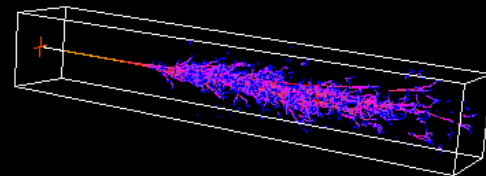
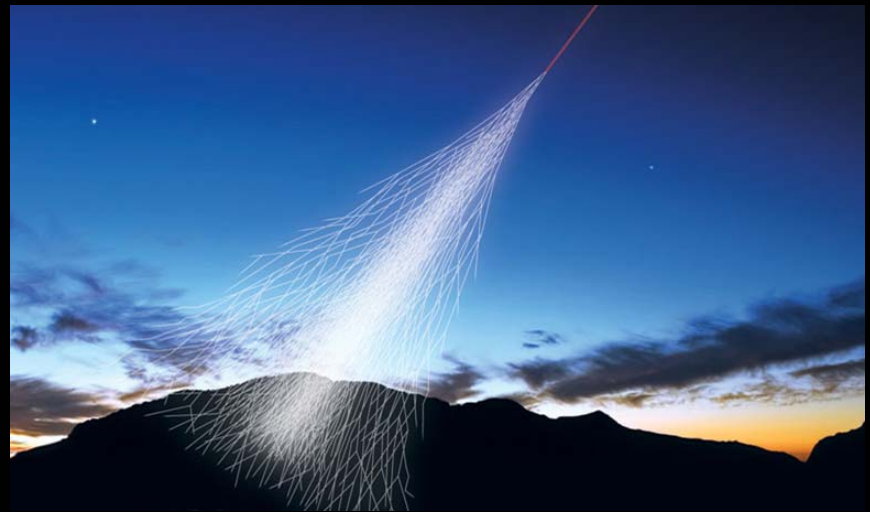
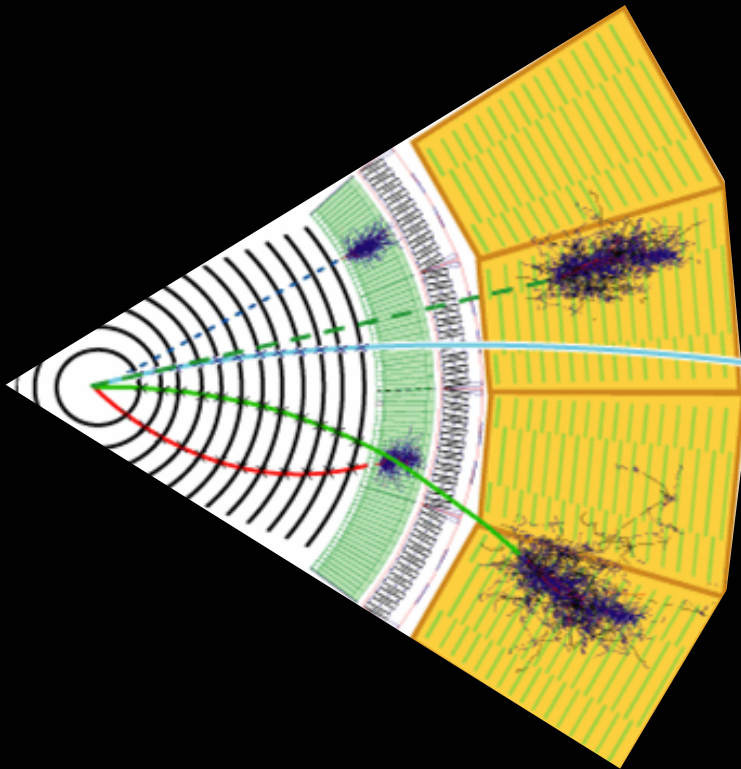


Physics of Particle Showers for High-Energy Calorimetry

Part II: Hadrons

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Humboldt-Universität zu Berlin and DESY



High-Energy Calorimetry: Physics of Particle Showers

1. Introduction
2. Electromagnetic Showers
3. Construction Criteria for Calorimeters
4. Electromagnetic Calorimeters
5. Hadron Showers
6. Hadron Calorimeters
7. Examples for Calorimeters for Hadrons and Jets

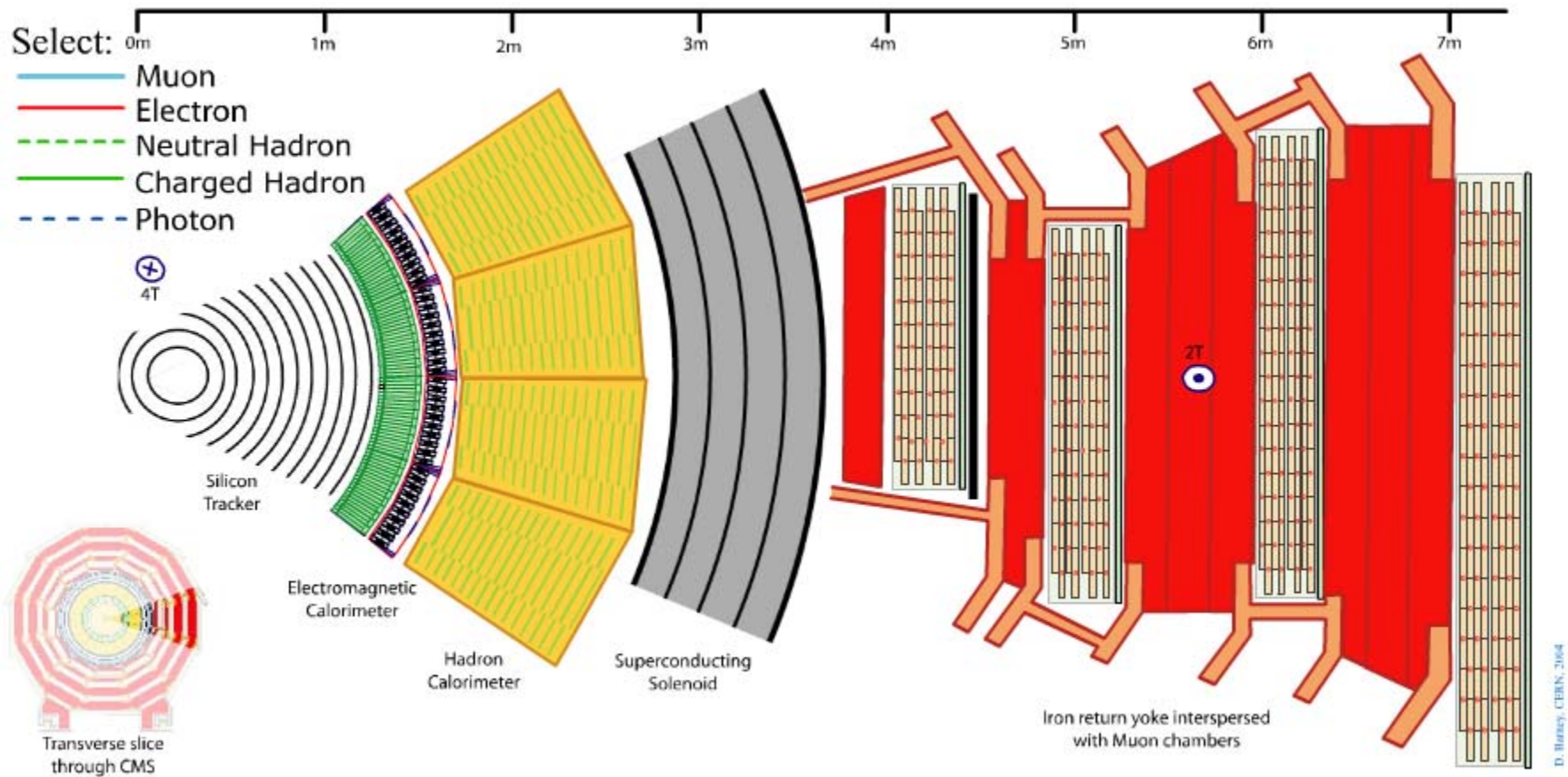
1st lecture (EM)

2nd lecture (HAD)

1. Introduction

Transverse slice through CMS detector

Click on a particle type to visualise that particle in CMS



Momentum and Energy Resolutions

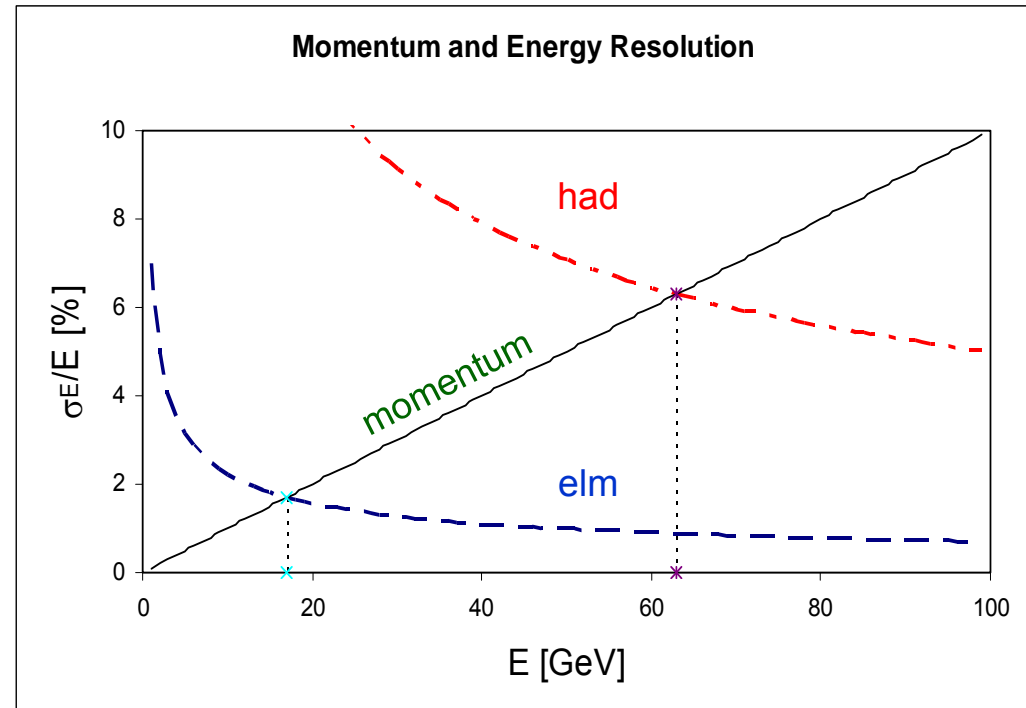
Momentum

$$\frac{\sigma_p}{p} \approx 0.1 \dots 1 \% \cdot p/\text{GeV}$$

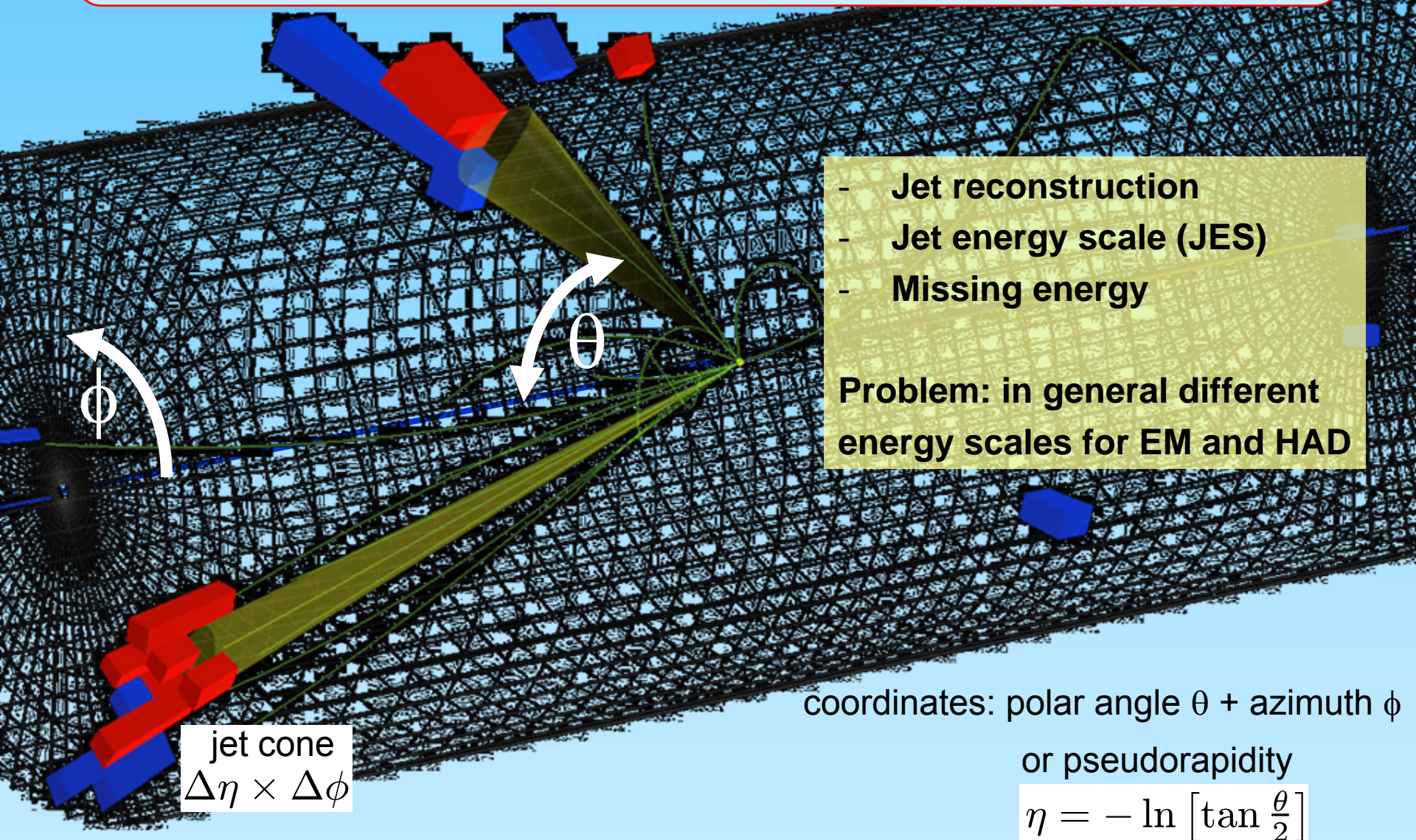
Energy

$$\frac{\sigma_E}{E} \approx \frac{2-15 \%}{\sqrt{E/\text{GeV}}} \quad \text{elm.}$$

$$\frac{\sigma_E}{E} \approx \frac{35-120 \%}{\sqrt{E/\text{GeV}}} \quad \text{had..}$$



Important for Calorimetry: Jets of Hadrons



- Jet reconstruction
- Jet energy scale (JES)
- Missing energy

Problem: in general different energy scales for EM and HAD

jet cone
 $\Delta\eta \times \Delta\phi$

coordinates: polar angle θ + azimuth ϕ
or pseudorapidity

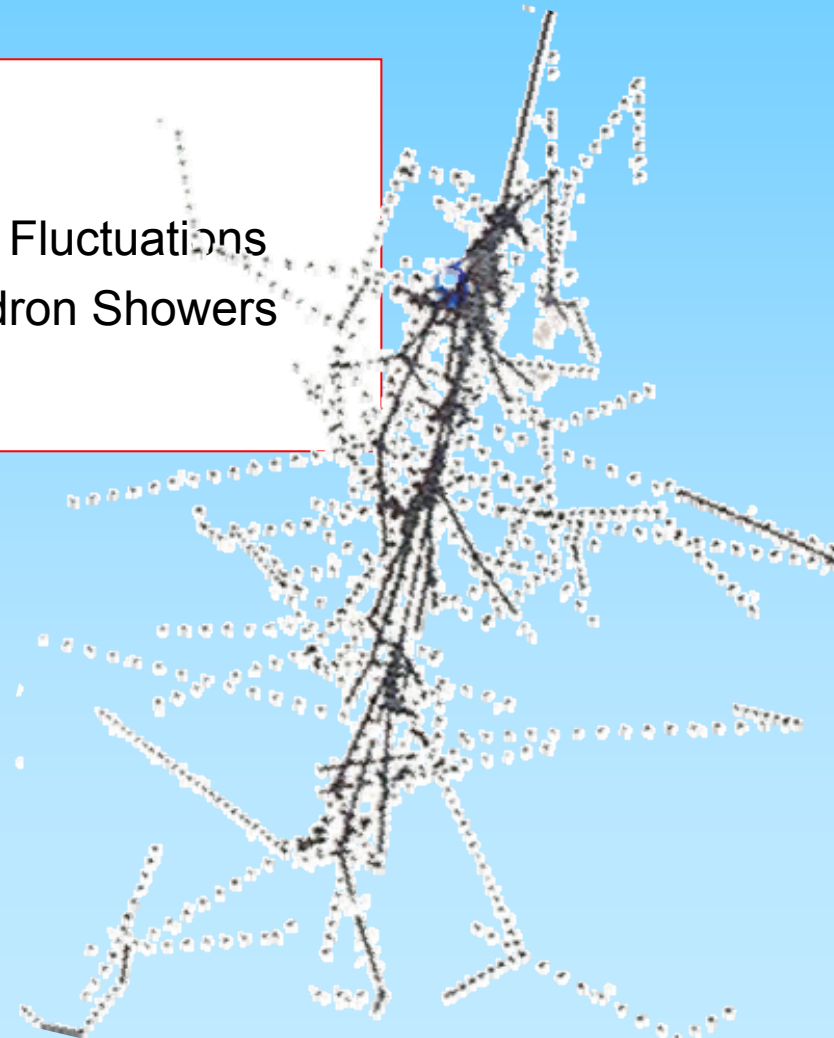
$$\eta = -\ln \left[\tan \frac{\theta}{2} \right]$$

5 Hadron Showers

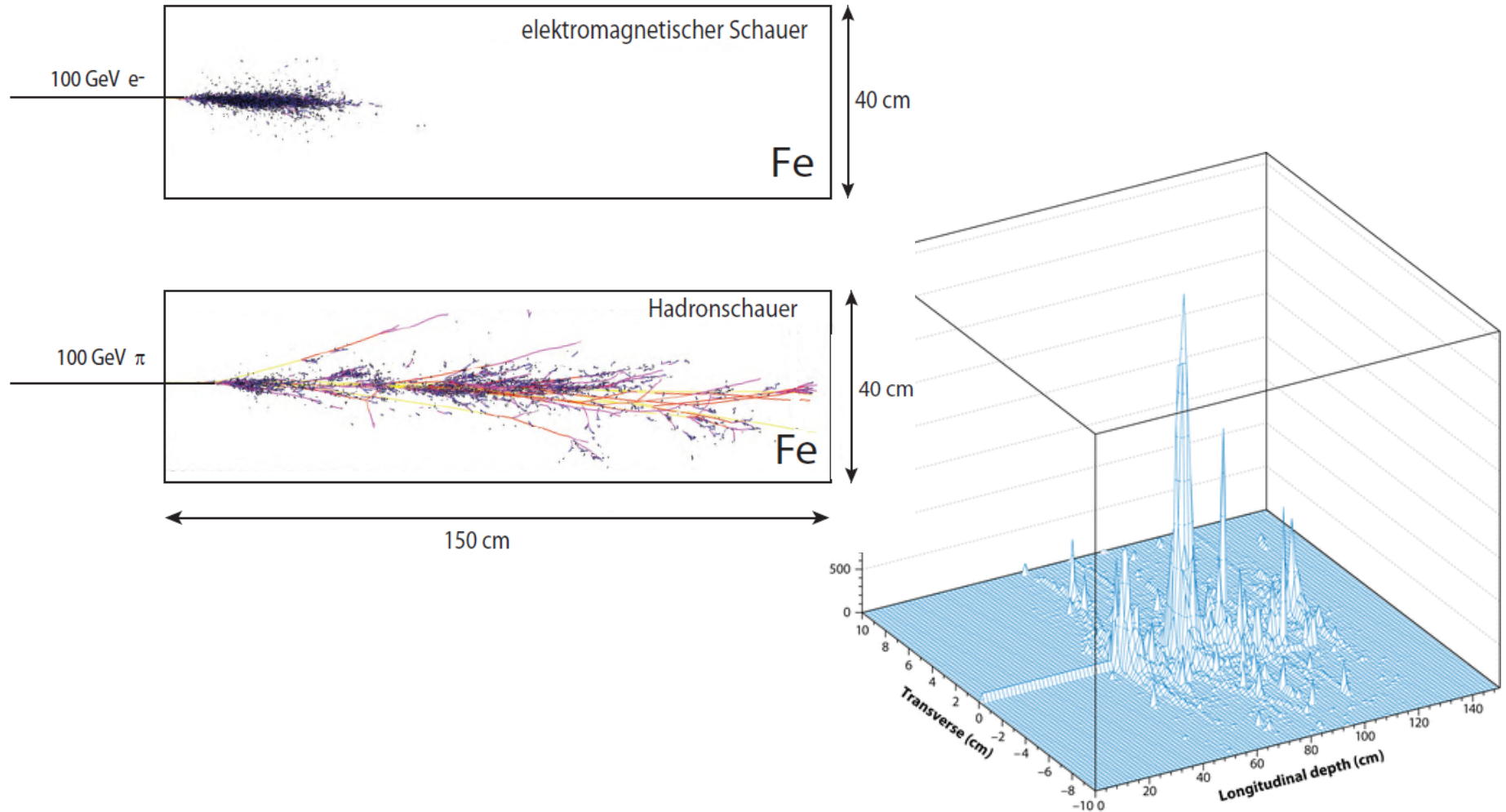
5.1 Shower Development

5.2 Shower Components and Fluctuations

5.3 Characteristic Size of Hadron Showers



5.1 Hadronic Showers Development



Brau JE, et al. 2010.

Annu. Rev. Nucl. Part. Sci. 60:615–44

Hadronic Interactions

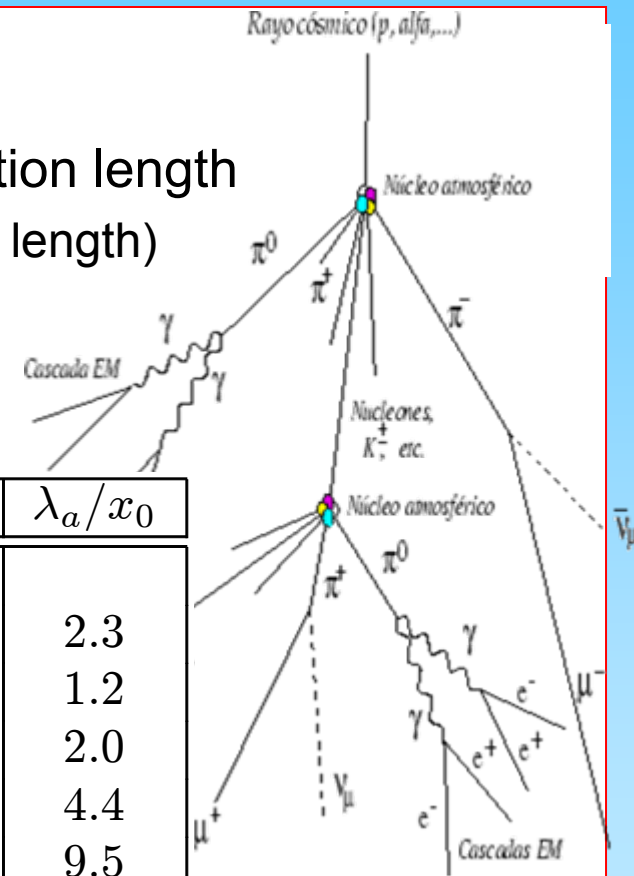
$$N(x) = N_0 e^{-x/\lambda_a}$$

$$\lambda_a = \frac{A}{N_A \rho \sigma_{inel}} \approx 35 \text{ g cm}^{-2} A^{\frac{1}{3}}$$

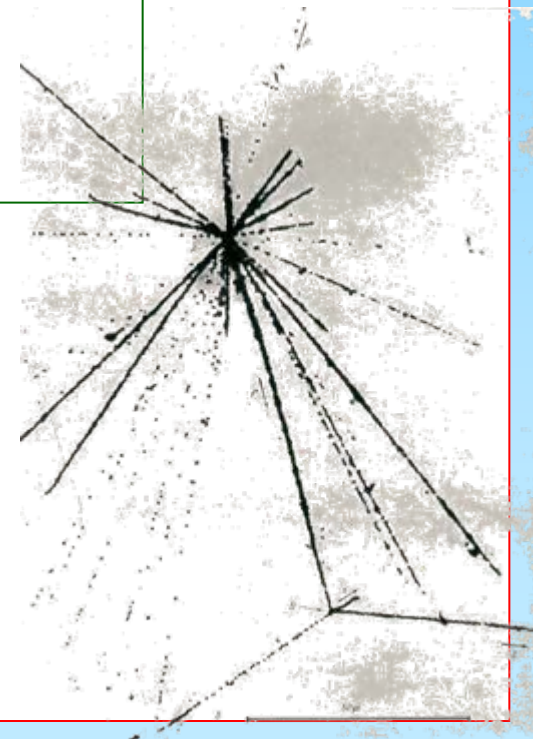
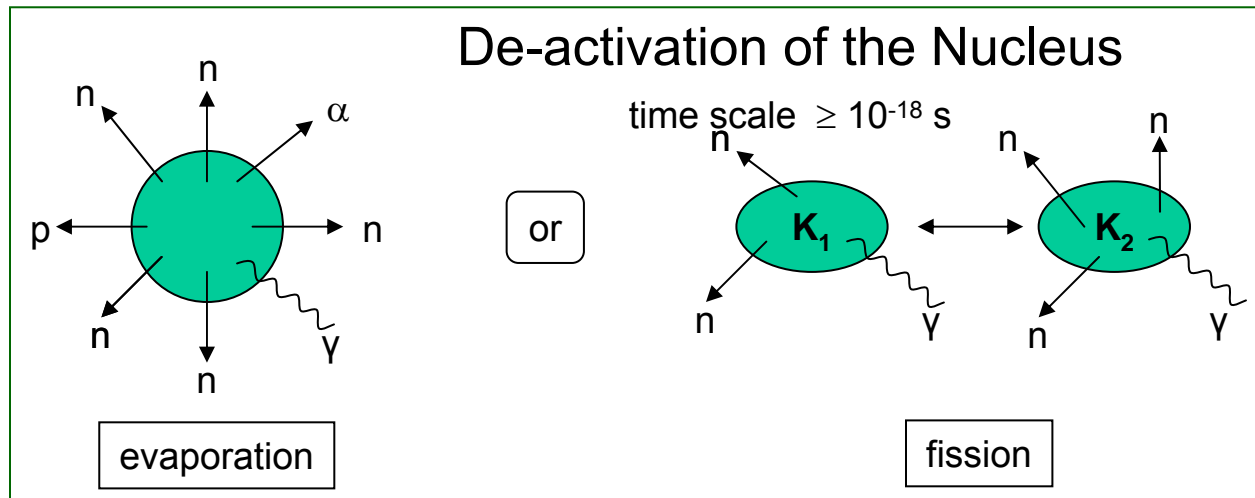
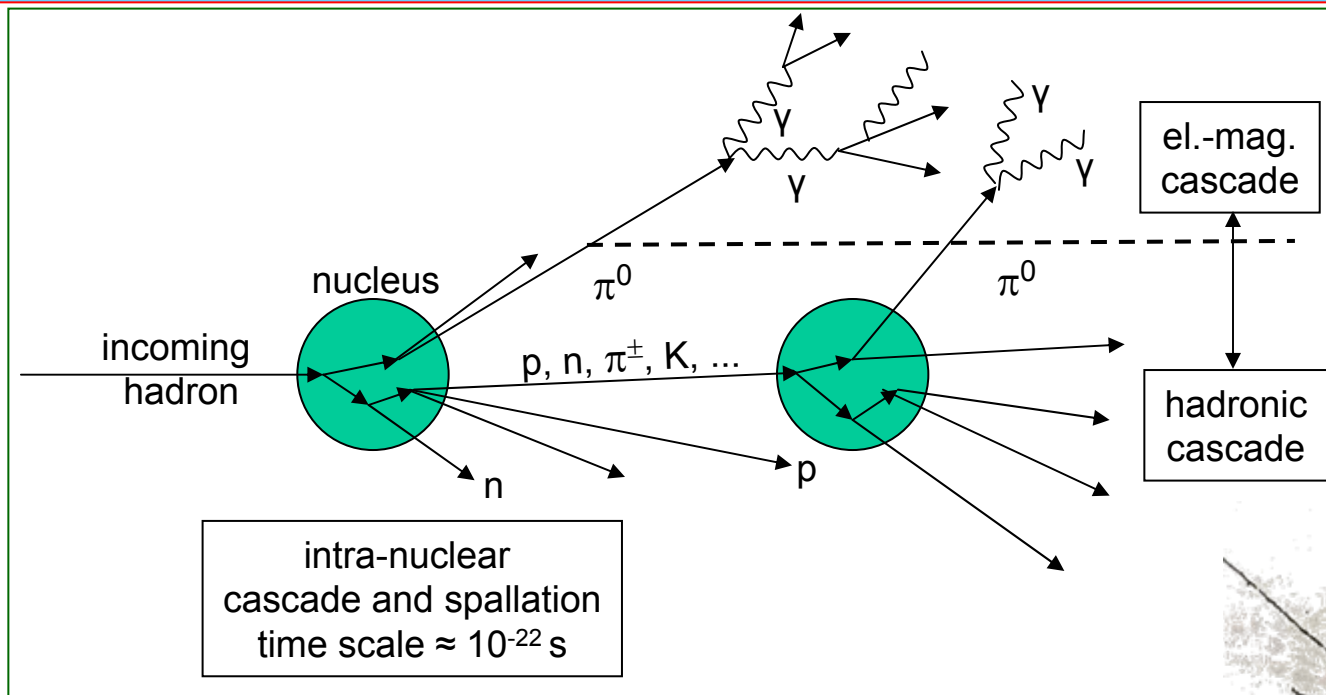
many reactions contribute

nuclear absorption length
(\neq interaction length)

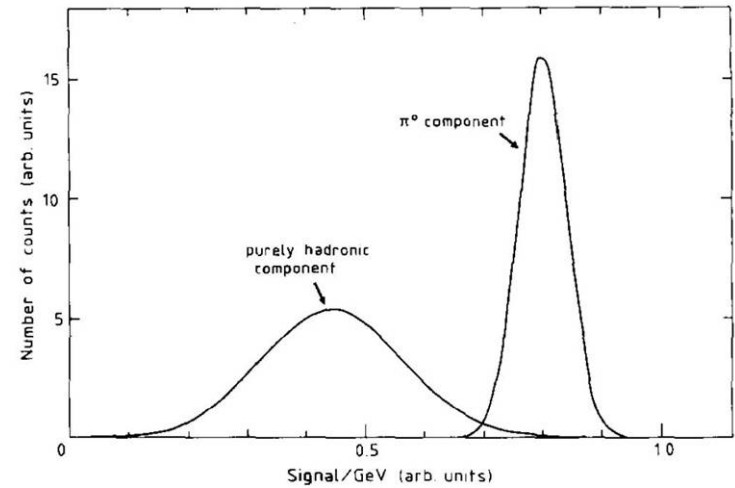
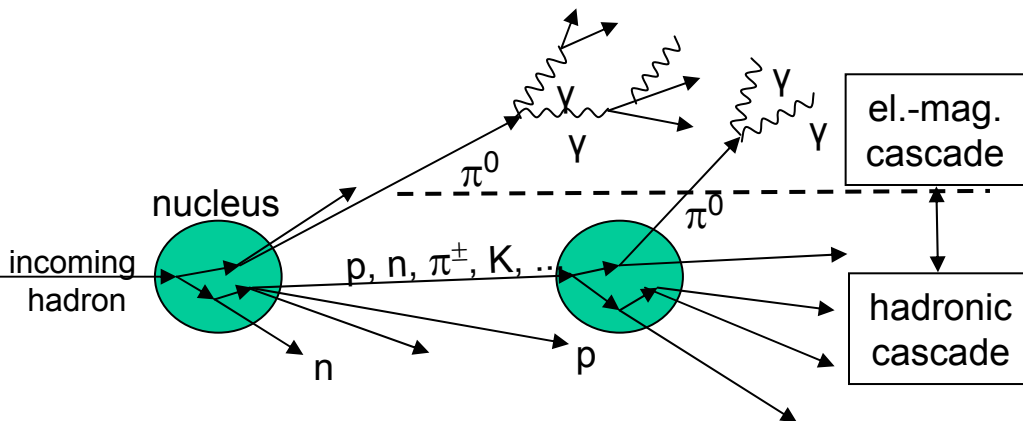
| Material | Z | x_0 [mm] | E_k [MeV] | A | λ_a [mm] | λ_a/x_0 |
|------------------|------|------------|-------------|-----|------------------|-----------------|
| H ₂ O | 1, 8 | 361 | 92 | 18 | 836 | 2.3 |
| Be | 4 | 353 | 116 | 9 | 407 | 1.2 |
| C | 6 | 188 | 84 | 12 | 381 | 2.0 |
| Al | 13 | 89 | 43 | 27 | 394 | 4.4 |
| Fe | 26 | 17.6 | 22 | 56 | 168 | 9.5 |
| Cu | 29 | 14.3 | 20 | 64 | 151 | 10.6 |
| W | 74 | 3.5 | 8.1 | 183 | 96 | 27.4 |
| Pb | 82 | 5.6 | 7.3 | 207 | 171 | 30.5 |
| U | 92 | 3.2 | 6.5 | 238 | 105 | 32.8 |



Nuclear and High-Energy Cascades



5.2 Shower Components and Fluctuations



$\pi^0 \rightarrow \gamma\gamma : c\tau \approx 25 \text{ nm} \Rightarrow \text{spontaneous decay}$

Problem of hadron calorimetry: - many contributions + strong fluctuation between
- with different signals

$$E_{dep} = \left(f_{em} + \underbrace{f_{ion} + f_n + f_\gamma + f_B}_{f_h} \right) E$$

EM Contribution to E_{dep}

$$f_{em} \approx 1 - \left(\frac{E}{E_0} \right)^{k-1}$$

k depends on particle multiplicity in cascade

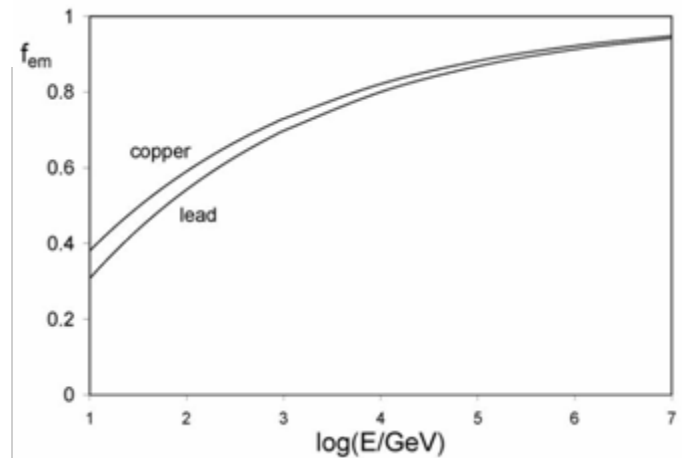
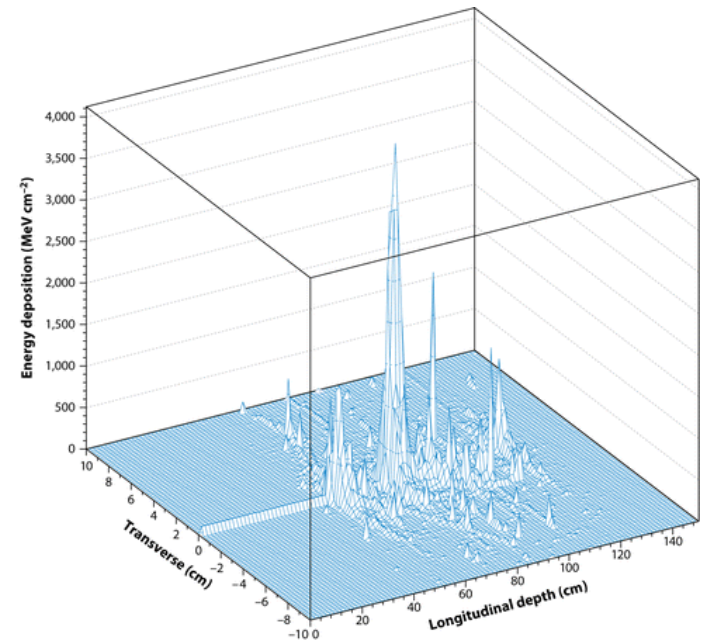
$$k \approx 0.82$$

E_0 is the mean energy to create a π^0

$$E_0 = \begin{cases} 0.7 \text{ GeV for Cu} \\ 1.3 \text{ GeV for Pb} \end{cases}$$

fluctuations in f_{em} :

$$f_{em} \approx 0 \dots 1$$



HAD Contribution to E_{dep}

$$f_h = 1 - f_{em} = f_{ion} + f_n + f_\gamma + f_B$$

f_{ion} - ionisation of charged particles

- relativistic/non-rel

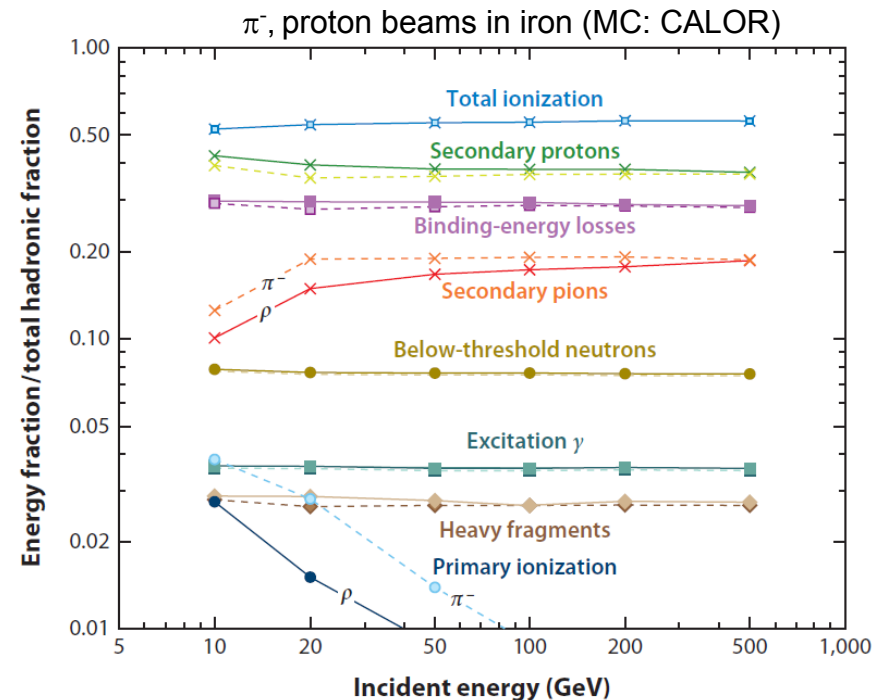
f_n - high energy: contributes to hadronic cascade

- medium energy: elastic scattering transfers energy to nuclei
(most efficient for small A)

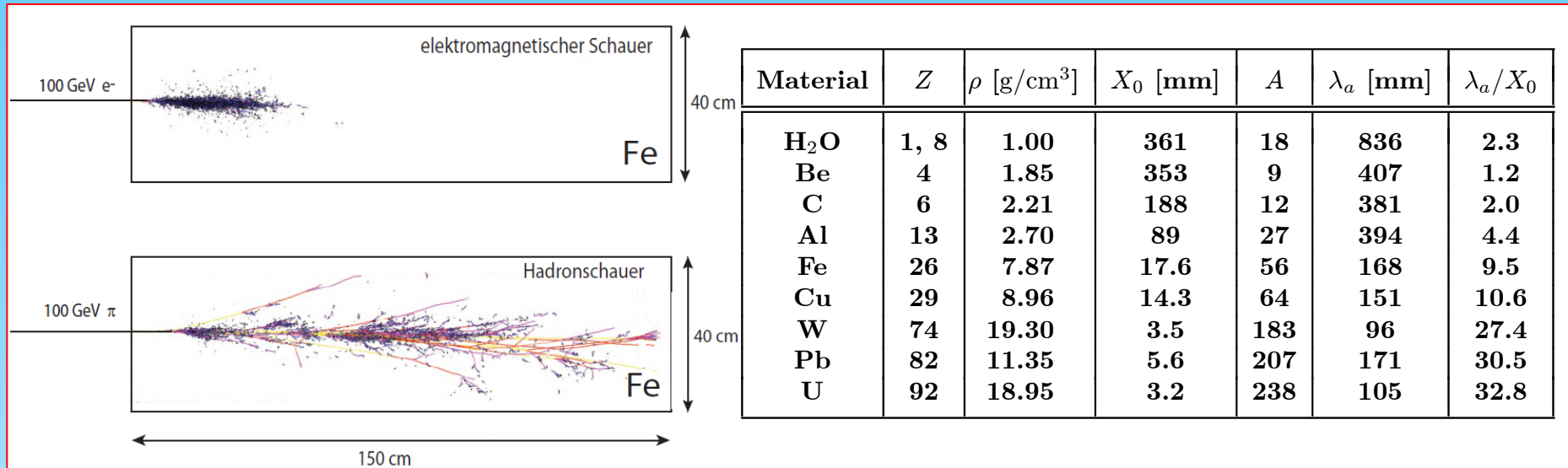
- low energy: thermalised n capture \Rightarrow delayed γ 's

f_γ - photo- and Compton effect

f_B - binding energy lost to break up the nucleus; not detectable/ invisible



3.3 Characteristic Size of Hadron Showers



t = length in units of λ_a

$$t_{max} \approx 0.2 \ln(E/\text{GeV}) + 0.7$$

$$t_{95\%} \approx t_{max} + 2.5 \lambda_a \left(\frac{E}{\text{GeV}} \right)^{0.13}$$

$$R_{95\%} \approx \lambda_a$$

} much larger than EM showers
(and more fluctuations)

Exercise (HAD) I



6 Hadron Calorimeters

6.1 Calorimeter Response to Hadrons and Electrons

6.2 Compensation

6.2.1 Hardware Compensation

6.2.2 Software Korrektion

6.2.3 'Particle Flow' Concept (not in this lecture)

6.2.4 Duale Readout (not in this lecture)

6.3 Energy Resolution of Hadron Calorimeters

6.1 Signals of Electrons and Hadrons

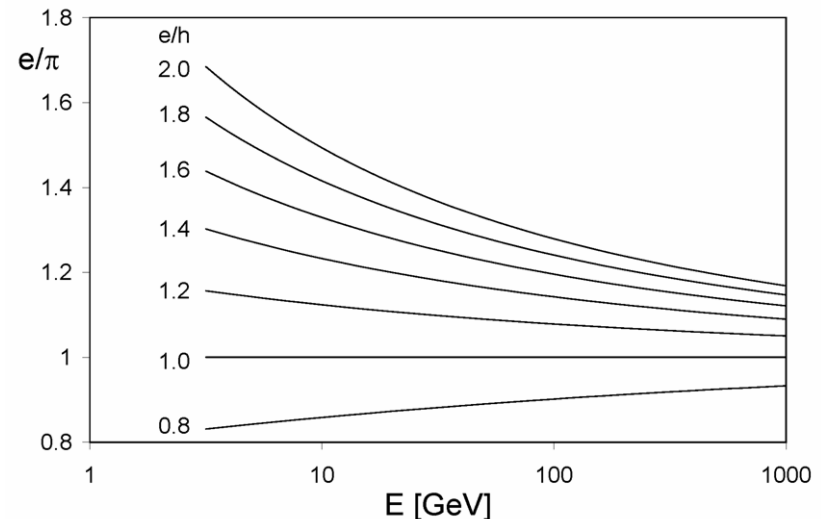
$$S(\pi) = \left(f_{em} \epsilon_{em} + \underbrace{f_{ion,r} \epsilon_{ion,r} + f_{ion,nr} \epsilon_{ion,nr} + f_n \epsilon_n + f_\gamma \epsilon_\gamma + f_B \epsilon_B}_{f_h \epsilon_h} \right) E$$

$$\frac{S(e)}{S(\pi)} = \frac{\epsilon_{em} E}{(f_{em} \epsilon_{em} + f_h \epsilon_h) E} = \frac{\epsilon_{em} / \epsilon_h}{1 - f_{em} \left(1 - \frac{\epsilon_{em}}{\epsilon_h} \right)}$$

$$\frac{S(e)}{S(\pi)} \stackrel{def}{=} \frac{e}{\pi} \quad \text{from } e^\pm \text{ and } \pi^\pm \text{ beams}$$

$$\frac{\epsilon_{em}}{\epsilon_h} \stackrel{def}{=} \frac{e}{h} \quad \text{intrinsic cal. property.}$$

$$\frac{e}{h} = 1 \implies \frac{e}{\pi} = 1$$



e/h Dependence of Resolution and Linearity

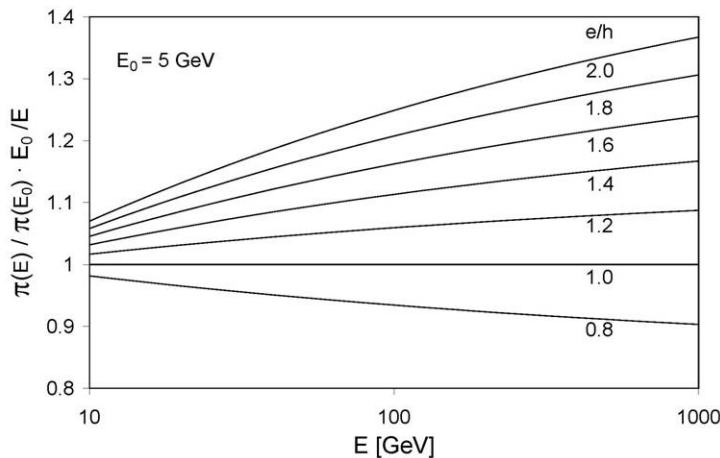
resolution:

$$\frac{\sigma_E}{E} = \frac{a}{\sqrt{E/\text{GeV}}} \oplus b \left(\frac{e}{h} - 1 \right)$$

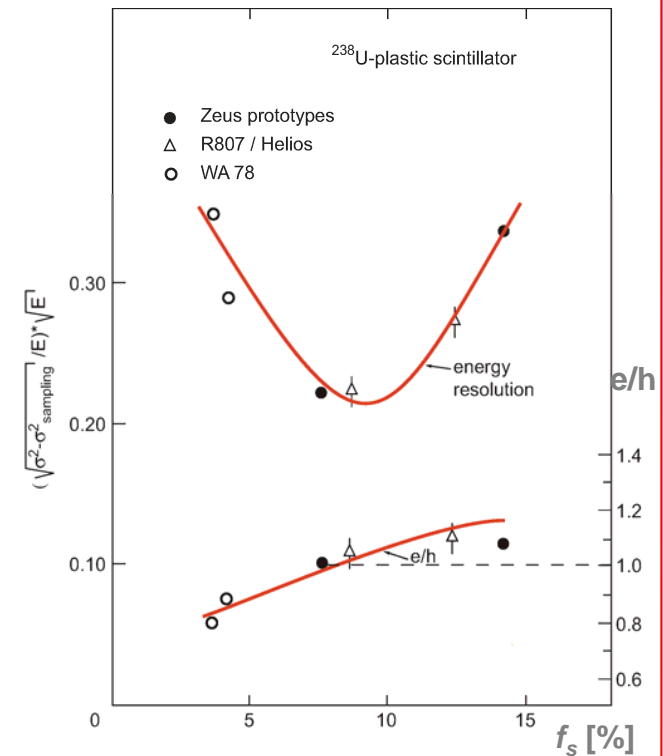
sampling: $a \approx 0.35$ for U
 e/h dep.: $b \approx 1$

$e/h = 1 \Rightarrow$
 'fully compensated'

non-linearity:



$$\frac{S(\pi(E))/E}{S(\pi(E_0))/E_0} = \frac{f_{em}(E) + (1 - f_{em}(E)) (e/h)^{-1}}{f_{em}(E_0) + (1 - f_{em}(E_0)) (e/h)^{-1}}$$



mip Signal as Reference

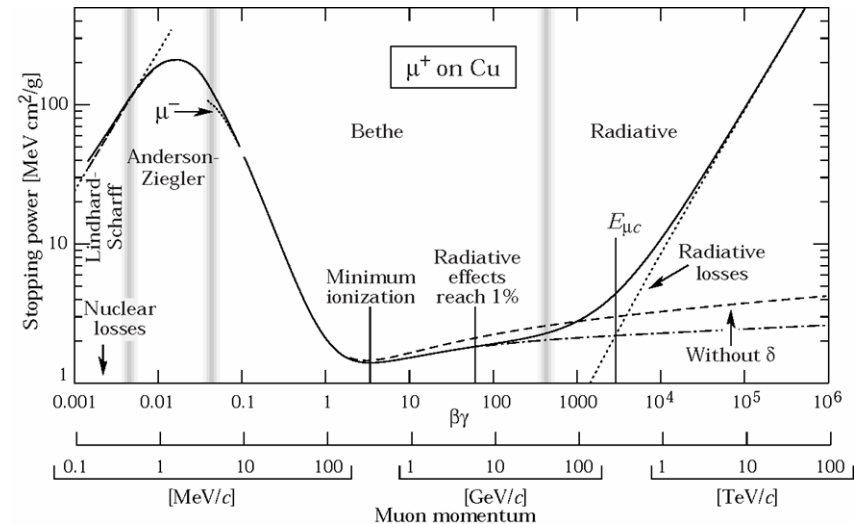
$$\frac{S(\pi)}{S(mip)} = \frac{\pi}{mip} = f_{em} \frac{e}{mip} + f_{ion,r} \frac{r}{mip} + f_{ion,nr} \frac{nr}{mip} + f_n \frac{n}{mip} + f_\gamma \frac{\gamma}{mip}$$

fractions

efficiencies

mip = minimum ionizing particle as reference.

A *mip* is artificial, not directly measurable,
usually inferred from muon beams + MC



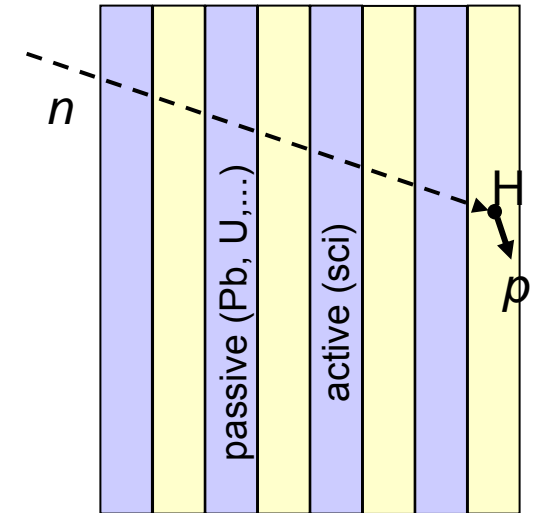
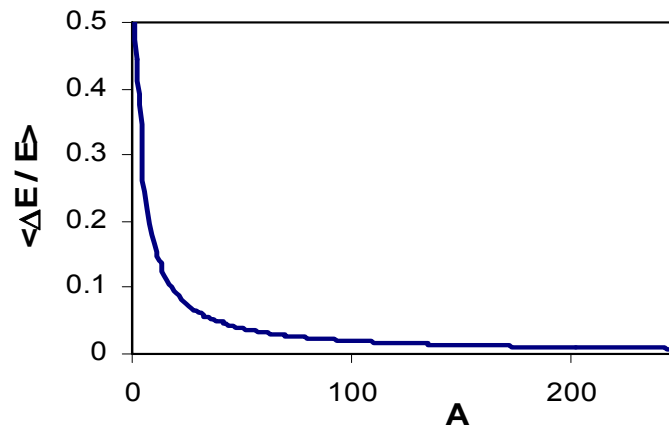
Signal Contributions (1)

- e/mip :** < 1 about 0.5 to 0.7 for reasons discussed in EM part;
becomes larger for lower Z absorber (e.g. Fe, Cu vs. Pb, U)
- r/mip :** ≈ 1 the relativistic particles behave like *mips*
- nr/mip :** < 1 the non-relativistic particles are preferentially stopped in the absorber
- γ/mip :** < 1 the nuclear gammas are mostly generated in the absorber
and transfer energy to non-relativistic particles

Signal Contributions (2)

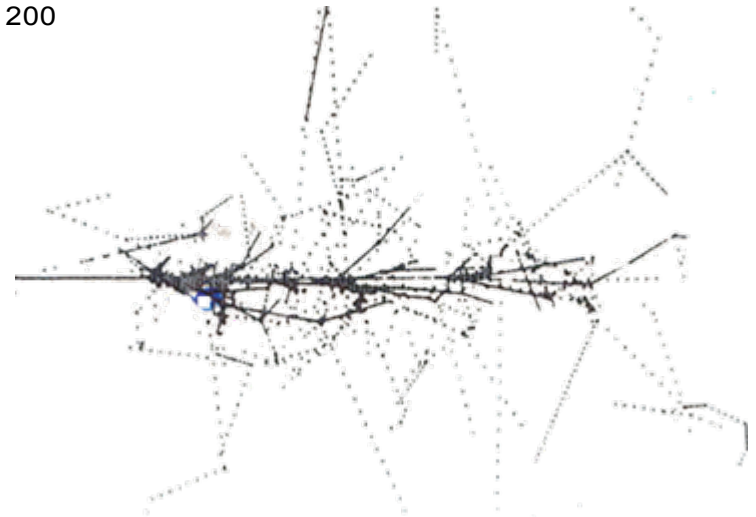
n/mip: $\gg 1$ possible with light active media (H, ..)

$$\left\langle \frac{\Delta E}{E_n} \right\rangle = \frac{2 A}{(A+1)^2}$$



mean free path: n some cm
 ρ some 10 μm

\Rightarrow n/mip tunable between 0 and $O(1000)$



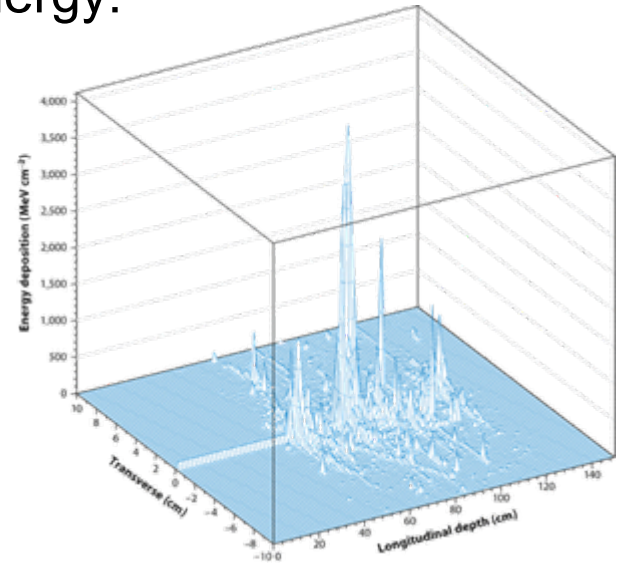
6.2 ,Compensation‘

Matching of signals from EM and HAD deposited energy:

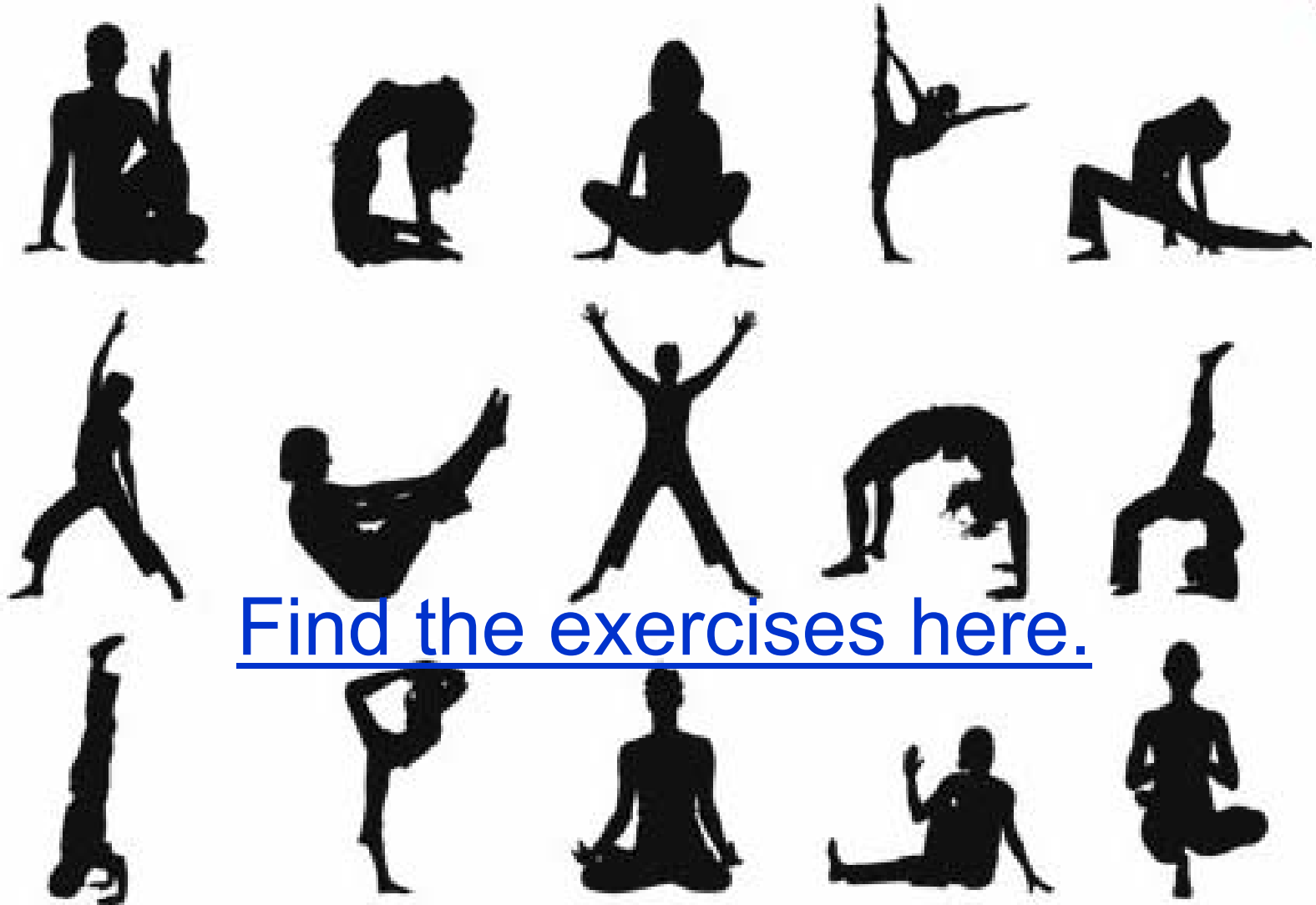
- ‘measure’ EM energy separately
- make signals for EM and HAD equal: $e/h \rightarrow 1$

1. Software correction: weighting of EM clusters
2. Hardware compensation: make $e/h \approx 1$ by design
3. Dual Readout (DR): measure signal with 2 readouts with different sensitivity to EM and HAD (e.g. Cerenkov + Scintillator)
4. Particle Flow Analysis (PFA): distinguish single particles

will concentrate in this lecture on 1 and 2



Exercise (HAD) II



6.2.1 Hardware Compensation

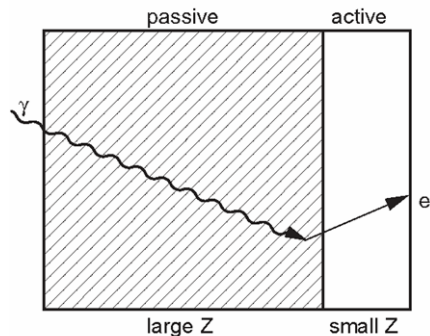
Idea: f_n , f_γ and f_B are positively correlated via spallation processes
compensate with high ε_n , ε_γ , and low ε_e

$$\Rightarrow e/h \approx 1$$

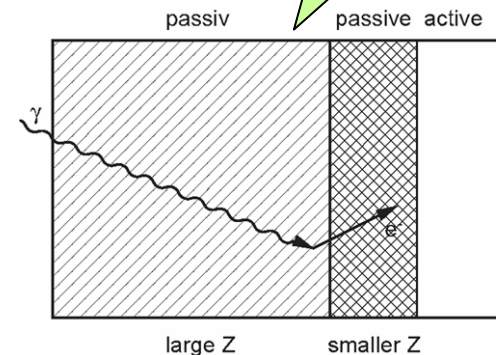
increase ε_h : compensate E_B losses with high ε_n and/or ε_γ

decrease ε_e : high Z of passive medium,
low Z of active medium

Example:



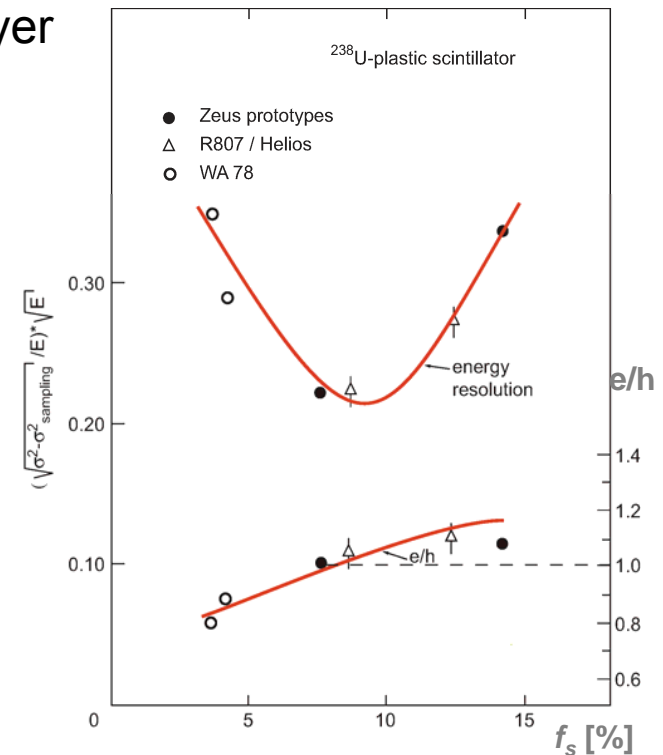
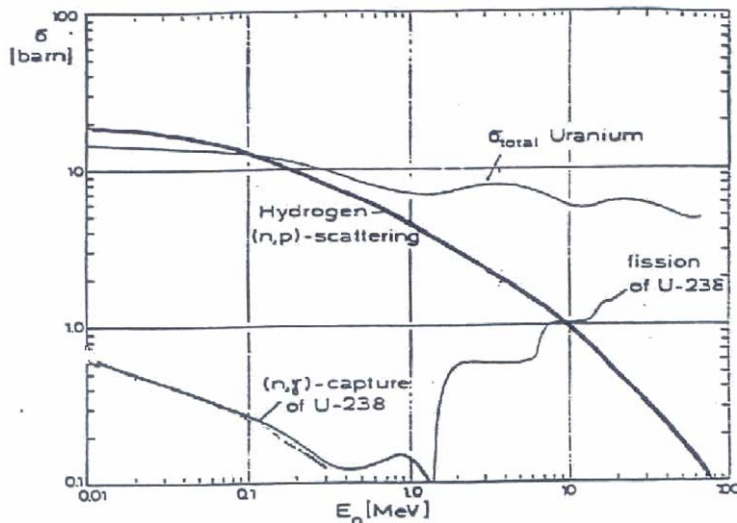
ZEUS: ^{238}U with
Fe cladding



Elastic n-H Scattering in Active Layer

increase ε_n to compensate for invisible E_B

- Conditions:
- large n-production rate in passive layer (U, Pb,)
 - small n-absorption cross section in passive layer
 - sufficient light elements (H) in active layer



Does Compensation Work for non-Uranium?

$$R = \frac{\text{passive layer thickness}}{\text{active layer thickness}} \sim \frac{1}{f_s}$$

$$R \uparrow \Rightarrow \epsilon_{\text{em}} / \epsilon_n \downarrow$$

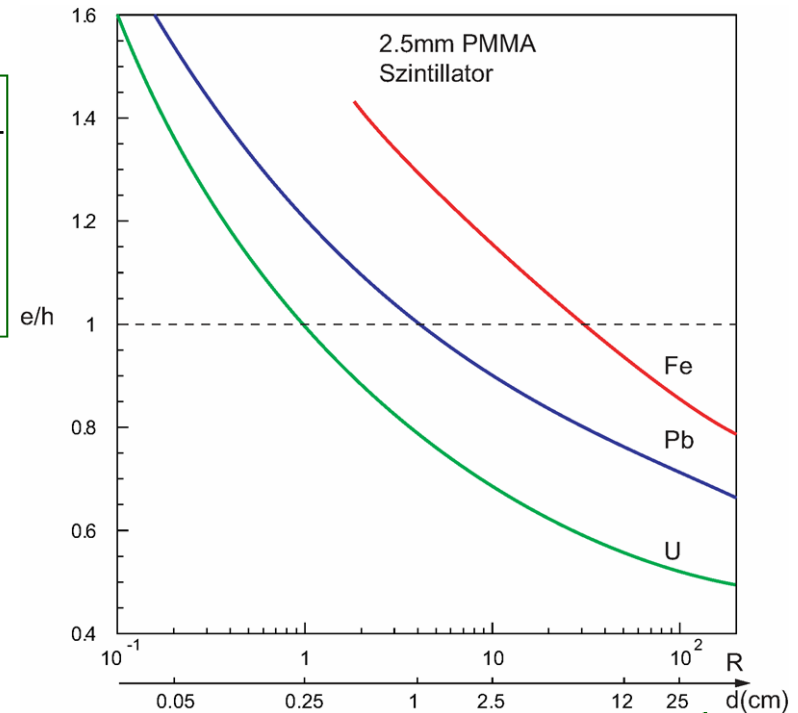
| | ^{238}U | Pb | Fe |
|-----------------------|------------------|-----|------|
| R | 1:1 | 4:1 | 40:1 |
| d_a [cm] | 0.25 | 1.0 | 10.0 |
| a_{samp} [%] | 27 | 43 | 125 |
| a_{intr} [%] | 22 | 13 | ? |

even better for Pb than U!

But: large R destroys resolution:

$$\frac{\sigma_{\text{sampling}}}{E} \sim \frac{1}{\sqrt{f_s E}} \sim \sqrt{\frac{R}{E}}$$

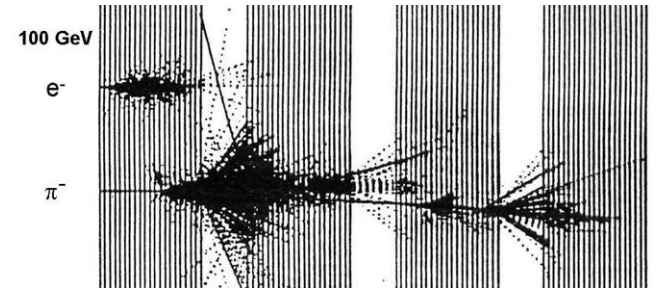
scintillator thickness technically limited to ~ 2 mm
(possible with SPACAL Pb-Sci)



d_p for
2.5 mm scint.

6.2.2 Software Compensation

EM part develops 'subshowers' with higher density
 \Rightarrow suppress relatively high energy per cell volume

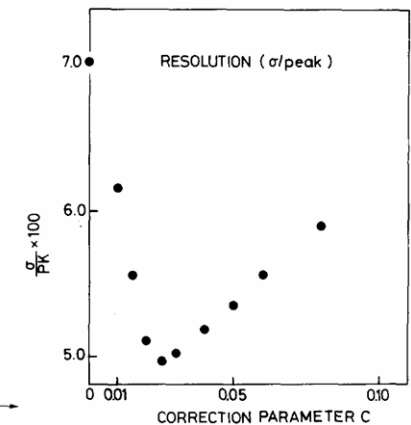
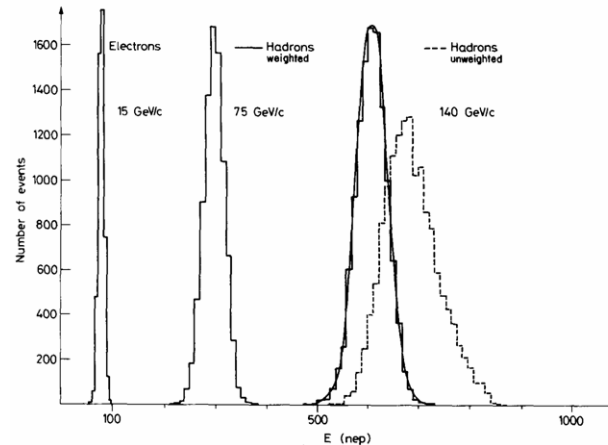


Method pioneered by CDHS:

$$E'_i = E_i \left(1 - C \frac{E_i}{\sqrt{E_{tot}}} \right)$$

$$\frac{\sigma_E}{E} \approx \frac{85\%}{\sqrt{E}} \rightarrow \approx \frac{60\%}{\sqrt{E}}$$

(CDHS, H1, ATLAS, CMS, ...)



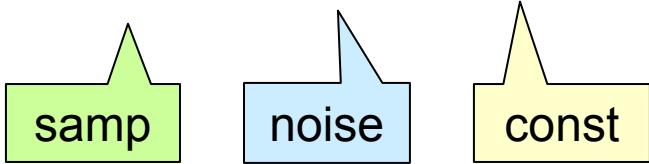
Lar Calorimeter of H1:

$$E_{rec}^i = \left\{ a_0 + a_1 \exp(-\alpha E_0^i / V^i) \right\} E_0^i$$

$$\Rightarrow \frac{\sigma_E}{E} = \frac{50.7\%}{\sqrt{E/\text{GeV}}} \oplus \frac{90\%}{E/\text{GeV}} \oplus 1.6\%.$$

6.3 Energy Resolution of Hadron Calorimeters

$$\frac{\sigma_E}{E} = \frac{a}{\sqrt{E}} \oplus \frac{b}{E} \oplus c$$



$$\left(\frac{\sigma_{stoch}}{E}\right)^2 = \left(\frac{a}{\sqrt{E}}\right)^2 = \left(\frac{a_{intr}}{\sqrt{E}}\right)^2 + \left(\frac{a_{sampl}}{\sqrt{E}}\right)^2$$

$$\frac{a_{sampl}}{\sqrt{E}} = 11.5\% \frac{\sqrt{\Delta\epsilon_{mip}/\text{MeV}}}{\sqrt{E/\text{GeV}}}$$

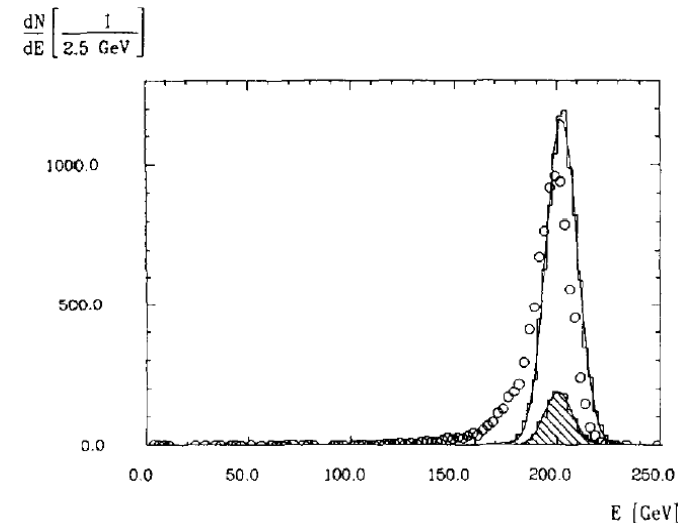
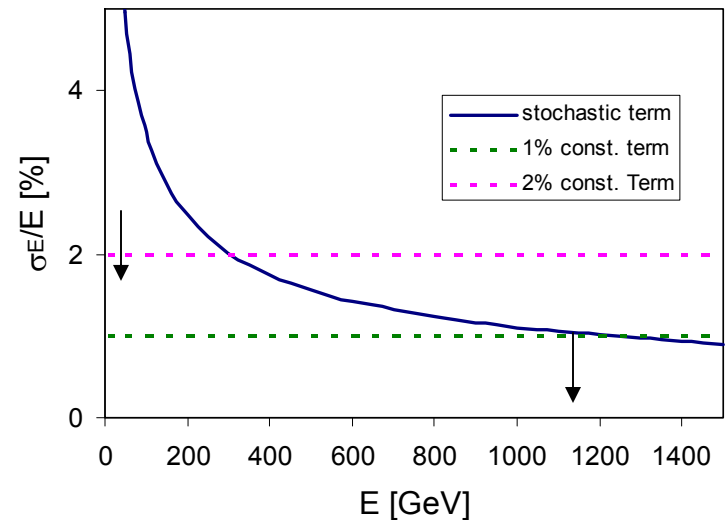
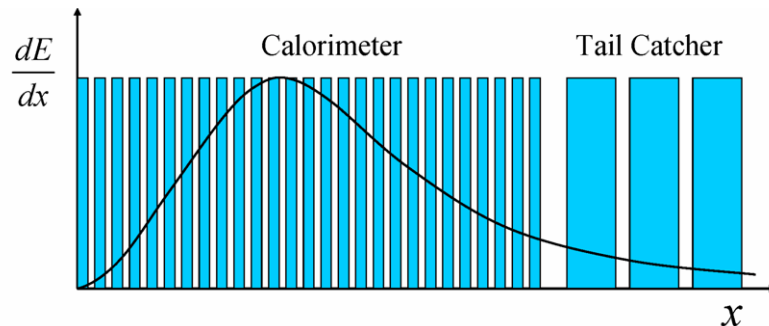
Constant Term and Leakage

constant term:

leakage,
mechanical and electronic tolerances,
intercalibration errors,

leakage is major problem because of size
of calorimeters and fluctuations

cures: - tail catcher
- remove late first interactions



7 Examples for Calorimeters for Hadrons and Jets

7.1 Hadron Calorimetry in Neutrino Experiments

7.2 Calorimetry in LEP Experiments

7.3 HERA: Calorimetry in H1 und ZEUS

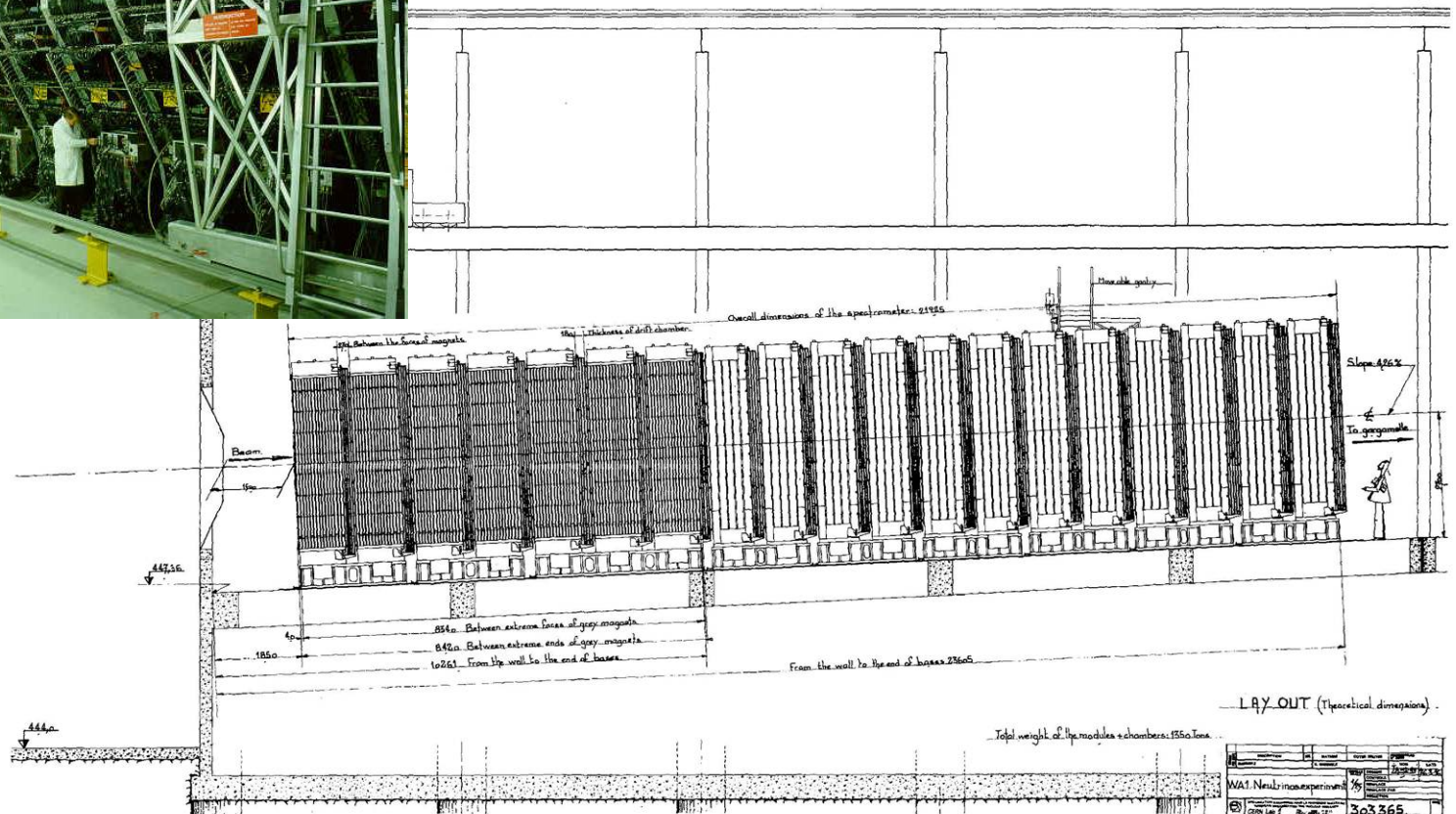
7.4 Tevatron

7.5 LHC

Calorimeter Systems

| exp. | cal. | structure | e/h | resolution $\frac{\sigma_E}{E} = \frac{a}{\sqrt{E}} \oplus \frac{b}{E} \oplus c$ | | |
|----------------|------|-------------------|-------|--|---------|-------|
| | | | | a [$\sqrt{\text{GeV}}$] | b [MeV] | c |
| ZEUS | EM | U/scin. | - | 0.18 | < ? | 0.? |
| | HAD | U/scin. | 1.00 | 0.35 | < 500 | 0.02 |
| H1 | EM | Pb/LAr | - | 0.11 | 250 | 0.01 |
| | HAD | Fe/LAr | 1.4? | 0.507 | 900 | 0.016 |
| CDF (Run I) | EM | Pb/scin. | | 0.135 | ? | ? |
| | HAD | Fe/scin. | ? | 0.80 | ? | ? |
| D0 (Run I) | EM? | U/LAr | 1.08? | 0.157 | 1.30 | 0.003 |
| | HAD | U/LAr | 1.08 | 0.45 | 1.30 | 0.04 |
| CMS | EM | PbOW ₂ | - | 0.028 | 120 | 0.003 |
| | HAD | brass/scin. | 1.40 | 1.25 | 0.56 | 0.03 |
| ATLAS | EM | Pb/LAr | - | 0.10 | 245 | 0.007 |
| | HAD | Fe/scin. | 1.30 | 0.56 | 1.80 | 0.03 |

CDHS

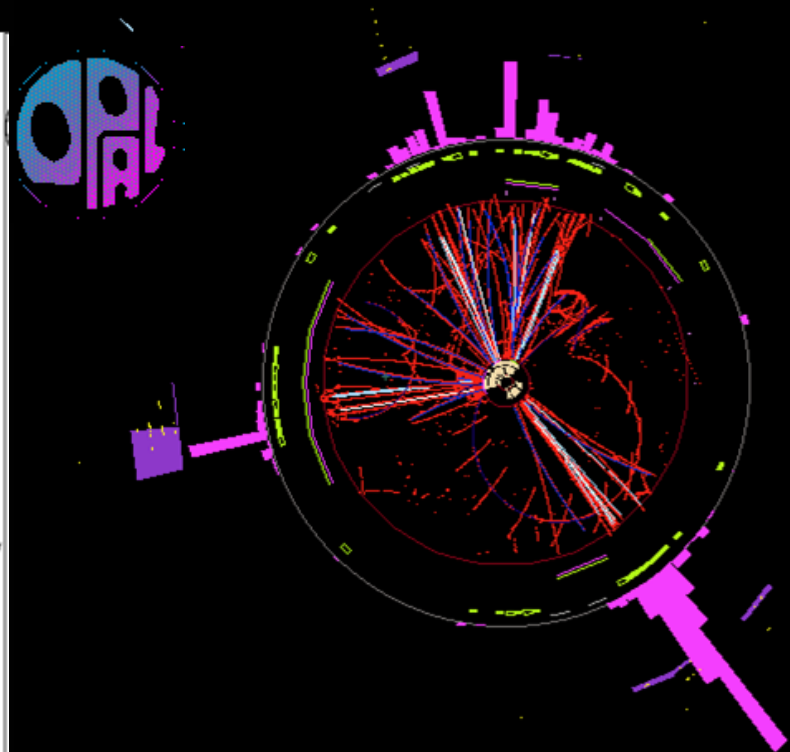
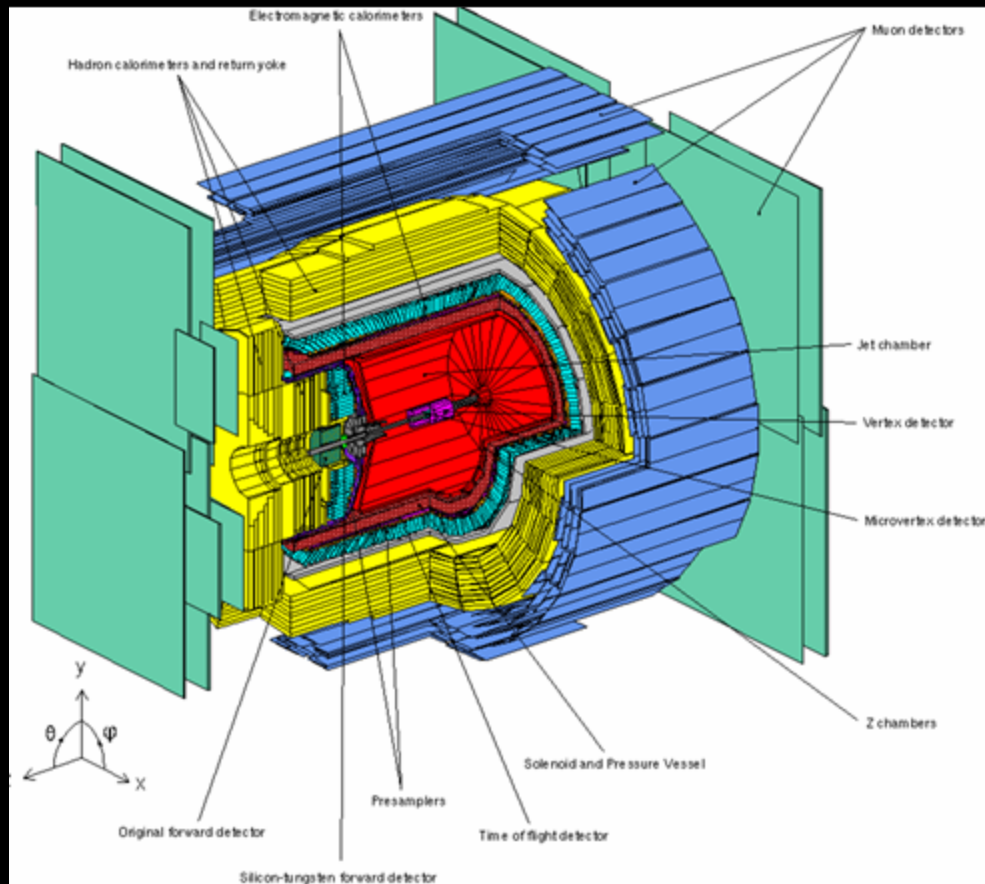
$$\nu + \textit{nucleus} \rightarrow \nu + X$$


7.2 Calorimetry in LEP Experiments

requirement on hadron cal. modest
'particle flow' concept still works at these energies

Run 16249 Event 18898

2 Nov 2000

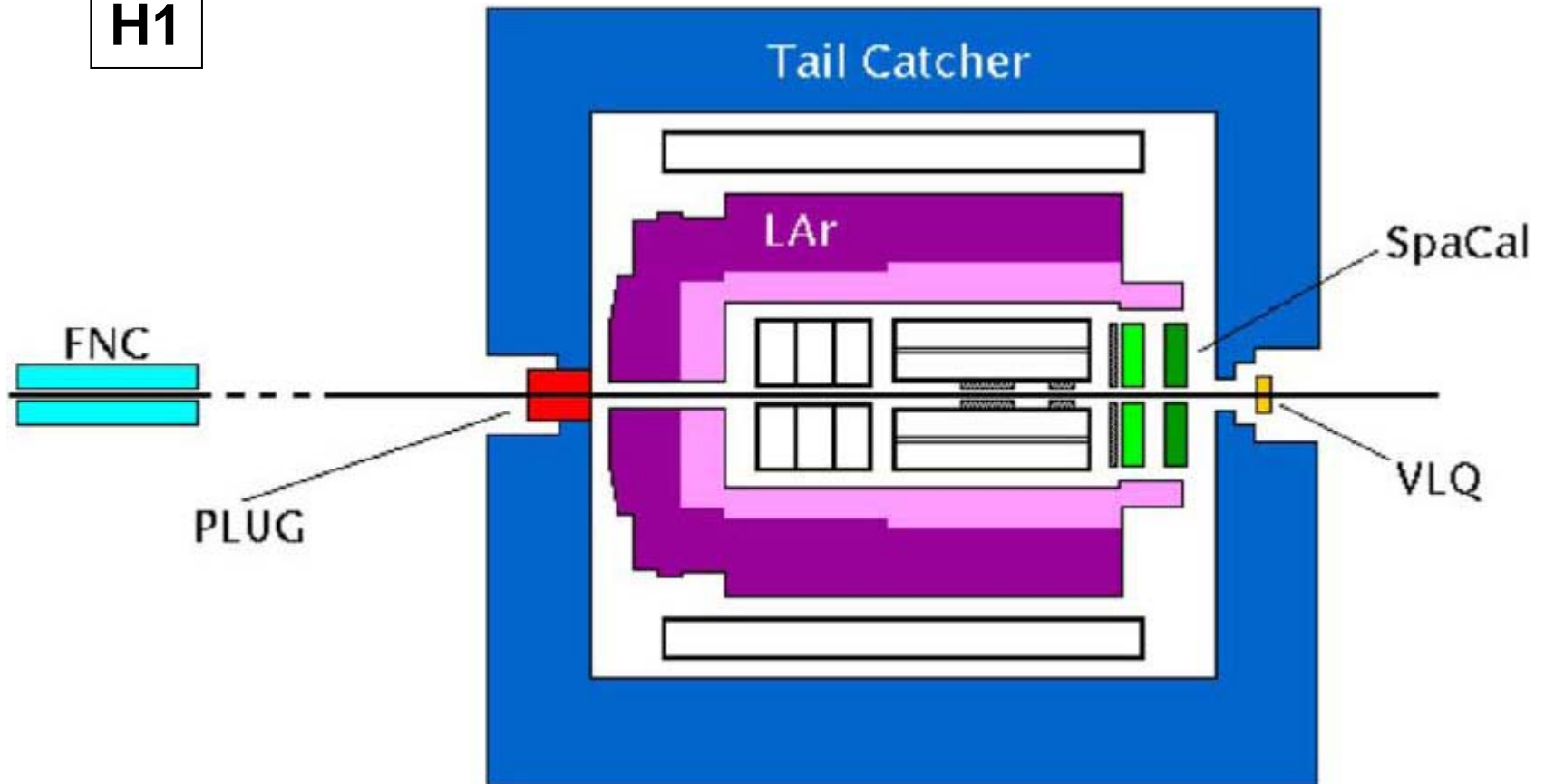


Centre-of-Mass Energy 205 GeV

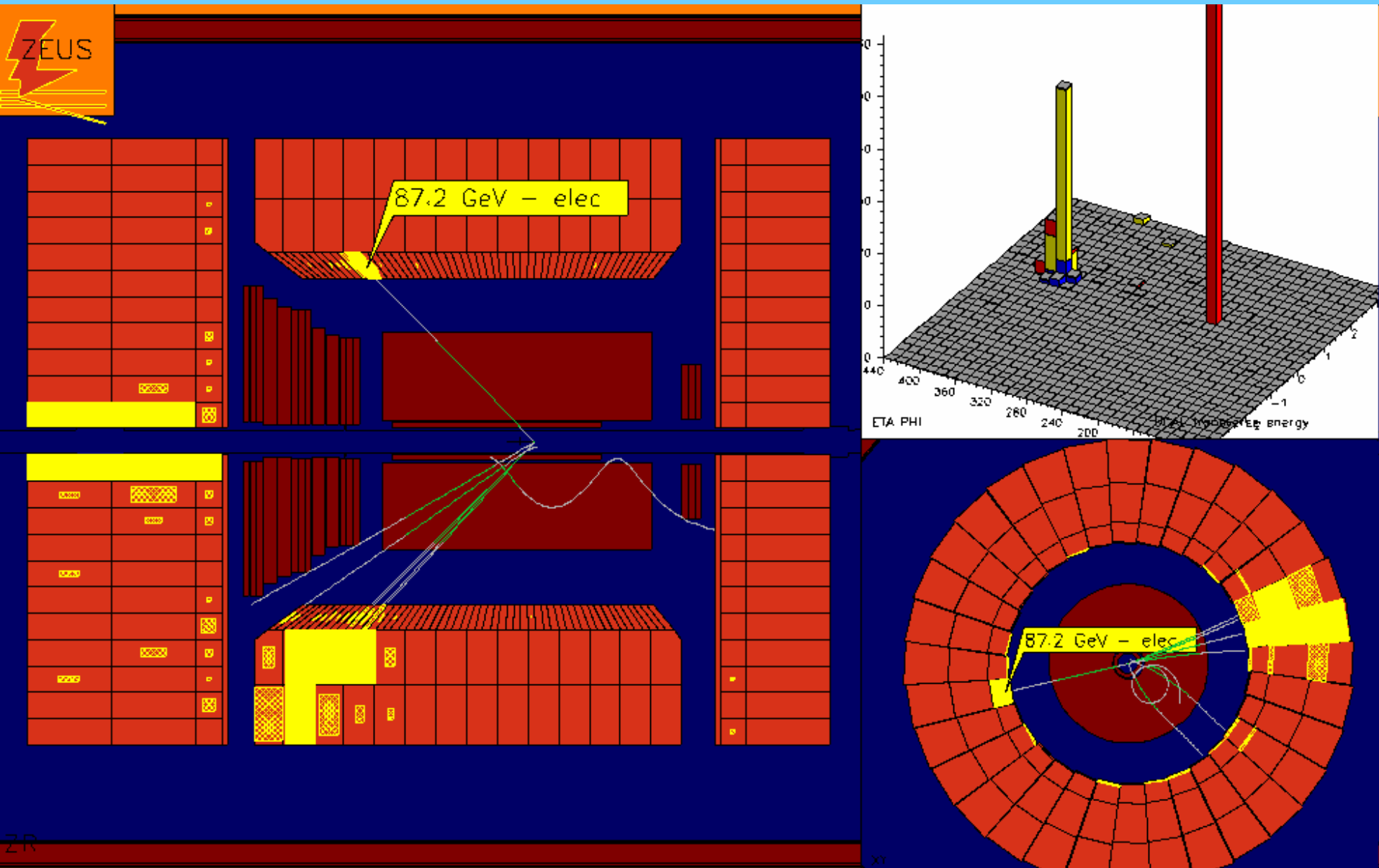
7.3 Calorimetry in HERA Experiments

Large efforts to improve hadron calorimetry, important for reconstruction and energy calibration of deep-inelastic events

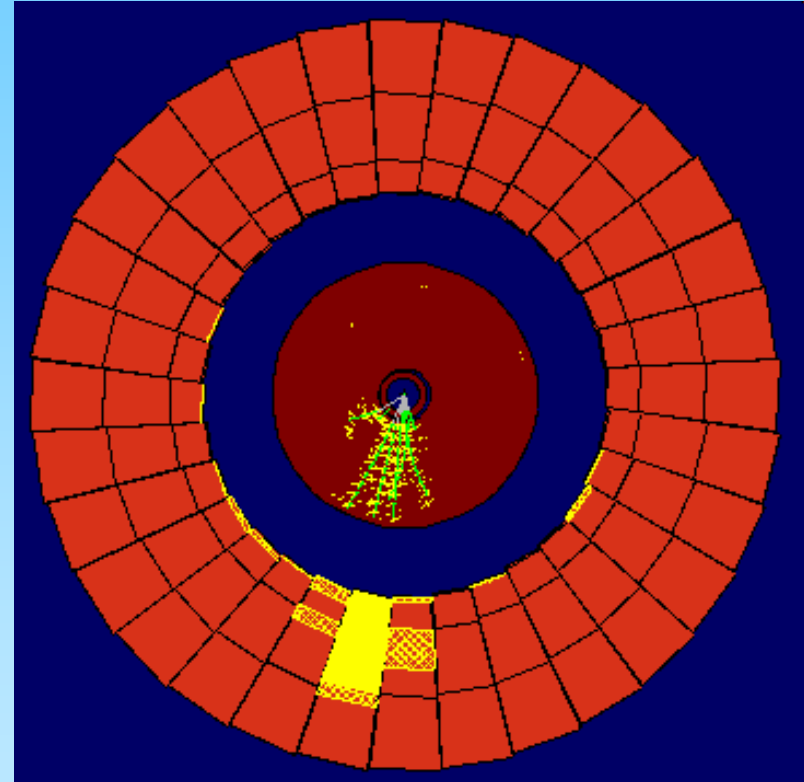
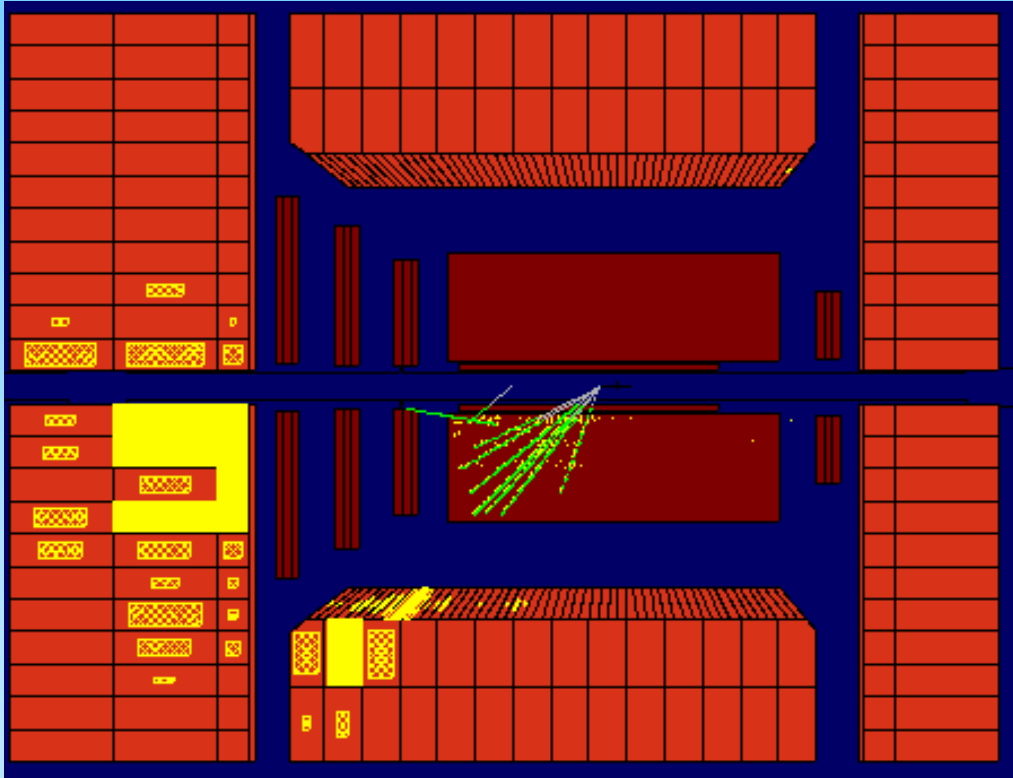
H1



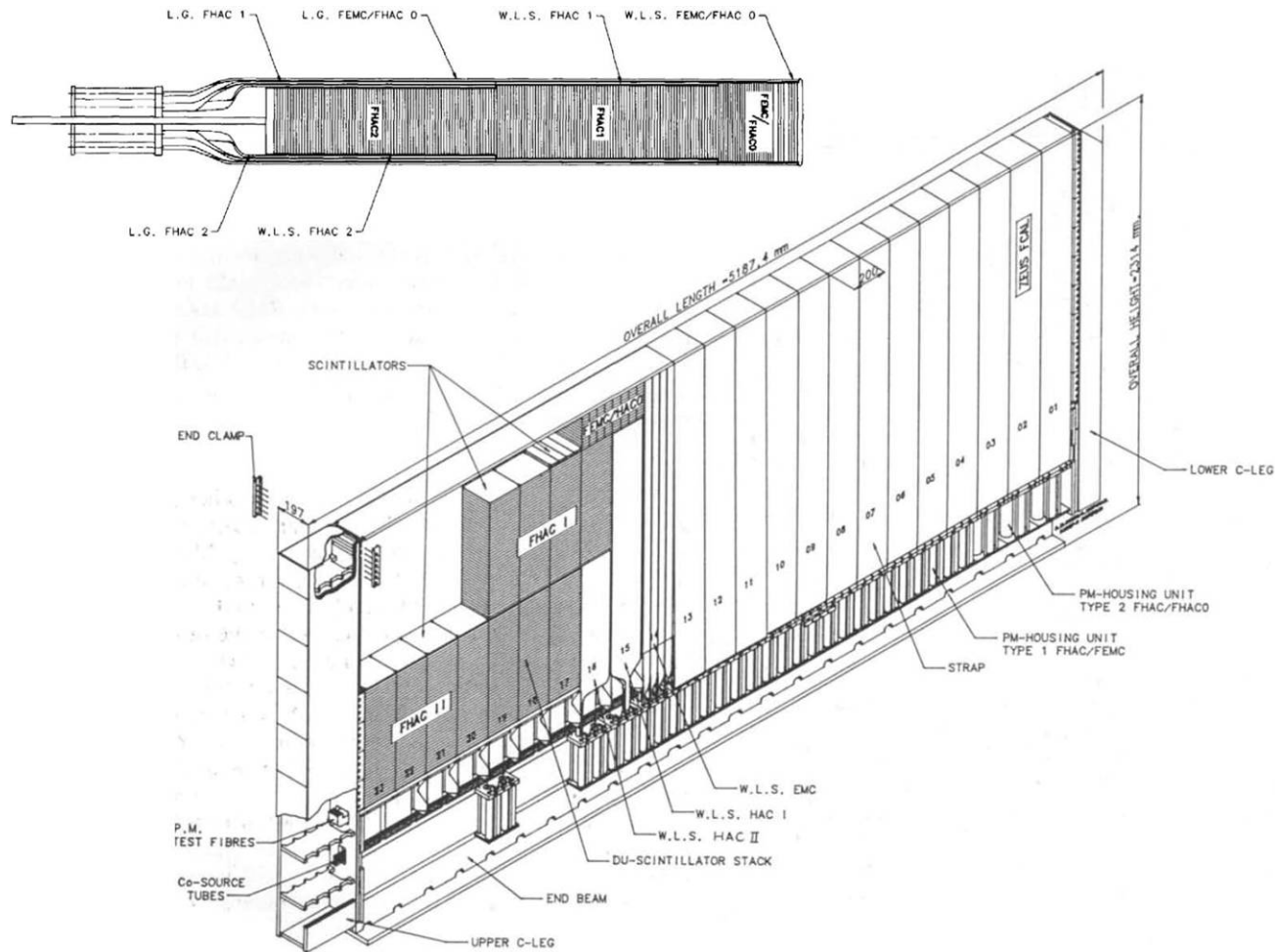
Uran Calorimeter of ZEUS



E^{miss} Measurement

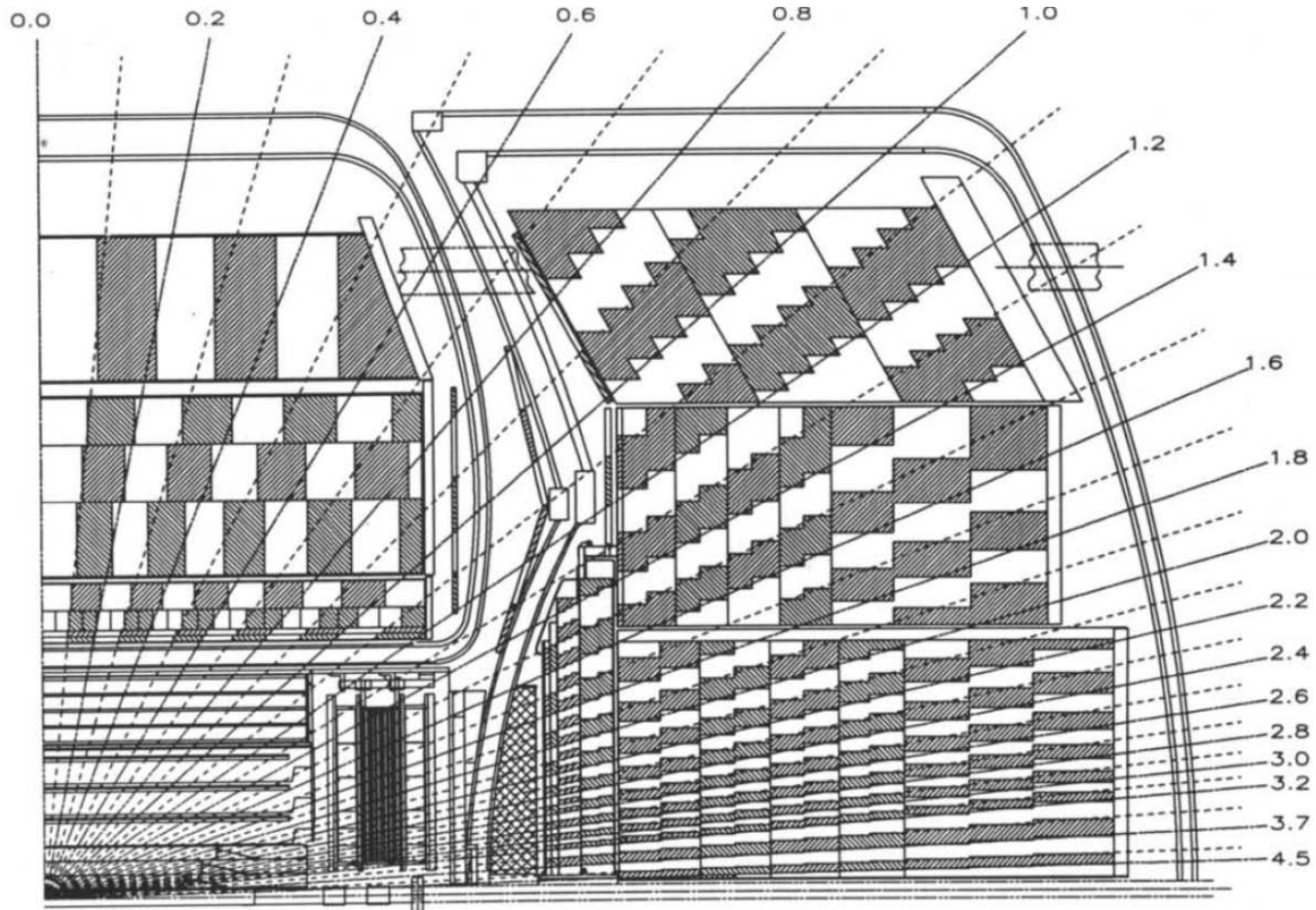


Construction of the ZEUS Calorimetre

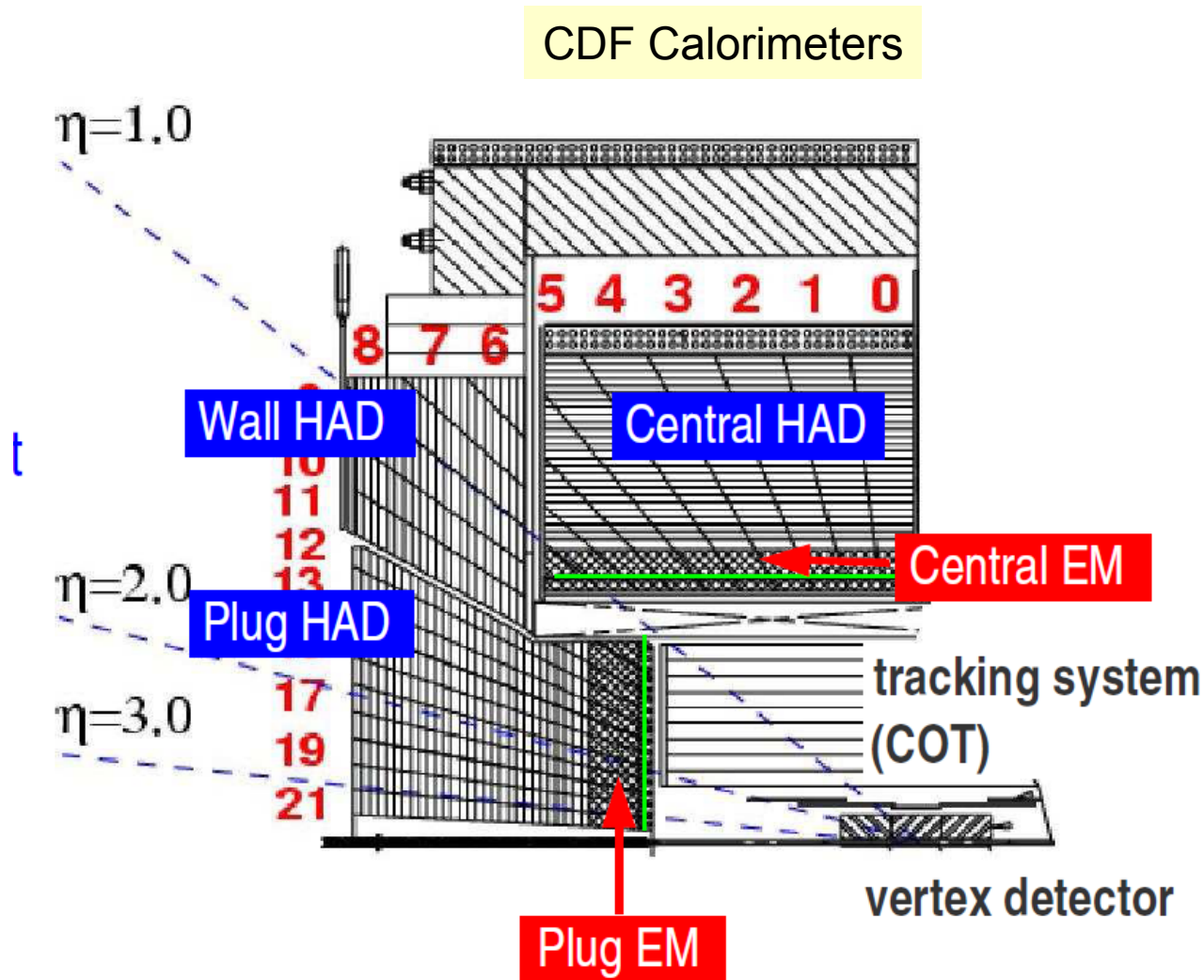


7.4 Calorimetry in Tevatron Experiments

Example of a trigger tower structure (D0)

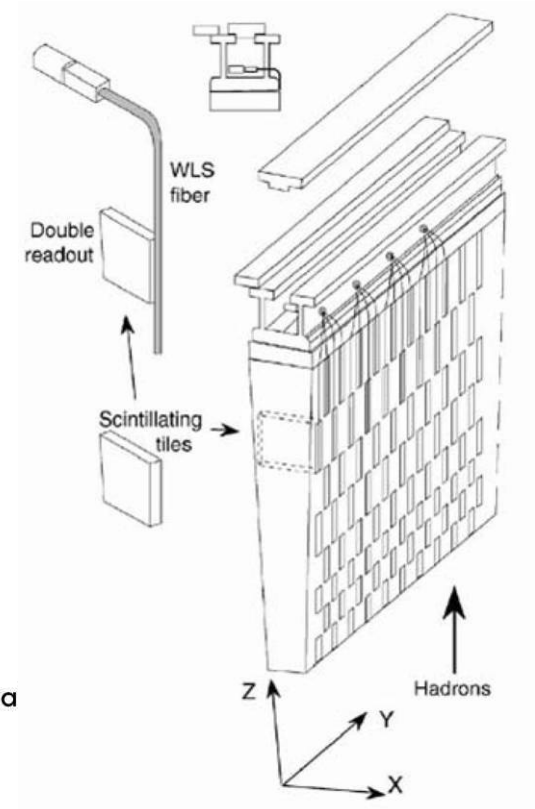
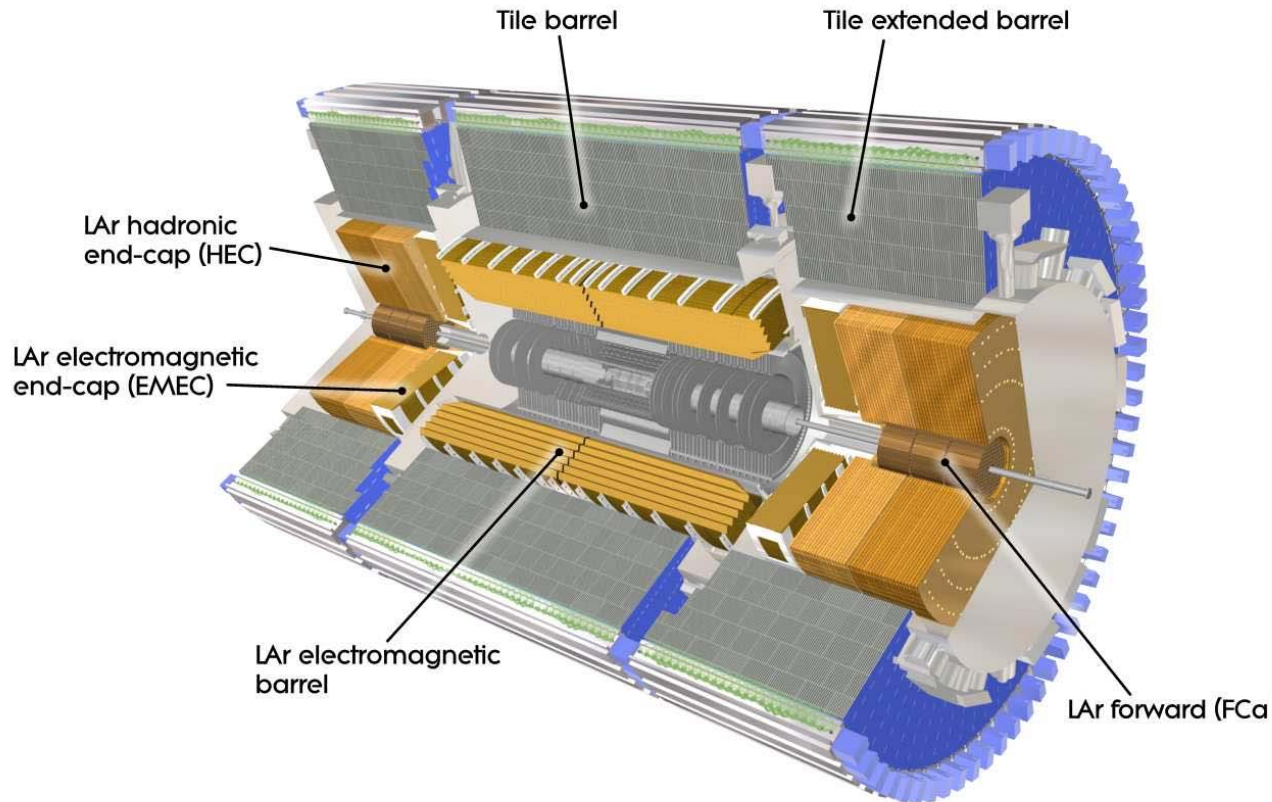


Calorimetry at the Tevatron: CDF

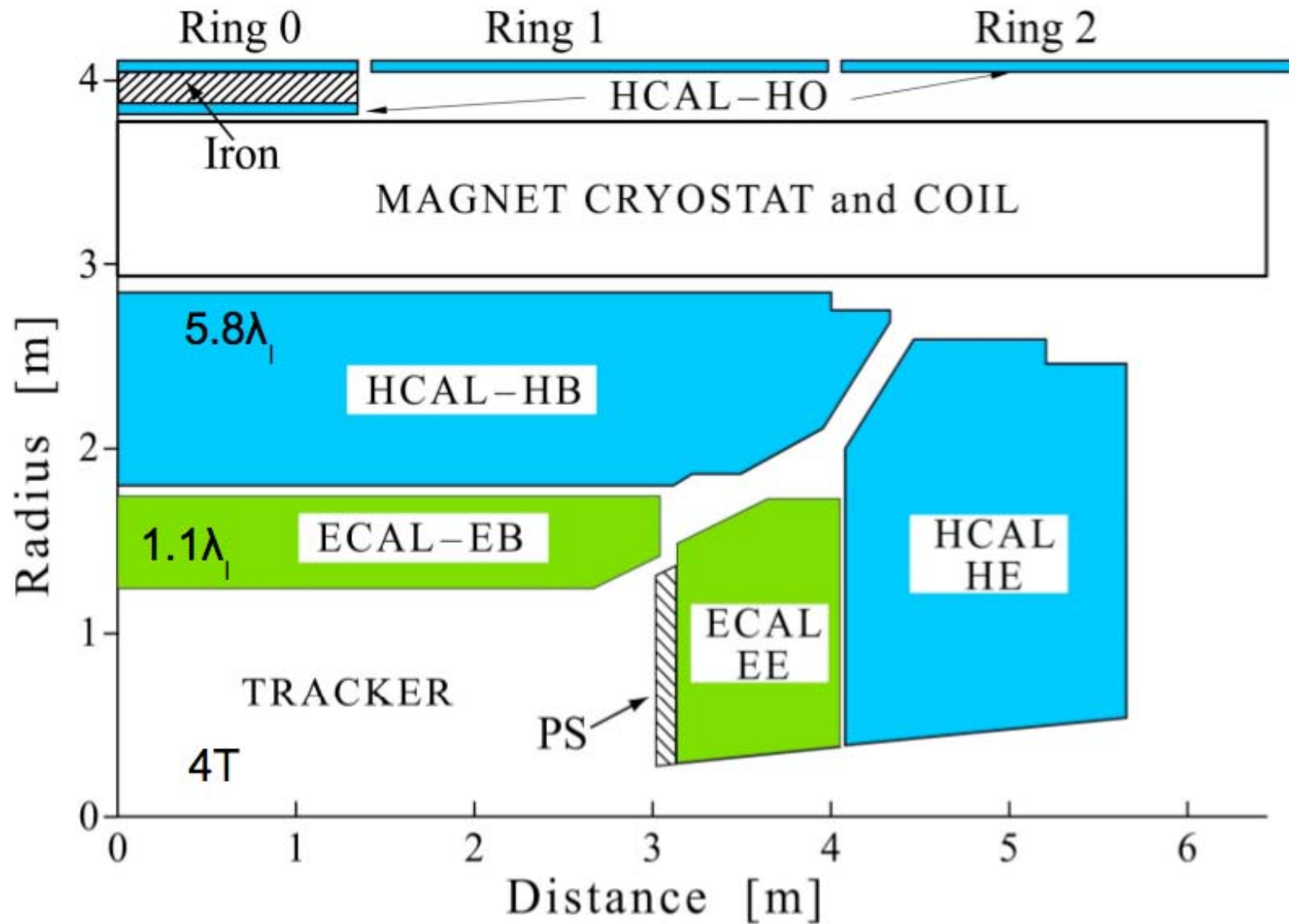


7.5 Calorimetry in LHC Experiments

ATLAS Calorimeters



Calorimetry in LHC Experiments: CMS



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