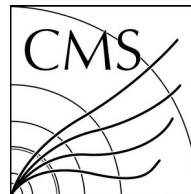


Searches for Heavy Neutral Leptons with CMS



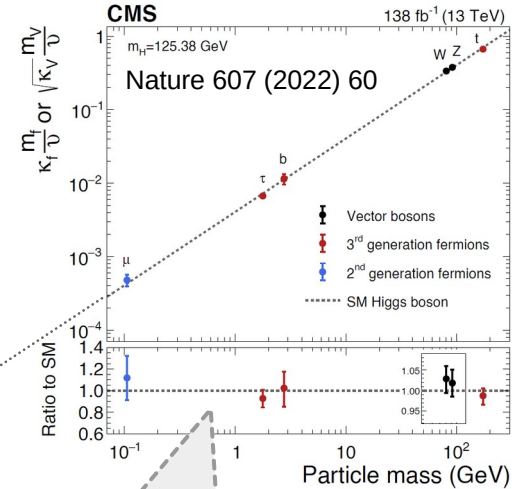
Matthias Komm

EPS'23



Heavy neutral leptons (HNLs)

- SM neutrinos are weird! 🤔
 - tiny mass? flavor oscillations? Higgs interactions?
- extending neutrino sector of SM
 - postulate heavy neutral leptonic particles:
 - Dirac HNL (particle + antiparticle)
 - Majorana HNL (own antiparticle)
 - can mix with SM lepton sector
 - see-saw mechanism
 - dim.-5 effective extension in EFT
- can explain various observed phenomena
 - neutrino oscillations
 - dark matter candidate 🤗
 - baryon asymmetry
 - anomalous muon g-2
 - etc ...



| | | | | | | |
|----------------|-------------------------------|---|---|--|-------------------|--|
| | masse → charge → spin → | $\approx 2.3 \text{ MeV}/c^2$ 2/3 1/2 | $\approx 1.275 \text{ GeV}/c^2$ 2/3 1/2 | $\approx 173.07 \text{ GeV}/c^2$ 2/3 1/2 | 0 0 1 | $\approx 126 \text{ GeV}/c^2$ |
| | | u up | c charm | t top | g gluon | H boson de Higgs |
| QUARKS | | $\approx 4.8 \text{ MeV}/c^2$ -1/3 1/2 | $\approx 95 \text{ MeV}/c^2$ -1/3 1/2 | $\approx 4.18 \text{ GeV}/c^2$ -1/3 1/2 | 0 0 1 | γ photon |
| | | d down | s strange | b bottom | | |
| | | $0.511 \text{ MeV}/c^2$ -1 1/2 | $105.7 \text{ MeV}/c^2$ -1 1/2 | $1.777 \text{ GeV}/c^2$ -1 1/2 | 0 0 1 | Z⁰ boson Z ⁰ |
| | | e électron | μ muon | τ tau | | |
| LEPTONS | | $< 2.2 \text{ eV}/c^2$ 0 1/2 | $< 0.17 \text{ MeV}/c^2$ 0 1/2 | $< 15.5 \text{ MeV}/c^2$ 0 1/2 | ± 1 1 | W[±] boson W [±] |
| | | ν_e neutrino électronique | ν_μ neutrino muonique | ν_τ neutrino tauique | | |
| | | | | | | BOSONS DE JAUGE |

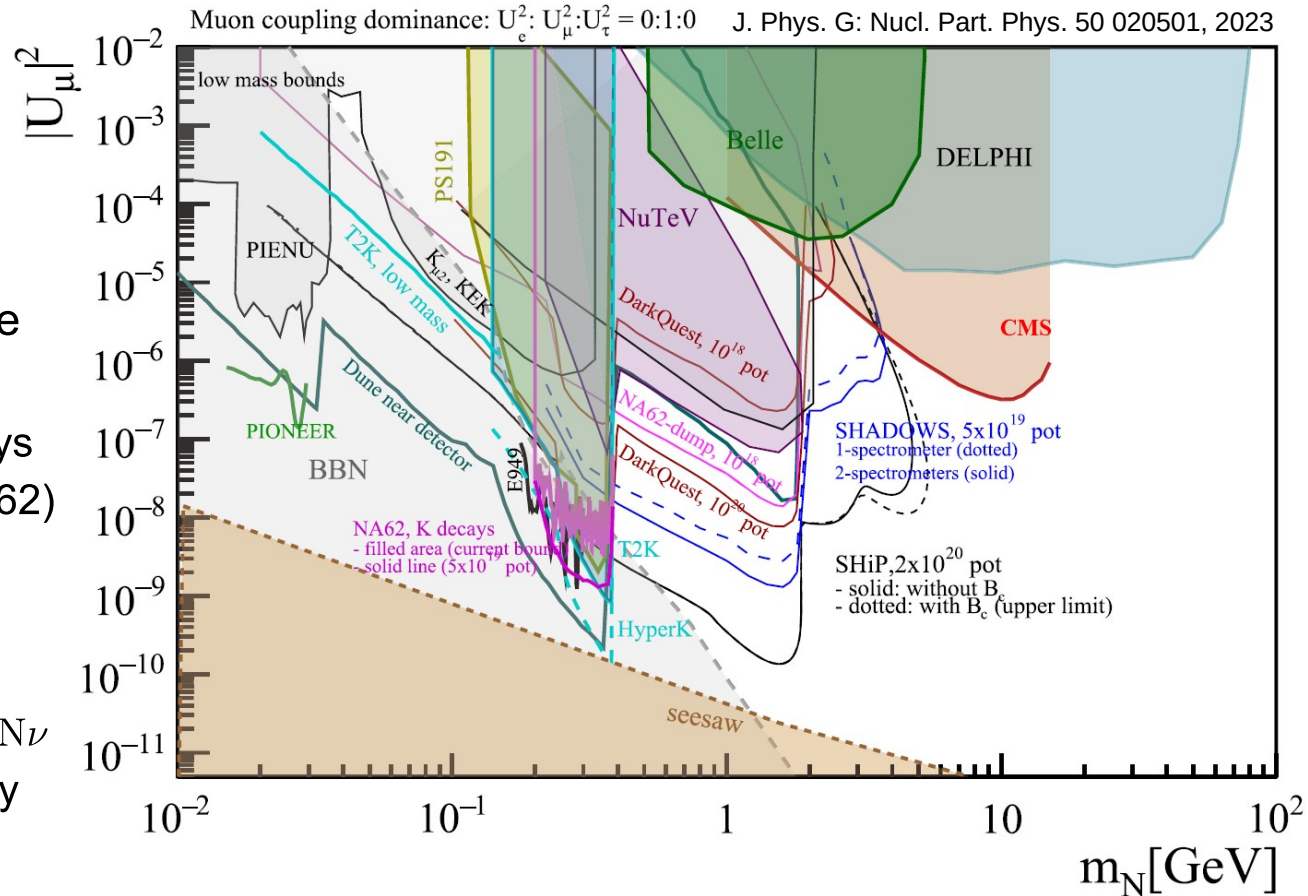
Experimental landscape

rich set of searches at various experiments ongoing & planned:

- collider & fixed-target
- nuclear decays
- atmospheric/solar neutrinos

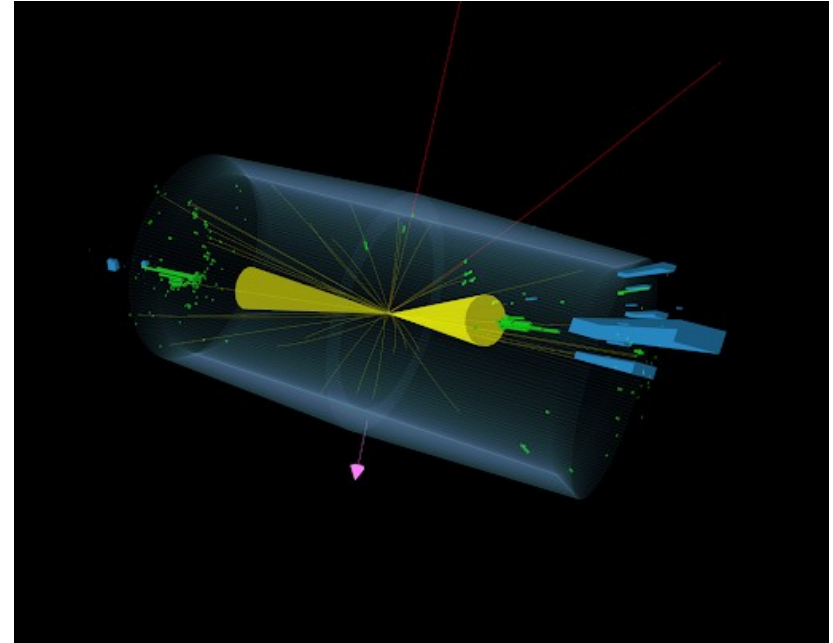
accessible production/decay mode depends on HNL mass

- below Kaon mass can use decays $K^\pm \rightarrow \ell^\pm N$, $K^\pm \rightarrow \mu\mu\pi$ (e.g. NA62)
- below B or D meson masses $B^\pm, D_s^\pm, \tau^\pm \rightarrow \ell^\pm N$, $D^0 \rightarrow \ell^\pm \pi^\mp N$ (e.g. Belle, LHCb)
- below W, Z boson masses: $Z \rightarrow N\nu$
- above W, Z boson masses decay to onshell bosons dominant $W^\pm \rightarrow \ell^\pm N$, $N \rightarrow \ell^\pm W^\mp, \nu Z, \nu H$



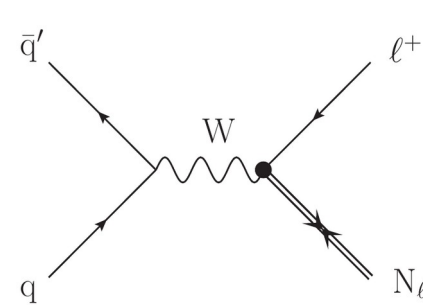
Searches for prompt HNLs

<https://cms.cern/news/two-ends-seesaw>

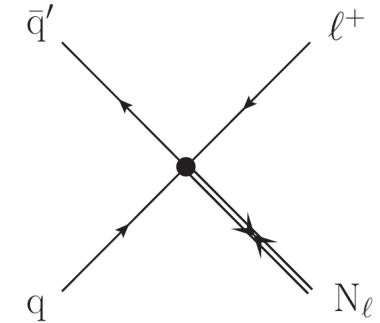


Heavy composite Majorana neutrino

- composite model where leptons & quarks have substructure at energy scale Λ
 $E \ll \Lambda \rightarrow$ effective gauge & contact interactions
- investigate $eeq\bar{q}$ or $\mu\mu q\bar{q}$ final states
- same- or opposite-sign lepton pairs
 $p_T > 150$ (100) GeV & isolated
- large radius jet: $p_T > 190$ GeV
 (encapsulates $q\bar{q}$ from HNL decay)

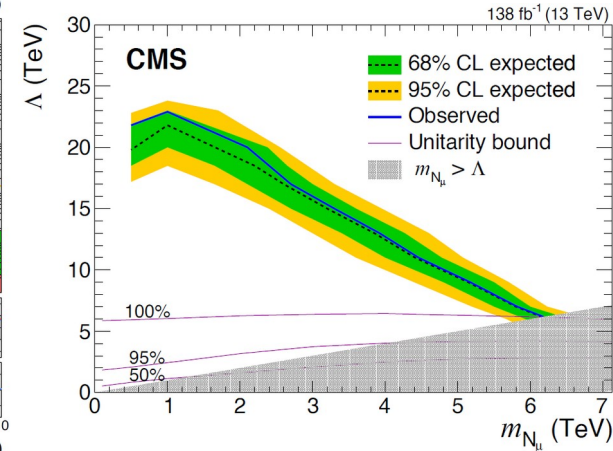
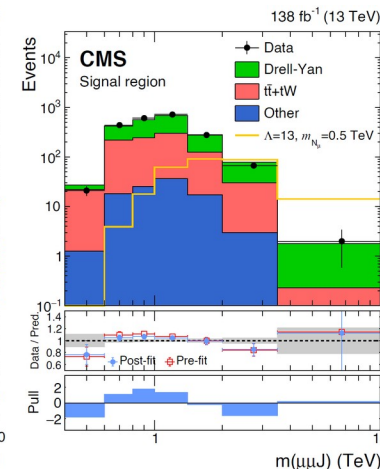
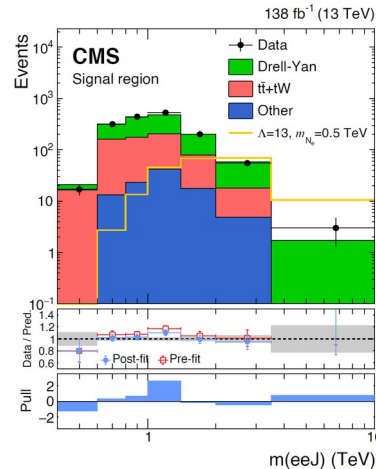


$$\mathcal{L}_{GI} = \frac{gf}{\sqrt{2}\Lambda} \bar{N} \sigma^{\mu\nu} (\partial_\mu W_\nu) P_L \ell + \text{h.c.}$$



$$\mathcal{L}_{CI} = \frac{g_*^2 \eta}{\Lambda^2} \bar{q}' \gamma^\mu P_L q \bar{N} \gamma_\mu P_L \ell + \text{h.c.}$$

- limits determined from $m(\ell\ell J)$ distribution
- exclude up to 6.0 (6.1) TeV HNLs with $m_N = \Lambda$ for electrons (muons)



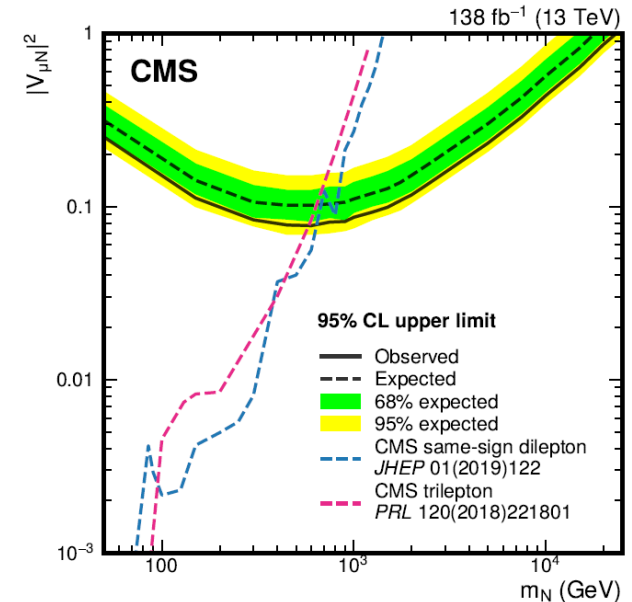
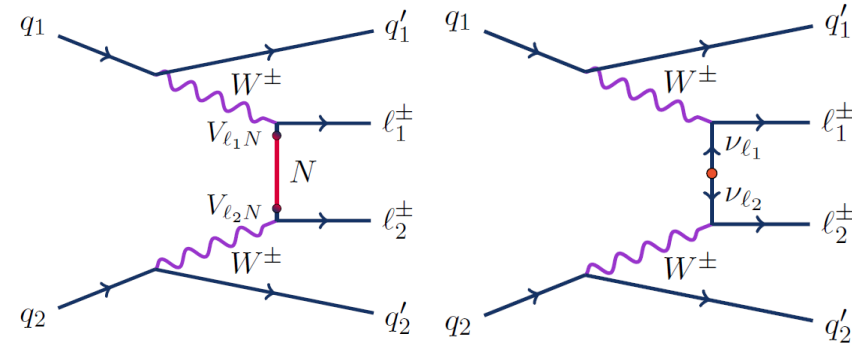
HNLs & Weinberg operator in VBF

- Weinberg operator (dim-5 Wilson coefficient)

$$\mathcal{L}_5 = \frac{C_5^{\ell\ell'}}{\Lambda} [\Phi \cdot \bar{L}_\ell^c] [L_{\ell'} \cdot \Phi] \quad m_{\ell\ell'} = C_5^{\ell\ell'} v^2 / \Lambda$$

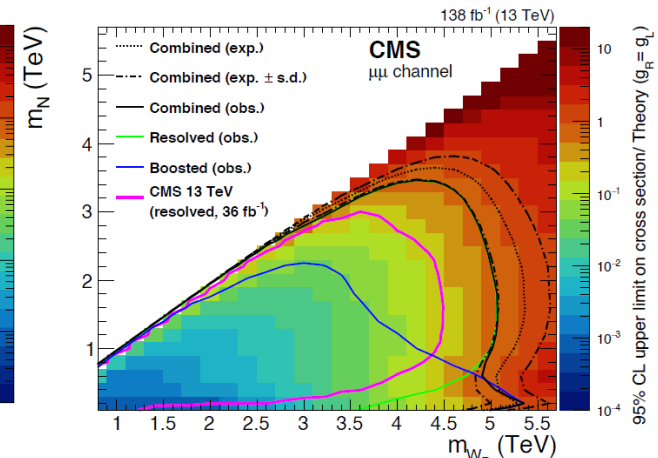
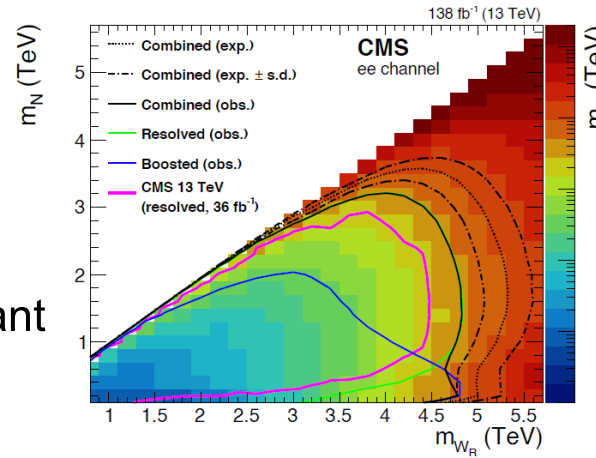
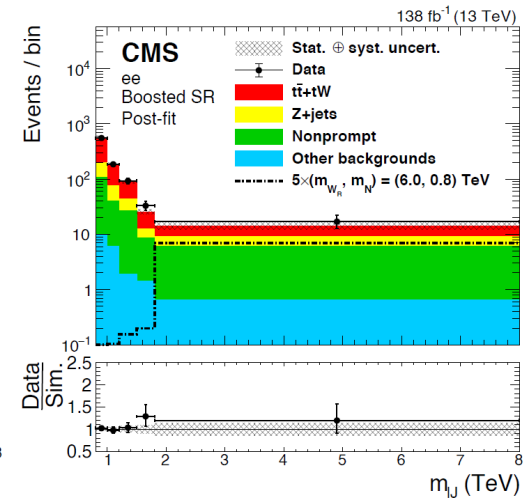
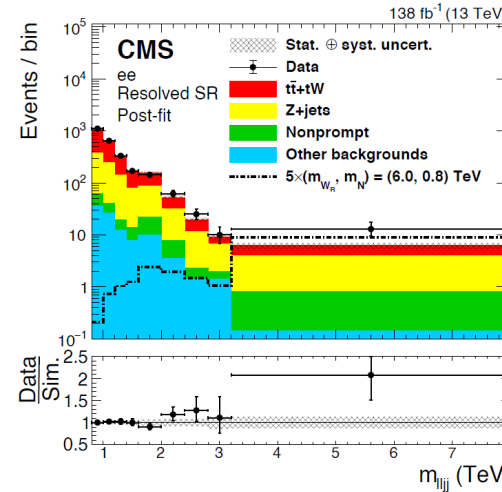
- focus on $\mu^\pm \mu^\pm$ events via vector boson fusion
 → access to TeV HNLs; complementary to s-channel

- 2 muons ($p_T > 30$ GeV) & 2 forward jets ($p_T > 30$ GeV)
- VBF selection with $|\Delta\eta_{jj}| > 2.5$, $m_{jj} > 750$ GeV
- non prompt background estimated from muon isolation sideband (fake-rate method)
- limit on Weinberg operator translated into limit on $m_{\mu\mu} > 10.8$ (12.8) GeV [obs (exp)]
- limits on typeI Majorana HNLs determined
 → exceed LHC energy through use of VBF events!



Right-handed W boson & HNLs

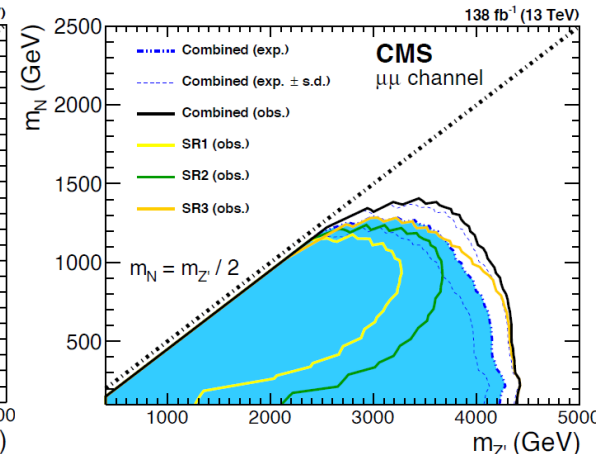
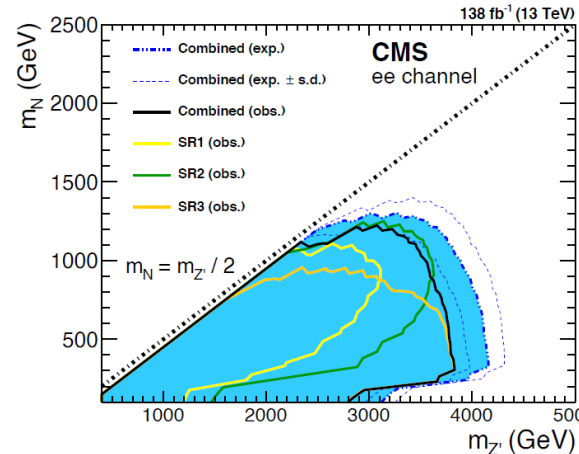
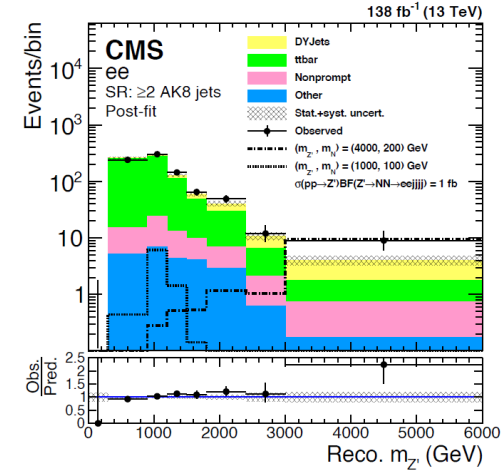
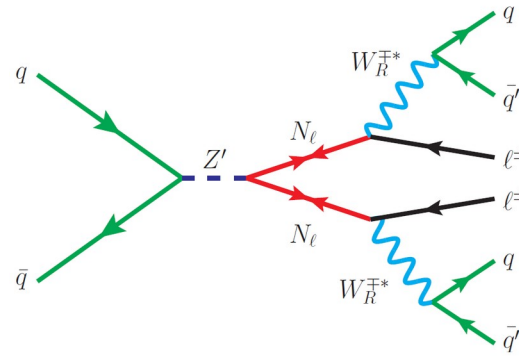
- left-right symmetric model (LRSM):
adds (W_R^\pm, Z') & (N_e, N_μ, N_τ) to SM
→ W_R boson couple to right-handed HNLs
- $eeq\bar{q}$ or $\mu\mu q\bar{q}$ final states with
leading (subleading) lepton $p_T > 60(53)$ GeV
- 2 resolved jets $p_{T}^j > 40$ GeV or
1 boosted large radius jet $p_T^J > 200$ GeV
- background estimated from simulation
- sensitive observable: $m_{\ell\ell jj}$ or $m_{\ell J}$
- limits for $m_N = m_{W_R}/2$:
 - electrons: $m_{W_R}/2 > 4.7$ (5.2) TeV
 - muons: $m_{W_R}/2 > 5.0$ (5.2) TeV
- electron channel excess most significant
at $(m_{W_R}, m_N) = (6.0, 0.8)$ TeV
⇒ 2.95 (2.78) σ [local (global)]



Z' boson decay to HNL pairs

arXiv:2307.06959 [hep-ex]
(submitted to JHEP)

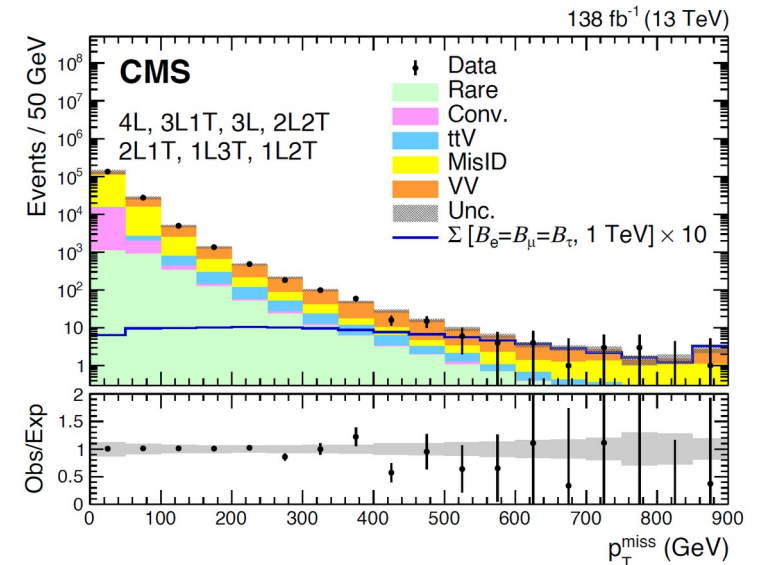
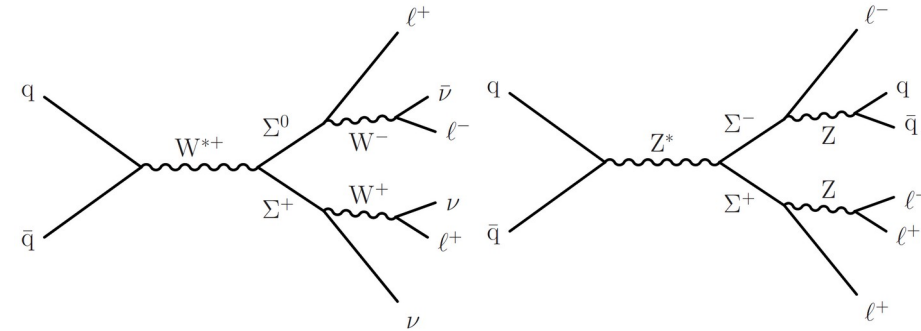
- LRSM model with heavy Z' boson
- OS or SS ee or $\mu\mu$ events ($p_T^\ell > 65-75$ GeV)
- up to 4 resolved jets ($p_T > 40$ GeV)
- 3 signal regions depending on number of large radius jets ($p_T > 300$ GeV)
- HNL & Z' masses reconstructed from lepton+jets through kinematic considerations
- background estimated from simulation
- limits for $m_N = m_{Z'}/4$:
 - electrons: $m_{Z'} > 3.59$ (3.90) TeV
 - muons: $m_{Z'} > 4.10$ (3.86) TeV
- electron channel excess most significant at $(m_{Z'}, m_N) = (4.6, 0.1)$ TeV
 $\Rightarrow 3.32$ (2.28) σ [local (global)]



Non-resonant multileptons

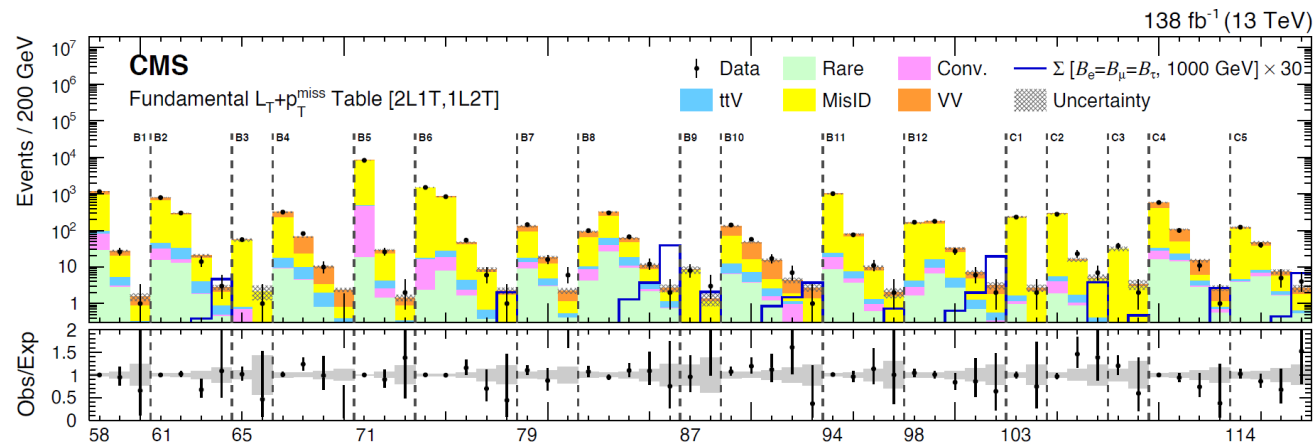
- generic search for BSM events with 3-4 leptons + jets
- interpretations: TypeIII seesaw; doublet & singlet vector-like leptons; leptoquarks
- electrons/muons: $p_T > 10$ GeV
- hadronic taus: $p_T > 20$ GeV
- jets: $p_T > 30$ GeV (b-tagged)
- 7 signal categories based on $N_L(e/\mu)$ & $N_T(\tau)$
- further classification based on scalar momentum sums, charge/flavor combinations, jet multiplicity & kinematic properties \rightarrow model-independent (used for background-only compatibility test)
- BDTs trained to enhance sensitivity for a specific model (used to derive limits)

TypeIII seesaw

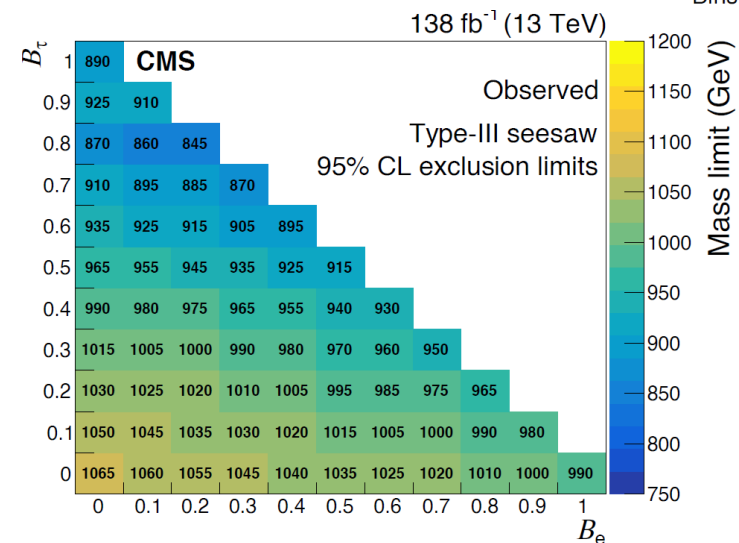
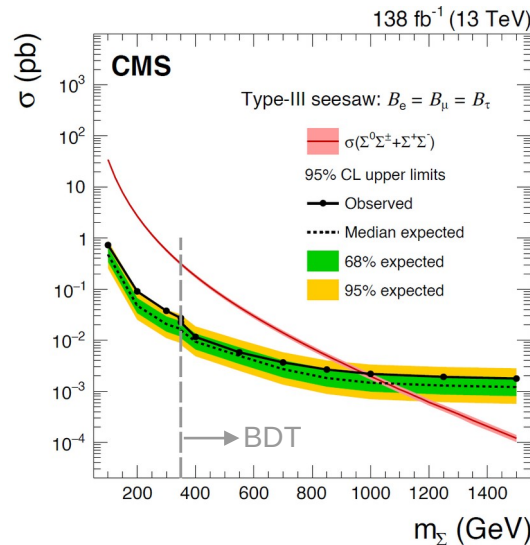


Non-resonant multileptons (2)

background-only goodness of fit test on $L_T + p_T^{\text{miss}}$ distributions
 $\Rightarrow p = 67\%$
 (good compatibility also for other binning schemes)

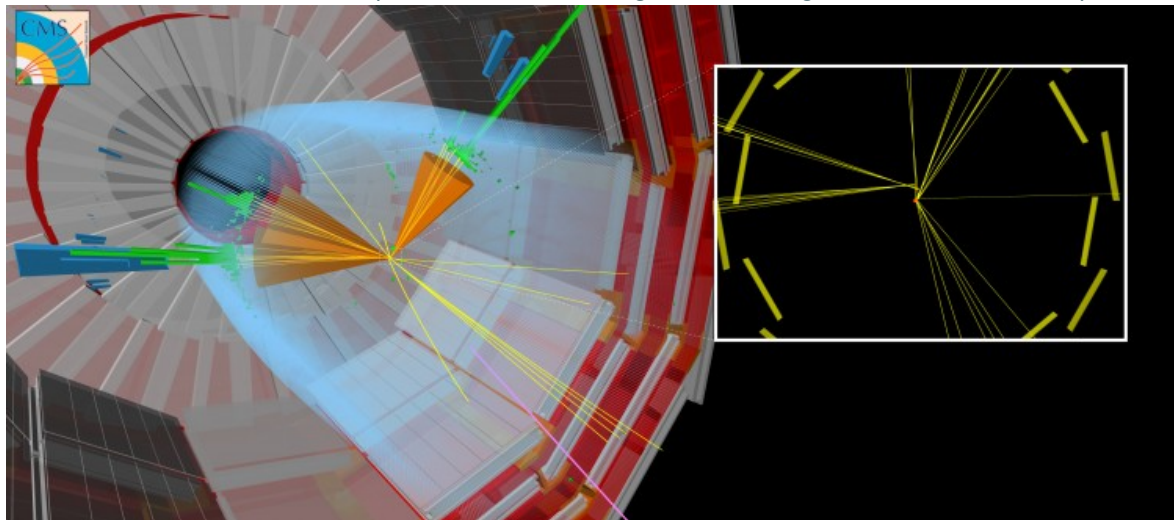


limits on type-III seesaw for flavor-democratic couplings
 $m_\Sigma > 980$ (1060) GeV [obs(exp)]



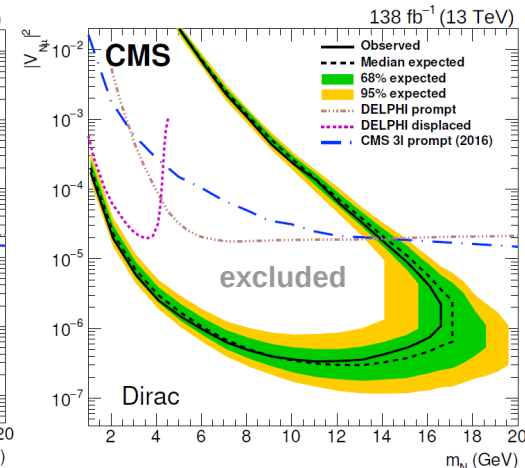
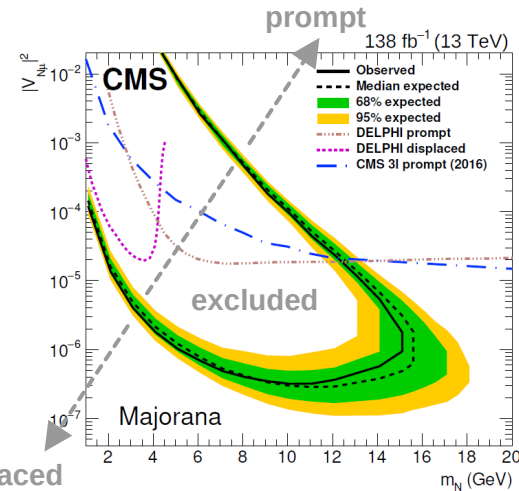
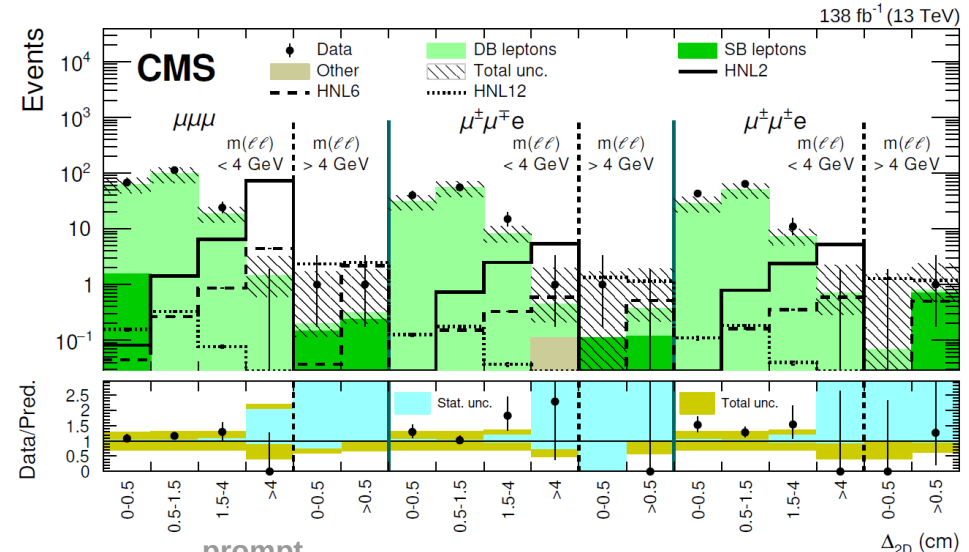
Searches for long-lived HNLs

<https://cms.cern/news/using-artificial-intelligence-search-new-exotic-particles>



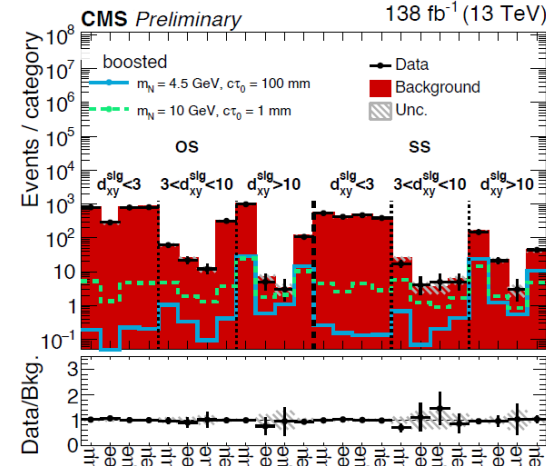
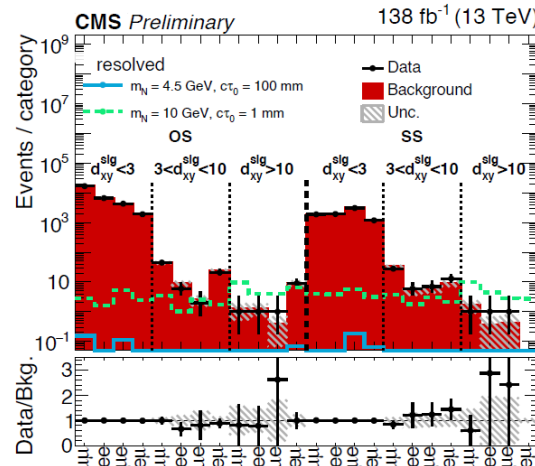
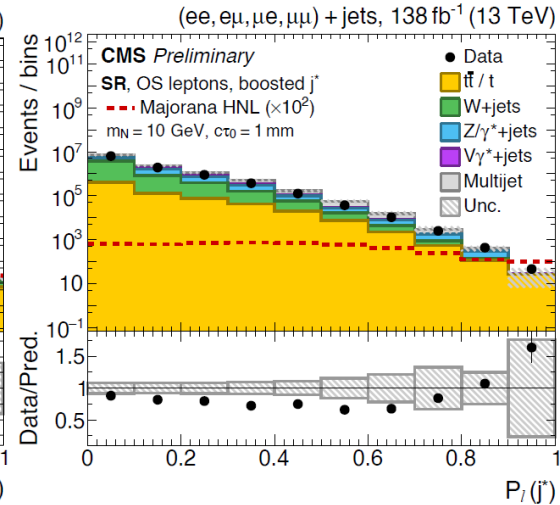
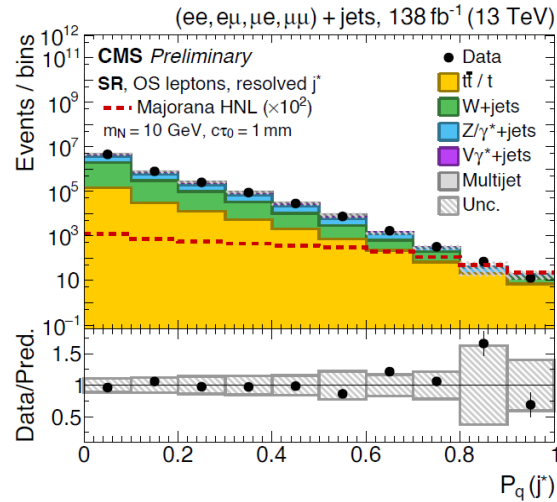
Long-lived HNL in 3 lepton events

- HNL-lepton coupling can be arbitrary small
 $\tau_0 \propto m_N^{-5} |V_{N\ell}|^{-2} \rightarrow$ long-lived for \sim GeV mass
- HNL can travel macroscopic distances away from production vertex \rightarrow unique signature
- focus on $pp \rightarrow W \rightarrow \ell N, N \rightarrow \ell \nu$ ($\ell \in \{e, \mu\}$)
 - 1 prompt lepton ($p_T > 25-32$ GeV)
 - 2 displaced leptons ($p_T > 5-7$ GeV) forming a secondary vertex
- background with 1 or 2 misidentified leptons estimated from data (fake-rate method)
- limits derived on Majorana & Dirac HNLs using displacement of vertex & dilepton mass
- for $m_N = 10$ GeV can exclude
 - $9.1 \times 10^{-7} < |V_{Ne}|^2 < 1.7 \times 10^{-4}$
 - $3.6 \times 10^{-7} < |V_{N\mu}|^2 < 2.3 \times 10^{-4}$



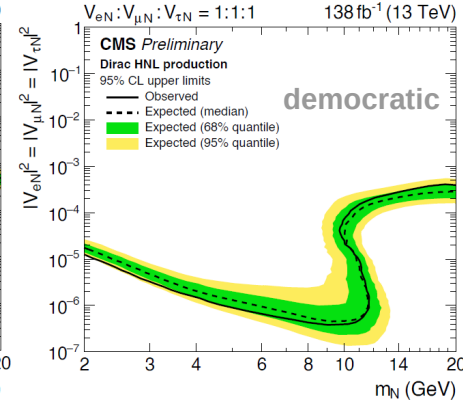
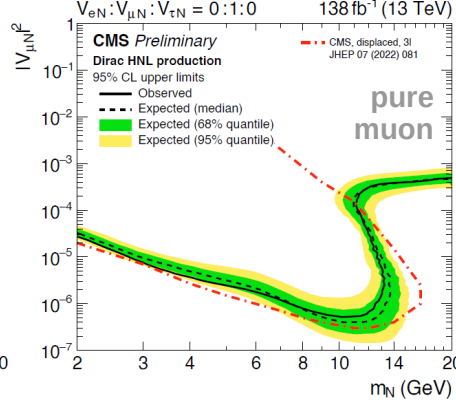
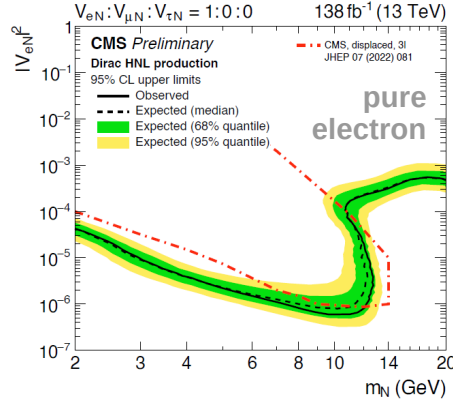
Long-lived HNL in 2 lepton events

- 2 lepton (electron or muon) events
 $pp \rightarrow W \rightarrow \ell_1 N, N \rightarrow \ell_2 q \bar{q}$
 \rightarrow orthogonal to search in 3 lepton events
- jets $p_T > 20-30$ GeV (boosted = ℓ inside)
- special neural network based displaced jet tagger trained on jet constituent features
- domain adaptation uses data from control region \rightarrow good modeling of output scores
- events categorized in lepton flavor/charge combinations & significance of $d_{xy}(\ell_2)$
- background estimated from data in tagger & $m_{\ell\ell j}$ sideband (ABCD method)

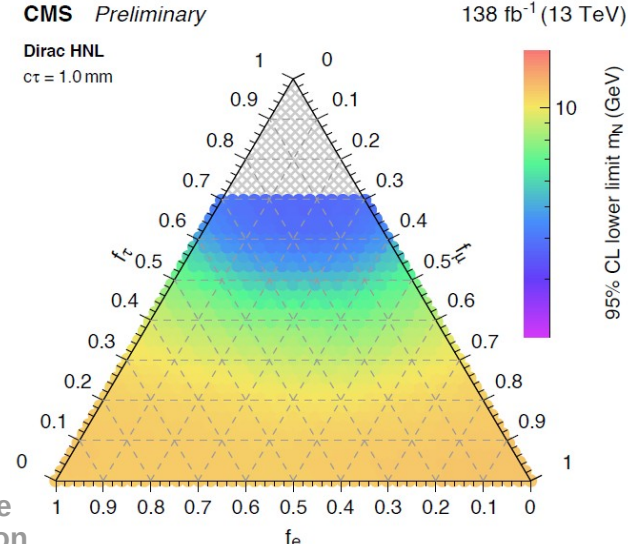
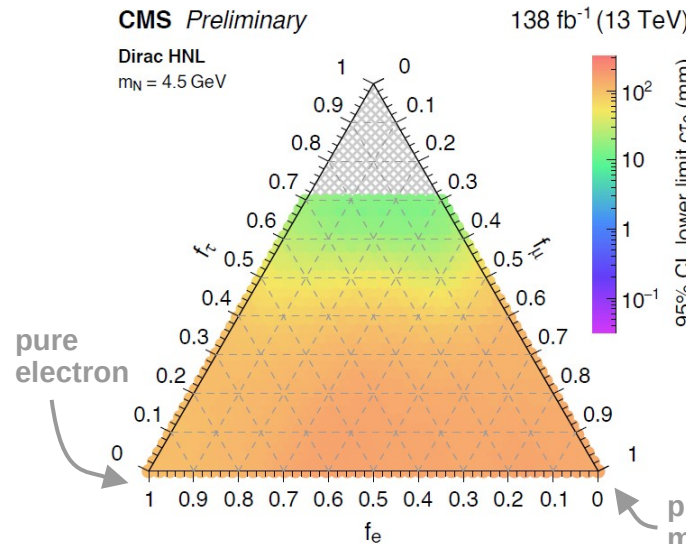


Long-lived HNL in 2 lepton events (2)

- probe for arbitrary coupling to all 3 lepton generations by reweighting signal simulation
- best limit for $m_N = 10$ GeV $|V_{N\mu}|^2 < 5$ (4) $\times 10^{-7}$ [Dirac (Majorana)]

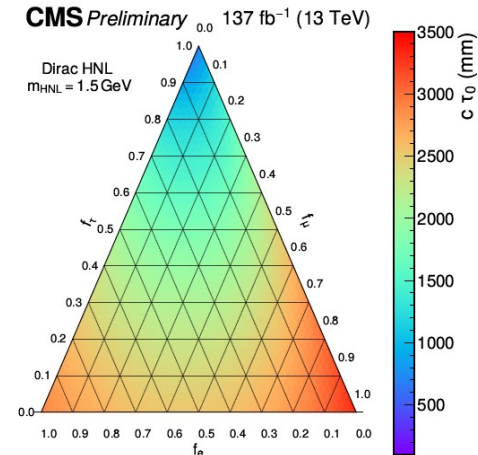
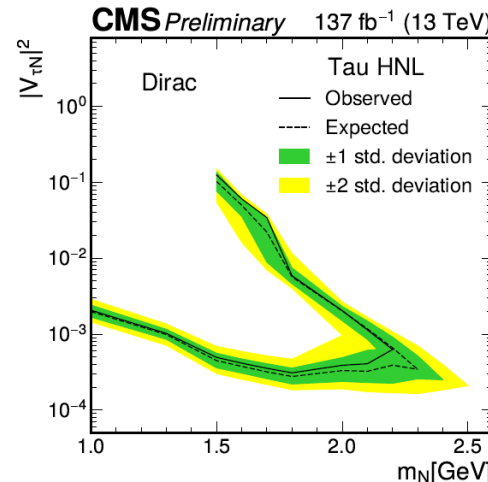
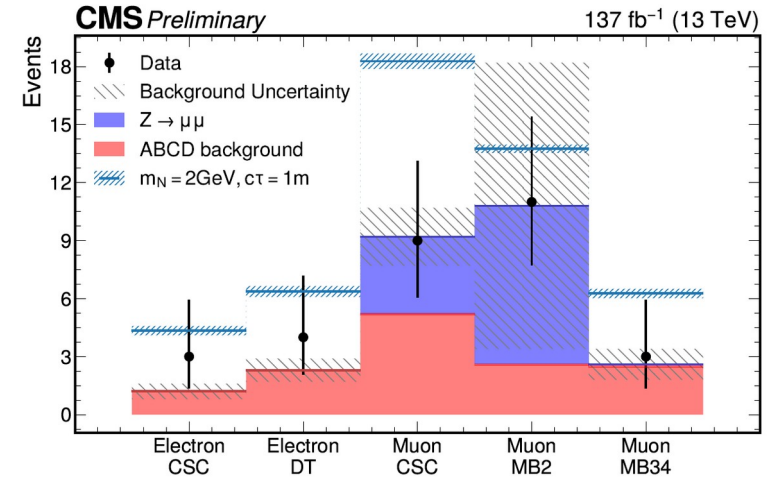


- probe HNL scenarios in relative coupling plane for fixed mass or lifetime
- pure tau coupling to be investigated



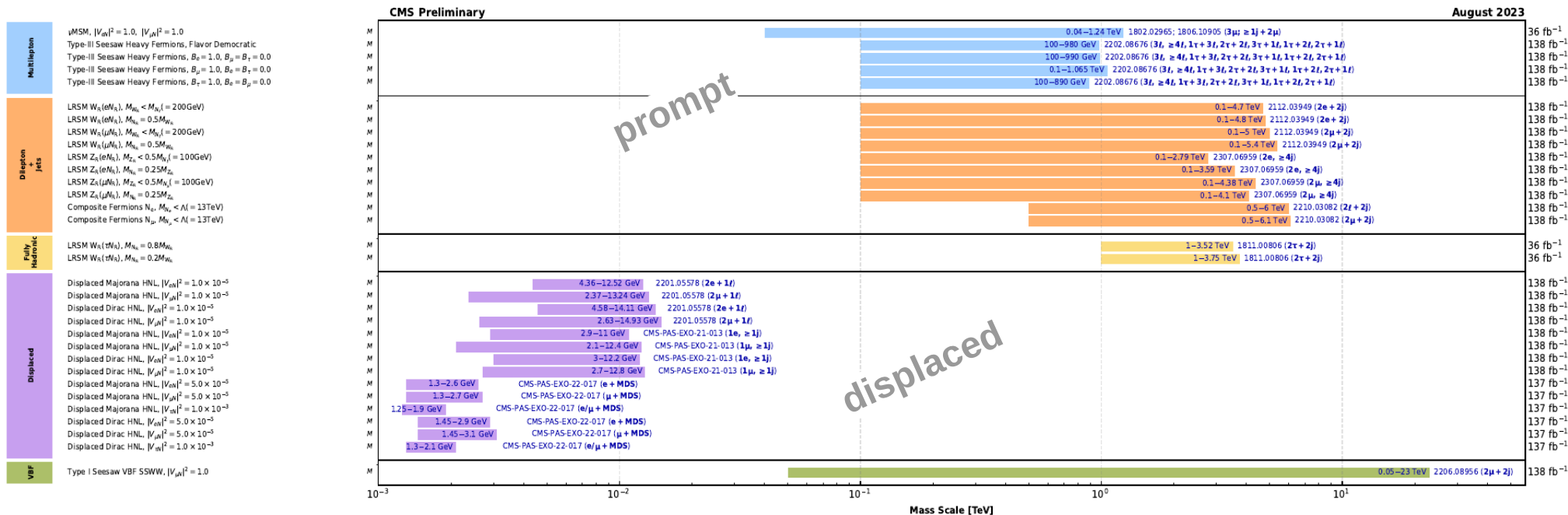
Type I HNLs in muon system

- idea: HNL decays in CMS muon system ($4 < r < 7$ m)
- focus on $pp \rightarrow W \rightarrow \ell N$, $N \rightarrow$ muon system hit cluster (no reconstruction of 2nd lepton from HNL decay)
- 1 electron or muon: $p_T > 25-35$ GeV
- veto jets overlapping with muon clusters
- events categorized in lepton flavor & muon subsystem
- nonprompt background estimated from data using $\Delta\phi(\ell, \text{cluster})$ & N_{hits} sideband (ABCD)
- limits derived for $1 < m_N < 4$ GeV
 - best electron: $8.6 \times 10^{-6} < |V_{Ne}|^2$ (@2.6 GeV)
 - best muon: $4.6 \times 10^{-6} < |V_{N\mu}|^2$ (@2.8 GeV)
- limits with arbitrary coupling to all 3 lepton generations



HNL results by CMS at glance

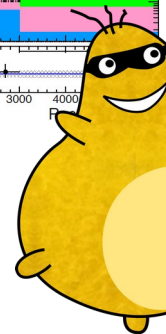
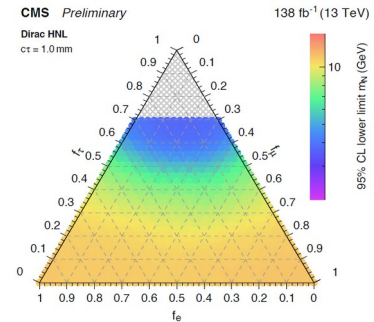
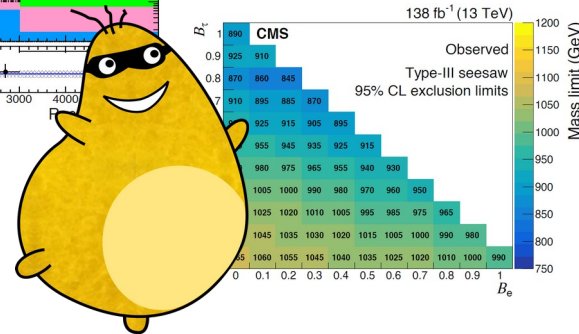
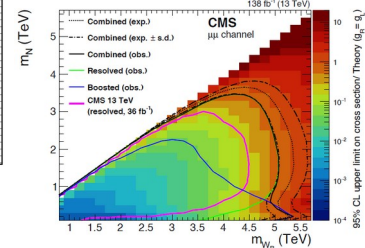
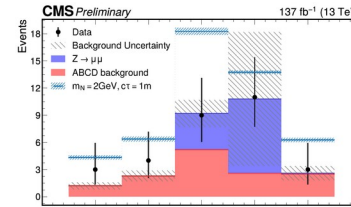
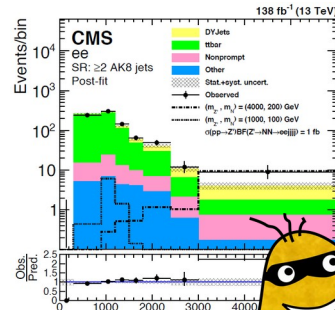
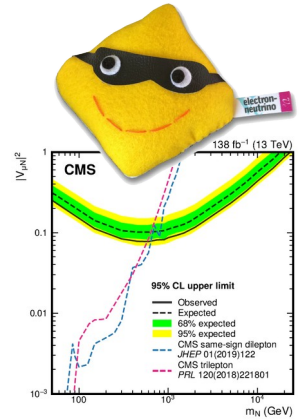
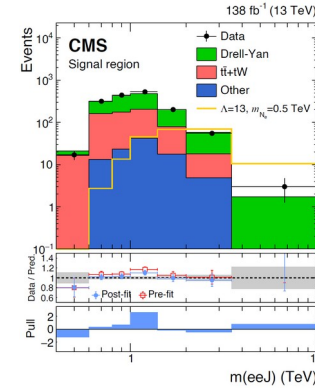
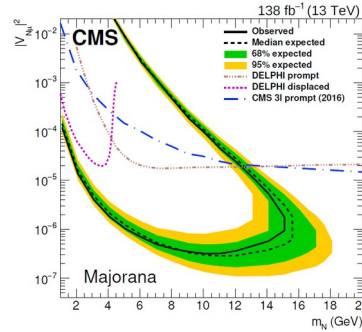
➤ 95% CL limits on HNL mass for various scenarios



https://twiki.cern.ch/twiki/bin/view/CMSPublic/SummaryPlotsEXO13TeV#Heavy_Neutral_Lepton_summary_plo

Summary

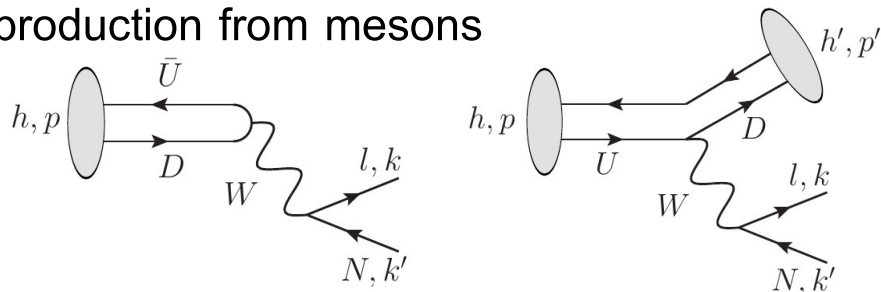
- LHC Run 2 data analyzed!
 - rich experimental signatures investigated
 - resolved / boosted jets
 - prompt and/or flavor-violating HNLs
 - vector boson fusion
 - models with right-handed W,Z bosons
 - long-lived, displaced HNLs
 - more to explore
 - comprehensive analysis of coupling to 3rd generation
 - complementary production or decay mechanisms
 - displaced signatures
- ➔ stay tuned!



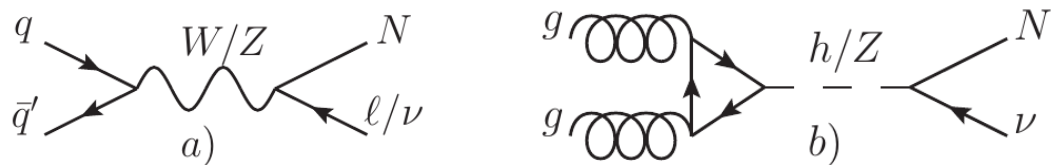
Backup

Type I HNL production/decay

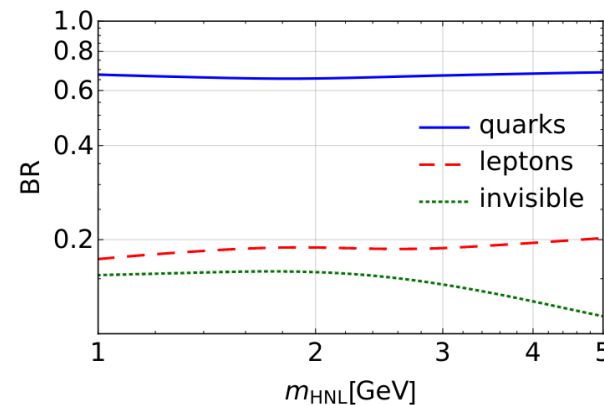
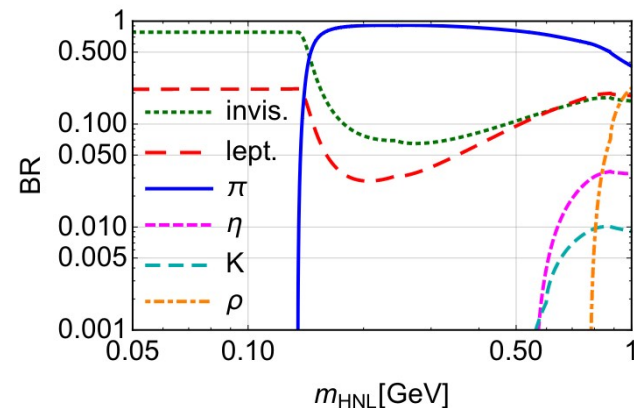
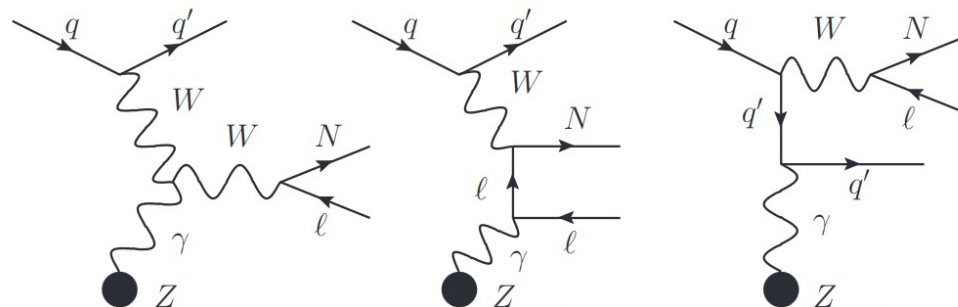
production from mesons



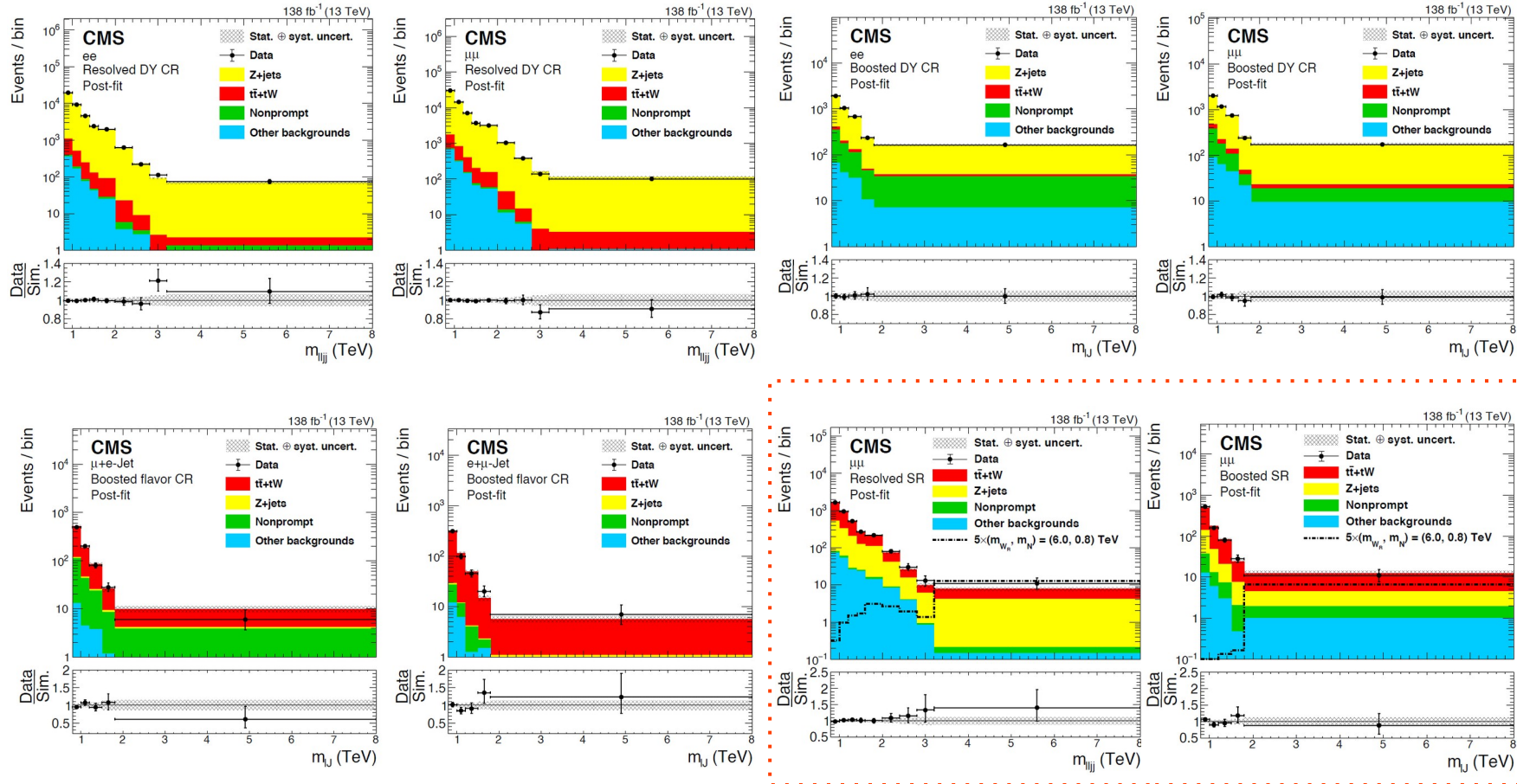
production from quarks/gluons



production from proton-nuclei scattering

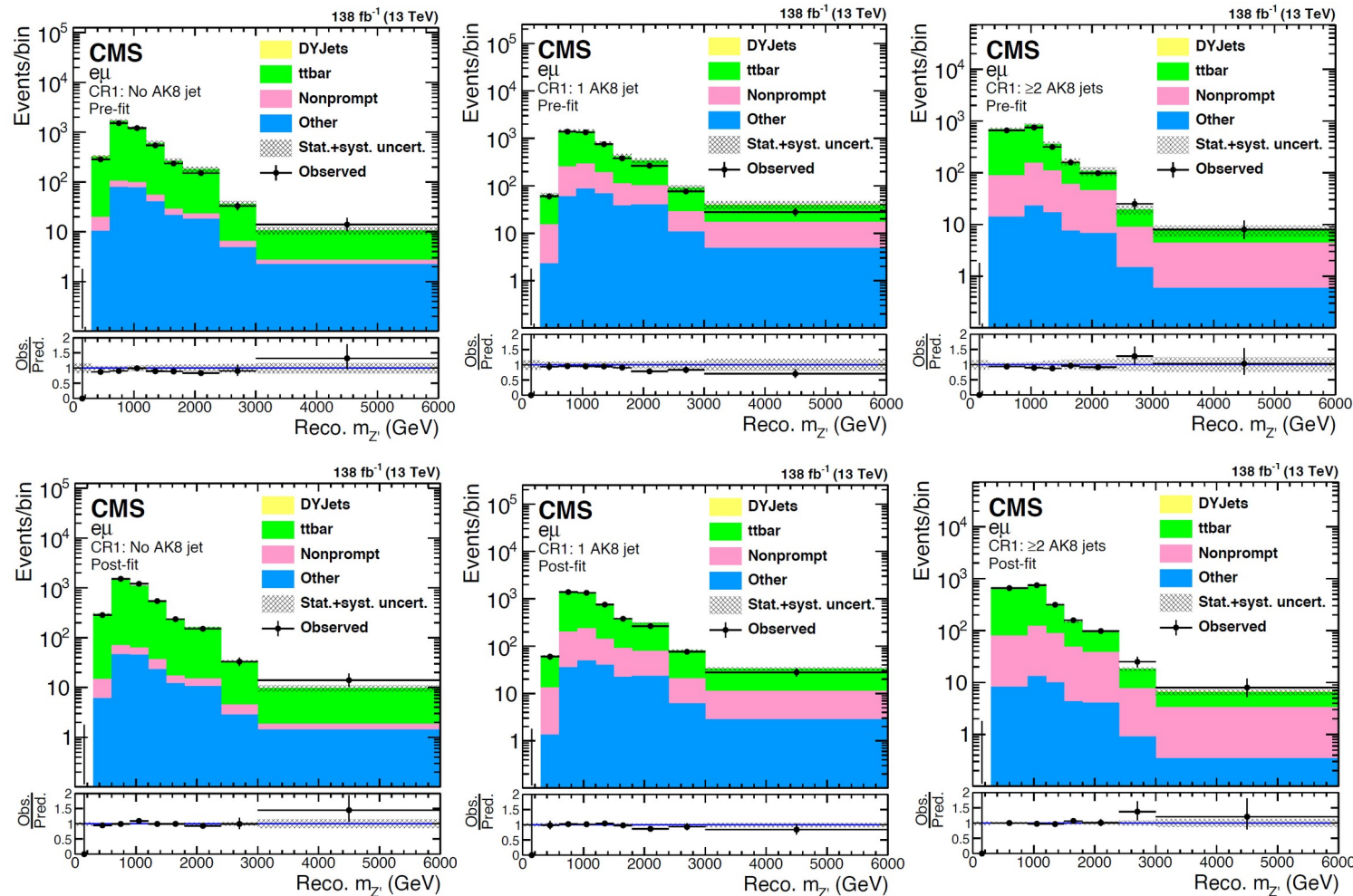


Heavy right-handed W boson & HNL



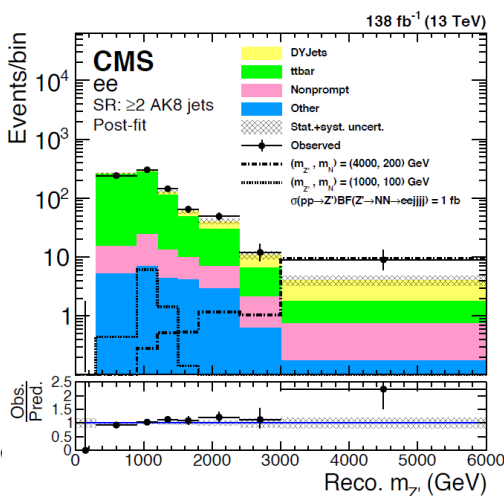
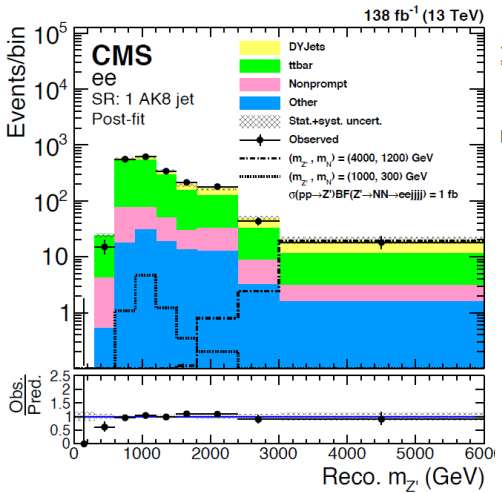
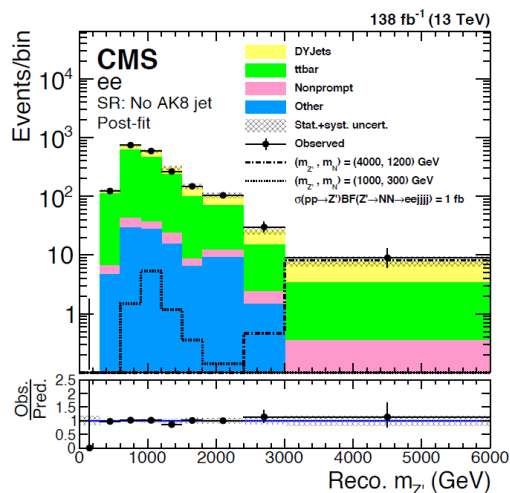
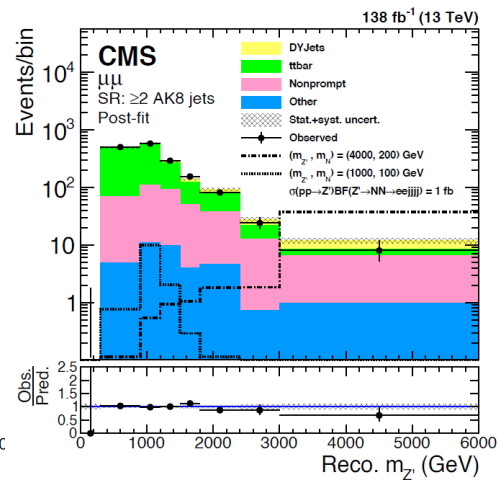
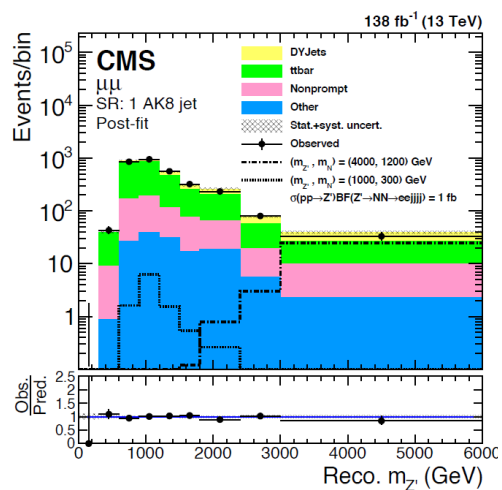
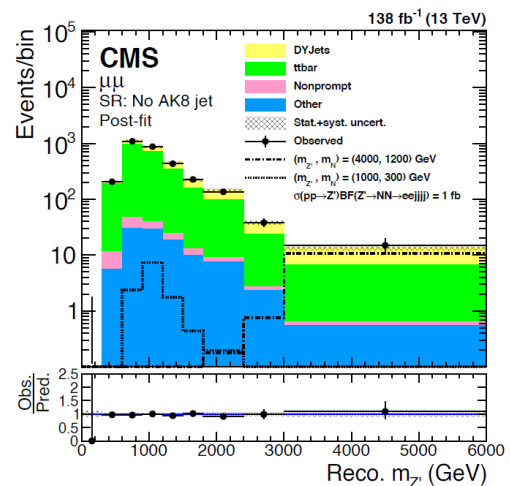
Z' boson decay to HNL pairs: CR

arXiv:2307.06959 [hep-ex]
(submitted to JHEP)

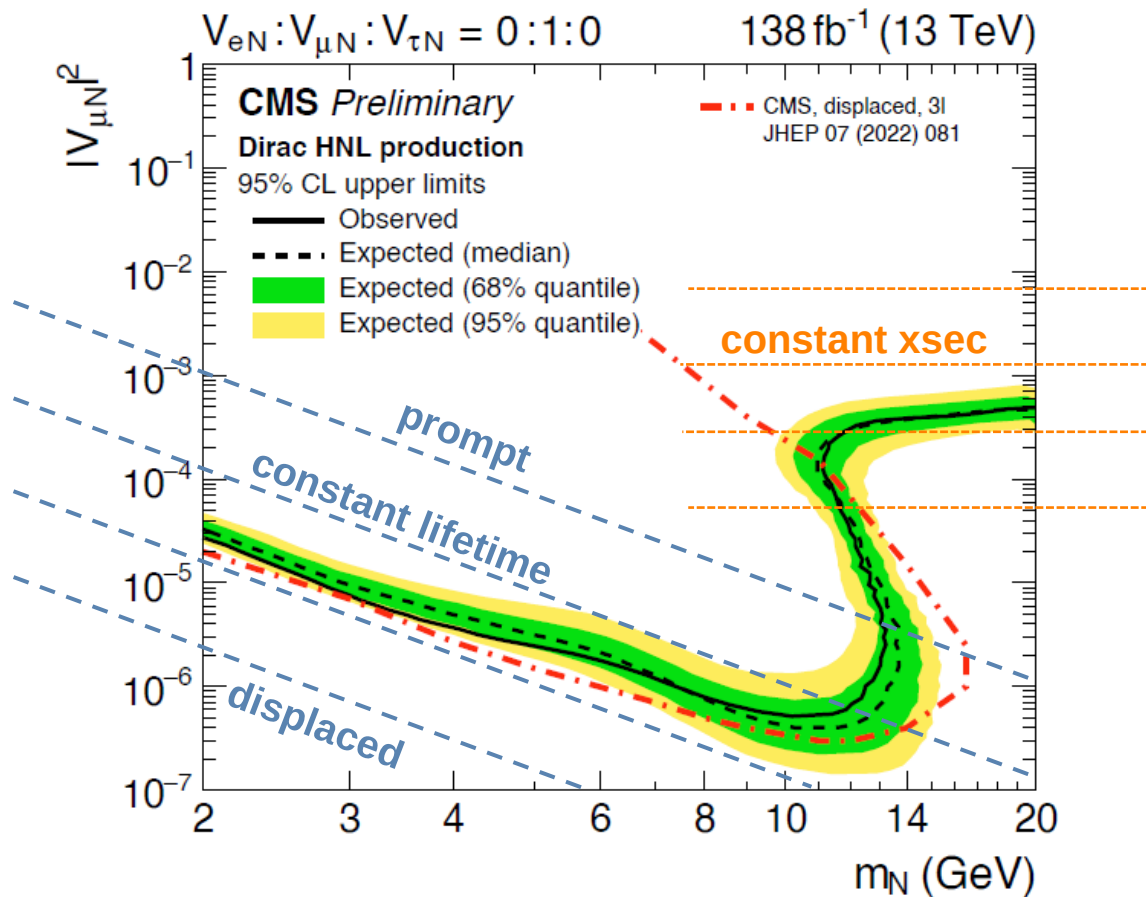


Z' boson decay to HNL pairs: SR

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(submitted to JHEP)



Limit shape



Displaced jet tagger

