

Axion in chiral perturbation

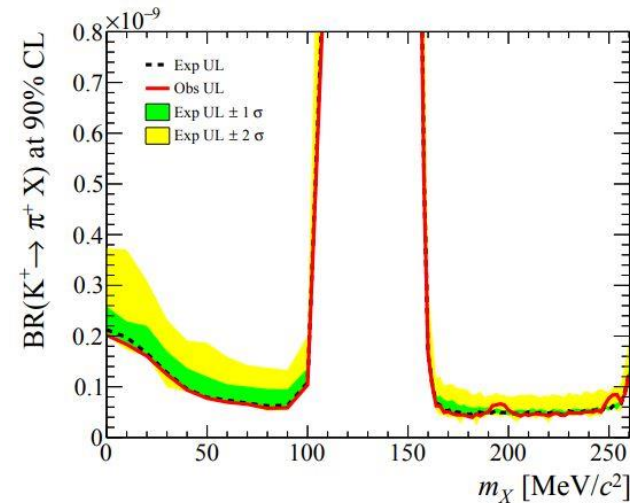
References:

$$\begin{aligned} K^\pm \rightarrow \pi^\pm \pi^0 & \left\{ \begin{array}{l} \text{hep-ph/0310351, Cirigliano, Ecker, Neufeld, Pich} \\ 1107.6001, \text{Cirigliano, Ecker, Neufeld, Pich, Portoles} \\ 2102.09308, \text{Pich, Rodriguez-Sanchez} \\ \text{etc} \end{array} \right. \\ K^\pm \rightarrow \pi^\pm a & \left\{ \begin{array}{l} 1710.03764, \text{Alves, Weiner} \\ 2005.05170, \text{Gori, Perez, Tobioka} \\ 2102.13112, \text{Bauer, Neubert, Rnner, Schnubel, Thamm} \\ \text{etc} \end{array} \right. \end{aligned}$$

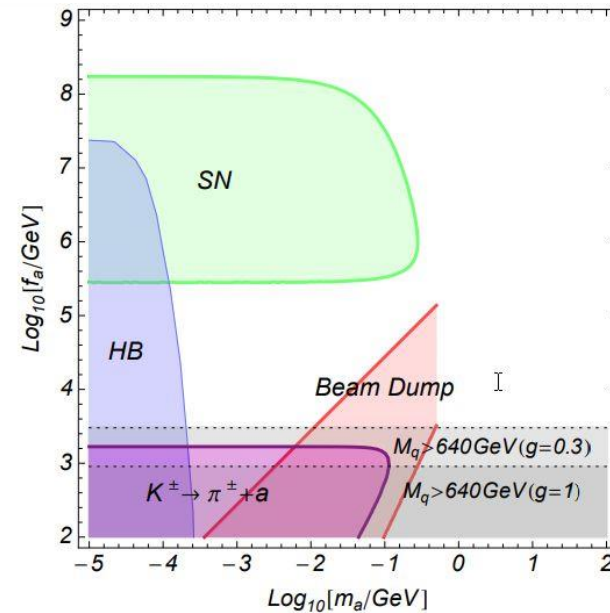
$$K^+ \rightarrow \pi^+ X$$

$$\text{Br}(K^+ \rightarrow \pi^+ a) < \sim 2 \times 10^{-10}$$

Kaon constraint is important for
QCD axion and ALPs.



[NA62 (2011.11329)]



[Fukuda, Harigaya, Ibe, Yanagida (1504.06084)]

Consistent treatment of axions in the weak chiral Lagrangian

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We present a consistent implementation of weak decays involving an axion or axion-like particle in the context of an effective chiral Lagrangian. We argue that previous treatments of such processes have used an incorrect representation of the flavor-changing quark currents in the chiral theory. As an application, we derive model-independent results for the decays $K^- \rightarrow \pi^- a$ and $\pi^- \rightarrow e^- \bar{\nu}_e a$ at leading order in the chiral expansion and for arbitrary axion couplings and mass. In particular, we find that the $K^- \rightarrow \pi^- a$ branching ratio is almost 40 times larger than previously estimated.

40 !?

Naïve formula

$$M(K^+ \rightarrow \pi^+ a) \simeq M(K^+ \rightarrow \pi^+ \pi^0) \times \theta_{a\pi} ???$$

!!! THIS NAÏVE FORMULA IS WRONG !!!

Two things we should know:

1. Octet enhancement ($\Delta I = 1/2$ rule)

- $(\bar{s}_L \gamma^\mu u_L)(\bar{u}_L \gamma_\mu d_L)$ gives octet (adjoint) and 27-plet operators in ChPT.
- Octet contributes to $K^+ \rightarrow \pi^+ a$
- Only 27-plet contribute to $K^+ \rightarrow \pi^+ \pi^0$

2. Mixing angle is “basis-dependent”. Not physical!

1. $K \rightarrow \pi\pi$ in ChPT

2. $K \rightarrow \pi a$ in ChPT

Chiral Perturbation Theory

- Spontaneously symmetry breaking

$$SU(3)_L \times SU(3)_R \rightarrow SU(3)_V \quad U \rightarrow g_L U g_R^\dagger$$

Chiral symmetry Meson field

$$L_0 = \frac{f_\pi^2}{4} \text{tr}[\partial_\mu U \partial^\mu U^\dagger]$$

$$L_1 = a_1 \left(\text{tr}[\partial_\mu U \partial^\mu U^\dagger] \right)^2 + a_2 \text{tr}[\partial_\mu U \partial_\nu U^\dagger] \text{tr}[\partial^\mu U \partial^\nu U^\dagger] + a_3 \text{tr}[\partial_\mu U \partial^\mu U^\dagger \partial_\nu U \partial^\nu U^\dagger]$$

$$L_2 = \dots$$

- Explicit breaking of $SU(3)_L \times SU(3)_R$
 - Light quark mass
 - Four-Fermi operator from weak interaction
 - (Electromagnetic interaction)

Those can be treated as VEV of spurion.

Light quark mass in ChPT

- Spontaneously symmetry breaking

$$SU(3)_L \times SU(3)_R \rightarrow SU(3)_V \quad U \rightarrow g_L U g_R^\dagger$$

Chiral symmetry Meson field

$$L_0 = \frac{f_\pi^2}{4} \text{tr}[\partial_\mu U \partial^\mu U^\dagger]$$

- Light quark mass term

UV $L_{mass} = m_{ij} q_{Li}^* q_{Rj} + h.c. \quad m_{ij} : (3, \bar{3}) \text{ of } SU(3)_L \times SU(3)_R$

ChPT $L_{mass} = \frac{f_\pi^2}{2} B_0 \text{tr}[m^\dagger U + m U^\dagger] \quad \text{Normalization } B_0 \text{ is determined by meson mass}$

$$\begin{aligned} m_\pi^2 &= B_0(m_u + m_d) \\ m_{K^{\pm,0}}^2 &= B_0(m_{u,d} + m_s) \\ m_\eta^2 &= B_0(m_u + m_d + 4m_s)/3 \end{aligned}$$

4-Fermi operator in ChPT

- Spontaneously symmetry breaking

$$SU(3)_L \times SU(3)_R \rightarrow SU(3)_V \quad U \rightarrow g_L U g_R^\dagger$$

Chiral symmetry Meson field

$$L_0 = \frac{f_\pi^2}{4} \text{tr}[\partial_\mu U \partial^\mu U^\dagger]$$

- 4-Fermi operator

UV

$$L_{4\text{Fermi}} = \frac{4G_F}{\sqrt{2}} V_{ud} V_{us}^* (\overline{s_L} \gamma_\mu u_L) (\overline{u_L} \gamma^\mu d_L) + h.c.$$

ChPT

$$L_{4\text{Fermi}} = ??$$

Group theory for 4-Fermi operator

See, e.g., 2102.09308

$$O_{kl}^{ij} \equiv (\bar{q}_{Lk} \gamma^\mu q_{Li})(\bar{q}_{Ll} \gamma^\mu q_{Lj})$$

$i, j, k, l = u, d, s$

$$\text{Fierz identity : } O_{kl}^{ij} = O_{kl}^{ji} = O_{lk}^{ij} = O_{lk}^{ji}$$

ij and kl are symmetric indices. Possible choices are 11, 12, 13, 22, 23, 33.

6-plet

Total number of independent O_{kl}^{ij} : 36

O_{kl}^{ij} are in **reducible** representation of $SU(3)_L$

$$\longrightarrow 6 \times \bar{6} \rightarrow 27 + 8 + 1$$

Group theory for 4-Fermi operator

See, e.g., 2102.09308

$$\begin{aligned}
 (\bar{s}_L \gamma_\mu d_L)(\bar{u}_L \gamma^\mu u_L) &= (\bar{s}_L \gamma_\mu d_L) \left(\frac{1}{5} (\bar{u}_L \gamma^\mu u_L) + \frac{1}{5} (\bar{d}_L \gamma^\mu d_L) + \frac{1}{5} (\bar{s}_L \gamma^\mu s_L) \right) \\
 &\quad + (\bar{s}_L \gamma_\mu d_L) \left(\frac{4}{5} (\bar{u}_L \gamma^\mu u_L) - \frac{1}{5} (\bar{d}_L \gamma^\mu d_L) - \frac{1}{5} (\bar{s}_L \gamma^\mu s_L) \right)
 \end{aligned}$$

8-plet

27-plet

$$-\frac{G_F}{\sqrt{2}} V_{ud} V_{us} g_8 f_\pi^4 (L_\mu L^\mu)_{32} - \frac{G_F}{\sqrt{2}} V_{ud} V_{us} g_{27} f_\pi^4 \left(L_{23} L_{11}^\mu + \frac{2}{3} L_{\mu 21} L_{13}^\mu \right) + h.c.$$

$$L_\mu \equiv i U \partial_\mu U^\dagger$$

Kaon decay measurement $\longrightarrow g_8 \simeq 4.99, \quad g_{27} \simeq 0.253 \quad [1107.6001]$

$\Gamma(K_S \rightarrow \pi^+ \pi^-, 2\pi^0) \gg \Gamma(K^+ \rightarrow \pi^+ \pi^0)$, octet enhancement a.k.a. $\Delta I = 1/2$ rule

1. $K \rightarrow \pi\pi$ in ChPT
2. $K \rightarrow \pi a$ in ChPT

Simplest case (KSVZ axion)

EFT with light quarks

$$L = i\bar{q}\gamma^\mu\partial_\mu q - m_q\bar{q}q$$

$$+ \left[\frac{4G_F}{\sqrt{2}} V_{ud}V_{us}(\bar{s}_L\gamma^\mu d_L)(\bar{u}_L\gamma^\mu u_L) + h.c. \right]$$

} Standard model

Simplest case (KSVZ axion)

EFT with light quarks and axion

$$L = i\bar{q}\gamma^\mu\partial_\mu q - m_q\bar{q}q$$

$$+ \left[\frac{4G_F}{\sqrt{2}} V_{ud}V_{us} (\bar{s}_L\gamma^\mu d_L)(\bar{u}_L\gamma^\mu u_L) + h.c. \right]$$

$$+ \frac{1}{2}(\partial_\mu a)^2 + \frac{c_{\gamma\gamma}\alpha}{4\pi} \frac{a}{f} F_{\mu\nu}\tilde{F}^{\mu\nu} + \frac{\alpha_s}{4\pi} \frac{a}{f} G_{\mu\nu}\tilde{G}^{\mu\nu}$$

Standard model

KSVZ axion

In general, we can add $(\partial_\mu a/f)(\bar{q}\gamma^\mu\gamma_5 q)$ coupling in this basis.
See, e.g., DFSZ, variant axion, flaxion,

Simplest case (KSVZ axion)

EFT with light quarks and axion

$$\begin{aligned}
 L = & \quad i\bar{q}\gamma^\mu\partial_\mu q - m_q\bar{q}q \\
 & + \left[\frac{4G_F}{\sqrt{2}} V_{ud}V_{us}(\bar{s}_L\gamma^\mu d_L)(\bar{u}_L\gamma^\mu u_L) + h.c. \right] \\
 & + \frac{1}{2}(\partial_\mu a)^2 + \frac{c_{\gamma\gamma}\alpha}{4\pi}\frac{a}{f}F_{\mu\nu}\tilde{F}^{\mu\nu} + \frac{\alpha_s}{4\pi}\frac{a}{f}G_{\mu\nu}\tilde{G}^{\mu\nu}
 \end{aligned}
 \quad \left. \begin{array}{l} \\ \\ \end{array} \right\} \begin{array}{l} \text{Standard model} \\ \text{KSVZ axion} \end{array}$$

In general, we can add $(\partial_\mu a/f)(\bar{q}\gamma^\mu\gamma_5 q)$ coupling in this basis.
See, e.g., DFSZ, variant axion, flaxion,

Let us take a new basis :

$$q \rightarrow \exp\left(-\frac{i\kappa_q a}{f}\gamma_5\right)q \qquad \kappa_u + \kappa_d + \kappa_s = 1$$

Simplest case (KSVZ axion)

EFT with light quarks and axion in a new basis

$$\begin{aligned} L = & i\bar{q}\gamma^\mu\partial_\mu q - m_q\bar{q}\exp\left(-\frac{2i\kappa_q a}{f}\gamma_5\right)q + \frac{\kappa_q}{f}(\partial_\mu a)\bar{q}\gamma^\mu\gamma^5 q \\ & + \left[\frac{4G_F}{\sqrt{2}}V_{ud}V_{us}\exp\left(\frac{i(\kappa_d - \kappa_s)a}{f}\right)(\bar{s}_L\gamma^\mu d_L)(\bar{u}_L\gamma^\mu u_L) + h.c.\right] \\ & + \frac{1}{2}(\partial_\mu a)^2 + \frac{\tilde{c}_{\gamma\gamma}\alpha}{4\pi}\frac{a}{f}F_{\mu\nu}\tilde{F}^{\mu\nu} \end{aligned}$$

Simplest case (KSVZ axion)

EFT with mesons and axion

$$\begin{aligned} L = & \frac{f_\pi^2}{4} \text{tr} \left[D_\mu U D^\mu U^\dagger \right] + \frac{f_\pi^2}{2} B_0 \text{tr} \left[\hat{m}^\dagger U + U^\dagger \hat{m} \right] \\ & + \left[\frac{G_F}{\sqrt{2}} V_{ud} V_{us} g_8 \exp \left(\frac{i(\kappa_d - \kappa_s) a}{f} \right) \text{tr} \left[\lambda_{sd} \left(D_\mu U^\dagger \right) (D^\mu U) \right] + h. c. \right] \\ & + \frac{1}{2} (\partial_\mu a)^2 + \frac{\tilde{c}_{\gamma\gamma} \alpha}{4\pi} \frac{a}{f} F_{\mu\nu} \tilde{F}^{\mu\nu} \end{aligned}$$

Note that $D_\mu U = \partial_\mu U + i \frac{\partial_\mu a}{f} (\kappa U + U \kappa)$

Simplest case (KSVZ axion)

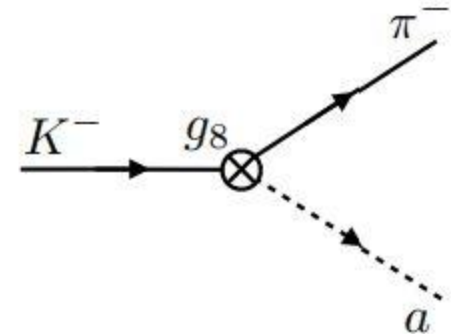
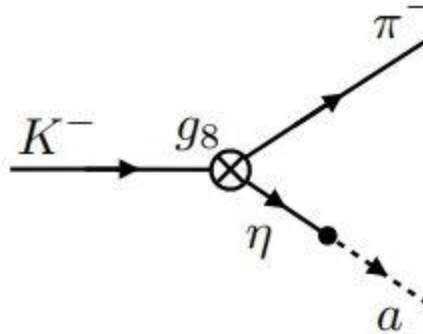
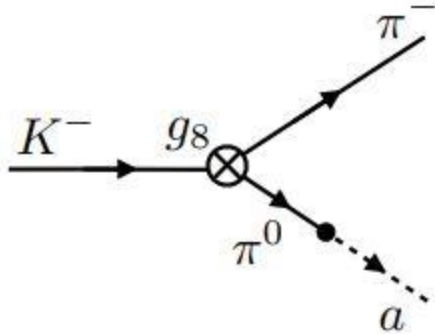
EFT with mesons and axion

$$\begin{aligned}
 L = & \frac{f_\pi^2}{4} \text{tr} \left[D_\mu U D^\mu U^\dagger \right] + \frac{f_\pi^2}{2} B_0 \text{tr} \left[\hat{m}^\dagger U + U^\dagger \hat{m} \right] \\
 & + \left[\frac{G_F}{\sqrt{2}} V_{ud} V_{us} g_8 \exp \left(\frac{i(\kappa_d - \kappa_s) a}{f} \right) \text{tr} \left[\lambda_{sd} \left(D_\mu U^\dagger \right) (D^\mu U) \right] + h.c. \right] \\
 & + \frac{1}{2} (\partial_\mu a)^2 + \frac{\tilde{c}_{\gamma\gamma} \alpha}{4\pi} \frac{a}{f} F_{\mu\nu} \tilde{F}^{\mu\nu}
 \end{aligned}$$

Note that $D_\mu U = \partial_\mu U + i \frac{\partial_\mu a}{f} (\kappa U + U \kappa)$

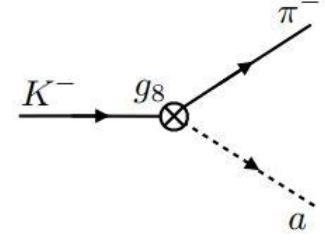
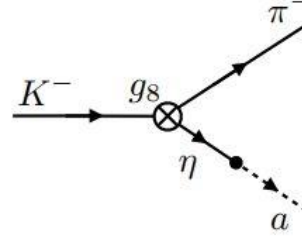
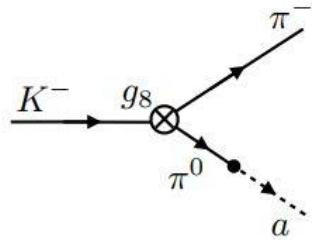
	1/f	$G_F V_{ud} V_{us} g_8$
• Mass mixing between a and π^0, η	✓	
• Kinetic mixing between a and π^0, η	✓	
• $K^\pm \pi^\mp \pi^0, K^\pm \pi^\mp \eta$ vertex		✓
• $K^\pm \pi^\mp a$ vertex	✓	✓

diagrams



2102.13112 pointed out $\partial_\mu a$ in $\text{tr} \left[\lambda_{sd} \left(D_\mu U^\dagger \right) (D^\mu U) \right]$

Scheme dependence term



$$-\frac{G_F}{\sqrt{2}} \frac{f_\pi^2}{f_a} m_K^2 V_{ud} V_{us} g_8 \times \left[O\left(\frac{m_\pi^2}{m_K^2}\right) - \frac{1}{3}\kappa_u - \frac{1}{3}\kappa_d + \frac{2}{3}\kappa_s + \kappa_u + \kappa_d \right]$$

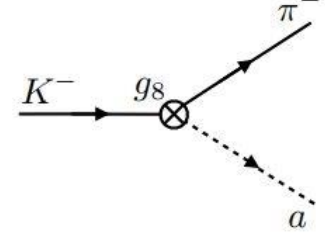
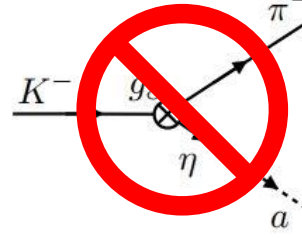
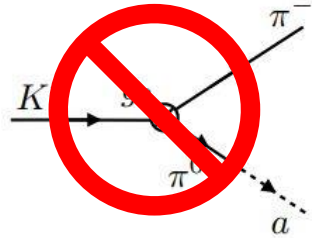
- Each diagram is “scheme-dependent”.
- Total amplitude is “scheme-independent”.

A simplified case

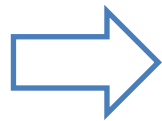
$$m_K^2 \gg m_\pi^2, m_a^2$$

$$\kappa_s = 0,$$

$$\kappa_u + \kappa_d = 1$$



$$i \frac{G_F}{\sqrt{2}} g_8 V_{ud} V_{us} \frac{1}{f_\pi^2 f_a} [\kappa_d (\partial K^-) (\partial \pi^+) a + \kappa_u (\partial K^-) \pi^+ (\partial a) - K^- (\partial \pi^+) (\partial a)] + h.c.$$



$$A = \frac{i G_F}{\sqrt{2}} g_8 V_{ud} V_{us} \frac{1}{f_\pi^2 f_a} (\kappa_d + \kappa_u + 1) \frac{m_K^2}{2}$$

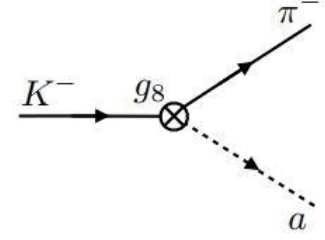
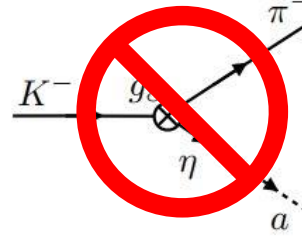
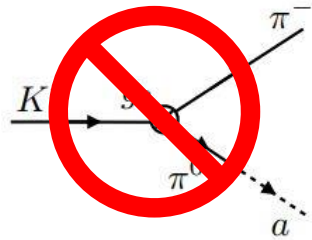
This result does not depend on the value of $\kappa_u(\kappa_d)$

A simplified case

$$m_K^2 \gg m_\pi^2, m_a^2$$

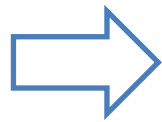
$$\kappa_s = 0,$$

$$\kappa_u + \kappa_d = 1$$



$$+ \left[\frac{G_F}{\sqrt{2}} V_{ud} V_{us} g_8 \exp \left(\frac{i(\kappa_d - \kappa_s)a}{f} \right) \text{tr} \left[\lambda_{sd} (D_\mu U^\dagger) (D^\mu U) \right] + h.c. \right]$$

$$i \frac{G_F}{\sqrt{2}} g_8 V_{ud} V_{us} \frac{1}{f_\pi^2 f_a} [\kappa_d (\partial K^-) (\partial \pi^+) a + \kappa_u (\partial K^-) \pi^+ (\partial a) - K^- (\partial \pi^+) (\partial a)] + h.c.$$



$$A = \frac{i G_F}{\sqrt{2}} g_8 V_{ud} V_{us} \frac{1}{f_\pi^2 f_a} (\kappa_d + \kappa_u + 1) \frac{m_K^2}{2}$$

This result does not depend on the value of $\kappa_u(\kappa_d)$

Comparison

$$A = -i \frac{G_F f_\pi^2}{\sqrt{2} f_a} V_{ud} V_{us} g_8 \times \frac{1}{2} m_K^2$$

[Bauer, Neubert, Renner, Schnubel, Thamm (2021)]

$$A = -i \frac{G_F f_\pi^2}{\sqrt{2} f_a} V_{ud} V_{us} g_8 \times \frac{1}{2} m_K^2 \times \frac{m_u}{2(m_u + m_d)}$$

0.16

[Georgi, Kaplan, Randall (1986)]

The correct A^2 is **37 times larger** than Gerogi-Kaplan-Randall.

Backup

Chiral Perturbation Theory

$$SU(3)_L \times SU(3)_R \rightarrow SU(3)_V$$

$$U \rightarrow g_L U g_R^*$$

$$M \rightarrow g_L M g_R^*$$

$$\mathcal{L}_{\text{meson}} = \frac{f_\pi^2}{4} \text{tr} [D_\mu U D^\mu U^\dagger] + \frac{f_\pi^2}{2} B_0 \text{tr} [M^\dagger U + U^\dagger M],$$

$$f_\pi = 93 \text{ MeV}$$

$$U = \exp \left(-\frac{\sqrt{2}i\phi}{f_\pi} \right), \quad \phi = \begin{pmatrix} \pi^0/\sqrt{2} + \eta^0/\sqrt{6} & \pi^+ & K^+ \\ \pi^- & -\pi^0/\sqrt{2} + \eta^0/\sqrt{6} & K^0 \\ K^- & \bar{K}^0 & -2\eta^0/\sqrt{6} \end{pmatrix},$$

Group theory for 4 Fermi operators

Tensor product

$\mathfrak{su}_3$ tensor products		
$\mathbf{3} \otimes \mathbf{3}$	=	$(\mathbf{6})_S \oplus (\mathbf{3})_A$
$\bar{\mathbf{3}} \otimes \mathbf{3}$	=	$(\mathbf{8}) \oplus (\mathbf{1})$
$\bar{\mathbf{3}} \otimes \bar{\mathbf{3}}$	=	$(\mathbf{6})_S \oplus (\mathbf{3})_A$
$\mathbf{6} \otimes \mathbf{3}$	=	$(\mathbf{15}) \oplus (\mathbf{3})$
$\mathbf{6} \otimes \bar{\mathbf{3}}$	=	$(\mathbf{10}) \oplus (\mathbf{8})$
$\mathbf{6} \otimes \mathbf{6}$	=	$(\mathbf{15}')_S \oplus (\mathbf{6})_S \oplus (\mathbf{15})_A$
$\bar{\mathbf{6}} \otimes \mathbf{3}$	=	$(\mathbf{10}) \oplus (\mathbf{8})$
$\bar{\mathbf{6}} \otimes \bar{\mathbf{3}}$	=	$(\mathbf{15}) \oplus (\mathbf{3})$
$\bar{\mathbf{6}} \otimes \mathbf{6}$	=	$(\mathbf{27}) \oplus (\mathbf{1}) \oplus (\mathbf{8})$
$\bar{\mathbf{6}} \otimes \bar{\mathbf{6}}$	=	$(\mathbf{15}')_S \oplus (\mathbf{6})_S \oplus (\mathbf{15})_A$

[Table 1423 (page 10748) in 1511.08771v2]

Fierz identity

$$\sigma_{\alpha\dot{\alpha}}^{\mu} \sigma_{\mu\beta\dot{\beta}} = -\sigma_{\alpha\dot{\beta}}^{\mu} \sigma_{\mu\beta\dot{\alpha}},$$

$$\bar{\sigma}^{\mu\dot{\alpha}\alpha} \bar{\sigma}_{\mu}^{\dot{\beta}\beta} = -\sigma^{\mu\dot{\alpha}\beta} \sigma_{\mu}^{\dot{\beta}\alpha},$$

[appendix B.1 in 0812.1594]

Octet enhancement, $\Delta I = 1/2$ rule

$$\frac{\Gamma(K_S^0 \rightarrow \pi\pi)}{\Gamma(K^+ \rightarrow \pi^+\pi^0)} \approx 668.$$

$$L \sim g_8 V_{ud} V_{us} G_F (\partial_\mu K^-) (\pi^0 \partial_\mu \pi^+ - \pi^+ \partial_\mu \pi^0)$$

In the limit of $m_{\pi^0} = m_{\pi^\pm}$,

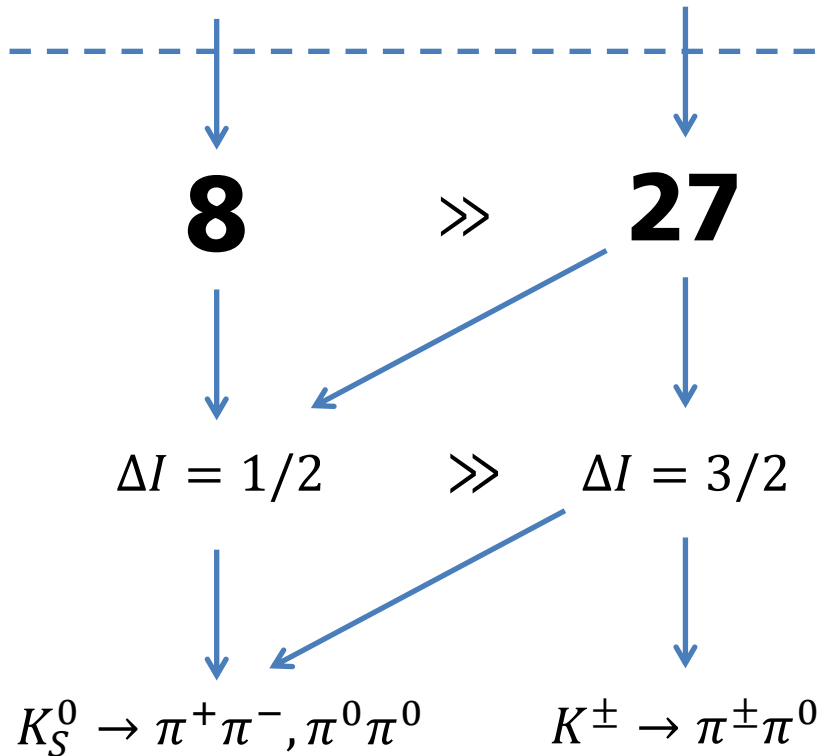
there is no contribution to on-shell amplitude of kaon decay

$$8_{SU(3)} = (3 + 2 + 2 + 1)_{SU(2)}$$

Short summary of kaon decay

QCD + EW : $L_{4\text{Fermi}} = \frac{4G_F}{\sqrt{2}} V_{ud} V_{us}^* (\overline{s}_L \gamma_\mu u_L) (\overline{u}_L \gamma^\mu d_L)$

Chiral perturbation



$$\Gamma(K_S^0 \rightarrow \pi^+ \pi^-, \pi^0 \pi^0) = 1.1 \times 10^{10} s^{-1} \quad \gg \quad \Gamma(K^\pm \rightarrow \pi^\pm \pi^0) = 1.8 \times 10^7 s^{-1}$$