

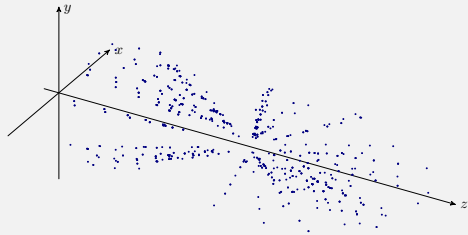
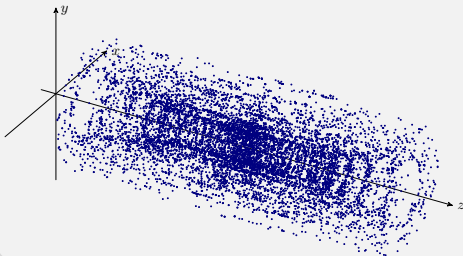
# CMS Phase-2 Trigger Upgrade

## Using a track finder at Level-1

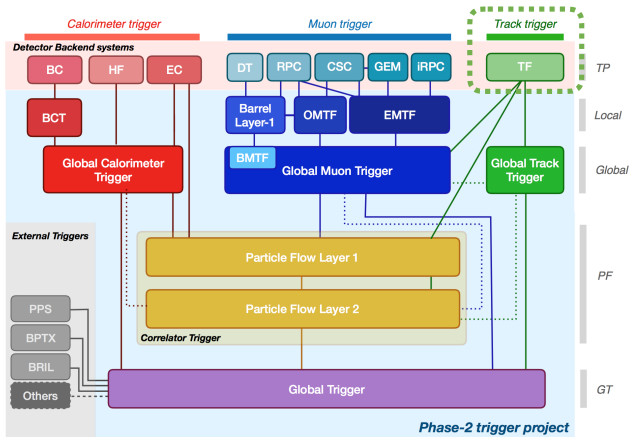
Thomas Schuh

2<sup>nd</sup> March 2023

RUTHERFORD APPLETON LABORATORY



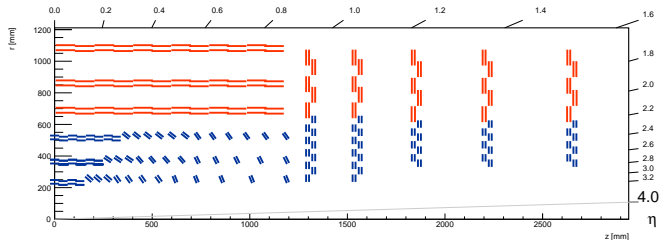
# CMS L1-Trigger Phase 2



- L1 tracks must be used to associate trigger objects with single vertices
- vertices are formed in global track trigger
- global trigger may associate trigger objects with single vertices
- additional Particle Flow begins with tracks and vertices to create trigger objects

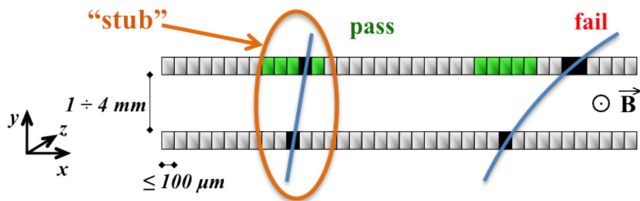
# Phase II Outer Tracker

- replacement of entire outer tracker
- 13 200 modules, 190 m<sup>2</sup> silicon, 213 M channel (legacy: 10 M channel)
- 6 barrel layer plus 2×5 endcaps discs



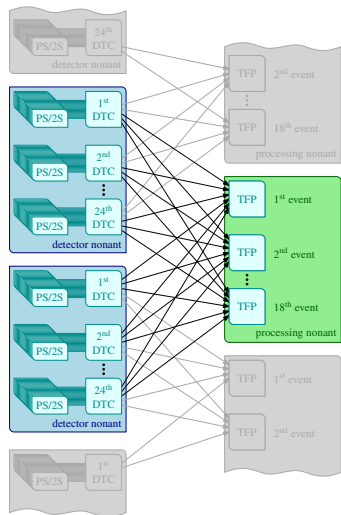
- two kinds of  $p_T$ -modules:
  - strip-strip (2S): 5 cm × 90 μm
  - pixel-strip (PS): 1.6 mm / 2.5 cm × 100 μm
- constructed to enable online track reconstruction above 2 GeV

# Stubs – on detector data reduction



- new modules allowing rough  $p_T$  estimate
- cut at 2 GeV reduces data rate by  $\sim$  one order of magnitude
- $p_T$ -information is useful during track reconstruction

# Outer Tracker Back-End System



## outer tracker

- organised in detector nonants
- each nonant read out by 24 DTCs
- each DTC connected with up to 72 modules

## track trigger

- organised in processing nonants
- shifted by half a nonant w.r.t. detector nonants
- each nonant processed by 18 TFPs
- each TFP connected with up to 48 DTCs

# Data, Trigger & Control Board (DTC)

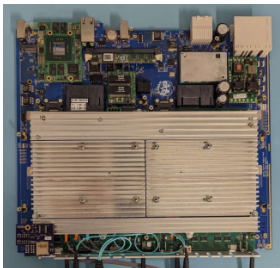


## Serenity-S1

- hosts a VU13P, -2, A2577 (128 GTY)
- uses 72 links @2.56, 5.1/10.24 gbps towards detector
- and 36 @25 gbps towards back/end

- I am not covering: IpGBT, DAQ, slow Control, calibration, . . .
- focus on stub processing:
  - sensor modules sending stubs in 8 BX boxcars
  - DTC repacks them into 18 BX long trains containing only stubs from single events
  - and assigns stubs to processing nonants

# Track Finding Processor (TFP)



## Apollo

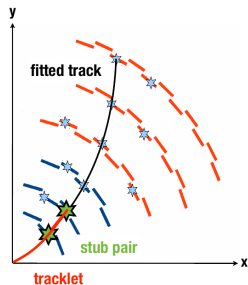
- hosts up to two VU13P, -2, A2577 (128 GTY)
- gets stub over 48 links @25 gbps from DTCs
- sends tracks over  $3 \times 2$  links @25 gbps downstream

## CMS L1 track trigger requirements

- reconstructs prompt tracks above 2 GeV
- covering  $|\eta| \leq 2.4$
- allowing beam spot window of  $\pm 15$  cm in beam direction
- within 4  $\mu$ s latency

# CMS L1 track trigger algorithm

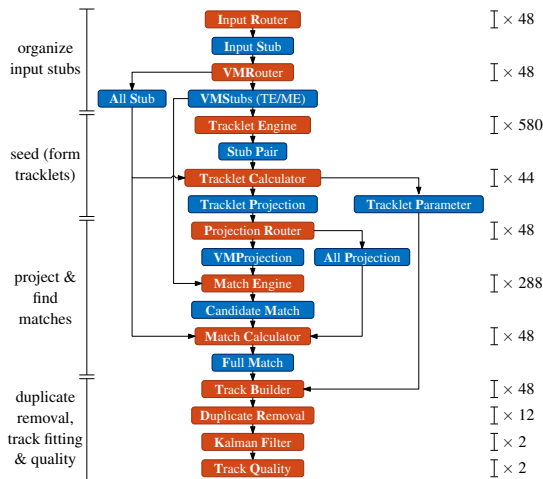
- track finding through road search based on tracklet seeds
- multiple seeding layer combinations used for redundancy and high efficiency
- found tracks sharing stubs get merged (duplicate removal)
- track fit uses kalman filter
- BDT provides qualifiers for improved fake reduction





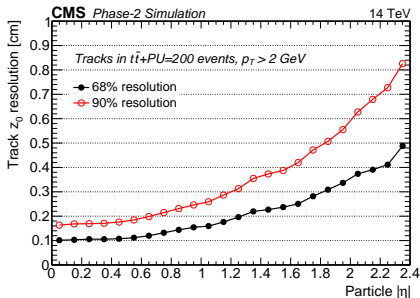
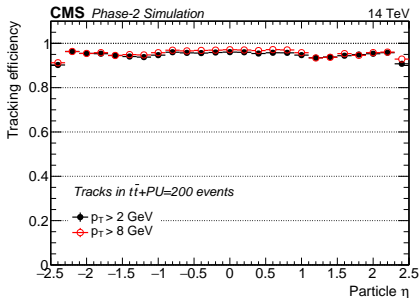
# CMS L1 track trigger algorithm – Implementation

- track finding organized in alternating **processing** and **memory** modules
- multiple copies of each module run in parallel
- most processing modules written in HLS
- memory modules, kalman filter and top level written in VHDL
- targeting 240 MHz
- f/w nearly complete



# CMS L1 track trigger algorithm – Performance

- examples of expected L1 tracking performance based on simulation
- high efficiency across  $p_T/\eta$
- precise  $z_0$  resolution for vertex association

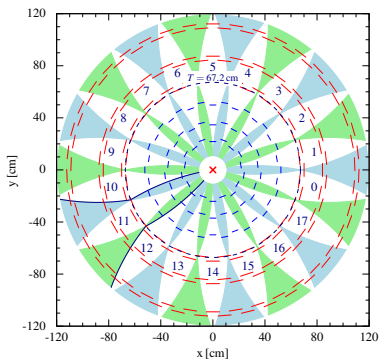


# Exploration of an alternative L1 Tracking Algorithm

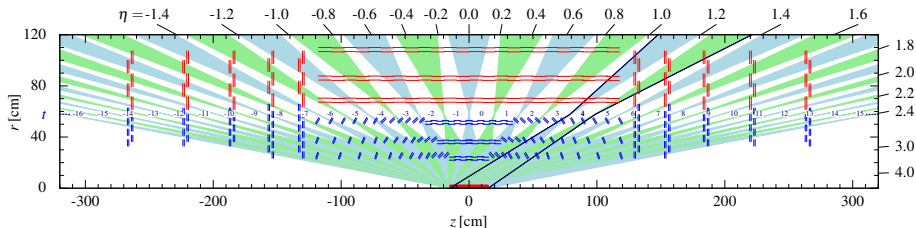
- benefit of an all FPGA system: one can explore alternatives
- alternative track reconstruction chain:
  - GP: spatial sectoring
  - HT: track finding in  $r-\phi$
  - MHT: finer track finding in  $r-\phi$
  - ZHT: finer track finding in  $r-z$
  - TB: track builder
  - KF: kalman filter fit
  - DR: duplicate removal
- currently targeting single VU13P running @ 360 MHz
- simpler and faster as CMS L1 track trigger algorithm
- based on already working f/w
- reconstructs however only prompt tracks above 3 GeV

the approach described in the following is one possible implementation that is not at this moment the CMS baseline

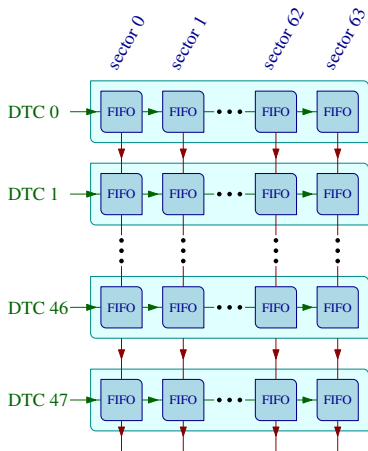
# Geometric Processor – Divide and Conquer



- division of each nonant in  $2\phi \times 32\eta$  sectors
- stub duplication at sector boundaries leads to: 13 k stubs  $\rightarrow$  26 k stubs in  $\bar{t}\bar{t}$ @200PU
- enables parallel track-finding per sector



# Geometric Processor – Implementation



- 336 ns latency

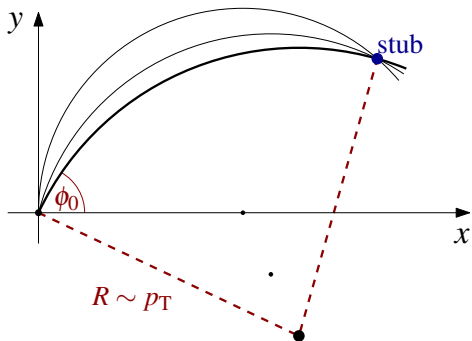
- utilization:

|        |      |
|--------|------|
| LUT    | 18 % |
| LUTRAM | 23 % |
| FF     | 11 % |
| BRAM   | 2 %  |
| DSP    | 1 %  |

- bit and clock accurate emulator written
- ~ 1 % stub loss due to truncation in  $t\bar{t}$ @200PU events

# Hough Transform – Theory

- search for primary tracks in the  $r$ - $\phi$  plane
- infinite number of circles  $(\phi_0, \frac{q}{\rho_T})$  consistent with beam-line & any individual stub position  $(r, \phi)$

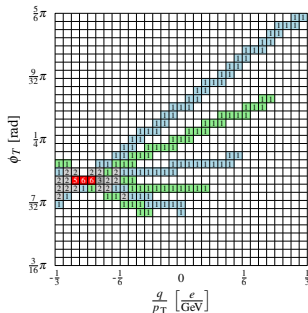
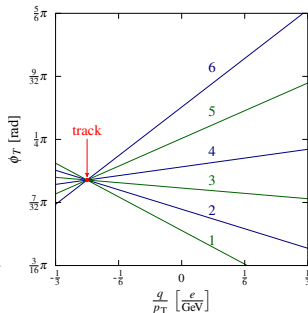
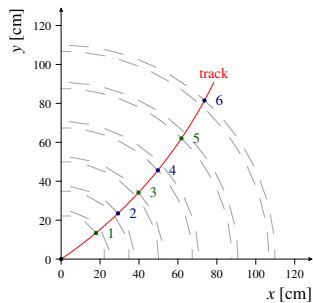


- they must obey constraint:

$$\phi_0 \approx \phi + \frac{q}{\rho_T} \times r$$

- stub positions corresponds to straight lines in the track parameter plane

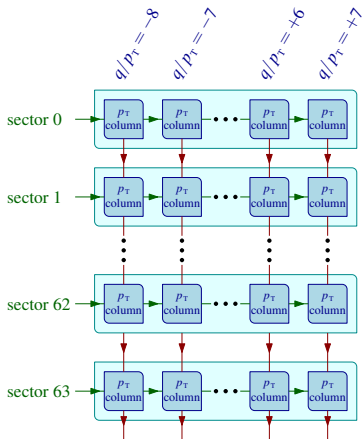
# Hough Transform – Algorithm



## per sector:

- calculate  $\phi_0(q/p_T)$  for all stubs within the sector
- fill stubs in the corresponding cells of a  $\phi_T - q/p_T$ -histogram ( $32 \times 16$ )
- define cells with stubs from at least 5 layer as track candidate

# Hough Transform – Implementation



■ 706 ns latency

■ utilization:

|        |      |
|--------|------|
| LUT    | 20 % |
| LUTRAM | 17 % |
| FF     | 13 % |
| BRAM   | 20 % |
| DSP    | 8 %  |

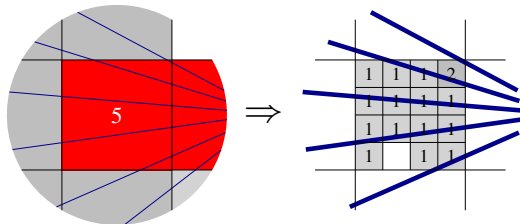
■ bit and clock accurate emulator written

■ data reduction: 25.6 k stubs → 2.7 k stubs



# Mini Hough Transform (MHT)

- analyze found tracks with  $4 \times 4$   $r - \phi$  mini array
  - adaptive track parameter space of mini array covers candidate's rough HT cell
  - takes stub  $\phi$  uncertainty into account
  - uses 4 layer threshold to define found tracks
- does not create a track for each cell above layer threshold
  - instead removes stubs which are not found in the finer track collection
  - removes track entirely when no finer track has been found



- 67 ns latency

- utilization:

|        |      |
|--------|------|
| LUT    | <1%  |
| LUTRAM | <<1% |
| FF     | <1%  |
| BRAM   | 1%   |

- 2.7 k stubs  $\rightarrow$  1.3 k stubs

- 351 tracks  $\rightarrow$  196 tracks

# Z Hough Transform (ZHT)

- identical to MHT but looking at  $r - z$  plane instead of  $r - \phi$
- adaptive track parameter space of mini array covers  $r - z$  sector
- 67 ns latency
- utilization:

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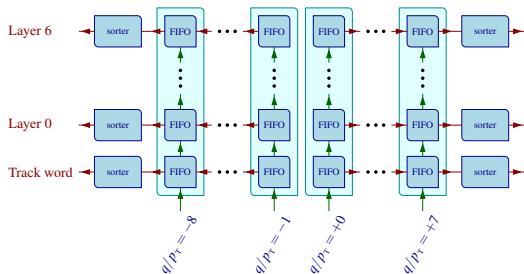
|        |           |
|--------|-----------|
| LUT    | <1 %      |
| LUTRAM | $\ll$ 1 % |
| FF     | <1 %      |
| BRAM   | 1 %       |

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- 1.3 k stubs  $\rightarrow$  1.1 k stubs
- 196 tracks  $\rightarrow$  159 tracks

# Track Builder (TB)

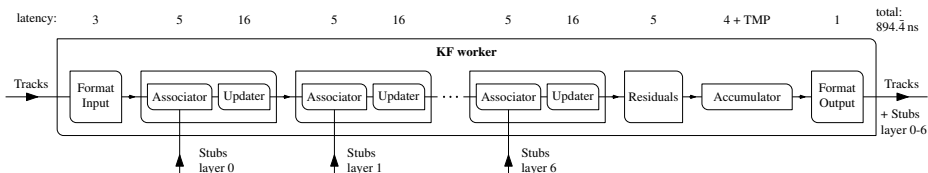
- track builder only restructures the data flow
- input: 16 streams of stubs
  - one stub per clock tick
  - a track is a sequence of stubs
  - contains gaps
- output: 2 streams of tracks
  - a track consists of a track word and 7 stub words (one per layer)
  - layer id are counted along found track from inside out
  - we allow up to 4 stubs per layer: one track takes between 1 and 4 clock ticks
  - gap-less



- 572 ns latency
- utilization:

|        |      |
|--------|------|
| LUT    | 1 %  |
| LUTRAM | <1 % |
| FF     | <1 % |
| BRAM   | 3 %  |

# Kalman-Filter (KF)



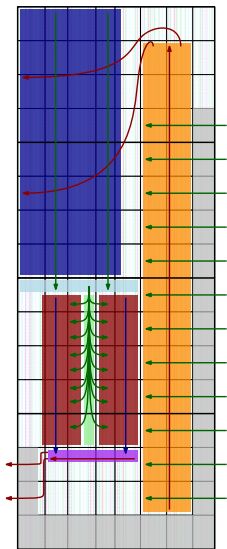
- iterative track fit also used offline and HLT
- tuned for CMS L1 track trigger algorithm
  - no cuts during iterations
  - no final cuts
  - good efficiency with CMS L1 track finding
- need re-implementation of combinatorics reduction strategies

- 894 ns latency
- utilization:

|        |      |
|--------|------|
| LUT    | 1 %  |
| LUTRAM | <1 % |
| FF     | 1 %  |
| BRAM   | 6 %  |
| DSP    | 3 %  |



# Alternative L1 Tracking Implementation



- VU13P floorplan
- Geometric Processor
- Hough Transform
- Mini Hough Transforms
- Track Builder
- Kalman Filter (FW being refined)
- Duplicate Removal (FW being refined)
- total latency:  $\sim 2\,750$  ns

# Conclusion

- this talk focused on alternative track finding implementation
- ongoing CMS L1 track trigger algorithm work and improvements are not covered
- targeting complete alternative track reconstruction chain demonstration in next months
- costs, latency and performance of alternative TFP seems to be in required margin
- alternative TFP is neither baseline nor backup though