How to detect the Diffuse Supernova Neutrino Background?

Seminar talk DESY Zeuthen 9 Dec 2022 Michael Wurm (Mainz)

KPC



GP

Observation of Supernova neutrinos



Outline of this talk

- DSNB model predictions
- Current best limits
- Observation in the next decade?
- Potential of future detectors

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DSNB Models: Basic Ingredients



Supernova Neutrino Spectrum

- -- depending on progenitor mass
- -- red-shifted by cosmic expansion

Star Formation History

i.e. the red-shift dependent Star Formation Rate (SFR)



• astronomical observation of star formation regions by photons (UV \rightarrow radio)

• relatively well constrained up to red-shifts $z \approx 1-2 \rightarrow \text{most}$ relevant for DSNB

Initial Mass Function

i.e. distribution of progenitor star masses



- stars that end in a ccSN: typically only 8 M_{solar} and higher
 → small fraction (~10%)
- DSNB modeling: commonly used (broken) Salpeter parametrization

progenitor mass influences

- final state: neutron star, black hole
- temperature of proto-neutron star
 → SN neutrino spectrum

Heger

et al. (2003

Supernova Neutrino Emission in a Nut Shell



- neutrino emission from core-collapse
 Supernovae comes in three batches
 - neutronization: $p + e^- \rightarrow n + v_e$
 - accretion: $v_e \& \bar{v}_e$
 - PNS cooling:
- $\nu \overline{\nu}$ -pair production (all flavors!)
- Proto Neutron Star (PNS) cooling is the dominant contribution
- Total v luminosity: ~99% of gravitational energy released in Fe core collapse
- event numbers expected for Super-K:
 - $\sim 10^4$ for center of Milky Way (10 kpc)
 - ∘ ~1 for Andromeda (1 Mpc)

Supernova Neutrino Spectrum

standard parametrization (Keil, Raffelt, Janka) $=\frac{(1+\beta_{\nu})^{1+\beta_{\nu}}L_{\nu}}{\Gamma(1+\beta_{\nu})\langle E_{\nu}\rangle^{2}}\left(\frac{1}{\zeta}\right)$ dN_{ν} E_{ν} $e^{-(1+\beta_{\nu})E_{\nu}/\langle E_{\nu}\rangle}$ $\mathrm{d}E_{\nu}$ 0.1 w=0.8 $<\varepsilon_{v}>= 12 \text{ MeV}$ 0.08 0.06 <ε_v>=16 MeV npL(ε_v) $<\varepsilon_v>=20 \text{ MeV}$ 0.04 <ε_ν>=24 MeV 0.02 (a) 0 10 20 30 50 60 70 40 80 ε_v (MeV)

- thermal Fermi-Dirac spectrum (T ~4-5 MeV) with
- pinching β_v to take into account thickness of neutrino sphere

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New: Mass-dependent Neutrino Spectra



- figures show time to explosion/BH formation, total energy, mean neutrino energy as a function of Zero-Age Main Sequence (ZAMS) mass
- red: successful SNe, grey-blue: failed SNe with dependence on EoS of neutron star

Impact of Cosmological Red-Shift





- DSNB spectrum at Earth is composite of SN spectra emitted from different red-shift shells
- only SNe at red-shifts <2 contribute to signal region above 10 MeV (see later)

Range of predictions



uncertainties shown here:

- fraction of failed SN
- mass limit of neutron stars
- spectral shape of black-hole forming SN
- normalization of Star Formation Rate

- DSNB flux predictions feature large intrinsic uncertainties
- predictions by many different groups
 - ightarrow no substantial differences on flux/spectral shape

Range of predictions and upper limit



effect of upper limit by Super-Kamiokande

- DSNB flux predictions feature large intrinsic uncertainties
- predictions by many different groups
 - \rightarrow no substantial differences on flux/spectral shape
- upper limit from experiment: part of parameter space already ruled out!

Why is the DSNB interesting?

- discovery of the only "permanent" SN neutrino signal
- ightarrow signal normalization
 - redshift-dependent SN rate
 - fraction of hidden/failed SNe

\rightarrow spectral shape

- large variability in PNS temperatures expected
 → average SN neutrino spectrum
- astrophysical parameters, e.g. neutron star equation of state



Detectors for DSNB detection

main requirements:
large detection mass
ultra-low background

JUNO

Super-Kamiokande

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DUNE

Detecting the DSNB antineutrino component



- DSNB flux: ~10² /cm²s
- equipartition between v flavors
- best possibility for detection in water and liquid scintillator (LS)
 - $\bar{\nu}_e$ via **inverse beta decay** on free protons (H)
- expected event rate:
 - 1-2 events per 10 kt·yrs



Current experimental results



Example: Super-K background spectra

Important improvement: Neutron Tag



→ n-detection inherent to liquid scintillators but hard to achieve in pure water

Neutron Tag in current SK-IV



Recent publication on latest SK-IV data with long acquisition window after trigger that results in ~20% delayed neutron tag efficiency

← events with 0/2+ detected neutrons background levels as in SK I-III analyses

 ← events with 1 detected neutrons single background levels suppressed but also low signal efficiency

Side note: both data samples prefer a small non-zero DSNB contribution.

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How to enhance delayed neutron tagging? JG



Super-Kamiokande+Gadolinium

- add low concentration of gadolinium (10⁻³)
 anhanced neutron too by common concerdent
- enhanced neutron tag by gamma cascade
- (τ~30µs, 4-5 gammas with $\Sigma E_{\gamma} \approx 8 MeV$)
- detection efficiency: 65-80%
- \rightarrow running since fall 2020!

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- liquid scintillator: high light yield & low detection threshold
- Iarge signal by n capture on H
- detection efficiency close to 100%
- \rightarrow will start in 2023

→ successfully removes all single-event backgrounds – **but**: there are correlated BGs ...

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Backgrounds mimicking IBDs

In 2011, KamLAND published results from an extraterrestrial \bar{v}_e search in 10-30 MeV range:



Atmospheric neutrino NC background

caused by NC reactions of GeV atmospheric neutrinos on carbon/oxygen



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Atmospheric NC background in SK-Gd



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Discrimination based on light patterns

distinguishing charge and timing patterns with Convolutional Neural Network (CNN)



 \rightarrow single rings for DSNB, more complex structures for atmospheric NC events

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SK-Gd expectation after CNN

Maksimovic, Nieslony, Wurm (2021)

- CNN performance:
 - signal efficiency: 96%
 - residual background: 2%
- resulting S:B ratio of 4:1 in the energy range of interest (12-30) MeV
- residual background by invisible muons (Michel electrons from low-E atm. v_{μ} 's)







DSNB search in JUNO

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Pulse Shape Discrimination (PSD) in LS

Pulse Shapes of Gammas and Neutrons



- example shown here for 11 MeV neutrons vs. 4 MeV gammas
- efficiency rises with energy/number of photons detected
- JUNO is a high light yield experiment!
 → expect ~1300 pe/MeV

- in liquid scintillators, pulse shapes (and light yield) of highly ionizing particles (n,p,α's) differs from light particles (e,γ's)
- can be exploited for discrimination,
 e.g. by tail-to-total ratio of time-of-flight corrected pulses



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DSNB expectation in JUNO after PSD

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2015: based on tail-to-total pulse shape discrimination and old LENA studies, expected performance for JUNO was

- DSNB signal efficiency: 50%
- NC background residual: 1.1%

 expected event rate for JUNO:
 signal: 2.0 IBD/yr (large model uncertainty)
 background: 0.8 /yr
 i.e. roughly comparable

to SK-Gd expectation



DSNB signal and background before/after PSD

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First observation of DSNB within 10 years? JG

SK-Gd started data taking in 2020, JUNO will follow soon \rightarrow projected DSNB sensitivity?



- after 10 years, sensitivity of individual experiments at 3σ level
- combined sensitivity will reach 5σ level for a positive DSNB detection
- many caveats: DSNB (and BG) rate uncertainty, systematic effects
- but as well synergies: complementary measurements of atm. NC BG in water/scintillator will improve understanding of this background

Updated JUNO study with better PSD

Improved knowledge of pulse shapes





- state-of-the art modeling of NC final states and LS fluorescence parameters
- improved PSD techniques (radius-dep. Tail-to-Total, machine learning TMVA) promises excellent BG suppression
- atm.NC reactions with ¹¹C in final state are harder to discriminate by PSD but can be tagged based on delayed β^+ -decay



JUNO (2022

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Future detection strategies

Next generation of experiments can discover the DSNB signal → how do we get to spectroscopic measurements?



→ huge water-Cherenkov detectors, i.e. Hyper-Kamiokande



→ advanced scintillator detectors with hybrid signal readout, i.e. Theia

Prospects for Hyper-Kamiokande



- IOx larger volume: statistics will drastically increase!
- \rightarrow detection becomes possible without addition of gadolinium
- but: full potential is reached only if gadolinium is added (this is no longer the standard scenario)

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DSNB
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Hybrid Cherenkov-scintillation detectors



→ Cherenkov light is particularly useful for reconstruction of direction and (multiple) tracks

- → Cherenkov photons are produced in liquid scintillators (~5%)
- → the majority is scattered or absorbed before reaching PMTs

To make use of it:

- \rightarrow reduce scattering/absorption
- → separation of Cherenkov and scintillation photons

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Water-based Liquid Scintillators (WbLS)



WbLS composition

- organic LS mycels (solvent+fluor)
- surfactant
- water
- → properties depend on relative fractions:
- Reduced light yield
- Increased transparency
- Comparable timing



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→ how to resolve the Cherenkov/scintillation signals?

Timing

"instantaneous chertons"
vs. delayed "scintons"
→ ≤ 1 nanosec resolution



UV/blue scintillation vs. blue/green Cherenkov → wavelength-sensitivity



increased PMT hit density under Cherenkov angle → sufficient granularity







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Scintons

chertons

\rightarrow how to resolve the Cherenkov/scintillation signals?

Timing

"instantaneous chertons" vs. delayed "scintons" $\rightarrow \leq 1$ nanosec resolution

Spectrum

UV/blue scintillation vs. blue/green Cherenkov \rightarrow wavelength-sensitivity

Angular distribution

increased PMT hit density under Cherenkov angle → sufficient granularity

90°

scint



Scintons

chertons

e.g.

180° angle

 \rightarrow how to resolve the Cherenkov/scintillation signals?

Timing

"instantaneous chertons"
vs. delayed "scintons"
→ ≤ 1 nanosec resolution



LAPPDs: ~60ps timing

Large Area Picosecond Photon Detectors

- Area: 20-by-20 cm²
- Amplification of p.e. by two MCP layers
- Flat geometry: ultrafast timing ~65ps
- Strip readout: spatial resolution ~1cm
- Commercial production by Incom, Ltd.







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Scintons

thertons

Dichroic filters

Reflective Scintons Tubing hertons Phys. Rev. D 101, 072002 (2020) Spectrum UV/blue scintillation vs. **Dichroicons** (Josh Klein's group @ U Penn) blue/green Cherenkov Dichroic two PMTs in sequence \rightarrow wavelength-sensitivity shortpass filters separated by a Winston cone assembled from shortpass filters (<460nm) front PMT collects Chertons, scint back PMT scintons Dichroic €0.002 longpass and the second secon filter -0.002 **Red sensitive** photodetector -0.004 -0.006 Aulti-PE scintillation Standard 600 X (nm) -0.008ight at the back Reflector 300 400 500 Cherenkov -0.01 light at

-0.012

-0.014

-0.016

600 700

aperture

800 900

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Blue sensitive

photodetector

-R1408

-R2257

1000 1100 1200 1300

sample pulse

1400 1500 160 Sample (0.1 ns)

Chertons and Scintons with CHESS

[arXiv:2006.00173]

Setup at UC Berkeley (Gabriel Orebi Gann)



-0.6

fast timing

information

Results for timing distributions in different rings:

LAB + 2g/I PPO

WbLS (5% organic)



\rightarrow ring and timing pattern clearly visible!

 \rightarrow WbLS is found to be faster than pure LAB LS

WbLS	1%	5%	10%
τ_1 [ns]	2.25 ± 0.15	2.35 ± 0.11	2.70 ± 0.16
τ_2 [ns]	15.1 ± 7.5	23.2 ± 3.3	27.1 ± 4.2
R_1	0.96 ± 0.01	0.94 ± 0.01	0.94 ± 0.01

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A large WbLS detector? \rightarrow THEIA100





Detector Specifications

- Detector mass: ca. 100 kt
- Dimensions: 50-by-50 m? (WbLS transparency)
- Photosensors: mix of conventional PMTs (light collection) and LAPPDs (timing)
- Location: deep lab with neutrino beam (Homestake, Pyhäsalmi, Swedish sites?)



WbLS: Impact on MeV neutrino detection JG

[arXiv:2007.14999]

Water Cherenkov

- High transparency
 → enhanced light collection
- Directionality from cone reco
- Particle ID from ring counting
- Enhanced metal loading

Combined: Particle ID based on **Cherenkov/scintillation (C/S) ratio** (p, α below **Č** threshold)

Organic scintillator mycels

- Low (sub-Cherenkov) threshold
- Increased light yield
- Enhanced vertex reconstruction
- Particle ID by pulse shape
- Enhanced cleanliness



DSNB/background discrimination in WbLS JG

Atmospheric NC events remain as the most important background.

Several handles for BG discrimination:

- ring counting
- Cherenkov/Scintillation (C/S) ratio
- Tagging of delayed decays

Result of MC study using realistic BG model and basic event reco			
\rightarrow signal efficiency:	~ 80%		
→ residual background:	~ 1%		



Collecting event statistics for spectroscopy JG

How long do we have to wait to collect $10 \rightarrow 100 \rightarrow 1000$ events?



DSNB

Conclusions





Diffuse Supernova Neutrino Background depends on from

- red-shift dependent star-formation/Supernova rate
- average spectrum of Supernova neutrinos (fraction of failed SNe, EoS of neutron stars etc.)
- → complementary information to next galactic Supernova!

Upcoming generation of DSNB experiments

- SK-Gd and JUNO can both hope to collect 2 IBDs/yr with relatively low background levels (S:B > 2:1)
- → DSNB discovery expected after about 10 years





Future DSNB experiments

- HK and especially HK-Gd would mean a huge increase in sensitity and event statistics
- new hybrid detector concepts like Theia will further improve detection/BG suppression capabilities
- → spectroscopy of DSNB is within reach

Backup Slides

Table of Event Rates (all techniques)

Wei, Wang, Chen, arXiv:1607.01671

Table 2: Summary of the numbers of backgrounds and SRN events at neutrino energies of 10.8-30.8 MeV with an exposure of 20 kton-year of water, Gd-doped water, a typical liquid scintillator, and a slow liquid scintillator (LAB) at Jinping.

20 kton-year	Water ^a	Gd-w ^a	LS	Slow LS
Atmos. $\bar{\nu}_e$	0.040	0.21	0.28	0.26
Atmos. $\bar{\nu}_{\mu}/\nu_{\mu}$ CC	0.33	1.8	3.6	0.025
Atmos. NC	0.095	0.49	62	0.35
Total backgrounds	0.47	2.5	66	0.64
Signal ^b	0.54	2.8	4.2	4.1
Signal efficiency	13%	70%	92%	90%
S/B	1.1	1.1	0.064	6.4

^a with neutron tagging.

^b HBD model; water and Gd-w results corrected by a factor of ~0.9 due to differences in the fractions of free protons in water and LAB.

Different flavors of atm. NC background

There is a long list of final states with single neutrons ...

Reaction channel	Branching ratio
(1) $\nu_{\rm x} + {}^{12}{\rm C} \rightarrow \nu_{\rm x} + {\rm n} + {}^{11}{\rm C}$	38.8%
(2) $\nu_{\rm x} + {}^{12}{\rm C} \rightarrow \nu_{\rm x} + {\rm p} + {\rm n} + {}^{10}{\rm B}$	20.4%
(3) $\nu_{\rm x} + {}^{12}{\rm C} \rightarrow \nu_{\rm x} + 2{\rm p} + {\rm n} + {}^{9}{\rm Be}$	15.9%
(4) $\nu_{\mathbf{x}} + {}^{12}\mathrm{C} \rightarrow \nu_{\mathbf{x}} + \mathrm{p} + \mathrm{d} + \mathrm{n} + {}^{8}\mathrm{Be}$	7.1%
(5) $\nu_{\rm x} + {}^{12}{\rm C} \rightarrow \nu_{\rm x} + \alpha + p + n + {}^{6}{\rm Li}$	6.6%
(6) $\nu_{\rm x} + {}^{12}{\rm C} \rightarrow \nu_{\rm x} + 2{\rm p} + {\rm d} + {\rm n} + {}^{7}{\rm Li}$	1.3%
(7) $\nu_{\rm x} + {}^{12}{\rm C} \rightarrow \nu_{\rm x} + 3{\rm p} + 2{\rm n} + {}^{7}{\rm Li}$	1.2%
(8) $\nu_{\rm x} + {}^{12}{\rm C} \rightarrow \nu_{\rm x} + {\rm d} + {\rm n} + {}^{9}{\rm B}$	1.2%
(9) $\nu_{\rm x} + {}^{12}{\rm C} \rightarrow \nu_{\rm x} + 2{\rm p} + {\rm t} + {\rm n} + {}^{6}{\rm Li}$	1.1%
(10) $\nu_{\rm x} + {}^{12}{\rm C} \rightarrow \nu_{\rm x} + \alpha + n + {}^{7}{\rm Be}$	1.1%
(11) $\nu_{\rm x} + {}^{12}{\rm C} \rightarrow \nu_{\rm x} + 3{\rm p} + {\rm n} + {}^{8}{\rm Li}$	1.1%
other reaction channels	4.2%

Total rate found in KamLAND: **3.6±1.0 kt⁻¹yr⁻¹**

 \rightarrow none of the final state particles will produce Cherenkov light! (except γ 's)

DSNB study performed for Jinping

Wei, Wang, Chen, arXiv:1607.01671



\rightarrow discrimination of e⁺ and NC-prompt seems effortless above 10 MeV

DSNB in LSCDs

DSNB event spectrum in sLS

Wei, Wang, Chen, arXiv:1607.01671

Expected energy spectrum: $\langle E_{\nu} \rangle = 18 \text{MeV}$



Event rates in observation window $E_{\nu} \in [10.8; 30.8]$ MeV

20 kt∙yr	# [11-31 MeV]
atm. $\bar{\nu}_e$	0.26
atm. ν_{μ}	0.025
atm. NC	0.35
total BG	0.64
signal	4.1
efficiency	90%
S/B	6.4

\rightarrow comes close to **background-free** observation (excl. terrestrial $\bar{\nu}_e$ sources)

LSCDs vs. other techniques

Wei, Wang, Chen, arXiv:1607.01671 JUNO Yellow Book, arXiv:1507.0561



Prospects for WbLS in THEIA



Reference design

- Fiducial mass: 50-100 kt
- WbLS or oil-diluted LS
- up to 80% photo-coverage (90% PMTS / 10% LAPPDs)
- Isotope loading (Gd, Li, Te, Xe)



Reduced design

- fits into a free DUNE cavern
- Fiducial mass: ~20 kt
- 40% photo-coverage w/ possible LAPPD upgrade

Staged Approach

- Phase 1 Long-baseline neutrinos (LBNF) with "thin" WbLS (1%)
- Phase 2 Low-energy neutrino observation with "oily" LS
- Phase 3 multi-ton scale $0\nu\beta\beta$ search with loaded LS in suspended vessel —

THEIA proto-collaboration: ~30 Pl's from 5 countries (US,DE,UK,CA,FI)



Physics Goals \rightarrow arXiv:1409.5864

- LBL: CP violation
- Proton decay ($K^+\nu/\pi^0e^+$)
- Supernova neutrinos pointing (Δθ~1°)
- Diffuse SN neutrinos atm. NC BG reduction
- Solar neutrinos CNO, Li loading \rightarrow CC
- Geoneutrinos
- 0νββ on <10meV scale

Light propagation in organic scintillators



How to improve the (relative) Cherenkov photoelectron yield?

\rightarrow reduce fluor concentration

- impacts scintillation yield
- slows down scintillation (good! → see next slide)

→ reduce Rayleigh scattering

new transparent solvent,
 e.g. LAB (~20m)

and/or

dilution of solvent:
 Water-based scintillators
 Oil-diluted LS (LSND ...)

JG U

Signature for background tagging:

 \rightarrow three-fold coincidence of prompt, neutron and delayed decay signal

Reaction channel $\nu_x + {}^{16}\mathrm{O} \longrightarrow \nu_x +$				$ ightarrow u_x +$	ratio in $\%$			
(1)	n			+	$^{15}\mathrm{O}$	45.9	taggable	$\rightarrow \beta^+$: Q = 2.8 MeV
(2)	n	+	р	+	^{14}N	19.7	stable	τ = 2.2min
(3)	n	+	2p	+	$^{13}\mathrm{C}$	14.7	stable	
(4)	n	+	р	+ d +	$^{12}\mathrm{C}$	9.1	stable	
(5)	n	+	р	$+ d + \alpha +$	⁸ Be	2.0	too fast	
(6)	n	+	3p	+	$^{12}\mathrm{B}$	1.8	taggable	$\rightarrow \beta^{-}$: Q = 13.4 MeV
(7)	n			$+lpha+{}^{3}\mathrm{He}$ $+$	⁸ Be	1.6	too fast	τ = 20 msec
(8)	n	+	р	+lpha+	$^{10}\mathrm{B}$	1.4	stable	
(9)	n	+	2p	+lpha+	$^{9}\mathrm{Be}$	1.2	stable	

→ tagging of delayed decays provides 48% AtmBG rejection efficiency

Fast light detectors: LAPPDs

For fast scintillators (e.g. WbLS), sub-ns time resolution will be crucial

Large-Area Picosecond Photo-Detectors:

- flat, large area (20cm x 20cm) detectors
- standard photocathode, MCP-based amplification
- time resolution: ~60 ps
- spatial resolution: <1cm</p>
- Manufactured by US company, Incom Inc.



Schematic of LAPPD



WbLS development path \rightarrow ANNIE

ANNIE: Accelerator Neutrino Neutron Interaction Experiment

- Fermilab-based R&D facility for Water-Cherenkov(+Gd)/scintillator detection
- Physics motiviation: measurement of nuclear final states from neutrino interactions (NuMi-beam) in water: production and multiplicity of final-state neutrons



- **Phase I** an engineering run of the detector and measurement of beam correlated neutron backgrounds, was completed in summer of 2017
- **Phase II** the full physics and R&D run, starts construction this summer with the data taking to planned start in Fall 2018
- **Phase III** (planned) R&D run with WbLS fill or separated target vessel (ton-scale)

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New Detection Techniques

AtmNC events with high C/S ratio

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Two event populations contributing:

(1) Oxygen de-excitation gammas

- atmospheric neutrino removes 1s_{1/2} neutron
- high-energy de-excitation γ's



(2) High-energy neutrons

- depositing 15-50 MeV in WbLS
- creating secondary particles: e,γ

e.g. two or more

- ¹⁶O(n,n)¹⁶O* → 6.13 MeV
- ¹⁶O(n,2n)¹⁵O* → 6.18 MeV
- ¹⁶O(n, np)¹⁵N* \rightarrow 6.32 MeV

→ these events form a potential background for water+Gd detection, too