

# Parton showers from old to new paradigms

Simon Plätzer

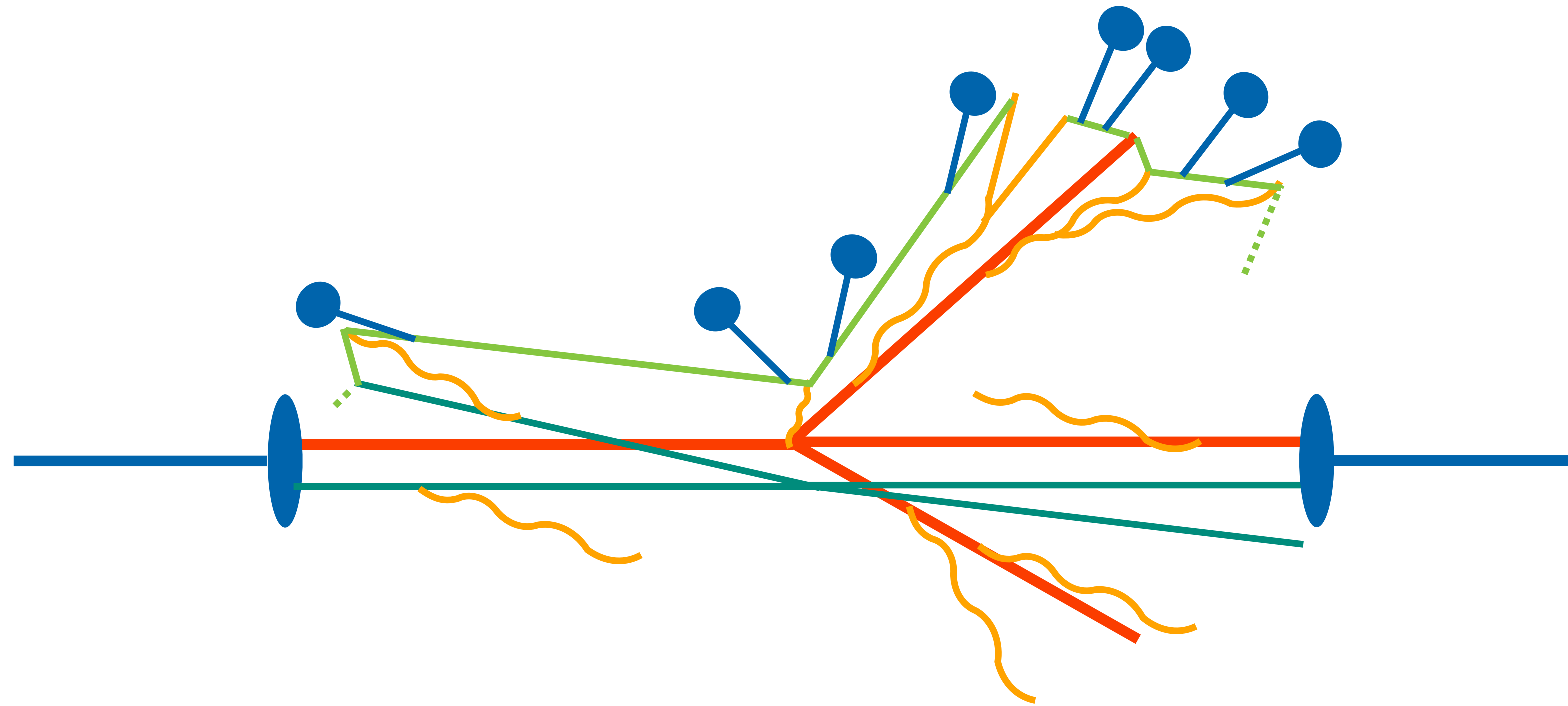
Institute of Physics — NAWI, University of Graz

Particle Physics — University of Vienna

At the

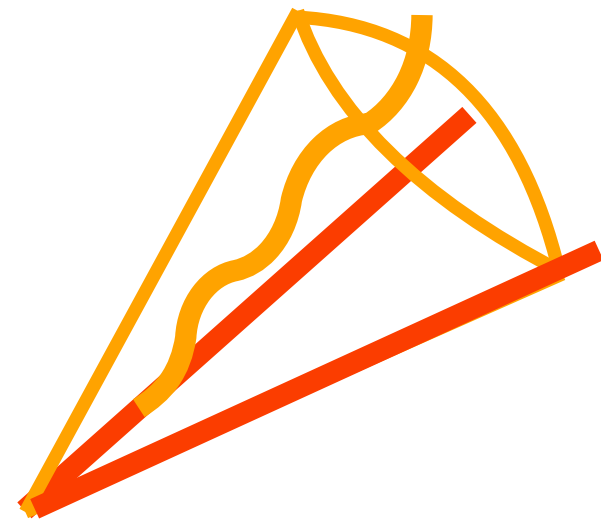
ECFA workshop on  $e^+e^-$  colliders

Hamburg | 6 October 2022



$$d\sigma \sim \mathbf{L} \times d\sigma_H(Q) \times \text{PS}(Q \rightarrow \mu) \times \text{MPI} \times \text{Had}(\mu \rightarrow \Lambda) \times \dots$$

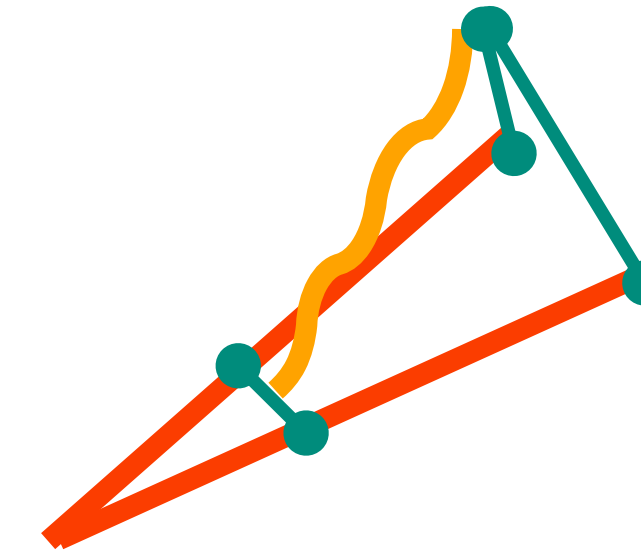
# Shower & Parton Branching Paradigms



Parton branchings  
order in angle.

- Driven by QCD coherence
- Recoil global
- Links to analytic use of coherent branching

## Herwig 7



Dipole branchings order  
in transverse momentum.

- Driven by large-N dipole pattern and colour flows
- Momentum conservation for each emission
- Advantageous for matching & merging

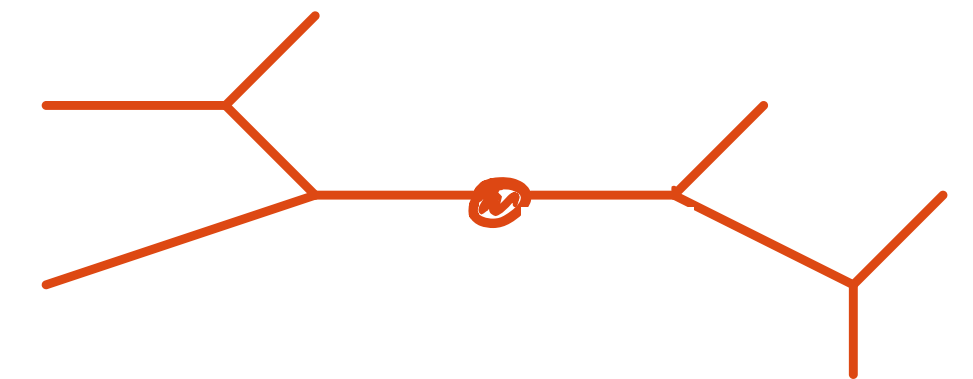
## Herwig 7, Pythia 8, Sherpa, PanScales, Deductor

Sequences of emission scales and momentum fractions as Markov process.  
Restore momentum conservation per emissions or at end of evolution.  
Deemed to **perform resummation** of logarithmic enhancements.

$$dS = \frac{\alpha_s}{2\pi} \frac{d\tilde{q}_i^2}{\tilde{q}_i^2} dz P(z_i) \exp \left( - \int_{\tilde{q}_i^2}^{Q^2} \frac{dq^2}{q^2} \int_{z_-(k^2)}^{z_+(k^2)} d\xi \frac{\alpha_s}{2\pi} P(z) \right)$$

emission rate

no emission probability



$$\sigma(n \text{ jets}, \tau) \sim \sum_k \sum_{l \leq 2k} c_{nkl} \alpha_s^k(Q) \ln^l \frac{1}{\tau}$$

# LHC-age working horses



Current release series	Hard matrix elements	Shower algorithms	NLO Matching	Multijet merging	MPI	Hadronization	Shower variations
Herwig 7	Internal, libraries, event files	QTilde, Dipoles	Internally automated	Internally automated	Eikonal	Clusters, (Strings)	Yes
Pythia 8	Internal, event files	Pt ordered, DIRE, VINCIA	External	Internal, ME via event files	Interleaved	Strings	Yes
Sherpa 2	Internal, libraries	CSShower, DIRE	Internally automated	Internally automated	Eikonal	Clusters, Strings	Yes

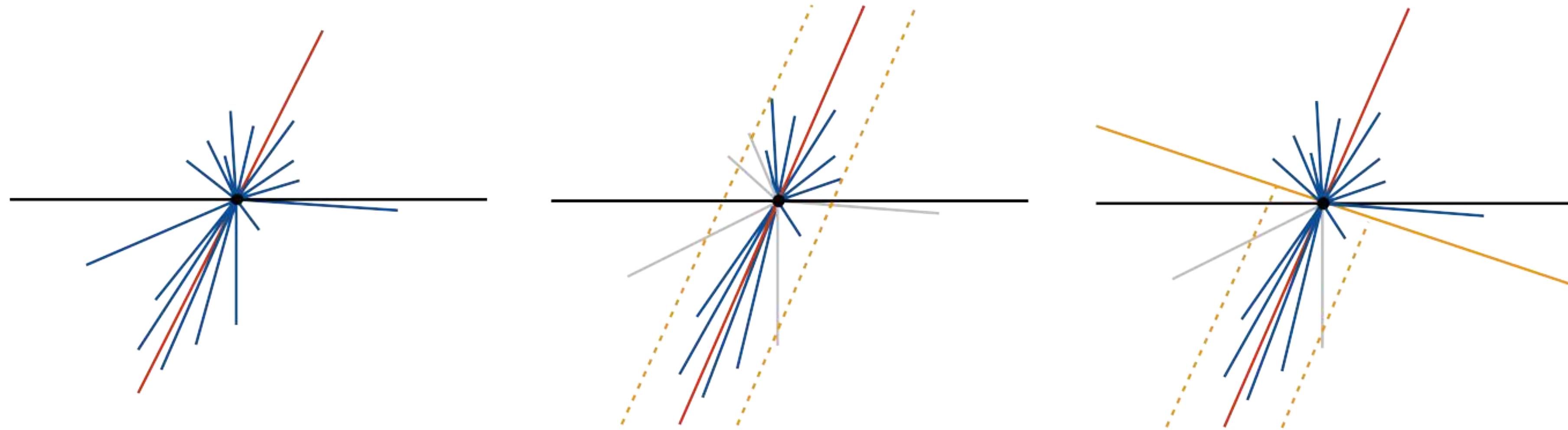
# LHC-age working horses



Current release series	Hard matrix elements	Shower algorithms	NLO Matching	Multijet merging	MPI	Hadronization	Shower variations
Herwig 7	Internal, libraries, event files	QTilde, Dipoles	Internally automated	Internally automated	Eikonal		
Pythia 8	Internal, event files	Pt ordered, DIRE, VINCI					
Sherpa 2	Internal, libraries	CSShower, DIRE					Yes

**General purpose — NLO matching & merging is settled.**  
**The bottleneck are showers, also towards fully flexible matching with NNLO, as well as the uncertainties introduced by hadronization.**

# Accuracy of Parton Showers



E.g. for exponentiating observables:

$$H(\alpha_s) \times \exp \left( Lg_1(\alpha_s L) + g_2(\alpha_s L) + \alpha_s g_3(\alpha_s L) + \dots \right)$$

LL — qualitative

NLL — quantitative

NNLL — precision

$$\alpha_s L \sim 1$$

# Accuracy for massive event shapes

Coherent branching jet mass distribution including mass effects

$$z(1-z)\tilde{q}^2 = -m_{ij}^2 + \frac{m_i^2}{z} + \frac{m_j^2}{1-z} - \frac{p_\perp^2}{z(1-z)}$$

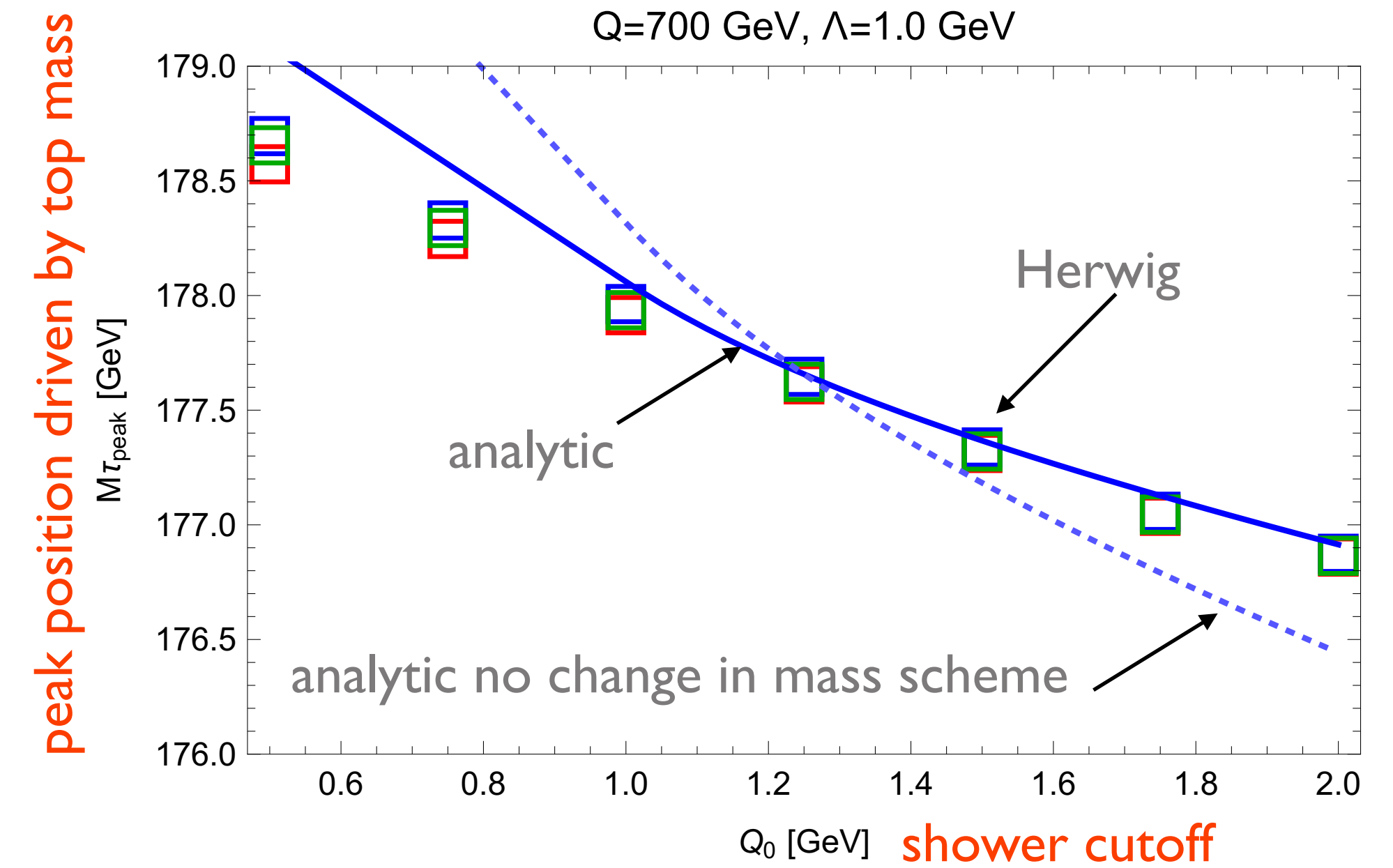
$$P_{q \rightarrow qg} = \frac{C_F}{1-z} \left[ 1 + z^2 - \frac{2m_q^2}{z\tilde{q}^2} \right]$$

[Gieseke, Stephens, Webber – JHEP 0312 (2003) 045]

**NLL accurate for global observables with massive quarks.**

Analytically calculate **perturbative correction** to the top mass as predicted by parton branching algorithms

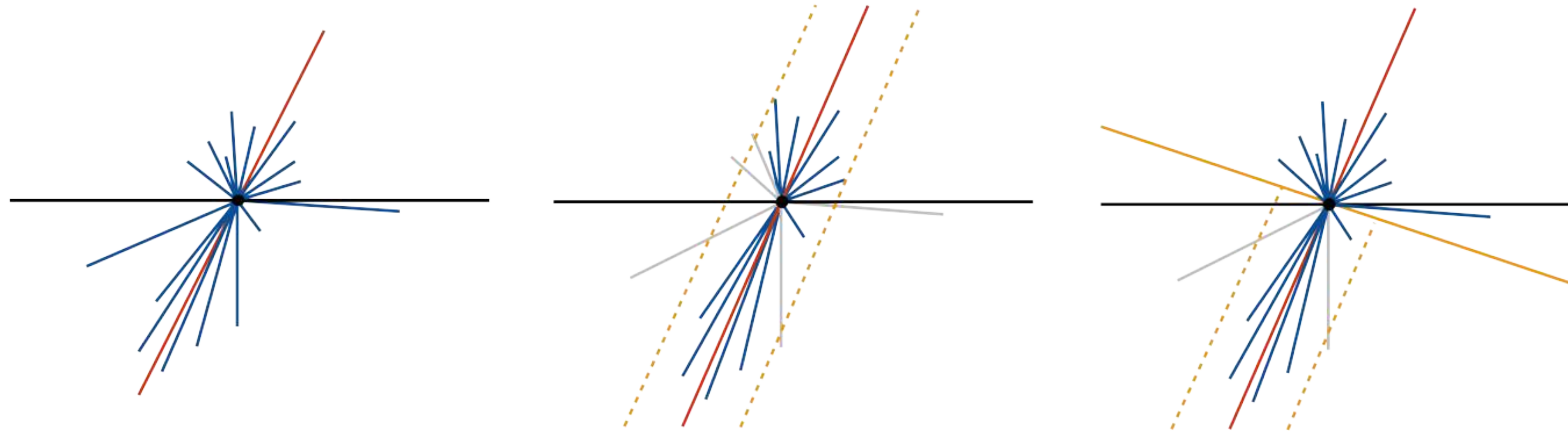
[Hoang, Plätzer, Samitz — JHEP 1810 (2018) 200]



$$m_t^{\text{MC}} = m_t^{\text{pole}} + \Delta_m^{\text{pert}} + \Delta_m^{\text{non-pert}} + \Delta_m^{\text{MC}}$$

$$m_t^{\text{CB}}(Q_0) = m_t^{\text{pole}} - \frac{2}{3} Q_0 \alpha_s(Q_0) + \mathcal{O}(\alpha_s^2)$$

# Accuracy of Parton Showers



Global event shapes from coherent branching

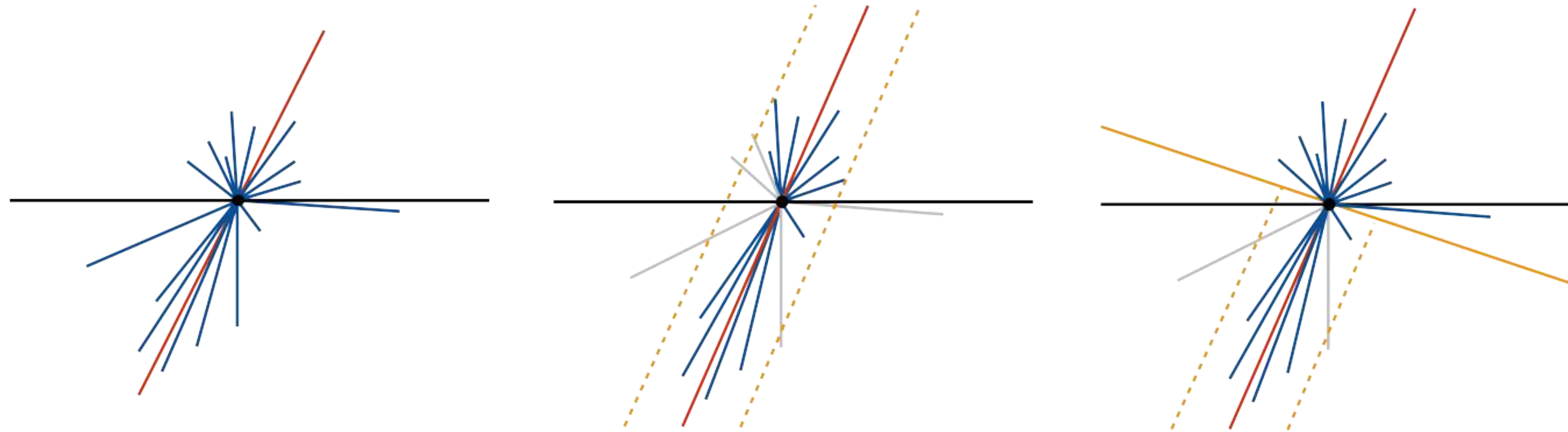
Non-globals for large N from dipole branching

$$\sum_e \left[ \text{diagram of a red line } i \text{ branching into blue lines } e \text{ with a green } T_e \text{ label} \right] = \sum_e \left[ \text{diagram of a red line } i \text{ branching into blue lines } e \text{ with a green } T_e \text{ label} \right] + \dots$$

$$\frac{\partial G_{ab}(t)}{\partial t} = - \int_{\text{in}} \frac{d\Omega_k}{4\pi} \omega_{ab}(k) G_{ab}(t) + \int_{\text{out}} \frac{d\Omega_k}{4\pi} \omega_{ab}(k) \left[ G_{ak}(t) G_{kb}(t) - G_{ab}(t) \right]$$



# Accuracy of Parton Showers



NLO with matching

NLL with coherent branching  
Issues in dipole showers

Issues in coherent branching  
LL with dipole showers

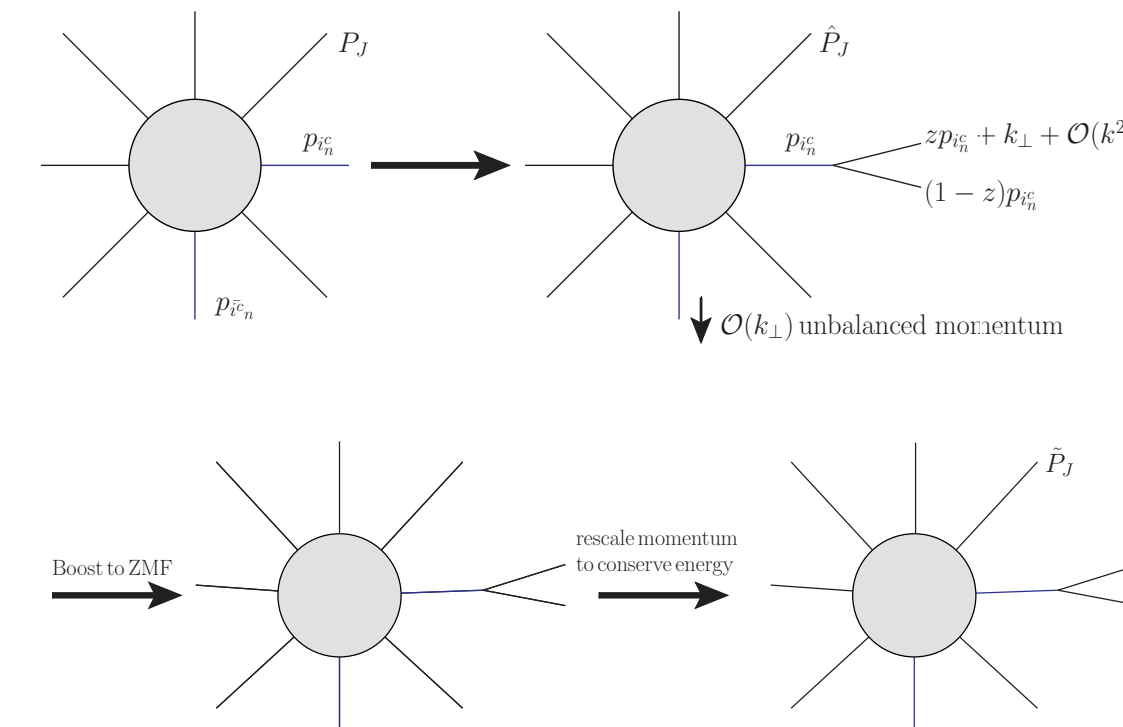
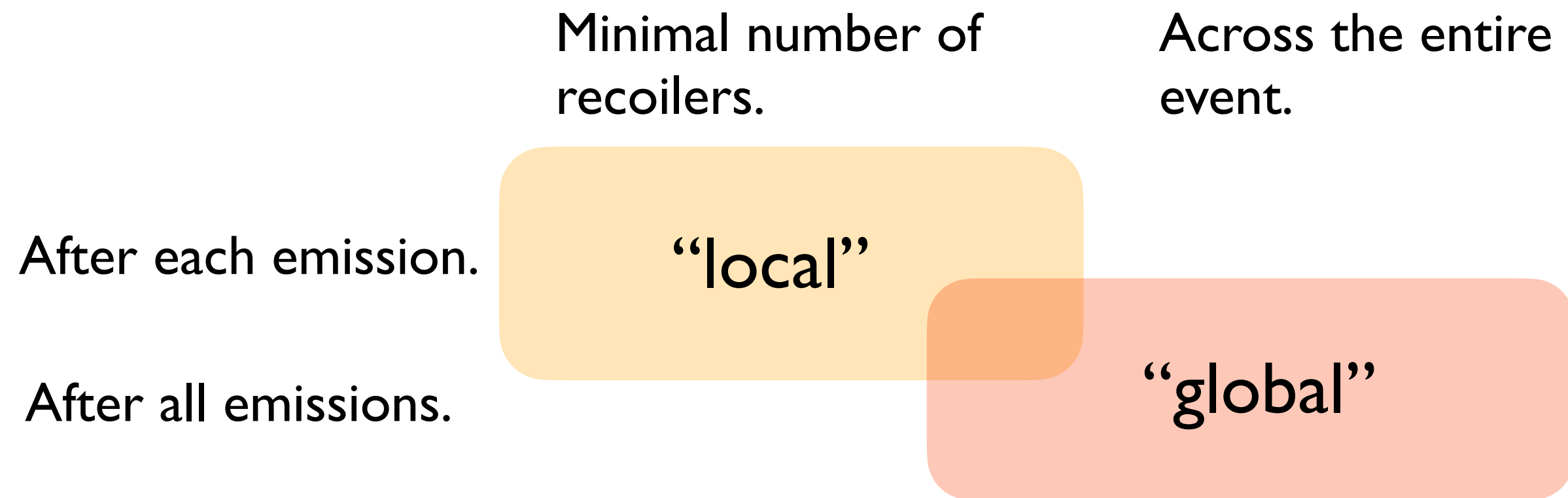
Global event

Multiple branching

$$\sum_e \int_i \mathcal{T}_e \sim e = \sum_e \int_i \mathcal{T}_e + \dots$$

$$\frac{\partial G_{ab}(t)}{\partial t} = - \int_{\text{in}} \frac{d\Omega_k}{4\pi} \omega_{ab}(k) G_{ab}(t) + \int_{\text{out}} \frac{d\Omega_k}{4\pi} \omega_{ab}(k) [G_{ak}(t)G_{kb}(t) - G_{ab}(t)]$$

## Various choices of recoil possible:



local

$$q_i = zp_i + \frac{p_{\perp}^2}{zs_{ij}}p_j + k_{\perp}$$

$$q = (1-z)p_i + \frac{p_{\perp}^2}{(1-z)s_{ij}}p_j - k_{\perp}$$

$$q_j = \left(1 - \frac{p_{\perp}^2}{z(1-z)s_{ij}}\right)p_j,$$

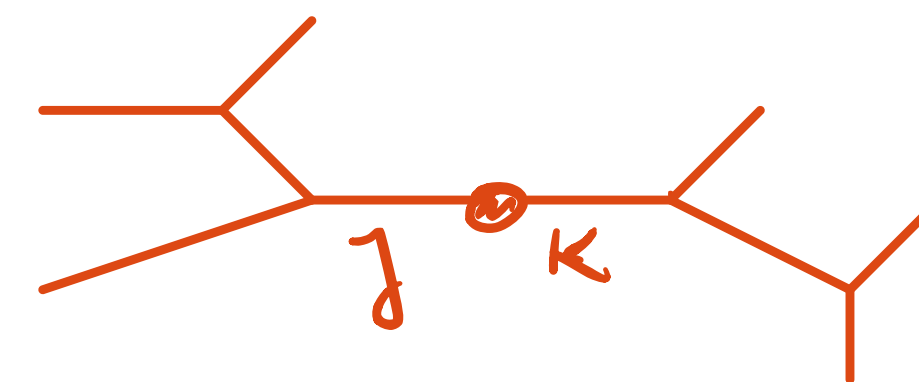
local/global

Recoil is crucial to an algorithm’s accuracy.

Closely linked to how we implement the **soft radiation pattern and the initial conditions**. Some choices invert initially assumed strong ordering.

$$\frac{p_{i_n} \cdot p_{j_n}}{p_{i_n} \cdot q_n p_{j_n} \cdot q_n} \longrightarrow \frac{p_{i_n} \cdot p_{j_n}}{p_{i_n} \cdot q_n p_{j_n} \cdot q_n} - \frac{T \cdot p_{j_n}}{T \cdot q_n} \frac{1}{p_{j_n} \cdot q_n} + \frac{T \cdot p_{i_n}}{T \cdot q_n} \frac{1}{p_{i_n} \cdot q_n}$$

global



$$q_{ji} = \alpha_i p_j + \beta_i \bar{p}_j + k_{\perp i}$$

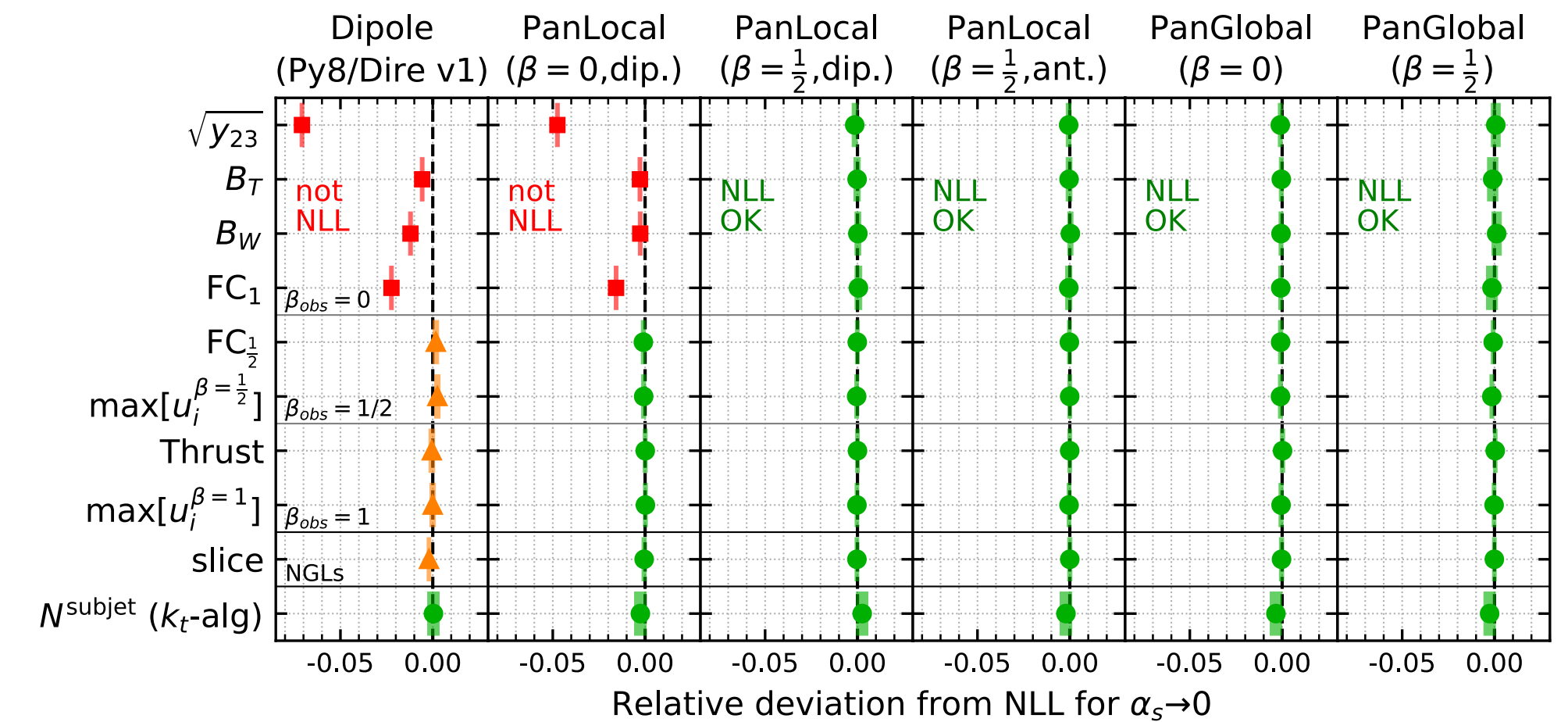
$$q_{ji} \rightarrow \frac{1}{\alpha_i} \wedge q_{ji}$$

# Improving Shower Accuracy

Demonstrate NLL accurate evolution:

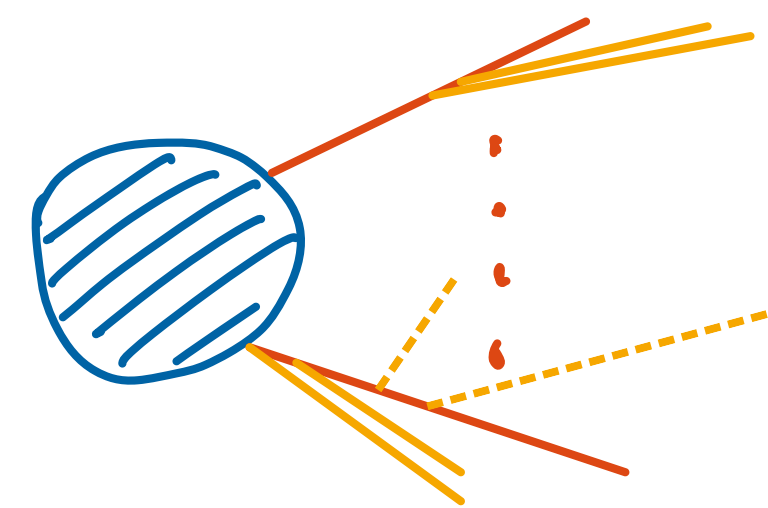
- PanScales — numerical  
[Dasgupta, Monni, Salam, Soyez + ....]
- Deductor — numerical/analytical  
[Nagy, Soper]
- Forshaw/Holguin/Plätzer — analytical  
[aim at improving Herwig 7 dipole shower]
- Sherpa — numerical/analytical  
[Herren, Höche, Krauss, Reichelt, Schönherr]

Based on  
amplitude  
evolution.

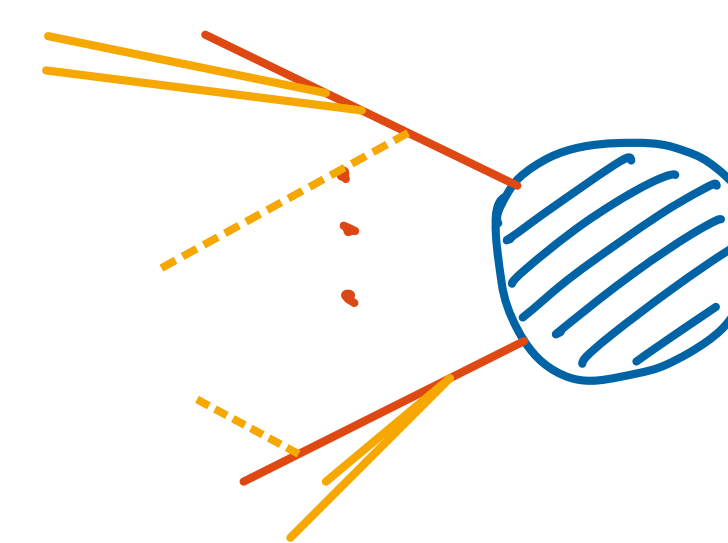


[PanScales]

Analyses need to build on squaring amplitudes with many additional legs, then reduce by using coherence and/or large-N limit.



Amplitude



Conjugate Amplitude

— collinear  
- - - soft

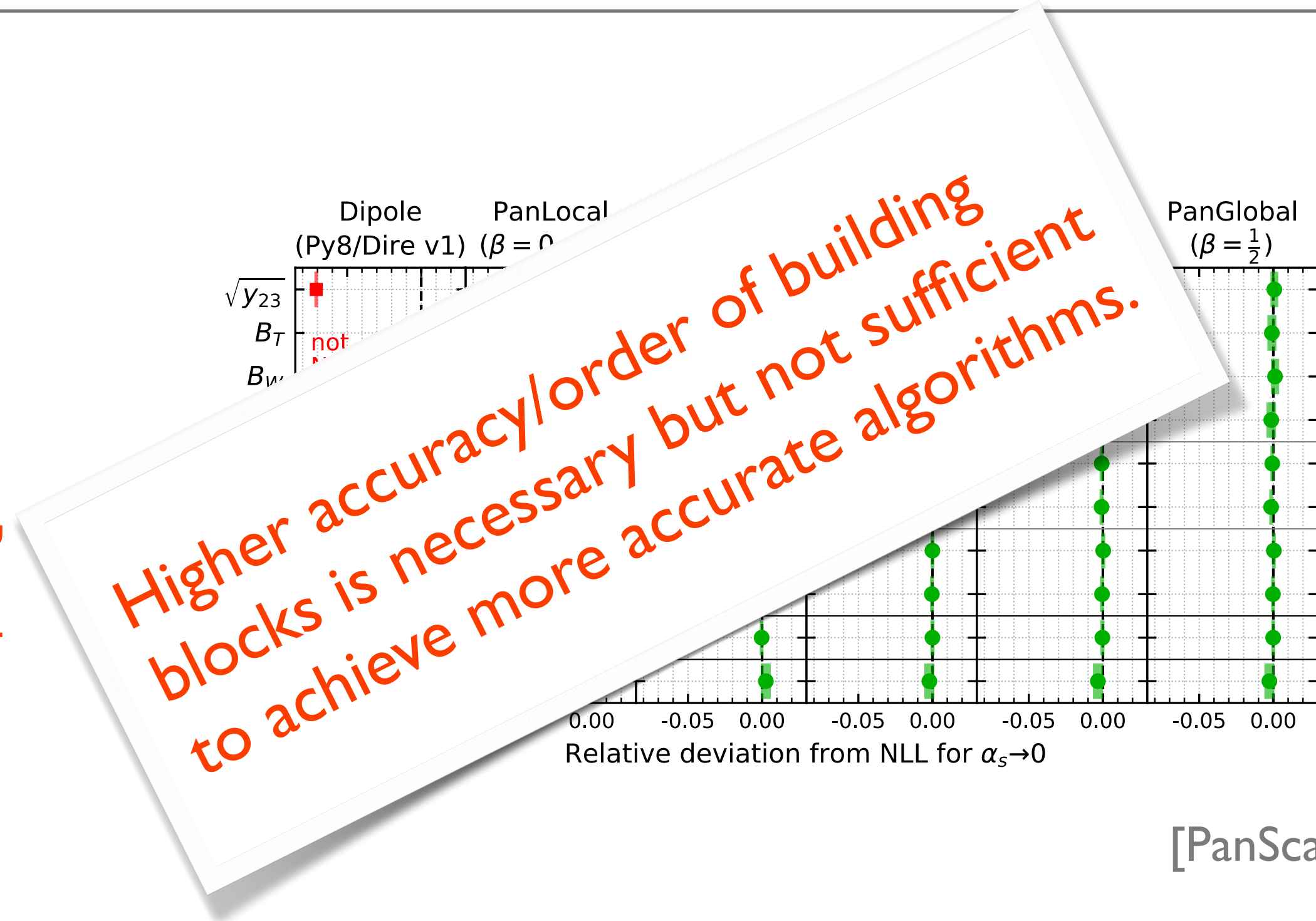
At least analyse two emissions.

# Improving Shower Accuracy

Demonstrate NLL accurate evolution:

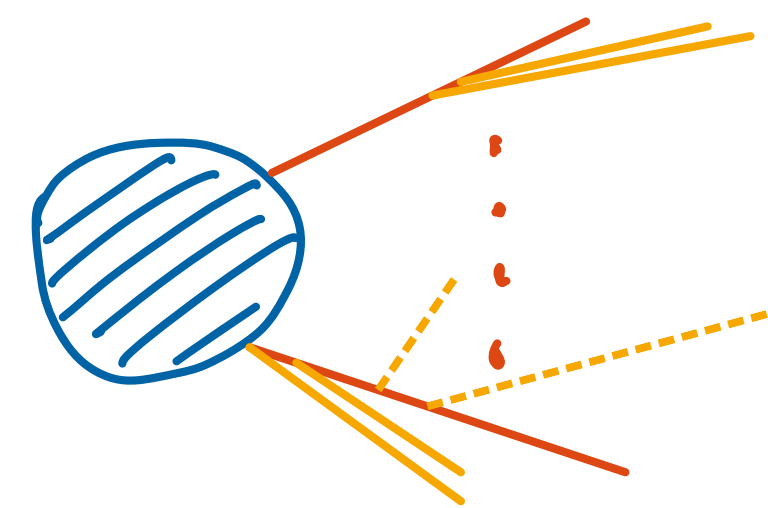
- PanScales — numerical  
[Dasgupta, Monni, Salam, Soyez + ....]
- Deductor — numerical/analytical  
[Nagy, Soper]
- Forshaw/Holguin/Plätzer — analytical  
[aim at improving Herwig 7 dipole shower]
- Sherpa — numerical/analytical  
[Herren, Höche, Krauss, Reichelt, Schönherr]

Based on  
amplitude  
evolution.

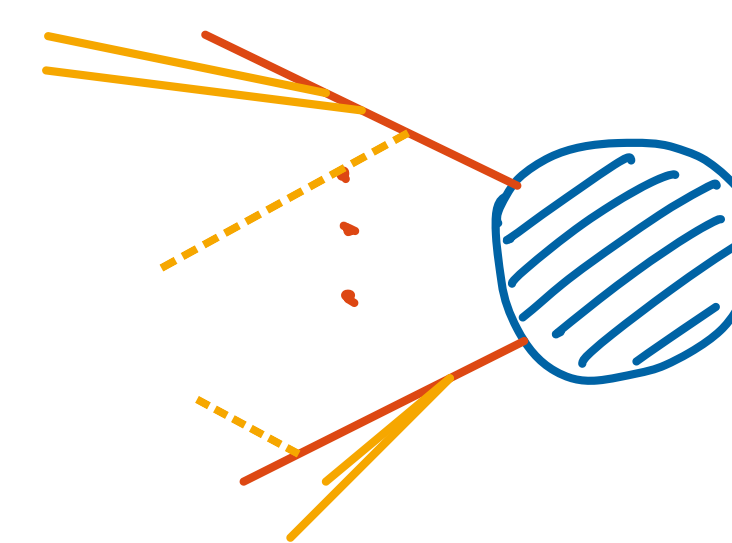


[PanScales]

Analyses need to build on squaring amplitudes with many additional legs, then reduce by using coherence and/or large-N limit.



Amplitude



Conjugate Amplitude

— collinear  
- - - soft

At least analyse two emissions.

# Further lessons from amplitude evolution

[Holguin, Forshaw, Plätzer — JHEP 05 (2022) 190]

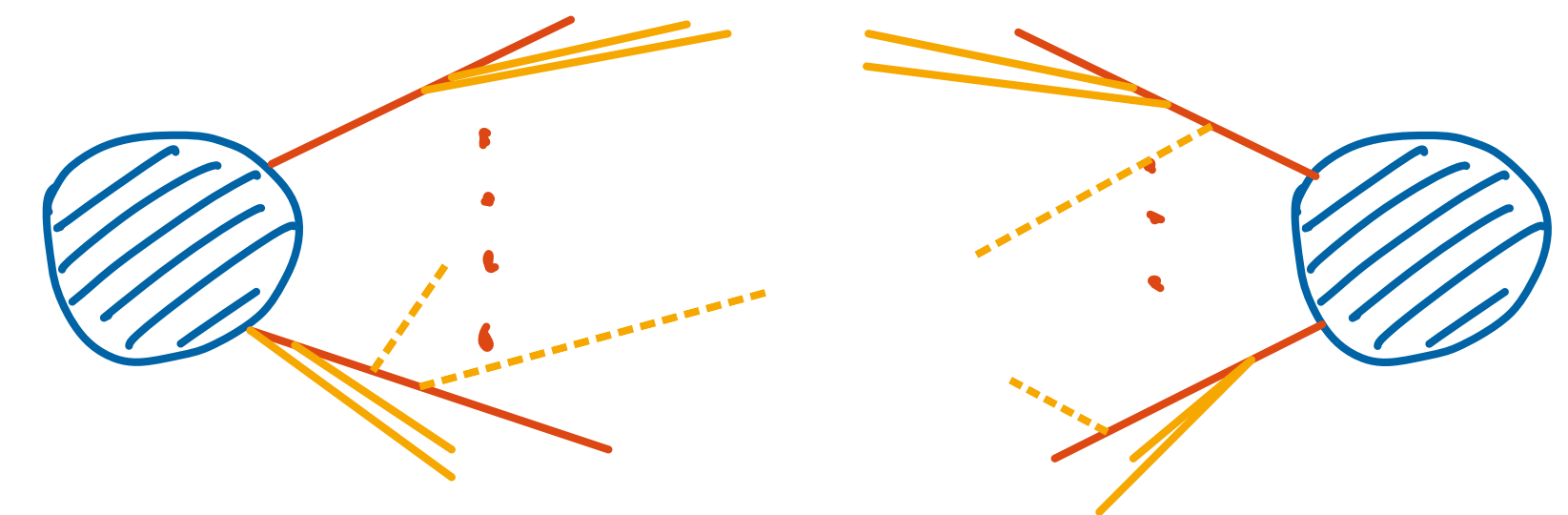
Coherent branching full-colour accurate for global observables:  
Colour correlations for two (and three) partons are trivial.

Basis functions for soft radiation patterns then allow us to express three-jet global cross sections to the same level of accuracy:

$$\frac{d(\cos \theta_{in})d\phi_n}{1 - \cos \theta_{in}} \Theta(\theta_{in} < \theta) \mapsto {}^{(n-1)}S_i^{j,k} E_n^2 \Theta(\theta_{in} < \theta) d(\cos \theta_{in})d\phi_n$$

$${}^{(n-1)}S_i^{j,k} = \frac{s_{ij}s_{nk} + s_{ik}s_{nj} - s_{jk}s_{ni}}{2s_{ni}s_{nj}s_{nk}}$$

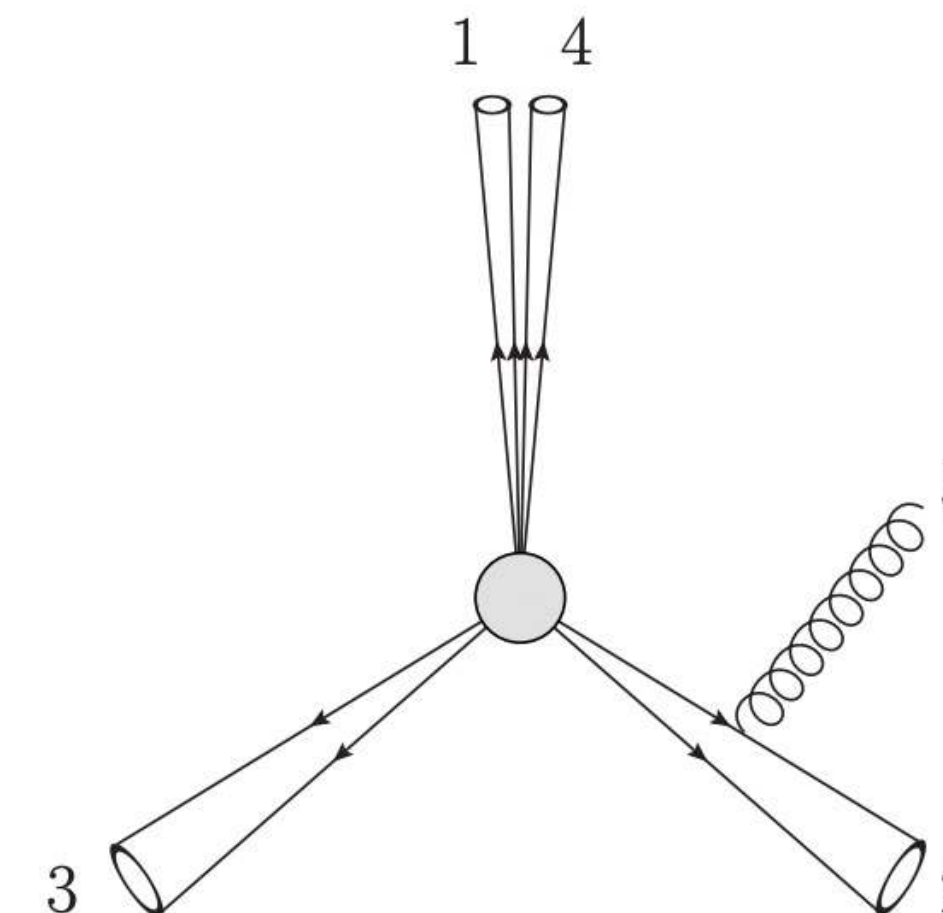
Simple generalisation of angular ordered showers.  
Not a recipe for showers which are generally colour exact.



amplitude

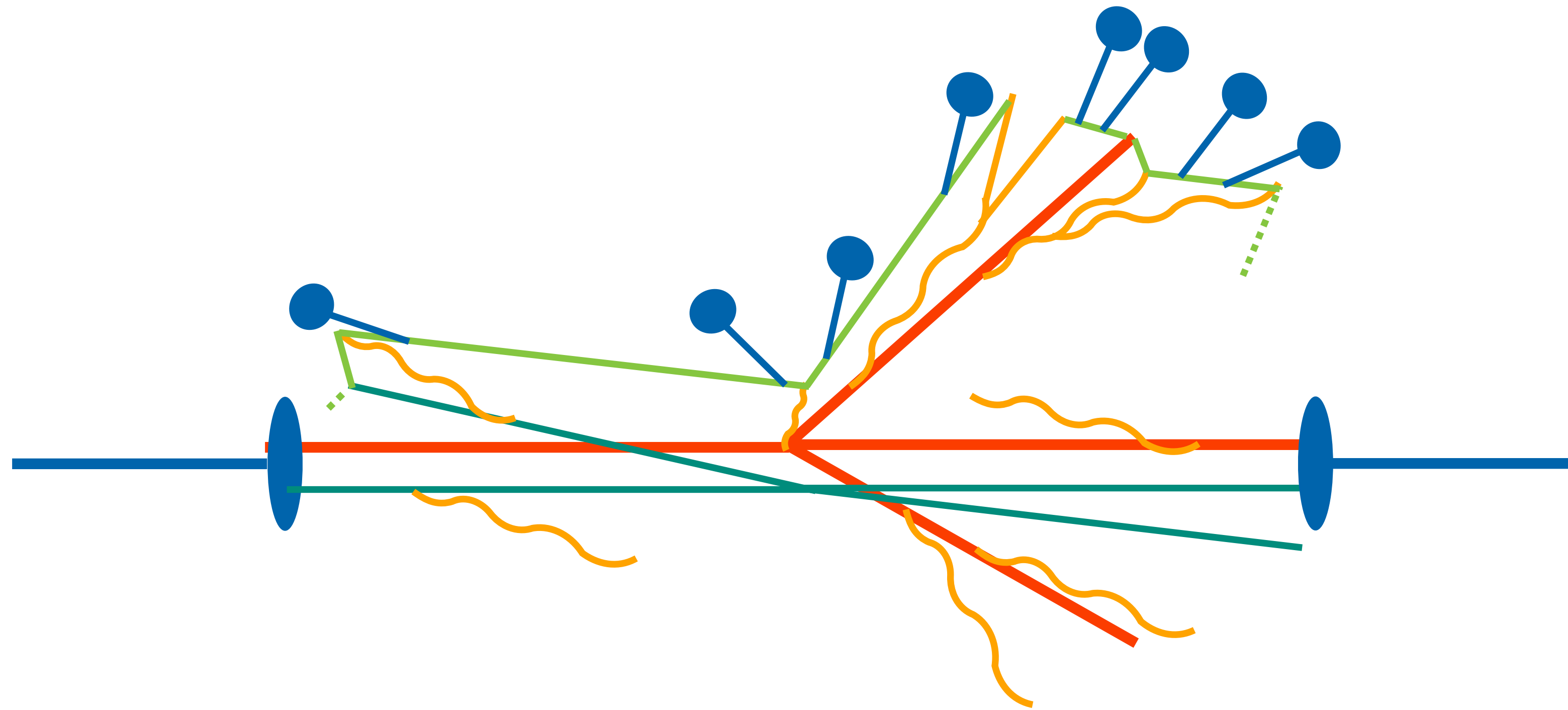
conjugate amplitude

— collinear  
- - - soft



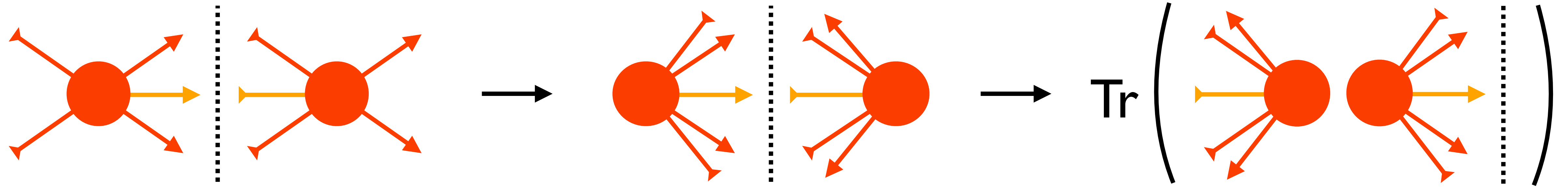
Large-angle soft gluon only resolves three-jet system.

# Complexity factorized?



$$d\sigma \sim \text{Tr} \left[ \mathbf{PS}(Q \rightarrow \mu) d\mathbf{H}(Q) \mathbf{PS}^\dagger(Q \rightarrow \mu) \mathbf{Had}(\mu \rightarrow \Lambda) \right]$$

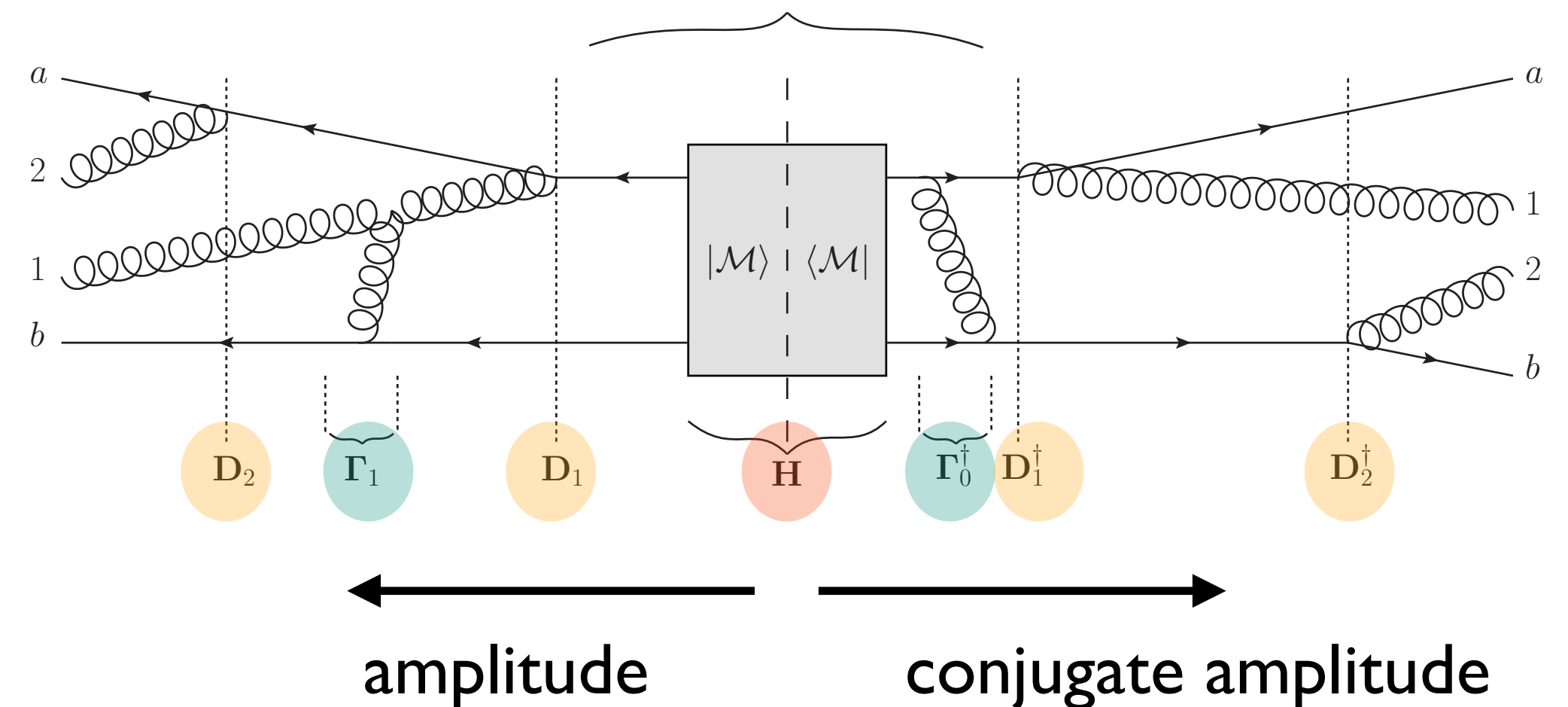
# Amplitude evolution



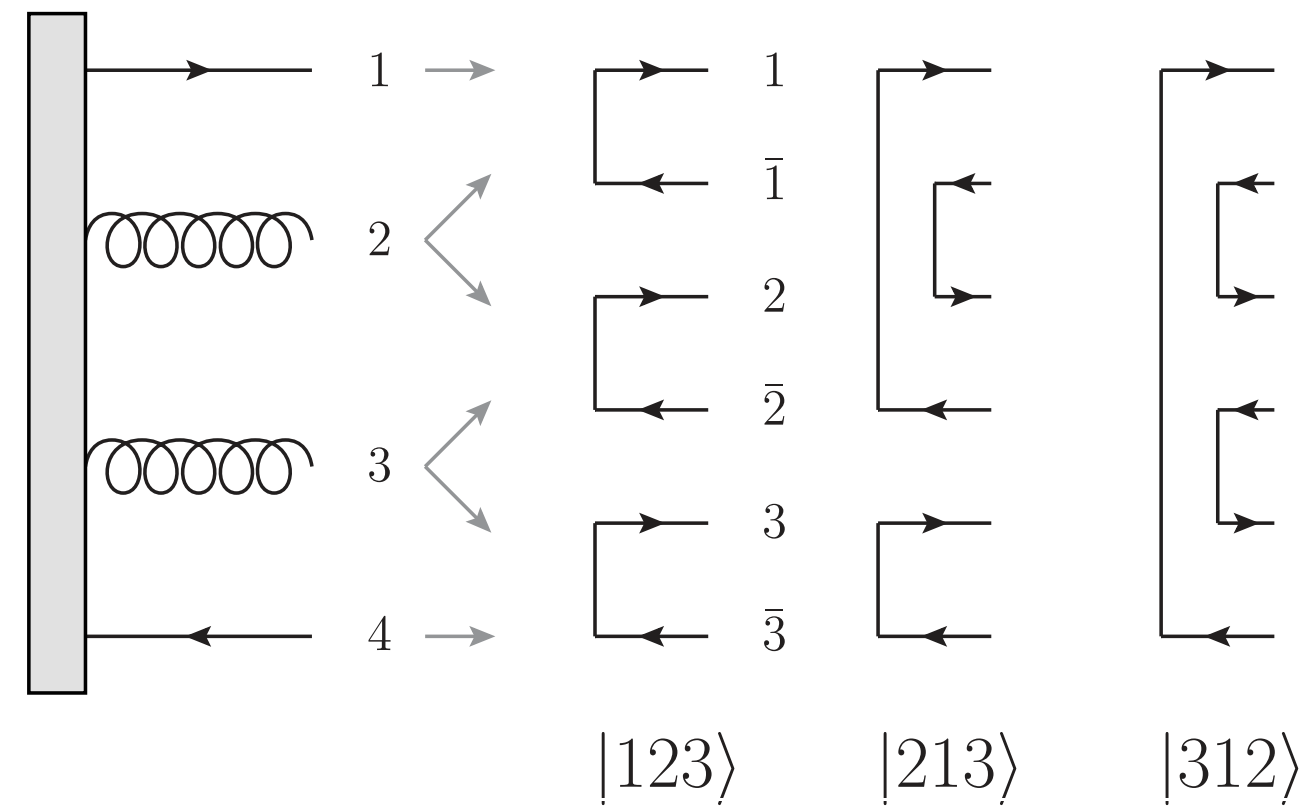
$$\mathbf{A}_n(q) = \int_q^Q \frac{dk}{k} \mathbf{P} e^{-\int_q^k \frac{dk'}{k'} \mathbf{\Gamma}(k')} \mathbf{D}_n(k) \mathbf{A}_{n-1}(k) \mathbf{D}_n^\dagger(k) \bar{\mathbf{P}} e^{-\int_q^k \frac{dk'}{k'} \mathbf{\Gamma}^\dagger(k')}$$

Markovian algorithm at the amplitude level:  
Iterate **gluon exchanges** and **emission**.

Different histories in amplitude and conjugate amplitude needed to include interference.

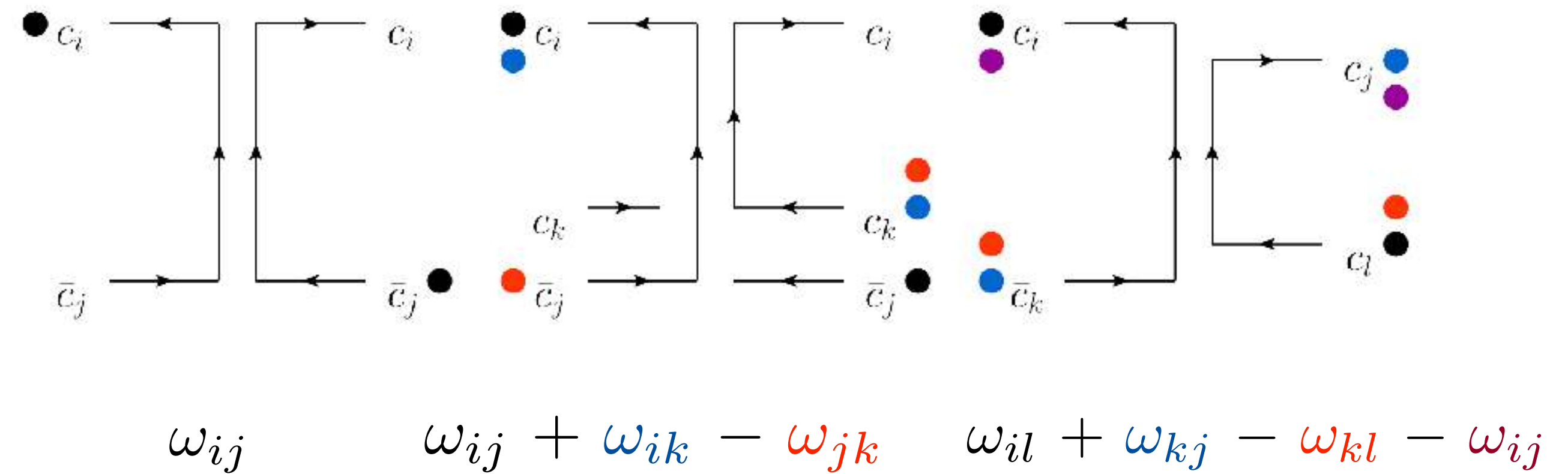


Resummation of general, in particular, non-global observables requires colour evolution



Dipole, “string” and “ring” radiation patterns

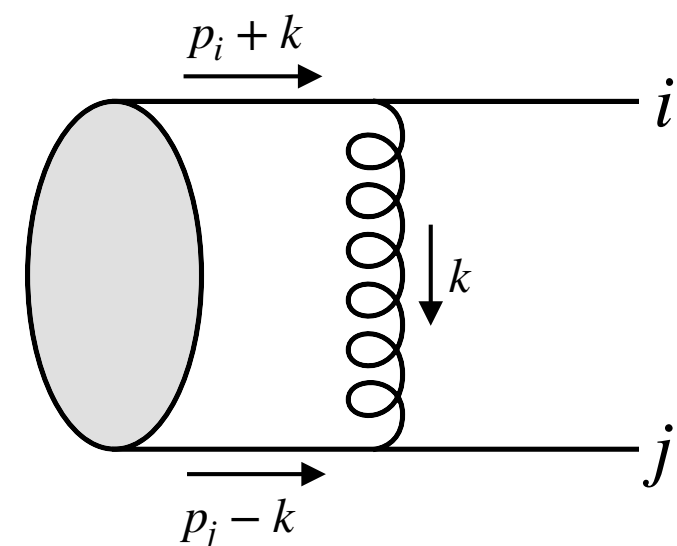
at subleading colour [Holguin, Forshaw, Plätzer — JHEP 05 (2022) 190]



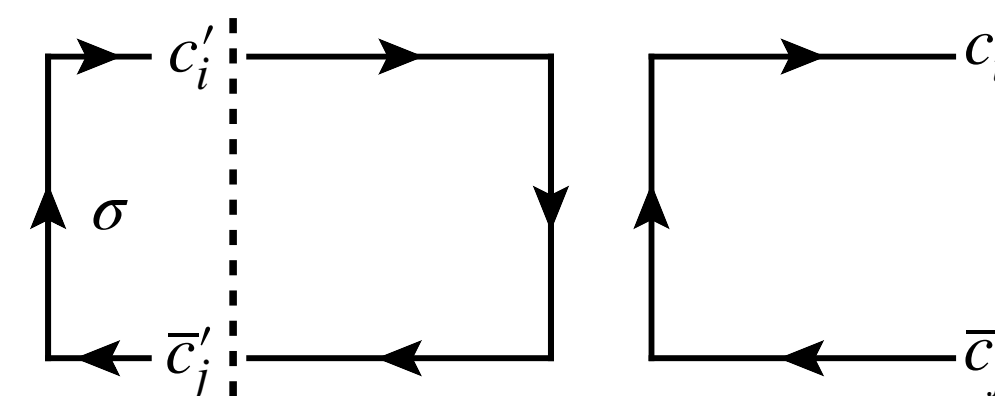
rings free of collinear singularities — related to conformal cross ratios

Dipole flips from virtual corrections, known up to two loop order.

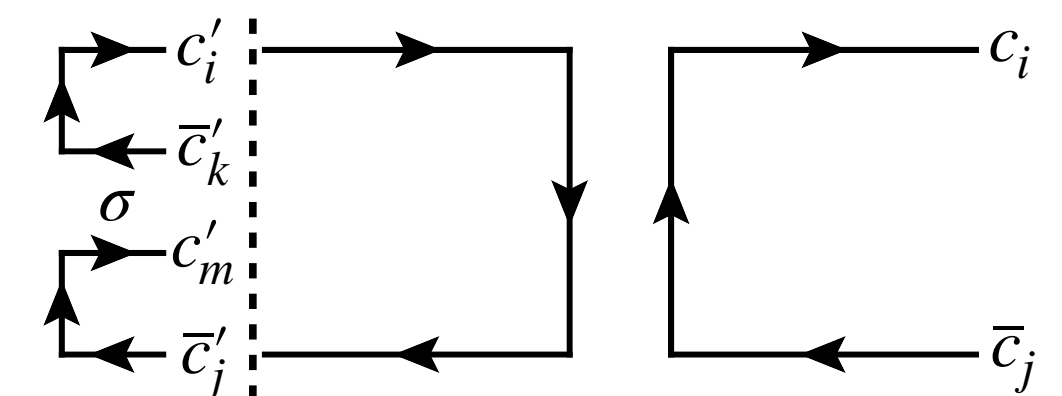
[Plätzer, Ruffa — JHEP 06 (2021) 007]  
[Plätzer – EPJ C 74 (2014) 2907]



Leading N diagonal



Subleading N flips





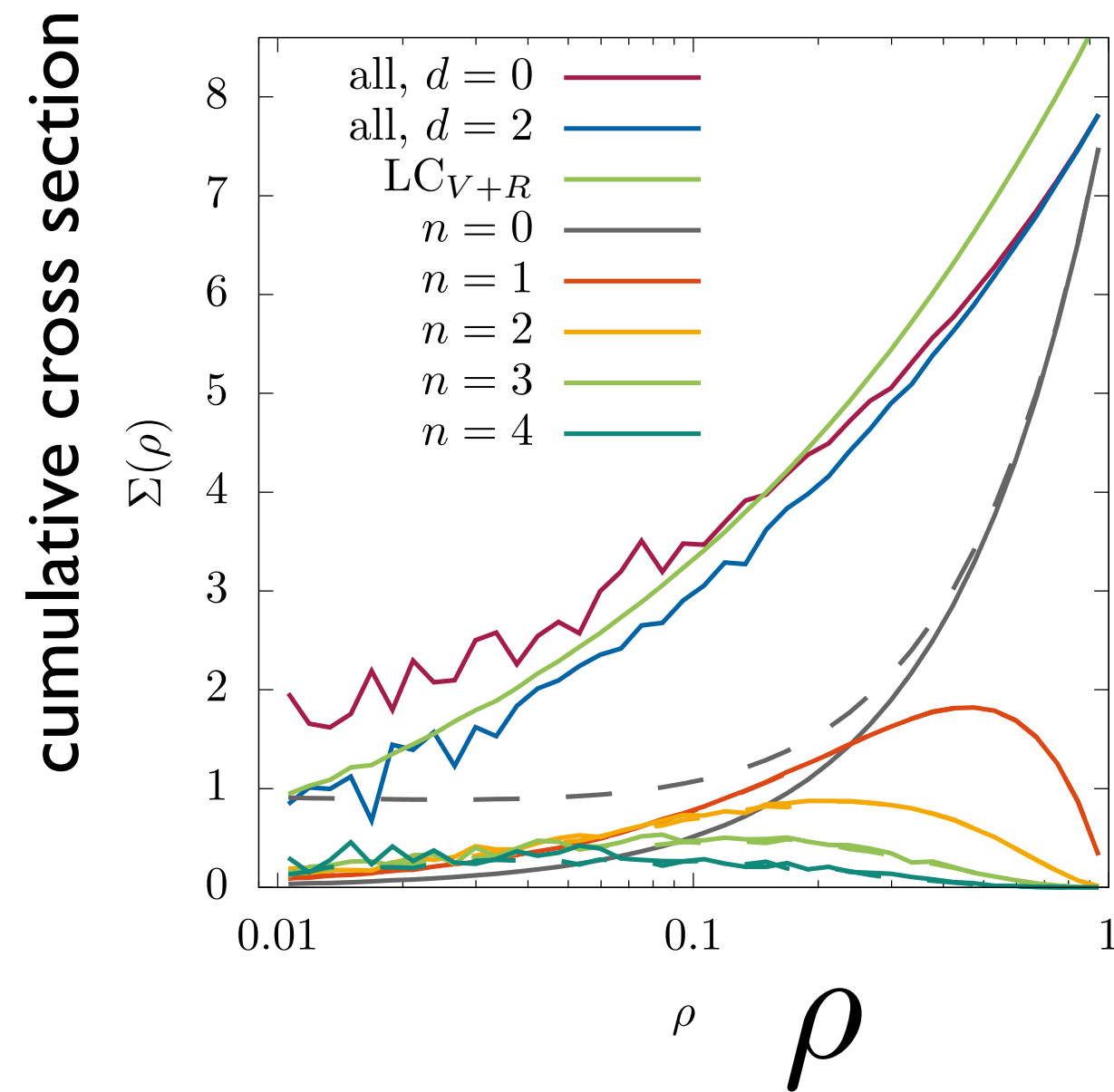
# Hard process evolution beyond large N

**CVolver** solves evolution equations in colour flow space

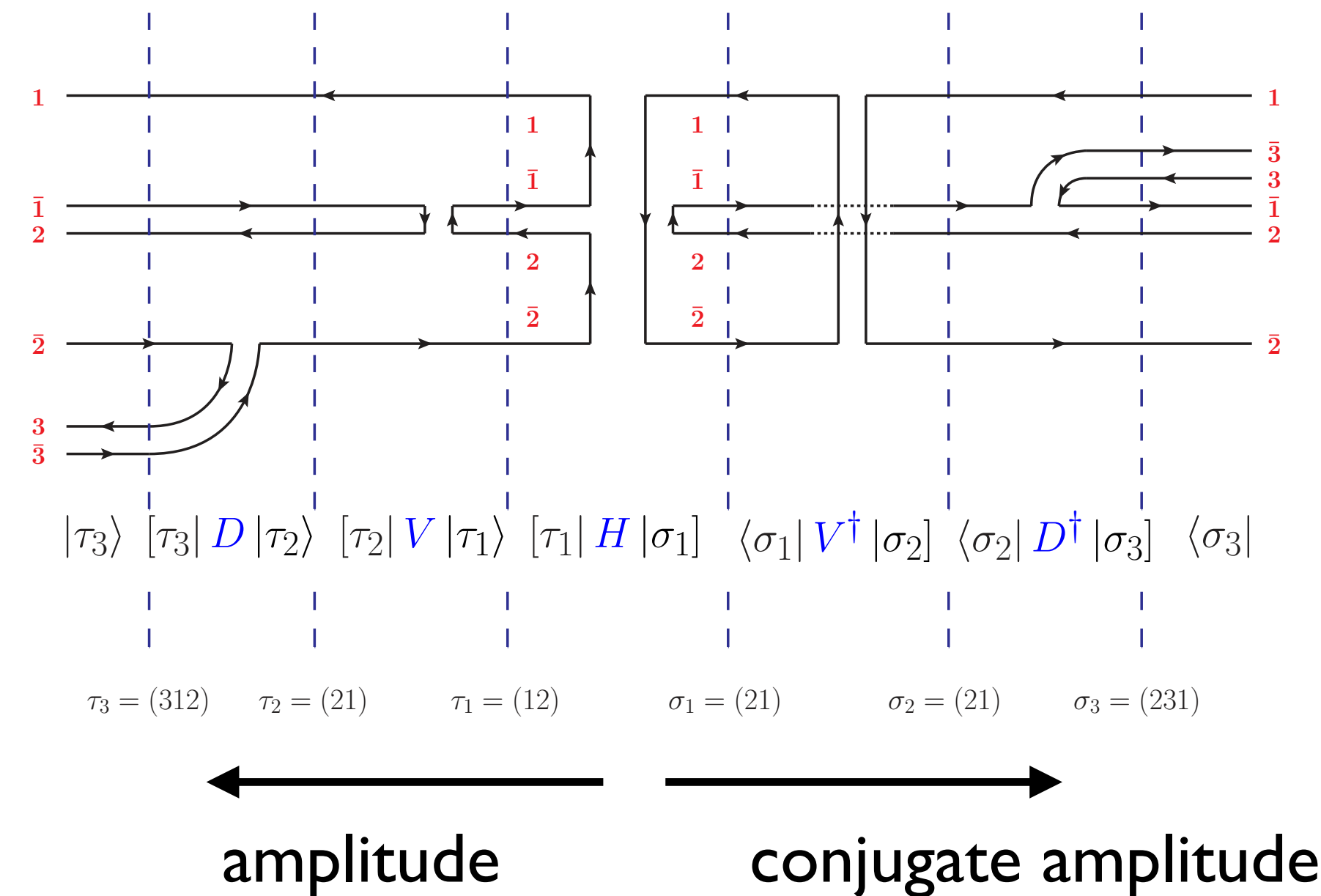
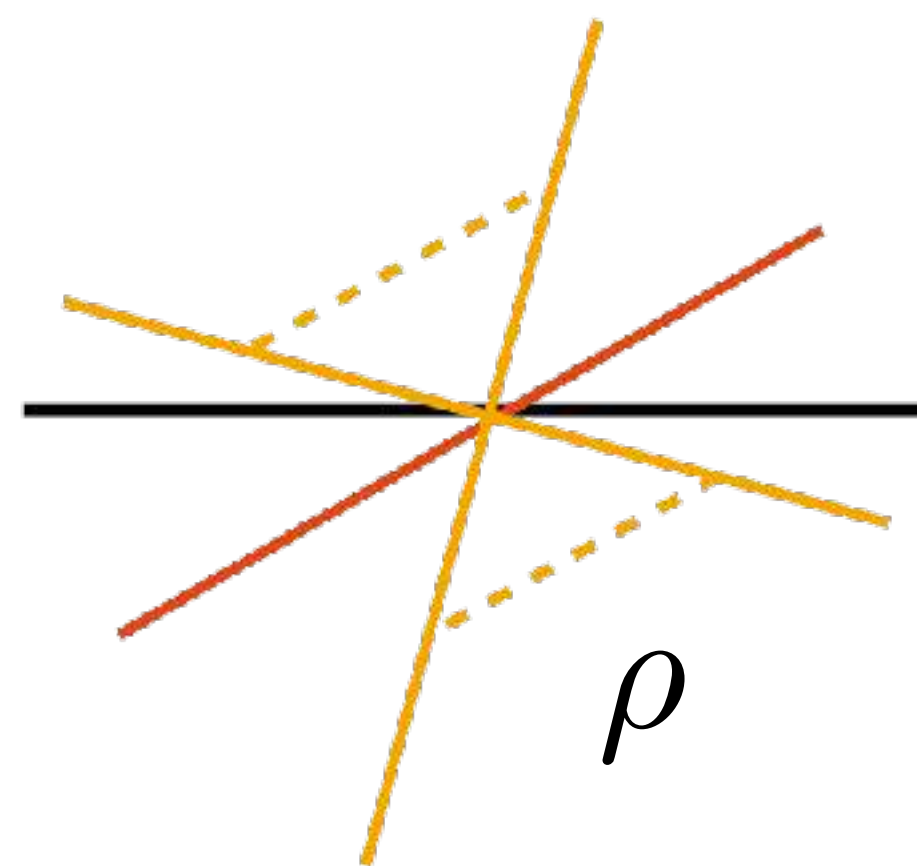
[De Angelis, Forshaw, Plätzer — PRL 126 (2021) 11]  
based on [Plätzer – EPJ C 74 (2014) 2907]

$$\mathbf{A}_n(q) = \int_q^Q \frac{dk}{k} \mathbf{P} e^{-\int_q^k \frac{dk'}{k'} \mathbf{\Gamma}(k')} \mathbf{D}_n(k) \mathbf{A}_{n-1}(k) \mathbf{D}_n^\dagger(k) \bar{\mathbf{P}} e^{-\int_q^k \frac{dk'}{k'} \mathbf{\Gamma}^\dagger(k')}$$

singlet  $\rightarrow$   $gg$  spectrum



gaps between jets



Agrees with Hatta & Ueda using equivalent Langevin formulation.  
[Hatta et al. — Nucl.Phys.B 962 (2021) 115273]

# Amplitude evolution & hadronization

$$\sigma = \sum_n \int \alpha_S^n \text{Tr} \left[ (\mathbf{A}_n + \mathbf{\Delta}_n) \mathbf{S}_n \right] d\phi(Q) \prod_{i=1}^n \mu_R^{2\epsilon} [dp_i] \tilde{\delta}(p_i)$$

red dressing of hard process ~ parton shower

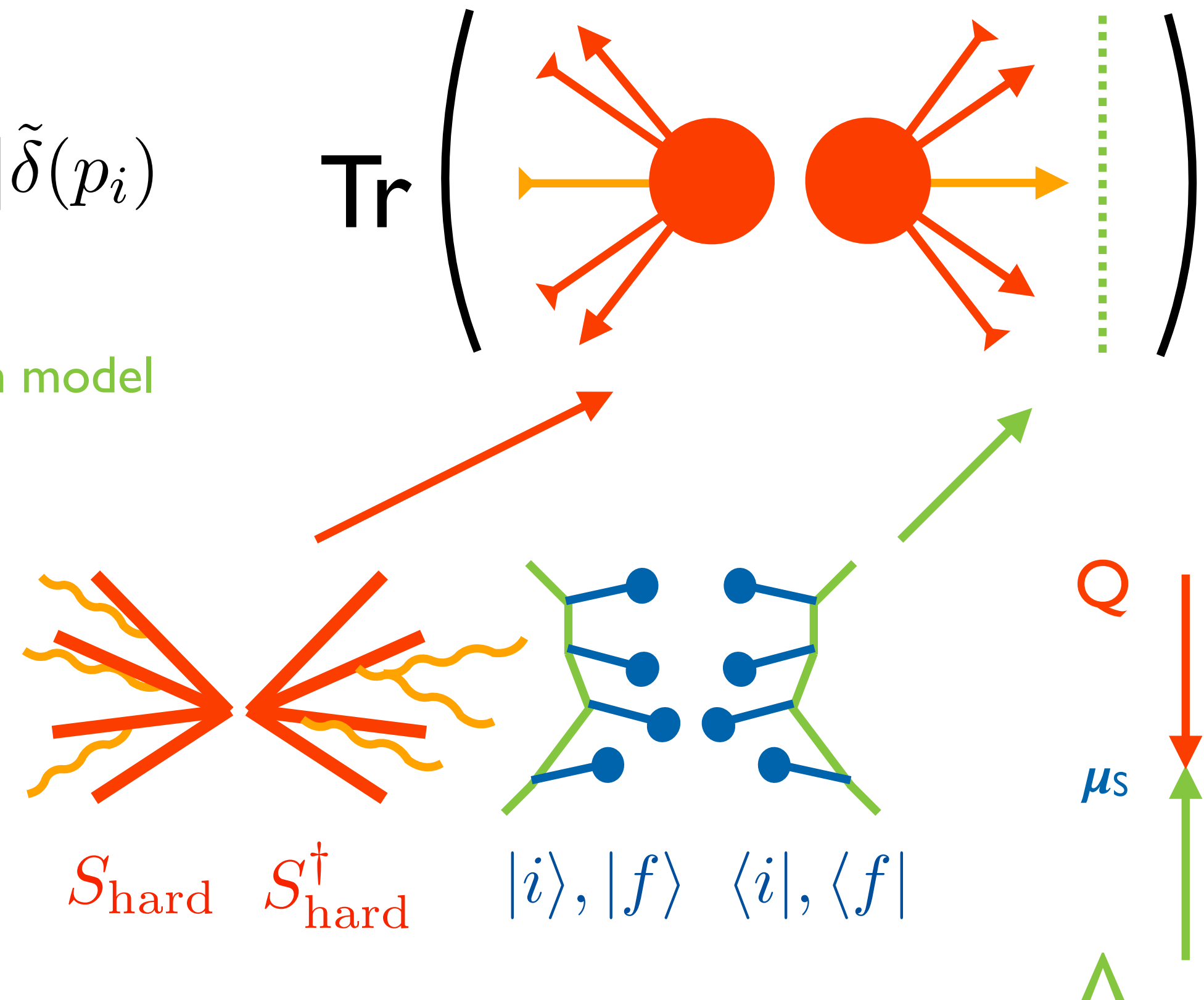
green soft evolution ~ hadronization model

$\alpha_s$  corrections to tower of logarithms present in A

Redefinitions of “bare” measurements provides infrared subtractions, renormalised hard operator can then be redefined to sum up logarithmic enhancements.

$$\partial_S \mathbf{A}_n = \mathbf{\Gamma}_{n,S} \mathbf{A}_n + \mathbf{A}_n \mathbf{\Gamma}_{n,S}^\dagger - \sum_{s \geq 1} \alpha_S^s \mathbf{R}_{S,n}^{(s)} \mathbf{A}_{n-s} \mathbf{R}_{S,n}^{(s)\dagger}$$

Framework dedicated to higher orders and accuracy investigation, currently focus on soft evolution and colour correlations. Second order under control.

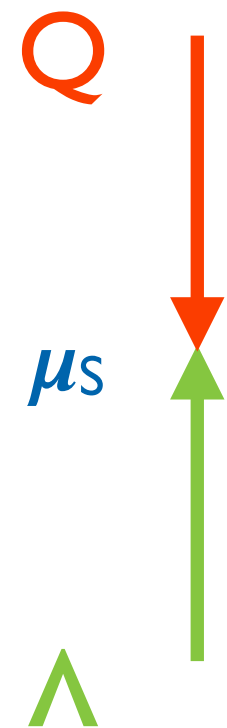


We can now obtain the evolution equations we asked for:

$$\partial_S \mathbf{A}_n = \mathbf{\Gamma}_{n,S} \mathbf{A}_n + \mathbf{A}_n \mathbf{\Gamma}_{n,S}^\dagger - \sum_{s \geq 1} \alpha_S^s \mathbf{R}_{S,n}^{(s)} \mathbf{A}_{n-s} \mathbf{R}_{S,n}^{(s)\dagger}$$

$$\partial_S \equiv \partial / \partial \log \mu_S$$

$$\partial_S \mathbf{S}_n = -\tilde{\mathbf{\Gamma}}_{S,n}^\dagger \mathbf{S}_n - \mathbf{S}_n \tilde{\mathbf{\Gamma}}_{S,n} + \sum_{s \geq 1} \alpha_S^s \int \tilde{\mathbf{R}}_{S,n+s}^{(s)\dagger} \mathbf{S}_{n+s} \tilde{\mathbf{R}}_{S,n+s}^{(s)} \prod_{i=n+1}^{n+s} [dp_i] \tilde{\delta}(p_i)$$

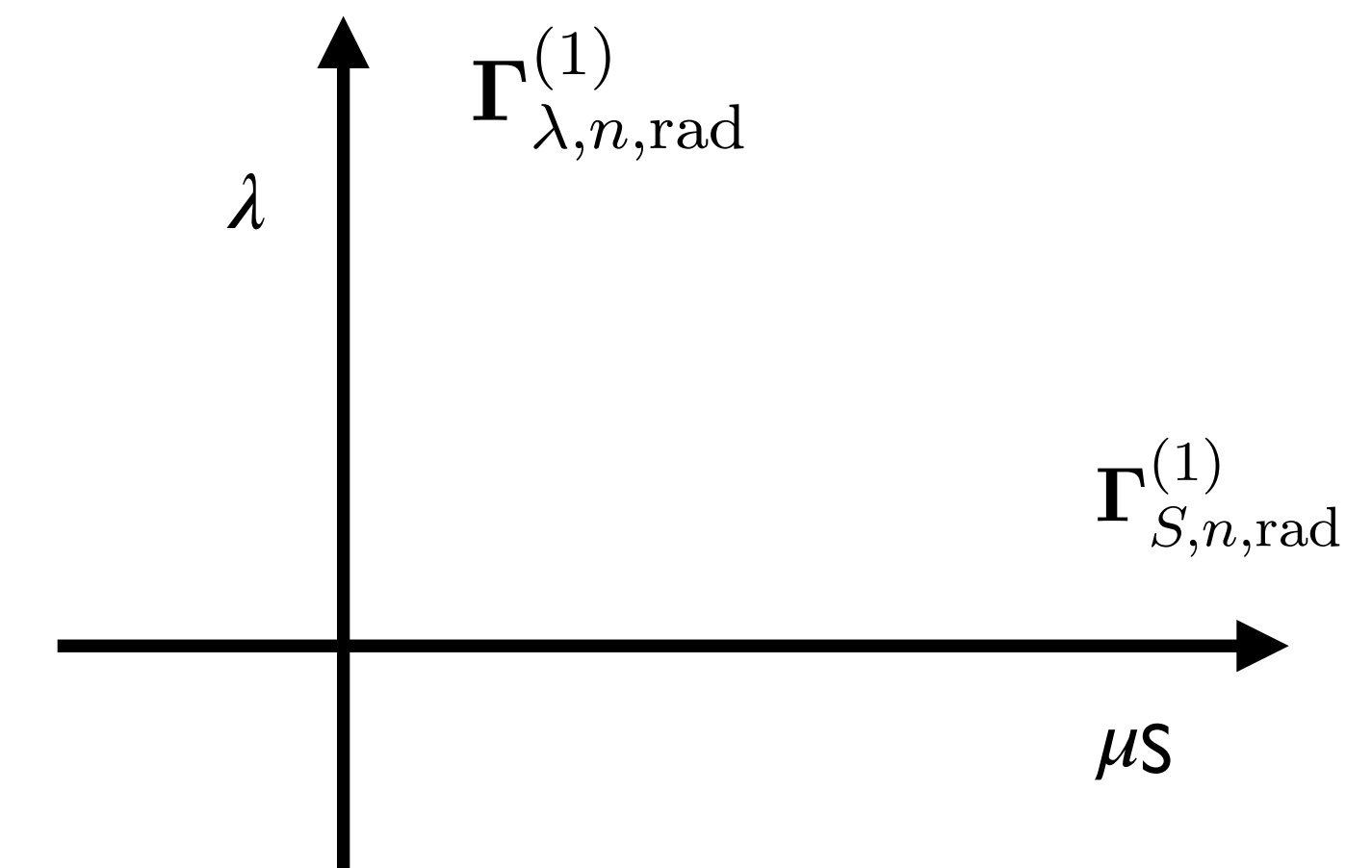


Coupled system of evolution equations: For each resolution we have chosen, we get one. Directions of evolution are different in scale and multiplicity.

Evolution variables are related to resolution criterion, defining anomalous dimensions at the level of the integrand:

$$\Theta_{n,1} = 1 - \hat{\Theta}_{n,1} \theta(E_n - \mu_S) \quad \text{“soft or collinear”}$$

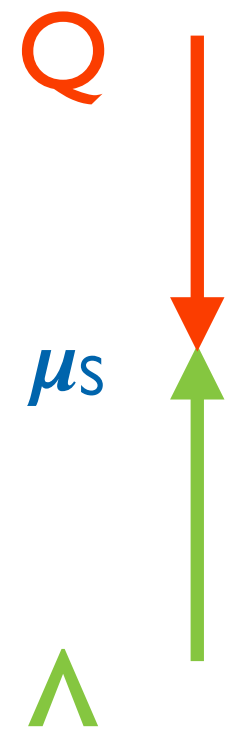
Match these resolutions to observable to ensure accurate predictions.



We can now obtain the evolution equations we asked for:

$$\partial_S \mathbf{A}_n = \mathbf{\Gamma}_{n,S} \mathbf{A}_n + \mathbf{A}_n \mathbf{\Gamma}_{n,S}^\dagger - \sum_{s \geq 1} \alpha_S^s \mathbf{R}_{S,n}^{(s)} \mathbf{A}_{n-s} \mathbf{R}_{S,n}^{(s)\dagger}$$

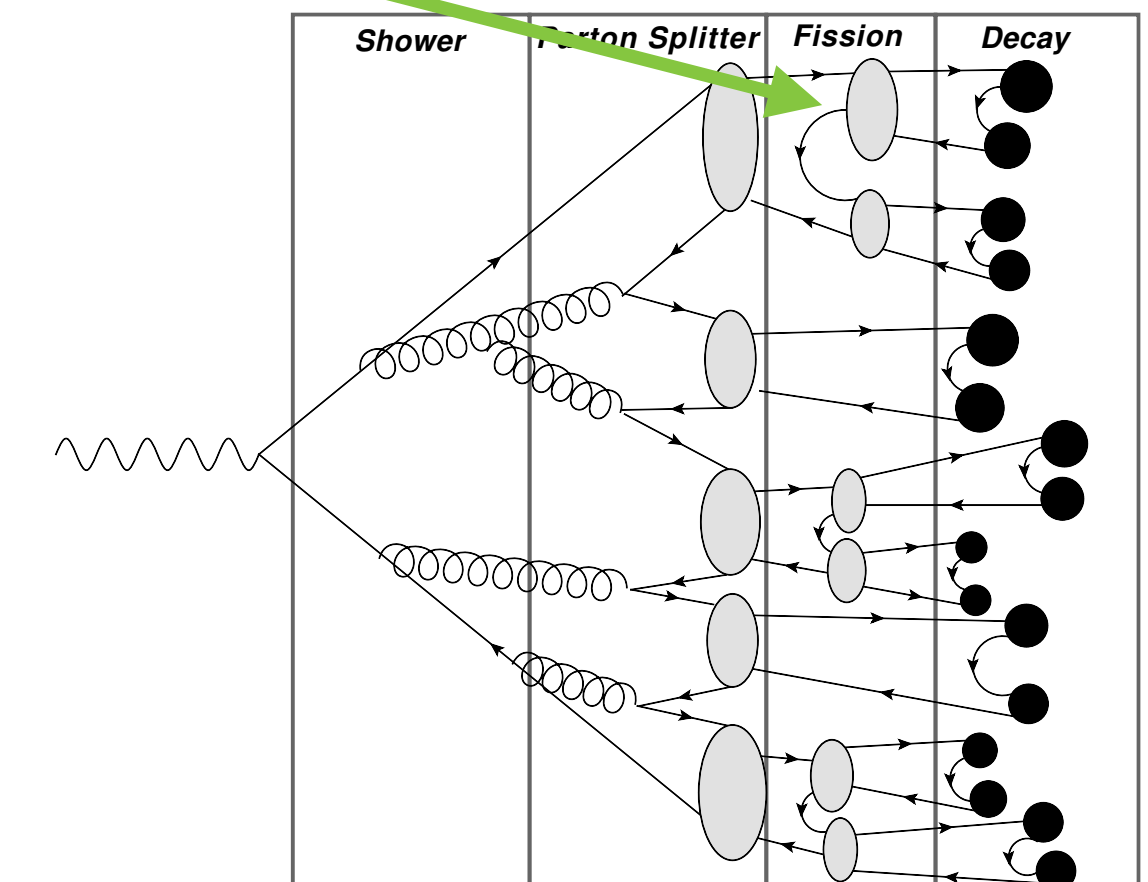
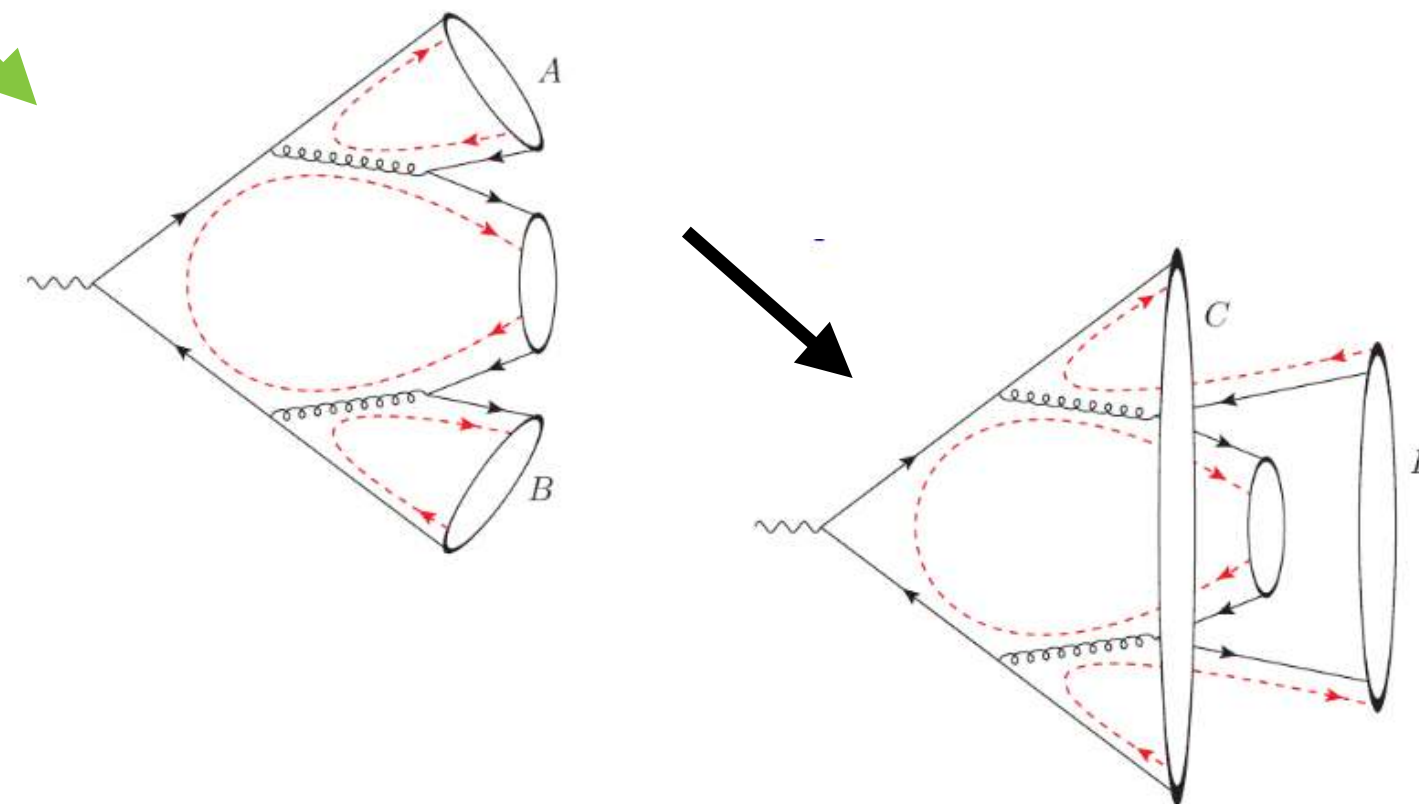
$$\partial_S \equiv \partial / \partial \log \mu_S$$



$$\partial_S \mathbf{S}_n = -\tilde{\mathbf{\Gamma}}_{S,n}^\dagger \mathbf{S}_n - \mathbf{S}_n \tilde{\mathbf{\Gamma}}_{S,n} + \sum_{s \geq 1} \alpha_S^s \int \tilde{\mathbf{R}}_{S,n+s}^{(s)\dagger} \mathbf{S}_{n+s} \tilde{\mathbf{R}}_{S,n+s}^{(s)} \prod_{i=n+1}^{n+s} [dp_i] \tilde{\delta}(p_i)$$

Evolution equation for a hadronization model!

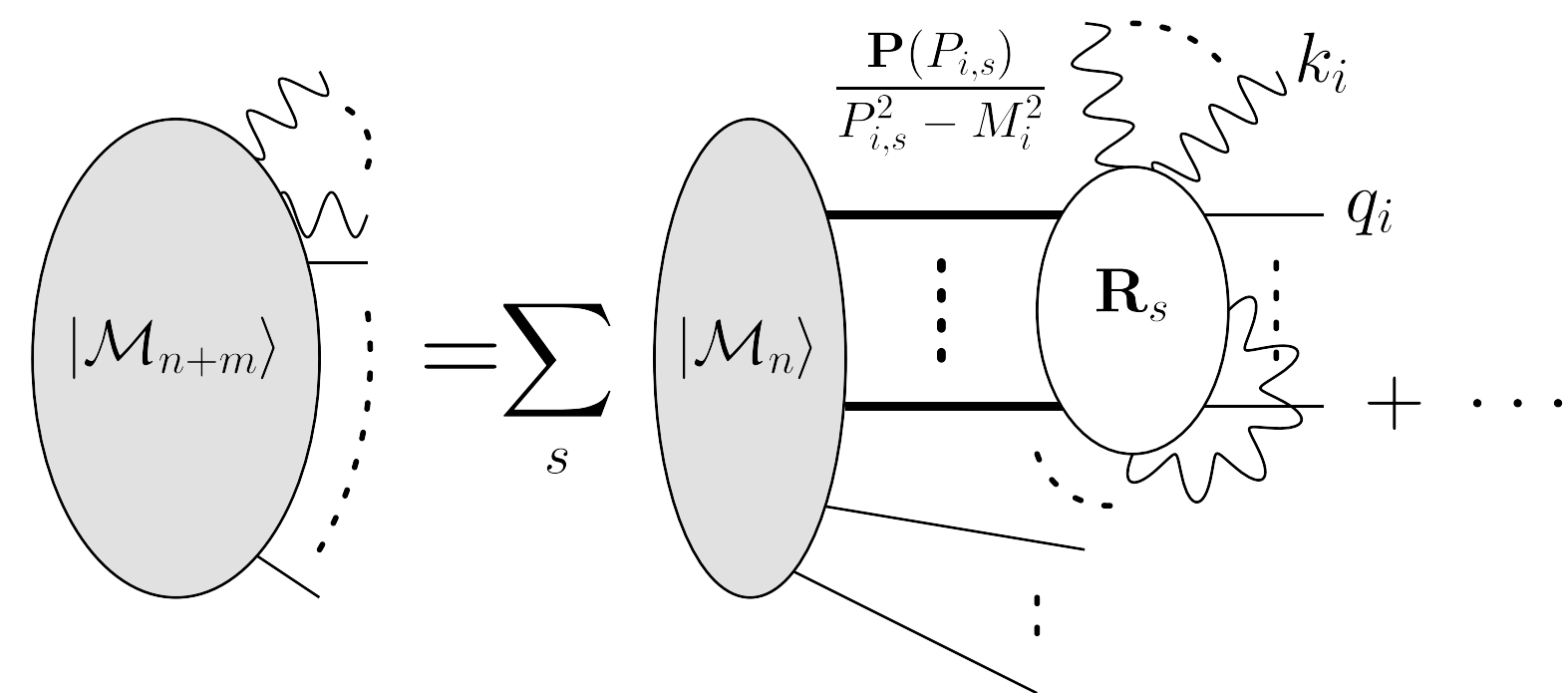
Features which relate to the high-energy dynamics of the Herwig cluster model.



[Gieseke, Kirchgaesser, Plätzer – EPJ C 78 (2018) 99]

[Gieseke, Kirchgaesser, Plätzer, Siodmok – JHEP 11 (2018) 149]

Reconsider factorisation properties of amplitudes in presence of electroweak physics.  
 Recoil and mass shell conditions are crucial in “**quasi-soft**” limit — also to obtain proper wave-function renormalisation for factorized building blocks.



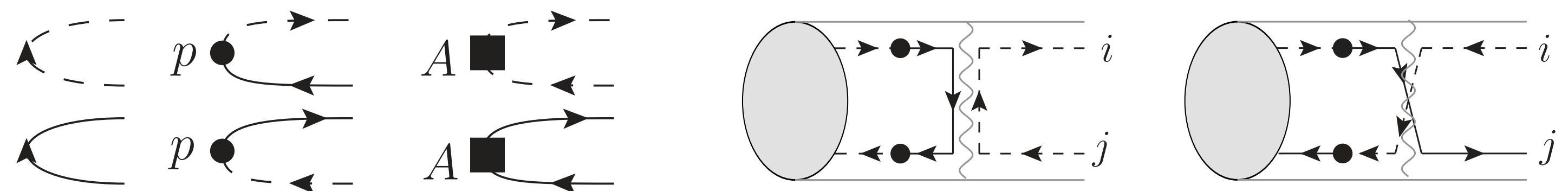
Systematic factorisation parametrised around Eikonal propagators:

$$|\tilde{\mathcal{M}}(\{q_i\}_n; \{k_i\}_m)\rangle \simeq \sum_s \mathbf{S}_s(\{q\}_{i \in h_s}, \{k_i\}_m) |\tilde{\mathcal{M}}(\{p_i\}_n)\rangle$$

$$(q_i + K_{i,s})^2 - M_i^2 = 2p_i \cdot Q_{i,s}$$

$$p_i \cdot Q_{i,s} \ll p_i \cdot n_{i,s} \equiv S_{i,s}$$

Amplitudes now become vectors in the space of colour & isospin flows, as well as chirality flow:



Framework to build and analyse electroweak showers at same level of understanding as QCD showers.

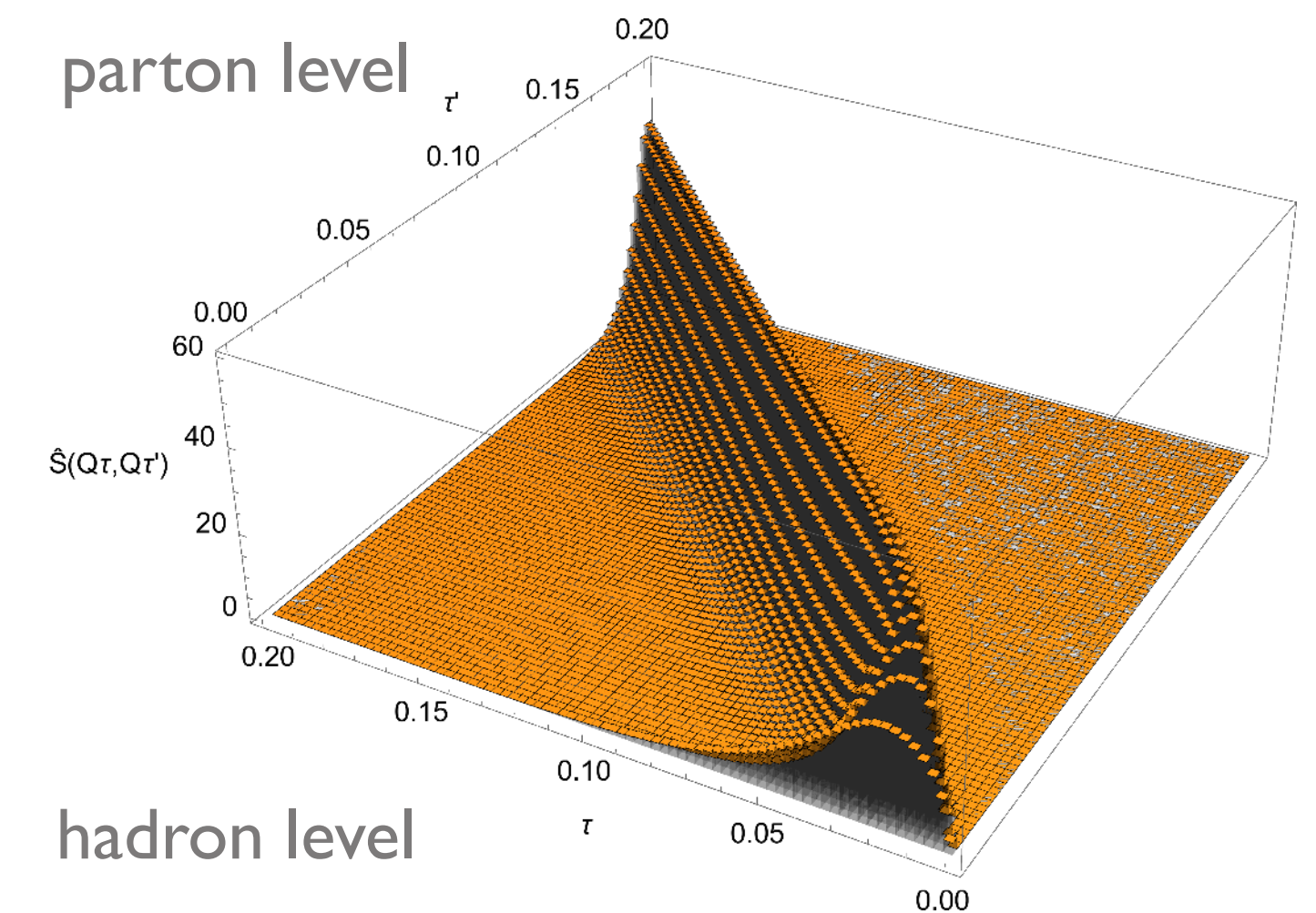
Multi-purpose event generators are working horses of collider phenomenology. Matching & merging has been focus of last decade.

As we aim to use more and more of the complex structures, shower accuracy becomes the bottleneck.

**Resummation and design of parton showers** needs to go **hand in hand**: amplitude evolution can serve as a theoretical tool and an algorithm in its own right.

The understanding of hadronization effects and models, and their interplay with parton showers will be one of the main topics in the future, not only in light of measuring fundamental parameters.

Herwig's cluster model versus factorisation



[Hoang, Plätzer, Samitz — in progress]

Thank you!

