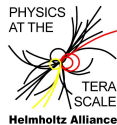


Underlying Event II

Stefan Gieseke

Institut für Theoretische Physik
KIT

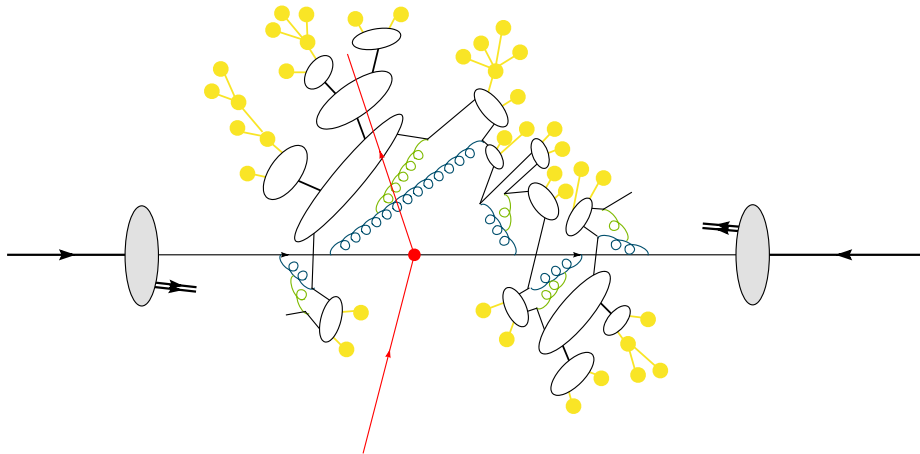
3rd Terascale MC School, 14-17 April 2011



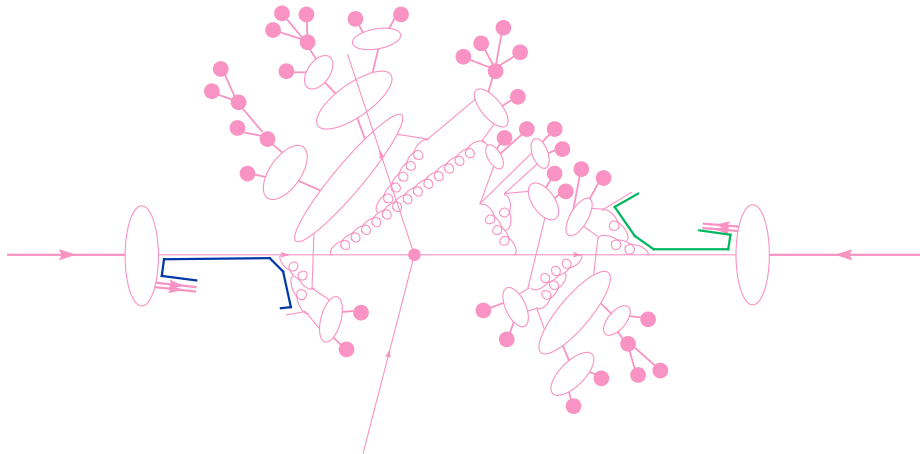
- ▶ Lecture I — Underlying Event: Introduction.
 - ▶ Triggers, harder triggers, experimental facts.
- ▶ Lecture II — Underlying Event: Modelling.
 - ▶ Mostly Herwig++

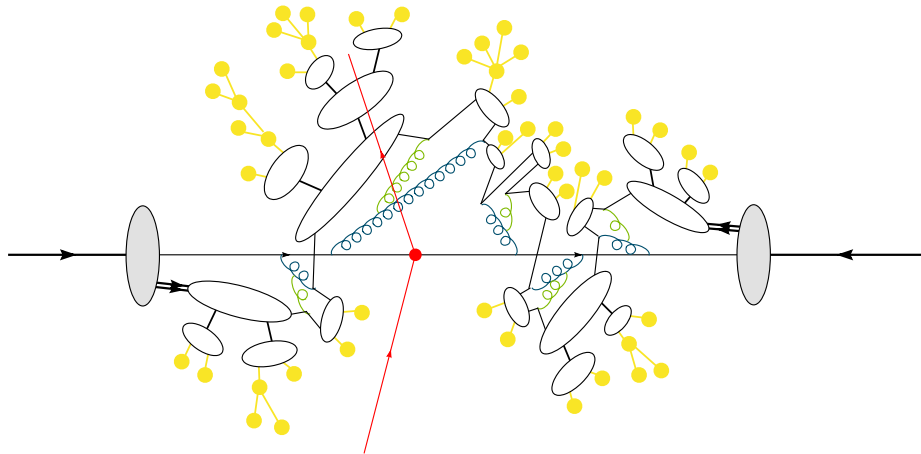
- ▶ Soft UE model (UA5).
- ▶ Hard MPI model.
- ▶ Soft MPI model.

- ▶ Follow history of Herwig UE modelling.
- ▶ Modelling in Pythia/Sherpa somewhat similar.
- ▶ Most recent developments differ.
 - ▶ Pythia: interleaved showering/MPI.
 - ▶ Sherpa: current model similar
new development: BFKL chains, integration with Diffraction.



pp Event Generator

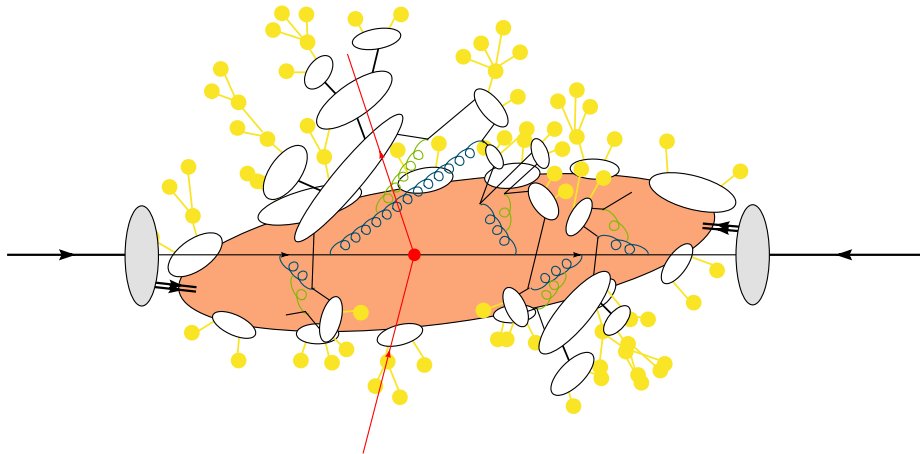




Just remnant clusters

- ▶ Simplest model?
- ▶ Connects loose colour ends and produces some N_{ch} .
- ▶ No extra transverse energy.
- ▶ Fails.

pp Event Generator

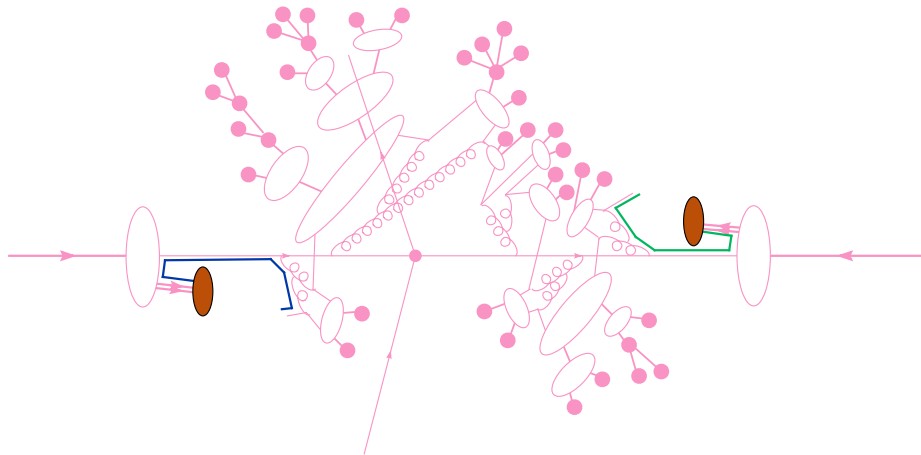


UA5 model

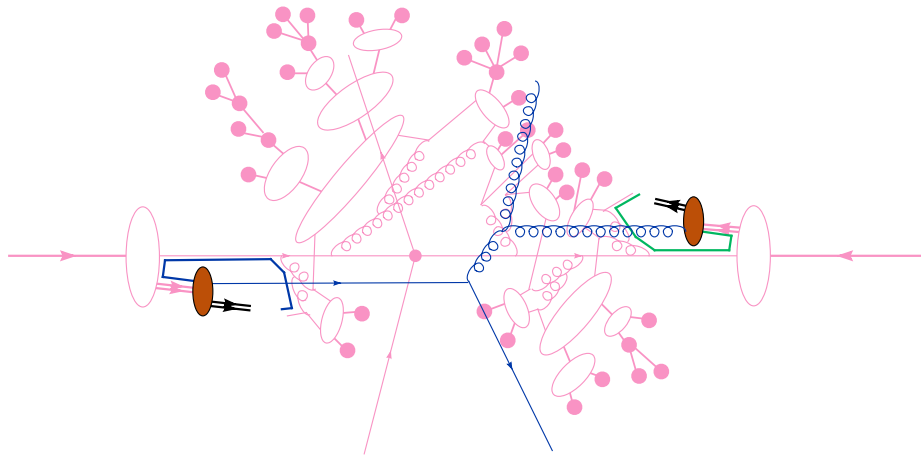
- ▶ Produce $\langle n \rangle$ extra clusters, flat in y , with soft $p_{\perp}$ spectrum.
- ▶ Included from Herwig++ 2.0. [\[Herwig++, hep-ph/0609306\]](#)
- ▶ Little predictive power.
- ▶ Only gets averages right, not large (and interesting!) fluctuations $\rightarrow$ mini jets.
- ▶ Was default in fHerwig. Superseded by JIMMY.

[\[JM Butterworth, JR Forshaw, MH Seymour, ZP C72 637 \(1996\)\]](#)

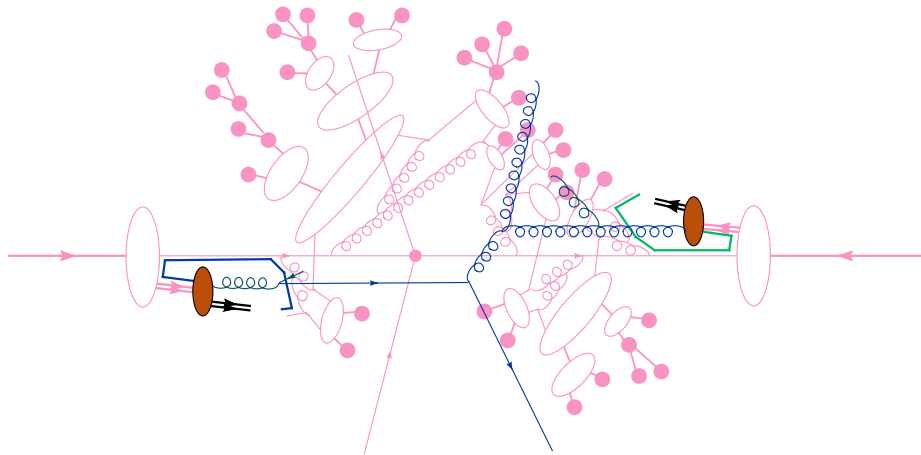
pp Event Generator



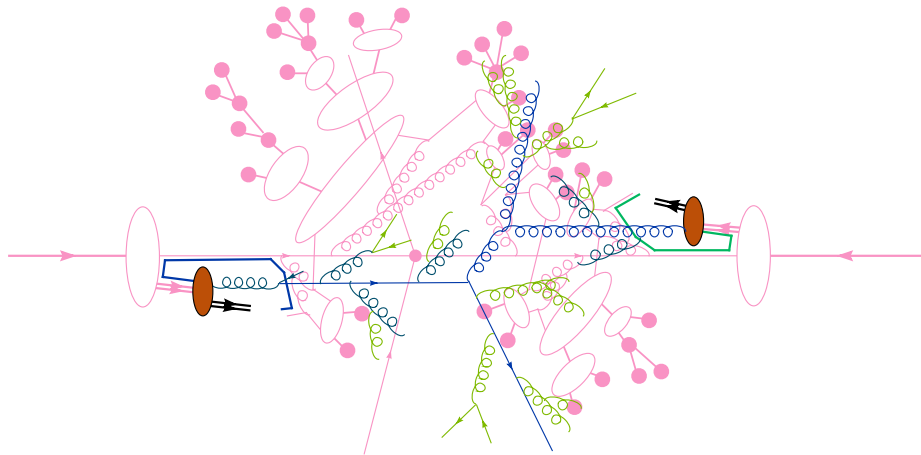
pp Event Generator



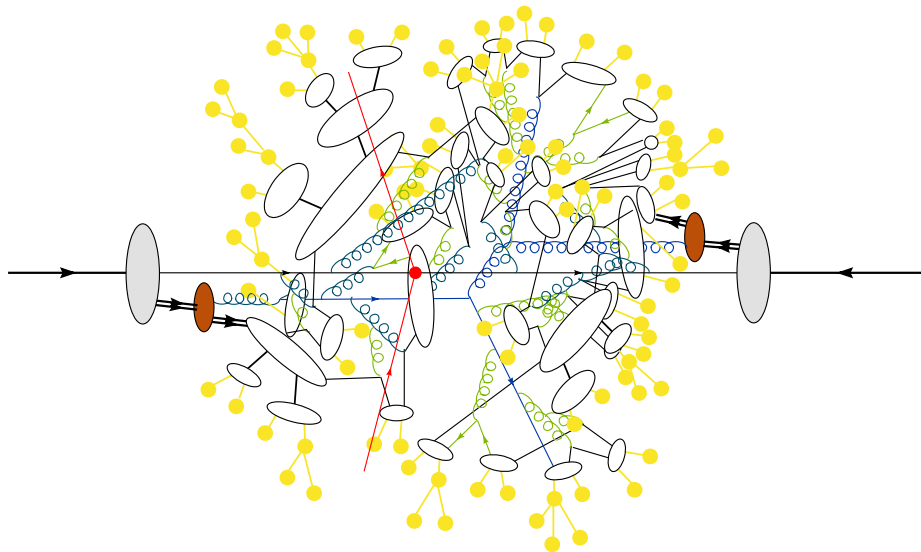
pp Event Generator

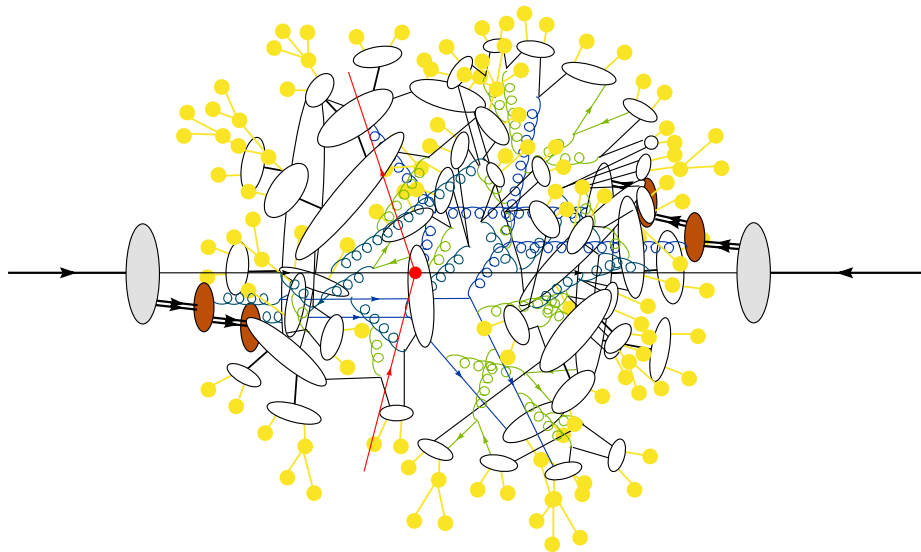


pp Event Generator



pp Event Generator





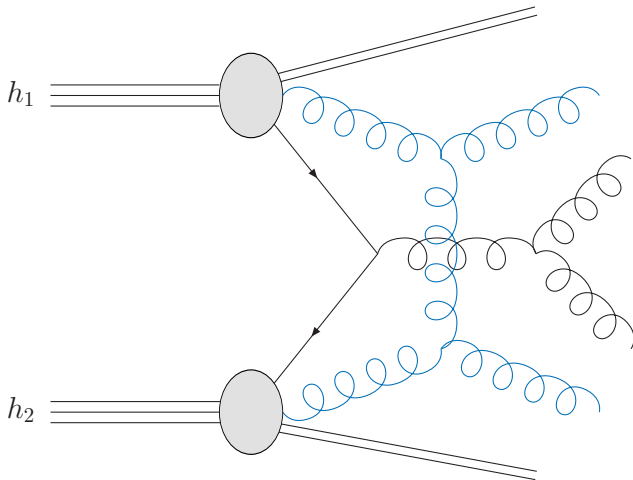
Semihard UE

- ▶ Default from Herwig++ 2.1. [Herwig++, 0711.3137]
- ▶ Multiple hard interactions, $p_t \leq p_t^{\min}$. [Bähr, SG, Seymour, JHEP 0807:076]
- ▶ Similar to JIMMY.
- ▶ Good description of harder Run I UE data (Jet20).

Soft UE

- ▶ Default from Herwig++ 2.3. [Herwig++, 0812.0529]
- ▶ Extension to soft interactions $p_t < p_t^{\min}$.
- ▶ Relation to total cross section, Exploration of parameter space.
- ▶ Extrapolation to LHC?
- ▶ Theoretical work with simplest possible extension. [Bähr, Butterworth, Seymour, JHEP 0901:065]
- ▶ “Hot Spot” model. [Bähr, Butterworth, SG, Seymour, 0905.4671]

Multiple hard interactions

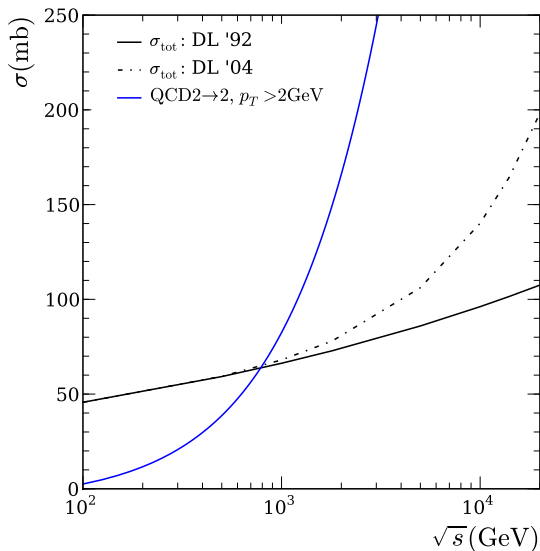


Starting point: hard inclusive jet cross section.

$$\sigma^{\text{inc}}(s; p_t^{\text{min}}) = \sum_{i,j} \int_{p_t^{\text{min}^2}} dp_t^2 f_{i/h_1}(x_1, \mu^2) \otimes \frac{d\hat{\sigma}_{i,j}}{dp_t^2} \otimes f_{j/h_2}(x_2, \mu^2),$$

$\sigma^{\text{inc}} > \sigma_{\text{tot}}$ eventually (for moderately small p_t^{min}).

Eikonal model basics



Starting point: hard inclusive jet cross section.

$$\sigma^{\text{inc}}(s; p_t^{\text{min}}) = \sum_{i,j} \int_{p_t^{\text{min}2}} dp_t^2 f_{i/h_1}(x_1, \mu^2) \otimes \frac{d\hat{\sigma}_{i,j}}{dp_t^2} \otimes f_{j/h_2}(x_2, \mu^2),$$

$\sigma^{\text{inc}} > \sigma_{\text{tot}}$ eventually (for moderately small p_t^{min}).

Interpretation: σ^{inc} counts *all* partonic scatters that happen during a single pp collision $\Rightarrow$ more than a single interaction.

$$\sigma^{\text{inc}} = \bar{n} \sigma_{\text{inel}}.$$

Use eikonal approximation (= independent scatters). Leads to Poisson distribution of number m of additional scatters,

$$P_m(\vec{b}, s) = \frac{\bar{n}(\vec{b}, s)^m}{m!} e^{-\bar{n}(\vec{b}, s)} .$$

Then we get σ_{inel} :

$$\sigma_{\text{inel}} = \int d^2\vec{b} \sum_{m=1}^{\infty} P_m(\vec{b}, s) = \int d^2\vec{b} \left(1 - e^{-\bar{n}(\vec{b}, s)} \right) .$$

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Cf. σ_{inel} from scattering theory in eikonal approx. with scattering amplitude $a(\vec{b}, s) = \frac{1}{2i}(e^{-\chi(\vec{b}, s)} - 1)$

$$\sigma_{\text{inel}} = \int d^2\vec{b} \left(1 - e^{-2\chi(\vec{b}, s)}\right) \quad \Rightarrow \quad \chi(\vec{b}, s) = \frac{1}{2}\bar{n}(\vec{b}, s) .$$

$\chi(\vec{b}, s)$ is called *eikonal* function.

Calculation of $\bar{n}(\vec{b}, s)$ from parton model assumptions:

$$\begin{aligned}\bar{n}(\vec{b}, s) &= L_{\text{partons}}(x_1, x_2, \vec{b}) \otimes \sum_{ij} \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &= \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \int d^2\vec{b}' \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &\quad \times D_{i/A}(x_1, p_t^2, |\vec{b}'|) D_{j/B}(x_2, p_t^2, |\vec{b} - \vec{b}'|)\end{aligned}$$

Calculation of $\bar{n}(\vec{b}, s)$ from parton model assumptions:

$$\begin{aligned}\bar{n}(\vec{b}, s) &= L_{\text{partons}}(x_1, x_2, \vec{b}) \otimes \sum_{ij} \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &= \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \int d^2\vec{b}' \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &\quad \times D_{i/A}(x_1, p_t^2, |\vec{b}'|) D_{j/B}(x_2, p_t^2, |\vec{b} - \vec{b}'|) \\ &= \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \int d^2\vec{b}' \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\ &\quad \times f_{i/A}(x_1, p_t^2) G_A(|\vec{b}'|) f_{j/B}(x_2, p_t^2) G_B(|\vec{b} - \vec{b}'|) \\ &= A(\vec{b}) \sigma^{\text{inc}}(s; p_t^{\text{min}}) .\end{aligned}$$

Calculation of $\bar{n}(\vec{b}, s)$ from parton model assumptions:

$$\begin{aligned}\bar{n}(\vec{b}, s) &= L_{\text{partons}}(x_1, x_2, \vec{b}) \otimes \sum_{ij} \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\&= \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \int d^2\vec{b}' \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\&\quad \times D_{i/A}(x_1, p_t^2, |\vec{b}'|) D_{j/B}(x_2, p_t^2, |\vec{b} - \vec{b}'|) \\&= \sum_{ij} \frac{1}{1 + \delta_{ij}} \int dx_1 dx_2 \int d^2\vec{b}' \int dp_t^2 \frac{d\hat{\sigma}_{ij}}{dp_t^2} \\&\quad \times f_{i/A}(x_1, p_t^2) G_A(|\vec{b}'|) f_{j/B}(x_2, p_t^2) G_B(|\vec{b} - \vec{b}'|) \\&= A(\vec{b}) \sigma^{\text{inc}}(s; p_t^{\text{min}}) .\end{aligned}$$

$$\Rightarrow \quad \chi(\vec{b}, s) = \frac{1}{2} \bar{n}(\vec{b}, s) = \frac{1}{2} A(\vec{b}) \sigma^{\text{inc}}(s; p_t^{\text{min}}) .$$

Overlap function

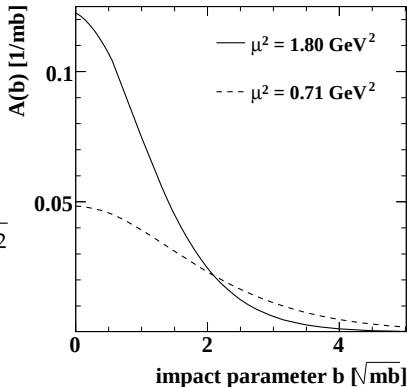
$$A(b) = \int d^2\vec{b}' G_A(|\vec{b}'|) G_B(|\vec{b} - \vec{b}'|)$$

$G(\vec{b})$ from electromagnetic FF:

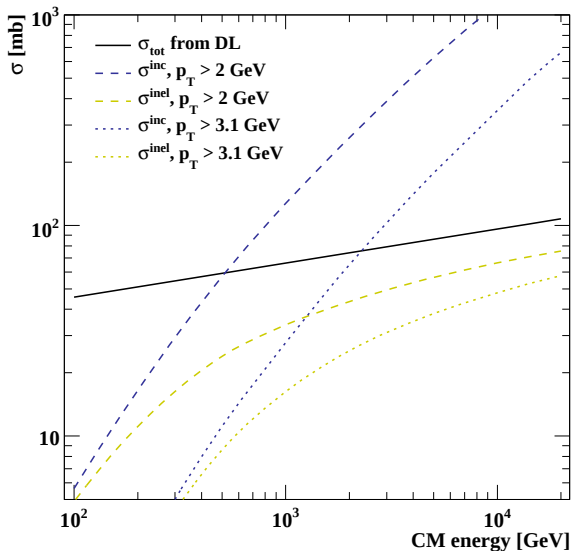
$$G_p(\vec{b}) = G_{\bar{p}}(\vec{b}) = \int \frac{d^2\vec{k}}{(2\pi)^2} \frac{e^{i\vec{k}\cdot\vec{b}}}{(1 + \vec{k}^2/\mu^2)^2}$$

But μ^2 *not fixed* to the
electromagnetic 0.71 GeV^2 .
Free for colour charges.

$\Rightarrow$ Two main parameters: $\mu^2, p_t^{\min}$.

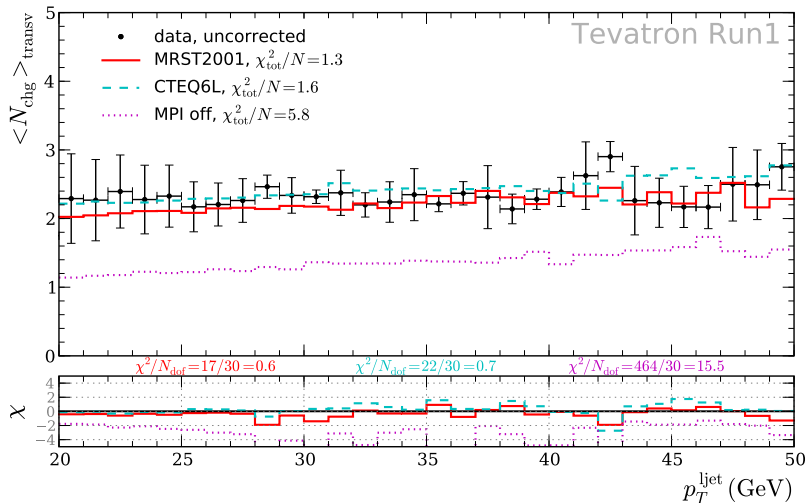


Unitarized cross sections



Semi hard underlying event

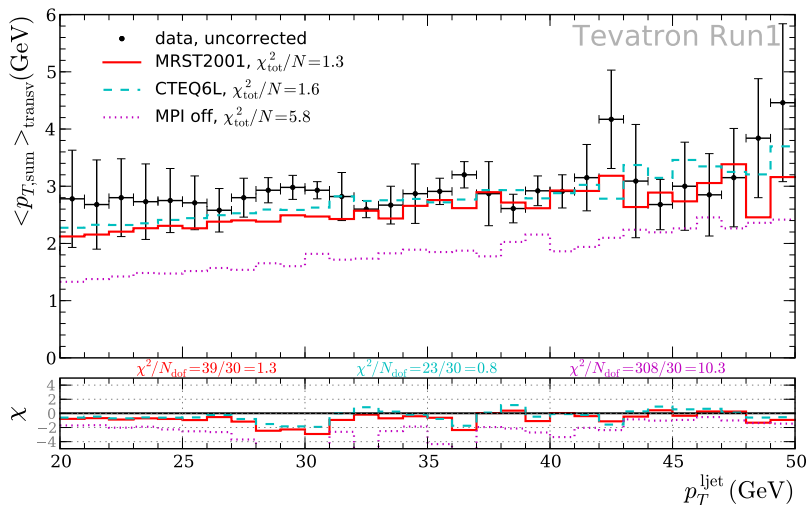
Good description of Run I Underlying event data ($\chi^2 = 1.3$).



Only $p_T^{\text{ljet}} > 20 \text{ GeV}$.

Semi hard underlying event

Good description of Run I Underlying event data ($\chi^2 = 1.3$).



Only $p_T^{\text{lj}et} > 20 \text{ GeV}$.

So far only hard MPI.

Now extend to soft interactions with

$$\chi_{\text{tot}} = \chi_{\text{QCD}} + \chi_{\text{soft}}.$$

Similar structures of eikonal functions:

$$\chi_{\text{soft}} = \frac{1}{2} A_{\text{soft}}(\vec{b}) \sigma_{\text{soft}}^{\text{inc}}$$

Simplest possible choice: $A_{\text{soft}}(\vec{b}; \mu) = A_{\text{hard}}(\vec{b}; \mu) = A(\vec{b}; \mu)$.

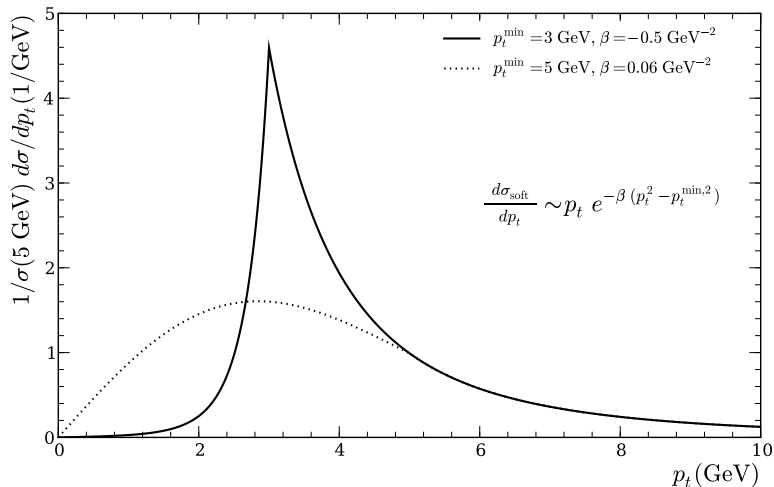
Then

$$\chi_{\text{tot}} = \frac{A(\vec{b}; \mu)}{2} (\sigma_{\text{hard}}^{\text{inc}} + \sigma_{\text{soft}}^{\text{inc}}) .$$

One new parameter $\sigma_{\text{soft}}^{\text{inc}}$.

Extending into the soft region

Continuation of the differential cross section into the soft region $p_t < p_t^{\min}$ (here: p_t integral kept fixed)



Exploit knowledge of σ_{tot} in eikonal model:

$$\begin{aligned}\sigma_{\text{tot}} &= 2 \int d^2\vec{b} \left(1 - e^{-\chi_{\text{tot}}(\vec{b}, s)} \right) \\ &= 2 \int d^2\vec{b} \left(1 - e^{-\frac{A(\vec{b}; \mu)}{2} (\sigma_{\text{hard}}^{\text{inc}} + \sigma_{\text{soft}}^{\text{inc}})} \right)\end{aligned}$$

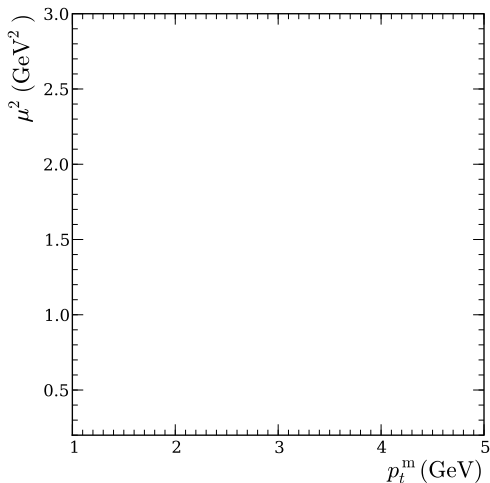
σ_{tot} well measured. Fixes $\sigma_{\text{soft}}^{\text{inc}}$.

Energy extrapolation from Donnachie–Landshoff

- ▶ DL '92 [D&L, PLB296, 227 (1992)]
- ▶ DL '92 normalized at TVT
- ▶ DL '04 [D&L, PLB595, 393 (2004)]

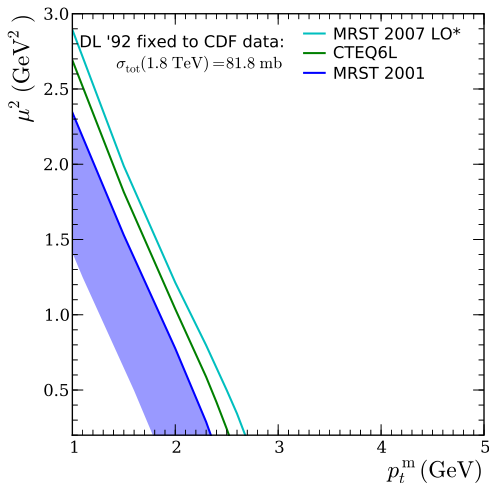
Constraints at the Tevatron

- Find constraints on (p_t^{min}, μ) .



Constraints at the Tevatron

- Find constraints on $(p_t^{\min}, \mu)$.
- Require $\sigma_{\text{soft}}^{\text{inc}} > 0$ mb, while describing σ_{tot} .



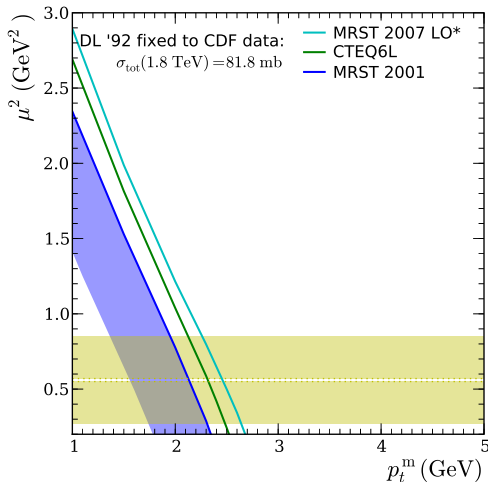
Constraints at the Tevatron

- Find constraints on $(p_t^{\min}, \mu)$.
- Require $\sigma_{\text{soft}}^{\text{inc}} > 0$ mb, while describing σ_{tot} .
- Require elastic t -slope,

$$b_{\text{el}}(s) = \left[\frac{d}{dt} \left(\ln \frac{d\sigma_{\text{el}}}{dt} \right) \right]_{t=0},$$

to be correctly described

$$b_{\text{el}}(s) = \int d^2\vec{b} \frac{b^2}{\sigma_{\text{tot}}} [1 - e^{-\chi_{\text{tot}}}] .$$



Constraints at the Tevatron

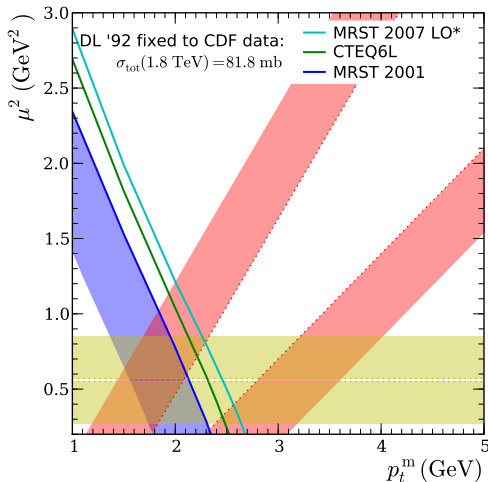
- Find constraints on $(p_t^{\min}, \mu)$.
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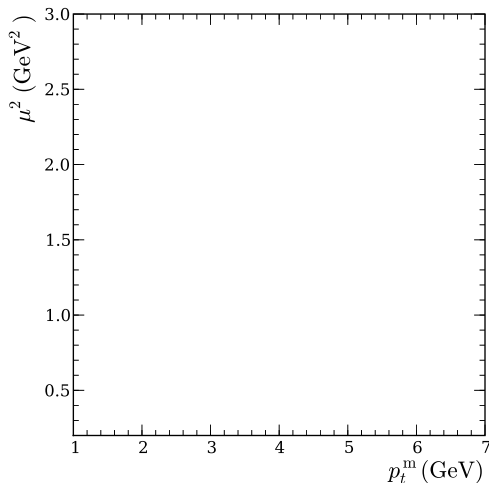
to be correctly described

$$b_{\text{el}}(s) = \int d^2\vec{b} \frac{b^2}{\sigma_{\text{tot}}} [1 - e^{-\chi_{\text{tot}}}] .$$

- Final state tune of **semi-hard** MPI (MRST2001)

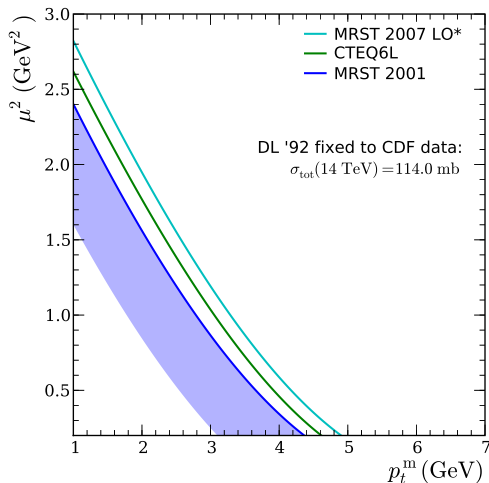


- What to expect at 14 TeV?



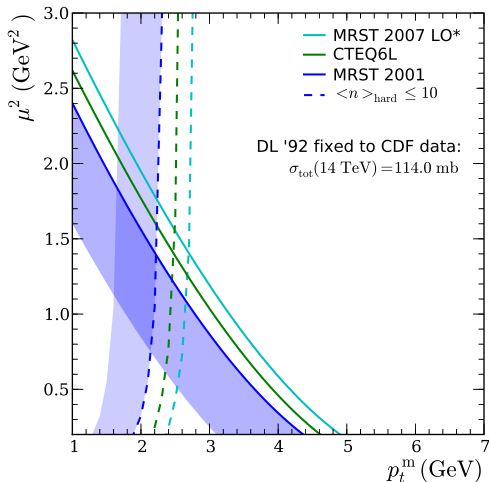
Constraints at the LHC

- What to expect at 14 TeV?
- $\sigma_{\text{soft}}^{\text{inc}} > 0$ mb. σ_{tot} from Regge fit



Constraints at the LHC

- What to expect at 14 TeV?
- $\sigma_{\text{soft}}^{\text{inc}} > 0$ mb. σ_{tot} from Regge fit
- Require $\bar{n}_{\text{hard}} < 10$

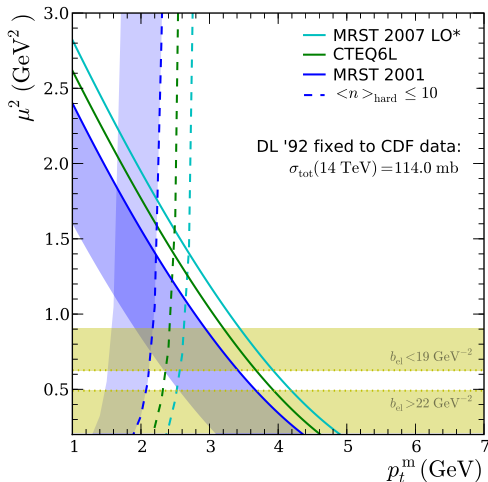


Constraints at the LHC

- What to expect at 14 TeV?
- $\sigma_{\text{soft}}^{\text{inc}} > 0$ mb. σ_{tot} from Regge fit
- Require $\bar{n}_{\text{hard}} < 10$
- Require elastic t -slope to be correctly described.
Get range of possible measurements from DL '92 and predictions for b_{el}

[Khoze, Martin, Ryskin, 0710.2494]

[Gotsman, Levin, Maor, 0708.1506]

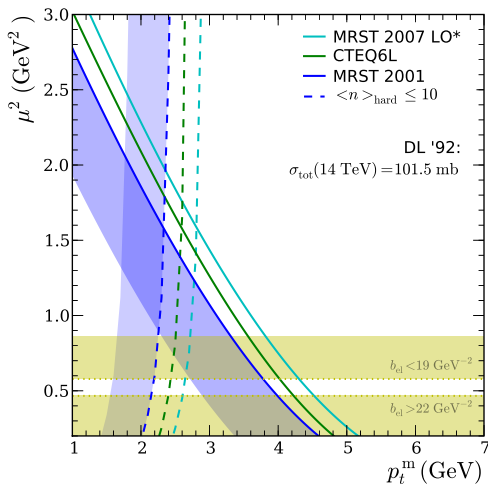


Constraints at the LHC

- What to expect at 14 TeV?
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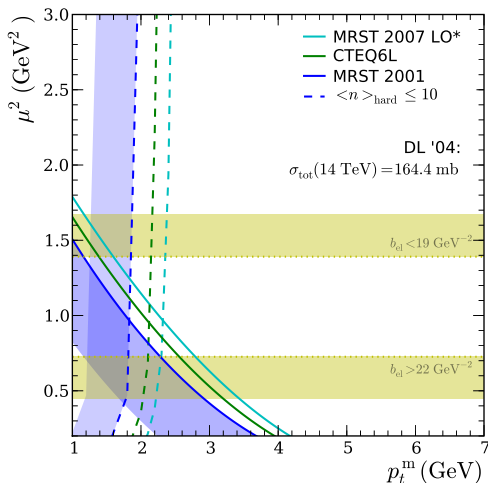


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Get range of possible measurements from DL '92 and predictions for b_{el}

[Khoze, Martin, Ryskin, 0710.2494]

[Gotsman, Levin, Maor, 0708.1506]



Observations

- ▶ $\sigma_{\text{soft}}^{\text{inc}}$ rises artificially fast (expect $\sim s^{0.08}$).
- ▶ Forced to have energy dependent parameters (would like to have the choice, i.e. let measurements decide).
- ▶ Measurement of b_{el} fixes μ^2 at Tevatron:

$$\mu^2 = 0.56 \pm 0.01 \text{ GeV}^2$$

$\sigma_{\text{eff}} = (\int d^2\vec{b} A^2(b))^{-1}$ as measured by CDF in $\gamma + 3j$:

$$\mu^2 = 3.0 \pm 0.5 \text{ GeV}^2 .$$

→ Relax the constraint of identical overlap functions:

$$A_{\text{soft}}(b) = A(b, \mu_{\text{soft}})$$

If $\mu > \mu_{\text{soft}}$: Hot Spots

Fix the two parameters μ_{soft} and $\sigma_{\text{soft}}^{\text{inc}}$ in

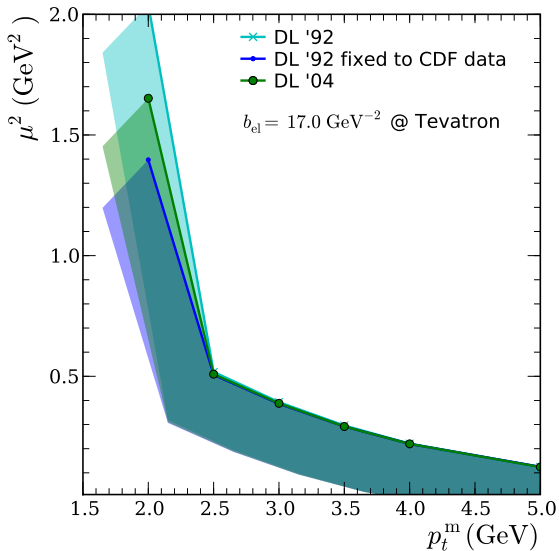
$$\chi_{\text{tot}}(\vec{b}, s) = \frac{1}{2} \left(A(\vec{b}; \mu) \sigma^{\text{inc}}_{\text{hard}}(s; p_t^{\text{min}}) + A(\vec{b}; \mu_{\text{soft}}) \sigma_{\text{soft}}^{\text{inc}} \right)$$

from two constraints. Require simultaneous description of σ_{tot} and b_{el} (measured/well predicted),

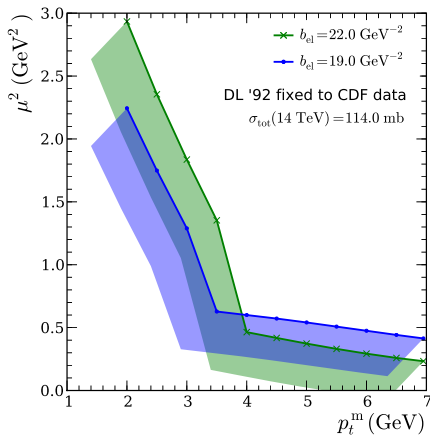
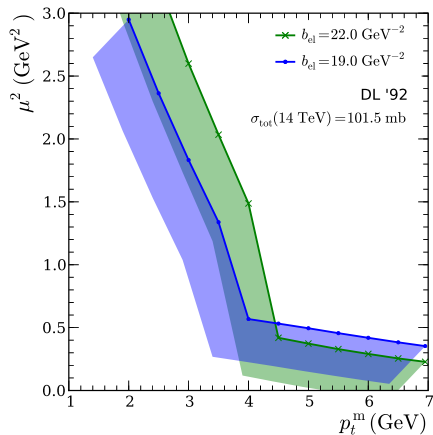
$$\begin{aligned} \sigma_{\text{tot}}(s) &\stackrel{!}{=} 2 \int d^2\vec{b} \left(1 - e^{-\chi_{\text{tot}}(\vec{b}, s)} \right) , \\ b_{\text{el}}(s) &\stackrel{!}{=} \int d^2\vec{b} \frac{b^2}{\sigma_{\text{tot}}} \left(1 - e^{-\chi_{\text{tot}}(\vec{b}, s)} \right) . \end{aligned}$$

Tevatron parameter space

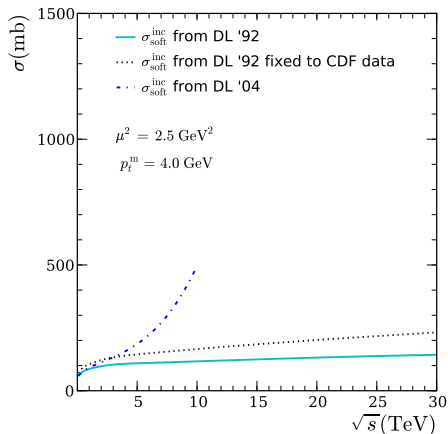
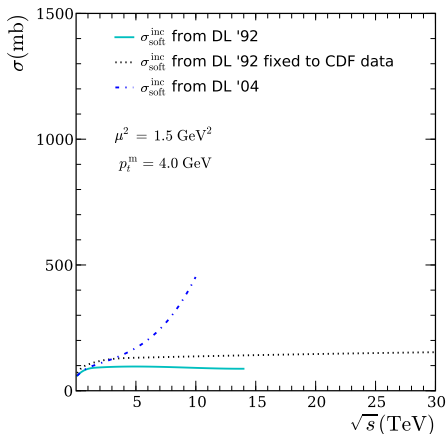
Only one constraint:
describe σ_{tot} and b_{el} .



Same for LHC except for uncertainty in b_{el} and σ_{tot} .



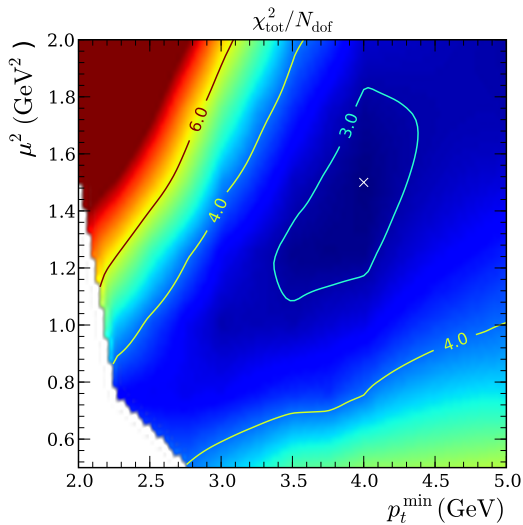
Resulting soft cross section



- ▶ So far: only indirect constraints from σ_{tot} and σ_{el} .
- ▶ Now use model in Herwig++ with $\bar{n}(\vec{b}, s)$ as input for MPI.
- ▶ Remaining free parameters $(p_t^{\text{min}}, \mu^2)$.
- ▶ Look at χ^2/dof for Tevatron Run I data in the $(p_t^{\text{min}}, \mu^2)$ plane.

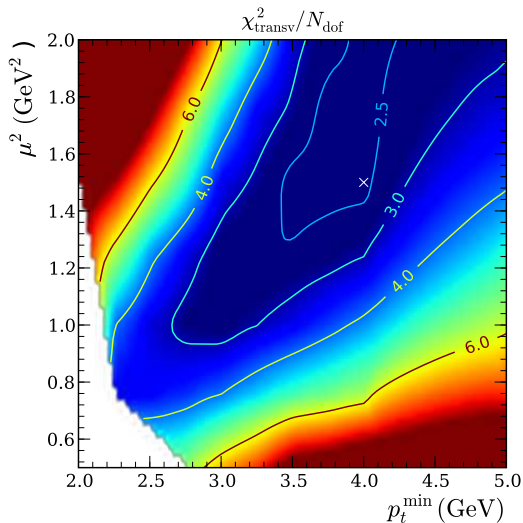
Parameter space at Tevatron

- χ^2 for Rick's Run1
Jet analysis for **all**
regions

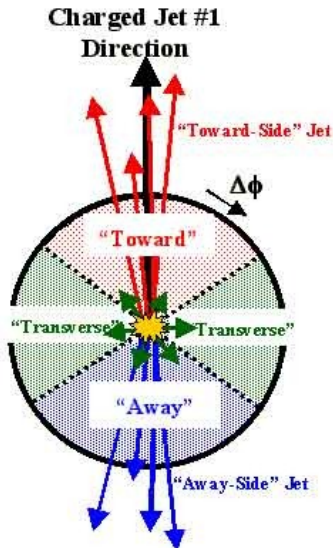
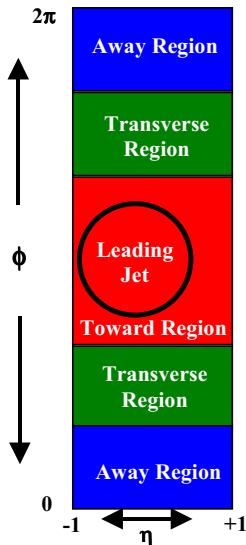


Parameter space at Tevatron

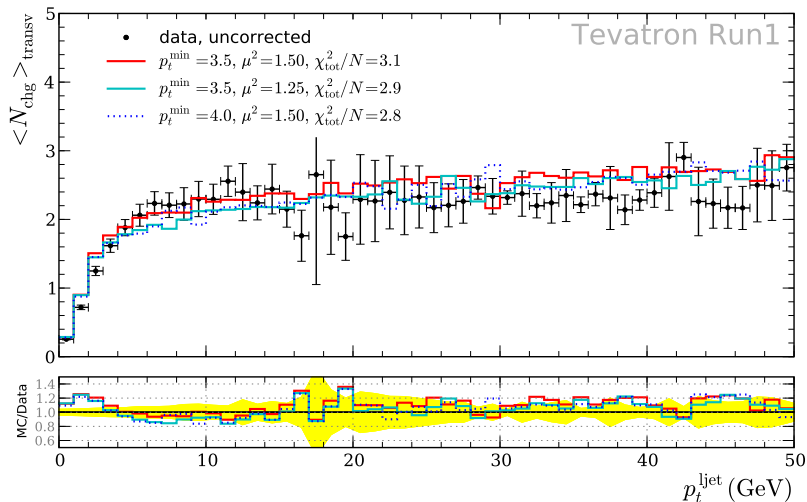
- χ^2 for Rick's Run1 Jet analysis for **all** regions
- only the transverse region



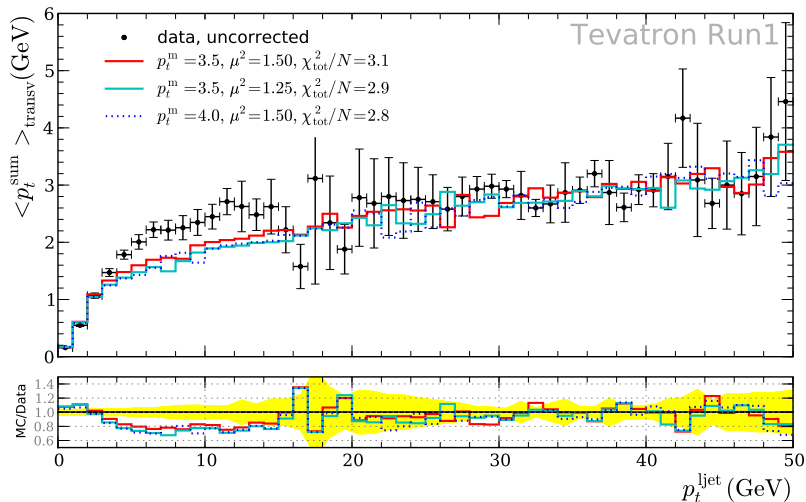
Rick Field's analysis



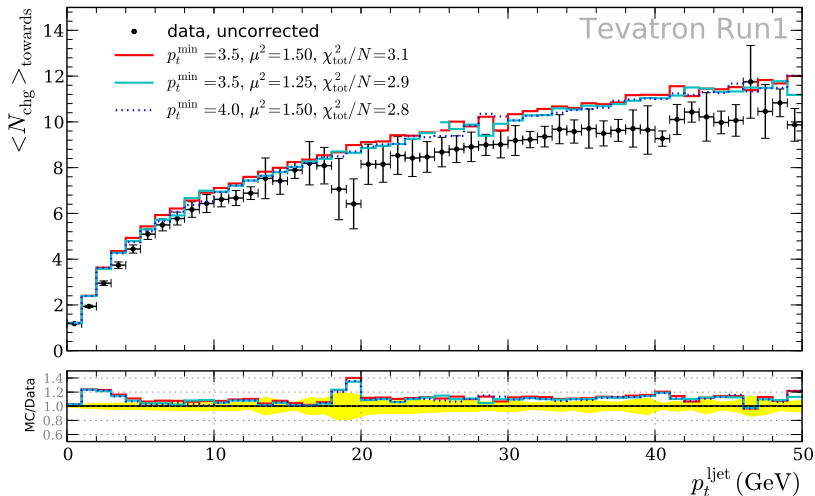
Detailed look at observables: Transverse Region



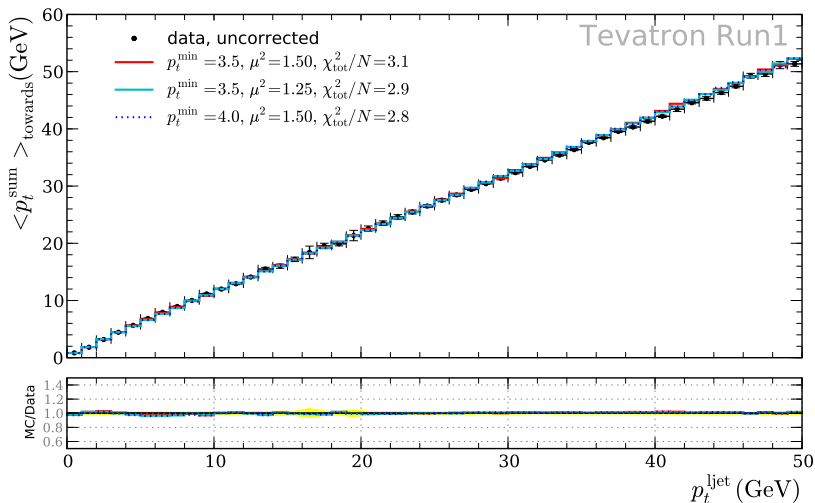
Detailed look at observables: Transverse Region



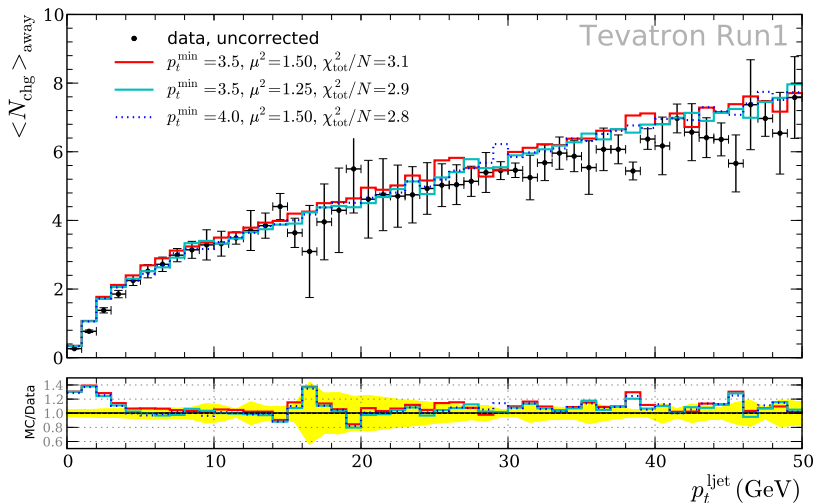
Detailed look at observables: Towards Region



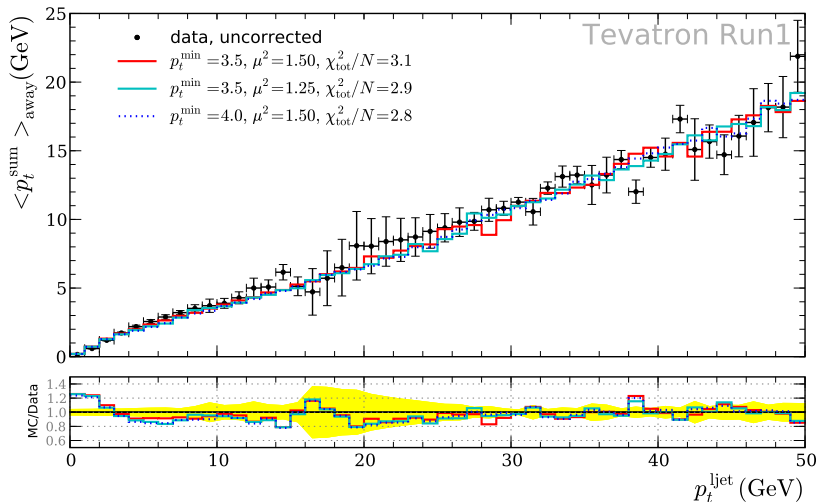
Detailed look at observables: Towards Region



Detailed look at observables: Away Region



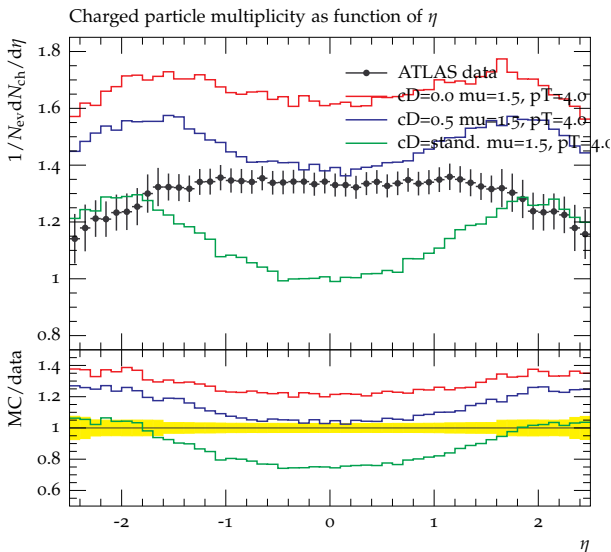
Detailed look at observables: Away Region



First plots against LHC data

- ▶ Not all aspects well described.
- ▶ Despite very good agreement with Rick Field's CDF UE analysis.
- ▶ Observe sensitivity to colour structure.

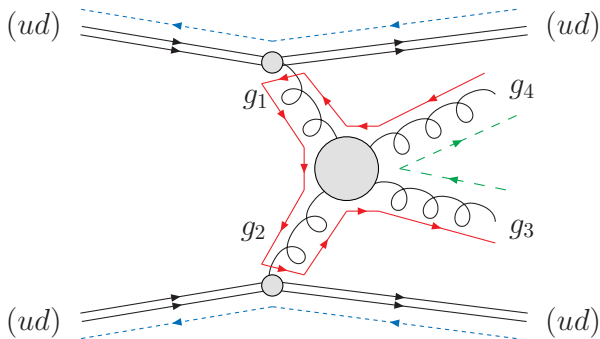
Colour structure of soft events.



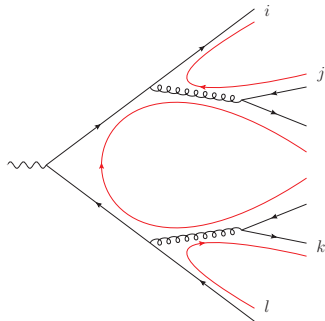
Sensitivity to parameter

$$\text{colourDisrupt} = P(\text{disrupt colour lines})$$

(as opposed to hard QCD).



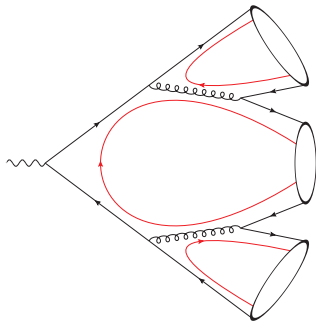
Colour reconnection (CR) in Herwig++



Extend cluster hadronization:

- QCD parton showers provide *pre-confinement* $\Rightarrow$ colour-anticolour pairs

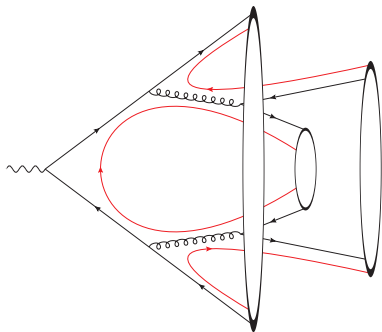
Colour reconnection (CR) in Herwig++



Extend cluster hadronization:

- ▶ QCD parton showers provide *pre-confinement* $\Rightarrow$ colour-anticolour pairs
- ▶ $\rightarrow$ *clusters*

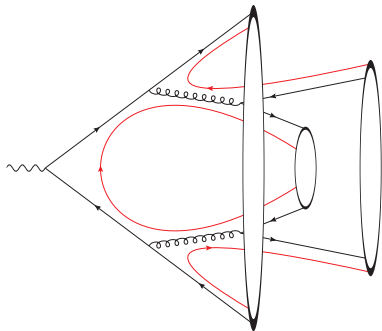
Colour reconnection (CR) in Herwig++



Extend cluster hadronization:

- ▶ QCD parton showers provide *pre-confinement* $\Rightarrow$ colour-anticolour pairs
- ▶ $\rightarrow$ *clusters*
- ▶ CR in the cluster hadronization model: allow *reformation* of clusters, e.g. $(il) + (jk)$

Colour reconnection (CR) in Herwig++



Extend cluster hadronization:

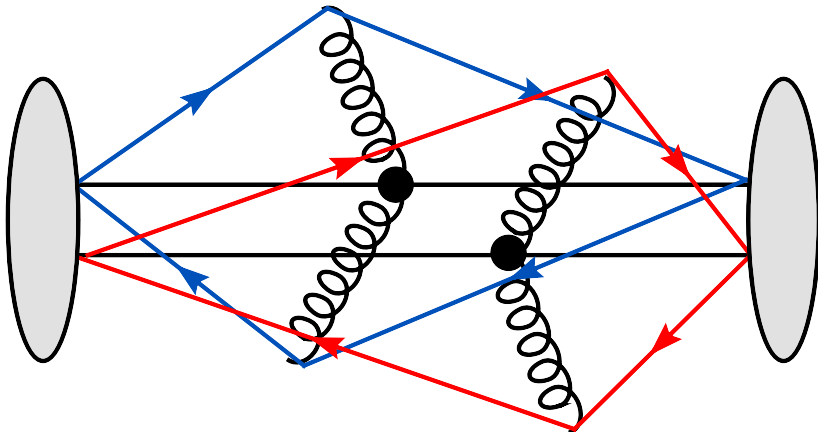
- ▶ QCD parton showers provide *pre-confinement* $\Rightarrow$ colour-anticolour pairs
- ▶ $\rightarrow$ *clusters*
- ▶ CR in the cluster hadronization model: allow *reformation* of clusters, e.g. $(il) + (jk)$

- ▶ Allow CR if the cluster mass decreases,

$$M_{il} + M_{kj} < M_{ij} + M_{kl},$$

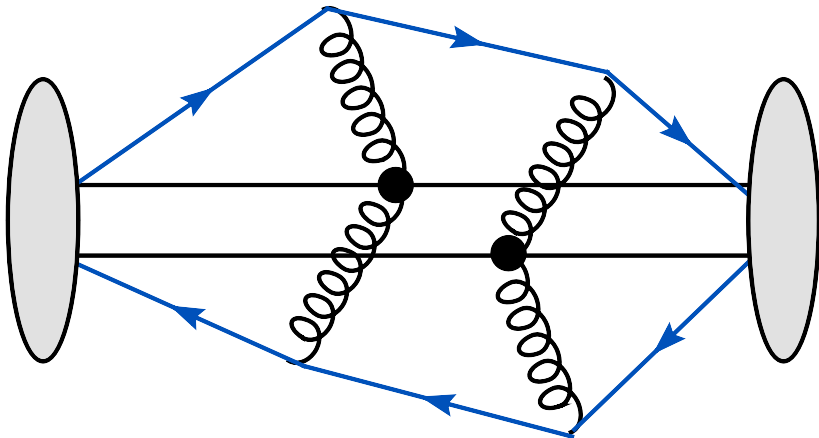
- ▶ Accept alternative clustering with probability p_{reco} (model parameter) $\Rightarrow$ this allows to switch on CR smoothly

Colour reconnection at hadron colliders



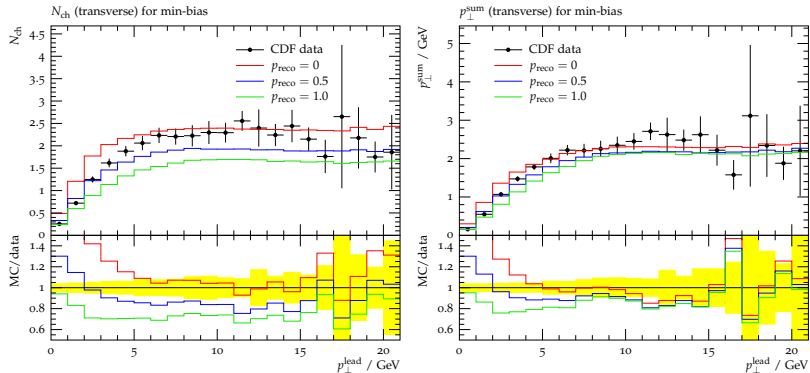
- Colour preconfinement
- Shorten colour string/lower mass clusters.

Colour reconnection at hadron colliders



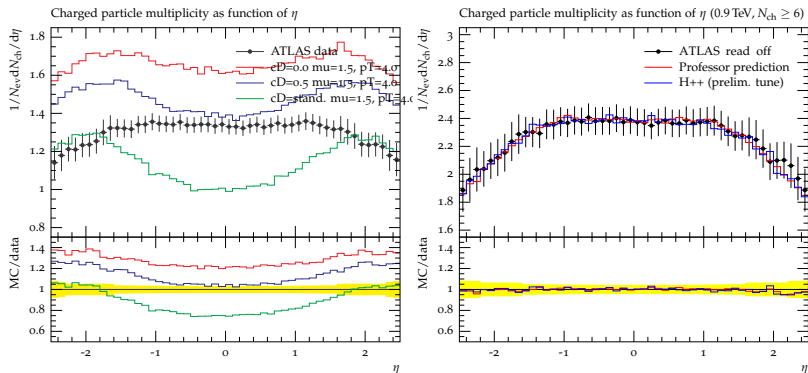
- Colour preconfinement
- Shorten colour string/lower mass clusters.

A quick look at CDF data

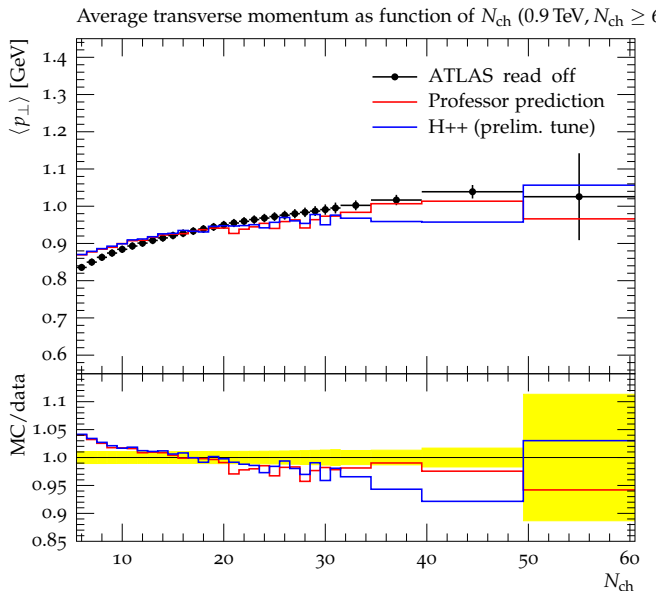


Sensitivity different for the two observables.

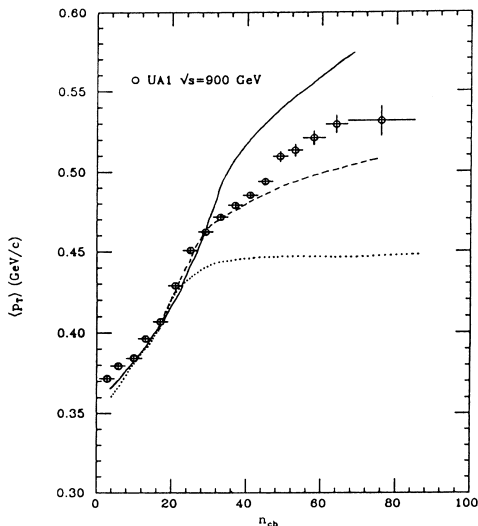
Comparison with MinBias ATLAS data (900 GeV)



Comparison with MinBias ATLAS data (900 GeV)



Colour reconnections



- Sensitivity to CR already known from UA5.
- (From Sjöstrand/van Zijl)

- ▶ Also works well at 2.36 TeV and 7 TeV.
- ▶ See also talk of A. Knutsson.
- ▶ Need new tunes.
- ▶ Lack of energy dependence.
- ▶ Centrality dependence only inclusive.

- ▶ MPI (with colour reconnections) currently model of choice.
- ▶ Describes averages *and* fluctuations.
- ▶ Not always universal, but all models tunable.
- ▶ soft component needed for MB modelling.
- ▶ Constraints from inclusive cross sections.
- ▶ Different emphasis on hard/soft modelling between generators.
- ▶ Many details still only models.