

UNIVERSITY OF COPENHAGEN

Niels Bohr Institute



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# A Study of Top Anomalous Couplings at a Future $e^+e^-$ Collider

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$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{SM} + \sum_i \frac{C_i^{(6)}}{\Lambda^2} O_i^{(6)} + \sum_j \frac{C_j^{(8)}}{\Lambda^4} O_j^{(8)} + \dots$$

- 7 independent top dim-6 operators  $\rightarrow$  10 anomalous couplings

$$\begin{aligned}\mathcal{L}_{Wtb} = & -\frac{g}{\sqrt{2}} \bar{b} \gamma^\mu (\textcolor{red}{V_L} P_L + \textcolor{red}{V_R} P_R) t W_\mu^- \\ & - \frac{g}{\sqrt{2}} \bar{b} \frac{i \sigma^{\mu\nu} q \nu}{M_W} (\textcolor{red}{g_L} P_L + \textcolor{red}{g_R} P_R) t W_\mu^- + H.c.\end{aligned}$$

$$\begin{aligned}\mathcal{L}_{Ztt} = & -\frac{g}{2 c_W} \bar{t} \gamma^\mu (\textcolor{red}{X_{tt}^L} P_L + \textcolor{red}{X_{tt}^R} P_R - 2 s_W^2 Q_t) t Z_\mu \\ & - \frac{g}{2 c_W} \bar{t} \frac{i \sigma^{\mu\nu} q \nu}{M_Z} (\textcolor{red}{d_V^Z} + i \textcolor{red}{d_A^Z} \gamma_5) t Z_\mu\end{aligned}$$

$$\mathcal{L}_{\gamma tt} = -e Q_t \bar{t} \gamma^\mu A_\mu - e \bar{t} \frac{i \sigma^{\mu\nu} q \nu}{m_t} (\textcolor{red}{d_V^\gamma} + i \textcolor{red}{d_A^\gamma} \gamma_5) t A_\mu$$

$\delta d_A^\gamma$	
$\delta d_V^\gamma$	
$\delta d_A^Z$	
$\delta d_V^Z$	
$\delta X_{tt}^L$	
$\delta X_{tt}^R$	
$\delta g_L$	
$\delta g_R$	
$\delta V_L$	
$\delta V_R$	

Angular distributions:

$t\bar{t}$ production	$t \rightarrow Wb$	$W \rightarrow \ell\nu$
$\theta_{et}$	$\theta_{tb}^*, \phi_{tb}^*$	$\theta_{W\ell}^*, \phi_{W\ell}^*$

Total cross section:

$t\bar{t}$ production
$\sigma_{\text{tot}}$

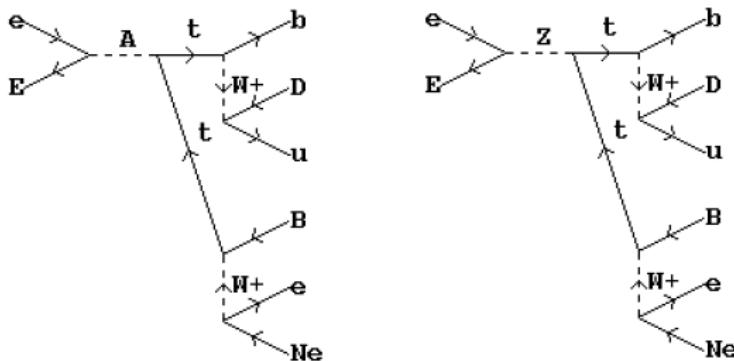


## Signal:

Semileptonic channel

$$e^+ e^- \rightarrow t\bar{t} \rightarrow bW^+ \bar{b}W^- \rightarrow b\bar{b}q\bar{q}\ell\nu_\ell$$

Planned phase of FCC-ee @  $\sqrt{s} = 365$  GeV



## Backgrounds:

Process	$\sigma$ [pb]
$t\bar{t} \rightarrow b\bar{b}q\bar{q}\ell\nu_\ell$	0.1933
$\mu\mu$	0.7942
$\tau\tau$	0.7937
$\sum q\bar{q}$	4.143
$b\bar{b}$	0.7448
$\gamma Z$	3.386
$WW$	10.72
$ZZ$	0.6428
$ZH$	0.1173
$ZWW$	$15.91 \cdot 10^{-3}$
$ZZZ$	$0.7633 \cdot 10^{-3}$
Single top	$3.337 \cdot 10^{-3}$

- All MC files are generated in the FCCSW framework with DelphesPythia8\_EDM4HEP and IDEA Delphes Card
- Anomalous couplings available with Whizard



## Simplified Detector Transverse View

Muon Spectrometer

HadCAL

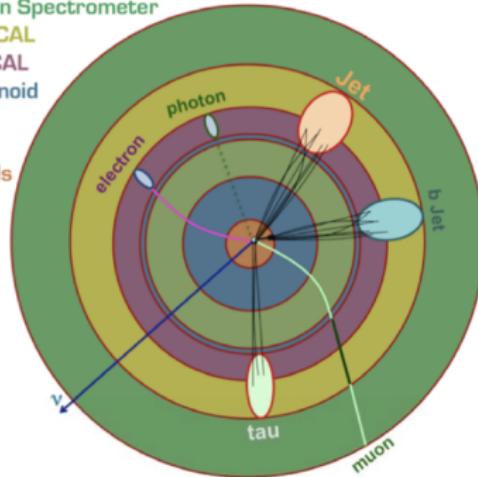
EMCAL

Solenoid

TRT

SCT

Pixels



## Lepton Identification:

$$\ell = \{e, \mu\}$$

- Assumes perfect PID

## Main tau decay modes:

- $\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau$  (17.8 %),
- $\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$  (17.4 %),
- $\tau^- \rightarrow \pi^-(n\pi^0)\nu_\tau$  (48 %)
- $\tau^- \rightarrow \pi^-\pi^+\pi^-(n\pi^0)\nu_\tau$  (15 %)

## Highest energy lepton:

Selecting highest energy lepton as signature lepton has an acceptance of

**96.6±0.7 %**

- Find RP highest energy lepton
- Match to MC particle
- Parent history (EDM4Hep gives parent and daughter history for MCParticleData)
- Stopping criteria with PDG and status code



## Jet Clustering Interface:

- flexible "after burner" using FastJet
- run multiple jet reconstructions at once
- select input particles
- access to jet constituents

## Jet Tagging Interface:

- Inspired by Delphes

## Jet Reconstruction:

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### Jet Algorithms

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$k_{\perp}$   
Durham ( $e^+ e^- k_{\perp}$ )  
 $e^+ e^-$  Anti- $k_{\perp}$   
 $e^+ e^-$  Cambridge/Aachen  
Valencia  
Jade

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### Recombination Schemes

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$E$ -scheme  
 $E0$ -scheme  
 $p$ -scheme

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- sequential recombination
- exclusive clustering with *exactly* 4 jets
- highest energy lepton excluded from the clustering

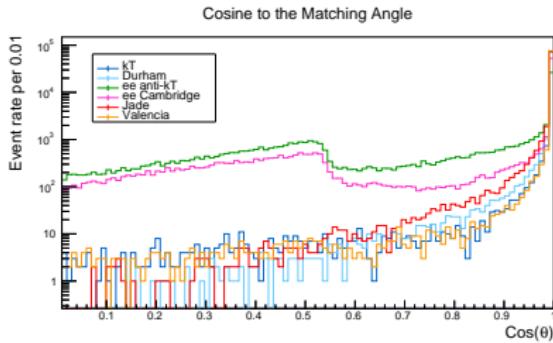
## FCCAnalyses / JetClustering

- > [analyzers/frame/JetClustering.h](#)
- [analyzers/frame/JetClustering.cc](#)
- [analyzers/frame/JetClusteringUtils.h](#)
- [analyzers/frame/JetClusteringUtils.cc](#)

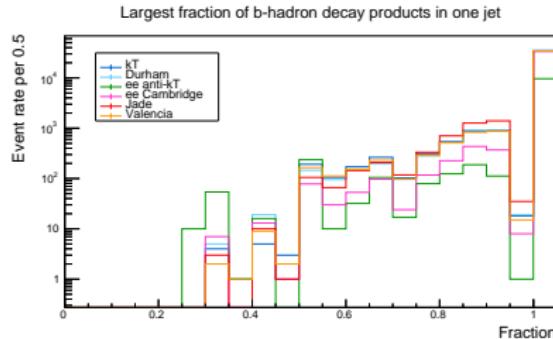
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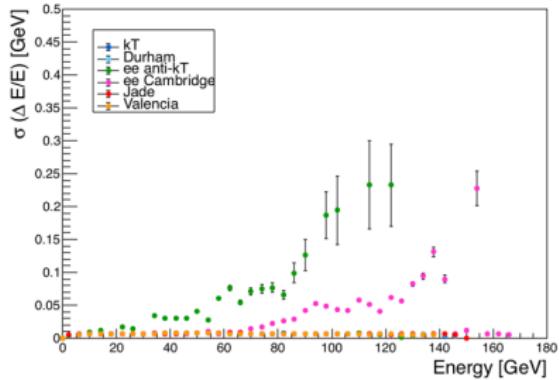
## Matching angle between reco and particle jets



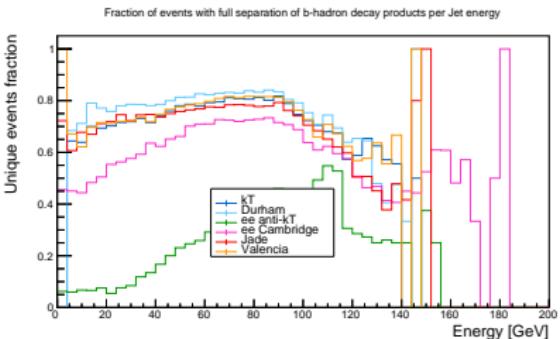
## Largest fraction of b-hadron decay products in one jet



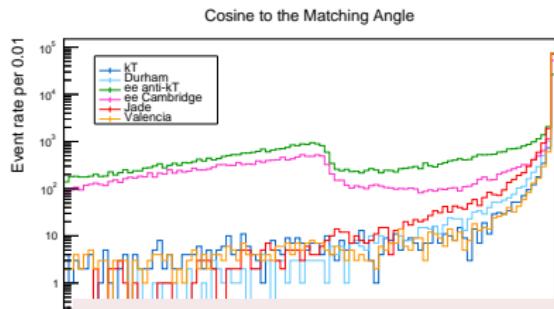
## Energy resolution of jets

 Energy resolution of  $\Delta E/E$  for reco vs. particle jet


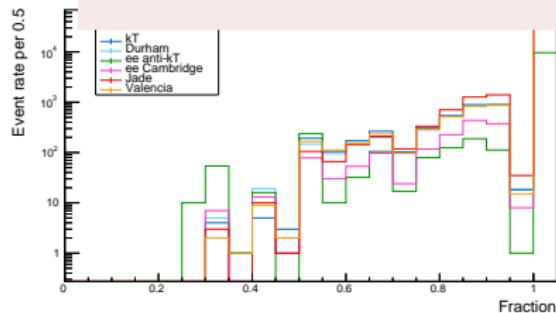
## Fraction of events with full separation of b-hadron decay products



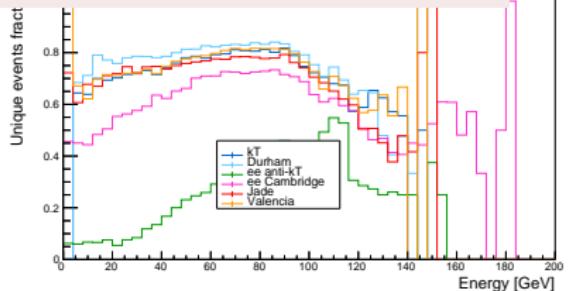
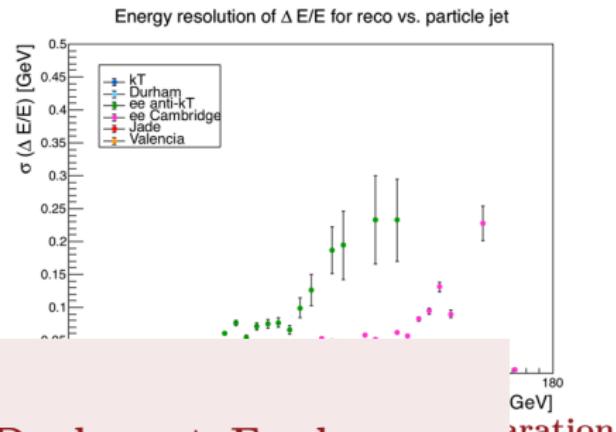
## Matching angle between reco and particle jets



Larg prod → Jet Definition = Durham + E-scheme

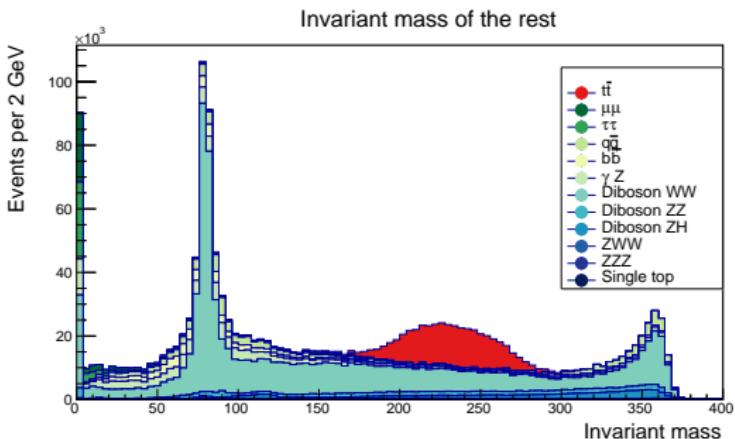
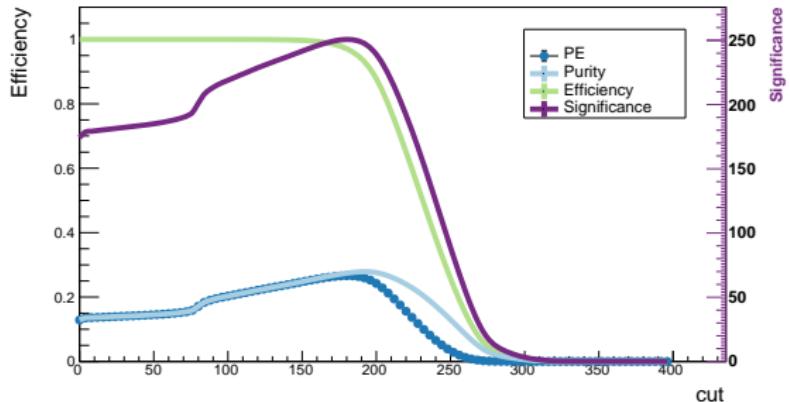


## Energy resolution of jets





Lower cut on Invariant mass of the rest



$$\text{Significance} = \frac{\text{sig}}{\sqrt{\text{sig} + \text{bkg}}}$$

$$\text{Efficiency} = \frac{\text{sig}}{\text{sig}_{\text{tot}}}$$

$$\text{Purity} = \frac{\text{sig}}{\text{sig} + \text{bkg}}$$

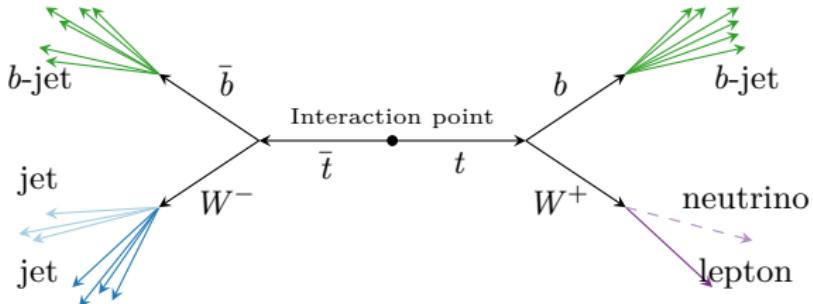
## Signal region cuts

- At least 1 lepton
- Thrust  $< 0.85$
- $M(\text{rest}) > 160$  GeV
- $M(\ell_{HE}, E) > 50$  GeV
- $p_{\ell_{HE}} < 100$  GeV
- $p_{\ell_{HE}} > 15$  GeV
- $p_{\ell_{2nd HE}} < 40$  GeV
- Exactly 4 jets
- At least 1 b-tag



## Event Reconstruction:

- Combinatorics
- Measurement corrections



$\chi^2$ -function  
to minimise:

$$L(y) = S(y) + g(x) + 2 \sum_{k=1}^m \lambda_k f_k(a, y, x)$$

- **Least Squares Principle:**  $S(y) = \Delta y^T \mathbf{V}(y)^{-1} \Delta y = \min$
- **Lagrange multipliers:**  $2 \sum_{k=1}^m \lambda_k f_k(a, y)$
- **Model expressed as  $m$  constraints:**  $f_k(\bar{a}, \bar{y}) = 0 \quad , \quad k = 1, \dots, m$
- **Penalty function:**  $g(x) = -2 \ln(pdf(x))$



$\chi^2$ -function  
to minimise:

$$L(y) = S(y) + g(x) + 2 \sum_{k=1}^m \lambda_k f_k(a, y, x)$$

Solution:

$$\begin{aligned} \begin{pmatrix} y^{n+1} \\ x^{n+1} \end{pmatrix} &= \begin{pmatrix} y_0 \\ x^n \end{pmatrix} - \tilde{\mathbf{V}} \begin{pmatrix} 0 \\ \frac{1}{2} \frac{d^2 g}{dx^2} \Big|_{x=x^n}^{-1} \end{pmatrix} + \tilde{\mathbf{V}} B^T (B \tilde{\mathbf{V}} B^T)^{-1} \\ &\quad \times \left[ A(a^n - a_0) + B \left( \frac{y^n - y_0}{\frac{dg}{dx} \Big|_{x=x^n}^{-1} / \frac{d^2 g}{dx^2} \Big|_{x=x^n}} \right) - f(a^n, y^n, x^n) \right] \end{aligned}$$

$$\begin{aligned} a^{n+1} &= a_0 + W_A^{-1} A^T W_B \\ &\quad \times \left[ A(a^n - a_0) + B \left( \frac{y^n - y_0}{\frac{dg}{dx} \Big|_{x=x^n}^{-1} / \frac{d^2 g}{dx^2} \Big|_{x=x^n}} \right) - f(a^n, y^n, x^n). \right] \end{aligned}$$

with

$$\begin{aligned} \tilde{\mathbf{V}} &= \begin{pmatrix} \mathbf{V} & 0 \\ 0 & \left( \frac{1}{2} \frac{d^2 g}{dx^2} \Big|_{x=x^n} \right)^{-1} \end{pmatrix}, \quad B = \frac{\partial f(a, y, x)}{\partial (y, x)}, \quad A = \frac{\partial f(a, y, x)}{\partial a}, \\ W_B &= (B V B^T)^{-1} \quad \text{and} \quad W_A^{-1} = (A^T W_B A)^{-1} \end{aligned}$$



General software package for constrained fitting

## Base Classes:

- Coordinate Representation
- Particle Object
- Constraint
- Composite constraint
- Probability distribution functions
- Matrix Algebra
- ABC Fit

The screenshot shows a GitHub repository page for 'Torndal / ABCfitplusplus'. The repository has 0 stars, 0 issues, 0 pull requests, and 0 actions. The master branch is selected. The README.md file contains 32 lines (23 sloc) and 915 bytes. The file content is as follows:

```
ABCfit++  
General constrained kinematic fit using ABC-parametrisation
```

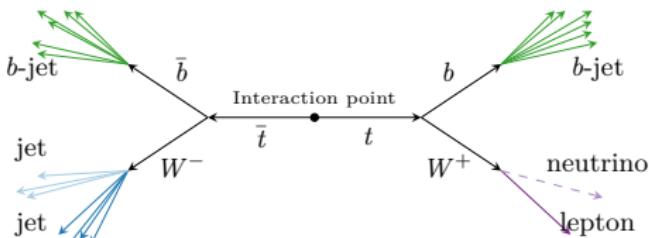
[Link to GitHub](#)



## Constraints:

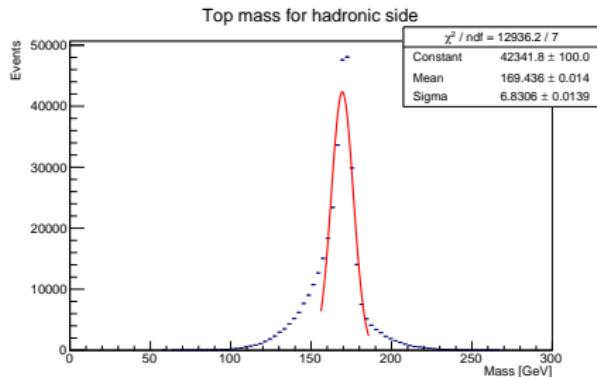
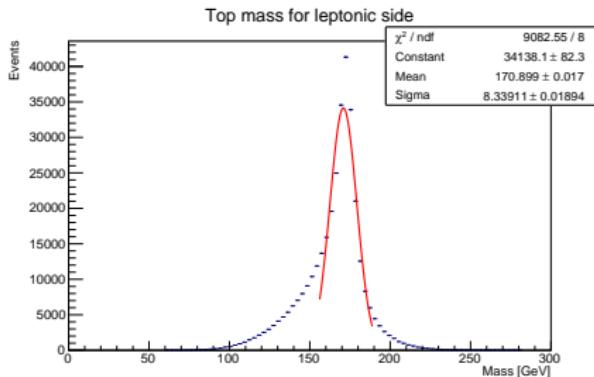
- Energy and momentum conservation

$$\sum \begin{pmatrix} E \\ p_x \\ p_y \\ p_z \end{pmatrix} = \begin{pmatrix} 365 \\ 0 \\ 0 \\ 0 \end{pmatrix} \text{ GeV}$$

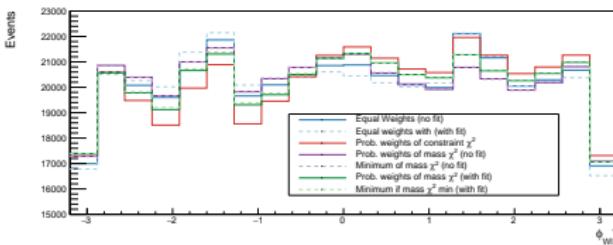
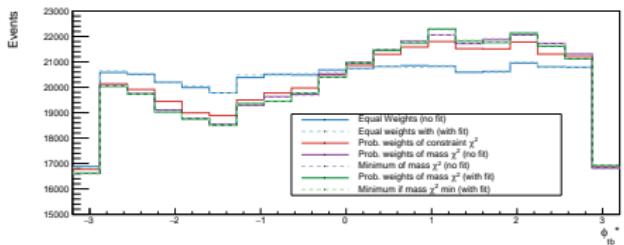
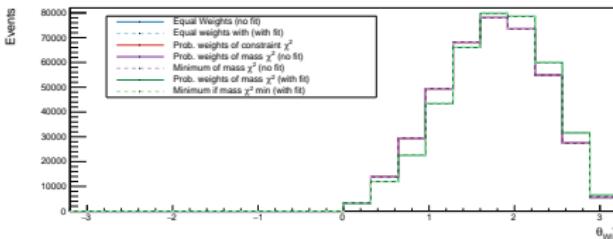
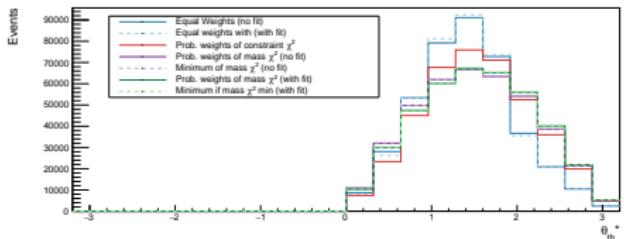
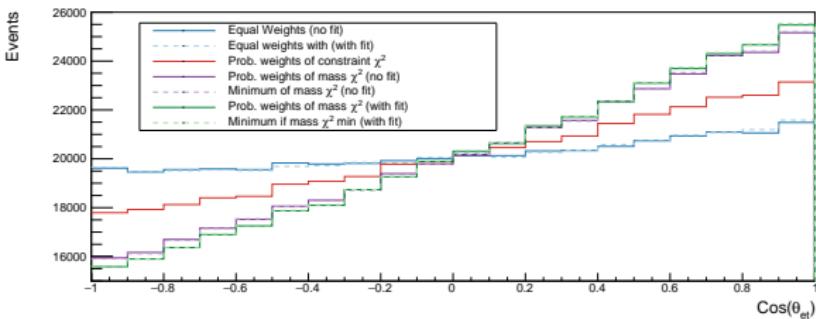


- Mass constraints for the two top systems

$$\mu = 173 \text{ GeV}, \quad \sigma_{\text{lep}} = 10 \text{ GeV} \quad \text{and} \quad \sigma_{\text{had}} = 9 \text{ GeV}$$



# Event Reconstruction





## Parabolic dependence on a single coupling:

$$\begin{aligned} |\mathcal{M}|^2 &= |\mathcal{M}_{SM} + \alpha \mathcal{M}'_{D=6}|^2 \\ &= |\mathcal{M}_{SM}|^2 + \alpha (\mathcal{M}_{SM}^* \mathcal{M}'_{D=6} + \mathcal{M}_{SM} \mathcal{M}'^*_{D=6}) \\ &\quad + \alpha^2 |\mathcal{M}'_{D=6}|^2 \end{aligned}$$

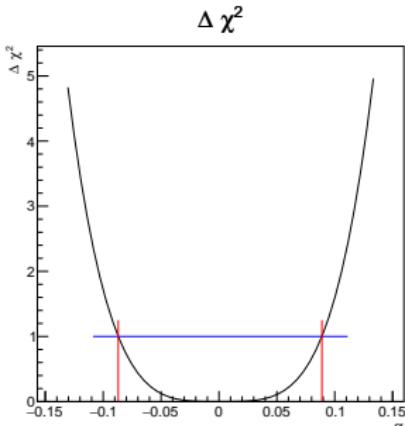
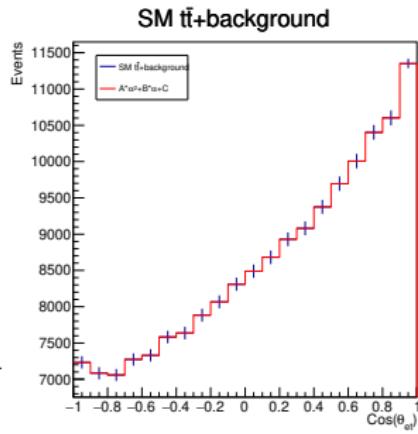
## Constructing the parabola:

$$\begin{aligned} f(\alpha^0) &= C \\ f(\alpha^+) &= A\alpha^2 + B\alpha + C \quad \Rightarrow \quad B = \frac{f(\alpha^+) - f(\alpha^-)}{2\alpha} \\ f(\alpha^-) &= A\alpha^2 - B\alpha + C \\ &C = f(SM) \end{aligned}$$

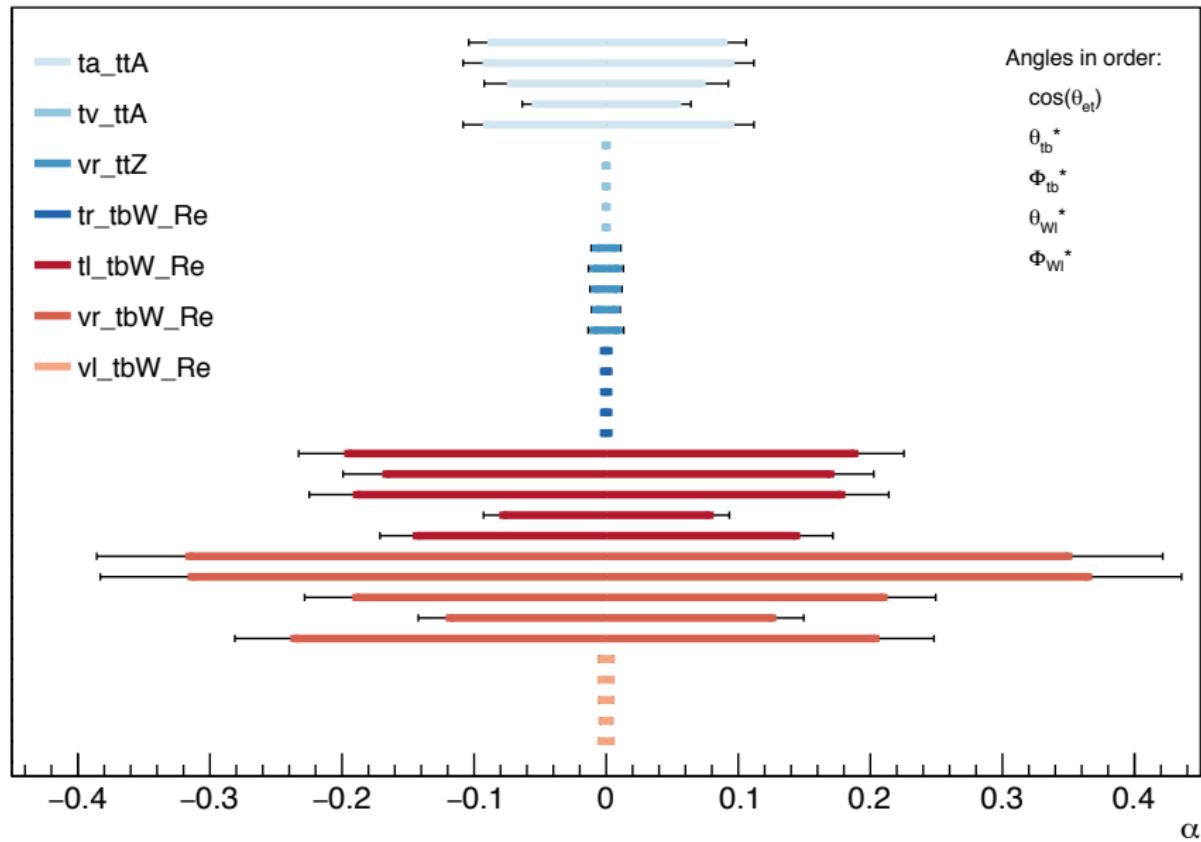
## Fit model:

$$f(x) = A \cdot x^2 + B \cdot x + f(SM) + f(Bkg)$$

$$\chi^2 = \sum_{i=1}^n \frac{(y_i - f(x_i; \alpha))^2}{\sigma_{y_i}^2 + \sigma_{f(x_i; \alpha)}^2}$$



# Gauging the sensitivity





- **Sensitivity to top anomalous couplings** determined from  $1\sigma$  confidence intervals in semileptonic channel for  $t\bar{t}$  @ FCC-ee
- **Event selection** and **event reconstruction** performed
- **Jet studies** shed light on reevaluating jet definitions at future circular colliders
- **Jet Clustering and Jet Tagging tools** included in FCCAnalyses
- **ABCfit++** written as a general package for kinematic fitting



Backup



Plugins {

Jet Algorithms	
$k_t$	<code>clustering_kt</code>
Anti- $k_t$	<code>clustering_antikt</code>
Cambridge/Aachen	<code>clustering_cambridge</code>
Generalised- $k_t$	<code>clustering_genkt</code>
Durham	<code>clustering_ee_kt</code>
Generalised- $k_t$ for $e^+e^-$	<code>clustering_ee_genkt</code>
Valencia	<code>clustering_Valencia</code>
Jade	<code>clustering_Jade</code>

} suitable  
for  $pp$   
collisions

} suitable  
for  $e^+e^-$   
collisions

### Arguments for jet definition:

① Jet cone radius

② Clustering

- 0=inclusive clustering,
- 1=exclusive clustering with dcut,
- 2=exclusive clustering to exactly njets,
- 3=exclusive clustering up to exactly njets,
- 4=exclusive clustering with ycut.

③ Cut-value depending on clustering

④ Ordering of returned jets

- 0=sorted by  $p_t$ ,
- 1=sorted by  $E$ .

⑤ Recombination scheme

+ Additional input parameters specific to jet algorithm

- see JetClustering.h



Recombination Schemes
$E$ -scheme
$p_t$ -scheme
$p_t^2$ -scheme
$E_t$ -scheme
$E_t^2$ -scheme
Boost-invariant $p_t$ -scheme
Boost-invariant $p_t^2$ -scheme
$E0$ -scheme
$p$ -scheme

- FastJet is focused towards hadron colliders and besides the  $E$ -scheme it does not have dedicated schemes for  $e^+ e^-$  collisions.
- $E0$ - and  $p$ -scheme are external recombination schemes

**E-scheme:** Parton  $i$  and  $j$  are replaced by a pseudojet  $k$  with four-momentum

$$\mathbf{p}_k = \mathbf{p}_i + \mathbf{p}_j$$

- Lorentz invariant, energy and momentum conserved, non-zero mass for pseudojet  $k$ .

**E0-scheme:** The four-momentum of pseudojet  $k$  is rescaled to have zero invariant mass

$$E_k = E_i + E_j \quad , \quad \vec{p}_k = \frac{E_k}{|\vec{p}_i + \vec{p}_j|} \cdot (\vec{p}_i + \vec{p}_j)$$

- Not Lorentz invariant, only conserves energy.

**p-scheme:** The four-momentum is constructed to have zero invariant mass

$$\vec{p}_k = \vec{p}_i + \vec{p}_j \quad , \quad E_k = |\vec{p}_k|$$

- Not Lorentz invariant, only conserves momentum.



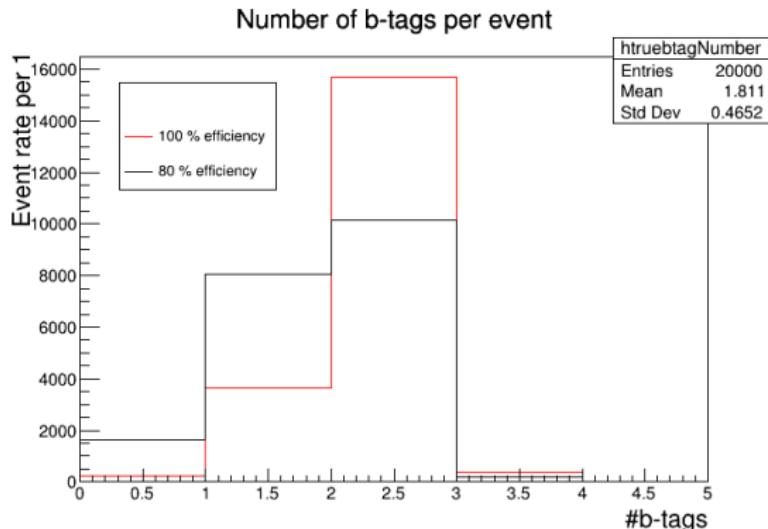
## Jet Tagging Interface

- Jet is matched to parton by  
 $\text{Angle}(\text{jet}, \text{parton}) < 0.3 \text{ rad}$
- Flavour priority:  $b > c >$  light flavour
- Flat efficiency for individual flavours

### FCCAnalyses / JetTagging

> [analyzers/frame/JetTaggingUtils.h](#)

[analyzers/frame/JetTaggingUtils.cc](#)





Selection	Signal/ $10^3$	Background/ $10^3$	$\epsilon_{\text{sig}}$	$\epsilon_{\text{bkg}}$	Signif
Initial	$262.89 \pm 0.24$	29 052	$\pm 22$	1.0	1.0
At least 1 lepton	$246.01 \pm 0.23$	13 565	$\pm 14$	0.94	0.47
Thrust $< 0.85$	$236.07 \pm 0.23$	1599.1	$\pm 4.7$	0.90	0.055
$M(\text{rest}) > 160 \text{ GeV}$	$234.61 \pm 0.23$	676.4	$\pm 2.9$	0.89	0.023
$M(\ell_{HE}, \cancel{E}) > 50 \text{ GeV}$	$181.21 \pm 0.20$	178.3	$\pm 1.5$	0.69	0.0061
$p_{\ell_{HE}} < 100 \text{ GeV}$	$179.72 \pm 0.20$	133.6	$\pm 1.3$	0.68	0.0046
$p_{\ell_{HE}} > 15 \text{ GeV}$	$176.01 \pm 0.20$	117.8	$\pm 1.2$	0.67	0.0041
$p_{\ell_{2ndHE}} < 40 \text{ GeV}$	$175.07 \pm 0.20$	103.5	$\pm 1.1$	0.67	0.0036
Exactly 4 jets	$175.07 \pm 0.20$	93.4	$\pm 1.1$	0.67	0.0032
At least 1 b-tag	$160.62 \pm 0.19$	11.14	$\pm 0.25$	0.61	0.000 38
					387.6 } 15 % impr.

- $\epsilon_{\text{sig}}(e, \mu) = 84 \%$  and  $\epsilon_{\text{sig}}(\tau) = 15 \%$
- Largest background contributions: ZH, ZZ and single top



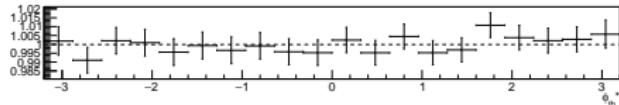
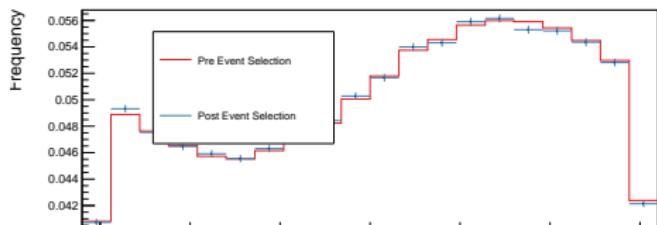
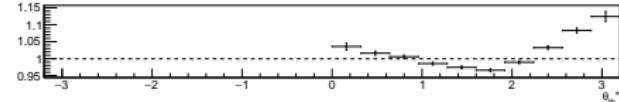
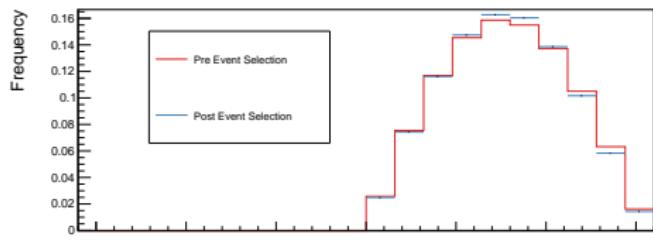
## List of observables

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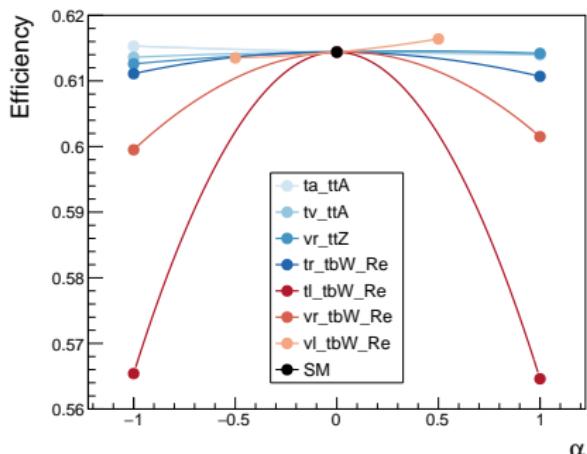
- Highest energy lepton
  - 2nd highest energy lepton
  - Lepton momentum
  - Lepton momentum excluding highest energy lepton
  - Momentum difference between highest and second highest energy lepton
  - Missing momentum
  - Invariant mass of lepton-neutrino pair
  - Invariant mass of 1st and 2nd highest energy leptons
  - Invariant mass of event excluding highest energy lepton
  - Invariant mass of entire event
  - Thrust of event excluding highest energy lepton
  - Thrust of entire event
  - Mass of jet
  - Energy of jet
  - Number of b-tagged jets
  - Significance distribution
  - Minimum of distance measure,  $d_{\min}$
  - Invariant mass of one jet,  $\Delta(m_i - m_W/2)$
  - Invariant mass of di-jet system,  $\Delta(m_{ij} - m_W)$
  - Invariant mass of tri-jet system,  $\Delta(m_{ijk} - m_t)$
  - Invariant mass of lepton-neutrino-jet system,  $\Delta(m_{l,nu,i} - m_t)$
-

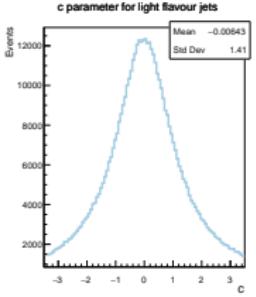
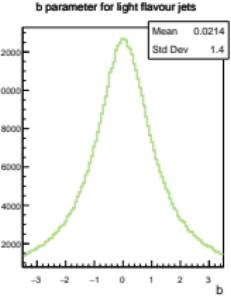
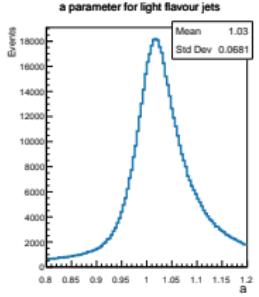
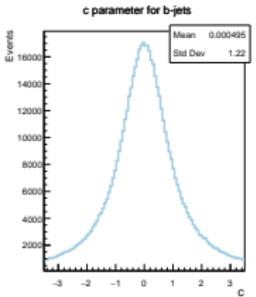
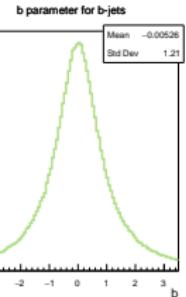
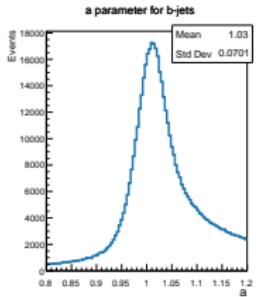
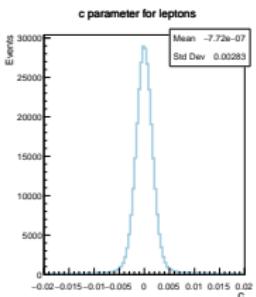
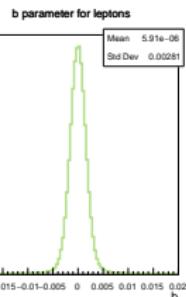
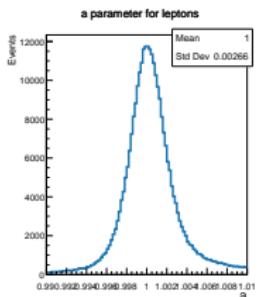


## Angular distributions:



## Total cross section:





Gaussian  
ABC-parameters:

$$\vec{p}_j^r = a_j |\vec{p}_j^m| \vec{p}_j^a + b_j \vec{p}_j^b + c_j \vec{p}_j^c$$

Cartesian unit  
vectors:

$$\vec{p}_j^a = \frac{\vec{p}_j^m}{|\vec{p}_j^m|},$$

$$\vec{p}_j^a \cdot \vec{p}_j^b = 0,$$

$$\vec{p}_j^c = \vec{p}_j^a \times \vec{p}_j^b.$$

$$(a, b, c)_{\text{lepton}} = (1, 0, 0)$$

$$(a, b, c)_{\text{b-jet}} = (1.03, 0, 0)$$

$$(a, b, c)_{\text{lf-jet}} = (1.03, 0, 0)$$



$$\vec{p}_j^r = a_j |\vec{p}_j^m| \vec{p}_j^a + b_j \vec{p}_j^b + c_j \vec{p}_j^c \quad (1)$$

Parameters:  $a_j$ ,  $b_j$  and  $c_j$

Unit vectors forming a Cartesian system:  $\vec{p}_j^a$ ,  $\vec{p}_j^b$  and  $\vec{p}_j^c$

Measured jet momentum:  $\vec{p}_j^m$ ,

$$\vec{p}_j^a = \frac{\vec{p}_j^m}{|\vec{p}_j^m|},$$

$$\vec{p}_j^b = \frac{1}{\sqrt{p_{x,m}^2 + p_{y,m}^2}} (p_y^m, -p_x^m, 0),$$

$$\vec{p}_j^c = \frac{1}{\sqrt{|\vec{p}_j^m|^2(p_{x,m}^2 + p_{y,m}^2)}} (-p_x^m p_z^m, -p_y^m p_z^m, p_{x,m}^2 + p_{y,m}^2).$$