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Quasi-degenerate baryon energy states, the Feynman–Hellmann theorem and transition matrix elements

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- QCDSF-UKQCD-CSSM Collaboration -

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# In Collaboration with:

- Australia (Adelaide)
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This talk:

Based on two talks at the Lattice 2022 Conference

[R. Horsley + M. Batelaan]

[Write-ups + paper in preparation]

◦◦ Feynman≏Helfmann (₱H) papers.∞∞∞

- 'A Lattice Study of the Glue in the Nucleon' arXiv:1205.6410 (PLB)
- 'A Feynman-Hellmann approach to the spin structure of hadrons' arXiv:1405.3019 (PRD)
- 'A novel approach to nonperturbative renormalization of singlet and nonsinglet lattice operators' arXiv:1410.3078 (PLB)
- 'Disconnected contributions to the spin of the nucleon' arXiv:1508.06856 (PRD)
- 'Electromagnetic form factors at large momenta from lattice QCD' arXiv:1702.01513 (PRD)
- 'Nucleon structure functions from lattice operator product expansion' arXiv:1703.01153 (PRL)
- 'Lattice QCD evaluation of the Compton amplitude employing the Feynman-Hellmann theorem' arXiv:2007.01523 (PRD)
- 'Generalized parton distributions from the off-forward Compton amplitude in lattice QCD' arXiv:2110.11532 (PRD)
- 'Moments and power corrections of longitudinal and transverse proton structure functions from lattice QCD' arXiv:2209.04141
- + Various (Lattice) conferences

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#### Motivation:

Need computation of non-perturbative matrix elements (MEs):

# $\langle H'|\hat{O}|H\rangle$

General structure

- $H \sim \overline{\psi}\psi$  (meson) or  $H \sim \psi\psi\psi$  (baryon)
- $\hat{O} \sim \overline{\psi} \gamma \psi \sim J$  or  $\hat{O} \sim FF$  or even more complicated  $\hat{O} \sim JJ$

Usual approach: determine ME via 3-point correlation functions

This talk revolves around an alternative approach using 2-point correlation functions in particular:

Generalisation of Feynman–Hellmann approach to determination of (nucleon) MEs from strictly degenerate energy states to near-degenerate or 'quasi-degenerate' energy states

• This talk: explanation of the above statement / theory / numerical tests (mainly) for transition matrix elements (eg  $\Sigma \rightarrow N$ ) + . . .

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# Contents

- Feynman-Hellmann approach via transfer matrix to computation of 2-pt correlation functions
  - Quasi-degenerate states
  - Dyson expansion
  - Reduction to a Generalised EigenVector Problem (GEVP)
- Inclusion of spin
- Examples
  - Scattering and decay (or transition) matrix elements, eg  $N \to N$  and  $\Sigma \to N$
  - Sketches of avoided energy levels
- Numerical tests/results for transition matrix elements and scattering
- Valence versus sea components
  - Disconnected contributions
- Conclusions

HEALTH WARNING: This is a rather technical Lattice talk

# Introduction FH Examples Sketches Spin Results Conclusion

Hamiltonian formalism: regard Euclidean time (at least) as continuous

Consider the 2-point nucleon correlation function

$$C_{\lambda B'B}(t) = {}_{\lambda} \langle 0| \underbrace{\hat{\tilde{B}}'(0; \vec{p}')}_{\text{Sink: mom op}} \cdot \widehat{S}(\vec{q})^t \underbrace{\hat{\tilde{B}}(0, \vec{0})}_{\text{Source: spatial}} |0\rangle_{\lambda}$$

where  $\hat{S}$  is the  $\vec{q}$ -dependent transfer matrix

 $\hat{S}(\vec{q}) = e^{-\hat{H}(\vec{q})}$ 

and in the presence of a perturbation  $[\lambda_{\alpha} = |\lambda_{\alpha}|\zeta_{\alpha} \text{ with phase } \zeta_{\alpha} = \pm 1, \pm i]$ 

$$\hat{H}(\vec{q}) = \hat{H}_0 - \sum_{\alpha} \lambda_{\alpha} \hat{\tilde{O}}_{\alpha}(\vec{q})$$

[At leading order can drop  $\alpha$  index]

$$\hat{\tilde{\mathcal{O}}}(\vec{q}) = \int_{\vec{x}} \left( \hat{O}(\vec{x}) e^{i\vec{q}\cdot\vec{x}} + \hat{O}^{\dagger}(\vec{x}) e^{-i\vec{q}\cdot\vec{x}} \right)$$

where

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Physical situation (quasi-degenerate energies):

• Quasi-degenerate states:

 $\hat{H}_0|B_r(\vec{p}_r)\rangle = E_{B_r}(\vec{p}_r)|B_r(\vec{p}_r)\rangle \quad r = 1, \dots, d_S$ 

where

$$E_{B_r}(\vec{p}_r) = \vec{E} + \epsilon_r \qquad r = 1, \dots, d_S$$

• Well separated from higher energy states:

 $\hat{H}_0|X(\vec{p}_X)\rangle = E_X(\vec{p}_X)|X(\vec{p}_X)\rangle \quad E_X \gg \bar{E}$ 

- Quasi-degenerate states taken as lowest energy states
- We have already applied this method to degenerate states, now generalise approach



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Now insert two complete sets of unperturbed states

$$|X\rangle \rightarrow \frac{|X\rangle}{\sqrt{\langle X|X\rangle}} , \ |0\rangle \rightarrow |0\rangle$$

$$\begin{split} & \oint_{X(\vec{p}_X)} |X(\vec{p}_X)) \rangle \langle X(\vec{p}_X)| \\ & \equiv \sum_{r} \underbrace{|B_r(\vec{p}_r)\rangle \langle B_r(\vec{p}_r)|}_{\text{of interest}} + \oint_{E_X \gg \vec{E}} \underbrace{|X(\vec{p}_X)\rangle \langle X(\vec{p}_X)|}_{\text{higher states}} = \hat{1} \end{split}$$

before and after  $\hat{S}^t$  to give

 $C_{\lambda B'B}(t) =$ 

$$\oint_{X(\vec{p}_X)} \oint_{Y(\vec{p}_Y)} {}_{\lambda} \langle 0 | \hat{\tilde{B}}'(\vec{p}') | X(\vec{p}_X) \rangle \underbrace{\langle X(\vec{p}_X) | \hat{S}_{\lambda}(\vec{q})^t | Y(\vec{p}_Y) \rangle}_{\text{need}} \langle Y(\vec{p}_Y) | \hat{\tilde{B}}(\vec{0}) | 0 \rangle_{\lambda}$$

Time dependent perturbation theory via the Dyson Series

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Dyson expansion - iterate identity

$$e^{-(\hat{H}_0 - \lambda_\alpha \hat{\tilde{\mathcal{O}}}_\alpha)t} = e^{-\hat{H}_0 t} + \lambda_\alpha \int_0^t dt' \, e^{-\hat{H}_0(t-t')} \, \hat{\tilde{\mathcal{O}}}_\alpha \, e^{-(\hat{H}_0 - \lambda_\beta \hat{\tilde{\mathcal{O}}}_\alpha)t'}$$

- $O(\lambda^2)$  gives Compton like amplitudes ~  $\langle \dots | O_{\alpha} O_{\beta} | \dots \rangle$  not considered here
- Consider 4 possible pieces separately:

$$\langle B_r | e^{-(\hat{H}_0 - \lambda \hat{\mathcal{O}})t} | B_s \rangle = e^{-\tilde{E}t} \left( \delta_{rs} + tD_{rs} + O(2) \right) \langle B_r | e^{-(\hat{H}_0 - \lambda \hat{\hat{\mathcal{O}}})t} | Y \rangle = e^{-\tilde{E}t} \left( \lambda \frac{\langle B_r | \hat{\hat{\mathcal{O}}} | Y \rangle}{E_Y - E_{B_r}} + O(2) \right) + \text{more damped} \dots = \dots$$

This gives

$$C_{\lambda B'B}(t) = \sum_{rs} {}_{\lambda} \langle 0 | \hat{B}'(\vec{p}\,') | B_r(\vec{p}_r) \rangle_{\lambda} \langle B_r | e^{-(\hat{H}_0 - \lambda \hat{\mathcal{O}})t} | B_s \rangle_{\lambda} \langle B_s(\vec{p}_s) | \hat{B}(\vec{0}) | 0 \rangle_{\lambda}$$

with

$$|B_{s}(\vec{p}_{s})\rangle_{\lambda} = |B_{s}(\vec{p}_{s})\rangle + \lambda \oint_{E_{Y} \gg \bar{E}} |Y(\vec{p}_{Y})\rangle \frac{\langle Y(\vec{p}_{Y}) | \tilde{\mathcal{O}}(\vec{q}) | B_{s}(\vec{p}_{s}) \rangle}{E_{Y} - E_{B_{s}}}$$

$$D_{rs} = -\epsilon_{r} \delta_{rs} + \lambda \langle B_{r}(\vec{p}_{r}) | \hat{\tilde{\mathcal{O}}}(\vec{q}) | B_{s}(\vec{p}_{s}) \rangle$$

[So a factorisation where any unwanted  $|Y\rangle$  states have been absorbed into time indept renormalisation of wavefunction.]



$$D_{rs} = -\epsilon_r \delta_{rs} + \lambda \underbrace{\langle B_r(\vec{p}_r) | \tilde{\mathcal{O}}(\vec{q}) | B_s(\vec{p}_s) \rangle}_{a_{rs}}$$

As  $d_S \times d_S$  is a dimensional Hermitian matrix:

 $D_{rs} = \sum_{i=1}^{d_s} \mu^{(i)} e_r^{(i)} e_s^{(i)*} \qquad \mu, e_r \text{ eigenvalues/eigenvectors}$ 



with (completeness)

$$\sum_{i=1}^{d_{S}} e_{r}^{(i)} e_{s}^{(i)*} = \delta_{rs} \qquad \sum_{r=1}^{d_{S}} e_{r}^{(i)*} e_{r}^{(j)} = \delta^{ij}$$

Re-write

$$\delta_{rs} + tD_{rs} = \sum_{i=1}^{d_s} e_r^{(i)} [1 + t\mu^{(i)}] e_s^{(i)*}$$

**Re-exponentiate** 

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This giv	es finally:					

$$C_{\lambda B'B}(t) = \sum_{i=1}^{d_5} A_{\lambda B'B}^{(i)} e^{-E_{\lambda}^{(i)}t}$$

Perturbed energies:

$$E_{\lambda}^{(i)} = \bar{E} - \mu^{(i)}$$

#### Amplitude

$$A_{\lambda B'B}^{(i)} = w_{B'}^{(i)} \overline{w}_B^{(i)}$$

with

$$w_{B'}^{(i)} = \sum_{r=1}^{d_s} Z_r^{B'} e_r^{(i)} \qquad \bar{w}_B^{(i)} = \sum_{s=1}^{d_s} \bar{Z}_s^B e_s^{(i)*}$$

where the wavefunctions or overlaps are

 $[\vec{p}' \to \vec{p}_r]$ 

$$Z_r^{B'} = {}_{\lambda} \langle 0 | \hat{\tilde{B}}'(\vec{p}') | B_r(\vec{p}_r) \rangle_{\lambda} \qquad \bar{Z}_s^B = {}_{\lambda} \langle B_s(\vec{p}_s) | \hat{\tilde{B}}(\vec{0}) | 0 \rangle_{\lambda}$$

- So problem is now reduced to a GEVP to determine eigenvalues  $E_{\lambda}^{(i)}$
- GEVP eigenvectors should follow pattern of  $\vec{e}^{(i)}$

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Relation between momenta						

• For the matrix elements have

$$\begin{split} & [\hat{\mathcal{O}}(\vec{x}) = e^{-i\hat{\vec{p}}\cdot\vec{x}} \hat{\mathcal{O}}(\vec{0}) e^{i\hat{\vec{p}}\cdot\vec{x}}] \\ & \langle B(\vec{p}_r) | \hat{\vec{\mathcal{O}}}(\vec{q}) | B(\vec{p}_s) \rangle \\ & = \langle B_r(\vec{p}_r) | \hat{\mathcal{O}}(\vec{0}) | B_s(\vec{p}_s) \rangle \, \delta_{\vec{p}_r,\vec{p}_s+\vec{q}} + \langle B(\vec{p}_r) | \hat{\mathcal{O}}^{\dagger}(\vec{0}) | B(\vec{p}_s) \rangle \, \delta_{\vec{p}_r,\vec{p}_s-\vec{q}} \end{split}$$

• So matrix elements step up or down in  $\vec{q} \neq \vec{0}$ 

 $\vec{p}_r = \vec{p}_s + \vec{q}$  or  $\vec{p}_r = \vec{p}_s - \vec{q}$ 

[Momentum conservation]

- Diagonal matrix elements vanish
   So quasi-degenerate states have to mix
   [ie must consider degenerate perturbation theory]
- Each step up or down corresponds to another order in λ
   (Dyson expansion)
   So (eg) O(λ<sup>2</sup>) gives Compton like amplitudes ~ ⟨...|O<sub>α</sub>O<sub>β</sub>|...⟩
   Step up step down now possible: p → p ± q → p relevant for DIS

#### Incorporation of spin - postpone

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Quasi-degenerate baryon energy states I

Flavour diagonal matrix elements – N scattering

 $O(\vec{x}) \sim (\bar{u}\gamma u)(\vec{x}) - (\bar{d}\gamma d)(\vec{x})$ 

•  $d_S = 2$ -dimensional space: r, s = 1, 2

 $\frac{|B_{1}(\vec{p}_{1})\rangle = |N(\vec{p})\rangle}{E_{B_{1}}(\vec{p}_{1}) \equiv E_{N}(\vec{p}) = \bar{E} + \epsilon_{1}} \qquad \frac{|B_{2}(\vec{p}_{2})\rangle = |N(\vec{p} + \vec{q})\rangle}{E_{B_{2}}(\vec{p}_{2}) \equiv E_{N}(\vec{p} + \vec{q}) = \bar{E} + \epsilon_{2}}$  $\langle B_{r}(\vec{p}_{r})|\hat{\tilde{\mathcal{O}}}(\vec{q})|B_{s}(\vec{p}_{s})\rangle = \begin{pmatrix} 0 & a^{*} \\ a & 0 \end{pmatrix}_{rs}$ 

where

 $a = \langle B_2(\vec{p}_2) | \hat{O}(\vec{0}) | B_1(\vec{p}_1) \rangle \equiv \langle N(\vec{p} + \vec{q}) | \hat{O}(\vec{0}) | N(\vec{p}) \rangle$ 



Quasi-degenerate baryon energy states II

• Flavour transition matrix elements – (eg)  $\Sigma(sdd) \rightarrow N(udd)$  decay

 $O(\vec{x}) \sim (\bar{u}\gamma s)(\vec{x})$ 

•  $d_S = 2$ -dimensional space: r, s = 1, 2

 $|\underbrace{B_{1}(\vec{p}_{1})\rangle = |\Sigma(\vec{p})\rangle}_{E_{B_{1}}(\vec{p}_{1}) \equiv E_{\Sigma}(\vec{p}) = \vec{E} + \epsilon_{1}} \qquad |B_{2}(\vec{p}_{2})\rangle = |N(\vec{p} + \vec{q})\rangle}_{E_{B_{2}}(\vec{p}_{2}) \equiv E_{N}(\vec{p} + \vec{q}) = \vec{E} + \epsilon_{2}}$  $\langle B_{r}(\vec{p}_{r})|\hat{\tilde{\mathcal{O}}}(\vec{q})|B_{s}(\vec{p}_{s})\rangle = \begin{pmatrix} 0 & a^{*} \\ a & 0 \end{pmatrix}$ 

where

 $a = \langle B_2(\vec{p}_2) | \hat{O}(\vec{0}) | B_1(\vec{p}_1) \rangle \equiv \langle N(\vec{p} + \vec{q}) | \hat{O}(\vec{0}) | \Sigma(\vec{p}) \rangle$ 

• ie similar structure to N scattering case

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Diagona	alising D <sub>rs</sub> :			$[\vec{p}_1 \equiv \vec{p}, \vec{p}_2]$	$p_2 \equiv \vec{p} + \vec{q};$	$E_r = \overline{E} + \epsilon$	r]

$$D_{rs} = -\epsilon_r \delta_{rs} + \lambda \langle B_r(\vec{p}_r) | \hat{\vec{\mathcal{O}}}(\vec{q}) | B_s(\vec{p}_s) \rangle = \begin{pmatrix} -\epsilon_1 & a^* \\ a & -\epsilon_2 \end{pmatrix}_{rs}$$

1) Eigenvalues  $\mu_{\pm}$ :

[quadratic equation]

Giving energies

$$E_{\lambda}^{(\pm)} = \bar{E} - \mu_{\pm}$$
$$= \frac{1}{2} (E_N(\vec{p} + \vec{q}) + E_{N/\Sigma}(\vec{p})) \mp \frac{1}{2} \Delta E_{\lambda}(\vec{p}, \vec{q})$$

with

$$\Delta E_{\lambda} = E_{\lambda}^{(-)} - E_{\lambda}^{(+)}$$

and

$$\Delta E_{\lambda} = \sqrt{\left(E_N(\vec{p}+\vec{q})-E_{N/\Sigma}(\vec{p})\right)^2 + 4\lambda^2 \underbrace{\left|\left(N(\vec{p}+\vec{q})|\hat{O}(\vec{0})|N/\Sigma(\vec{p})\right)\right|^2}_{|\vec{a}|^2}$$



#### Degenerate energy states -N scattering

eg 1-dimensional (exaggerated) sketch:

$$[\lambda^2 |a|^2 = \text{const.}, q = 1]$$



• Focus on degeneracy at:  $E_N(p) = E_N(p+q)$  at p = -q/2

[Similarly when  $E_N(p) = E_N(p-q)$  at p = q/2]

- Free case → Interacting case: avoided energy levels
- Sketch curves based on previously derived formulae:  $E^{(+)}$ ,  $E^{(-)}$

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Quasi-degenerate energy states –  $\Sigma \rightarrow N$  decay

eg 1-dimensional (exaggerated) sketch:

 $[\lambda^2 |a|^2 = \text{const.}, q = 1]$ 



- Free case → Interacting case: avoided energy levels
- Sketch based on previous formulae

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Diagonalising  $D_{rs}$ :

$$D_{rs} = -\epsilon_r \delta_{rs} + \lambda \langle B_r(\vec{p}_r) | \hat{\tilde{\mathcal{O}}}(\vec{q}) | B_s(\vec{p}_s) \rangle = \begin{pmatrix} -\epsilon_1 & a^* \\ a & -\epsilon_2 \end{pmatrix}_{rs}$$

2) Eigenvectors  $e_r^{(\pm)}$ :

$$e_r^{(\pm)} = N^{(\pm)} \begin{pmatrix} \lambda |a| \\ \kappa_{\pm} \frac{a}{|a|} \end{pmatrix}_r$$

• 
$$\kappa_{\pm} = \frac{1}{2} (E_{N/\Sigma} - E_N) \pm \frac{1}{2} \Delta E$$

- N<sup>(±)</sup> normalisation factor
- a/|a| = ζ<sub>a</sub> possible phase of a: ±1, ±i
   ie phase of matrix element contained in eigenvectors.
- Components related:  $e_2^{(-)} = -e_1^{(+)}a/|a|$  and  $e_2^{(+)} = e_1^{(-)}a/|a|$

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Quasi-degenerate eigenvectors –  $\Sigma \rightarrow N$  decay

 $\vec{e}^{(\pm)} = \begin{pmatrix} e_1^{(\pm)} \\ e_2^{(\pm)} \end{pmatrix}$ 



• Free case → Interacting case: change of state

Sketch based on previous formulae

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#### Incorporating the spin index

- $|B_r(\vec{p_r})\rangle \rightarrow |B_r(\vec{p_r}, \sigma_r)\rangle$ ,  $\sigma_r = \pm 1$  spin index
- *D* matrix doubled in size:  $\sigma_r r = +1, -1, \ldots + d_S, -d_S$  ie  $2d_S \times 2d_S$
- Energy states corresponding to  $|B_r(\vec{p_r}, \sigma_r)\rangle$ ,  $\sigma = \pm$  are degenerate [Kramers degeneracy] so still have  $d_S$  eigenvalues:  $E_{\lambda}^{(i)}$

$$C_{\lambda rs}(t) = \sum_{\alpha\beta} \sum_{\sigma_r \sigma_s} \Gamma_{\beta\alpha} \underbrace{\langle 0 | \hat{\tilde{B}}_{r\alpha}(\vec{p}_r) | B_r(\vec{p}_r, \sigma_r) \rangle_{\lambda}}_{Z_r u_{\alpha}^{(r)}(\vec{p}_r, \sigma_r) + \dots} \times \underbrace{\langle B_r(\vec{p}_r, \sigma_r) | e^{-(\hat{H}_0 - \lambda \hat{\tilde{\mathcal{O}}})t} | B_s(\vec{p}_s, \sigma_s) \rangle}_{\delta_{\sigma_r \sigma_s} \delta_{rs} + t} \underbrace{\langle B_r(\vec{p}_r, \sigma_r) | e^{-(\hat{H}_0 - \lambda \hat{\tilde{\mathcal{O}}})t} | B_s(\vec{p}_s, \sigma_s) \rangle}_{-\epsilon_r \delta_{r\sigma_r, \sigma_s s}} \times \underbrace{\langle B_s(\vec{p}_s, \sigma_s) | \hat{B}_{s\beta}(\vec{0}) | 0 \rangle_{\lambda}}_{\vec{Z}_s \vec{u}_{\beta}^{(s)}(\vec{p}_s, \sigma_s) + \dots}$$

 $a_{\sigma_r r,\sigma_s s} = \langle B_r(\vec{p}_r,\sigma_r) | \hat{\tilde{\mathcal{O}}}(\vec{q}) | B_s(\vec{p}_s,\sigma_s) \rangle$ 

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Choice	of F					

With (eg)  $\Gamma^{\text{unpol}} = (1 + \gamma_4)/2$  and  $\bar{u}^{(r)}(\vec{p}_r, \sigma_r)\Gamma^{\text{unpol}}u^{(s)}(\vec{p}_s, \sigma_s) \propto \delta_{\sigma_r, \sigma_s}$ spin sums reduce D to previous  $d_S \times d_S$  matrix

$$D_{r,s} = -\epsilon_r \delta_{r,s} + \lambda a_{rs} \qquad a_{rs} = \underbrace{\frac{1}{2} \left( a_{+r,+s} + a_{-r,-s} \right)}_{\text{average}}$$

Similarly:  

$$\frac{\Gamma}{\Gamma_{3}^{\text{unpol}} = (1 + \gamma_{4})/2} \qquad D_{rs} = -\epsilon_{r}\delta_{rs} + \lambda_{2}^{1}(a_{+r,+s} + a_{-r,-s}) - \epsilon_{r}\delta_{rs} \pm \lambda_{2}^{1}(a_{+r,+s} - a_{-r,-s}) - \epsilon_{r}\delta_{rs} \pm \lambda_{2}^{1}(a_{+r,+s} - a_{-r,-s}) - \epsilon_{r}\delta_{rs} \pm \lambda_{2}^{1}(a_{+r,+s} - a_{-r,-s}) - \epsilon_{r}\delta_{rs} \pm \lambda_{2}(a_{+r,+s} - a_{-r,-s}) - \epsilon_{r}\delta_{rs} \pm \lambda_{2}(a_{+r,+s}$$

Explicit form factor decomposition of matrix element shows that different spin components of matrix elements related to each other:

$$a_{-r,-s} = \eta a_{+r,+s}^*$$
  $a_{-r,+s} = -\eta a_{+r,-s}^*$   $[\eta = \pm]$ 

So practically pick out either  $a_{+r,+s}$  or  $a_{+r,-s}$  (spin-flip)

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Explicit check for previous  $d_S = 2$  case:

$$D_{\sigma_r r, \sigma_s s} = -\epsilon_r \delta_{r \sigma_r, \sigma_s s} + \lambda a_{\sigma_r r, \sigma_s s}$$

- $D_{\sigma_r r, \sigma_s s}$ :  $(2 \times 2) \times (2 \times 2)$  dimensional matrix
- Upshot from previous examples

$$a_{\sigma_r r, \sigma_s s} = \left( -\frac{0}{a} \stackrel{'}{\leftarrow} \frac{a^*}{0} - \right)_{\sigma_r r, \sigma_s s} \qquad a \to \left( \begin{array}{cc} a_{++} & a_{+-} \\ a_{-+} & a_{--} \end{array} \right)$$

Giving

$$\Delta E_{\lambda} = \sqrt{\left(E_N(\vec{p}+\vec{q})-E_{N/\Sigma}(\vec{p})\right)^2+4\lambda^2\left|\det a\right|^2}$$

where

$$|\det a|^{2} = \underbrace{\left| \langle N(\vec{p} + \vec{q}, +) | \hat{O}(\vec{0}) | N/\Sigma(\vec{p}, +) \rangle \right|^{2}}_{|a_{++}|^{2}} + \underbrace{\left| \langle N(\vec{p} + \vec{q}, +) | \hat{O}(\vec{0}) | N/\Sigma(\vec{p}, -) \rangle \right|^{2}}_{|a_{+-}|^{2}}$$

Contains previous cases

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Test: Transition matrix elements  $\Sigma^- \rightarrow n$  decay:

- Clover-Wilson:  $N_f = 2 + 1$ -flavours, isospin limit  $\Sigma(sdd) \rightarrow N(udd)$
- Presently only considered  $V_4$ ,  $\vec{p} = \vec{0}$

 $Q^{2} = -(M_{\Sigma} - E_{N}(\vec{q}))^{2} + \vec{q}^{2}$ 

- $32^3 \times 64$  lattice size, O(500) configs, a = 0.074 fm,  $M_{\pi} \sim 330$  MeV
- Momentum choices:

 $[\vec{q}^2 \equiv q_2^2 + \text{twisting}]$ 

run #	$\vec{q}^2$	$Q^2 [\text{GeV}^2]$
1	0.0	-0.0095
2	0.019	0.0048
3	0.0096	0.062
4	0.025	0.017
5	0.041	0.29
6	0.049	0.35

• Matrix element:

$$\begin{split} \langle \mathcal{N}(\vec{q},+) | \bar{u}\gamma_4 s | \Sigma(\vec{0},+) \rangle_{\mathrm{rel}} \\ &= \sqrt{2M_{\Sigma}(E_N(\vec{q})+M_N)} \\ &\times \left( f_1^{\Sigma N}(Q^2) + \frac{E_N(\vec{q})-M_N}{M_N+M_{\Sigma}} f_2^{\Sigma N}(Q^2) + \frac{E_N(\vec{q})-M_{\Sigma}}{M_N+M_{\Sigma}} f_3^{\Sigma N}(Q^2) \right) \end{split}$$

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Transition matrix elements for  $\Sigma(sdd) \rightarrow N(udd)$  [ $\Sigma^- \rightarrow n$  decay]:

$$S = S_g + \int_x (\bar{u}, \bar{s}) \underbrace{\begin{pmatrix} D_u & -\lambda \mathcal{T}' \\ -\lambda \mathcal{T}' & D_s \end{pmatrix}}_{\mathcal{M}} \begin{pmatrix} u \\ s \end{pmatrix} + \int_x \bar{d} D_d d$$

• 
$$N_f = 2 + 1$$
 flavours  
•  $\mathcal{T}(x, y; \vec{q}) = \gamma e^{i\vec{q}\cdot\vec{x}} \delta_{x,y}$   $[\mathcal{T}' = \gamma_5 \mathcal{T}^{\dagger} \gamma_5]$   
•  $[\Gamma^{\text{unpol}}]$ 

$$C_{\lambda rs}(t) = \begin{pmatrix} C_{\lambda \Sigma\Sigma}(t) & C_{\lambda\SigmaN}(t) \\ C_{\lambda N\Sigma}(t) & C_{\lambda NN}(t) \end{pmatrix}_{rs}$$

• Generalised EigenVector Problem [GEVP]



$$C_{\lambda rs}(t) = \begin{pmatrix} C_{\lambda \Sigma\Sigma}(t) & C_{\lambda\Sigma N}(t) \\ C_{\lambda N\Sigma}(t) & C_{\lambda NN}(t) \end{pmatrix}_{rs}$$

Propagators

$$\begin{pmatrix} G_{uu} & G_{us} \\ G_{su} & G_{ss} \end{pmatrix} = \begin{pmatrix} (\mathcal{M}^{-1})_{uu} & (\mathcal{M}^{-1})_{us} \\ (\mathcal{M}^{-1})_{su} & (\mathcal{M}^{-1})_{ss} \end{pmatrix}$$

• To avoid inverting full  $\mathcal{M}$  matrix expand  $\mathcal{M}$  in 2 × 2 blocks to  $O(\lambda^4)$  [Need several inversions]

$$\begin{aligned} G^{(uu)} &= (1 - \lambda^2 D_u^{-1} \mathcal{T} D_s^{-1} \mathcal{T}')^{-1} D_u^{-1} \\ G^{(ss)} &= (1 - \lambda^2 D_s^{-1} \mathcal{T}' D_u^{-1} \mathcal{T})^{-1} D_s^{-1} \end{aligned}$$

and

$$G^{(us)} = \lambda D_u^{-1} \mathcal{T} G^{(ss)}$$
$$G^{(su)} = \lambda D_s^{-1} \mathcal{T}' G^{(uu)}$$

• Potential advantage: range of  $\lambda$  values available

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### Effective plots

 Diagonalise the correlation matrix (GEVP)

$$C_{\lambda rs}(t) = \begin{pmatrix} C_{\lambda \Sigma\Sigma}(t) & C_{\lambda\Sigma N}(t) \\ C_{\lambda N\Sigma}(t) & C_{\lambda NN}(t) \end{pmatrix}_{rs}$$

Gives two eigenvectors and eigenvalues

 Use the eigenvectors to project out two correlation functions

 $C_{\lambda}^{(i)}(t) = v^{(i)\dagger}C_{\lambda}(t)u^{(i)} \quad i = \pm$ 

• Take the ratio of the two correlators

$$R_{\lambda}(t) = \frac{C_{\lambda}^{(-)}(t)}{C_{\lambda}^{(+)}(t)} \stackrel{t\gg 0}{\propto} e^{-\Delta E_{\lambda}t}$$

• Run #5,  $O(\lambda^4)$ ,  $\lambda = 0.025$ :



•  $(\Delta E_{\lambda})_{\text{eff}} = -\ln \frac{R(t+1)}{R(t)}$ 

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# $\lambda$ Convergence



- Using  $O(\lambda) O(\lambda^4)$  terms
- LH plot: Run #1; RH plot: Run #5
- $0 \lesssim \lambda \lesssim 0.04$

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Fits:	Run#1 – #6	5			$[O(\lambda^4)]$ res	ultsl



• Fit:  $\Delta E_{\lambda} = \sqrt{(E_N(\vec{q}) - M_{\Sigma})^2 + 4\lambda^2 |\langle N(\vec{q}, +) | \bar{u} \gamma_4 s | \Sigma(\vec{0}, +) \rangle|^2}$ 

• Pre-determine  $E_N(\vec{q}) - M_{\Sigma}$ , so one parameter fit

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Avoider	l energy leve	l crossing				



- LH plot: Free case  $\Sigma$  (triangle) and N (squares) energy states as a function of  $\vec{q}^2$
- RH plot: The mixed states  $E_{\lambda}^{(+)}$  (crosses) and  $E_{\lambda}^{(-)}$  (stars)
- Avoided energy level crossing

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#### State mixing

 $\vec{e}^{(\pm)} = \left(\begin{array}{c} e_1^{(\pm)} \\ e_2^{(\pm)} \end{array}\right)$ 



- LH plot: Eigenvectors known (from  $E_N$ ,  $M_{\Sigma}$ ,  $a_{++}$ )
- RH plot: cf GEVP:  $v_r^{(i)} \propto e_r^{(i)}$  so also track  $e_r^{(i)}$
- Components flip between states



Conventional three-point function results – run #5 comparison [similiar  $Q^2$ ]



- Same number of gauge configs (~ 500)
- Both  $\Sigma \rightarrow N$  and opposite 3-point functions used
- au operator point insertion

3 source-sink  $t_{sep}$  = 10, 13, 16 used [~ 0.74, 0.96, 1.18 fm]

- Fit ansatz includes an excited state
- Need to extrapolate



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Compai	rison of resul	ts				



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#### Elastic nucleon scattering I

• 
$$p' = p + q; \ Q^2 = -q^2$$

1. . . .

$$\begin{split} \mathcal{N}(\vec{p}\,)|J_{\mu}(\vec{q})|\mathcal{N}(\vec{p})\rangle &= \\ \overline{u}_{N}(\vec{p}\,') \left[\gamma_{\mu}F_{1}(Q^{2}) + \sigma_{\mu\nu}\frac{q_{\nu}}{2M_{N}}F_{2}(Q^{2})\right]u_{N}(\vec{p}) \end{split}$$

• 
$$J_{\mu} = \frac{2}{3} \bar{u} \gamma_{\mu} u - \frac{1}{3} \bar{d} \gamma_{\mu} d$$

• Sachs form factors

$$G_E(Q^2) = F_1(Q^2) - \frac{Q^2}{(2M_N)^2}F_2(Q^2)$$
  

$$G_M(Q^2) = F_1(Q^2) + F_2(Q^2)$$



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### Elastic nucleon scattering II

- Choose Breit frame geometry (electron bounces from nucleon):
- ie  $\vec{p}' \equiv \vec{p} + \vec{q} = -\vec{p}$  as a trivial solution of  $E_N(\vec{p} + \vec{q}) = E_N(\vec{p})$
- Degenerate (not quasi-degenerate):

$$\Delta E_{\lambda} = \sqrt{\left(E_{N}(\vec{p} \pm \vec{q}) - E_{N}(\vec{p})\right)^{2}} + 4\lambda^{2} \left| \langle N(\vec{p} + \vec{q}) | \hat{J}_{\mu}(\vec{0}) | N(\vec{p}) \rangle \right|^{2}$$

This gives

$$\Delta E_{\lambda} = \begin{cases} \lambda \frac{M_N}{E_N} G_E & \mu = 4\\ \lambda \frac{(\vec{e}_z \times \vec{q})_i}{E_N} G_M & \mu = i \end{cases}$$

• Choose 
$$\vec{q}^2$$
 so large range  $Q^2 \lesssim 7 \, {
m GeV}^2$ 

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Results





- LH: *G<sub>E</sub>*, *G<sub>M</sub>* also compared to variational 3-point (on same configs)
- RH: As for LH together with JLAB experimental results

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# A potential problem

• Presently all results for the valence sector, as just considered correlation functions

## Including quark-line-disconnected matrix elements

- Expensive: Need purpose generated configurations with determinant also containing the  $\lambda$  term
- (H)MC problem: for probability definition need real determinant so fermion matrix must also be γ<sub>5</sub>-Hermitian (as well as Hermitian)

$$\Longrightarrow \lambda^V, \, \lambda^A \, \, \text{imaginary} \qquad \qquad [\lambda^S, \, \lambda^P, \, \lambda^T \, \, \text{real}]$$

so  $E_{\lambda}$  develops an imaginary part for  $O \sim V, A$ 

- Have investigated this for axial current (and spin) and seen that this occurs (and can be measured) but is noisy (usual problem)
- Possible solution: expand Greens function (in  $\lambda)$  as before and take  $\lambda$  as imaginary
- For valence sector doesn't matter

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# Conclusions

- FH approach is a viable alternative to conventional method of 3-pt correlation functions for computing matrix elements
- FH approach only requires 2-pt correlation functions
- FH approach now generalised to decays
- With quasi-degenerate theory, don't need to tune for degenerate energies as before in principle can re-use propagators for other decay/transition processes