

Search for supersymmetry in single lepton events with the full Run 2 data

Physics at the Terascale

Frederic Engelke^{4,5}, Kimmo Kallonen³, Henning Kirschenmann³, Pantelis Kontaxakis¹, Dirk Krücker⁴, Isabell Melzer-Pellmann⁴, Ashraf Mohammed^{4,5}, Paris Sphicas^{1,2}, Costas Vellidis¹, Lucas Wiens⁴

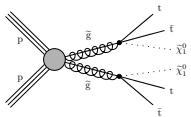
¹University of Athens, ²CERN, ³Helsinki Institute of Physics, ⁴DESY, ⁵RWTH AACHEN IIIA

Hamburg, 23.11.2021

1-Lepton $\Delta\varphi$ Analysis

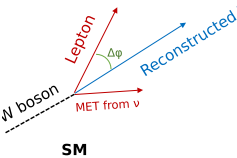
- Search for SUSY with 1 lepton in its final state

Multiple b-tags



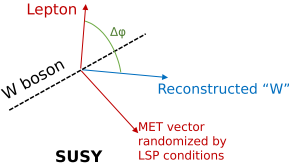
T1tttt

SM events :
 $\Delta\varphi$ between W and lepton
 usually small
 and max given by M_w, p_{Tw}



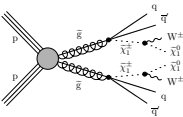
SM

SUSY events :
 Flattish $\Delta\varphi$ distribution
 (two missing particles)

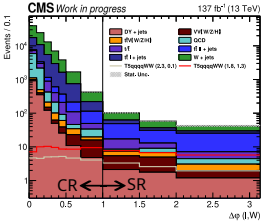


SUSY

0b-tags



T5qqqqWW



Object and Event selection

Objects

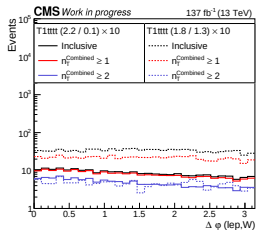
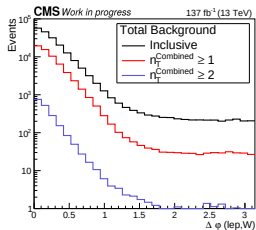
- ▶ Electrons:
 - ▶ $p_T > 25 \text{ GeV}$
 - ▶ $|\eta| < 2.4$
 - ▶ Cut-based ID, tight WP
 - ▶ $\text{minIso} < 0.1$
- ▶ Muons:
 - ▶ $p_T > 25 \text{ GeV}$
 - ▶ $|\eta| < 2.4$
 - ▶ Cut-based ID, medium WP
 - ▶ $\text{minIso} < 0.2$
- ▶ Jets:
 - ▶ AK4 with
 - ▶ $|\eta| < 2.4$
 - ▶ Latest JEC applied
 - ▶ b-tagging: DeepCSV, mediumWP

Event

- ▶ Exactly 1 lepton (e or μ)
- ▶ $H_T = \sum_{\text{jets}} p_T > 500 \text{ GeV}$
- ▶ $L_T = p_T^{\text{lep}} + E_T^{\text{miss}} > 250 \text{ GeV}$
- ▶ Sub-leading jet $p_T > 80 \text{ GeV}$
- ▶ $n_{\text{jet}} \geq 3$
- ▶ **Multi-b:** $n_b \geq 1$
- ▶ **0b:** $n_b = 0$
- ▶ Additional MET filters are applied
- ▶ Isolated track veto to reduce dileptonic background
- ▶ Search bins defined by H_T , L_T , n_{jet} , n_b and either n_{Top} or n_W

Combined Top Tagger

- ▶ The multi-b signal has 3 hadronic top decays
- ▶ $n_{\text{Top}} \geq 1$ significantly reduces the dileptonic background
- ▶ DeepAK8 Top Tagger [1]
 - ▶ Dnn based for merged tops ($p_T > 400$ GeV)
- ▶ Resolved Top Tagger[2]
 - ▶ BDT based for resolved tops ($p_T < 400$ GeV)
- ▶ A combined top tagger (merged OR resolved) is used after performing cross-cleaning between the two types of top tags



- ▶ [1] CMS Collaboration, "Identification of heavy, energetic, hadronically decaying particles using machine-learning techniques", JINST 15 (2020) P06005, arXiv:2004.08262.
- ▶ [2] CMS Collaboration, "Search for direct production of supersymmetric partners of the top quark in the all-jets final state in proton-proton collisions at $\sqrt{s} = 13$ TeV", JHEP 10 (2017) 005, arXiv:1707.03316

The R_{CS} Method for the Multi-b Channel

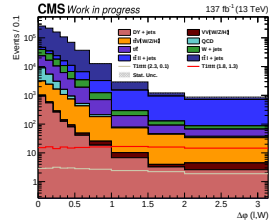
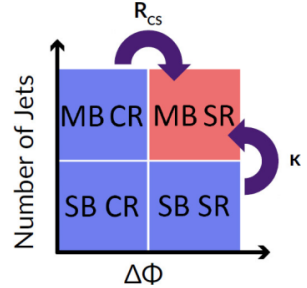
- ▶ Goal: Data driven prediction of the EWK background
- ▶ The signal sensitive region is defined by large $\Delta\phi$ and high n_{jet}
- ▶ Data is taken in $n_{jet} \in [4, 5]$, $n_b \geq 1$

$$R_{CS} = \frac{N_{SR}}{N_{CR}^{Data} - N_{CR}^{QCD}}$$

- ▶ Correction factors are calculated using MC

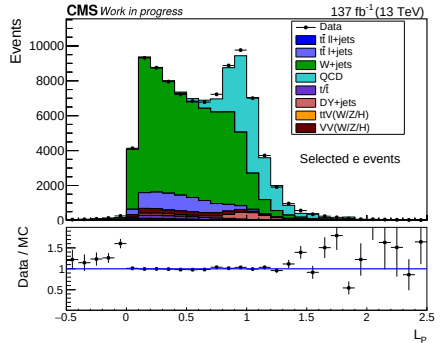
$$\kappa_{EWK} = \frac{R_{CS}(n_{jet} \text{ as in MB, EWK})}{R_{CS}(n_{jet} \in [4,5], \text{EWK})}$$

$$N_{EWK}^{Pred.} = \kappa_{EWK} \cdot R_{CS}(n_{jet} \in [4,5], \text{Data}) \cdot (N_{CR, MB}^{Data} - N_{CR, MB}^{QCD})$$



Background Estimation: QCD

- ▶ Inverting the lepton selection gives a QCD enriched region
- ▶ $F_{\text{sel-to-anti}} = \frac{N_{\text{QCD, selected}}^{\text{fit}}}{N_{\text{QCD, anti-selected}}^{\text{data}}}$
- ▶ Fit performed in the $n_{\text{jet}} \in [3, 4]$ and $n_b = 0$ region
- ▶ EWK and QCD templates from selected MC
- ▶ determined in each of the L_T bins used in the search region
- ▶ To estimate the QCD in the search region, the data in the anti-selected region of each search bin is scaled by $F_{\text{set-to-anti}}$



$$Lp = \frac{p_T^\ell}{p_T^W} \cos(\Delta\Phi) .$$

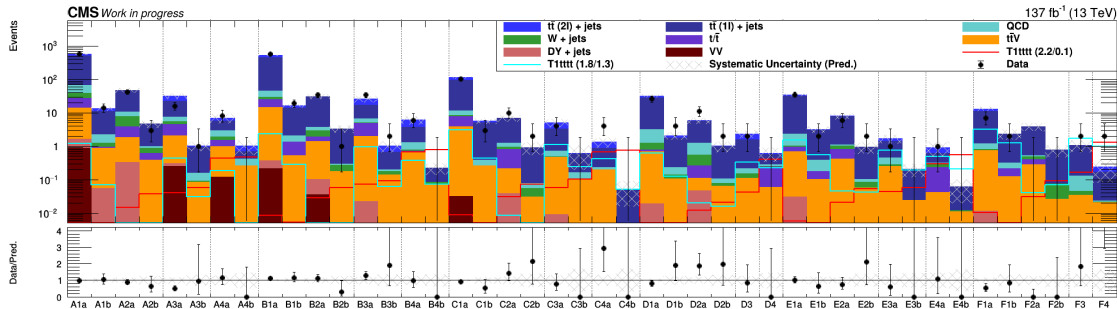
Systematics for the Multi-b Analysis

- ▶ Systematic uncertainties are propagated to the correction factor κ_{EWK} for the background
- ▶ The range of the uncertainties is quite large but the median value seems reasonable, meaning that only a few bins have very large uncertainties

Systematic	EWK (Median[Min., Max.] [%])
JEC	3.8 [0.2, 36.3]
TTVxsec	2.8 [0.1, 22.6]
nISR	2.3 [0.4, 20.3]
PU	2.3 [0.1, 18.6]
DLSlope	2.2 [0.4, 12.3]
TTxsec	1.6 [0.1, 23.7]
Wpol	0.6 [0.1, 4.4]
btagHF	0.6 [0.1, 5.7]
Wxsec	0.4 [0.1, 7.7]
btagLF	0.3 [0.1, 8.4]
DLConst	0.2 [0.1, 3.6]
lepSF	0.2 [0.1, 1.6]

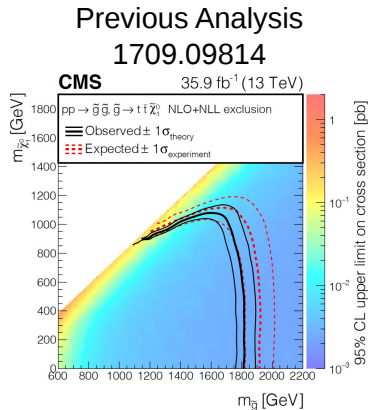
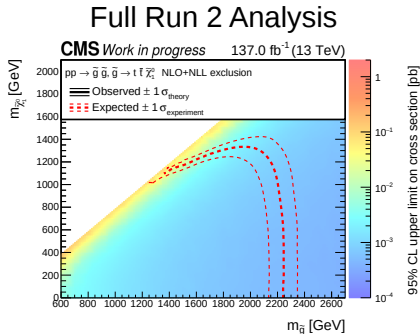
Systematic	T1tttt(1800, 1300) (Median[Min., Max.] [%])	T1tttt(2200, 100) (Median[Min., Max.] [%])
JEC	10.4 [0.1, 62.0]	8.8 [0.1, 58.8]
MET	8.4 [1.0, 73.3]	4.2 [0.1, 129.2]
nISR	4.7 [0.1, 50.9]	6.2 [0.1, 27.1]
btagHF	4.6 [0.1, 15.9]	7.3 [0.1, 26.9]
btagLF	0.5 [0.1, 8.9]	0.6 [0.1, 7.4]

The Search Bins - Multi-b



- Overall good agreement, i.e. no significant excess is observed

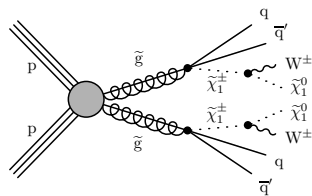
Results for the Multi-b Signal



- ▶ Expected to exclude $m_{\tilde{g}} < 2240$ GeV and $m_{\text{LSP}} < 1300$ GeV
- ▶ Improvement compare to previous Analysis on 2016 Data

The 0b Analysis

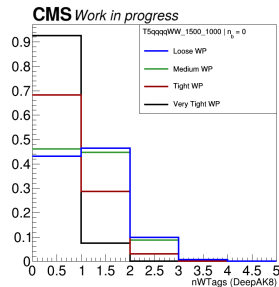
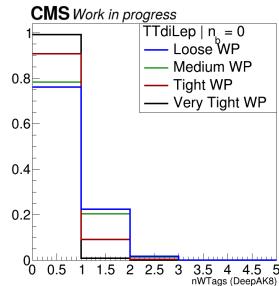
- ▶ The 0b analysis follows a very similar strategy
- ▶ The QCD estimation is the same, except for the bin of L_T
- ▶ Instead of using a Top tagger, the 0b analysis utilizes W tagging.
- ▶ The background consists mostly of W +jets and $t\bar{t}$ events
 - ▶ Two R_{CS} methods are used to predict both separately



T5qqqqWW

Merged W Tagger

- ▶ The 0b Signal contains 2 W bosons from the chargino decay
- ▶ $n_W \geq 1$ significantly reduces the dileptonic background
- ▶ DeepAK8 Tagger identifies the W bosons



The R_{CS} Method for the 0b channel

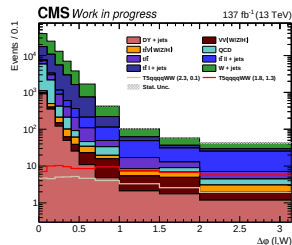
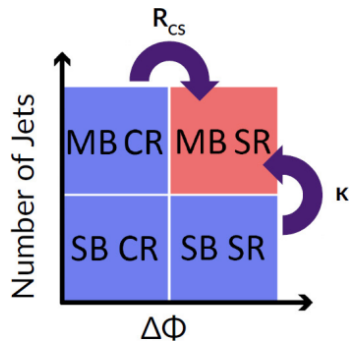
- ▶ The two main backgrounds are estimated with independent transfer factors
- ▶ For $t\bar{t}$, data is taken in $n_{jet} \in [4, 5], n_b \geq 1$
- ▶ Correction factors are calculated using MC

$$\kappa_b = \frac{R_{CS}(n_{jet} \in [4, 5], n_b = 0, t\bar{t})}{R_{CS}(n_{jet} \in [4, 5], n_b \geq 1, EWK)}$$

$$\kappa_{t\bar{t}} = \frac{R_{CS}(n_{jet} \text{ as in MB}, t\bar{t})}{R_{CS}(n_{jet} \in [4, 5], t\bar{t})}$$

$$\kappa_i^{MB, CR} = \frac{N_{fit, i}^{MB, CR}}{N_{data}^{MB, CR}}, \text{ with } i \in [t\bar{t}, W+jets]$$

$$N_{t\bar{t}}^{Pred.} = f_{t\bar{t}} \cdot \kappa_b \cdot \kappa_{t\bar{t}} \cdot R_{CS}(n_{jet} \in [4, 5], n_b \geq 1, \text{Data}) \cdot N_{CR, MB}^{Data}$$



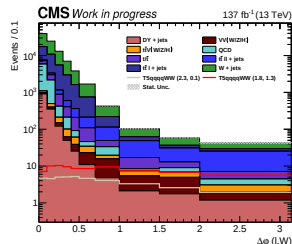
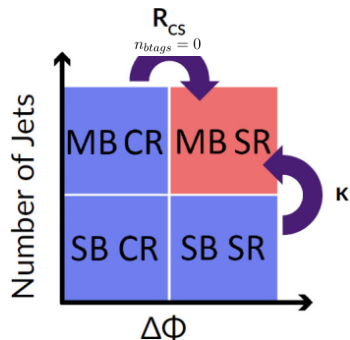
Differences for the W +Jets Prediction

- ▶ The signal sensitive region is defined by large $\Delta\phi$ and high n_{jet}
- ▶ For $W+\text{Jets}$, data is taken in $n_{\text{jet}} \in [3, 4]$, $n_b = 0$
- ▶ Correction factor is calculated using MC

► $\kappa_W = \frac{R_{\text{CS}}(n_{\text{jet}} \text{ as in MB, W+Jets})}{R_{\text{CS}}(n_{\text{jet}} \in [3,4], \text{W+Jets and Rare})}$

$$\blacktriangleright R_{\text{CS}}^{\text{corr}} = \frac{N_{\text{SR}} - f_{t\bar{t}} \cdot R_{\text{CS}}^{t\bar{t}} \cdot N_{\text{CR}}}{(1 - f_{t\bar{t}}) \cdot N_{\text{CR}}}$$

$$N_W^{\text{Pred.}} = f_W \cdot \kappa_W \cdot R_{\text{CS}}^{\text{corr.}} (n_{\text{jet}} \in [3, 4], n_b = 0, \text{Data}) \cdot N_{\text{CR, MB}}^{\text{Data}}$$



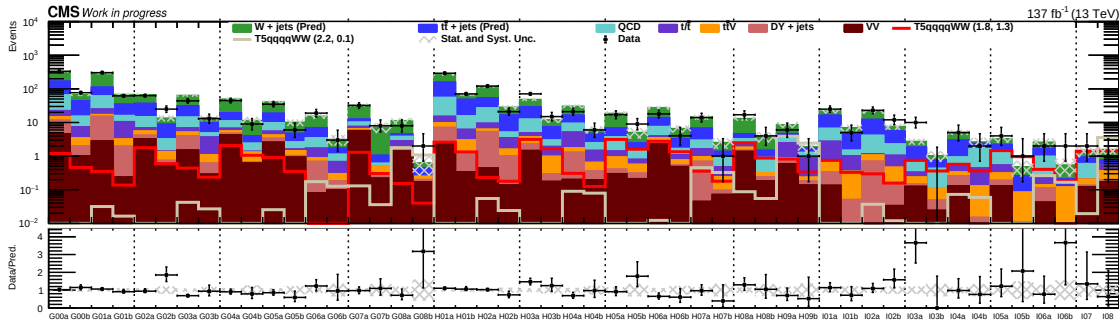
Systematics for the 0b Analysis

- ▶ Systematic uncertainties are propagated to the correction factors for the background
- ▶ The range of the uncertainties is quite large but the median value seems reasonable

Systematic	Total Bkg. (Median[Min., Max.] [%])
Luminosity	15.6 [6.5, 58.2]
JEC	1.1 [0.1, 11.8]
TTVxsec	1.0 [0.2, 7.1]
DLslopes	0.7 [0.1, 5.6]
PU	0.6 [0.1, 3.1]
TTxsec	0.4 [0.1, 4.6]
Wpol	0.4 [0.1, 4.5]
Wxsec	0.8 [0.1, 5.0]
btagHF	0.3 [0.1, 2.5]
DLConst	0.2 [0.1, 1.0]
lepSF	0.2 [0.1, 0.9]
nISR	0.1 [0.1, 6.4]
btagLF	0.1 [0.1, 0.2]

Systematic	T5qqqqW(1800, 1300) (Median[Min., Max.] in %)	T5qqqqW(2200, 100) (Median[Min., Max.] [%])
JEC	6.2 [0.8, 72.5]	5.1 [0.1, 50.0]
MET	4.0 [0.1, 28.4]	4.9 [0.1, 63.9]
Luminosity	3.0 [2.4, 3.5]	3.0 [2.5, 3.5]
ISR	1.8 [0.1, 11.3]	1.7 [0.1, 14.8]
btagHF	0.5 [0.2, 5.9]	1.3 [0.2, 6.0]
btagLF	0.2 [0.1, 0.9]	0.4 [0.1, 1.1]

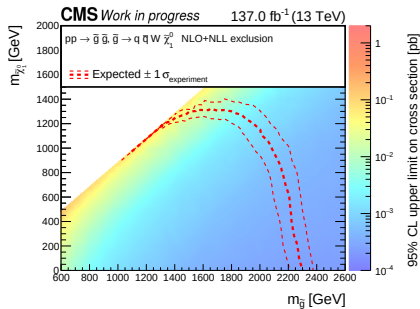
The Search Bins - 0b



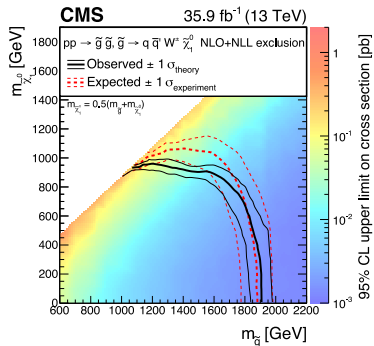
- ▶ Three bins show excess of data but these are not very sensitive to the signal
- ▶ Investigations have shown that this is just fluctuation in the SB that leads to predicting less background events
- ▶ These bins do not have a large impact on the final limit

Results for the Zero-b Signal

Full Run 2 Analysis



Previous Analysis: 1709.09814



- ▶ New expected upper limit in cut and count analysis using full Run 2 systematics
- ▶ Expected to exclude $m_{\tilde{g}} < 2300$ GeV and $m_{\tilde{\chi}_0^1} < 1300$ GeV

Summary and Outlook

- ▶ A search for SUSY in two different signal regions has been performed
- ▶ Multi-b:
 - ▶ No significant excess was observed in data compared to the SM prediction
- ▶ 0b:
 - ▶ A complex 6 bin factorization approach is required
 - ▶ To ensure proper statistical behavior one has to carefully construct the likelihood and an Asimov dataset that is statistically equivalent to evaluating on real observed events
- ▶ New expected and improved limits are presented in both regions
- ▶ Analysis is on its way to be published in a paper
- ▶ Paper Review committee has been formed and will start reviewing this analysis soon

Thank you!

Contact

DESY. Deutsches
Elektronen-Synchrotron

www.desy.de

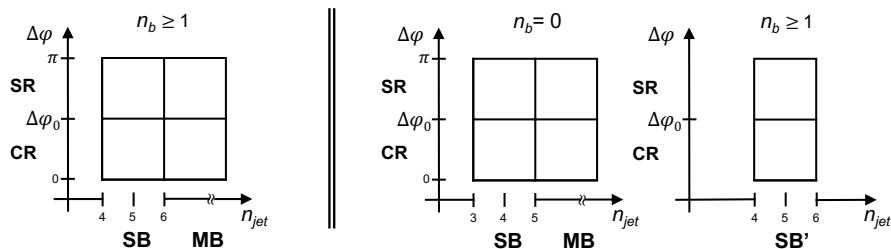
Frederic Engelke

 0000-0002-9288-8144

CMS

frederic.engelke@rwth-aachen.de

Detailed background prediction



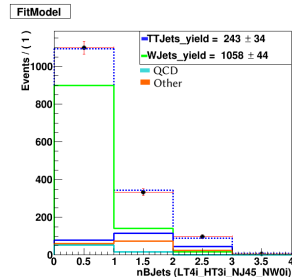
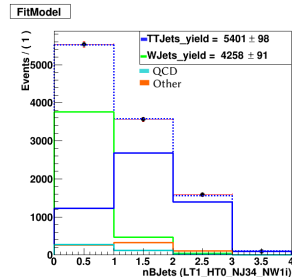
$$N_{Pred}^{MB,SR} = \underbrace{\kappa_{W \cdot R_{data}^{CS, corr} \left(n_{jet} \in [3,4], n_b = 0, n = 1 \right)}}_{\text{transfer factor}} \cdot \underbrace{f^{MB,CR} \cdot N_{data}^{MB,CR}}_{\text{contribution in the control region}}.$$

Template Fit of n_b

- Fit is done in control region $\Delta\phi < \phi_0 (L_T)$
- QCD and other background are set constant, so the fit only adjusts the TTJets and WJets yields

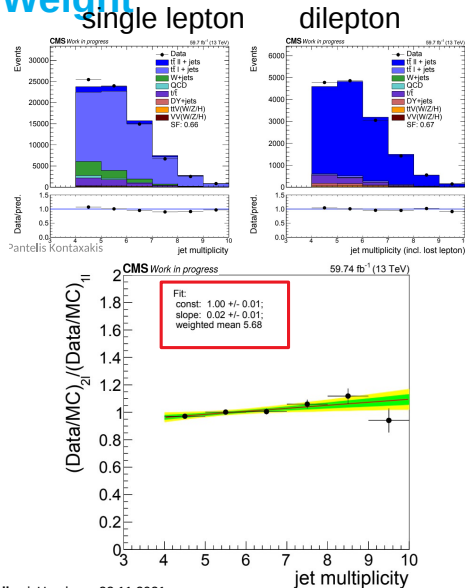
$$\text{▶ } f_W(n_b = 0) = \frac{N_W^{\text{fit}}}{N_{\text{Total}}}$$

$$\text{▶ } f_{t\bar{t}}(n_b = 0) = \frac{N_{t\bar{t}}^{\text{fit}}}{N_{\text{Total}}}$$

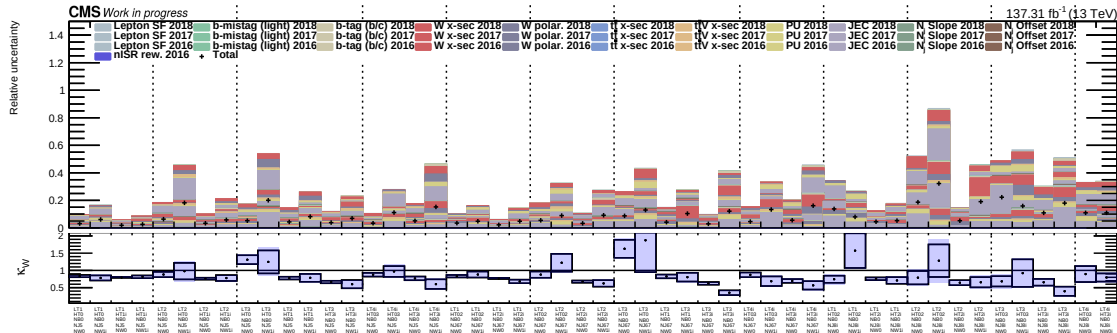


Dileptonic Correction as Event Weight

- ▶ R_{CS} factor is sensitive to lost leptons
- ▶ Select two tight leptons of opposite charge
- ▶ $(|m_{\ell\ell} - m_Z| > 10 \text{ GeV})$
- ▶ Emulate lost lepton
 - ▶ 1/3 of lepton p_T added to MET
 - ▶ 2/3 of lepton p_T counted as additional jet
- ▶ Scale MC to data so only the shape is corrected
- ▶ $w_{DL} = a + b \cdot (n_{\text{jet}} - \langle n_{\text{jet}} \rangle)$
- ▶ Applied to events that are generated with two leptons

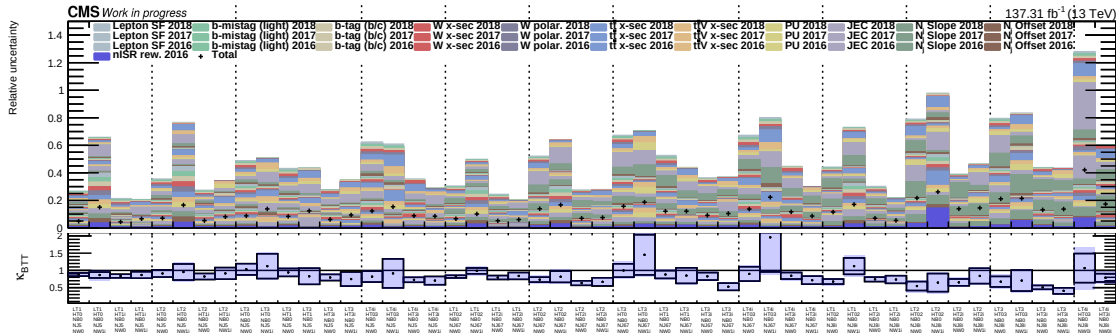


Correction Factor κ_W for W+jets Prediction



The corrections are close to 1 within the statistics available

Correction Factor κ_{BTT} for $t\bar{t}$ Prediction



The corrections are close to 1 within the statistics available

Search Bins - Multi-b

n_{jet}	Bin name (old)	Bin name	L_T [GeV]	H_T [GeV]	N_b	N_{top}
[6, 8]	LT12, HT03, NB1, NJ68, NT1	A01a	[250, 450]	[500, 1500]	= 1	= 1
	LT12, HT03, NB1, NJ68, NT2i	A01b			≥ 2	≥ 2
	LT12, HT03, NB2, NJ68, NT1	B01a			= 1	= 1
	LT12, HT03, NB2, NJ68, NT2i	B01b			= 2	≥ 2
	LT12, HT03, NB3i, NJ68, NT1	C01a			≥ 3	= 1
	LT12, HT03, NB3i, NJ68, NT2i	C01b			≥ 2	≥ 2
	LT12, HT4i, NB1, NJ68, NT1	A02a		≥ 1500	= 1	= 1
	LT12, HT4i, NB1, NJ68, NT2i	A02b			≥ 2	≥ 2
	LT12, HT4i, NB2, NJ68, NT1	B02a			= 1	= 1
	LT12, HT4i, NB2, NJ68, NT2i	B02b			= 2	≥ 2
	LT12, HT4i, NB3i, NJ68, NT1	C02a			≥ 3	= 1
	LT12, HT4i, NB3i, NJ68, NT2i	C02b			≥ 2	≥ 2
	LT3, HT03i, NB1, NJ68, NT1	A03a	[450, 600]	≥ 500	= 1	= 1
	LT3, HT03i, NB1, NJ68, NT2i	A03b			≥ 2	≥ 2
	LT3, HT03i, NB2, NJ68, NT1	B03a			= 1	= 1
	LT3, HT03i, NB2, NJ68, NT2i	B03b			= 2	≥ 2
	LT3, HT03i, NB3i, NJ68, NT1	C03a			≥ 3	= 1
	LT3, HT03i, NB3i, NJ68, NT2i	C03b			≥ 2	≥ 2
	LT4i, HT03i, NB1, NJ68, NT1	A04a	≥ 600	≥ 500	= 1	= 1
	LT4i, HT03i, NB1, NJ68, NT2i	A04b			≥ 2	≥ 2
	LT4i, HT03i, NB2, NJ68, NT1	B04a			= 1	= 1
	LT4i, HT03i, NB2, NJ68, NT2i	B04b			= 2	≥ 2
	LT4i, HT03i, NB3i, NJ68, NT1	C04a			≥ 3	= 1
	LT4i, HT03i, NB3i, NJ68, NT2i	C04b			≥ 2	≥ 2

≥ 9	LT12, HT03, NB1, NJ9i, NT1	A05a	[250, 450]	[500, 1500]	= 1	= 1
	LT12, HT03, NB1, NJ9i, NT2i	A05b			≥ 2	≥ 2
	LT12, HT03, NB2, NJ9i, NT1	B05a			= 1	= 1
	LT12, HT03, NB2, NJ9i, NT2i	B05b			= 2	≥ 2
	LT12, HT03, NB3i, NJ9i, NT1	C05a			≥ 3	= 1
	LT12, HT03, NB3i, NJ9i, NT2i	C05b			≥ 2	≥ 2
	LT12, HT4i, NB1, NJ9i, NT1	A06a		≥ 1500	= 1	= 1
	LT12, HT4i, NB1, NJ9i, NT2i	A06b			≥ 2	≥ 2
	LT12, HT4i, NB2, NJ9i, NT1	B06a			= 1	= 1
	LT12, HT4i, NB2, NJ9i, NT2i	B06b			= 2	≥ 2
	LT12, HT4i, NB3i, NJ9i, NT1	C06a			≥ 3	= 1
	LT12, HT4i, NB3i, NJ9i, NT2i	C06b			≥ 2	≥ 2
	LT3, HT03i, NB1, NJ9i, NT1i	A07	[450, 600]	≥ 500	= 1	≥ 1
	LT3, HT03i, NB2, NJ9i, NT1	B07a			= 1	= 1
	LT3, HT03i, NB2, NJ9i, NT2i	B07b			= 2	≥ 2
	LT3, HT03i, NB3i, NJ9i, NT1i	C07			≥ 3	≥ 1
	LT4i, HT03i, NB1, NJ9i, NT1i	A08		≥ 600	= 1	≥ 1
	LT4i, HT03i, NB2, NJ9i, NT1	B08a			= 1	= 1
	LT4i, HT03i, NB2, NJ9i, NT2i	B08b			= 2	≥ 2
	LT4i, HT03i, NB3i, NJ9i, NT1	C00			≥ 3	≥ 1

Search Bins - Zero-b part 1

n_{jet}	L_T [GeV]	H_T [GeV]	$\Delta\phi$ [rad]	N_W	Bin Name	n_{jet}	L_T [GeV]	H_T [GeV]	$\Delta\phi$ [rad]	N_W	Bin Name
5	[250, 350]	[500, 750]	1.00	0	G00a	[6, 7]	[250, 350]	[500, 1000]	1.00	0	H01a
		≥ 750		≥ 1	G00b			≥ 1000		≥ 1	H01b
	[350, 450]	[500, 750]	1.00	0	G01a		[350, 450]	[500, 1000]	1.00	0	H02a
		≥ 750		≥ 1	G01b			≥ 1000		≥ 1	H02b
5	[450, 650]	[500, 750]	0.75	0	G02a	[6, 7]	[450, 650]	[500, 1000]	1.00	0	H03a
		[750, 1250]		≥ 1	G02b			≥ 1000		≥ 1	H03b
	≥ 650	[500, 750]	0.75	0	G03a		[450, 650]	[750, 1250]	0.75	0	H04a
		≥ 1250		≥ 1	G03b			≥ 1250		≥ 1	H04b
5	≥ 650	[500, 750]	0.75	0	G04a	[6, 7]	≥ 650	[500, 750]	0.75	0	H05a
		[750, 1250]		≥ 1	G04b			[750, 1250]		≥ 1	H05b
	≥ 650	[500, 750]	0.75	0	G05a		≥ 650	[750, 1250]	0.75	0	H06a
		≥ 1250		≥ 1	G05b			≥ 1250		≥ 1	H06b
5	≥ 650	[500, 750]	0.75	0	G06a	[6, 7]	≥ 650	[500, 750]	0.75	0	H07a
		≥ 1250		≥ 1	G06b			≥ 1250		≥ 1	H07b
	≥ 650	[500, 1250]	0.50	0	G07a		≥ 650	[500, 1250]	0.50	0	H08a
		≥ 1250		≥ 1	G07b			≥ 1250		≥ 1	H08b
5	≥ 650	[500, 1250]	0.50	0	G08a	[6, 7]	≥ 650	[500, 1250]	0.50	0	H09a
		≥ 1250		≥ 1	G08b			≥ 1250		≥ 1	H09b

Search Bins - Zero-b part 2

n_{jet}	L_T [GeV]	H_T [GeV]	$\Delta\phi$ [rad]	N_W	Bin Name
≥ 8	[250,350]	[500,1000]	1.00	0	I01a
		≥ 1000		≥ 1	I01b
				0	I02a
				≥ 1	I02b
	[350,450]	[500,1000]	1.00	0	I03a
		≥ 1000		≥ 1	I03b
				0	I04a
				≥ 1	I04b
	[450,650]	[500,1250]	0.75	0	I05a
		≥ 1250		≥ 1	I05b
				0	I06a
				≥ 1	I06b
≥ 650	[500,1250] ≥ 1250	0.50	≥ 0	I07 I08	