BLACK HOLES BEYOND GR

ENRICO TRINCHERINI (SCUOLA NORMALE SUPERIORE)





Creminelli, Nicolis, ET 2010

What is a black hole?



What is a black hole?





Mass, Spin

What is a black hole?



Quasi Normal Modes (QNM)



Mass, Spin

Spectrum of characteristic (complex) frequencies



Inspiral



Merger



Ringdown

Exponentially damped sinusoidal waves: Quasi Normal Modes (QNM)

Spectrum of characteristic (complex) frequencies ω_{nlm}



n	$2M_{\bullet}\omega \left(L=2\right)$	$2M_{\bullet}\omega \ (L=3)$	$2M_{\bullet}\omega \ (L=4)$
0	0.747 343 + 0.177 925i	1.198 887 + 0.185 406i	1.618 36 + 0.188 32i
1	0.693 422 + 0.547 830i	1.165 288 + 0.562 596i	1.593 26 + 0.568 86i
2	0.602 107 + 0.956 554i	1.103 370 + 0.958 186i	1.545 42 + 0.959 82i
3	0.503 010 + 1.410 296i	1.023 924 + 1.380 674i	1.479 68 + 1.367 84i

Isospectrality

Black Hole Perturbations



Exponentially damped sinusoidal waves: Quasi Normal Modes (QNM)

Spectrum of characteristic (complex) frequencies ω_{nlm}



n	$2M_{\bullet}\omega \left(L=2\right)$	$2M_{\bullet}\omega \ (L=3)$	$2M_{\bullet}\omega$ ($L = 4$)
0	0.747 343 + 0.177 925i	1.198 887 + 0.185 406i	1.618 36 + 0.188 32i
1	0.693 422 + 0.547 830i	1.165 288 + 0.562 596i	1.593 26 + 0.568 86i
2	0.602 107 + 0.956 554i	1.103 370 + 0.958 186i	1.545 42 + 0.959 82i
3	0.503 010 + 1.410 296i	1.023 924 + 1.380 674i	1.479 68 + 1.367 84i

Isospectrality



Exponentially damped sinusoidal waves: Quasi Normal Modes (QNM)

Spectrum of characteristic (complex) frequencies ω_{nlm}



n	$2M_{\bullet}\omega \left(L=2\right)$	$2M_{\bullet}\omega \ (L=3)$	$2M_{\bullet}\omega$ ($L=4$)
0	0.747 343 + 0.177 925i	1.198 887 + 0.185 406i	1.618 36 + 0.188 32i
1	0.693 422 + 0.547 830i	1.165 288 + 0.562 596i	1.593 26 + 0.568 86i
2	0.602 107 + 0.956 554i	1.103 370 + 0.958 186i	1.545 42 + 0.959 82i
3	0.503 010 + 1.410 296i	1.023 924 + 1.380 674i	1.479 68 + 1.367 84i

Isospectrality

What is a black hole?



Quasi Normal Modes (QNM)



Mass, Spin

Spectrum of characteristic (complex) frequencies

n	$2M_{\bullet}\omega \left(L=2\right)$	$2M_{\bullet}\omega \ (L=3)$	$2M_{\bullet}\omega$ ($L = 4$)
0	0.747 343 + 0.177 925i	1.198 887 + 0.185 406i	1.618 36 + 0.188 32i
1	0.693 422 + 0.547 830i	1.165 288 + 0.562 596i	1.593 26 + 0.568 86i
2	0.602 107 + 0.956 554i	1.103 370 + 0.958 186i	1.545 42 + 0.959 82i
3	0.503 010 + 1.410 296i	1.023 924 + 1.380 674i	1.479 68 + 1.367 84i

What is a black hole?



Quasi Normal Modes (QNM)

BH response to an external field



Mass, Spin

Spectrum of characteristic (complex) frequencies

n	$2M_{\bullet}\omega \left(L=2\right)$	$2M_{\bullet}\omega \ (L=3)$	$2M_{\bullet}\omega$ ($L=4$)
0	0.747 343 + 0.177 925i	1.198 887 + 0.185 406i	1.618 36 + 0.188 32i
1	0.693 422 + 0.547 830i	1.165 288 + 0.562 596i	1.593 26 + 0.568 86i
2	0.602 107 + 0.956 554i	1.103 370 + 0.958 186i	1.545 42 + 0.959 82i
3	0.503 010 + 1.410 296i	1.023 924 + 1.380 674i	1.479 68 + 1.367 84i

Love numbers

What is a black hole?



Quasi Normal Modes (QNM)

BH response to an external field

Mass, Spin

Spectrum of characteristic (complex) frequencies

n	$2M_{\bullet}\omega \left(L=2\right)$	$2M_{\bullet}\omega \ (L=3)$	$2M_{\bullet}\omega \ (L=4)$
0	0.747 343 + 0.177 925i	1.198 887 + 0.185 406i	1.618 36 + 0.188 32i
1	0.693 422 + 0.547 830i	1.165 288 + 0.562 596i	1.593 26 + 0.568 86i
2	0.602 107 + 0.956 554i	1.103 370 + 0.958 186i	1.545 42 + 0.959 82i
3	0.503 010 + 1.410 296i	1.023 924 + 1.380 674i	1.479 68 + 1.367 84i

Love numbers

Hypothesis: deviations observable by LIGO/Virgo, ...



(1) New states heavier than the BH curvature

(1) New states heavier than the BH curvature

$$S = M_{\rm Pl}^2 \int d^4x \sqrt{-g} \left(R + c_3 \frac{R_{\mu\nu\rho\sigma}^3}{\Lambda^4} + c_4 \frac{R_{\mu\nu\rho\sigma}^4}{\Lambda^6} + \dots \right)$$

Endlich, Gorbenko, Huang, Senatore 2017

Observable effects $\Rightarrow \Lambda \sim \mathrm{km}^{-1}$





GR + photon



GR + photon

Geometry

Mass, Spin, Charge

Geometry

Quasi Normal Modes (QNM)



GR + photon

Mass, Spin, Charge

Spectrum of characteristic (complex) frequencies

n	$2M_{\bullet}\omega \left(L=2\right)$	$2M_{\bullet}\omega \ (L=3)$	$2M_{\bullet}\omega$ (<i>L</i> = 4)
0	0.747 343 + 0.177 925i	1.198 887 + 0.185 406i	1.618 36 + 0.188 32i
1	0.693 422 + 0.547 830i	1.165 288 + 0.562 596i	1.593 26 + 0.568 86i
2	0.602 107 + 0.956 554i	1.103 370 + 0.958 186i	1.545 42 + 0.959 82i
3	0.503 010 + 1.410 296i	1.023 924 + 1.380 674i	1.479 68 + 1.367 84i

GR + (shift-symmetric) scalar field not coupled directly to matter

GR + (shift-symmetric) scalar field not coupled directly to matter

If the field has zero background \Rightarrow QNM are the same as GR + extra spectrum

GR + (shift-symmetric) scalar field not coupled directly to matter

If the field has zero background \Rightarrow QNM are the same as GR + extra spectrum

No-Hair Theorem

Hui, Nicolis 2012

EOM
$$\nabla_{\mu}J^{\mu} = 0$$
 $ds^{2} = -f(r)dt^{2} + \frac{1}{f(r)}dr^{2} + \rho^{2}(r)d\Omega^{2}$

Assuming spherically symmetric, time-independent solutions only $J^r \neq 0$

 $J^{\mu}J_{\mu} = (J^{r})^{2}/f$ should be regular at the horizon $\implies J^{r} = 0$ at the horizon

using the conservation of the current $\implies J^r(r) = 0$

One last step to conclude that a vanishing current implies a constant scalar

If the dependence on the scalar in the Lagrangian starts quadratically then

 $J^r = \phi' F[\phi', g, g']$ with a regular function FF asymptotes to a constant at infinity

Then $\phi'(r) = 0$

An interesting exception

Sotiriou, Zhou 2013

$$S = \int d^4x \sqrt{-g} \left(\frac{M_{\rm Pl}^2}{2} R - \frac{1}{2} (\partial \phi)^2 + \alpha M_{\rm Pl} \phi \mathcal{R}_{\rm GB}^2 + \dots \right)$$

The Gauss-Bonnet invariant is a total derivative

The linear coupling gives a ϕ -independent contribution to the scalar EOM

 $\phi'(r)=0$ is no longer a solution

An interesting exception

Sotiriou, Zhou 2013

$$S = \int d^4x \sqrt{-g} \left(\frac{M_{\rm Pl}^2}{2} R - \frac{1}{2} (\partial \phi)^2 + \alpha M_{\rm Pl} \phi \mathcal{R}_{\rm GB}^2 + \dots \right)$$

The Gauss-Bonnet invariant is a total derivative

The linear coupling gives a ϕ -independent contribution to the scalar EOM

 $\phi'(r)=0$ is no longer a solution

 $J_{\rm GB}^2\,$ diverges at the horizon $$\rm Babichev, Charmousis, Lehébel\,~2017$

 $J_{\rm GB}^2$ is not a scalar operator, $J_{\rm GB}^{\mu}$ not covariant under diffs Its value depends on the coordinates, the divergence is immaterial All scalar quantities built with the metric and ϕ are bounded on the BH

Creminelli, Loayza, Serra, ET, Trombetta 2020

$$S = \int d^4x \sqrt{-g} \left(\frac{M_{\rm Pl}^2}{2} R - \frac{1}{2} (\partial \phi)^2 + \alpha M_{\rm Pl} \phi \mathcal{R}_{\rm GB}^2 + \dots \right) \qquad \begin{array}{c} 10^{38} \frac{1}{\rm Km} & M_{\rm Pl} \\ & M_{\rm Pl} \\ & \alpha \sim r_s^2 \quad \text{observable effects} \\ \frac{\phi \, \partial^2 h \, \partial^2 h}{\Lambda_{\alpha}^3} \qquad \Lambda_{\alpha} \equiv \left(\frac{M_{\rm Pl}}{\alpha} \right)^{1/3} \\ & 10^{12} \frac{1}{\rm km} \\ & \Lambda_{\alpha} \end{array}$$
Such an EFT is constrained by causality
E. Serra, J. Serra, ET, L. Trombetta, *in progress*

Causality Constraints from 3-point interactions

Consider higher derivative corrections to the graviton 3-point coupling

Camanho, Edelstein, Maldacena, Zhiboedov, 2014 Huber, Brandhuber, De Angelis, Travaglini, 2020

$$S = M_{\rm Pl}^2 \int d^4x \sqrt{-g} \left(R + \alpha_4 R_{\mu\nu\rho\sigma}^3 \right) \qquad \alpha_4 = [L]^4$$

Causality Constraints from 3-point interactions

Consider higher derivative corrections to the graviton 3-point coupling

Camanho, Edelstein, Maldacena, Zhiboedov, 2014 Huber, Brandhuber, De Angelis, Travaglini, 2020

$$S = M_{\rm Pl}^2 \int d^4x \sqrt{-g} \left(R + \alpha_4 R_{\mu\nu\rho\sigma}^3 \right) \qquad \alpha_4 = [L]^4$$

Small angle scattering of a graviton off a massive particle $m\gg\omega\gg|ec{q}|$ Eikonal limit

It experiences a time delay

Both helicities contribute: eikonal phase \longrightarrow eikonal phase matrix

$$\delta t \propto 4 \frac{m}{M_{\rm Pl}^2} \Big[\log \frac{b_0}{b} \pm \frac{\alpha_4}{b^4} \Big]$$
 observable while the computation is under control

Time delay becomes a time advance when $b \sim (\alpha_4)^{1/4}$

$$S = \int d^4x \sqrt{-g} \left(\frac{M_{\rm Pl}^2}{2} R - \frac{1}{2} (\partial \phi)^2 + \alpha M_{\rm Pl} \phi \mathcal{R}_{\rm GB}^2 + \dots \right) \qquad \begin{array}{c} 10^{38} \frac{1}{\rm Km} & M_{\rm Pl} \\ & \alpha \sim r_s^2 & \text{observable effects} \\ \frac{\phi \, \partial^2 h \, \partial^2 h}{\Lambda_{\alpha}^3} & \Lambda_{\alpha} \equiv \left(\frac{M_{\rm Pl}}{\alpha} \right)^{1/3} & 10^{12} \frac{1}{\rm km} & \Lambda_{\alpha} \\ & \text{Such an EFT is constrained by causality} \\ & \text{F. Serra, J. Serra, ET, L. Trombetta, in progress} \end{array}$$

Time advance when $b \sim \sqrt{\alpha}$

$$\begin{split} S &= \int d^4x \sqrt{-g} \Big(\frac{M_{\rm Pl}^2}{2} R - \frac{1}{2} (\partial \phi)^2 + \alpha M_{\rm Pl} \phi \mathcal{R}_{\rm GB}^2 + \dots \Big) & \overset{10^{38} \frac{1}{\rm Km}}{} & M_{\rm Pl} \\ \alpha &\sim r_s^2 \quad \text{observable effects} \\ \frac{\phi \, \partial^2 h \, \partial^2 h}{\Lambda_{\alpha}^3} & \Lambda_{\alpha} &\equiv \Big(\frac{M_{\rm Pl}}{\alpha} \Big)^{1/3} & & \\ & \text{Such an EFT is constrained by causality} \\ & \text{E. Serra, J. Serra, ET, L. Trombetta, in progress} \\ \end{split}$$

 $\frac{1}{\mathrm{km}} + \Lambda$

$$\begin{split} S &= \int d^4x \sqrt{-g} \left(\frac{M_{\rm Pl}^2}{2} R - \frac{1}{2} (\partial \phi)^2 + \alpha M_{\rm Pl} \phi \mathcal{R}_{\rm GB}^2 + \dots \right) & \qquad 10^{38} \frac{1}{\rm Km} \uparrow^{M_{\rm Pl}} \\ \alpha &\sim r_s^2 \quad \text{observable effects} \\ \frac{\phi \partial^2 h \partial^2 h}{\Lambda_{\alpha}^3} & \Lambda_{\alpha} \equiv \left(\frac{M_{\rm Pl}}{\alpha} \right)^{1/3} & \qquad 10^{12} \frac{1}{\rm km} \uparrow^{M_{\rm Pl}} \\ & \text{Such an EFT is constrained by causality} \\ & \text{ESerra, J. Serra, ET, L. Trombetta, in progress} \\ \text{Time advance when } b &\sim \sqrt{\alpha} \\ M_{\rm Pl}^2 R + \frac{\Lambda^4}{g^2} \left[\hat{\mathcal{L}}^{(0)} \left(\frac{\partial}{\Lambda}, \frac{R}{\Lambda^2}, \frac{g\phi}{\Lambda} \right) + \frac{g^2}{16\pi^2} \hat{\mathcal{L}}^{(1)} \left(\frac{\partial}{\Lambda}, \frac{R}{\Lambda^2}, \frac{g\phi}{\Lambda} \right) + \dots \right] & \stackrel{1}{\rm km} \uparrow^{\Lambda} \\ g &\sim \frac{\Lambda}{M_{\rm Pl}} \end{split}$$

So far in flat space but there can be different boundary conditions (ex: ULDM)

Usually studied on a model by model basis: a more systematic approach can be useful

New generation & space-based detectors will enable "black hole spectroscopy"

So far in flat space but there can be different boundary conditions (ex: ULDM)

Usually studied on a model by model basis: a more systematic approach can be useful

New generation & space-based detectors will enable "black hole spectroscopy"

Not explain where the background comes from, take it as given

write an action that governs the dynamics of perturbations, guided by symmetries

EFT around space-time dependent backgrounds



Cheung, Creminelli, Fitzpatrick, Kaplan, Senatore 2007

EFT around space-time dependent backgrounds



Franciolini, Hui, Penco, Santoni, ET 2018

Start from a background solution
$$ds^2 = -a^2(r)dt^2 + \frac{dr^2}{b^2(r)} + c^2(r)\left(d\theta^2 + \sin^2\theta d\phi^2\right)$$

Choose a foliation of spacetime (unitary gauge) such that $\ \delta \Phi(r) = 0$

Write down in a derivative expansion all the operators that are invariant under the residual symmetries: (t, θ, ϕ) - diffs.

Franciolini, Hui, Penco, Santoni, ET 2018

Start from a background solution
$$ds^2 = -a^2(r)dt^2 + \frac{dr^2}{b^2(r)} + c^2(r)\left(d\theta^2 + \sin^2\theta d\phi^2\right)$$

Choose a foliation of spacetime (unitary gauge) such that $\ \delta \Phi(r) = 0$

Write down in a derivative expansion all the operators that are invariant under the residual symmetries: (t, θ, ϕ) - diffs.

The EFT can contain:

- generic functions of the radial coordinates
- free r indices, like g^{rr}
- geometric objects of the 3d spatial slices such as $K^{\mu
 u},\ R^{(3)}$

Expand in perturbations: e.g. $g^{rr} = \bar{g}^{rr} + \delta g^{rr}$ $K_{\mu\nu} = \bar{K}_{\mu\nu} + \delta K_{\mu\nu}$

$$\begin{split} S &= \int \mathrm{d}^4 x \, \sqrt{-g} \bigg[\frac{1}{2} M_1^2(r) R - \Lambda(r) - f(r) g^{rr} - \alpha(r) \bar{K}_{\mu\nu} K^{\mu\nu} \\ &+ M_2^4(r) (\delta g^{rr})^2 + M_3^3(r) \delta g^{rr} \delta K + M_4^2(r) \bar{K}_{\mu\nu} \delta g^{rr} \delta K^{\mu\nu} \\ &+ 11 \text{ other terms} \\ \end{split}$$

$$S = \int d^4x \sqrt{-g} \left[\frac{1}{2} M_1^2(r) R - \Lambda(r) - f(r) g^{rr} - \alpha(r) \bar{K}_{\mu\nu} K^{\mu\nu} + M_2^4(r) (\delta g^{rr})^2 + M_3^3(r) \delta g^{rr} \delta K + M_4^2(r) \bar{K}_{\mu\nu} \delta g^{rr} \delta K^{\mu\nu} \right]$$

+ 11 other terms

 $+\ldots$

$$\begin{split} S &= \int \mathrm{d}^4 x \sqrt{-g} \left(\frac{1}{2} M_1^2(r) R + \Lambda(r) - f(r) g^{rr} - \alpha(r) \bar{K}_{\mu\nu} K^{\mu\nu} \right) \\ &+ M_2^4(r) (\delta g^{rr})^2 + M_3^3(r) \delta g^{rr} \delta K + M_4^2(r) \bar{K}_{\mu\nu} \delta g^{rr} \delta K^{\mu\nu} \\ &+ 11 \text{ other terms} + \dots \end{split}$$

Set to a constant with a conformal transformation

Fixed by the background solution



Set to a constant with a conformal transformation

Fixed by the background solution

Start quadratic in the perturbations (do not contribute to the background)



Set to a constant with a conformal transformation

Fixed by the background solution

Start quadratic in the perturbations (do not contribute to the background)

This EFT gives linear equations with 2 derivatives for the propagating d.o.f. It contains the full information about the QNM spectra

BUT

EFT coefficients are free functions of the radius

WKB approximation

Schutz, Will 1985



Accuracy of the leading order approximation for Schwarzschild BH: 3% $Re[\omega_{3,0}]$, 0.5% $Im[\omega_{3,0}]$ Improves with larger l

Higher orders can be included

lyer, Will 1987

Only the values of the EFT coefficients and their derivatives at the light ring are needed

The light ring position r_* depends on the potential

2 problems:

The potential depends on l

The light ring position r_* depends on the potential

2 problems:

The potential depends on l

i) Assume the background is ''quasi-Schwarzschild'': r_* is close to the GR value $r_*(\ell) = r_{*,GR}(\ell) + \delta r_*(\ell)$

ii) Depends on l mildly: choose a fiducial one (I=3) and expand in $\Delta_{\ell,3} \equiv r_{*,GR}(\ell) - r_{*,GR}(3)$



The final WKB formula in the "light ring" approx. depends only on EFT coeff. + background and their derivatives evaluated at the same point for every l

Inflation	QNMs of Hairy BHs
scalar="clock"	scalar="hair"
quasi-deSitter	quasi-Schwarzschild
Slow-roll expansion	Light-ring expansion
Derivatives of inflation potential	Derivatives of QNM potential
horizon-crossing: $k/a(t_*) = H(t_*)$	maximum of potential: $r_*(\ell)$
EFT of inflation	Our EFT

Credit: Riccardo Penco