

The Tadpole Problem

Mariana Graña
CEA / Saclay
France

Work in collaboration with

Iosif Bena, Johan Blåbäck and Severin Lust

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arXiv: 2103.03250

Iosif Bena, Callum Brodie

arXiv: 2112.00013

Thomas Grimm, Damian van de Heisteeg, Alvaro Herraiez, Erik Plauschinn

arXiv: 2204.05331

Andreas Braun, Bernardo Fraiman, Severin Lust, Hector Parra De Freitas

arXiv: 2205.xxxxx

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Introduction

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10^{272000} vacua from CY_4 with $h^{3,1} = 303148$

Ashok, Denef, Douglas 03

Taylor, Wang 15

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 - Possibility of uplifting anti-de Sitter vacua with small c.c.

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- Fluxes induce charges
- How large is the charge induced by fluxes needed to stabilize a given number of moduli?

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The tadpole conjecture

Bena, Blåbäck, M.G., Lüst 20

- For a large number N of moduli

$$Q_{\text{flux s.t. all mod stabilized at a generic point in mod space}} > \alpha N$$

$$\text{with } \alpha > \frac{1}{3}$$

Here: IIB flux Compactifications on Calabi-Yau

$$M_{10} = M_4 \times_w CY_3$$

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- Add 3-form fluxes

$$\int_{\alpha_I} F_3 = M^I \quad \int_{\alpha_I} H_3 = K^I$$

$\swarrow \text{dotted arrow} \quad \in \mathbb{Z}$

basis of 3-cycles

$$I = 1, \dots, 2h^{2,1} + 2$$

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- Potential for complex structure moduli (and dilaton)

$$S \sim \int F_3 \wedge \star F_3 + e^{-2\phi} H_3 \wedge \star H_3$$

$\uparrow \text{dotted arrow} \quad \uparrow \text{dotted arrow}$

depends on complex structure moduli

Dasgupta, Rajesh, Sethi 99
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at minimum
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- Minimum at $e^{-\phi} H_3 = \star F_3$ fixes complex structure moduli in terms of M, K
- Fluxes induce **D3-charge**. In a compact space total charge should be zero

Tadpole cancelation condition

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Positive charge

- Fluxes: $Q_{\text{flux}} = M^I K_I$

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- O3-planes

(maximum charge from O3-planes $100(-\frac{1}{4})$)

Carta, Moritz, Westphal 20

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wrapped on curved 4-cycles

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have moduli associated
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- Unified description in F-theory

F-theory on CY_4

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$h^{2,1}$ complex structure moduli

D7-brane moduli



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3-form fluxes H_3, F_3

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$$Q_{\text{flux}} = \frac{1}{2} \int G_4 \wedge G_4$$

The equation is presented on a light purple background. A bracket above the integral sign groups the integrand $G_4 \wedge G_4$ and is labeled with the expression $N^I d_{IJ} N^J$.

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$$Q_{\text{flux}} = \frac{1}{2} \int G_4 \wedge G_4 \leq \frac{\chi(CY_4)}{24}$$

$N^I d_{IJ} N^J$

at minimum
 $\star G_4 = G_4$
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all the negative
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$$Q_{\text{flux}} = \frac{1}{2} \int G_4 \wedge G_4 \leq \frac{\chi(CY_4)}{24} = \frac{1}{4} (h^{3,1} + h^{1,1} - h^{2,1} + 8)$$

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moduli stabilized by fluxes

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Tadpole conjecture

$$\frac{1}{2} \int G_4 \wedge G_4 \Big|_{\text{all moduli are stabilized}} > \frac{1}{3} N$$

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$$\frac{1}{2} \int G_4 \wedge G_4 \Big|_{\text{all moduli are stabilized}} > \frac{1}{3} N$$

If true, cannot stabilize a large number of moduli!!

Supporting arguments

Tadpole conjecture $\alpha > \frac{1}{3}$

Description	N	Q_{flux}	$\alpha = Q_{\text{flux}}/N$	Ref
IIB at highly symm pt in mod space	$h^{2,1} = 128$	48	0.38	Giryavets, Kachru, Tripathy, Trivedi 03
	$h^{2,1} = 272$	124	0.46	Demirtas, Kim, Mc Allister, Morritz 19
F-theory on sextic CY	$h^{3,1} = 426$	775/4	0.45	Braun, Valandro 20
F-theory on $\mathbb{C}\mathbb{P}^3$ base	$n_7 = 3728$	1638	0.44	Collinucci, Denef Esole 08
F-theory on any weak-Fano base	$n_7 = 58c_1^3(B) + 16$	$\frac{7}{16}(58c_1^3(B) + 15)$	0.44	Bena, Brodie, M.G. 21
M-theory on K3xK3	57	25	0.44	Bena, Blåbäck, M.G., Lust 20
F-theory close to boundaries of mod. space	N	$> 0.5 N$	> 0.5	M.G., Grimm, van de Heisteeg, Herraez, Plauschinn 22

M-theory on $K3 \times K3$

Dasgupta, Rajesh, Sethi 99, Aspinwall Kallosh 05, ...

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$$H^2(K3, \mathbb{Z}) = (-E_8) \oplus (-E_8) \oplus U \oplus U \oplus U$$

lattice of signature (3,19)

M-theory on $K3 \times K3$

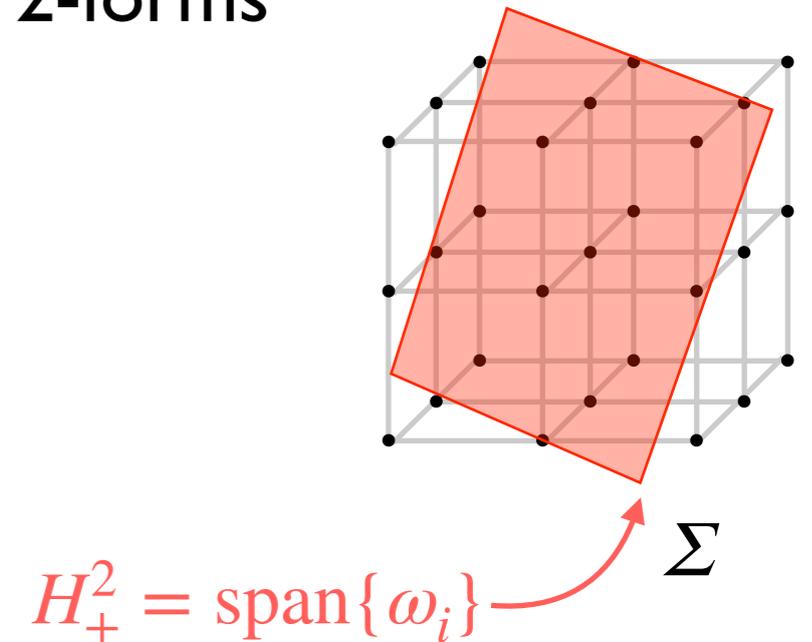
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- Fixing moduli on $K3$: choosing 3-plane Σ of self-dual 2-forms

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$$\Omega = \omega_1 + i\omega_2 \quad J \sim \omega_3$$



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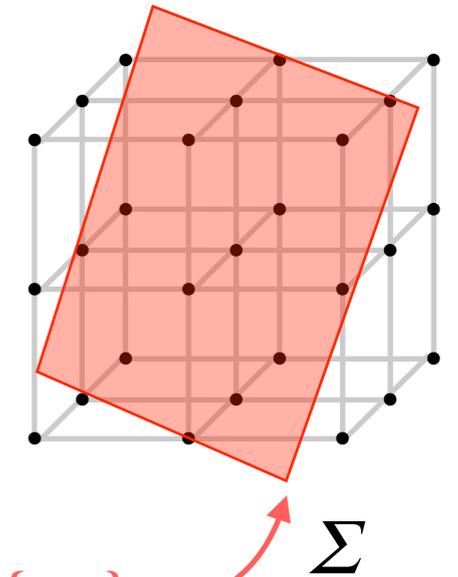
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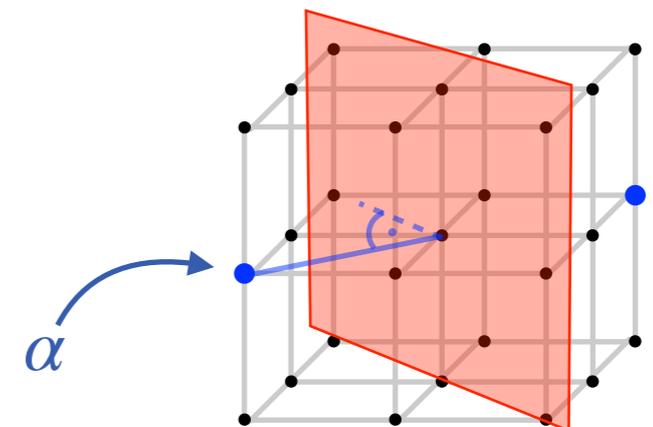
$$H_+^2 = \text{span}\{\omega_i\}$$

- We require smooth compactification (no orbifold singularity)

orbifold singularity if \exists

$$\text{root } \alpha \in H^2(K3, \mathbb{Z}) \text{ such that } \alpha \perp \Sigma$$

$$(\alpha, \alpha) = -2$$



- K3 x K3' with 4-form flux

$$G_4 \in H^2(K3, \mathbb{Z}) \times H^2(K3', \mathbb{Z})$$

$$G_4 = N^{I\tilde{J}} \alpha_I \wedge \alpha'_{\tilde{J}}$$

basis of $H^2(K3, \mathbb{Z})$ $I = 1, \dots, 22$

22x22 integer matrix

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- Gives a **potential** for all K3 moduli (except volumes)

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- Moduli stabilization can be turned into **algebraic problem**

Braun, Hebecker,
Ludeling, Valandro 08

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- Moduli stabilization can be turned into **algebraic problem**

Braun, Hebecker,
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-Define a map $M : H^2(K3) \rightarrow H^2(K3)$

$$M^I_J = N^{I\tilde{K}} d_{\tilde{K}\tilde{L}} N^{M\tilde{L}} d_{MJ}$$

\swarrow $d_{IJ} = \int_{K3} \alpha_I \wedge \alpha_J$

- All moduli are stabilized at regular points iff

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- (i) M is diagonalizable with non-negative eigenvalues

$$\{ \underbrace{a_1^2, a_2^2, a_3^2}_{\text{eigenvectors with positive norm}}, \underbrace{b_1^2, \dots, b_{19}^2}_{\text{eigenvectors with negative norm}} \}$$

eigenvectors with
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Σ

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- (ii) All $a \neq b$ (otherwise can rotate the 3-plane $\Sigma \Rightarrow$ unstabilized moduli)

- (iii) No root in the lattice $\perp \Sigma$

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$$\underbrace{\{a_1^2, a_2^2, a_3^2\}}_{\substack{\text{eigenvectors with} \\ \text{positive norm} \\ \Sigma}} \underbrace{\{b_1^2, \dots, b_{19}^2\}}_{\substack{\text{eigenvectors with} \\ \text{negative norm}}}$$

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- Used evolutionary algorithm

Evolutionary algorithm

- Optimization inspired by biological evolution (**population**, **mutation**, **selection**)
- Random initial **population**: $P = \{ N \in \mathbb{R}^{484} \}$ (rounded to \mathbb{Z})
- For each N , **mutate** some entries using other elements of population
- From original and mutated, **select** the one that minimizes a fitness function

22×22
 \vdots
 \downarrow

$$f = \sum_{k=1}^3 w^k p_k(N) + w^Q Q_{\text{flux}}(N)$$

weights (determined empirically)

penalty if (i)-(iii) is violated

penalty for large flux charge

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- Perform local search (brute force) around minima

Results

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- This behavior confirmed by looking at smaller dimensional lattices

lattice Λ	$D = \dim(\Lambda)$	$Q_{\min}(\Lambda)$
$3U$	6	5
$A_4 \oplus U$	6	6
$D_4 \oplus U$	6	6
$A_4 \oplus 2U$	8	7
$D_4 \oplus 2U$	8	6
$E_6 \oplus U$	8	9
$A_4 \oplus 3U$	10	9
$D_4 \oplus 3U$	10	9
$E_8 \oplus U$	10	10
$E_8 \oplus 2U$	12	12
$E_8 \oplus 3U$	14	13
$2E_6 \oplus 2U$	16	14
$2E_8 \oplus U$	18	20
$2E_8 \oplus 2U$	20	21
$2E_8 \oplus 3U$	22	25

- Minimum charge $\geq D-1$

Analytic supporting evidence on K3xK3

Braun, Fraiman, M.G., Lüst, Parra De Freitas
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Aspinwall, Kallosh 05

$$Pic(K3) = H^{1,1}(K3, \mathbb{R}) \cap H^2(K3, \mathbb{Z}) \quad \text{rank 20} \quad Pic(K3)^\perp \equiv T_S \quad \text{rank 2}$$

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- Require they have an F-theory dual: one of the K3's elliptically fibered

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- List of solutions with flux within/beyond tadpole bound Braun, Kimura, Watari 14
- Require they have an F-theory dual: one of the K3's elliptically fibered
- Using properties of lattice embeddings we proved: has no roots
no roots in the lattice $\perp \Sigma \Leftrightarrow$ rank 6 lattice $T_S^\perp \subset E_8$

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- Stabilisation at a generic points in moduli space cost large Q_{flux}
- One could think of this as a positive result, but non-Abelian gauge groups come with extra moduli (brane moduli) that need to be stabilised

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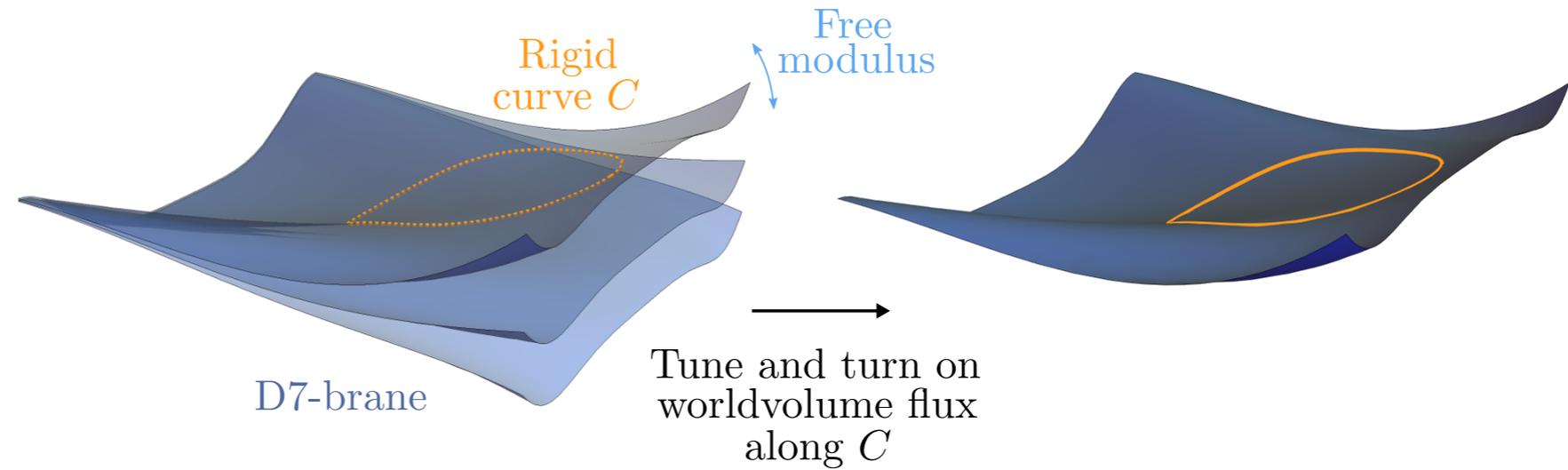
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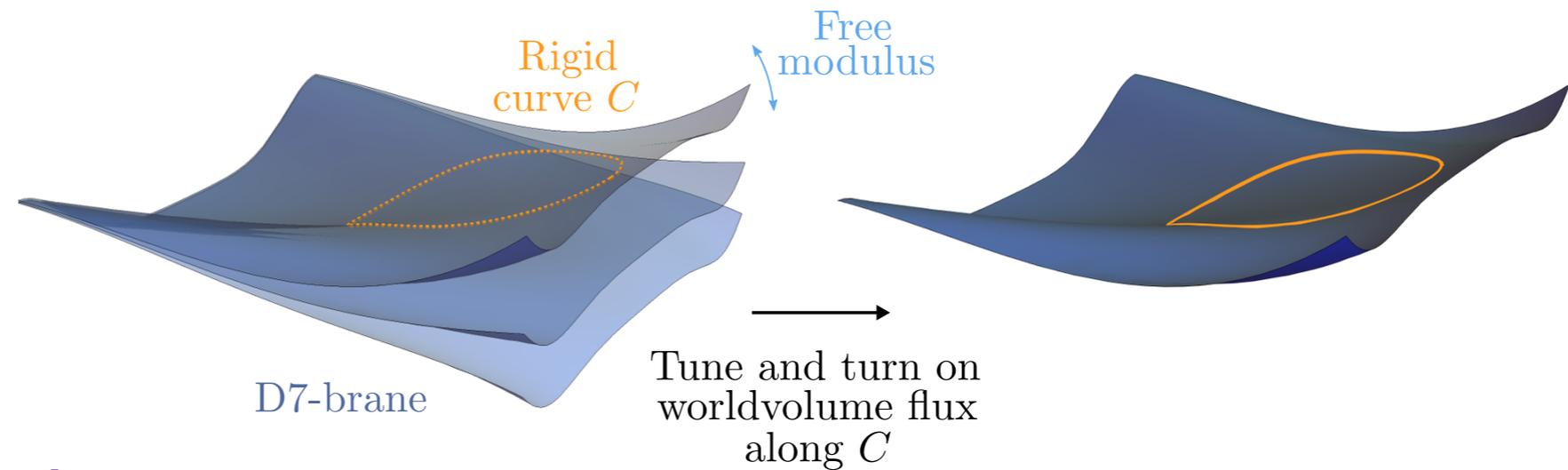
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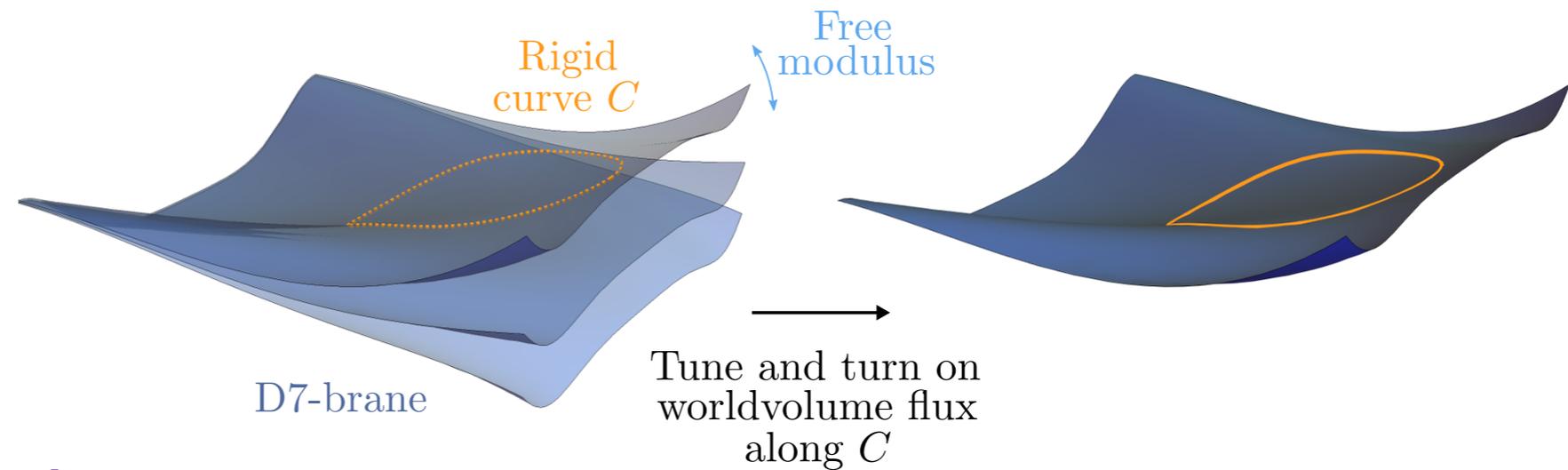
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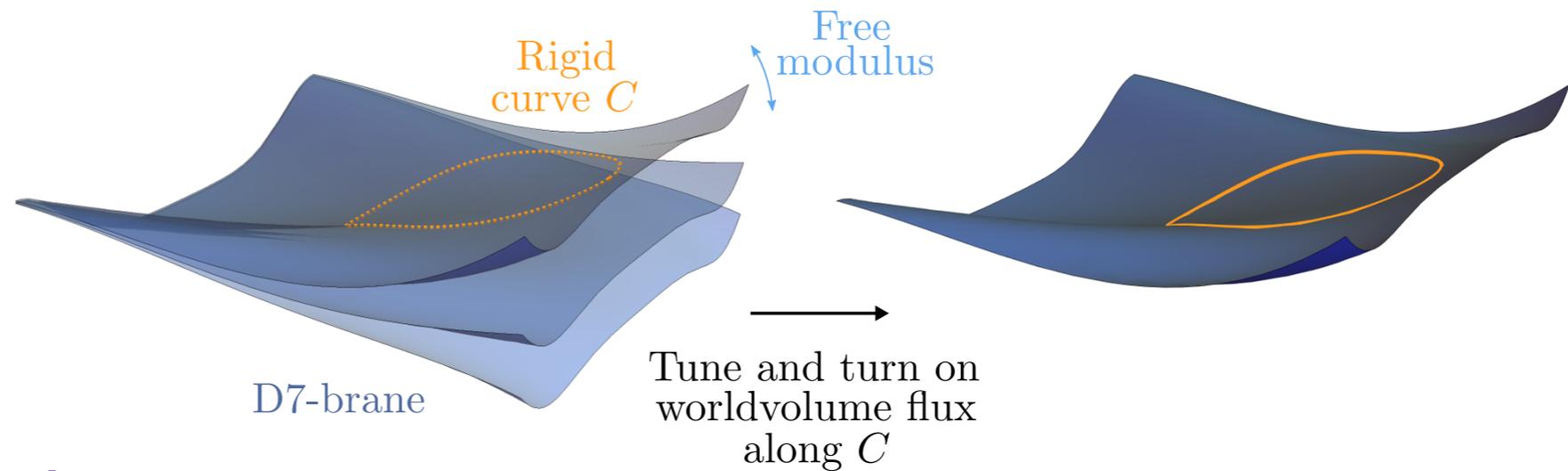
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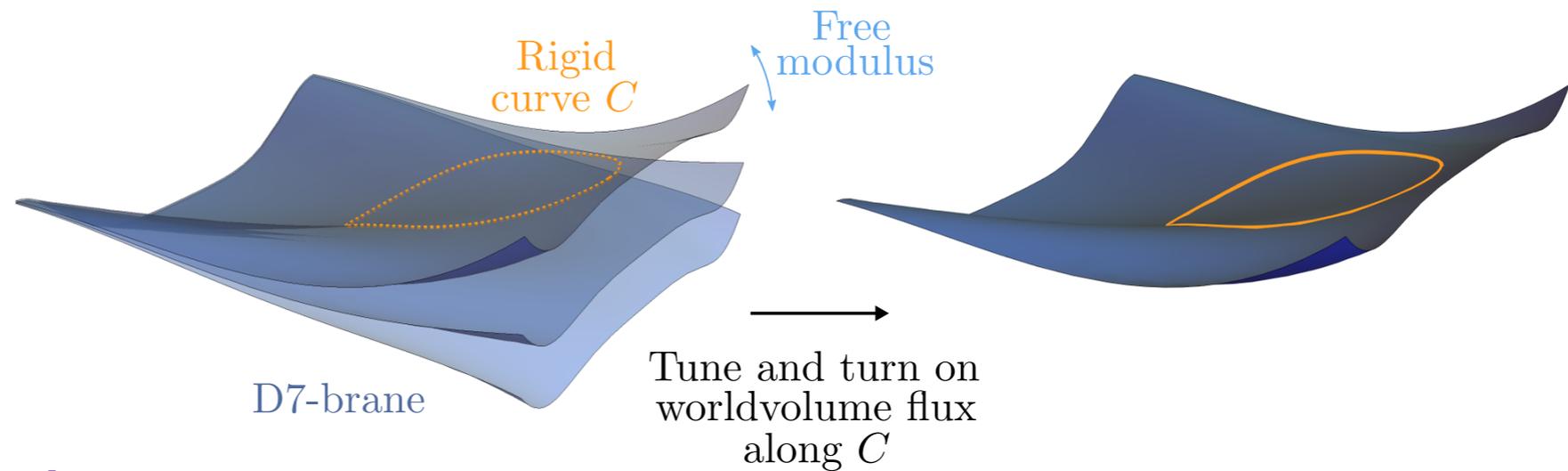
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If true, cannot stabilize a large number of moduli

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$$\begin{array}{l}
 C \cdot (-K) = 4d \text{ for } \mathbb{CP}^3 \\
 \vdots \\
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- This reduces to the result for $B_3 = \mathbb{CP}^3$, genus 0

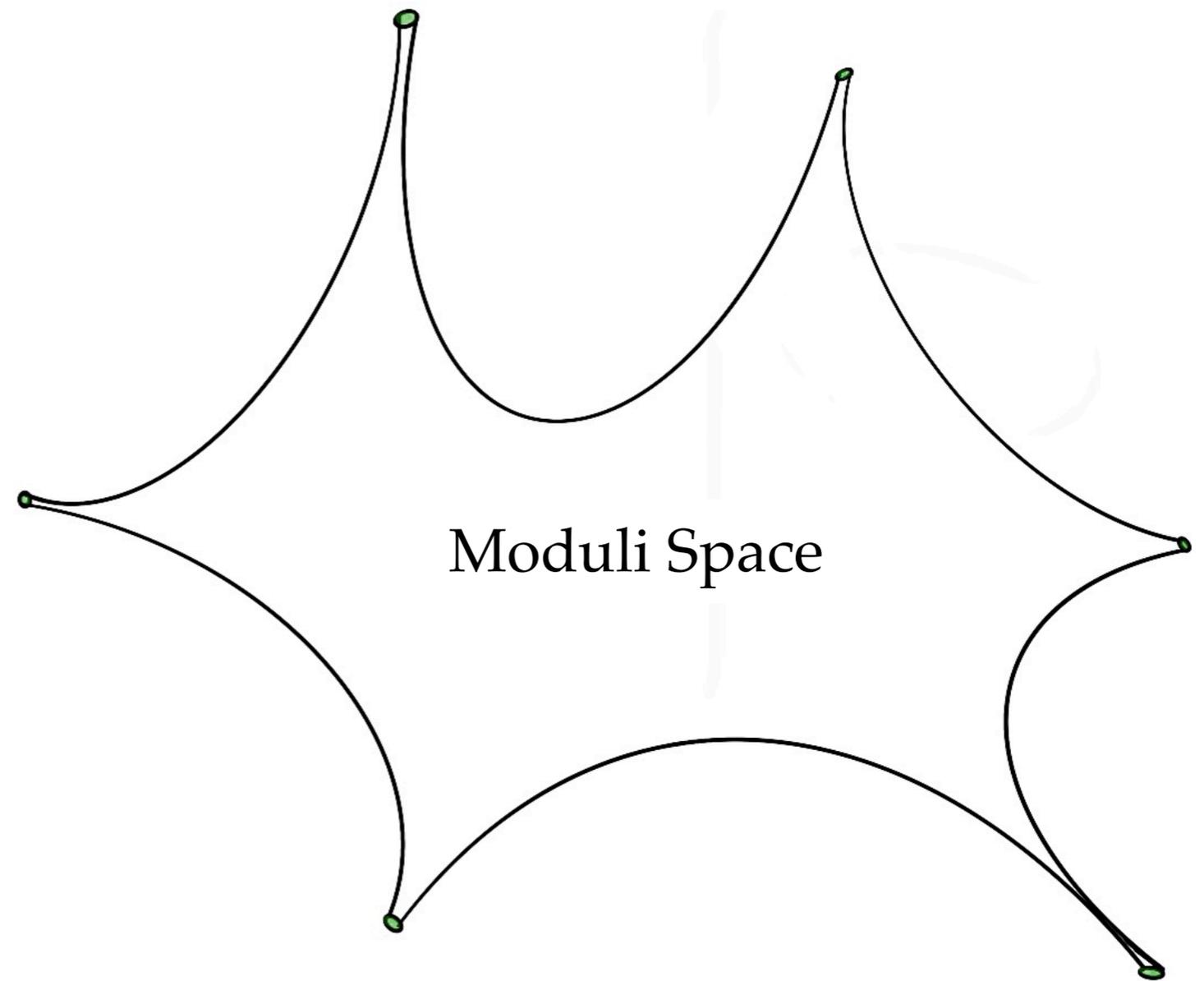
Collinucci, Denef, Esole 08

$$\begin{array}{llll}
 n_{\text{stab.moduli}} = 32d + 1 & Q_{\text{flux}} \geq 14d + 1 & |Q_{\text{neg}}| = 972 & \alpha \geq \frac{14}{32} \simeq 0.44 \\
 = 3728 & \geq 1640 & &
 \end{array}$$

Analytic supporting evidence: asymptotic limits

M.G., Grimm, van de Heistee, Herraes, Plauschinn 22

- Asymptotic limits of moduli space (here complex structure)



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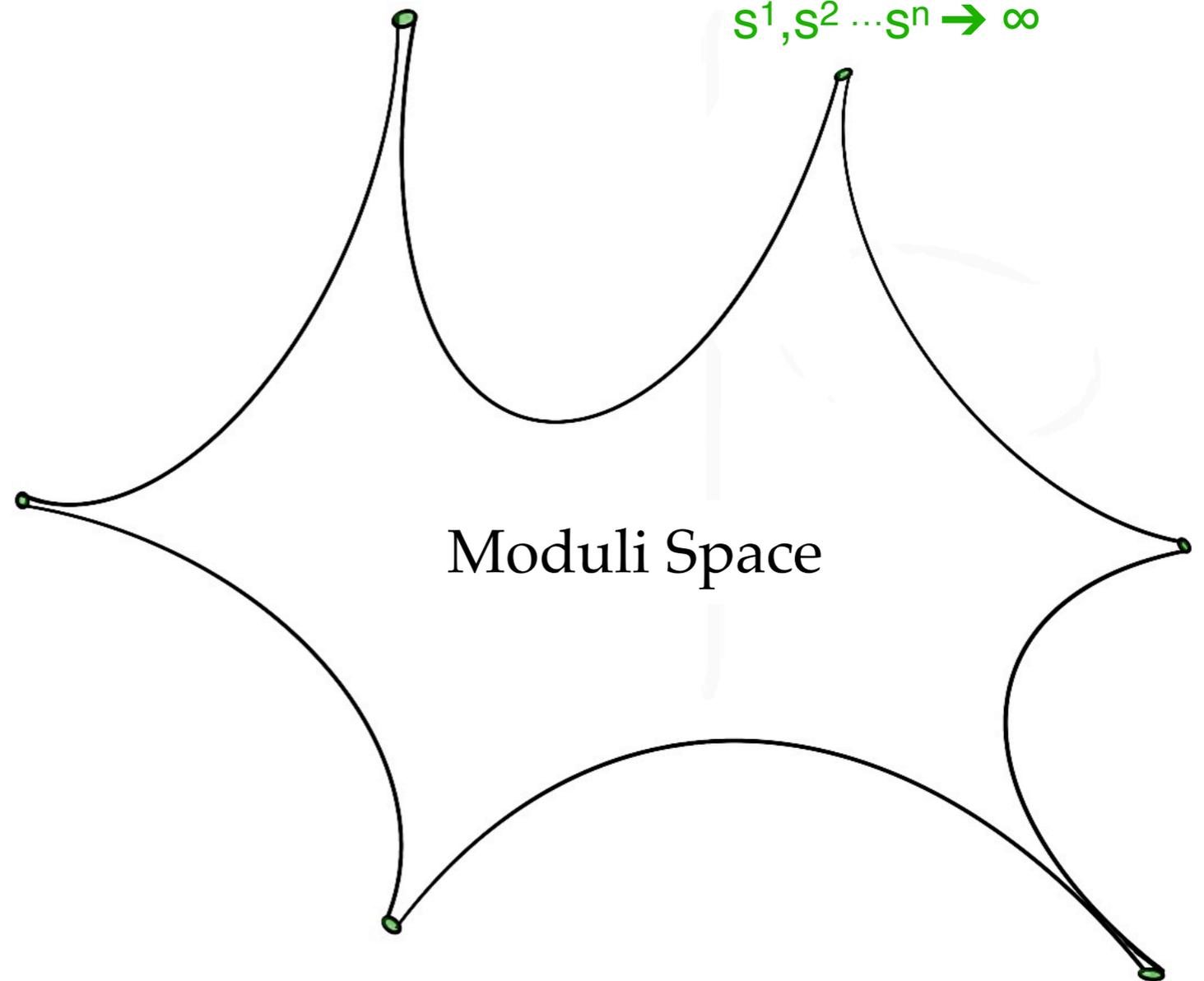
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$$t^i = \phi^i + i s^i$$

$$\gg 1$$

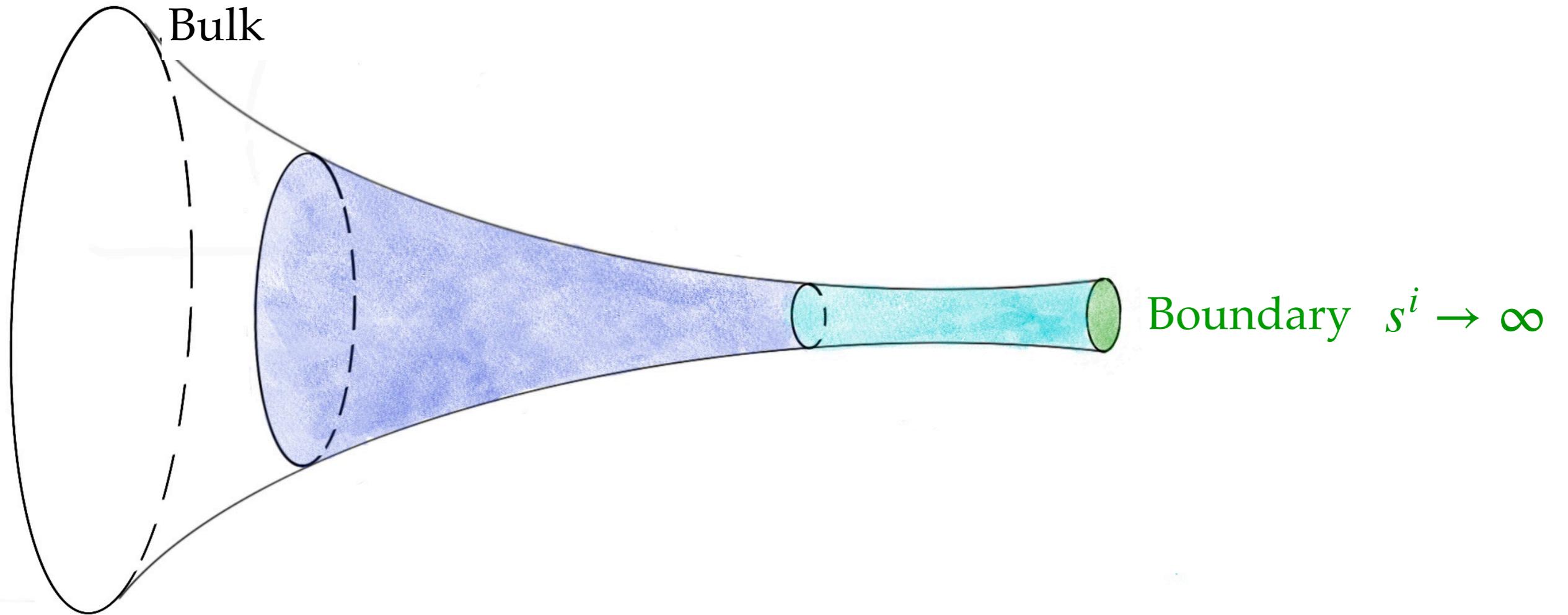
$$i = 1, \dots, n \leq h^{3,1}$$

$$s^1, s^2 \dots s^n \rightarrow \infty$$



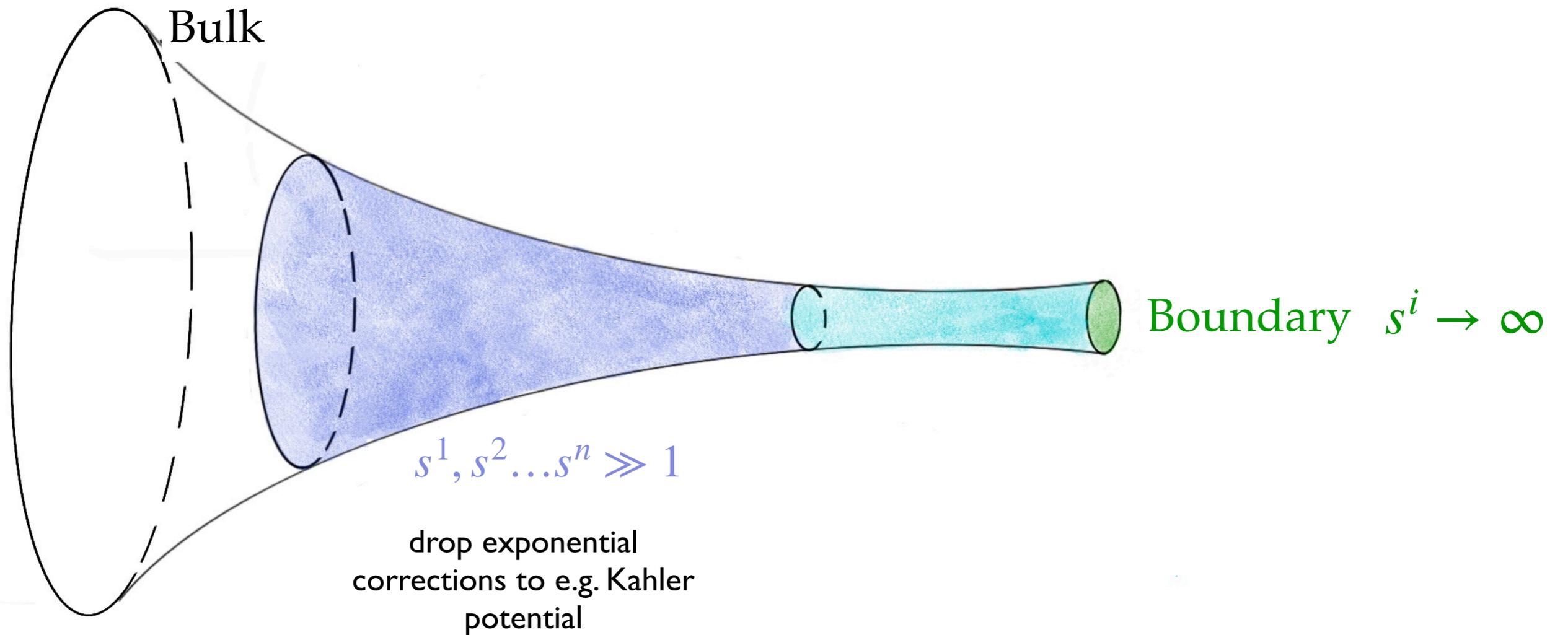
Approaching a boundary

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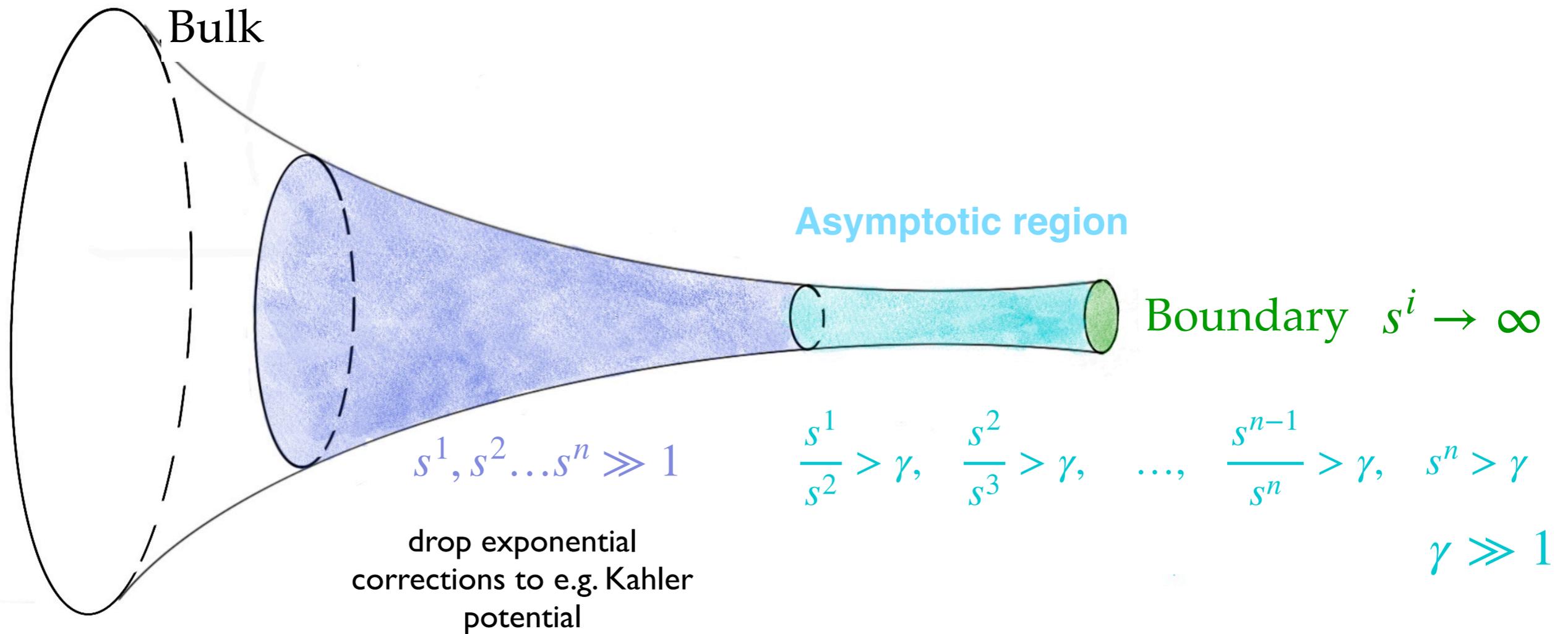
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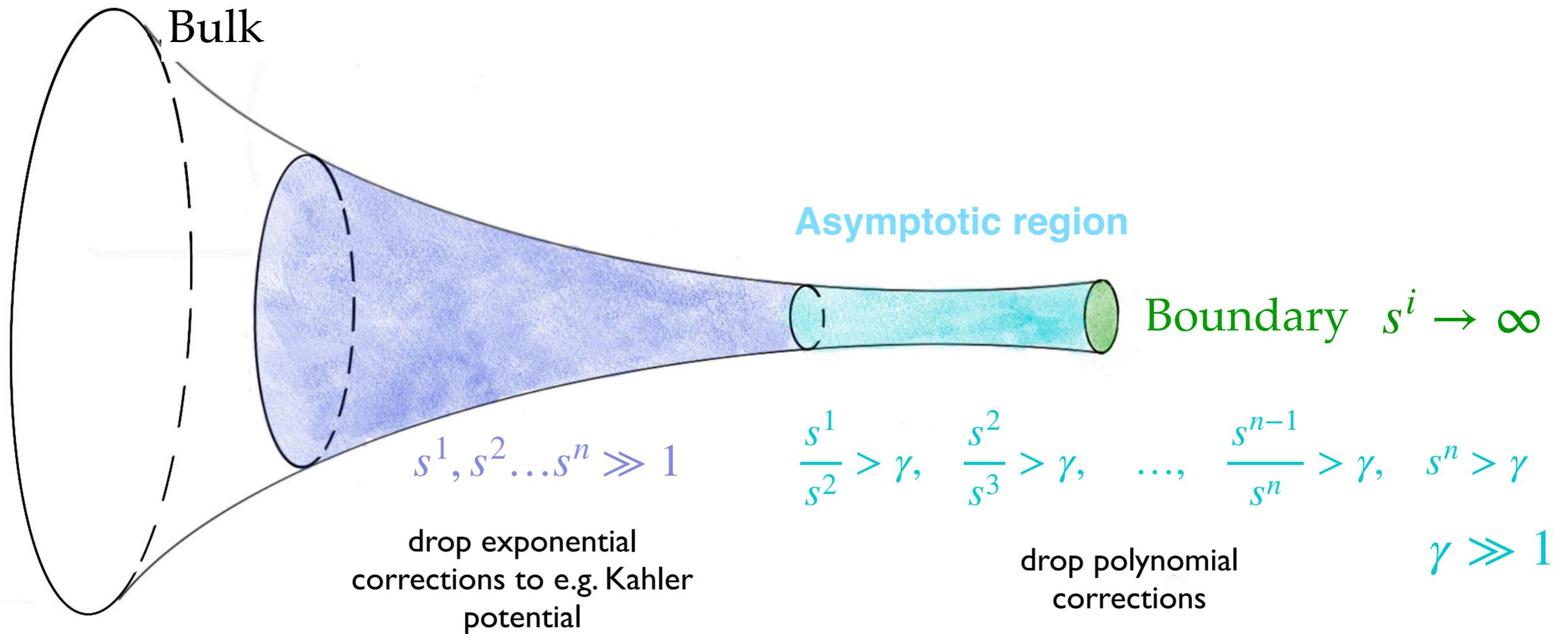
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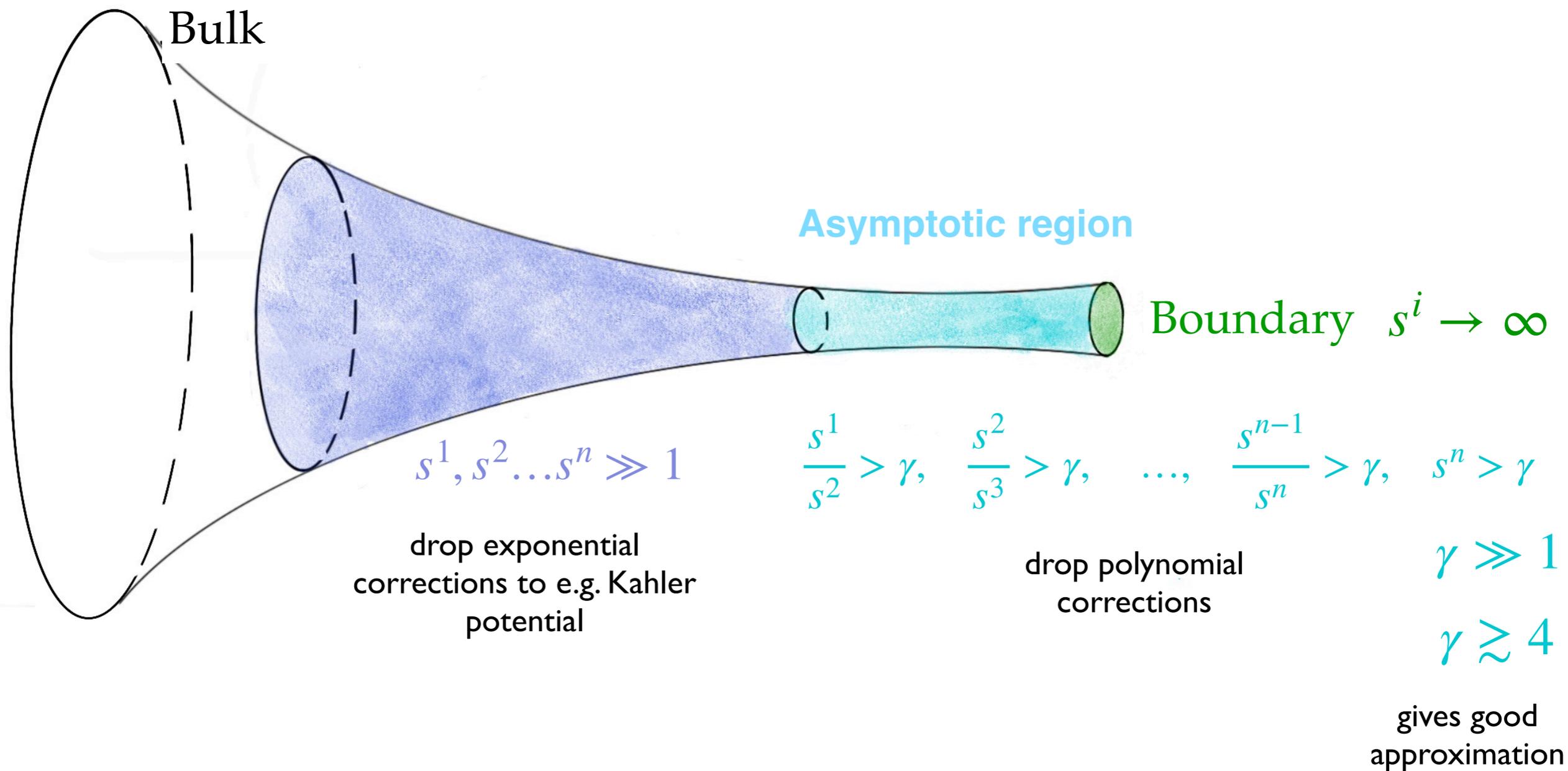
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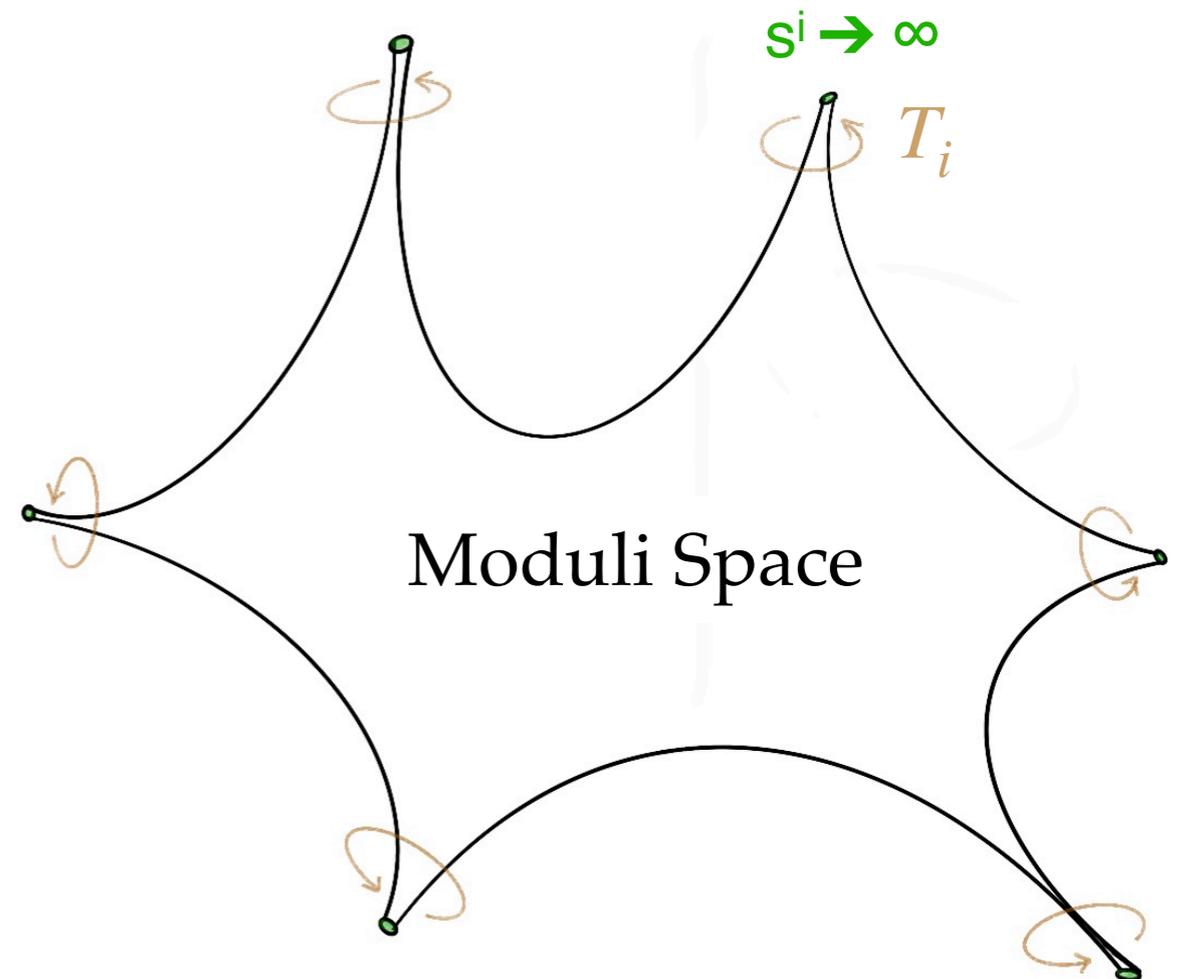
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Asymptotic Hodge theory

$$t^i = \phi^i + i s^i$$
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Asymptotic Hodge theory

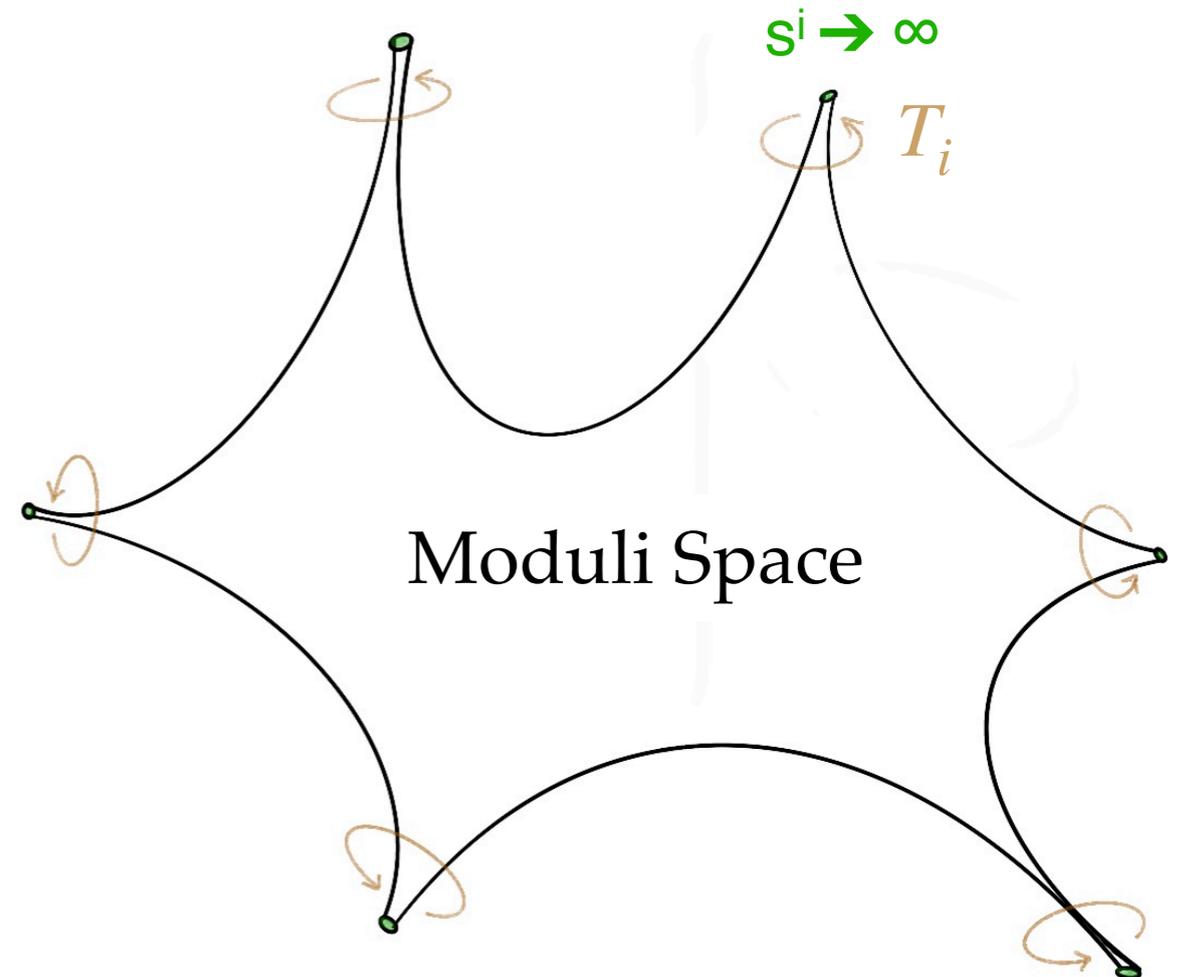
- Shift symmetry $\phi^i \rightarrow \phi^i + 1$

period vector $\Pi_I = \int_{\alpha_I} \Omega_4$

$$\Pi(t^i + 1) = T_i \Pi(t^i)$$

monodromy matrices

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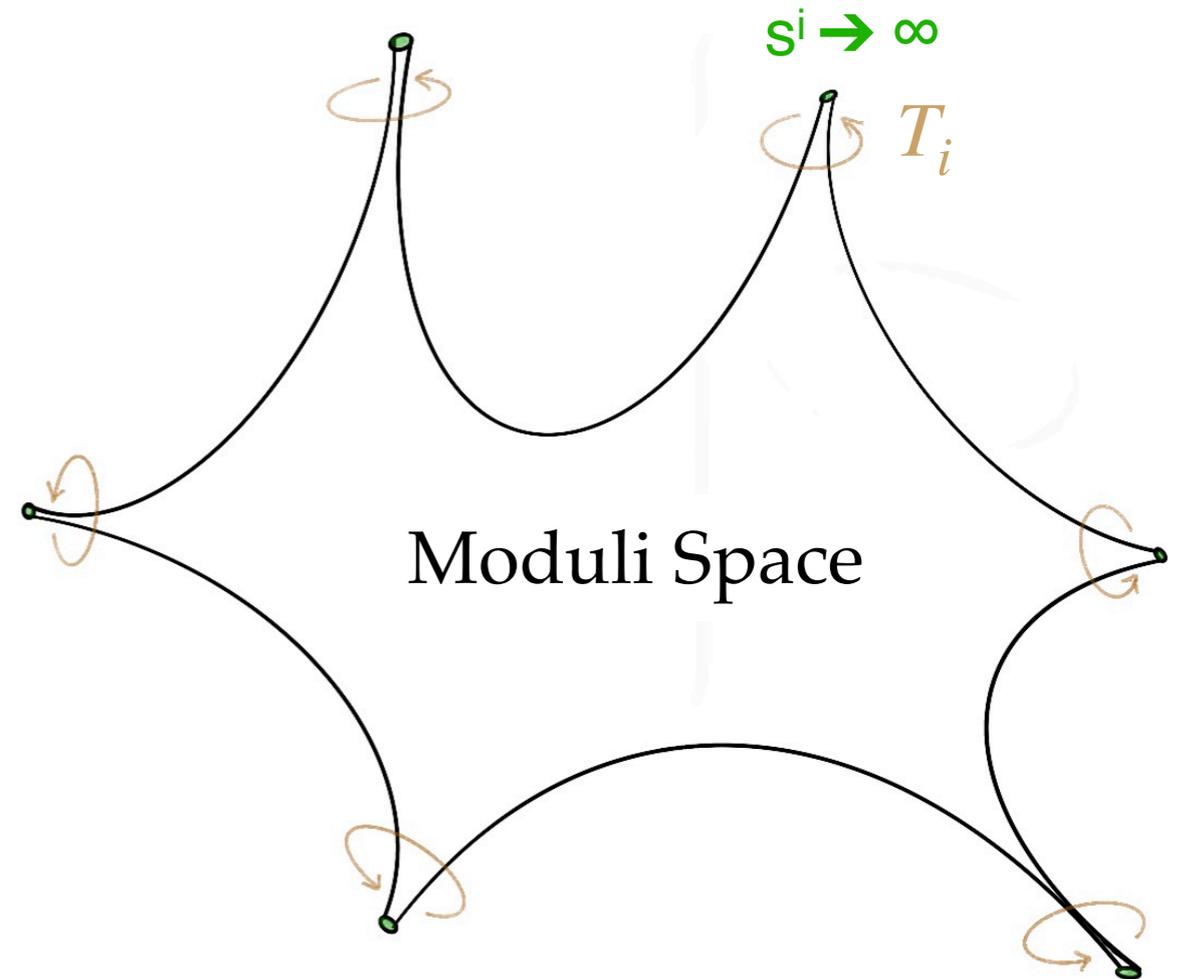
- Allows to extract behavior of period vector and Hodge star in t^i

$$\Pi(t) = e^{t^i N_i} \left(a_0 + a_i e^{2\pi i t^i} + \dots \right)$$

$$T_i = e^{N_i}$$

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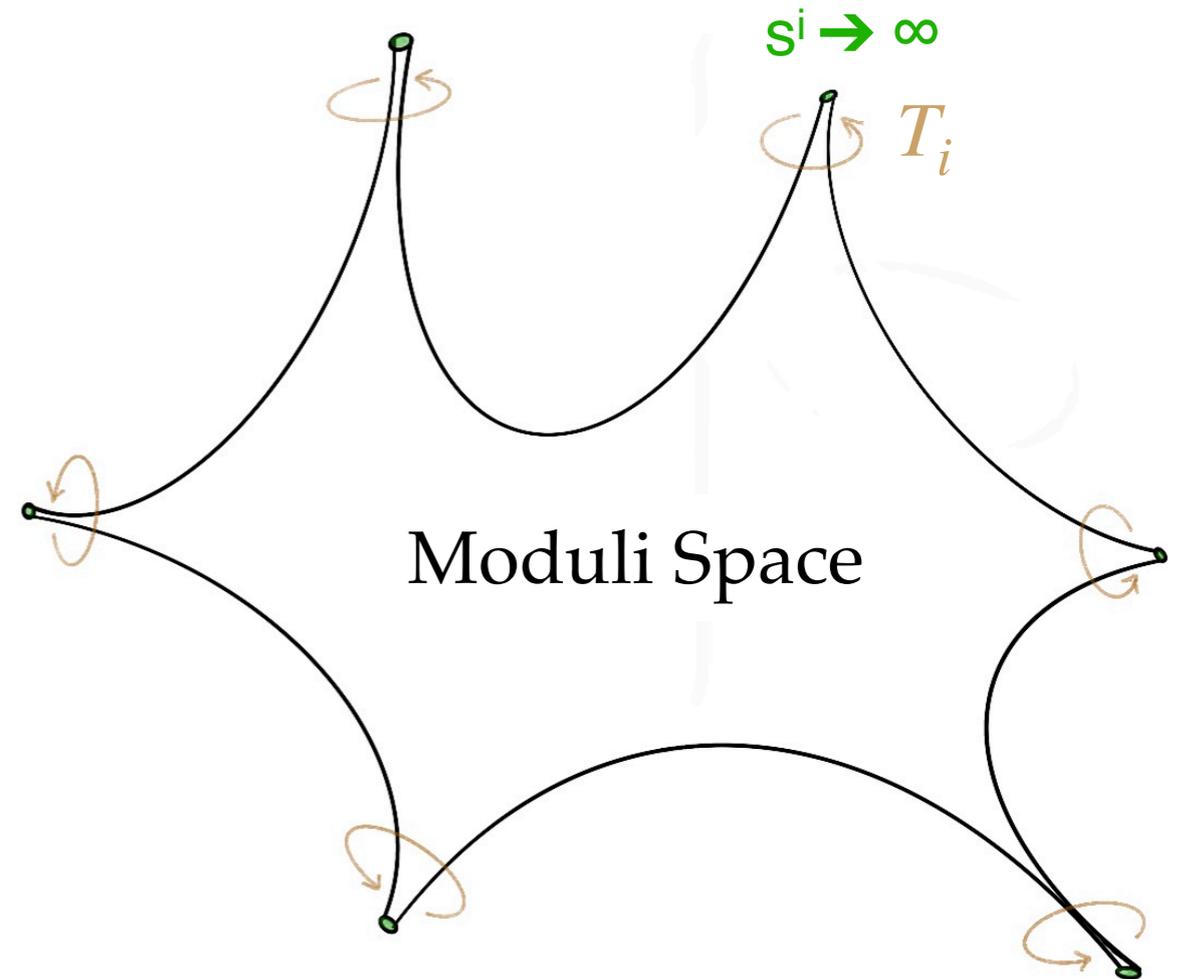
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$$\Pi(t) = e^{t^i N_i} \left(a_0 + a_j e^{2\pi i t^i} + \dots \right)$$

$$T_i = e^{N_i}$$

$$t^i = \phi^i + i s^i$$

$$i = 1, \dots, n \leq h^{3,1}$$



Asymptotic Hodge theory

- Shift symmetry $\phi^i \rightarrow \phi^i + 1$

period vector $\Pi_I = \int_{\alpha_I} \Omega_4$

$$\Pi(t^i + 1) = T_i \Pi(t^i)$$

monodromy matrices

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- n commuting $\mathfrak{sl}(2)$ triplets: $\{N_i^-, N_i^+, N_i^0\}$

$$H_{\text{prim}}^4(Y_4, \mathbb{R}) = \bigoplus V_{\ell}$$

$$\ell = (\ell_1, \dots, \ell_n)$$

$$N_i^0 v_{\ell} = (\ell_i - \ell_{i-1}) v_{\ell}$$

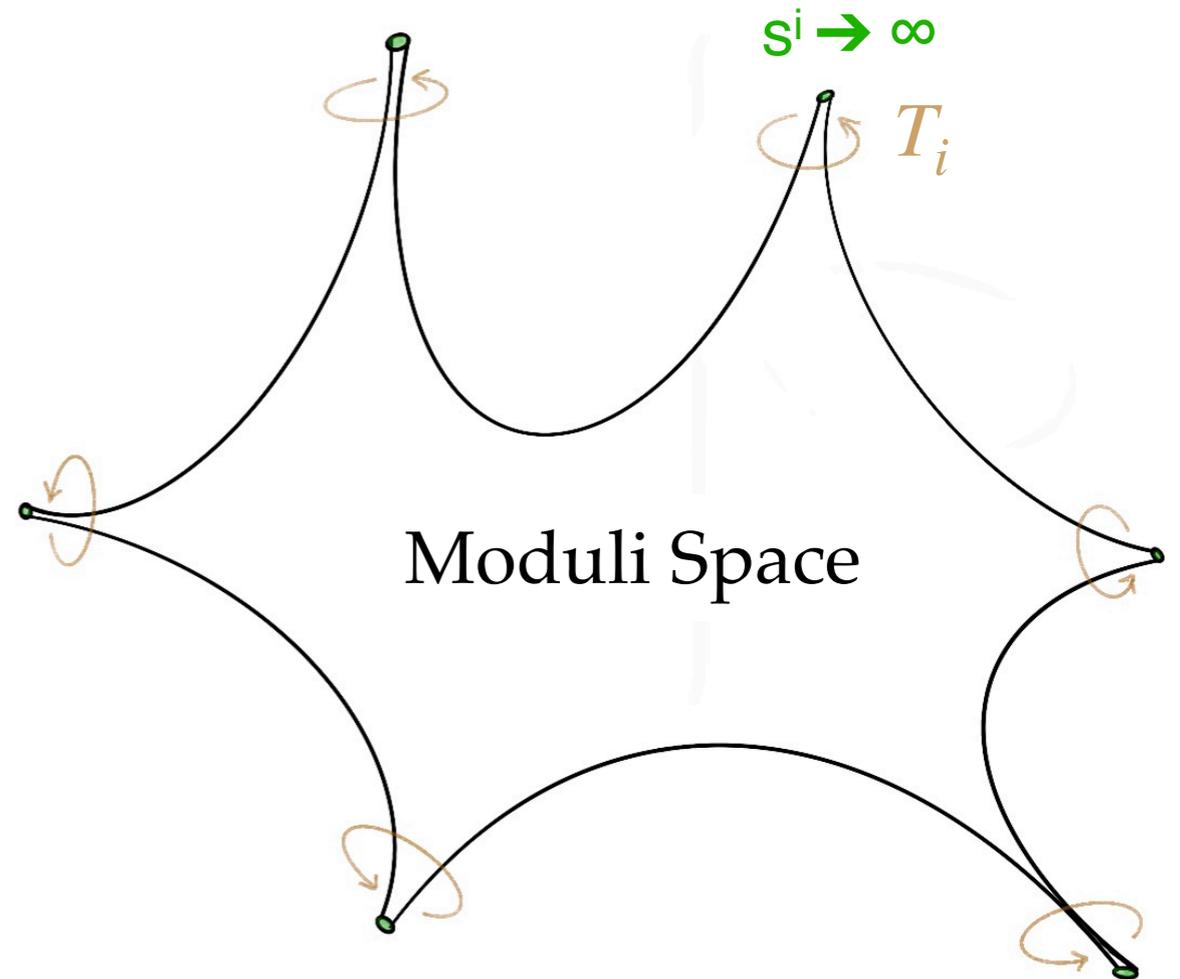
$$v_{\ell} \in V_{\ell}$$

For a 4-fold

$$-4 \leq \ell_i \leq 4$$

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Moduli stabilisation

$$G_4 = \star G_4$$

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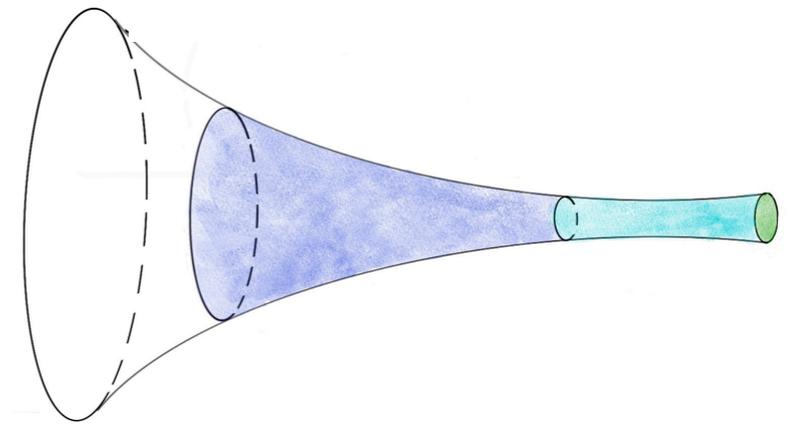
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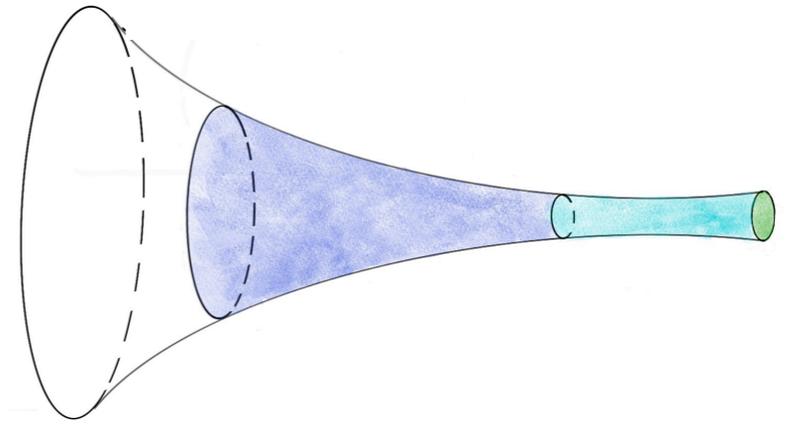
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- Not hard to see that **one** (pair of) G_ℓ flux stabilises **one** modulus

Tadpole

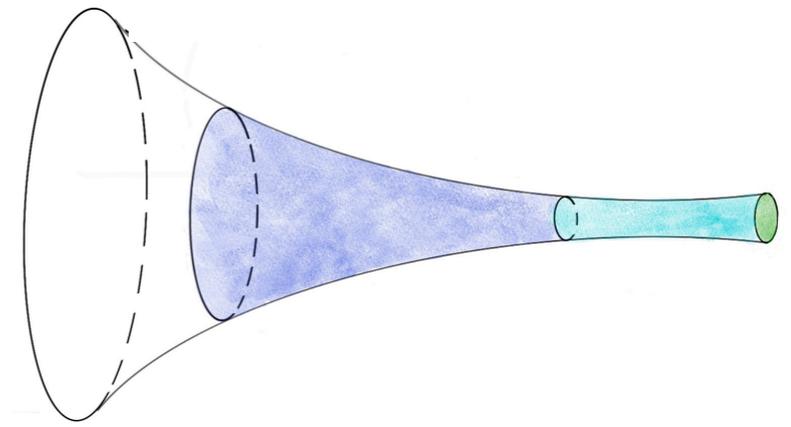


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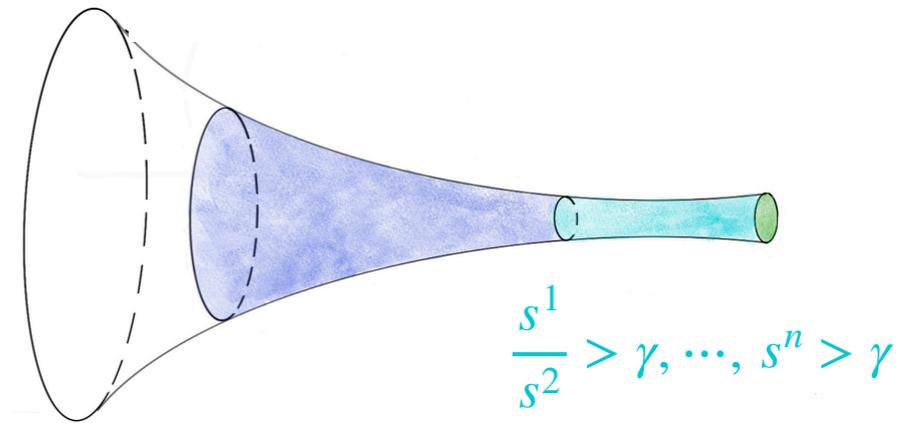


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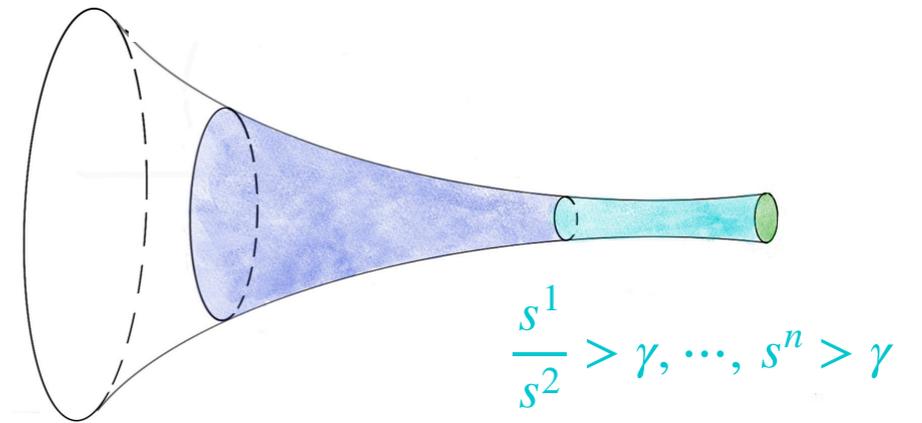
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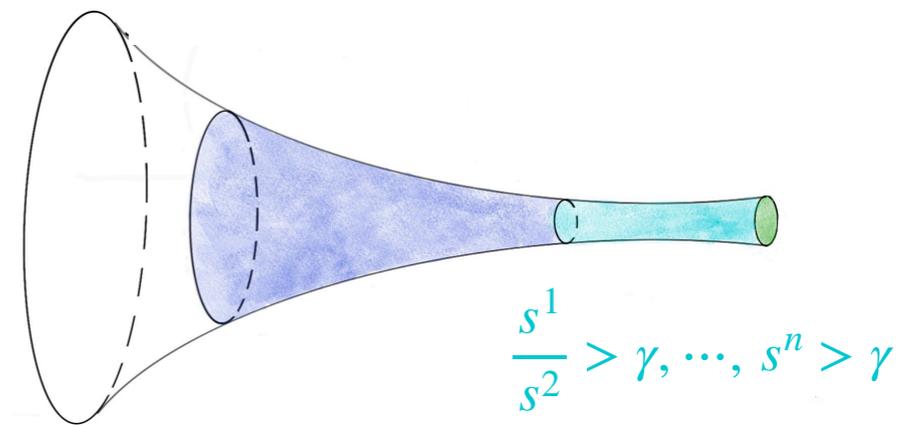
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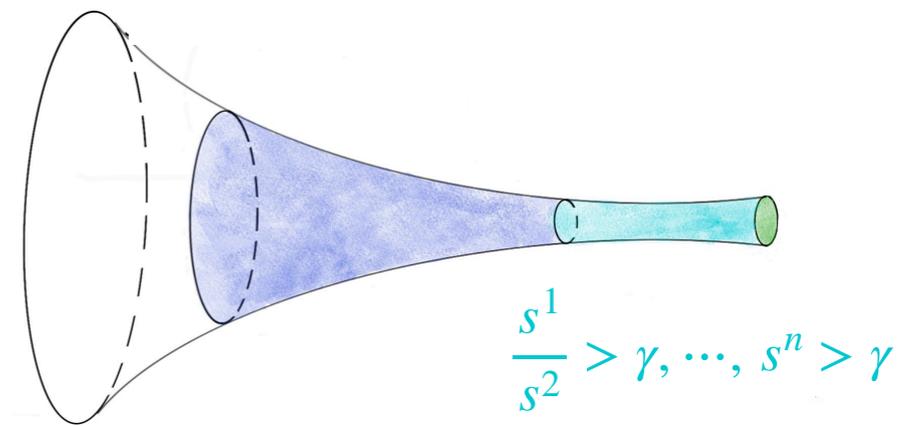
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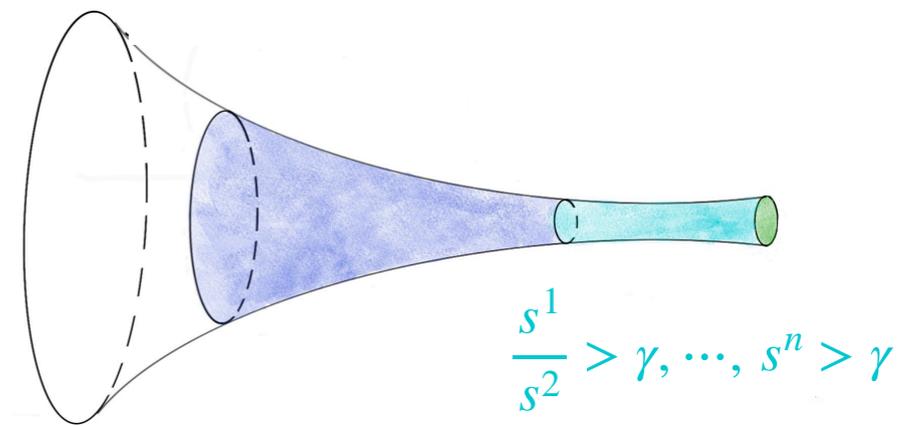
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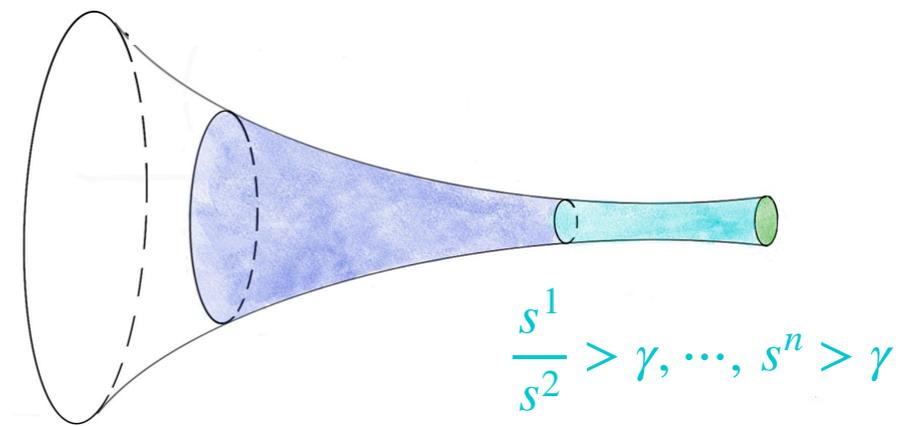
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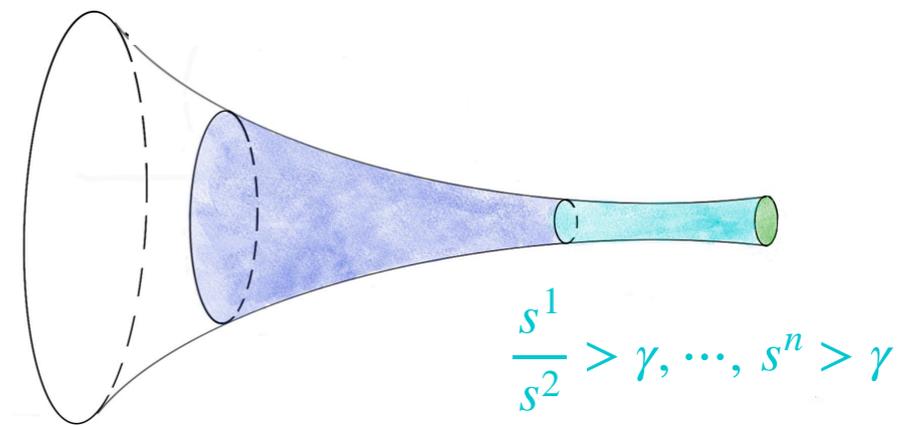
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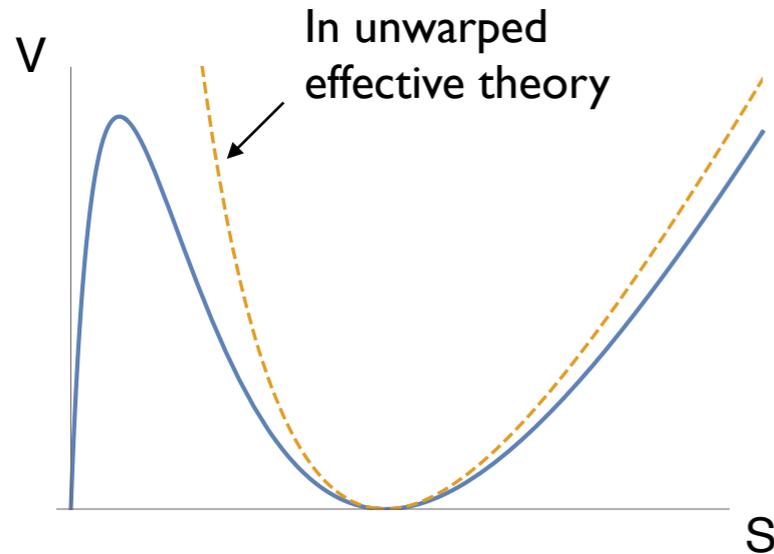
Implications for de Sitter with anti-brane uplift

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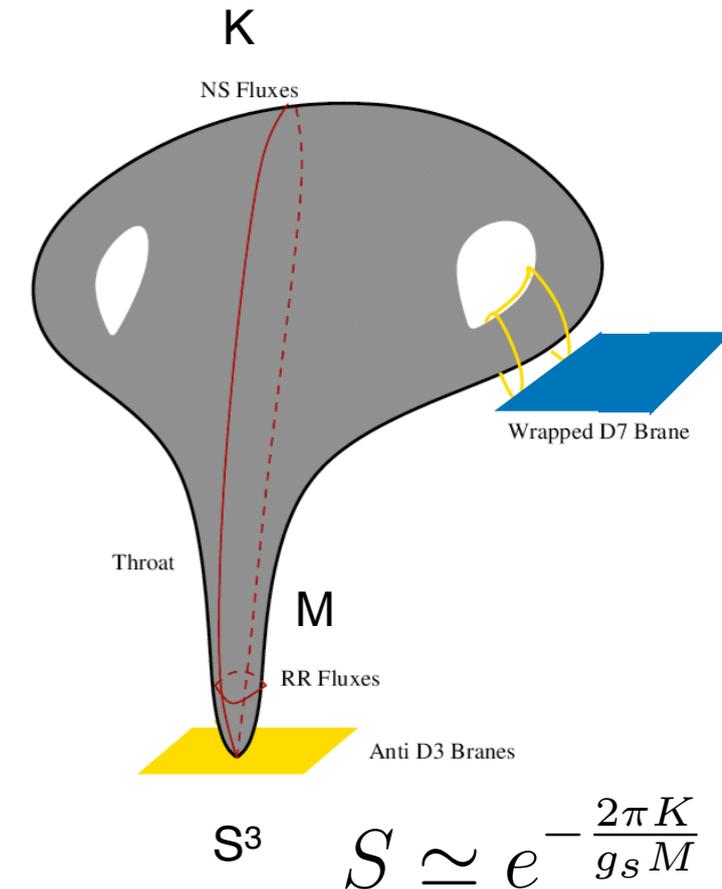
Bena, Dudas, M.G., Lust 18

Moduli stabilization using warped effective field theory for conifold modulus

Douglas, Torroba 08



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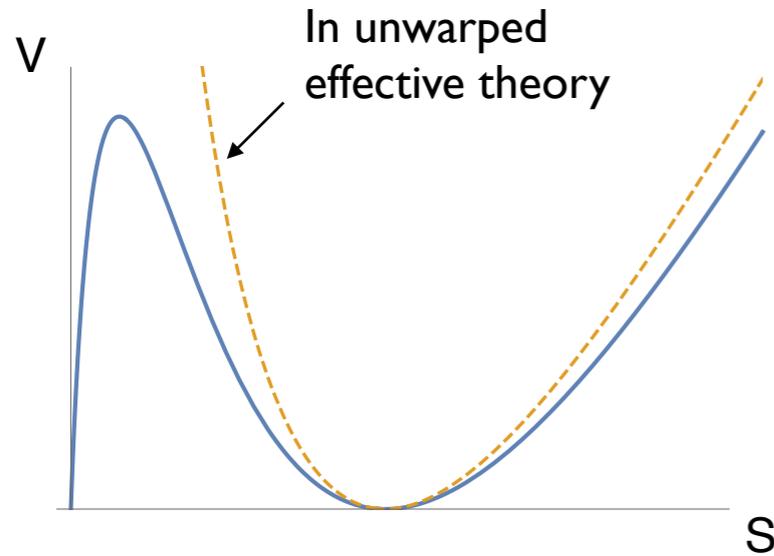


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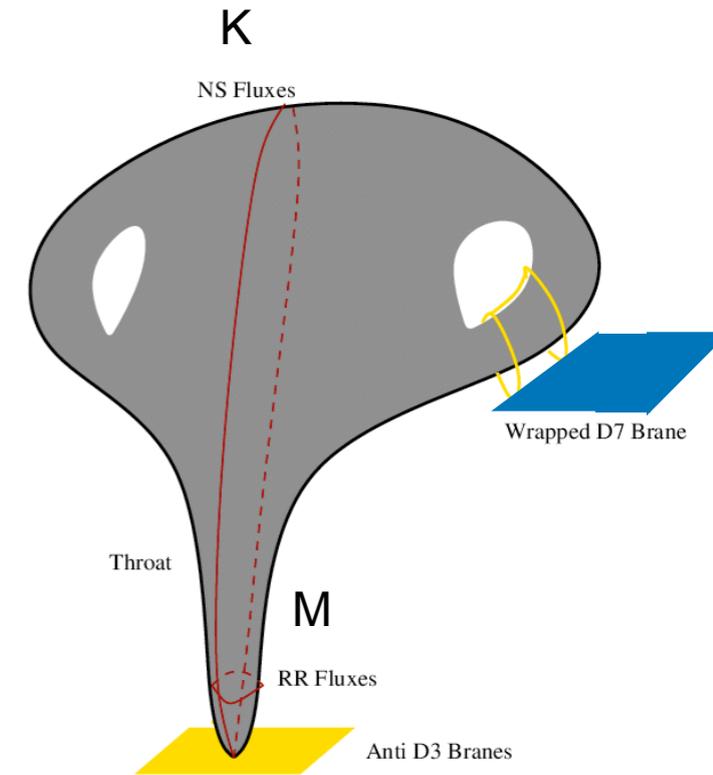
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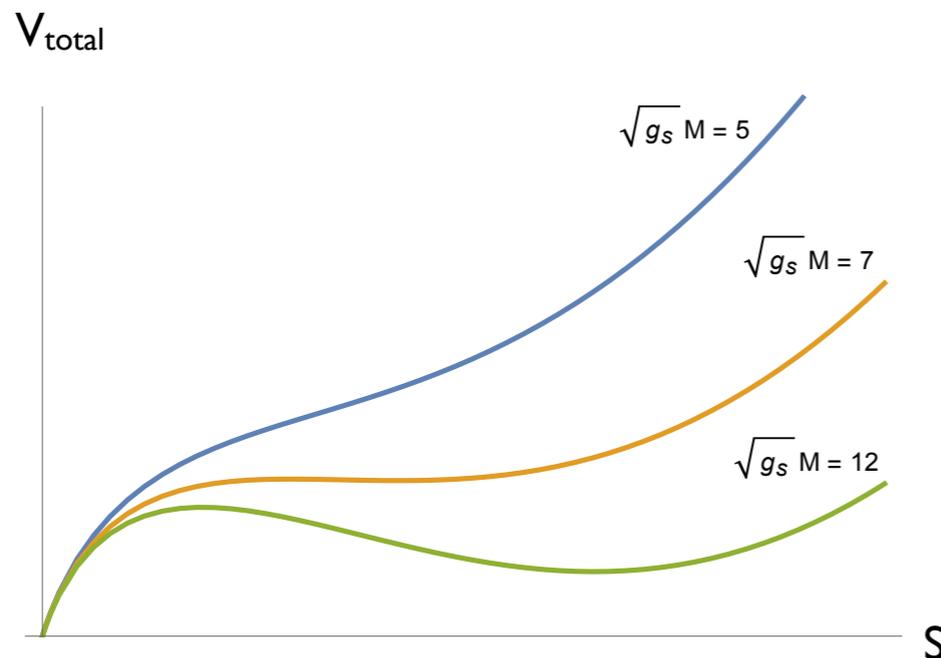


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Full flux + $\overline{D3}$ warped potential for size of S^3



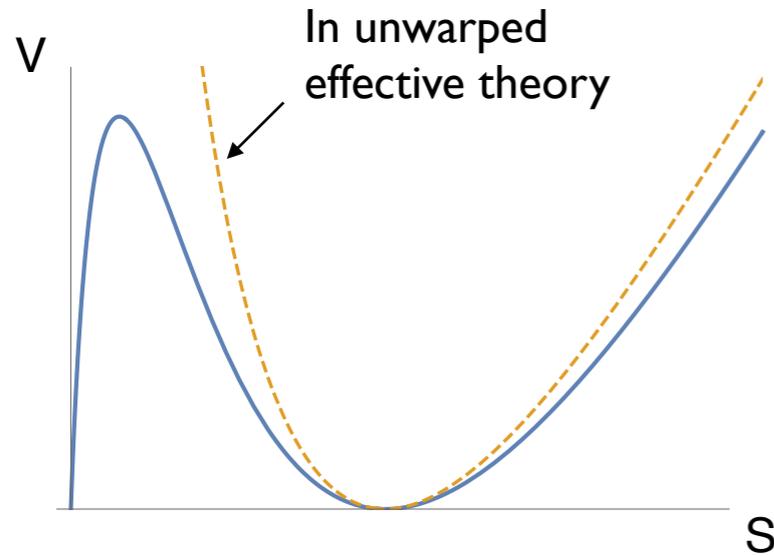
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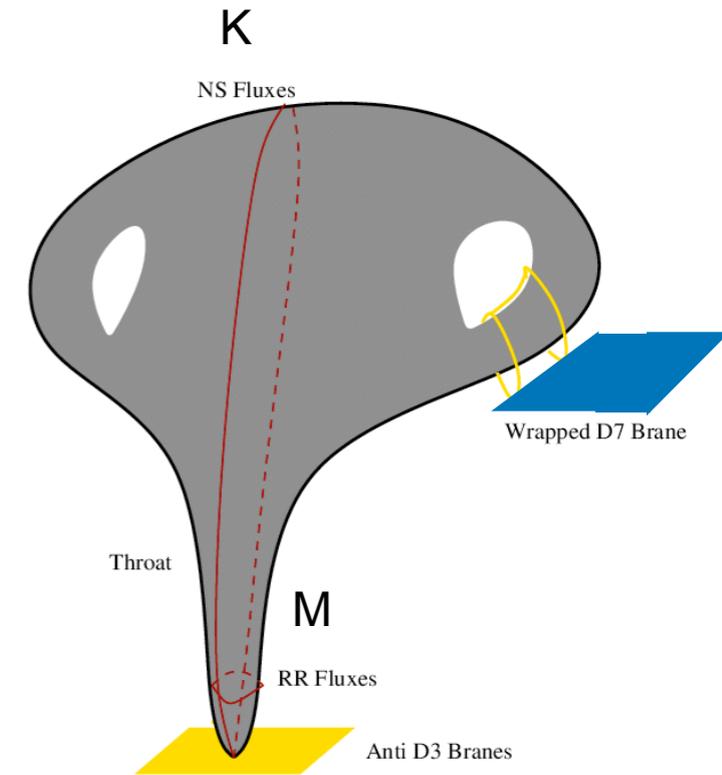
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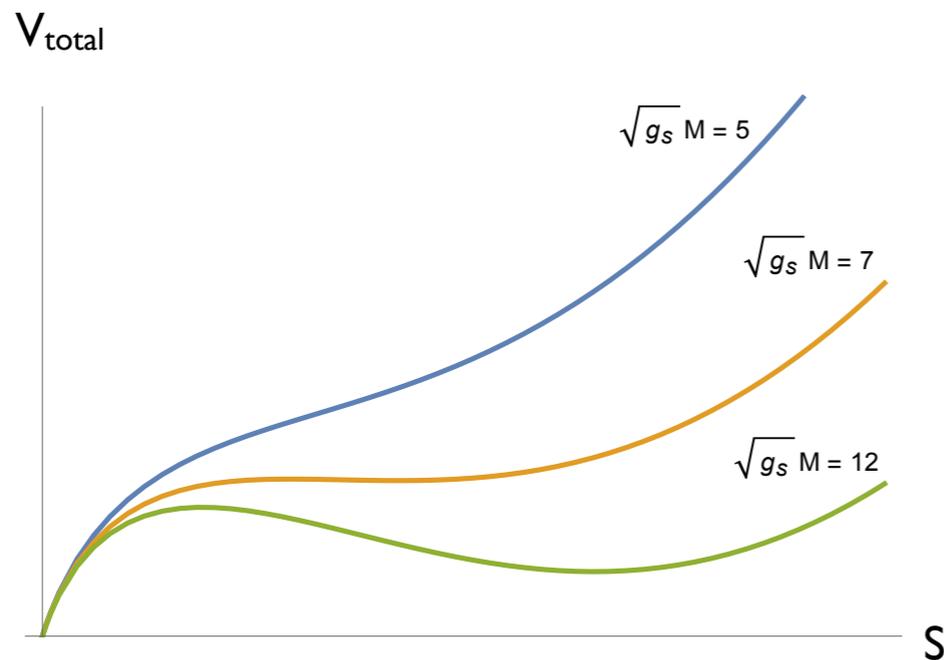


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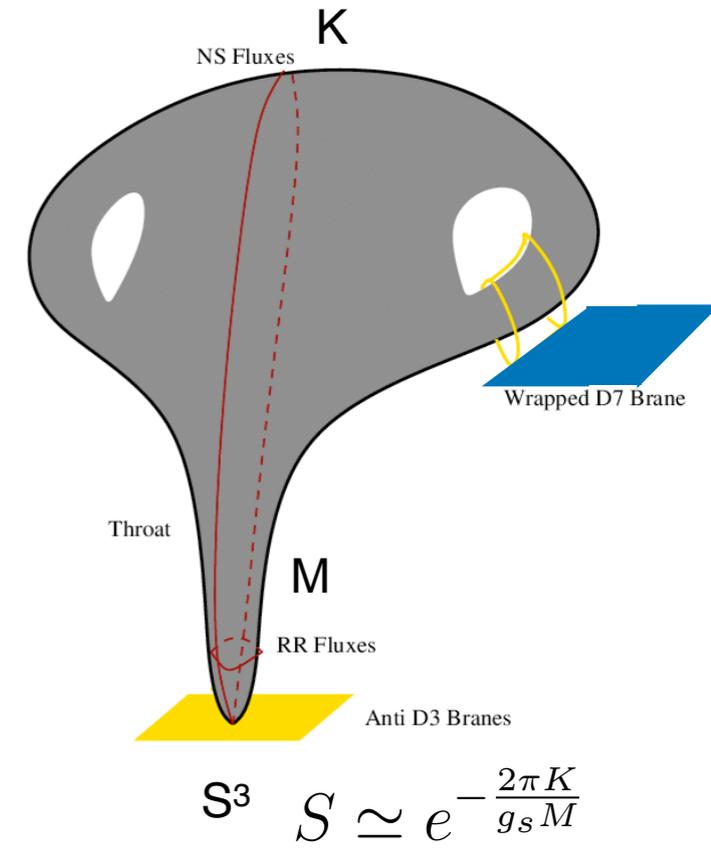


Need $\sqrt{g_s} M \geq 6.7$ to avoid collapse

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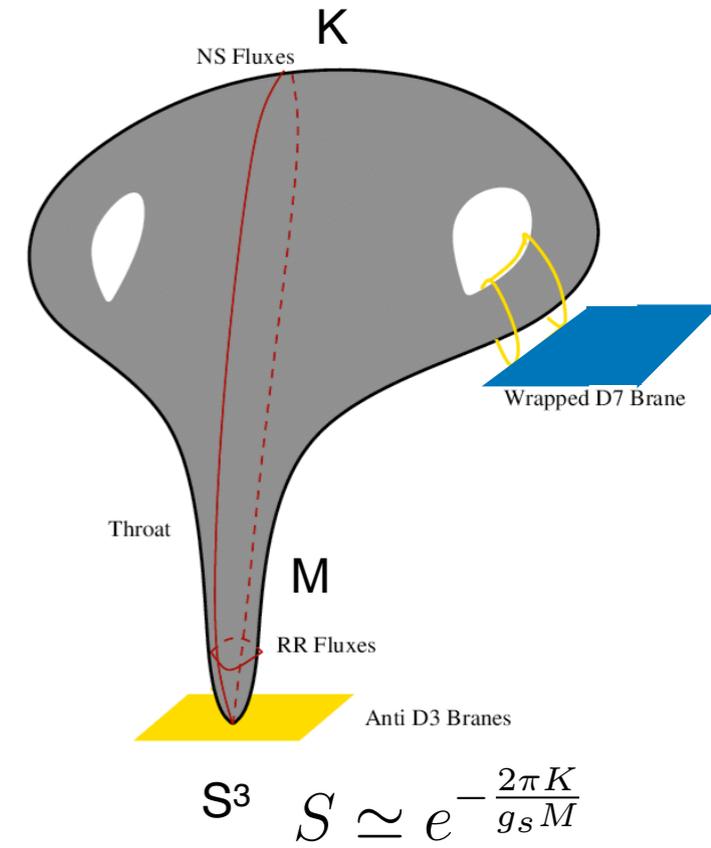
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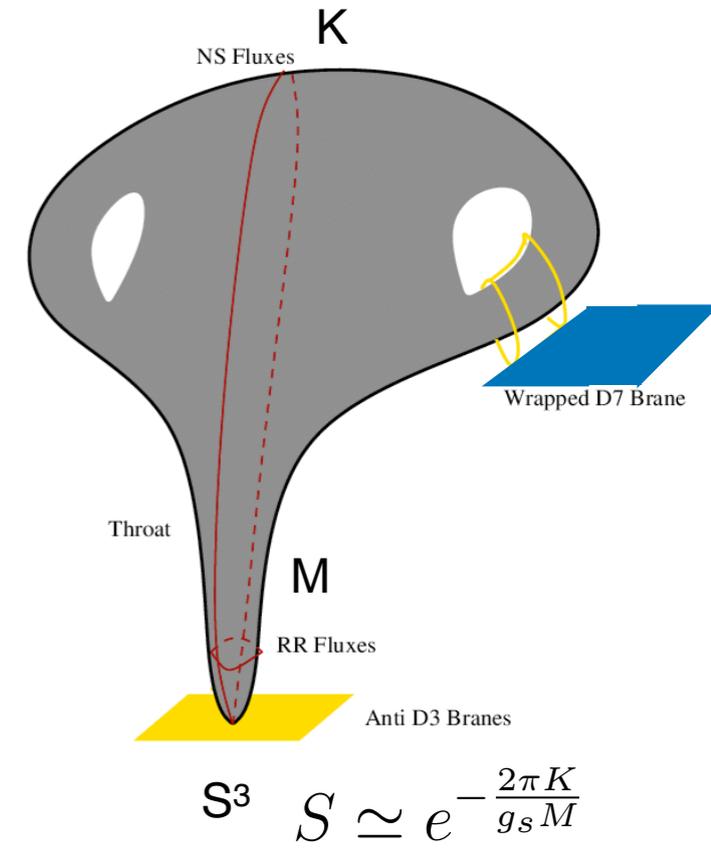
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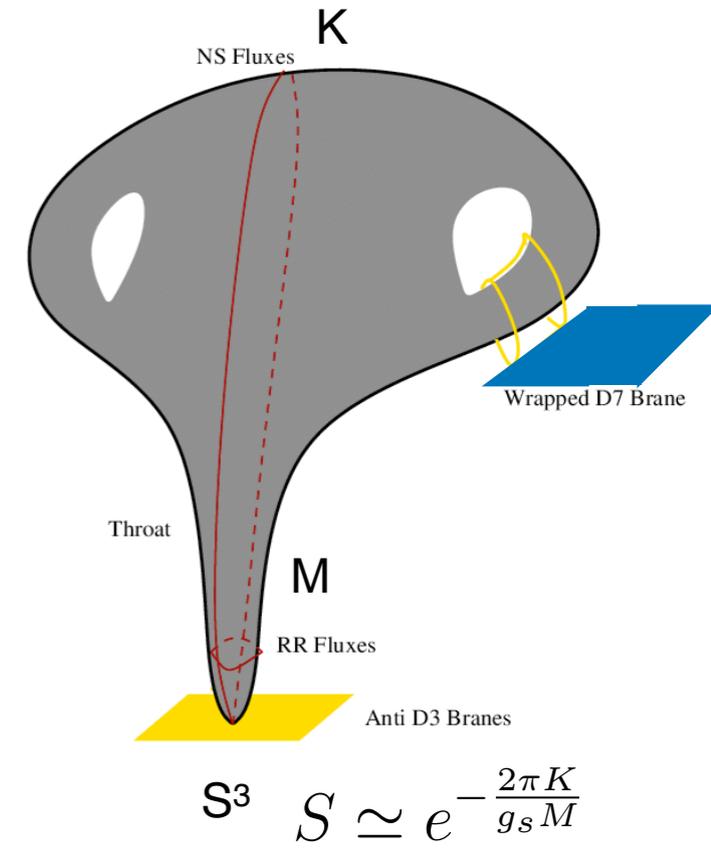
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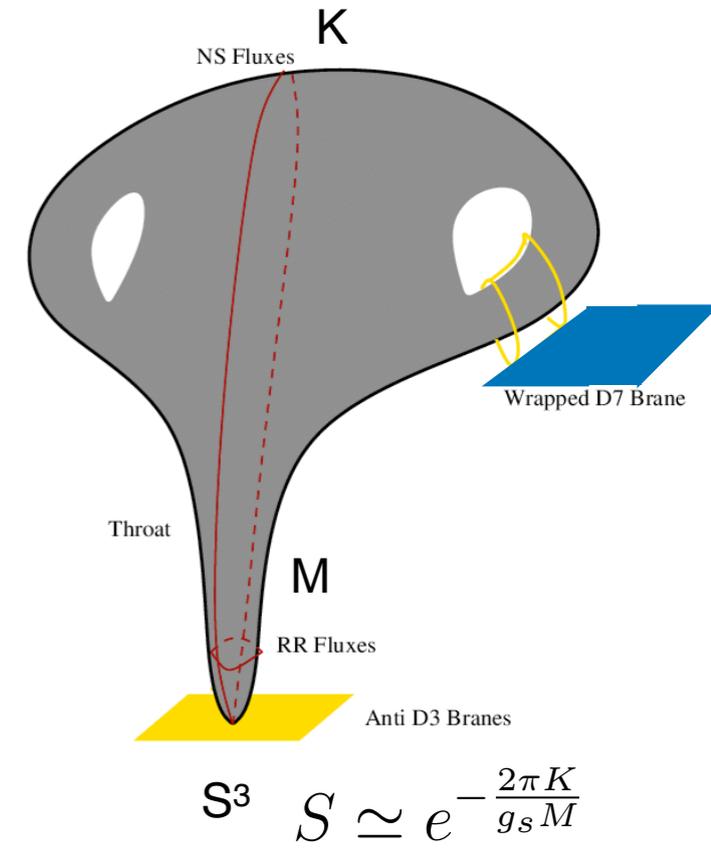
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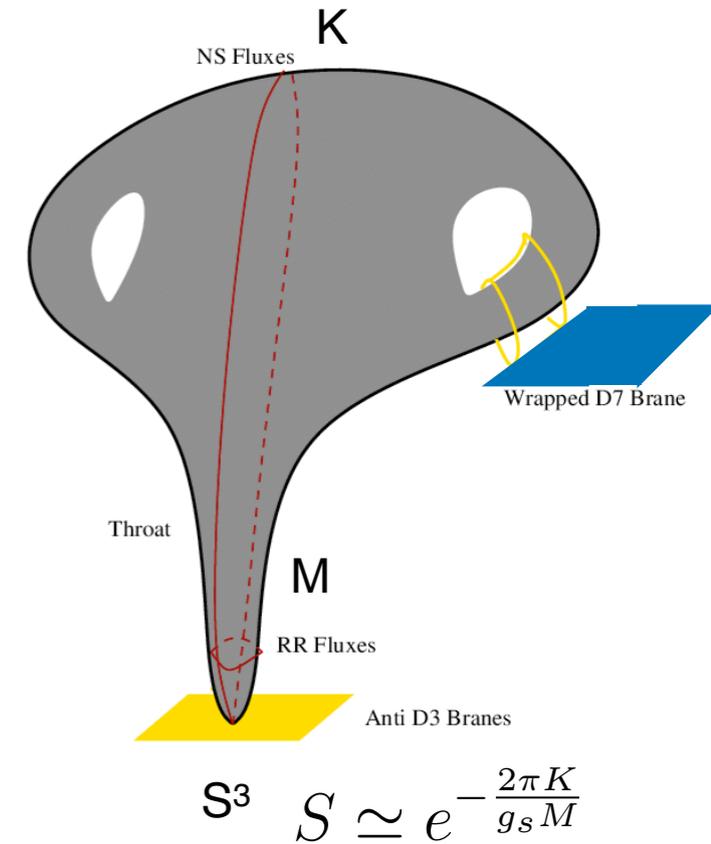
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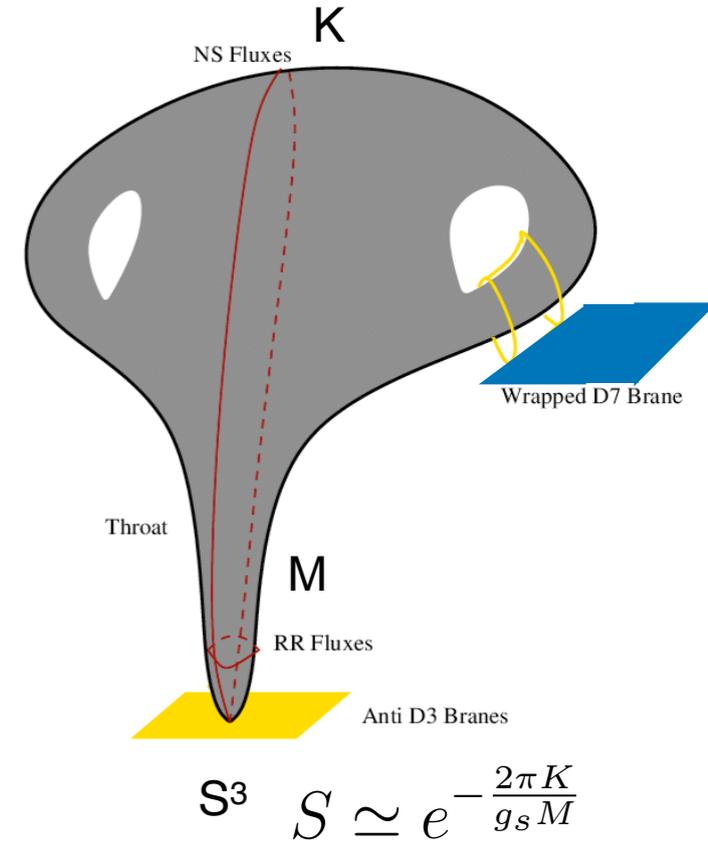


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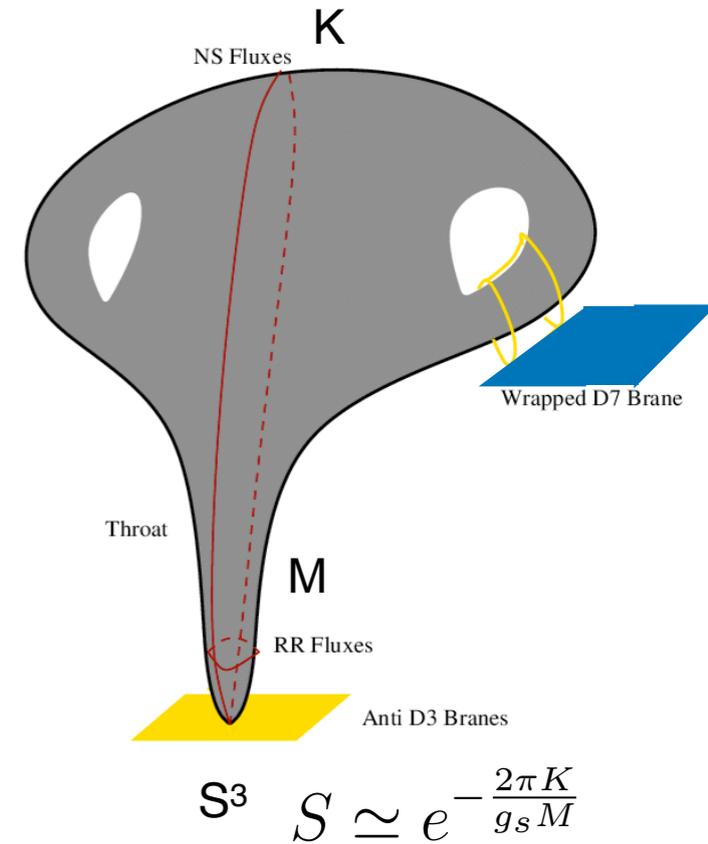


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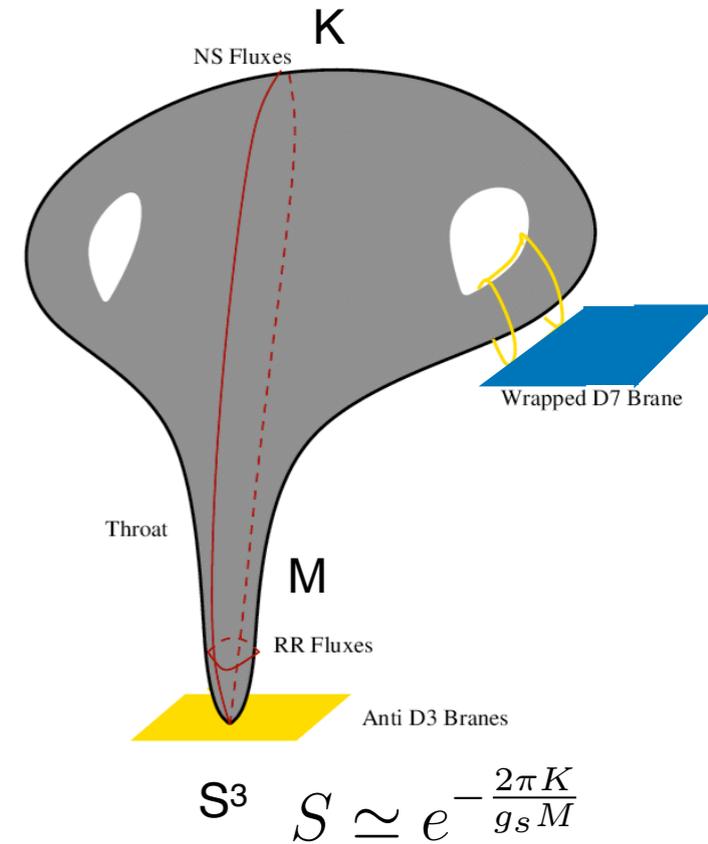


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Conclusions

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at generic pt in mod. space

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