Electromagnetic calorimeter

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63 Summary

The electromagnetic calorimeters of the LUXE experiment are designed as sampling calorimeters 64 using GaAs or silicon sensors and tungsten absorber plates. They will measure the number of 65 positrons and their energy spectrum in e-laser and γ -laser interactions (ECAL-P with GaAs 66 sensors) or electrons in γ -laser interactions (ECAL-E). In addition, they will be used for the 67 cross-calibration of the energy scale with the tracker. In the following the mechanical structure, 68 the sensors, the Front-End electronics and the DAQ are described. Monte Carlo simulations 69 based on the GEANT4 package are used to estimate the performance of ECAL-P in terms of 70 energy and position resolution, as well as for the reconstruction of the number of positrons 71 impacting the ECAL-P and their energy spectrum. Similar studies for ECAL-E are planned. 72 73

74 1 Introduction

The LUXE experiment will explore the uncharted territory of strong field quantum elec-75 trodynamics (SFQED) in e-laser and γ -laser interactions at an effective field strength at 76 and above the Schwinger limit. In this regime, non-linear and non-pertubative effects are 77 expected to appear in the production rates of electron-positron pairs. Hence, the number 78 of electron-positron pairs and their energy distribution in e-laser and γ -laser interactions 79 are key characteristics to probe SFQED. In the LUXE experiment, the schematic layouts 80 of which is shown in Fig. ref:layoutdetailed, positrons originating from trident production 81 in e-laser and from the Breit-Wheeler process γ -laser interactions will be measured in a de-82 tector system consisting of a tracker followed by an electromagnetic calorimeter, ECAL-P. 83 The ECAL-P design is based on a technology for highly compact electromagnetic calor-84 imeters which was developed by the FCAL collaboration [1, 2], an R&D collaboration 85 to design, build and test prototypes of luminometers for future linear electron-positron 86 colliders, (ILC or CLIC). In the γ -laser setup, also the spectra of electrons originating



Figure 1: Schematic layouts for the *e*-laser and γ -laser setup. Shown are the magnets, detectors and main shielding and absorbing elements.

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from the Breit-Wheeler process will be measured. For that purpose, the same tracker as for positrons will be followed by the prototype CALICE silicon-tungsten electromagnetic calorimeter, ECAL-E [3]. The highly granular ECAL-E is the reference design of the electromagnetic calorimeter for the International Large Detector (ILD) concept.

The tracker and the ECAL-P (ECAL-E) are located downstream of a dipole magnet 92 which directs the positrons (electrons) from the interaction point to the detectors. The 93 correlation between the position and the energy of the positrons, determined by the dipole 94 magnetic field, constitutes the basis for energy measurements in the tracker. By directly 95 measuring the energy, the ECAL-P can determine whether more than one particle had 96 almost the same path. Thus, the role of the ECAL-P is to determine, independently of 97 the tracker, the number and energy spectra of positrons. The combination will provide 98 an independent in situ energy calibration. In addition, it will ensure a good control of 99 the beam-related background. 100

- ¹⁰¹ In the following the design and performance mainly of the ECAL-P is described in detail.
- ¹⁰² Whenever available, details about the ECAL-E are also provided.

¹⁰³ 2 Requirements and challenges

To measure the number and energy spectrum of positrons per bunch crossing, challenges 104 and requirements depend on two major scenarios. In the first, when the number of 105 positrons is very small, the showers must be measured on top of low energy, but widely 106 spread, background. In the second, when the number of positrons is large and single 107 positron showers overlap, the number of positrons and their spectrum can still be measured 108 using an energy flow algorithm as explained below. However, non-linearity of the readout 109 electronics and potentially of the signal generation in the sensor has to be taken into 110 account. In the first scenario, a small Molière radius and high granularity will improve 111 the performance of the measurement. In the second, high granularity becomes essential. 112 To get a quantitative picture, a custom-developed strong-field QED computer code, named 113 PTARMIGAN [4], is used to simulate the strong field interactions for the relevant physics 114 processes. Simulations are done for different laser parameters. For phase-0, at the start 115 of the experiment, a laser power of 40 TW is assumed, while in phase-1 the laser power 116 is increased to 350 TW. To achieve different values of ξ , the laser focus is varied. The 117 positron yield per bunch crossing for e-laser and γ -laser interactions are shown in Fig. 2 118 as function of ξ , where ξ is the intensity parameter of the laser field. It is seen that



Figure 2: Number of positrons per bunch crossing produced in the *e*-laser and γ -laser setups for phase-0 and phase-1, as a function of ξ . The electron beam energy is set to 16.5 GeV, and the laser waist parameter varies between 100 μ m and 3 μ m in the range of ξ .

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¹²⁰ for the *e*-laser mode the positron yield is larger than for the γ -laser one by a factor of ¹²¹ ~ 10 - 10000, depending on ξ . For phase-0 of the *e*-laser run, up to 10⁵ positrons are ¹²² expected per bunch crossing, while for the γ -laser running at low ξ , most of the bunch

crossings are without a positron, and only $\sim 10^2$ are expected at the highest ξ . For 123

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phase-1 the rates are roughly 10–100 times larger. ¹ The expected positron spectra are typically between 2 and $14 \,\text{GeV}$, and are slightly softer for the *e*-laser mode, as can be 125 seen in Fig. 3.



Figure 3: The positron energy spectrum for the *e*-laser and the γ -laser setup for phase-0 for different values of ξ .

126

Also shown in Fig. 3 are the expected energy spectra for phase-0 for both processes for 127 selected ξ values. With increasing ξ values, the maximum of the spectrum slightly shifts 128 toward lower energies. 129

To keep the Molière radius small, a compact sandwich calorimeter with tungsten absorber 130 plates interspersed with solid state pad sensors² is designed. The thickness of the tungsten 131 plates is 3.5 mm, corresponding to one radiation length. The gap between two absorber 132 plates is 1 mm, requiring firstly ultra-thin assembled detector planes, and secondly tung-133 sten plates of very good flatness. The acceptance range in the horizontal, x, direction 134 is defined by the positron spectrum and the magnetic field of the dipole magnet, and 135 amounts to about 50 cm. The height in y direction is, due to the small Molière radius, 136 only $5.5 \,\mathrm{cm}$. 137

For performance studies and layout optimisation, full detector simulations are performed 138 using the GEANT4 package. Single positrons with different energies are generated by 139 a gun for basic performance studies. For complex performance estimates, signal and 140 background processes of e-laser and γ -laser interactions are generated with the precursor 141 of PTARMIGAN, IPSTRONG [5].³ 142

The ECAL-P is exposed to beam related background. For the electromagnetic component 143

of the background, the expected particle rates and the energy sum of particles impacting 144 the calorimeter per bunch crossing as a function of the x position in the calorimeter are 145

shown in Fig. 4 in the e-laser set-up for the phase-0 laser and $\xi = 3.1$. The background 146

¹These numbers can be reduced by defocusing the electron beam.

²Simulations are currently performed using silicon sensors. For reasons explained further, the present baseline option is GaAs sensors. The response to relativistic electrons is very similar.

³The differences between the two MC generators are mainly in the expected yields but not in the energy spectra and therefore the design of the ECAL-P did not have to be altered.

contribution is split into different particle types and compared to the expected yield ofsignal positrons.



Figure 4: (a) Number of particles and (b) their energy sum per BX, split into different background particle types and signal positrons as described in the legend, as a function of the x position in the calorimeter for the e-laser set-up for phase-0 and $\xi = 3.1$.

Much of the background originates from a flux of very low energy secondary particles 149 created by the beam, which will be further suppressed by optimization of the vacuum 150 chamber design, the shielding, and the beam-dumps. A new background source was re-151 cently identified, originating from the beam-dumps downstream of the ECAL-P, entering 152 from the back of the ECAL. It consists of low energy neutrons. The effect of this back-153 ground requires further studies with the FLUKA package, more adapted to this purpose, 154 as well as optimization of the beam-dumps design for low neutron back-scattering. Once 155 these studies are completed, extra shielding behind the ECAL for which there is ample 156 space will be considered. This is an ongoing effort. Finally, the irreducible background 157 depositions constitute a signal offset which will be measured in bunch crossings without 158 laser and subsequently subtracted from signal event depositions, pad by pad. 159

¹⁶⁰ 3 System Overview

The LUXE ECAL-P is designed as a sampling calorimeter composed of 20 layers of 3.5 mm ($1X_0$) thick tungsten absorber plates, and assembled sensor planes placed in a 1 mm gap between absorber plates. The whole structure will be held by an aluminum frame, with slots on top to position the front-end boards (FEB). A sketch is shown in Fig. 5.

The ECAL-P is located 4.3 m from the interaction point (IP), 10 cm behind the tracker and 5 cm away from the beam-line on the side towards which the positrons are deflected. A 1 cm thick tungsten plate, extending along the beam-line from the exit of the vacuum chamber, will shield it from the side. The ECAL-P will be installed on a special optical table together with the tracker. From simulation and tests of the LumiCal prototype [2] on which the ECAL-P design is based, the expected energy resolution is $\sigma/E = 20\%/\sqrt{E(GeV)}$ and the position resolution is about 750 µm for electrons of



Figure 5: A sketch of the LUXE ECAL-P. The frame holds the tungsten absorber plates, interspersed with assembled sensor planes. The front-end electronics is to be positioned on top of ECAL-P and housed in a cage to avoid pick-up of electromagnetic noise.

- ¹⁷² 5 GeV⁴. The position resolution translates into $\sigma/E = 0.5\%$ to be compared to $\sigma/E = 9\%$ ¹⁷³ from calorimetry alone.
- ECAL-P sensors are made of high resistivity GaAs wafers of $550 \,\mu\text{m}$ thickness. Each sensor has a surface of $7.56 \times 5.19 \,\text{cm}^2$ and consists of 150 pads. Each complete detector plane will consist of seven adjacent sensors. The fiducial volume of the calorimeter will then be $53 \times 5.2 \times 9 \,\text{cm}^3$.
- The readout will be based on the FLAME multi-channel readout system [6, 7] which will be adapted for the needs of ECAL-P. The readout of the FEBs will be orchestrated by FPGA boards, connected with cables of a few meters length. The FPGA boards will be located in a rack near the ECAL-P. The FPGAs are connected to a computer via ethernet. The data acquisition and monitoring will be implemented in the EUDAQ system [8]. The
- power dissipation of the FEBs is estimated to be less than 1 W, hence no active cooling
 is needed.
- ¹⁸⁵ For the γ -laser setup, ECAL-E, will be installed behind the tracker on the electron side,
- "symmetrically" to the ECAL-P. ECAL-E is a sandwich calorimeter with tungsten absorber plates of 2.1 and 4.2 mm thickness, adding up to 9.6 X₀, interspersed with 15 silicon sensors planes.⁵ The sensors are structured in pads of $5.5 \times 5.5 \text{ mm}^2$ size (*p* on *n*-bulk type), directly connected to SKIROC2 ASICs [9], embedded inside the layer structure. Four $9 \times 9 \text{ cm}^2$ sensors form a sensor layer of 1024 pads, read out by 16 ASICs mounted onto a PCB. The $18 \times 18 \text{ cm}^2$ sensor layer, assembled with ASICs, is called an
- ¹⁹² Active Sensor Unit (ASU).
- During 2021 a stack with 15 ASUs, equivalent to 15360 readout channels, has been completed, as shown in Fig. 6.
- The total width of the active area of 18 cm of ECAL-E is too narrow to cover the full energy spectrum of electrons and therefore its position will be optimised to cover the maximum of the spectrum, expected around 4 to 6 GeV. However, in 2021 and 2022 more ASUs will be completed, and by the end of 2023 it should be possible to run safely a system that consists of 15 layers of two chained ASUs. The width of ECAL-E will be doubled to 36 cm, thus covering more than 90% of the electron energy spectrum.

²⁰¹ 4 Expected performance

The performance of the ECAL-P was studied with the LUXE GEANT4 simulation assum-202 ing that a sensor plane consist of $320\,\mu\mathrm{m}$ thick silicon sensor, sandwiched between two 203 Kapton layers supported by a thin carbon envelop, with the whole structure having a 204 thickness of 830 μ m. The sensors are subdivided in pads of $5 \times 5 \,\mathrm{mm^2}$ area. For the cur-205 rent studies, the energy deposited in each pad is used. Signal sharing between pads and 206 signal digitisation will be implemented in the future, based on the results of beam-tests. 207 In the following, we discuss the performance of the ECAL-P for single showers, with and 208 without background, and the expected results for high rates of positrons where single 209

⁴These values are obtained when the positrons hit the calorimeter under a small angle due to deflection in the dipole magnet.

⁵The stack can be equipped with thicker tungsten plates if necessary. For that purpose a new mechanical structure will be constructed in 2022.



Figure 6: Left: picture of the 15 layer stack of ECAL-E. Visible are the mechanical housing the HV and LV cabling and the flat Kapton cable for the readout of the 15360 pads of the stack. Right: Zoom into the extremities of the stack to appreciate the compactness of the ensemble.

showers cannot be identified and the spectrum is reconstructed through an energy-flow type of approach. The latter case is studied without background implementation. For the medium range rates, we are developing a clustering algorithm which should then lead to a performance similar to the one of single showers.

²¹⁴ 4.1 Energy and Position Resolution for single positrons

The performance of the ECAL-P for single positrons was studied by generating samples of positrons in the energy (E_0) range between 2 and 15 GeV in steps of 0.5 GeV, assuming the positrons originated from the IP. The positrons enter the calorimeter at an angle due to the deflection in the dipole magnet. After calibration, which takes into account the angular dependence of the response, the dependence of the relative resolution σ/E as a function of the initial positron energy is shown in Fig. 7. A fit of the form $\sim 1/\sqrt{E_0}$ leads to

$$\frac{\sigma_E}{E} = \frac{(20.2 \pm 0.1)\%}{\sqrt{E_0/\text{GeV}}} \,. \tag{1}$$

For the position reconstruction, a logarithmic weighting of the energy deposits in the pads 222 is applied, with a threshold optimised to give the best resolution. Along the y-axis, not 223 affected by the deflection in the dipole magnet, a resolution of $\sigma_y = 460 \,\mu\text{m}$ is achieved. 224 Along the x-axis, $\sigma_x = 550 \,\mu\text{m}$ at 10 GeV is obtained, and a mild dependence on the 225 energy is found. However, while along the *y*-axis no bias in the position reconstruction is 226 observed, this is not the case for the x position. As shown in Fig. 7, for positrons of 10 GeV, 227 a bias of $\delta x \simeq 500 \,\mu\text{m}$ is generated by the fact that the positrons impact the ECAL-P 228 under a small angle. This is not critical as this bias can be corrected for. An approach 229 based on convoluted neural networks was also applied to the position reconstruction. The 230

resolution (not shown) was not better than for the logarithmic weighting, however there was no bias in the results. The alternative method of determining the energy for single



Figure 7: Left: The relative energy resolution of the ECAL-P as a function of the positron energy. Right: The difference between the reconstructed and the generated entry point of the positron shower along the magnetic deflection (x) axis for 10 GeV positrons.

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²³³ positron showers is by making use of the correlation between energy and position of the ²³⁴ positron entry point on the face of the ECAL. The relative energy resolution is then ²³⁵ determined by the position resolution. The position resolution as a function of positron ²³⁶ energy is shown in Fig. 8. Also shown in the figure is the resulting σ/E compared ²³⁷ to the one from calorimetry shown in Fig. 7. Not surprisingly, the σ/E from position ²³⁸ reconstruction is better by factor 5 (high energy) to better than 20 (low energy)in the ²³⁹ relevant energy range.



Figure 8: Left: Position resolution as a function of positron energy. Right: The relative energy resolution of the ECAL-P as a function of the positron energy from position reconstruction (right y-scale) compared to the relative resolution from calorimetry (left y-scale).

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²⁴⁰ 4.2 Impact of background

It is important to ensure that this performance can also be achieved in the presence of background which is particularly sizable near the beam-line. In this section, we only consider the electromagnetic component of the background. The hadronic component is still under study. The distribution of the background depositions per *e*-laser bunch crossing is shown in Fig. 9. Also shown in the figure are the depositions for a single positron of 5 GeV. The depositions of the single positron are in a small cone around the



Figure 9: Left: Depositions per bunch-crossing from beam-related background inside the ECAL-P. The beam-pipe is located on the right side. Right: Depositions from a positron of 5 GeV.

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shower axis. The background is widely spread and its contribution increases towards the 247 beam-pipe. The effect of this background on the energy deposited in the cone around 248 the shower axis is shown in Fig. 10 for several positron energies. The distribution of the 249 energy deposited by positrons of a given energy is compared to the distribution obtained 250 after adding the background to all pads containing the electromagnetic shower. One 251 clearly observes a shift in the total deposited energy but no significant deterioration in 252 the resolution. As expected, the shift in energy is the more pronounced the higher the 253 energy of the positron, the closer it gets to the beam-line. This is illustrated in Fig. 11 254 where the ratio between the expected energy deposition with and without background is 255 shown as a function of the positron energy for the *e*-laser setup. The present estimate 256 of the background contribution is higher than the estimate presented in the CDR (also 257 shown in the figure) because of the change in the window-material at the exit of the 258 vacuum chamber. In the most up to date experimental setup the exit window is made 259 out of aluminum as opposed to Kapton used in the CDR simulations. A similar effect is 260 observed for the γ -laser setup as also shown in Fig. 11. The expected shift in the deposited 261 energy is smaller than in the case of the *e*-laser setup due to smaller background level. 262

The observed shift in the energy deposited in the ECAL-P due to background can be corrected for by direct background measurements with electron or photon bunches crossing the detector without interaction with a laser pulse, with minimal effect on the resolution for most of the ECAL-P fiducial volume.



Figure 10: Distribution of total energy deposited in the sensor-pads of the ECAL-P for selected energies of positrons (full lines) and for the same positron showers with added background (dashed-lines) in the *e*-laser setup. The various energies of the positrons listed in the legend are identified by different colours.



Figure 11: Ratio of the expected energy deposition in the ECAL-P with background to the one without background as a function of positron energy for (Left) *e*-laser and (Right) γ -laser setups for an aluminum exit-window (TDR) and a Kapton window (CDR). [SH: The ratio of γ -laser will be corrected later.] The hadronic background is not included.

²⁶⁷ 4.3 Reconstruction of Positron Number and Spectrum

When the number of positrons entering the ECAL-P becomes large, the showers start 268 overlapping and it becomes impossible to identify individual clusters. Instead a method 269 based on energy-flow is proposed [10], whereby each pad contributes a fraction of the 270 number of positrons in a given energy bin. The latter is determined from the crossing of 271 the path connecting the center of the pad to the IP, according to the dipole magnet field 272 and the geometry, with the face of the ECAL-P, as if the pad was located on the axis 273 of the shower generated by the impinging positron. At the end of this procedure, both 274 the energy spectrum observed in the ECAL-P and the number of positrons (sum over all 275 energy bins) are reconstructed. An overall correction of the order of 2% has to be applied 276 to the reconstructed number of positrons to obtain the number of generated positrons. 277 The relative spread of the number of reconstructed positrons as a function of the number 278 of incident positrons is shown in Fig. 12 (Left). For an average positron multiplicity 279 of about 1000 the projection on the vertical axis is shown in Fig. 12 (Right). The 280 uncertainty is less than 0.5%. The comparison between the generated and reconstructed



Figure 12: Left: The relative spread of the number of reconstructed positrons as a function of the number of incident positrons. Right: Projection of the relative spread onto the vertical axis for a average positron multiplicity of 1000.

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energy spectra is shown in Fig. 13. A good agreement between the two spectra is found, 282 with the exception of the low-energy and high-energy tails, where leakage of the showers 283 sets in. The same reconstruction method was applied to multiplicities of the order of 284 10^5 (not shown) and still reasonable agreement was found, though the overall correction 285 factor increased to 5%. However, positron multiplicities of this order very likely cannot be 286 measured by the ECAL-P, as they would lead to distortions in the charge drift due high 287 charge density in the sensor and to saturation of the FE ASICs. This problem is under 288 study in order to determine the highest positron multiplicity that can be handled by the 289 ECAL-P. Changing the bunch charge or the electron beam width allows at very large ξ 290 to reduce the number of positrons such that it matches the dynamic range of ECAL-P. 291

Studies to implement image recognition with convoluted neural networks for the reconstruction of the energy spectra and multiplicities is under investigation. It turns out that with the first 10 layers of the ECAL-P one can already derive the positron multiplicity



Figure 13: Comparison between the reconstructed and generated energy spectra of the positrons. The ratio of the two spectra as a function of energy is displayed in the lower panel.

²⁹⁵ and the average energy on an event by event basis. Further studies are underway to ²⁹⁶ reconstruct the full energy spectrum.

 $_{\tt 297}$ $\,$ The ECAL-E has been introduced only recently into the geometry of LUXE and the study

²⁹⁸ of its performance is underway. However given the similarity between the ECAL-P and ²⁹⁹ ECAL-E in sampling and granularity, similar results are expected.

³⁰⁰ 5 Technical description

In the following subsections the mechanical structure, the sensor options, the structure of the sensor planes, the front-end (FE) electronics and the data acquisition of ECAL-P are described in detail.

³⁰⁴ 5.1 ECAL-P Frame and Tungsten Plates

A frame made of aluminum ensures the mechanical stability of the calorimter and allows the precise positioning of sensor planes and tungsten plates. The frame was manufactured in the workshops of JINR, Dubna. A picture of the frame with four inserted tungsten plates is shown in Fig. 14.



Figure 14: The mechanical frame of ECAL-P with four tungsten plates inserted. The frame is made of aluminum. The bars, made of brass, allow the precise positioning of detector planes and tungsten plates.

The requirement on the mechanical frame is to allow the insertion of 20 tungsten plates 309 of dimensions $556 \times 63 \times 3.5 \,\mathrm{mm^3}$, parallel to each other with a gap in-between each two 310 plates equal to 1 mm, with a maximal deviation of $\pm 100 \mu m$ all over the tungsten plate 311 surface. All materials used must be non-magnetic. The 20 tungsten plates will be moved 312 in very precise aluminum combs, ensuring that the distance in-between plates is equal 313 to the reference distance within $\pm 100 \mu m$. In addition, four highly precise brass bars 314 will be used for precise positioning of the plates in the horizontal and vertical directions. 315 Tungsten plates are made of an alloy of 92.5% tungsten, 2.25% copper, 5.25% nickel with 316 density $17.4 \,\mathrm{g/cm^3}$. 317

³¹⁸ 5.2 Pilot tests of tungsten plates

³¹⁹ Four tungsten plates were delivered and measured in JINR. The results of the tickness measurements along the width and height of the plates are shown in Fig. 15. The plate



Figure 15: Tickness (C) of four tungsten plates delivered to JINR as a function of the position along the width of the plates (x-axis) measured at the bottom, centre and top of the plates.

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thickness is uniform along the surface to within $20 \,\mu\text{m}$. The plates are thicker than the required 3.5 mm, from $34 \,\mu\text{m}$ up to $80 \,\mu\text{m}$. The aluminum frame will be modified to allow for this extra thickness. Free plates develop a bending which is removed when installed in the frame comb. Since this issue may affect the gluing of the sensors directly on the tungsten, as planned, further actions with the producer will be undertaken.

326 5.3 Sensor Options

327 5.3.1 GaAs Sensors

GaAs sensors are made of single crystals. High resistivity of $10^9 \Omega m$ is reached by com-328 pensation with chromium. The pads are $4.7 \times 4.7 \,\mathrm{mm^2}$, with $0.3 \,\mathrm{mm}$ gap between pads. 329 Pads consist of a $0.05\,\mu\mathrm{m}$ vanadium layer, covered with a $1\,\mu\mathrm{m}$ aluminum, made with 330 electron beam evaporation and magnetron sputtering. The back-plane is made of nickel 331 and aluminum of 0.02 and 1 μ m thickness, respectively. The sensors are 550 μ m thick with 332 overall sizes of $51.9 \times 75.6 \,\mathrm{mm^2}$. The active area is $74.7 \times 49.7 \,\mathrm{mm^2}$ leading to 15×10 333 pads without guard rings. The signals from the pads are routed to bond pads on the top 334 edge of the sensor by aluminum traces implemented on the sensor itself, thus avoiding 335 the presence of a flexible PCB fanout. The traces are made of $1\,\mu m$ thick aluminum film 336 deposited on the silicon dioxide passivation layer by means of magnetron sputtering. A 337 prototype sensor is shown in Fig. 16.



Figure 16: Picture of a GaAs sensor. The bond pads are visible on top of the sensor.

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the silicon option below.

³³⁹ Details on the sensor structure can be seen in the cross-profile shown in Fig. 17.

³⁴⁰ Using several prototype sensors, the leakage current of all pads was measured as a function ³⁴¹ of the bias voltage. A typical example is shown in Fig. 18. At a bias voltage of 100 V the ³⁴² leakage current amounts to about 50 nA.

³⁴³ The implementation of the aluminum traces is a new technology, and its performance

³⁴⁴ with respect to cross-talk and noise will be measured in a test-beam. In case the results

³⁴⁵ are not satisfactory, a backup is considered using the flexible PCB fanout as foreseen in



Figure 17: Cross-profile of a GaAs sensor. The aluminum traces are positioned between the pads, on the top of the passivation layer.



Figure 18: The leakage current of a pad as a function of the bias voltage, measured at 20° C.

347 5.3.2 Silicon Sensors

The silicon sensors are arrays of $5.5 \times 5.5 \text{ mm}^2$, p+ on n substrate diodes made of $320 \,\mu\text{m}$ thick silicon with a resistivity of $3 \,\mathrm{k}\Omega \,\mathrm{cm}$, and a reverse bias voltage of about $200 \,\mathrm{V}$, without guard rings. Each sensor will have a total area of $89.7 \times 55.3 \,\mathrm{mm}^2$, corresponding to 16×10 pads. Sensors of area $89.7 \times 89.7 \,\mathrm{mm}^2$ have been produced by Hamamatsu Photonics for the CALICE collaboration, as shown in Fig. 19, and four of them were

tested for LUXE purposes.



Figure 19: Details of the geometry of the CALICE sensor.

353

For all pads of the four sensors the leakage current was measured as a function of the bias voltage. A typical result is shown in Fig. 20. In order to route the signal from the pads to the front end board, a flexible PCB has been designed. It will be glued on the silicon sensors with a conductive glue or an anisotropic conductive film. Another flexible PCB has been produced to connect the bias voltage to the sensor back-plane.

359 5.4 Assembled Detector Plane

Two different versions of an assembled detector plane are still under study. In the first, the signals from the sensor pads are routed to the FE electronics via copper traces on a flexible Kapton foil of $120 \,\mu\text{m}$ thickness. Conductive glue or anisotropic conductive film



Figure 20: Leakage current (in nA) as a function of the applied voltage (in V) for a selected CALICE pad.

will be used to connect the copper traces to the sensor pads. In the second, thin aluminum traces are embedded directly on the GaAs sensors, routing the signals to the upper side of the sensor. There, integrated bond pads are used to connect the traces to the FE electronics. The bias voltage is in both cases supplied to the back-side of the sensor by a $70 \,\mu\text{m}$ flexible Kapton-copper foil, glued to the sensor with a conductive glue.

A sketch of the structure of the detector plane using a Kapton fanout is shown in Fig. 21. A carbon fiber layer is used to protect the sensor but will not be used in the ECAL-P.



Figure 21: The structure of the assembled detector plane using a Kapton fan-out for the signal routing. The total thickness of the plane is about $650 \,\mu\text{m}$ for silicon sensors and $850 \,\mu\text{m}$ for GaAs sensors.

369

370 5.5 Front-end electronics

Each detector plane will be read out by FE ASICs called FLAXE (FcaL Asic for XFEL
Experiment), mounted on a PCB, denoted hereafter as front-end board, FEB, and positioned on top of the calorimeter frame. On the side of the FEBs, connectors are foreseen
for cables to transfer digital signals from FLAXE ASICs to the FPGAs installed at a
larger distance. The FLAXE FE electronics is based on the existing readout ASIC called
FLAME (FcaL Asic for Multiplane rEadout), designed for silicon-pad detectors of the
LumiCal calorimeter for a future electron-positron linear collider experiment. The main specifications of the FLAME ASIC are shown in Table 1. A block diagram of FLAME,

| Variable | Specification |
|---------------------------|--|
| Technology | TSMC CMOS 130 nm |
| Channels per ASIC | 32 |
| Power dissipation/channel | $\sim 2 \ mW$ |
| Noise | $\sim 1000 \; { m e}^- @10 { m pF} + 50 { m e}^- / { m pF}$ |
| Dynamic range | Input charge up to $\sim 6pC$ |
| Linearity | Within 5% over dynamic range $% \left({{{\rm{A}}} \right)$ |
| Pulse shape | $T_{peak} \sim 55 \text{ ns}$ |
| ADC bits | 10 bits |
| ADC sampling rate | up to $\sim 20 \ MSps$ |
| Calibration modes | Analogue test pulses, digital data loading |
| Output serialiser | serial Gb-link, up to $9\mathrm{GBit/s}$ |
| Slow controls interface | I^2C , interface single-ended |

Table 1: Summary of the specifications of the FLAME ASIC.

378

a 32-channel ASIC designed in TSMC CMOS 130 nm technology, is shown in Fig. 22. 379 FLAME comprises an analog front-end and a 10-bit ADC in each channel, followed by 380 a fast data serialiser. It extracts, filters and digitises analogue signals from the sensor, 381 performs fast serialisation and transmits serial output data. As seen in Fig. 22, the 32-382 channel chip is designed as a pair of two identical 16-channel blocks. Each block has its 383 own serializer and data transmitter so that during operation two fast data streams are 384 continuously sent to an external data acquisition system (DAQ). The biasing circuitry is 385 common to the two 16-channel blocks and is placed in between. Also the slow control 386 block is common and only one in the chip. The analogue front-end consists of a variable 387 gain preamplifier with pole-zero cancellation (PZC) and a fully differential CR–RC shaper 388 with peaking time ~ 55 ns. The shaper includes also an 8-bit DAC, with 32 mV range, for 389 precise baseline setting. The analogue front-end consumes in total $1-1.5 \,\mathrm{mW/channel}$. 390 The ADC digitises with 10-bit resolution and at least 20 MSps sampling rate. The power 391 consumption is below 0.5 mW per channel at 20 MSps. In order to ensure the linearity 392 of the ADC, the input switches are bootstrapped, reducing significantly their dynamic 393 resistance. 394

³⁹⁵ The architecture of the FLAXE ASIC will be very similar to the existing FLAME design.

³⁹⁶ In particular the same analog signal processing scheme will be kept. A few modifications



Figure 22: Block diagram of a 32-channel FLAME ASIC

are needed, mainly in the digital part. The fast data transmission components will be 397 replaced by a simpler and slower data transmitter to reduce the complexity and the 398 cost of the subsequent FPGA-based back-end and DAQ system. This is possible, since 399 the data rate and data volume in LUXE is much less than for LumiCal. Depending 400 on the sensor type which will be used in the experiment, small adjustments in analog 401 front-end, e.g. charge-to-voltage gain, may also be needed. The dynamic range of the 402 ASICs can be switched between high and low gain. At high gain the response to the 403 input charge is almost linear between deposition from 0.5 to 75 minimum-ionising-particle 404 (MIP) equivalent. At low gain the charge at the preamplifier input is limited to 5 pC, 405 corresponding to about 10000 MIP-equivalent, and the lower threshold is in the range of 406 a few 10 MIP-equivalent. 407

The power dissipation of the FE electronics amounts to a maximum value of about 50 W. However, it can be reduced by roughly a factor 10³ by power pulsing, foreseen for application in LUXE. Hence for normal operation, cooling will not be needed. However, for calibration and alignment it is planned to take data with cosmic muons. For this case dedicated air cooling of the FEBs will be foreseen.

⁴¹³ 6 Interfaces and Integration

414 6.1 Trigger

For the normal operation of ECAL-P, the trigger will be provided by the Trigger Logic Unit (TLU), as shown in Fig. 23 and described in detail in the LUXE DAQ technical note [11].

⁴¹⁸ The TLU will distribute to all the sub-detectors the trigger signal coming from

• the electron beam with a frequency of 10 Hz,



Figure 23: Front view of the TLU.

• the laser beam with a frequency of 1 Hz.

The trigger signal will be sent to the FPGA-based back-end, which will then distribute it 421 to the FLAXE ASICs located at the ECAL-P FEBs via dedicated differential links. The 422 FPGA will perform a programmable phase shift of the trigger signal in order to wake up 423 the FLAXE ASICs just before the next bunch crossing using the power pulsing feature. 424 Effectively, the FPGA will use the trigger originating from a particular bunch crossing 425 to predict the next one, to start the analogue and the mixed-mode signal processing, as 426 well as the data acquisition in the FLAXE ASICs. The ASICs will remain active, writing 427 the data into an internal memory, in a time window sufficient to fully collect the bunch 428 crossing event. After this time, the analogue and mixed-mode signal processing part of 429 the FLAXE ASICs will be automatically switched off, and data collection will be stopped. 430 The collected event will be held in the internal FLAXE ASICs memory and successively 431 read out by the FPGA between bunch crossings. 432

During the construction of the ECAL-P at DESY, a cosmic muon trigger will be installed. 433 It will consist of two scintillators and PMTs, connected to NIM modules to create the 434 logic. This will provide an asynchronous trigger to the FPGA-based back-end, which will 435 be distributed the same way as the synchronous trigger, only without the phase shift. The 436 FLAXE ASICs will run continuously, processing and collecting the data into the circular 437 buffer in the internal memory integrated into the ASICs. The asynchronous trigger will 438 then stop the data collection in the ASICs, allowing the FPGA to read out the cosmic 439 muon event. The data collecting process will restart automatically as soon as the event 440 data is read out by the FPGA. 441

442 6.2 Data Acquisition

The seven GaAs, or six silicon, detector planes in each ECAL-P layer will be equipped with a single FEB, common for all sensors in the layer. All FLAXE ASICs on a FEB will be connected in parallel to the common data bus. The data bus will use a SPI-like, synchronous, serial protocol in the physical layer, with three differential links for the bus clock, a serial CLock, SCLK in the range 20 – 60 MHz. Commands are send from FPGA to the ASIC in the regime 'Master Output'-'Slave Input', MOSI, and data from ASIC to the FPGA as 'Master In'-'Slave Output', MISO. However, in the logical layer, the bus will implement a I2C-like protocol, allowing the FPGA to address and communicate with each ASIC independently. The event data from a single ECAL-P layer will be read out from the FLAXE ASICs sequentially, one ASIC after the other. This bus, on top of the data acquisition, will also serve the slow control and the configuration interface to the ASICs.

⁴⁵⁵ Up to the 128 raw ADC samples will be collected in an event for each of the readout ⁴⁵⁶ channels. With about 1000 channels per single ECAL-P layer and a 10 bit ADC resolution ⁴⁵⁷ the event size amounts up to 1 Mb. With 10 Hz of bunch crossing rate, this results to ⁴⁵⁸ about 10 Mb of data per second read out from a single FEB. Therefore the 20 MHz bus ⁴⁵⁹ clock frequency provides a sufficient bandwidth for a regular operation. A higher bus ⁴⁶⁰ clock frequency is foreseen for the data acquisition of the asynchronous muon calibration ⁴⁶¹ mode, in order to reduce the dead time of the system.

The data from each FEB will be sent to the FPGA board. Since only three, relatively slow, differential links are needed for a single FEB, the data of the whole ECAL-P can be read out and processed by a single FPGA. The signals from the FEBs are fully digital, hence the FPGA board can be positioned at a larger distance from the calorimeter, e.g. in the calorimeter rack. The FEBs will be read out in parallel via different links. Hence, the bandwidth which is sufficient to read out a single FEB will also be sufficient to read the whole ECAL-P between consecutive bunch crossings.

The event will be processed by the FPGA. The signal reconstruction comprises the calculation of the deposited charge and the Time Of Arrival, TOA, from the collection of the raw ADC samples in a given channel. This procedure, combined with zero suppression, will significantly reduce the data rate. Finally the remaining event data will be sent from the FPGA board to the DAQ computer using the User Datagram Protocol, UDP, through a single 1 Gbps Ethernet link. In parallel, the Transmission Control Protocol, TCP, over the same link will be used for the system control and monitoring.

476 7 Installation, commissioning and calibration

477 7.1 Detector assembly

⁴⁷⁸ The ECAL-P assembly requires the presence of participants from three laboratories en-⁴⁷⁹ gaged in building the ECAL-P:

- JINR for the mechanical frame and the tungsten plates.
- TAU for gluing the sensor planes on the tungsten plates.
- AGH-UST for installing the readout electronics.
- ISS for software support and slow control.
- ⁴⁸⁴ The assembly will take place at DESY.
- ⁴⁸⁵ The tasks to be performed are then
- Gluing the sensor planes on the tungsten, plate by plate.

- Connecting the FEBs and the electronics.
- Performing a cosmic run for function tests of components.

It is assumed that it will take two days to instrument one tungsten plate and perform 489 the function tests. The gluing will take one day and the glue will be curing during the 490 night. The next day, the instrumented tungsten plate will be inserted into the mechanical 491 frame followed by cabling and connection of FEBs, HV and LV as well as DAQ. After 492 performing a noise check, data from cosmics will be collected during the night. This cycle 493 will be repeated for the 20 plates. It will thus take 40 days to complete these tasks. This 494 will be followed by a full system test for about 10 days. Another 10 days will be needed 495 to perform the ECAL-P calibration in the DESY test-beam. The full assembly is thus 496 expected to take 2 months. With an added contingency to solve unforeseen problems, the 497 total time estimate for ECAL-P readiness to be moved into the experiment is thus three 498 months. 499

During the phase of the construction of the ECAL-P, the DAQ should be able to work in a standalone mode with a cosmic trigger. For the latter, two scintillators with PMTs and one NIM crate with a trigger based on NIM modules will be provided. Once the ECAL-P is fully tested, the whole system is moved to the pit and the DAQ PC of ECAL-P will be included in the central LUXE DAQ.

The ECAL-E will be fully assembled and tested at LAL Orsay and shipped to DESY. After arrival a system test will be performed and the status of all components will be documented. The time foreseen is one week.

508 7.2 Installation

⁵⁰⁹ For the final installation in the experimental area, it is assumed that:

- the table on which the ECAL-P is placed is installed;
- all LV, HV and data cables are layed out to connect the ECAL-P subsystems with the rack.

The installation steps, with the estimated duration and the number of participants, are as follows:

• Moving the ECAL-P to the area and place it on the table. The rough weight estimate is 50 kg and thus a crane will be needed.

517 Duration: 1 day;

- ⁵¹⁸ Person power: 1 technician (DESY), 2 physicists.
- Moving the electronics racks to the area next to the ECAL-P (time and person-power included in the previous item).
- Performing the survey, to precisely define the position of ECAL-P;
- 522 Duration: 1/2 day;
- ⁵²³ Person-power: experts from DESY/XFEL survey, 1 physicist.

- Connecting the cables between ECAL-P and the rack.
- 525 Duration: 1 day;
- Person power: 1 electronic engineer, 1 technician, 1 physicist.
- Testing of HV, LV and data connections. Duration: 1 day plus 2 days in reserve for potential replacements;
- ⁵²⁹ Person-power: 1 electronics engineer, 2 physicists.

Hence for the installation of the ECAL-P in the area between 3.5 to 5 days are needed. The process will be performed by 2 physicists, 1 electronic engineer and 1 technician (partly provided by DESY), and the DESY survey team. A summary is given in the resource loaded schedule in appendix A.

The installation of the ECAL-E will follow a similar scheme, and performed by members of the CALICE collaboration.

536 7.3 Commissioning

After installation, the full system test will be repeated. Low voltage settings and currents drawn by the sensors and the FE ASICs will be checked. Pedestal data will be collected with a special trigger, and the pedestal values and width monitored, as a proof of stability and readiness for operation.

⁵⁴¹ 7.4 Calibration Strategy

The ECALs will be calibrated in electron beams of precisely known energy. The electronbeam energy available at DESY is limited to 6 GeV. The positron/electron energy range expected in LUXE for Breit-Wheeler type of processes extends to about 15 GeV. Therefore beam-tests at CERN will be required.

To save time and minimise the risks of mechanical damage to the ECAL-P, the following 546 strategy will be adopted. The existing FCAL tungsten prototype mechanical structure 547 will be equipped with 20 sensor planes and FLAXE readout boards. It will then be 548 tested and calibrated in the DESY and CERN test-beams. This will allow to develop 549 the proper MC simulation with digitisation within GEANT4. The full ECAL-P will be 550 exposed to the DESY test-beam and the comparison between the results obtained with 551 the FCAL prototype and the ECAL-P at low electron energy will then be used to project 552 the performance of the full ECAL-P at higher electron beam energies. This approach 553 provides more flexibility in the access to test-beams. 554

Tuning of the calibration after installation will be done in special runs foreseen in LUXE using a photon beam. A needle will be inserted near the IP to convert photons to electronpositron pairs. These pairs will be used to calibrate the tracker energy by imposing the constraint that the invariant mass of the pair has to be zero. The "calibrated" tracks will then be used to cross-calibrate the ECAL-P. The expected energy resolution of the tracker is within factor two the same as the one of the calorimeter when deduced from position reconstruction.

The ECAL-E will be calibrated in the same test-beams and with converted electronpositron pairs as used for the ECAL-P.

⁵⁶⁴ 7.5 Decommissioning

The decommissioning of the ECAL-P will be done by the laboratories having developed 565 and built the ECAL-P, i.e. the AGH-UST Cracow, the ISS Bukharest, the JINR Dubna, 566 the Tel Aviv University, and the Tomsk State University, and the decommissioning of 567 the ECAL-E will be done by CALICE. The decision about a potential further use of the 568 ECAL-P, or its dismantling, will be decided by the involved parties before the completion 569 of the LUXE the experiment. In case of dismantling, each laboratory will take back 570 the delivered components. The time needed for decommissioning depends on a potential 571 activation of the material, e.g. near the beam line. About a potential intermediate storage 572 at DESY, the parties will propose an agreement with DESY. 573

⁵⁷⁴ 8 ORAMS: Operability, Reliability, Availability, Main ⁵⁷⁵ tainability and Safety

The number of readout channels of ECAL-P and ECAL-E is about 25000, orchestrated 576 by FPGAs. Since the readout frequency during normal data taking is 10 Hz, there should 577 be no problem with the speed of readout and data transfer. The radiation dose for sensors 578 and FE electronics is below a critical level, hence radiation damage is not expected. Solid 579 state sensors are devices of high reliability. There will be no danger of over-voltage break-580 through or sparks. The change of the leakage current due to temperature changes will be 581 kept small by air conditioning in the area, with a maximum temperature drift of a few °C. 582 The ECAL-P and ECAL-E are modular. In case of malfunctioning of a detector plane it 583 can be replaced by a spare part within a few days of access. For the replacement of faulty 584 FE ASICs or FPGAs, less than a day will be needed. The same holds for the Low Voltage 585 and High Voltage power supplies. The bias voltage for the sensors will be around 100 V. 586 High Voltage connectors and cables will be used, matching the safety requirements. 587

⁵⁸⁸ 9 Project Organisation

⁵⁸⁹ 9.1 Human and financial resources

The LUXE ECAL-P will be built in a joint effort of the AGH-University of Technology 590 (AGH-UST) Cracow, the Institute of Space Science (ISS) Bucharest, the Joint Institute 591 of Nuclear Research (JINR) Dubna, the Tel Aviv University (TAU), and the Tomsk State 592 University (TSU). These institutes will provide the human and financial resources needed 593 for the design, production, test, commissioning and operation of ECAL-P. The group of 594 AGH-UST comprises 5 experienced researchers, specialised in ASIC design, 1 technician 595 and several students. From the ISS group, 3 physicists experienced in software and data 596 handling are included. In JINR, two hardware experts took over the responsibility for the 597 mechanics. In addition, JINR contributes with engineering person-power and workshop 598 infrastructure. The TAU group comprises 3 experienced physicists, 1 part-time tech-599 nician (full time technician will be hired in the near future), one postdoc, and several 600

- ⁶⁰¹ under-graduate students. The TSU is specialised in GaAs sensor technology. Currently ⁶⁰² 3 physicists are contributing to ECAL-P.
 - The cost estimate for the production of ECAL-P is given in Table 2. The major cost

| Table 2 | 2: Cost | estimates | for the | various | components. | The comment | discusses | the | source |
|----------|----------|------------|---------|---------|-------------|-------------|-----------|-----|--------|
| of the p | price an | d its unce | rtainty | where p | ossible. | | | | |

| Component | Cost | Origin | Quality | Status |
|----------------|--------|--------------------|---------|-------------|
| | (kEur) | of estimates | factor | |
| Mechanics | 37.8 | JINR, CERN | 1 | Ready |
| Sensors | 160 | TSU | 1 | Prototyping |
| FE ASICs | 165 | AGH-UST, from | 2 | Redesign |
| | | recent submissions | | |
| PCBs | 22 | AGH-UST and TAU, | 1 | |
| | | previous prod. | | |
| DAQ | 27 | FCAL experience | 1 | |
| Power supplies | 20 | current offers | 1 | |
| Tooling | 30 | estimated by TAU | 1 | |
| Auxiliary | 30 | experience from | | |
| components | | previous projects | | |
| Total sum | 491.8 | | | |

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drivers are the sensors and the FE ASICs. Compared to the CDR estimates, the price 604 for sensors increased by factor two. The main reason is that no other current project 605 in production stage has silicon sensors that fit the ECAL-P design, which could have 606 substantially reduced the price. The price offer from Hamamatsu, the only producer of 607 silicon sensors on the market, would quadruple the price assumed in the CDR. Compared 608 to that offer, moving to GaAs sensors reduces the price by factor two. In addition, the 609 sensor costs will be shared by TAU and TSU. TAU received support from the PAZY 610 Foundation (Israel Atomic Energy Commission) and has applied for support from the 611 Israel Science Foundation. TSU will use resources from the university. 612

⁶¹³ Compared to the CDR, the estimated price of FE ASICs has increased by about 25% ⁶¹⁴ mainly due to expected increased production costs. To cover the costs of the FE ASICs ⁶¹⁵ the AGH-UST group has applied for a grant from the Polish National Science Centre. The ⁶¹⁶ amount applied for will not be sufficient to cover the increased cost and further sources of ⁶¹⁷ funding will be sought.

⁶¹⁸ The mechanics will be covered from JINR resources, and benefits from the JINR-BMBF ⁶¹⁹ program for future detector technologies in the development of tungsten plates of precise

thickness and flatness. The DAQ will be part of the AGH-UST application. PCBs, power

⁶²¹ supplies, tooling and auxiliary components will be shared between AGH-UST and TAU.

In addition, TAU together with the DESY group and other participants from Israel and Germany intend to apply to the German-Israeli Project Cooperation (DIP) in the upcom-

⁶²³ Germany intend to apply to the German-Israeli Project Cooperation (DIP) in the upcom-⁶²⁴ ing 2022 call. If successful, some funds will be dedicated to the shortfalls in the ECAL-P

625 funding, if necessary.

⁶²⁶ At the moment four countries are involved in the CALICE SiW calorimeter prototype ⁶²⁷ effort. These are France, Spain, Japan and Korea. At the moment it can be assumed

that the funding in the next two to three years will be continued at the current level in 628 the frame of the base funding for CALICE and Linear Collider activities. The person-629 power situation is such that there are at the moment around ten senior researchers and 630 engineers, one postdoc, four PhD students and one master student, and the expectation is 631 that the same level of manpower will remain available for the next two or three years. The 632 collaboration between LUXE and CALICE (including the joining of LUXE by CALICE 633 members), a positive development towards a Higgs factory as well as the creation of the 634 French-German lab DMLAB, may lead to an improvement of the situation. 635

9.2Schedule and milestones 636

Currently the ECAL-P calorimeter frame has been produced, sensors are in the prototyp-637 ing stage and FE ASICs are in the design phase. The schedule for the following steps are 638 shown in Fig. 24. The major milestones ahead are the following: 639

- 1. Tungsten plate quality check July 2022. 640
- 2. Sensor performance measurements in test-beam in November 2021, followed by tech-641 nology choice in February 2022. 642
- 3. Completion of the ASIC production in June 2023. 643
- 4. Completion of the sensor production in April 2023. 644
- 5. Completion of the sensor quality tests in July 2023. 645
- 6. Completion of the sensor plane instrumentation in December 2023. 646
- 7. Completion of ECAL-P assembly in March 2024. 647
- 8. Beam-tests of fully assembled ECAL-P at DESY in May 2024. 648
- 9. Readiness for commissioning by July 2024. 649

The ECAL-E with 15 one-sensor ASUs exits and is currently studied in a test-beam. 650 A second sensor is planned to be added to each ASU, enhancing the coverage in the 651 horizontal direction by a factor of 2. A detailed schedule has to be worked out with the 652 CALICE collaboration. 653

9.3 **Risk management** 654

There are two elements which may impact the time-line and the costs of ECAL-P con-655 struction. One pertains to the sensors, the other to the readout delivery. 656

The technology choice for the sensors boils down to GaAs sensors with or without traces. 657

The November 2021 beam-tests will direct the technology choice. The impact on the costs 658

and the timeline of the ECAL-P is negligible as the sensors are produced by collaborating 659 partners from TSU. The funding is not vet fully guaranteed for TAU. A better assessment

660 will be known in January 2022. The expectation is that if the LUXE project goes ahead,

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LUXE ECAL

| | | 2021 | | | 2022 | | | | | | | | | 2023 | | | | | | | | | | | 2024 | | | | | | | | | | | |
|------------------------------------|------|-------|------|------|------|------|------|-------|-------|-----|------|------|------|-------|------|------|------|------|------|-------|-------|-----|------|------|------|-------|------|------|------|------|------|-------|-------|-----|------|------|
| | Aug. | Sept. | Oct. | Nov. | Dec. | Jan. | Feb. | March | April | May | June | July | Aug. | Sept. | Oct. | Nov. | Dec. | Jan. | Feb. | March | April | May | June | July | Aug. | Sept. | Oct. | Nov. | Dec. | Jan. | Feb. | March | April | Мау | June | July |
| Tungsten plate prototype | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Tungsten plate quality check | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Tungsten plate production | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Mechanical frame completion | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ASIC design | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| Application ASIC funding | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| sensor prototypes | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| sensor prototype performance tests | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| beam test of sensor prototypes | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| application sensor funding | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| design HV and fan-out PCB | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ASIC production | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| production of HV and fan-out PCB | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| FE PCB design, production and test | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ASIC performance test | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| sensor production | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| sensor quality test | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| assembly of FE ASICs | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| plane assembly (bonding, glue) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| first tower completed | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| beam test CERN (FCAL structure) | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ECAL assembly and commisiioning | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| beam test DESY, calibration | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| installtion in the area | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | | |



shortfalls in funding if any, will be covered by request of extra support from TAU researchfunds if not by DIP.

The production of the FEB chips is the highest risk enterprise. The main reason is funding, which is not yet guaranteed, and expected delays in chip production which presently affects the whole semiconductor-industry. Time delays of the order of 6 months may be expected. Another factor related to the chip production is an increase in pricing which may be of the order of 25% (included in price estimate). As a mitigating action,

the existing FLAME boards will be used for testing purposes. According to the present

schedule, that would give an extra 9 months for completing the production and testing of

- 671 the FLAXE readout boards.
- ⁶⁷² In the worst case scenario, where the lack of funding would prevent the delivery of ECAL-
- ⁶⁷³ P on time for the phase-0 data taking, the existing ECAL-E will be used on the positron
- side, leaving the electron side uninstrumented for the γ -laser runs.
- ⁶⁷⁵ An attempt to summarise the risks is presented in Table 3.

Table 3: Risk description, potential impact on cost and schedule, probability to occur and strategy on how to mitigate the risk.

| Description | Cost | Schedule | Prob. | Strategy |
|------------------|------------|----------|-------|-------------------------|
| Sensors GaAs | 0 | 0 | 30% | Replace by GaAs without |
| with traces | | | | traces |
| FEB chip produc- | $\pm 10\%$ | 25% to | 30% | Adapt existing FLAME |
| tion | | 35% | | readout |

676 9.4 Responsibilities

⁶⁷⁷ Each institute or university will be responsible for a component of ECAL-P, as shown in Table 4. To study the performance of sensors and ECAL-P towers, and finally a

Table 4: The participating institutes and their responsibilities in the ECAL-P construction.

| Institute/University | contribution to ECAL-P |
|----------------------|--|
| AGH-UST | FE-ASICs development and production, DAQ |
| ISS | slow control, software, computing infrastructure |
| JINR | mechanical frame, precise tungsten plates |
| TAU | sensor tests, assembly of detector planes, DAQ |
| TSU | sensor development and production and test |

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⁶⁷⁹ full system test and calibration, measurements will be done in the test-beam. These ⁶⁸⁰ campaigns will be organised and funded as a joint effort.

⁶⁸¹ The installation and commissioning of the ECAL-P will again be done in a joint effort, ⁶⁸² as described in the resource loaded schedule in appendix A.

⁶⁸³ The ECAL-E is developed by a collaboration of France, Spain, Japan and Korea. France is

taking over responsibility for lending, installing, commissioning and operating the ECAL-

685 E within the LUXE experiment.

⁶⁸⁶ 10 Further tests planned

⁶⁸⁷ 10.1 Test of components and the whole system in the beam

The current baseline technology for sensors is GaAs made of high-ohmic single crystals 688 with aluminum traces for signal routing integrated in the sensor. This is a new tech-689 nology, and measurements of the performance are currently done in the laboratory and 690 will be done in the test-beam. Measurements of leakage currents as a function of the 691 applied voltage are done with sensor prototypes and match the requirements, as shown 692 in Section 5.3. Signal size, signal-to-noise and cross talk measurements have been done in 693 the DESY II electron beam end of 2021, and data analysis is just underway. One tower 694 of ECAL-P, comprising 20 detector planes with FLUXE FE ASICs and FPGA read-out, 695 is planned to be fully instrumented in spring 2023. In May 2023 these planes will be used 696 for a calibration at CERN in an electron beam at the PS with electron energies above 5 697 GeV, followed by a detailed performance study in the DESY II electron beam equal and 698 below 5 GeV in March 2024. Whenever possible, the DAQ of ECAL-P will be integrated 699 into the test-beam EUDAQ system with other LUXE detectors in the test-beam. 700

The first module of ECAL-E with 15 assembled sensor planes has been just studied at DESY. Similar to the ECAL-P, a beam-test at higher energies at CERN is foreseen. A second test-beam measurement for two-sensor chains will be done, synchronously with the ECAL-P.

For large values of ξ where the multiplicity of positrons may reach up 10⁴ particles, there is a risk that the ECAL-P sensors or/and the front-end boards will loose their linear behaviour. The effect of these potentially high charge depositions will be studied in the recently completed DUAL HIGGINS high-power laser facility of Prof. Victor Malka at Weizmann Institute, where up to 10⁷ electrons of of 0.7 GeV (with 5% energy spread) can be produced per laser shot.

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743 Appendices

744 A The ECAL plan for the installation

745 Locations:

- Experimental Area (EA)
- Control Room (CR)

⁷⁴⁸ List of components to be installed:

- ECAL detector, including FE PCBs
- HV and LV power supply
- Rack with crates
- FPGA cards
- DAQ Computer with ethernet connection to the rack

⁷⁵⁴ Expected installations prior to the one above:

- The optical table for the tracker and ECAL is available and pre-aligned
- Cables for HV and LV between detector table and rack are installed

⁷⁵⁷ The details of the resource loaded schedule are shown in Fig. 25.

758 B Changes since the CDR

Since the CDR, the baseline for the ECAL instrumentation has changed from $320\,\mu\mathrm{m}$ 759 thick silicon sensors to $550\,\mu\mathrm{m}$ thick GaAs sensors. The main reason for this change is 760 that the delivery of the GaAs sensors is guaranteed by Tomsk State University on the 761 time scale required for LUXE. In previous studies [12] it has been demonstrated that the 762 response of GaAs sensors to relativistic particles is similar to that of silicon sensors of 763 comparable thickness. In the sensors to be used for ECAL the only technological change 764 is the implementation of aluminum traces for signal routing to the edge of the sensor. 765 This will make the Kapton PCB with copper traces obsolete, and the critical bonding 766 between copper traces to the sensors pads will be avoided. 767

| | | ECAL Installa | tion | | | |
|---|----------|---|---|-------|------|------|
| Activity | Duration | Access (*) only for extemporaneous interventions | ECAL people involved PH = Physicist, MEN = Mechanical Engineer, EEN = Electrical Engineer, TE = Technician | Man-d | ays | |
| Move the ECAL to the Area and place it on the table, move the electronics racks to the area next to the ECAL | 1 day | EA | 2PH, 1 TE | 2 | | |
| Survey, to define the position of ECAL precisely | 1/2 day | EA | 1 PH, 2 survey experts from DESY/XFEL | 0.5 | | |
| Connecting the cables between ECAL and the rack | 1 day | EA | 1 PH, 1 EEN, 1TE | 1 | 1 | |
| Tests of HV, LV and data connections; | 3 days | EA, CR | 2 PH, 1 EEN | 6 | 3 | |
| Test of the readout and standalone DAQ software | 3 days | EA, CR | 2 PH, 1 EEN | 6 | 3 | |
| Detector calibration | 2 days | CR | 2 PH | 4 | | |
| Integartion in the central DAQ | 2 days | CR | 2 PH | 4 | | |
| Sum | | | | 23.5 | 7 | ; |
| FTE years | | | | 0.1 | 0.03 | 0.01 |
| | 1 | | | | | |

Figure 25: The resource loaded schedule for the installation of the ECAL. EA stands for experimental area and CR means control room.

768 C Quality Factor

Table 5: The list of quality factors required for quantification of the level of confidence in a price estimate.

| QF1 | Off-the-shelf Items for which there is a recent (< 1 |
|-----|--|
| | year) catalog price or quote with more than one |
| | potential supplier Items that are a copy or almost |
| | identical to an existing design for which there is a re- |
| | $\operatorname{cent}(< 1 \operatorname{year})$ catalog price or quote with more than |
| | one potential supplier. |
| QF2 | Items falling short of satisfying a single QF1 cri- |
| | terium, e.g.: - only one potential vendor; - estimate |
| | based on not completed design or design with minor |
| | modifications; - quotes > 1 year but still sufficiently |
| | reliable based on experience. |
| QF3 | Items with quotes > 2 years Items whose cost es- |
| | timates are based on a conceptual design or adap- |
| | ted from existing design with extensive modifications |
| | Items whose costs are estimated using physicist or |
| | engineering experience regardless of the maturity of |
| | the design. |
| QF4 | Items that have unproven fabrication yields or for |
| | which there are unique issues e.g. a special- order |
| | item and/or a single preferred supplier. |
| QF5 | Items that are still in a conceptual stage with no de- |
| | tailed specifications or design. |

769 D All notes

770 This is the list of all notes and the lead editors:

- Technical infrastructure and beam instrumentation at LUXE: Louis Helary and Stewart Boogert
- Laser, interaction chambers and timing system: Matt Zepf et al.
- Laser diagnostics: Matt Zepf et al.
- Common aspects (Simulation, Data Acquisition, Data Quality and Computing):
 Matthew Wing, Sasha Borysov, Federico Meloni et al.
- Pixel tracker: Noam Tal Hod
- EM calorimeter: Halina Abramowicz and Wolfgang Lohmann
- Scintillation screens: Matthew Wing and John Hallford
- Cherenkov detectors: Ruth Jacobs
- Gamma Profiler: Marco Bruschi
- Gamma Spectrometer: Gianluca Sarri
- Backscattering calorimeter: Maryna Borysova
- physics performance: Beate Heinemann
- 785 (to be finalized)