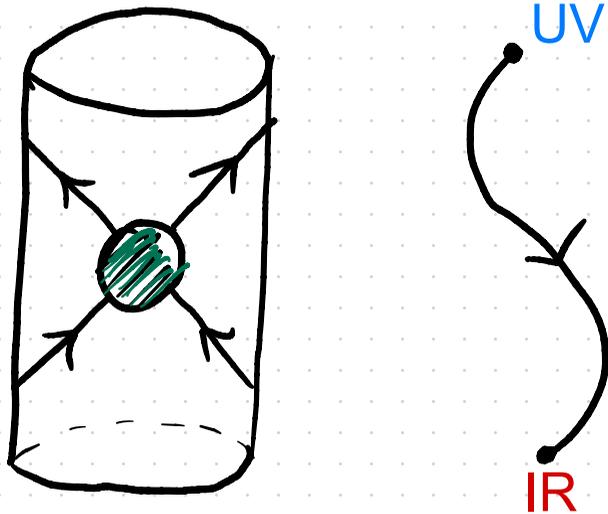
The background features several thick, brown, wavy lines that flow across the page from top-left to bottom-right, creating a sense of movement and depth.

DESY

String Theory Seminar

November 21st, 2022

# Bootstrapping RG Flows through AdS: sine-Gordon and friends



António Antunes, DESY

arXiv 2109.13261+W.I.P.

w/ M.S.Costa, J.Penedones, A.Salgarkar, (B.C.van Rees)  
E. Lauria

# Main Idea

- We want to study QFTs undergoing RG Flow. Place it in a fixed AdS metric and use the radius as a scale.
- The Symmetries of AdS provide us with a one parameter family of boundary conformal correlators. Use the Bootstrap to obtain non-perturbative information.

# Outline:

I) Motivation: QFT in AdS, Flat Space Limit, S-Matrix vs Conformal Bootstrap

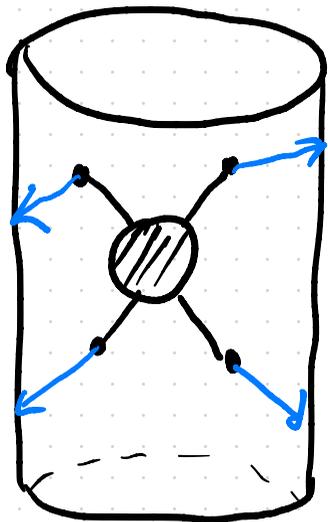
II) Bounds on sG Breathers ( $Z_2$  Symmetry)

III) Bounds on sG Kinks ( $O(2)$  Symmetry)

IV) Staircase+Outlook

# I) Massive QFT in $\text{AdS}_{d+1}$

$$ds^2 = \frac{L_{\text{AdS}}^2}{y^2} (dy^2 + dx^2)$$



$$\langle \phi(y_1, x_1) \dots \phi(y_4, x_4) \rangle$$

Isometries  $\text{SO}(d+1, 1)$   
same as Conformal(d)

$$\partial \text{AdS}_{d+1} = \underline{\text{CFT}_d}$$

$L_{\text{AdS}}^2$  IR reg  
RG scale

maximally  
symmetric box

Bulk Fields  $\longrightarrow$  Boundary Operators

$$\phi_i(y, x) = \sum_k a_{ik} y^{\Delta_k} \underline{\mathcal{O}_k(x)} + \dots$$

$$m_i^2 L_{\text{AdS}}^2 = \underline{\Delta_i}(\underline{\Delta_i} - d)$$

Asymptotic  
states

[PPTvRV] '16

# Conformal correlators on the Boundary

$$\langle \underline{\mathcal{O}_1(x_1)\mathcal{O}_1(x_2)\mathcal{O}_1(x_3)\mathcal{O}_1(x_4)} \rangle = \frac{\mathcal{A}(z, \bar{z})}{x_{12}^{2\Delta_1} x_{34}^{2\Delta_1}}$$

$$\left. \begin{aligned} u &= \frac{x_{12}^2 x_{34}^2}{x_{13}^2 x_{24}^2} = z\bar{z} \\ v &= \frac{x_{14}^2 x_{23}^2}{x_{13}^2 x_{24}^2} = (1-z)(1-\bar{z}) \end{aligned} \right\} \text{Cross ratios}$$

## Boundary OPE

$$\underline{\mathcal{O}_i(x)\mathcal{O}_j(0)} = \sum_k \mathcal{C}_{ijk} |x|^{\Delta_k - \Delta_i - \Delta_j} [\underline{\mathcal{O}_k(0)} + desc]$$

-We have all the bootstrap axioms:

Conformality, OPE, Unitarity  $\longrightarrow$  Conformal bootstrap:

Non-perturbative (numerical) bounds on CFT data:  $\Delta_i \mathcal{C}_{ijk}$

Non-local C(F)T

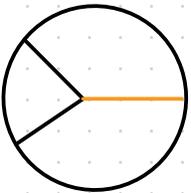
No Stress-Tensor  $\leftrightarrow$  No graviton

# Flat Space Limit

Flat space as  $L_{\text{AdS}} \rightarrow \infty$

Massive particles:  $\Delta_i \rightarrow \infty$

$$\frac{m_i}{m_1} = \lim_{\Delta_i \rightarrow \infty} \frac{\Delta_i}{\Delta_1}.$$


$$g_{11\underline{2}} = \# \lim_{\Delta_i \rightarrow \infty} c_{11\underline{2}} \Delta_1^{\frac{d-2}{4}} (\dots)^{\Delta_1}$$

$\text{AdS}_2$  for simplicity. 1 2d Mandelstam  $s$  ; 1 1d cross-ratio  $\underline{z}$

$$S(s) = \lim_{L_{\text{AdS}} \rightarrow \infty} \frac{\mathcal{A}(z)}{z^{2\Delta_1}} \Big|_{\underline{z}=1-s/(4m^2)}$$

[KPvRZ] '20

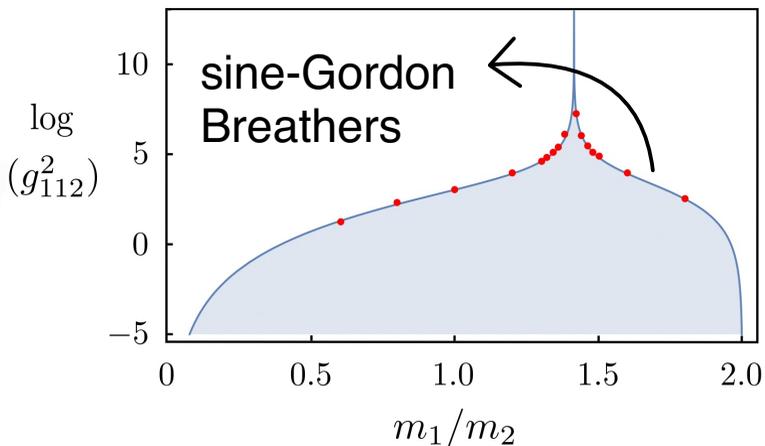
# 2d S-Matrix Bootstrap

Particle  $m_1$  w) bound state  $m_2$

What is the maximum coupling/residue  $g_{112}^2$  ?

Is there a physical theory ?

[PPTvRV]

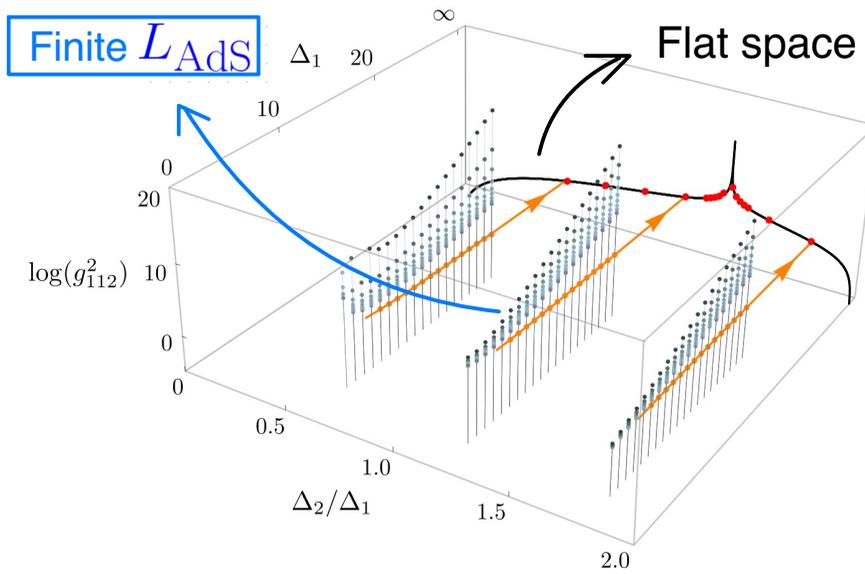


# 1d Conformal Bootstrap

Operator  $\mathcal{O}_1$  w/ 'bound state'  $\mathcal{O}_2$

$$\mathcal{O}_1 \times \mathcal{O}_1 = 1 + c_{112}\mathcal{O}_2 + \dots (\text{operators with } \Delta > 2\Delta_1) \dots$$

Maximize  $c_{112}(\Delta_1, \Delta_2)$   $\Delta_i \rightarrow \infty$



# General Logic

- Take the finite radius, non-perturbative bootstrap results seriously, not just as a data set to extrapolate upon.
- Attempt to see the flows as (approximately) saturating the bounds, even at finite radius.

# II) Bounds on Breathers at finite $L_{\text{AdS}}$

$$S = \int_{\text{AdS}_2} d^2x \sqrt{g} \left[ \frac{1}{2} (\partial\phi)^2 + \lambda \cos(\beta\phi) \right]$$

$\phi \sim \phi + 2\pi/\beta$

Relevant deformation if

$$\Delta_\beta = \beta^2/(4\pi) \leq 2$$

UV

Free boson  
BCFT

← 0

$$\lambda L_{\text{AdS}}^{2-\Delta_\beta}$$

∞ →

IR

Flat Space

Dirichlet  
B.C.

$$\mathcal{O}_1 = \partial_\perp \phi \quad \mathcal{O}_1 \times \mathcal{O}_1 = 1 + c_{112} \mathcal{O}_2$$

$$\mathcal{O}_2 = (\partial_\perp \phi)^2 \quad \text{Nearly bound state}$$

$$c_{112}^2 = 2 \quad \Delta_1 = 1 \quad \Delta_2 = 2$$

Bosonic MFT  
maximizes

$$c_{112}^2 \quad \text{UV}$$



# Perturbative Corrections

$$\langle \mathcal{O}_1(x_1) \mathcal{O}_1(x_2) \mathcal{O}_1(x_3) \mathcal{O}_1(x_4) \rangle = \text{MFT} + \lambda \left[ \text{Diagram 1} + \text{Diagram 2} \right]$$

$$\underline{\Delta_1} = 1 + \gamma_1^{(1)} \lambda$$

$$\underline{\Delta_2} = 2 + \gamma_2^{(1)} \lambda$$

$$\underline{c_{112}^2} = 2 + c^{(1)} \lambda$$

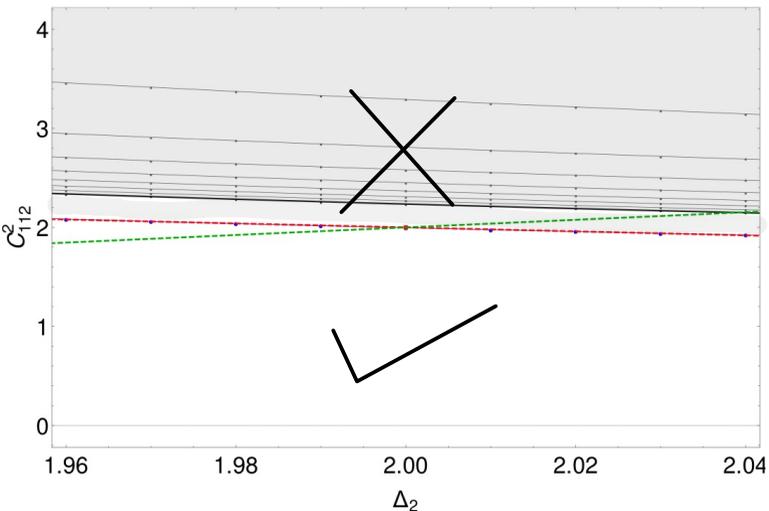
$$= \frac{1}{x_{12}^{2\Delta_1} x_{34}^{2\Delta_1}} \sum_{n=2, \dots}^{\infty} c_{11n}^2 G_{\Delta_n}(z)$$

$$\boxed{c_{112}^2 = 2 - 2\Delta_2 + 4\Delta_1}$$

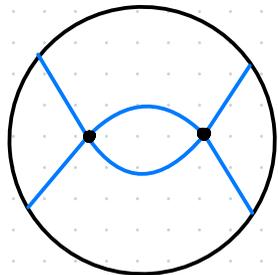
Bounds saturated!

Bonus: Bound on irrelevant coupling

$$\tilde{\lambda}(\partial\phi)^4 : \quad \tilde{\lambda} \geq 0$$



# Second order: $\beta$ expansion vs $\lambda$ expansion

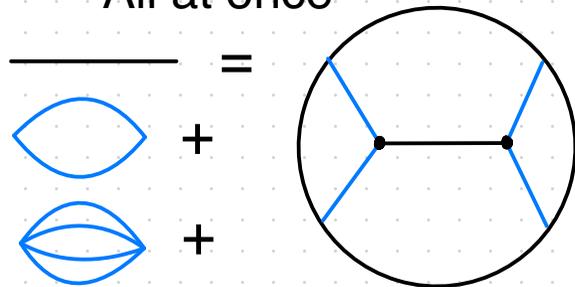


$$\beta^4 \phi^4 + \# \beta^6 \phi^6 + \dots$$

pure  $\phi^4$  / loop counting

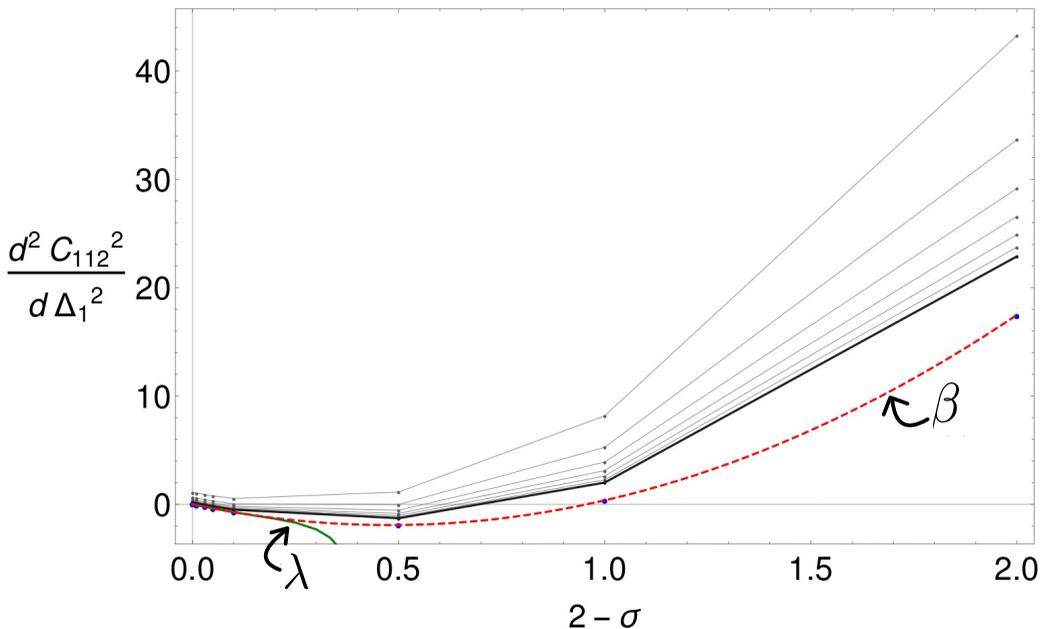
$$\lambda(\phi^4 + \# \phi^6 + \dots)$$

All at once



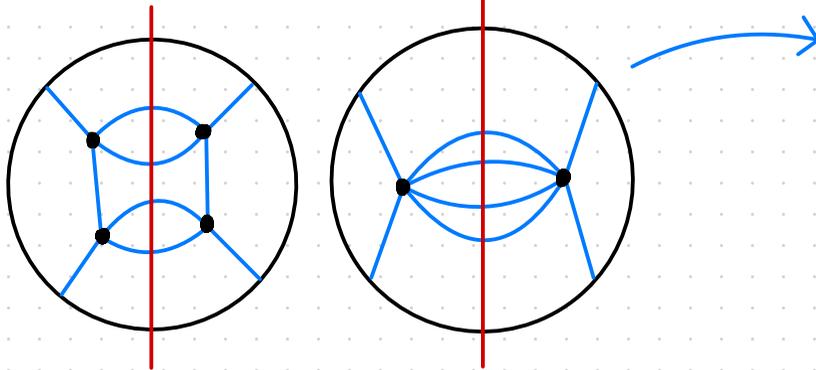
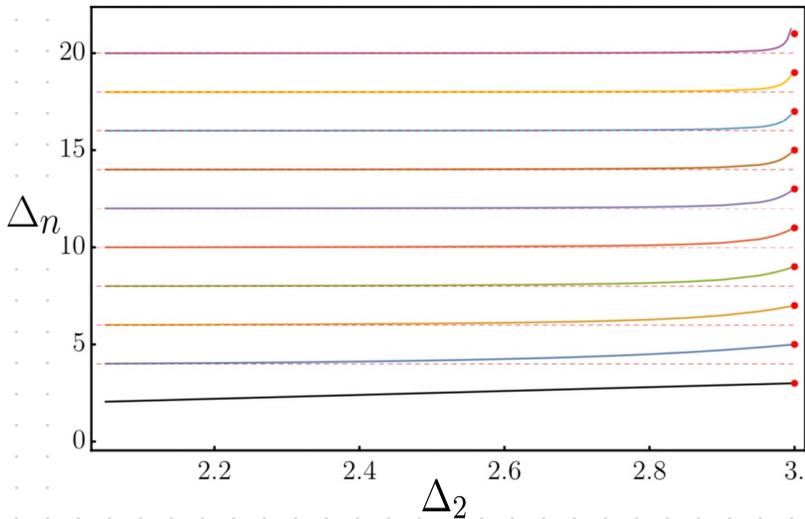
$\beta$  Still extremal

$\lambda$  Not anymore



# Non-perturbative picture

$$\Delta_1 = 1$$



**We don't expect saturation!**

Sparse extremal spectra  
from numerics.

'One operator per bin'/  
Only 2-particle states.

[Paulos Zan]  
'19

In a real QFT in AdS:

$$\partial_{\perp} \phi \quad (\partial_{\perp} \phi) \square (\partial_{\perp} \phi) \quad (\partial_{\perp} \phi)^4$$

Dense spectrum, multi-particle states.

Hidden until 3 loops

Higher Points?

Attempt to see more:  
external  $\mathcal{O}_2$

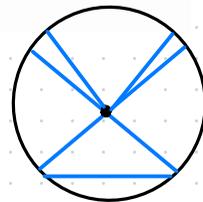
# Multi-Correlator bounds

## 5d Space of Observables

$$\{\Delta_1, \Delta_2, \Delta_{\text{gap}}, c_{112}, c_{222}\}$$

$$\langle \mathcal{O}_1 \mathcal{O}_1 \mathcal{O}_1 \mathcal{O}_1 \rangle \quad \langle \mathcal{O}_2 \mathcal{O}_2 \mathcal{O}_2 \mathcal{O}_2 \rangle$$

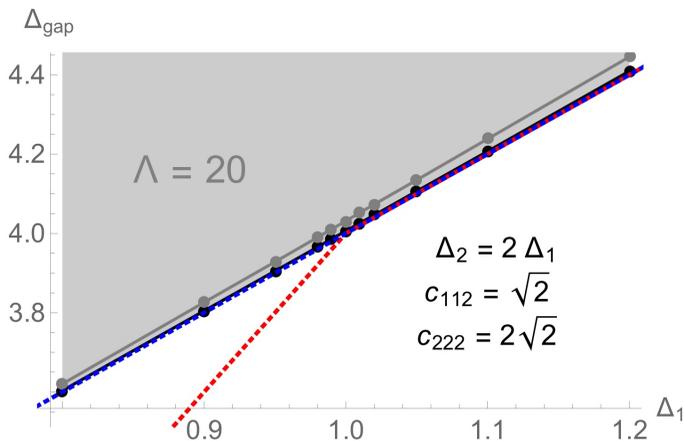
$$\langle \mathcal{O}_2 \mathcal{O}_2 \mathcal{O}_1 \mathcal{O}_1 \rangle \quad \text{1st order:}$$



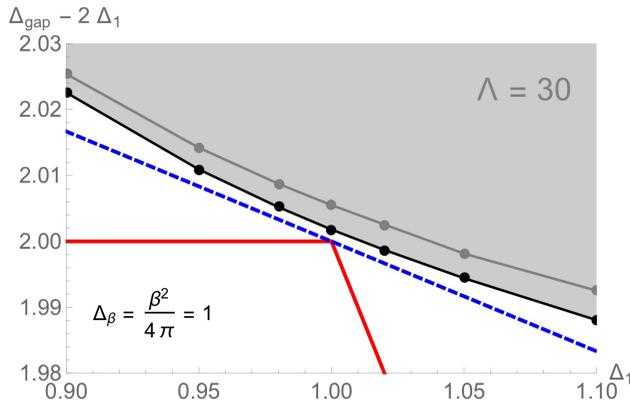
Unfortunately, bounds don't improve. Understood from perturbation theory + solutions without identity. Study gap.

$$O_4 = (\partial_{\perp} \phi) \square (\partial_{\perp} \phi) \quad 2\Delta_1 + 2$$

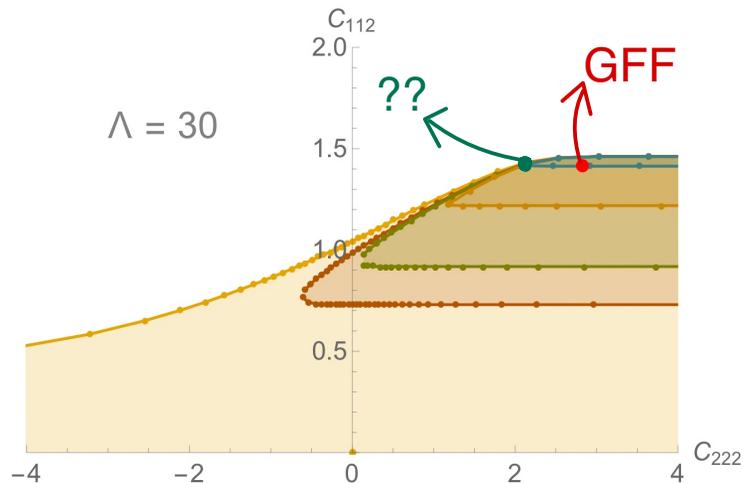
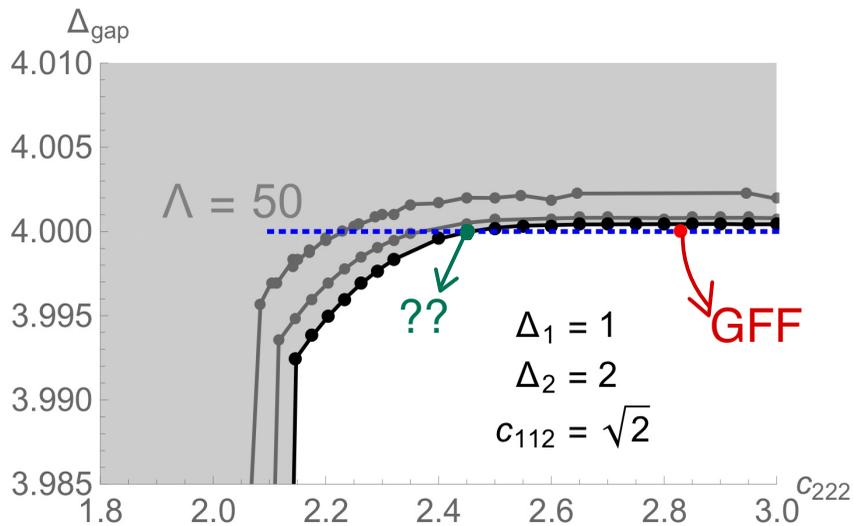
$$O_{4'} = (\partial_{\perp} \phi)^4 \quad 4\Delta_1$$



Resolving  
Mixing  
Explains  
sub-  
extr.  $\phi^6$



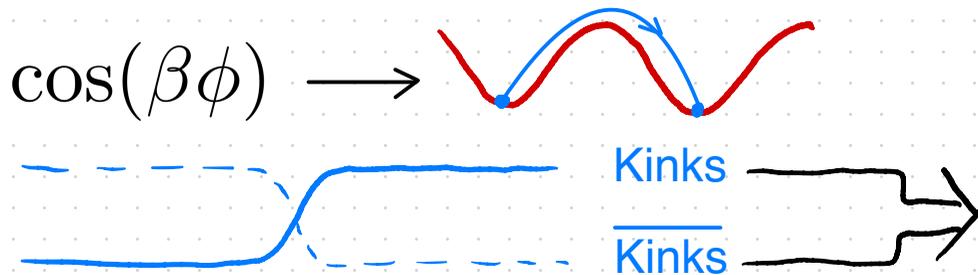
# Bonus: $\phi^6, \phi^8$ only



$\Delta_1 = 1$   
 $\Delta_2 = 2$

Vary  $\Delta_{\text{gap}}$

# III) Bounds on Kinks



Non-perturbative in  $\phi$

$$\begin{pmatrix} K \\ \bar{K} \end{pmatrix} \rightarrow O(2)_T \text{ doublet}$$

Sensitive to  $\beta$  the inverse radius of  $\phi$

Breathers  $\phi$  quanta



Bound states



Breathers  $U(1)_T$  neutral

$\pm$  charge under  $Z_2 \subset O(2)$

Excludes  $\sum_n a_n \phi^{2n}$

# What are the CFT kinks in the UV?

Compact boson has  $O(2)_L \times O(2)_R$  sym.  $\cos(\beta\phi)$  explicitly breaks  $\rightarrow O(2)_T$

Charged bulk operators:

Momentum

Vertex Ops.

Winding

$$V_{n,\underline{m}} = : e^{ip_L\phi_L + ip_R\phi_R} :$$

$$p_{L,R} = n\beta \pm \frac{2\pi}{\beta} \underline{m}$$

$$\left( \begin{array}{c} K \\ \bar{K} \end{array} \right) \rightarrow V_{0,\pm 1} = : e^{\pm \frac{2\pi i}{\beta} \tilde{\phi}} : \quad \tilde{\phi} = \phi_L - \phi_R$$

Bulk dimension  $\pi/\beta^2$  (non-pert in small  $\beta$ )

Can tune to have no bound states

## O(2) symmetric correlators $i, j, k, l \in \{1, 2\}$

Irreps  
S, T, A

$$x_{12}^{2\Delta_v} x_{34}^{2\Delta_v} \langle K_i(x_1) K_j(x_2) K_k(x_3) K_l(x_4) \rangle$$

$$= \delta_{ij} \delta_{kl} g_1(z) + \delta_{il} \delta_{jk} g_2(z) + \delta_{ik} \delta_{jl} g_3(z)$$

In the deep UV we can compute the boundary correlators:

$$g_{+-+-}(x_i) = \langle V_{0,+1}(x_1, y_1) V_{0,-1}(x_2, y_2) V_{0,+1}(x_3, y_3) V_{0,-1}(x_4, y_4) \rangle \Big|_{y_i \rightarrow 0}$$

$$g_{+-+-} = \frac{1}{(x_{12} x_{34})^{4\pi/\beta^2}} (1-z)^{-4\pi/\beta^2}$$

$$S \rightarrow 0, 2, 4, \dots \quad (\partial_{\perp} \phi)^2$$

$$A \rightarrow 1, 3, \dots \quad \partial_{\perp} \phi$$

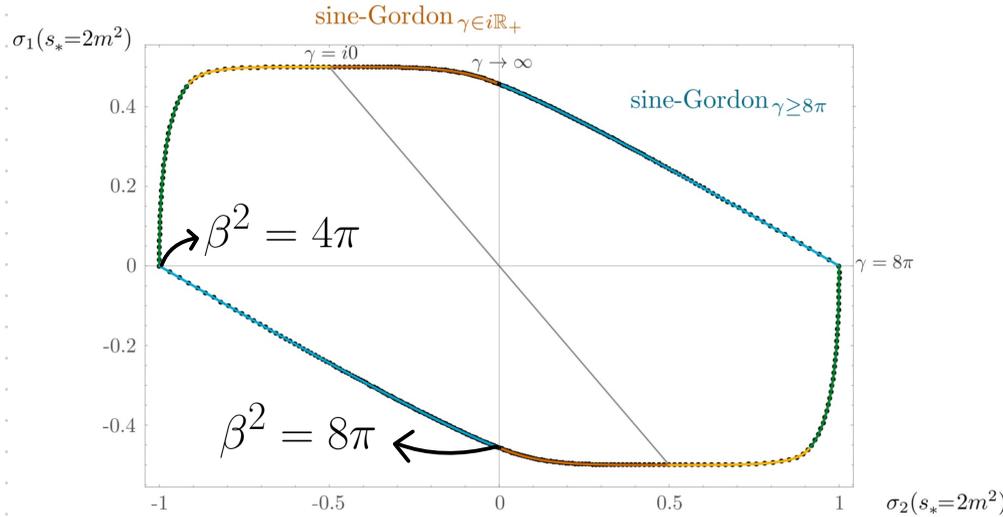
$$T \rightarrow 4\Delta_v \dots \quad V_{0, \pm 2}$$

$$\Delta_v = 2\pi/\beta^2 \quad \longrightarrow$$

# What's the bootstrap question?

Flat space kinks w/o bound states extremize  $S_i(s^*)$

[CHKV] '19



Generalize to finite radius/ CFT?

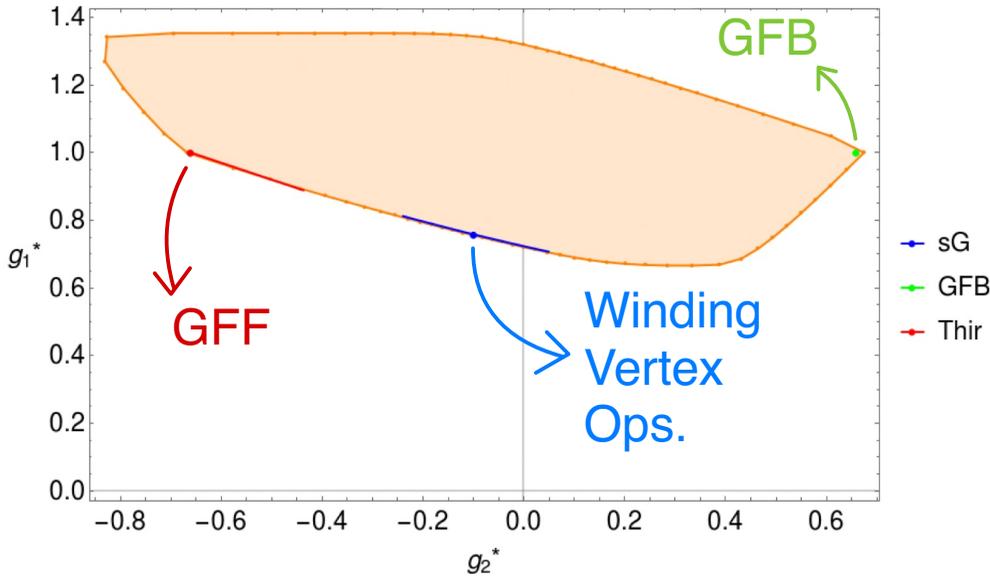
Extremize  $g_i(1/2) = g_i^*$

New conformal bootstrap problem.  
 Impose  $g_i^*$  as a constraint and bisect.  
 Assume no bound states ( $\Delta \geq 2\Delta_v$ )

Need to pick  $\Delta_v$   
 for each radius.  
 Start from no  
 bound state range.

# The $O(2)$ $CFT_1$ slate and perturbations

$$\Delta_v = 0.3$$



Our free kinks saturate the bound, to first order in  $\lambda \cos(\beta\phi)$  too.

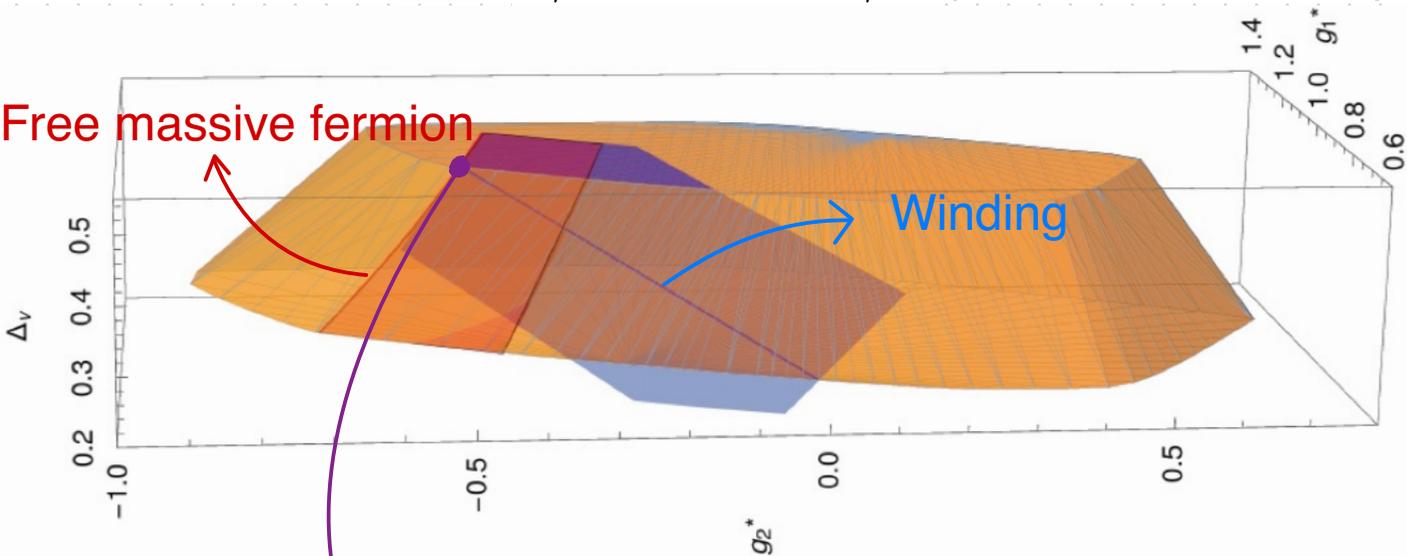
As do free fermions and their quartic Thirring perturbations.

$$\mathcal{L} = \lambda_f \left( \bar{\psi} \gamma_{flat}^\mu \psi \right) \left( \bar{\psi} \gamma_{\mu, flat} \psi \right)$$

+ free Dirac fermion

# The O(2) menhir and Bosonization

Consider UV  $1/4 \leq \Delta_v \leq 1/2$  (no bound states)



$$\lambda \cos(\beta\phi)$$

$$\lambda_f j_f^\mu j_{\mu,f}$$

Massless fermion = Winding w/  $\Delta_v = 1/2$   
+ pert. + pert.

(perturbative) boundary bosonization!

Thirring  
=  
sine-Gordon

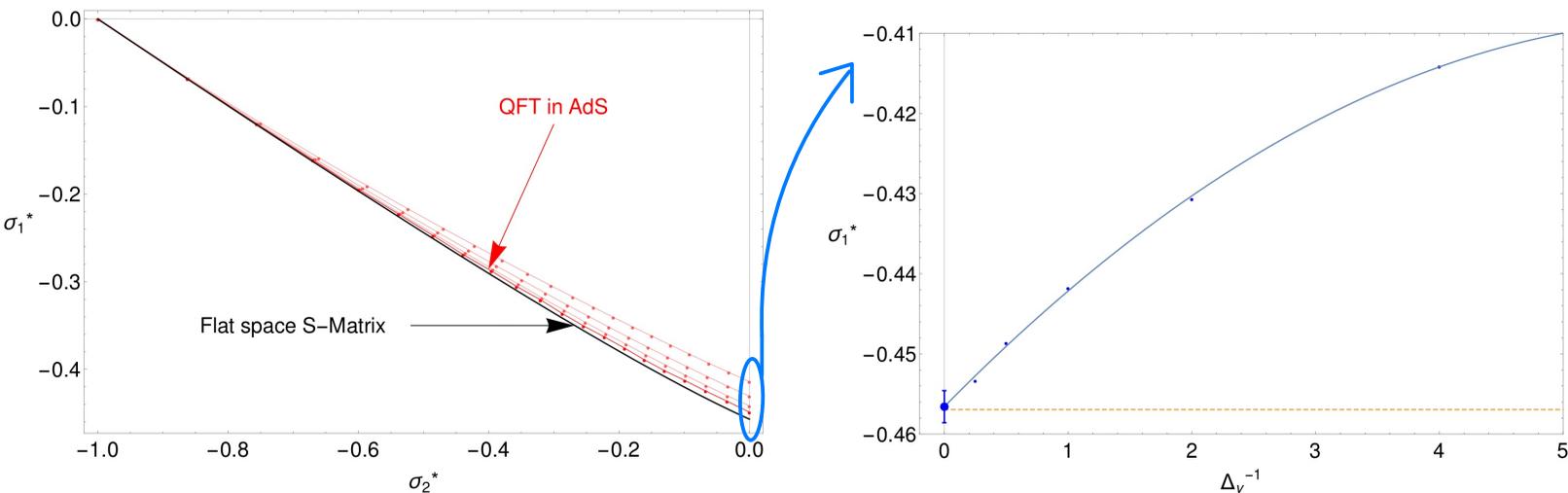
# Flat space limit

It is convenient to define

$$\sigma_1(s) = z^{-2\Delta_v} (g_1(z) - 1) \Big|_{z=1-s/(4m^2)}$$
$$\sigma_2(s) = z^{-2\Delta_v} g_2(z) \Big|_{z=1-s/(4m^2)}$$

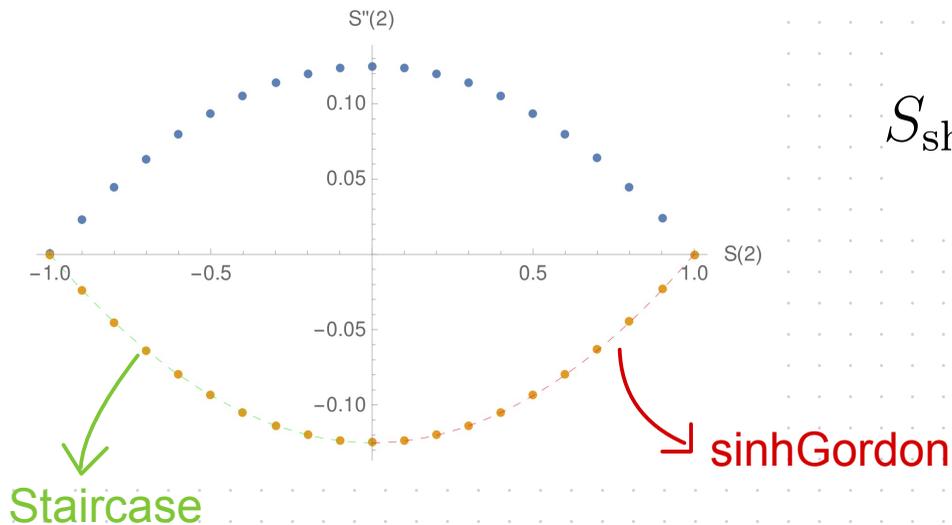
Approach  
S-Matrix  
as  $L_{\text{AdS}} \rightarrow \infty$

Extrapolate to flat space by increasing ext. dimension



# IV) Sinh-Gordon and Staircase model

Studying no bound states gave controllable setup. Back to breathers but without bound states. Sinh-Gordon and Staircase saturate flat space bounds.



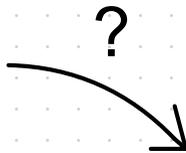
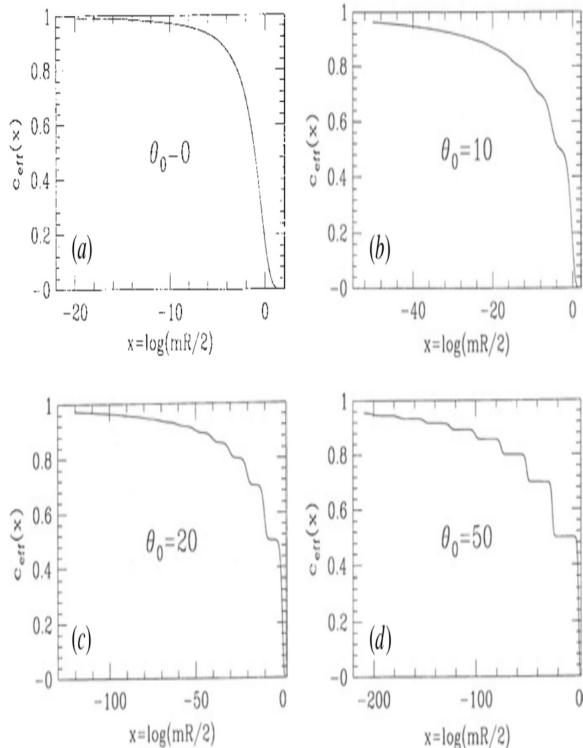
$$S_{\text{shG}}(\theta) = \frac{\sinh \theta - i \sin \gamma}{\sinh \theta + i \sin \gamma}$$

$$\gamma \rightarrow \frac{\pi}{2} + i\theta_0$$

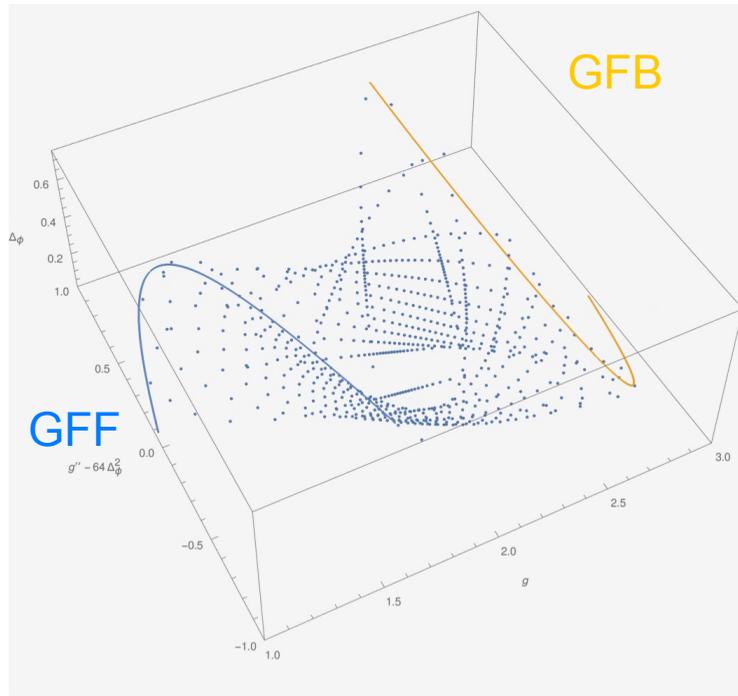
$$g(1/2) \equiv g \text{ and } g''(1/2) \equiv g'' \leftarrow$$

Staircase is interesting!  
Goes through Minimal  
Model flows.  
UV is mysterious.  
Perfect for Bootstrap.

# Climbing a conformal staircase



Want Dirichlet-like  
Z2 preserving (2,2)  
boundary conditions,  
containing lightest Op.



[Al. Zamolodchikov, unpublished]

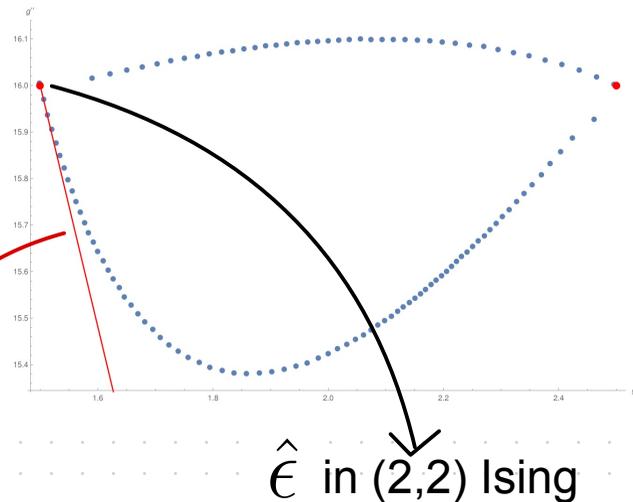
# Tricritical to Ising

'Scattering' Boundary  
Conditions for Minimal  
Models?

Fixed radius of AdS

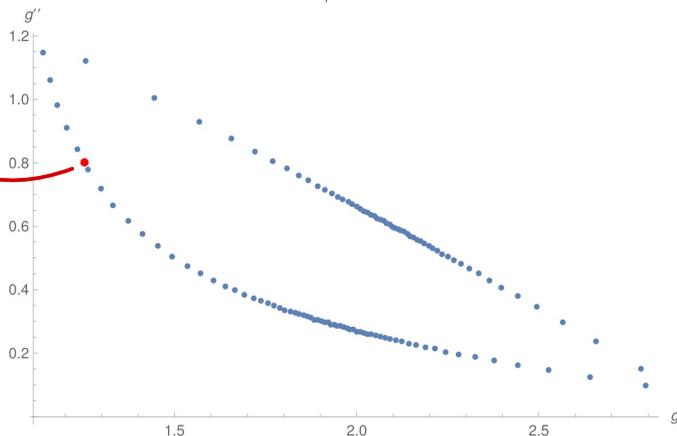
$$\Delta_v = 1/2$$

TTb  
(Irrelevant  
flow to tricrit)



$\hat{E}$  in (2,2)  
Tricrit  
Ising.

$$\Delta_\phi = 0.1$$



Does it persist all the way  
up to the UV? (large  $m$ )

Dimensions  
approaching zero?

# Outlook

- More useful 2d bounds ( $O(N)$ ), higher d bounds.
- Is there a systematic way to add more correlators and approach a realistic dense spectrum?
- Is there a sharp connection to integrability in AdS?

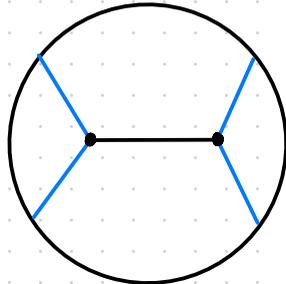
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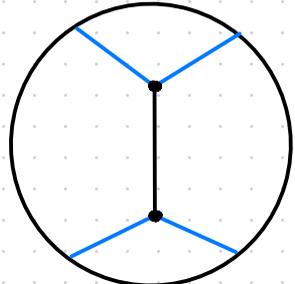
antonio.antunes  
@desy.de

# Extra Slides

## Breather loops



Easy



Hard

$$\bullet \text{---} \bullet = g_{\beta, \pm}(\zeta)$$

$$g_{\beta, \pm}(X \cdot X') = \int_{-\infty}^{\infty} d\nu \tilde{g}_{\beta, \pm}(\nu) \Omega_{i\nu}(-\cosh(\rho)),$$

$$\int_{-\infty}^{\infty} d\nu \frac{\tilde{g}_{\beta, \pm}(\nu)}{(P_{12})^{\Delta} (P_{34})^{\Delta}} \frac{\Gamma_{\Delta - \frac{d}{4} - \frac{i\nu}{2}}^2 \Gamma_{\Delta - \frac{d}{4} + \frac{i\nu}{2}}^2}{64\pi^{\frac{d}{2}+1} \Gamma_{\Delta}^2 \Gamma_{1 - \frac{d}{2} + \Delta}^2}$$

$$\frac{\Gamma_{\frac{d}{4} + \frac{i\nu}{2}}^4 \mathcal{G}_{\frac{d}{2} + i\nu}(z, \bar{z})}{\Gamma_{\frac{d}{2} + i\nu} \Gamma_{i\nu}}$$

Cross-channel  
infinite sums  
give  
truncendentality

$$\mathcal{G}_{\frac{d}{2} + i\nu}(z, \bar{z}) \sim z^{\Delta} {}_2F_1(\Delta, \Delta, 2\Delta, z) \sim -\frac{\Gamma(2\Delta) (2\psi^{(0)}(\Delta) + \log(1-z) + 2\gamma)}{\Gamma(\Delta)^2}$$

# Unphysical solutions to crossing and multiple correlators

$$\langle \mathcal{O}_1 \mathcal{O}_1 \mathcal{O}_1 \mathcal{O}_1 \rangle \quad \langle \mathcal{O}_2 \mathcal{O}_2 \mathcal{O}_1 \mathcal{O}_1 \rangle \quad \langle \mathcal{O}_2 \mathcal{O}_2 \mathcal{O}_2 \mathcal{O}_2 \rangle$$

$$c_{11n}^2$$

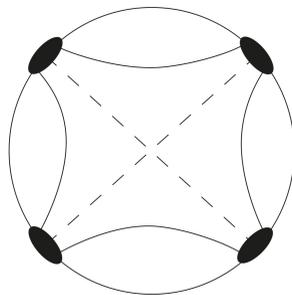
$$c_{22n} c_{11n}$$

$$c_{22n}^2$$

Mixes both

Decoupled

$$f_{\chi}(z) = \sum_{k, \Delta_k \geq 2\Delta_{\phi}} \mu_k^2 g(\Delta_k, z)$$



Can add w/ arbitrary coefficient to  $c_{22n}^2$  With tiny  $c_{11n}^2$ , cross term is arbitrary.

No better bound...

# Kink correlators at first order

Winding



Momentum

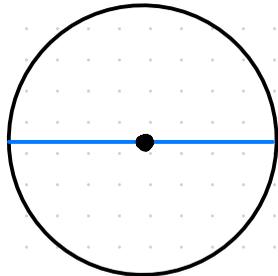


$$\left\langle e^{i\alpha\phi(w_1)} e^{i\alpha\phi(w_1^*)} e^{-i\alpha\phi(w_2)} e^{-i\alpha\phi(w_2^*)} \left( e^{i\beta(\phi(w)-\phi(w^*))} + e^{-i\beta(\phi(w)-\phi(w^*))} - 2 \right) \right\rangle$$

$$\alpha\beta = 2\pi$$

Rational integrand

$$\int_{AdS_2} \frac{dx dy}{y^2} \frac{-2(x_{12})^2 y^2}{(y^2 + (x - x_1)^2)(y^2 + (x - x_2)^2)}$$



$$\langle V_{\alpha_1} \dots V_{\alpha_n} \rangle_{\mathbb{R}^2} = \prod_{i < j} |z_i - z_j|^{\alpha_i \alpha_j / 4\pi}$$

# Kink anti-kink breather bounds

Hard, need to scan over bound state dimensions.

Bounds vary with number of bound states.

