



Databases Under The Hood

An Introduction For The Curious User

Annett Ungethüm, 16.12.2021

CDCS Hamburg-X Project (BWFGB)

CDCS
CENTER FOR DATA AND COMPUTING
IN NATURAL SCIENCES



 **Helmholtz-Zentrum
Geesthacht**
Zentrum für Material- und Küstenforschung

HZI **HELMHOLTZ**
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DASHH
Data Science in Hamburg
Helmholtz Graduate School
for the Structure of Matter

Introduction CDCS

18.11.2021

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Astro & Particle Physics

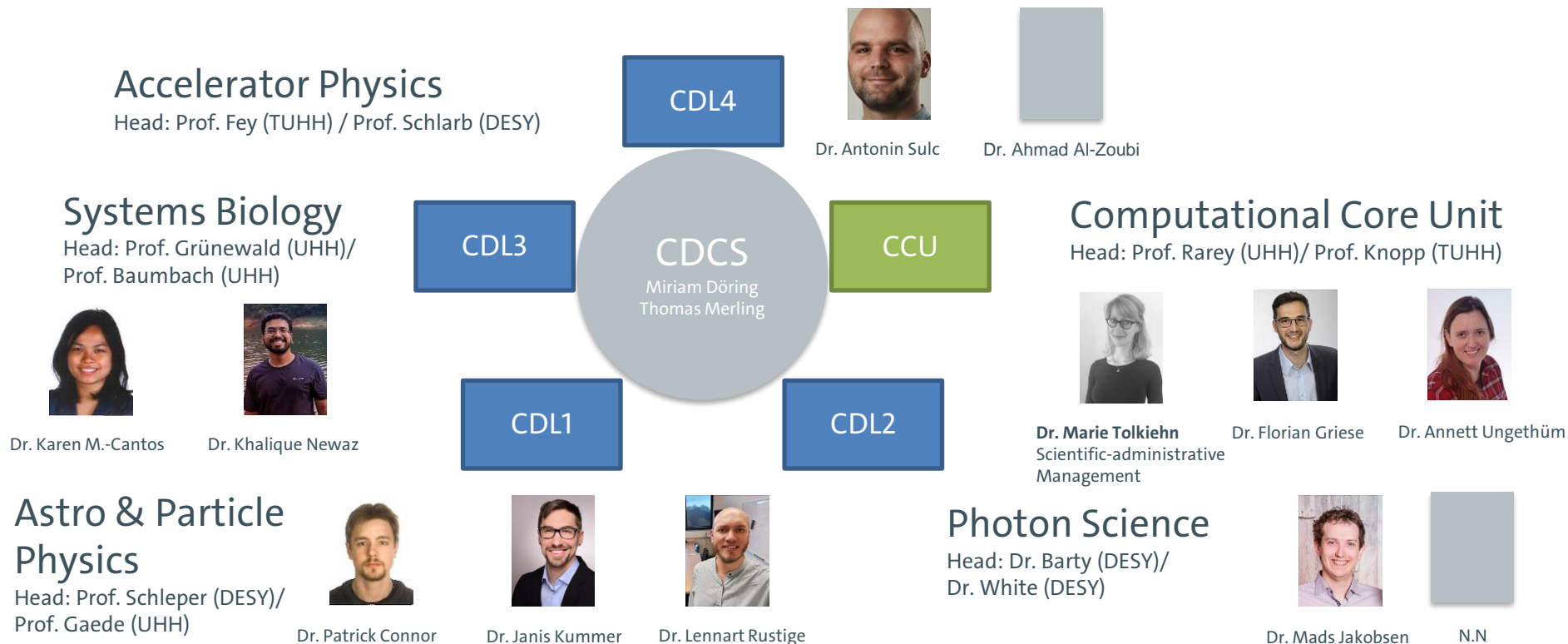
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Dr. Gaede (UHH)

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CDL2

Photon Science

Head: Dr. Barty (DESY)/
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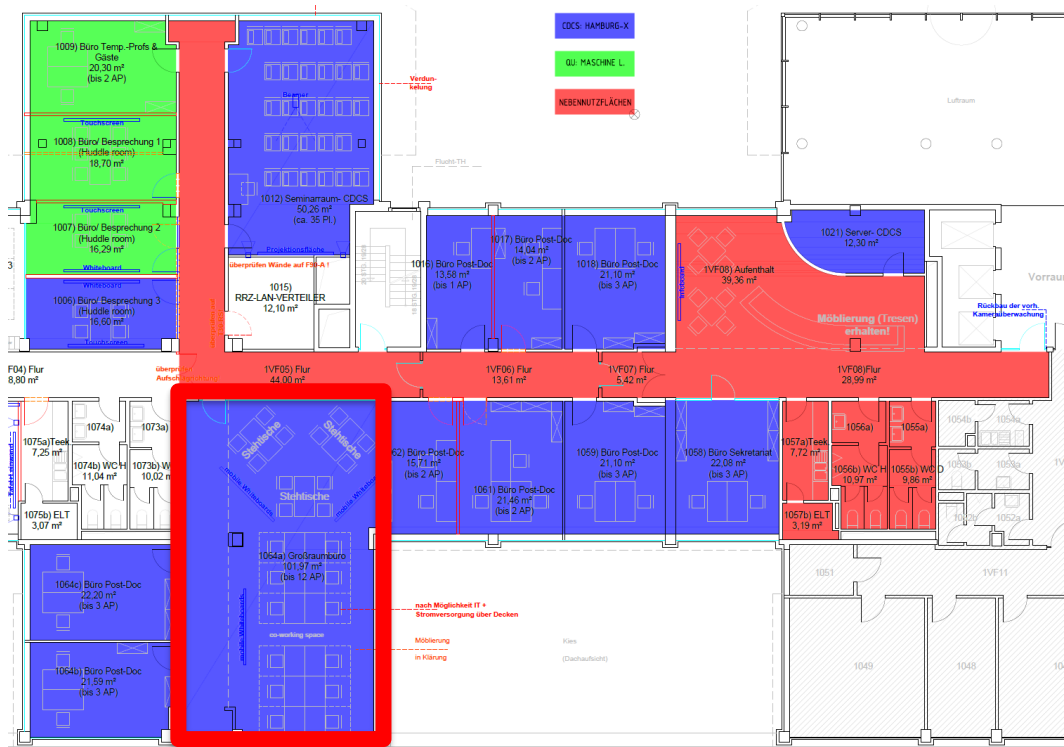
The CDCS Office Space

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As a DASHH student you can
get a transponder to the CDCS
hot desk office space (room 1064)
Ask our secretary Miriam Döring:
miriam.doering@uni-hamburg.de



Data Science Thursdays: Database Timeline

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Topic of the month: Databases

You might want to send us your questions in advance to get more sophisticated answers



16 Dec 2021

Why and how should I use a database and why it is different from an excel sheet



23 Dec 2021

Getting help with your first queries (upon request)



06 Jan 2021

Relational DBs, document stores, key-value-stores: There's a system for every use-case

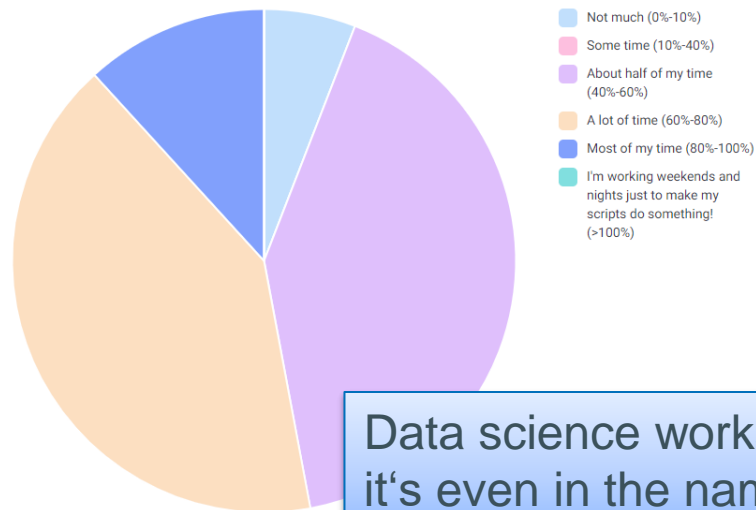


13 Jan 2021

Get your research data into a database!

Survey Results

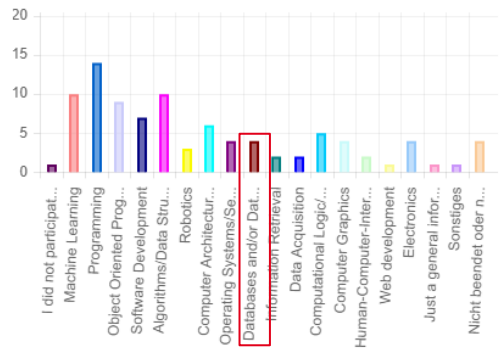
How much of your working time do you spend with computer science issues (scripting, debugging, setting up workflows,...)?



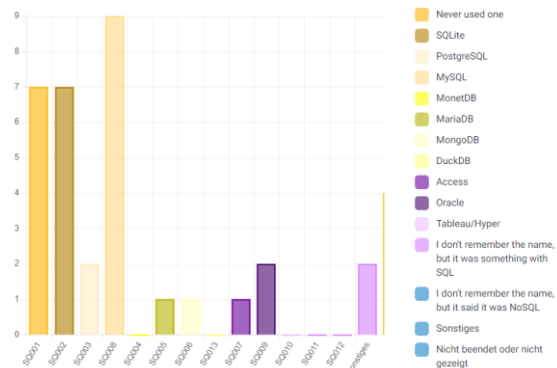
Data science works with data,
it's even in the name

Databases are made for managing
and analyzing data

Have you had any computer science courses before, e.g. during your undergrad? If yes, which kind of courses.



Have you ever used a database system? If yes, which one?



Why use a DB?

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	Database	CSV, Excel sheets	Other file formats: Hdf5, Binary,...	Libraries: dplyr, pandas,...
Fast loading and parsing			Possible but depends on your tools and knowledge	If you optimize it yourself
Automatic parallelization	*most DB systems	Only with PowerBI; No parallelism with a vanilla Excel	Depends on your tools	
Cares for data validity, e.g. consistent transactions			Only if you combine it with a database, e.g. hdf5 + Hadoop	Depends on your tools
Optimizes your queries	*most DB systems			
Optimized join of data	*most DB systems			
Offers a turing complete query language	 Accidentally since SQL2003	 If you really want to use VBA	 Depends on your tools	 Many programming languages are already turing complete

By „most“ I mean all systems but SQLite. We will get to that point later.


You can implement all of this yourself...However, generations of PhD students in systems architecture will tell you to run if your supervisor ever asks you to do this.

These are basically query execution engines, but they are slow engines.

It's simple! ... Really!

Let's assume we have to tables:

Table_A and *Table_B*.



Column_1	Column_2
3	5
4	6

Column_a	Column_b
5	2
7	1

Let's further assume we want all entries where Column_2 equals Column_a.

Column_1	Column_2	Column_a	Column_b
3	5	5	2

dplyr (R)

```
inner_join(Table_A, Table_B, by = c("Column_2" = "Column_a"))
```

pandas (python)

```
pd.merge(Table_A, Table_B, left_on='Column_2', right_on='Column_a', how='inner')
```

SQL

```
SELECT * FROM Table_A, Table_B WHERE Table_A.Column_2=Table_B.Column_a;
```

→ It won't win a prize for literature, but it's close to a spoken language.

Join tables with Excel

Merge Tables Wizard - quick way to join tables by matching columns

*requires an add-on

If you are not very comfortable with Excel formulas yet, nor do you have time to figure out the arcane quirk Power Query, our Merge Tables Wizard could be your time-saver. Below I will show three most popular uses cases.

Example 1. Combine two tables by multiple columns

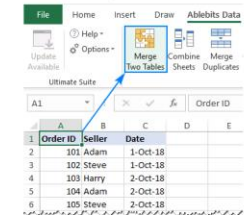
If you find the array formula for columns match hard to remember, rely on our add-in to do the job quickly & perfectly.

For this example, we will be using the already familiar tables and join them based on 2 columns, Seller and Product. Please note that the lookup table has 2 more columns than the main table:

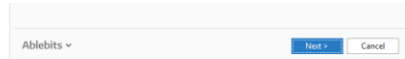
Main table			Lookup table		
Order ID	Seller	Amount	Seller	Product	Amount
101	Adam	Bananas	Adam	Bananas	\$310
102	Harry	Oranges	Harry	Apples	\$520
103	Harry	Apples	Harry	Oranges	\$550
104	Adam	Lemons	Adam	Apples	\$600
105	Harry	Bananas	Harry	Lemons	\$605
106	Adam	Apples	Harry	Bananas	\$605
			Adam	Lemons	\$705
			Adam	Oranges	\$735

With the Merge Tables Wizard added to your Excel ribbon, here's what you need to do:

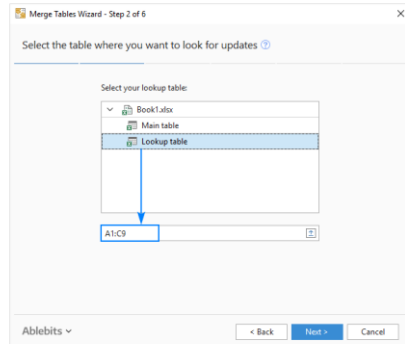
1. Select any cell within your main table and click the Merge Two Tables button on the Ablebits Data tab:



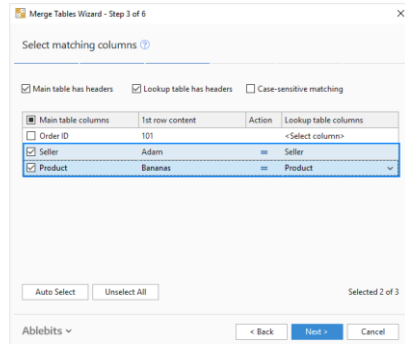
2. Make sure the add-in got the range right, and click Next:



3. Select the lookup table, and click Next:

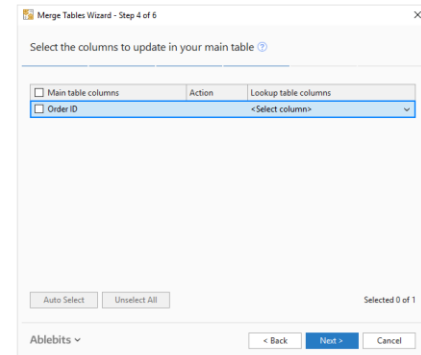


4. Specify the column pairs to match, Seller and Product in our case, and click Next:

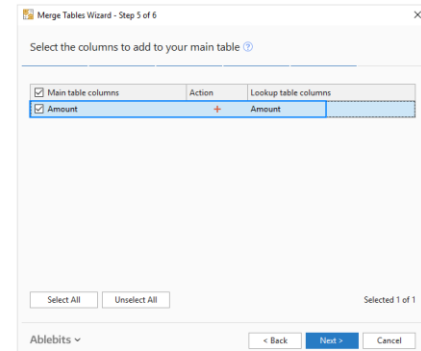


Tip. If the text case in the key columns matters, check the Case-sensitive matching box to treat uppercase and lowercase as different characters.

5. Optionally, choose the columns to update with the values from the lookup table. Since there is nothing to update in the Order ID's column, we leave it unselected, and simply click Next.

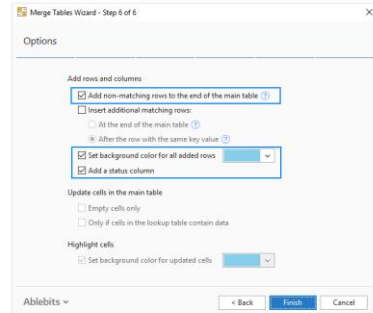


6. Select the columns to add to the main table and click Next.



7. In this step, you tell the wizard how exactly you want the tables to be merged. All the options have descriptive labels, so I won't go into long explanations. If you are unsure about a certain option, click the question mark next to it, and a small diagram will show you how the tables are going to be combined.

The default options work just fine in our case, so we click Finish without changing anything:



Allow the wizard a few seconds for processing and review the result:

	A	B	C	D	E
1	Order ID	Seller	Product	Amount	MTW Status
2	101	Adam	Bananas	\$310	Matching
3	102	Harry	Oranges	\$550	Matching
4	103	Harry	Apples	\$520	Matching
5	104	Adam	Lemons	\$705	Matching
6	105	Harry	Bananas	\$605	Matching
7	106	Adam	Apples	\$605	Matching
8	Harry	Lemons	\$605	New row	
9	Adam	Oranges	\$735	New row	
10	Merge Tables Wizard				
11	6 of 6 matching rows have been found.				
12	2 columns have been added.				
13	2 new rows have been added.				
14					
15					
16					
17					
18					
19					

As you can see in the screenshot above, the wizard has done the following:

1. Added the Amount column by matching the seller name and product in both tables.
2. Added the Status column that allows you to easily filter matching and new rows. If you don't want it, clear the corresponding box in the final step.
3. New rows that were present only in the lookup table were copied to the end and highlighted in blue.
 - If you don't want to highlight new rows, unselect Set background color for all added rows in the last step.
 - If you don't want to add new rows, unselect Add non-matching rows to the end of the main table in the last step.

It's an all in one solution!

- Optimized data storage and reader
 - Does not kill your file system with thousands of small files
 - Loads only what is necessary, i.e. not always the whole file
 - Indexes your data (more or less automatically)
- Comes with a standardized query language (SQL)
- Optimized operators (e.g. join, merge, and aggregation are operators)
- Query optimizer (the thing that schedules your operators)
- Additional features often included: compression, encoding, user management, out-of-memory execution (in case your files are really big),...

End of commercial break

Let's start simple!

A Data Filter – What's for lunch?

MensaMeals

Meal	Price
Pizza	6,50
Pasta	4,90
Pie	1,20
Potato Salad	5,80
Pannfisch	7,90

The typical broke student problem:
Which meals are cheaper than 5 €?



Which meals are cheaper than 5€?

To answer this question, we need:

Data

Query

MensaMeals

Meal	Price
Pizza	6,50
Pasta	4,90
Pie	1,20
Potato Salad	5,80
Pannfisch	7,90

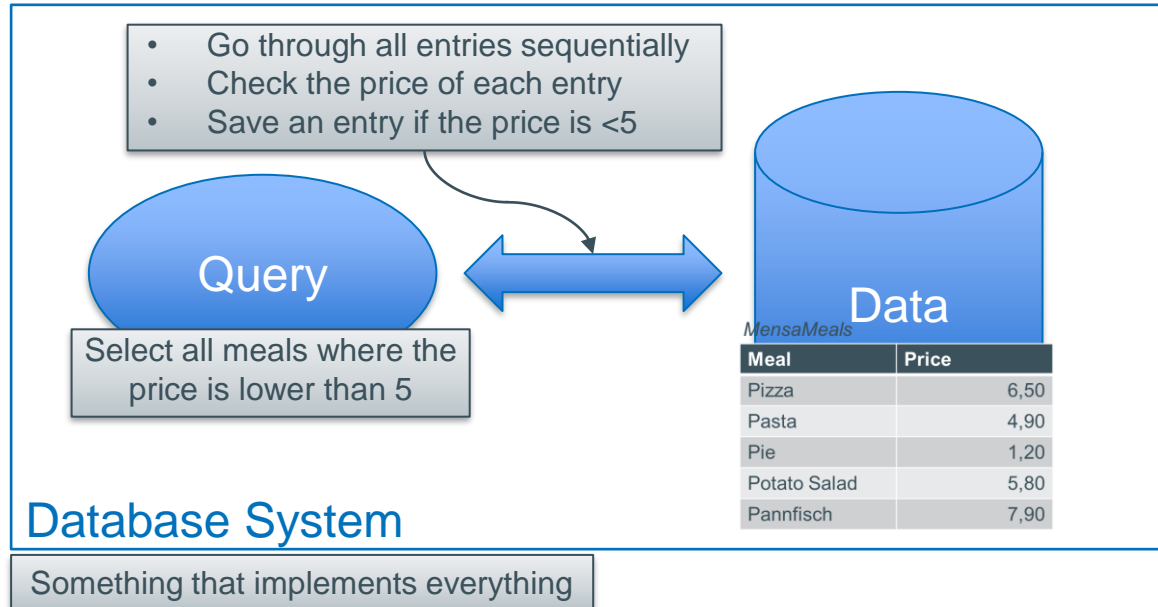
Select all meals where the price is lower than 5

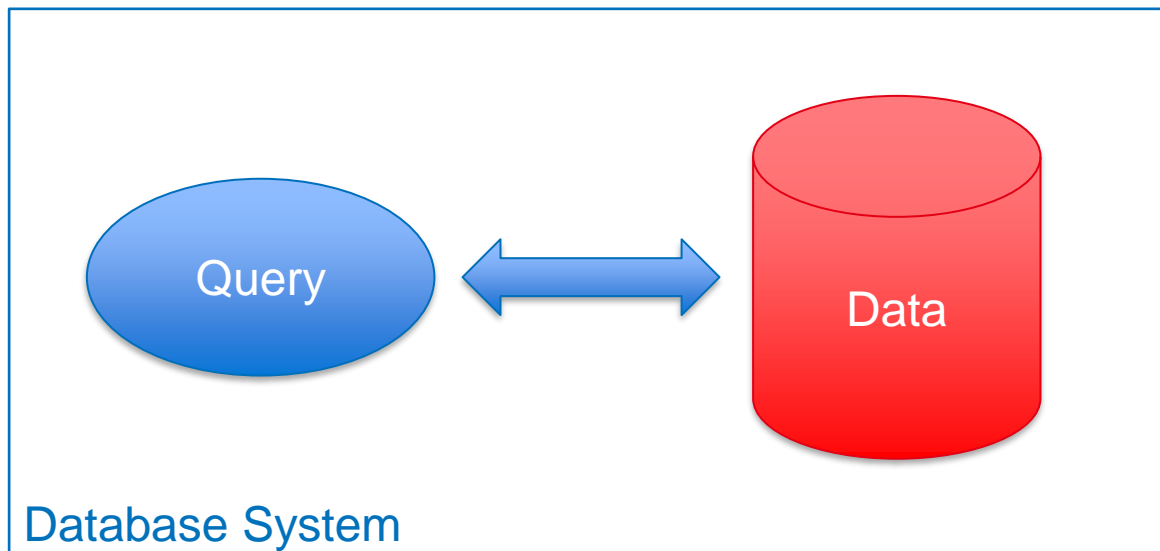
A plan

- Go through all entries sequentially
- Check the price of each entry
- Save an entry if the price is <5

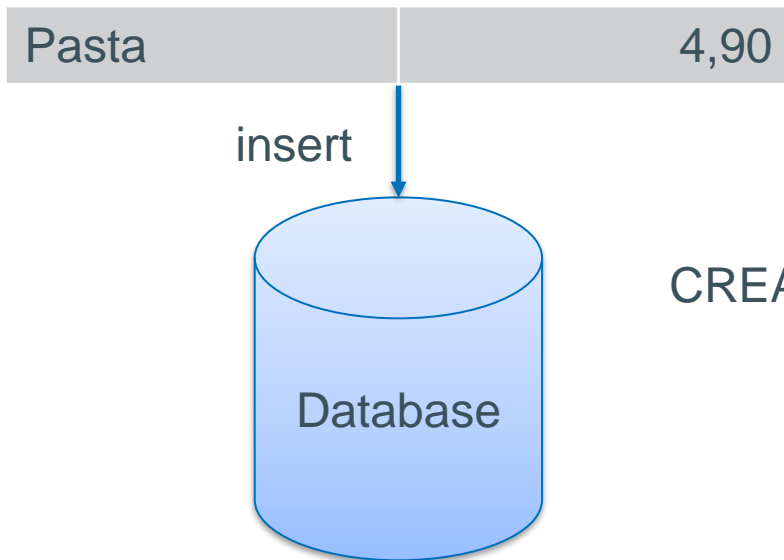
System

Something that implements everything





Get data into a virgin database



Show contents of your table:
`SELECT * FROM MensaMeals;`

1. Create an empty table

```
CREATE TABLE MensaMeals (Meal TEXT, Prize REAL);
```

Diagram annotations for the SQL statement above:

- A red box highlights `MensaMeals`, with a red arrow pointing to it labeled "Name of table".
- A blue bracket underlines `(Meal TEXT, Prize REAL)`, with a blue label "Name and type of columns" pointing to it.
- A red circle highlights the semicolon `;`, with a red arrow pointing to it labeled "End of statement".

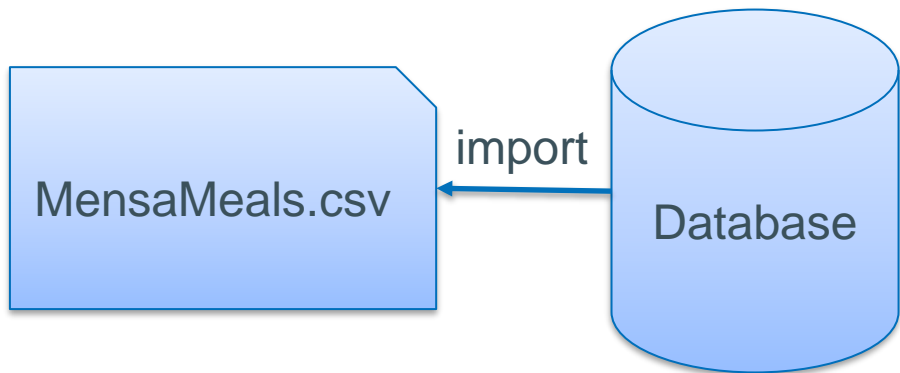
2. Insert rows

```
INSERT INTO MensaMeals VALUES ('Pasta', 4.90);
```

Diagram annotations for the SQL statement above:

- A red box highlights `MensaMeals`, with a red arrow pointing to it labeled "Name of table".
- A blue bracket underlines `('Pasta', 4.90)`, with a blue label "Values" pointing to it.
- A red circle highlights the semicolon `;`, with a red arrow pointing to it labeled "End of statement".

Get data into a virgin database



Database Systems offer import functions

- Supported formats differ (csv, parquet, db, ...)
- Syntax differs
- Auto-detection of data types and delimiters may or may not work

Examples

PostgreSQL

```
COPY MensaMeals FROM 'home/itsme/MensaMeals.csv' DELIMITER ',' CSV HEADER;
```

```
CREATE TABLE MensaMeals AS SELECT * FROM 'MensaMeals.csv';
```

DuckDB

```
COPY INTO MensaMeals FROM 'home/itsme/MensaMeals.csv';
```

MonetDB

Let's keep it simple!

...And do some theory while you are still listening.

This is a relation, defined as *MensaMeals(Meal,Price)*



**That's why table based DBs are called relational Databases*

MensaMeals	
Meal	Price
Pizza	6,50
Pasta	4,90
Pie	1,20
Potato Salad	5,80
Pannfisch	7,90

Meal and Price are the attributes of the relation *MensaMeals*

This is a tuple, which belongs to the relation *MensaMeals*

Relation and table often used as synonyms
but

- A relation can be defined without tuples, i.e. without being a 'real' table
- A table is only an illustration of your data

Relations are usually illustrated as tables

→ This tells us nothing about the storage layout
(cf. a matrix that can be stored differently → row- or column-major)

2 main layouts to store your table

MensaMeals

Meal	Price
Pizza	6,50
Pasta	4,90
Pie	1,20
Potato Salad	5,80
Pannfisch	7,90

Row-Store (tuple-wise)

Pizza	6,50	Pasta	4,90	Pie	1,20	Potato Salad	5,80	Pannfisch	7,90
-------	------	-------	------	-----	------	--------------	------	-----------	------

Memory address →

Column-Store (attribute-wise)

Pizza	Pasta	Pie	Potato Salad	Pannfisch					
-------	-------	-----	--------------	-----------	--	--	--	--	--

Memory address →

6,50	4,90	1,20	5,80	7,90					
------	------	------	------	------	--	--	--	--	--

Memory address →

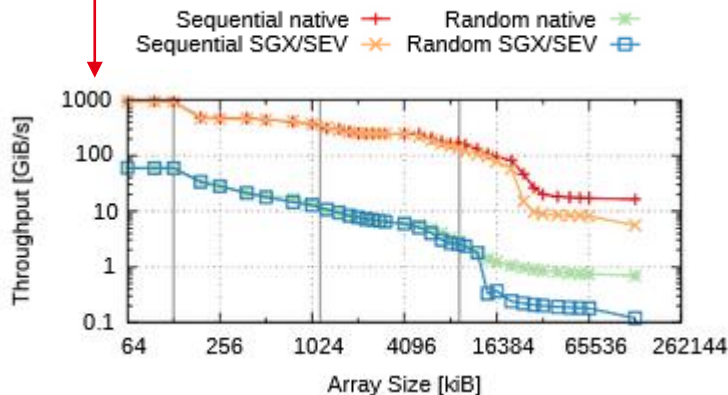
This is what your traditional relational SQL database does

This is what all (not so traditional) column-oriented databases do

Why should you care?

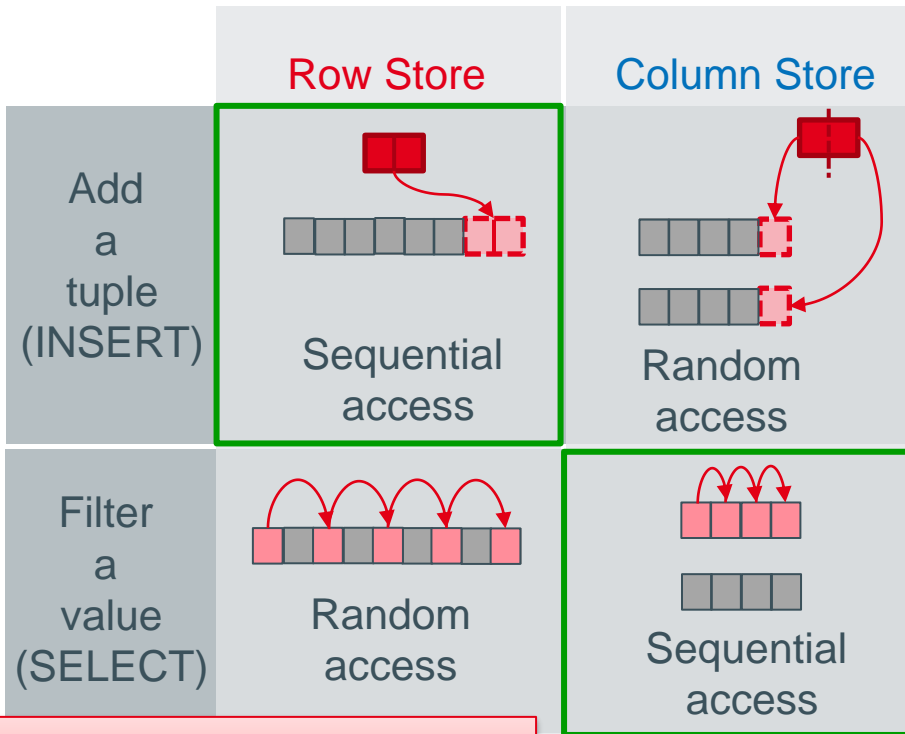
Memory access is expensive!

Note the log scale



*Throughput on an Intel Xeon E3-1275

Gottel, Christian & Pires, Rafael & Rocha, Isabelly & Vaucher, Sébastien & Felber, Pascal & Pasin, Marcelo & Schiavoni, Valerio. (2018). SRDS 2018






Your ideal layout depends on your use-case.
Different systems use different layouts, so choose wisely!

NoSQL and column-oriented DBs: Frequent misunderstandings

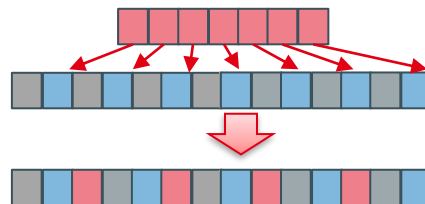
- NoSQL stands for **Not only SQL**
- Wide-column DBs (NoSQL) and column-stores (SQL) are not the same, but both often referenced as column-oriented
 - We will use it to reference column-stores
- Usually, column-oriented databases can be queried using SQL and allow the definition of relations
 - Convenience of SQL, and performance and flexibility of column-stores
 - Example: Fast and easy addition/deletion of attributes

`ALTER TABLE MensaMeals ADD Calories INT NULL;`

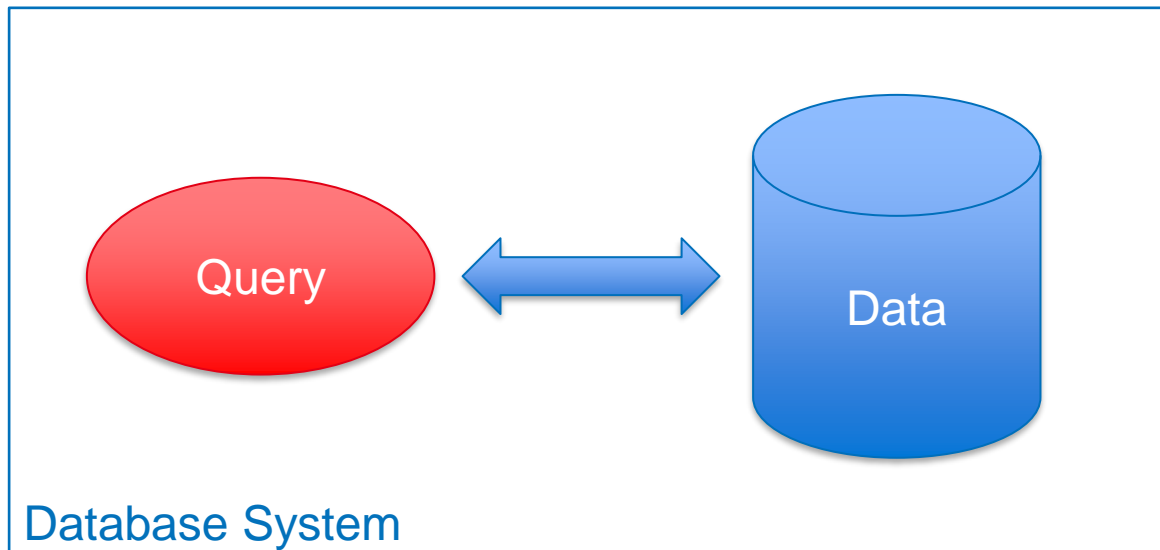
Meal 
Price 
Calories 

← **Column-Store**

Row-Store →



Remember what
we just learned
about random
memory access



- Queries consist of operators and can be formally described with query languages, e.g. relational algebra (RA), SQL
- SQL is a keyboard-friendly query language while RA is used for internal representation

Examples: Select Operator

SQL: SELECT * FROM MensaMeals WHERE Price < 5;

Relational Algebra: $\sigma_{\text{Price} < 5}(\text{MensaMeals})$



Result:

Meal	Price
Pasta	4,90
Pie	1,20

MensaMeals

Meal	Price
Pizza	6,50
Pasta	4,90
Pie	1,20
Potato Salad	5,80
Pannfisch	7,90

Operator Examples

Project Operator

Show only the names of all meals where the price is lower than 5€.

$\Pi_{\text{Meal}} (\sigma_{\text{Price} < 5} (\text{MensaMeals}))$

Meal	Price
Pasta	4,90
Pie	1,20

SELECT Meal FROM MensaMeals
WHERE Price < 5;

Result:

Meal
Pasta
Pie

Join Operator

Where can I get the meals which cost less than 5€?

$\Pi_{\text{Mensa}} (\sigma_{\text{Price} < 5}$

$(\text{MensaMeals} \bowtie_{\text{MensaMeals.Meal}=\text{DailyOffers.Meal}} \text{DailyOffers}))$

SELECT Mensa FROM
MensaMeals JOIN DailyOffers
ON MensaMeals.Meal=DailyOffers.Meal
WHERE Price < 5;

Result:

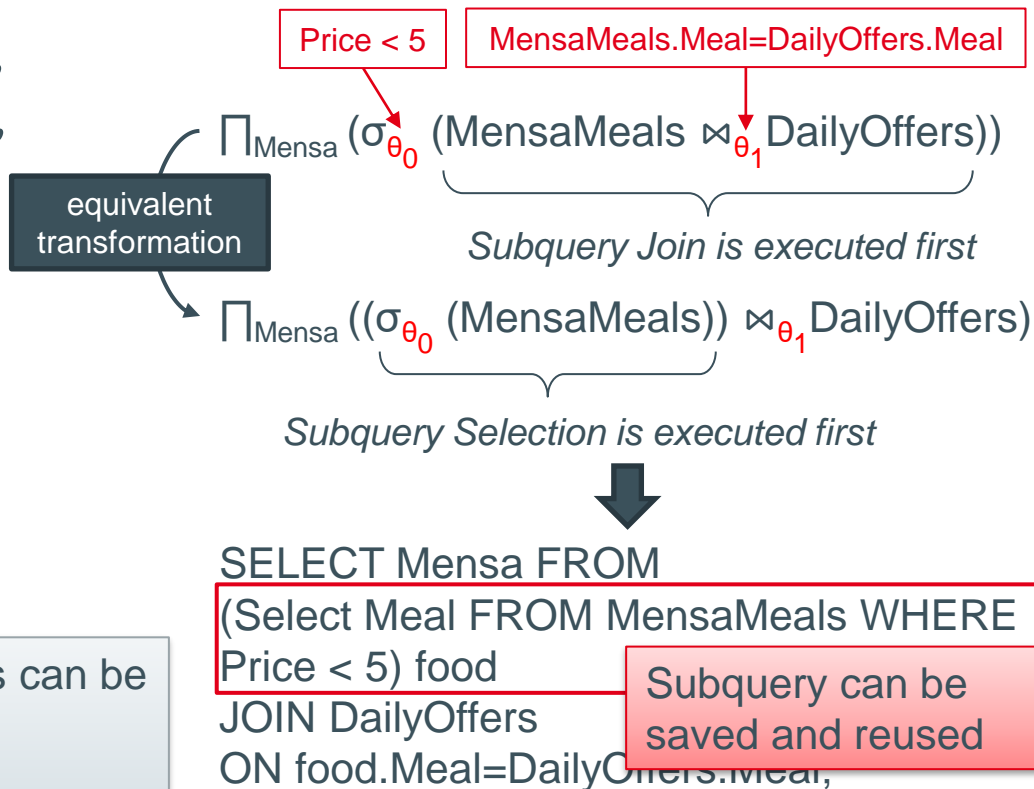
Mensa
Mensa Cafe
Garden Mensa

DailyOffers

Mensa	Meal
Campus Mensa	Pizza
Mensa Cafe	Pie
Garden Mensa	Pasta
Old Mensa	Potato Salad

Why should you care?

- ➔ With RA you can do everything, you can do with other algebras, e.g. prove that two queries produce the same results
- ➔ Restructure a query for better performance or reusability of subqueries
- ➔ Understand the output of the query optimizer (later today)



A comprehensible list of transformations can be found here:

<https://www.postgresql.org/message-id/attachment/32513/EquivalenceRules.pdf>

Reusability of queries and query results

- Queries and Subqueries (Views) can be stored and referenced → nicer queries
- The result of views can be stored → higher performance for frequently used queries and remote data

Store (materialize) the view

Create a view called
CheapFood

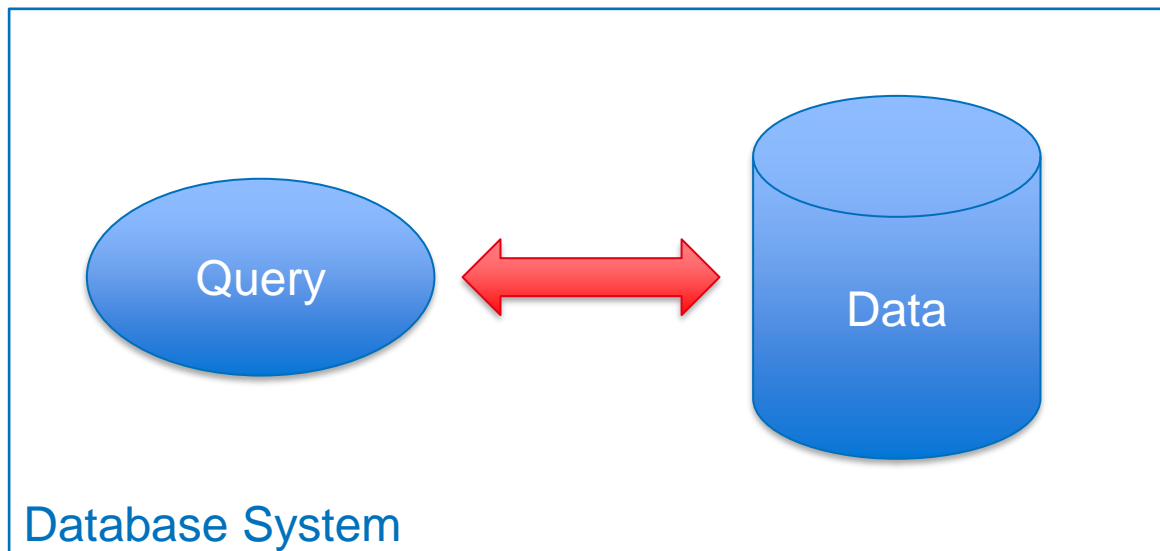
```
CREATE MATERIALIZED VIEW CheapFood AS  
SELECT Meal FROM MensaMeals WHERE  
Price < 5;
```

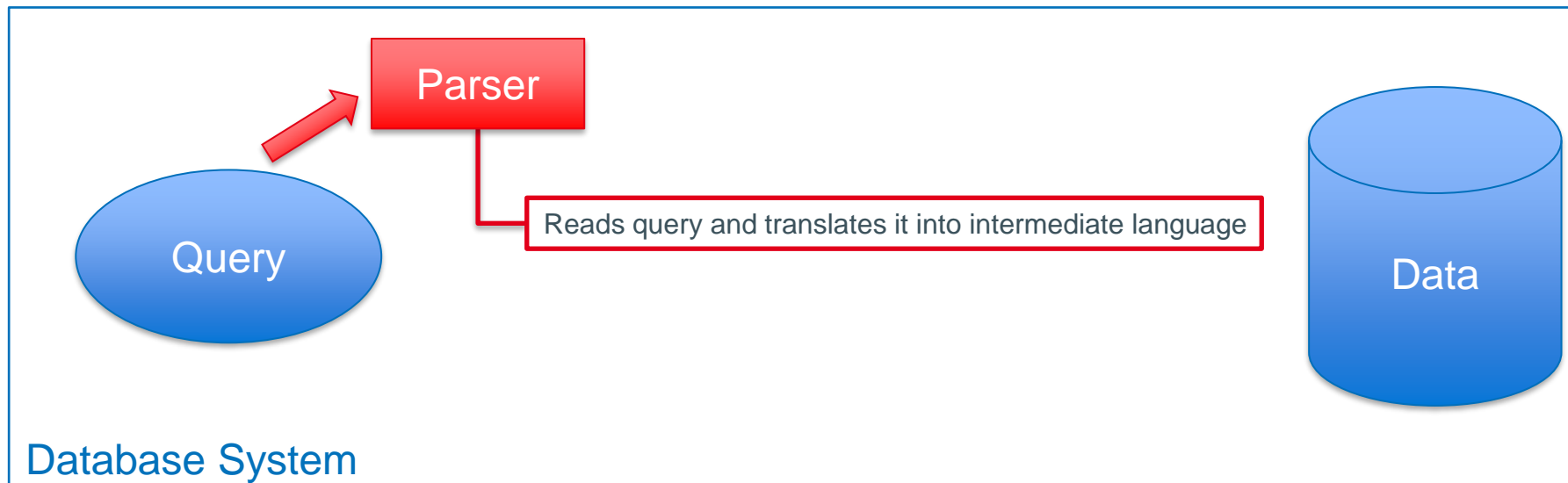
Refresh the view

```
REFRESH MATERIALIZED  
VIEW CheapFood;
```

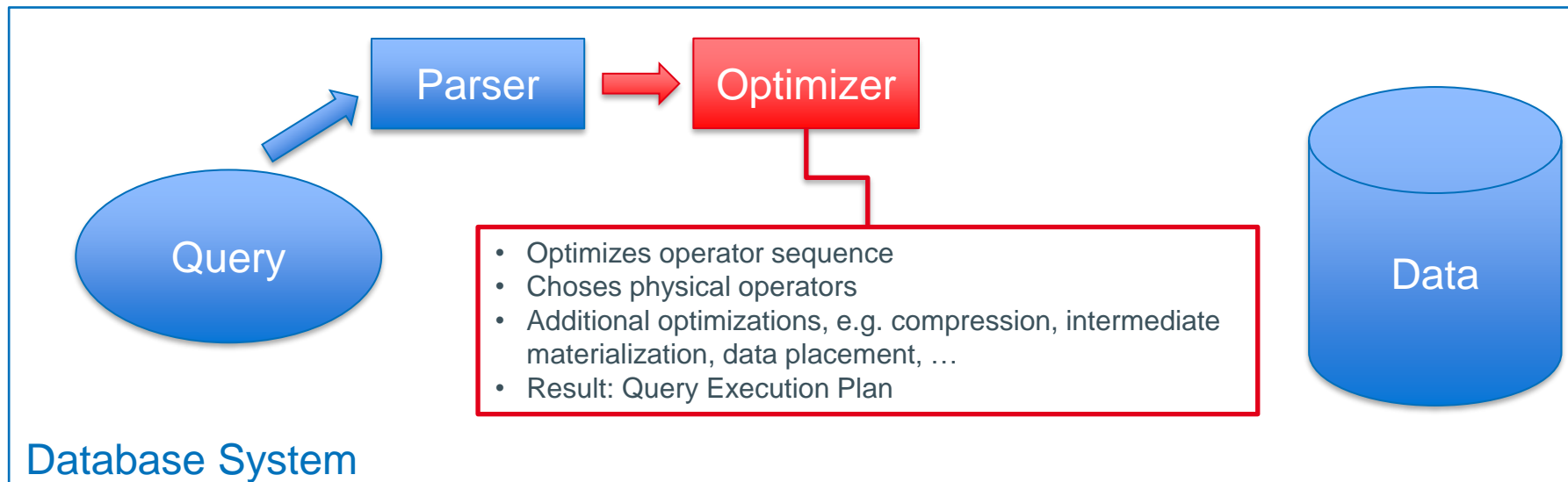


- Refresh the view after updates in your base data
- Not supported by all database systems

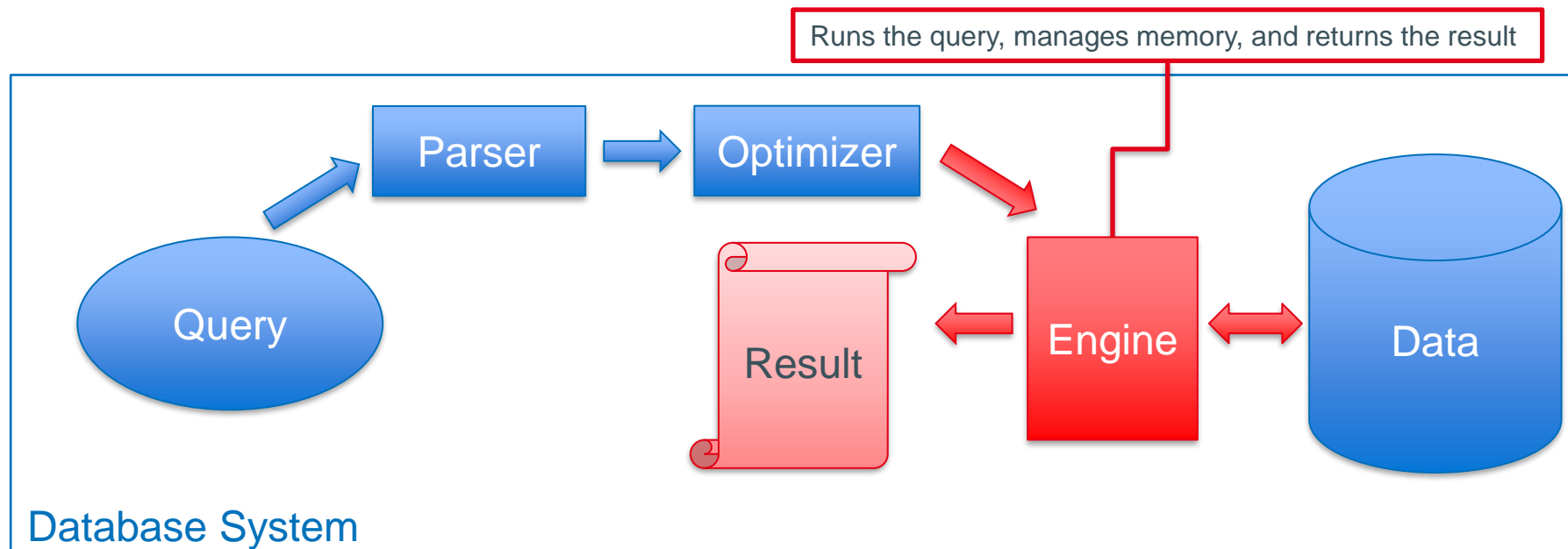




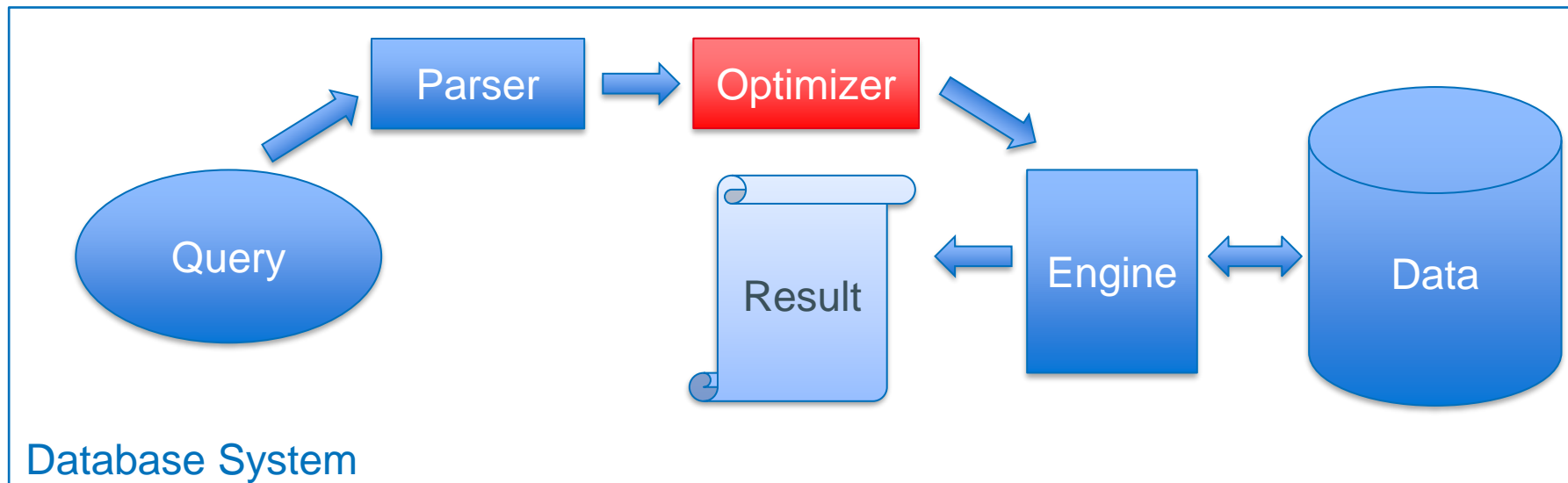
*strongly simplified



*strongly simplified



*strongly simplified



*strongly simplified

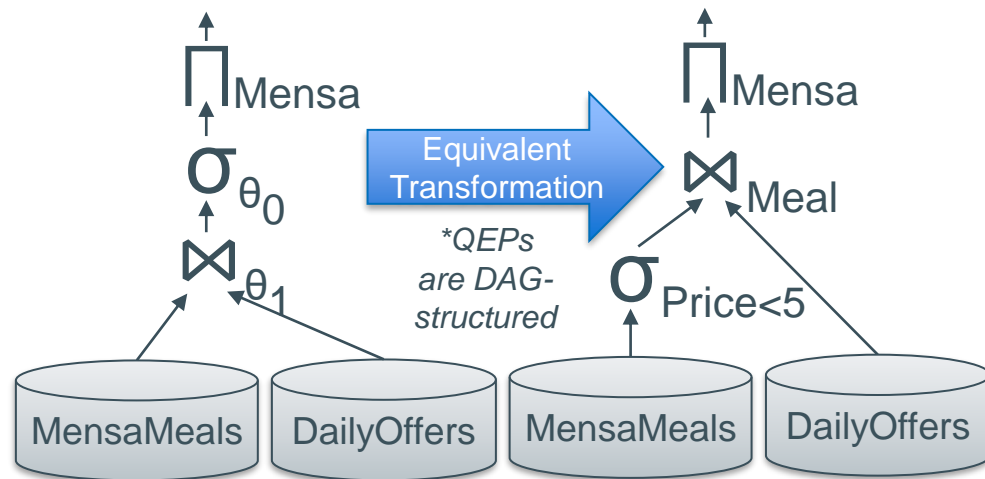
Optimizer: Query Execution Plan Optimization

*SELECT Mensa FROM MensaMeals JOIN DailyOffers
ON MensaMeals.Meal=DailyOffers.Meal WHERE Price < 5;*

Plan A: $\Pi_{\text{Mensa}} (\sigma_{\theta_0}$
(MensaMeals \bowtie_{θ_1} DailyOffers))

Plan B: $\Pi_{\text{Mensa}} ((\sigma_{\theta_0}$
(MensaMeals)) \bowtie_{θ_1} DailyOffers)

- Database Systems use a relational algebra for internal representation
- Optimizers try to automatically find the most efficient sequence of operators
- ➔ Conventional approach: Reduce data as early and as cheap as possible
- ➔ Tool: Cardinality/Selectivity estimation
- The chosen sequence of operators is the final Query Execution Plan (QEP)



Further Reading

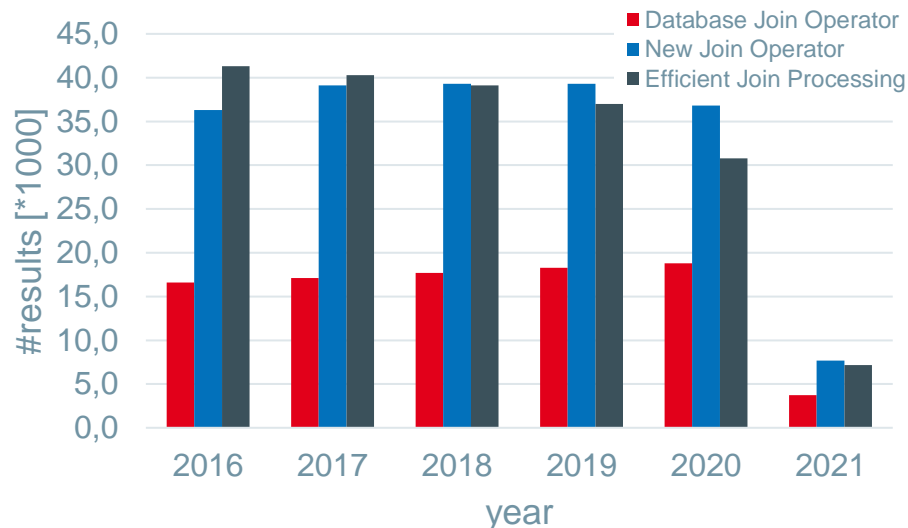
Foundations for operator order optimization:

https://www.researchgate.net/publication/2916321_Bringing_Order_to_Query_Optimization

Survey on different cardinality estimation techniques: <http://www.vldb.org/pvldb/vol11/p499-harmouch.pdf>

- For each **logical operator** (e.g. join), there can be different **physical operators** (e.g. hash-join, nested-loop-join), i.e. the same operator can be implemented in different ways
- Joins are a bottleneck in most queries → Join optimization is a much-noticed field of research
- Choice of physical operator depends on exact use case. Examples from PostgreSQL:
 - ➔ Nested-Loop: full join, one very small table, condition is not an equality
 - ➔ Hash Join: similarity joins, small expected hash table
 - ➔ Merge Join: sorted data, large tables

Results for different search terms in google scholar



Further Reading

More on join order optimization: *Query optimization through the looking glass, and what we found running the Join Order Benchmark*, V.Leis et al.

Overview on Popular Join algorithms and an alternative: *New algorithms for join and grouping operations*, G. Graefe

Why should I care?

- A look at the query plan can help you identify the bottleneck of your query
- The **Explain** keyword is supported by many systems and shows the query plan, the physical operator, sometimes the cost (i.e. the runtime) of the operators, and some more or less useful additional information (e.g. the size of the relations and intermediates)

- Output can look different depending on DB system,
- Options might be available, e.g. analyze, timing on/off, buffers

EXPLAIN (analyze) SELECT Mensa
FROM MensaMeals JOIN DailyOffers
ON MensaMeals.Meal=DailyOffers.Meal
WHERE Price < 5;

- Example output for join operator (PostgreSQL):

```
Hash Join (cost=0.00..5.37 rows=3 width=2) (actual time=0.00..2.222 rows=2 loops=1)
  -> Hash Cond: (MensaMeals.Meal=DailyOffers.Meal)
```

The mensa example is too small to generate interesting output

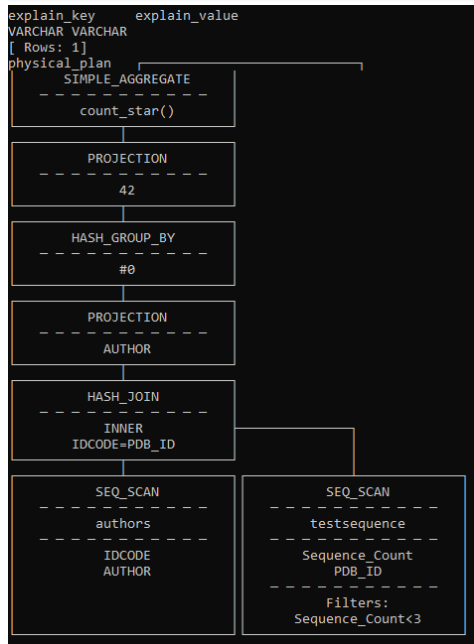
→ Switch to the Protein Database (PDB)

→ Create a more complex query

```
SELECT count(*) FROM (SELECT 1 FROM testsequence, authors WHERE  
Sequence_Count < 3 AND testsequence.PDB_ID = authors.IDCODE  
GROUP BY authors.author) foo;
```

Output of EXPLAIN

Some show a graph
(duckdb)...



...some show an ugly graph (sqlite)...

```
QUERY PLAN
|--CO-ROUTINE 1
|--SCAN TABLE testsequence
|--SEARCH TABLE authors USING AUTOMATIC COVERING INDEX (IDCODE=?)
--USE TEMP B-TREE FOR GROUP BY
--SCAN SUBQUERY 1
```

...and some show a formatted version of their internal RA representation (e.g. MonetDB, PostgreSQL)
→ This is where you are lost without Relational Algebra

```
function user.main():void;
  X_1:void := querylog.define("explain select count(*) from (select 1 from testsequence, authors where
);
  X_4:int := sql.mvc();
  C_5:bat[:oid] := sql.tid(X_4:int, "sys"
  X_8:bat[:str] := sql.bind(X_4:int, "sys":str, "testsequence":str, "pdb_id":str, 0:int);
  X_15:bat[:int] := sql.bind(X_4:int, "sys":str, "testsequence":str, "sequence count":str, 0:int);
  C_22:bat[:oid] := algebra.thetaselect(X_15:bat[:int], C_5:bat[:oid], 3:int, "<:str");
  C_24:bat[:oid] := sql.tid(X_4:int, "sys":str, "authors":str);
  X_26:bat[:str] := sql.bind(X_4:int, "sys":str, "authors":str, "IDCODE":str, 0:int);
  X_31:bat[:str] := sql.bind(X_4:int, "sys":str, "authors":str, "AUTHOR":str, 0:int);
  X_36:bat[:str] := algebra.projection(C_22:bat[:oid], X_8:bat[:str]);
  X_38:bat[:str] := algebra.projection(C_24:bat[:oid], X_26:bat[:str]);
  X_41:bat[:oid] := algebra.join(X_38:bat[:str], X_36:bat[:str]);
  X_49:bat[:str] := algebra.projectionpath(X_41:bat[:str], nil:lng);
  (X_50:bat[:oid], C_51:bat[:oid]) := group(X_49:bat[:str], nil:lng);
  X_53:bat[:str] := algebra.projection(C_51:bat[:oid], 1:lng);
  X_56:bat[:bte] := algebra.projection(X_53:bat[:str], 1:bte);
  X_57:lng := aggr.count(X_56:bat[:bte]);
  X_59:int := sql.resultSet("sys":str, "%2":str, "bigint":str, 64:int, 0:int, 7:int, X_57:lng);
end user.main;
```

Uses english names instead of greek letters for operators

Output of EXPLAIN

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DuckDB



SQLite

```
QUERY PLAN
|--CO-ROUTINE 1
|--SCAN TABLE testsequence
|--SEARCH TABLE authors USING AUTOMATIC COVERING INDEX (IDCODE=?)
  |--USE TEMP B-TREE FOR GROUP BY
  |--SCAN SUBQUERY 1
```

Additional Projection operator only needed in column-stores

→ Find the data of the affected tuples (items with the same idx) in the arrays which store the remaining columns

→ In row-stores, tuples are stored together, no lookup needed

MonetDB

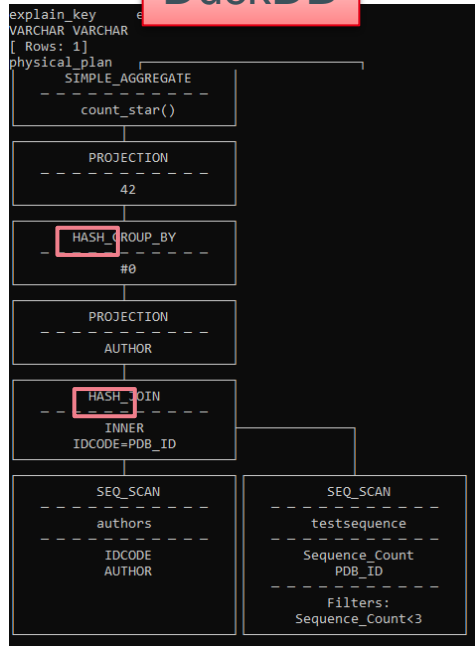
```
function user.main():void;
  X_1:void := querylog.define("explain select count(*) from (select 1 from testsequence, authors where
);
  X_4:int := sql.mvc();
  C_5:bat[:oid] := sql.tid(X_4:int, "sys":str, "testsequence":str);
  X_8:bat[:str] := sql.bind(X_4:int, "sys":str, "testsequence":str, "pdb_id":str, 0:int);
  X_15:bat[:int] := sql.bind(X_4:int, "sys":str, "testsequence":str, "sequence_count":str, 0:int);
  C_22:bat[:oid] := algebra.thetaselect(X_15:bat[:int], C_5:bat[:oid], 3:int, "<":str);
  C_24:bat[:oid] := sql.tid(X_4:int, "sys":str, "authors":str);
  X_26:bat[:str] := sql.bind(X_4:int, "sys":str, "authors":str, "IDCODE":str, 0:int);
  X_31:bat[:str] := sql.bind(X_4:int, "sys":str, "authors":str, "AUTHOR":str, 0:int);
  X_36:bat[:str] := algebra.projection(C_22:bat[:oid], X_8:bat[:str]);
  X_38:bat[:str] := algebra.projection(C_24:bat[:oid], X_26:bat[:str]);
  X_41:bat[:oid] := algebra.join(X_38:bat[:str], X_36:bat[:str], nil:BAT, false:bit, nil:lmg);
  X_49:bat[:str] := algebra.projectionpath(X_41:bat[:oid], C_24:bat[:oid], X_31:bat[:str]);
  (X_50:bat[:oid], C_51:bat[:oid]) := group.groupdone(X_49:bat[:str]);
  X_53:bat[:str] := algebra.projection(C_51:bat[:oid], X_49:bat[:str]);
  X_56:bat[:bte] := algebra.project(X_53:bat[:str], 1:bte);
  X_57:lmg := aggr.count(X_56:bat[:bte]);
  X_59:int := sql.resultSet("%.2":str, "%.2":str, "bigint":str, 64:int, 0:int, 7:int, X_57:lmg);
end user.main;
```

Output of EXPLAIN

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DuckDB



SQLite

```
QUERY PLAN
|--CO-ROUTINE 1
| |--SCAN TABLE testsequence
| |--SEARCH TABLE authors USING AUTOMATIC COVERING INDEX (IDCODE=?)
| |--USE TEMP B-TREE FOR GROUP BY
|--SCAN SUBQUERY 1
```

B-Trees and Hashes are index structures

→ Takes time to build

→ Makes lookups faster

MonetDB

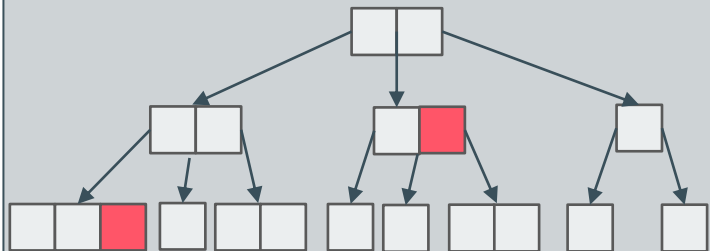
```
function user.main():void;
  X_1:void := querylog.define("explain select count(*) from (select 1 from testsequence, authors where
);
  X_4:int := sql.mvc();
  C_5:bat[:oid] := sql.tid(X_4:int, "sys":str, "testsequence":str);
  X_8:bat[:str] := sql.bind(X_4:int, "sys":str, "testsequence":str, "pdb_id":str, 0:int);
  X_15:bat[:int] := sql.bind(X_4:int, "sys":str, "testsequence":str, "sequence_count":str, 0:int);
  C_22:bat[:oid] := algebra.thetaselect(X_15:bat[:int], C_5:bat[:oid], 3:int, "<":str);
  C_24:bat[:oid] := sql.tid(X_4:int, "sys":str, "authors":str);
  X_26:bat[:str] := sql.bind(X_4:int, "sys":str, "authors":str, "IDCODE":str, 0:int);
  X_31:bat[:str] := sql.bind(X_4:int, "sys":str, "authors":str, "AUTHOR":str, 0:int);
  X_36:bat[:str] := algebra.projection(C_22:bat[:oid], X_8:bat[:str]);
  X_38:bat[:str] := algebra.projection(C_24:bat[:oid], X_26:bat[:str]);
  X_41:bat[:oid] := algebra.join(X_38:bat[:str], X_36:bat[:str], nil:BAT, false:bit, nil:lmg);
  X_49:bat[:str] := algebra.projectionpath(X_41:bat[:oid], C_24:bat[:oid], X_31:bat[:str]);
  (X_50:bat[:oid], C_51:bat[:oid]) := group.groupdone(X_49:bat[:str]);
  X_53:bat[:str] := algebra.projection(C_51:bat[:oid], X_49:bat[:str]);
  X_56:bat[:bte] := algebra.project(X_53:bat[:str], 1:bte);
  X_57:lmg := aggr.count(X_56:bat[:bte]);
  X_59:int := sql.resultSet("%.2":str, "%2":str, "bigint":str, 64:int, 0:int, 7:int, X_57:lmg);
end user.main;
```


Task: Find all red entries



Trivial solution: Scan the whole dataset

Structures for faster searching:



Tree structures



Sorting



Buckets



hash(...)

Hash-based structures (position defined by hash function)

A good database system takes care for you of the index structures

But

- It it is not always (e.g. if an index would come in handy for future queries)
- Not all systems are good systems

→ You can create an index yourself with CREATE INDEX

```
CREATE INDEX countindex ON testsequence (Sequence_Count);
```

An Index can be nested, e.g.

```
CREATE INDEX countindex ON testsequence (PDB_ID, Sequence_Count);
```

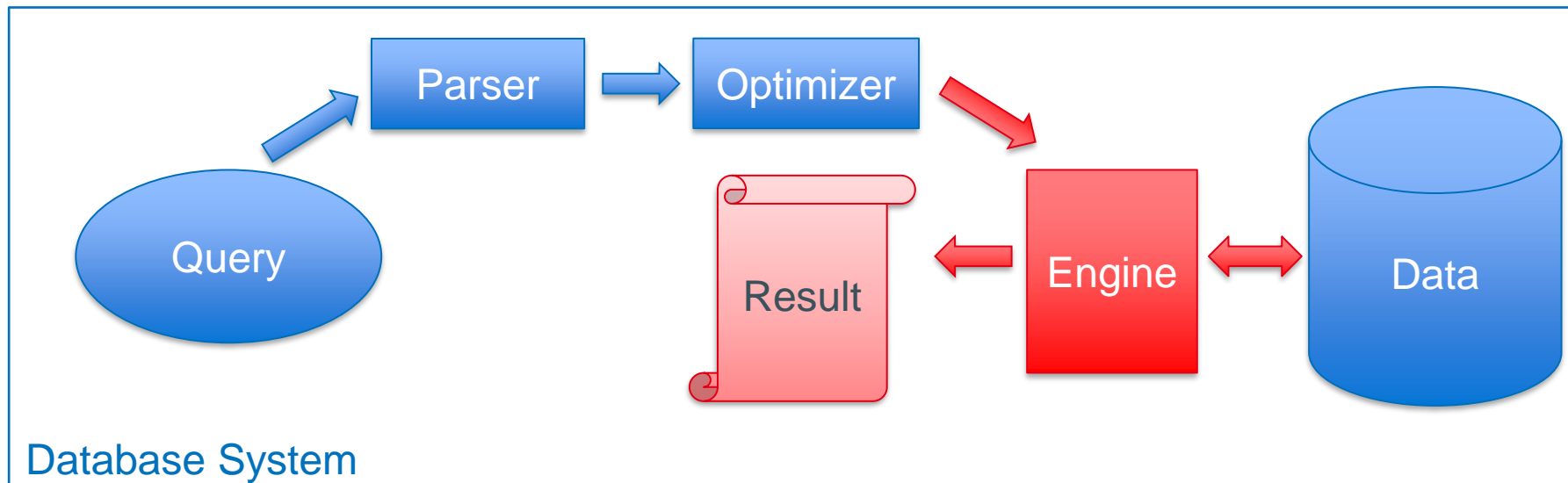


- Your query plan may or may not provide useful information on which attributes it is using in which sequence
- Nested indexes only work for the exact sequence they are made for, i.e. PDB_ID, or PDB_ID, Sequence_Count, but not Sequence_Count



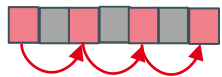
User-defined indexes can be ignored by the DBS (looking at you: MonetDB)

If I lost you here, just join next week and we will try this out ☺

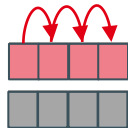


*strongly simplified

Row Store

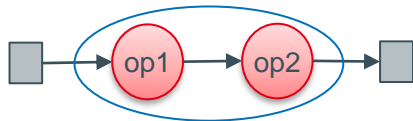


Column Store



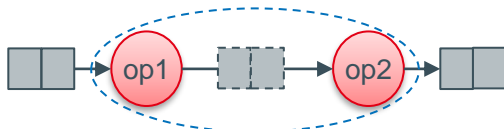
Analytical queries usually read only a small number of columns, but all elements of these columns
For parallel or pipelined execution, data must be split

Tuple-at-a-time



- Intermediate tuples not stored, but passed directly to next operator
→ Operators can be fused
- Limited applicability of other optimizations, e.g. prefetching, vectorization, compression,...

Vector/Block-at-a-time



- A part (vector/block) of the column processed at once
→ Operator fusion only for small blocks
- Trade-off between operator fusion and memory access performance

Operator-at-a-time



- Whole operator (all elements of the column) processed at once
- Intermediates materialized
→ No operator fusion, only coarse-grained parallelization
- High potential for optimization of memory reads

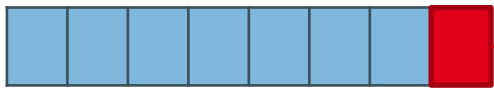
Why should I care?

- Different optimizations work with different processing models
- Your hardware limits your optimization space

Example A: You have a new intel server with the AVX512 instruction set for vectorization (under linux, *lscpu* tells you if you have it; no root required)

➔ A system which implements only tuple-at-a-time is not able to use this instruction set

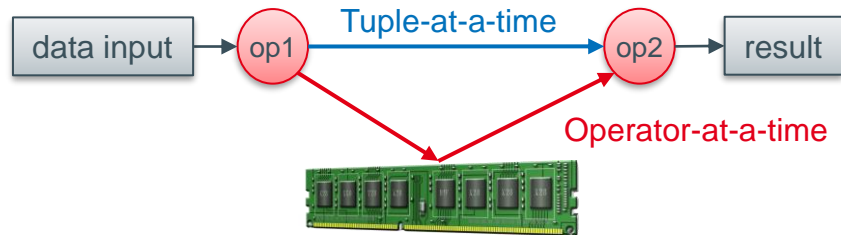
Tuple-at-a-time can use only one slot of this register



Vector registers can hold multiple values, e.g., up to 8 64-bit values with AVX512

Example B: You do not have much main memory and writing to it is slow

➔ Materializing your intermediates becomes a bottleneck and might not work at all with operator-at-a-time or large blocks (block-at-a-time)



The Effect of Optimizers and Engines

SQLite

Ancient and
extremely popular

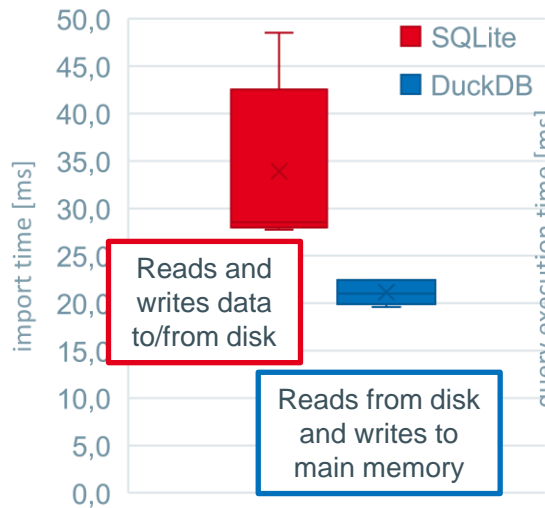
- Row-Store
- Disc-centric
- Tuple-at-a-time processing
- Only 1 Join implementation
- Next to no query optimization

DuckDB

New and completely
fameless

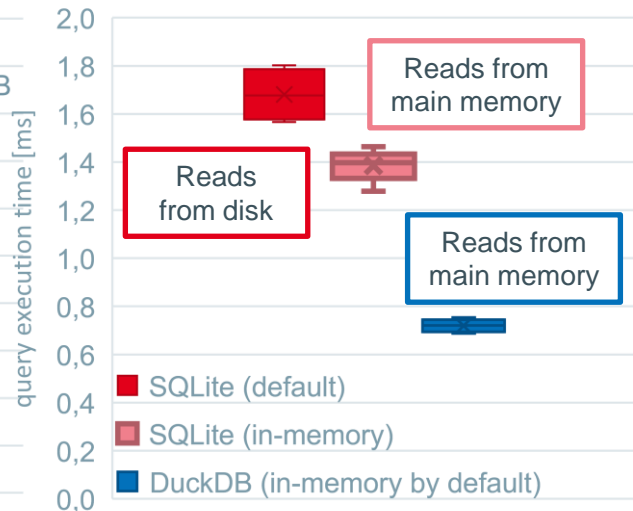
- Column-Store
- In-Memory with out-of-memory option
- Vector-at-a-time processing
- Different Join implementations
- Optimizer actually does stuff (join order optimization, eliminate common subqueries,...)

Load data from csv file (<300kB)



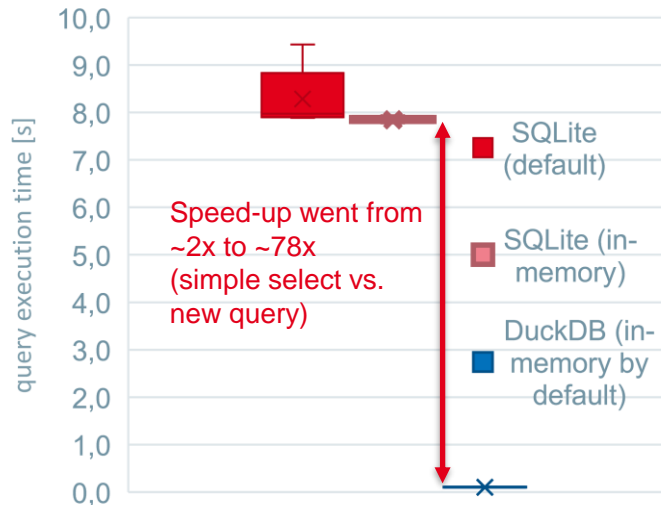
Simple query on small data (<300kB)

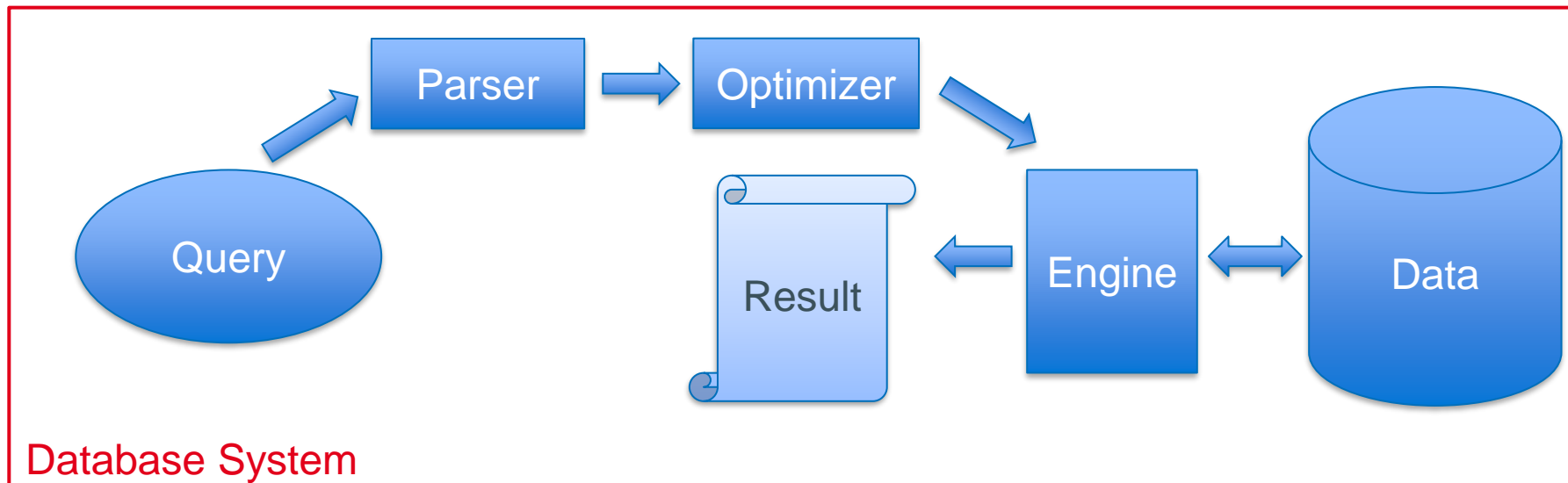
SELECT * FROM testsequence WHERE Sequence_Count<3;



Complex query on slightly more data (~20MB)

SELECT count(*) FROM (SELECT 1 FROM testsequence, authors
WHERE Sequence_Count < 3 AND testsequence.PDB_ID =
authors.IDCODE GROUP BY authors.author) foo;





*strongly simplified

The 2 Flavours of Database Systems

Embedded DBS



Application

includes/imports

API

*provides
interface*

Embedded
Database System

accesses

Database

You Python, R, C++,...
project

e.g. Python module, C++
header,...

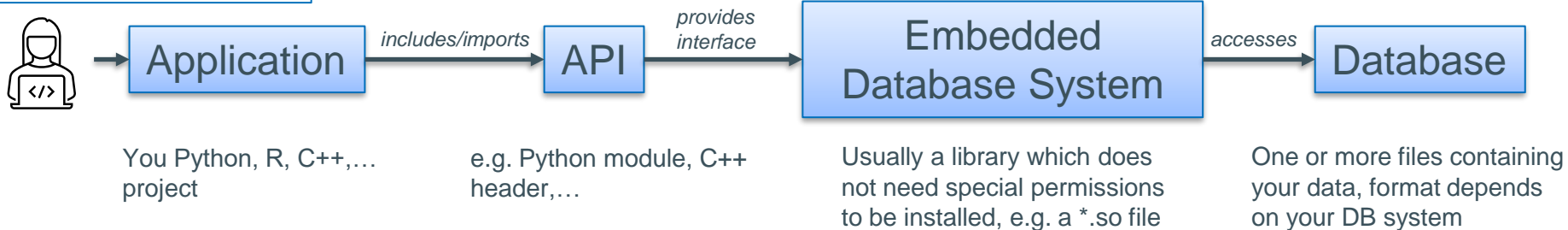
Usually a library which does
not need special permissions
to be installed, e.g. a *.so file

One or more files containing
your data, format depends
on your DB system

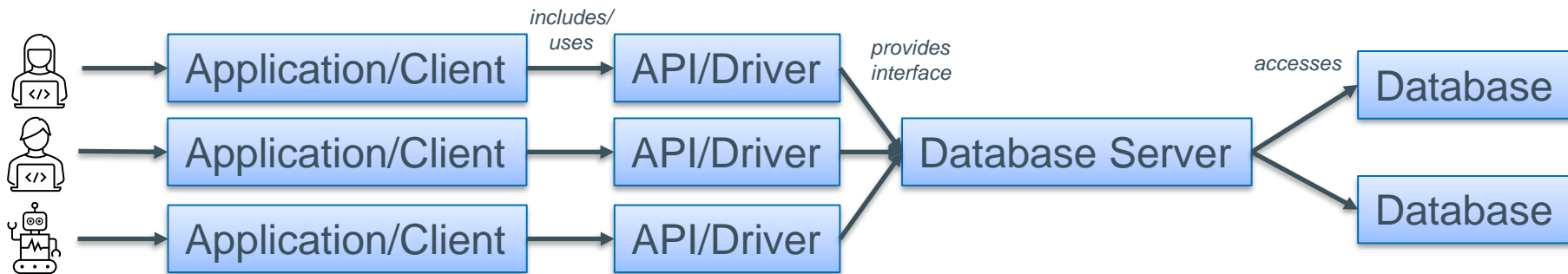
- No special permissions required
- No bells and whistles (no user management, distributed processing, multiple databases at once,...)
- Runs (almost) everywhere
- Porting to other platforms relatively simple (of course, a container makes it even easier)

The 2 Flavours of Database Systems

Embedded DBS



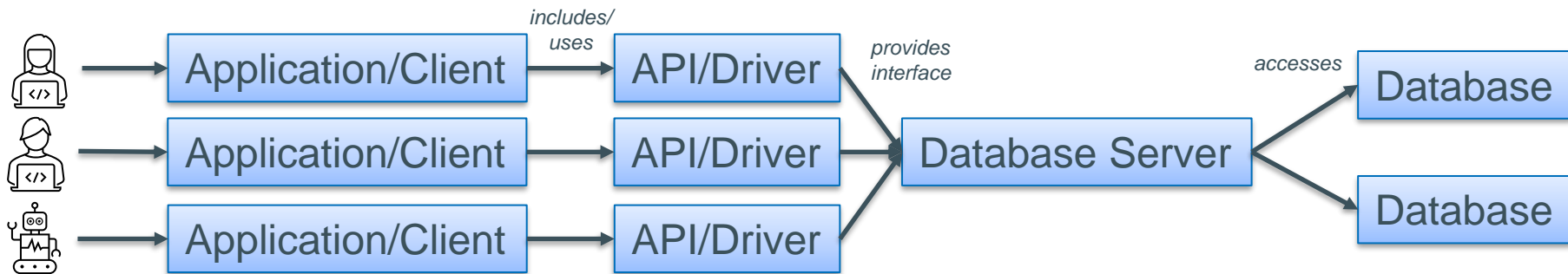
Client-Server DBS



The 2 Flavours of Database Systems

- Usually requires installation as root
- Shipping the whole package (DB, DBS, Application) is challenging
- Try a container
- Offers more features than Embedded DBS, e.g. user management, data partitioning, drivers for a standardized interface,...

Client-Server DBS



Database Systems

There is no one fits all.
Choose wisely!



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	Storage	Column Processing	Physical Join Operators	Free?	Open source?	Embedded/ Client-Server
SQLite	Row store	-	Nested Loop	yes	yes	Embedded
PostgreSQL	Row store, Column store available as extension	depends on extension	(Indexed) Nested Loop, Hash Join, Merge Join	yes	yes	Client-Server, 3 rd party projects for embedding
MySQL	Row Store	-	Different combinations of Block-based, Indexed, Nested Loop, Hash Join	yes (community version)	yes	Client-Server, Embedded (commercial)
MonetDB	Column store	Operator-at-a-time	Different combinations & variations of Partitioned, Indexed, Nested Loop, Hash Join	yes	yes	Client-Server
MariaDB	Column store + hybrid (multiple versions)	Block-at-a-time	Different combinations of Indexed, Block-based, Nested Loop, Hash Join	yes (community version)	yes	Client-Server
DuckDB	Column store (data blocks)	Block-at-a-time	(Indexed, Block-based) Nested Loop, Merge Join	yes	yes	Embedded

*Information comes from a wild combination of different sources including documentations, papers, and source code. It might be incomplete.

	Use-Cases
SQLite	It's better than not having a database at all.
PostgreSQL	Can do almost everything if you know which extension(s) you need. Might be overkill for what you need.
MySQL	For frequent transactions (e.g. insert new tuples), some features might not be free.
MonetDB	Good all-in-one solution for most analytical use-cases. Runs reliably on all sizes of machines, even on a laptop (and on my mobile phone).
MariaDB	Like MonetDB but with more features, e.g. different storages. Might be a total overkill for your project.
DuckDB	If you don't need bells and whistles for your analytics (e.g. no user management, no server, limited variety of APIs).
Oracle	A database system on steroids, can deal with almost everything including geo-spatial data and data files in the PB range. Costs an arm and a leg, but DESY seems to have the money.

source: <https://www.oracle.com/assets/technology-price-list-070617.pdf>

Database Systems

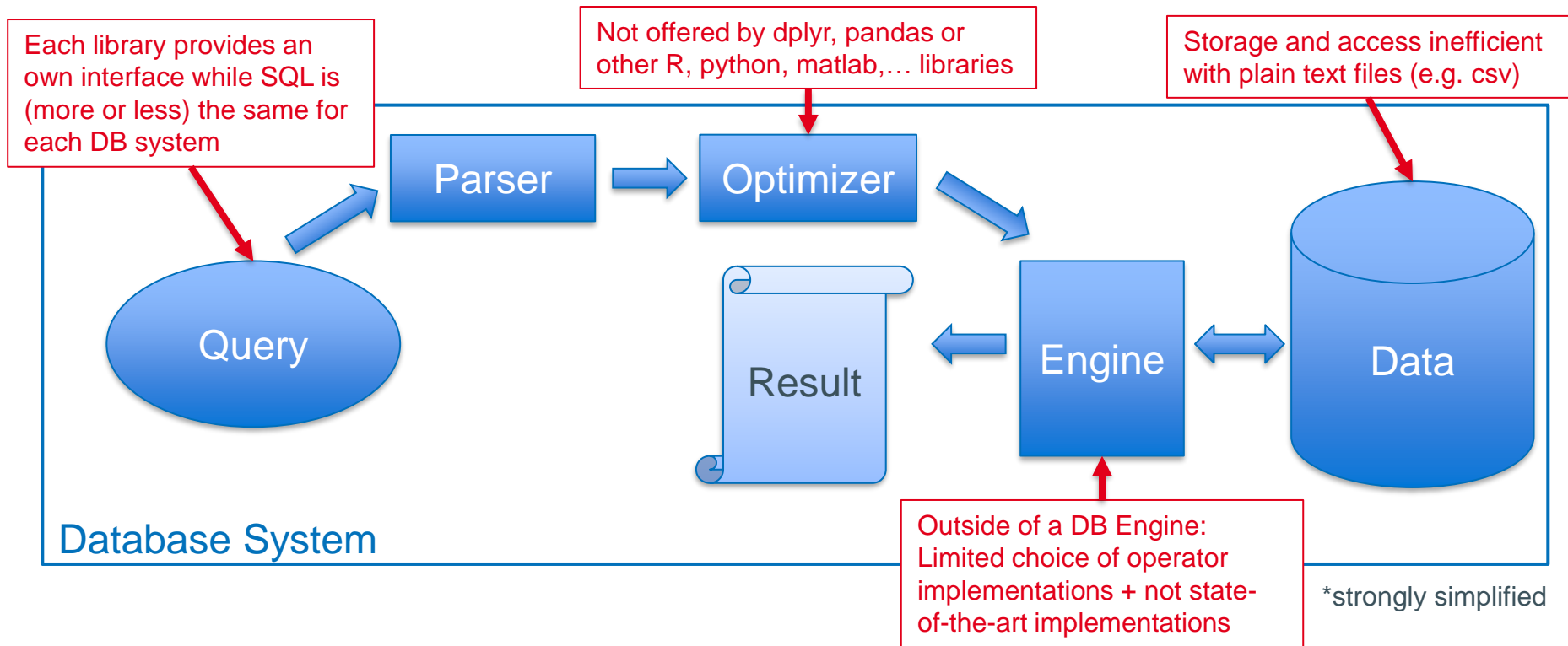
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			Named User Plus	Software Update License & Support	Processor License	Software Update License & Support	
		Database Products					
		Oracle Database					
SQLite	It's	Standard Edition 2	350	77.00	17,500	3,850.00	
		Enterprise Edition	950	209.00	47,500	10,450.00	
		Personal Edition	460	101.20	-	-	
PostgreSQL	Car	Mobile Server	-	-	23,000	5,060.00	
		NoSQL Database Enterprise Edition	200	44	10,000	2,200.00	
MySQL	For	Enterprise Edition Options:					
		Multitenant	350	77.00	17,500	3,850.00	
		Real Application Clusters	460	101.20	23,000	5,060.00	
		Real Application Clusters One Node	200	44.00	10,000	2,200.00	
MonetDB	Go	Active Data Guard	230	50.60	11,500	2,530.00	op (and
	on i	Partitioning	230	50.60	11,500	2,530.00	
		Real Application Testing	230	50.60	11,500	2,530.00	
MariaDB	Like	Advanced Compression	230	50.60	11,500	2,530.00	
		Advanced Security	300	66.00	15,000	3,300.00	
		Label Security	230	50.60	11,500	2,530.00	
		Database Vault	230	50.60	11,500	2,530.00	
DuckDB	If y	OLAP	460	101.20	23,000	5,060.00	APIs).
		TimesTen Application-Tier Database Cache	460	101.20	23,000	5,060.00	
		Database In-Memory	460	101.20	23,000	5,060.00	
Oracle	A d	Database Enterprise Management					ne PB
	ran	Diagnostics Pack	150	33.00	7,500	1,650.00	
	Co	Tuning Pack	100	22.00	5,000	1,100.00	
		Database Lifecycle Management Pack	240	52.80	12,000	2,640.00	
		Data Masking and Subsetting Pack	230	50.60	11,500	2,530.00	
		Cloud Management Pack for Oracle Database	150	33.00	7,500	1,650.00	

source: <https://www.oracle.com/assets/technology-price-list-070617.pdf>

Summary



- Database design/Entity-Relationship-Model
- (Specialized) schemas, normalization
- Data compression and encoding
- NoSQL DBs (graph data, key-value stores,...) → **January**
- Concurrent queries (scheduling, conflicts, anomalies...)
- Application interfaces/DB drivers (e.g. JDBC, ODBC)
→ There are great tutorials, just ask the internet
- User-defined functions → for everything you don't want to express with SQL
- Anything with a little more depth

Import some data, send a few queries, and export the data

We will look at the query plans and create an index

We will use DuckDB (because it will likely run on your laptop and is comparatively fast)



Databases Under The Hood

An Introduction For The Curious User

Annett Ungethüm, 16.12.2021