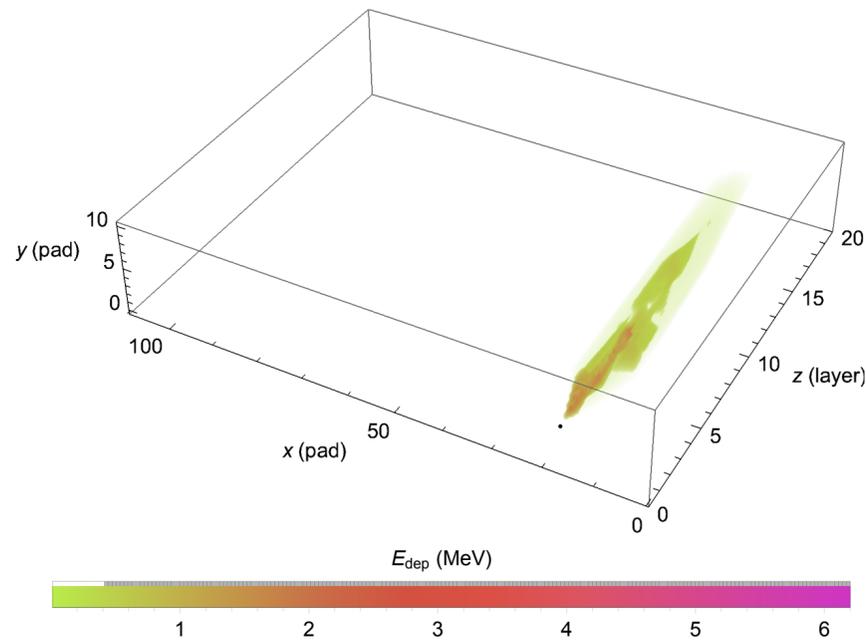


# **Neural Network in ECAL Reconstruction (status report)**

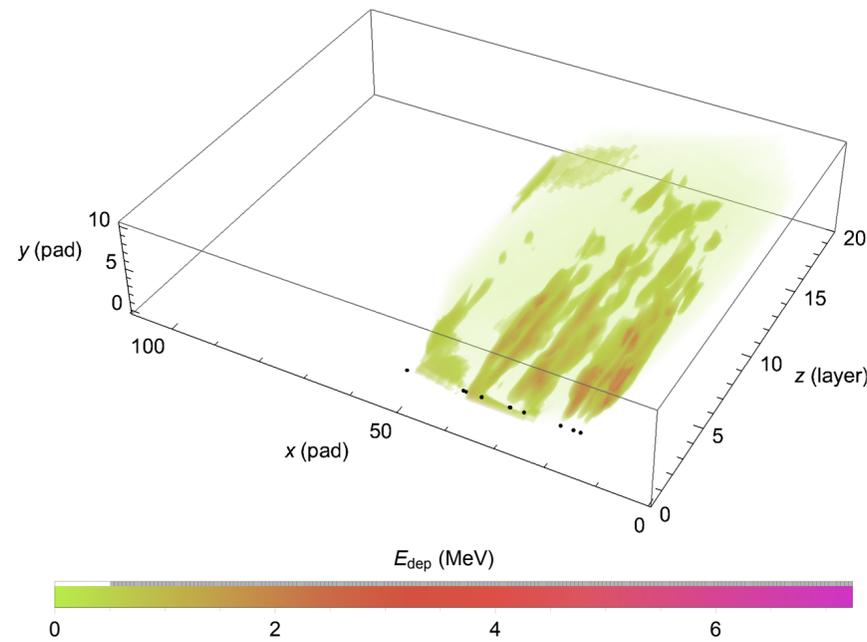
Shan Huang & Elihu Sela

4 August 2021

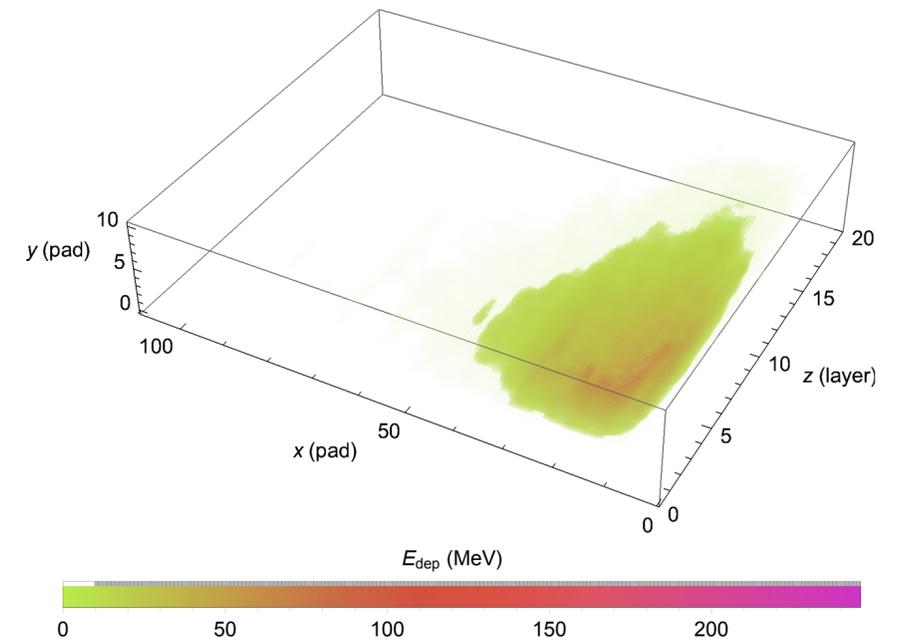
# $E_{\text{dep}}$ image in ECAL



Single-positron shower  
“cold” ECAL



10-positron shower  
“warm” ECAL



1000-positron shower  
“hot” ECAL

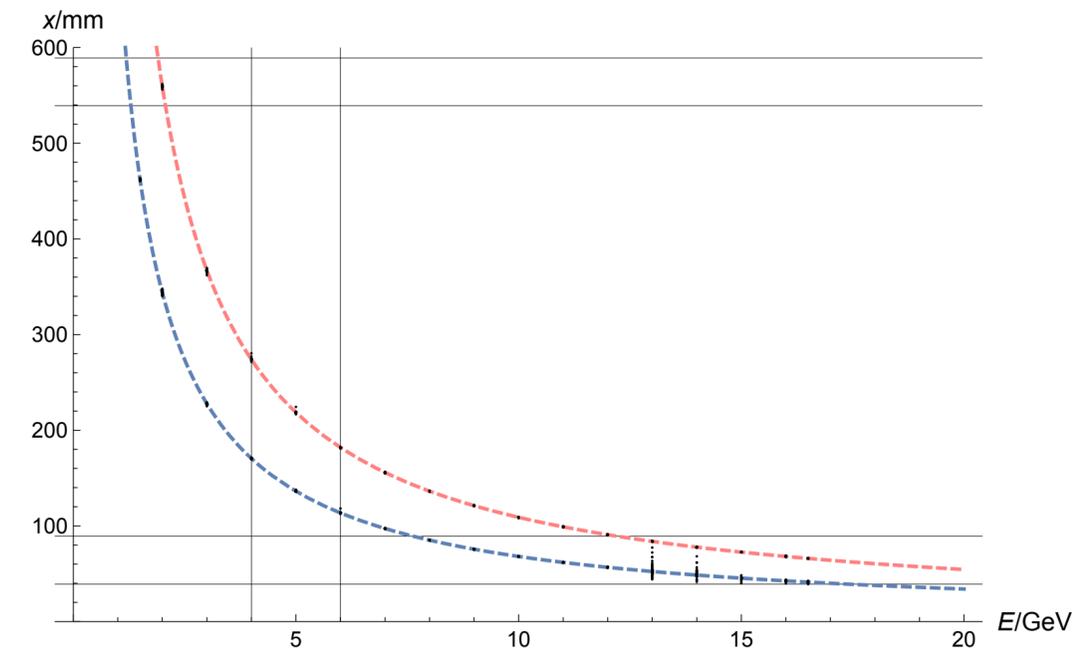
Questions to be answered:

- Where do the positrons enter ECAL? (*geometry un-related*)
- What are the energies of those positrons? (*depend on the geometry*)

for e-laser geometry

$$x * E_0 = 680.058 \text{ mm GeV} \quad (\text{blue curve})$$

$$- dx * E_0^2 = dE * 680.058 \text{ mm GeV}$$



# Summary of Situation

## “Cold” ECAL

- Dataset
  - ✓ positrons with given energies
  - ✓ positrons from signal files
    - no background
- Conventional methods
  - ✓ linear weighting  
( $\sigma = 0.1\sim 1$  mm/10~100 MeV)
  - ✓ logarithmic weighting  
( $rms = 0.01\sim 1$  mm/10 MeV)
    - correction of angular effects
- Neural networks
  - “training separately” venue  
( $rms = 0.001\sim 0.1$  mm)
  - mix training venue ( $rms = 450$  MeV)

## “Warm” ECAL

- Dataset
  - ✓ positron combinations from signal files
    - adding background
- Conventional methods
  - clustering (testing)
  - reconstruction for cluster
- Neural networks
  - training for energy list

## “Hot” ECAL

- Dataset
  - ✓ 907 signal files
    - artificial generated from spectrums, plus BG
- Conventional methods
  - ✓ energy flow (max 10% error)
- Neural networks
  - training for energy histogram

**COLD ECAL**

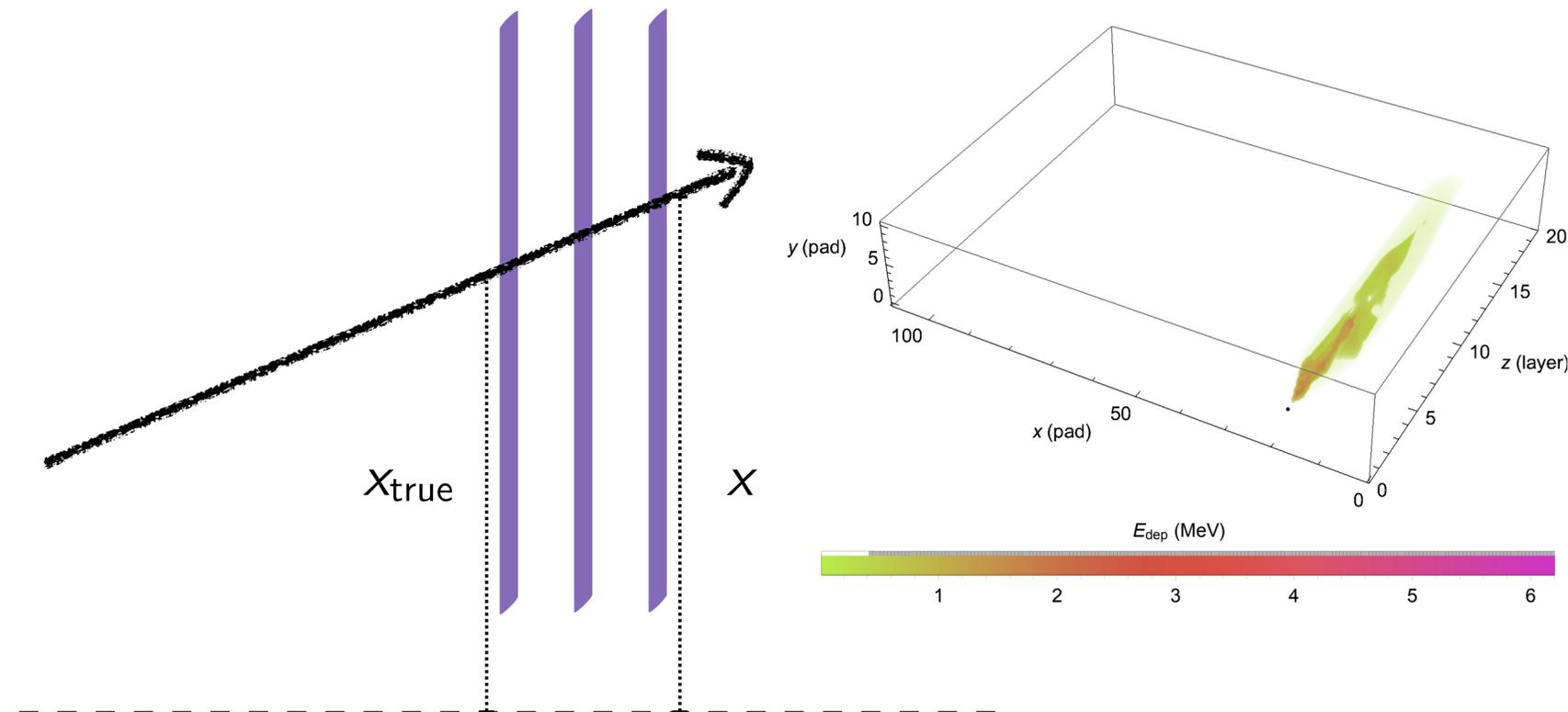
# Position Reconstruction: weighting methods

Give pads a  $E_{\text{dep}}$ -related weight

- Linear weighting method
    - weights are proportional to  $E_{\text{dep}}$
    - not sensitive nor robust enough
  - Logarithmic weighting method
    - weights are proportional to  $\log(E_{\text{dep}}/\text{threshold})$
    - sensitive to pads with energy deposit near the threshold
    - immune from disturbance under the threshold
- 1** *As a result, with a well-chosen threshold, log-weighting method performs better*

- Positions in x-axis suffer from angular effects
- This effects should/can be eliminated with sophistic approaches (not-yet-all-found)

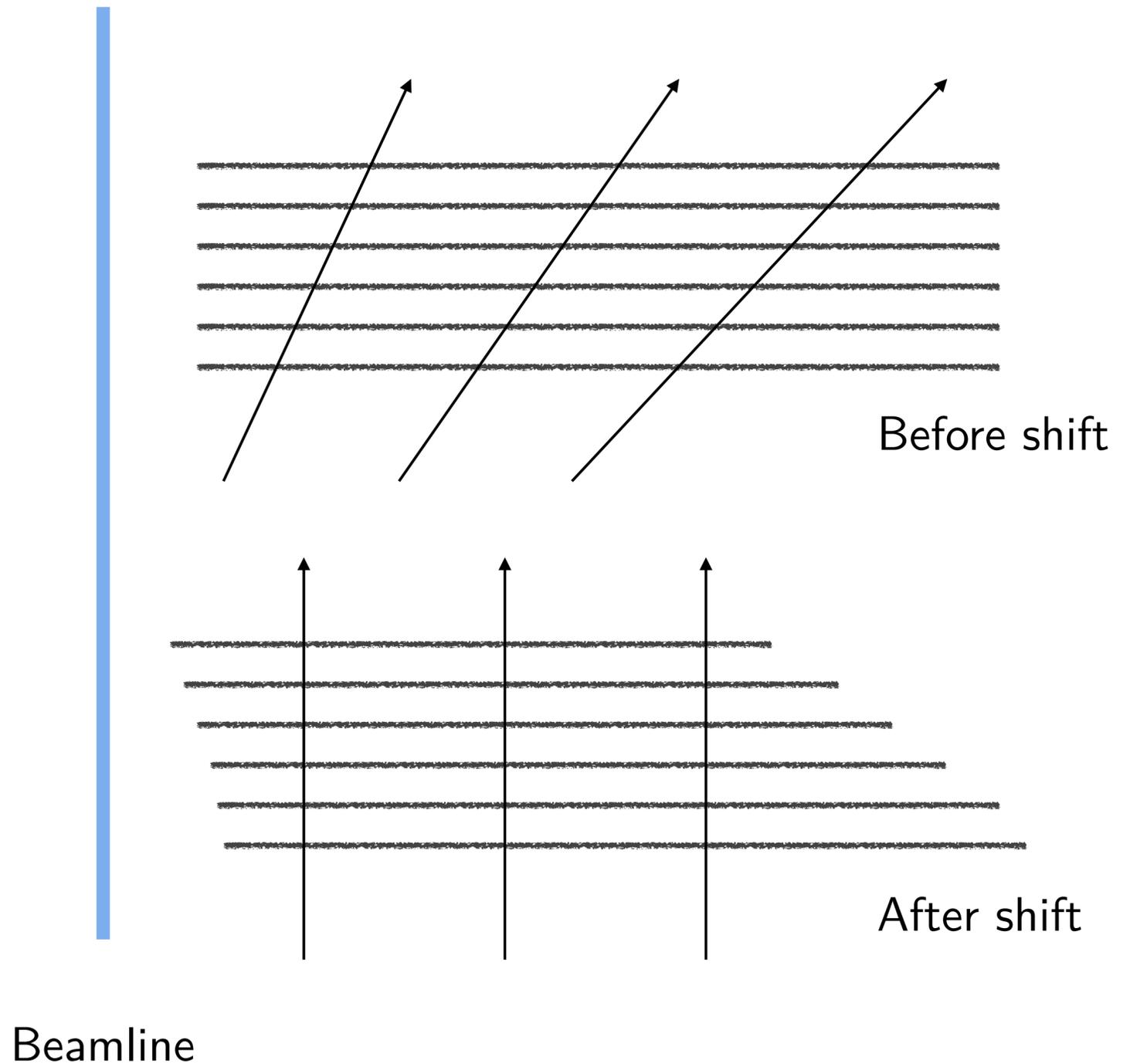
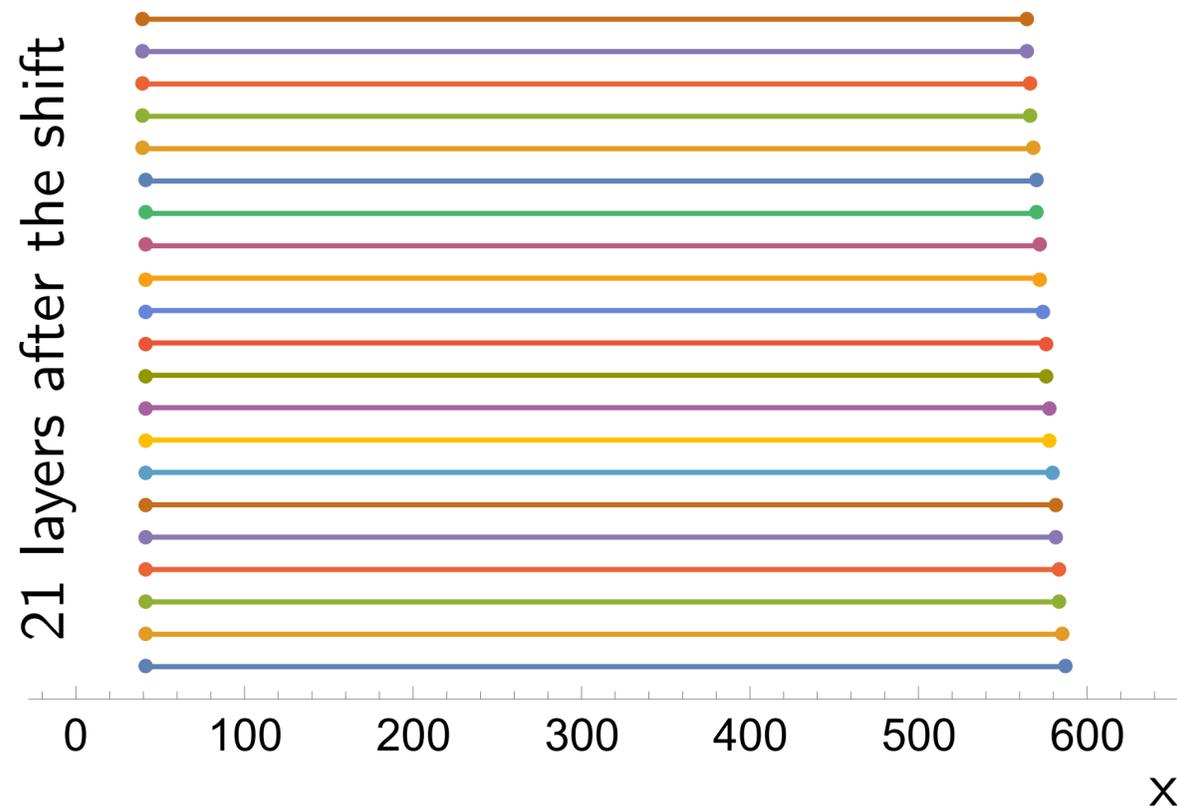
**2** *Use y-coordinates as benchmarks for neural network methods*



# Position Recon.: correction on x coordinates

An example of the “approaches” to eliminate systematic bias by angular effects

- Layer-shifting to “straighten” the showers



# Single shower: neural network training

- Basic idea:
  - treat  $E_{\text{dep}}$  distribution as 3D image
  - link the images with “true” values, including  $E_0$  and  $x_{\text{true}}$
- The definition of  $x_{\text{true}}$ 
  - ~~position of  $e^+$  hit on ECAL~~
  - ~~position of the particle with highest energy after pre showering/scattering~~
  - iii. position linked to  $e^+$  energy
- Training targets
  - i. the true position
  - ii. positron’s energy**
    - different targets may bring different results, because of the  $E_0$ - $x_{\text{true}}$  nonlinearity

## Available dataset

- Positrons with fixed energy
  - 130k showers
  - discrete from 2 to 14 GeV per 1 GeV
  - 10k per energy
- “Real-world” MC signal positron (907 files)
  - 914k showers
  - continuous in energy spectrum
  - uneven distribution follows the spectrum

## Training venues

- Train on the discrete ones and test with the continuous ones
- Train on the continuous ones only

# Single shower: NN training venues

- The definition of  $x_{\text{true}}$  makes the discrete training venue “unreliable”
- Possible fix: to train all the 130k discrete showers together

~~“Training combining” strategy:~~

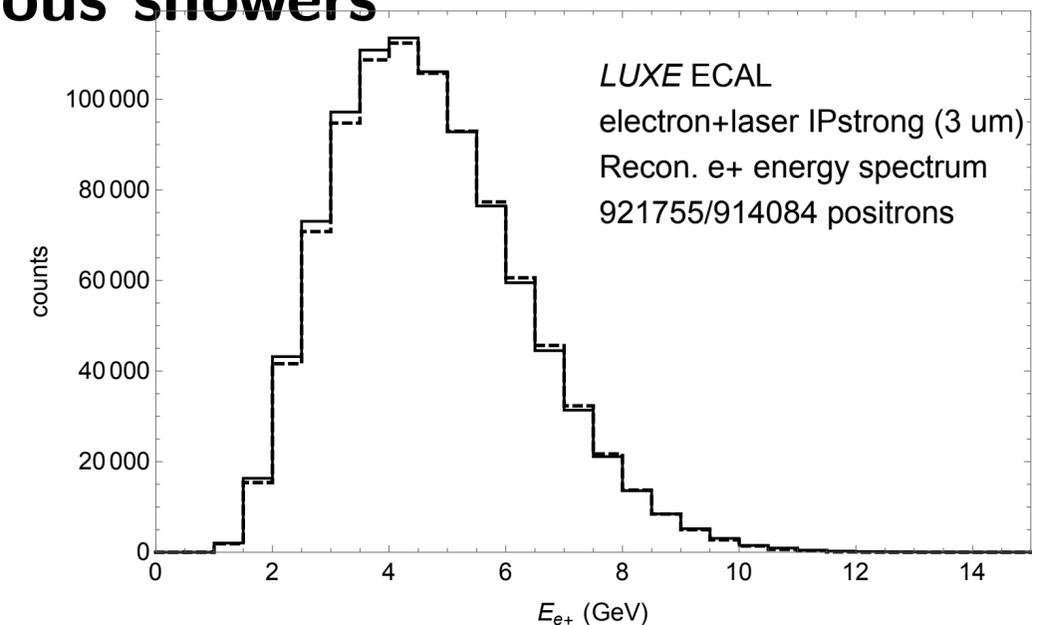
~~train each energy separately then combine their results~~

std = RMS of bias

ResNet10						
Gev	dX	dX_std	TrueX	dx/X	dY	dY_std
2	-1.235	0.032	343.592	-0.00359	0	0.002
3	-0.827	0.026	227.733	-0.00363	0	0.001
4	-0.649	0.02	170.46	-0.00381	0	0.001
5	-0.524	0.018	136.244	-0.00385	0	0.001
6	-0.443	0.014	113.481	-0.0039	0	0.001
7	-0.392	0.013	97.24	-0.00403	0	0.001
8	-0.334	0.014	84.07	-0.00397	0	0.001
9	-0.302	0.014	75.608	-0.00399	-0.001	0.001
10	-0.268	0.01	68.042	-0.00394	0	0.001
11	-0.249	0.014	61.852	-0.00403	0	0.001
12	-0.23	0.008	56.695	-0.00406	0	0.001
13	-0.208	0.009	52.332	-0.00397	0	0.001
14	-0.192	0.007	48.592	-0.00395	0	0.001

- The mixed training venue:
  - train with the 130k discrete showers plus some (6k) continuous showers
  - test with the continuous ones
- Result (energy training target):
  - average bias -16.9 MeV
  - RMS of bias 450 MeV
- **Possible fix: to train with more continuous showers**

energy spectrum  
of 914k positrons



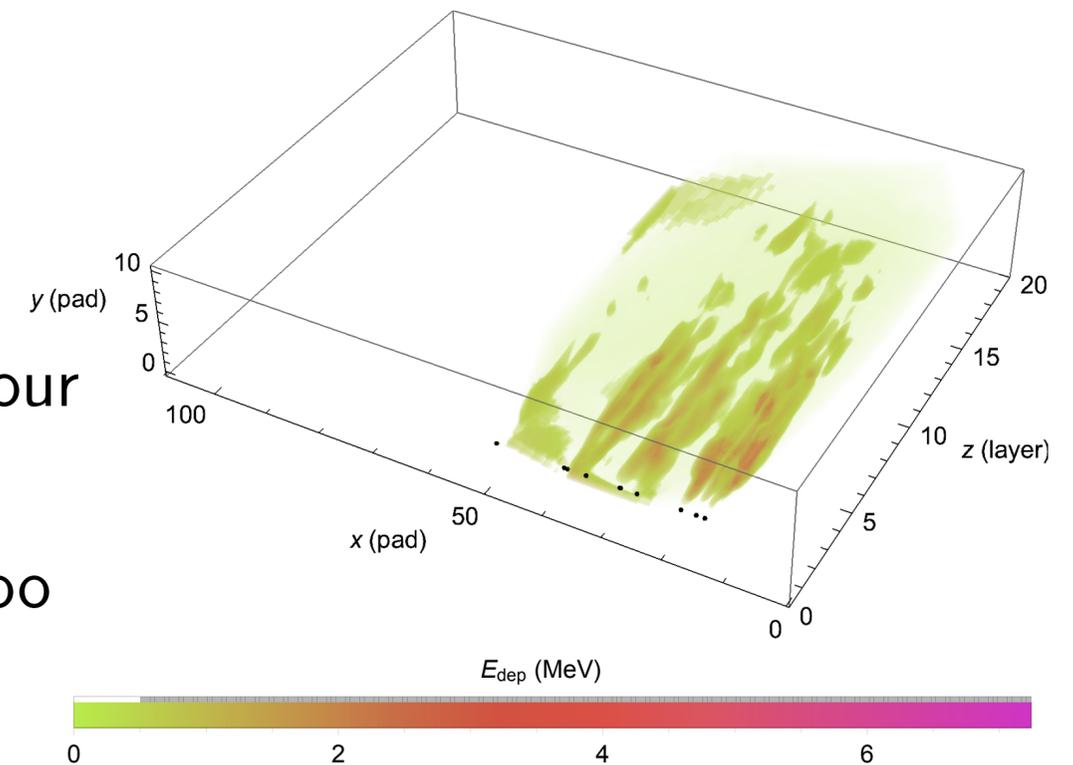


**WARM ECAL**

# Clustering: “the (local) highest wins all”

General idea

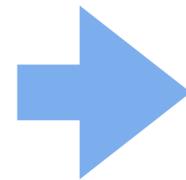
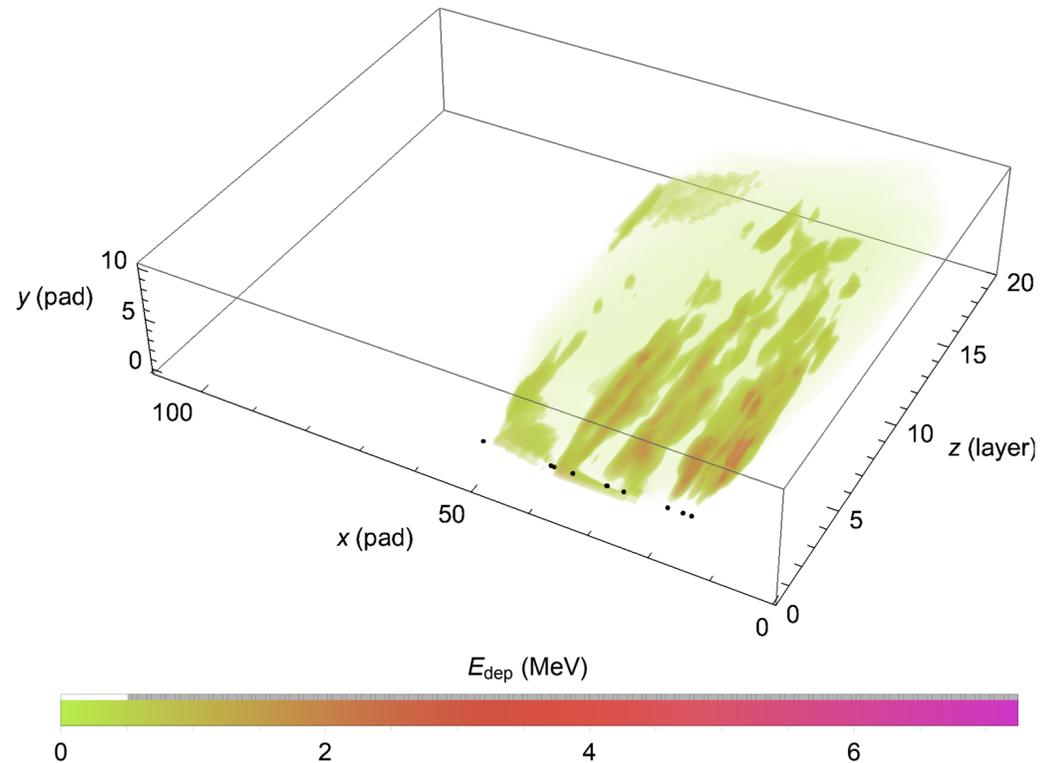
1. Clustering: Separate a big shower into small showers
  - each cluster “centred” around the local highest
  - absorb the clusters with low energy into their closest neighbour
2. Single shower methods
  - with proper logarithmic threshold, clusters will not change too much from a “real” shower
  - ***will the NN still work for clusters?***



TODO

- clustering code
- resolution test for clusters

# NN for a few positrons



{E<sub>a</sub>, E<sub>b</sub>, E<sub>c</sub>, E<sub>d</sub>, ...}

## General idea

- The list of positron energies as training target
  - length? (10 for now)

## Dataset generation

- Randomly pick upto 10 positrons from the general dataset
- Mix them with background (creating using the same method)
  - BG dataset: 45k files, need 150 files for a BG

## TODO

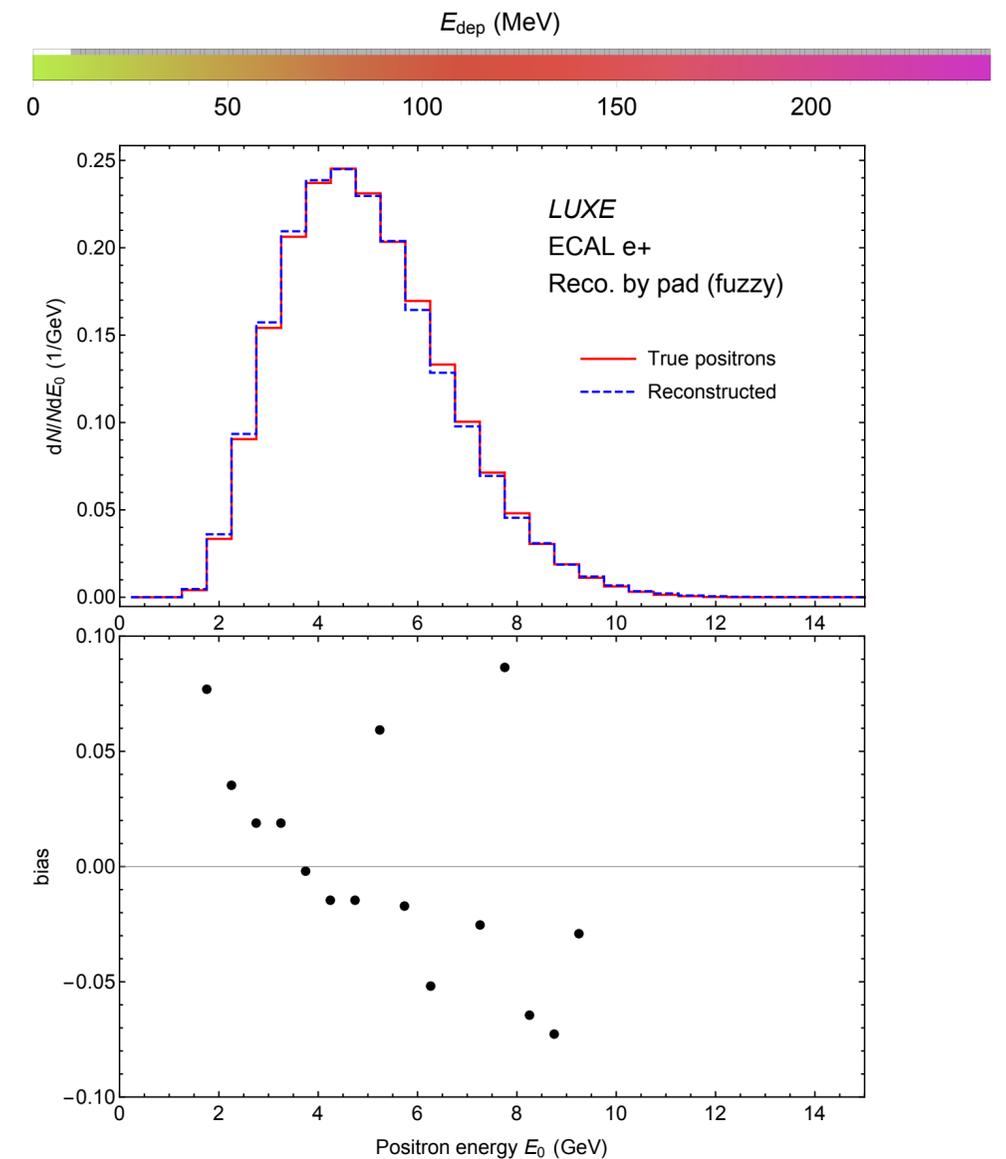
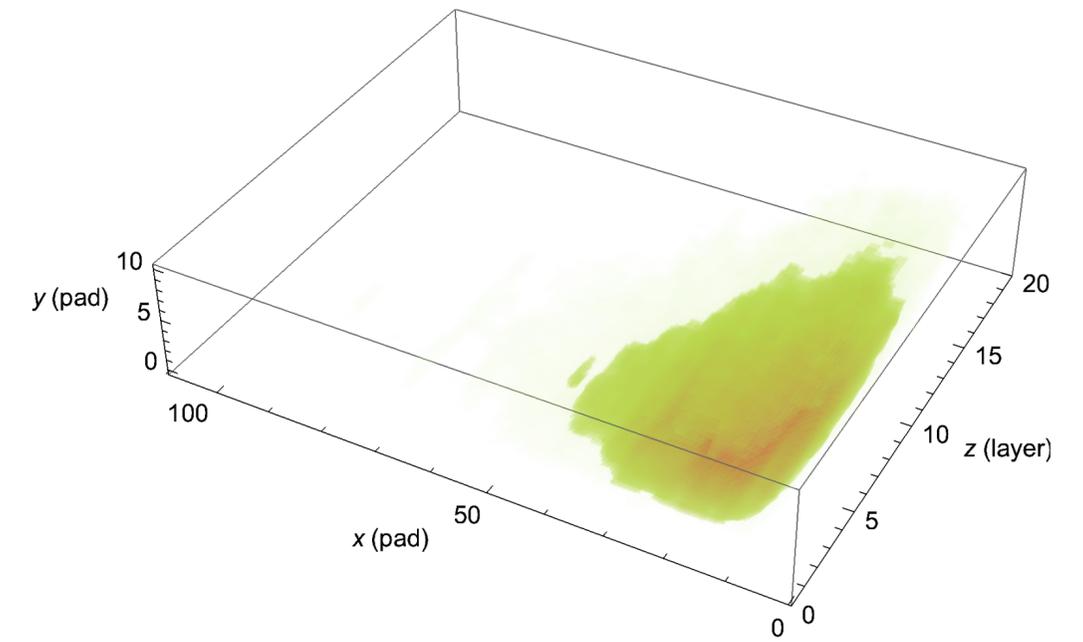
- generate dataset with background
- train the NN

**HOT ECAL**

# Energy flow

## General idea

1. Convert  $E_{\text{dep}}$  to  $E_{\text{dep}}$  density
  - assuming in a pad, energy deposited averagely
  - obtain a function of  $E_{\text{dep}}$  density over  $e^+$  energy (the “truth”)
  - use  $E_{\text{dep}}$  to estimate the corresponding  $e^+$  number
  - get a rough energy spectrum function
2. Binning
  - to remove the roughness brought by ECAL pad
  - to make the result comparable to other methods
  - binning range: 0 to 15 GeV, every 0.2 or 0.5 GeV
  - result: less than 10% error



# NN for a few positrons

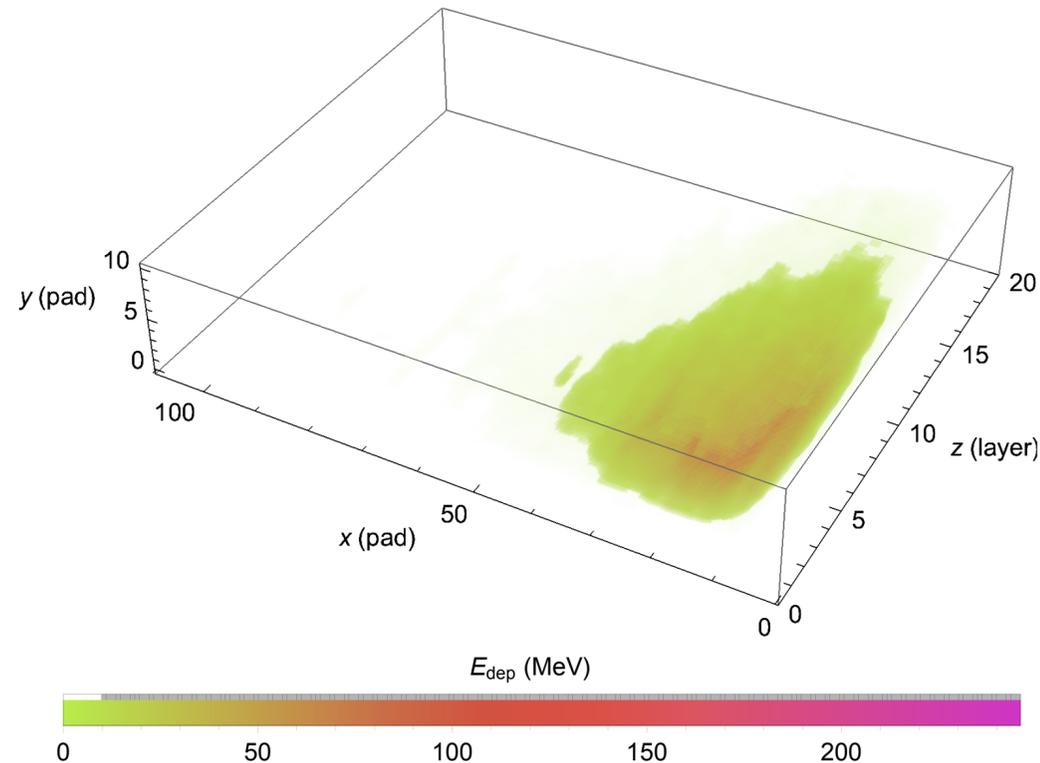
## General idea

- The histogram of positron energies as training target
  - binning range: 0 to 15 GeV
  - bin size? (0.5 GeV for now, testing for 0.2 GeV bin)

$\{N_{\text{bin1}}, N_{\text{bin2}}, N_{\text{bin3}}, N_{\text{bin4}}, \dots\}$

## Dataset generation

- 907 signal files (expanding)
- Use (1) energy spectrum and (2) multiplicity distribution to generate artificial dataset, based on inverse CDF
  1. number of positron in a shower
  2. positron's energies
  3. picking-up from main dataset
  4. combining into one shower



## TODO

- generate dataset with background
- generate artificial dataset
- train the NN
- estimate NN's uncertainty

**BACKUP**

# Single-positron shower recon.: benchmark

		<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>	<b>9</b>	<b>10</b>	<b>11</b>	<b>12</b>	<b>13</b>	<b>14</b>
Linear weight	<b>energy (GeV)</b>													
	<b>sigma_x (mm)</b>	1.134	0.931	0.783	0.697	0.679	0.607	0.535	0.495	0.508	0.437	0.398	0.387	0.409
	<b>sigma_E (MeV)</b>	6.670	12.32	18.42	25.62	35.94	43.74	50.35	58.96	74.70	77.75	84.28	96.17	117.9
Log weight (cut at 2.5%)	<b>sigma_x</b>	0.892	0.691	0.375	0.337	0.438	0.447	0.161	0.136	0.693	0.116	0.101	0.110	0.968
	<b>sigma_E</b>	5.247	9.145	8.823	12.389	23.186	32.208	15.152	16.199	101.903	20.639	21.386	27.336	278.988
NN	<b>sigma_x</b>				0.0040	0.0030								
	<b>sigma_E</b>				0.1470	0.1588								



