: background suppression for neutrinos of sources with known location, especially solar neutrinos
- **No usage of the TTR**
- Training sample: 100,000 electron events (3 MeV) at the center of JUNO (using detector simulation)
- Validation and evaluation: 10,400 events for every 1 MeV step between 1 and 8 MeV
- Time of flight correction
- Time cut 5.5 ns after time of flight correction
- Usage of known vertex position



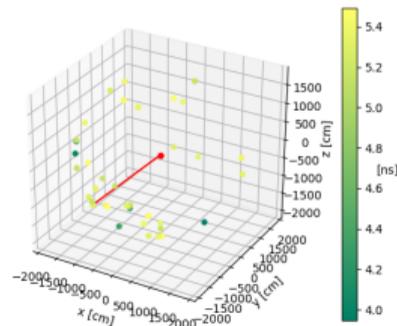
[Determination of Supernovae Direction with Reconstructed Positron Information; DOI: 10.22323/1.244.0067]

# Hit distribution

Time cut 6.0 ns

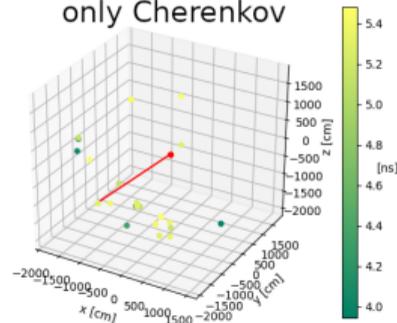


Time cut 5.5 ns



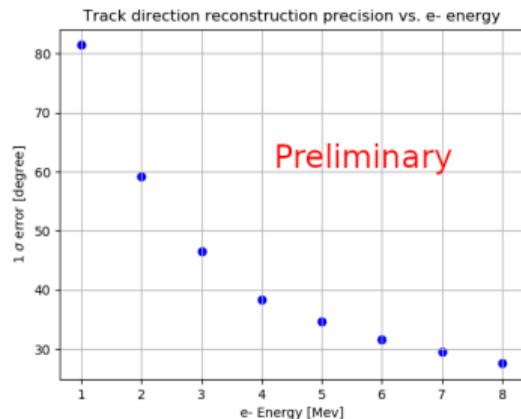
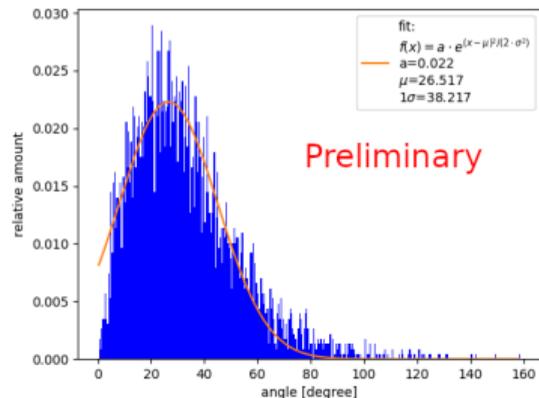
- Not using a CNN because
  - Edge effects if trying to parameterize the 3D sphere to 2D cartesian coordinates
  - 3D CNN would contain lots of entries with a zero
    - ⇒ massive amount of memory and running time

Time cut 5.5 ns;  
only Cherenkov



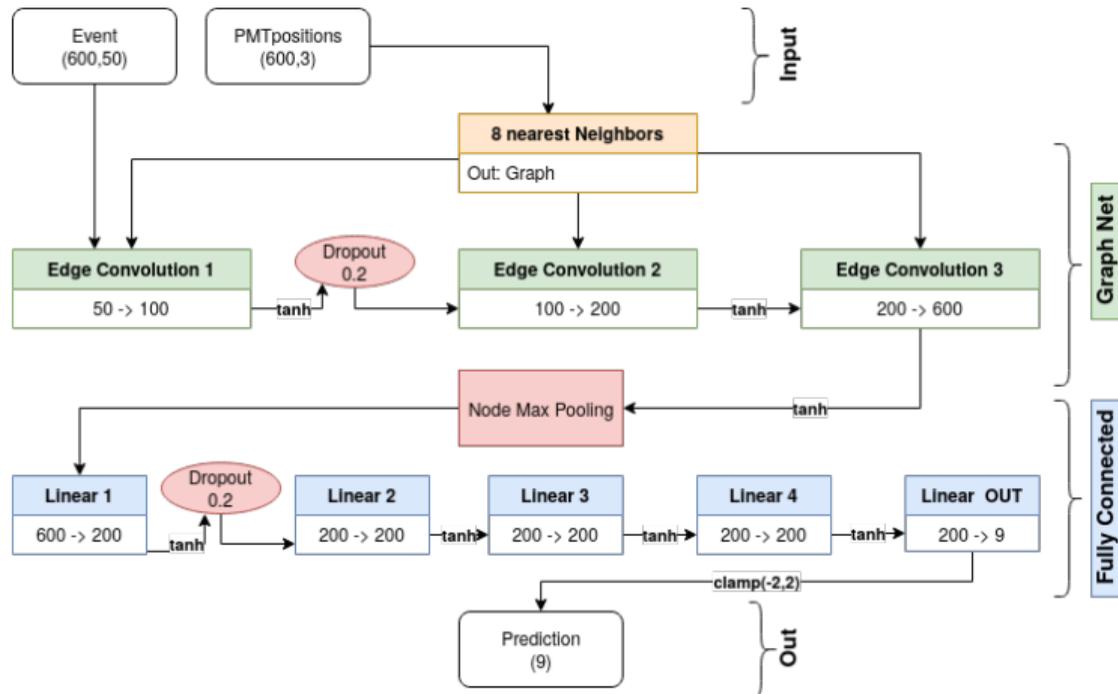
# Results

- Network PointNet  
(see [arXiv:1612.00593](https://arxiv.org/abs/1612.00593));  
Framework: **TensorFlow**
- Data represented as PointCloud (PMT positions, hit times)
- Implementation based on **Dynamic Graph CNN** with modifications:
  - No rotation and moving of input
  - Reduce output to three values
  - Add quadratic normalisation to output
  - Cosine function as loss function
  - Use Convolution, MaxPooling and Dense layer instead of ReduceMax and fully connected layer



# Network architecture

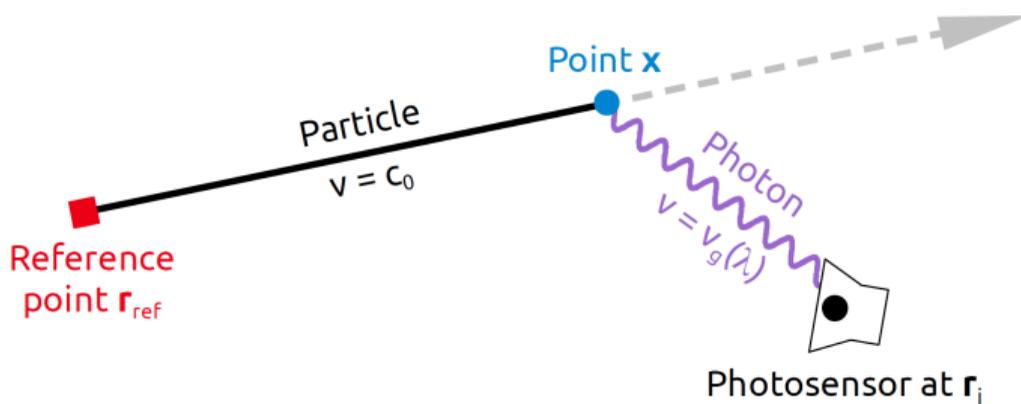
- First stage: Dynamic Graph CNN (see [arXiv:1801.07829](https://arxiv.org/abs/1801.07829))
- Second stage: Fully connected layers
- Notable feature: Node max pooling (take maximum of each node)



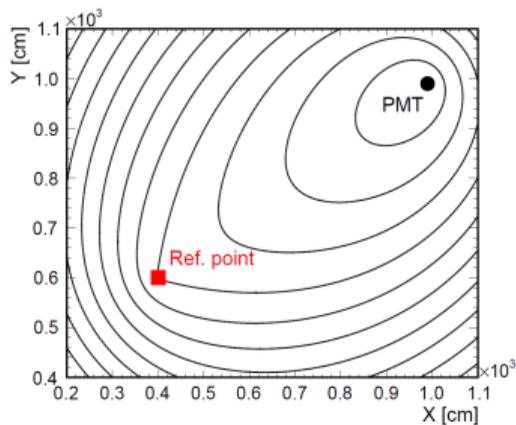
# General concept of the Topological Track Reconstruction (TTR)

- Known reference point in time  $t_{\text{ref}}$  and space  $\mathbf{r}_{\text{ref}}$
- Assume straight particle path with velocity  $c_0$ .
- Calculate possible locations  $\mathbf{x}$  of the particle at time  $t(\mathbf{x})$ .
- Developed by our group in Hamburg. See: Björn Wonsak et al. “Topological track reconstruction in unsegmented, large-volume liquid scintillator detectors” ([arXiv:1803.08802](https://arxiv.org/abs/1803.08802))

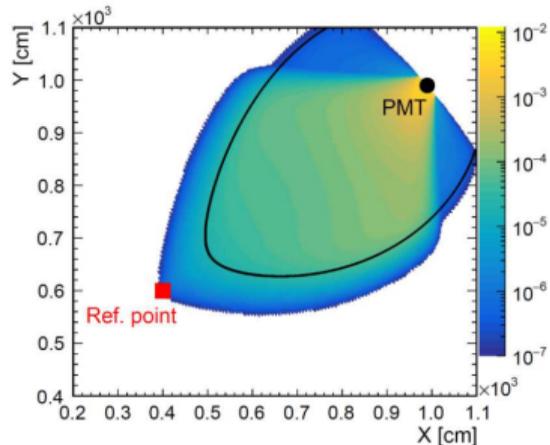
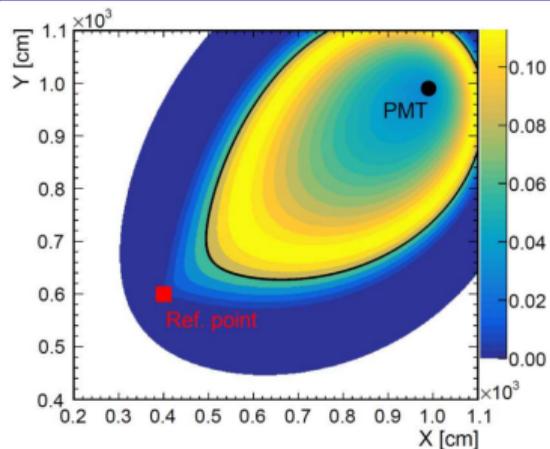
$$t(\mathbf{x}) = t_{\text{ref}} \pm \underbrace{\frac{|\mathbf{x} - \mathbf{r}_{\text{ref}}|}{c_0}}_{\text{particle}} + \underbrace{\frac{|\mathbf{r}_j - \mathbf{x}|}{v_g(\epsilon)}}_{\text{photon}}$$



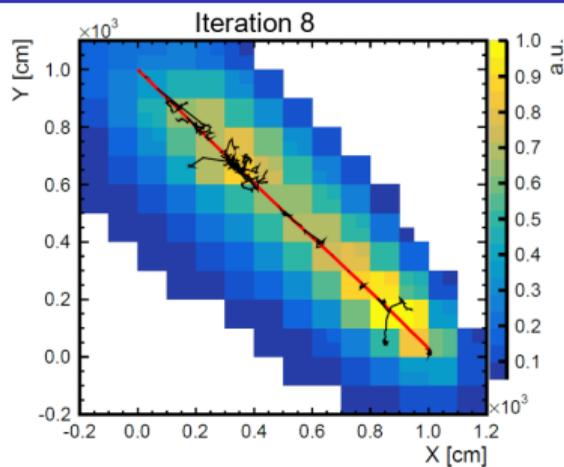
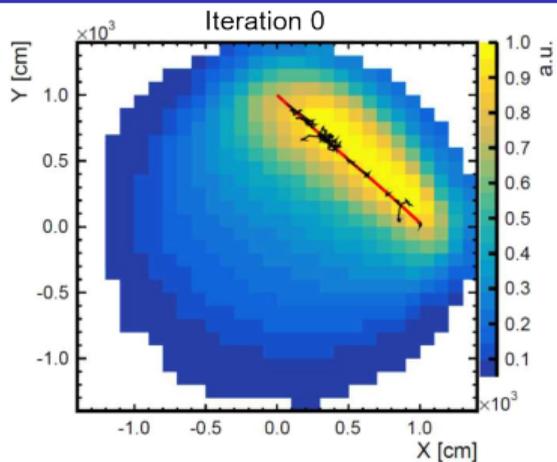
# Probability density functions



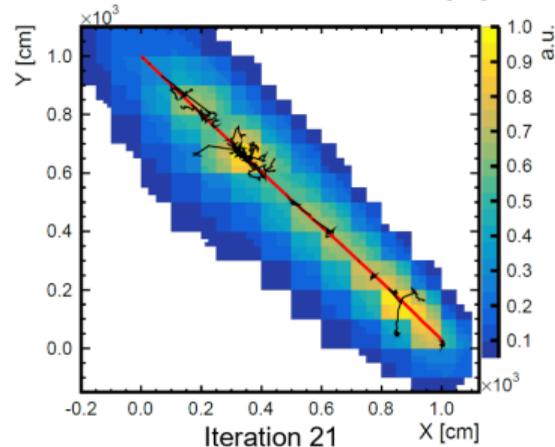
- Develop **probability density functions (p.d.f.s)** when taking more effects into account.
  - 1 Isochrones come from the inversion of  $t(x)$ .
  - 2 Time uncertainty of scintillation light and response of photosensor
  - 3 Detection and propagation effects like angular acceptance and attenuation



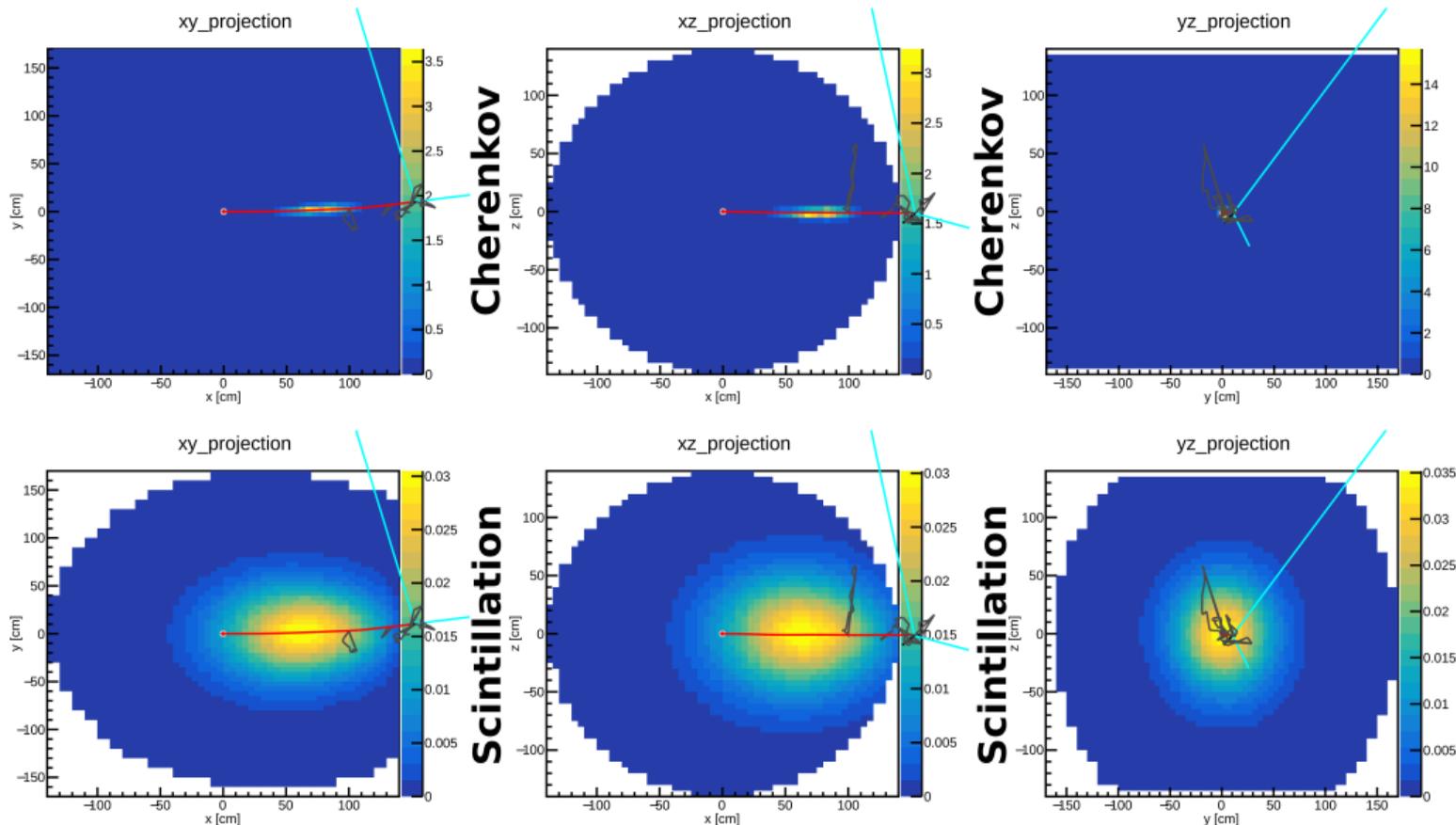
# Reconstruction method



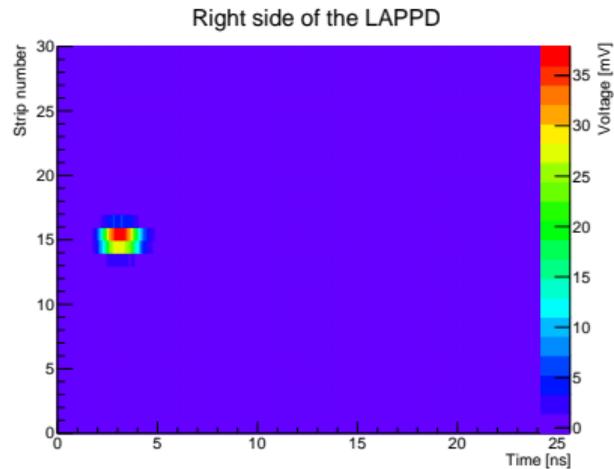
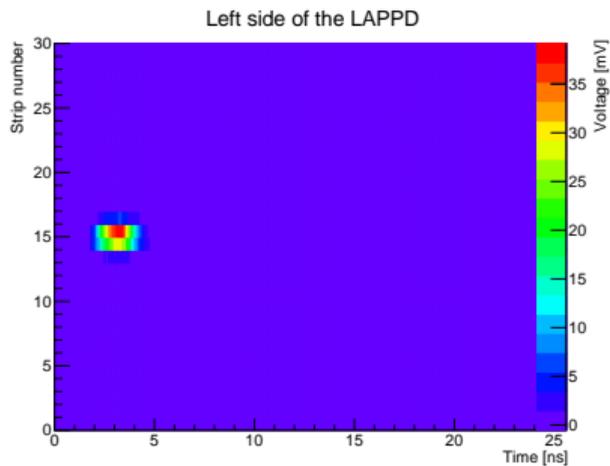
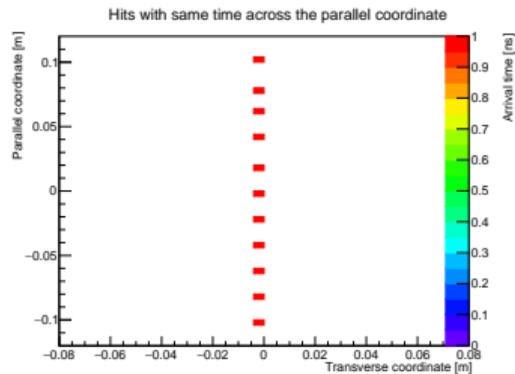
- Create a p.d.f. for every hit and each PMT.
- Superimpose the p.d.f.s for every bin in volume.
- Gain probability mask showing most-likely origin of light.
- Treat prior iteration as truth; cut cells.
- Reconstruct again based on the previous iteration.
- Refine binning for more detailed result.



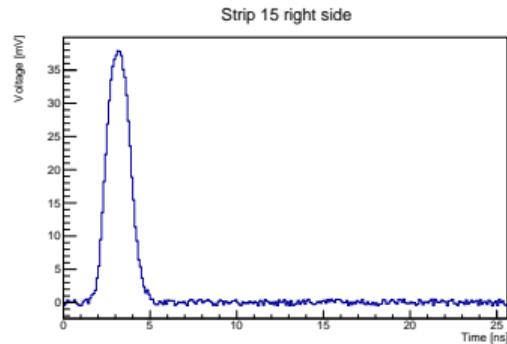
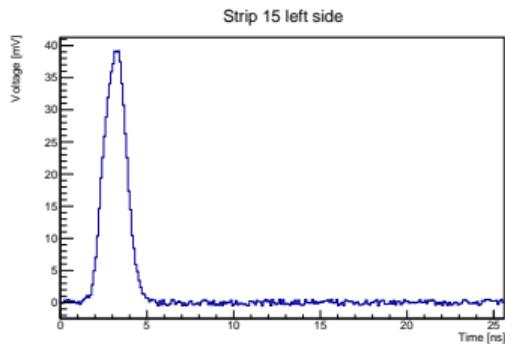
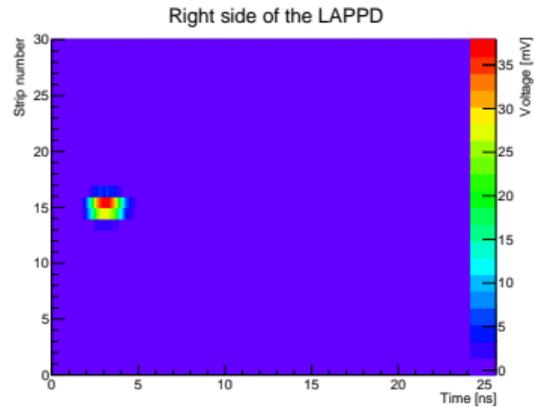
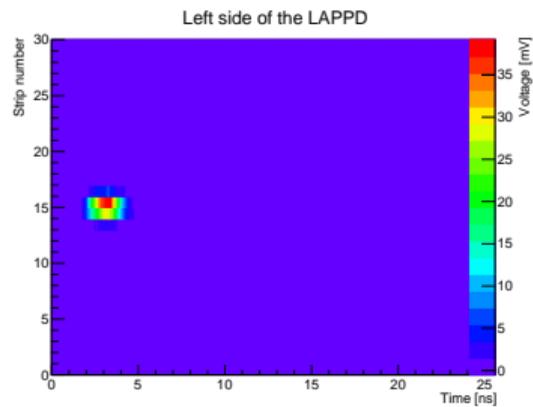
# Reconstruction result wbLS: Iteration 10



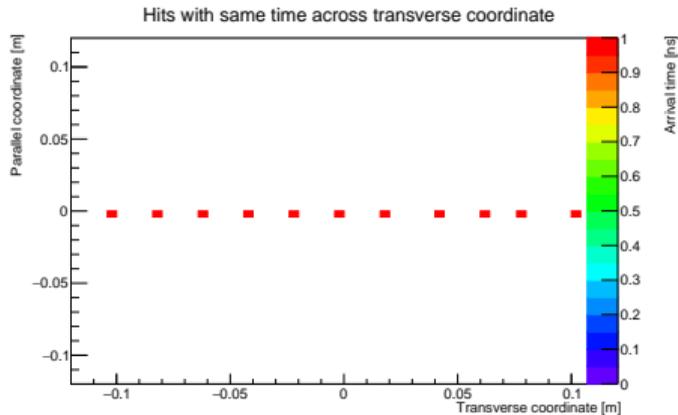
# Hits across the parallel coordinate I



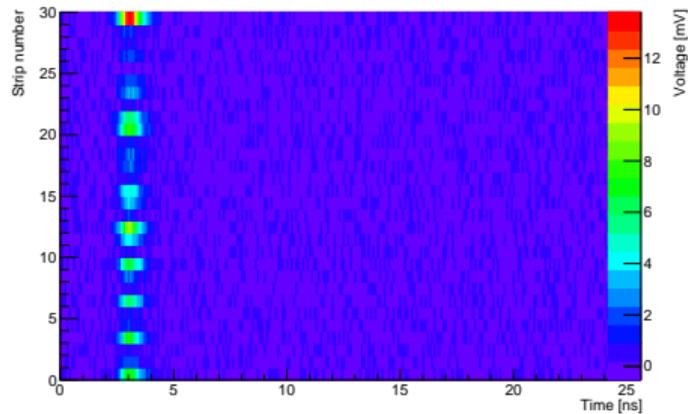
# Hits across the parallel coordinate II



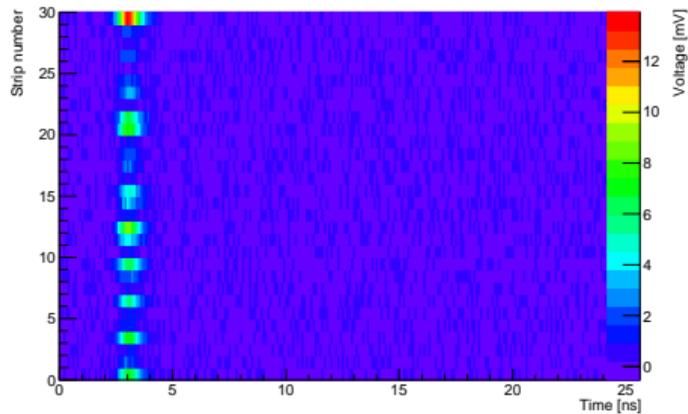
# Hits across transverse coordinate



Left Side of the LAPPD

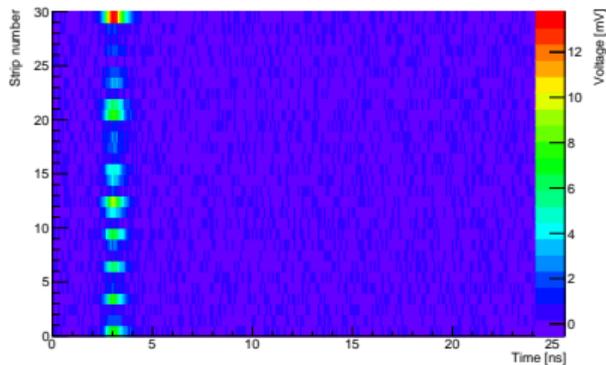


Right side of the LAPPD

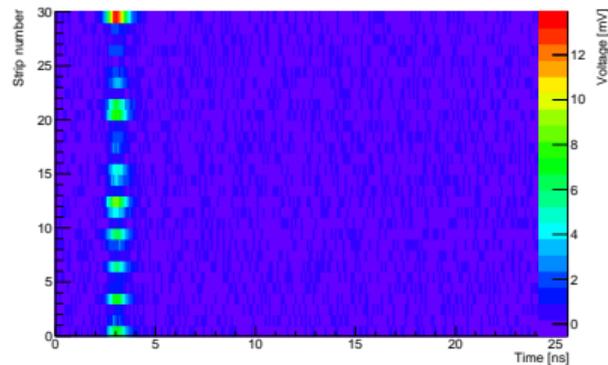


# Hits across transverse coordinate II

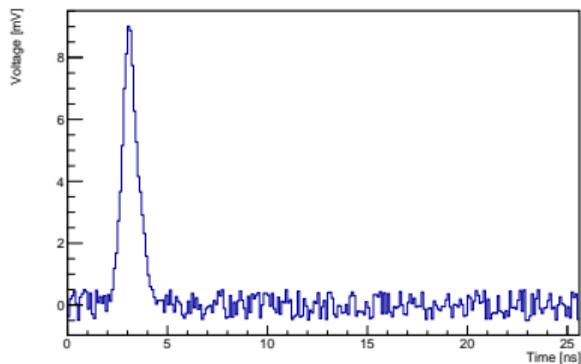
Left Side of the LAPPD



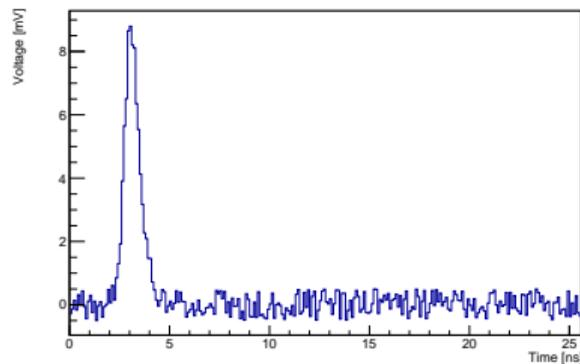
Right side of the LAPPD



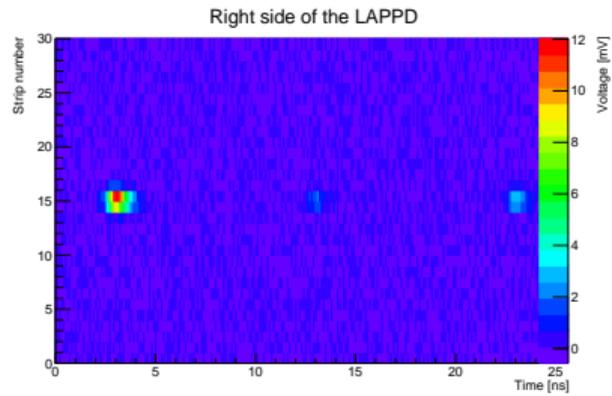
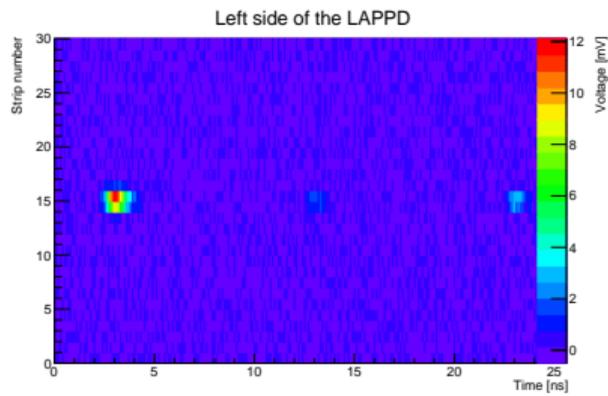
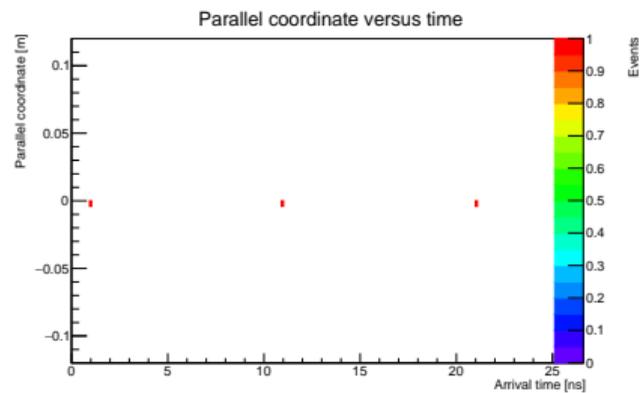
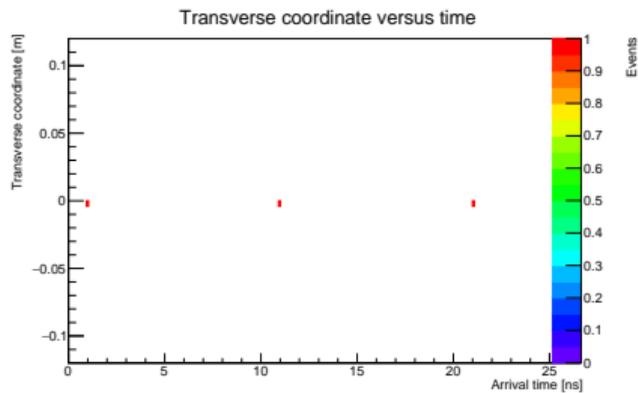
Strip 12 left side



Strip 12 right side

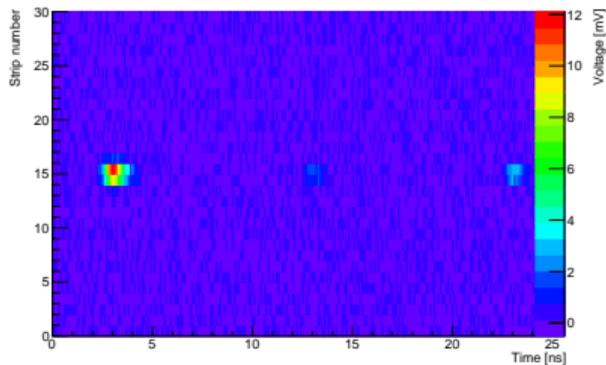


# Hits with different time at same spot

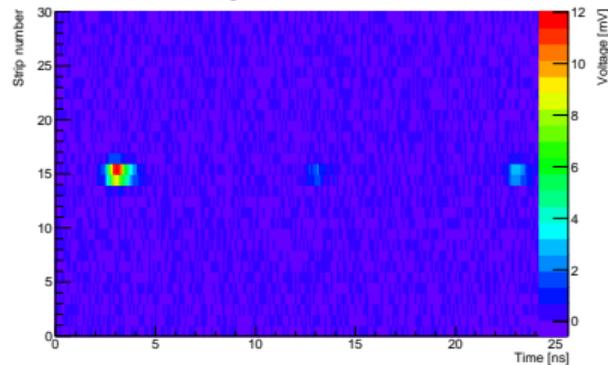


# Hits with different time at same spot II

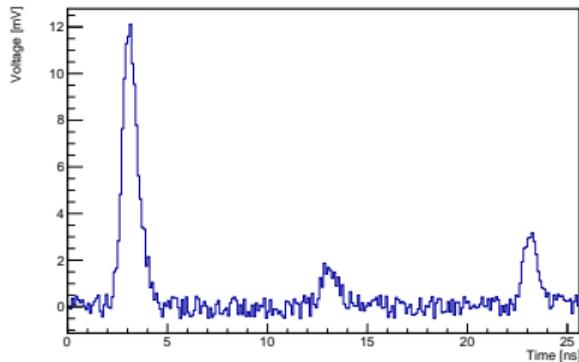
Left side of the LAPPD



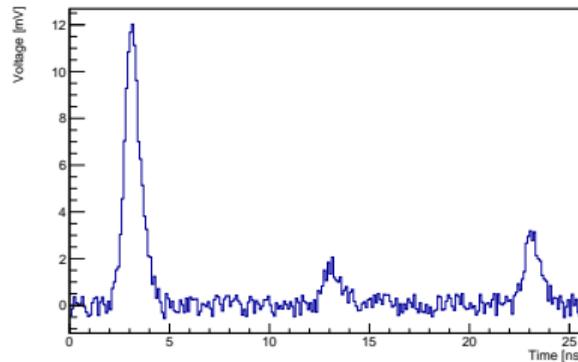
Right side of the LAPPD



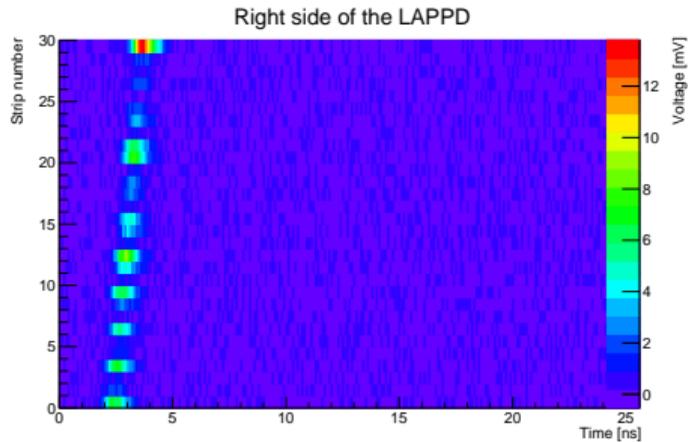
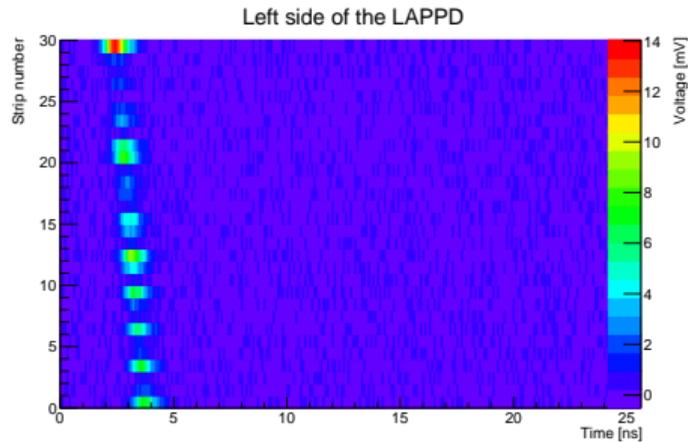
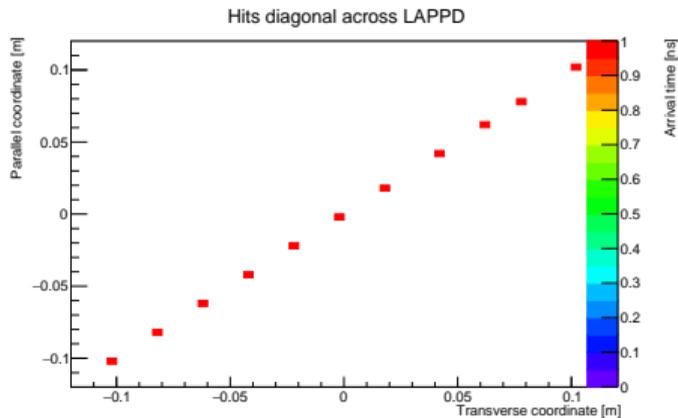
Strip 15 left side



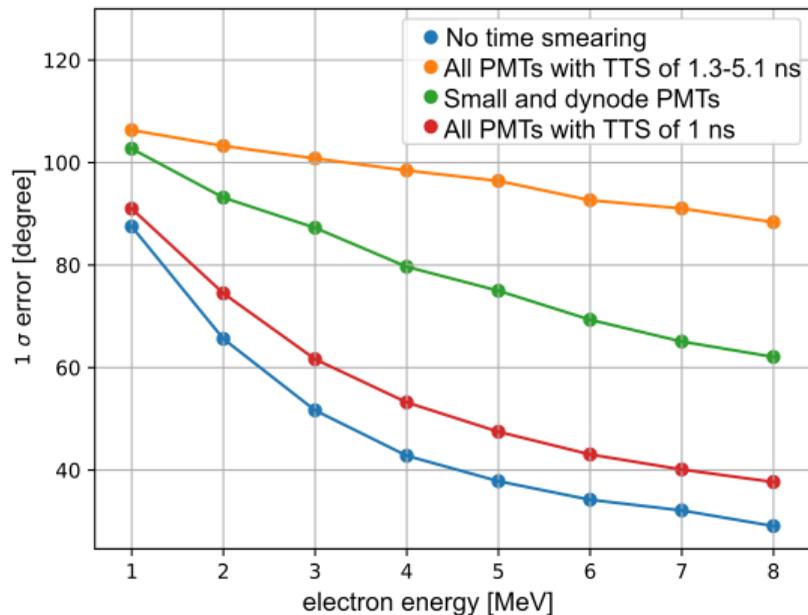
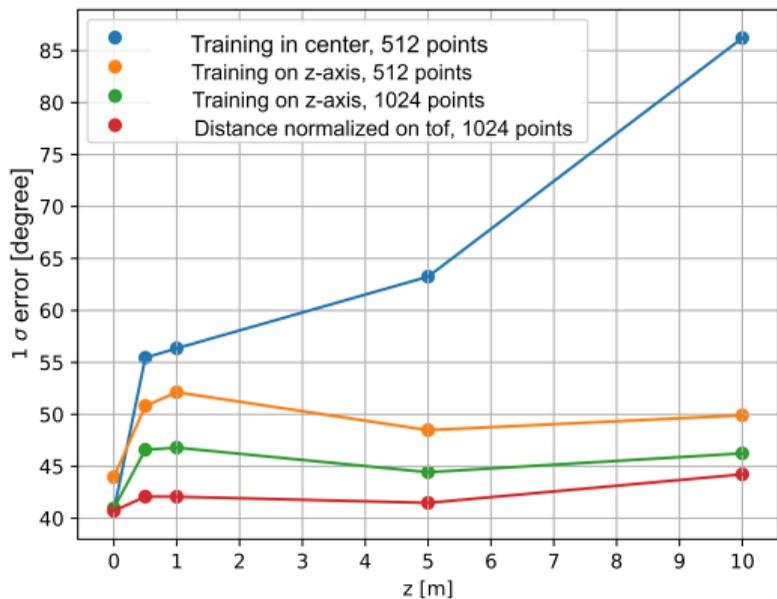
Strip 15 right side



# Diagonal Hits across LAPPD



# Influence of training and time resolution



- Training in center yields worse results, especially farther outside.
- Training on z-axis normalised to the time of flight is best option.
- Performance improves with time resolution of the PMTs.