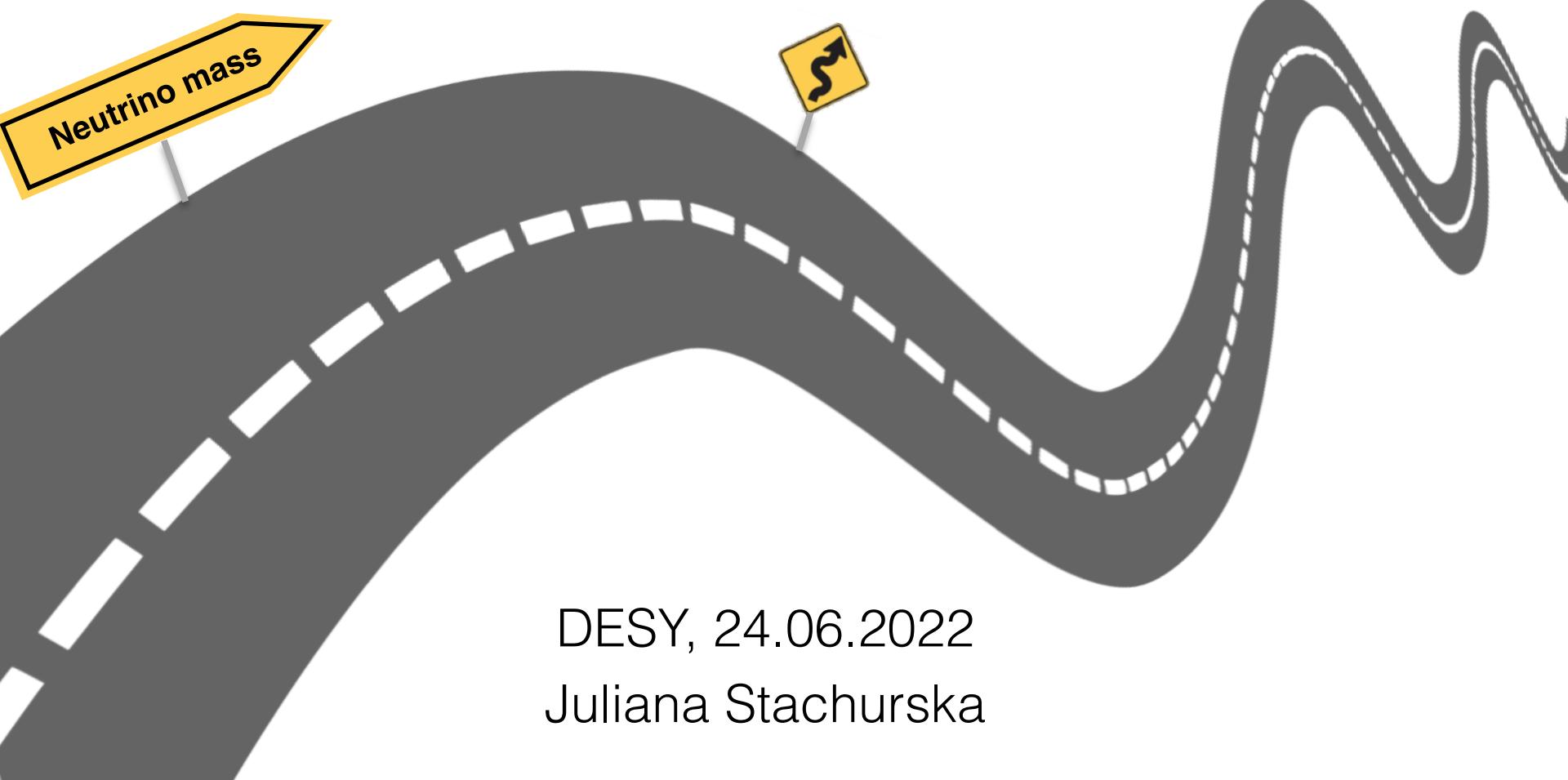


## Towards the determination of the absolute neutrino mass scale with Project 8



DESY, 24.06.2022  
Juliana Stachurska

# Outline

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The Neutrino: A brief history

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Neutrino mass measurements

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Phases I and II: Accomplishments

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The Neutrino: A brief history

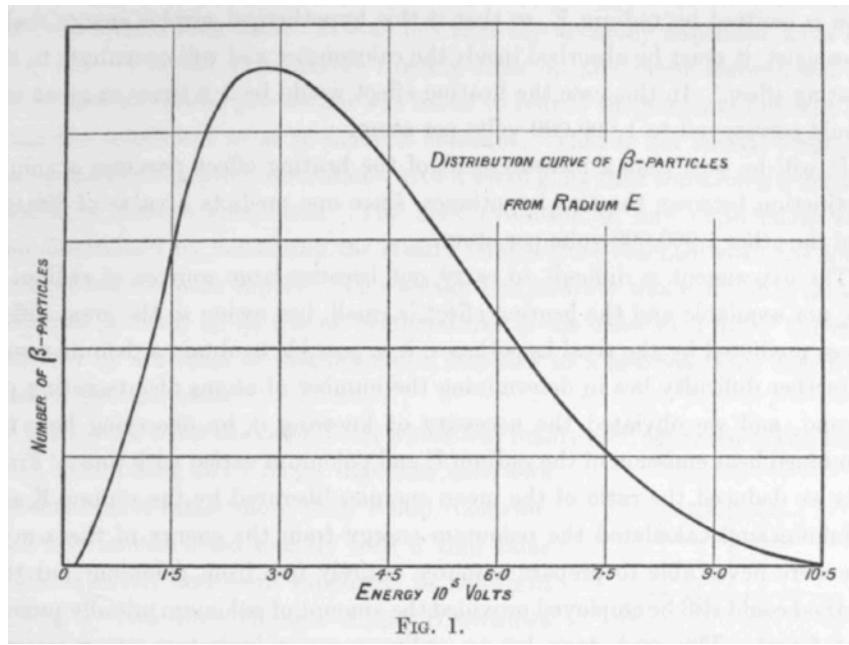
Neutrino mass measurements

Project 8: A concept for the future neutrino mass measurement

Phases I and II: Accomplishments

Phases III and IV: R&D

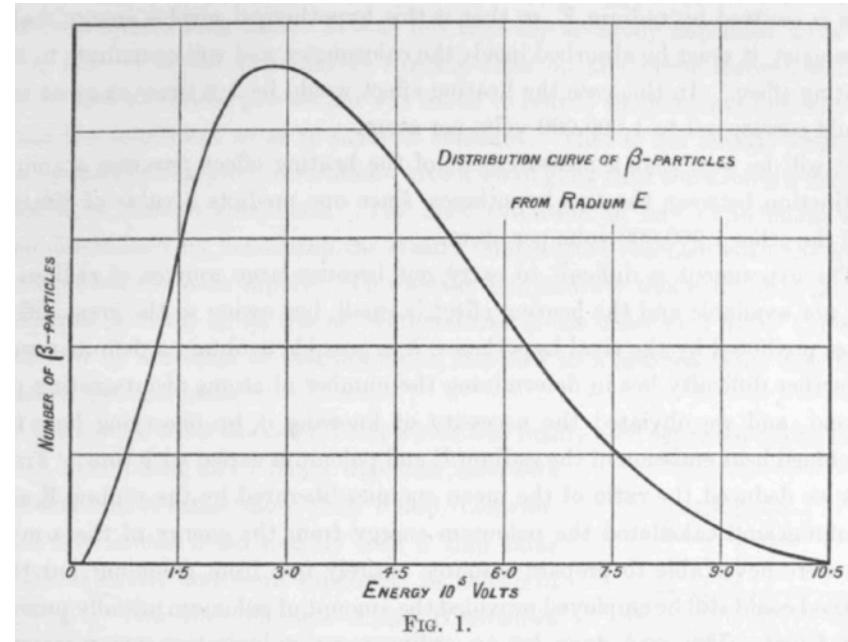
# The Neutrino Hypothesis



C. D. Ellis and W. A. Wooster, Royal Proc A 117 (1927)

# The Neutrino Hypothesis

- 1930: Pauli postulates the existence of a new particle to save energy conservation in nuclear beta decay



C. D. Ellis and W. A. Wooster, Royal Proc A 117 (1927)

“The mass of the neutrons\* should be of the same order of magnitude as the electron mass and in any event not larger than 0.01 proton mass.”

“I have done a terrible thing, I have postulated a particle that cannot be detected”

\*renamed “neutrino” by Fermi

# Nuclear Beta Decay

- 1934: Fermi formulates beta decay theory
- Mass accessible via nuclear endpoint measurement

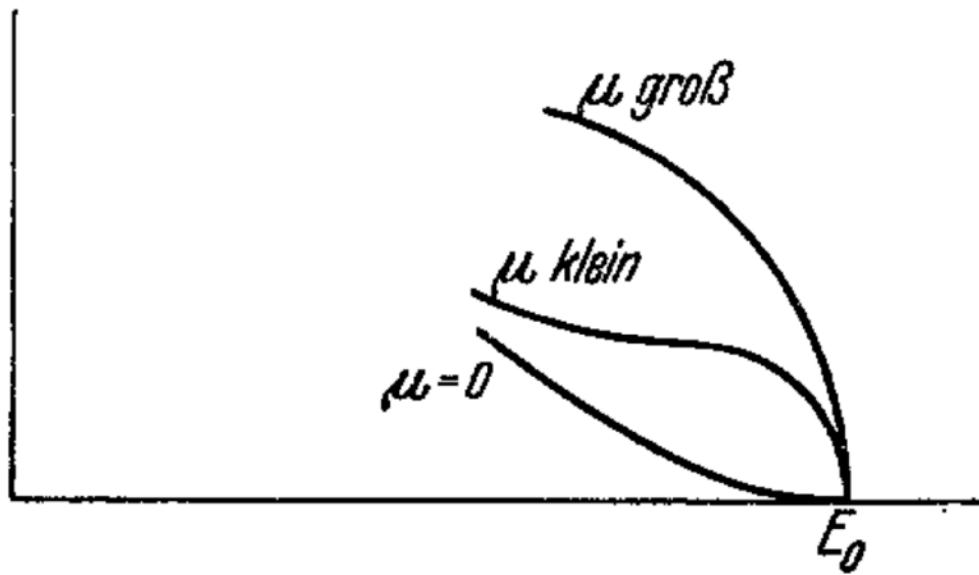
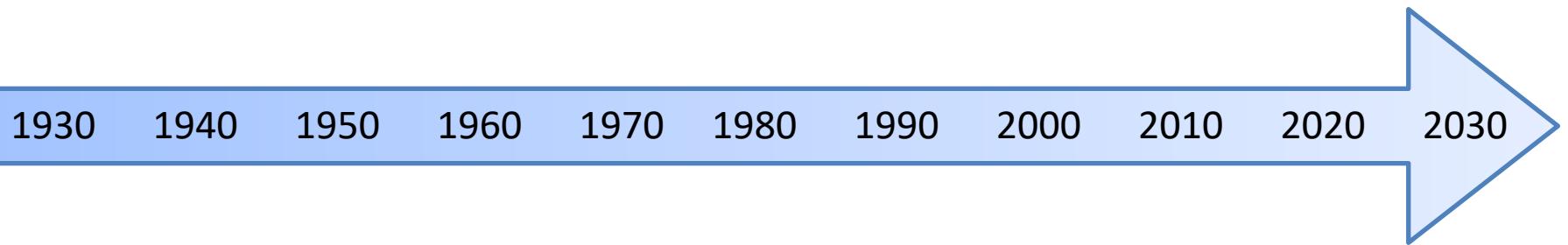
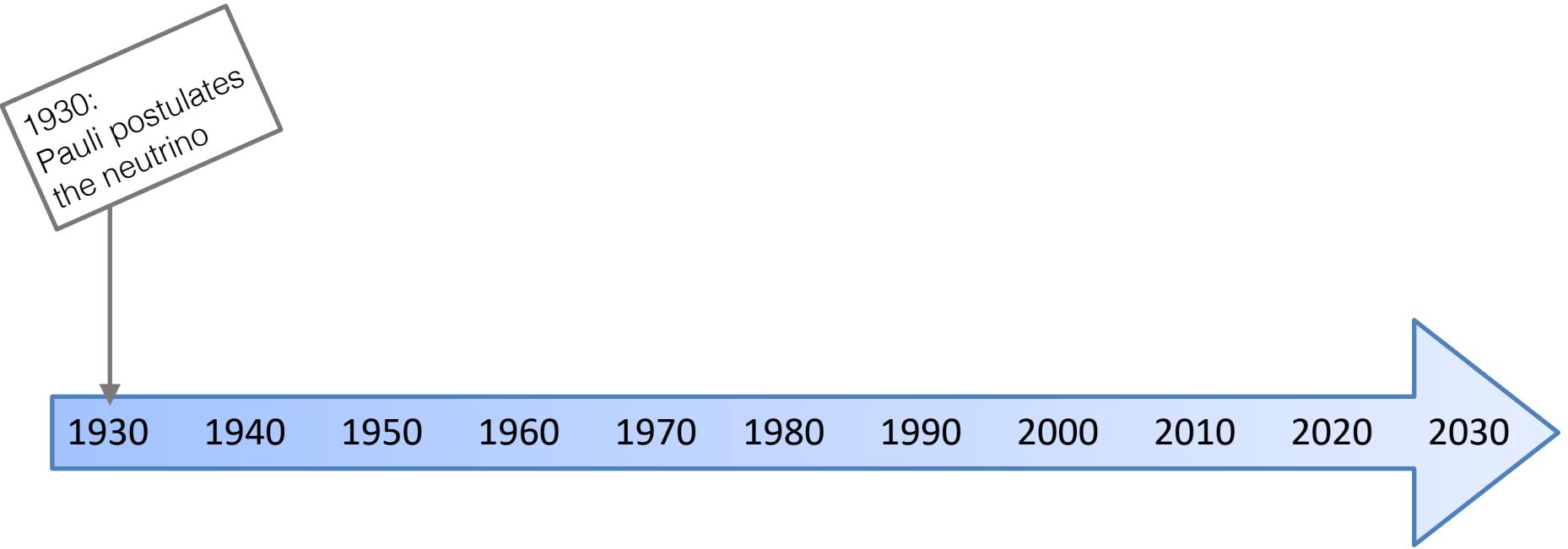


Fig. 1.

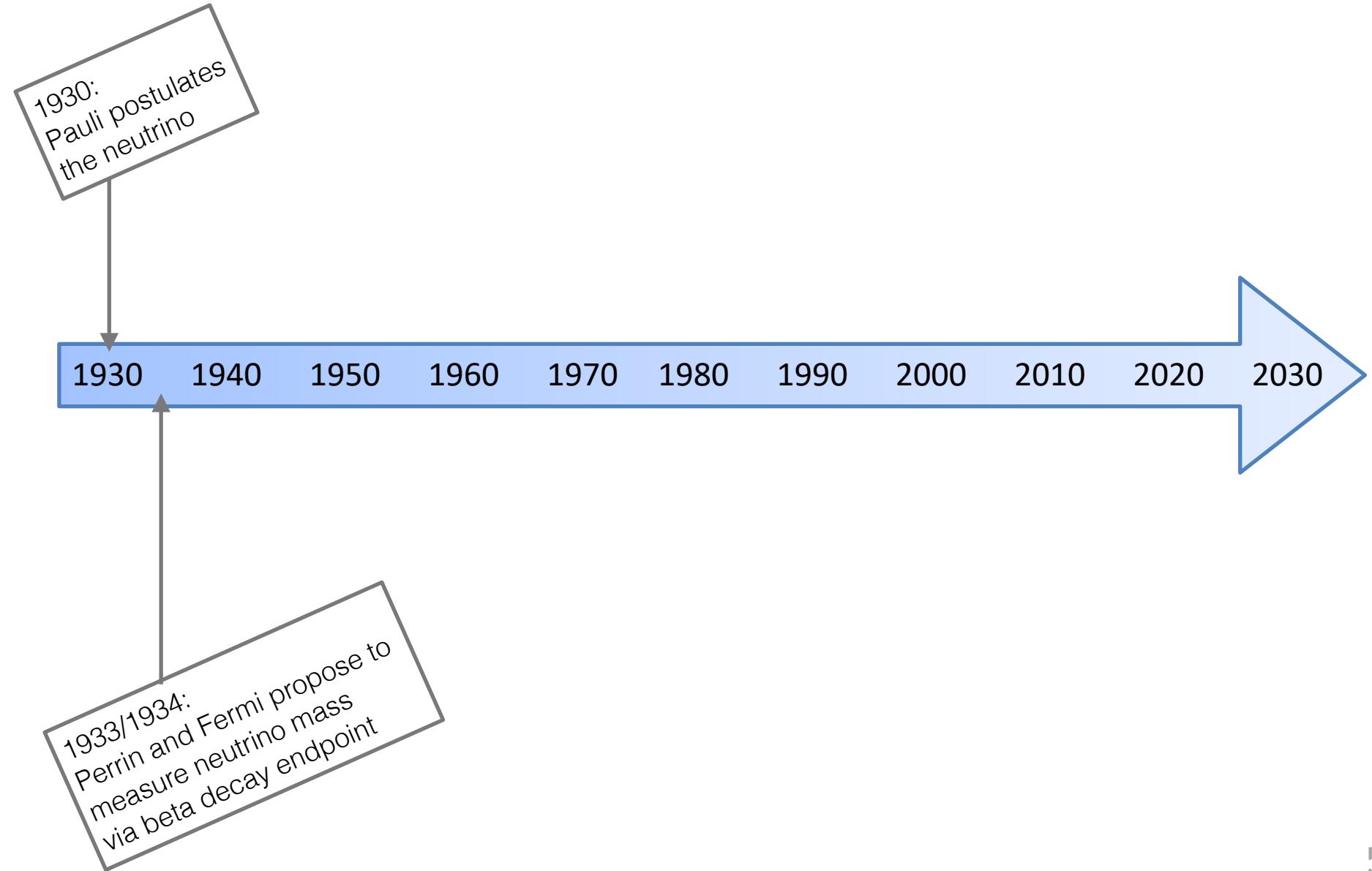
# Neutrino Highlights



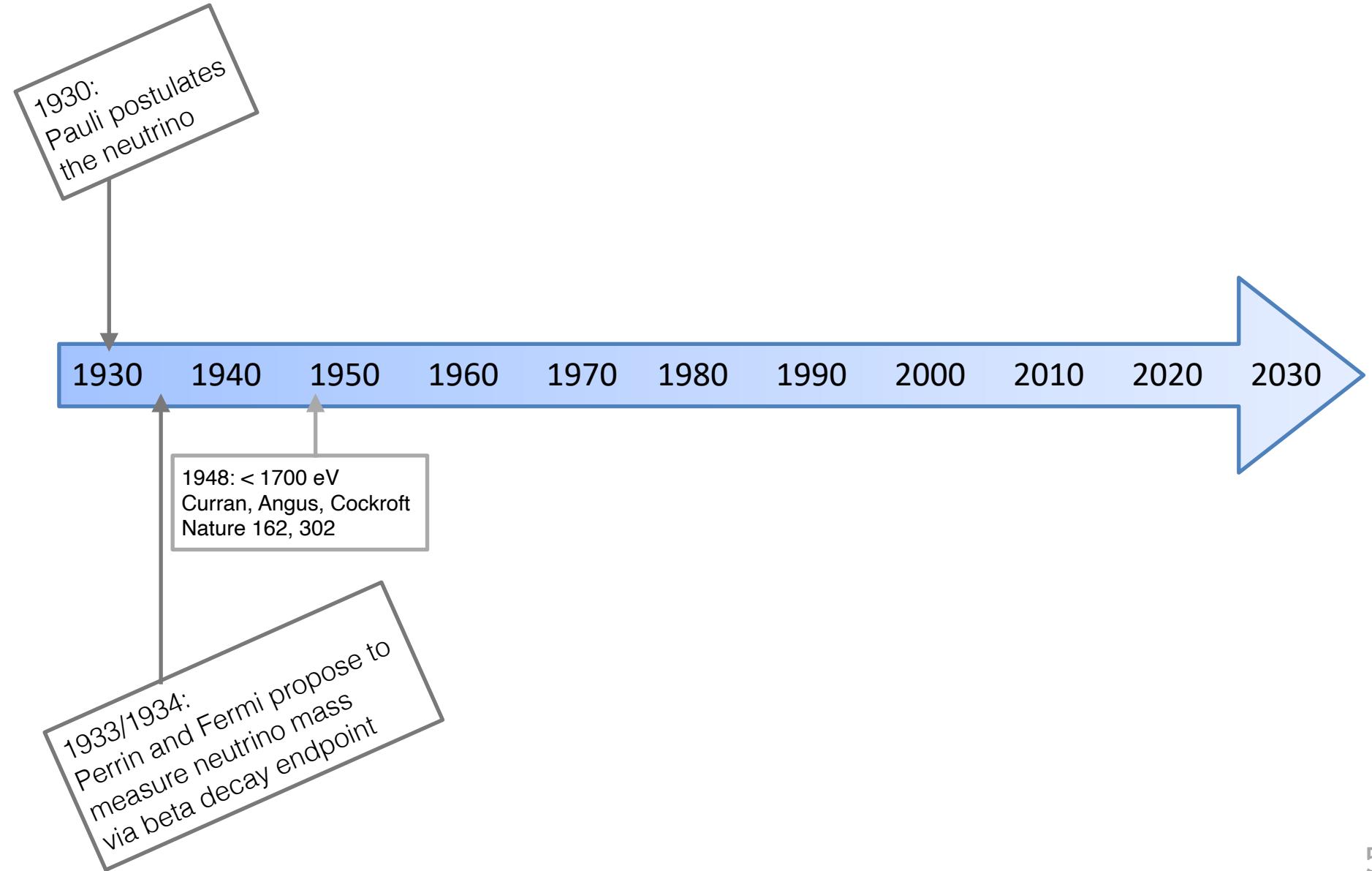
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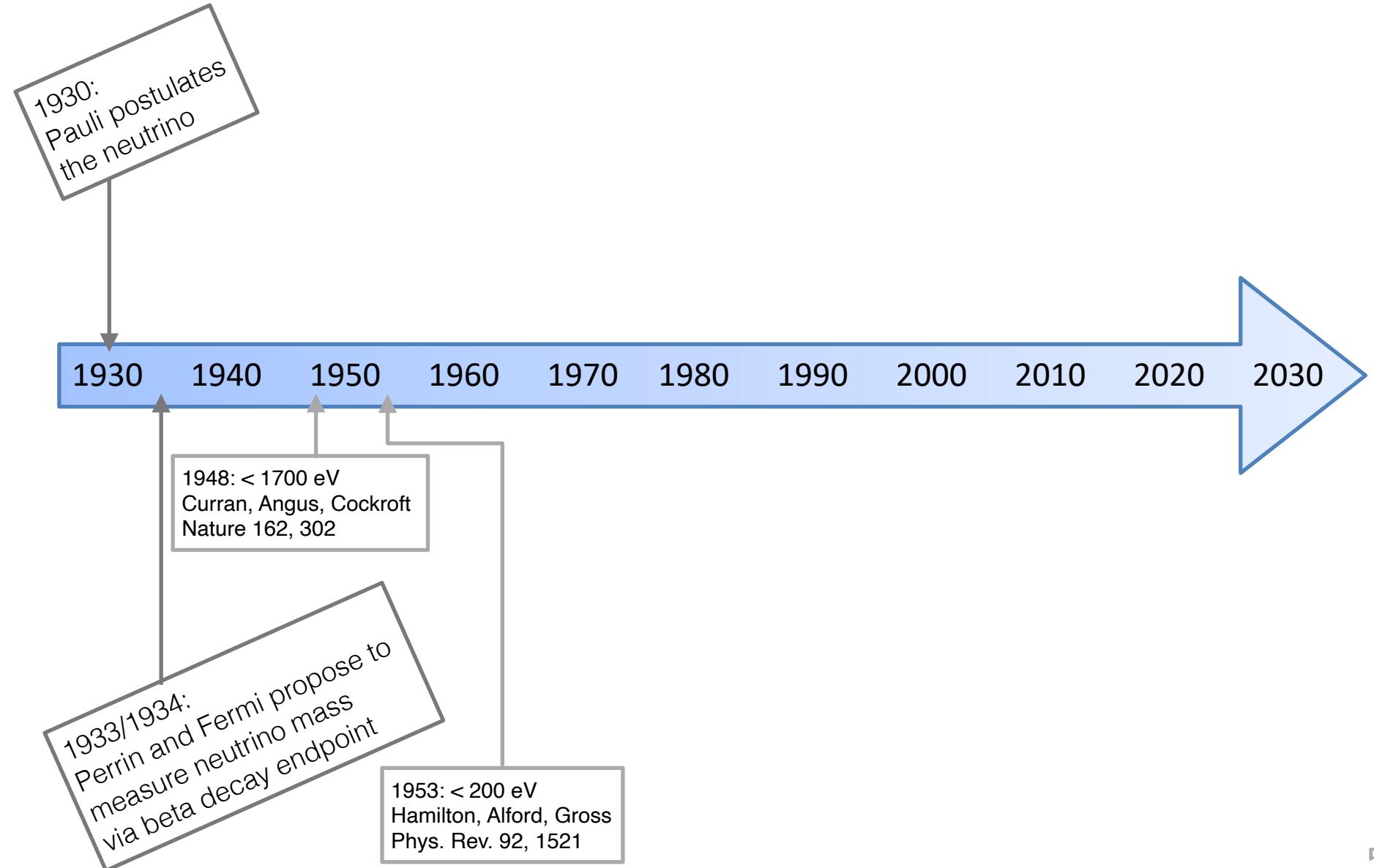
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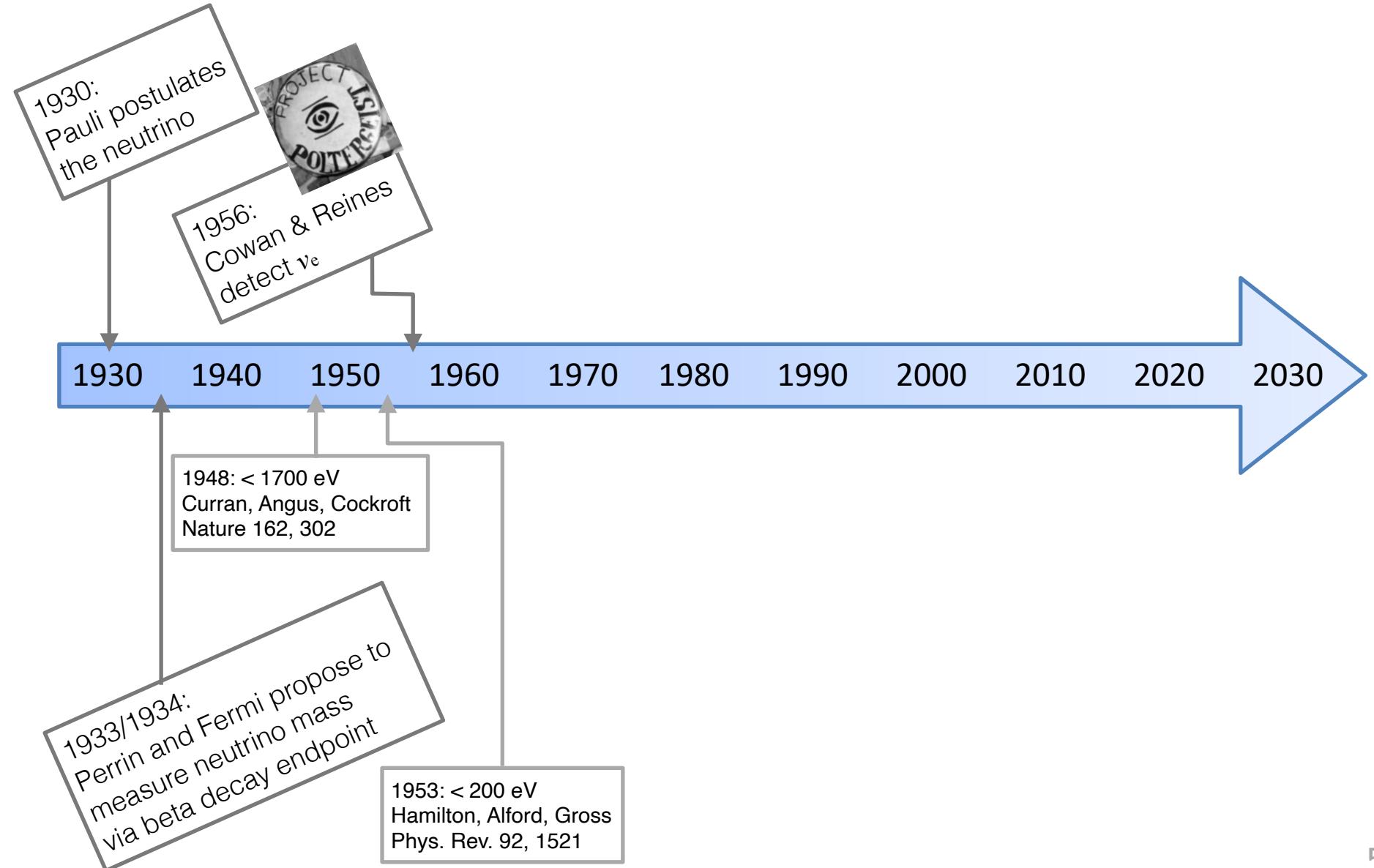
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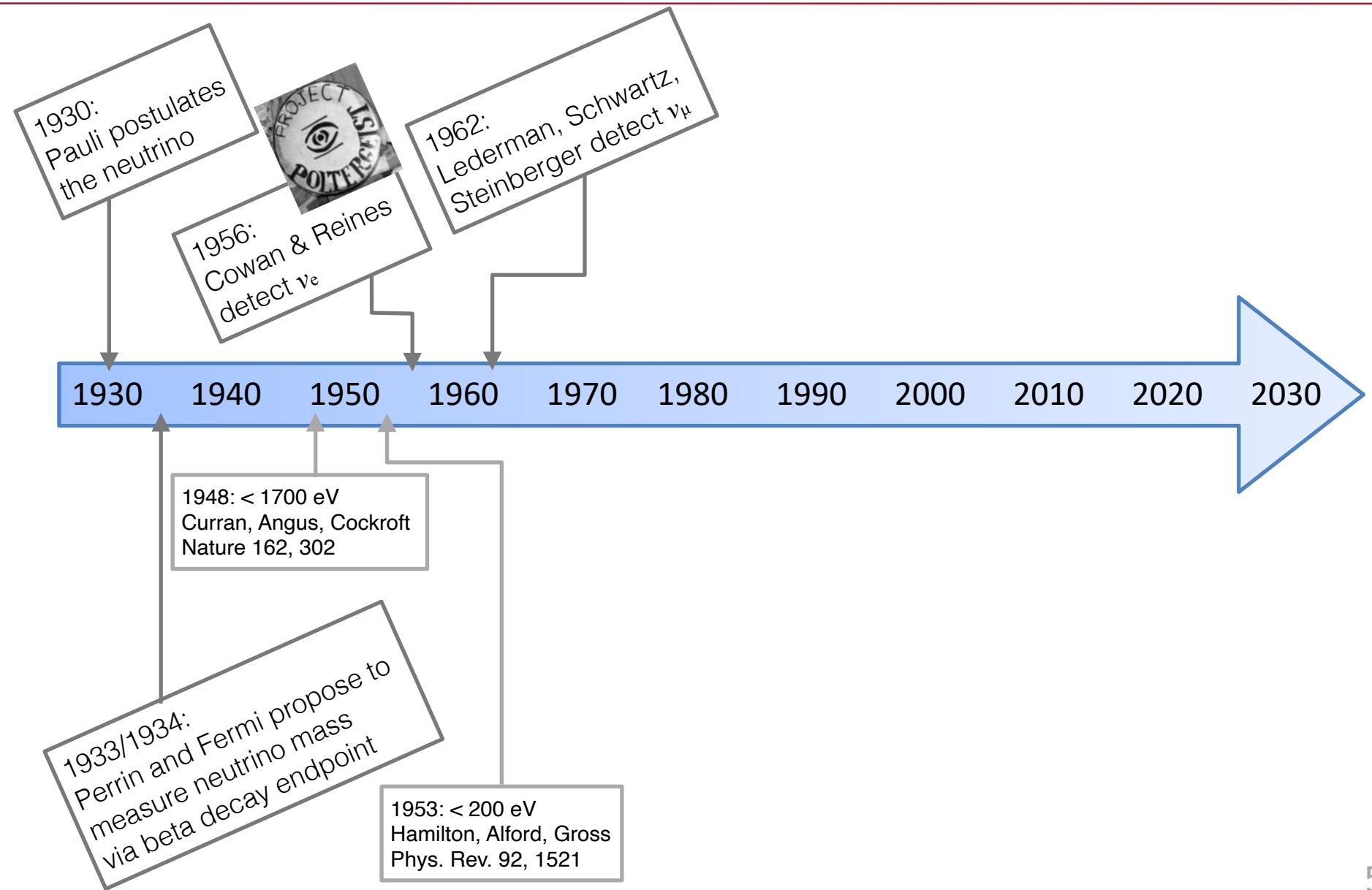
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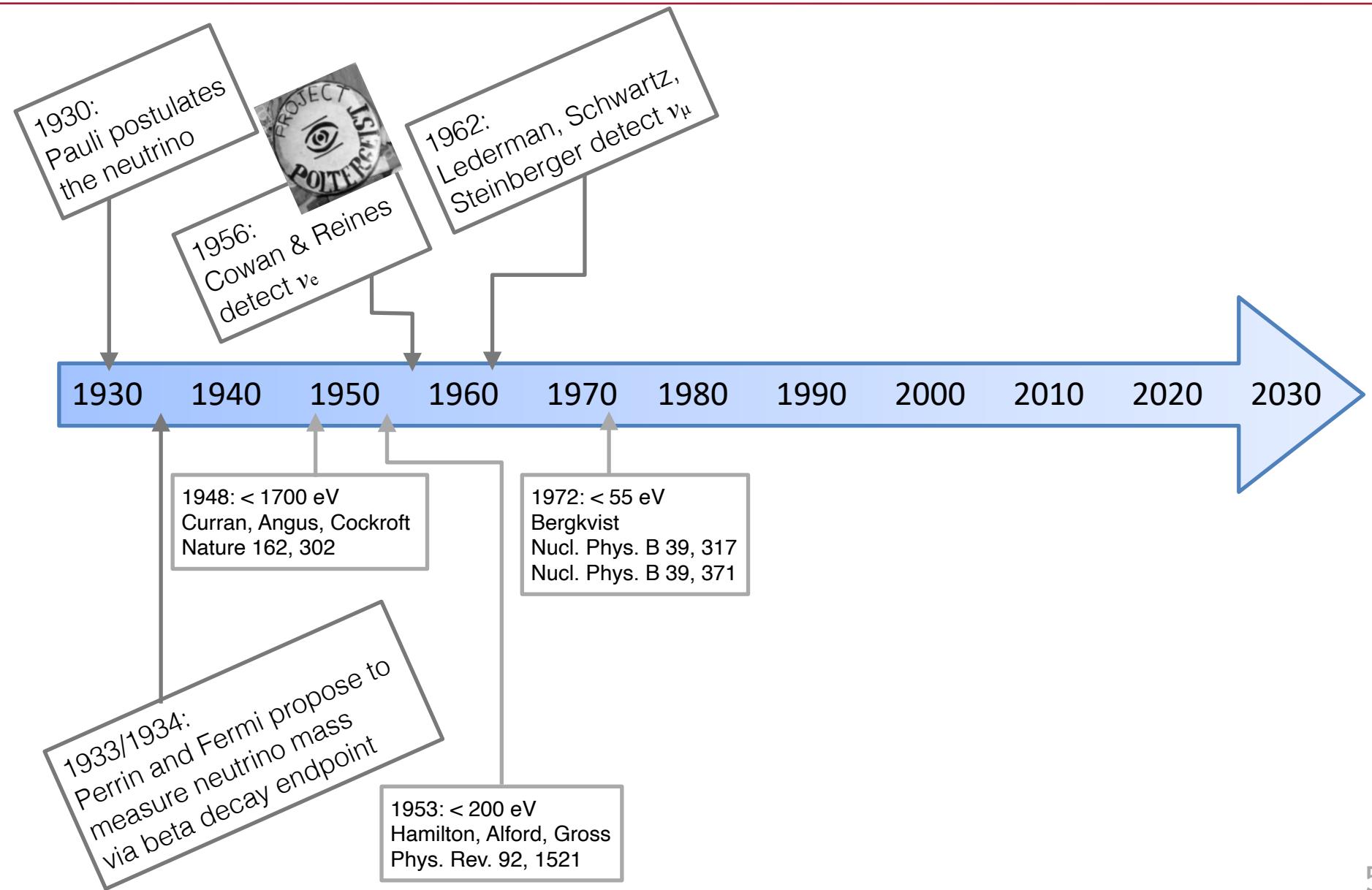
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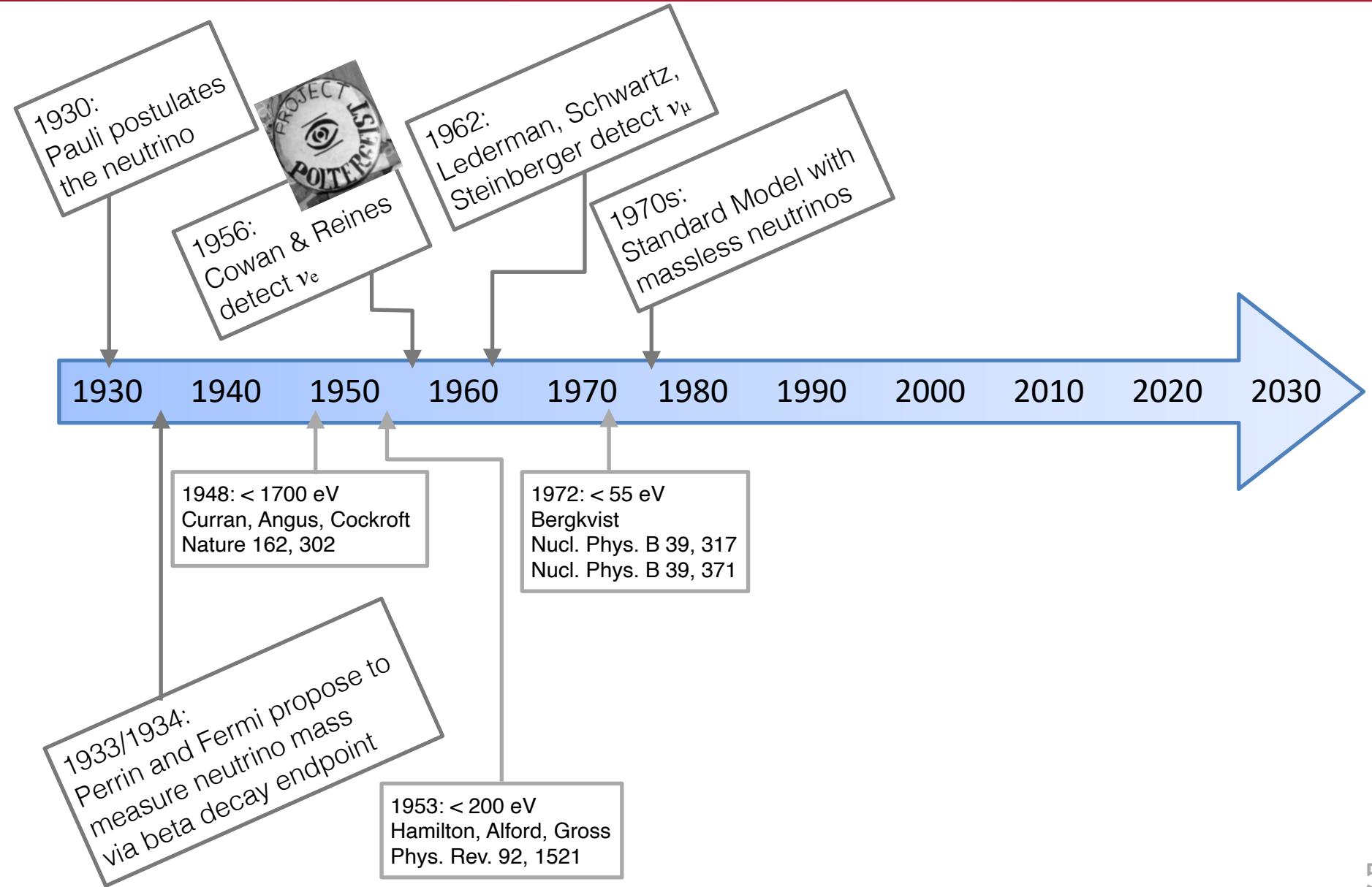
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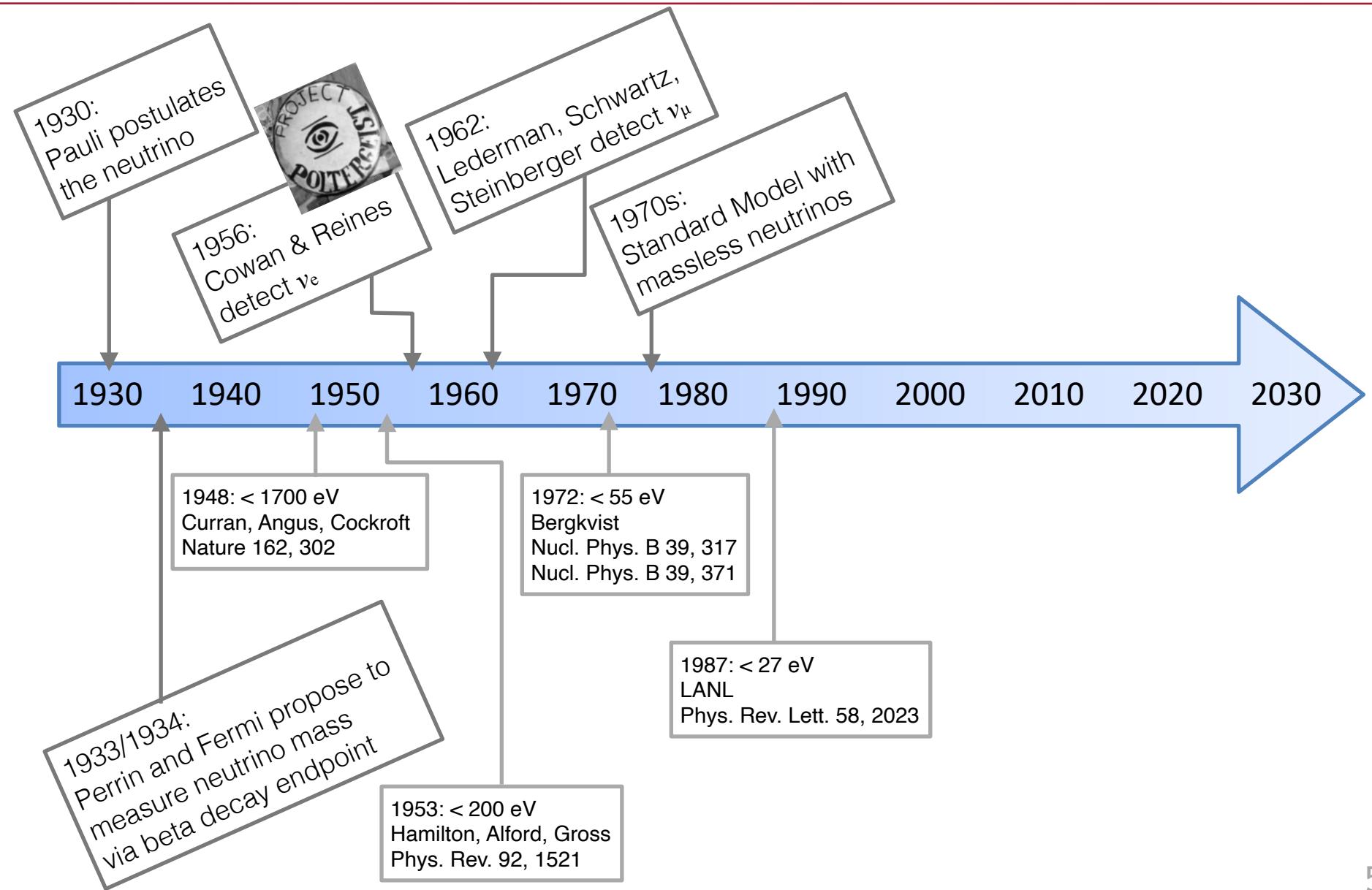
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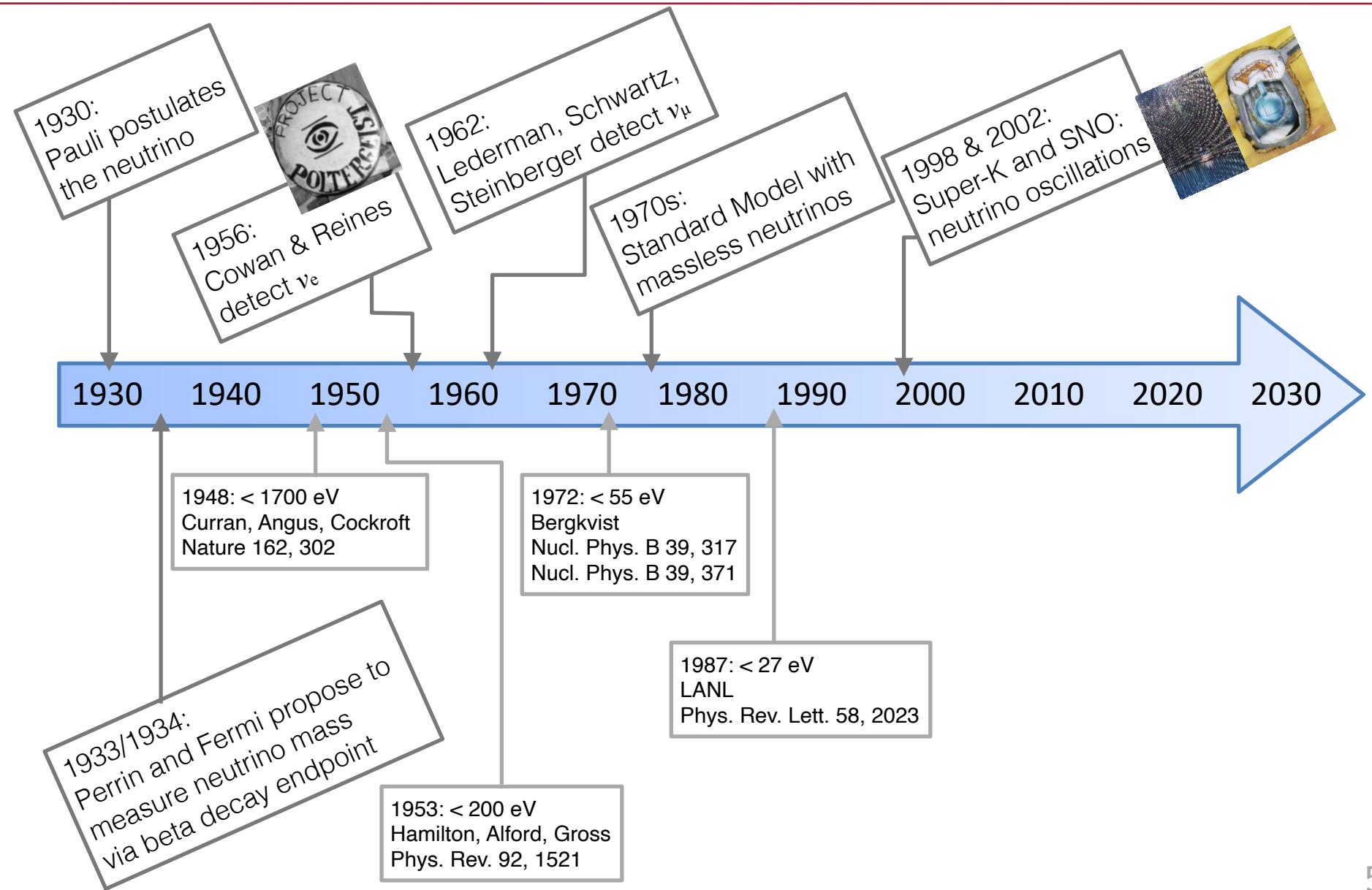
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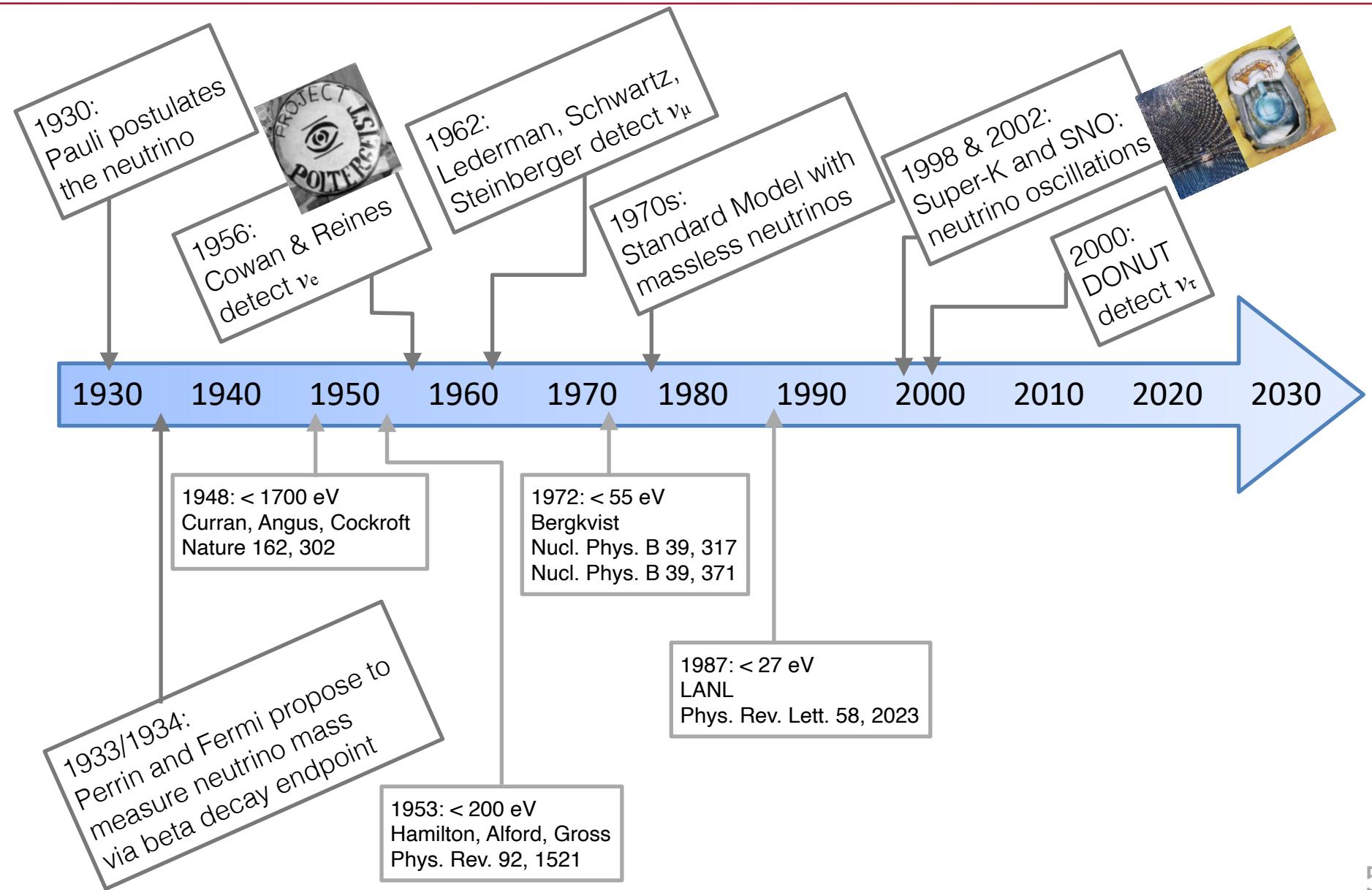
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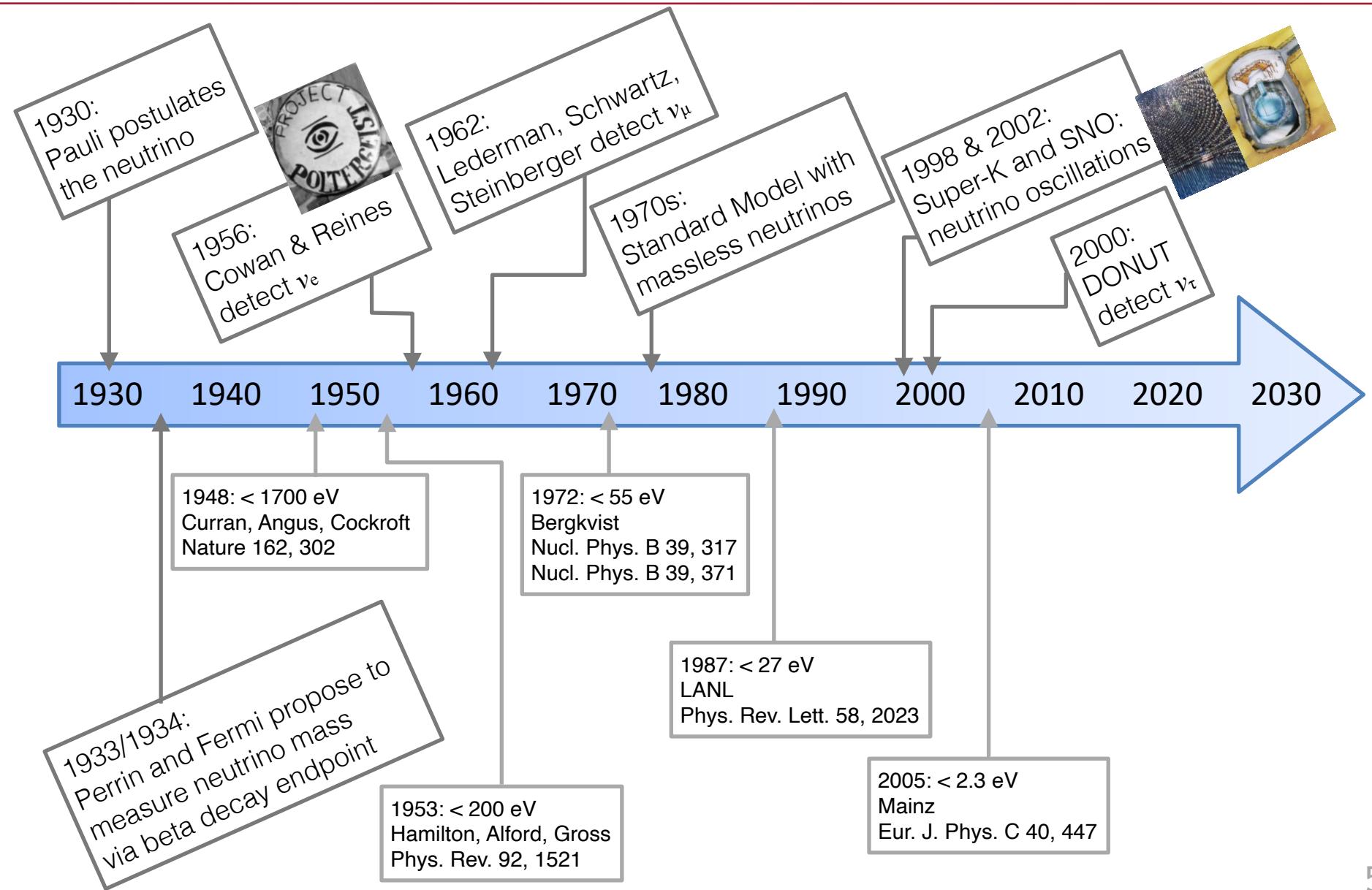
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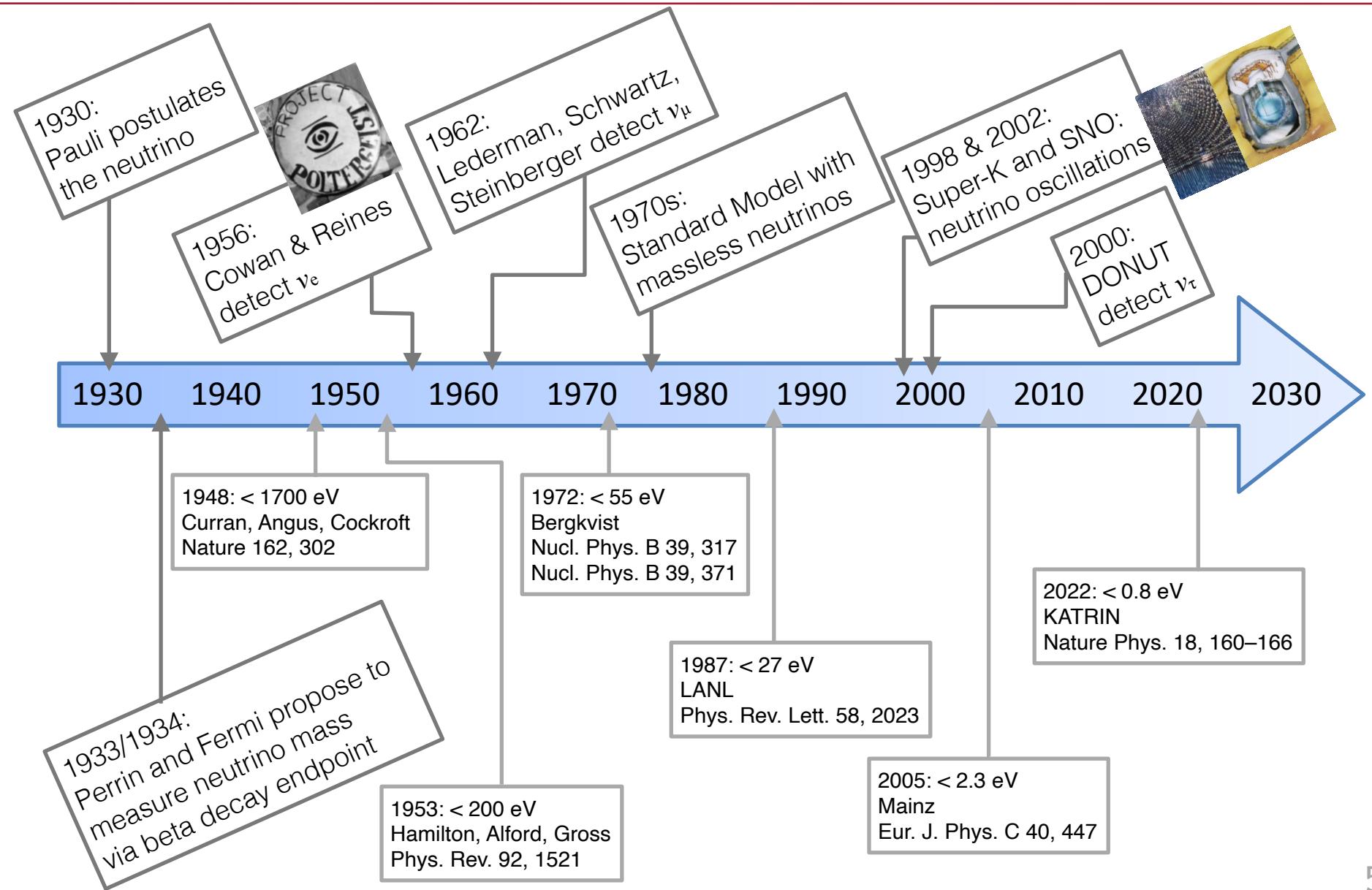
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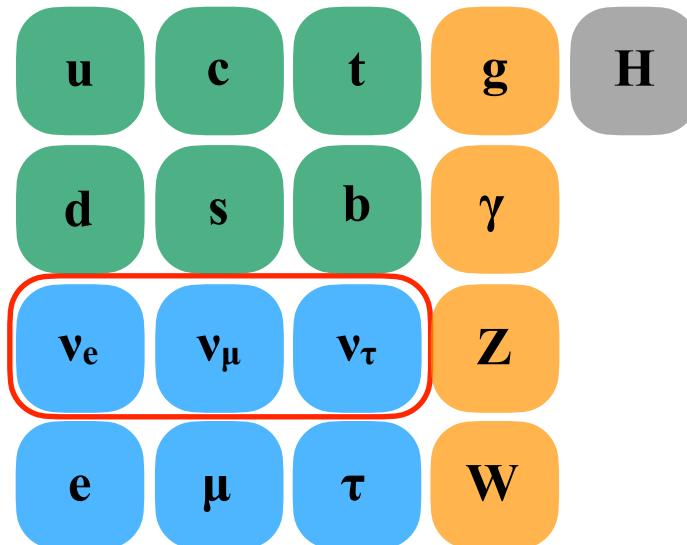


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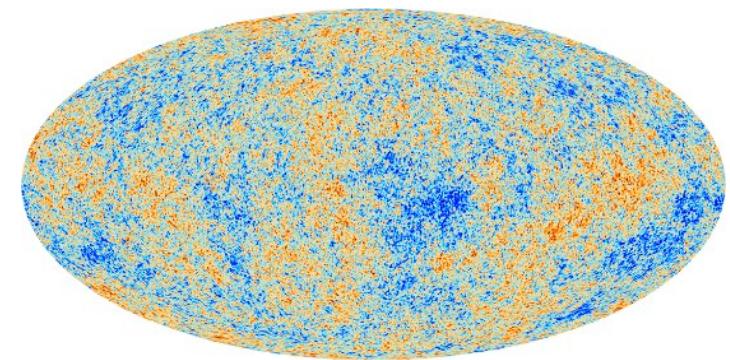


# Neutrino Mass

- Neutrinos oscillate  $|\nu_\alpha\rangle = \sum_i U_{\alpha i}^* |\nu_i\rangle \rightarrow$  mass eigenstates with non-zero mass differences
- Oscillations: only sensitive to mass differences  $\Delta m_{ij}^2$
- Absolute neutrino mass?
- Mechanism generating neutrino masses most likely beyond the Standard Model
- Impact on cosmic evolution

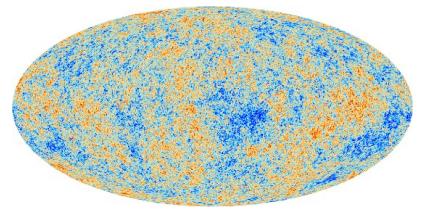


SM: massless  
neutrinos



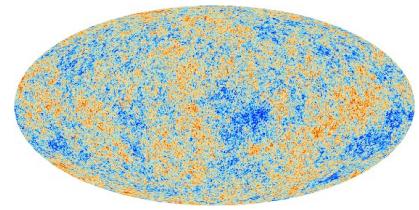
Cosmology: neutrino mass  
 $\rightarrow$  structure formation

# Neutrino Mass



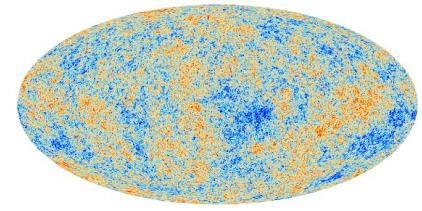
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- Cosmology: indirect, model-dependent, probing sum of masses
  - degeneracies between probed parameters
    - Planck + lensing + BAO:  $\sum m_i < 0.12 \text{ eV}$



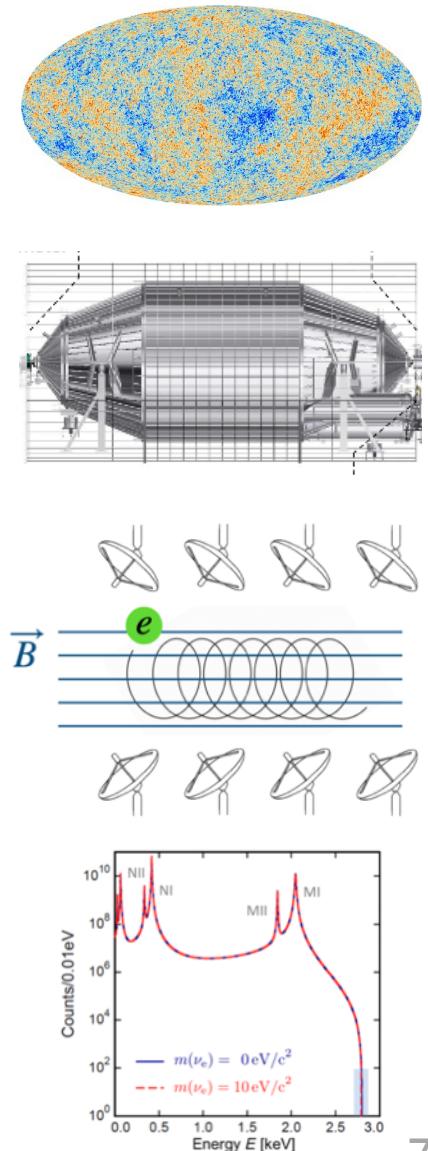
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  - Beta decay (Tritium)  $m_\beta^2 = \sum |U_{ei}|^2 m_i^2$ 
    - KATRIN:  $m_\beta < 0.8 \text{ eV}$  (90 % C. L.)
    - Project 8
  - Electron capture (Holmium)
    - ECHo, HoLMES



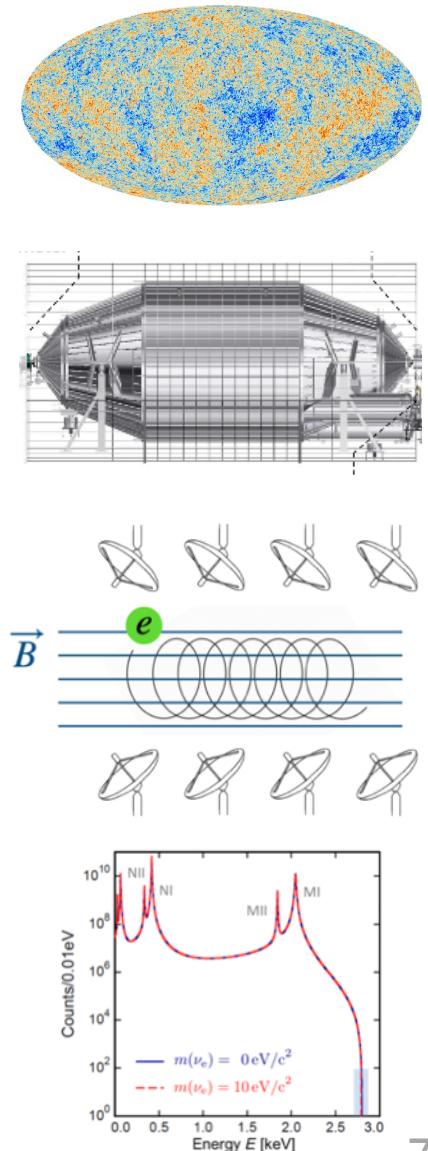
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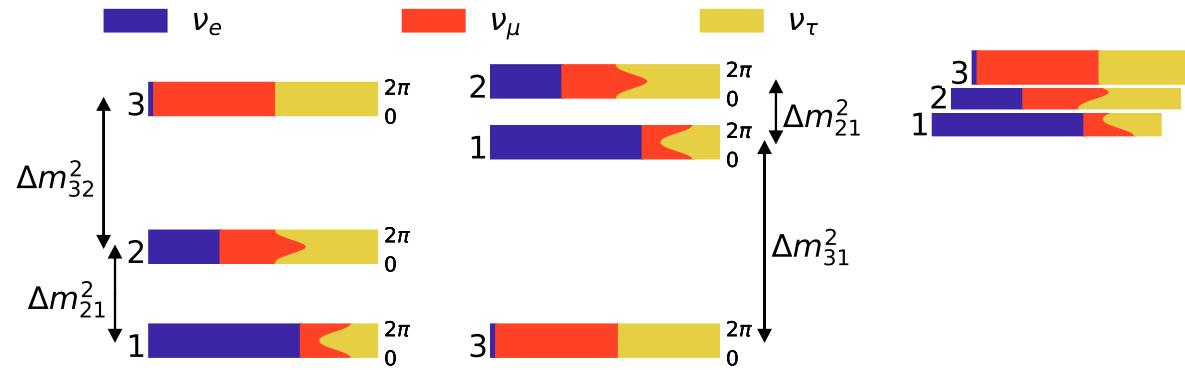
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- Laboratory mass measurement: Input for cosmology!



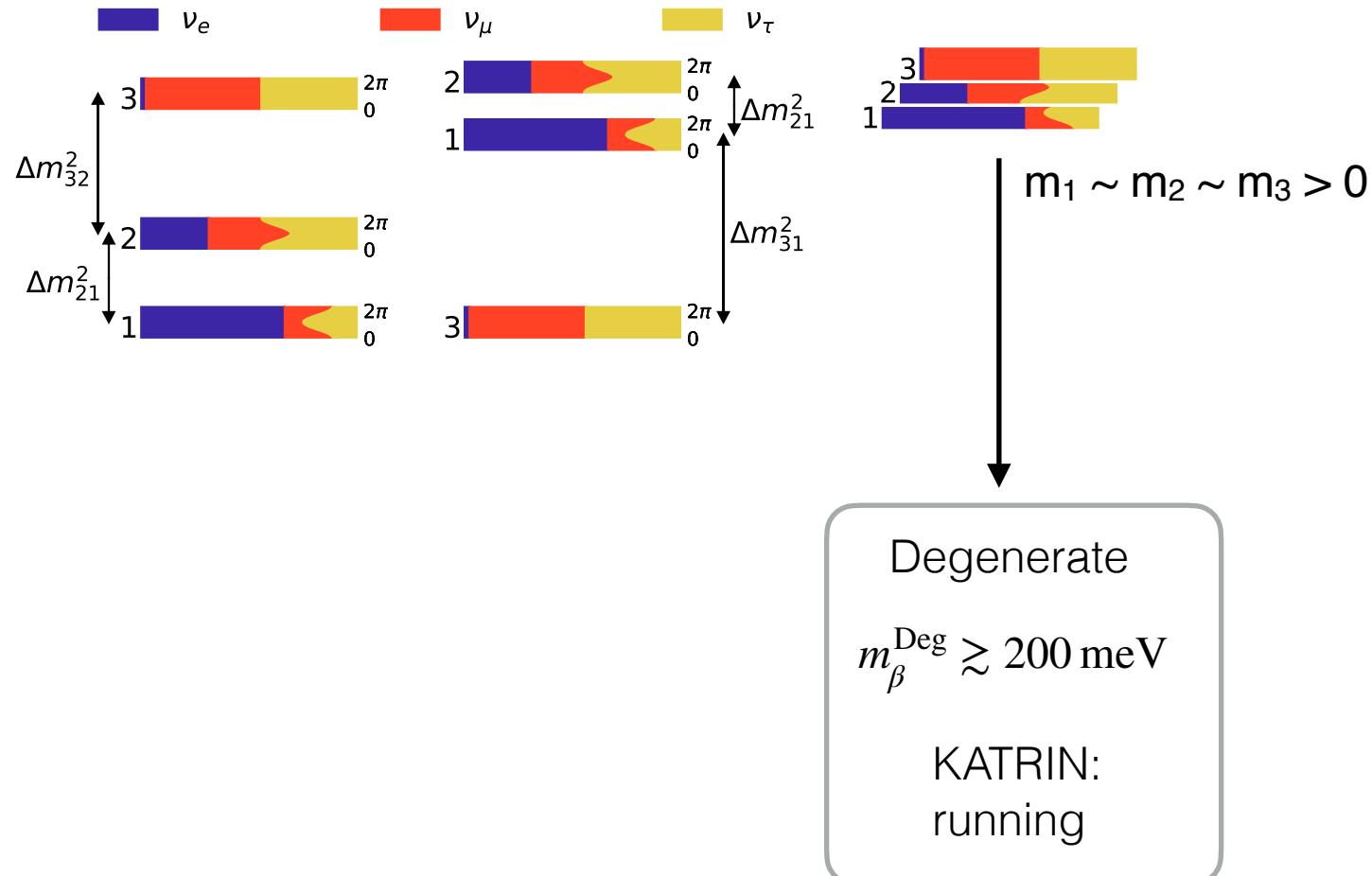
# Neutrino Mass

$$m_\beta^2 = \sum |U_{ei}|^2 m_i^2$$



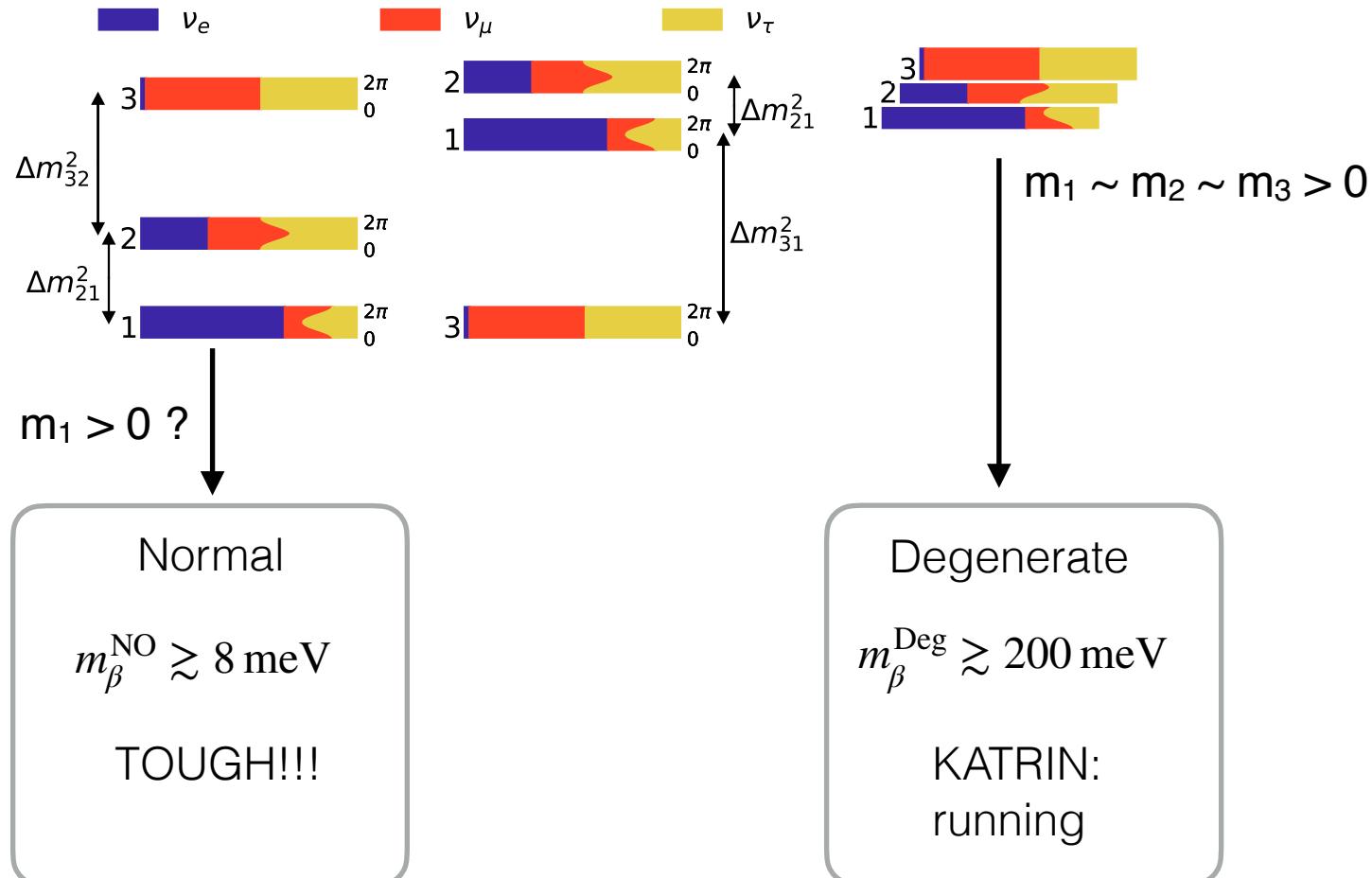
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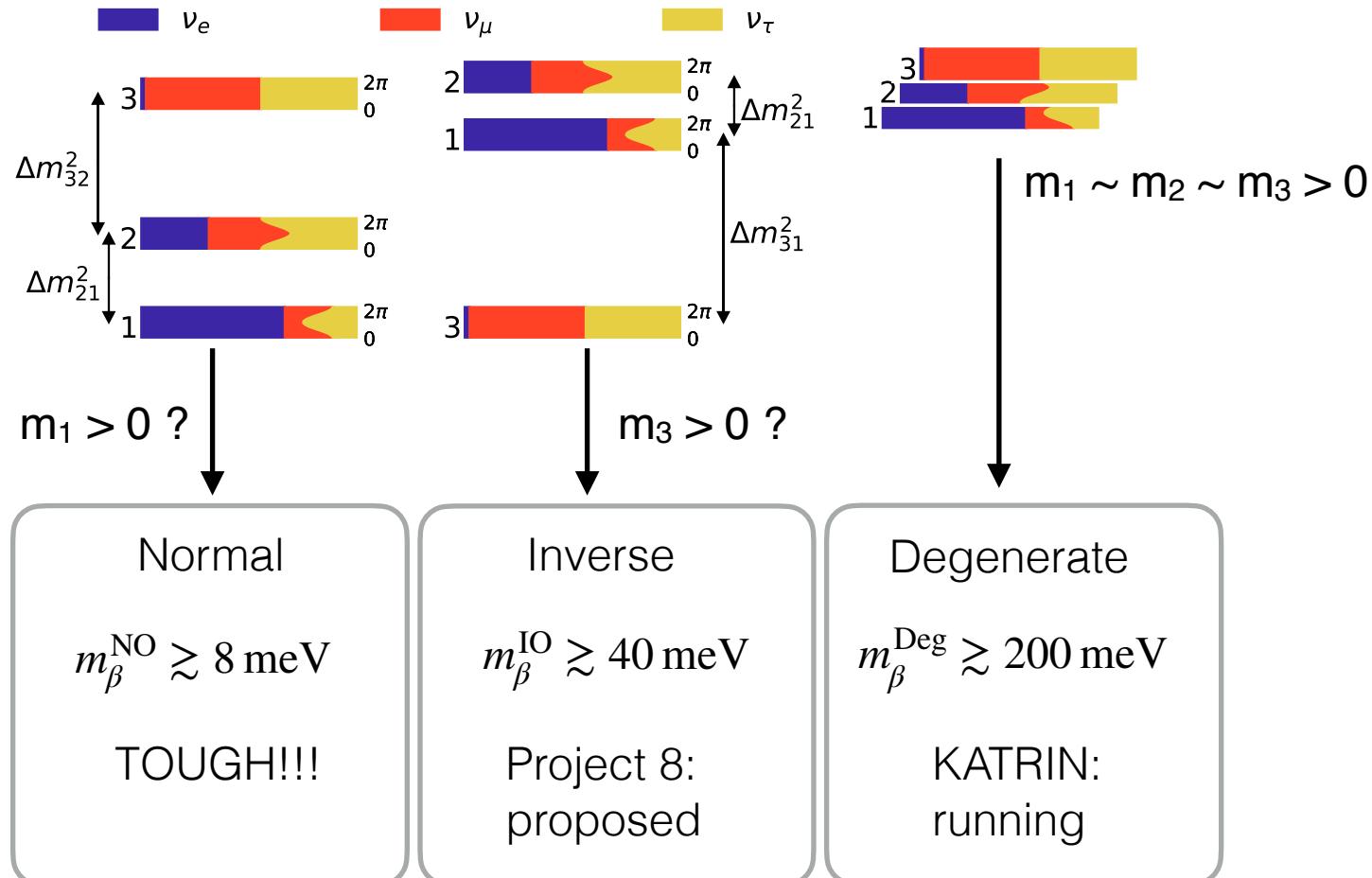
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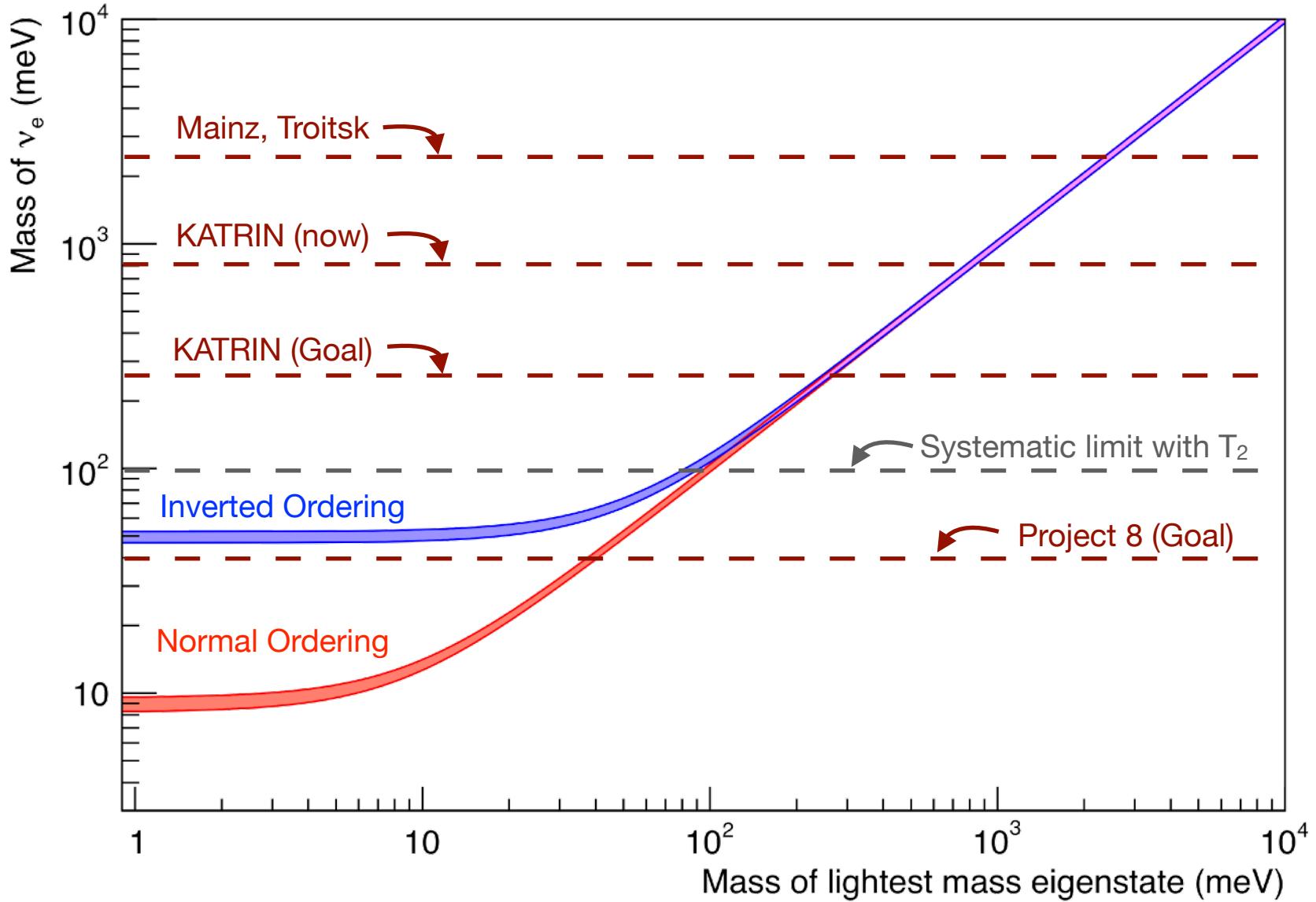


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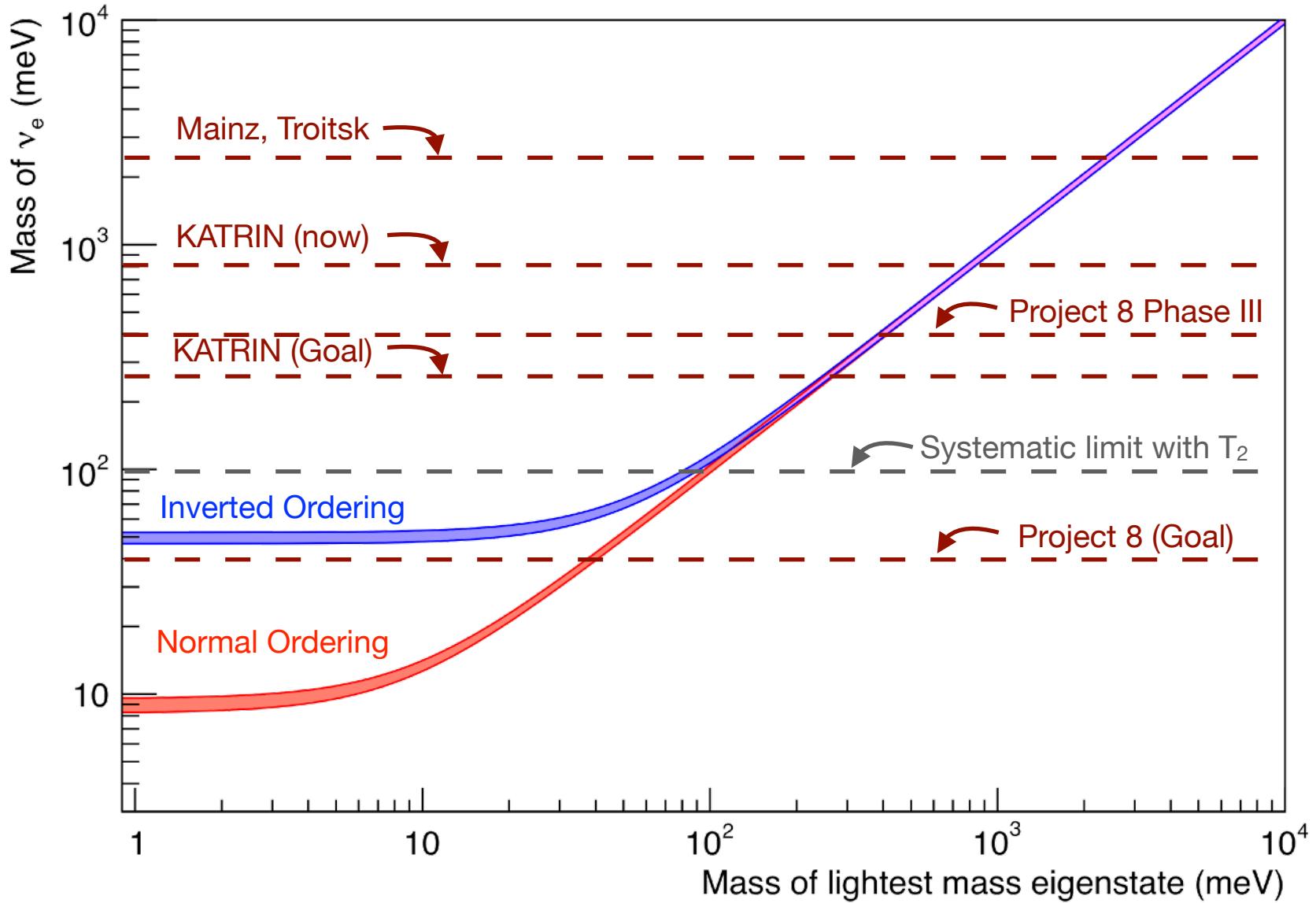
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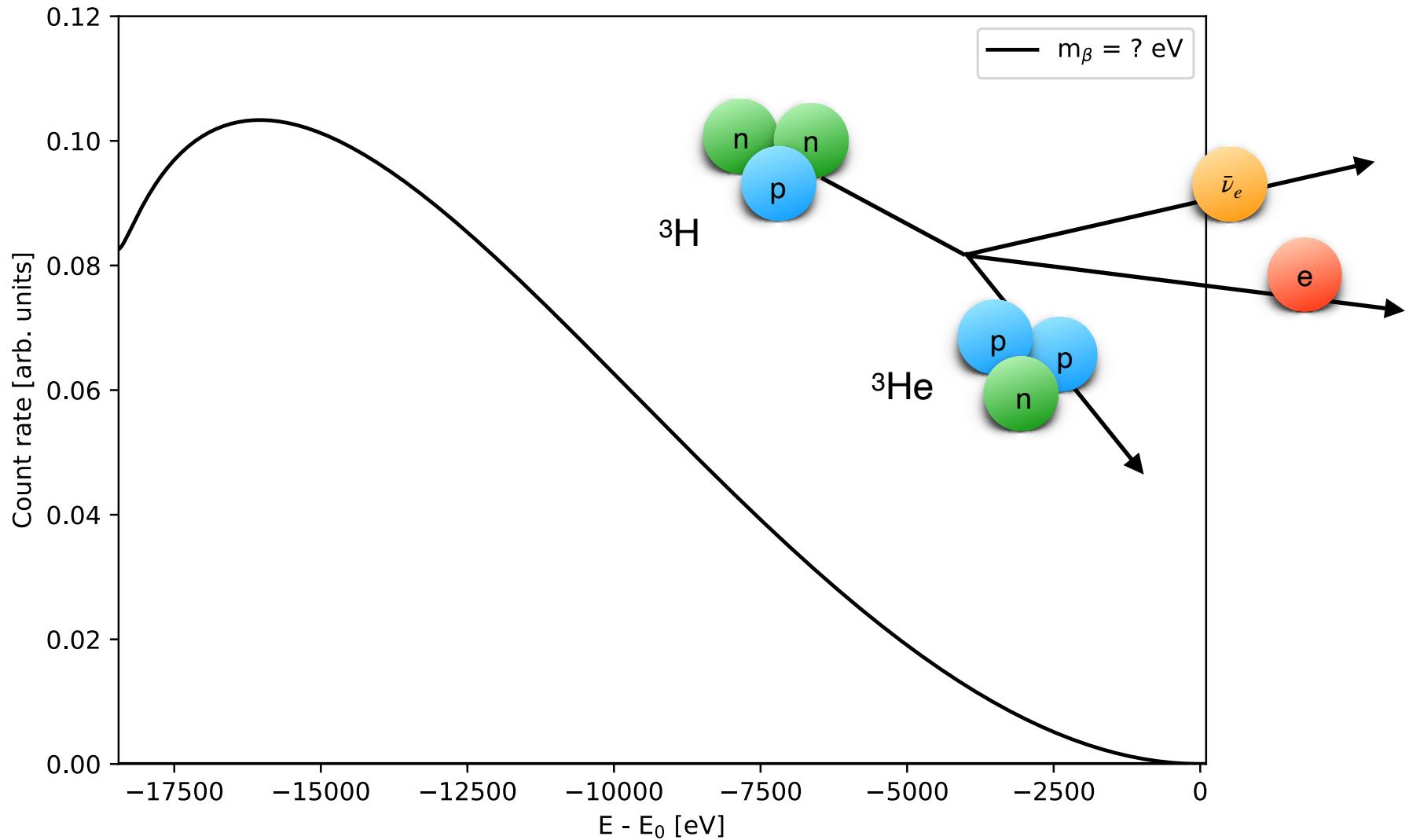
# Project 8 Goal



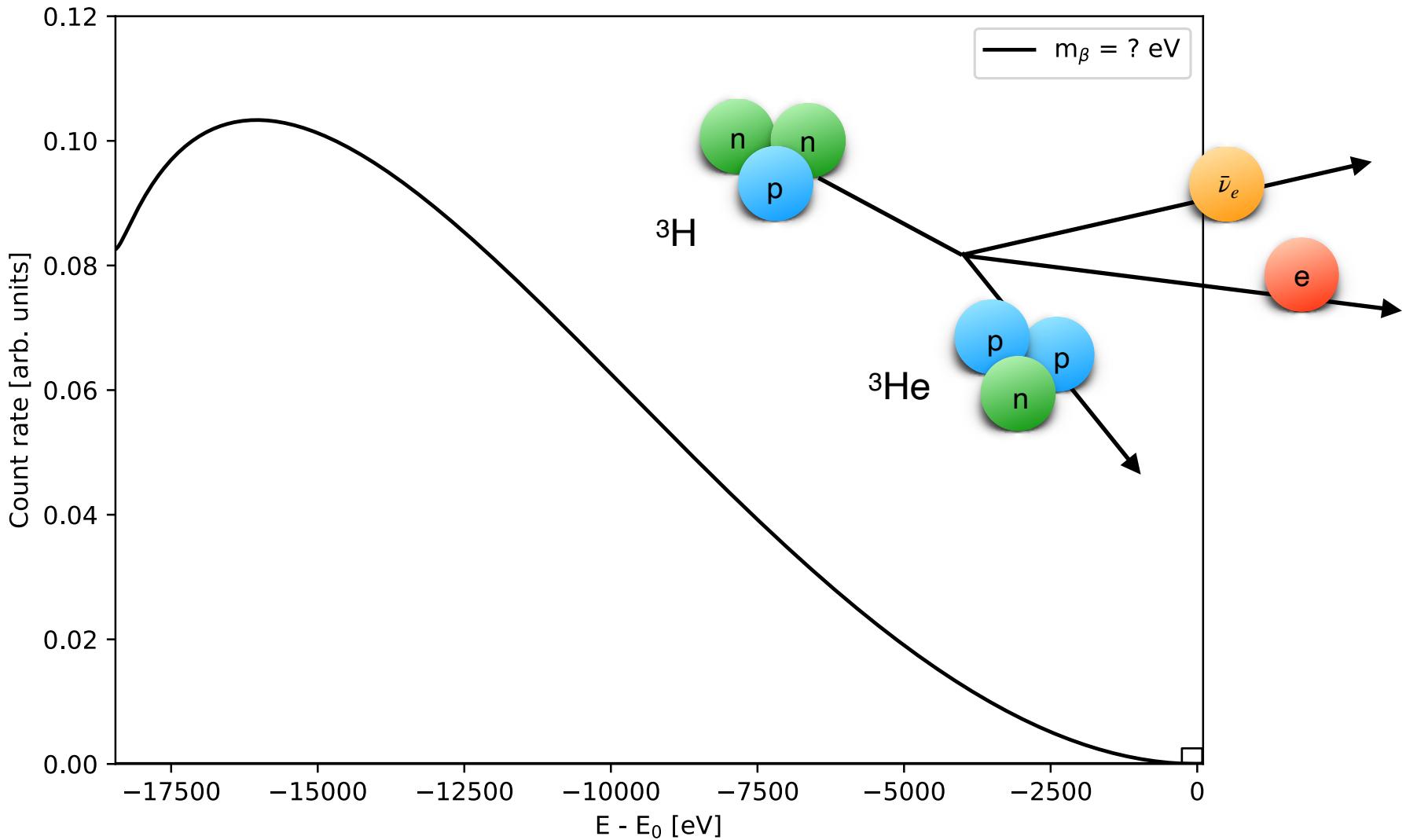
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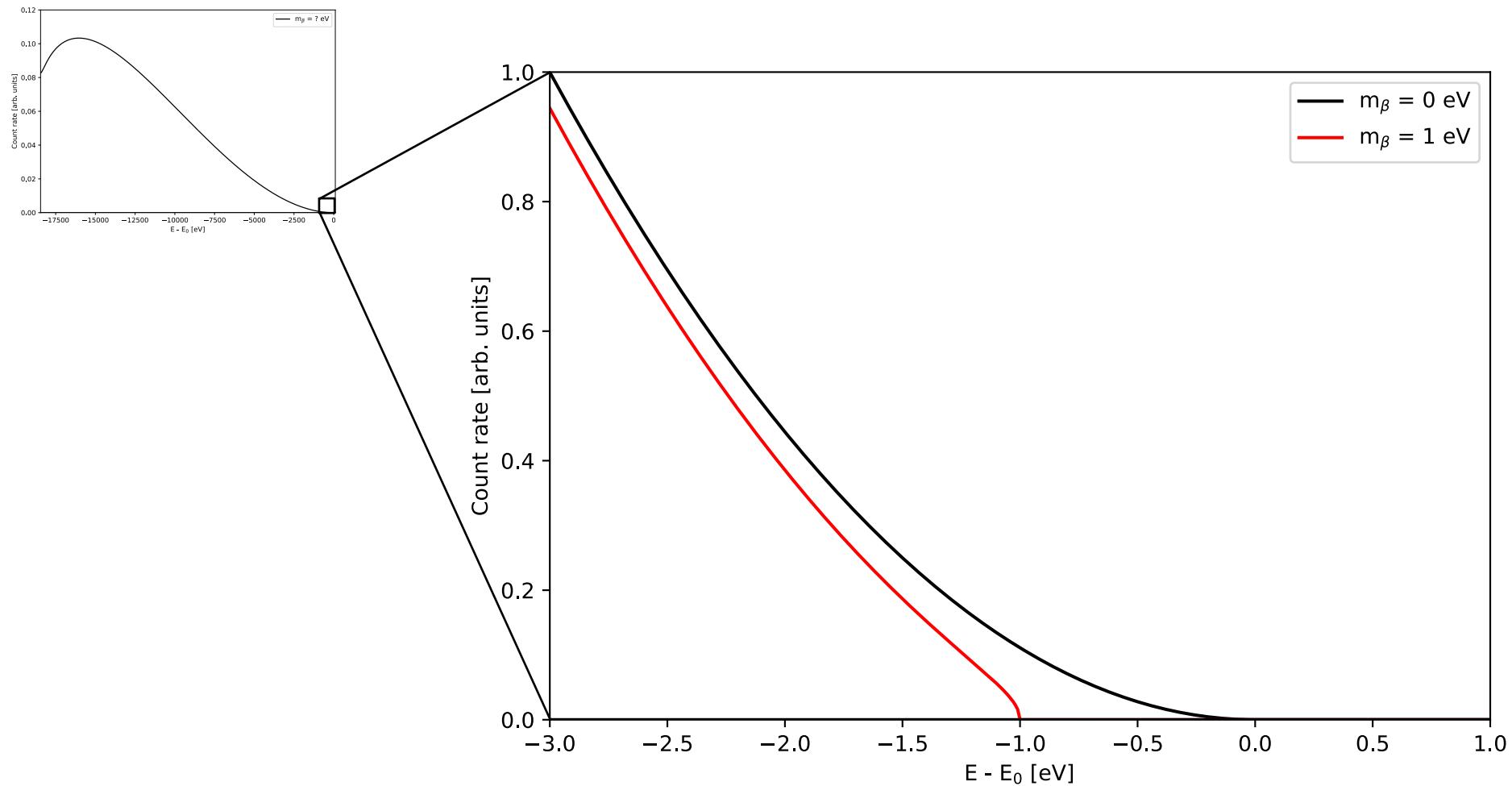
# Beta Decay Spectrum



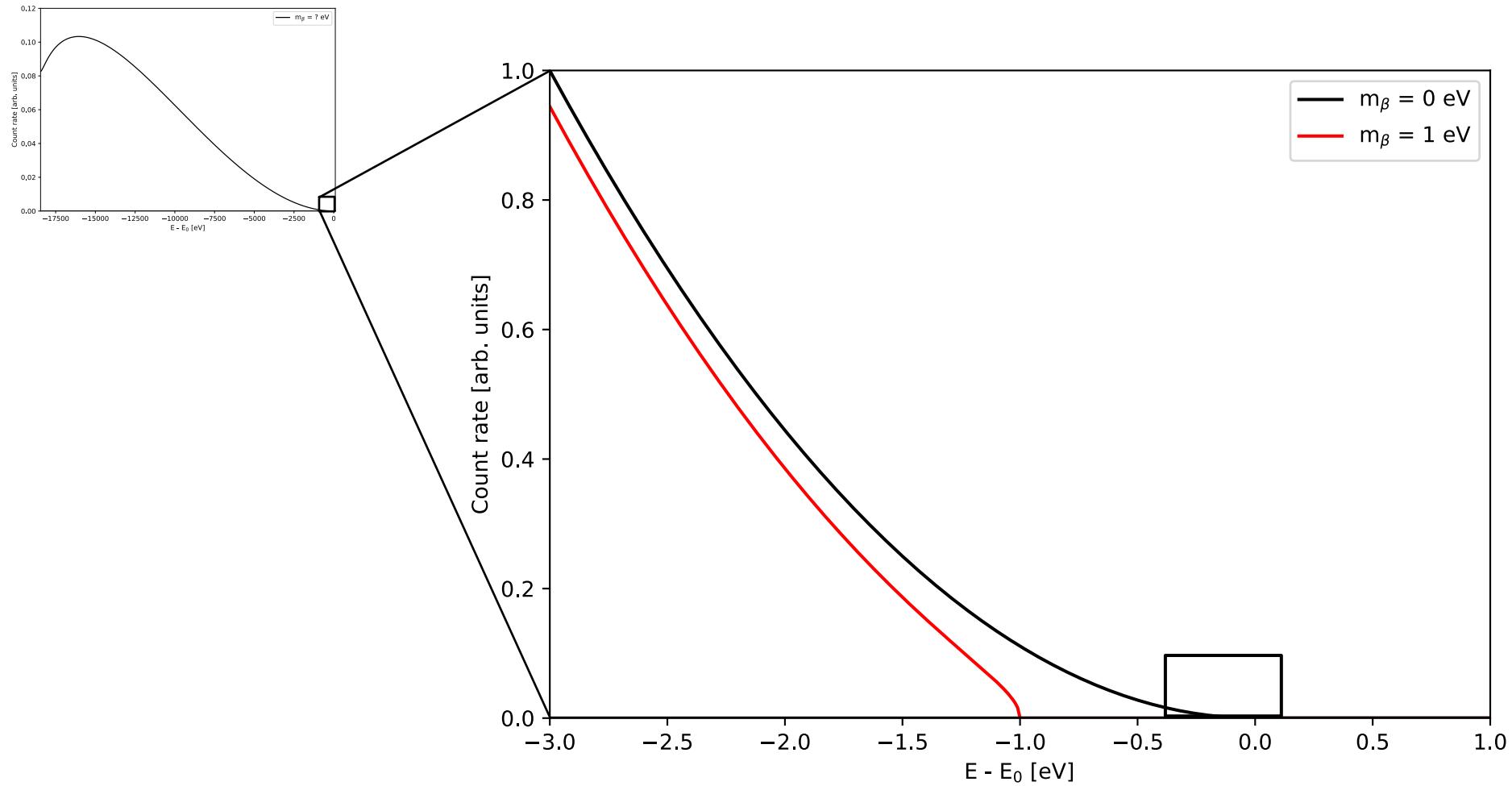
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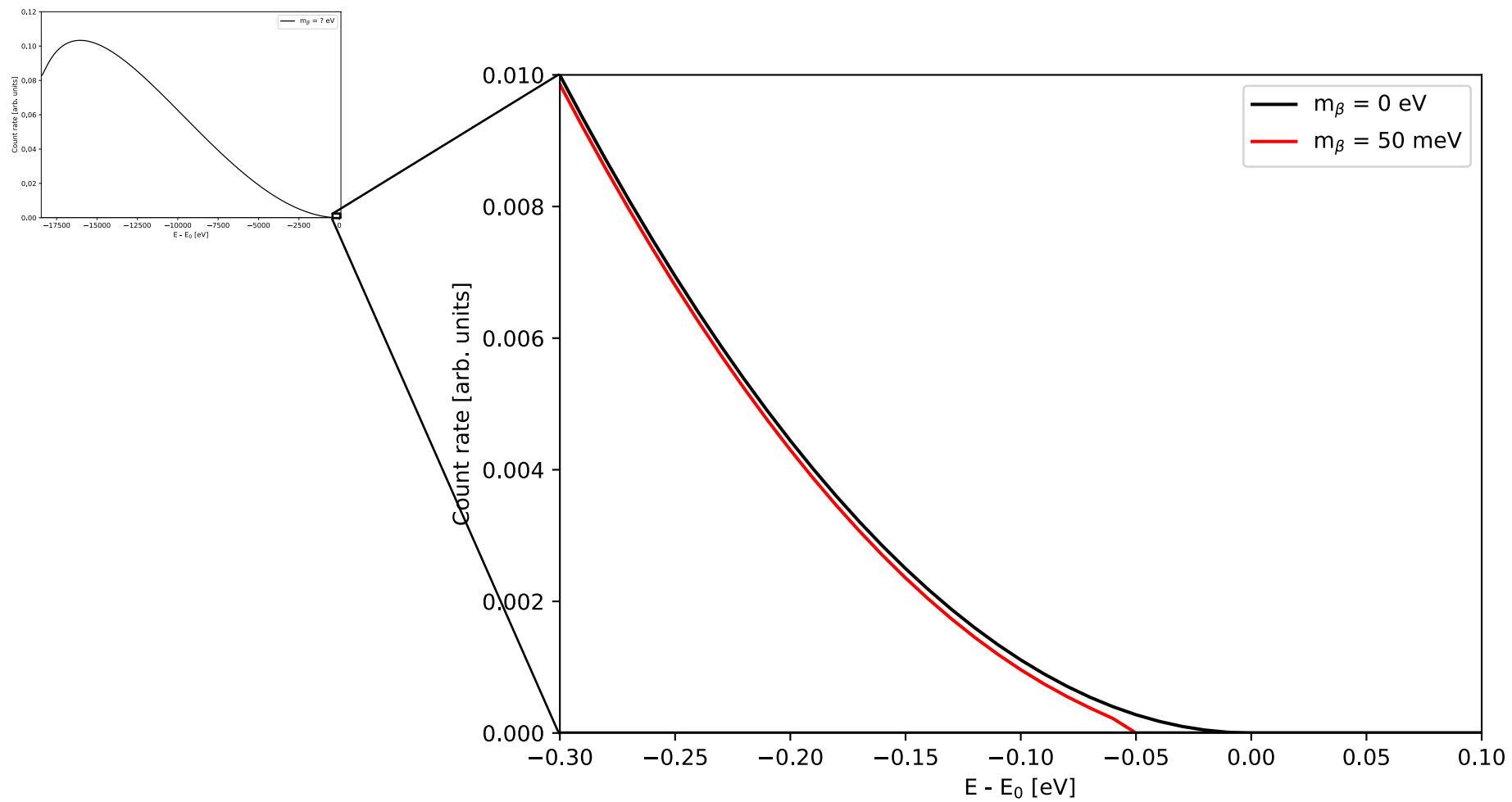
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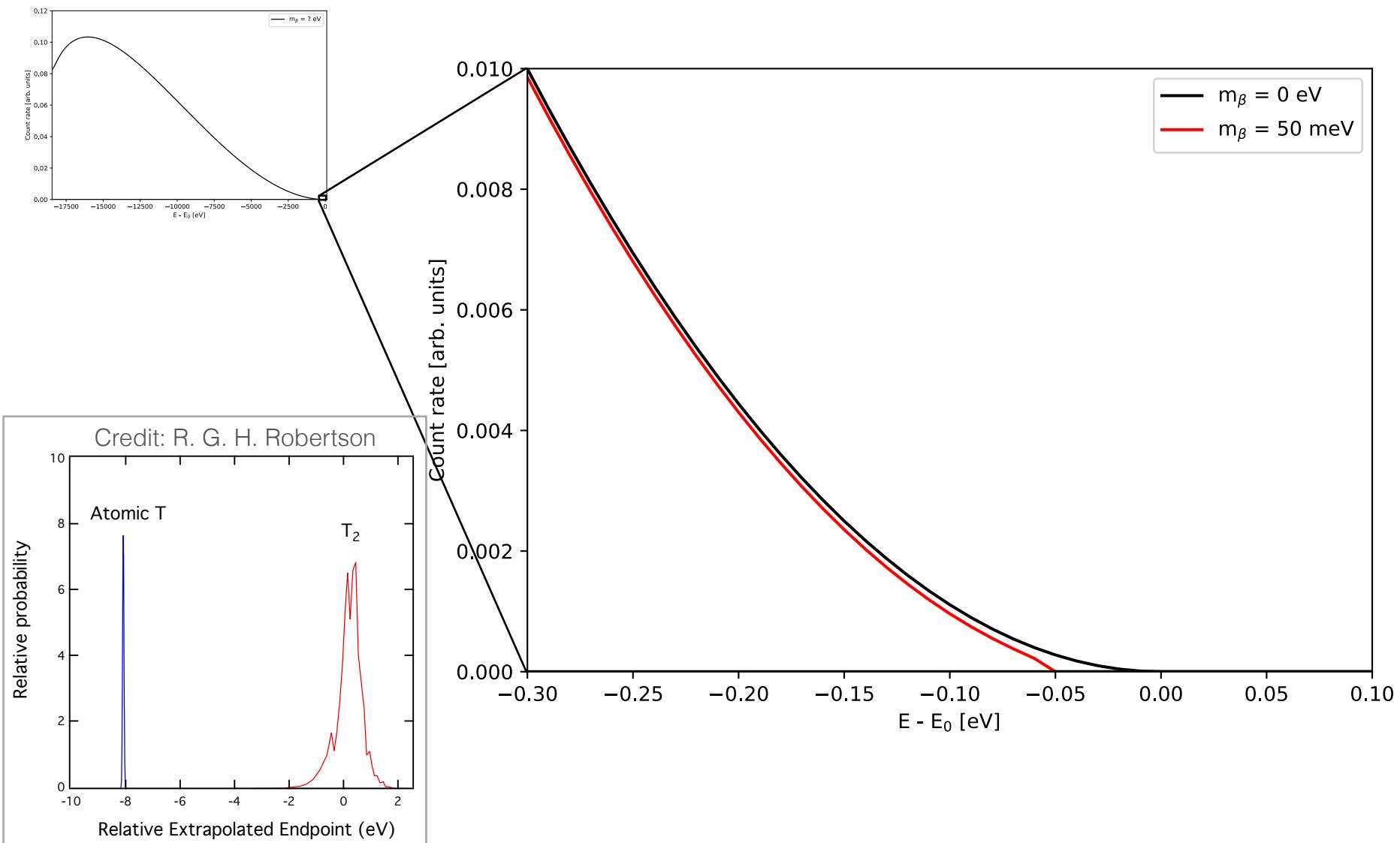
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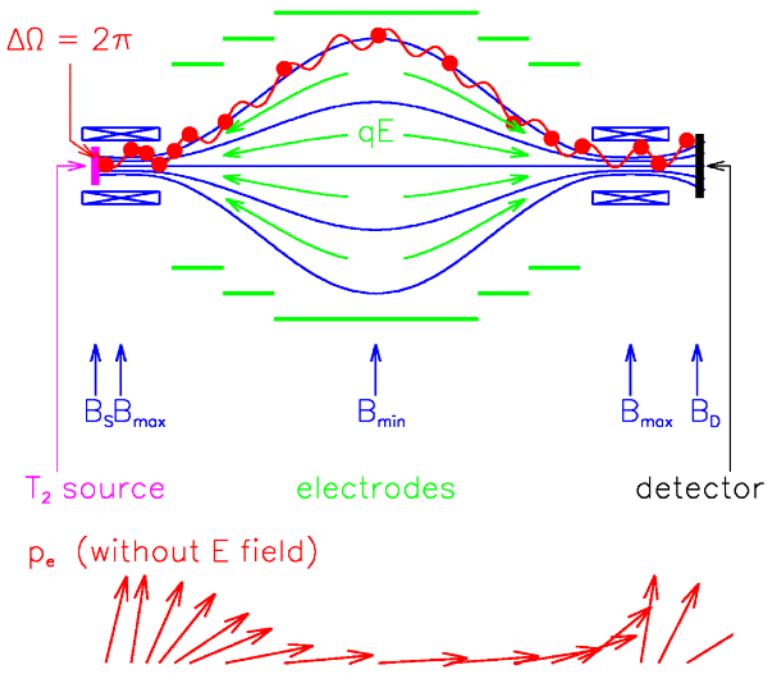
# Beta Decay Spectrum



# Beta Decay Spectrum



# Measuring Neutrino Mass (Now)

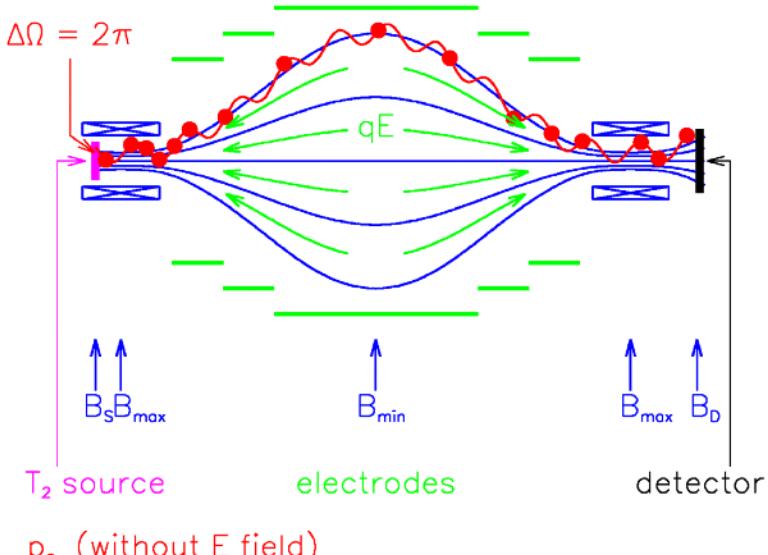


- Energy resolution:

$$\frac{\Delta E}{E} = \frac{B_{min}}{B_{max}}$$

- defined by size of spectrometer
- Sensitivity to  $m_\beta$  scales as  $N^{-4}$
  - Irreducible systematics limit ~0.1 eV
  - KATRIN sensitivity: 0.2 eV  
Current results:  
 $m_\beta < 0.8$  eV (90 % C. L.)

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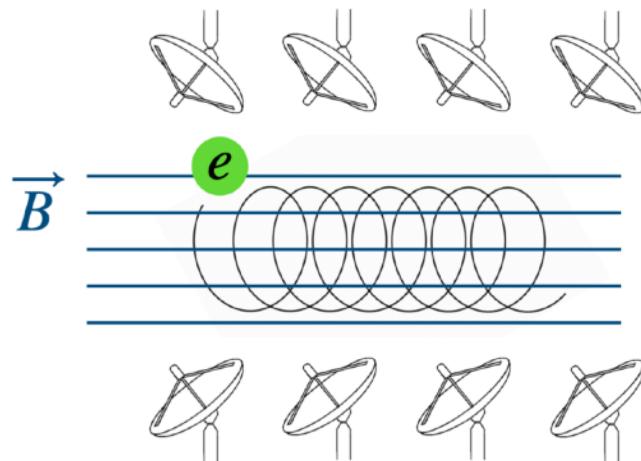
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Current results:  
 $m_\beta < 0.8$  eV (90 % C. L.)
  - What if the mass is smaller than 0.2 eV?

- Cyclotron Radiation Emission Spectroscopy
- Electron in B-field: cyclotron motion & radiation:

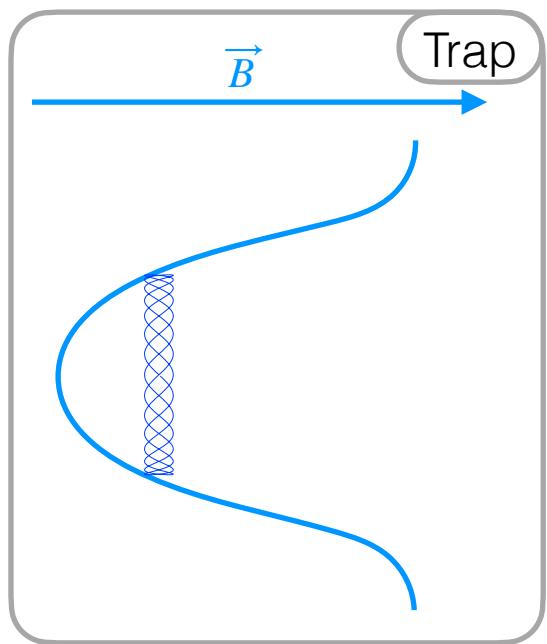
$$2\pi f = \frac{eB}{m_e + K_e/c^2} = \frac{eB}{\gamma m_e}$$

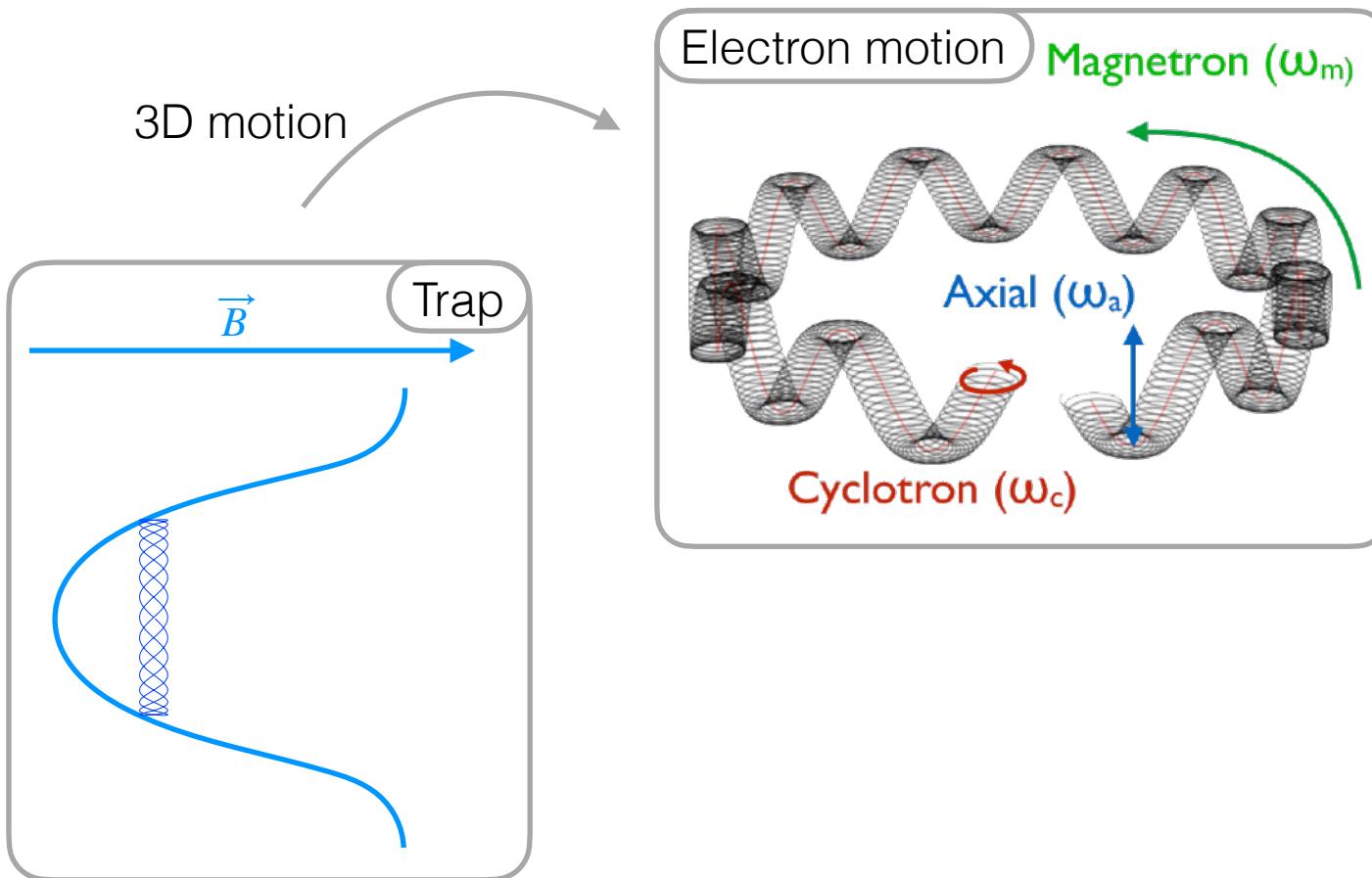
- Energy resolution:

$$\frac{\Delta E}{m_e} = \frac{\Delta f}{f}$$

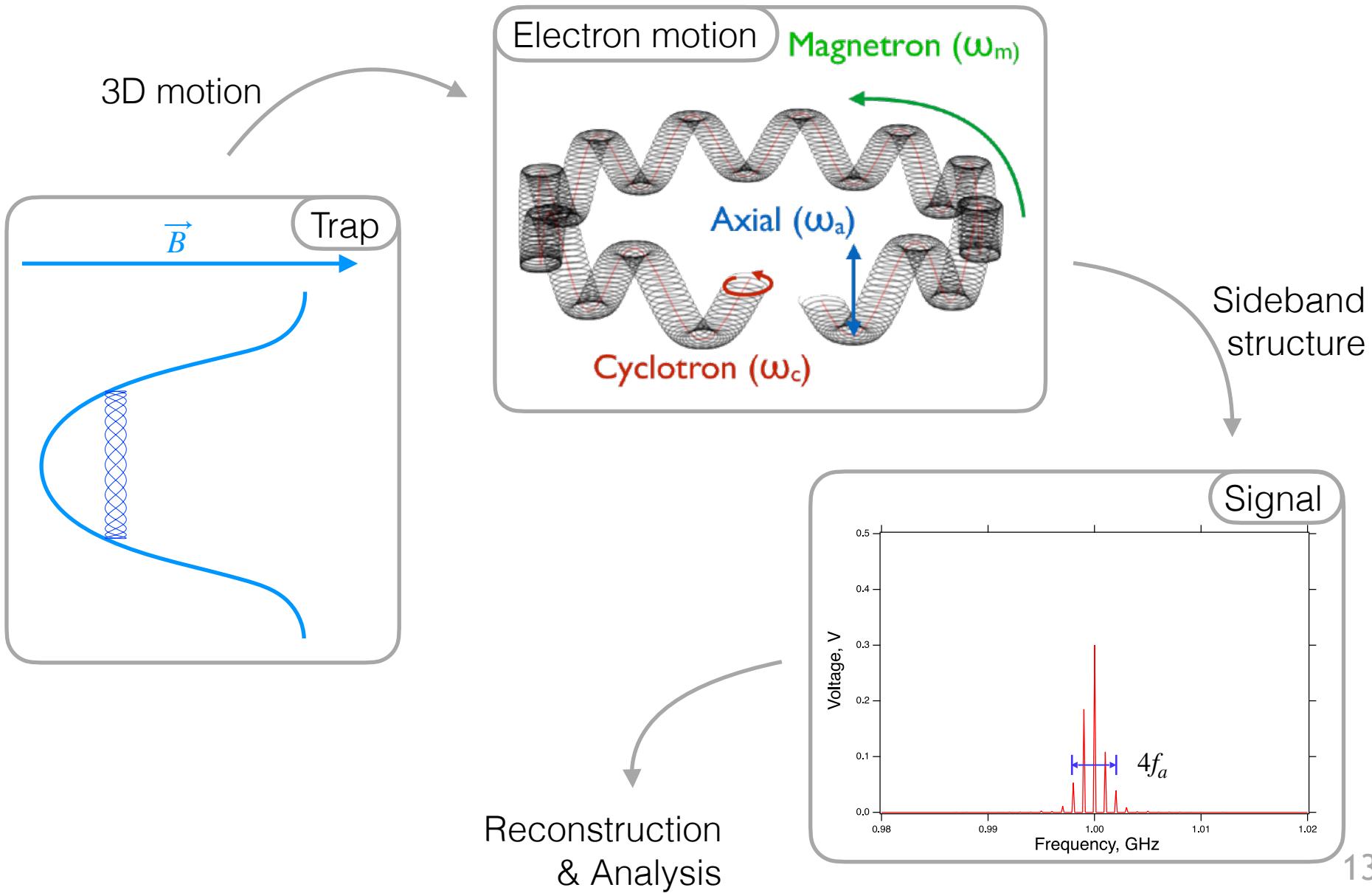


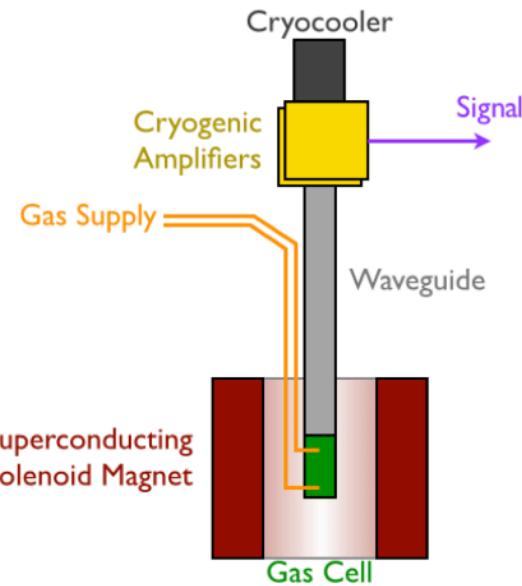
“Never measure anything but frequency!” — A. L. Schawlow





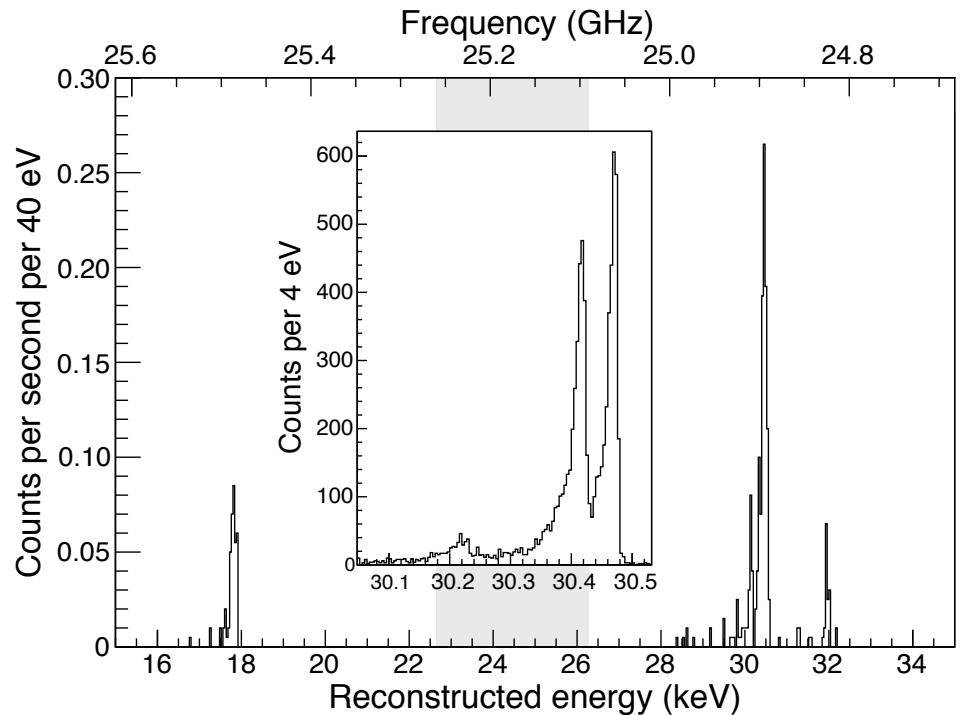
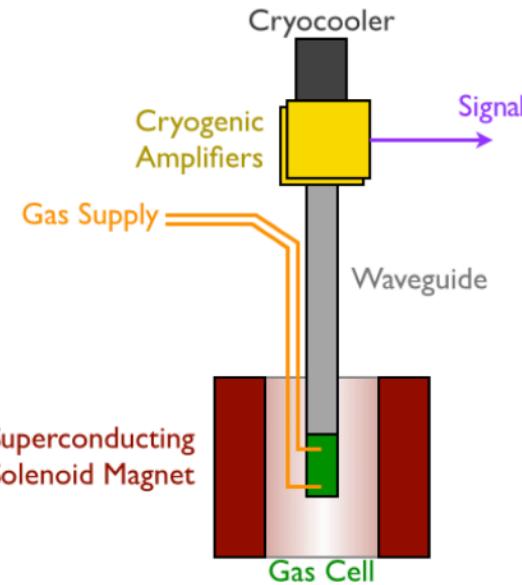
## CRES





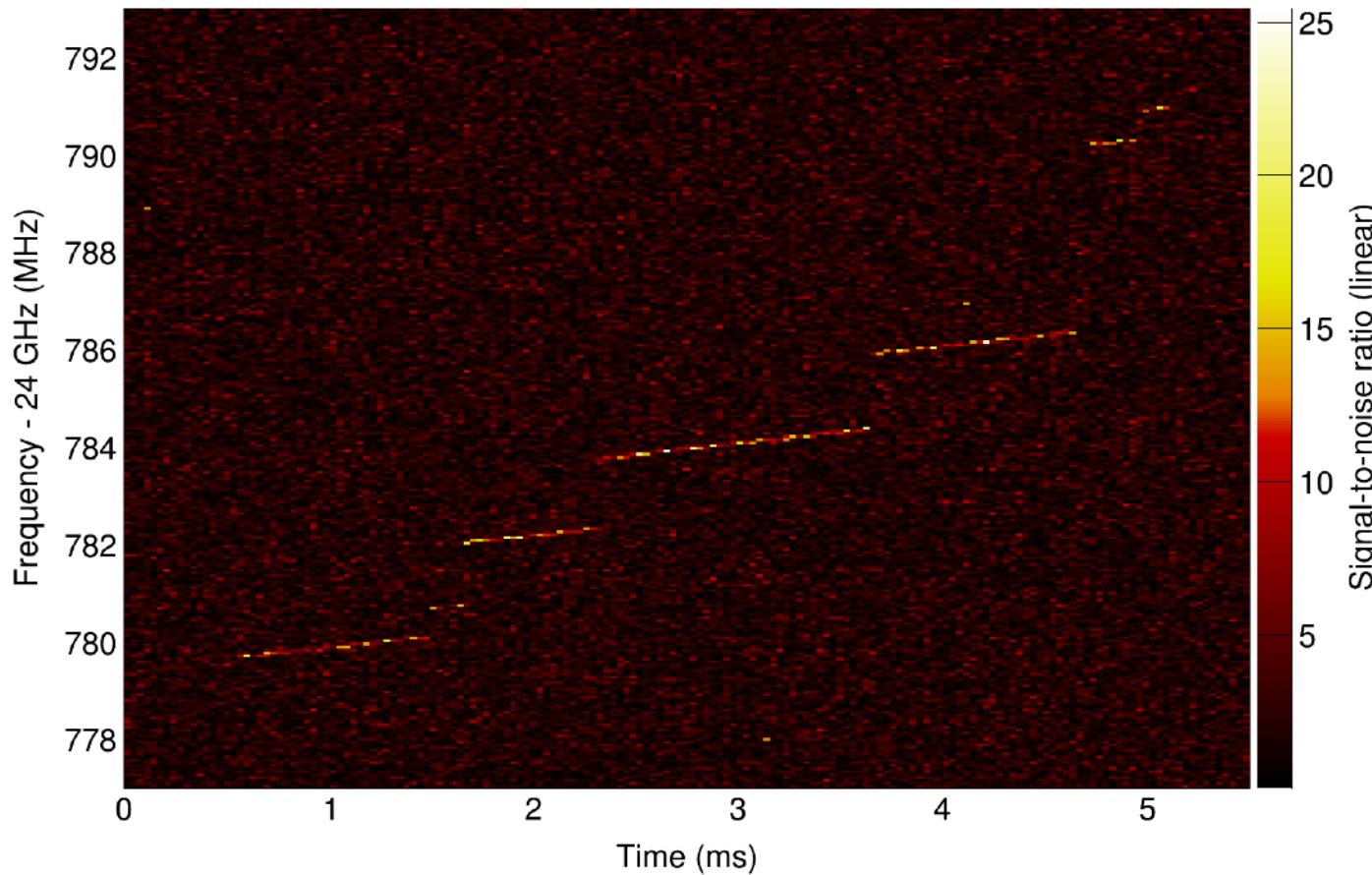
- $^{83m}\text{Kr}$ : electron conversion lines at 18 keV, 30 keV and a 32 keV
- Demonstrated energy measurement of single trapped electrons via CRES, resolution: 3.3 eV

## Phase I



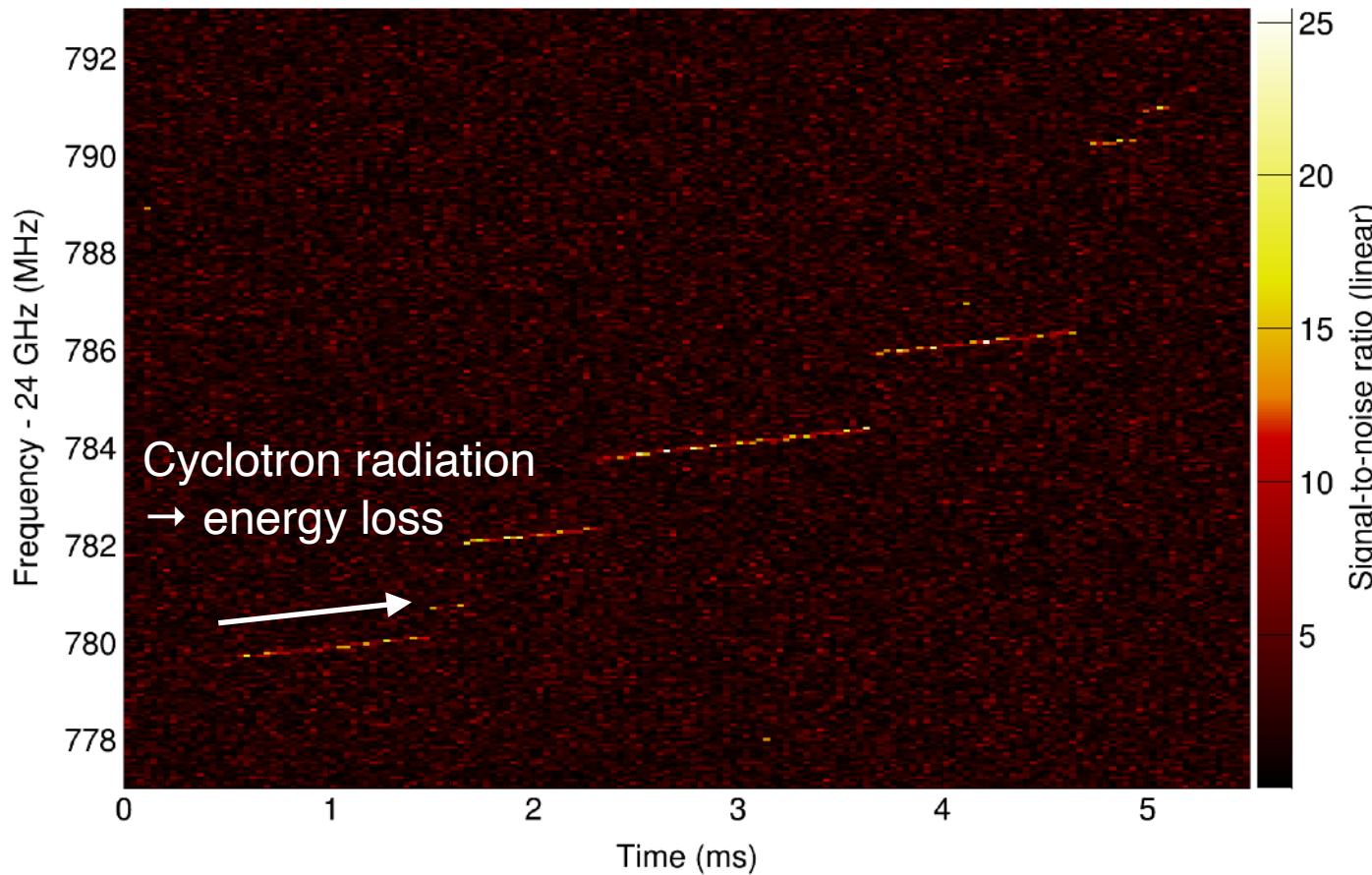
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# A Typical CRES Event



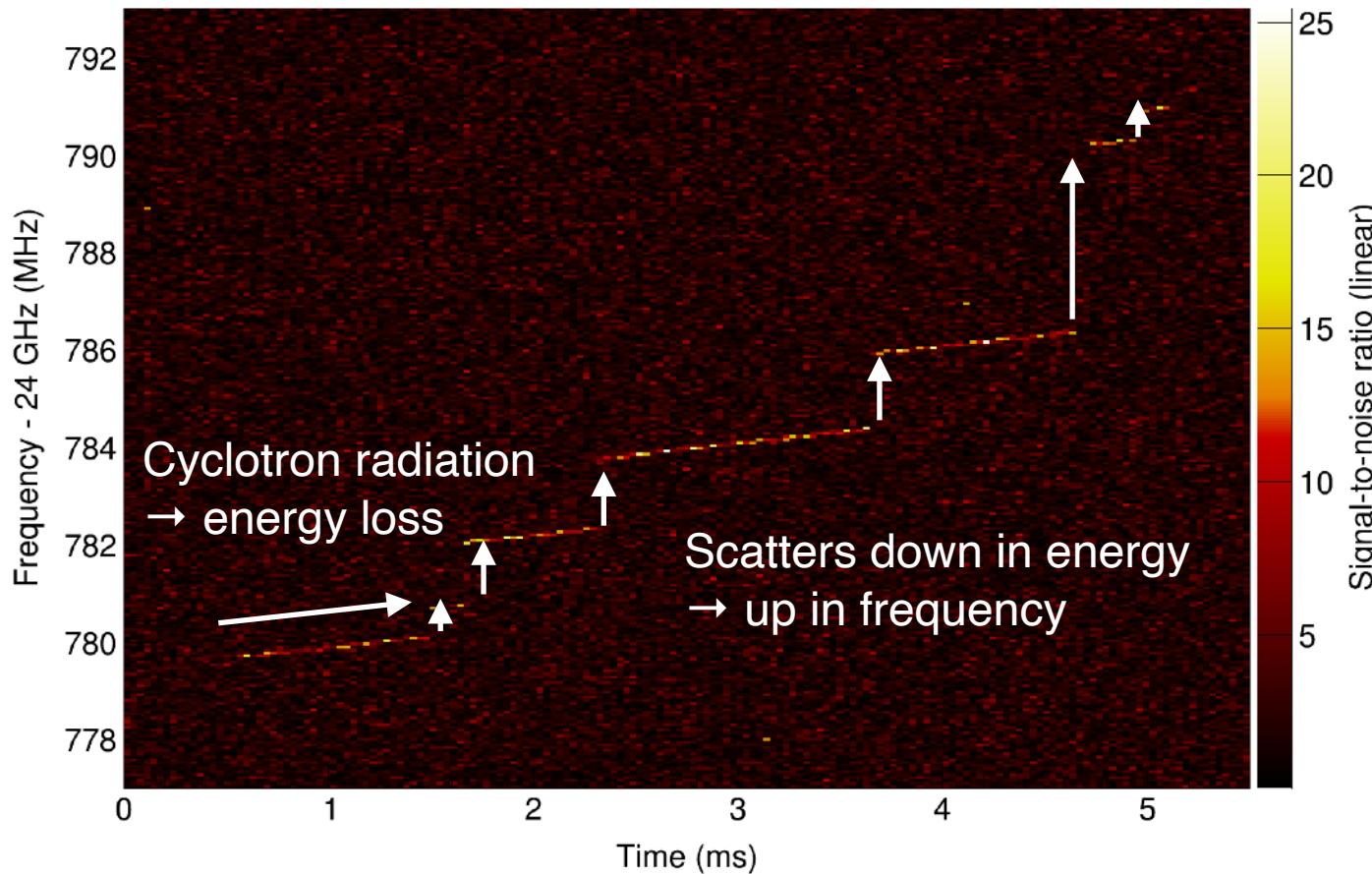
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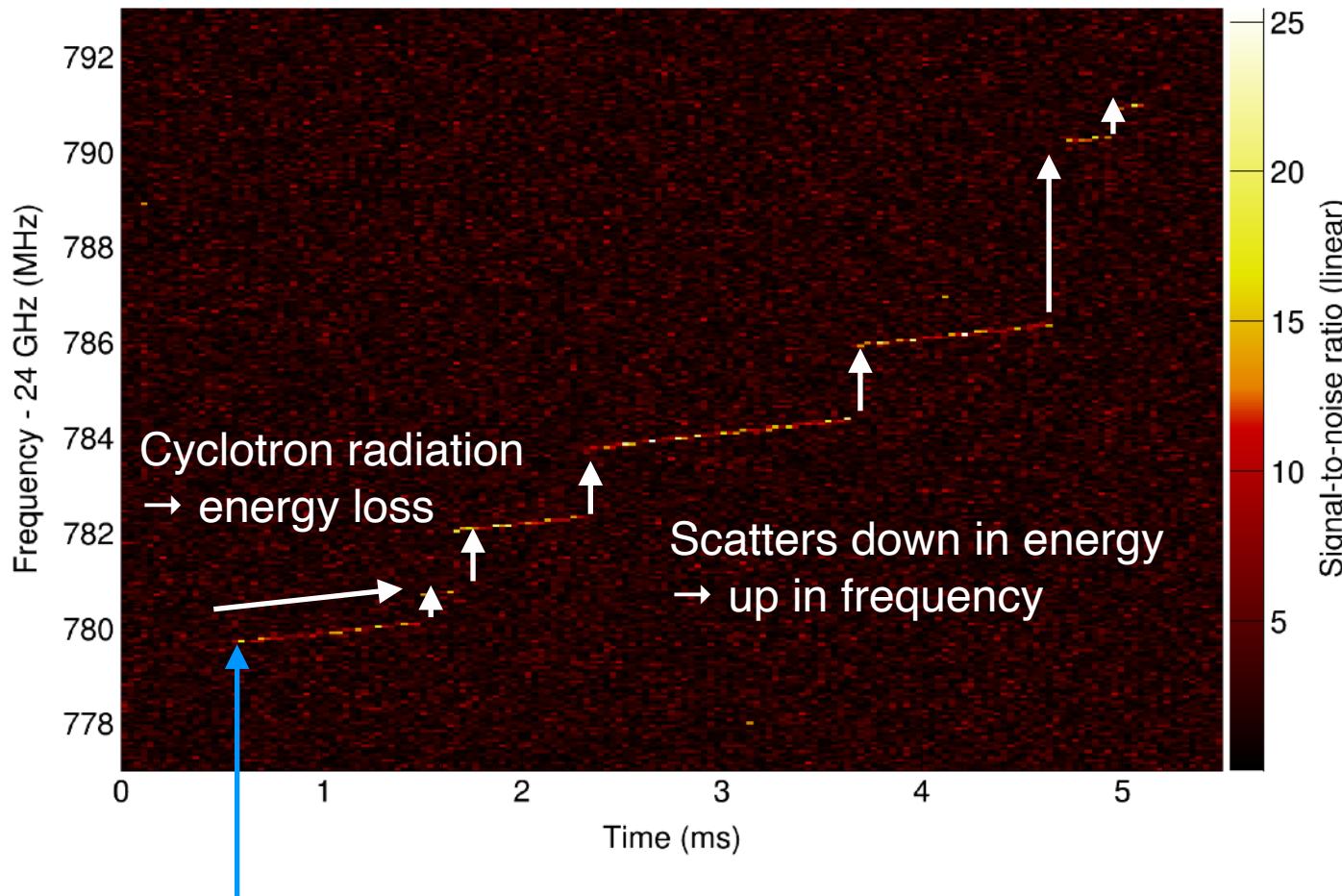
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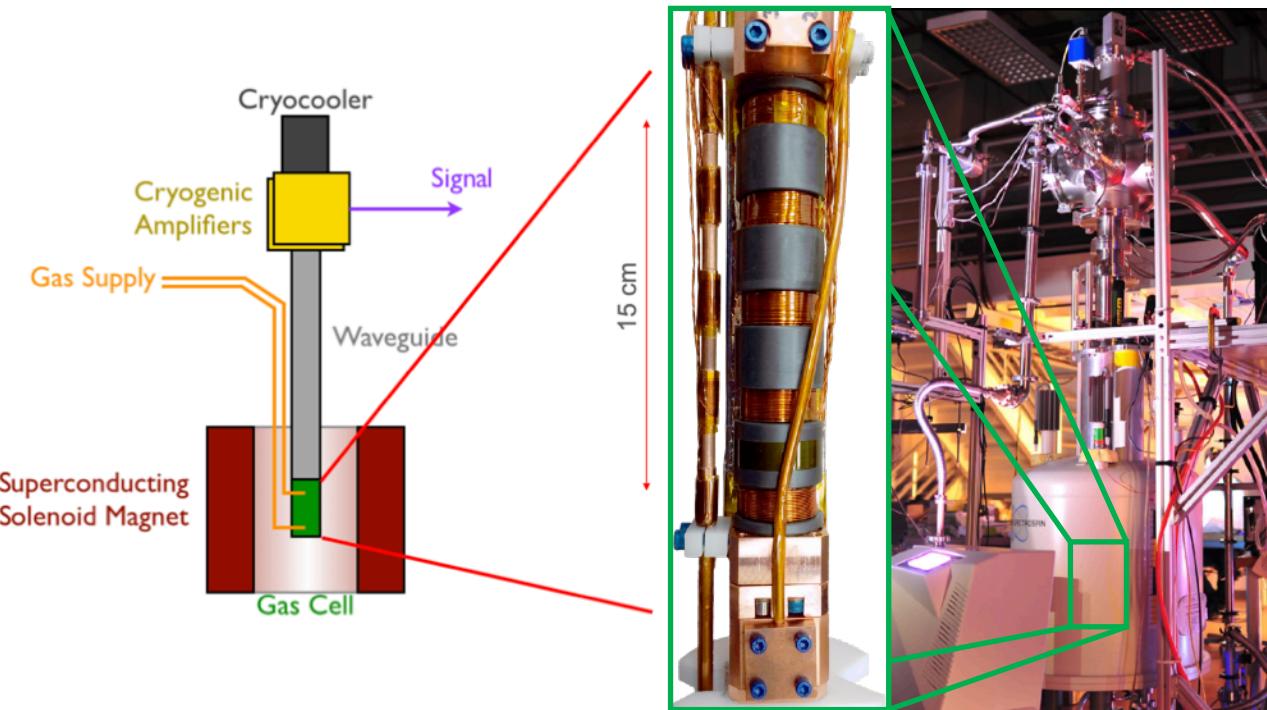
# A Typical CRES Event



Start frequency: determines electron energy before losses

$$2\pi f = \frac{eB}{m_e + K_e/c^2} = \frac{eB}{\gamma m_e}$$

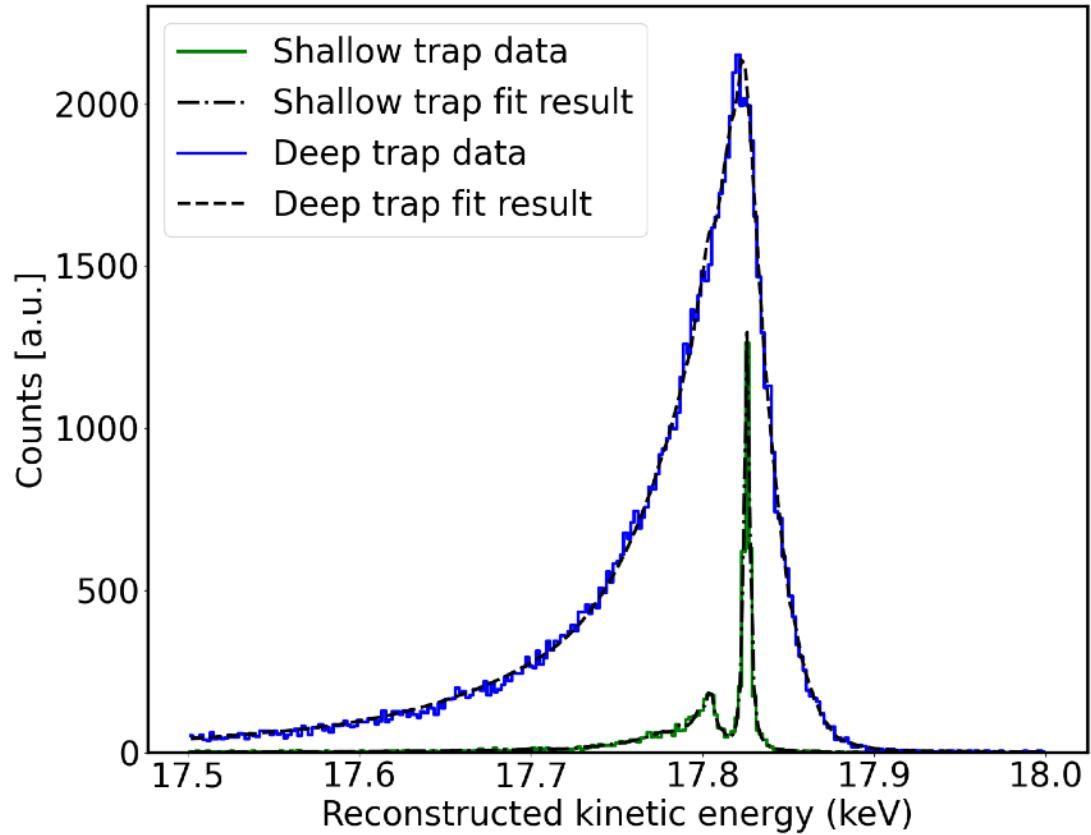
# Phase II



- Effective volume: 1mm<sup>3</sup>
- Demonstrated CRES on continuous spectrum
- First neutrino mass extraction

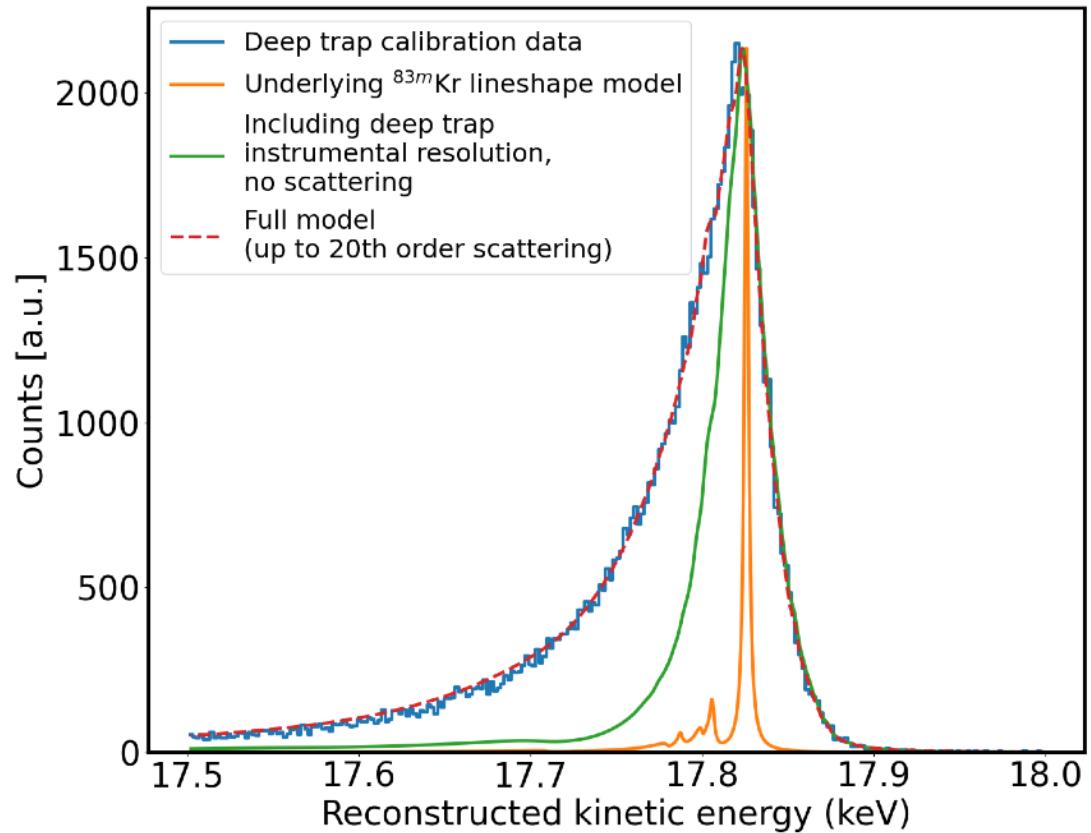
# 83mKr Measurements

- “Shallow” trap:
  - magnetic field calibration via Kr K-line
  - $1.7 \pm 0.2$  eV (FWHM) energy resolution ( $2.8 \pm 0.1$  eV natural linewidth)
- “Deep trap”:
  - Increased statistics
  - Used for tritium run

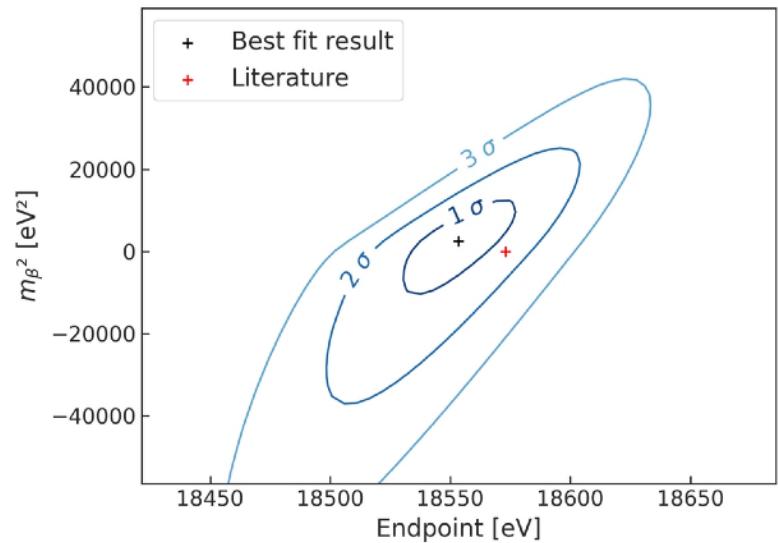
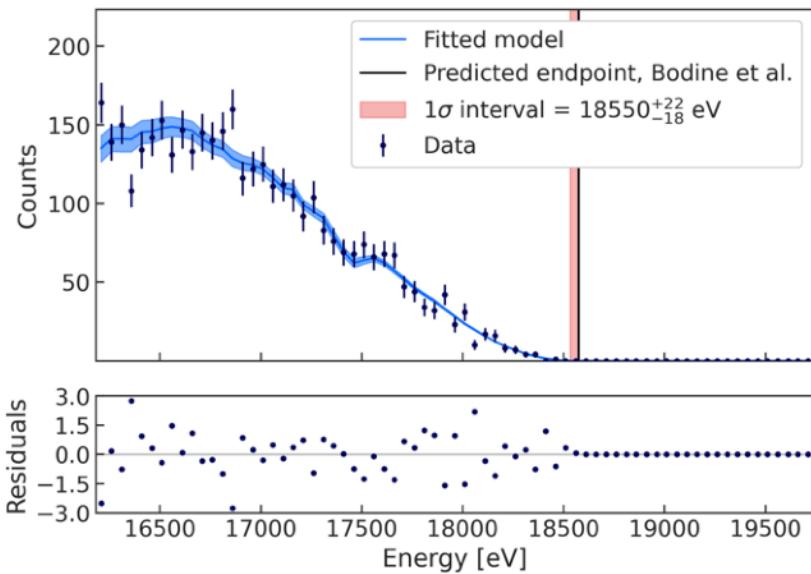


# Detector Response

- Broadening from magnetic field inhomogeneity, scattering, and missed tracks
- Well understood



## Phase II

**T<sub>2</sub> endpoint**

Frequentist:  $E_0 = (18550^{+22}_{-18})$  eV ( $1\sigma$ )

Bayesian:  $E_0 = (18553^{+17}_{-17})$  eV ( $1\sigma$ )

**Neutrino mass**

Frequentist:  $\leq 178$  eV/ $c^2$  (90 % C.L.)

Bayesian:  $\leq 169$  eV/ $c^2$  (90 % C.L.)

**Background rate**

$\leq 3 \times 10^{-10}$  eV $^{-1}s^{-1}$  (90 % C.L.)

# Project 8 Achievements

2015 2016 2017 2018 2019 2020 2021 2022 2023 2024 2025 2026 2027

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## Phase I

→ Single-electron detection;  
spectroscopy  
→  $^{83m}\text{Kr}$  conversion-electron

First CRES demonstration: PRL 114: 162501, 2015  
~eV Resolution J. Phys. G. 44, 2017  
Machine learning: New J. Phys. 22 (2020)

Construction

Data-taking

Analysis 

→ Systematic & background  
studies  
→  $T_2$  spectrum and endpoint

- First tritium spectroscopy using CRES
- First neutrino mass limit using CRES
- Demonstration of high resolution
- Demonstration of a zero background experiment
- Demonstration of control of systematic effects

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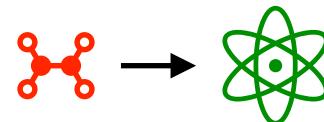
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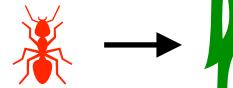
# Phase III

- Go atomic!



demonstrate atomic tritium trapping — crack,  
cool, trap, contain, recycle

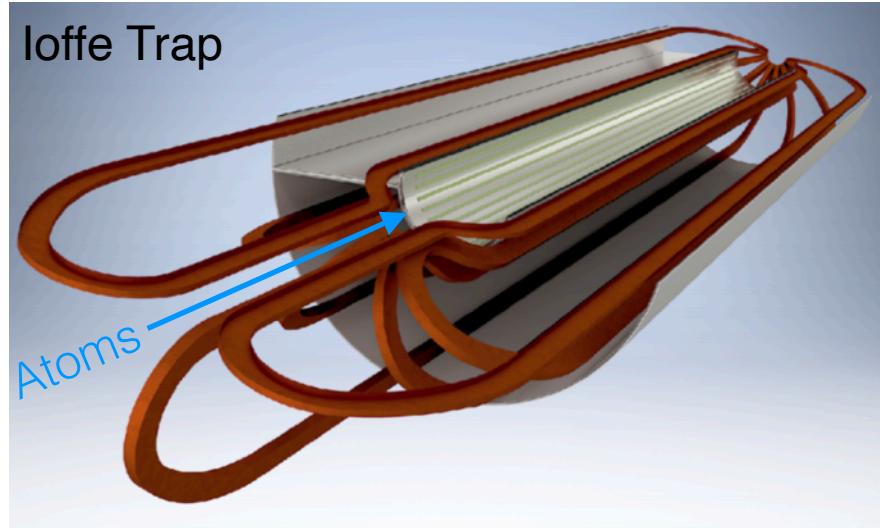
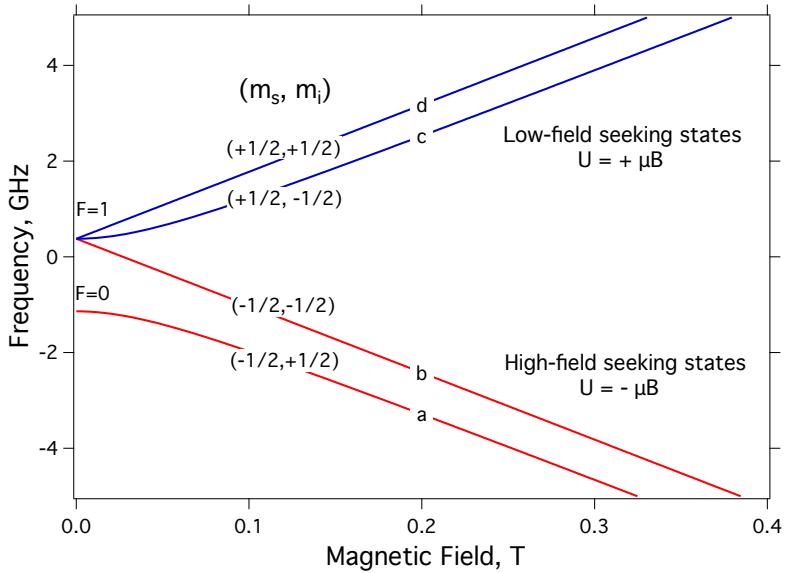
- Go bigger!



demonstrate CRES on large source volume (free  
space or cavity)

- Merge both into atomic CRES experiment, obtain  
first neutrino mass limit using atomic tritium

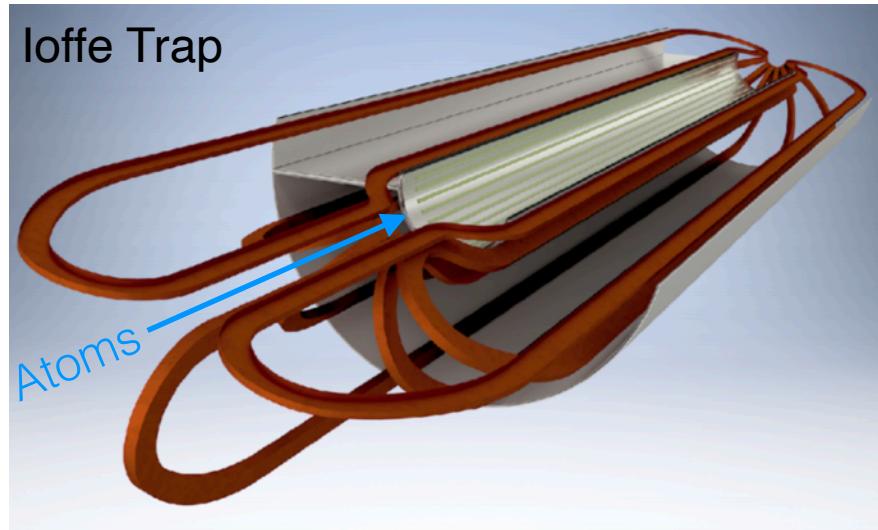
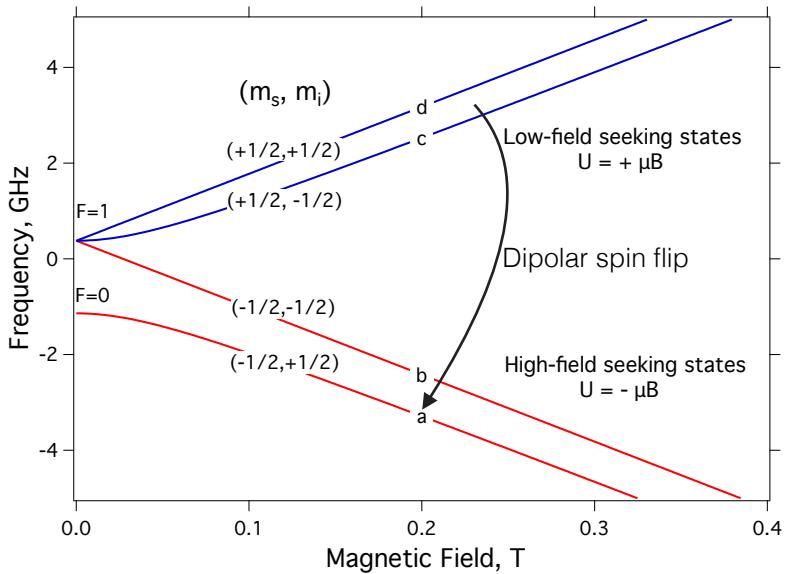
# Atomic Tritium Demonstrator



Credit: A. Lindman

- Ioffe trap: mature design, superconducting coils
- Alternative: Halbach array: permanent magnets

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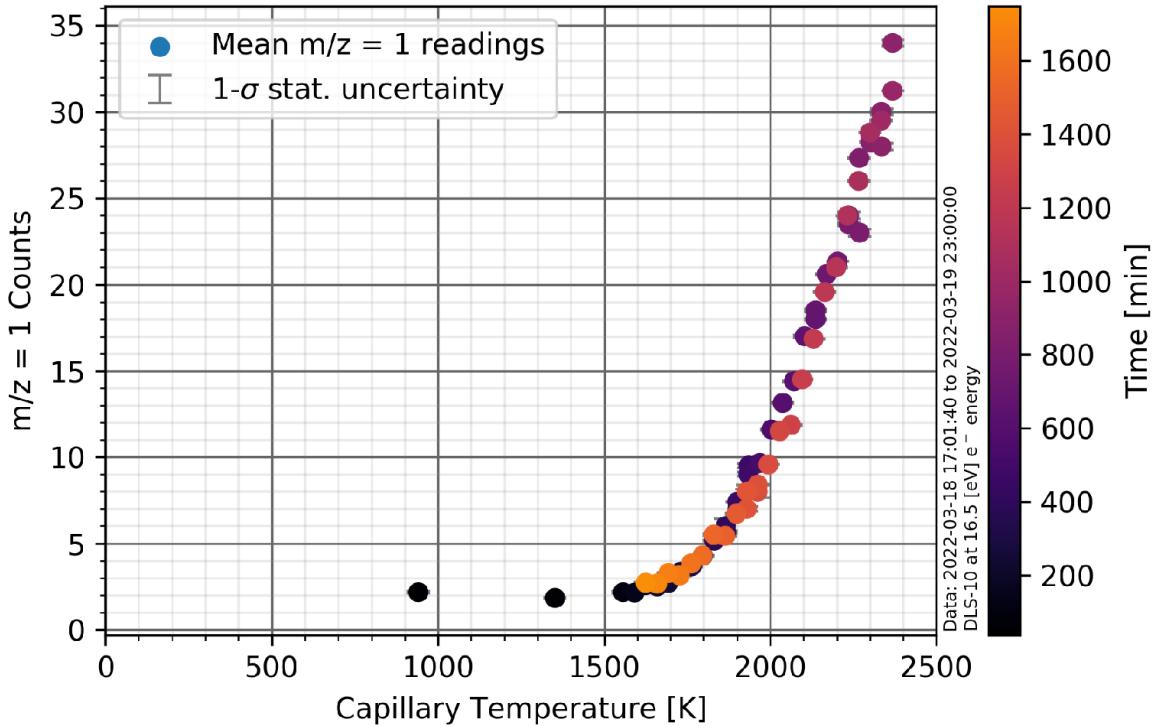


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- Alternative: Halbach array: permanent magnets

# Hydrogen Atom Production

m/z = 1 Signal at 16.5 [eV] and 0.002 [sccm] of Hydrogen

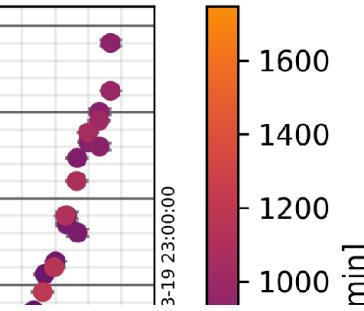
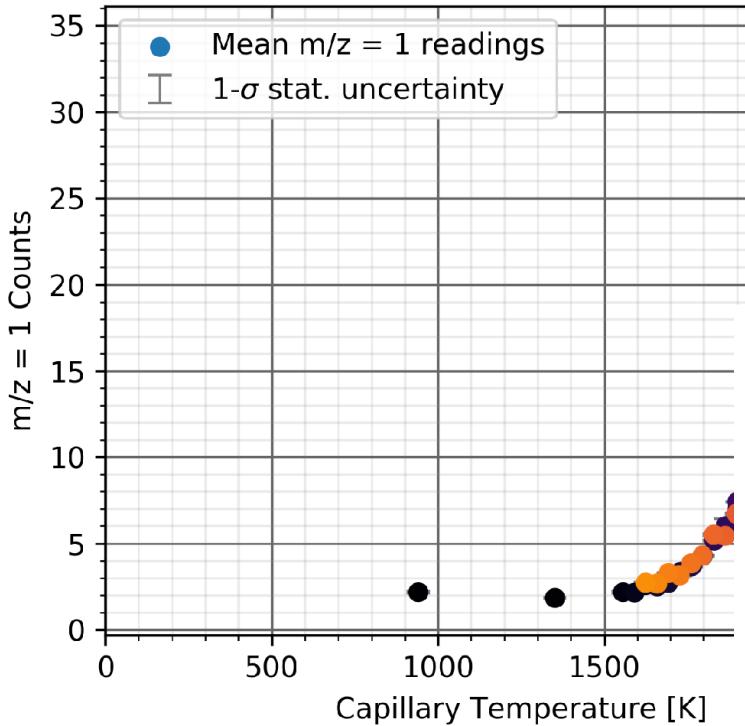


- Prove atom cracking using mass spectrometer

- Atom flux analysis in progress

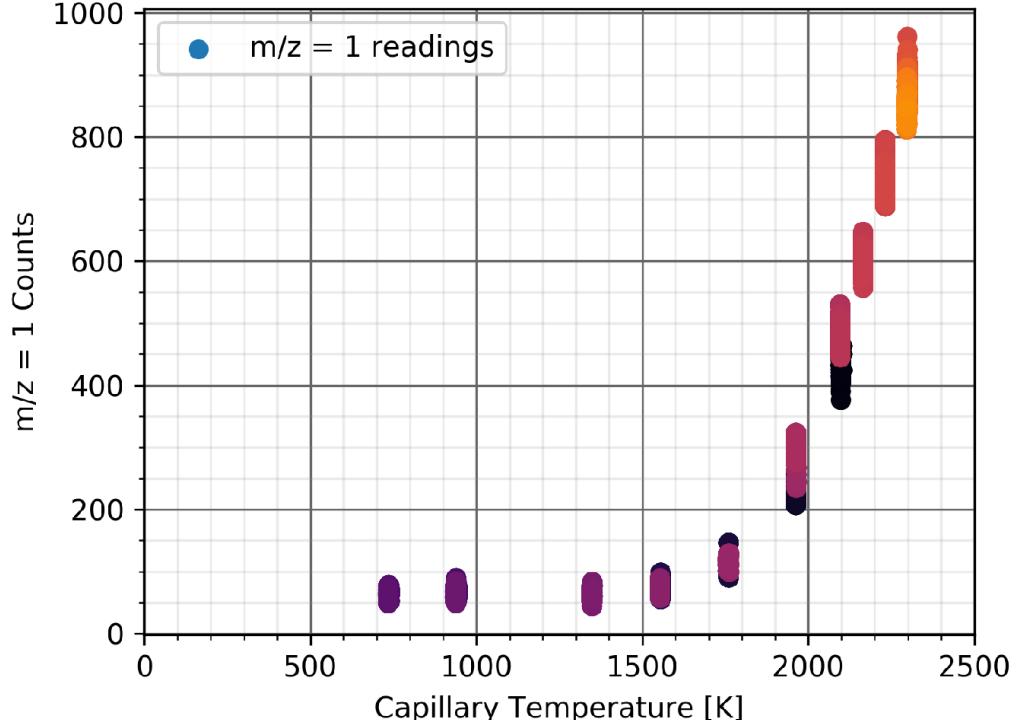
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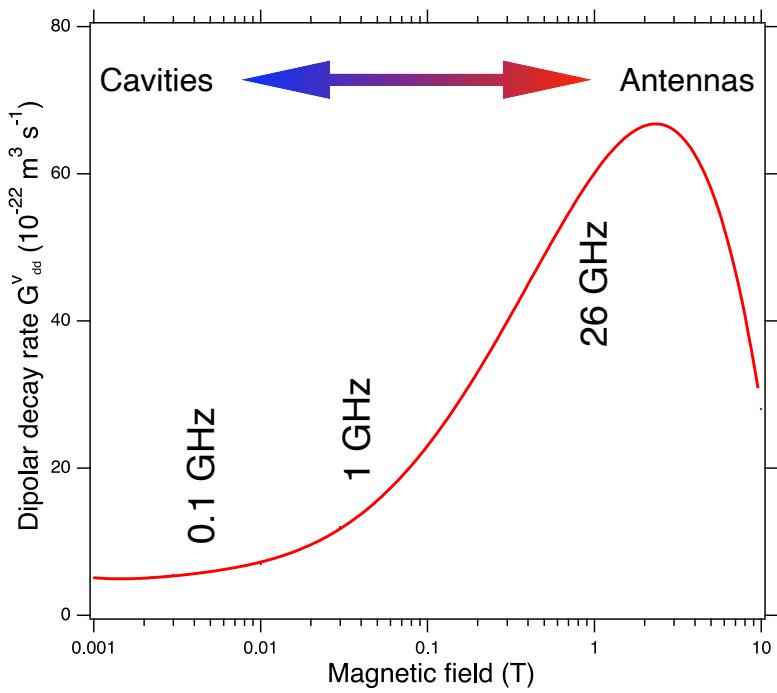
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$m/z = 1$  Signal at 16 [eV] and 20 [sccm] of Hydrogen



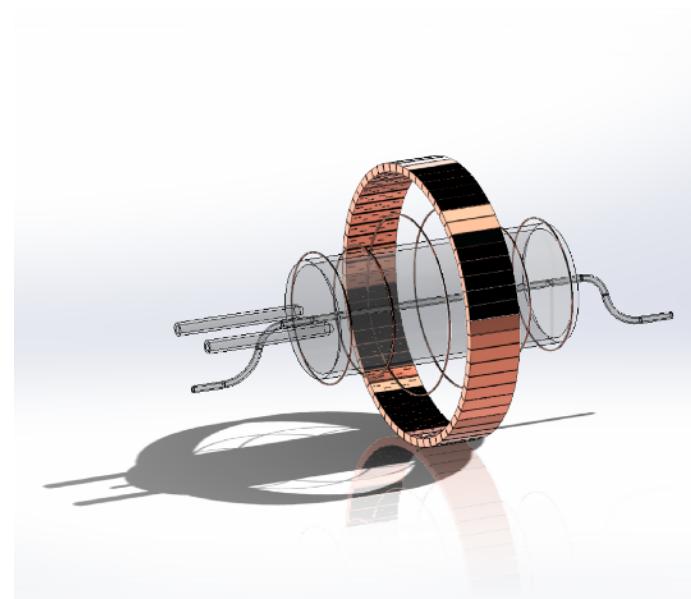
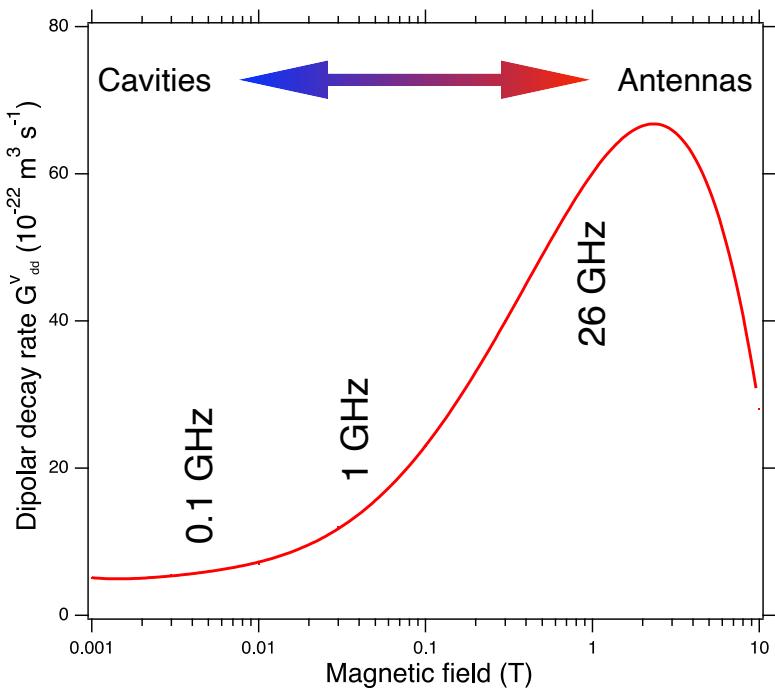
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# Cavity As CRES Volume



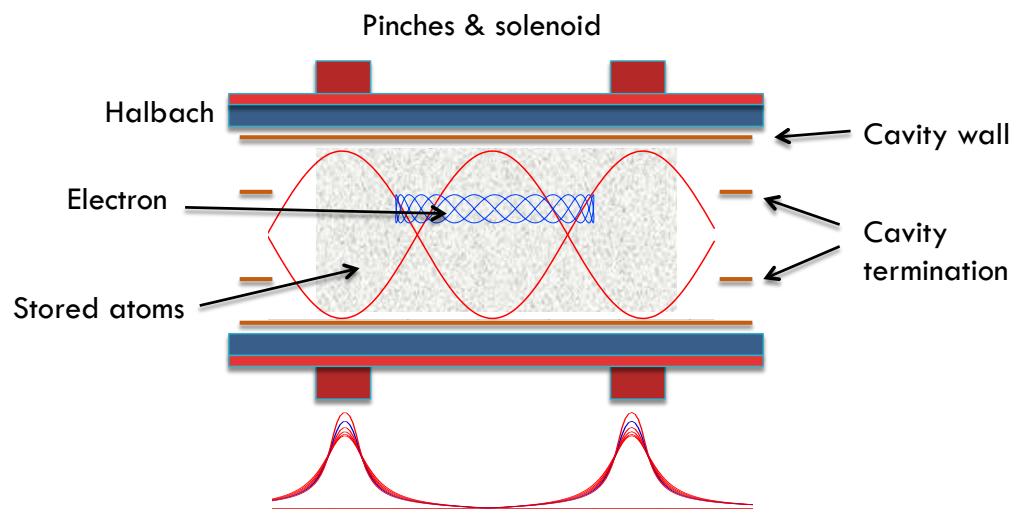
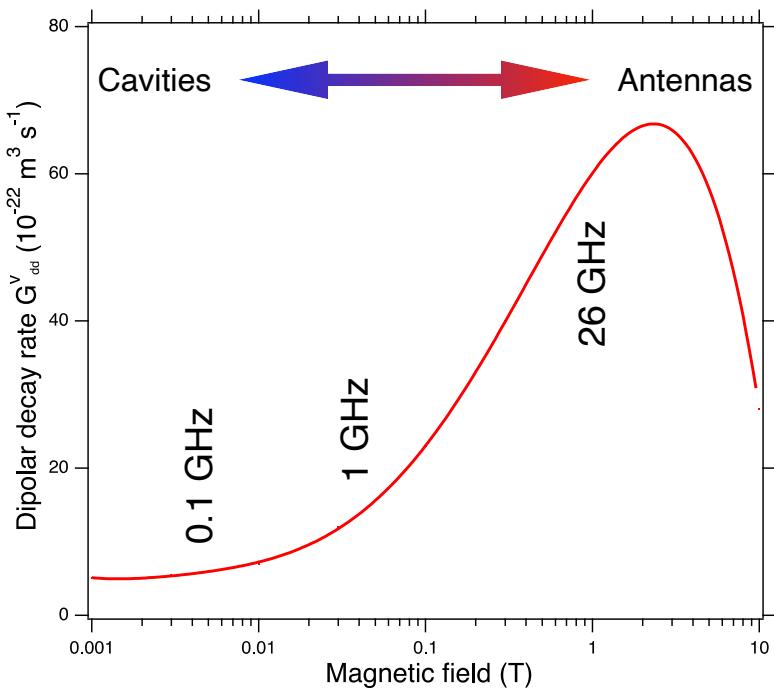
- Dipolar decay rate can be greatly reduced by lowering magnetic field for longer trapping life times

# Cavity As CRES Volume



- Dipolar decay rate can be greatly reduced by lowering magnetic field for longer trapping life times
- Ring of patch antennas views central volume
- Beamforming for position reconstruction

# Cavity As CRES Volume

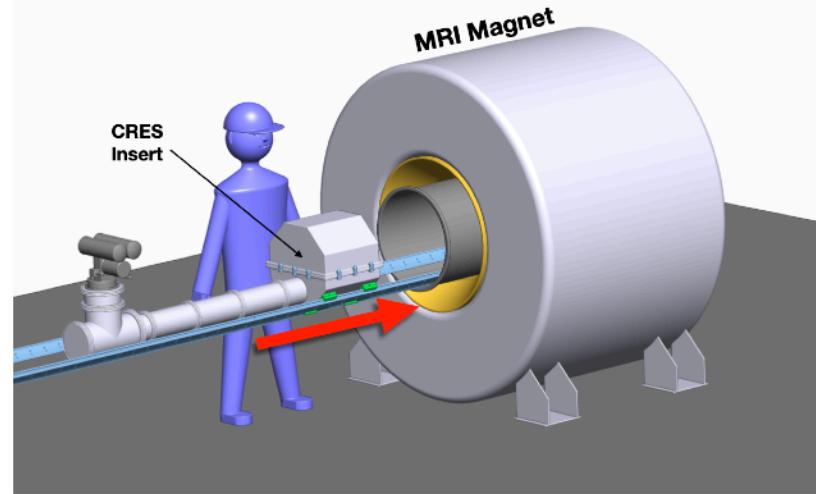


- Dipolar decay rate can be greatly reduced by lowering magnetic field for longer trapping life times

- Cavity volume scales as  $1/f^3$
- Lower frequency makes resonant cavity desirable
- Mode-filtered, open-ended

# MRI Calibration

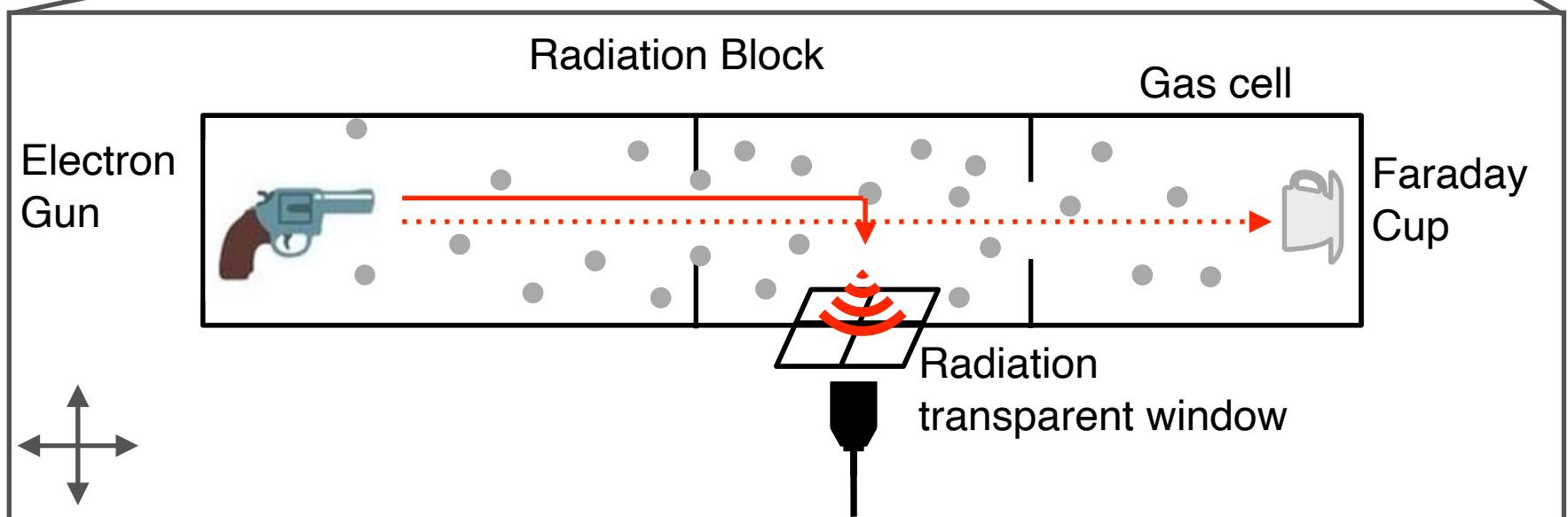
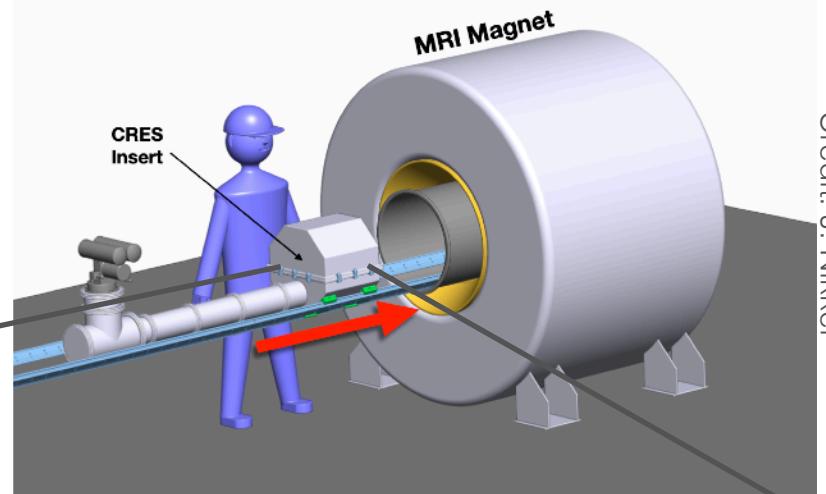
- Need to know the magnetic field and electron trajectories precisely
- Insert electron gun into MRI
- Map field in center



Credit: J. Nikkel

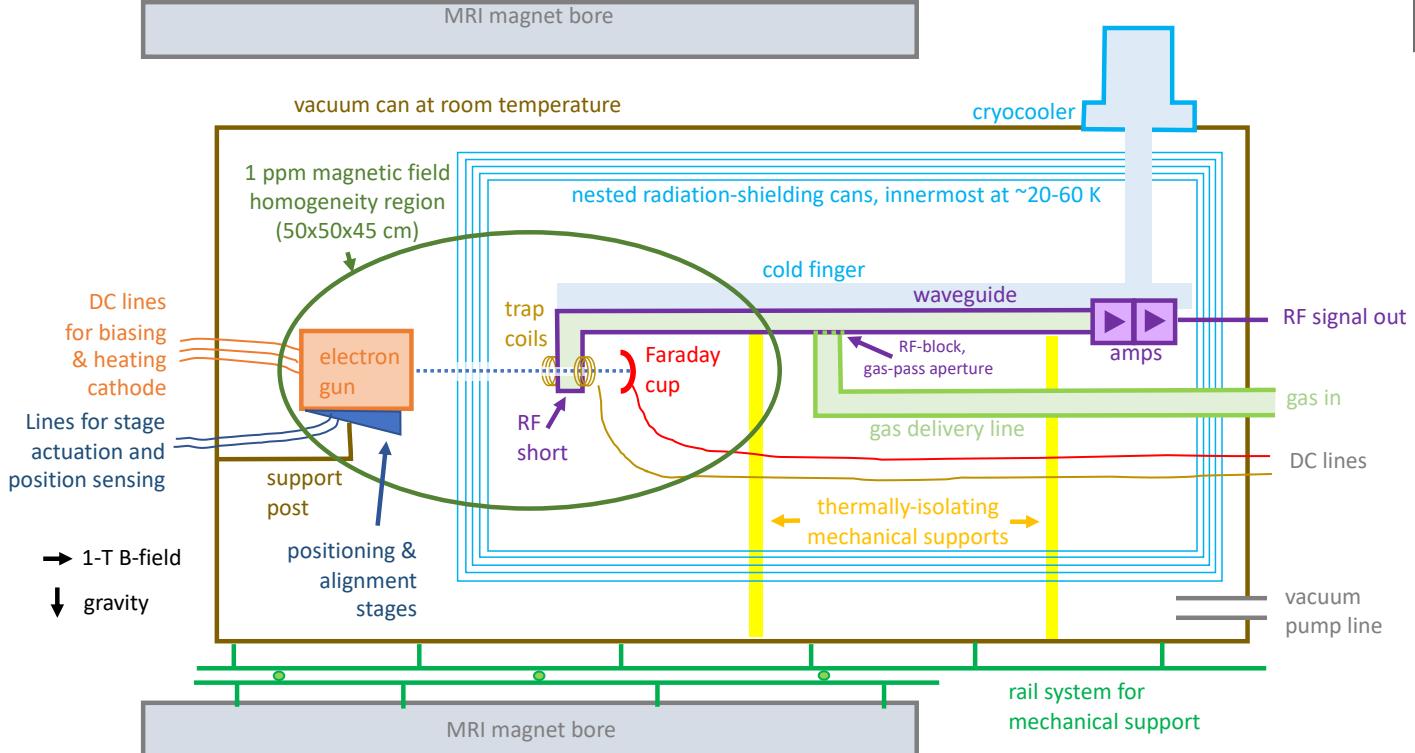
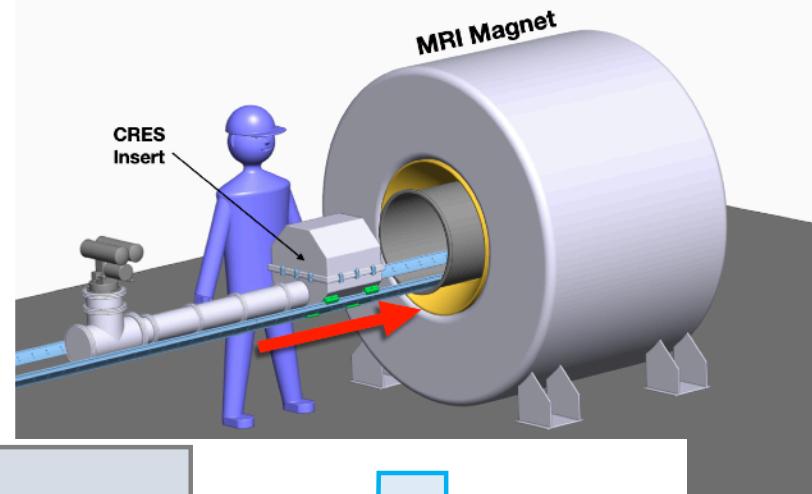
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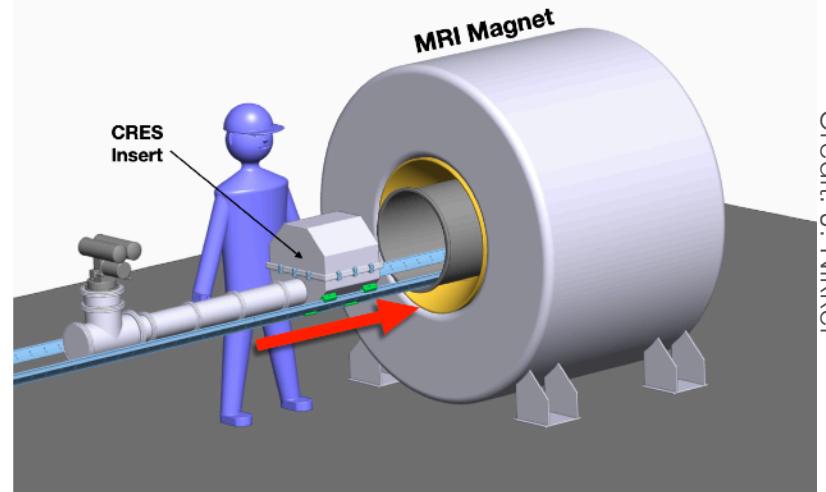
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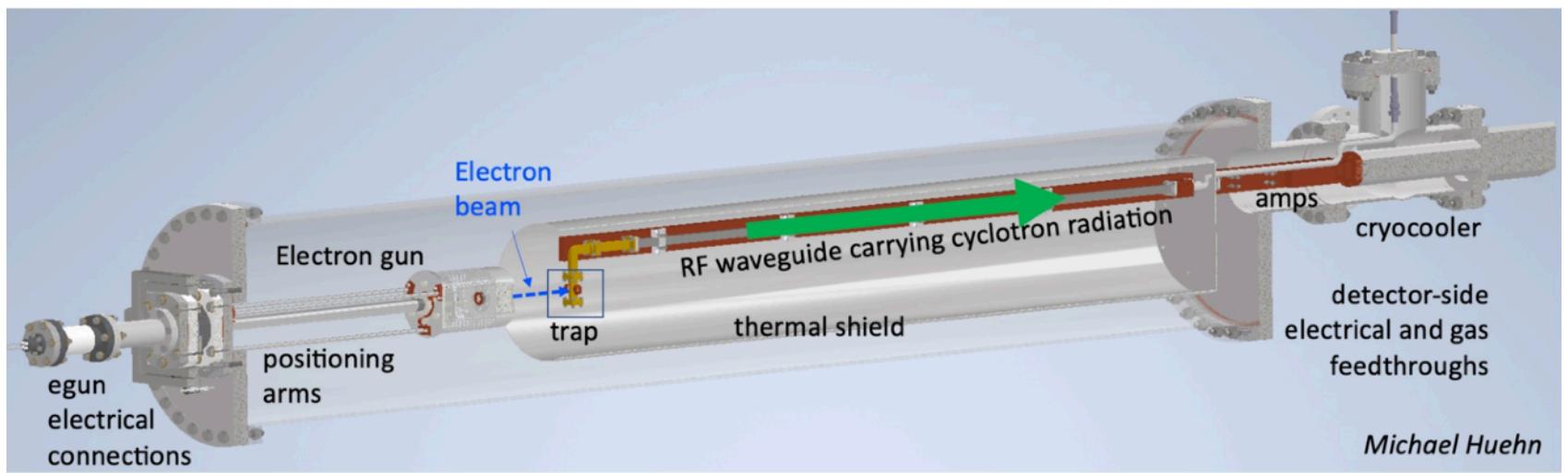


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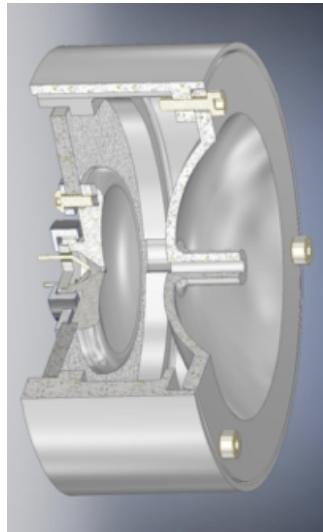
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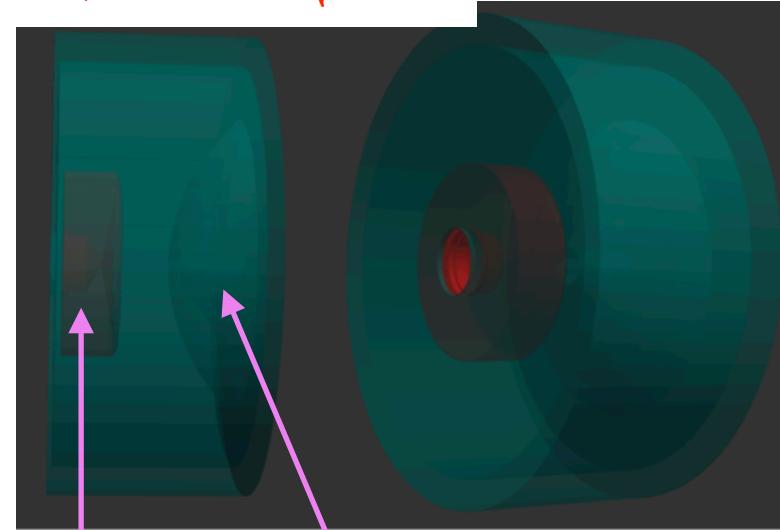


# Electron Source



Credit: R. Roehnelt

WORK IN PROGRESS

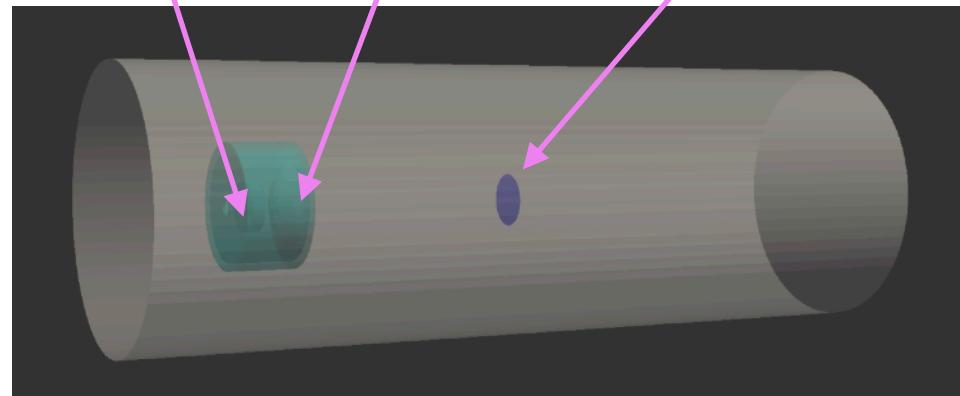


Cathode

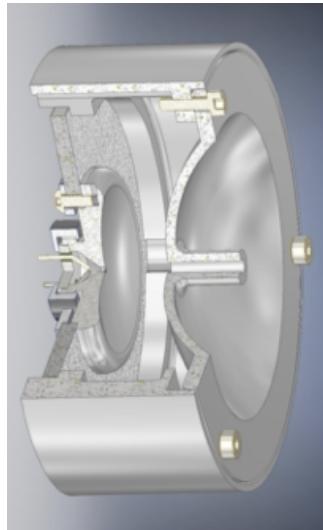
Anode

Faraday Cup

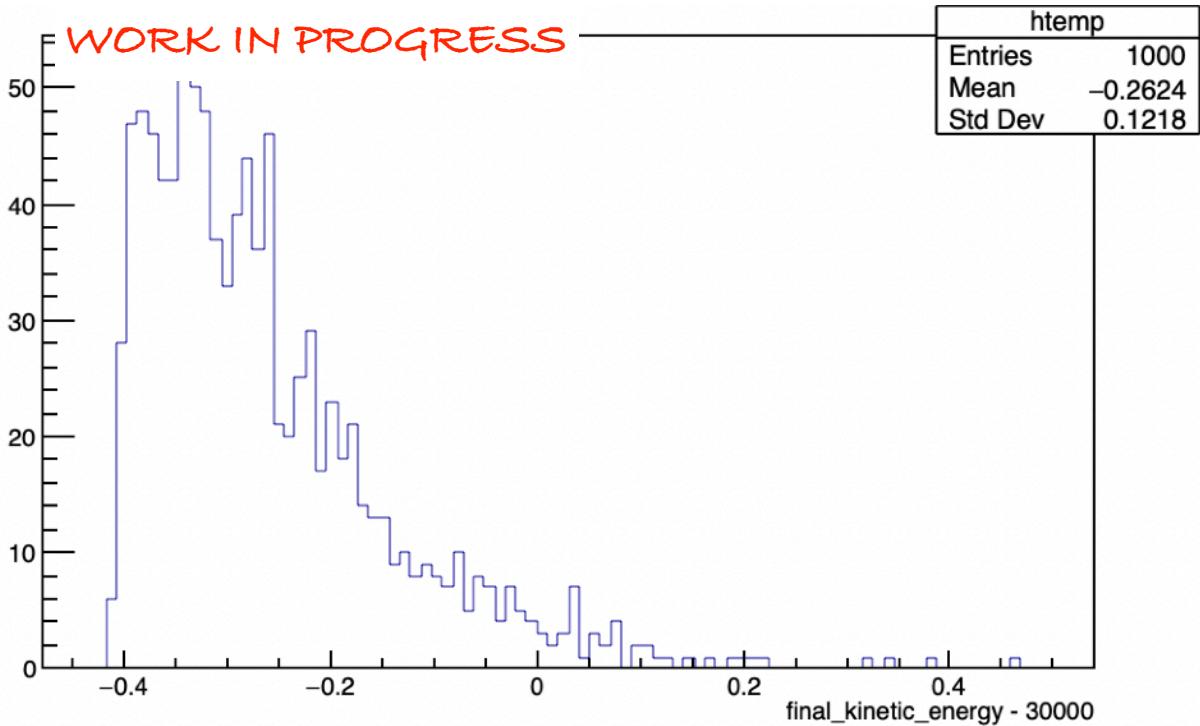
- Pierce design
- Excellent energy spread (simulated)
- Test stand at UW



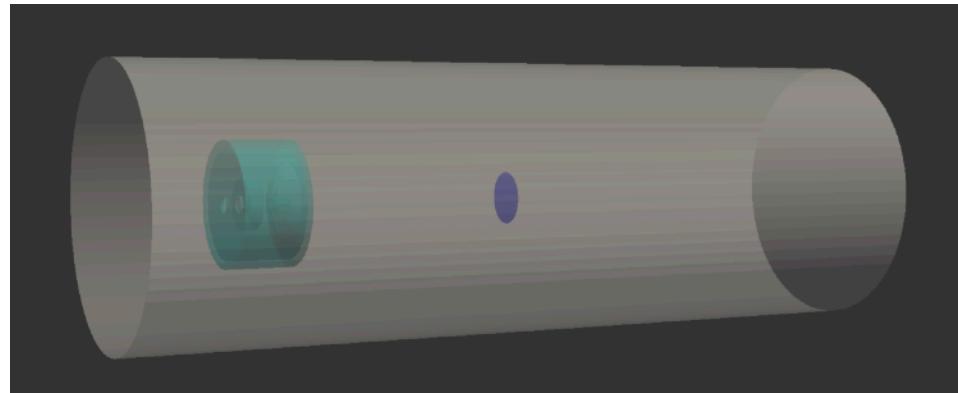
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Credit: R. Roehnelt

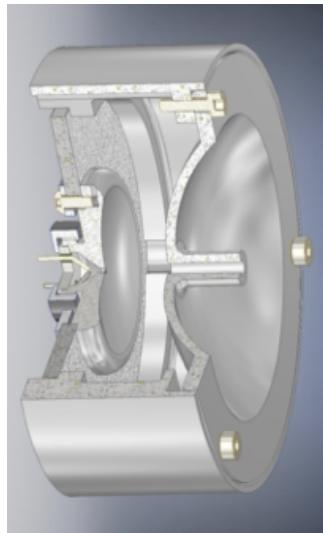


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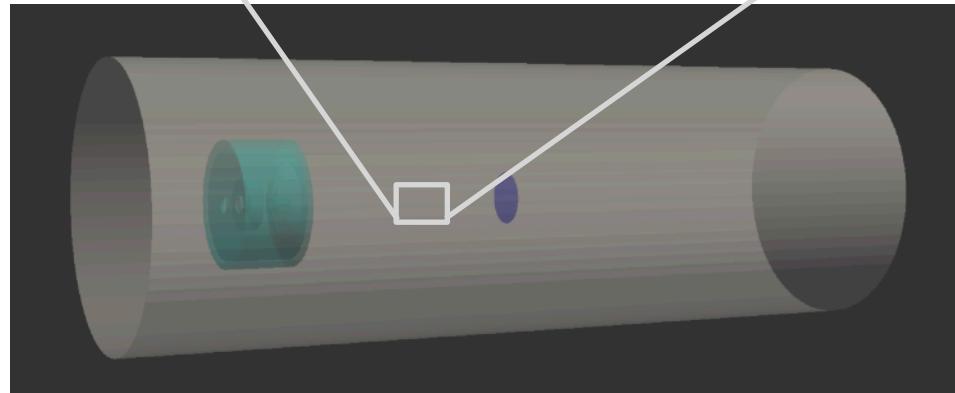
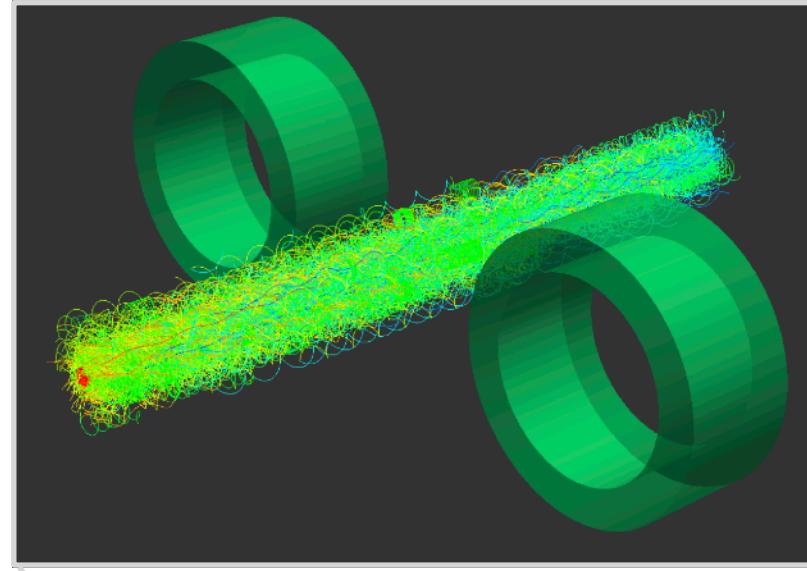


# Electron Source

WORK IN PROGRESS



Credit: R. Roehnelt

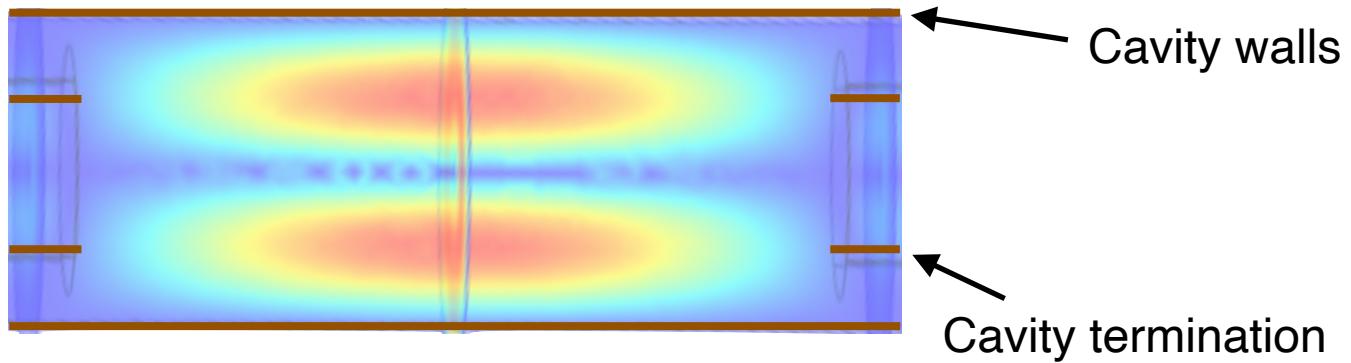


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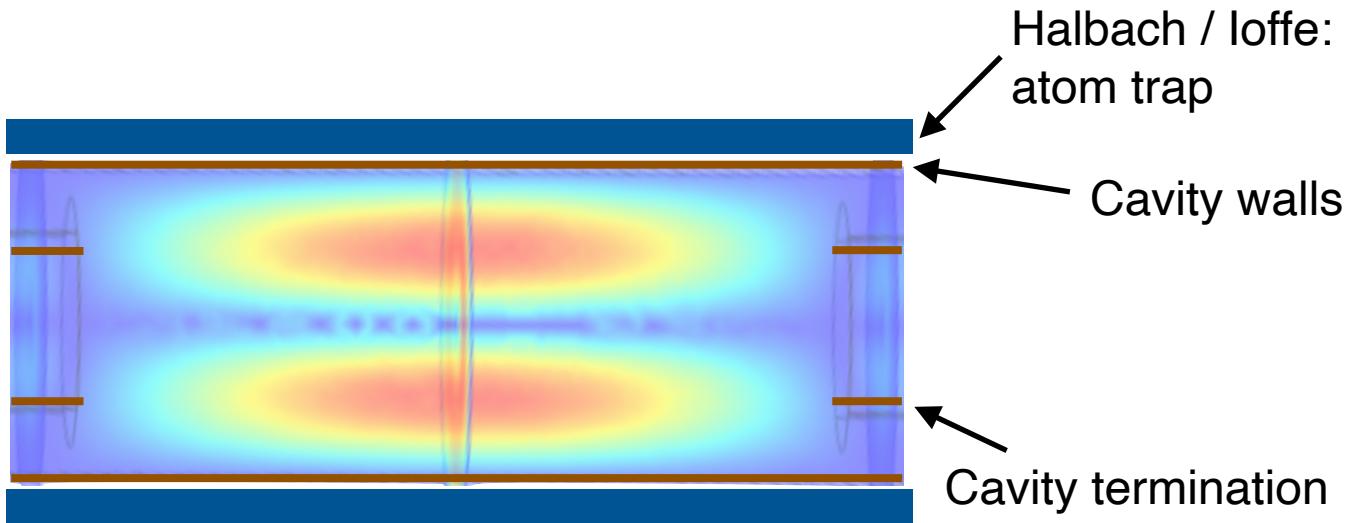
# CRES in Cavity



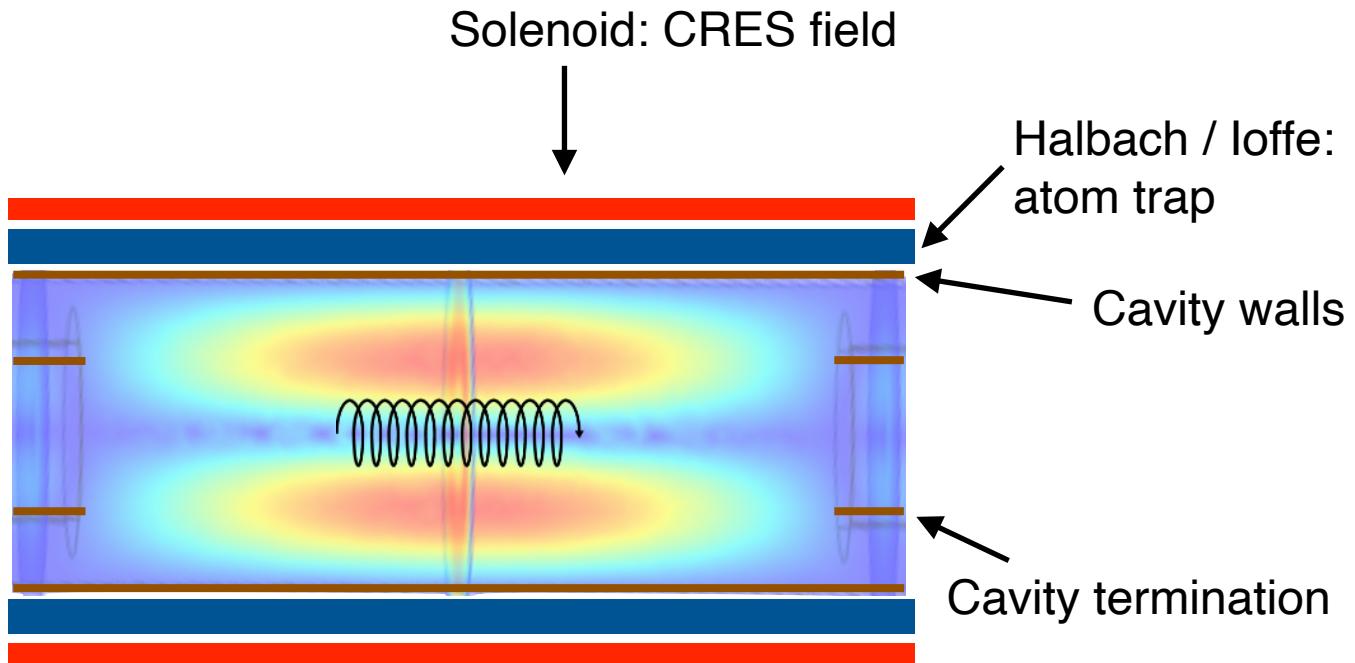
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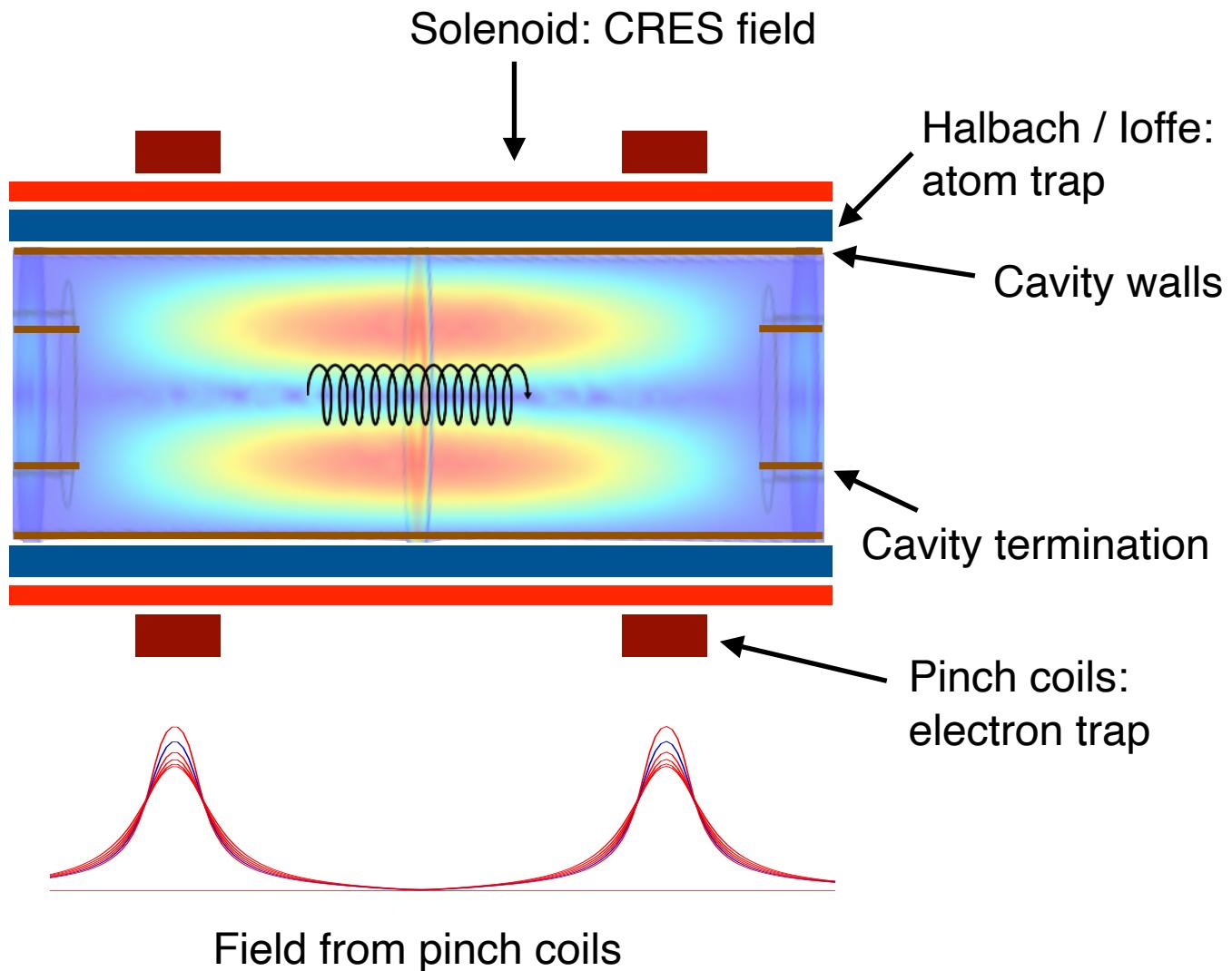
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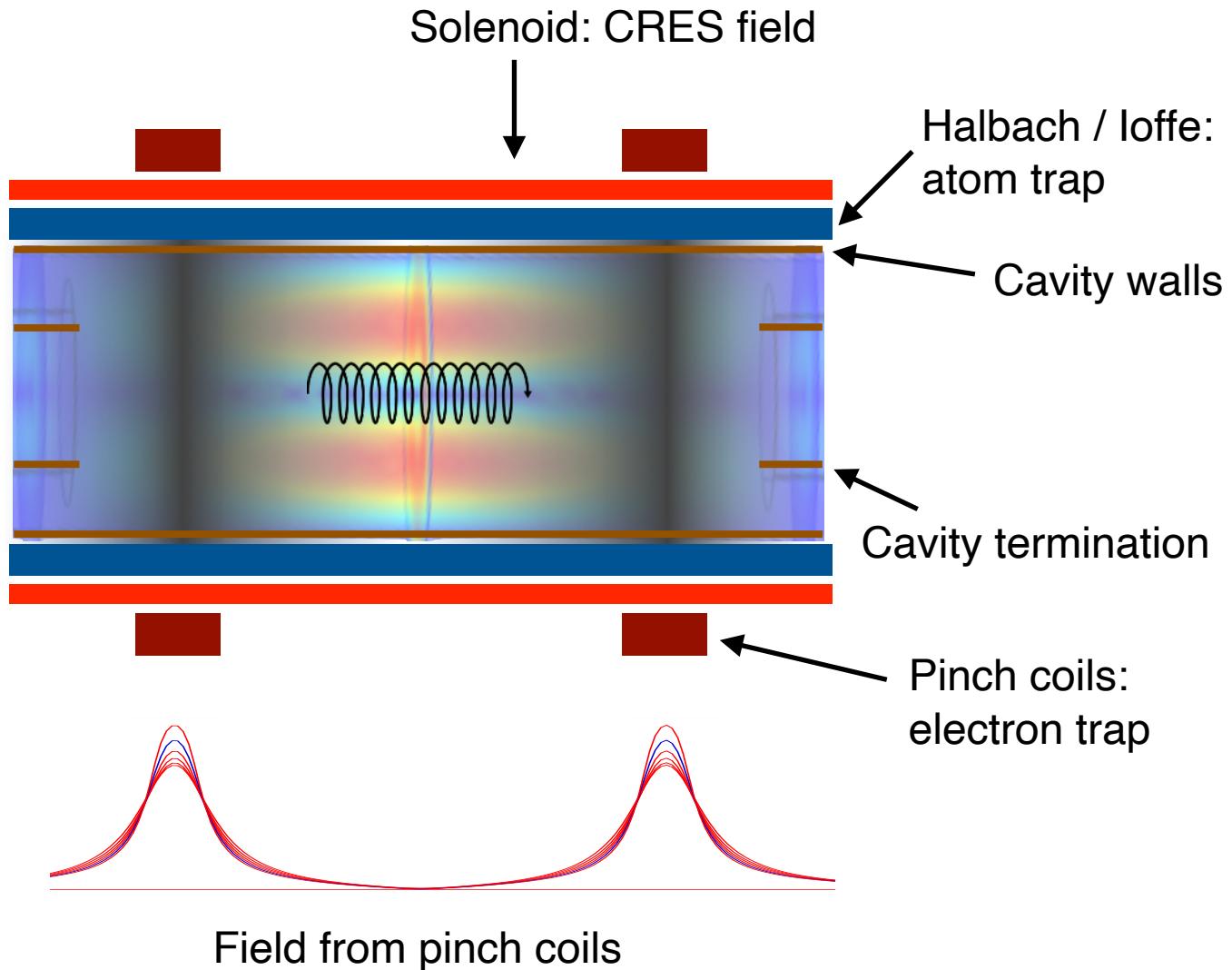
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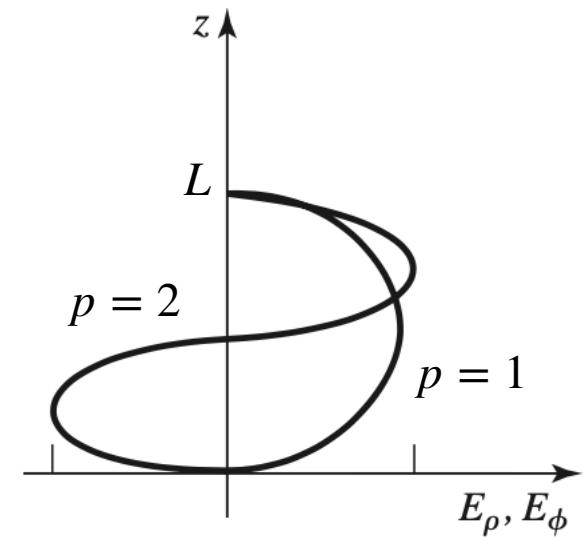
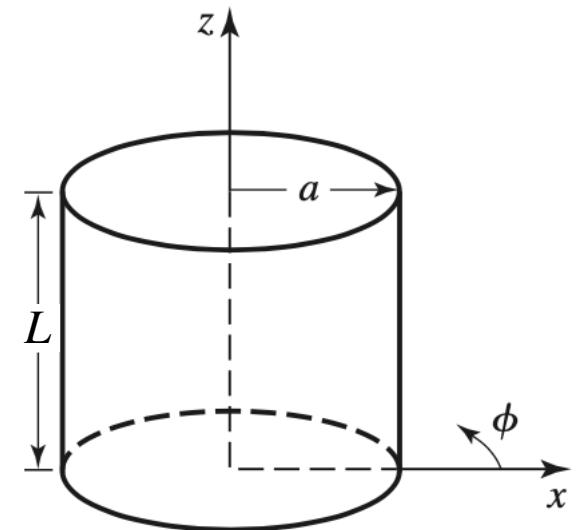


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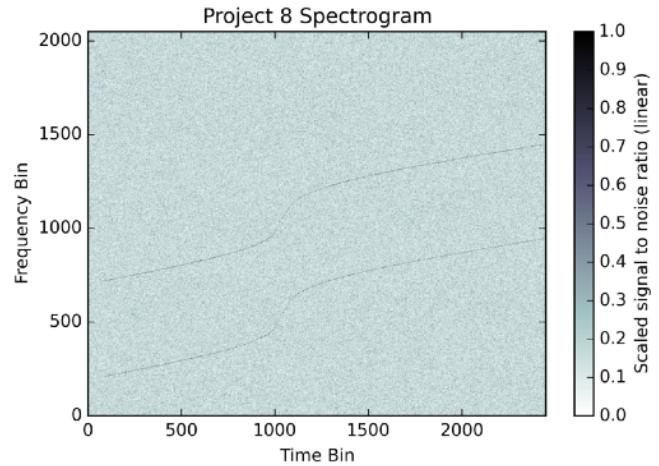
# Cylindrical Cavities

- Transverse electric (TE) & transverse magnetic (TM) modes,  $TE_{mnp}$  and  $TM_{mnp}$  where  $m, n, p = \#$  of antinodes in  $\vec{E}, \vec{H}$  in  $\phi, \rho, z$  directions
- Wave propagates in  $z$  direction, reflected at ends of cavity
- Largest wavelength supported:  $\lambda/2 = L$  (length)
- $f_{TE,nmp} \propto \sqrt{\left(\frac{X'_{nm}}{R}\right)^2 + \left(\frac{p\pi}{L}\right)^2}$ ,  $X'_{nm}$ :  $n$ -th zero of  $m$ -th derivative of Bessel function
- Can manipulate mode structure by e.g. pickup antennas, other features



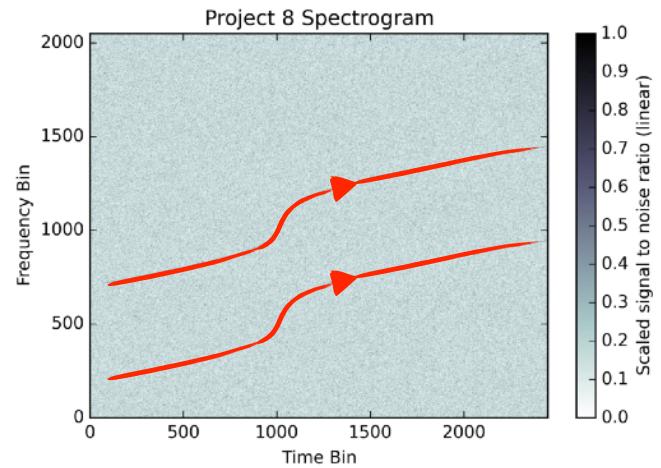
# Multi-mode Challenges

- Cavities have infinite modes
- Complex signal & complex readout
- Intruder modes
  - Electrons' frequency chirps up more before turn-around points in trap
  - May lose energy to invisible modes
- Solution: Mode-filtered cavities!
- But: position reconstruction is a challenge!
  - Magnetic field uniformity constraints
- Modulation index:  $h = \frac{\Delta f}{f_m} = p$
- Sideband structure compact for  $TE_{011}$



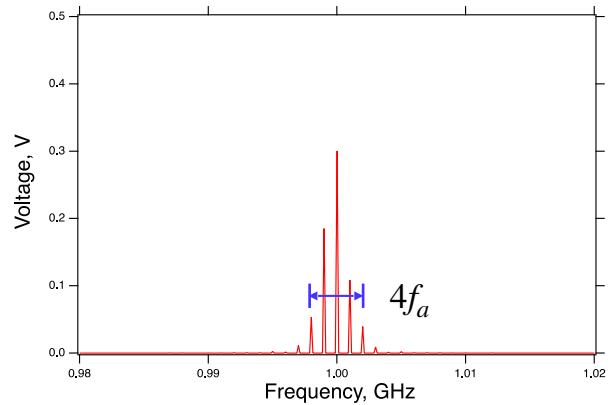
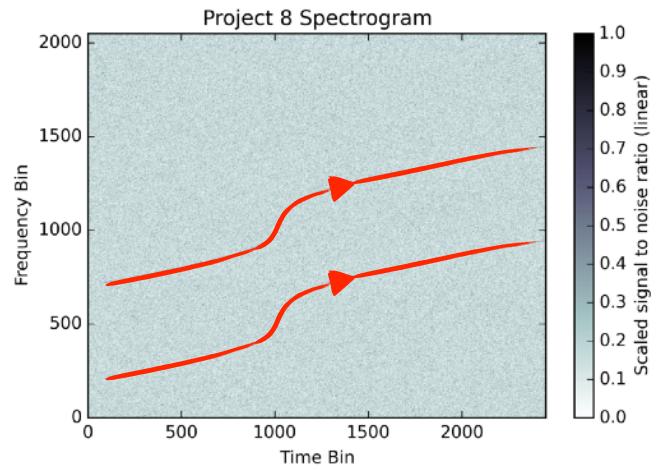
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# Mode-filtered Cavities

- Open-ended cavity to allow for atom flow
- Also shifts & suppresses TM modes relative to TE modes
- Allowing only circumferential currents suppresses all but  $TE_{0np}$  modes
- Either by helical grooves or insulating rings
- Long cavity: electrons can only excite first (few)  $TE_{01p}$

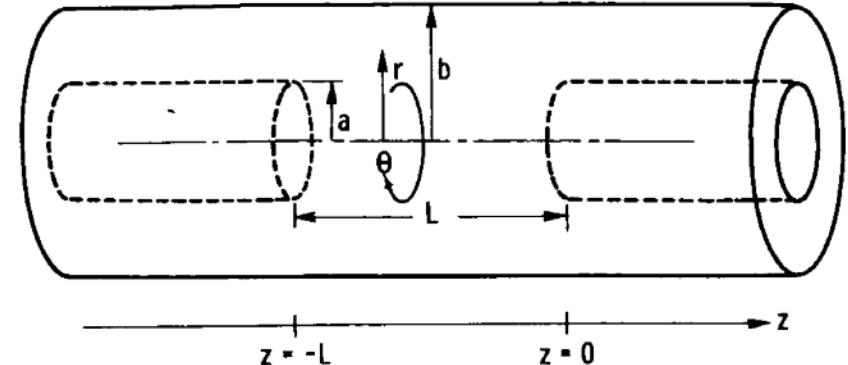
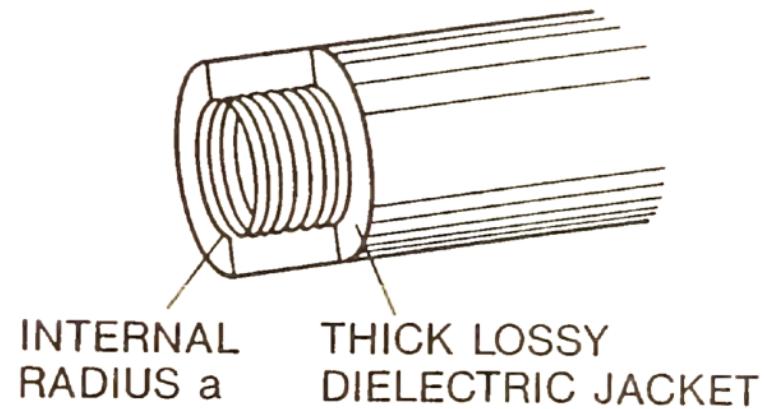
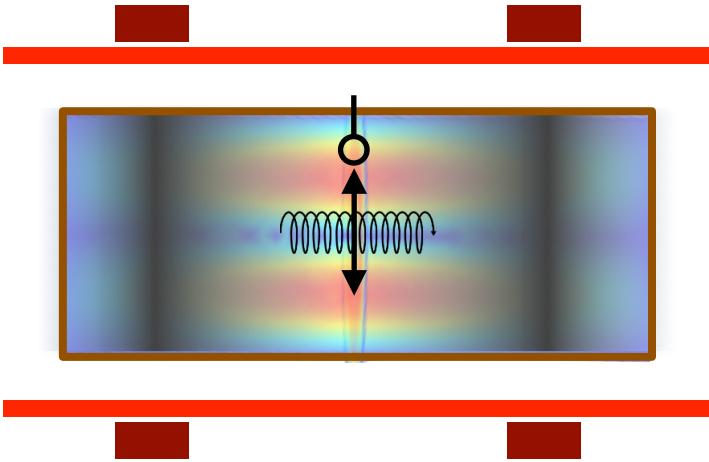


Figure 6. - Model of open-ended cavity.

N. C. Wenger, NASA Technical Note (1966)

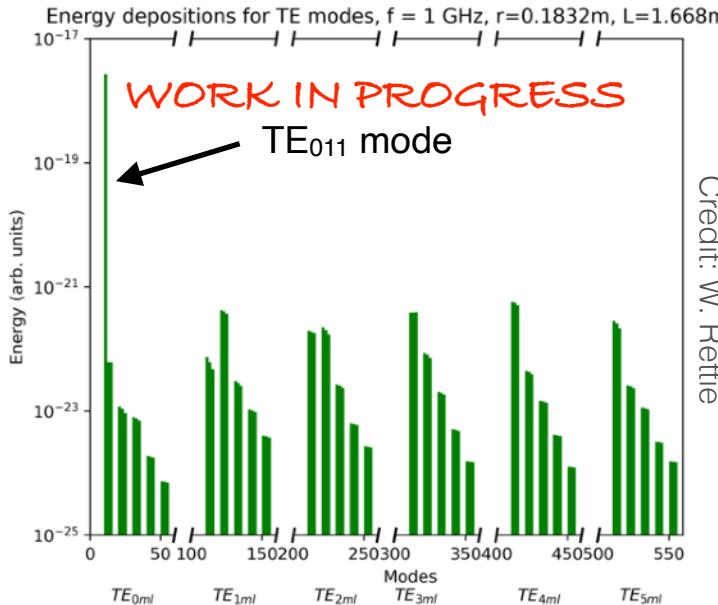


# Simulation Development



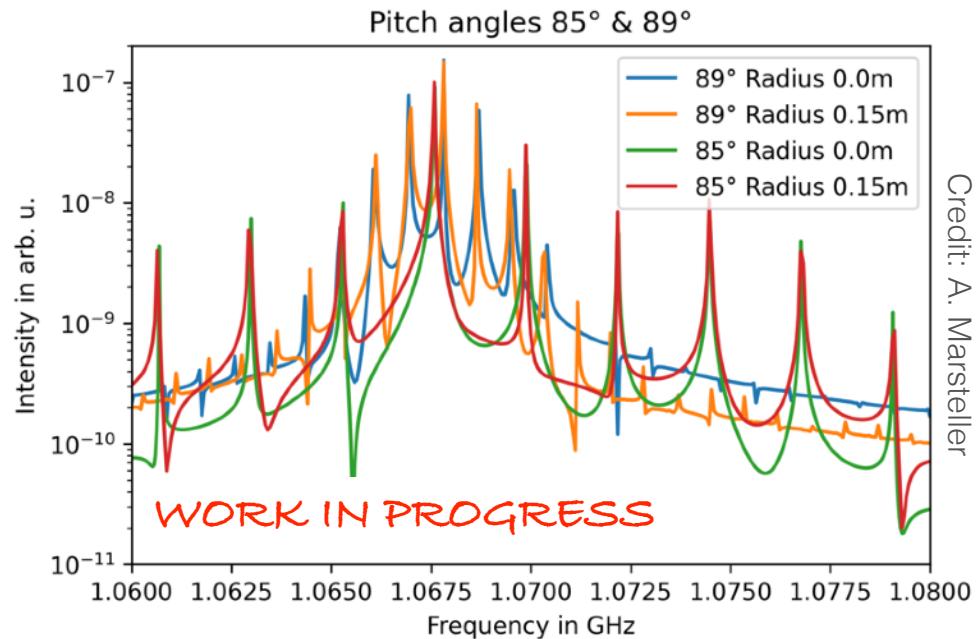
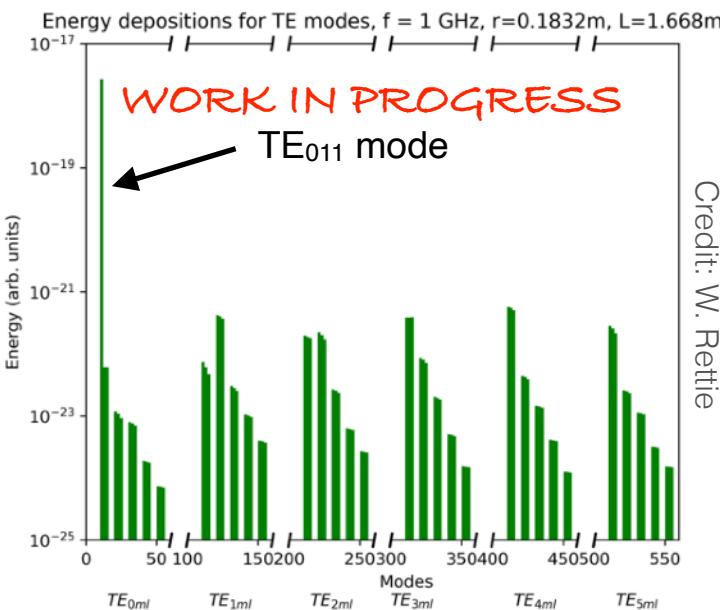
- **Locust:** simple cylindrical cavity
- Mode-filtering imposed by Q setting
- Simulate electron coupling to mode
- Idealized readout in center & off-center

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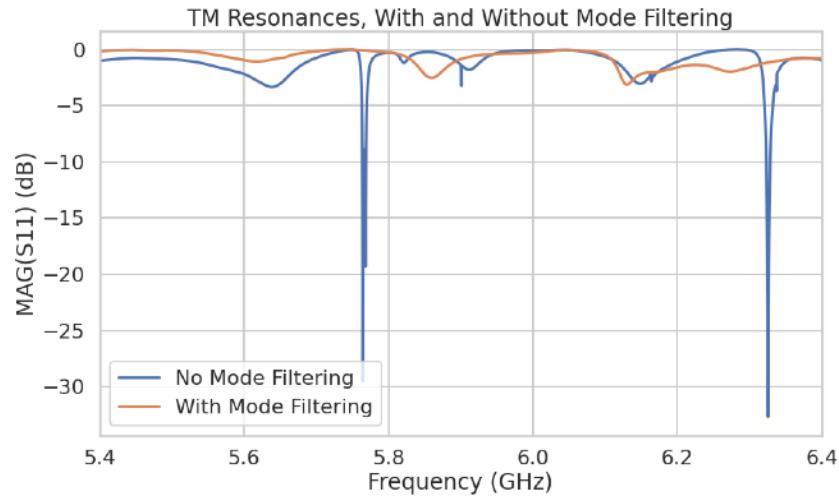
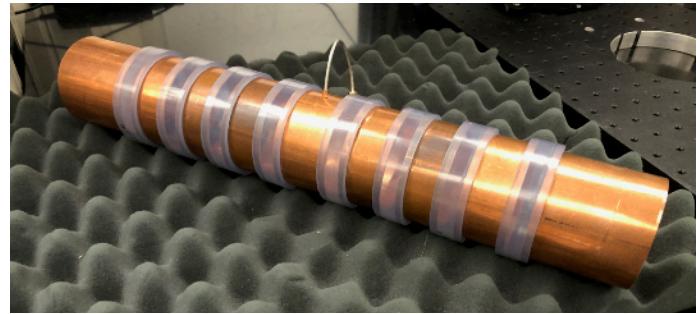


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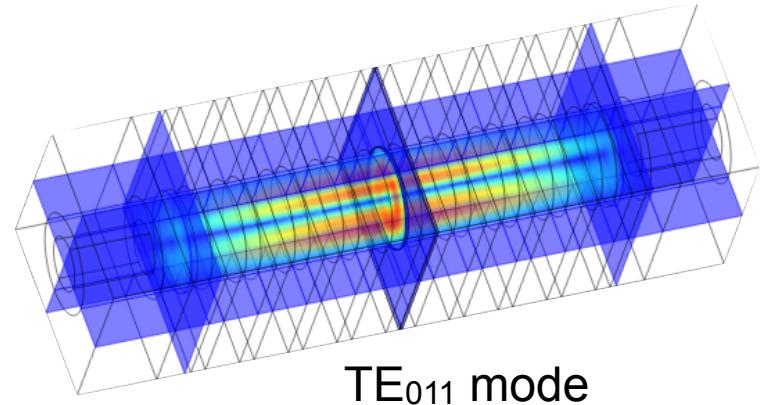
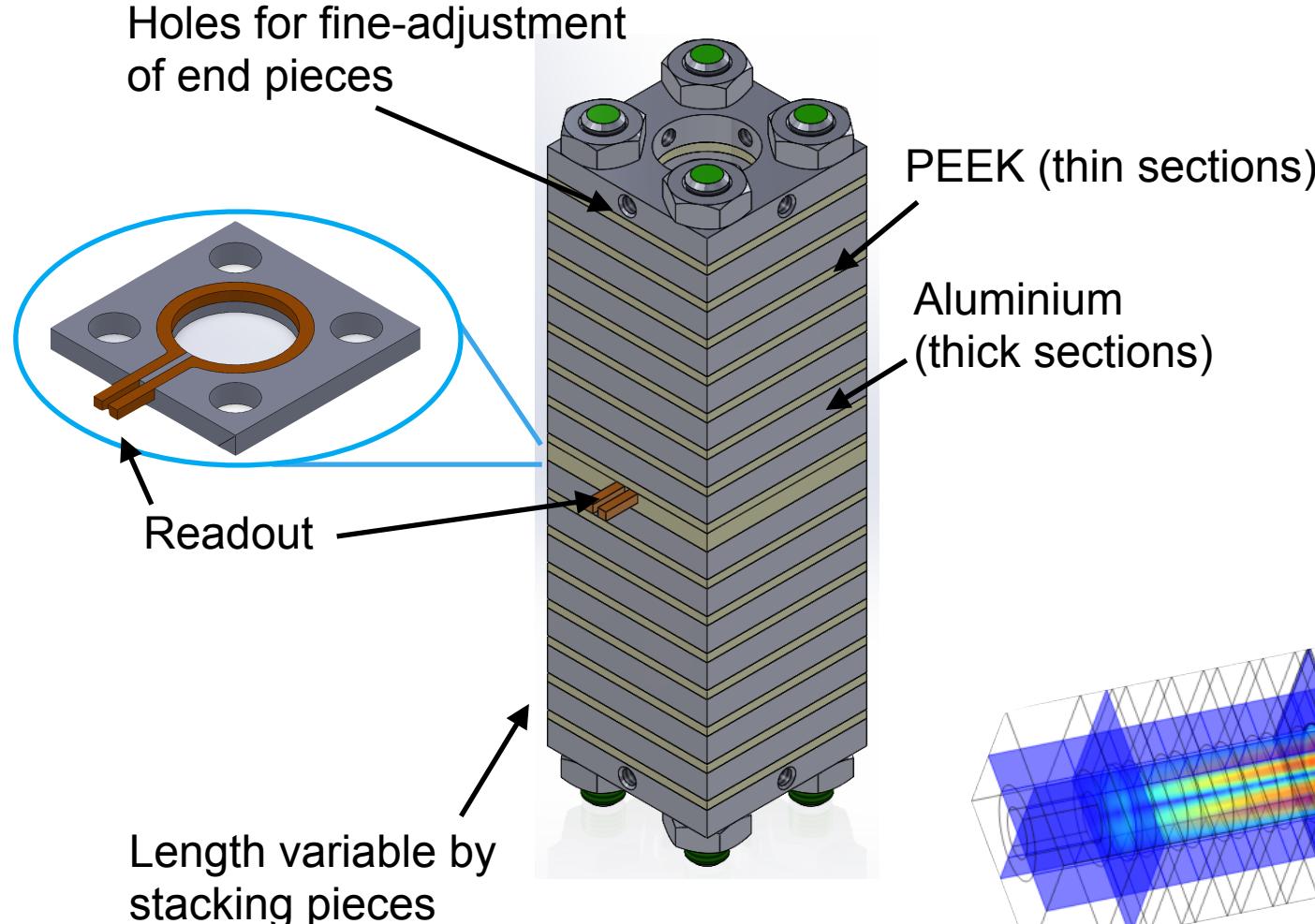
- **Kassiopeia:** cylindrical cavity with idealized magnetic fields
- Electron drives cavity response
- Time series of trapped electrons for pitch angle range, on- and off-radius

# Cavity Prototype Development

- Copper tubes connected by PVC rings permit only circumferential currents
- Allows only TE<sub>01p</sub> modes to propagate
- Verified mode-filtering
- Readout via rotatable coax loop



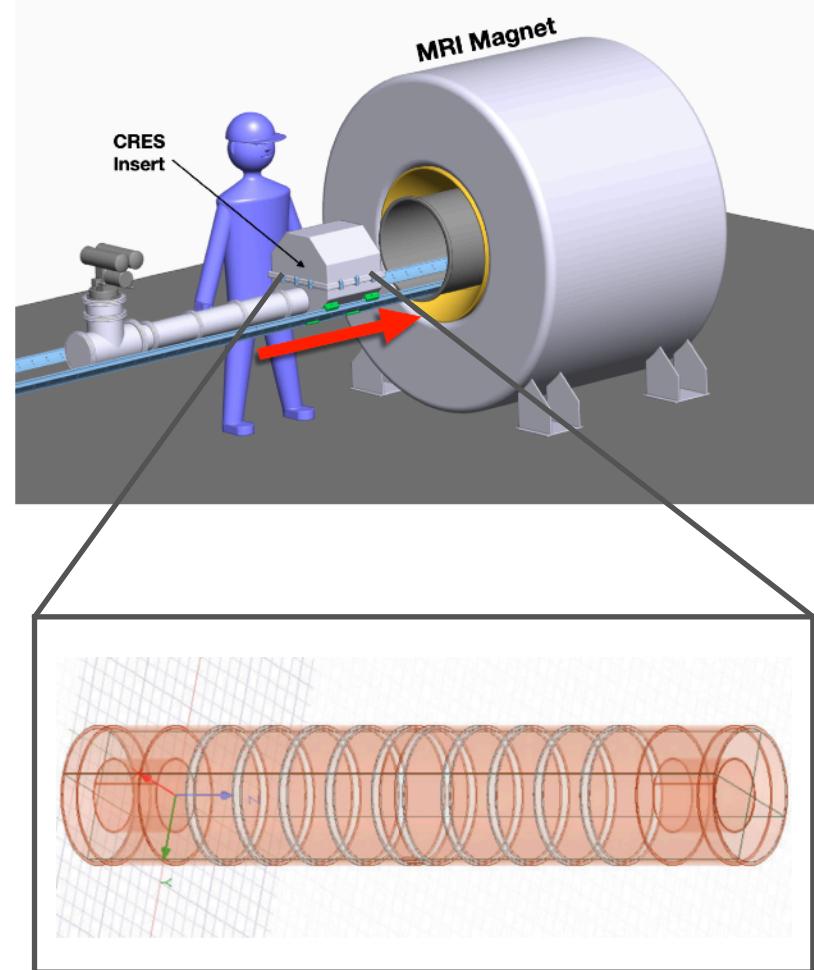
# Cavity Prototype Development



$TE_{011}$  mode

# Cavity CRES Demonstrator

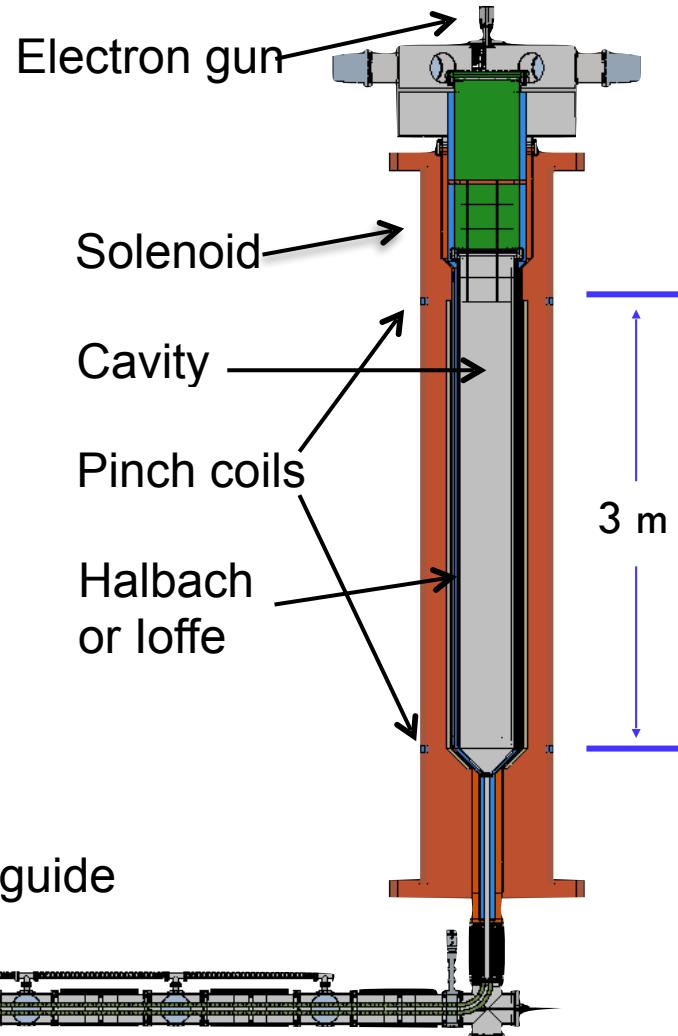
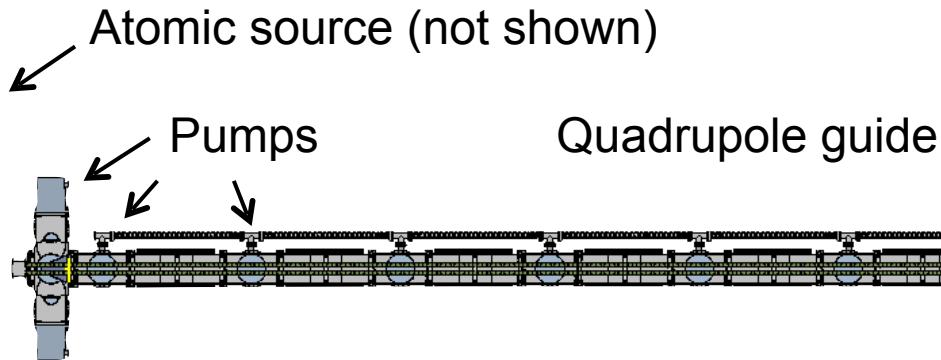
- Cavity at 26 GHz:
- Small:  
 $L = 6 \text{ cm}$ ,  $R < 1 \text{ cm}$ ,  $V \sim 10 \text{ cm}^3$
- Characterize on bench and in dilution fridge
- Then insert into 1 T MRI magnet
  - Electron gun to inject electrons
  - Verify readout
  - Demonstrate CRES in cavity
  - Verify high volume & pitch angle efficiency



Insert: electron gun + Helium gas cell + cavity

# Cavity-based Phase III

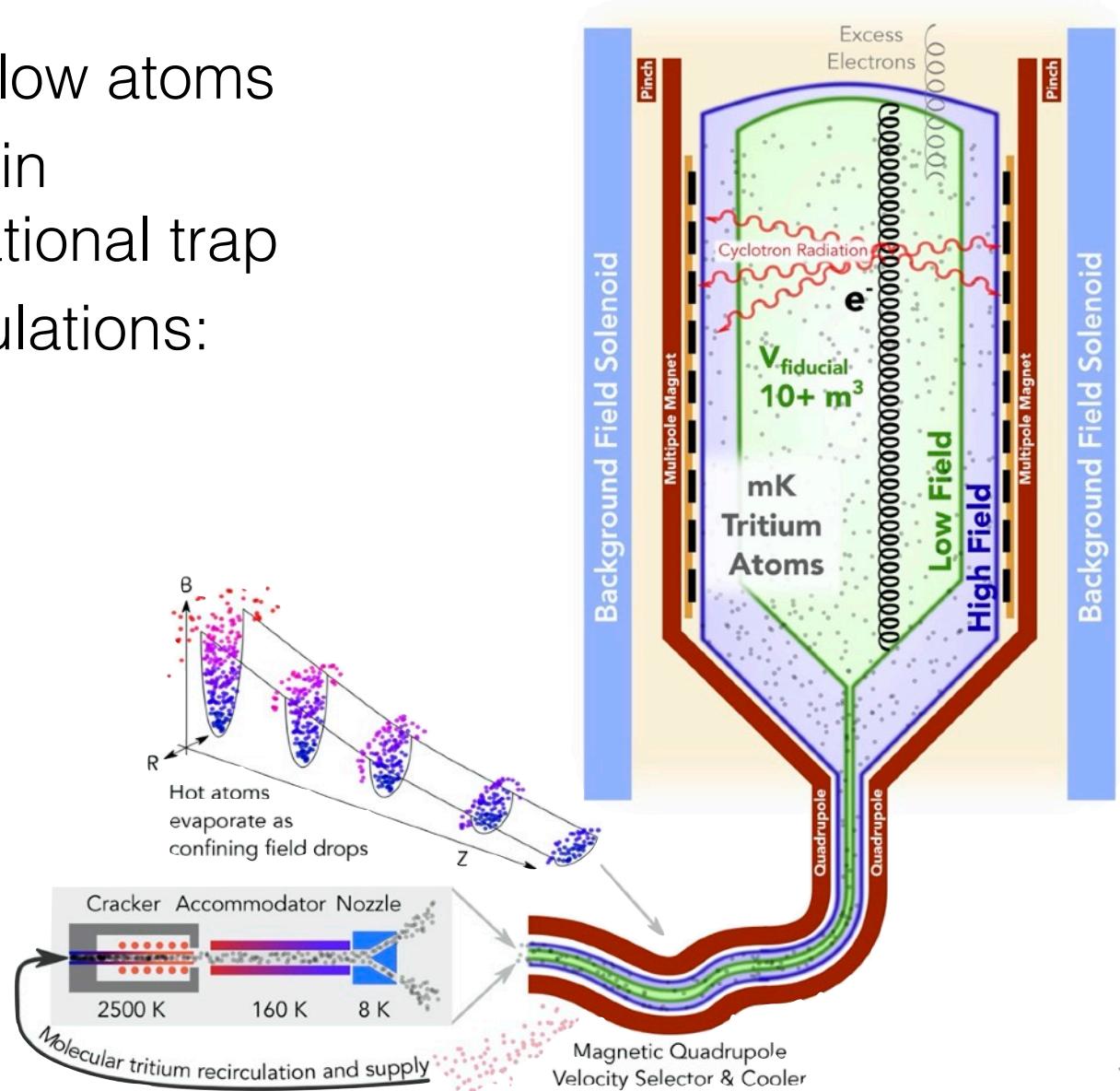
- Need < 7 m/s slow atoms
- Atoms trapped in magnetogravitational trap
- Sensitivity calculations: 0.4 eV limit



Credit: H. G. R. Robertson

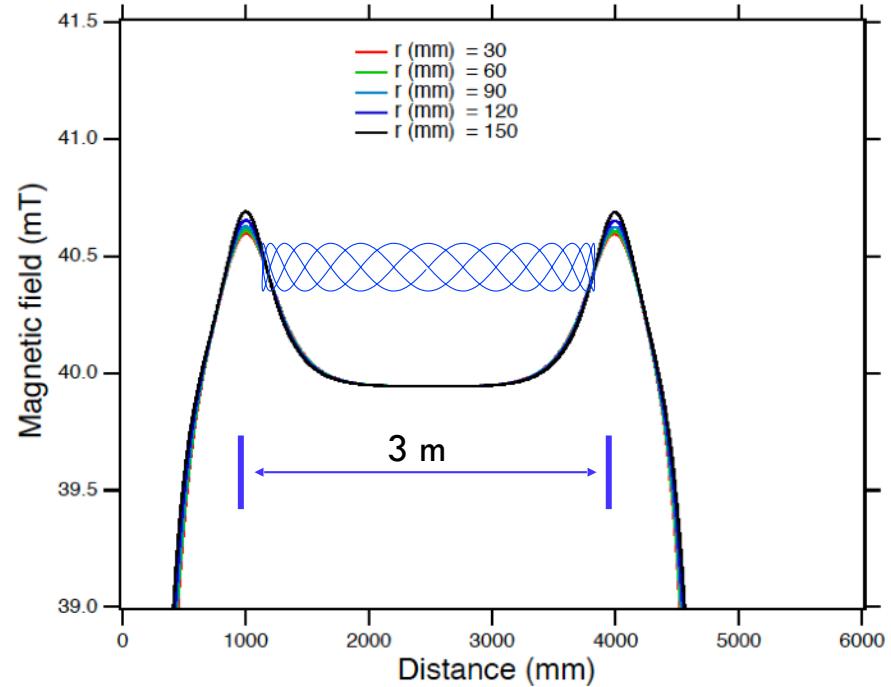
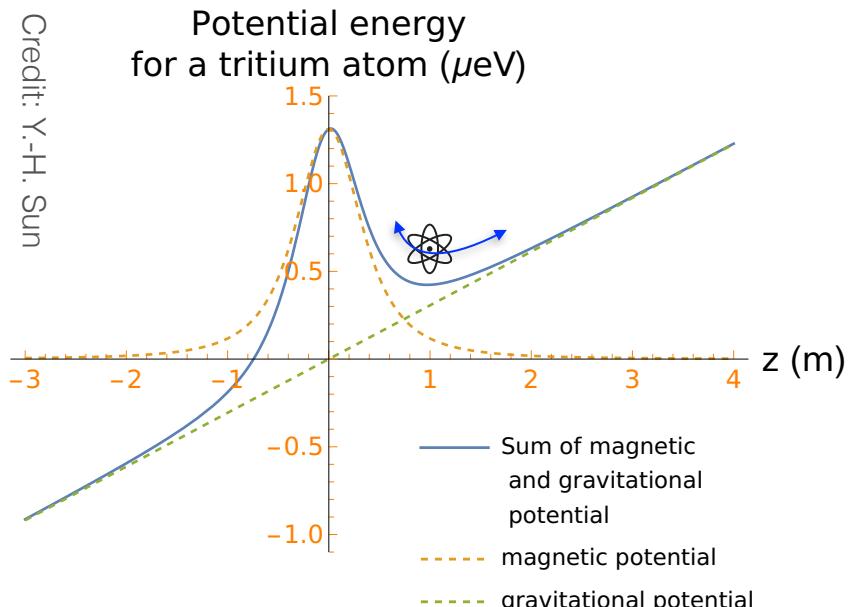
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# Trapping Potentials

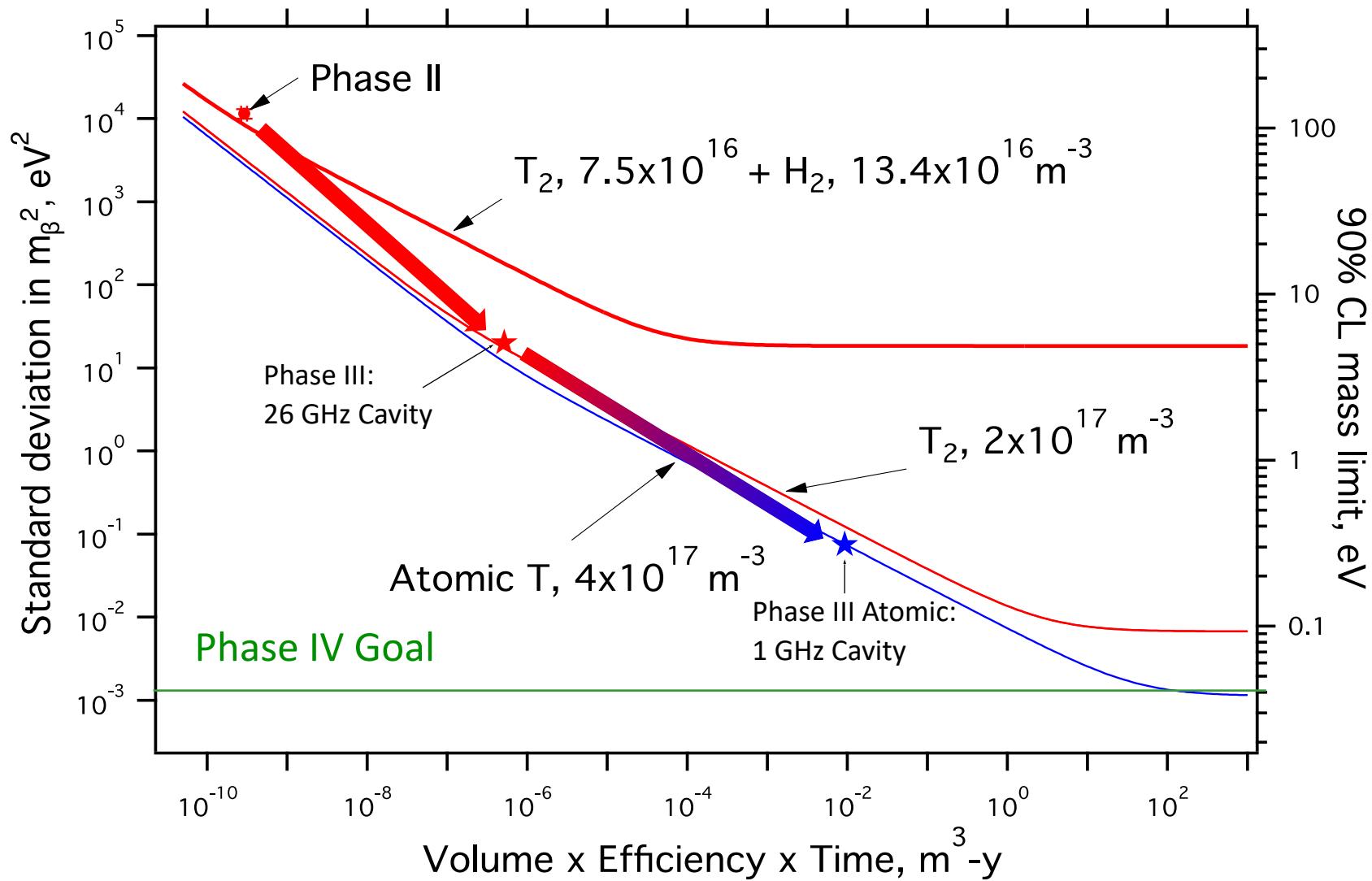
Credit: Y.-H. Sun



Magnetic and gravitational potentials trap atoms

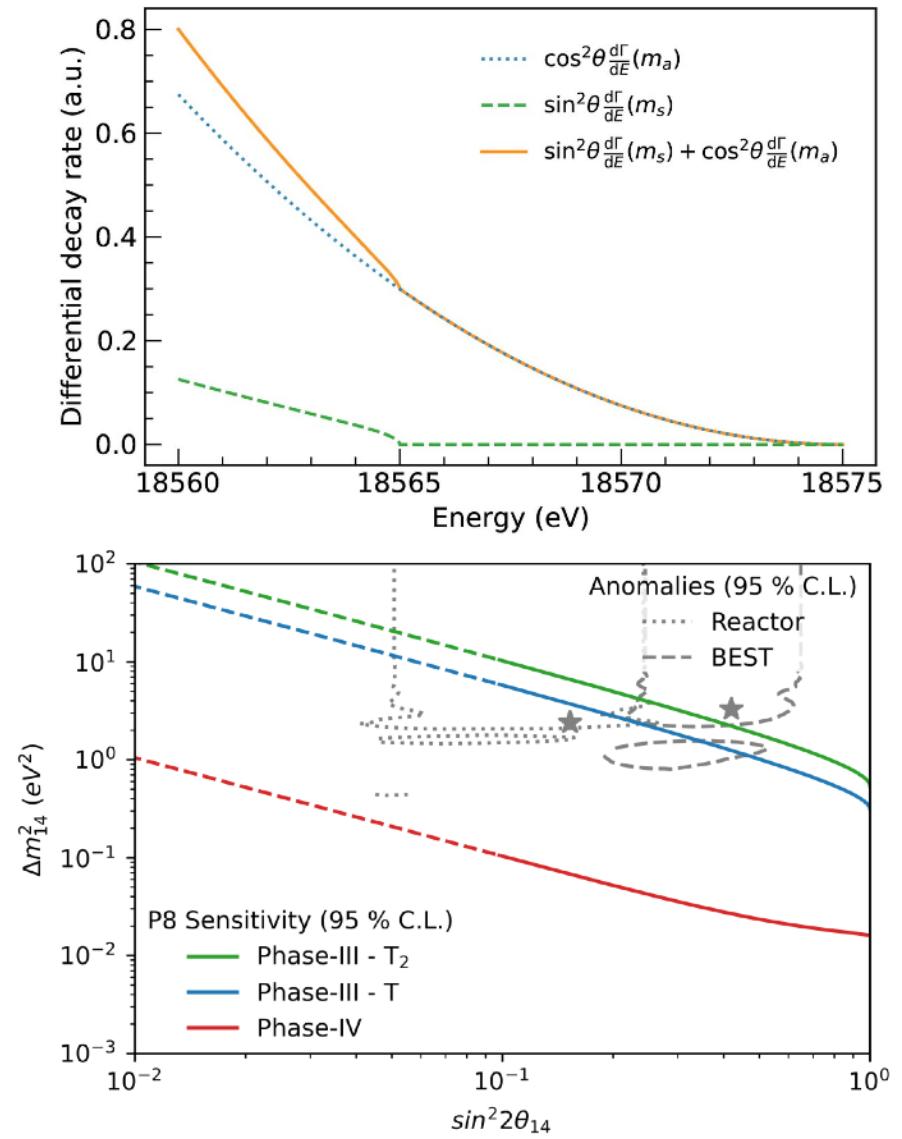
Magnetic field with pinch coils traps electrons

# Cavity Experiments' Sensitivities



# Sterile Neutrino Sensitivity

- Simultaneous active and sterile mass measurements possible
- eV-scale sterile search planned
- Higher mass sterile sensitivity under investigation



# Take Away

- Neutrino mass is one of the outstanding problems of particle physics & cosmology
- The Project 8 approach:
  - High precision frequency measurement
  - Source = detector
  - Differential spectrum measurement for high statistics
  - Low background
- Next challenges:
  - Atomic tritium handling
  - Large source volumes
- “Next”: cavity CRES demonstration with electron source
- “Next-to-next”: First atomic tritium neutrino mass extraction
- Final experiment: 40 meV neutrino mass sensitivity

# The Collaboration



**Case Western Reserve University:** R. Mohiuddin, B. Montreal, Y.-H. Sun

**Indiana University:** W. Pettus

**Johannes Gutenberg Universitat, Mainz:** S. Böser, M. Fertl, A. Lindman, C. Matthé, R. Reimann, F. Thomas, L. Thorne

**Karlsruhe Institute of Technology:** T. Thümmler

**Lawrence Livermore National Laboratory:** K. Kazkaz

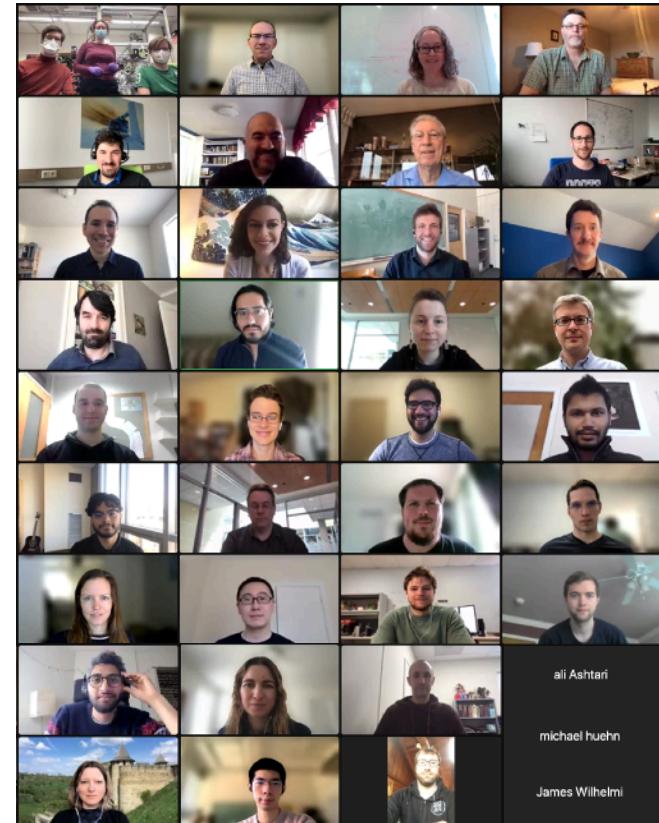
**Massachusetts Institute of Technology:** N. Buzinsky, J. Formaggio, M. Li, J. Pena, J. Stachurska, W. Van de Pontseele

**Pacific Northwest National Laboratory:** M. Grando, X. Huyan, M. Jones, N. Oblath, M. Schram, J. Tedeschi, M. Thomas, B. VanDevender

**Pennsylvania State University:** C. Carmona Benitez, L. de Viveiros, R. Miller, A. Ziegler

**University of Washington:** A. Ashtari Esfahani, C. Claessens, P. Doe, S. Enomoto, E. Novitski, R. G. H. Robertson, G. Rybka

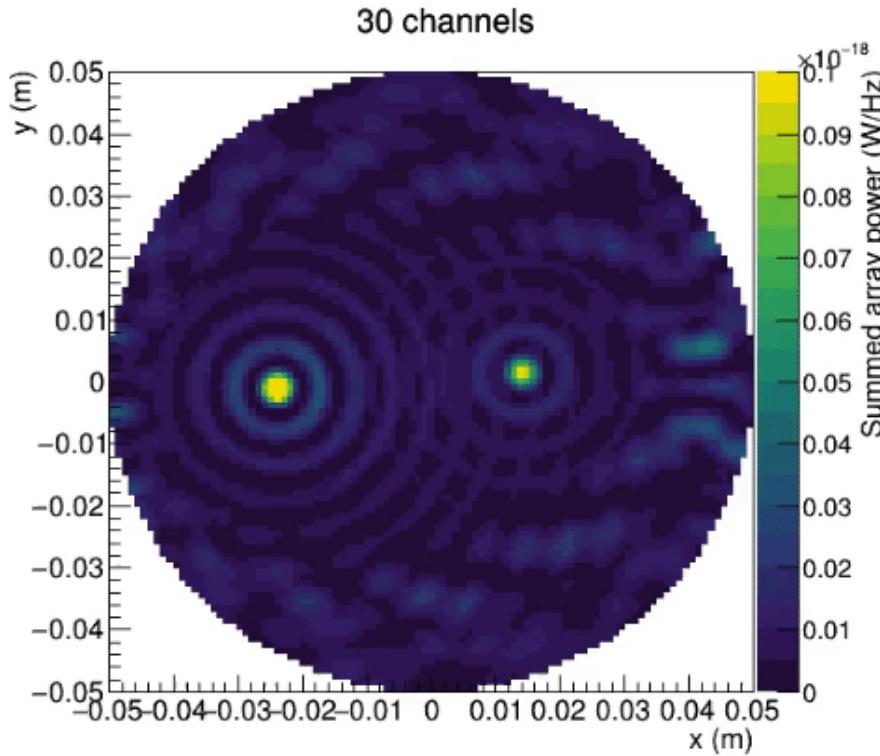
**Yale University:** K. Heeger, J. Nikkel, L. Saldaña, P. Slocum, P. Surukuchi, A. Telles, J. Wilhelmi, T. Weiss



This work was supported by the US DOE Office of Nuclear Physics, the US NSF, the PRISMA+ Cluster of Excellence at the University of Mainz, and internal investments at all collaborating institutions.

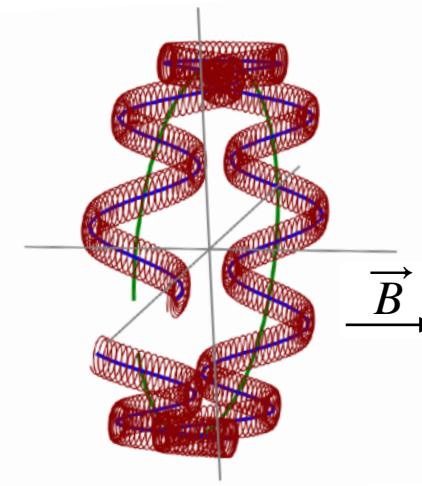


# Free-space CRES demonstrator



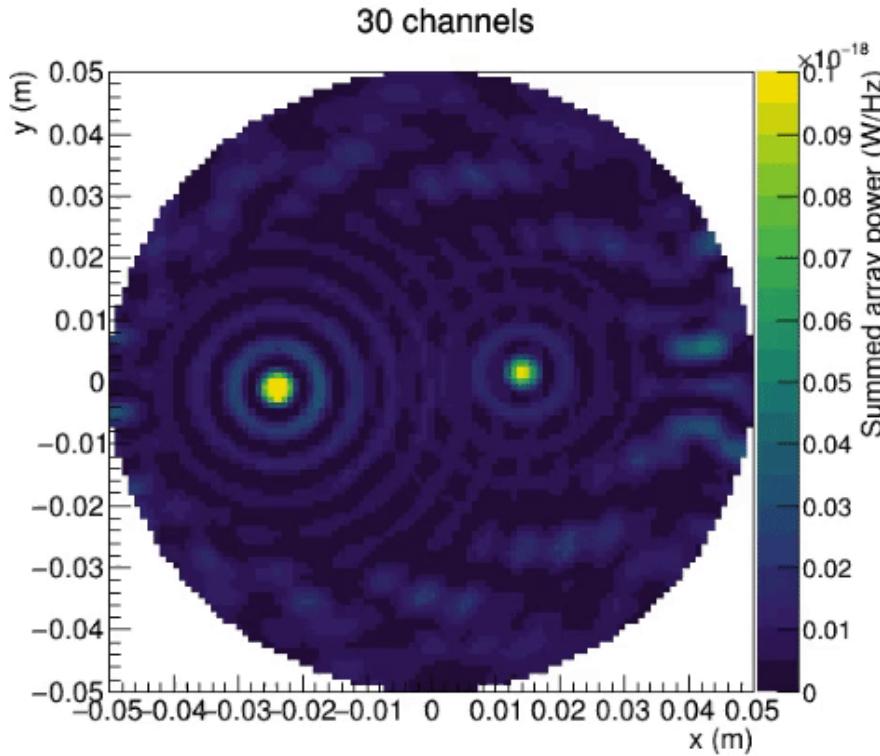
- Position reconstruction  
→ multiple events in one trigger window

- Every antenna sees part of signal  
→ sum coherently (beamforming)
- Challenges: Doppler shift,  $\nabla \vec{B}$ -motion  
→ antennas see slightly different frequency



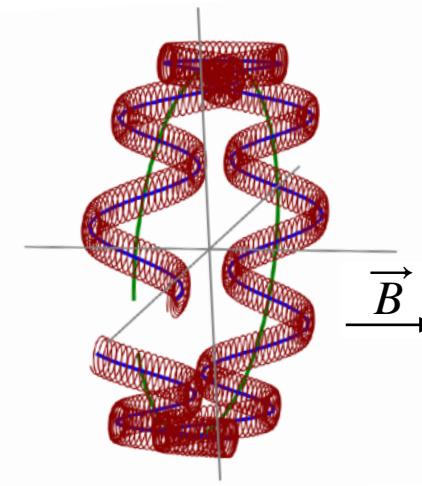
Credit: R. Reimann

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