

# Surrogate Modeling of Ion Acceleration in the Near-Critical Density Regime with Invertible Neural Networks

14.12.2021

Thomas Miethlinger

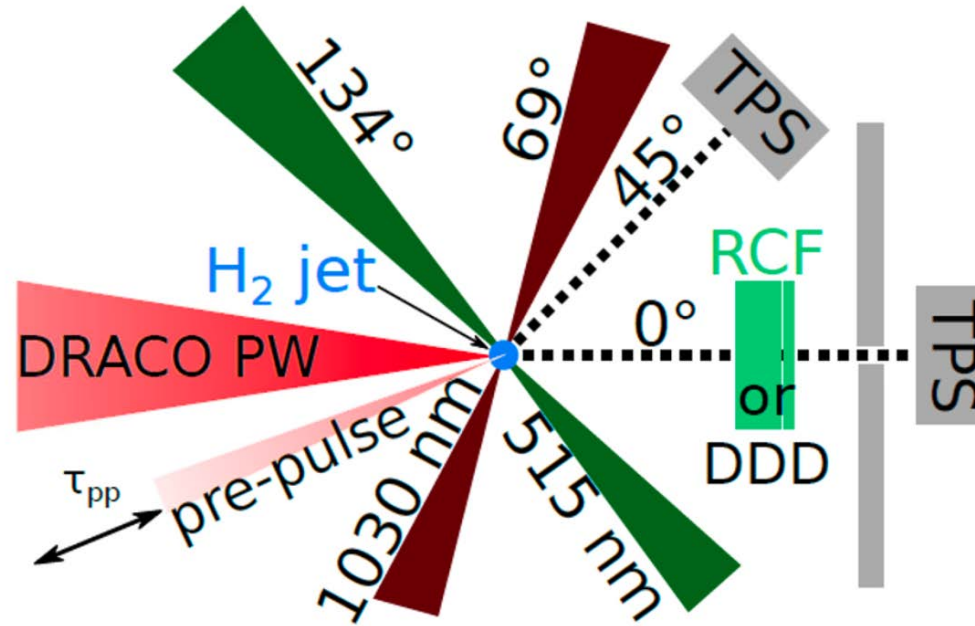
**HZDR**

 **HELMHOLTZ**  
ZENTRUM DRESDEN  
ROSSENDORF

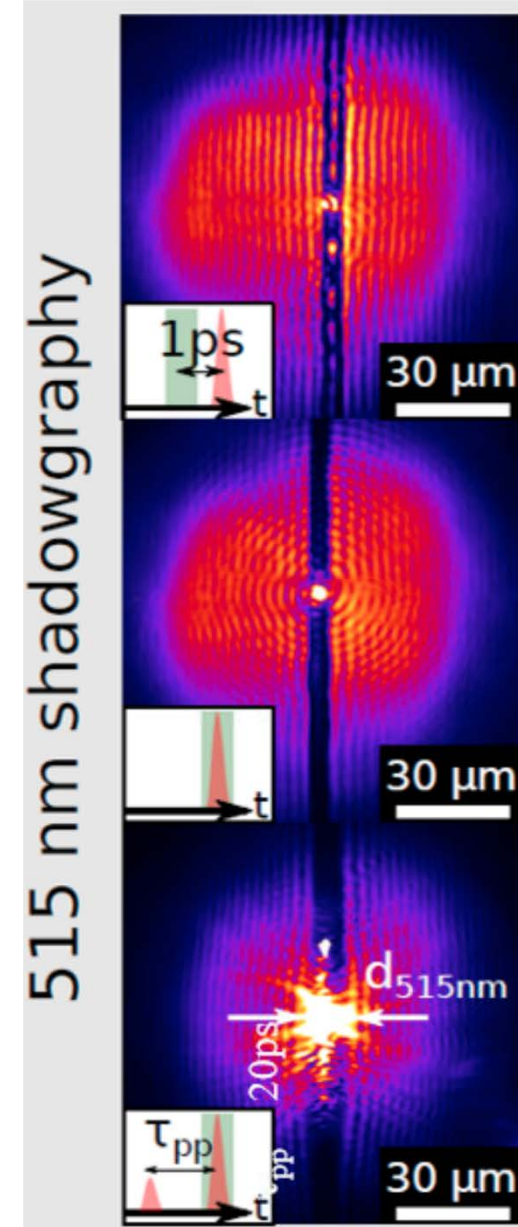
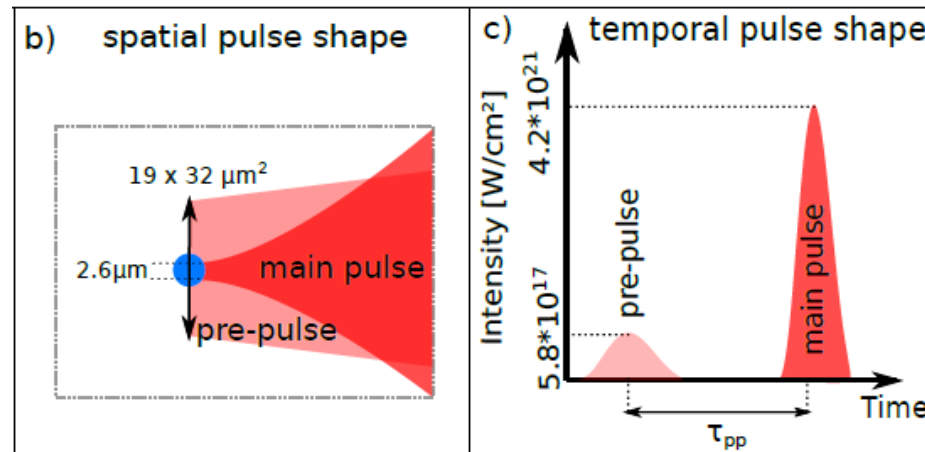
# Experimental pre-pulse setup

**DRACO beam**  
 18J, 30fs, 800nm  
 PM cleaned contrast  
 $4 \times 10^{21} \text{W/cm}^2$

**Timed artificial pre-pulse**  
 $5.8 \times 10^{17} \text{W/cm}^2$   
 (100mJ level,  $19 \times 32 \mu\text{m}$  spot size)  
 0...170 ps prior to the PW beam



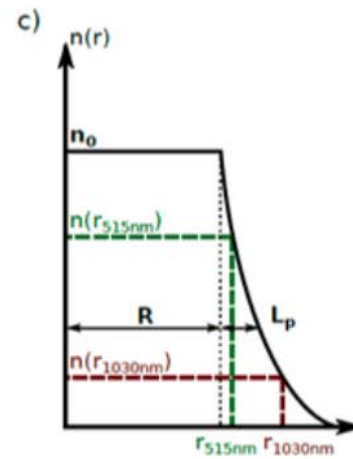
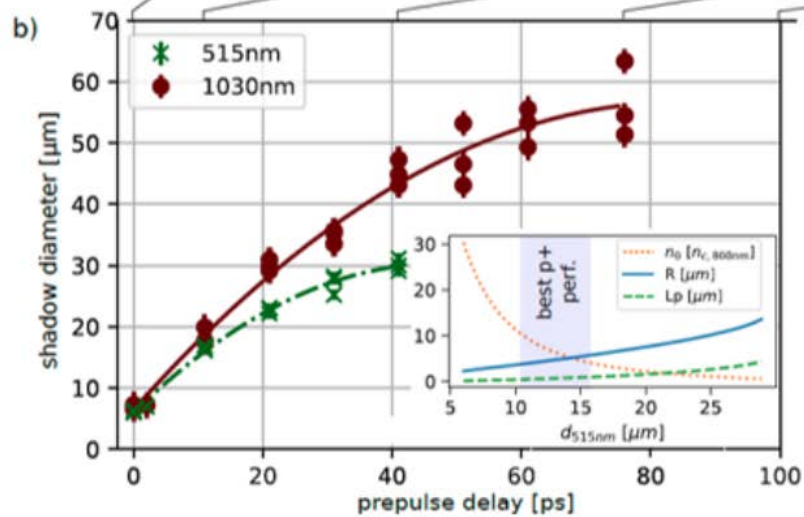
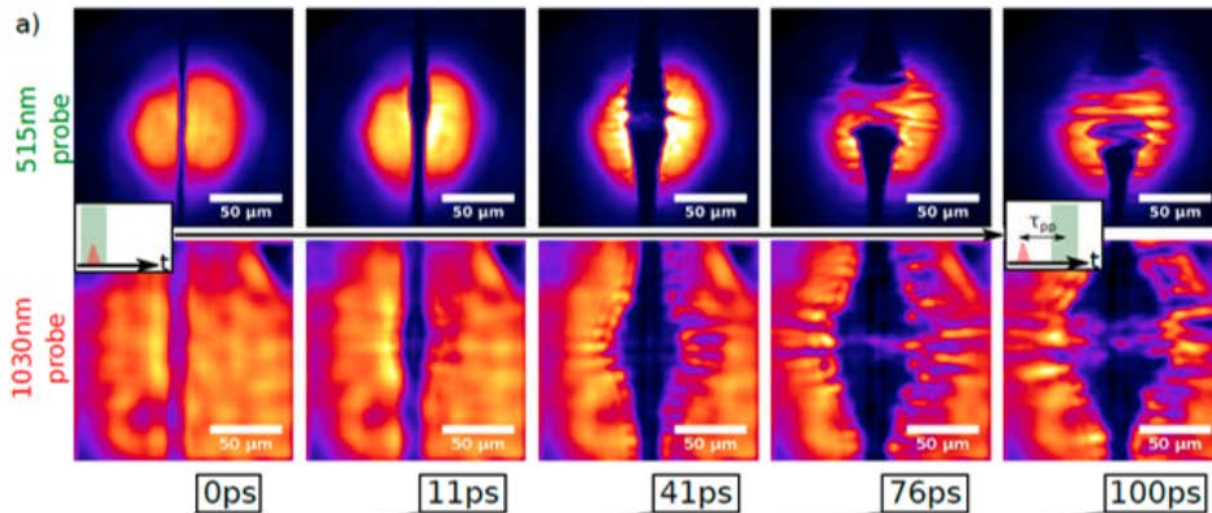
- PM cleaned pulse contrast limits the premature target expansion to the last picosecond before the arrival of the high intensity peak
- Pre-expansion to several times the initial target diameter with pre-pulse



# Target expansion study

- What change in the density profile was triggered by the pre-pulse?

Shadowgrams



Assumption:

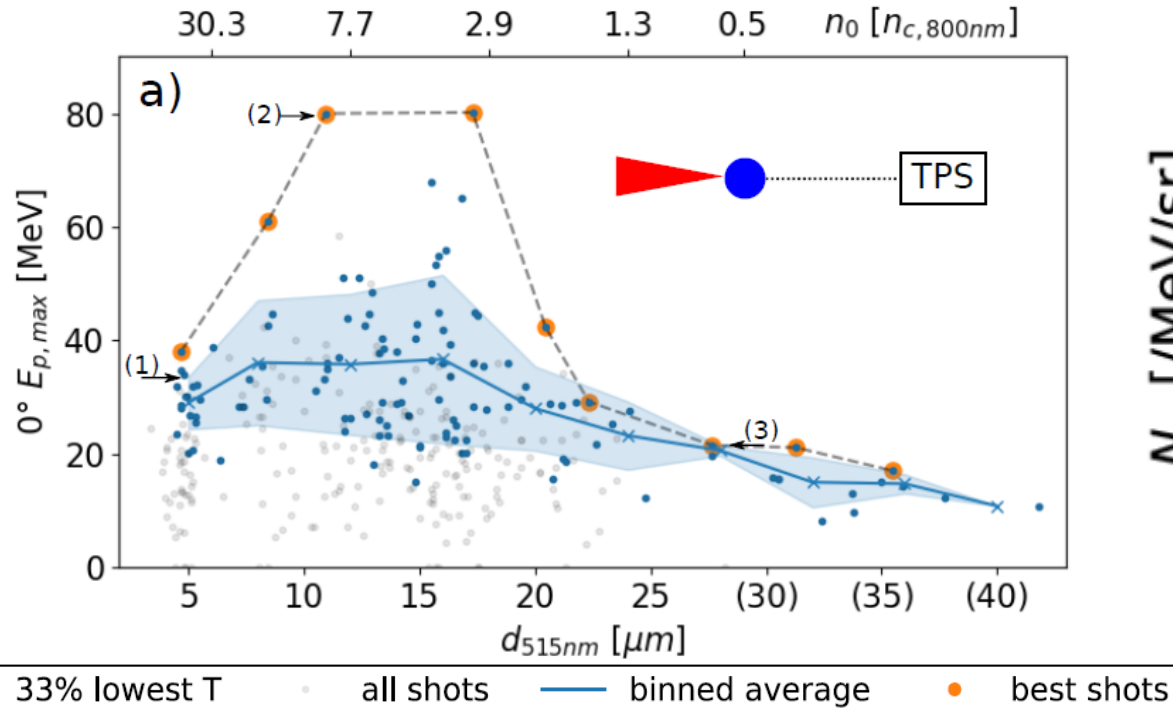
“box-like” radial density distribution of pre-expanded H2 jet with:

- $R$ : core radius
- $n_0$ : core density
- $L_p$  plasma gradient

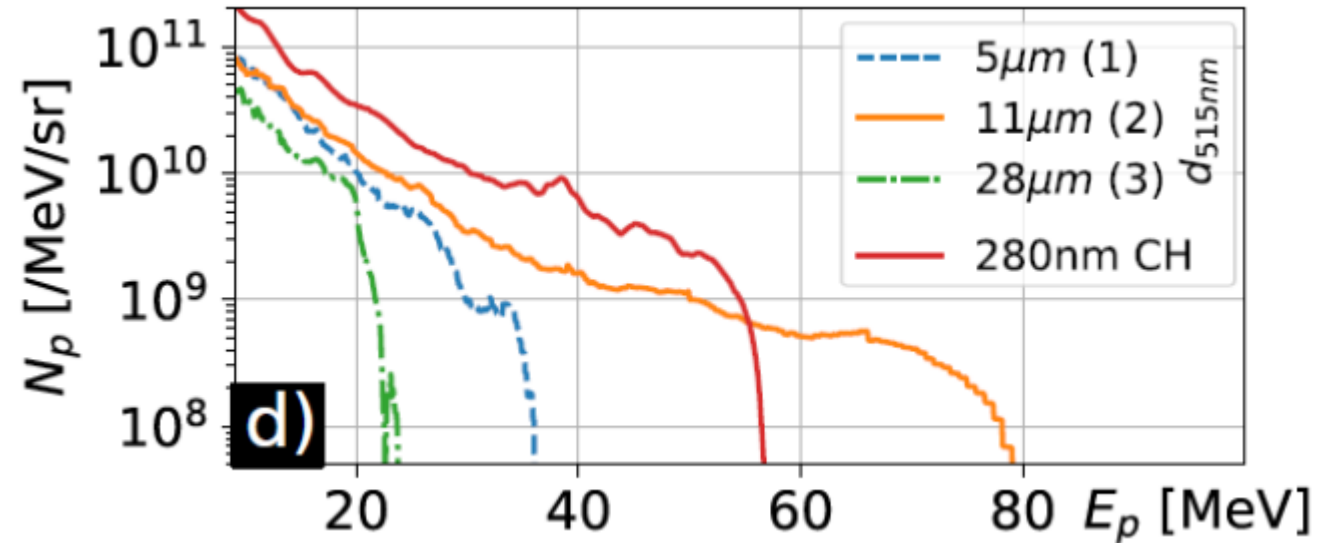
- Can be solved for each pre-pulse delay with particle number conservation, the exponential scale length and the threshold density for the transition opaque to transparent
- Correlation between shadow diameter and target model parameters ( $R$ ,  $n_0$ ,  $L_p$ )

# Changes in proton acceleration when applying a pre-pulse

## Maximum proton energy improvement



## Pre-expansion



- (1) PM only
- (2) „best“ pre-expansion
- (3) Larger expansion

- Maximum proton energies of up to 80 MeV
- Increase in maximum proton energies for a certain pre-expansion (smaller and larger expansions result in decreased proton energies)



## 3D PIC simulations by Ilja Göthel

Scan of pre-expansion by assuming a constant density in the core, pre-/rear plasma scale length taken from experimental values

→ Radius scan is a scan of the initial density

### Simulation parameters:

LASERPROFILE=GaussianBeam

RES=24 cells/wavelength

particles per cell=12u

a0=33

FWHM=4.1 micron

TARGETPROFILE=flat with exponential pre- and rear plasma; scale-lengths matching experimental probing

# Real space proton distribution

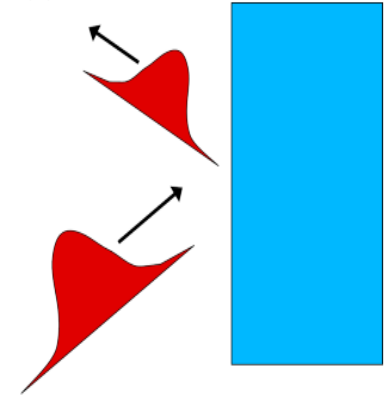
overcritical

near critical

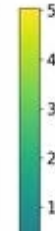
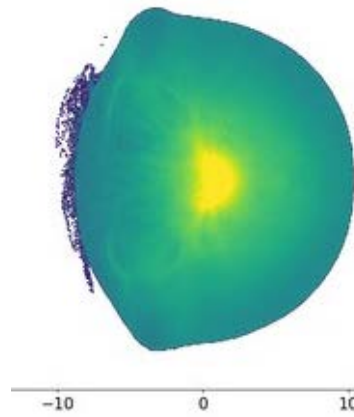
undercritical

(a)

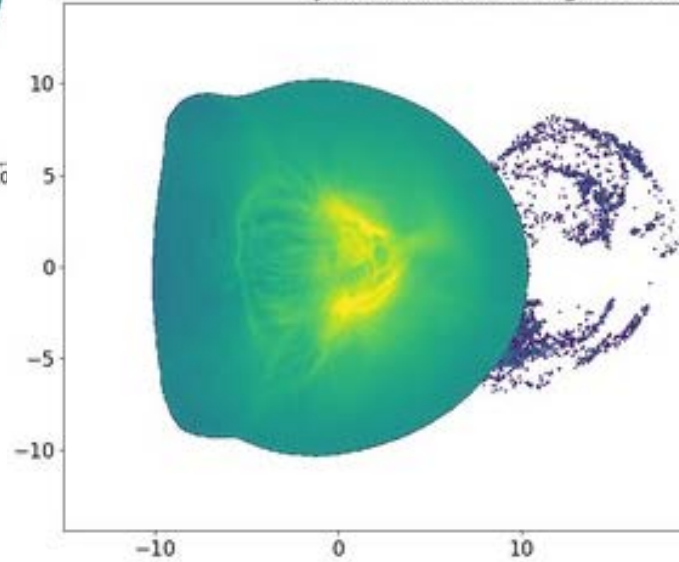
(b)



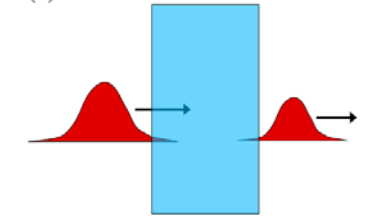
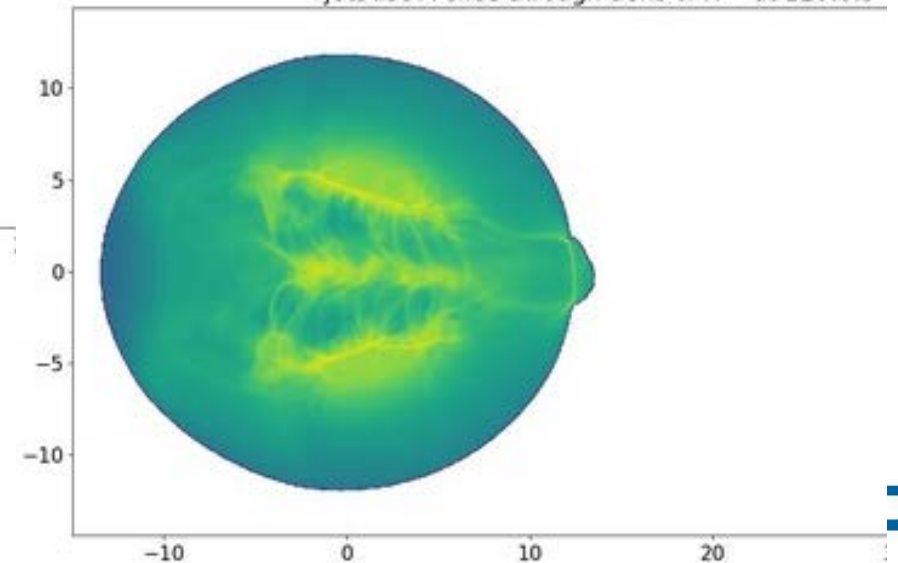
jet3d345: slice through dens of  $H^+$  at 120.0fs

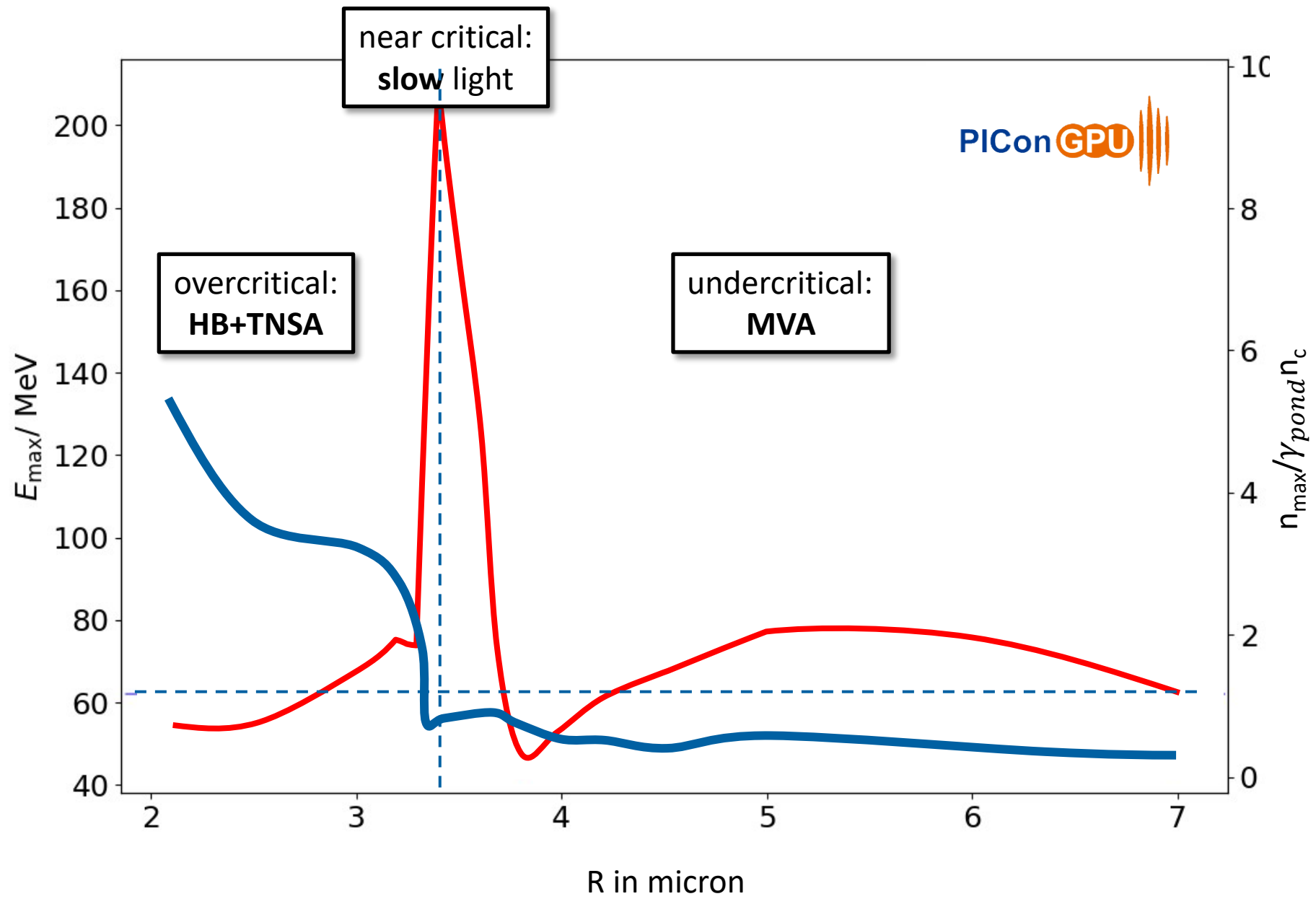


jet3d348: slice through dens of  $H^+$  at 120.0fs

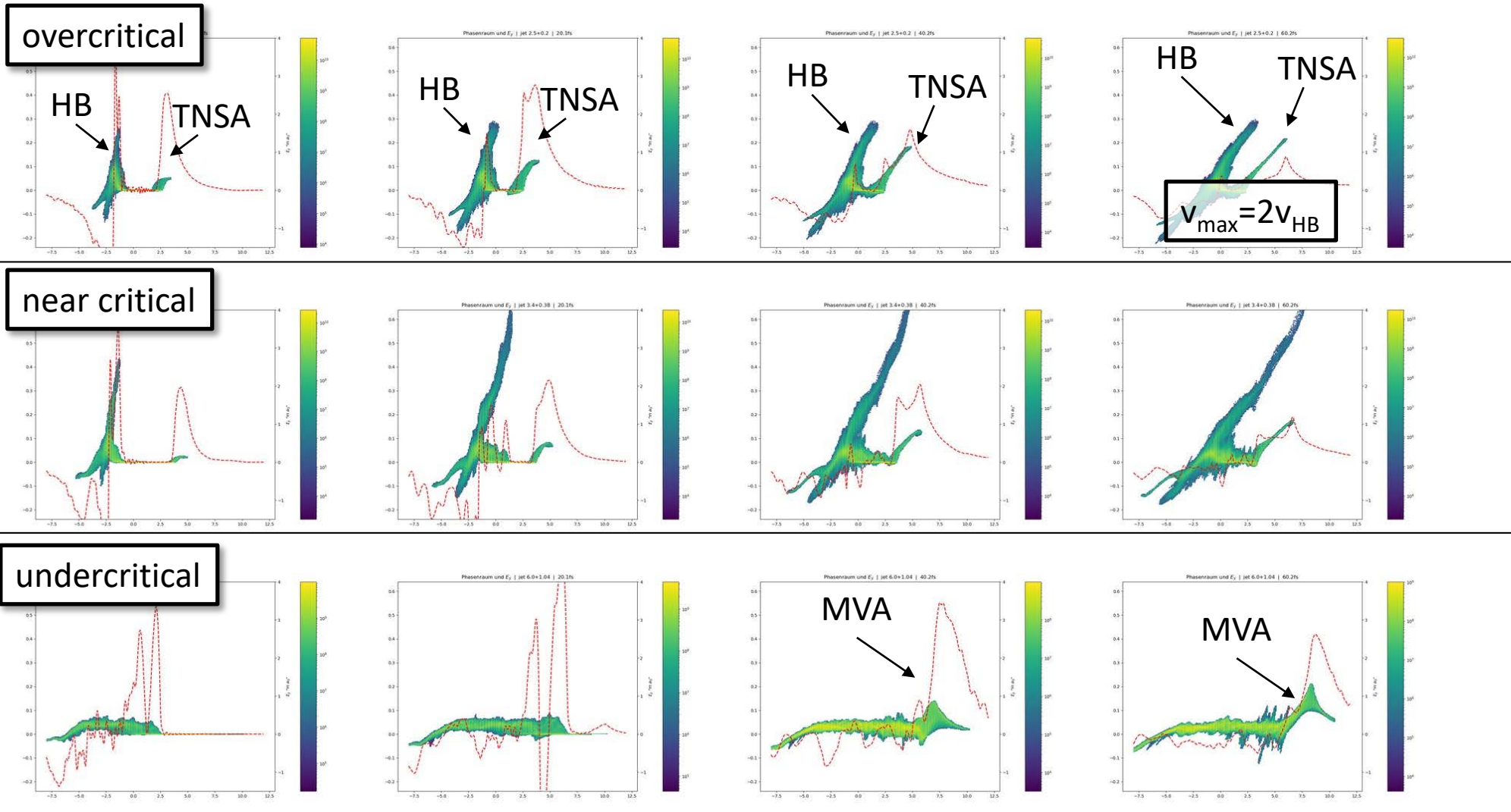


jet3d357: slice through dens of  $H^+$  at 120.0fs





# Phase space $x - px$





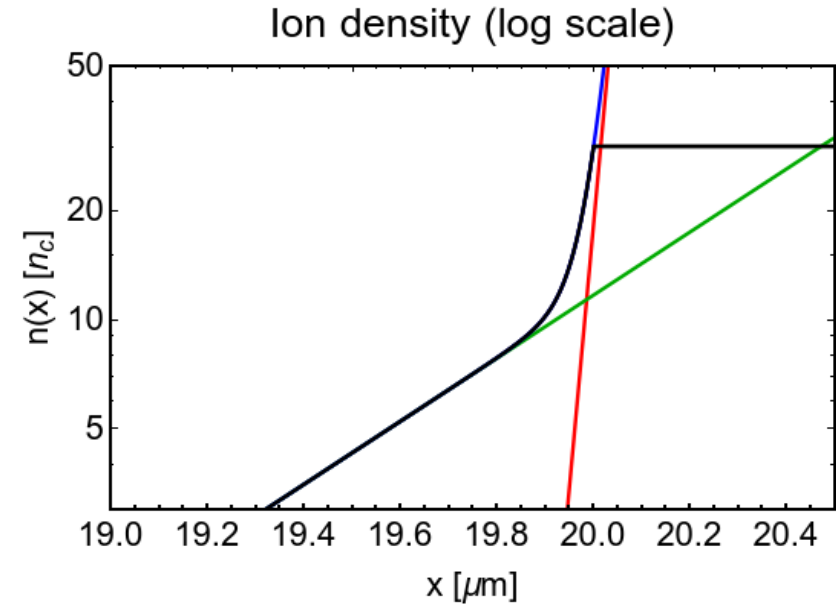
# Surrogate modeling of ion acceleration from 1D simulations

- Generate data using PIC simulations:

- Hydrogen; cold (0K) target
- PBC in transverse direction  $\rightarrow$  (quasi-)1D simulations
- 2 simulation campaigns:
  - Overdense (opaque) targets: ( $20 \leq n_0 \leq 50$ ,  $T \leq 1\%$ )
  - Near critical (relativistic transparent) targets: ( $8 \leq n_0 \leq 50$ ,  $n_0/\gamma < 1$ )

- Vary 6 input parameter ( $\alpha_0$ ,  $\tau_{\text{FWHM}}$ ,  $n_0$ ,  $d$ ,  $\ell_0$ ,  $\ell_1$ )

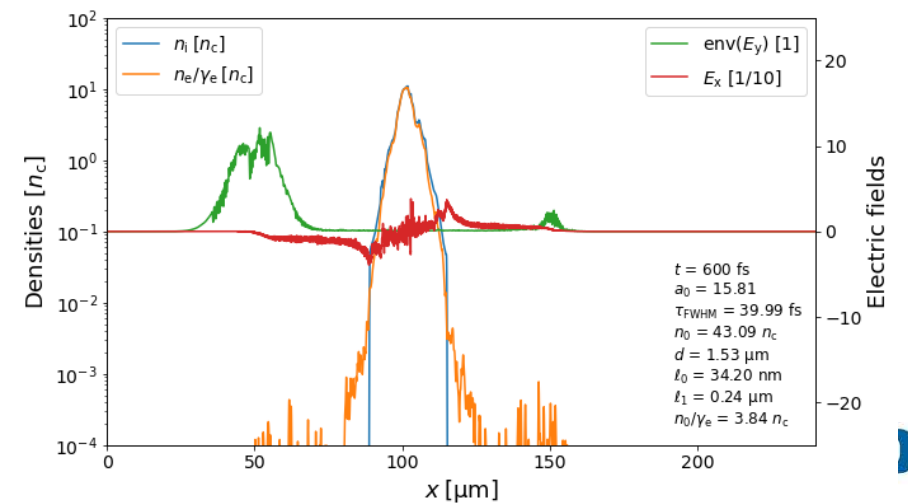
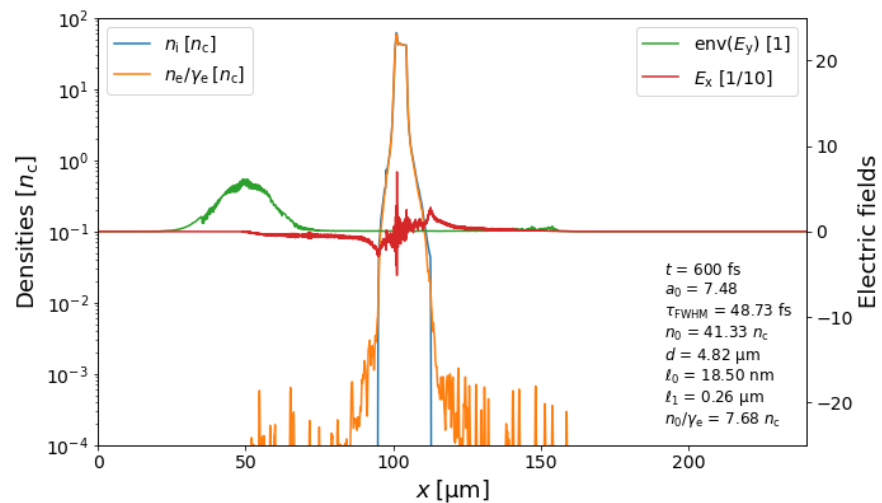
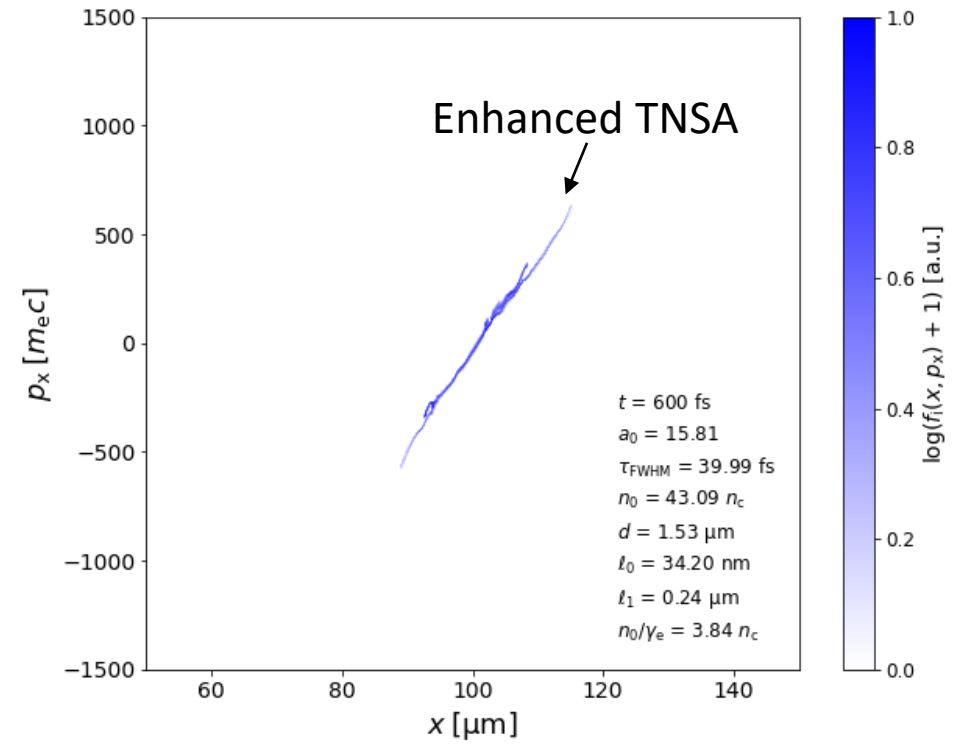
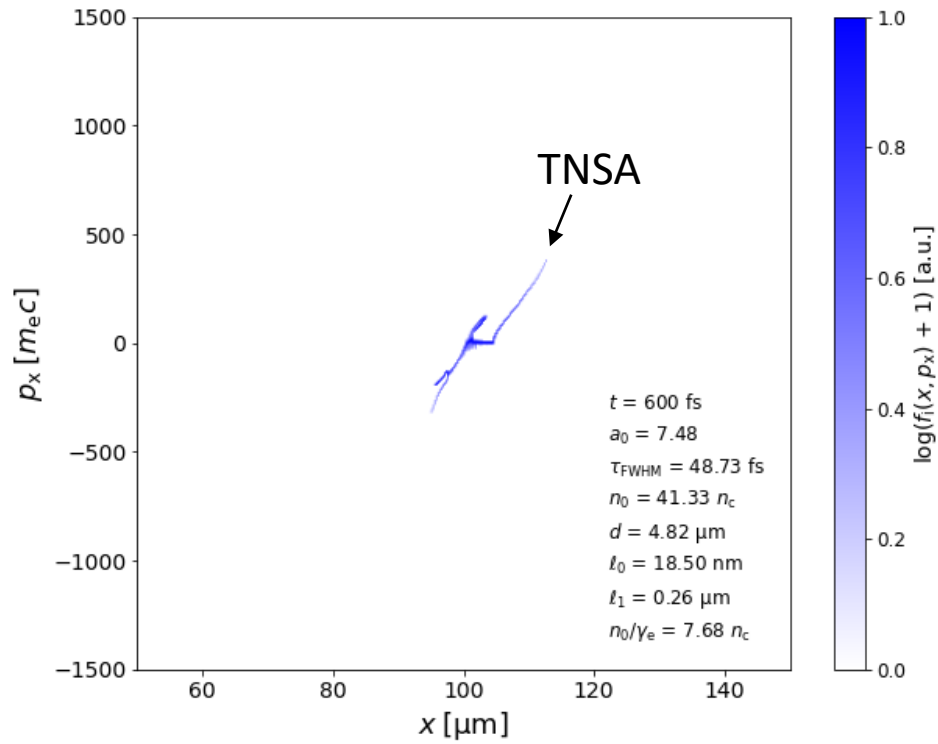
- $\rightarrow (0.8 \cdot 1367)^{1/6} = 3.2$  sims. per dim.
- DOE via quasi Monte-Carlo
- 1367 simulations in total (code: Smilei\*)



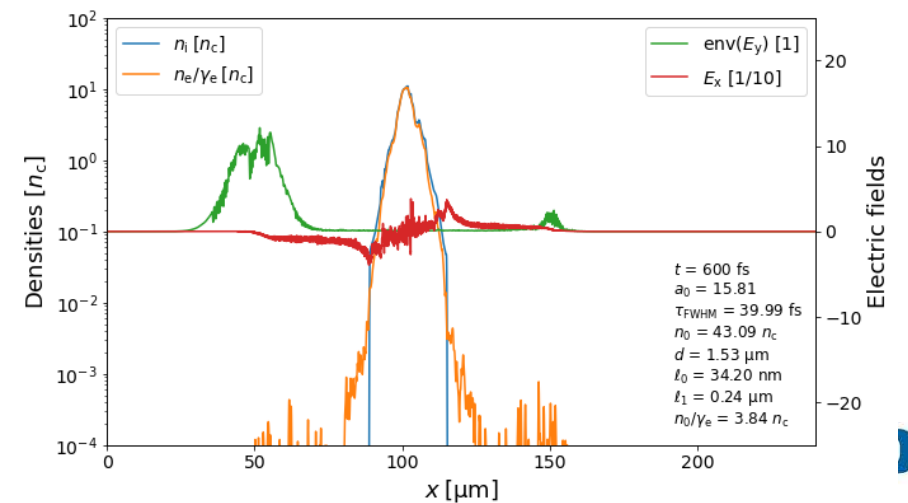
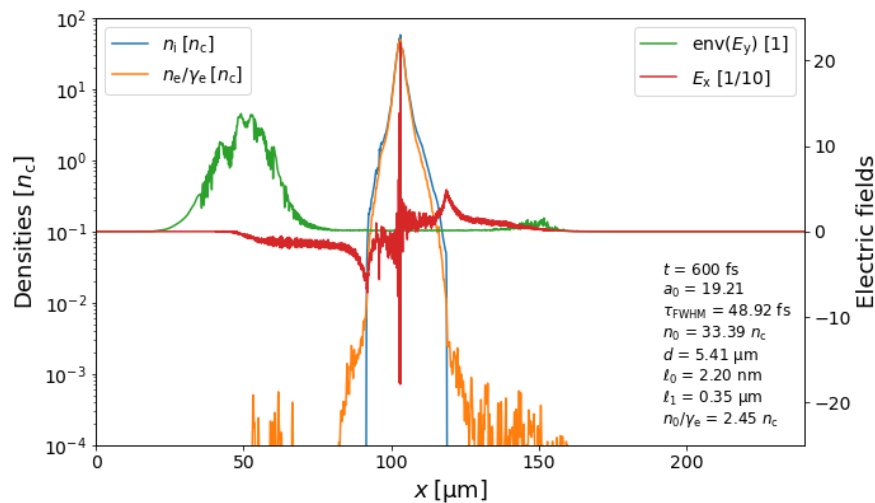
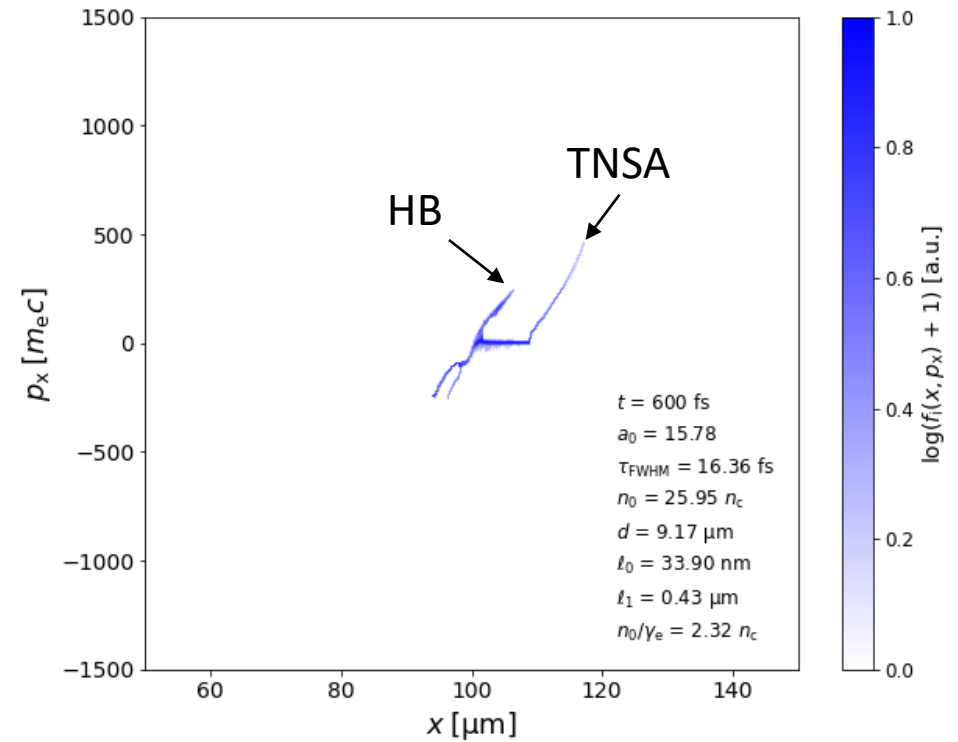
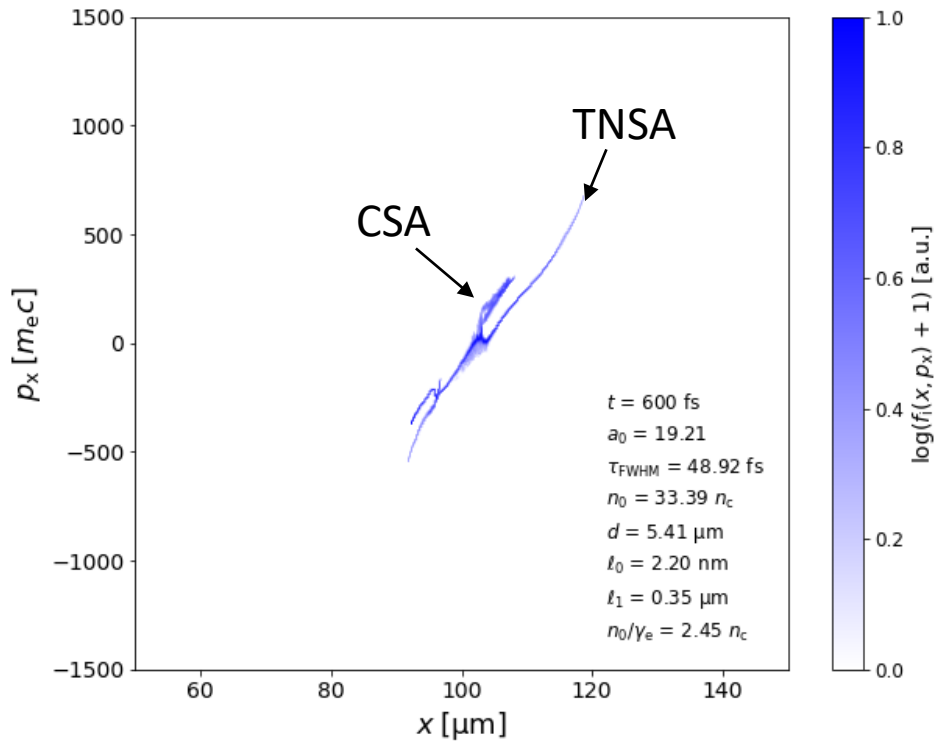
Quantity	Symbol	Unit	Min.	Max.	Scaling
Normalized vector potential	$a_0$	[1]	7	22	linear
Full width at half maximum	$\tau_{\text{FWHM}}$	[fs]	15	50	linear
Number density (bulk)	$n_0$	[ $n_c$ ]	20	50	linear
Target thickness	$d$	[ $\mu\text{m}$ ]	1	10	exponential
Short pre-plasma scale length	$\ell_0$	[nm]	0.0	40.0	linear
Long pre-plasma scale length	$\ell_1$	[nm]	$\ell_0 + 10$ nm	500.0	linear

Table 1: Overview of simulation parameter and design space for overdense case.

# Acceleration mechanisms



# Acceleration mechanisms



# Modeling with neural networks

Learn quantities from simulations with neural networks:

- From input to output quantities
  - $E_i^{max}, T_e, f_i(p_x), f_e(p_x)$
  - Campaign 2: R, T
- Different NNs:
  - Standard Multilayer Perceptrons (MLPs)
  - Invertible Neural Networks (INNs)
  - Autoencoders (AEs)

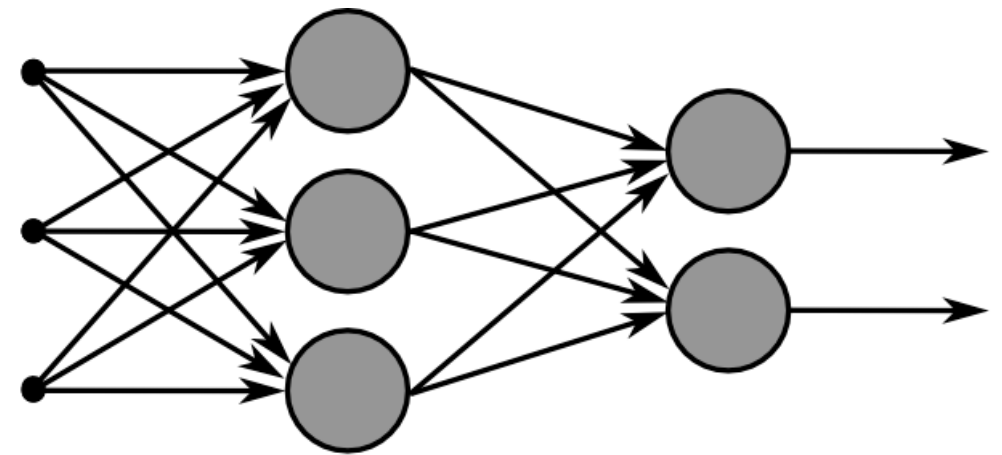
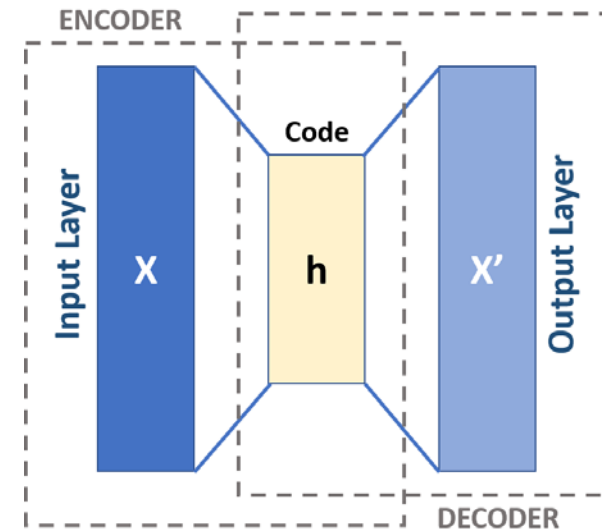


Diagram of a multi-layer feedforward artificial neural network.\*

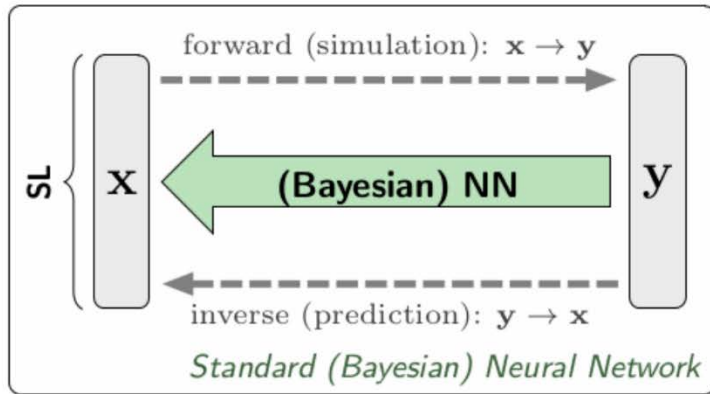


Autoencoder schema.\*

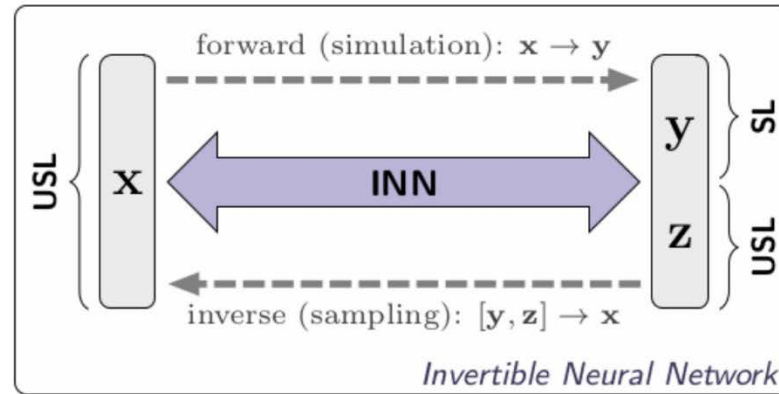
\*Chrislb, CC BY-SA 3.0 <<http://creativecommons.org/licenses/by-sa/3.0/>>, via Wikimedia Commons

\*Michela Massi, CC BY-SA 4.0 <<https://creativecommons.org/licenses/by-sa/4.0/>>, via Wikimedia Commons

# Invertible Neural Networks



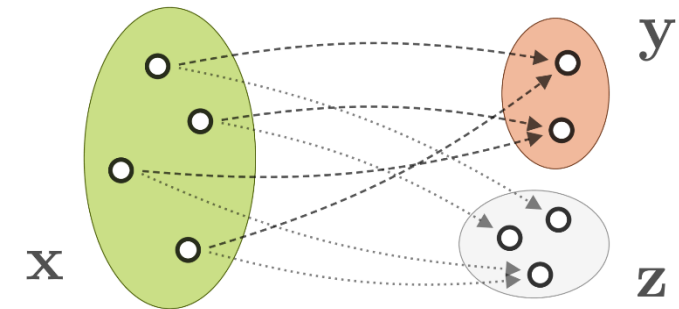
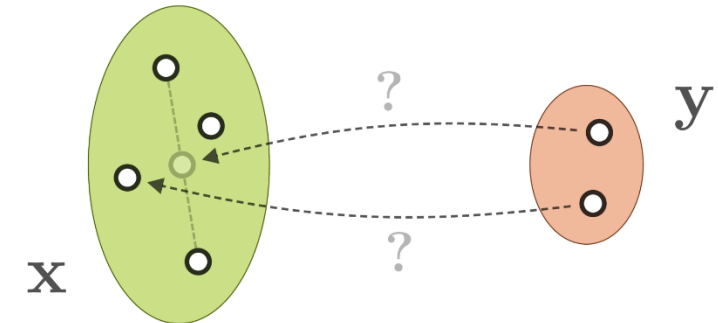
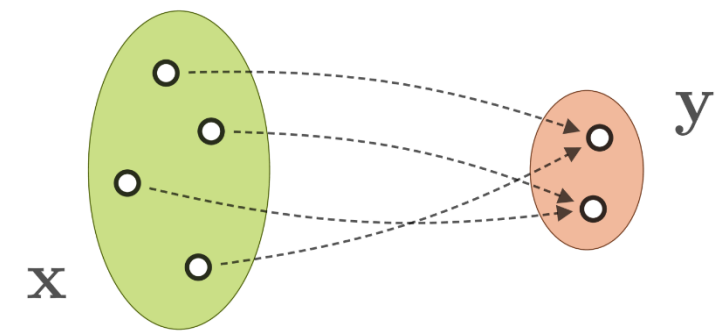
Solving inverse problems with BNNs.



Mode of action of an INN.\*

## Invertible Neural Networks:

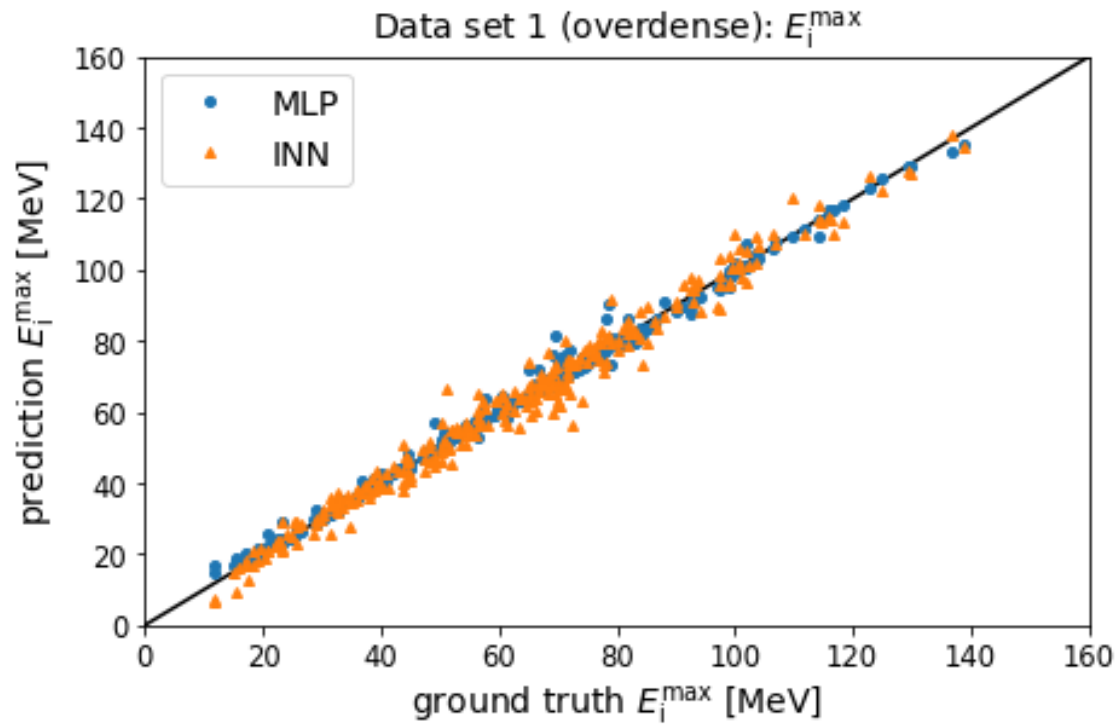
- Mapping from  $\mathbf{x}$  to  $\mathbf{y}$  is bijective, i.e., inverse ( $= \text{INN}^{-1}$ ) exists
  - Introduction of latent space
- Learn inverse process jointly with forward process
- Obtain conditional posterior probabilities via sampling of  $\mathbf{z} \sim \mathcal{N}(0, 1)$ .



Introduction of latent vector resolves ambiguity of inverse problem.\*

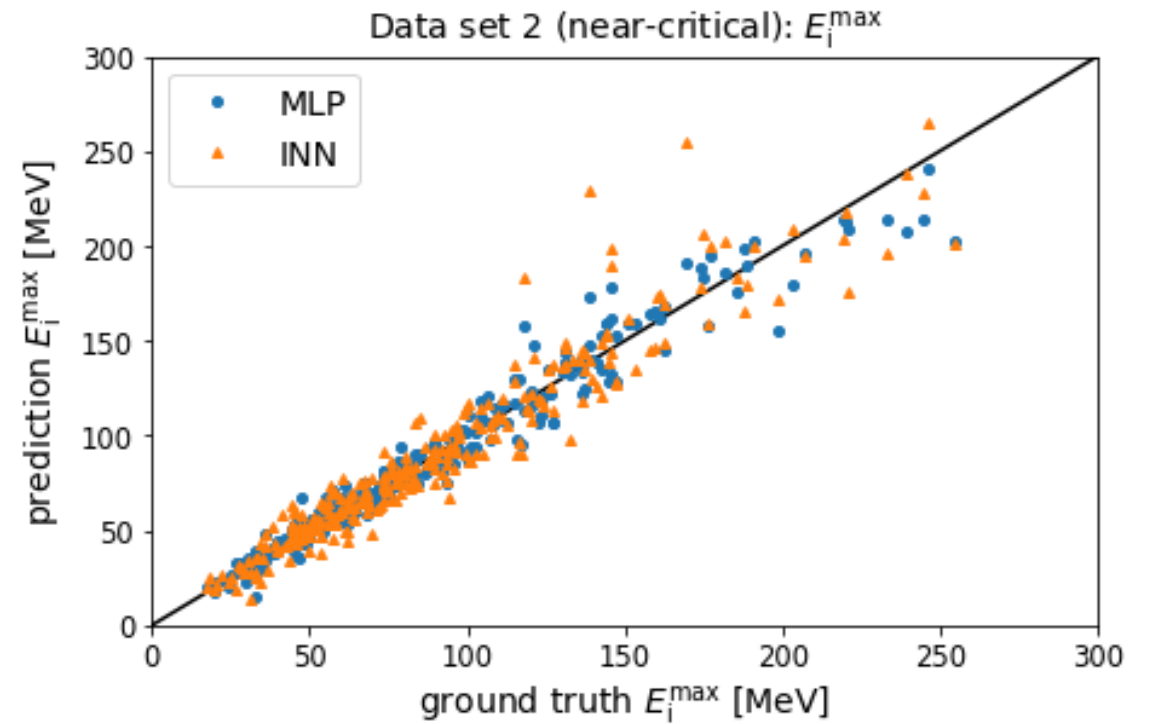
# Example Predictions: Maximum proton energies

Overdense and Near-critical data sets



Density range:  $20 \leq n_0 \leq 50$   
Opaque, transmission  $T \leq 1\%$

**Rest of talk concerned with data set 1**



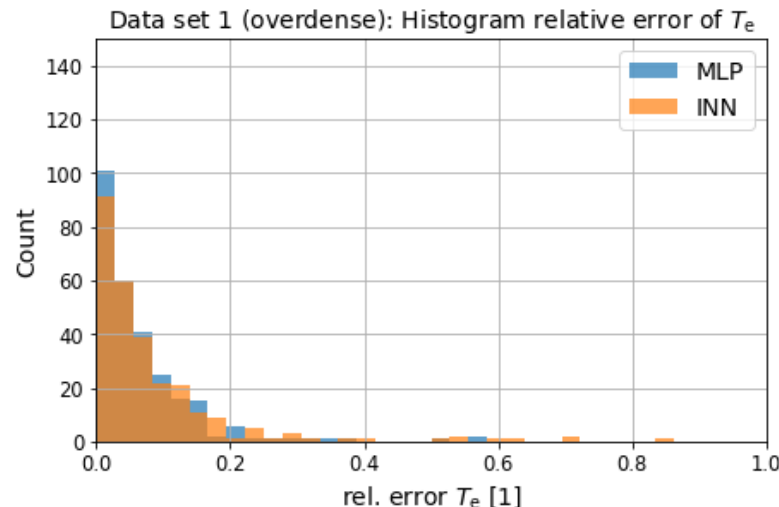
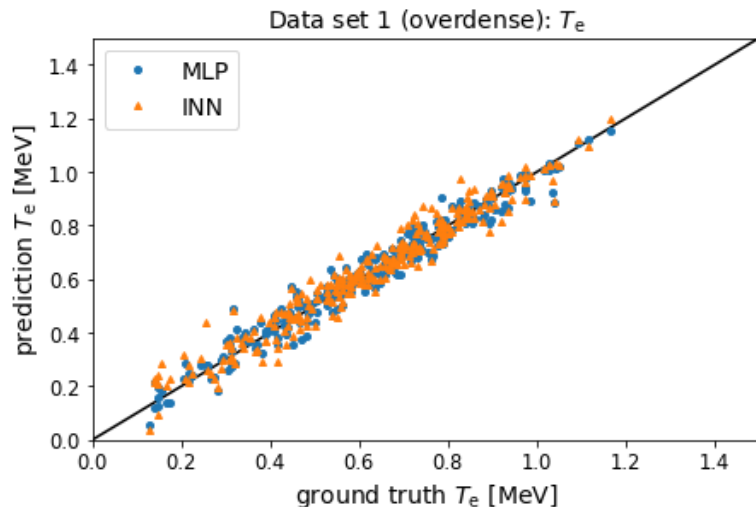
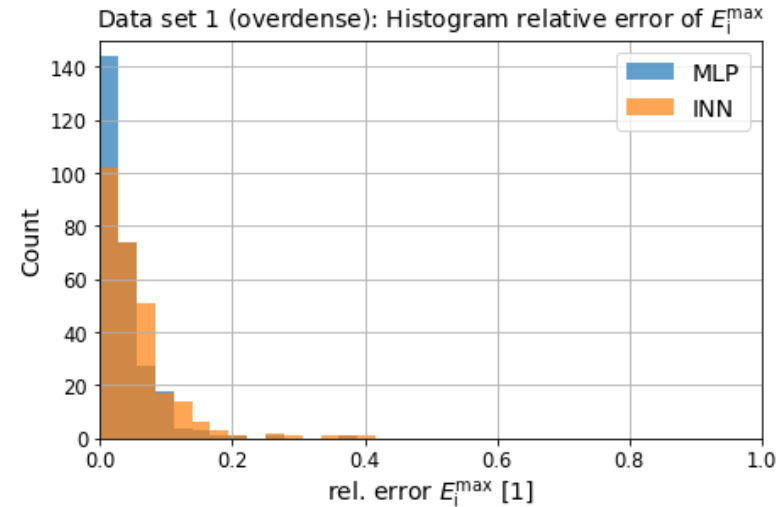
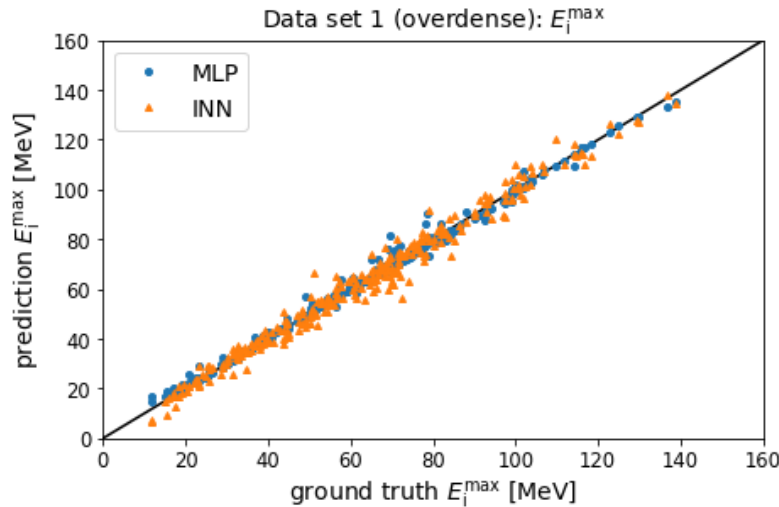
Density range:  $8 \leq n_0 \leq 50$   
Opaque, transmission  $T \leq 50\%$

# Example Predictions: Scalars

Maximum proton energies, electron temperatures

If accuracy of forward pass of INN is ok, then what about speed/performance?

Check against ABC! →



	mean		median	
	MLP	INN	MLP	INN
$E_p^{\max}$	0.040	0.050	0.026	0.035
$T_e$	0.060	0.079	0.044	0.052

Table 2: Mean and median relative errors of the MLP and the INN models for  $E_p^{\max}$  and  $T_e$ .

Maximum proton energies, electron temperatures

	ABC				INN-ABC			
	min	max	median	mean	min	max	median	mean
Comp. time [s]	0.14	122.3	0.77	4.60	0.008	3.06	0.05	0.19
Accept. rate [1]	$1.4 \cdot 10^{-6}$	$1.5 \cdot 10^{-3}$	$2 \cdot 10^{-4}$	$4 \cdot 10^{-4}$	$3 \cdot 10^{-4}$	$1.2 \cdot 10^{-1}$	$1.6 \cdot 10^{-2}$	$2.4 \cdot 10^{-2}$

Table 3: Benchmark comparison of ABC and INN-ABC for scalar observables  $\mathbf{y} = (E_p^{\max}, T_e)^T$ . Total run times are for ABC and INN-ABC are 1260.18 s and 50.89 s, respectively.

Factor 25 speedup!

## ABC algorithm

0. Define distance function  $d$ , acceptance threshold  $\varepsilon$  and minimum number of accepted samples  $N_{\text{acc}}^{\text{min}}$ .
1. Generate  $N_{\text{rnd}}$  random input vectors  $\{\mathbf{x}_k\}$  from parameter space.
2. Compute vectors in output space  $\{\mathbf{y}_k\} = \text{MLP}(\{\mathbf{x}_k\})$
3. If  $d(\mathbf{y}^{(i)}, \mathbf{y}_k) \leq \varepsilon$ , then accept  $\mathbf{y}_k$  and update count of total number of accepted vectors  $N_{\text{acc}}^{\text{tot}}$ .
4. If  $N_{\text{acc}}^{\text{tot}} < N_{\text{acc}}^{\text{min}}$ , goto 1. Else, return set of accepted vectors.

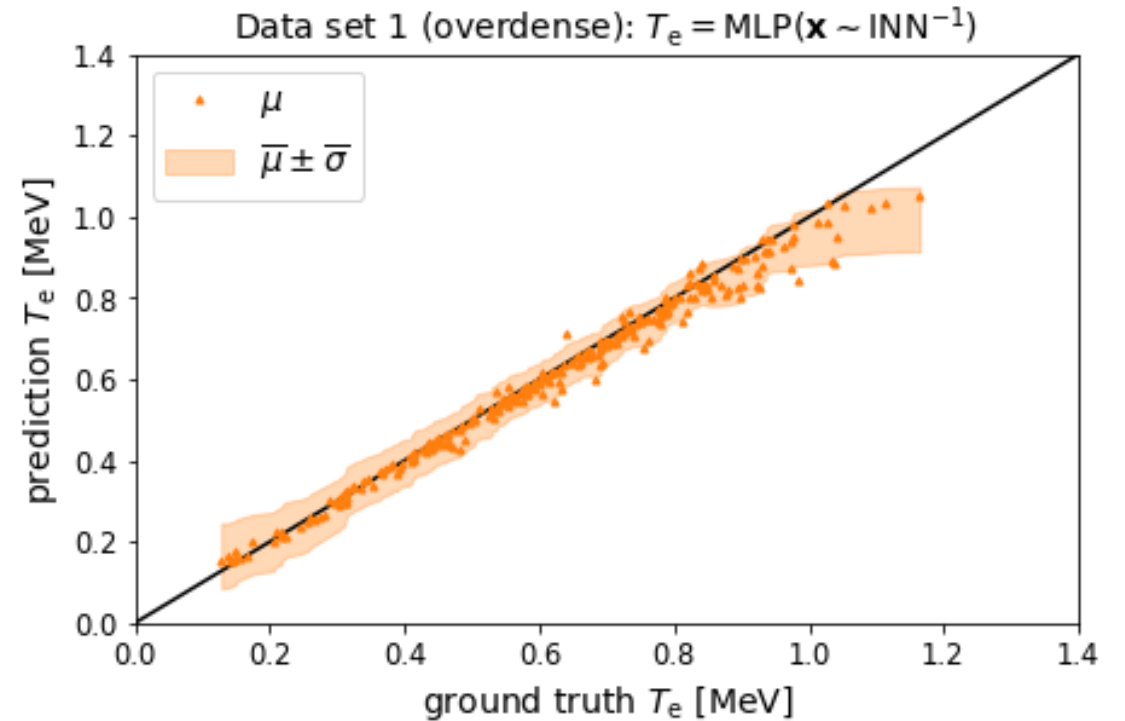
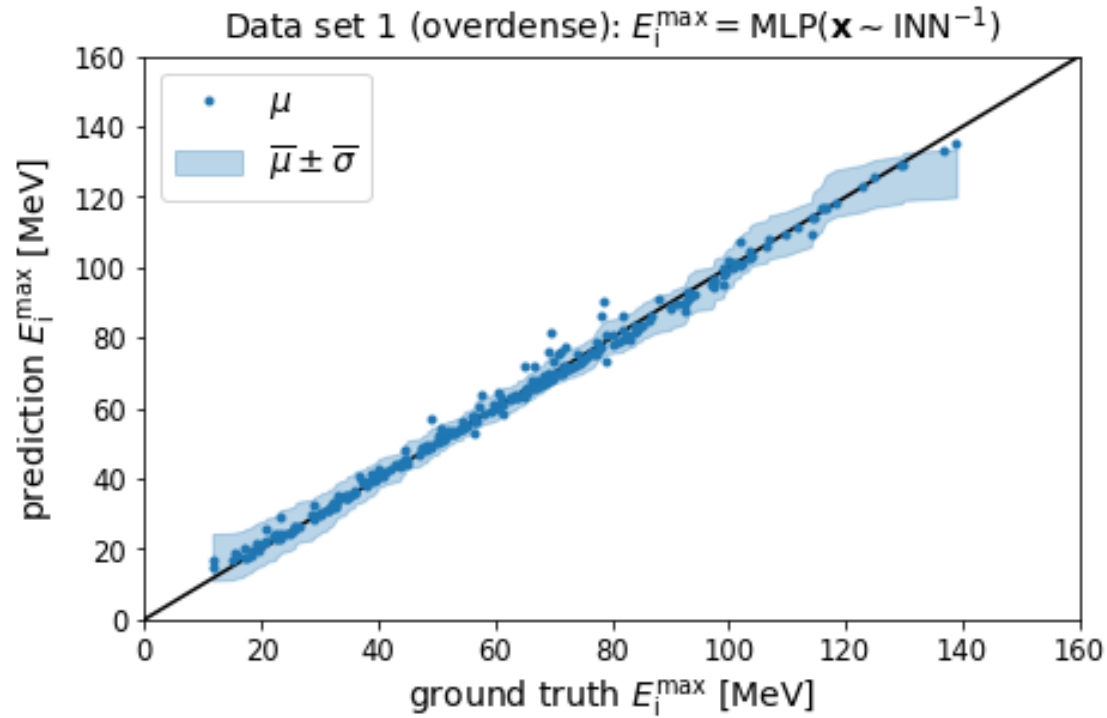
## INN performance test routine

0. Define distance function  $d$ , acceptance threshold  $\varepsilon$  and minimum number of accepted samples  $N_{\text{acc}}^{\text{min}}$ .
1. Generate  $N_{\text{rnd}}$  latent vectors  $\{\mathbf{z}_k\}$  with  $\mathbf{z} \sim \mathcal{N}(\mathbf{z}; \mathbf{0}, \mathbf{I}_{\text{dim}_z})$ .
2. Compute approximation of conditional posterior distribution  $\{\mathbf{x}_k^{(i)}\} = \text{INN}^{-1}(\mathbf{y}^{(i)}, \{\mathbf{z}_k\})$ .
3. Compute vectors in output space  $\{\mathbf{y}_k^{(i)}\} = \text{MLP}(\{\mathbf{x}_k^{(i)}\})$
4. If  $d(\mathbf{y}^{(i)}, \mathbf{y}_k^{(i)}) \leq \varepsilon$ , then accept  $\mathbf{y}_k^{(i)}$  and update count of total number of accepted vectors  $N_{\text{acc}}^{\text{tot}}$ .
5. If  $N_{\text{acc}}^{\text{tot}} < N_{\text{acc}}^{\text{min}}$ , goto 1. Else, return set of accepted vectors.



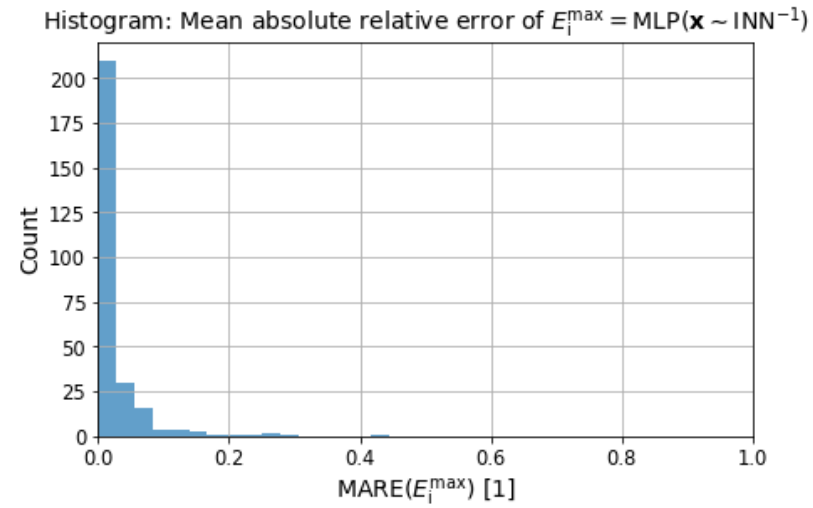
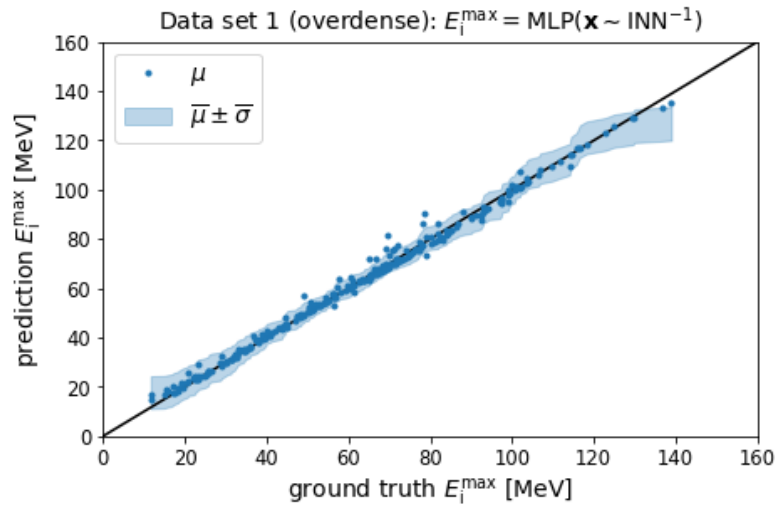
# Accuracy Inverse Predictions:

Maximum proton energies, electron temperatures

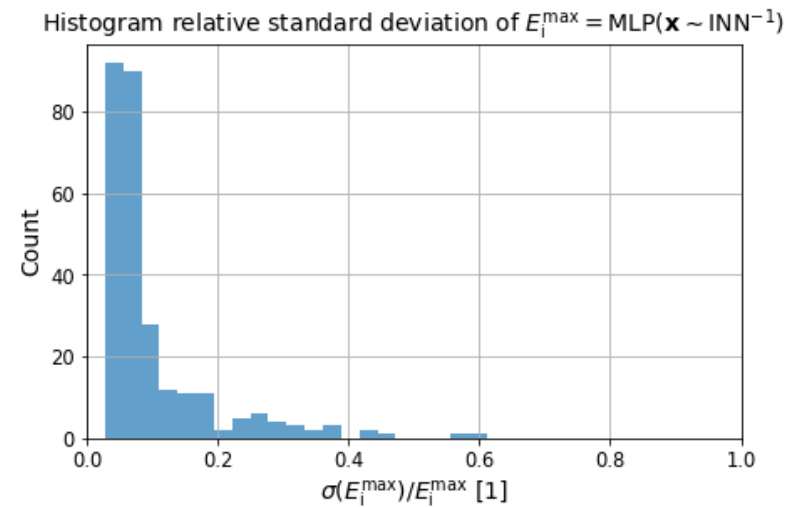
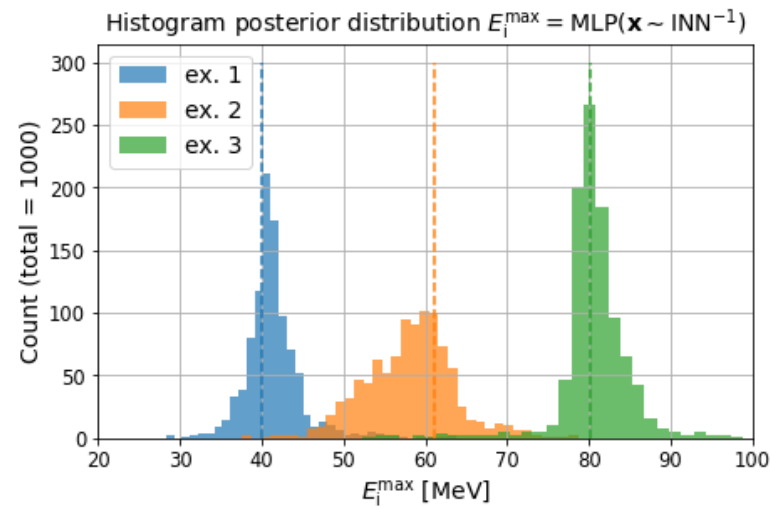


# Accuracy Inverse Predictions:

## Maximum proton energies



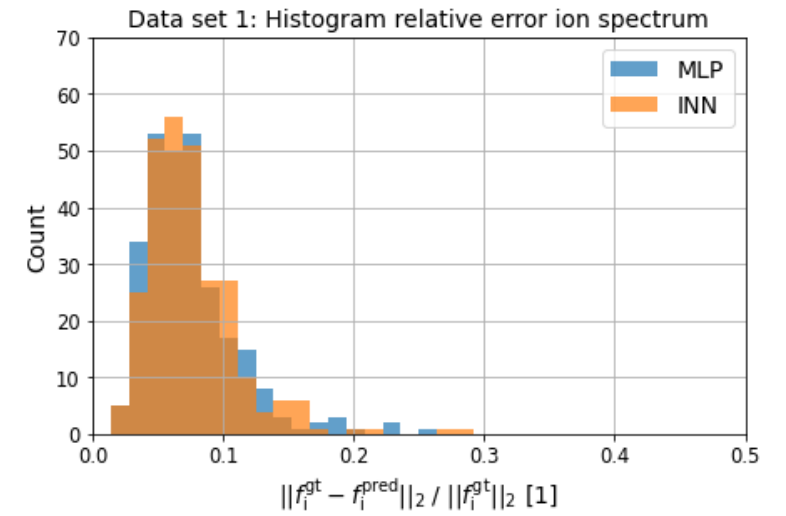
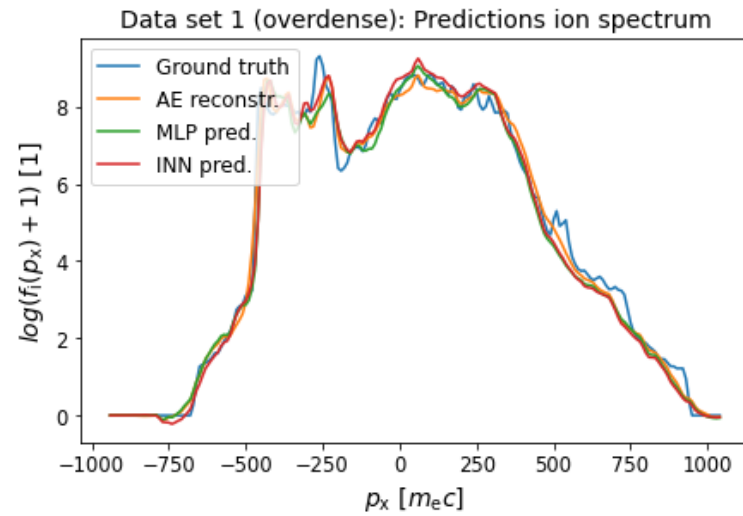
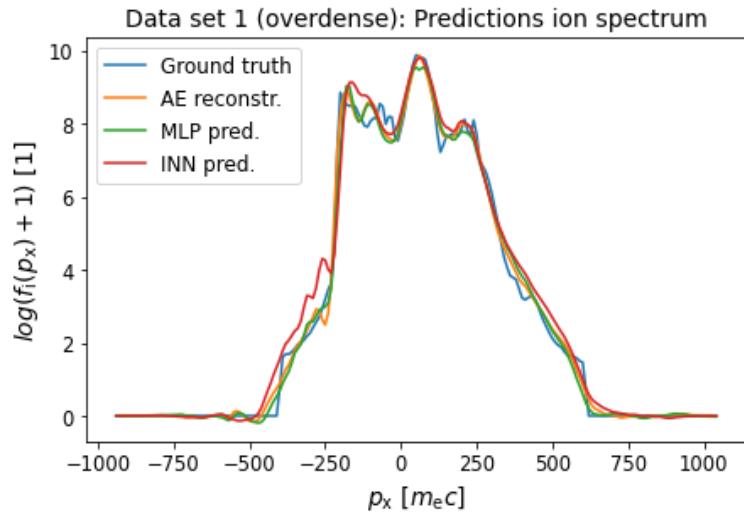
$$\text{MARE}^{(i)} = \frac{1}{N_{\text{rnd}}} \sum_{k=1}^{N_{\text{rnd}}} \left| \frac{\mathbf{y}_k^{(i)} - \mathbf{y}^{(i)}}{\mathbf{y}^{(i)}} \right|$$



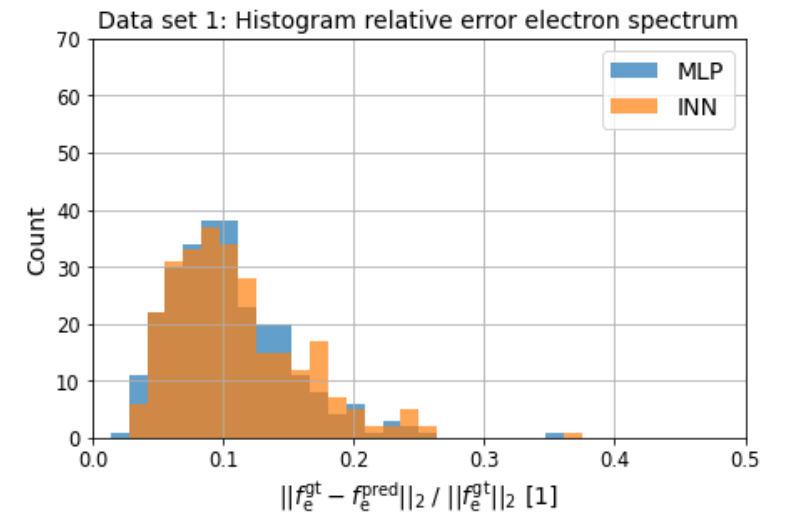
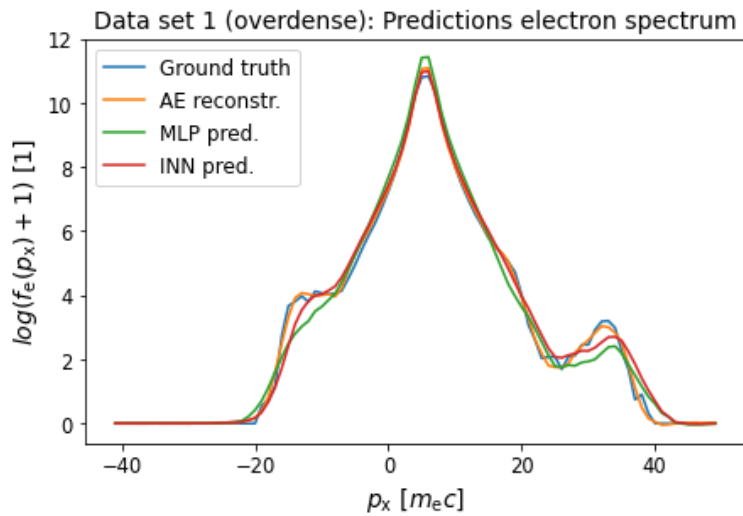
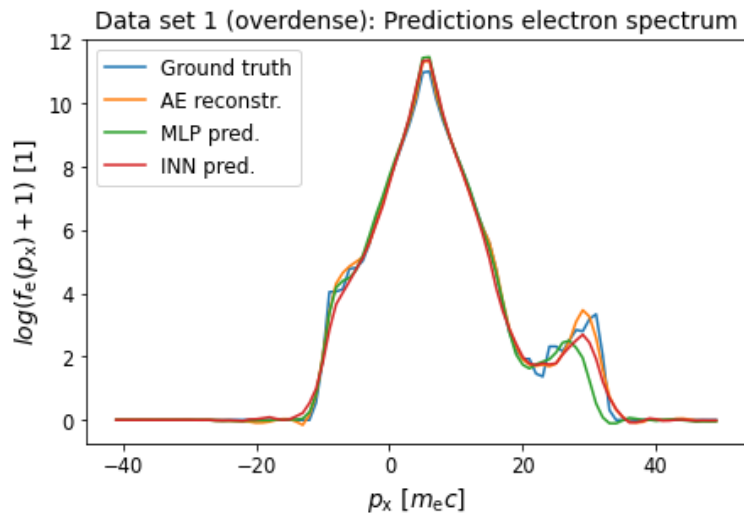
$$\frac{\sigma^{(i)}}{\mathbf{y}^{(i)}} = \frac{1}{\mathbf{y}^{(i)}} \sqrt{\frac{1}{N_{\text{rnd}} - 1} \sum_{k=1}^{N_{\text{rnd}}} (\mathbf{y}_k^{(i)} - \bar{\mathbf{y}}^{(i)})^2}$$

# Example Pred. : Proton, electron (momentum) spectra (1D Functional Data)

Protons



Electrons



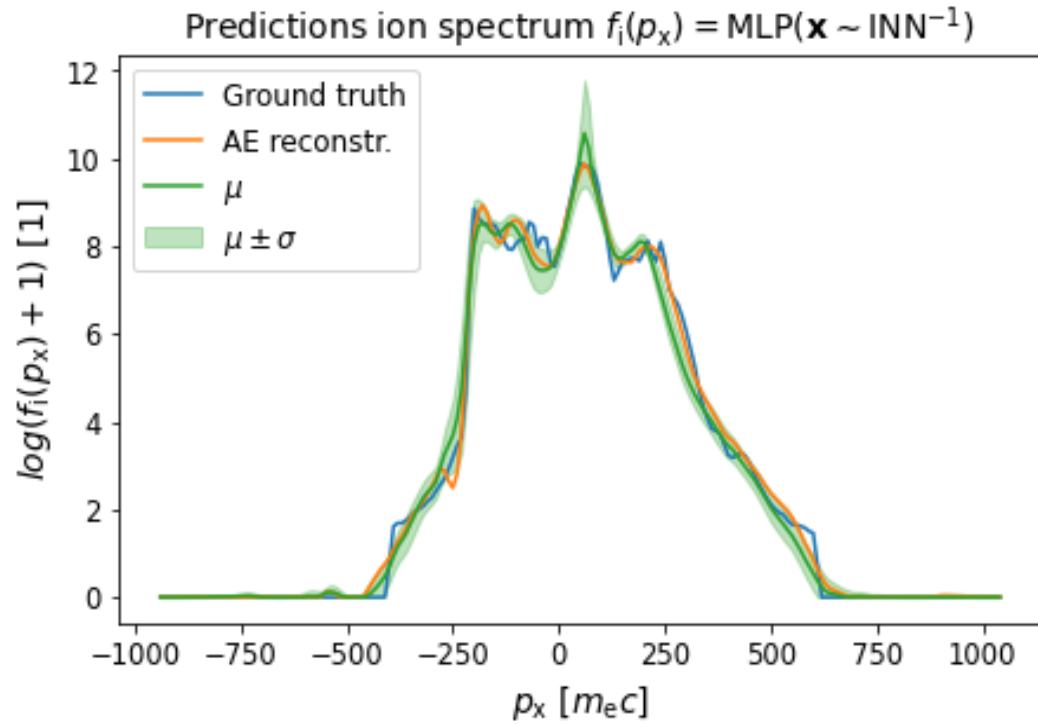
Example A

Example B

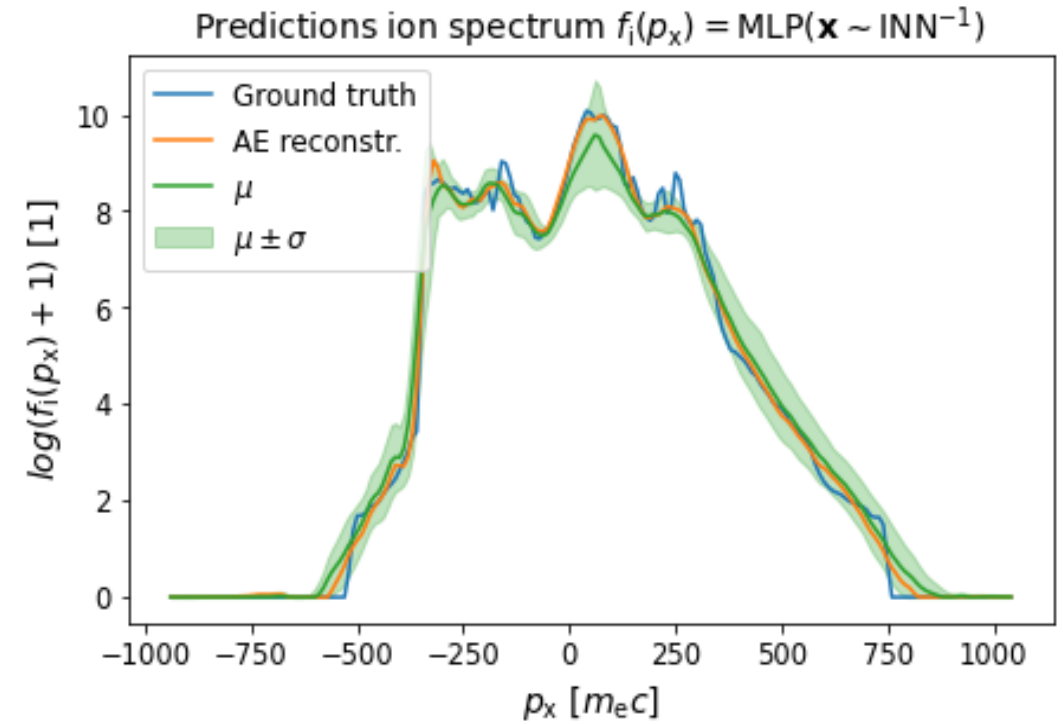
Relative error: spectra

# Accuracy Inverse Predictions: Proton momentum spectra

Protons



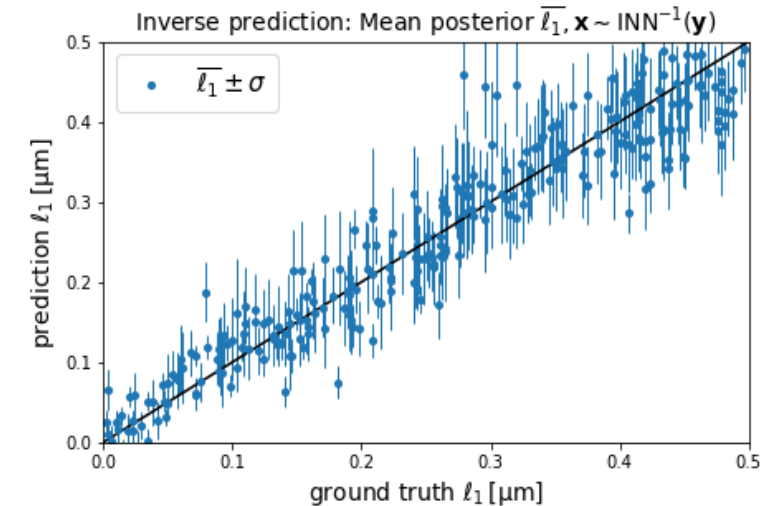
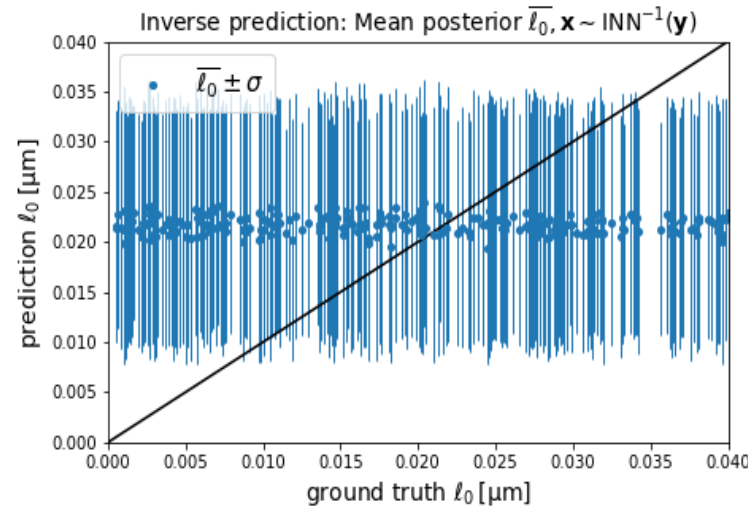
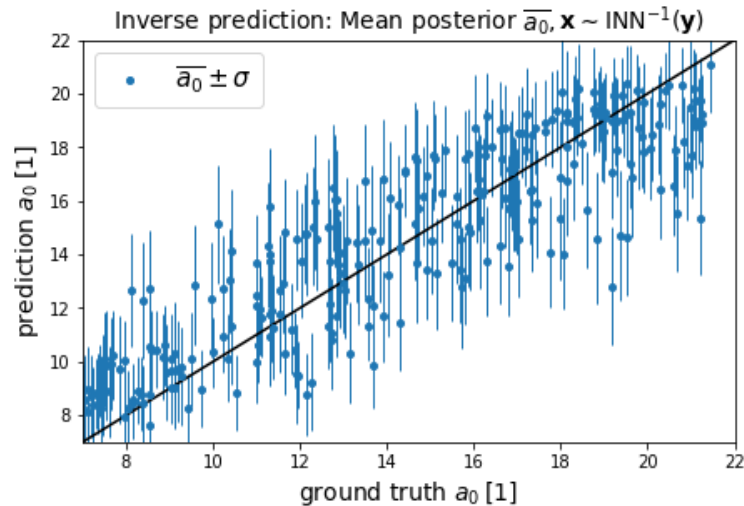
Example A



Example B

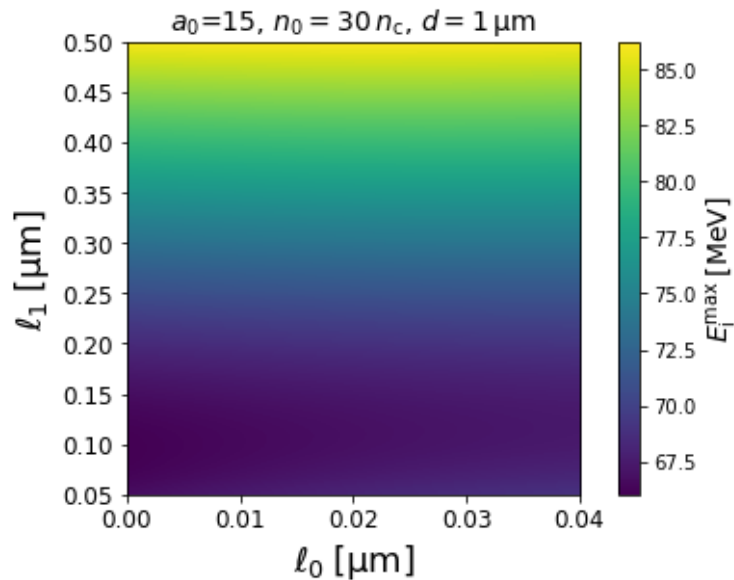
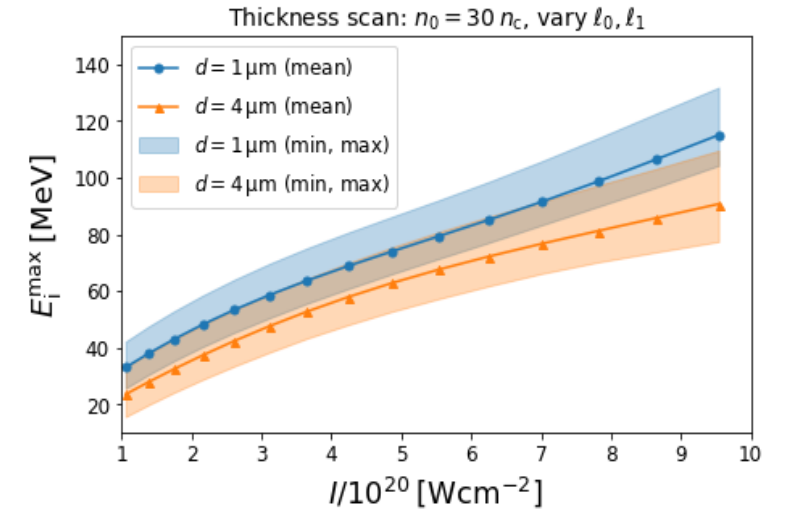
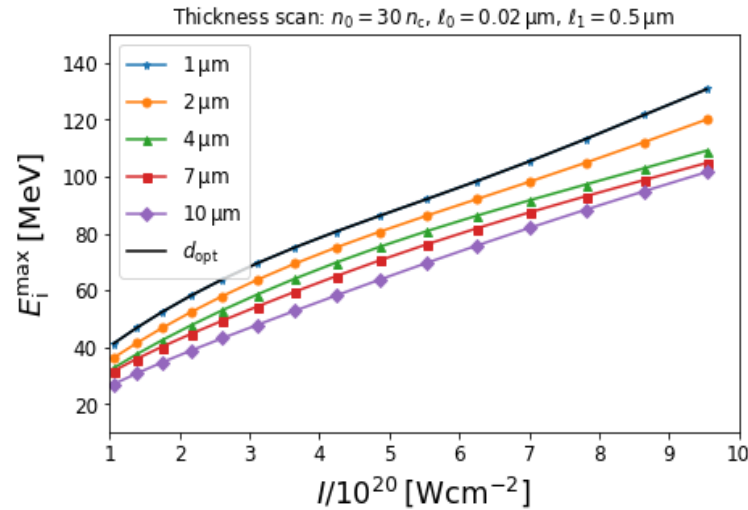
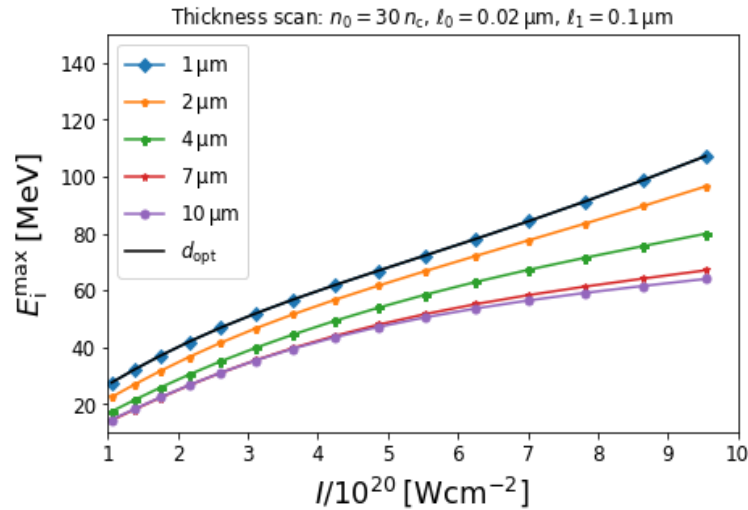
- INNs can learn both the forward as well as inverse process for different types (scalars, functional, images, ...) of data
- Given a model for the forward process, the accuracy of the INN can be interpreted in y-space.
  - Furthermore, we can compute uncertainty by mapping the sampled posterior onto y-space.

# Inverse Predictions: (Un)Ambiguity of parameters



- Test if we can learn/recover from experimental observables  $f_i, f_e$  to (simulation) parameter  $\mathbf{x}$ 
  - Of course, this is in general a distribution  $p(\mathbf{x}|\mathbf{y})$
  - Nevertheless, integrating over all but one variable might already contain useful information
- Means of posterior might (somewhat) recover parameter value.
- $l_1$  (long pre-plasma scale length) leaves a characteristic signature in spectra
- In principle, models (trained on simulations) can also be applied to experimental data
  - Need corresponding & appropriate data set.

# Model exploration



Surrogate models can help to increase rate of scientific discoveries.

E.g:

- Thickness  $d$  should be chosen smaller than 1 micron (at least for TNSA and according to (quasi)1d model)
  - Larger optimal thicknesses are expected for higher laser intensities (HB) and lower densities (MVA)
- Strong dependency on long pre-plasma scale length
  - which is in contrast to very overdense targets, say  $n_0 > 100$
  - However, it seems  $\nabla_{\ell_0} \approx \nabla_{\ell_1}$

# Conclusion and Outlook

## Conclusion

- Trained machine learning models from performed simulation campaign (1367 simulations, 6 input parameter) with predictive accuracy.
- Both MLPs and INNs are useful and play an important role for modeling.
  - MLPs show strong predictive capabilities in the forward direction.
  - INNs show strong predictive capabilities in forward AND backward direction. Furthermore, in the backward direction INNs are much more efficient than standard method (they strongly outperform against ABC).
- Functional data, having several hundred dimensions, can be effectively learned with autoencoders (more robust AEs currently developed in Nico Hoffmann's group).

## Outlook

- From observables / experimentally available outputs to “hidden” variables (e.g. phase space data).
- Cluster data to identify ranges of physical regimes.
- Check validity of theory in corresponding cluster.
- Do 2D/3D simulations, learn physics relevant to 2D/3D, e.g. instabilities.
- Use experimental data on models trained on simulations in the backward direction.