

# Updated predictions for $R(D^{(*)})$ using the residual chiral expansion

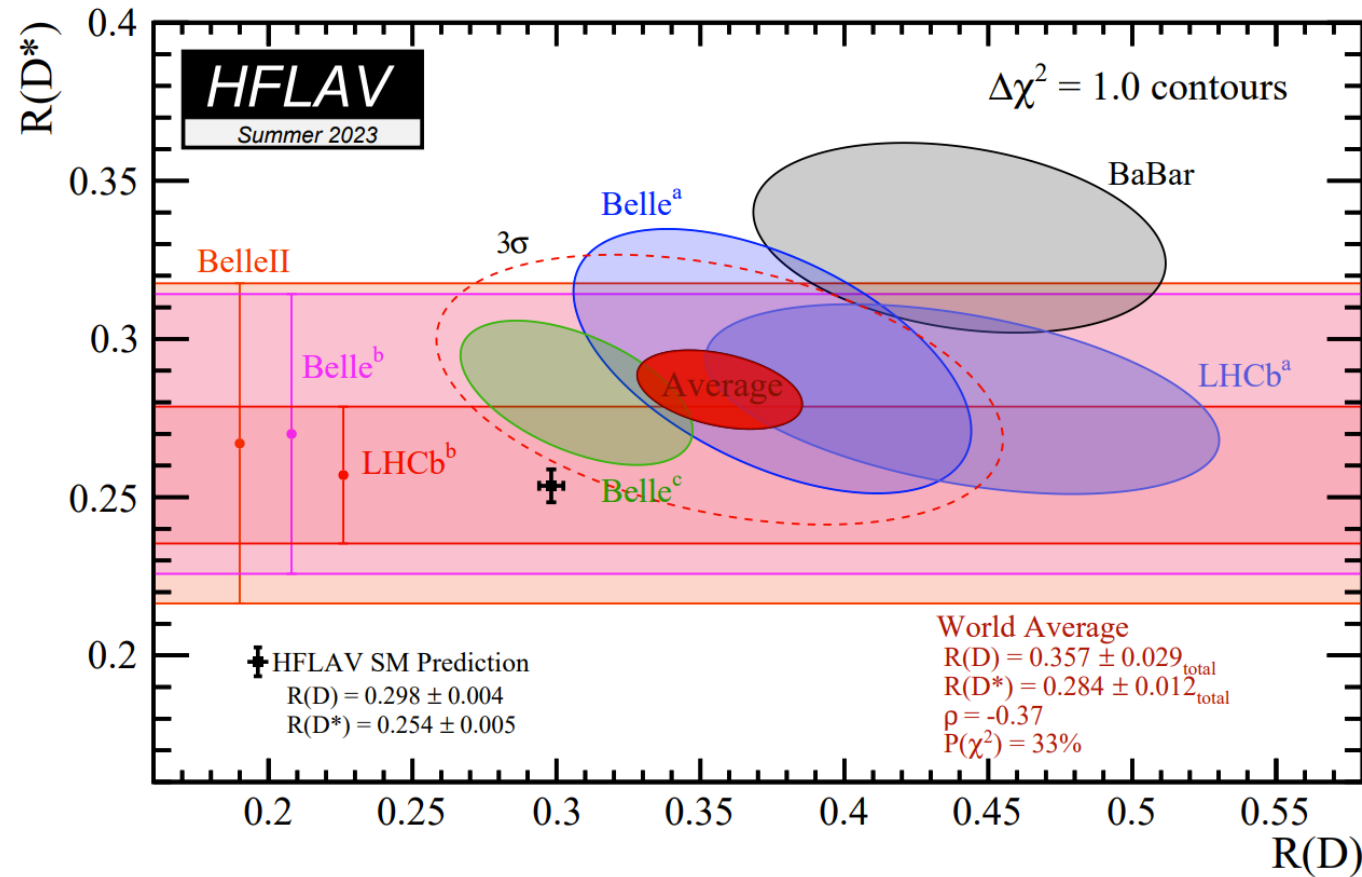
BLPRXP Form Factors

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# Experimental Status of $R(D^{(*)})$



The difference with the SM prediction corresponds to  $3.3\sigma$  (HFLAV '23)

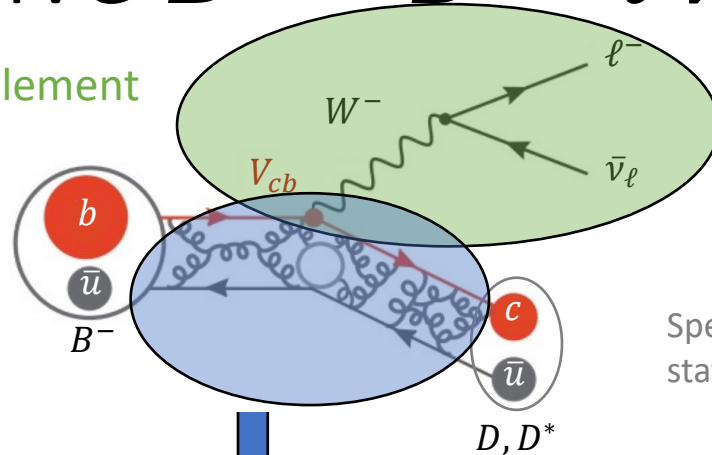
$R(D)$  exceeds SM by  $2.0\sigma$   
 $R(D^*)$  exceeds SM by  $2.2\sigma$

Update of the work in  
 Phys.Rev.D 106 (2022) 9, 096015

- New experimental data
- New nonzero-recoil lattice QCD data

# Exclusive $B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$

Leptonic Matrix Element



Specific final state meson

$$\Gamma(B \rightarrow D \ell \bar{\nu}_\ell) \propto |V_{cb}|^2 \mathcal{G}(1) \quad \mathcal{G}(1) = h_+(1)$$

$$\Gamma(B \rightarrow D^* \ell \bar{\nu}_\ell) \propto |V_{cb}|^2 \mathcal{F}(1) \quad \mathcal{F}(1) = h_{A_1}(1)$$

**Hadronic Matrix Elements** can not be calculated from first principles  
 → Can be parameterized with **form factors**  $h_X = h_X(w)$  and extracted from data  
 → Lattice QCD must provide (at least) inputs on their **normalization**

$$\frac{\langle D(p') | \bar{c} \gamma^\mu b | B(p) \rangle}{\sqrt{m_B m_D}} = h_+(v + v')^\mu + h_-(v - v')^\mu$$

$$\frac{\langle D^*(p') | \bar{c} \gamma^\mu b | B(p) \rangle}{\sqrt{m_B m_{D^*}}} = h_V \epsilon^{\mu\nu\alpha\beta} \epsilon_\nu^* v'_\alpha v_\beta$$

$$\frac{\langle D^*(p') | \bar{c} \gamma^\mu \gamma^5 b | B(p) \rangle}{\sqrt{m_B m_{D^*}}} = h_{A_1} (w + 1) \epsilon^{*\mu} - h_{A_2} (\epsilon^* \cdot v) v^\mu - h_{A_3} (\epsilon^* \cdot v) v'^\mu$$

Heavy Quark Symmetry Basis

# BLPRXP Form Factors for $B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$

Form factors in the framework of HQET:

$B \rightarrow D \ell \bar{\nu}_\ell$  and  $B \rightarrow D^* \ell \bar{\nu}_\ell$  are linked

Expansion to order  $\mathcal{O}(1/m_{b,c}^{(2)})$ ,  $\mathcal{O}(1/(m_b m_c))$

$$\frac{\langle H_c | \bar{c} \Gamma b | H_b \rangle}{\sqrt{m_{H_c} m_{H_b}}} \propto 1 + \underbrace{\frac{1}{2m_c} + \frac{1}{2m_b}}_{+3} + \underbrace{\frac{1}{4m_c^2} + \frac{1}{2m_b^2}}_{+20 \rightarrow +1} + \underbrace{\frac{1}{4m_c m_b}}_{+32 \rightarrow +3}$$

Proliferation of non-perturbative parameters

Supplemental power counting in the transverse residual momentum  $\gamma_\mu D_\perp^\mu$

→ Drastic reduction of the non-perturbative parameters

Leftover Isgur-Wise functions to be fitted determined by Nested Hypothesis Test on data

→ Determination of  $|V_{cb}|$  and the  $B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$  form factors

→ Prediction of  $R(D^{(*)})$

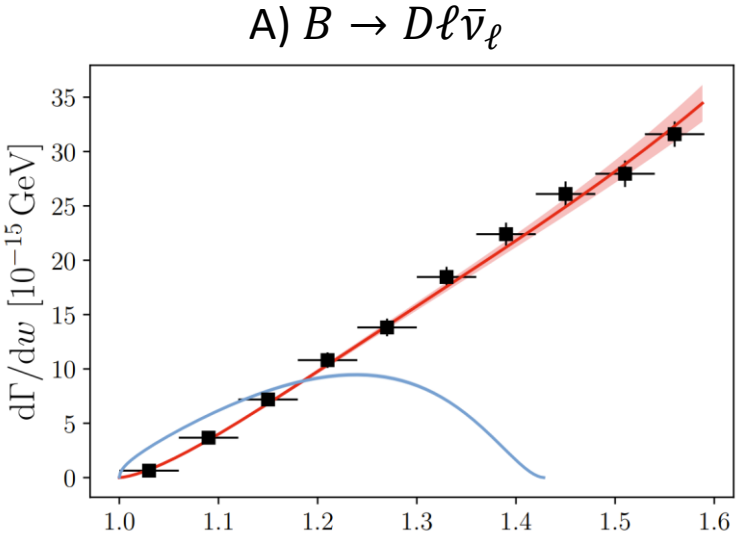
# Nested Hypothesis Test

- The free parameters in our model are
  - entering at zero-recoil:  $|V_{cb}|, m_b^{1S}, \delta m_{bc}, \rho_1, \lambda_2, \rho_*^2, c_*, \hat{\eta}(1)$
  - and beyond:  $\hat{\eta}'(1), \hat{\chi}_2(1), \hat{\chi}_2'(1), \hat{\chi}_3'(1), \hat{\varphi}_1'(1), \hat{\beta}_2(1), \hat{\beta}_3'(1)$
- **Nested Hypothesis Test** to determine **optimal set of fit parameters**
  - Starting point are the parameters contribution at zero-recoil
  - Subsequently add parameters to the model in all combinations
  - Test alternative fit hypothesis with cut-off  $\Delta\chi^2 = \chi_N^2 - \chi_{N+1}^2 < 1$
  - Reject combinations with highly correlated parameters

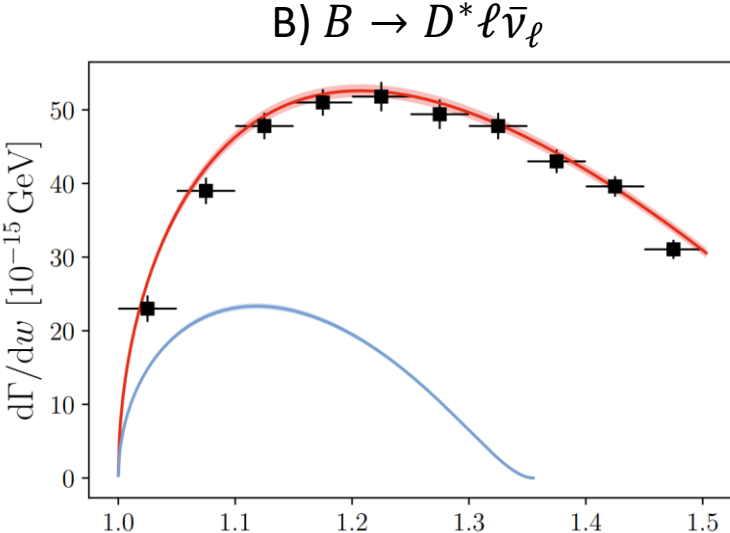
# Experimental Inputs

- A) Belle  $B \rightarrow D\ell\bar{\nu}_\ell$  tagged '15  $\rightarrow$  Only use shape and BR world average
- B) Belle  $B \rightarrow D^*\ell\bar{\nu}_\ell$  untagged '19
- C) Belle  $B \rightarrow D^*\ell\bar{\nu}_\ell$  tagged '23  $\rightarrow$  Updated measurement wrt '17
- Today: Only use measured **hadronic recoil** spectra

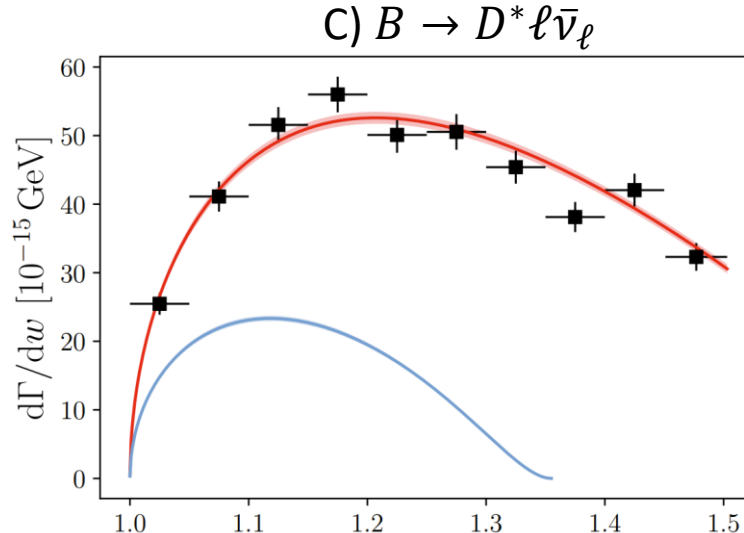
BLPRXP '23 Fit  $B \rightarrow D^{(*)}\ell\bar{\nu}_\ell$   
 BLPRXP '23  $B \rightarrow D^{(*)}\tau\bar{\nu}_\tau$



$$w = v_B \cdot v_{D^{(*)}} = \frac{m_B^2 + m_{D^{(*)}}^2 - q^2}{2m_B m_{D^{(*)}}}$$



$$w = v_B \cdot v_{D^{(*)}} = \frac{m_B^2 + m_{D^{(*)}}^2 - q^2}{2m_B m_{D^{(*)}}}$$

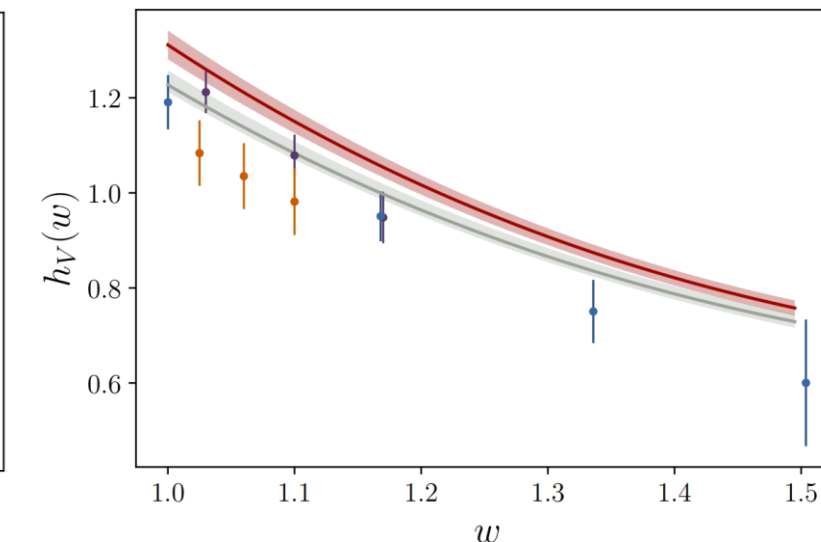
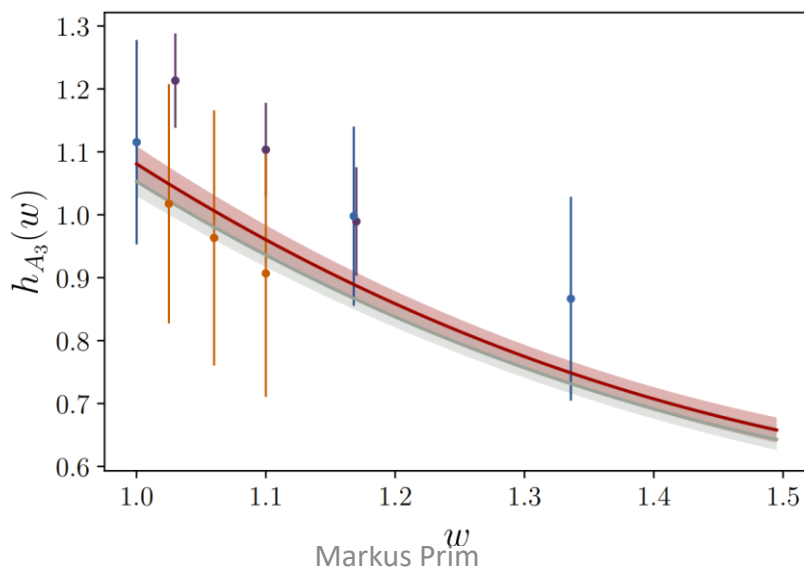
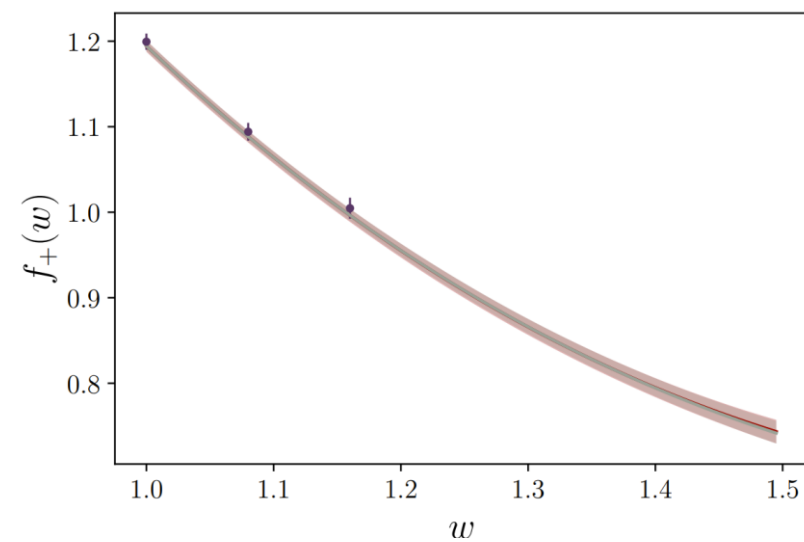
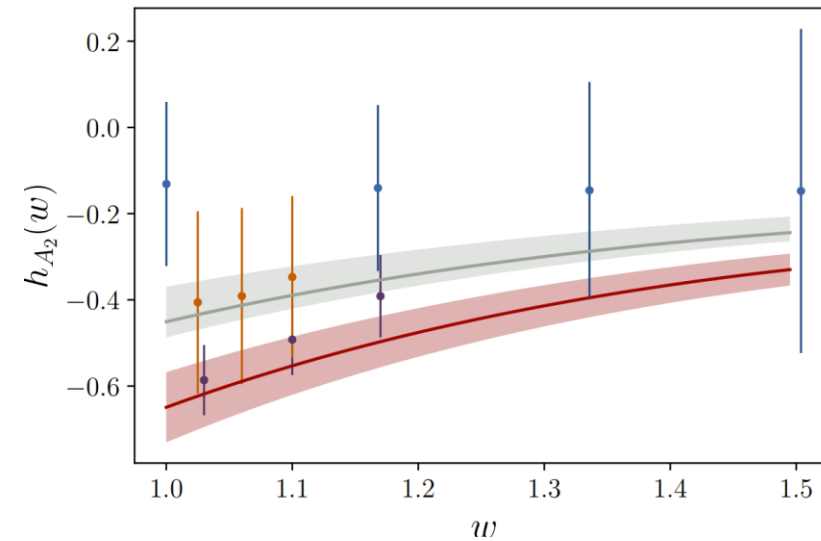
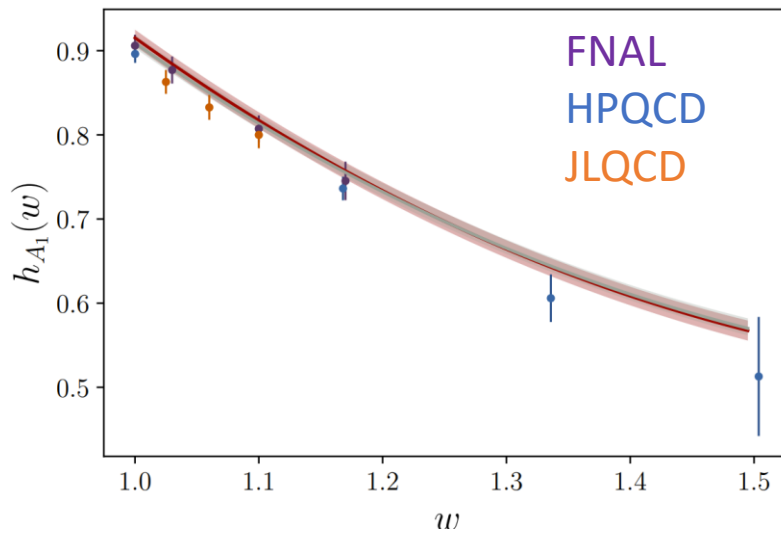
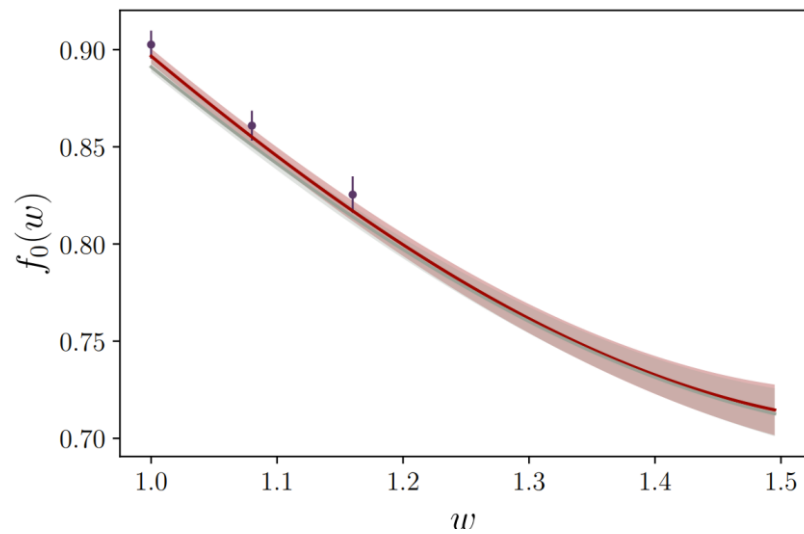


$$w = v_B \cdot v_{D^{(*)}} = \frac{m_B^2 + m_{D^{(*)}}^2 - q^2}{2m_B m_{D^{(*)}}}$$

# Lattice Inputs

BLPRXP '23  $f_{+}/0, h_{A_1}(1), B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$

BLPRXP '23  $f_{+}/0 h_X(w), B \rightarrow D^{(*)} \ell \bar{\nu}_\ell$



# $|V_{cb}|$ from BLPRXP

non-zero recoil lattice inputs:

- $h_{A_1}(w)$  only has good p-values
- full set  $h_X(w)$  results in worse p-values

$$|V_{cb}| = (39.1 \pm 0.5) \times 10^{-3}$$

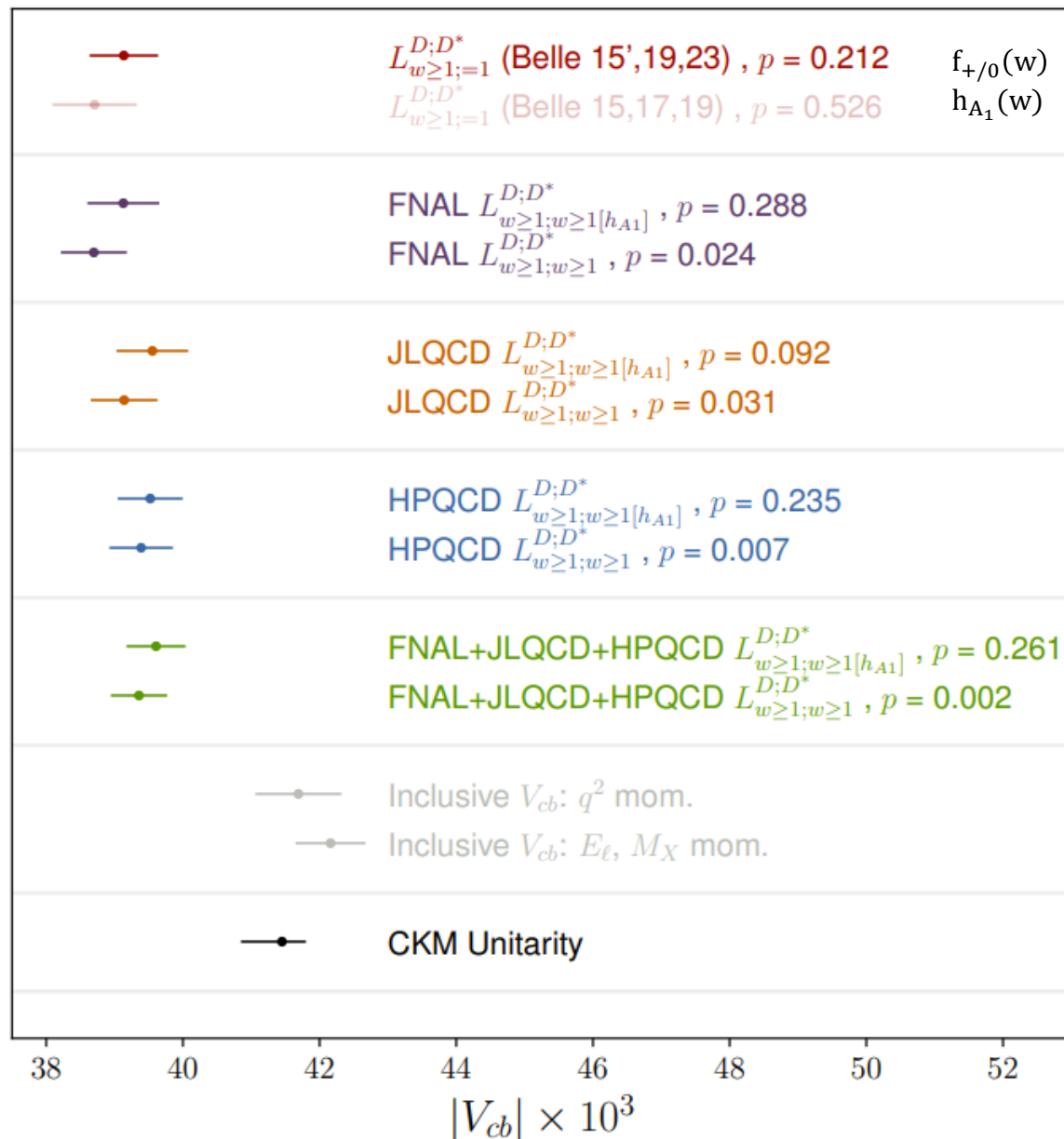
using  $f_{+/0}(w), h_{A_1}(w)$

$$|V_{cb}| = (38.7 \pm 0.6) \times 10^{-3}$$

$$|V_{cb}| = (39.6 \pm 0.4) \times 10^{-3} [h_{A_1}(w)]$$

$$|V_{cb}| = (39.4 \pm 0.4) \times 10^{-3} [h_X(w)]$$

with the same NHT hypothesis

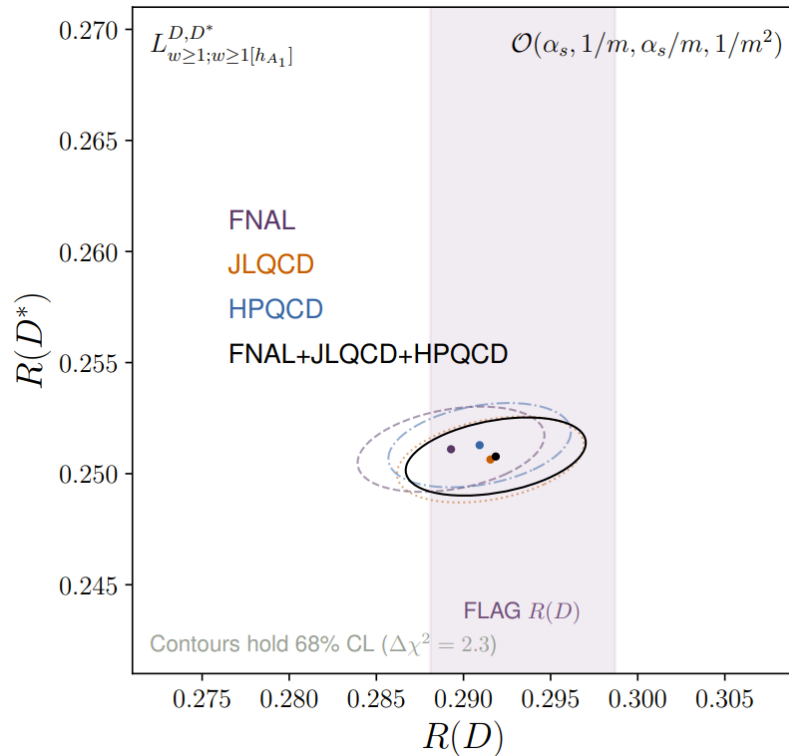




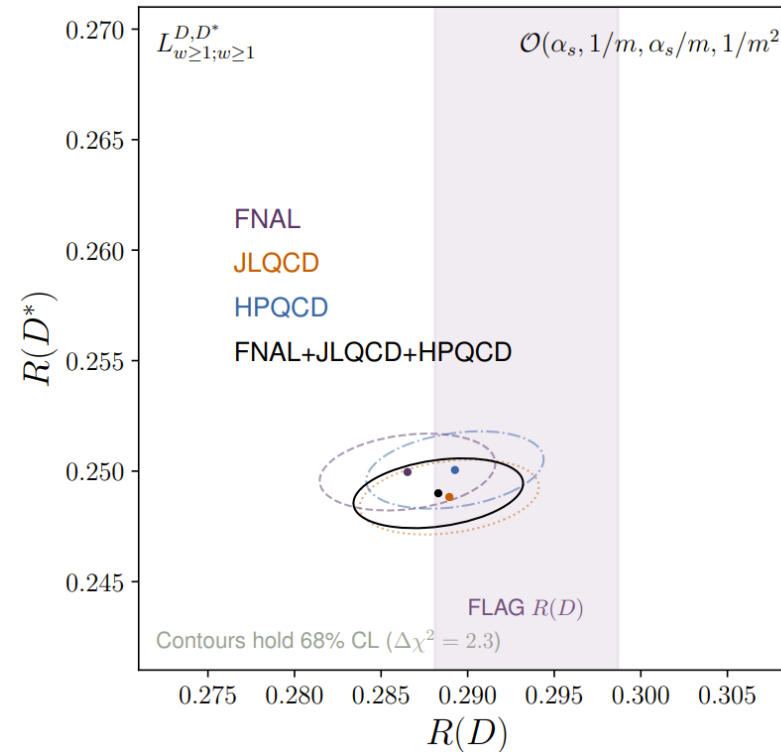
# $R(D^{(*)})$ Predictions – Lattice Inputs

Prediction depends on the lattice input, but is compatible within uncertainties

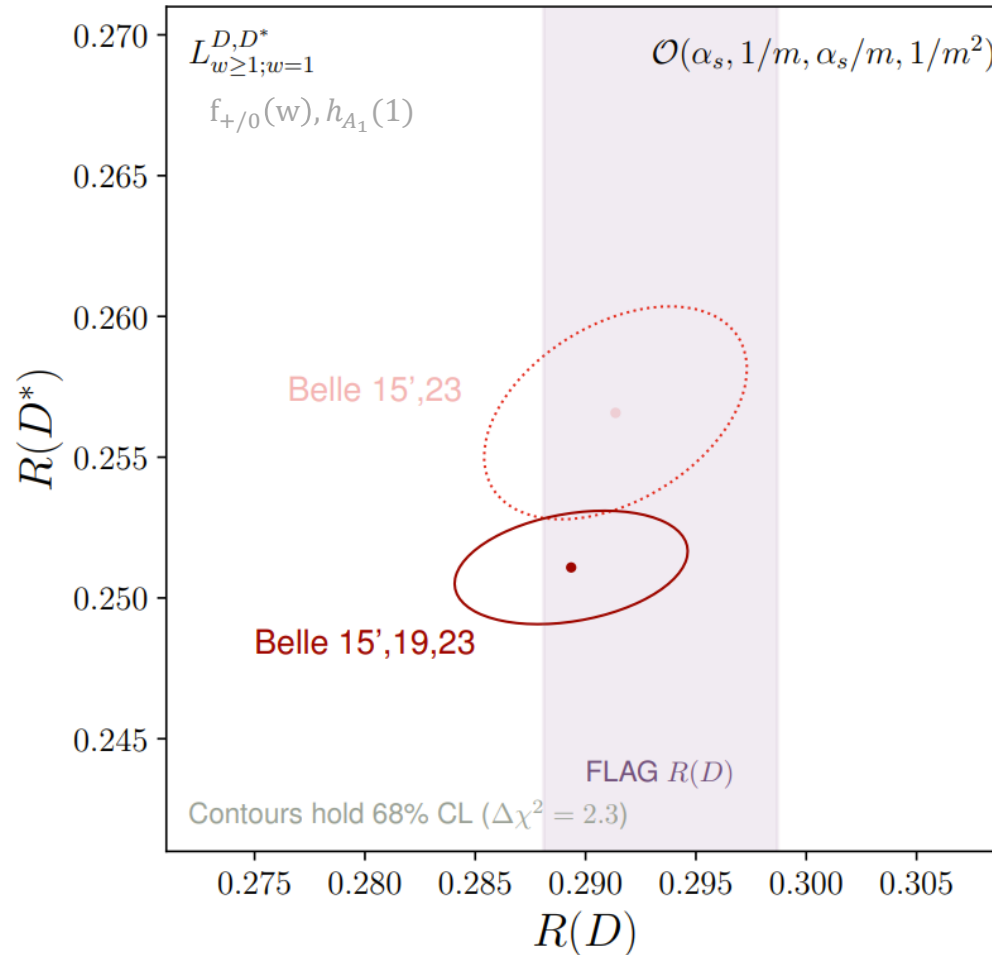
$f_{+/0}(w), h_{A_1}(w)$



$f_{+/0}(w), h_X(w)$

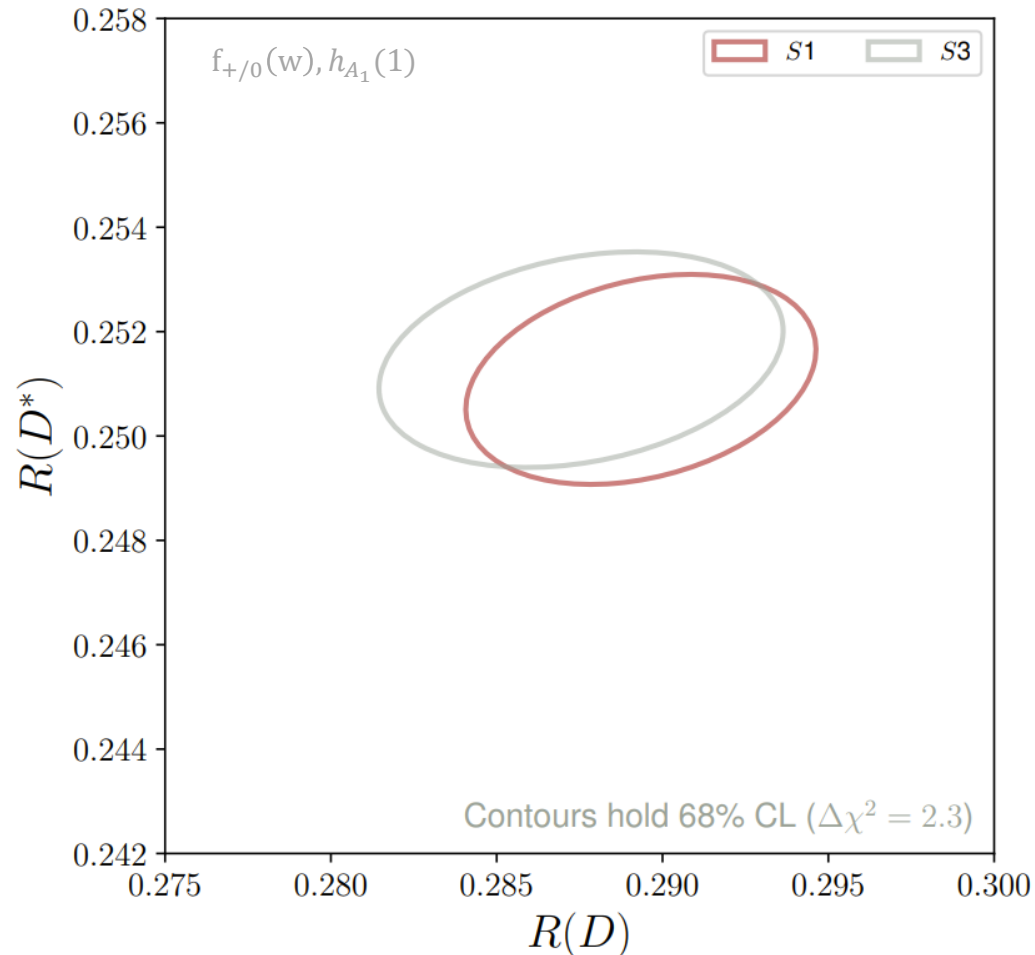


# $R(D^{(*)})$ Predictions – Experimental Inputs



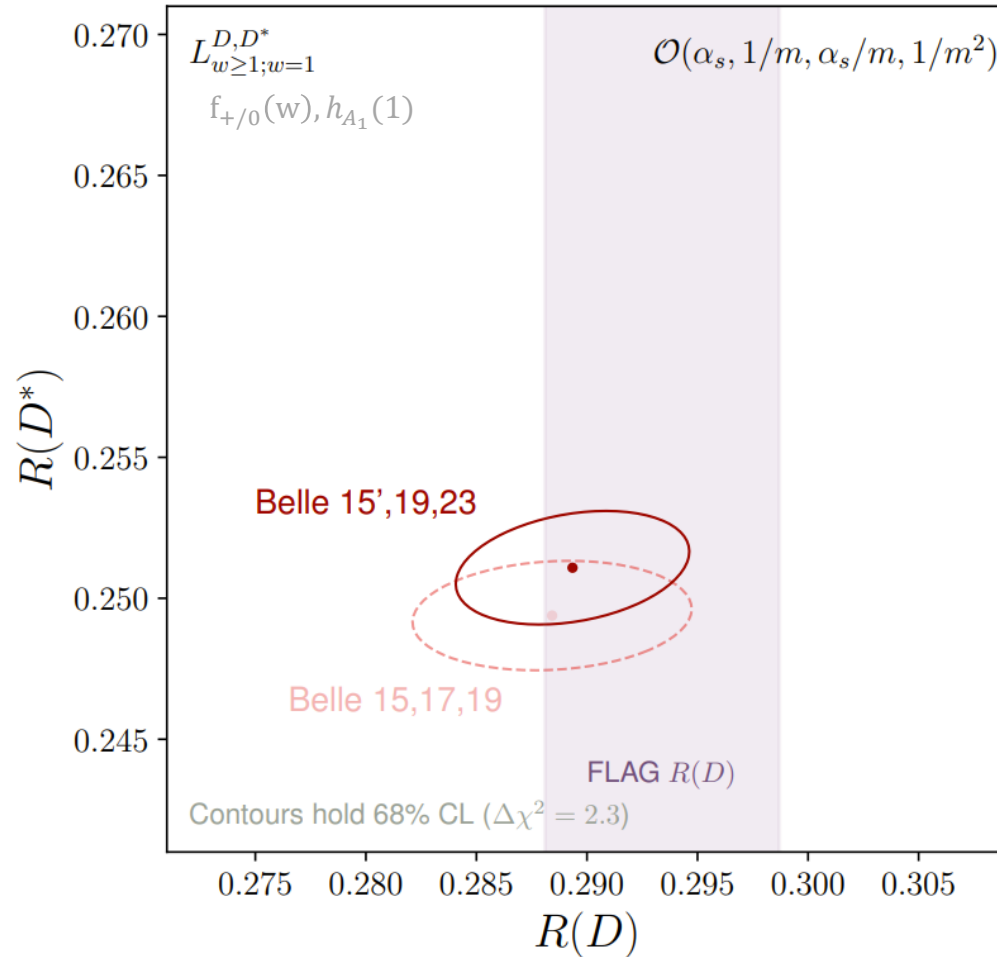
Small tension in the  $R(D^*)$  prediction using different inputs.  
→ Safety factor of 2 applied in our final prediction

# $R(D^{(*)})$ Predictions – Model Dependence



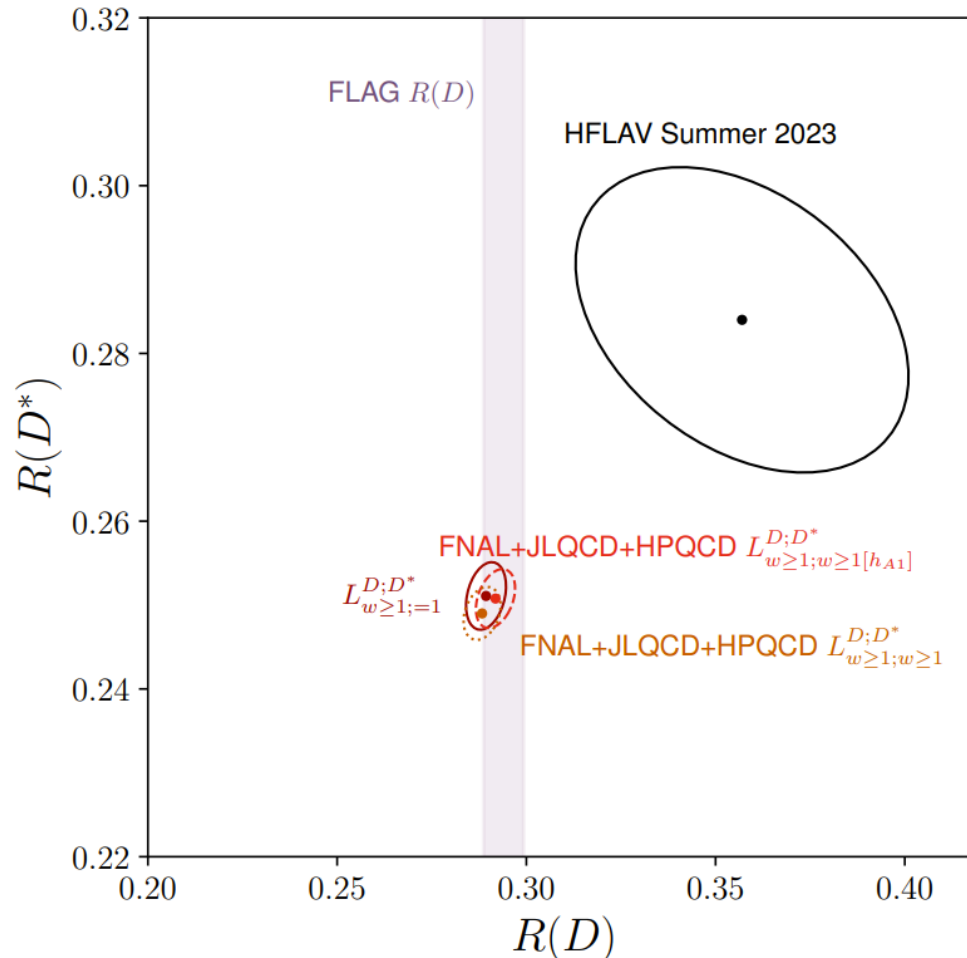
$R(D^{(*)})$  dependence on selected hypothesis from the NHT is small and compatible within the uncertainty.

# $R(D^{(*)})$ Predictions – Impact of Updates



$1\sigma$  shift in  $R(D^*)$

# $R(D^{(*)})$ Predictions – vs. Experiment



The picture with respect to the experimental measurements did not change, still a strong tension!

$$R(D) = 0.289 \pm 0.003$$

$$R(D^*) = 0.251 \pm 0.003$$

$$\rho = 0.286 \text{ using } f_{+/0}(w), h_{A_1}(1)$$

## Summary & Outlook

- $R(D^{(*)})$  tension with the experiment remains
- Include new experimental inputs next
  - Belle (See T08 23.08.2023, 09:12)
  - Belle II (See T08 22.08.2023, 17:55)

# Backup

$$\hat{h}(w) \equiv h(w)/\xi(w)$$

$$\hat{h}_+ = 1 + \hat{\alpha}_s \left[ C_{V_1} + \frac{w+1}{2} (C_{V_2} + C_{V_3}) \right] + \sum_{Q=c,b} \varepsilon_Q \hat{L}_1^{(Q)} - \varepsilon_c \varepsilon_b \hat{M}_8,$$

$$\hat{h}_- = \hat{\alpha}_s \frac{w+1}{2} (C_{V_2} - C_{V_3}) + \varepsilon_c \hat{L}_4^{(c)} - \varepsilon_b \hat{L}_4^{(b)},$$

$$\hat{h}_V = 1 + \hat{\alpha}_s C_{V_1} + \varepsilon_c [\hat{L}_2^{(c)} - \hat{L}_5^{(c)}] + \varepsilon_b [\hat{L}_1^{(b)} - \hat{L}_4^{(b)}] + \varepsilon_c \varepsilon_b \hat{M}_9,$$

$$\hat{h}_{A_1} = 1 + \hat{\alpha}_s C_{A_1} + \varepsilon_c \left( \hat{L}_2^{(c)} - \hat{L}_5^{(c)} \frac{w-1}{w+1} \right) + \varepsilon_b \left( \hat{L}_1^{(b)} - \hat{L}_4^{(b)} \frac{w-1}{w+1} \right) + \varepsilon_c \varepsilon_b \hat{M}_9,$$

$$\hat{h}_{A_2} = \hat{\alpha}_s C_{A_2} + \varepsilon_c [\hat{L}_3^{(c)} + \hat{L}_6^{(c)}] - \varepsilon_c \varepsilon_b \hat{M}_{10},$$

$$\begin{aligned} \hat{h}_{A_3} = 1 + \hat{\alpha}_s (C_{A_1} + C_{A_3}) + \varepsilon_c [\hat{L}_2^{(c)} - \hat{L}_3^{(c)} + \hat{L}_6^{(c)} - \hat{L}_5^{(c)}] + \varepsilon_b [\hat{L}_1^{(b)} - \hat{L}_4^{(b)}] \\ + \varepsilon_c \varepsilon_b [\hat{M}_9 + \hat{M}_{10}], \end{aligned}$$

*Leading*

$$\mathcal{O}\left(\frac{1}{m_{b,c}^{(2)}}\right)$$

$$\mathcal{O}\left(\frac{1}{m_b m_c}\right)$$

## Parametric form of the Leading Order Isgur-Wise function

Optimized conformal variable

$$z_*(w) = \frac{\sqrt{w+1} - \sqrt{2}a}{\sqrt{w+1} + \sqrt{2}a}, \quad \text{with} \quad a^2 \equiv \frac{w_0 + 1}{2} = \frac{1 + r_D}{2\sqrt{r_D}}. \quad (1)$$

Leading order Isgur-Wise function parametrized as polynomial in  $z_*$ .

$$\frac{\xi(w)}{\xi(w_0)} = 1 - 8a^2\rho_*^2 z_* + 16(2c_* a^4 - \rho_*^2 a^2) z_*^2 + \dots \quad (2)$$

No sensitivity to cubic terms given the current experimental and lattice data.



## Parametric form of $\mathcal{G}(1)$

$$\frac{\mathcal{G}(w)}{\mathcal{G}(w_0)} = 1 - 8a^2 \tilde{\rho}_*^2 z_* + 16(2\tilde{c}_* a^4 - \tilde{\rho}_*^2 a^2) z_*^2 + \dots, \quad (3)$$

Major axis of doom:

$$\tilde{c}_* \simeq [(V_{21} + 16a^2)\tilde{\rho}_*^2 - V_{20}]/32a^4, \quad (4)$$

$$\rho_*^2 = \tilde{\rho}_*^2 + \frac{\hat{h}'_+(w_0) - \rho_D \hat{h}'_-(w_0)}{\hat{h}_+(w_0) - \rho_D \hat{h}_-(w_0)}, \quad (5)$$

$$c_* = \tilde{c}_* + 2\rho_*^2(\rho_*^2 - \tilde{\rho}_*^2) - \frac{\hat{h}''_+(w_0) - \rho_D \hat{h}''_-(w_0)}{\hat{h}_+(w_0) - \rho_D \hat{h}_-(w_0)},$$