

An Overview of the CMS High Granularity Calorimeter

EPS-HEP 2023 - Hamburg

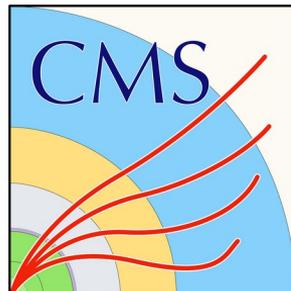
Gabriele Milella on behalf of the CMS collaboration

23.08.2023



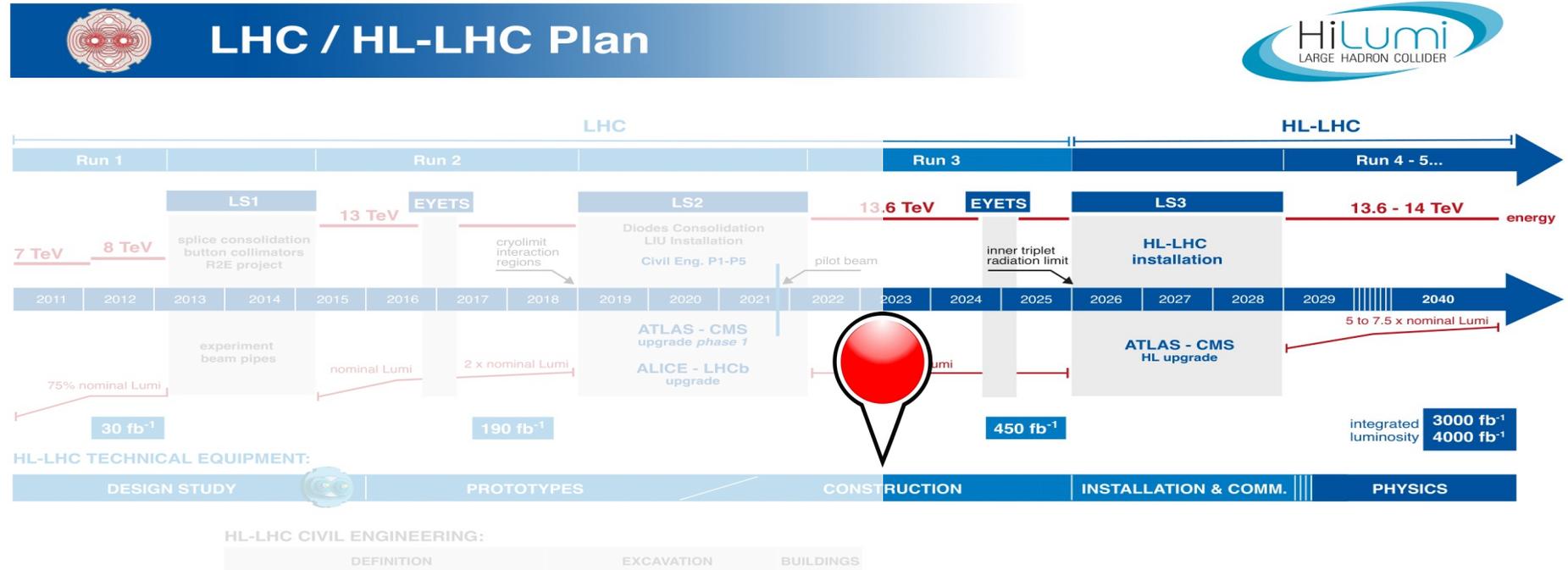
Universität Hamburg

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Future at CERN: HL-LHC

Where we are



HL-LHC - Plan (Feb '22)

Future at CERN: HL-LHC

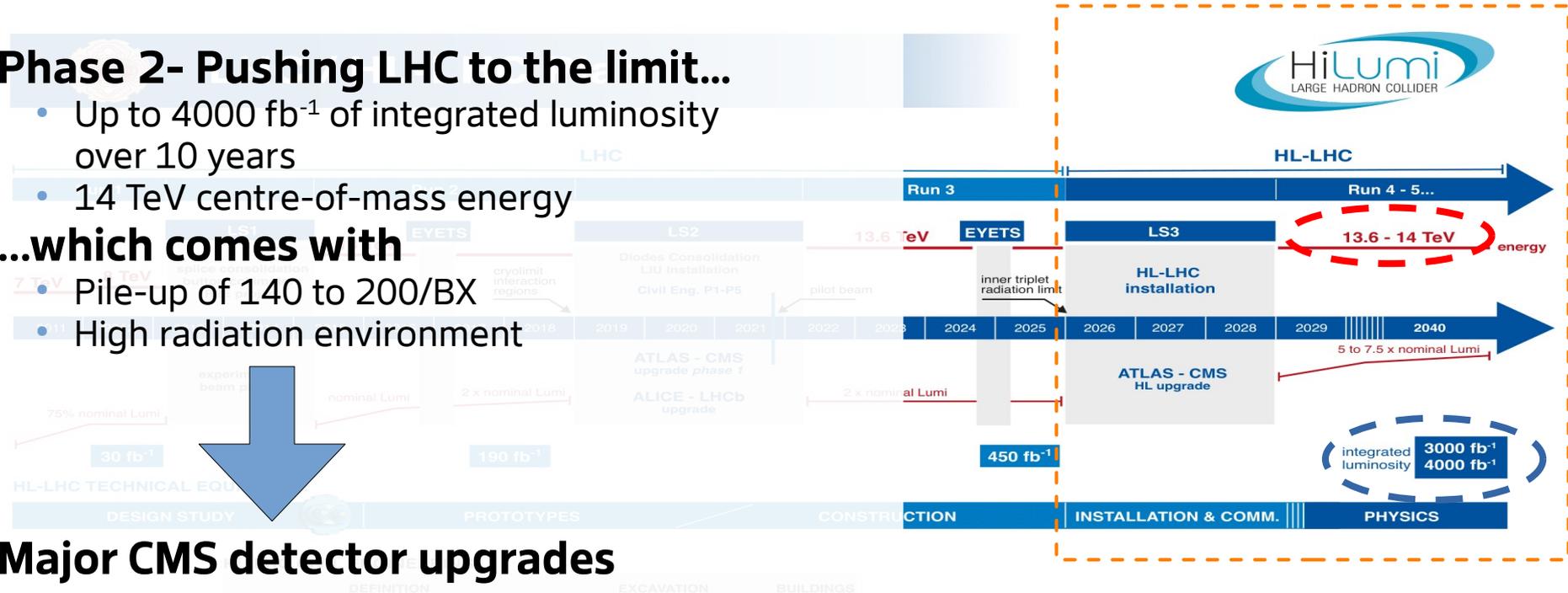
A "bright" future

Phase 2- Pushing LHC to the limit...

- Up to 4000 fb^{-1} of integrated luminosity over 10 years
- 14 TeV centre-of-mass energy

...which comes with

- Pile-up of 140 to 200/BX
- High radiation environment



Major CMS detector upgrades

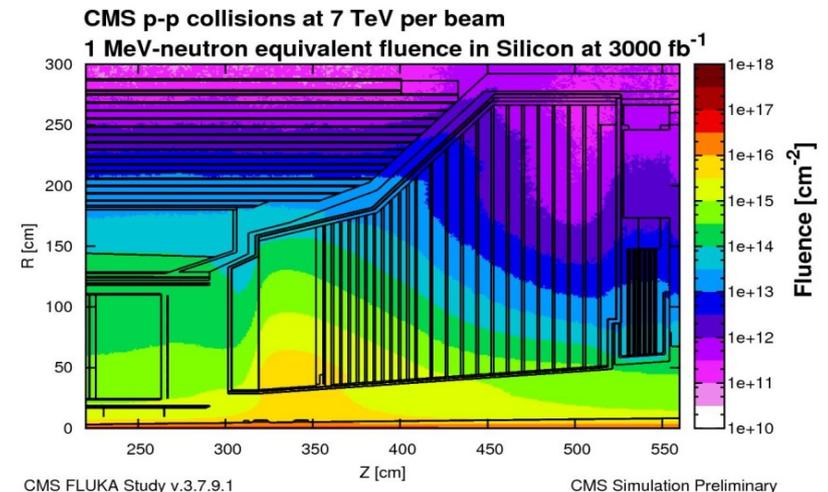
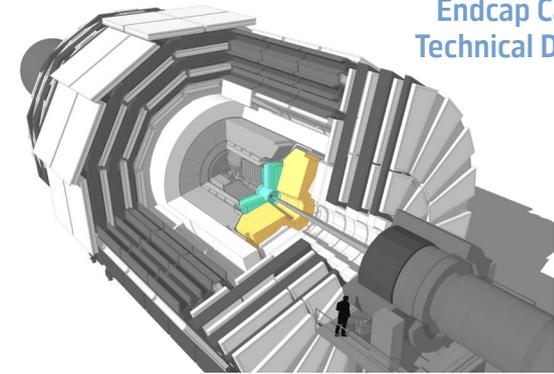
HL-LHC - Plan (Feb '22)

CMS during HL-LHC

The challenges in the forward regions

- Radiation levels **equivalent** as in the region of the inner pixel trackers
 - Highest fluence of 10^{16} n_{eq}/cm² (2 MGy) after 3000 fb⁻¹
 - Significant **engineering demands**
 - Dense calorimeter in tight space constraints
 - Fine lateral and longitudinal granularity
 - **Unprecedented** number of trigger and data information
 - Online pileup mitigation needed
 - Dedicated offline reconstruction algorithm
- Existing endcap calorimeter to be replaced by the **High Granularity Calorimeter**

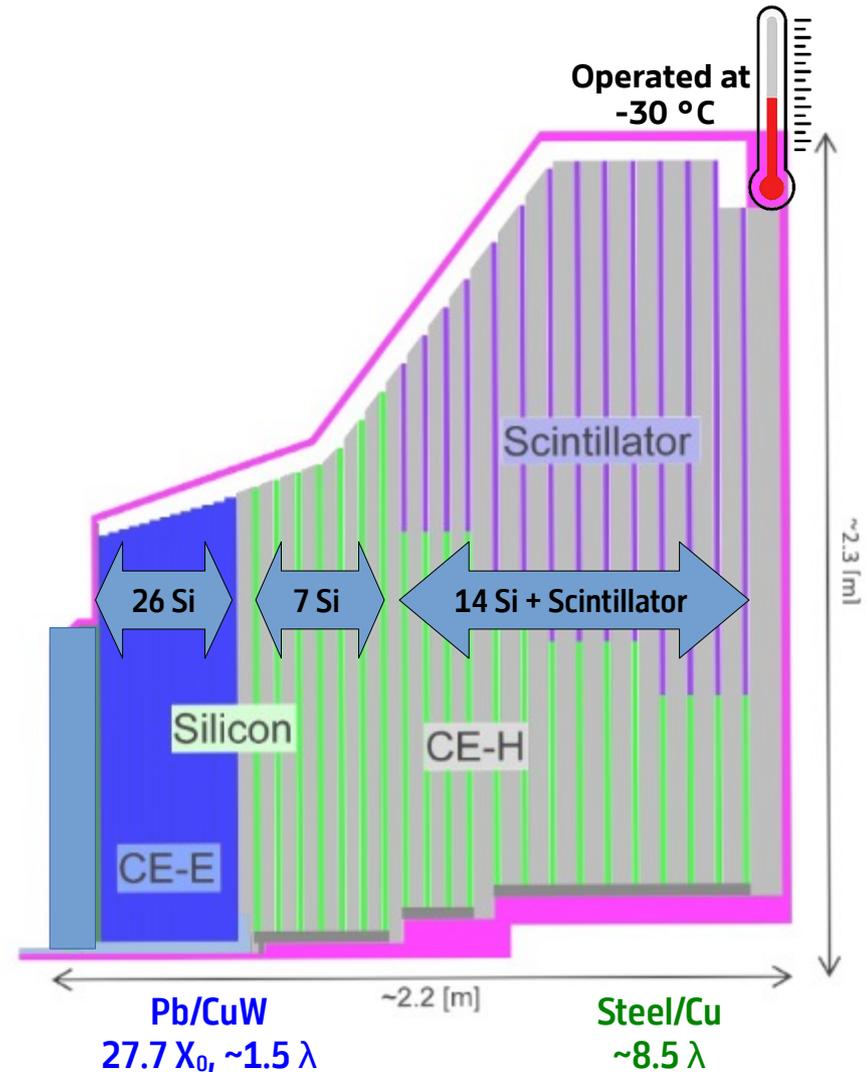
"The Phase-2 Upgrade of the CMS Endcap Calorimeter"
Technical Design Report



The HGCAL Project

5D Imaging Calorimeter

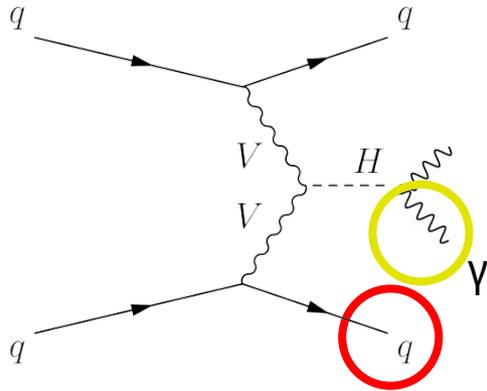
- High Granularity Sampling Calorimeter
 - 5D imaging calorimeter:
 - **3D spatial granularity, energy, timing information**
 - Two separated sections in one single detector
- **Active Materials**
 - **Silicon Sensors (CE-E and CE-H)**
 - Hexagonal 8" wafers
 - **6M pads** (~620 m²)
 - **Plastic Scintillators with SiPM readout (CE-H)**
 - **240k** scintillator tiles (~370 m²)
- **Passive materials**
 - Lead absorber plates, copper cooling plates, and CuW baseplates
 - Compact and dense object → 225 T



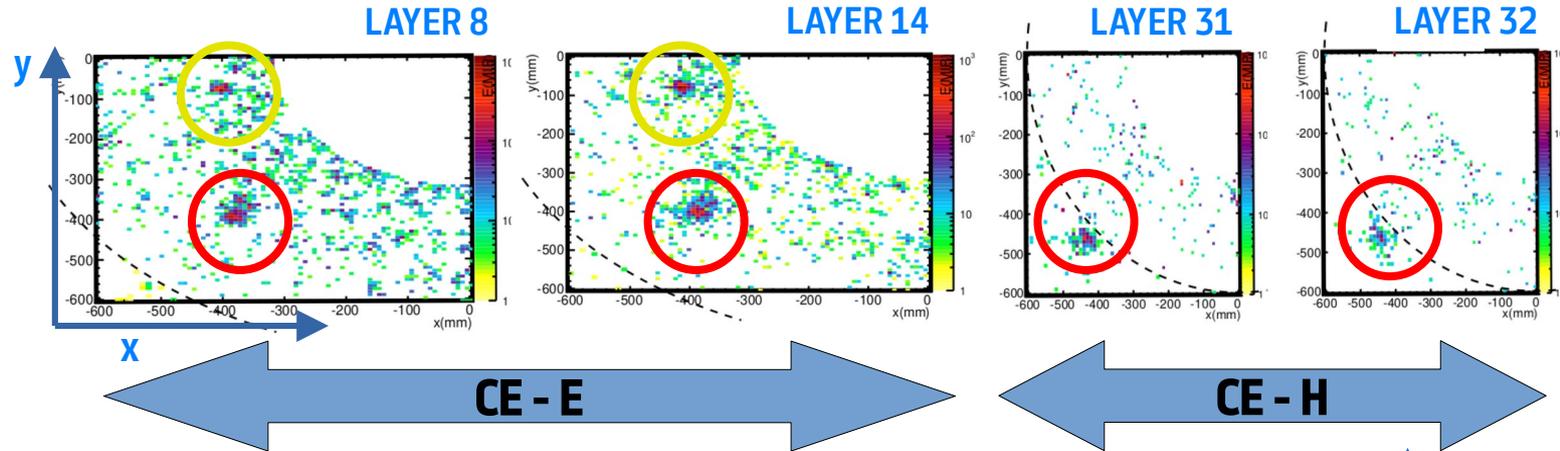
HGCAL: 5D Imaging Calorimeter

Forward jet signatures from VBF

VBF $H \rightarrow \gamma\gamma + 200$ PU



"The Phase-2 Upgrade of the CMS
Endcap Calorimeter"
Technical Design Report

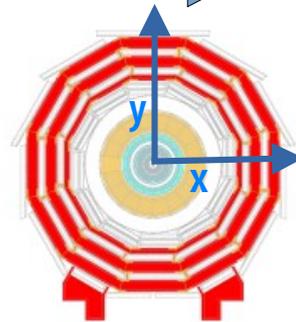


Spatial 3D Granularity

- High lateral and longitudinal granularity
- Two showers can be clearly separated

Energy Measurements

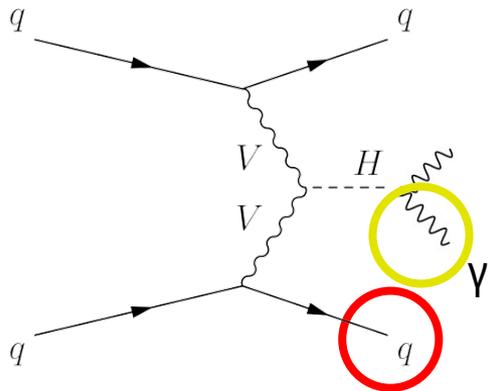
- Large dynamic range per cells $\rightarrow 10^5$
- From MIP calibration to showers



HGCAL: 5D Imaging Calorimeter

Forward jet signatures from VBF

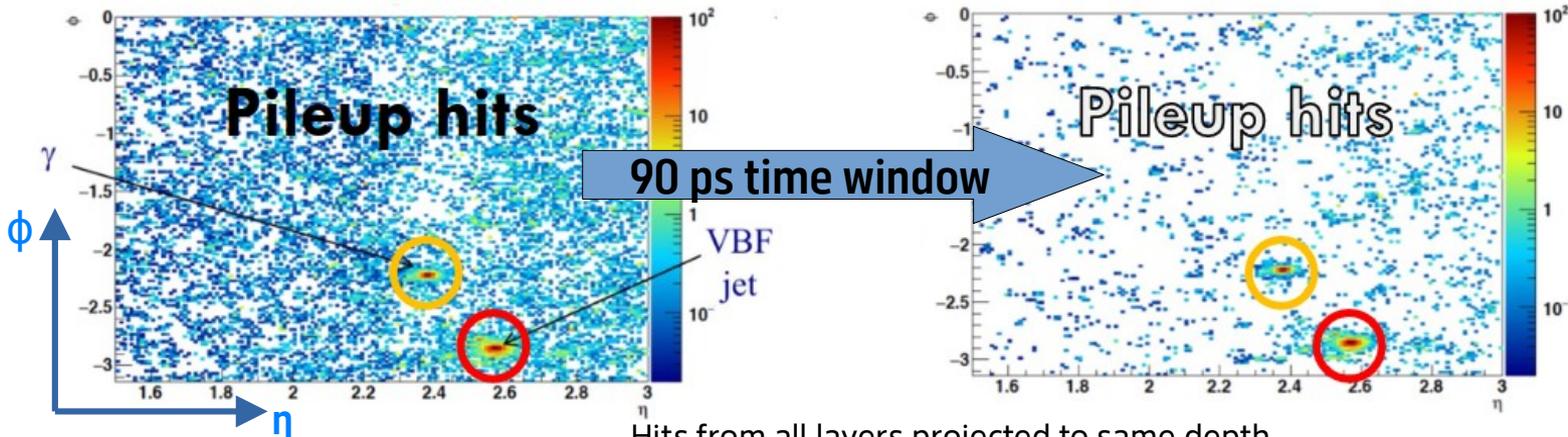
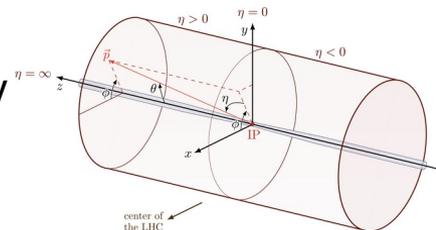
VBF $H \rightarrow \gamma\gamma + 200$ PU



"The Phase-2 Upgrade of the CMS Endcap Calorimeter" Technical Design Report

Timing Information & Resolution

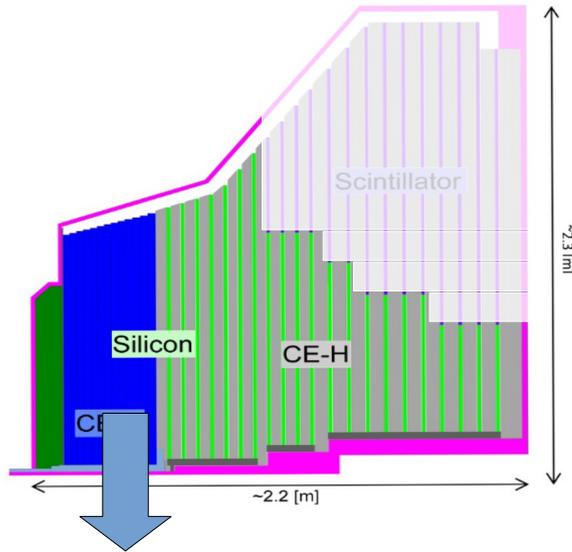
- Ability to contribute to the level-1 CMS trigger (L1)
→ Pileup mitigation
- 20 ps per channel of targeted resolution
 - 100% time-tagging efficiency for photon with $p_T \sim 5$ GeV
 - Independent from detector ageing



Hits from all layers projected to same depth

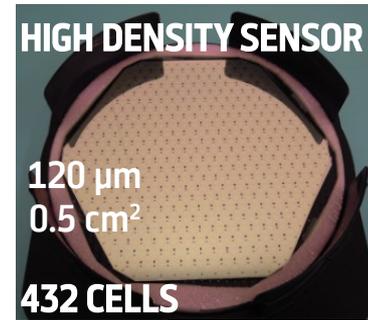
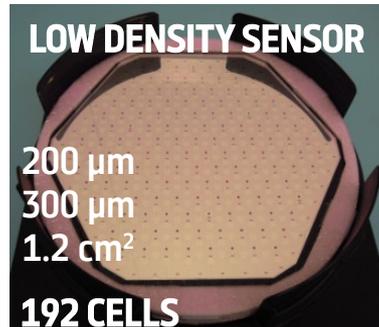
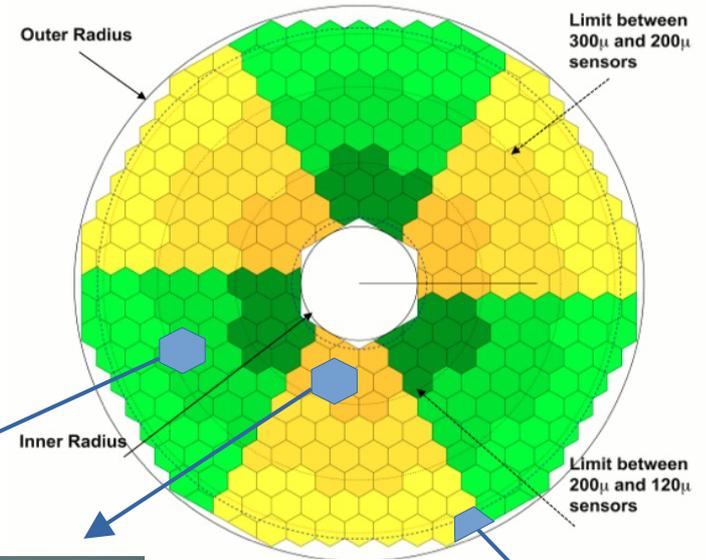
Active Material - Silicon

Silicon Sensors



Radiation levels similar to pixel tracker

- **8" hexagonal wafers**
- Different cells sizes
 - Different e.m./hadronic lateral shower development
 - Same cell capacitance
- Partials design
 - Circular endcap from hexagons

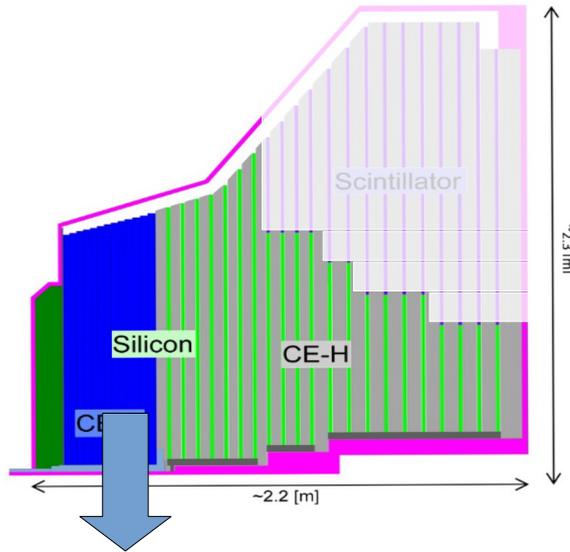


"Measurement of silicon-sensor prototypes for the CMS High-Granularity Calorimeter"

ICHEP 2022

Active Material - Silicon

Silicon Module



Radiation levels similar to
pixel tracker

Hexaboard PCB

→ Hosting the readout chip

Silicon Sensor

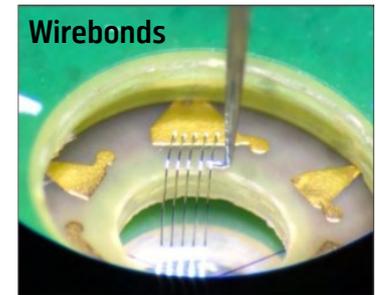
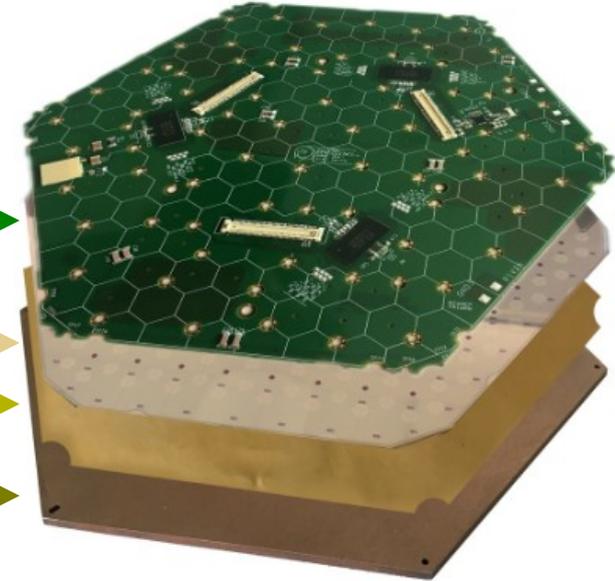
Metalized Kapton Sheet

→ Bias supply to sensor back side

CuW BasePlate*

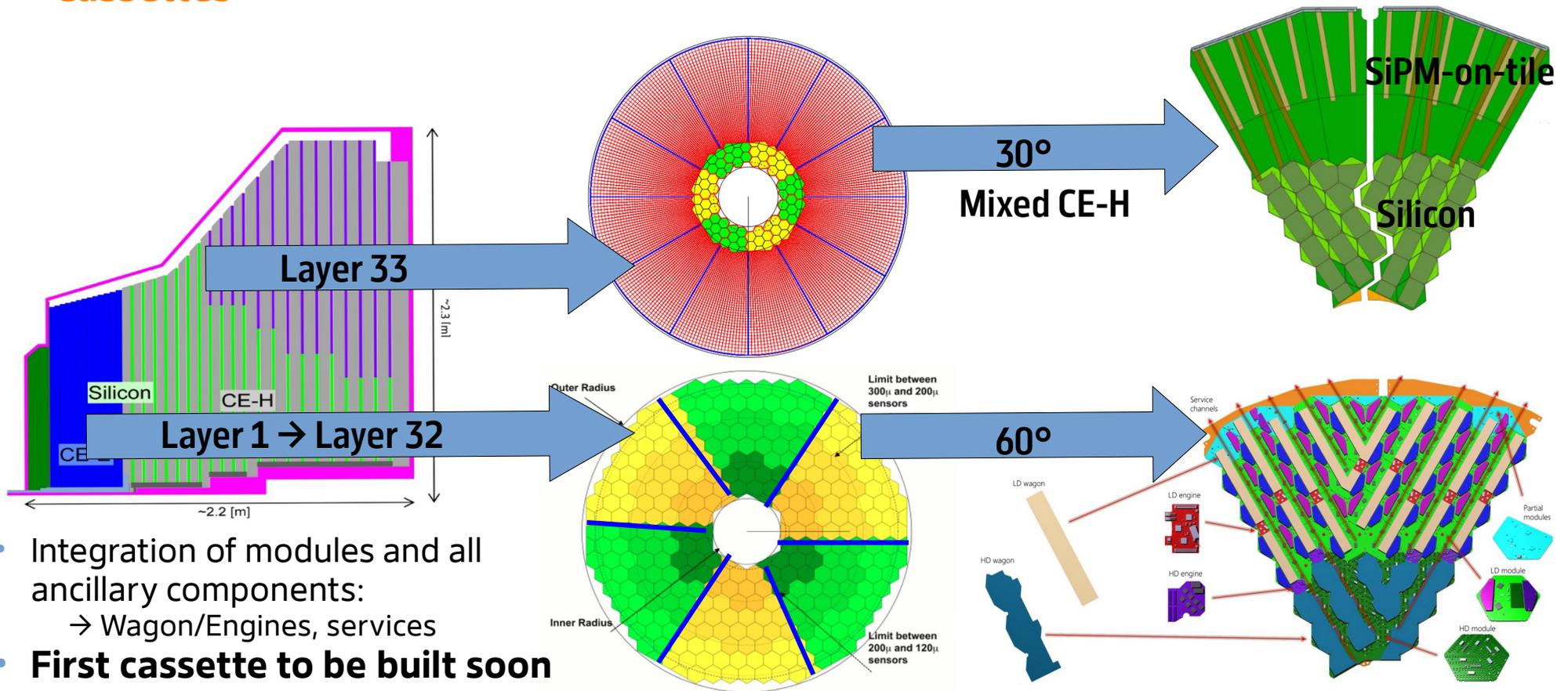
→ Rigidity, contributes to the
absorber material

*PCB baseplate in the
hadronic sector



Active Material - Integration

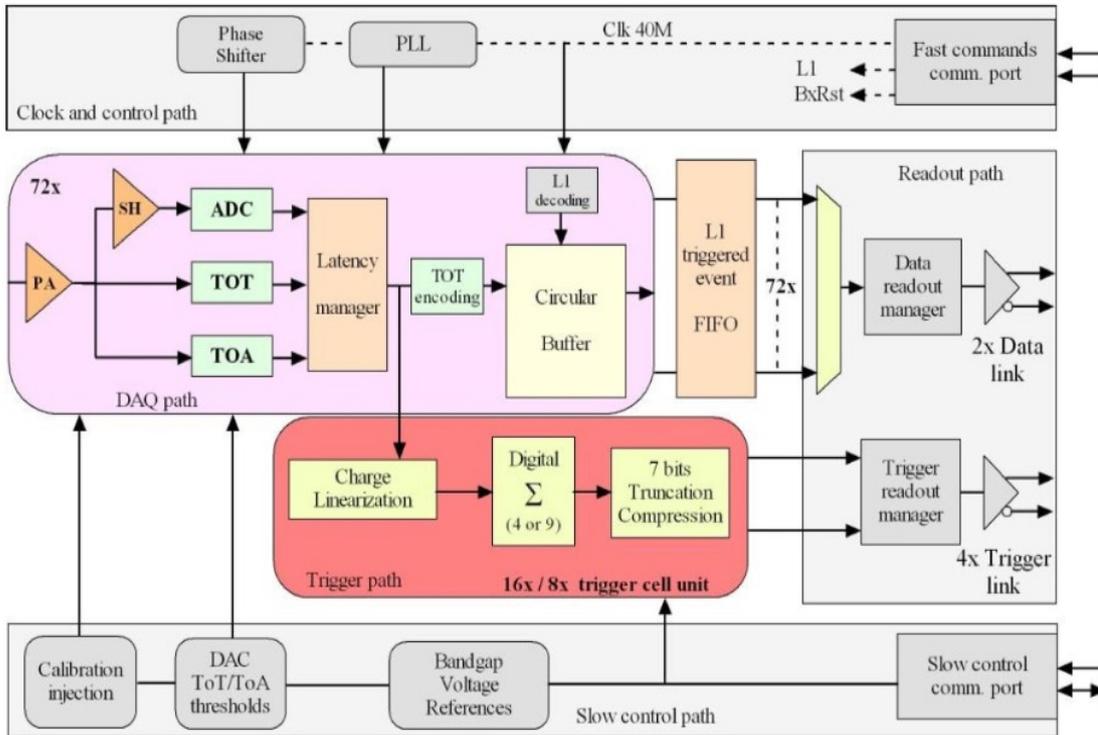
Cassettes



- Integration of modules and all ancillary components:
→ Wagon/Engines, services
- **First cassette to be built soon**

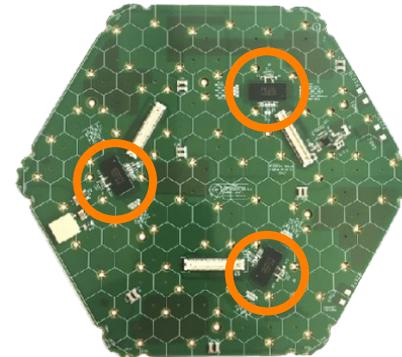
HGCAL Readout Chip

HGCROC

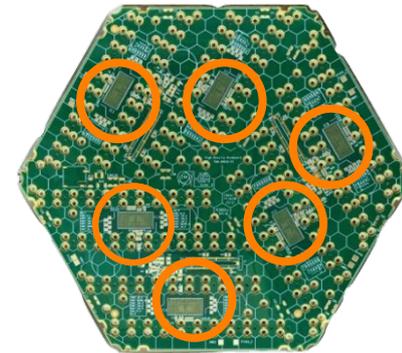


"The Phase-2 Upgrade of the CMS
Endcap Calorimeter"
Technical Design Report

- Front-end ASIC component
- **Charge** and **time** measurements
- Same design for Si and Scintillator with adaptations
- Two halves chip with 78 channels



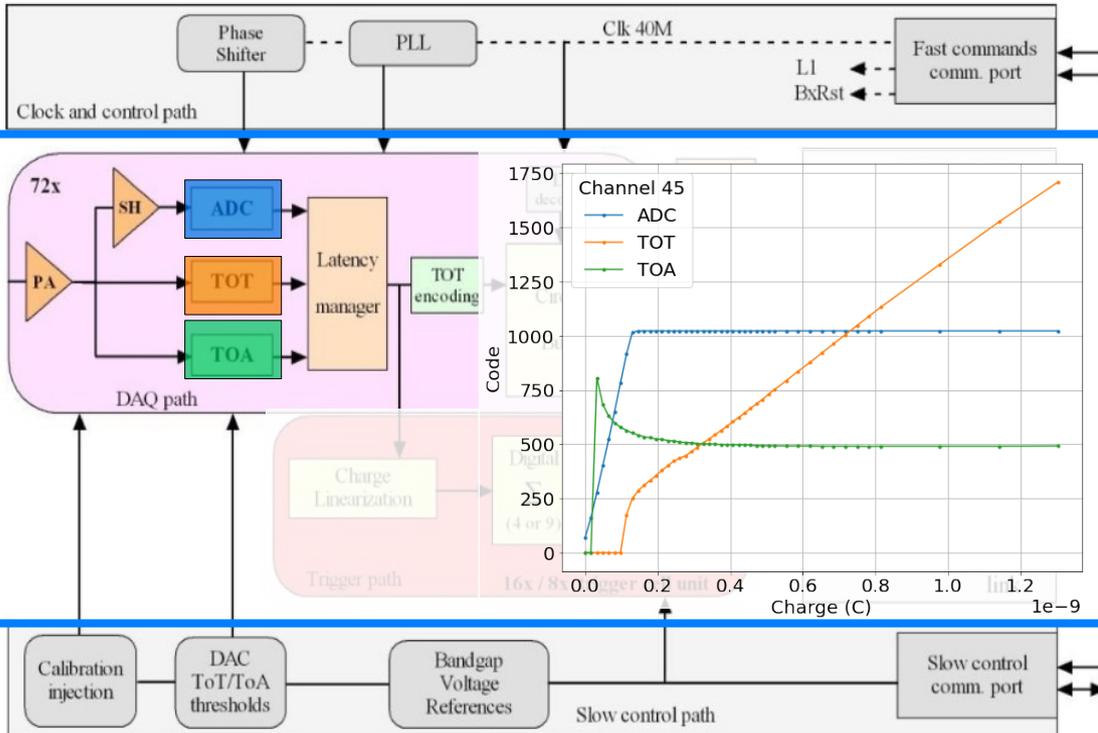
Low Density
3 ROC



High Density
6 ROC

HGCAL Readout Chip

HGCROC



"The Phase-2 Upgrade of the CMS
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Technical Design Report

- Synchronous fast control:
→ Custom 320 MHz (8 bit at 40 MHz)

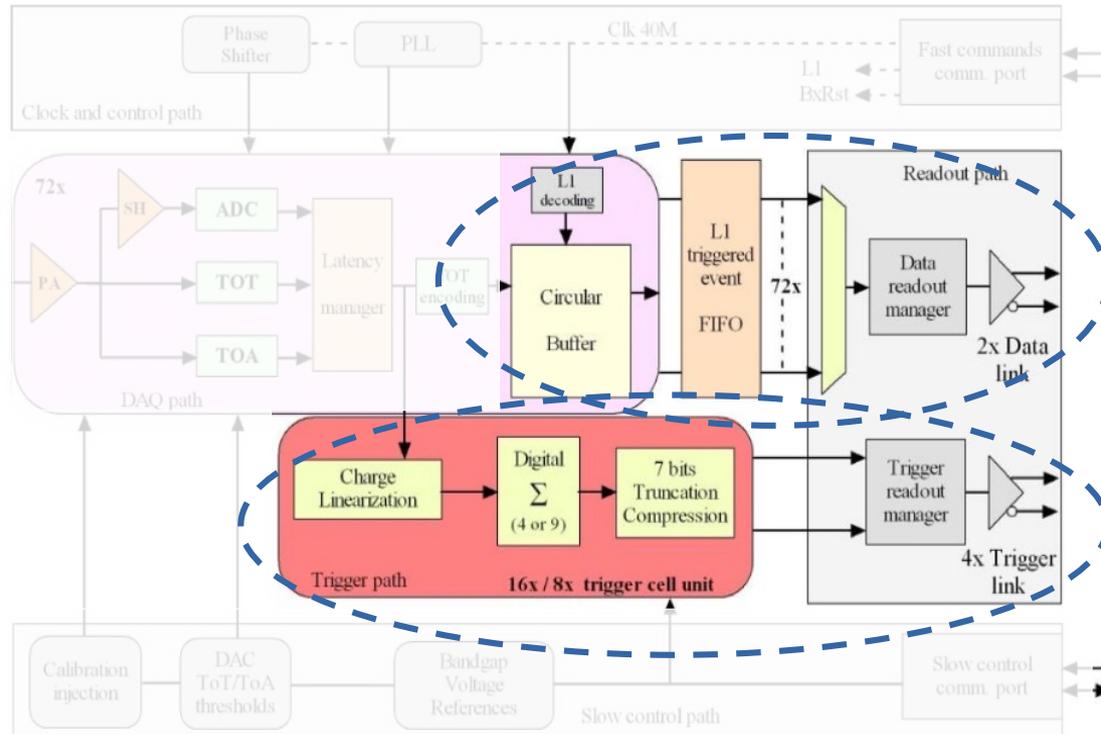
- **Charge/Energy:**
 - **ADC:** 10-bit 40 MHz → **MIPs**
 - **TOT:** 12-bit → **showers**

- **Time:**
 - **TOA:** 10-bit with 25 ps LSB

- Asynchronous slow control
→ I2C

HGCAL Readout Chip

HGCROC



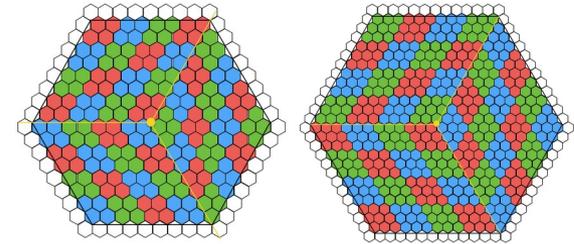
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DAQ Path

→ Data packets after receiving level-1 trigger

Trigger Path:

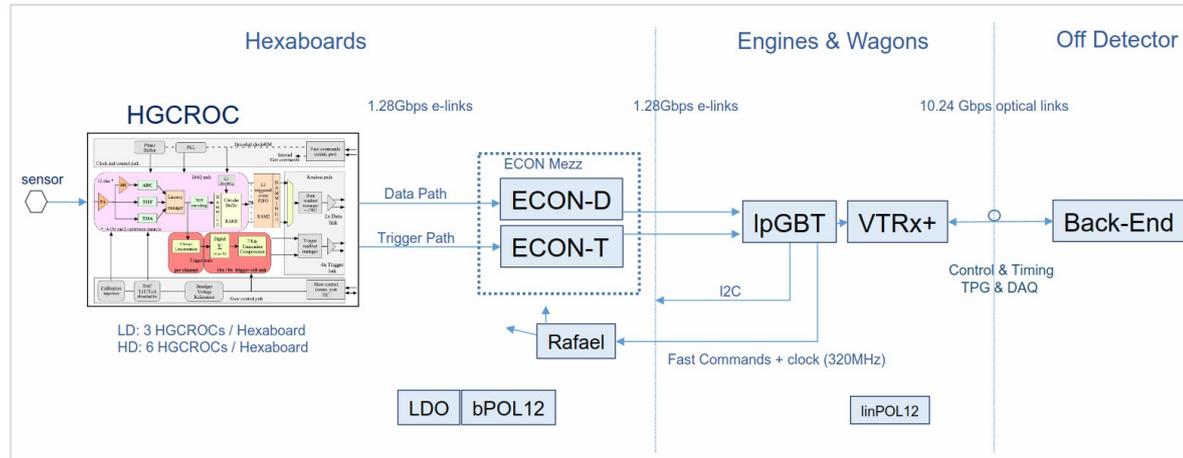
- Sum of 4/9 channels
- 1.28 Gb/s outputs



More details in [J.Motta](#) and [M.Chiusi](#) posters

HGCAL Full Readout Chain

Signal Flow



ECONs

- Concentrator chips
- ECON-T:
 - Select/compress trigger data
 - Transmission every 40 MHz
- ECON-D:
 - Process full resolution data after trigger
 - Perform zero suppression
 - Transmission at 750 kHz

Engines/Wagons

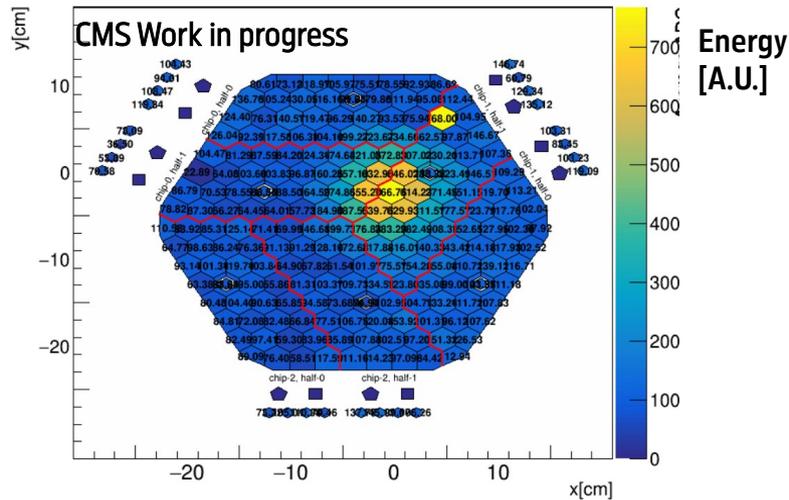
- Active/Passive elements
- Hosting IpGBT/VTRX
 - Transmission to DAQ back-end
 - Clock distribution
 - Fast commands/Configurations

HGCAL Full Readout Chain

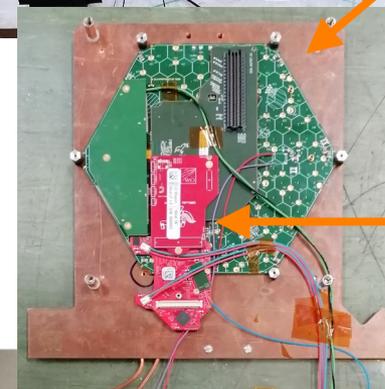
First test of the readout chain

Testbeam at CERN - August 02-09

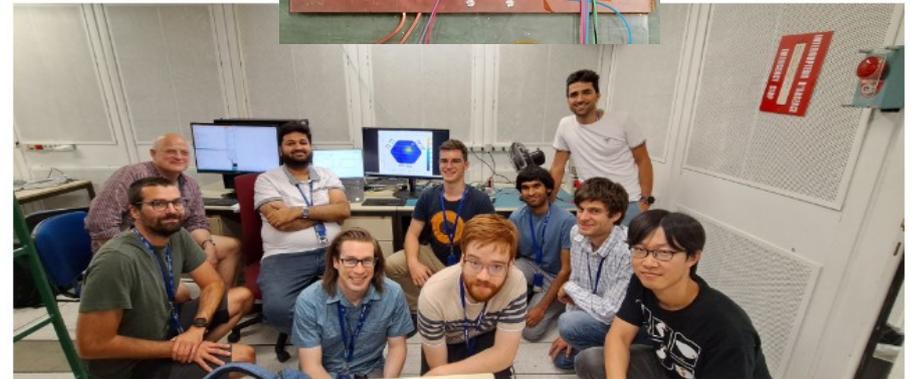
- Two low density silicon modules tested with full readout chain
- ECON-T/D emulators



Full chain with ECONs ASIC to be tested in September testbeam at CERN

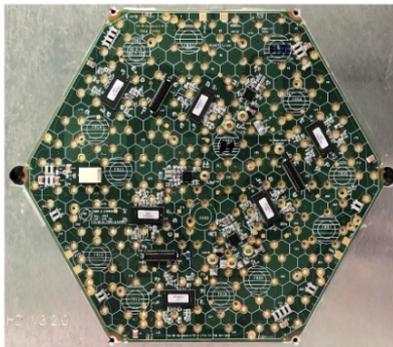


Engines&Wagons

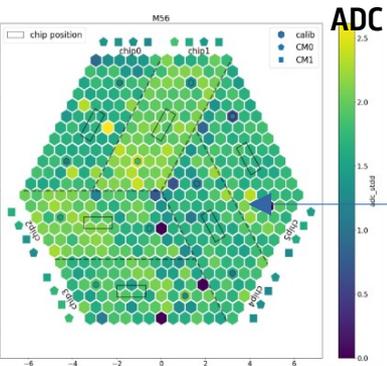


System Validation

Silicon and SiPM-on-tile modules



CMS Work in Progress



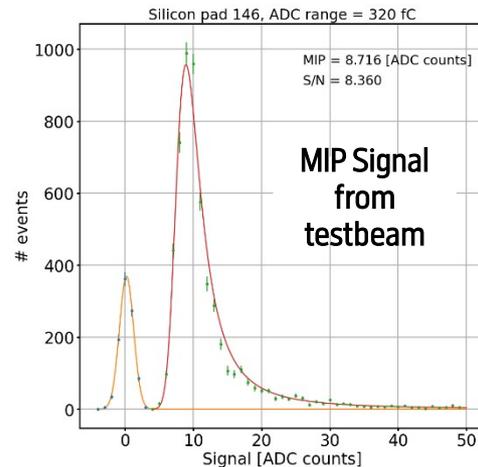
Low Density

→ Noise, S/N studies in testbeams

First **high density** module assembled and tested in December

→ Performance similar to the low density modules

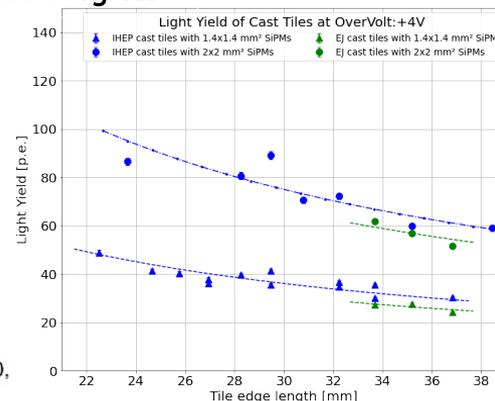
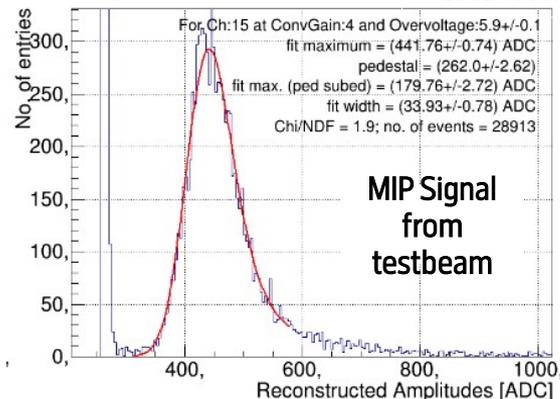
CMS Work in Progress



SiPM-on-tile

- Closed to final tileboard module commissioned and tested in test beams
 - S/N studies
 - Scintillator light yield calculations

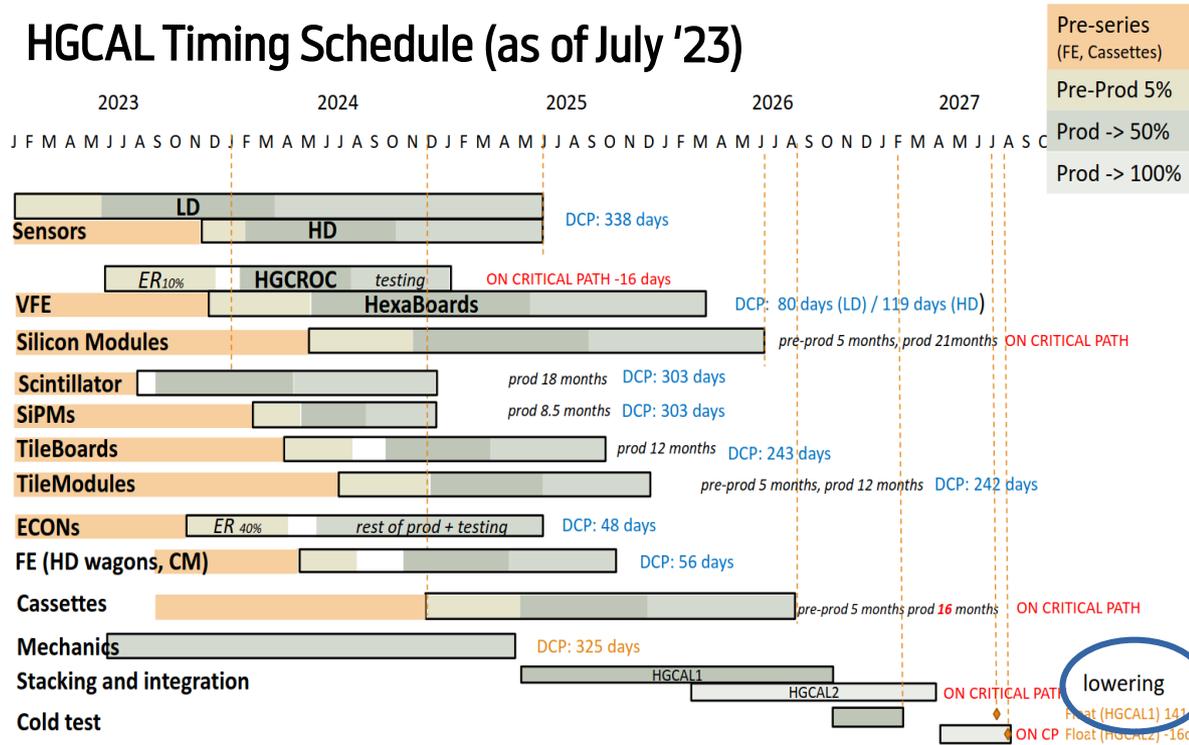
CMS Work in Progress



Status of the Project

Preparation of mass production

HGCAL Timing Schedule (as of July '23)



- Pre-series (FE, Cassettes)
- Pre-Prod 5%
- Prod -> 50%
- Prod -> 100%

- **Pre-series components**
 - Finalizing the design
 - Qualifying manufacturer or process
 - Not included in the installation
 - Preparation for pre-production
- **Pre-production (2024)**
 - 5% of the total production
 - Intended for the installation

In time for the scheduled lowering in 2027

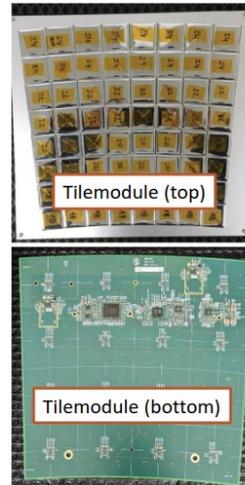
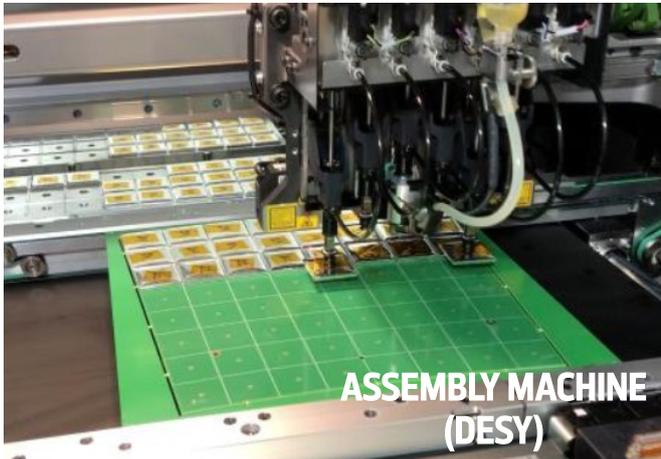
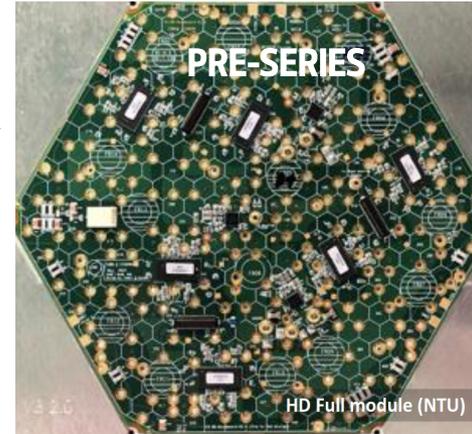
lowering

Preparation of Mass Production

Tasks and Workflows

Silicon modules

- 26k modules in total
- Built and tested in 5 Module Assembly Centres (**MACs**)



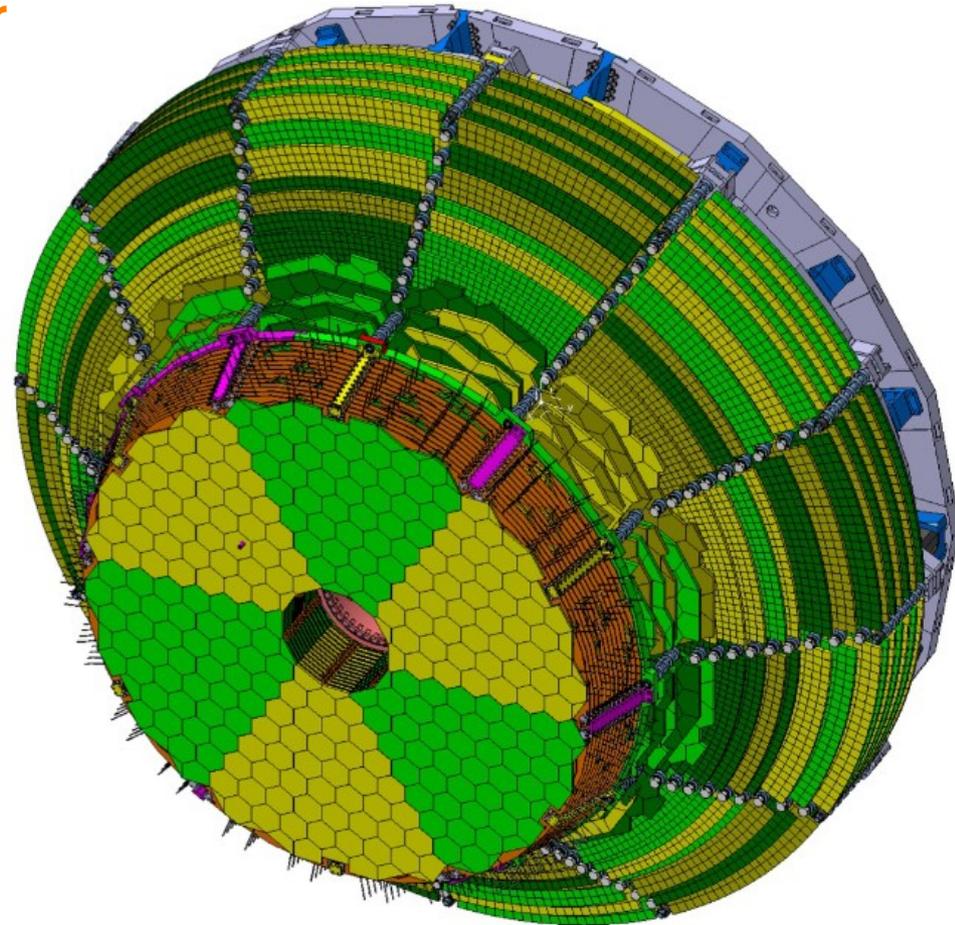
SiPM-on-tile modules

- 240k SiPMs/tiles in total
- 3744 Tilemodules in total
- Built and tested in 2 Tilemodules Assembly Centers (**TACs**)

Outlook

Journey to the CMS 5D Imaging Calorimeter

- **Cutting-edge detector design**
 - High spatial granularity detector
 - Precise timing for showers
 - Energy measurements from MIP to showers
- **>6M silicon & >200k scintillator channels in harsh environment**
- **Important progress and ongoing developments**
 - System performance in testbeams and lab tests
 - Results in agreement with expectations
 - Full readout chain with all ASICs to be tested soon
 - Readiness for mass production
 - Most components close to final design



Outlook

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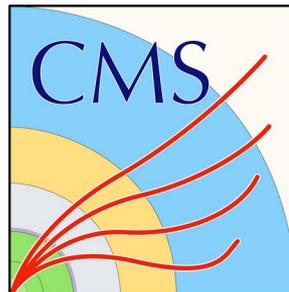


BACKUP



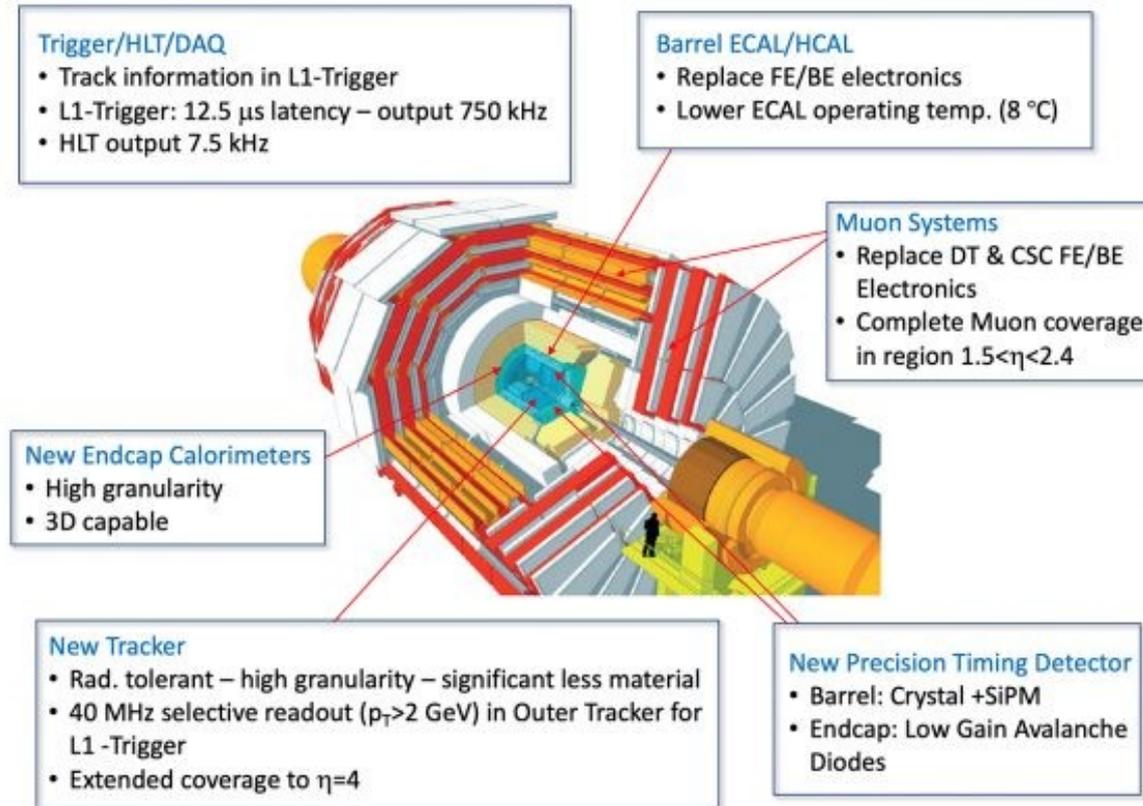
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CMS during HL-LHC

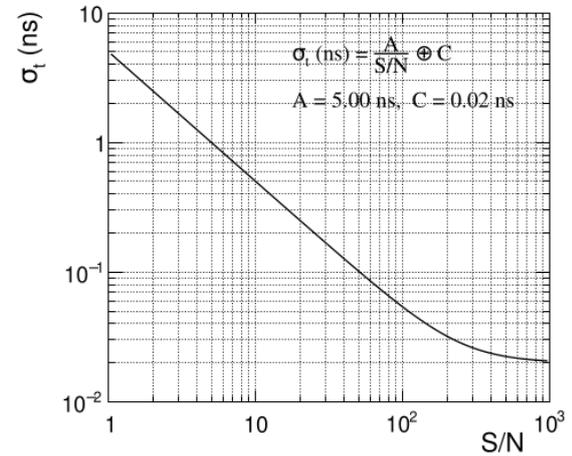
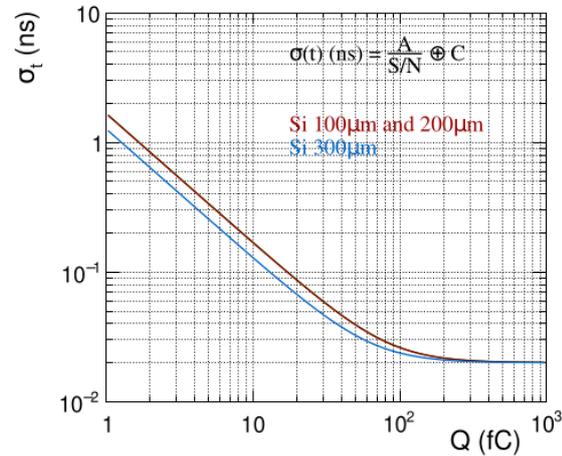
Upgrades overview



Timing Resolution

Specifications

- $\sigma_t = \sigma_{\text{jitter}} \oplus \sigma_{\text{floor}}$
 - $\sigma_{\text{jitter}} = A / (S/N)$, $\sigma_{\text{floor}} \sim 20 \text{ ps}$
 - $20 \text{ ps} \rightarrow$ targeted resolution
- Timing resolution **not** varying significantly with sensor thickness or radiation when the resolution is measured as a function of S/N



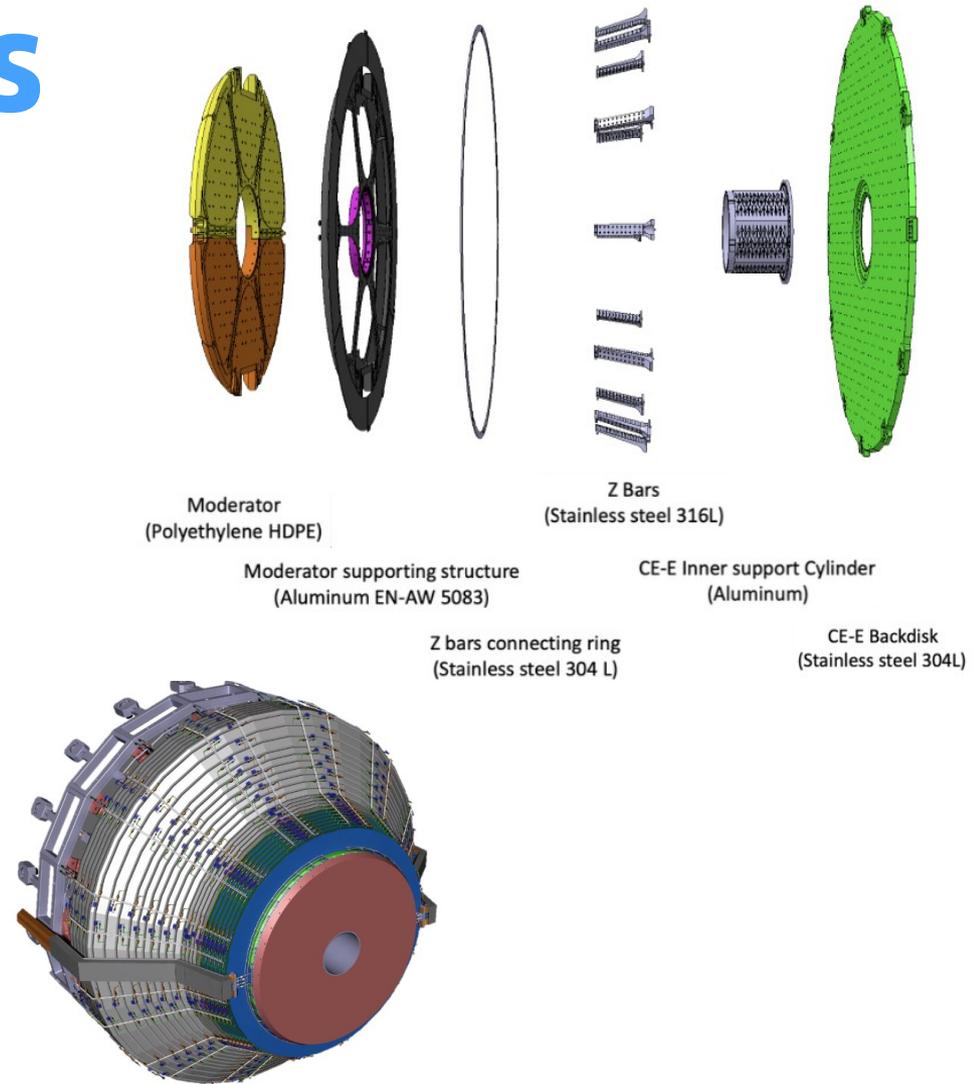
HGCAL Mechanics

CE-E Mechanics:

- Dense layering of cassettes, lead sheets, stacked on a stainless steel back-plate
- Mechanics in advanced design stage
 - To be made by CERN and industrial partners

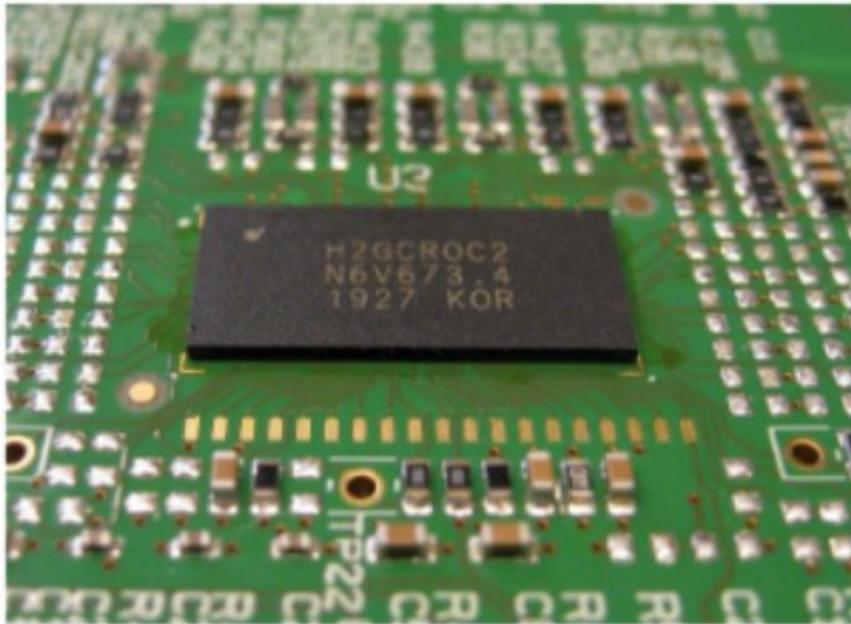
CE-H Mechanics:

- Layered stainless steel structure
- All raw steel plates and cylinders have been manufactured
 - Pre-production started in March 2023



HGCAL Readout Chip

HGCROC



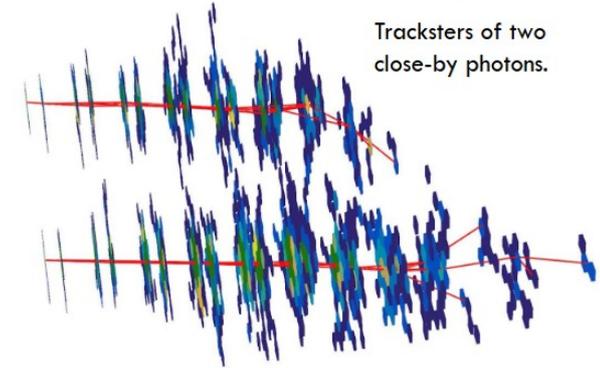
- Front-end ASIC component
- Same design for Si and Scintillator with adaptation
 - conveyor gain used as pre-amplifier
- Two halves chip with 78 channels
- Low noise, large dynamic range
 - from MIP to showers
- Accommodating 12 μ s of latency
 - L1 requirement
- High speed readout links
 - 1.28 Gb/s
- Radiation tolerance
- Low power consumption: ~ 20 mW
 - 125 kW per endcap

"The Phase-2 Upgrade of the CMS endcap calorimeter"
Technical Design Report

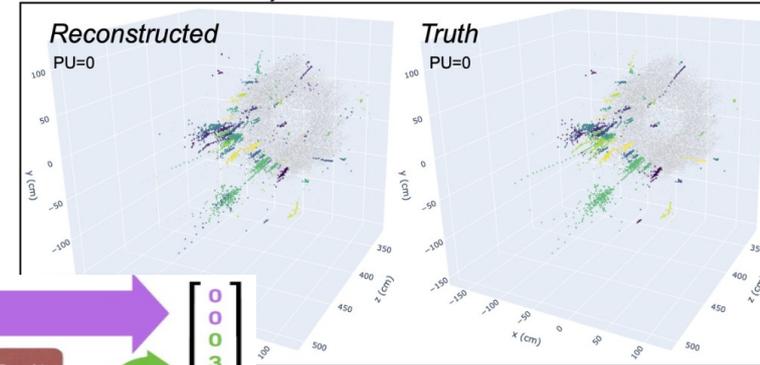
Simulation and Reconstruction

Offline reconstruction

- Detector simulation
 - Geometry close to the final design
 - Sensor/Electronics provide full end-to-end simulation
 - Reconstruction with realistic end-of-life conditions
- Raw data unpacking
 - Full unpacking in ~40ms
 - First-level calibration exploiting GPU-compliant module
- Reconstruction with TICL and CLUE-3D
 - Iterative clustering
 - RecHits → LayerClusters → Tracksters
- End-to-end Machine Learning
 - Noise filter
 - GravNet graph neural network performs clustering on cleaned data

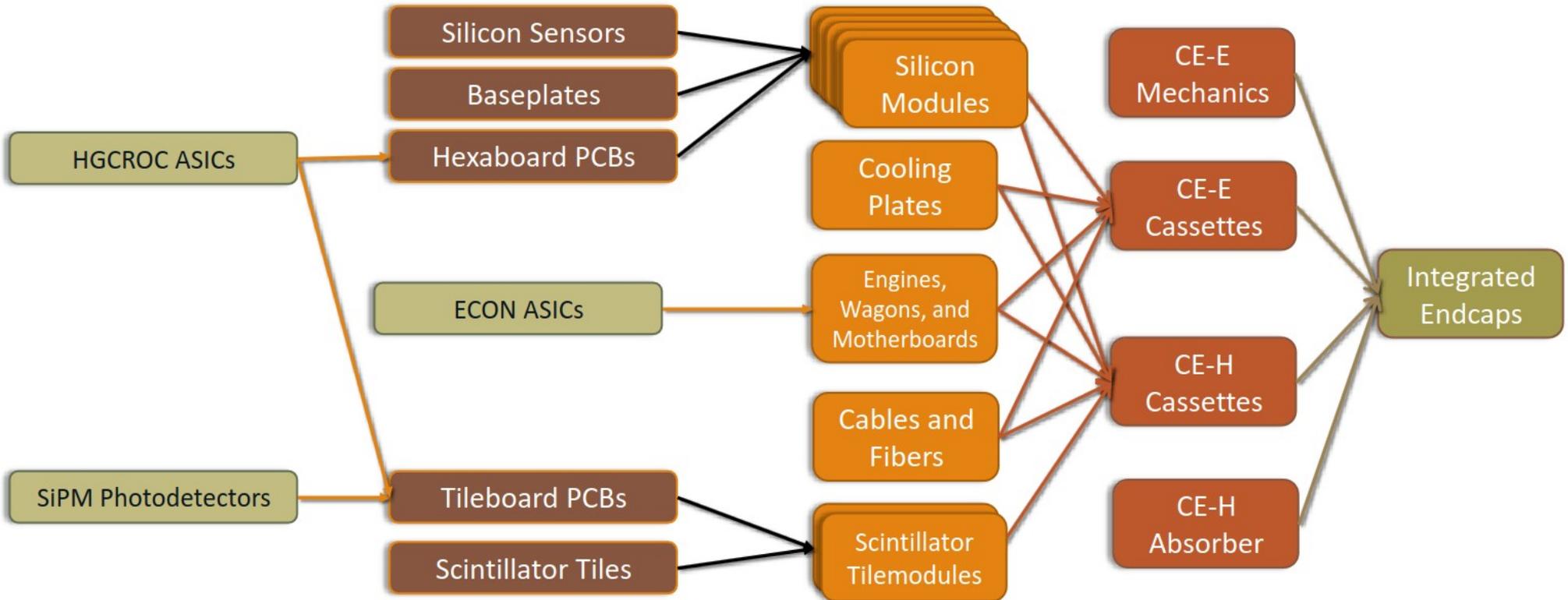


CMS Simulation Preliminary



Status of the Project

Summary of the principal components and Workflow



2018 Test Beam

Prototype – HGCal and CALICE AHCal

- **Setup**

- HGCal EM and hadronic sections
- CALICE AHCal scintillator section
- SKIROC2-CMS ASIC (readout chip)

- **Beams**

- e^+ , μ^- , π^- up to 300 GeV

- Measurements of the performance of **energy resolution** and **timing**

