

Top quark FCNCs at the LHC and e^+e^- colliders

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Global approach to top-quark flavor-changing interactions,
GD, F. Maltoni, C. Zhang, Phys.Rev. D91 (2015) 074017, [1412.7166]

Section 8.1 in *Opportunities in flavour physics at the HL-LHC and HE-LHC,*
GD, T. Kitahara, C. Zhang, [1812.07638]

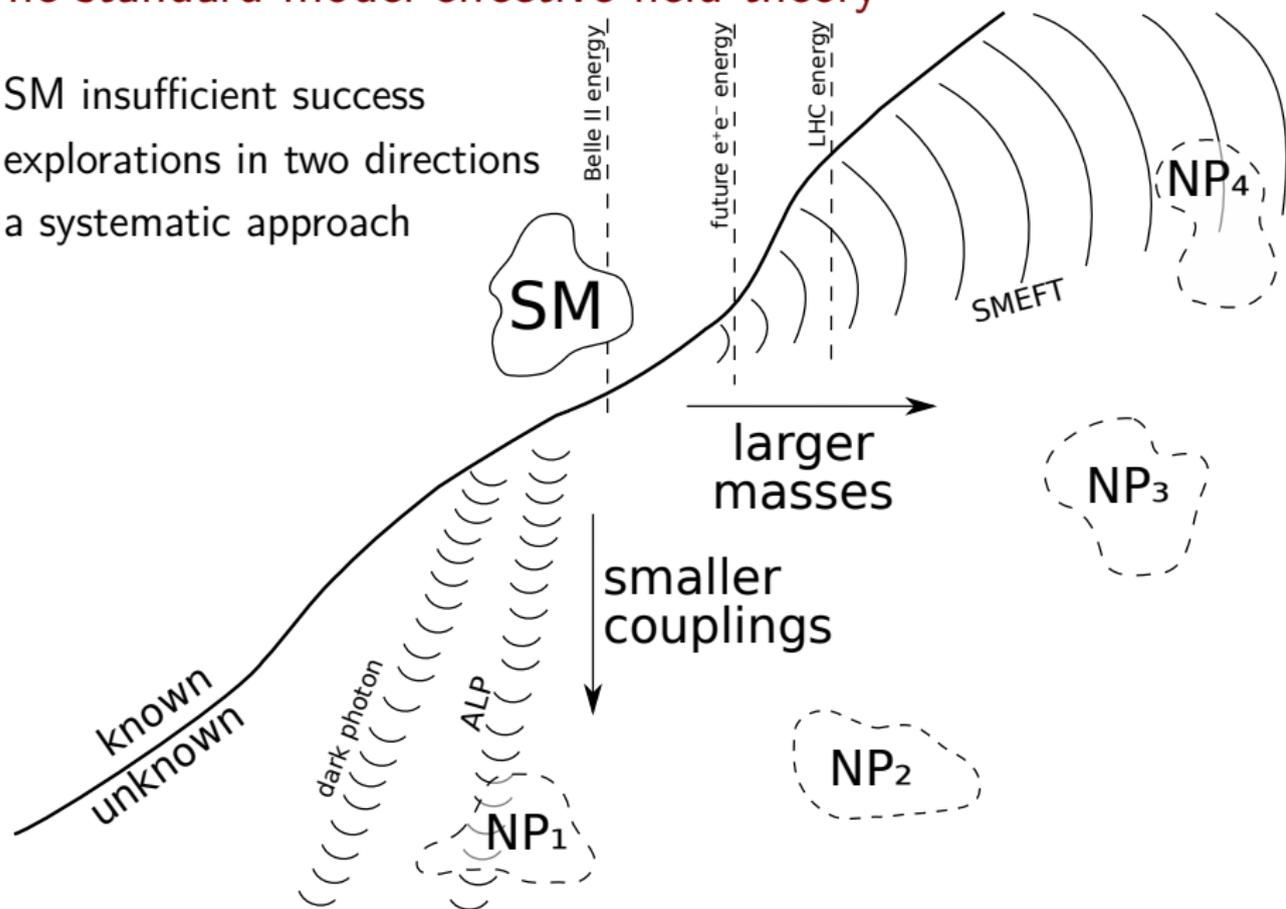
Section 3.1.2 in *The CLIC potential for new physics,* GD, [1812.02093]

Section 10.1.4 in *ILC report to Snowmass,* GD, M. Vos, [2203.07622]



The standard model effective field theory

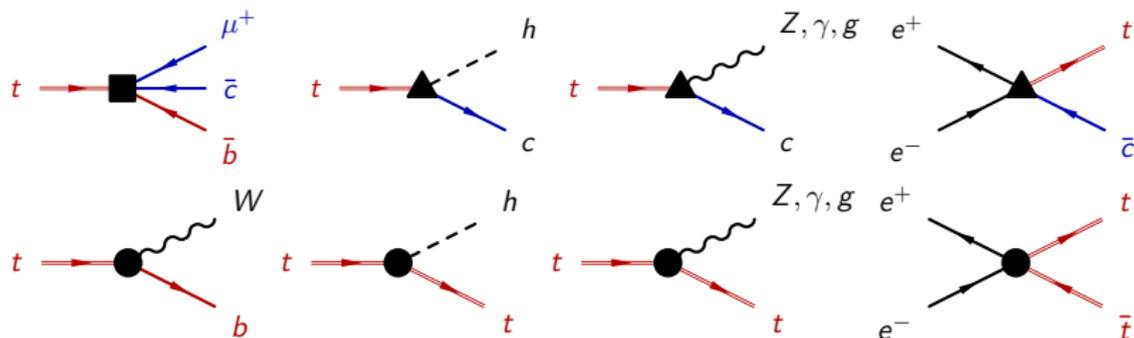
SM insufficient success
explorations in two directions
a systematic approach



The $\text{dim} > 4$ top quark

- violates B at the quark level
- has tree-level flavour-changing neutral currents
- has four-point interactions
- has modified vector and dipole couplings

[Dong, GD, Gérard, Han, Maltoni '11] [CMS '13]



Top-quark FCNCs at $\text{dim} \leq 4$

vanishingly small...

e.g.:

	Br^{SM}
$t \rightarrow cg$	$\sim 10^{-11}$
$t \rightarrow c\gamma$	$\sim 10^{-12}$
$t \rightarrow cZ$	$\sim 10^{-13}$
$t \rightarrow ch$	$\sim 10^{-14}$

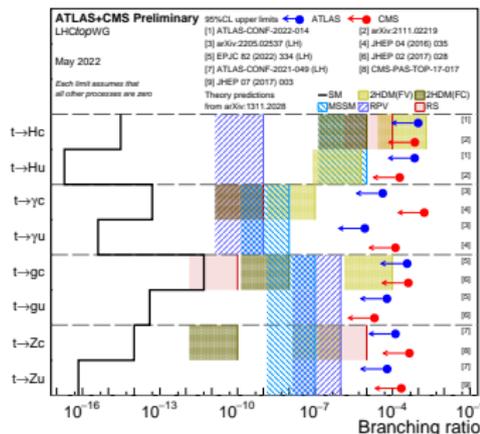
Br^{exp}

$\sim 10^{-4*}$
 $\sim 10^{-3*}$
 $\sim 10^{-4}$
 $\sim 10^{-3}$

[Eilam et al, 91]

[Mele et al, 98]

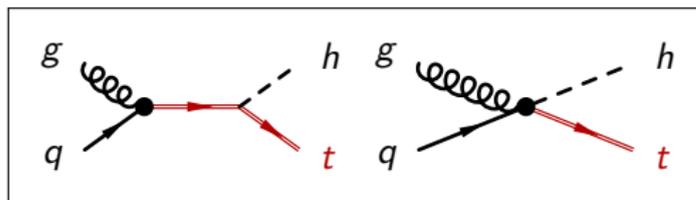
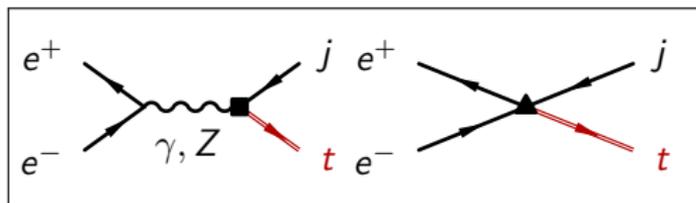
vs. about $2 \cdot 10^9$ tops/ab $^{-1}$ at 13 TeV
 $6 \cdot 10^{10}$ tops/ab $^{-1}$ at 100 TeV



Anomalous coupling shortcomings

Scalar: $\bar{t}q \quad h$
 Vector: $\bar{t}\gamma^\mu q \quad Z_\mu$
 Tensor: $\bar{t}\sigma^{\mu\nu} q \quad A_{\mu\nu}$
 $\bar{t}\sigma^{\mu\nu} q \quad Z_{\mu\nu}$
 $\bar{t}\sigma^{\mu\nu} T^A q \quad G_{\mu\nu}^A$

- Missing four-point interactions:
 - four-fermion operators
 - a $tqgh$ vertex arising from $\bar{q}\sigma^{\mu\nu}T^A u \tilde{\varphi} G_{\mu\nu}^A$
- Missing correlations:
 - of ' $v + h$ ' type
 - of ' $W + Z + \gamma$ ' type
 - of ' $(t_L [V_{CKM}d_L]^3)^T$ ' type



B physics probes

tqV impact at tree and loop levels:

$B^0\bar{B}^0, B_s^0\bar{B}_s^0, K^0\bar{K}^0$ oscillations

$B \rightarrow D^{(*)}l\nu, B \rightarrow X_c l\nu, B \rightarrow \pi l\nu, B \rightarrow X_u l\nu$

$B \rightarrow X_s \gamma, B \rightarrow \rho \gamma$

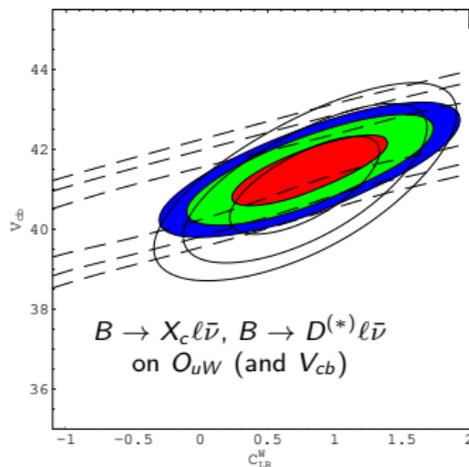
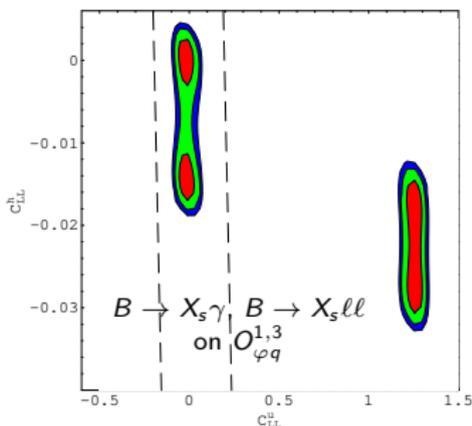
$B \rightarrow X_s ll, B \rightarrow K^{(*)}ll, B_{d,s} \rightarrow \mu^+ \mu^-$

$B \rightarrow X_s \nu\bar{\nu}, B \rightarrow K^{(*)}\nu\bar{\nu}, K \rightarrow \pi\nu\bar{\nu}$

[Han, Whisnant, Young, Zhang '96, '96]

[Fox, Ligeti, Papucci, Perez, Schwartz '07]

[Gong, Hao, Li, Yang, Yuan '10, '11, '11, '13]



Direct searches with on-shell tops

		tqg T	$tqgh$ T	$tq\gamma$ T	tqZ V,T	$tq\ell\ell$ S,V,T	$tqqq$ S,V,T	tqh S
The broken-phase effective Lagrangian:		✓	✗	✓	✓,✓	✗	✗	✓
• $e^+e^- \rightarrow tj$ • $e^-p \rightarrow e^-t$		OPAL, DELPHI, ALEPH, L3 H1, ZEUS		✓	✓,✗	✗		
production	• $p\bar{p} \rightarrow t$	CDF, ATLAS		✓				
	• $p\bar{p} \rightarrow tj$	D0, CMS		✓	✗		✗	
	• $pp \rightarrow t\gamma$	CMS		✗	✓			
	• $pp \rightarrow t\ell^+\ell^-$	CMS		✓	✗	✗		
	• $pp \rightarrow t\gamma\gamma$	—		✗	✗	✗,✓		✗
decay	• $t \rightarrow j\gamma$	CDF, D0, ATLAS, CMS		✓				
	• $t \rightarrow j\ell^+\ell^-$	CDF, D0, ATLAS, CMS		✗	✓,✗	✗		
	• $t \rightarrow j\gamma\gamma$	CMS, ATLAS		✗				✓

One single contribution is often assumed.

Predictions

Interferences:

$$\text{e.g. } \Gamma_{t \rightarrow j \ell^+ \ell^-}^{m_{\ell\ell} \in [78, 102] \text{ GeV}} = 10^{-5} \text{ GeV} \times \left(\frac{1 \text{ TeV}}{\Lambda} \right)^4 \times$$

$$\begin{aligned} & \text{Re} \begin{pmatrix} C_{lq}^{-(a+3)\dagger} \\ C_{eq}^{(a+3)} \\ C_{\varphi q}^{-(a+3)} \\ C_{uB}^{(a3)} \\ C_{uW}^{(a3)} \\ C_{uG}^{(a3)} \end{pmatrix} \begin{pmatrix} +0.069_{-9\%} & 0 & -0.02_{+6\%} - 0.2_{-9\%}i & -0.053_{-5\%} - 0.1_{-8\%}i & -0.052_{-16\%} + 0.34_{-8\%}i & +0.014_{-} - 0.013_{-}i \\ +0.069_{-9\%} & +0.017_{+6\%} + 0.18_{-9\%}i & -0.053_{-10\%} + 0.09_{-8\%}i & -0.054_{+0\%} - 0.3_{-8\%}i & -0.007_{-} + 0.017_{-}i \\ & +1.7_{-9\%} & +1.7_{-8\%} - 0.0095_{-8\%}i & -5.7_{-8\%} - 0.0095_{-8\%}i & +0.27_{-} + 0.2_{-}i \\ & & +0.64_{-9\%} & -3.9_{-9\%} - 0.029_{-9\%}i & +0.16_{-} + 0.14_{-}i \\ & & & +6.6_{-9\%} & -0.53_{-} - 0.47_{-}i \\ & & & & +0.002_{-} \end{pmatrix} \begin{pmatrix} C_{lq}^{-(a+3)} \\ C_{eq}^{(a+3)} \\ C_{\varphi q}^{-(a+3)} \\ C_{uB}^{(a3)} \\ C_{uW}^{(a3)} \\ C_{uG}^{(a3)} \end{pmatrix} \\ & + \text{Re} \begin{pmatrix} C_{lu}^{(a+3)\dagger} \\ C_{eu}^{(a+3)} \\ C_{\varphi u}^{(a+3)} \\ C_{uB}^{(3a)*} \\ C_{uW}^{(3a)*} \\ C_{uG}^{(3a)*} \end{pmatrix} \begin{pmatrix} +0.069_{-9\%} & 0 & -0.02_{+6\%} - 0.2_{-9\%}i & -0.053_{-5\%} - 0.1_{-8\%}i & -0.052_{-16\%} + 0.34_{-8\%}i & -0.002_{-} + 0.013_{-}i \\ +0.069_{-9\%} & +0.017_{+6\%} + 0.18_{-9\%}i & -0.053_{-10\%} + 0.09_{-8\%}i & -0.054_{+0\%} - 0.3_{-8\%}i & +0.0067_{-} - 0.006_{-}i \\ & +1.7_{-9\%} & +1.7_{-8\%} - 0.0095_{-8\%}i & -5.7_{-8\%} - 0.0095_{-8\%}i & -0.17_{-} - 0.09_{-}i \\ & & +0.64_{-9\%} & -3.9_{-9\%} - 0.029_{-9\%}i & -0.098_{-} - 0.068_{-}i \\ & & & +6.6_{-9\%} & +0.31_{-} + 0.21_{-}i \\ & & & & +0.00066_{-} \end{pmatrix} \begin{pmatrix} C_{lu}^{(a+3)} \\ C_{eu}^{(a+3)} \\ C_{\varphi u}^{(a+3)} \\ C_{uB}^{(3a)*} \\ C_{uW}^{(3a)*} \\ C_{uG}^{(3a)*} \end{pmatrix} \\ & + 0.02_{0\%} (|C_{lequ}^{1(13)}|^2 + |C_{lequ}^{1(31)}|^2) + 0.81_{-9\%} (|C_{lequ}^{3(13)}|^2 + |C_{lequ}^{3(31)}|^2) \end{aligned}$$

NLO QCD:

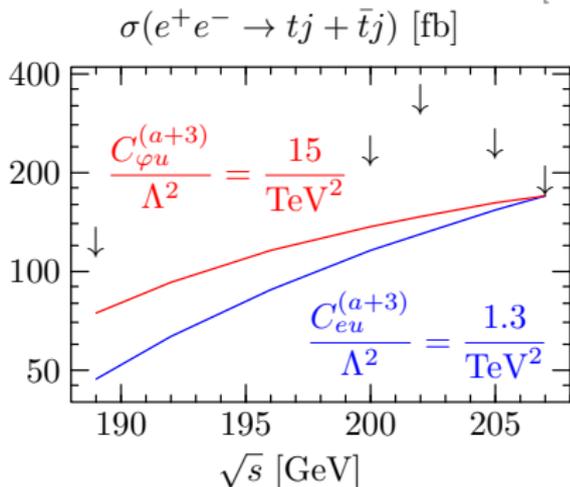
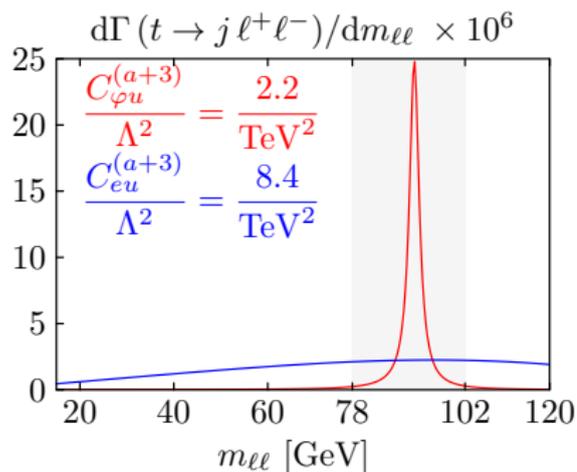
- two-quark op.: implemented in UFO model
- two-quark-two-lepton op.: computed analytically
- four-quarks op.: not computed

[Degrande et al. 14']

[GD, Maltoni, Zhang 14']

Four-fermion operators

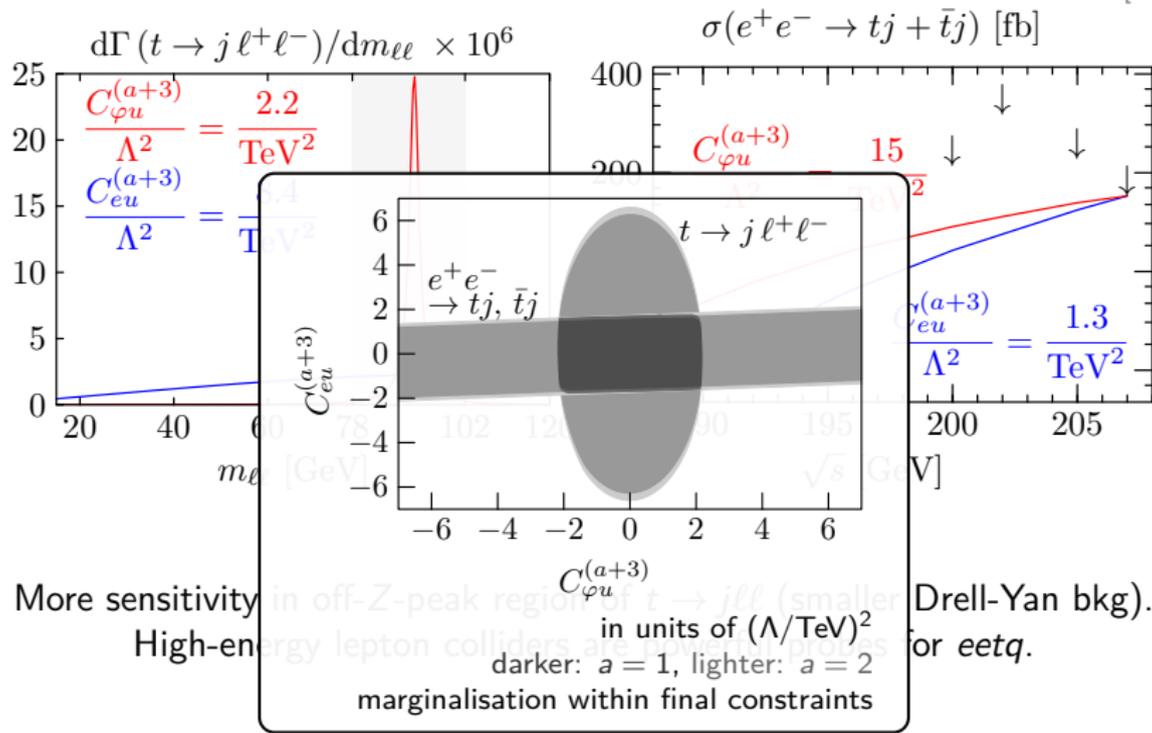
[1412.7166]



More sensitivity in off-Z-peak region of $t \rightarrow j\ell\ell$ (smaller Drell-Yan bkg).
High-energy lepton colliders are powerful probes for $eetq$.

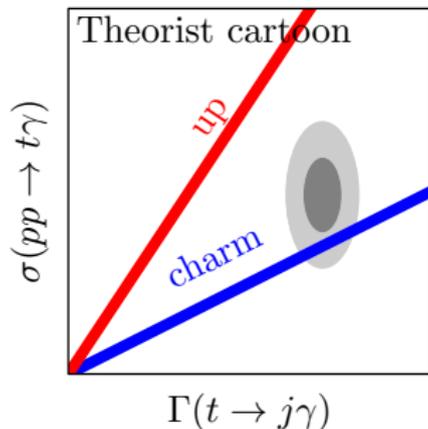
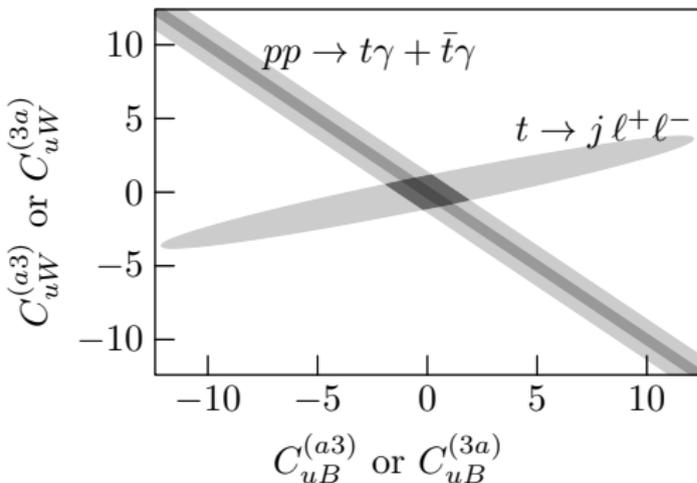
Four-fermion operators

[1412.7166]



Production vs. decay

Discriminate the tc and tu interactions through proton PDF.



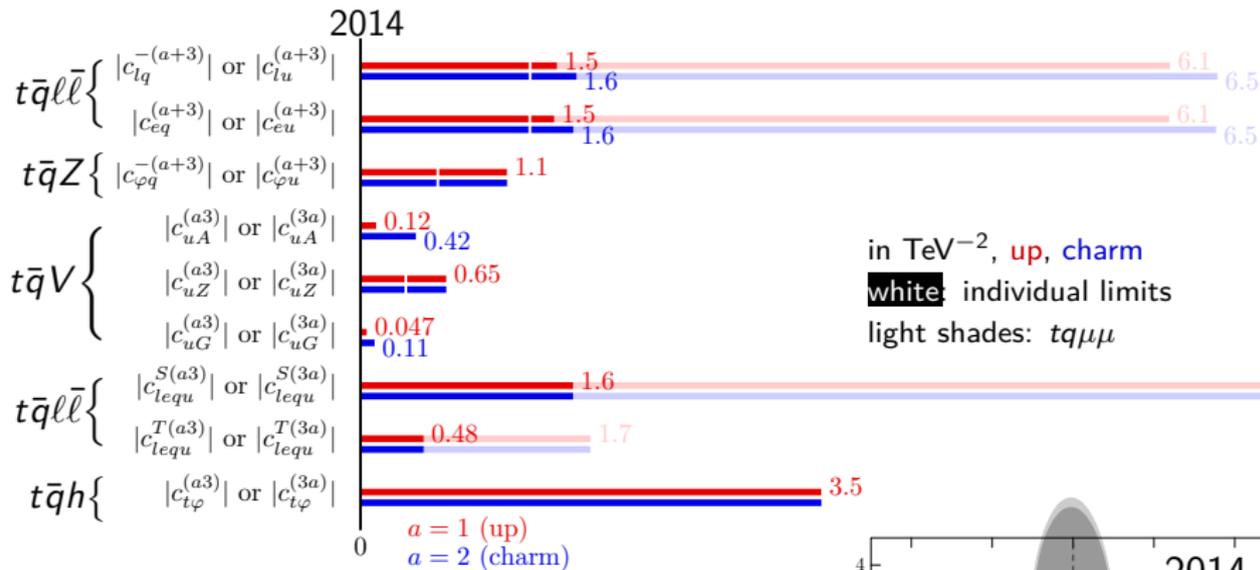
$$C_{uA} \equiv C_{uW} + C_{uB}$$

$$C_{uZ} \equiv C_{uW} \cot \theta_W - C_{uB} \tan \theta_W$$

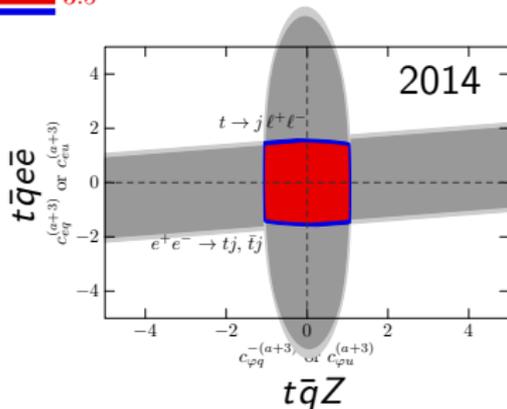
in units of $(\Lambda/\text{TeV})^2$
 darker: $a = 1$ (up), lighter: $a = 2$ (charm)
 marginalising within C_{uG} constraints

Global prospects until 2040

[GD, Maltoni, Zhang '14]
[Flavour at HL-LHC '18]

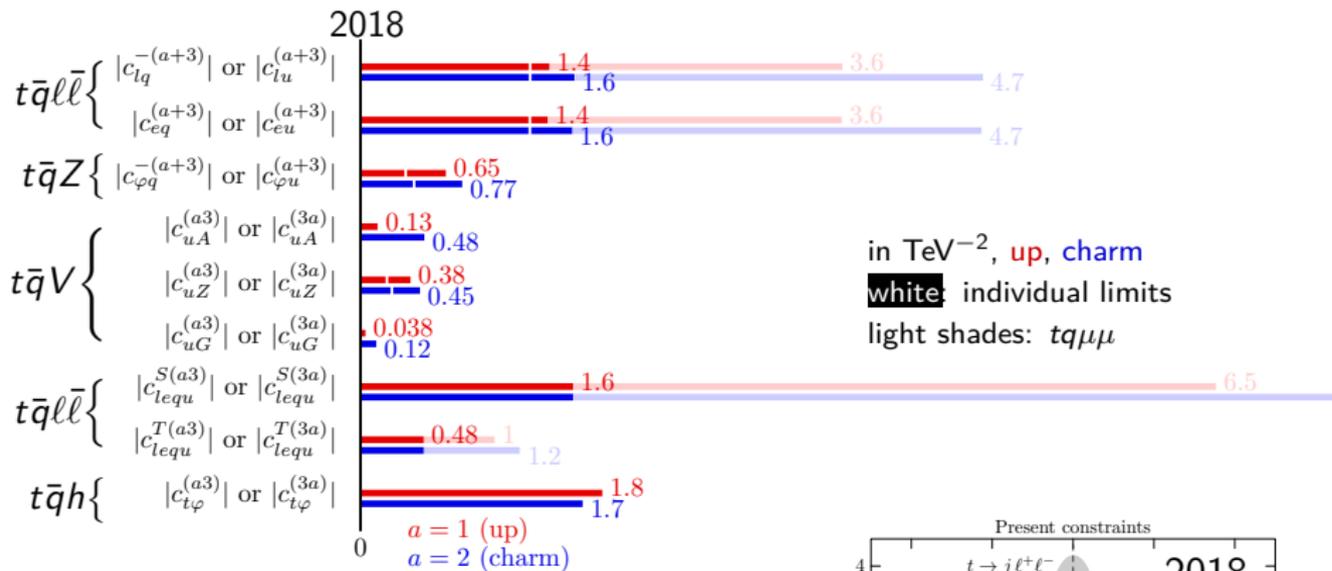


- 52 complex dim-6 operator coefficients
- NLO QCD predictions (10–30% effects)
- measurements from LEP, Tevatron, LHC

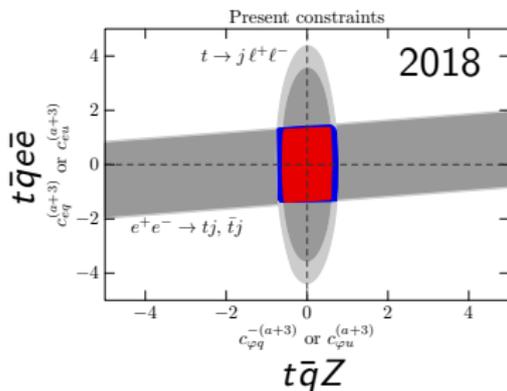


Global prospects until 2040

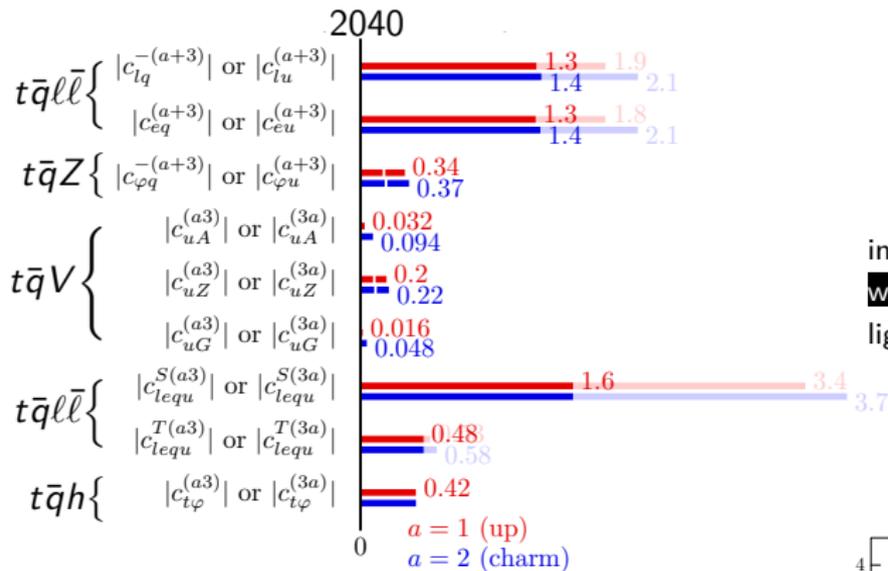
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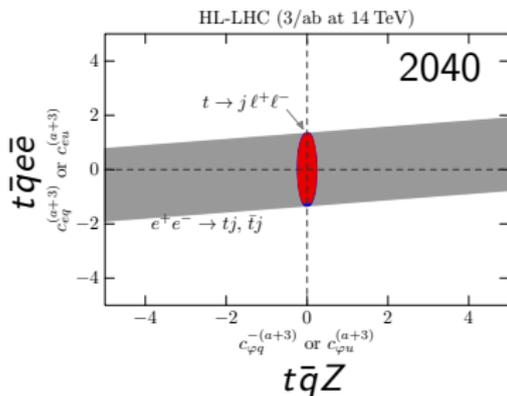


Global prospects until 2040



in TeV^{-2} , up, charm
 white: individual limits
 light shades: $tq\mu\mu$

- 52 complex dim-6 operator coefficients
- NLO QCD predictions (10–30% effects)
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$e^+e^- \rightarrow t\bar{t}$ at future colliders

[Tesla: Aguilar-Saavedra, Riemann '01]

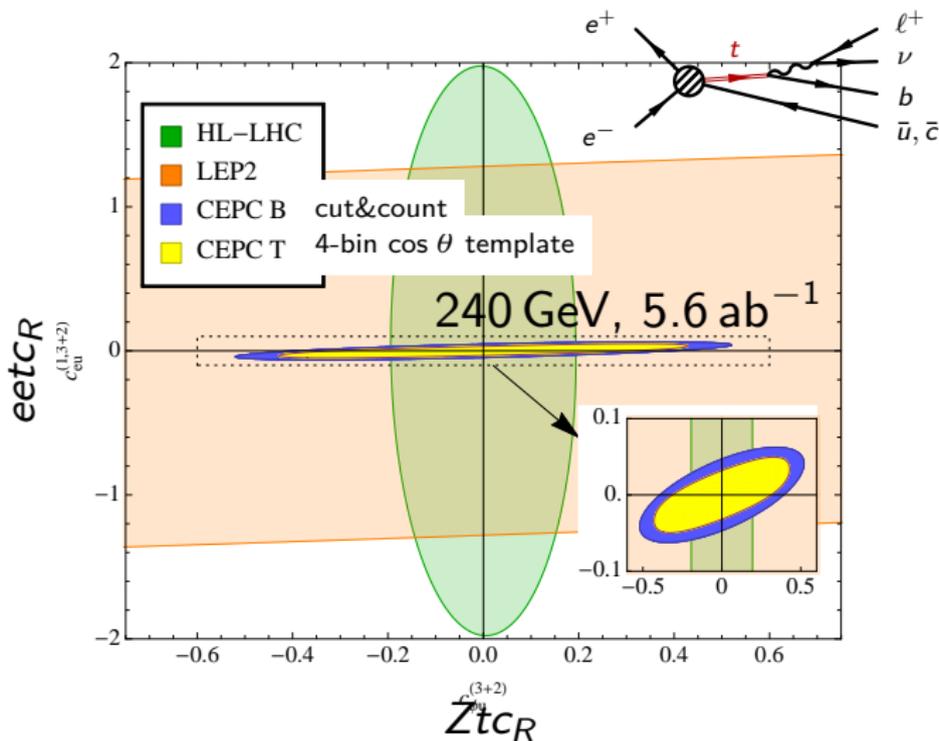
[FCC-ee: Khanpour, Khatibi, Khatiri, Mohammadi '14]

[CLIC: GD '18]

[CEPC: Shi, Zhang '19]

[ILC: GD '22]

accessible below the $t\bar{t}$ threshold



Linear colliders

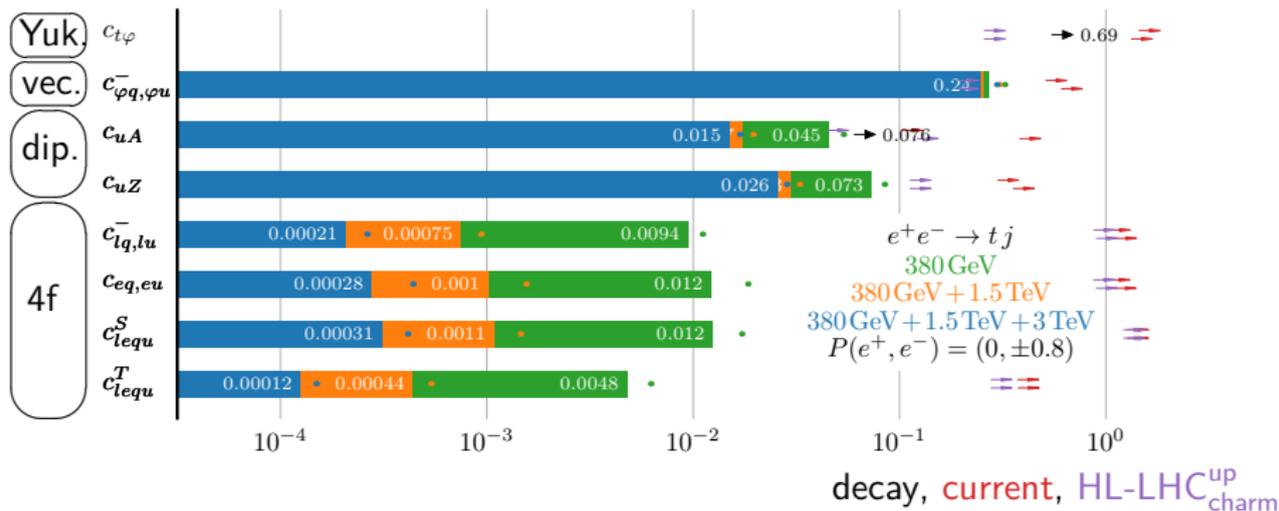
[CLIC '18]

[ILC '22]

Use *statistically optimal observables*, against $e^+e^- \rightarrow W^+W^-$ bkg
 Extrapolate efficiencies from previous studies ($\epsilon \propto 1/\sqrt{s}^{1.9}$)

[TESLA '01]
 [FCC-ee '14]

global 95% CL limits, in TeV^{-2} , CLIC scenario (0.5, 1.5, 3 ab^{-1})



Four-fermion sensitivity benefits from high energies.

Linear colliders

[CLIC '18]

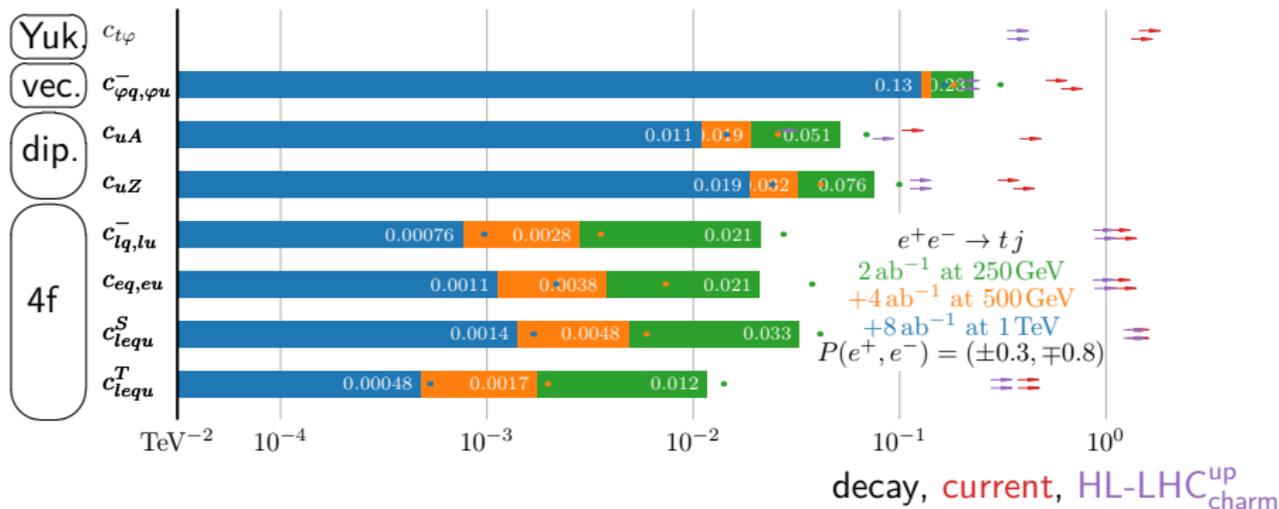
[ILC '22]

Use *statistically optimal observables*, against $e^+e^- \rightarrow W^+W^-$ bkg
 Extrapolate efficiencies from previous studies ($\epsilon \propto 1/\sqrt{s}^{1.9}$)

[TESLA '01]

[FCC-ee '14]

global 95% CL limits, in TeV^{-2} , ILC scenario (2, 4, 8 ab^{-1})



Four-fermion sensitivity benefits from high energies.

Top-quark FCNCs

Global EFT interpretations are manageable (at NLO in QCD).

Relevant four-fermion operators are often ignored.
Off- Z -peak $m_{\ell\ell}$ regions have enhanced sensitivities.

Production and decay processes are complementary
but anomalous-coupling combinations cannot be reinterpreted.

The HL-LHC would bring 2-4 improvements on tqZ, γ, g, h
and $\mu\mu tq$ operator coefficient limits.

Future lepton colliders would dramatically improve $eetq$,
starting from below the $t\bar{t}$ threshold.

Extras

Statistically optimal observables

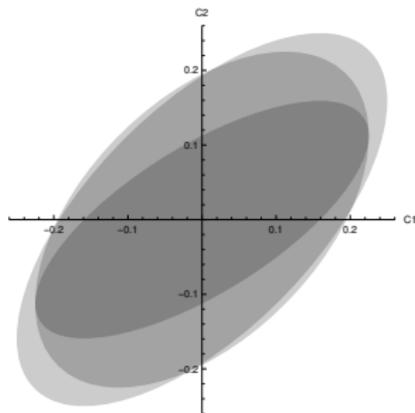
minimize the one-sigma ellipsoid in EFT parameter space

(joint efficient set of estimators, saturating the Cramér-Rao bound: $V^{-1} = I$, like MEM)

For small C_i , with a phase-space distribution $\sigma(\Phi) = \sigma_0(\Phi) + \sum_i C_i \sigma_i(\Phi)$,
the stat. opt. obs. are the average values of $O_i(\Phi) = n \sigma_i(\Phi) / \sigma_0(\Phi)$.

The associated covariance at $C_i = 0, \forall i$ is

$$\text{cov}(C_i, C_j)^{-1} = \epsilon \mathcal{L} \int d\Phi \frac{\sigma_i(\Phi) \sigma_j(\Phi)}{\sigma_0(\Phi)}.$$



e.g. $\sigma(\phi) = 1 + \cos(\phi) + C_1 \sin(\phi) + C_2 \sin(2\phi)$

1. asymmetries: $O_i \sim \text{sign}\{\sin(i\phi)\}$

2. moments: $O_i \sim \sin(i\phi)$

3. statistically optimal: $O_i \sim \frac{\sin(i\phi)}{1 + \cos \phi}$

\Rightarrow area ratios 1.9 : 1.7 : 1