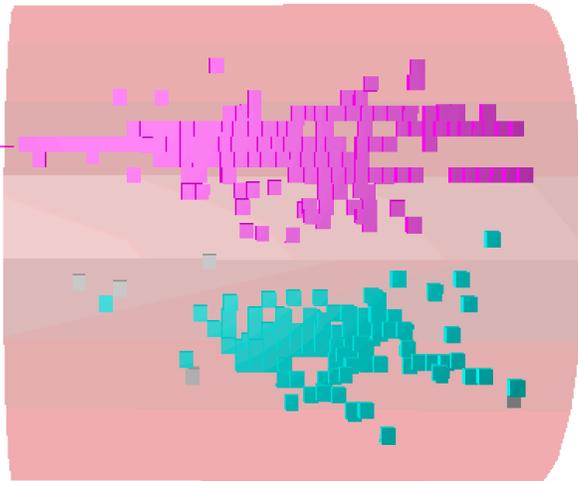


Pandora Particle Flow Algorithm Studies

On CALICE Analog Hadron Calorimeter 2018 Beam Test Data



Magenta: Charged Hadron
Cyan: Neutral Hadron
Grey: Unclustered Hits

Daniel Heuchel (DESY)
for the CALICE-D Collaboration
daniel.heuchel@desy.de
Virtual DPG Frühjahrstagung
18th March 2021

Outline

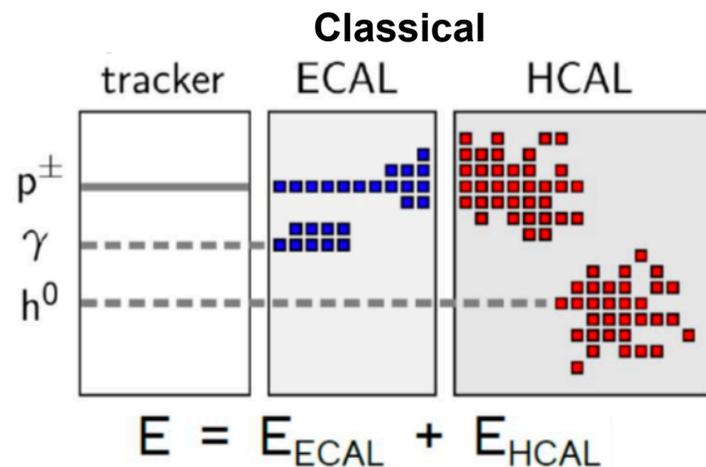
For this Talk

- Concept of Particle Flow
- Analog Hadron Calorimeter 2018 Prototype
- Applying Pandora Particle Flow Algorithm on Beam Test Data
 - ➔ Results of Single & Double Particle Event Reconstruction
- Summary & Outlook

The Concept of Particle Flow Reconstruction

Reaching Highest Precision

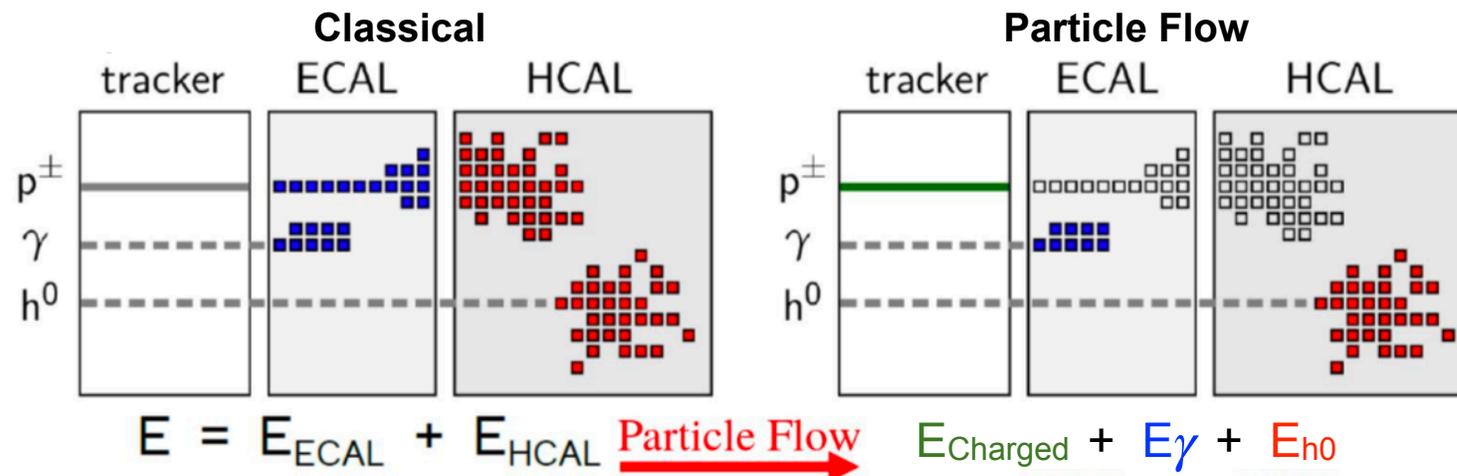
- High precision experiments at future e^+e^- colliders e.g. proposed International Linear Collider (ILC)
 - ➔ One goal: Unprecedented jet energy resolution of 3-4% (jet energies 40-500 GeV)
- Not achievable with classical calorimetry due to **poor hadronic calorimeter resolution**



The Concept of Particle Flow Reconstruction

Reaching Highest Precision

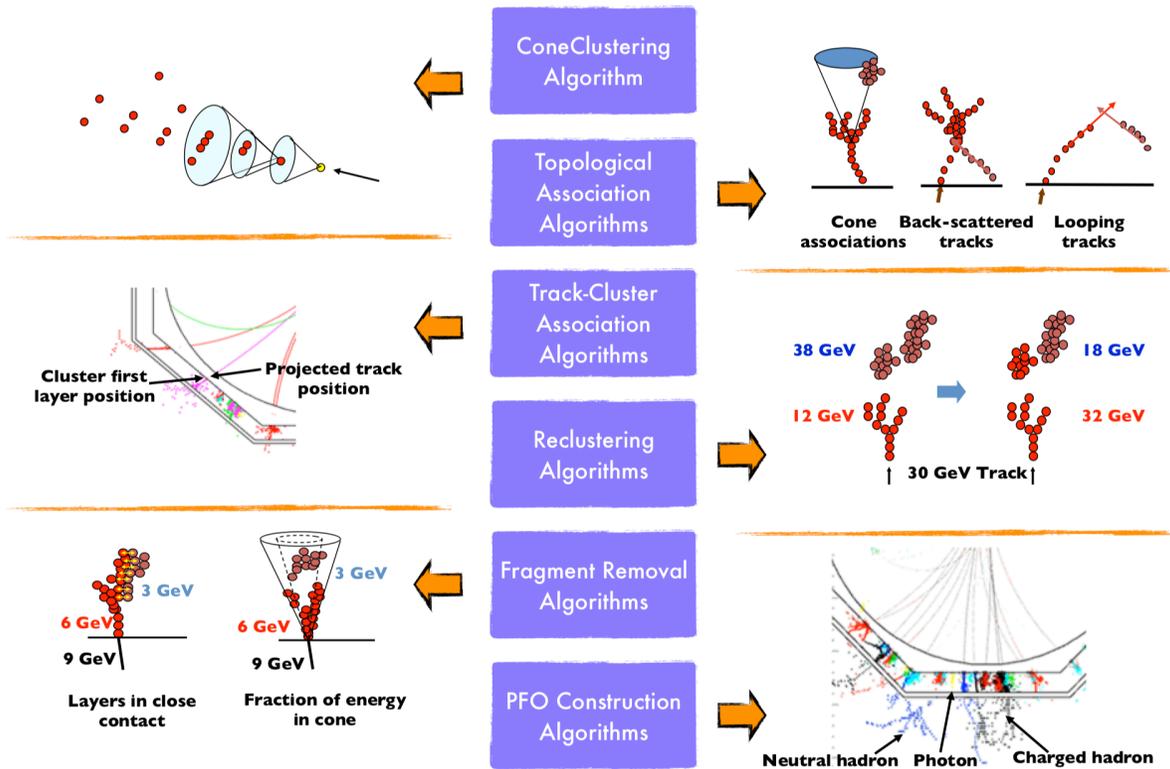
- High precision experiments at future e^+e^- colliders e.g. proposed International Linear Collider (ILC)
 - ➔ One goal: Unprecedented jet energy resolution of 3-4% (jet energies 40-500 GeV)
- Not achievable with classical calorimetry due to **poor hadronic calorimeter resolution**
- **Concept of Particle Flow: Follow each particle through the whole detector system**
 - ➔ Use energy measure of sub-detector providing best resolution
 - ➔ Excellent momentum resolution of **tracker for ~60% charged particles in jets**
 - ➔ Use calorimeter measure only for neutral particles



The Pandora Particle Flow Algorithm (PandoraPFA)

A Multi-Algorithm Pattern Recognition Tool

Illustration of Key Steps of PandoraPFA



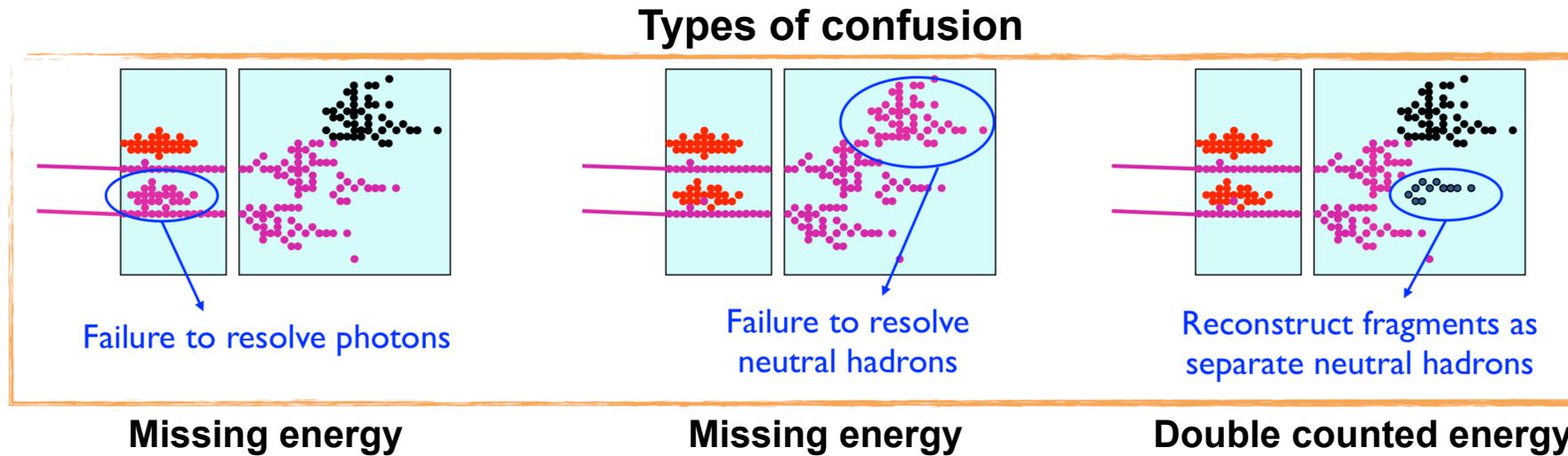
- PandoraPFA: Complex multi-algorithm chain using pattern recognition for event reconstruction
 - ➔ Performs calorimeter hit clustering, topological associations, ...
 - ➔ Highly recursive: Find most accurate reconstruction scenario
 - ➔ Overall goal: Distinguish energy depositions originating from charged and neutral particles in calorimeters and avoid **confusion** among this

J. S. Marshall: https://indico.in2p3.fr/event/7691/contributions/42712/attachments/34375/42344/3_john_marshall_PFA_marshall_24.04.13.pdf

Confusion Scenarios

The Limit of Particle Flow Reconstruction

- **Topologically or energetically confusing** events could cause problems for PFA reconstruction:
 - ➔ Missing or double counted energy limiting jet energy resolution



J. S. Marshall: https://indico.in2p3.fr/event/7691/contributions/42712/attachments/34375/42344/3_john_marshall_PFA_marshall_24.04.13.pdf

- Crucial requirements for Particle Flow designed detector systems keeping confusion on considerable level:
 - ➔ Calorimeters within magnetic coil for proper track-cluster associations
 - ➔ **High granularity calorimeters** to fully exploit pattern recognition algorithms

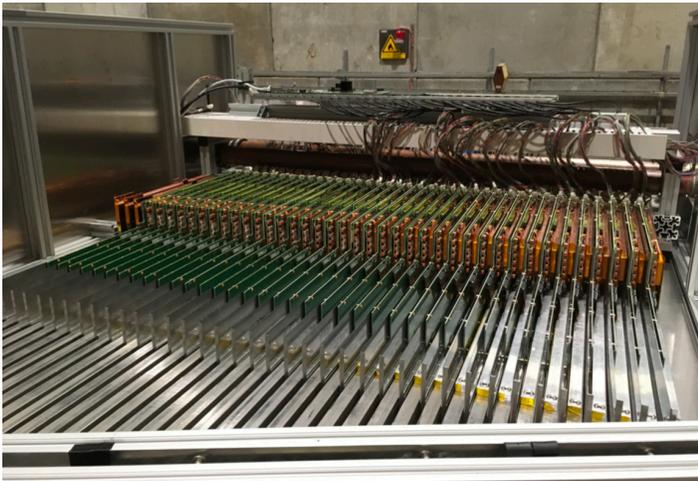
The Analog Hadron Calorimeter Prototype 2018

A Highly Granular SiPM-on-tile Sampling Calorimeter

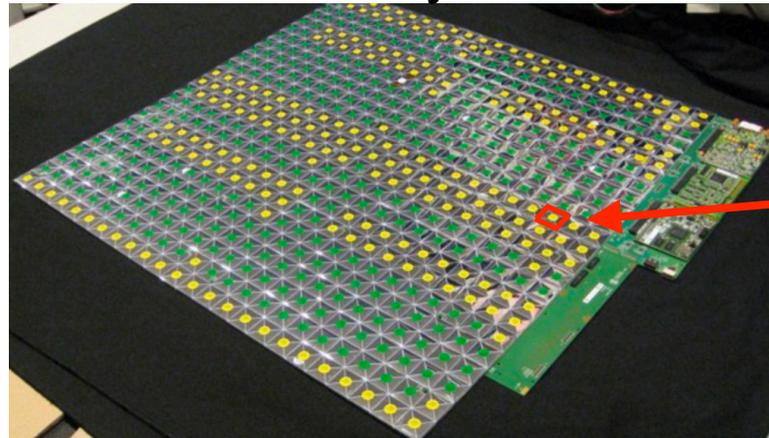


- 38 layer steel sampling calorimeter ($\sim 4 \lambda_n$) featuring a total of **$\sim 22k$ channels**
- Active layers ($72 \cdot 72 \text{ cm}^2$) consisting of 576 channels
 - ➔ One channel: Silicon-Photomultiplier (SiPM) coupled to wrapped scintillating tile
- **Compact design:** Fully integrated front-end readout electronics, no active cooling
- In 2018: Three successful test beam campaigns at SPS CERN collecting electron/muon/**pion data**

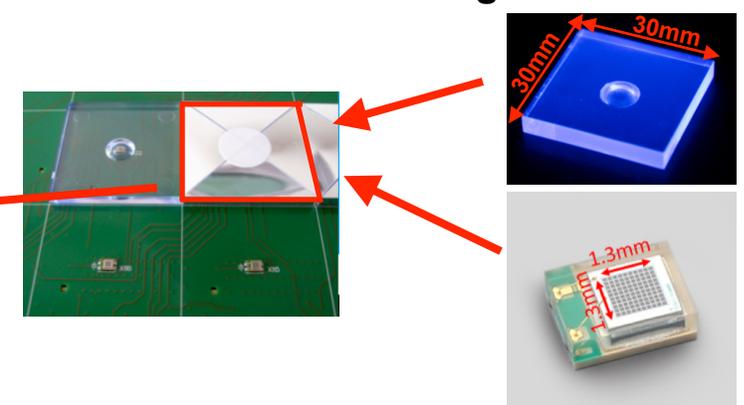
38 layers within steel absorber stack



One layer



One channel: Scintillating tile + SiPM

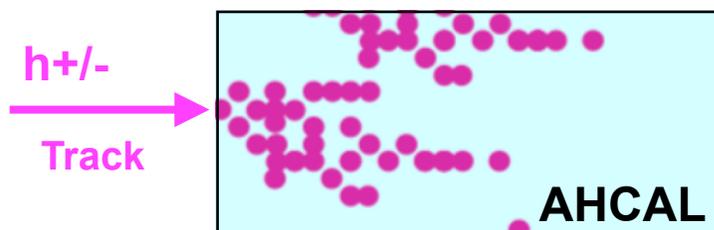


Motivation and Goals of Studies

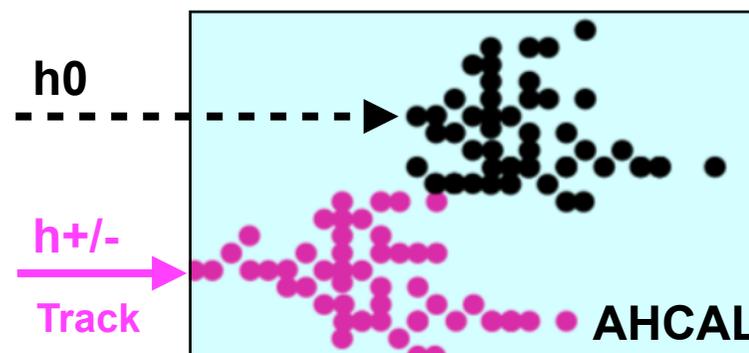
PandoraPFA on AHCAL 2018 Prototype Data

- Over the last years significant developments on software (PandoraPFA) & hardware side (AHCAL)
- Apply PandoraPFA on AHCAL 2018 beam test data and simulated events
 - ➔ Evaluate simulated algorithm performance for standalone application & **provide feedback on real data**
 - ➔ Compare performance on data and simulated events
 - ➔ Study degree of confusion for different scenarios (particle energies, shower separation, etc.)

Scenario 1 (Single Charged Hadron Event)



Scenario 2 (Charged Hadron + Neutral Hadron Event)



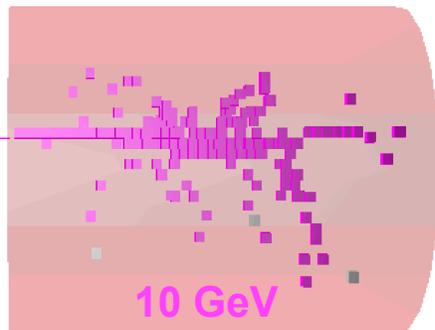
Note: No magnetic field during beam test

Results: Single Particle Reconstruction I

How many Particles are Reconstructed by PandoraPFA?

Note: PFO = Particle Flow Object

Good Case



1 Particle (PFO)

Confusion Case



2 Particles (PFOs)

Magenta: Charged Hadron
Cyan: Neutral Hadron
Grey: Unclustered Hits

- Confusion: Part of charged hadron shower reconstructed as separate neutral hadron
 - ➔ Scenario is sensitive to double counted energy

Results: Single Particle Reconstruction I

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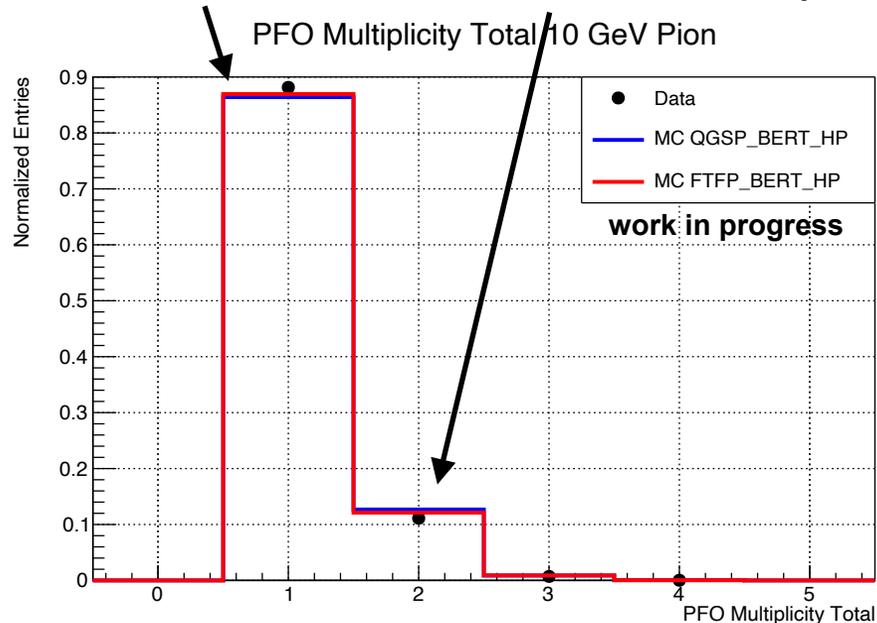
Confusion Case



2 Particles (PFOs)

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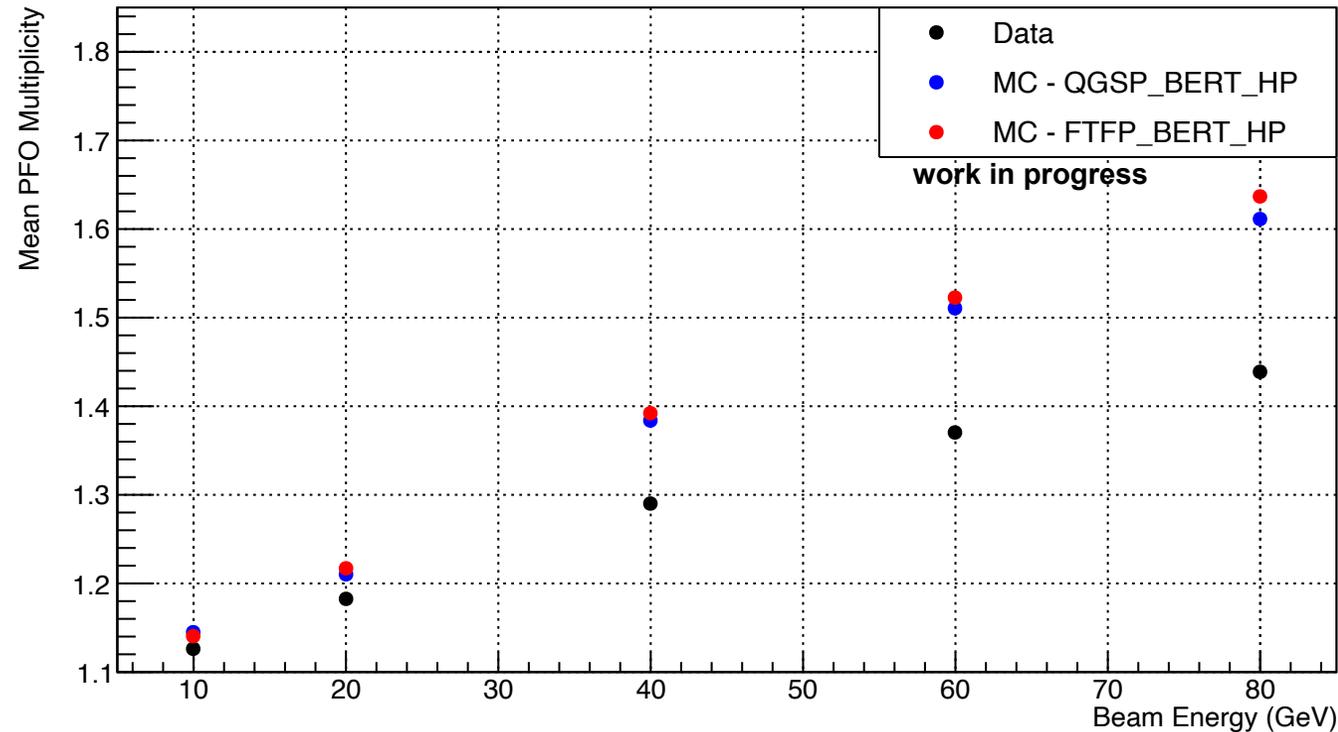


- Reconstruction of many 10 GeV pion events
➔ Good case: ~90% of events showing good reconstruction performance
➔ Very good agreement between data and simulated events

Results: Single Particle Reconstruction II

How many Particles are Reconstructed by PandoraPFA on Average?

Mean PFO Multiplicity vs. Beam Energy

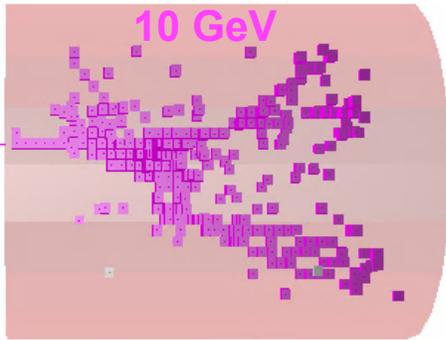


- Number of events with confusion is increasing with energy (higher energy -> more complex event topology)
- Discrepancy between data and simulation grows with energy: Confusion appears more often in simulated events

Results: Double Particle Reconstruction I

How many Particles are Reconstructed by PandoraPFA?

Confusion Case



1 Particle (PFO)

Good Case



2 Particles (PFOs)

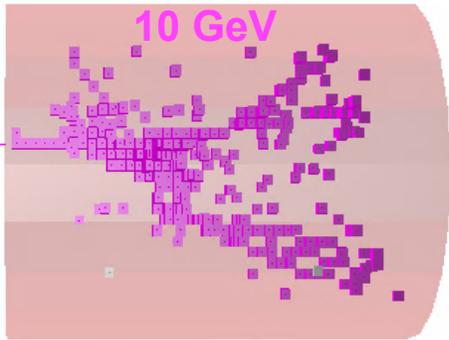
Magenta: Charged Hadron
Cyan: Neutral Hadron
Grey: Unclustered Hits

- Confusion: Neutral hadron hits are fully or partly reconstructed as part of the charged hadron
 - ➔ Scenario is sensitive to missing energy

Results: Double Particle Reconstruction I

How many Particles are Reconstructed by PandoraPFA?

Confusion Case



1 Particle (PFO)

Good Case

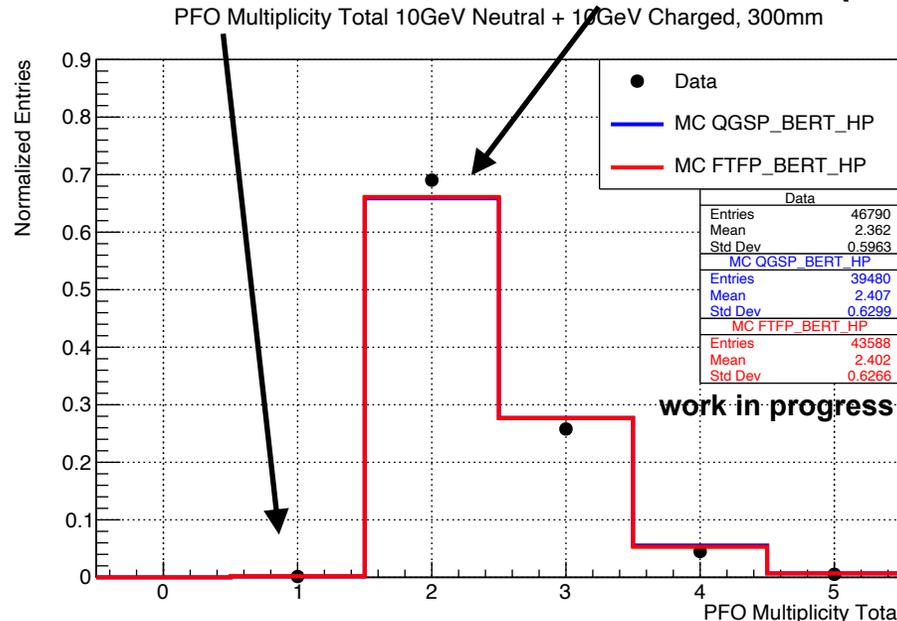


2 Particles (PFOs)

Magenta: Charged Hadron
Cyan: Neutral Hadron
Grey: Unclustered Hits

- Confusion: Neutral hadron hits are fully or partly reconstructed as part of the charged hadron

➔ Scenario is sensitive to missing energy



- PFO multiplicity for 10 GeV charged hadron overlaid with a 10 GeV neutral hadron at 300mm distance:

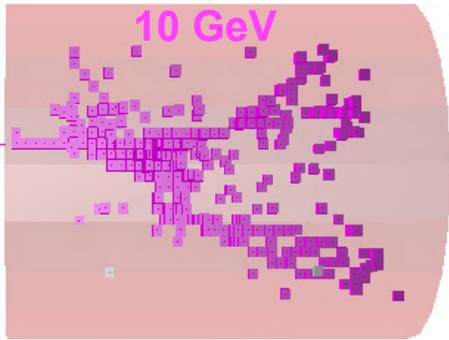
➔ Clean shower separation: Almost no full confusion events

➔ Good data to simulation agreement

Results: Double Particle Reconstruction I

How many Particles are Reconstructed by PandoraPFA?

Confusion Case



1 Particle (PFO)

Good Case

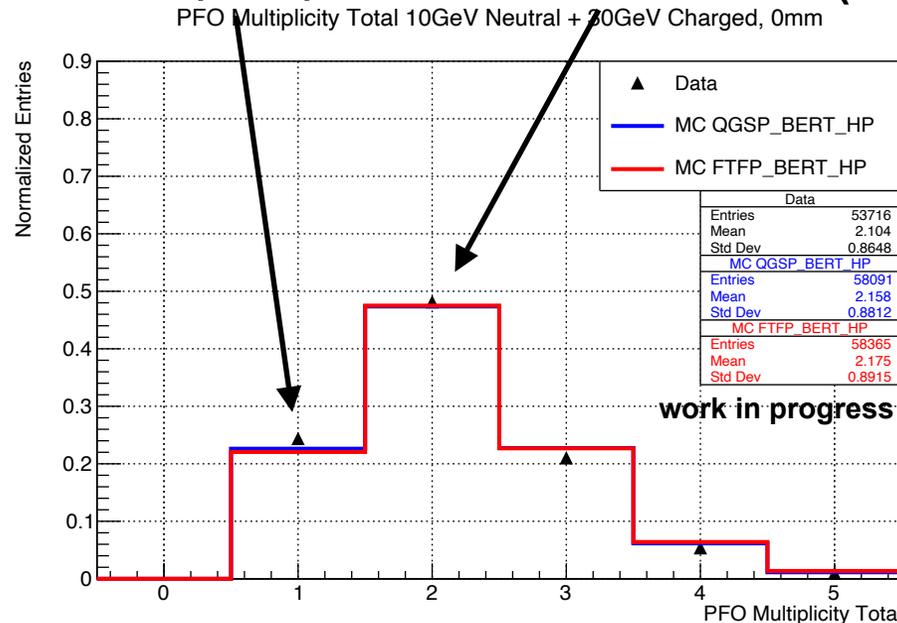


2 Particles (PFOs)

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➔ Scenario is sensitive to missing energy

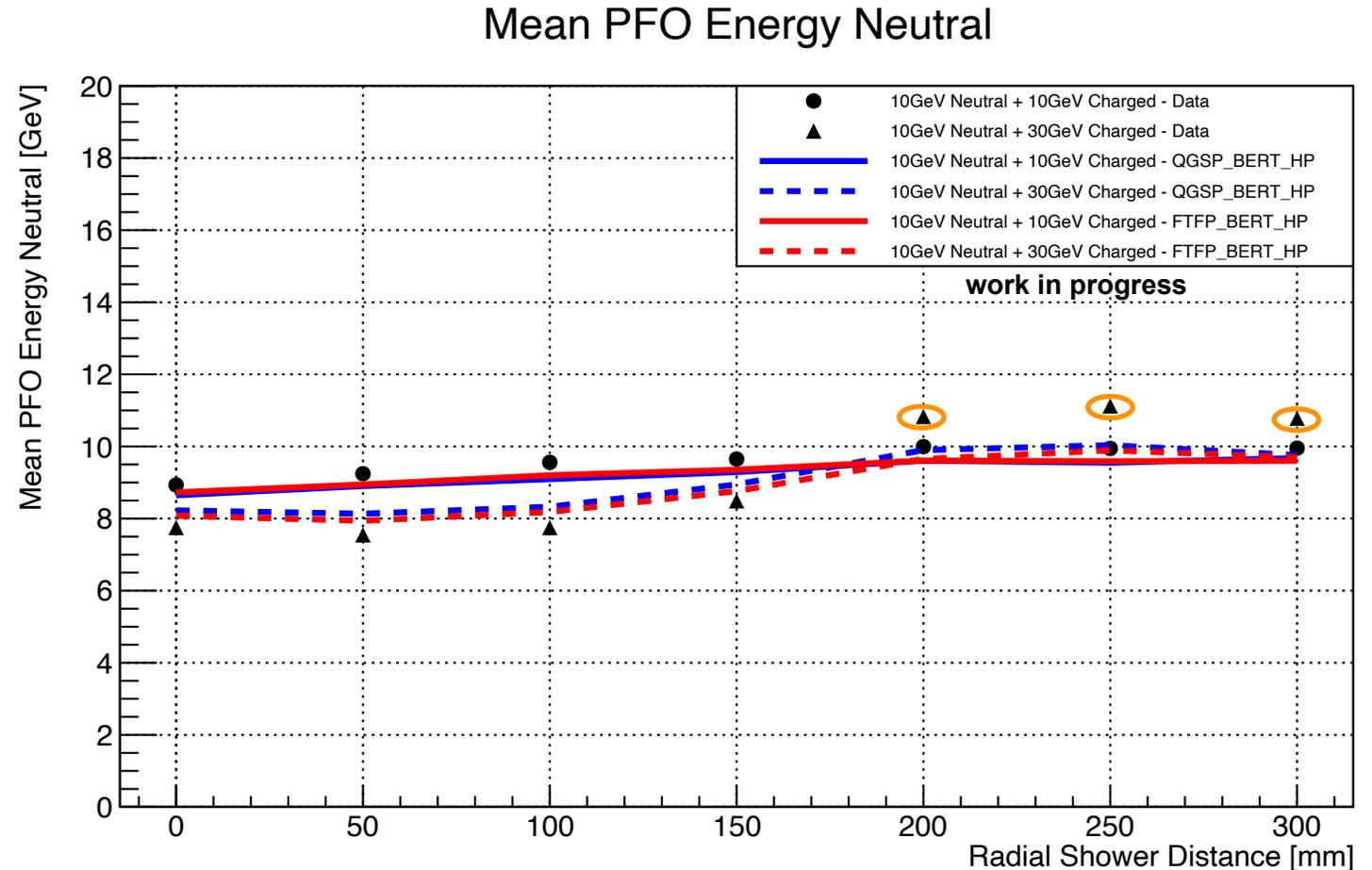


- PFO multiplicity for 30 GeV charged hadron overlaid with a 10 GeV neutral hadron at same spot:
 - ➔ More difficult shower separation - but still only 22-24% full confusion events
 - ➔ Good data to simulation agreement

Results: Double Particle Reconstruction II

How well is the Neutral Hadron Energy Reconstructed in the Vicinity of the Charged Hadron?

- Mean energy of neutral hadron (10 GeV) reconstructed by PandoraPFA for different shower distances to 10/30 GeV charged hadrons:
 - ➔ With growing distance between showers on average less confusion: Closer to 10 GeV input energy
 - ➔ Shower separation more difficult in vicinity of 30 GeV charged hadron
 - ➔ Good data to simulation agreement
 - ➔ Slight overestimation for large distance data points currently under investigation



Summary & Outlook

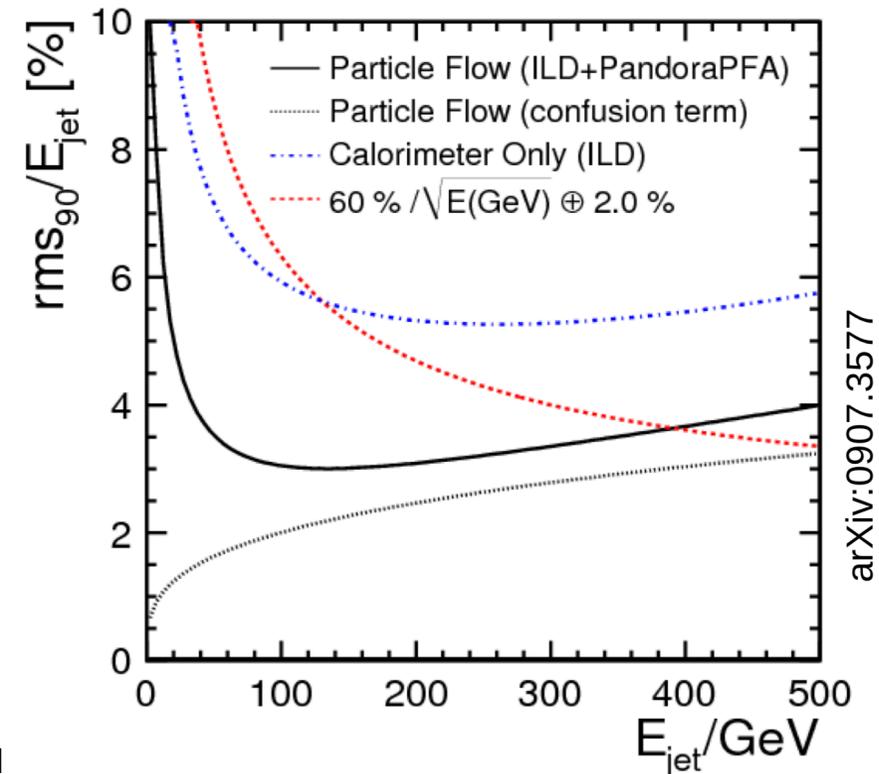
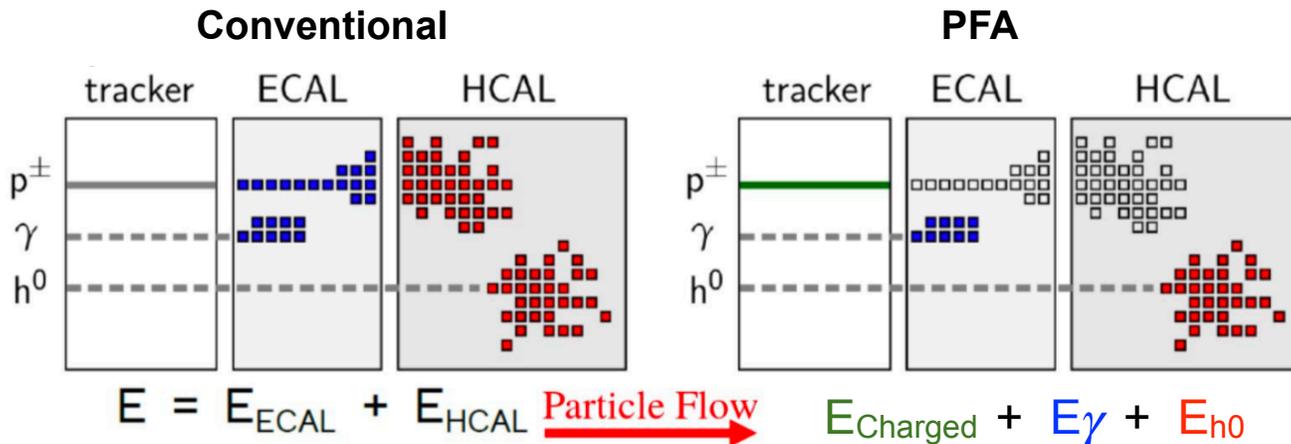
- Particle Flow reconstruction is the key to high precision: Reach unprecedented jet energy resolution in experiments at future e^+e^- colliders like proposed ILC
- Crucial requirements: High granularity calorimeters (like CALICE AHCAL) within magnetic coil
- Applied PandoraPFA on AHCAL 2018 prototype data to reconstruct single and double hadron events
 - ➔ Reasonable algorithm performance for standalone application
 - ➔ Good agreement between data and simulated events
 - ➔ Expected trends for different types of confusion observed in investigated scenarios
- PFA parameter tuning: Comparison of confusion in AHCAL standalone scenarios & full detector jets
- Confusion studies for different granularities/hit energy thresholds

Backup

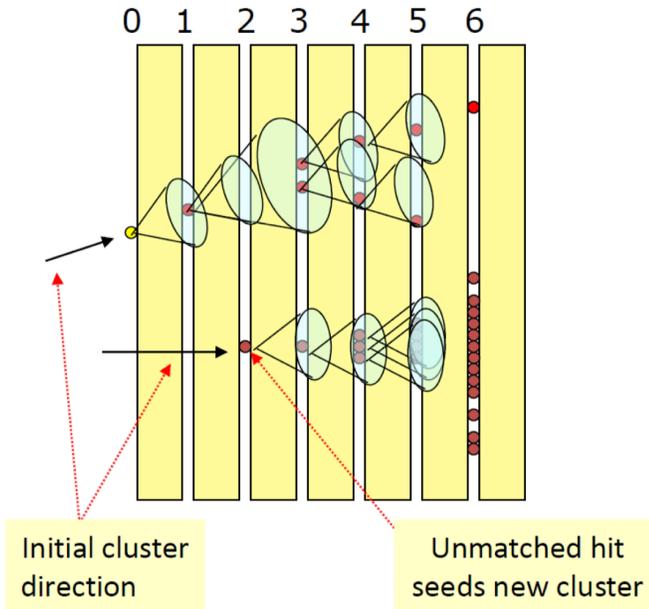
Particle Flow Approach

Reaching High Precision

- Goal at the ILC: Jet energy resolution of 3-4% for jet energies between 40-500 GeV
- Typical jet composition of 72% hadrons measured with poor hadronic energy resolution $\sim 60\%/\sqrt{E}$
- ➔ PFA: Measure energy/momentum of each particle with detector providing best resolution
 - ➔ 62% charged particles ➔ tracker
 - ➔ 27% photons ➔ ECAL
 - ➔ 10% neutral hadrons ➔ ECAL + HCAL

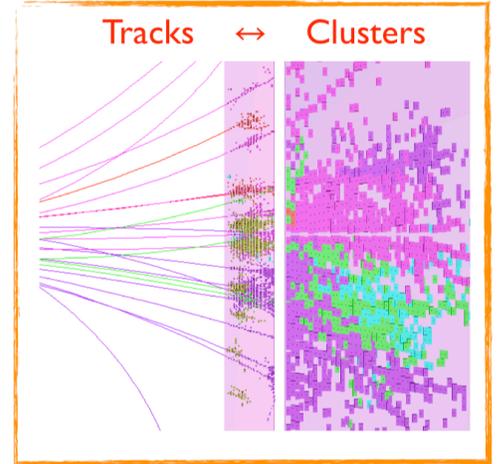


Clustering



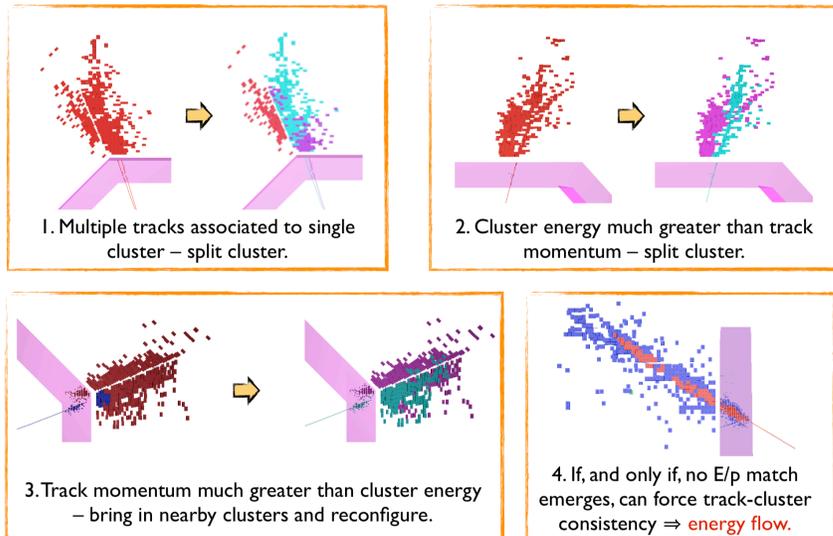
Track to Cluster Association

- Track-cluster association algs match cluster positions and directions with helix-projected track states at calorimeter.
- In very high-density jets, reach limit of “pure” particle flow: can’t cleanly resolve neutral hadrons in hadronic showers.
- Identify pattern-recognition problems by looking for significant discrepancies between cluster E and track p.
- Choose to **recluster**: alter clustering parameters or change alg entirely until cluster splits and consistent E/p achieved.

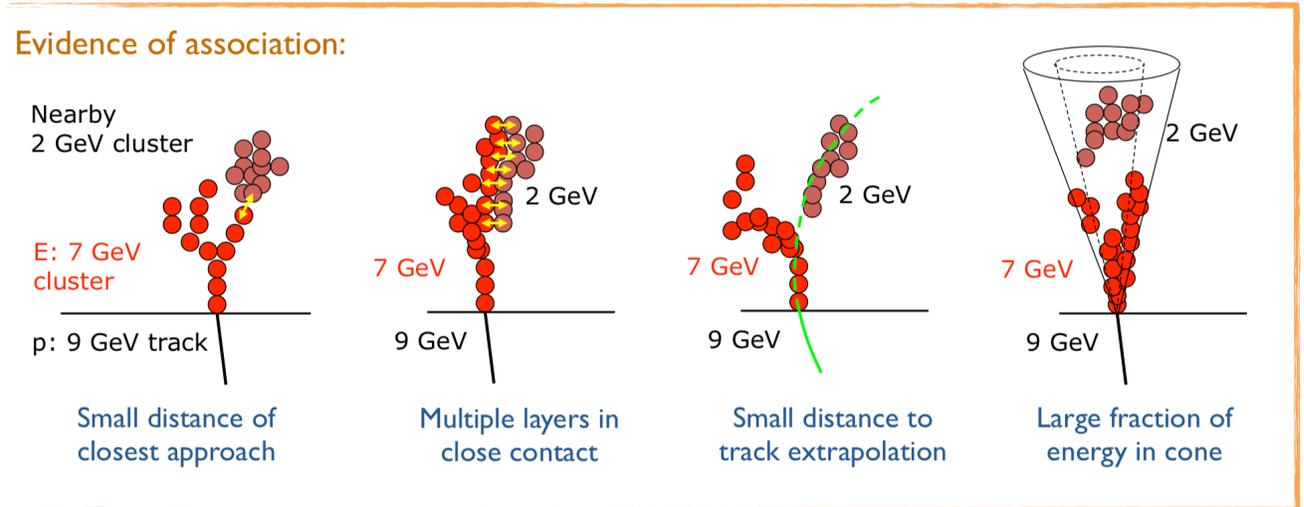


J. S. Marshall: https://indico.in2p3.fr/event/7691/contributions/42712/attachments/34375/42344/3_john_marshall_PFA_marshall_24.04.13.pdf

Re-Clustering



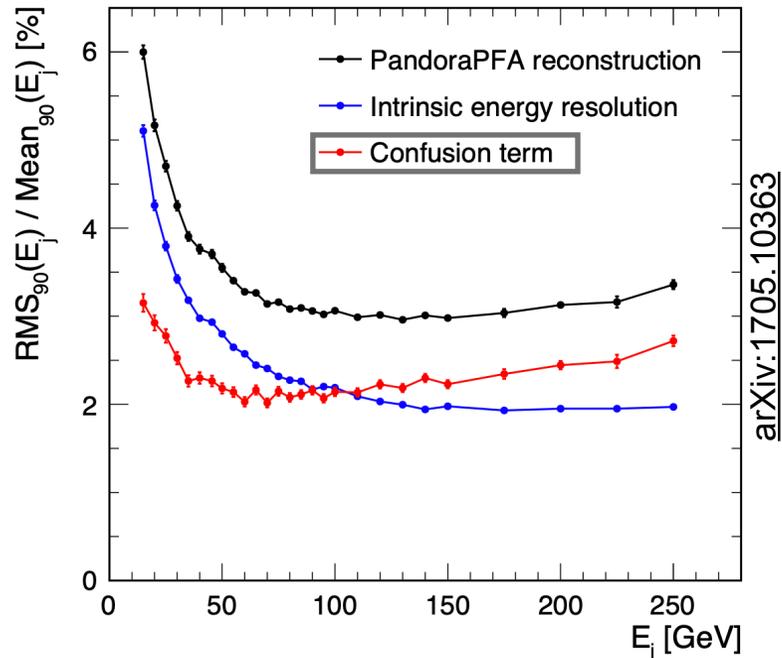
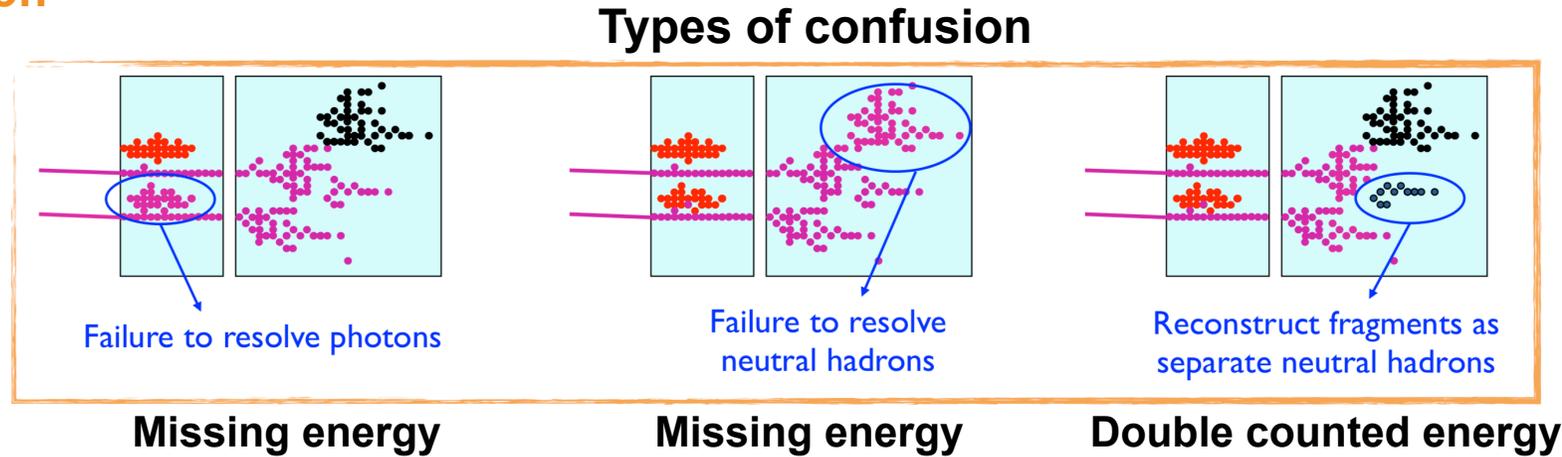
Fragment Removal



The Confusion Term

The Limit of Particle Flow Reconstruction

- **Topologically or energetically confusing** events can cause problems for PFA reconstruction:
 - ➔ Missing or double counted energy limiting jet energy resolution

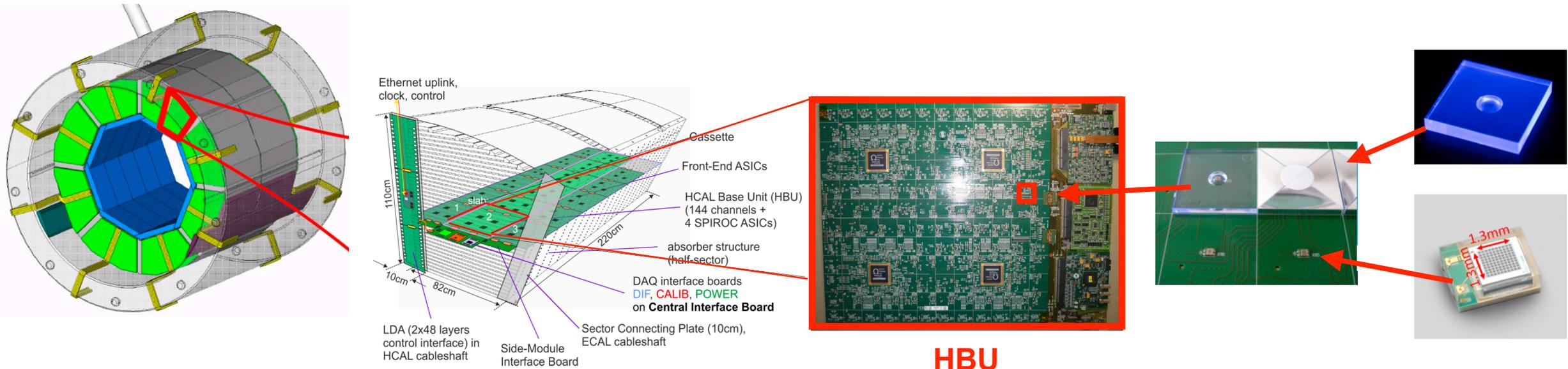


- Crucial requirements for Particle Flow designed detector systems keeping confusion on considerable level:
 - ➔ Calorimeters within magnetic coil for proper track-cluster associations
 - ➔ **High granularity calorimeters** to fully exploit pattern recognition algorithms

The Analog Hadron Calorimeter (AHCAL) @ ILD

Designed for Particle Flow Reconstruction

- Highly granular sampling calorimeter for the International Large Detector
 - ➔ Total of ~8 million single channels: Wrapped scintillator tile coupled to SiPM readout
- **H**CAL **B**ase **U**nit: 36 · 36 cm² featuring 4 ASICs reading out 144 channels
- Fully integrated detector design to octagonal cylinder
 - ➔ Front-end readout electronics, internal LED calibration system, no cooling within active layers



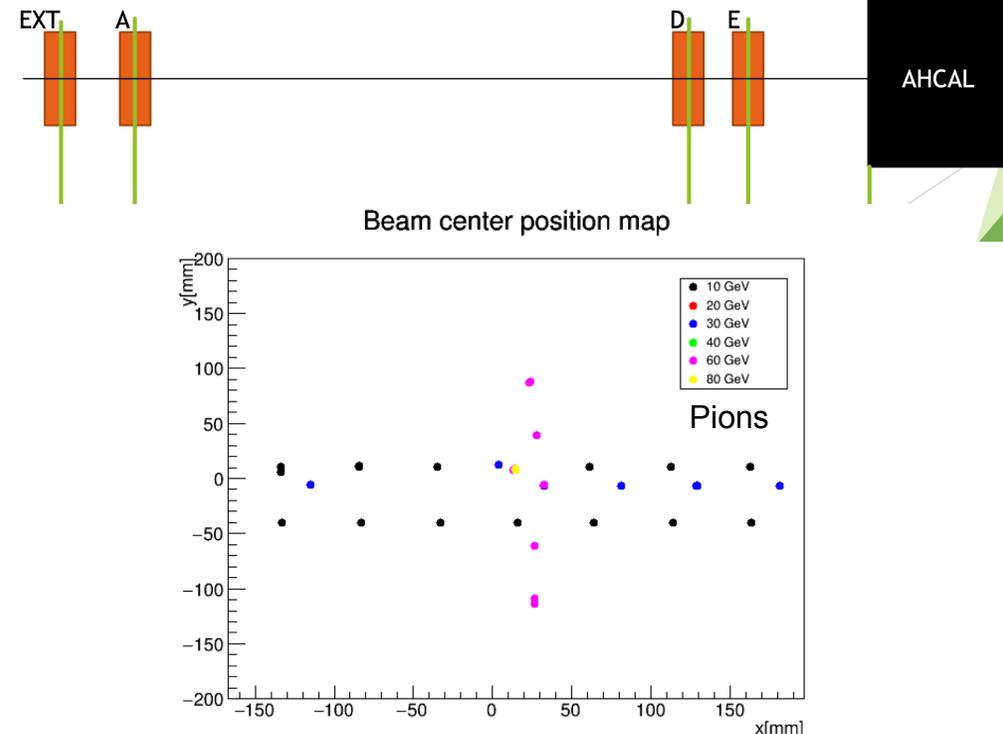
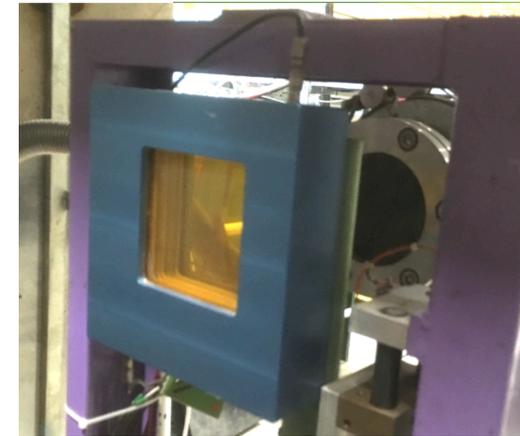
Delay Wire Chambers (DWC)

Providing Tracks for Beam Test Events

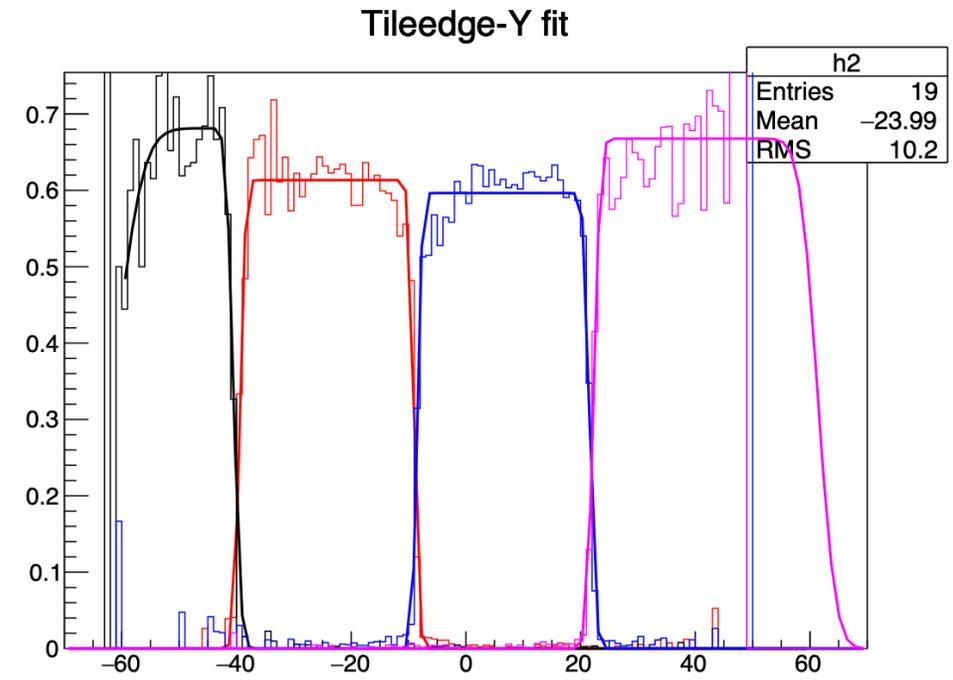
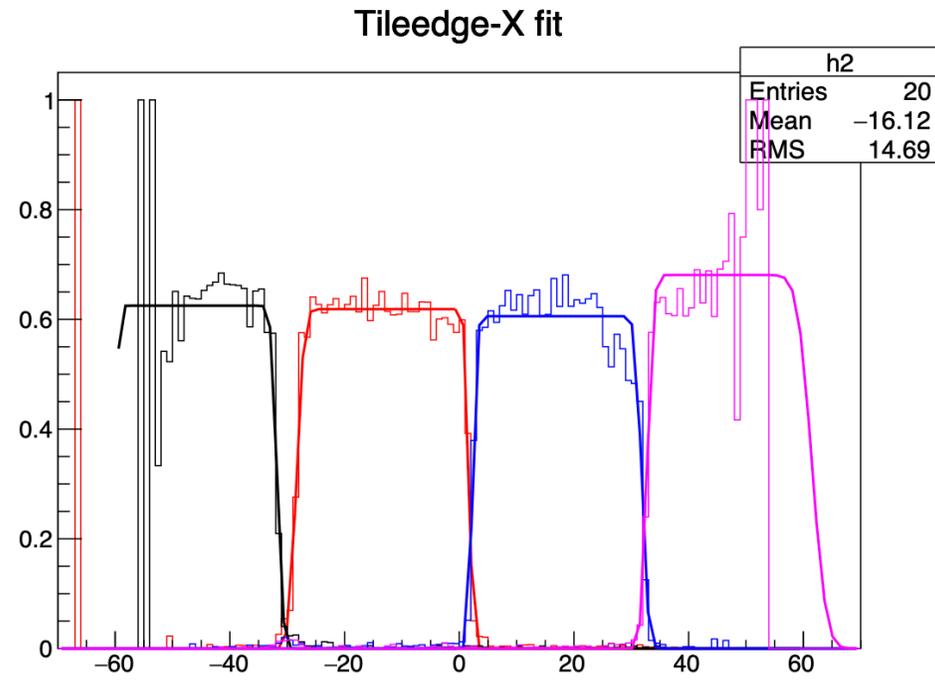
- Beam Test June 2018 at SPS CERN: Four 100 x 100 mm² delay wire chambers (MWPCs)
- Position resolution of each chamber: ~600 μm
 - ➔ **Sub-mm resolution at AHCAL**
- Information extracted:
 - ➔ **Reconstructed track for each event**
 - ➔ Position calibration (Prototype moved on X-Y stage during beam test for position scans)
 - ➔ Measurement of scintillator tile gaps

Work done by Linghui Liu (U. Tokyo)

(https://agenda.linearcollider.org/event/8368/contributions/44971/attachments/35214/54544/LL_AHCALmain_2019.pdf)



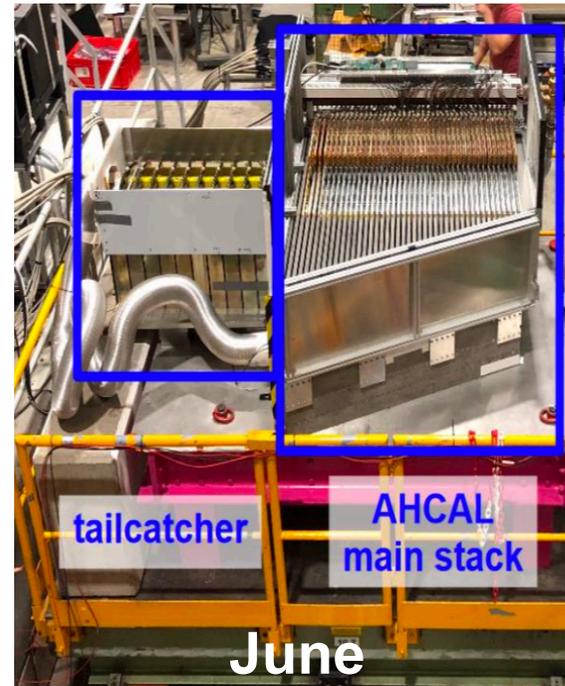
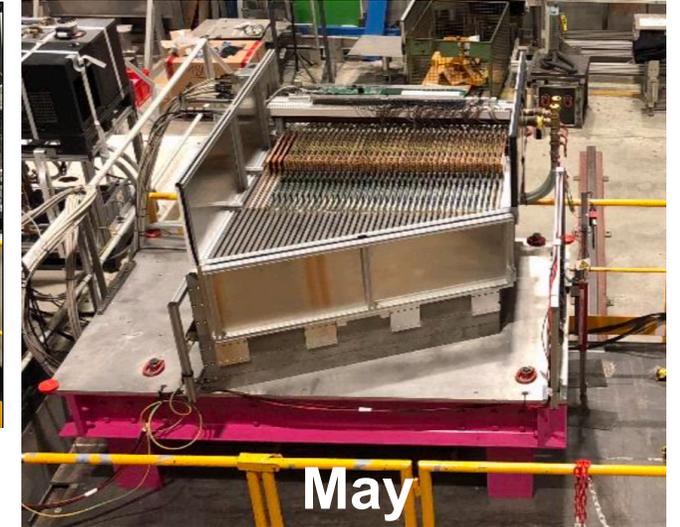
Scintillator Tile Gaps Measurements DWC Example



The CALICE AHCAL Beam Test Campaigns 2018

May, June and October @ SPS Cern

- Three successful beam test campaigns at SPS CERN in 2018
- Data sets:
 - ➔ Muons, electrons, **pions**
 - ➔ Energies: 10 - 200 GeV
 - ➔ Events: Multiple 10 million, also at different detector positions
- For this studies: June 2018 beam test data

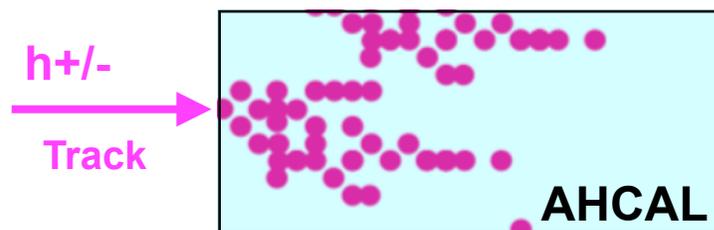


Motivation and Goals of Studies

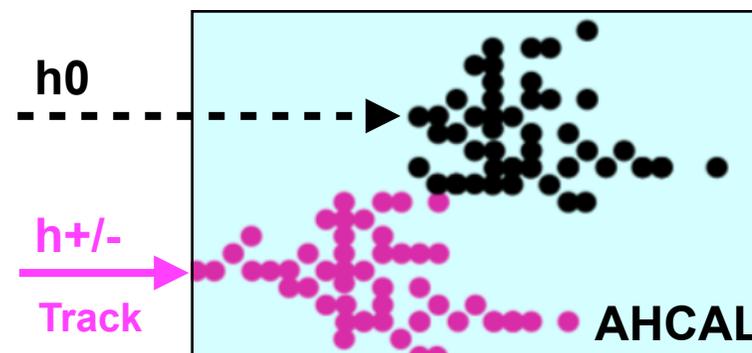
PandoraPFA on AHCAL 2018 Prototype Data

- Over the last years significant developments on software (PandoraPFA) & hardware side (AHCAL)
 - ➔ PandoraPFA: Algorithm tuning, modular application possible instead of full collider detector
 - ➔ AHCAL 2018 prototype: High and uniform granularity, reduction of noise (SiPMs), timing capabilities,...
- Apply PandoraPFA on AHCAL 2018 beam test data and simulated events (+ tracks)
 - ➔ Evaluate simulated algorithm performance for standalone application & provide feedback on real data
 - ➔ Compare performance on data and simulated events
 - ➔ Study degree of confusion for different scenarios (particle energies, shower separation, etc.)

Scenario 1 (Single Charged Hadron Event)



Scenario 2 (Charged Hadron + Neutral Hadron Event)

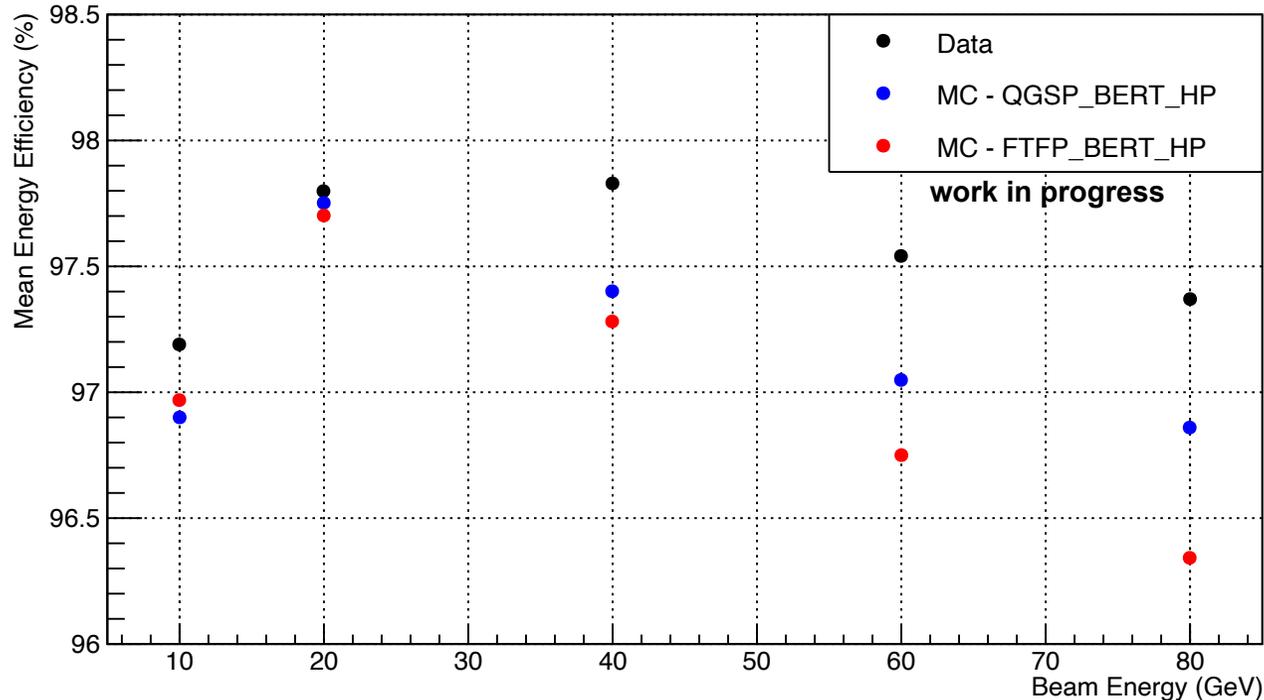


Note: No magnetic field during beam test

Results: Single Particle Reconstruction III

How much Energy is Reconstructed by PandoraPFA?

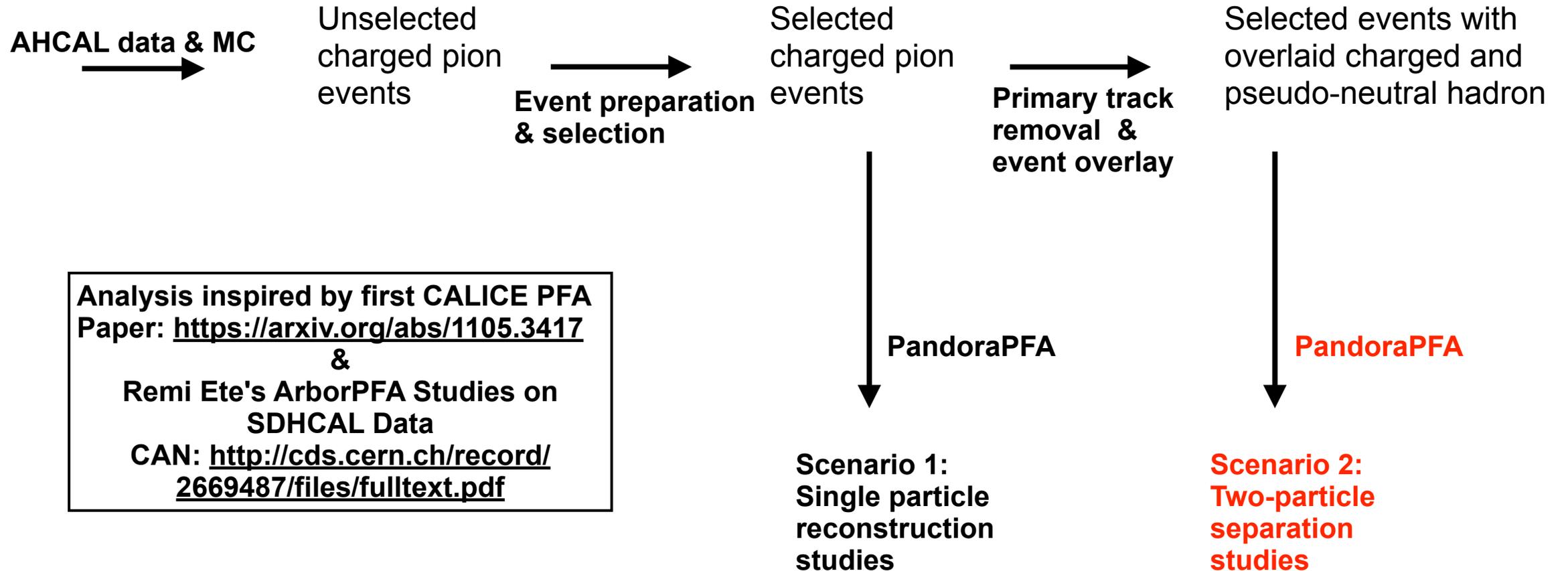
Mean Energy Efficiency vs. Beam Energy



- **Energy efficiency:** $\frac{E_{reco,charged}}{E_{input,charged}}$
- Mean energy efficiency > 96% for all scenarios showing good performance in general
 - ➔ On average < 4% double counted energy
- Except for 10 GeV, mean energy efficiency decreasing with energy due to increasing amount of confusion events
 - ➔ More dominant for simulated events

Overview

Sample Preparation & Analysis Strategy



Sample Preparation & Selection Tools

Sample Preparation & Selection Tools

Overview & Status

- Event Selection:
 - ➔ Shower start finder algorithm: **Implemented and optimised in cooperation with Jonas Mikhaeil**
 - ➔ PID (Boosted Decision Tree): **[Talk by V. Bocharnikov](#)**
 - ➔ Event filter: **Implemented with selection criteria on shower start layer, shower position, track quality, etc.**
- Event Preparation for PandoraPFA:
 - ➔ MIP to GeV conversion: **Implemented for EM and HAD scale**
 - ➔ Event overlay: **Implemented and validated**
 - ➔ **Data tracks from DWC and MC tracks: Implemented and validated**
 - ➔ **Primary track removal (based on shower start layer): Implemented and validated**

Illustration of implemented tracks

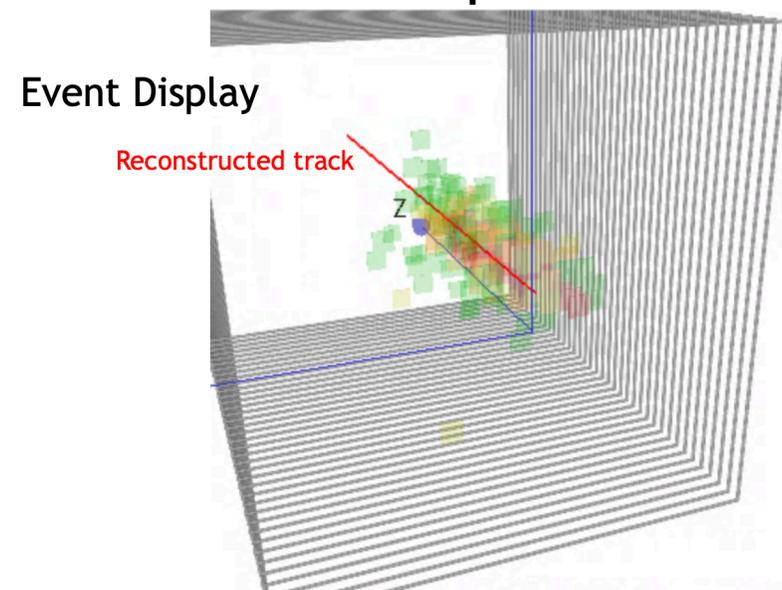
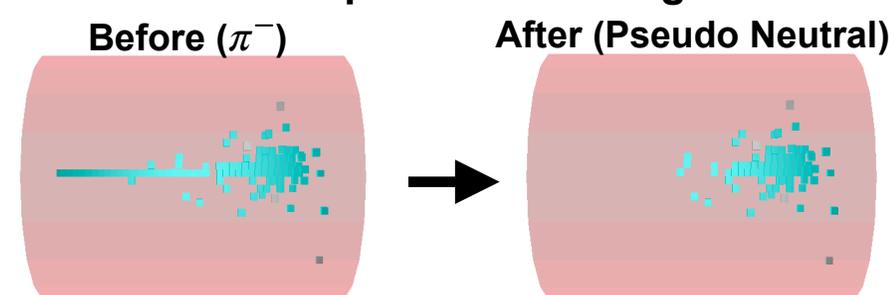


Illustration of pseudo neutral generation



Track Quality Check

Implemented MC and Data Tracks for PandoraPFA Studies

- Data tracks: Reconstructed from DWC of beam test
- MC tracks: MC primary particle endpoint position X/Y extrapolation

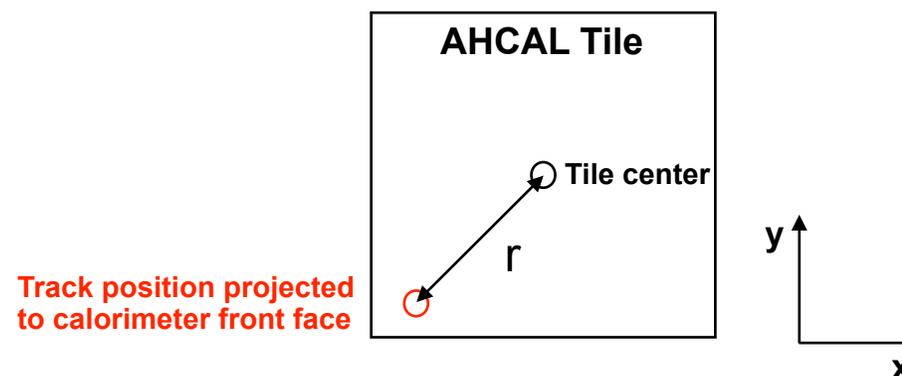
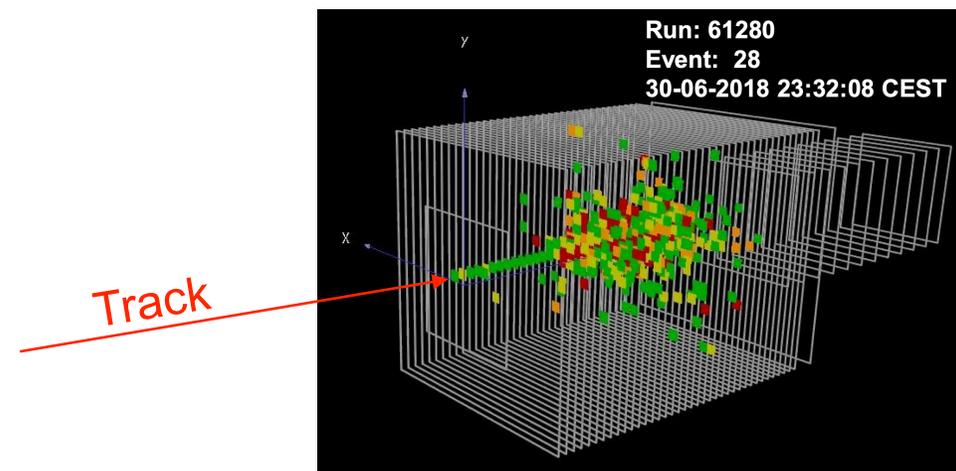
➔ Track quality?

How well does track position at calorimeter front face agree with cog in X/Y of event (central shower axis)?

How well does track hit first triggered channel of primary track in layer 1?

Does track hit any triggered channel in layer 1 at all?

Note: Tracks almost completely straight since no B-field present and particles almost only with p_z

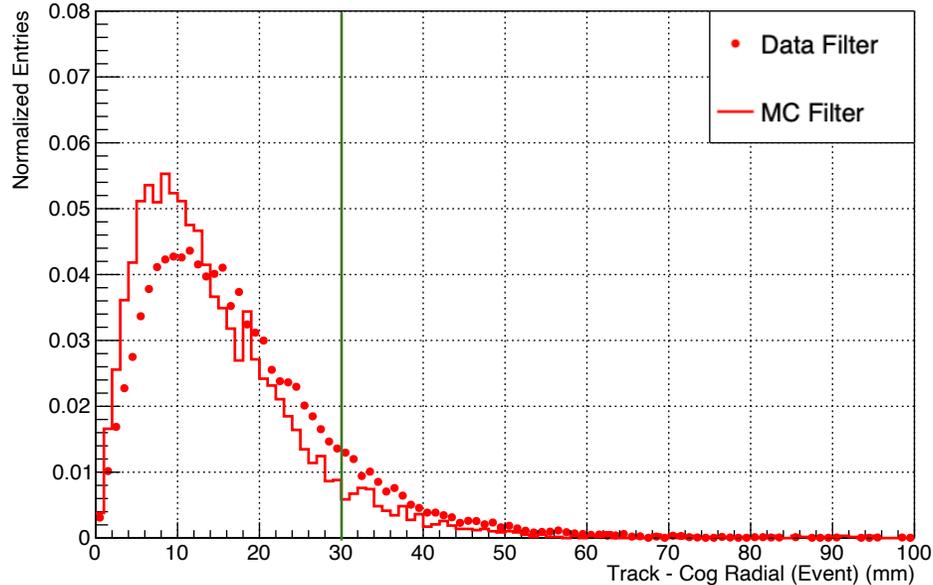


Track Quality Results 20 GeV π^-

Precise Tracks for PandoraPFA Reconstruction

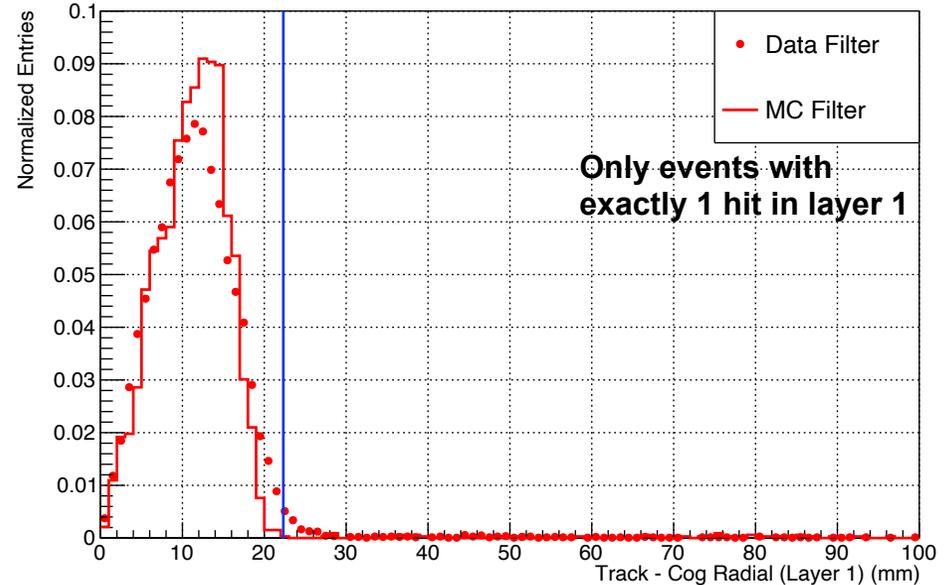
Definition Filter: Applied BDT-PID,
Shower start layer < 20, Hit in layer 1+2+3

Track - Cog Radial (Event) Filter



$$r = \sqrt{(x_{track} - x_{cog})^2 + (y_{track} - y_{cog})^2}$$

Track - Cog Radial (Layer 1) Filter



$$r = \sqrt{(x_{track} - x_{hit})^2 + (y_{track} - y_{hit})^2}$$

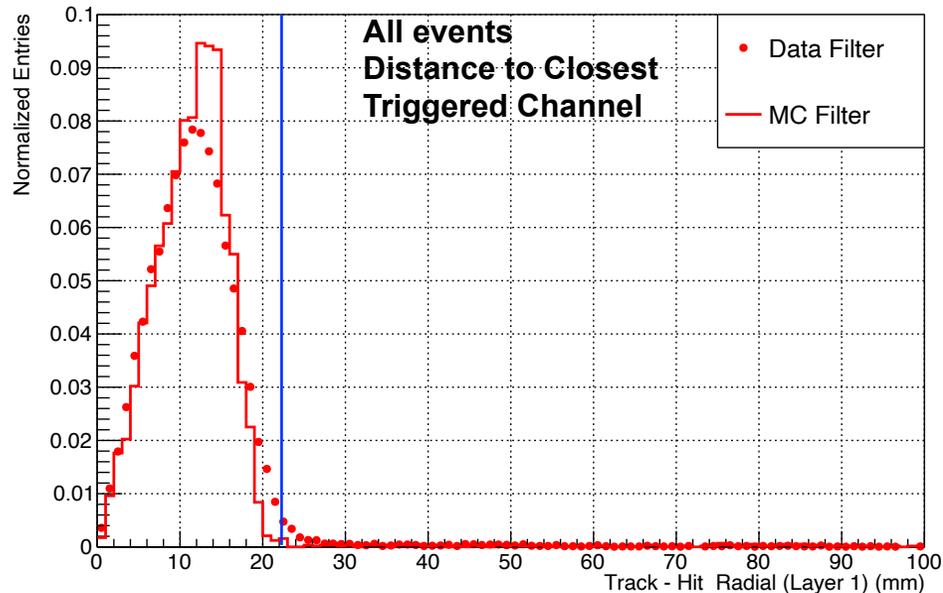
- Excellent agreement of track and cog (central shower axis) position:
 - ➔ 88.5% (data) and 93% (MC) of events within 30 mm distance (one tile length)
- Most of the tracks hit triggered channel of primary track in layer 1:
 - ➔ 98.2% (data) and 99% (MC) of events within 22 mm radius (tile center - corner distance)

Track Quality Results 20 GeV π^-

Precise Tracks for PandoraPFA Reconstruction

Definition Filter: Applied BDT-PID,
Shower start layer < 20, Hit in layer 1+2+3

Track - Hit Radial (Layer 1) Filter



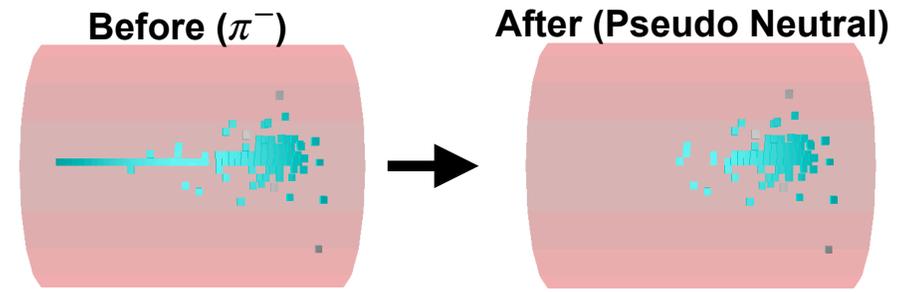
$$r = \sqrt{(x_{track} - x_{hit})^2 + (y_{track} - y_{hit})^2}$$

- Most of the tracks hit a triggered channel in layer 1:
 - ➔ 97.5% (data) and 98.5% (MC) of events within 22 mm radius (tile center - corner distance)
 - Similar results achieved for:
 - ➔ Less strict filter options in terms of hit requirements in first layers
 - ➔ Lowest energy scenario of 10 GeV π^-
- ➔ **Excellent track quality validated for data and MC**

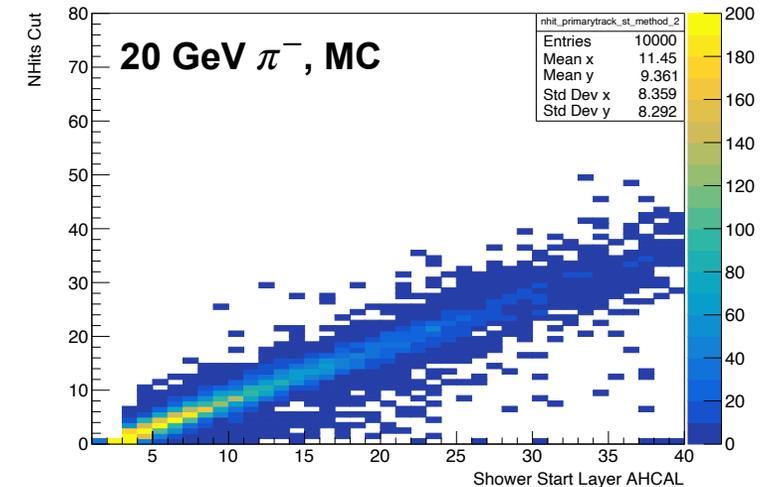
Finding and Removing Primary Track

The Method for Creating Pseudo Neutral Hadrons

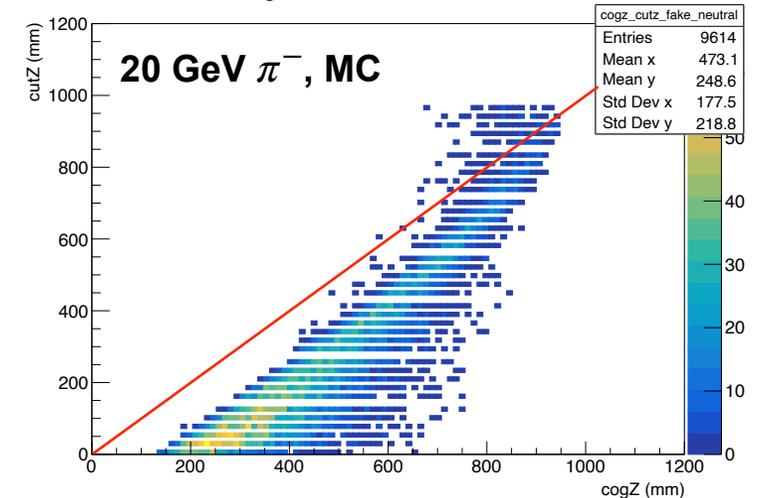
- Conditions for hit to be considered as primary track hit and being removed:
 - ➔ Hit located in layer before shower start layer - 1
 - ➔ Hit position within $r = 60\text{mm}$ to cogX/Y of shower (central shower axis)
 - ➔ Hit energy < 3 MIP
- Method robust and working well:
 - ➔ # cut hits (primary track) well correlated with shower start layer
 - ➔ Z position of potentially last cut hit well before cogZ for most events



Shower Start Layer AHCAL vs. NHits Cut

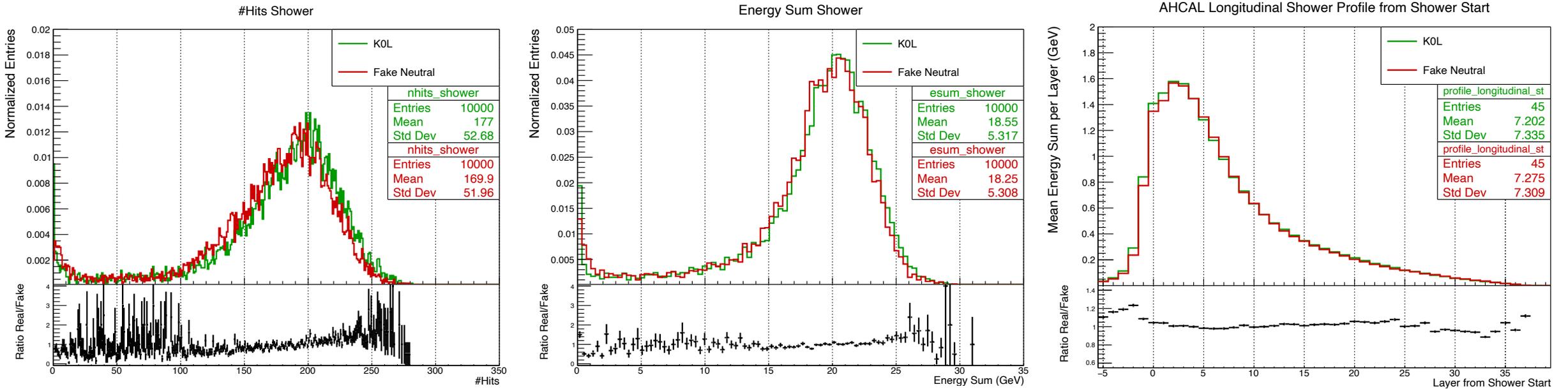


cogZ vs. cutZ Fake Neutral



Comparison: Real vs. Pseudo Neutrals 20 GeV (MC)

Validation of Primary Track Removal Algorithm



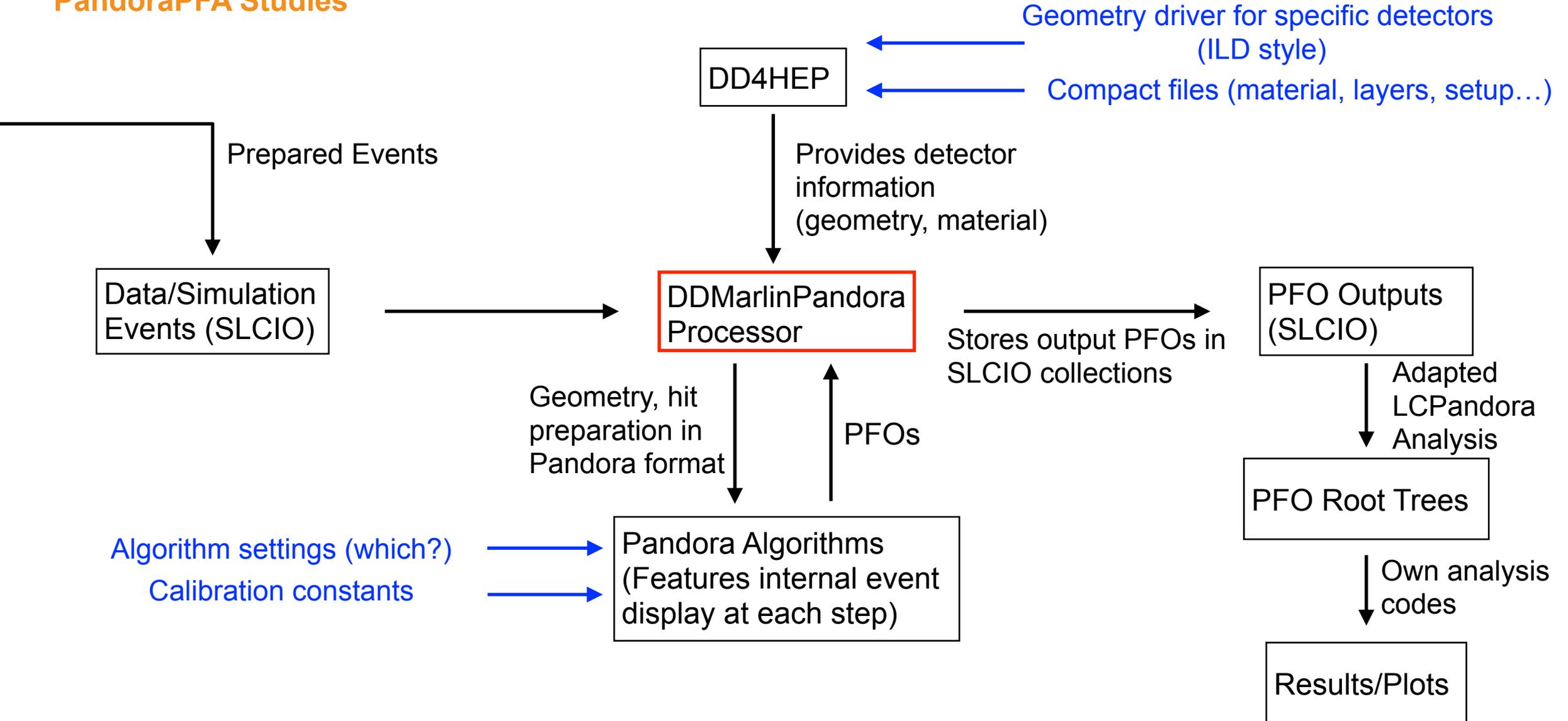
- In general **good agreement** between **real neutrals (K0L)** and **pseudo neutrals (cut π^-)** in number of hits, energy sum and longitudinal shower profile

➔ **Pseudo-neutrals validated for charged-neutral separation studies (response and topology)**

The PandoraPFA Framework: Implementation, Calibration & Basic Checks

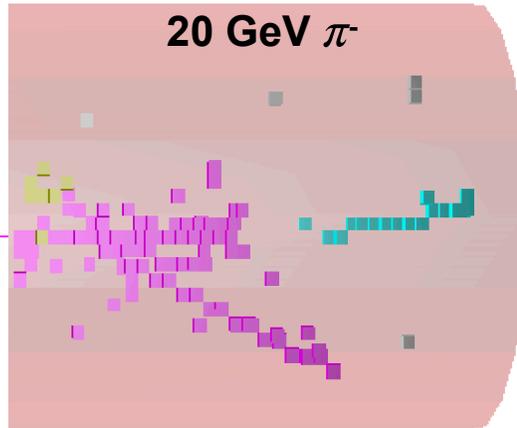
Framework / Data Flow Diagram

PandoraPFA Studies



Pandora Visual Monitoring

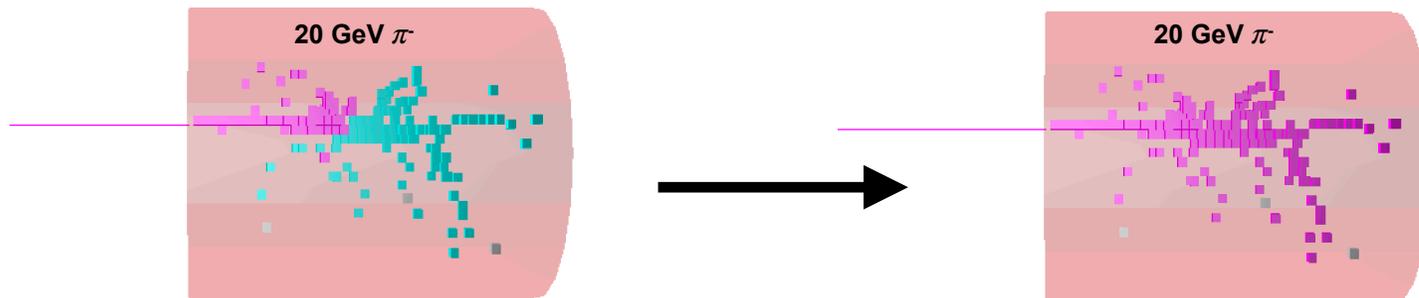
Hits, Clusters & PFOs



Magenta: Charged Hadron
Cyan: Neutral Hadron
Yellow: Photon
Grey: Unclustered Hits

- Cylinder: Existing HCAL end-cap class used for our setup
- Pandora visual monitoring displaying hits, clusters, tracks and PFOs at different reconstruction steps
 - ➔ Great tool to precisely track down technical problems and problematic events

Solved: Non working Track-Cluster association for few events

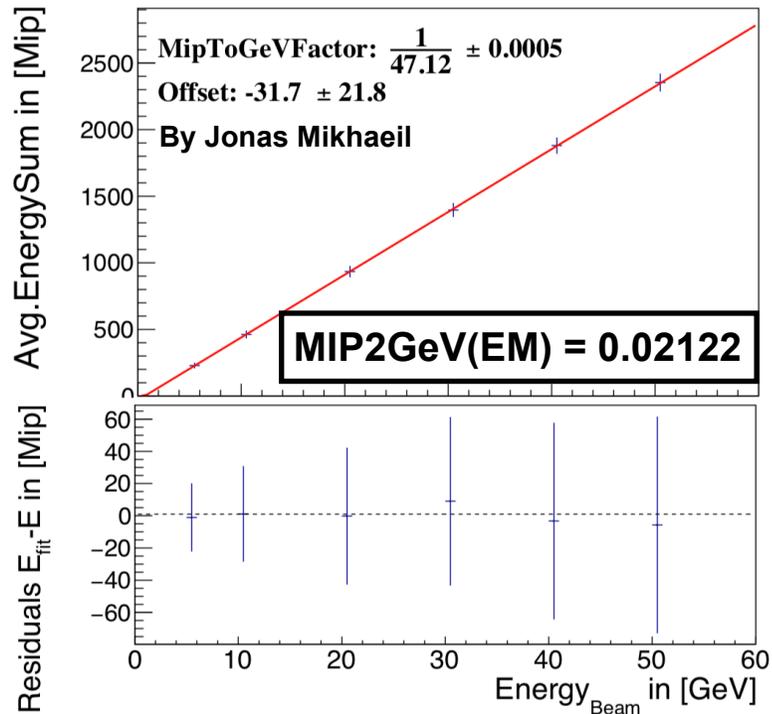


MIP to GeV Conversion

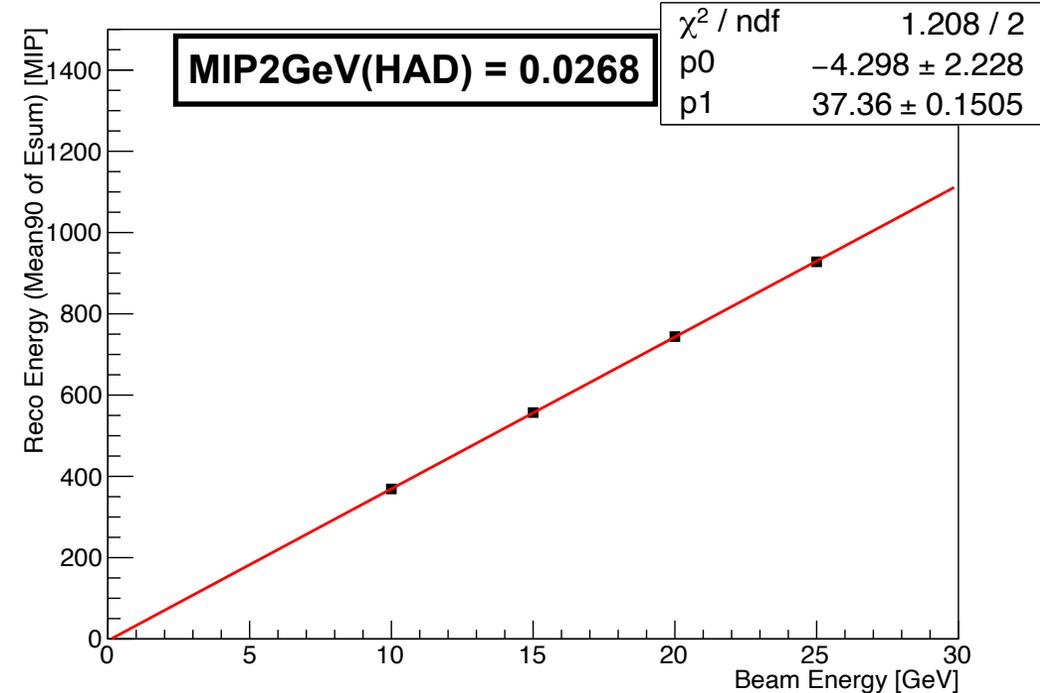
Calibration to EM and HAD Scale

- PandoraPFA framework requires energy depositions in units of GeV
 - ➔ MIP to GeV calibration done on MC samples for EM and HAD energy scale
 - ➔ Extract slope of beam energy vs calorimeter MIP response scan

EM Response Determination (e-)



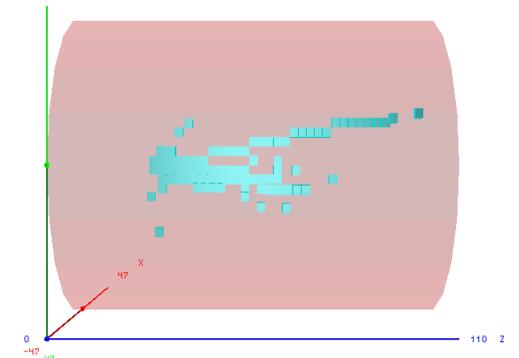
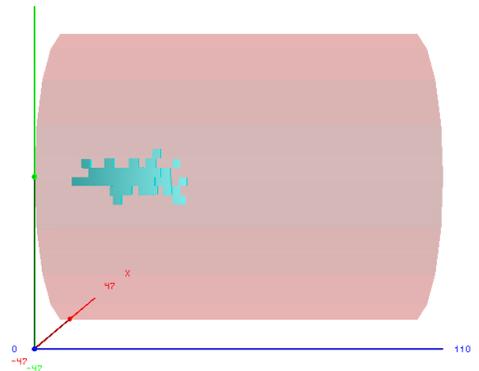
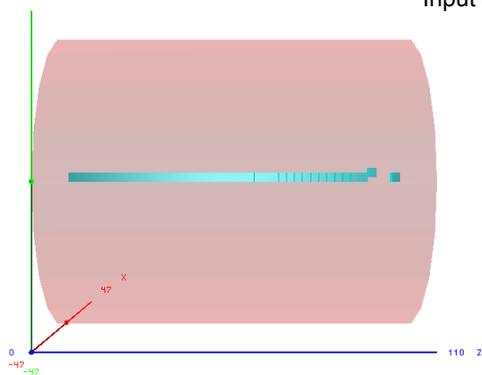
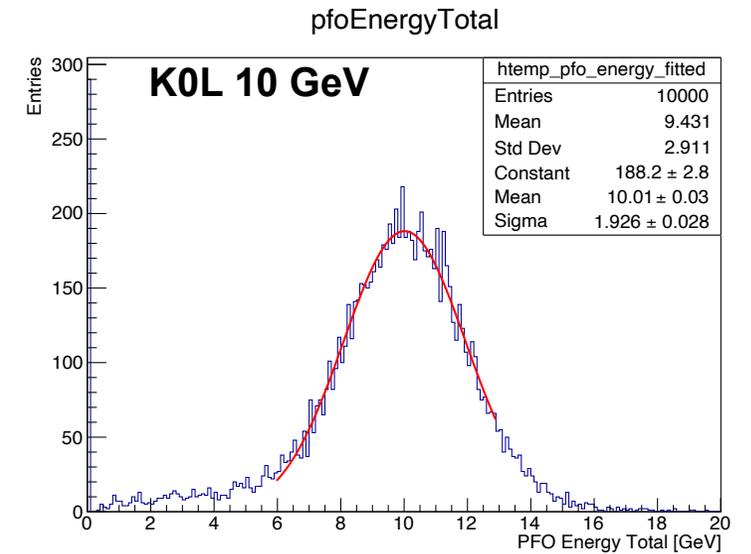
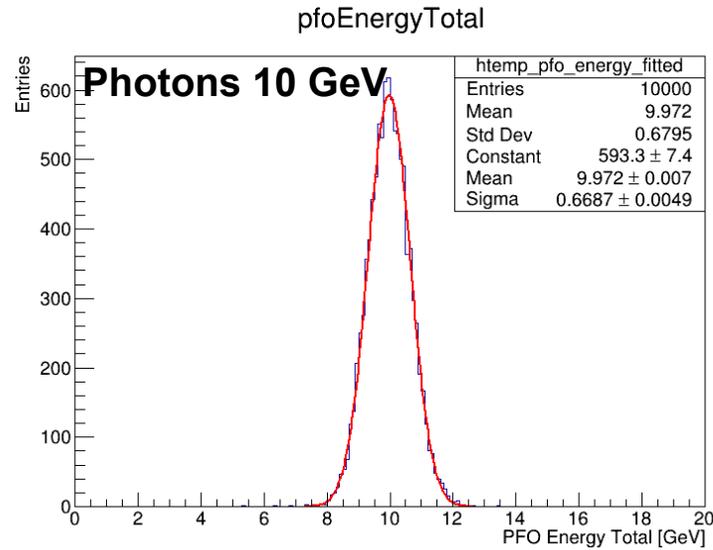
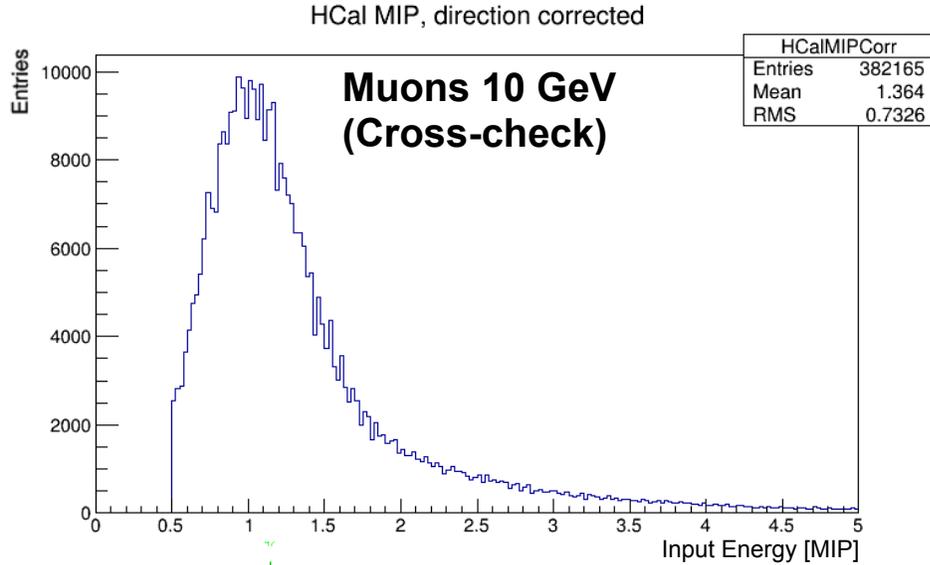
HAD Response Determination (K0L)



Pandora Energy Calibration

MC Muons, Photons, K0L

Note: Without tracks and ECAL everything classified as neutral hadrons at this step

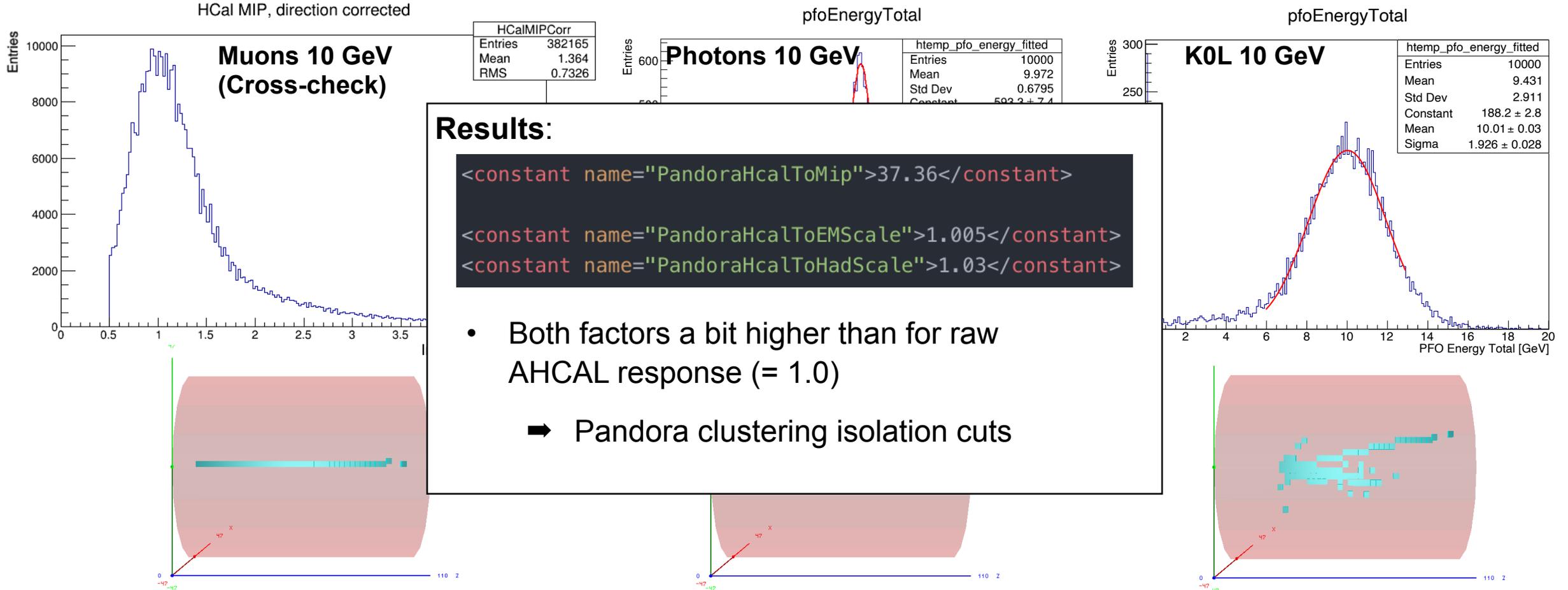


- **Muons:** AHCAL energy GeV \rightarrow MIP with negligible angle correction since straight TB tracks
- **Photons and K0L's:** Used to determine EM and HAD response, PFO energy tuned to peak at 10 GeV

Pandora Energy Calibration

MC Muons, Photons, K0L

Note: Without tracks and ECAL everything classified as neutral hadrons at this step

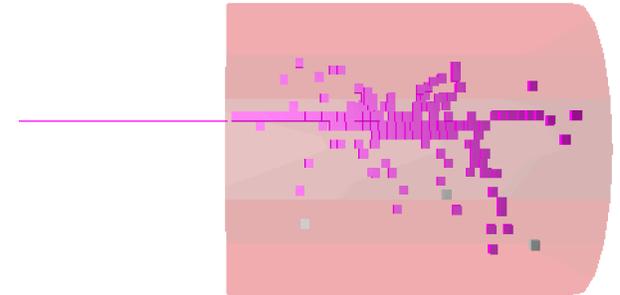


- **Muons:** AHCAL energy GeV → MIP with negligible angle correction since straight TB tracks
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Data & MC Pion Samples Overview

PandoraPFA Single Particle Reconstruction

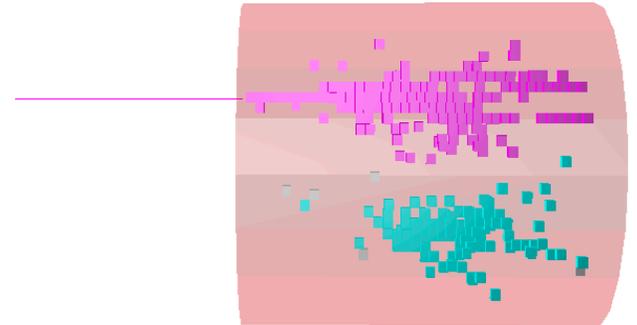
- **Charged pions events (10, 20, 40, 60, 80, 120, 160, 200 GeV) with fixed track momentum in PandoraPFA**
 - ➔ Data: **June Beam Test 2018 @ SPS CERN**
 - ➔ MC: **GEANT4 v.10.03, QGSP_BERT_HP & FTFP_BERT_HP**
- Applied BDT-PID for hadrons (remove beam contamination)
- Event selection:
 - ➔ At least one hit in layer 1 or 2 or 3 & corresponding track - hit match (for proper track-cluster assignment)
 - ➔ Track to detector crack rejection $\pm 2\text{mm}$
 - ➔ Shower start layer < 20 (reject leakage events)
 - ➔ Rejection of remaining events with complete failure of track-cluster association ($< 1\%$)
 - ➔ Technical reason within PandoraPFA algorithms: No ECAL before - missing first track association



Data & MC Pion Samples Overview

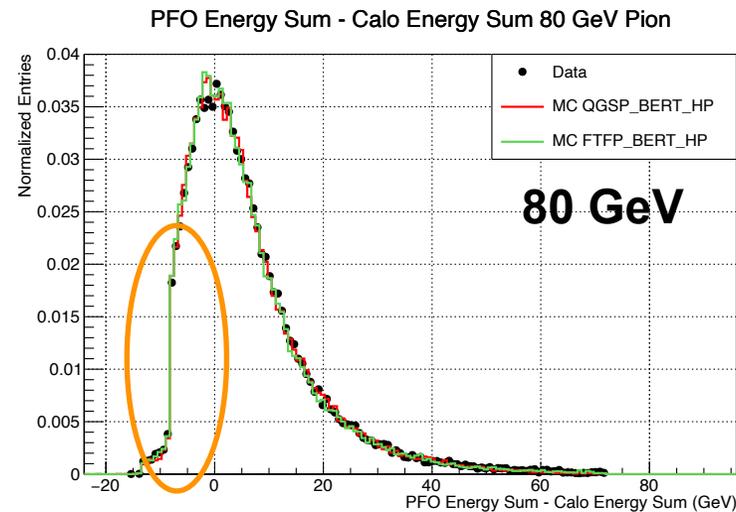
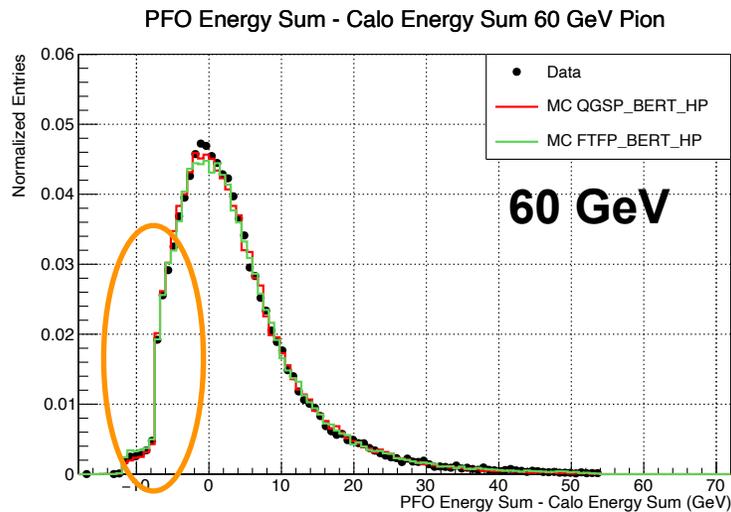
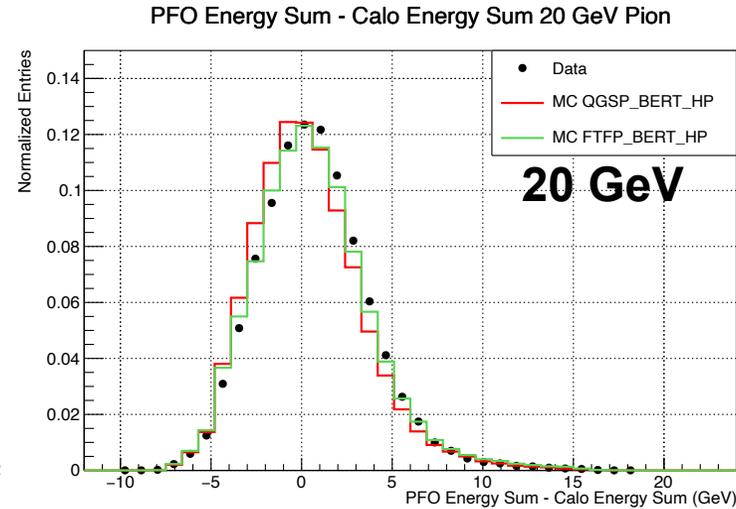
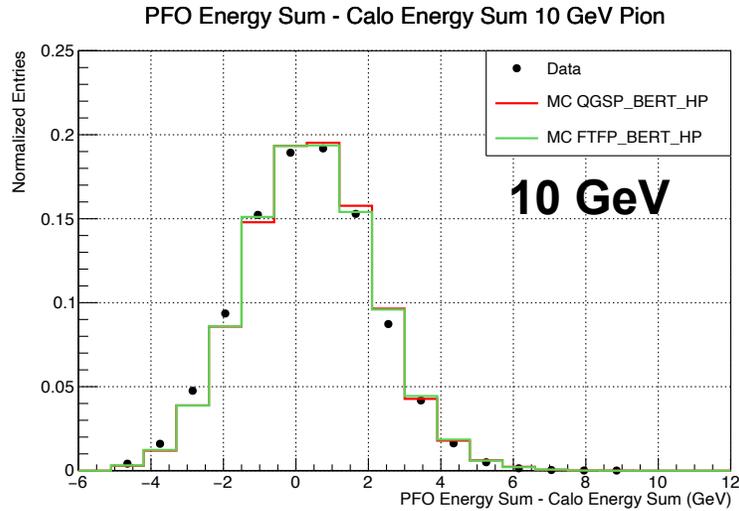
PandoraPFA Two Particle Reconstruction

- 10 GeV (pseudo-) neutral hadrons overlaid with 10 GeV or 30 GeV charged hadrons
- Distances: 0, 50, 100, 150, 200, 250, 300 mm with ± 25 mm acceptance range
- ➔ **Now all 10 & 30 GeV charged runs centralised, except for data 30 GeV (200, 250 & 300 mm)**
 - ➔ **MC samples all centralised!**
- ➔ Data: June Beam Test 2018 @ SPS CERN
- ➔ MC: GEANT4 v.10.03, QGSP_BERT_HP & FTFP_BERT_HP
- Applied latest BDT-PID for hadrons (remove beam contamination)
- Event selection:
 - ➔ Punch trough rejection & no cut on shower start layer (allow long. separation)
 - ➔ Charged hadron: track-hit match layer 1||2||3, track-to-gap rejection
 - ➔ „Diagonal rejection“ by requiring at least 10% of charged hadron energy associated to track (IsoHitMerging)



PFO Energy Sum - Calorimeter Energy Sum

10, 20, 60 & 80 GeV Data and MC

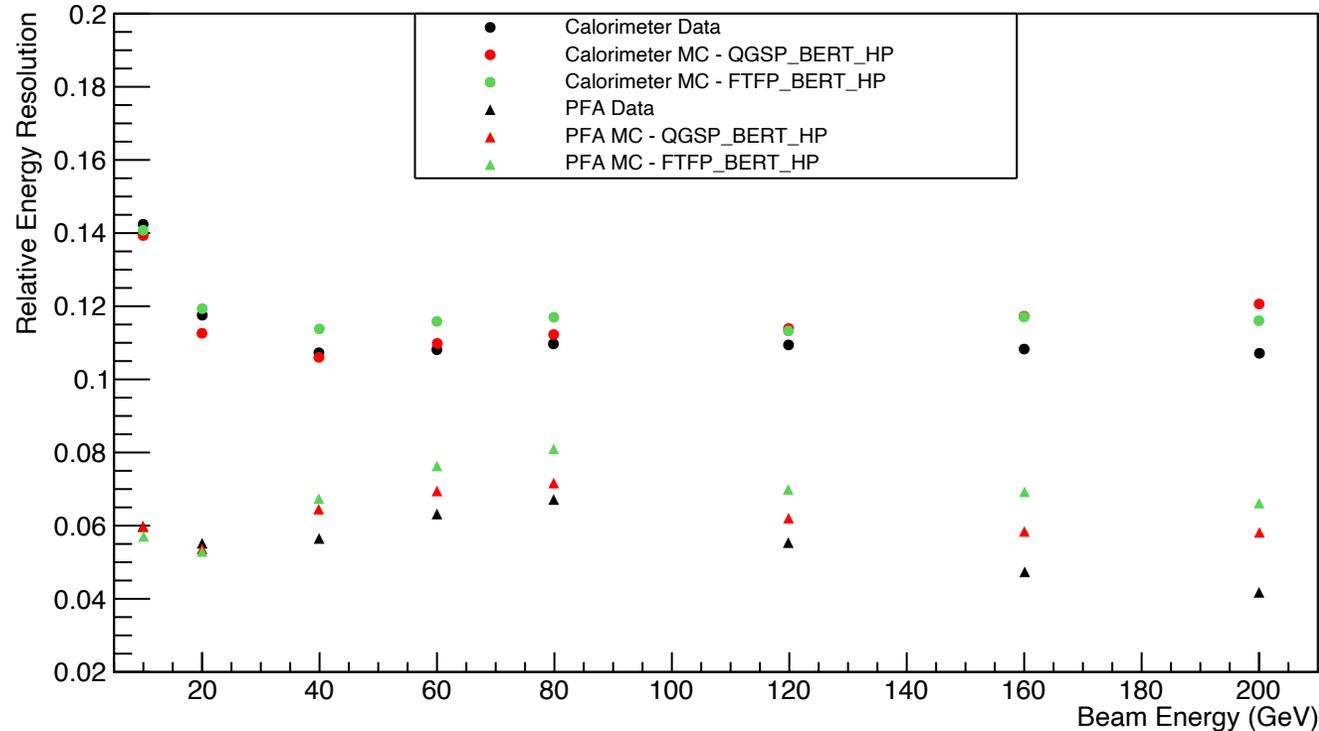


- Overall good agreement between data and MC
- **Sharp edge at -8.8 GeV**
 - ➔ Internal PandoraPFA cut?
 - ➔ John Marshall had few ideas what it might be within PandoraPFA code: Probably related to internally assumed hadronic energy resolution of $60\%/\sqrt{E}$

Relative Energy Resolution vs. Beam Energy

Data and MC

Relative Energy Resolution vs. Beam Energy



**Classical: Mean90
and RMS90**

**PFA: Full Mean
and RMS**

- **PFA energy resolution factor of 2 better than classical energy resolution**
- PFA reconstruction performance on data best, at low energies same level as MC

➡ Up to 80 GeV: Growing confusion - degrading of energy resolution

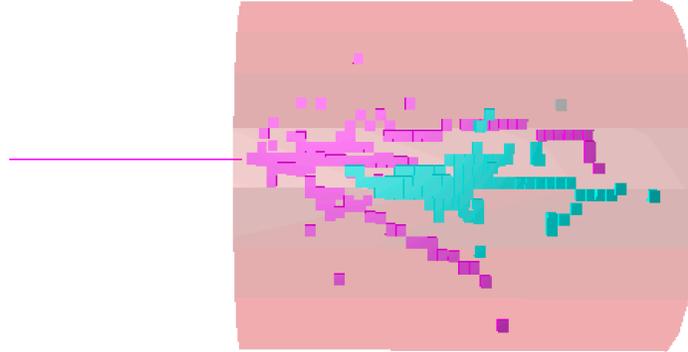
➡ From 120 GeV onwards: Artificial improvement of energy resolution due to remaining leakage

Total PFO Multiplicity - How many Particles Reconstructed?

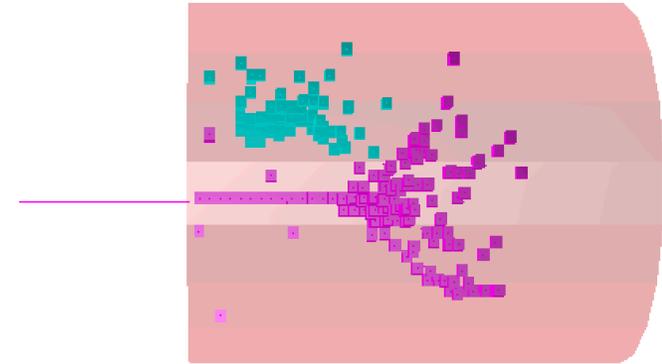
Different Scenarios

Examples of good case: Two PFO's

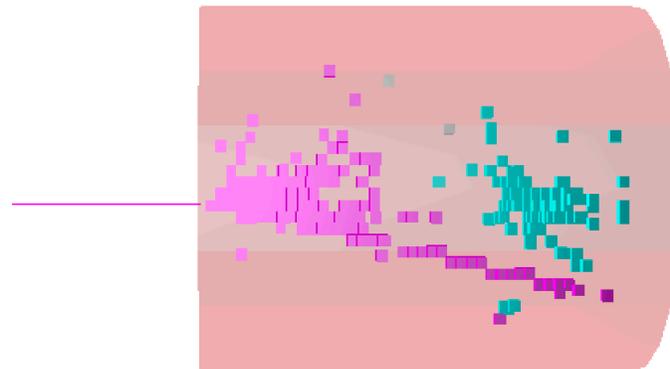
10GeV Neutral + 10 GeV
Charged Distance: 50mm



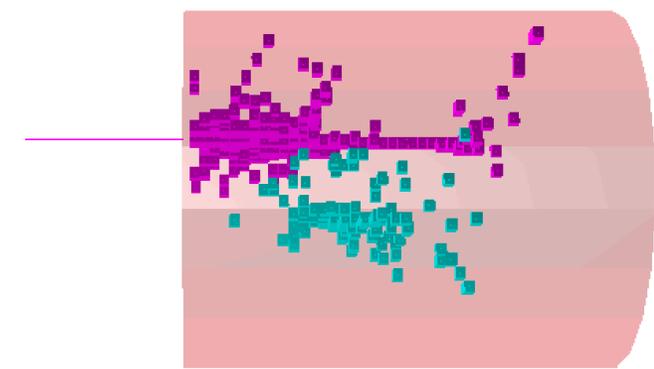
10GeV Neutral + 10 GeV
Charged Distance: 200mm



10GeV Neutral + 30 GeV
Charged Distance: 50mm



10GeV Neutral + 30 GeV
Charged Distance: 200mm

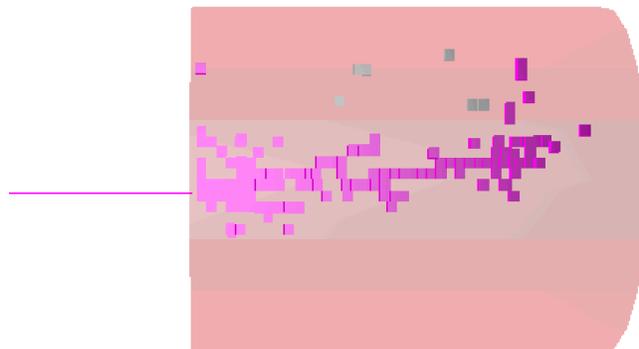


Total PFO Multiplicity - How many Particles Reconstructed?

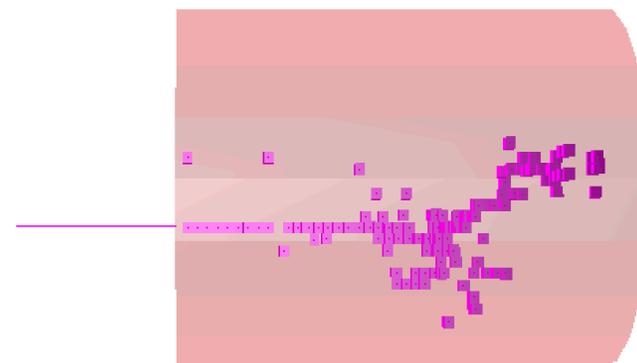
Different Scenarios

Examples of bad case: Only one PFO

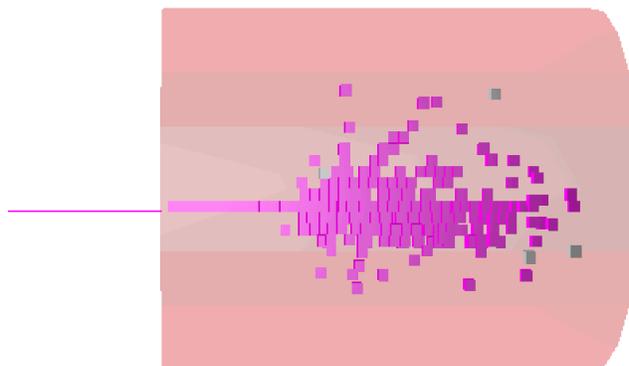
10GeV Neutral + 10 GeV
Charged Distance: 50mm



10GeV Neutral + 10 GeV
Charged Distance: 200mm



10GeV Neutral + 30 GeV
Charged Distance: 50mm



10GeV Neutral + 30 GeV
Charged Distance: 200mm

