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On the origin of neutrino flavour symmetry

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Tri-bimaximal lepton mixing

$$\text{PMNS} \approx U_{TB} \equiv \begin{pmatrix} \sqrt{\frac{2}{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

$$\Rightarrow \left\{ \begin{array}{l} \text{PMNS-angles} \quad \text{tri-bimax.} \quad \text{1}\sigma \text{ exp.} \\ \hline \sin^2 \theta_{12} : \quad \frac{1}{3} \quad 0.288 - 0.326 \\ \sin^2 \theta_{23} : \quad \frac{1}{2} \quad 0.44 - 0.57 \\ \sin^2 \theta_{13} : \quad 0 \quad \leq 0.026 \end{array} \right.$$

Schwetz, Tórtola, Valle
(2008)

Non-Abelian family symmetries

The symmetries of the neutrino mass matrix

neutrino flavour basis

$$M_\ell = \begin{pmatrix} m_e & 0 & 0 \\ 0 & m_\mu & 0 \\ 0 & 0 & m_\tau \end{pmatrix} \quad M_\nu \sim U_{TB} M_\nu^{\text{diag}} U_{TB}^T$$
$$\sim \begin{pmatrix} \alpha & \beta & \beta \\ \beta & \gamma & \alpha + \beta - \gamma \\ \beta & \alpha + \beta - \gamma & \gamma \end{pmatrix}$$

$$\left[\alpha = \frac{1}{3}(2m_{\nu_1} + m_{\nu_2}) \quad \beta = \frac{1}{3}(-m_{\nu_1} + m_{\nu_2}) \quad \gamma = \frac{1}{6}(m_{\nu_1} + 2m_{\nu_2} + 3m_{\nu_3}) \right]$$

How can we obtain such relations between elements of a mass matrix?

invoke a symmetry $\mathcal{G} \ni S, T, U, \dots$

Lam (2008)

$$M_\nu = S^T M_\nu S = U^T M_\nu U$$

$$\Rightarrow S = \frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix} \quad U = - \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

$$\bar{M}_\ell = T^T \bar{M}_\ell T^* \quad (\bar{M}_\ell = M_\ell M_\ell^\dagger)$$

$$\Rightarrow T = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^{-1} & 0 \\ 0 & 0 & \omega \end{pmatrix} \quad \text{e.g. } \omega = e^{2\pi i/3}$$

S, T, U = generators of the triplet representation of the permutation group S_4
(symmetry group of the octahedron and the cube)

S_4 symmetry

presentation $S^2 = T^3 = U^2 = \mathbb{1}$
 $(ST)^3 = (SU)^2 = (TU)^2 = (STU)^4 = \mathbb{1}$

irreducible representations

	S	T	U
1	1	1	1
1'	1	1	-1
2	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} \omega & 0 \\ 0 & \omega^{-1} \end{pmatrix}$	$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$
3	$\frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^{-1} & 0 \\ 0 & 0 & \omega \end{pmatrix}$	$-\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$
3'	$\frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^{-1} & 0 \\ 0 & 0 & \omega \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$

A_4 symmetry

presentation

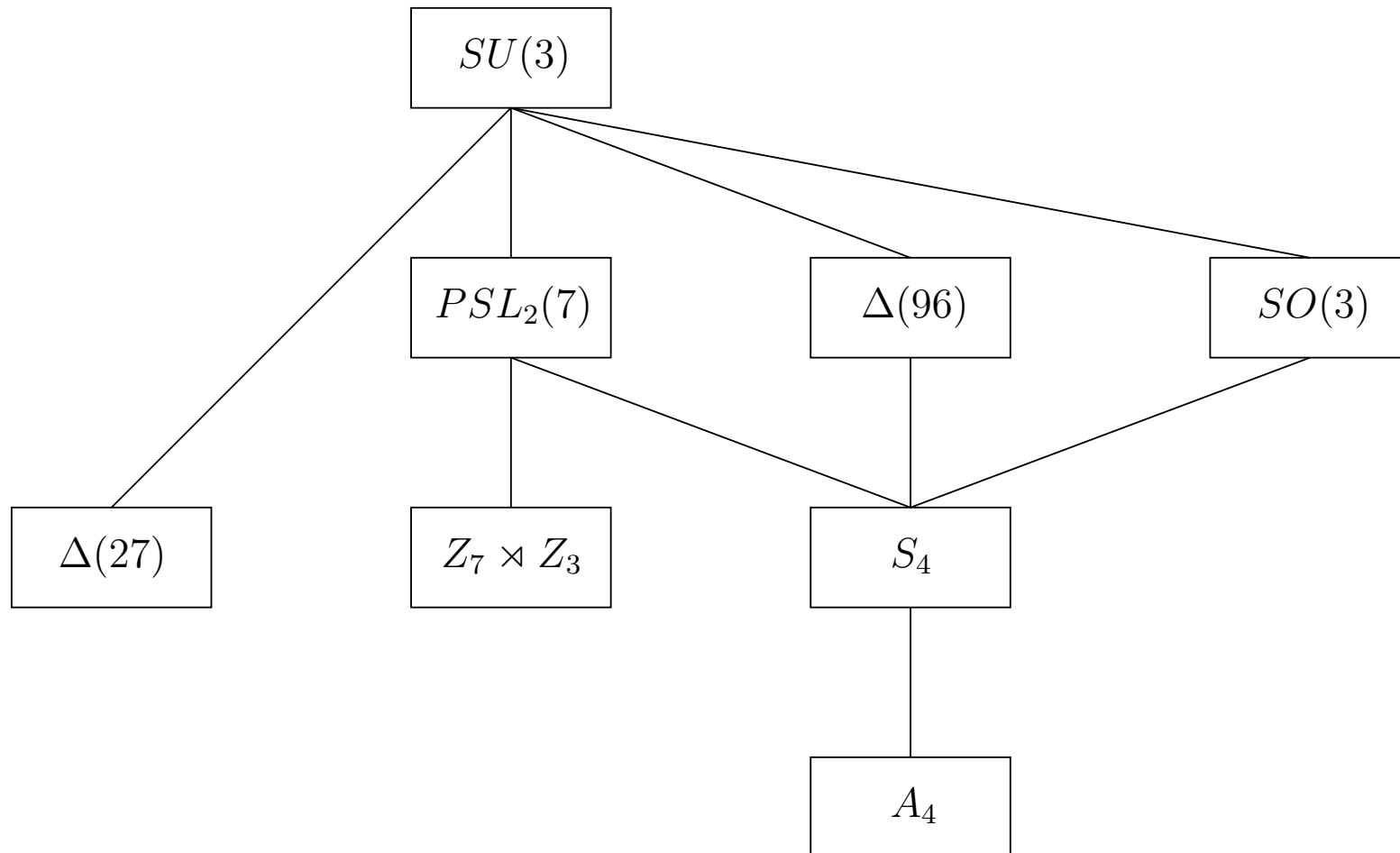
$$S^2 = T^3 = \mathbb{1}$$

$$(ST)^3 = \mathbb{1}$$

irreducible representations

	S	T	
1	1	1	
$\begin{pmatrix} \mathbf{1}' \\ \mathbf{1}'' \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} \omega & 0 \\ 0 & \omega^{-1} \end{pmatrix}$	
3	$\frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & \omega^{-1} & 0 \\ 0 & 0 & \omega \end{pmatrix}$	

Other candidates for \mathcal{G}



Direct and indirect models

S, U generate the Klein four-group $\mathcal{K} = Z_2 \times Z_2$

direct models

indirect models

family symmetry \mathcal{G}

$$\mathcal{K} \subset \mathcal{G}$$

\mathcal{K} not necessarily $\subset \mathcal{G}$

flavon VEVs $\langle \Phi^\nu \rangle$

do not break \mathcal{K}

break \mathcal{K} , however...

$$S \cdot \langle \Phi^\nu \rangle = \langle \Phi^\nu \rangle$$

$$S \cdot \langle \Phi^\nu \rangle = \pm \langle \Phi^\nu \rangle$$

$$U \cdot \langle \Phi^\nu \rangle = \langle \Phi^\nu \rangle$$

$$U \cdot \langle \Phi^\nu \rangle = \pm \langle \Phi^\nu \rangle$$

effective Lagrangian

linear in Φ^ν

quadratic in Φ^ν

$$\mathcal{L}_\nu \sim \sum_a \nu \Phi_a^\nu \nu H H$$

$$\mathcal{L}_\nu \sim \sum_a \nu^T \Phi_a^\nu \Phi_a^{\nu T} \nu H H$$

Alignment in direct models – S_4 , $PSL_2(7)$, $\Delta(96)$

effective Lagrangian $\sum_a \nu \Phi_a^\nu \nu H H$ respects \mathcal{K}

in S_4 : multiplication rule $\mathbf{3} \otimes \mathbf{3} = \underbrace{(\mathbf{1} + \mathbf{2} + \mathbf{3}')_s}_{\text{flavon representations}} + \mathbf{3}_a$

S_4 irrep	S	U	alignment of Φ_a^ν
$\mathbf{1}$	1	1	1
$\mathbf{2}$	$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 \\ 1 \end{pmatrix}$
$\mathbf{3}'$	$\frac{1}{3} \begin{pmatrix} -1 & 2 & 2 \\ 2 & -1 & 2 \\ 2 & 2 & -1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$	$\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$

Alignment in indirect models – $\Delta(27)$, $Z_7 \rtimes Z_3$, A_4

central terms in (scalar) flavon potential

$$(i) \quad \kappa \sum_i \phi_i^\dagger \phi_i \phi_i^\dagger \phi_i \quad \kappa > 0 \quad \rightarrow \quad \langle \phi_{123} \rangle \propto \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$$

$$\kappa < 0 \quad \rightarrow \quad \langle \phi_1 \rangle \propto \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

$$(ii) \quad \tilde{\kappa} \sum_{i,j} (\phi_i^\dagger \tilde{\phi}_i) (\tilde{\phi}_j^\dagger \phi_j) \quad \tilde{\kappa} > 0 \quad \rightarrow \quad \text{orthogonality condition } \langle \phi \rangle \perp \langle \tilde{\phi} \rangle$$

$$\text{e.g. } \langle \phi_{23} \rangle \propto \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix}$$

$$\text{or } \langle \phi_\perp \rangle \propto \frac{1}{\sqrt{6}} \begin{pmatrix} -2 \\ 1 \\ 1 \end{pmatrix}$$

flavon VEVs break neutrino flavour symmetry \mathcal{K} (generated by S, U)

$$\langle \phi_{\perp} \rangle \sim \frac{1}{\sqrt{6}} \begin{pmatrix} -2 \\ 1 \\ 1 \end{pmatrix} \quad \langle \phi_{123} \rangle \sim \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix} \quad \langle \phi_{23} \rangle \sim \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix}$$

$$S \cdot \langle \phi_{\perp} \rangle = -\langle \phi_{\perp} \rangle \quad U \cdot \langle \phi_{\perp} \rangle = -\langle \phi_{\perp} \rangle$$

$$S \cdot \langle \phi_{123} \rangle = +\langle \phi_{123} \rangle \quad U \cdot \langle \phi_{123} \rangle = -\langle \phi_{123} \rangle$$

$$S \cdot \langle \phi_{23} \rangle = -\langle \phi_{23} \rangle \quad U \cdot \langle \phi_{23} \rangle = +\langle \phi_{23} \rangle$$

effective neutrino Lagrangian respects \mathcal{K}

$$\mathcal{L}_{\nu} \sim \nu^T (m_{\nu_1} \phi_{\perp} \phi_{\perp}^T + m_{\nu_2} \phi_{123} \phi_{123}^T + m_{\nu_3} \phi_{23} \phi_{23}^T) \nu H H$$

A direct $SU(5) \times S_4$ model

Particle content

Hagedorn, King, Luhn (2010)

matter	T_3	T	F	N	H_5	$H_{\bar{5}}$	$H_{\overline{45}}$
$SU(5)$	10	10	$\bar{\mathbf{5}}$	1	5	$\bar{\mathbf{5}}$	$\overline{\mathbf{45}}$
S_4	1	2	3	3	1	1	1
$U(1)$	0	5	4	-4	0	0	1

flavons	ζ_2^u	$\tilde{\zeta}_2^u$	ϕ_2^d	$\tilde{\phi}_{23}^d$	ζ_1^d	ξ^ν	ζ_{12}^ν	$\phi_{123}'^\nu$
$SU(5)$	1	1	1	1	1	1	1	1
S_4	2	2	3	3	2	1	2	3'
$U(1)$	-10	0	-4	-11	1	8	8	8

notation for flavons

singlets $\rightarrow \xi$

doublets $\rightarrow \zeta$

triplets $\rightarrow \phi, \phi'$

(flavon field)_{alignment}^{sector}

Neutrino sector

neutrino masses (type I seesaw)

$$FNH_{\mathbf{5}} \rightarrow M_D \quad NN(\xi^\nu + \zeta_{12}^\nu + \phi'_{123}{}^\nu) \rightarrow M_R$$

$$\implies M_\nu = -M_D M_R^{-1} M_D^T$$

- three light neutrino masses \leftrightarrow three input parameters

neutrino mixing

- M_D symmetric under S_4
- M_R symmetric under \mathcal{K}
- hence M_ν symmetric under S and U
- tri-bimaximal structure robust up to $\mathcal{O}(10^{-3})$

Charged fermions

up sector $T_3 T_3 H_5 + \frac{1}{M} T T \zeta_2^u H_5 + \frac{1}{M^2} T T \zeta_2^u \tilde{\zeta}_2^u H_5$

$$M_u \sim \begin{pmatrix} \lambda^8 & 0 & 0 \\ 0 & \lambda^4 & 0 \\ 0 & 0 & 1 \end{pmatrix} v_u$$

- diagonal up quark matrix
- renormalisable top Yukawa

down sector $\frac{1}{M} F T_3 \phi_2^d H_{\bar{5}} + \frac{1}{M^2} (F \tilde{\phi}_{23}^d)_1 (T \zeta_1^d)_1 H_{\bar{45}} + \frac{1}{M^3} (F \zeta_1^d \zeta_1^d)_3 (T \tilde{\phi}_{23}^d)_3 H_{\bar{5}}$

$$M_d \sim \begin{pmatrix} 0 & \lambda^5 & \lambda^5 \\ \lambda^5 & \lambda^4 & \lambda^4 \\ 0 & 0 & \lambda^2 \end{pmatrix} v_d$$

$$M_\ell \sim \begin{pmatrix} 0 & \lambda^5 & 0 \\ \lambda^5 & \mathbf{3} \lambda^4 & 0 \\ \lambda^5 & \mathbf{3} \lambda^4 & \lambda^2 \end{pmatrix} v_d$$

- CKM mixing from down sector
- Georgi-Jarlskog relations $m_b \sim m_\tau$, $m_s \sim \frac{1}{3} m_\mu$, $m_d \sim 3 m_e$
- Gatto-Sartori-Tonin relation $\theta_{12}^d \sim \sqrt{\frac{m_d}{m_s}}$

An indirect $SU(5) \times A_4$ model

Particle content

Cooper, King, Luhn (2010)

matter	T_3	T_2	T_1	F	ψ_{24}	N	H_5	$H_{\bar{5}}$	$H_{\overline{45}}$
$SU(5)$	10	10	10	$\bar{5}$	24	1	5	$\bar{5}$	$\overline{45}$
A_4	1	1	1	3	1	1	1	1	1
$U(1)$	0	1	4	0	-1	2	0	0	2
$Z_2 \times \tilde{Z}_2$	+ -	++	++	++	- +	- +	++	- +	- +

flavons	ϕ_{123}	ϕ_{23}	ξ	ϕ_3	$\tilde{\xi}$	ϕ_1
$SU(5)$	1	1	1	1	1	1
A_4	3	3	1	3	1	3
$U(1)$	1	-2	-1	0	-4	q_1
$Z_2 \times \tilde{Z}_2$	- +	- +	++	--	- +	++

notation for flavons

singlets $\rightarrow \xi$

triplets $\rightarrow \phi$

(flavon field)_{alignment}

Neutrino sector

Dirac $\frac{1}{M} \underbrace{\phi_{23} F N}_{\propto (F_2 - F_3) N} H_5 + \frac{1}{M} \underbrace{\phi_{123} F \psi_{24}}_{\propto (F_1 + F_2 + F_3) \psi_{24}} H_5$

Majorana $\left(\frac{\phi_{23}^2}{M} + \frac{\xi^4}{M^3} \right) N N + \frac{\phi_{123}^2}{M} \text{Tr} (\psi_{24}^2)$

after integrating out seesaw particles N and $\rho_0, \rho_3 \subset \psi_{24}$

$$\mathcal{L}_\nu \sim \frac{1}{M^2} F^T \left(\frac{\phi_{23} \phi_{23}^T}{m_N} + \frac{\phi_{123} \phi_{123}^T}{m_{\rho_0}} + \frac{\phi_{123} \phi_{123}^T}{m_{\rho_3}} \right) F H_5 H_5$$

$$\implies M_\nu \sim \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & -1 \\ 0 & -1 & 1 \end{pmatrix} \frac{v_u^2}{M} + \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix} \frac{v_u^2}{M}$$

• m_{ν_3} due to N & m_{ν_2} due to ψ_{24} & $m_{\nu_1} = 0$

• tri-bimaximal mixing in an indirect model

Charged fermions

up sector $T_3 T_3 H_{\mathbf{5}} + \frac{\xi^2}{M^2} T_2 T_2 H_{\mathbf{5}} + \frac{\tilde{\xi}^2}{M^2} T_1 T_1 H_{\mathbf{5}}$
 $+ \left(\frac{\phi_{23}^2 \xi}{M^3} + \frac{\xi^5}{M^5} \right) T_1 T_2 H_{\mathbf{5}} + \frac{\phi_{23} \phi_3 \xi^2}{M^4} T_1 T_3 H_{\mathbf{5}} + \frac{\phi_{123} \phi_3 \xi^2}{M^4} T_2 T_3 H_{\mathbf{5}}$

$$M_u \sim \begin{pmatrix} \lambda^8 & \lambda^8 & \lambda^9 \\ \lambda^8 & \lambda^4 & \lambda^9 \\ \lambda^9 & \lambda^9 & 1 \end{pmatrix} v_u$$

- almost diagonal up quark matrix
- renormalisable top Yukawa

down sector $\frac{\phi_3}{M} F T_3 H_{\overline{\mathbf{5}}} + \frac{\xi \phi_{23}}{M^2} F T_2 H_{\overline{\mathbf{45}}} + \frac{\xi^2 \phi_{123}}{M^3} F T_2 H_{\overline{\mathbf{5}}} + \frac{\xi^2 \phi_{23}}{M^3} F T_1 H_{\overline{\mathbf{5}}}$

$$M_d \sim \begin{pmatrix} 0 & \lambda^4 & \lambda^4 \\ \lambda^4 & \lambda^3 & \lambda^3 \\ 0 & 0 & \lambda \end{pmatrix} v_d$$

$$M_\ell \sim \begin{pmatrix} 0 & \lambda^4 & 0 \\ \lambda^4 & \mathbf{3} \lambda^3 & 0 \\ \lambda^4 & \mathbf{3} \lambda^3 & \lambda \end{pmatrix} v_d$$

- CKM mixing from down sector
- Georgi-Jarlskog relations $m_b \sim m_\tau$, $m_s \sim \frac{1}{3} m_\mu$, $m_d \sim 3 m_e$

Quick summary

- tri-bimaximal lepton mixing more than a numerical accident
- non-Abelian family symmetry \mathcal{G} at work
- direct vs. indirect nature of \mathcal{G}
- two examples
 - (i) $SU(5) \times S_4$ model (direct)
 - (ii) $SU(5) \times A_4$ model (indirect)

Thank you