

CMS highlights at DESY



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HELMHOLTZ RESEARCH FOR GRAND CHALLENGES

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We dedicate this talk to

Nicolas Tonon

1993-2021





The CMS group at DESY has a very broad scope of activities.







This talk will highlight only a subset of several studies/results:

- BRIL and Luminosity measurement (Run-3).
- Detector upgrade (Phase-2):
 - High Granularity Calorimeter (HGCAL).
 - Outer Tracker.
- Physics analyses (Run-2):
 - Simultaneous constraints on QCD and BSM.
 - Insight into the structure of higher-order corrections.
 - Azimuthal correlations in Z+jet events.
 - Probing t-Z couplings with EFT and ML.
 - tZq production in final states with 3 leptons.





BRIL and Luminosity



CCMS

- Rebuilt for Run3, using cooled silicon sensors.
- Succesfully installed in July: [CERN bulletin], [youtube]
- Proven working immediately and stably during test beams 18-31 October.





BCM1F "C-shape" Assembly and testing at DESY



May/June 2021: Integration with Pixel Luminosity Telescope (at CERN)



CMS Online Luminosity Measurement BCM1F: Stable Operation during Test Beams End of October



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- 1st week: splash events
- 2nd week: collisions, 900 GeV, overnight
- BCM1F delivers realtime luminosity to CMS and LHC, ~2×10²⁸ cm⁻²s⁻¹.







- Stable collisions.
- Tracker, ECAL, HCAL, and BRIL are performing well.
- The CMS detector is ready for Run-3.





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Phase-2 Upgrade: Overview







- Instantaneous luminosity will increase by a factor of 5-7 (up to 7.5x10³⁴ cm⁻²s⁻¹).
- Pileup will increase to ~200.
- High particle multiplicity and radiation levels (among other things) dictate the detector designs.
- **DESY** involved in:
 - High granularity calorimeter (**HGCAL**).
 - Outer Tracker (DESY will build one OT endcap).





Phase-2 Upgrade: High Granularity Calorimeter





High Granularity Calorimeter (HGCAL)

- Offspring of future-collider targeted R&D (CALICE).
- SiPM-on-Tile technology where radiation permits.
- 400 m² scintillator, 240K SiPMs.
- 600 m² silicon, 6M channels.

DESY Contribution (FTX Group)

- Development of active Tilemodules.
 - Board-level electronics and automated assembly techniques.
- Tilemodule Assembly Centre.
 - Production and QC of Tilemodules for one endcap.







Tile wrapping and module assembly procedure:

- Now fully automated, wrapping 4 tiles per minute.
- Gluing with pick & place: 1 module in < 10minutes.
- Procedure videos: [link1], [link2]
- Scaling to other formats and large series still to be done.
- New climate chamber in e-Lab.
 - Operation and characterization of Tilemodules at -30 °C.
 - Second chamber for production QC (thermocycling) ordered.
- Setting up Quality Control.
 - Scintillator tile light output QC set-up commissioned.
 - Cosmic test stand for full modules in preparation.
- Pre-series in 2022, pre-production in 2023.



1500

13

3500

4000 QDC channel





Phase-2 Upgrade: Outer Tracker





PS Module Automated Assembly





- p_T discrimination on module requires sensor to be precisely aligned during assembly.
 - Rotational misalignment below 800 µrad.
- Sensor sandwich is assembled on a robotic stage.
 - Relative alignment of object is measured with microscope camera and pattern recognition



PS Module Prototyping



Built two fully functional modules within specifications.

- 2.6 mm sensor spacing:
 - x=10µm (≤50µm)
 - y=53µm (≤100µm)
 - α =17 μ rad (\leq 800 μ rad)
- 4.0 mm sensor spacing:
 - x=6µm (≤50µm)
 - y=66µm (≤100µm)
 - α =200 μ rad (\leq 800 μ rad)
- Progress in debugging and setting up DAQ and test software.
- Preparing for data taking in beam mid-November.



First 4.0 mm PS Sensor Sandwich assembled at DESY

2.6mm module mounted into test box



Test box in beam telescope



PS-2.6mm noise @300V



- One of the first functional modules built within the collaboration.
- Module equipped with some preliminary components.
- Some hardware patches required with respect to final versions of electronics.
- Successful communication with the different components.
- Noise measurement at 300 V bias.
- Higher noise is observed on left Front End Hybrid (FEH) Strip Sensor ASIC (SSA) and towards the DC-DC converters.





TEDD Integration Tooling – Arc Frame



- Local support structure in end caps is a half-disk (Dee).
- Modules are as close as ~1.3 mm to the Dee edges.
 - Modules are offset in phi angle between front and back side of Dees to create overlaps.
- Dee with modules cannot be manually handled.
- Dedicated holding structure Arc frame was designed for handling of Dees.
- Dee will rest in its own Arc frame up to almost the last integration step.
- Design has to be compatible with all integration steps.
- Substantial progress in the past months design very close to final.





Infrared measurement of TEDD Dees Setup overview



- Important for thermal qualifications of the TEDD Dees - for testing and QC only.
- The Dee is mounted on an arc frame with a cooling manifold.
- The sectors are cooled simultaneously with a conventional cooling fluid.
- Infrared (IR) camera:
 - Mounted on motorized stages.











- Automated extraction of features (PS c-foam temp. profiles, 2S insert temp.) from images.
- Impact of the defects on the cooling performance is being studied.
- Important to establish QC procedure ongoing.





Physics analyses



Simultaneous constraints on QCD and BSM



SMEFT interpretation of the inclusive jet production in pp collisions at 13 TeV.

SMEFT: Standard Model enhanced Effective Field Theory.





Insight into the structure of higher-order corrections



Data compared to MG5_atMC NLO predictions interfaced with Pythia8 and CASCADE3

- For the first time measured jet multiplicity in bins of the leading jet p_T & azimuthal angle between leading jets $\Delta \phi_{1,2}$
- Up to seven jets are measurable.
- Cross section of the four leading jets measured up to the TeV scale:
 - Benchmark for Standard Model multi-jet cross section calculations
 - Test simulations including parton showers for higher jet multiplicity



- Measurements compared to LO (MadGraph, Pythia8, Herwig++)
- Comparison to NLO (MADGRAPH5_MC@NLO) with Pythia8 and CASCADE3 predictions
- For high jet multiplicities the lack of higher order contributions can be observed



Azimuthal correlations in Z+jets events



Particular interest in Parton Branching (PB) predictions

- Small p_T(Z): soft-gluon resummation and non-perturbative contributions essential
- High p_T(Z): Z+jet production dominant, significant corrections from QCD processes





- Z + jet measurements challenge theoretical predictions
- Good agreement achieved incl. contr. of multiparton interactions, parton shower, PB



iq 10³

SR-tīZ

Events /

10

0.5

1.5

1-001, arXiv:2107.1389

sub. to JHEP)

<u>Data</u> Pred.

SM+EFT SM

CMS ØUnc. Data Zq

 $(C_{\star\tau}/\Lambda^2, C_{tw}/\Lambda^2, C_{roc}^3/\Lambda^2)$ [TeV⁻²]

-(0.5,0.5,1) -(1,1,3) -ttZ--Total pred

5 6

Probing t-Z couplings with EFT and ML

138 fb⁻¹ (13 TeV)

tWZ tīZ

NN-5D-tZq output

Te<

 C_{tW}/Λ^2

t(ī)X WZ VV(V) Xγ NPL

(0.5,0.5,1) - (1,1,3) - tZg - - Total pred.

 $8 = (C_{1,7} / \Lambda^2, C_{1,W} / \Lambda^2, C_{0,0}^3 / \Lambda^2) [TeV^2]$



- t-Z coupling modified by various BSM scenarios.
- **Novel approach:** constrain several t-Z EFT operators in a simultaneous analysis of ttZ, tWZ, & tZq events:
 - Consider up to 5 operators simultaneously
 - Pioneer use of Deep Learning techniques •

138 fb⁻¹ (13 TeV)

tWZ tīZ

t(Ī)X WZ VV(V) Xγ NPL

W////

8 9

NN-5D-ttZ output

Events / bin 10³

 10^{3}

10

0.5

Data Pred.

SM+EFT SM

SR-tZa

CMS ØUnc. Data Zq





tZq production in final states with 3 leptons



Rare process, connects top with EWK sector

- EWK production \rightarrow polarized top
- Sensitive to many EFT operators



- First ever tZq differential measurements of top & Z observables, top-Z system, leptons
- First ever measurement of top spin asymmetry (A_{i}) in tZq (proportional to polarization)







Summary





Presented some of the highlights from the DESY-CMS group.

- Refurbished BCM1F successfully installed and performing stably.
 CMS is ready for Run-3.
- Significant progress in Phase-2 upgrade activities:
 - HGCAL: tile wrapping, module assembly and QC.
 - Outer Tracker: PS module assembly and prototyping; TEDD integration tooling and infrared measurements.
- Recent physics analysis results:
 - Simultaneous constraints on QCD and BSM.
 - Insight into the structure of higher-order corrections.
 - Azimuthal correlations in Z+jet events.
 - Probing t-Z couplings with EFT and ML.
 - tZq production in final states with 3 leptons.
- Many more exciting activities for Run-2, Run-3 and Phase-2 are ongoing.







Backup





SSA Front-End Hybrid (FEH)

- Strip Sensor ASIC (SSA)
- Concentrator Integrated Circuit (CIC)
- Handles signal from strip sensor
- Transfers data to pixel chip

Power Hybrid (POH).

- DC-DC converter
- Used for module power

HV tail -

AIN spacers -

Carbon Foam (CF) baseplate

Read-Out Hybrid (ROH)

- Low-power Gigabit Transceiver (lpGBT)
- VTRx+ optical module
- Transmits data over optical fibre

Silicon Strip Sensor

- 10 x 5 cm Silicon Strip Sensor
- 2.5 cm long strips, 100 um pitch

MAcro Pixel Sub Assembly (MaPSA)

- Macro Pixel ASIC (MPA)
- Macro Pixel Silicon sensor 1400 x 100 um
- Correlates signal from both sensors







DESY26_2 Noise @300V



Measurement and QCD analysis of double-differential inclusive jet cross sections



- CMS-PAS-SMP-20-011: [link]
- Jet production cross section can be sensitive to contributions from new physics.



- Contact interactions (CI) can appear as deviations from SM at low rapidity (y) and high $p_{\tau}.$
- However, such deviations can get absorbed into the parton density function (PDF) fits.
- To avoid this: fit the PDF and CI couplings simultaneously.



Measurement and QCD analysis of double-differential inclusive jet cross sections Analysis strategy and results [1]



- Impact of the CMS data on global PDF sets:
 - **Profiling** procedure.
 - Extract the nuisance param. values that minimize X² : b^{th(min)}
 - PDF profiling performed using: CT14 NLO and NNLO PDF sets.
 - Gluon PDF uncertainty is significantly reduced.
 - Impact on α_s using CMS inclusive jet xsec: Consistent with global avg.
 - Can also profile non-PDF quantities like: top mass (m_t), CI Wilson coeff. (c_1).
 - $m_t = 170.3 \pm 0.5$ (fit) + 0.2(scale) GeV Consistent with CMS-TOP-18-004.
 - CI Wilson coeff. (c₁) values consistent with SM.
- [not shown] Impact at NLO based on HERA DIS (Deep Inelastic Scattering) measurements.
 - HERA+CMS PDF uncertainties significantly reduced compared to HERA-only.





Measurement and QCD analysis of double-differential inclusive jet cross sections Analysis strategy and results [2]



• SMEFT interpretation at NLO:

- Simultaneous extraction of PDFs, α_s , m_t , and the CI Wilson coefficient c_1 .
- Perform (i) SM only fit (ii) SMEFT fit.
 - Resulting PDFs with both fits are consistent.
 - α_s and m_t values for both fits are consistent.
- SMEFT fit:
 - Smaller uncertainties w.r.t. the profiling method.
 - c₁ values are consistent with SM.

SM fit $\alpha_{\rm S} = 0.1188 \pm 0.0017$ (fit) ± 0.0022 (model and param.) $m_{\rm t}^{\rm pole} = 170.4 \pm 0.6$ (fit) ± 0.1 (model and param.) GeV.

SMEFT fit $\alpha_{\rm S} = 0.1187 \pm 0.0016 ({\rm fit}) \pm 0.0030 ({\rm model \ and \ param.})$ $m_{\rm t}^{\rm pole} = 170.4 \pm 0.6 ({\rm fit}) \pm 0.3 ({\rm model \ and \ param.}) \,{\rm GeV}.$

CMS Preliminary





Probing EFT operators in the associated production of top quarks with a Z boson



- CMS-TOP-21-001: arXiv 2107.13896 (submitted to JHEP)
- Featured in the CERN Courier (Sep/Oct 2021): Learning to detect new top-quark interactions
- No BSM particles observed at the LHC: possible that E_{BSM}>>E_{LHC}.
- Effective Field Theory (EFT) low energy approximation of a theory characterized by a large energy scale (Λ).
- EFT allows for a model independent interpretation of potential deviations from the SM prediction.
- Several BSM theories predict sizable modification of the t-t-Z coupling.
- This coupling can be probed using ttZ, tZq, and tWZ processes.
- Use novel Machine Learning techniques to improve sensitivity to the Wilson coefficients (WCs).





Higher-order operators



Focus on a subset of 5 operators:

- O_{tz} , O_{tw} : induce electroweak dipole moment of the top quark.
- $O_{\sigma_{QQ}}^{3}$: left-handed SU(2) triplet current operator.
- $O_{-\phi Q}^{-}$, $O_{\phi t}^{-}$: neutral current operators that modify the ttZ coupling for left and right handed top quarks, respectively.

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Probing EFT operators in the associated production of top quarks with a Z boson Analysis strategy



- Search in 3 lepton (3l) and 4 lepton (4l) final states.
- Main genuine lepton bkg. from WZ and ZZ processes: define control regions (CRs) to use in the fit.
- Data-driven estimation of the mis-ID leptons -Invert lepton isolation/ID.
- Train 2 neural networks (NNs):
 - NN-SM: separate tZq and ttZ signal from backgrounds (WZ, ZZ, ttX, VVV).
 - Each category is quite pure.
 - NN-EFT (binary): separate between SM hypothesis and EFT.
 - 8 NNs trained, targeting different signal scenarios.
 - Sampled uniformly over a range of the WC values – NN learns to interpolate between WC values.
 - Last bins highly sensitive to EFT.

Selection requirement	SR-3 ℓ	SR-tīZ-4 ℓ	WZ CR	ZZ CR
Lepton multiplicity	=3	=4	=3	=4
$m_{3\ell} - m_Z$	_	_	> 15 GeV	_
Z boson candidates multiplicity	=1	=1	=1	=2
Jet multiplicity	≥ 2	≥ 2	—	_
b jet multiplicity	≥ 1	≥ 1	=0	_
$p_{\mathrm{T}}^{\mathrm{miss}}$	_	_	> 50 GeV	_





Probing EFT operators in the associated production of top quarks with a Z boson Results



- Results are extracted from a simultaneous fit to data in 6 categories (4 signal and 2 bkg).
- 1D fit: Values of other WCs set to the SM value of 0.
- 5D fit: All WCs fitted simulataneously.
- 2D scans for the most correlated WCs.
- Results are consistent SM expectations.
- First application of NNs for a global fit of EFT.

С_{tw}/Л² [ТеV⁻²]

0.5

-0.5

 Some of the limits (e.g. c_{tw}) are the best experimental measurements till date.





Azimuthal correlations in Z+jets events



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- CMS-PAS-SMP-21-003: [link]
- Z bosons are a standard candle at the LHC: can be measured very precisely in the leptonic channel.
- OCD corrections play an important role at non-zero $p_{\tau}(Z)$.
- At small $p_{T}(Z)$:
 - let production is the dominant process.
 - The Z boson appears as an electroweak (EW) correction
 - Soft gluon radiation is important.
- At high $p_{\tau}(Z)$:
 - Z+1jet production is the dominant process.
 - OCD contribution to this process can be measured via associated jet production.
- Particularly interesting for the newly developed Parton Branching (PB) transverse momentum dependent (TMD) parton densities. Advantage: PB-TMD parton shower parameters fixed by PB-TMD parton densities.
- Compare measurements with different calculations.

Fixed-order perturbative	generator	PDF	matrix element	tune
	MG5_AMC+PY8 ($\leq 2j$ NLO) [33]	NNPDF 3.0 (NLO) [37]	NLO $(2 \rightarrow Z + 0, 1, 2)$	CUETP8M1 [35]
With PB TMD	→ MG5AMC+CA3 (Z + 1) NLO [33]	PB-NLO-set2 (NLO) [19]	NLO $(2 \rightarrow Z + 1)$	-
	→ MG5AMC+CA3 (Z + 2) NLO [33]	PB-NLO-set2 (NLO) [19]	NLO $(2 \rightarrow Z + 2)$	_
	GENEVA NNLO [21–24]	NNPDF 3.1 (NLO) [39]	NNLO $(2 \rightarrow Z)$	CUETP8M1

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- Select events with either 2 opposite sign electrons or muons.
- Veto events with any extra lepton.
- Electrons/muons required to satisfy certain isolation/identification criteria.
- Measure the following in different p_T(Z) bins: n_{jet}, Δφ(Z, jet₁), Δφ(jet₁, jet₂).
 φ: azimuthal angle; jet₁/jet₂: jets with the highest/second-highest p_T.
- Unfold the distributions using iterative D'Agostini method:
 - Corrects for detector effects: reconstructed (measured) x-sec \rightarrow Particle level x-sec.





Azimuthal correlations in Z+jets events Results



- Upper row colors:
 - MG5_aMC+PY8
 - MG5_aMC+PY8 [no multiparton interactions (MPI)]
- Lower row colors:
 - MG5_aMC+CA3 (Z+1)
 - MG5_aMC+CA3 (Z+2)
 - GENEVA NNLO
- MPI contributes significantly in the low p_T(Z) regions.
 MPI becomes negligible for p_T(Z)>100 GeV.
- MG5_aMC+PY8 describes the measurements within scale uncertainties.
- MG5_aMC+CA3 (PB TMD) remarkably close to measurement.
 N.B. No MPI and and free parameters for parton shower here.

