

Searches for Charged Lepton Flavor Violation at ATLAS and CMS

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on behalf of the ATLAS and CMS collaborations

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Outline

- Intro
- Z LFV decays
- Higgs LFV decays
- Near Higgs $e\mu$ search
- Tau CLFV decay $\tau \rightarrow \mu\mu\mu$
- LFV in Top quark processes
- High-mass LFV searches



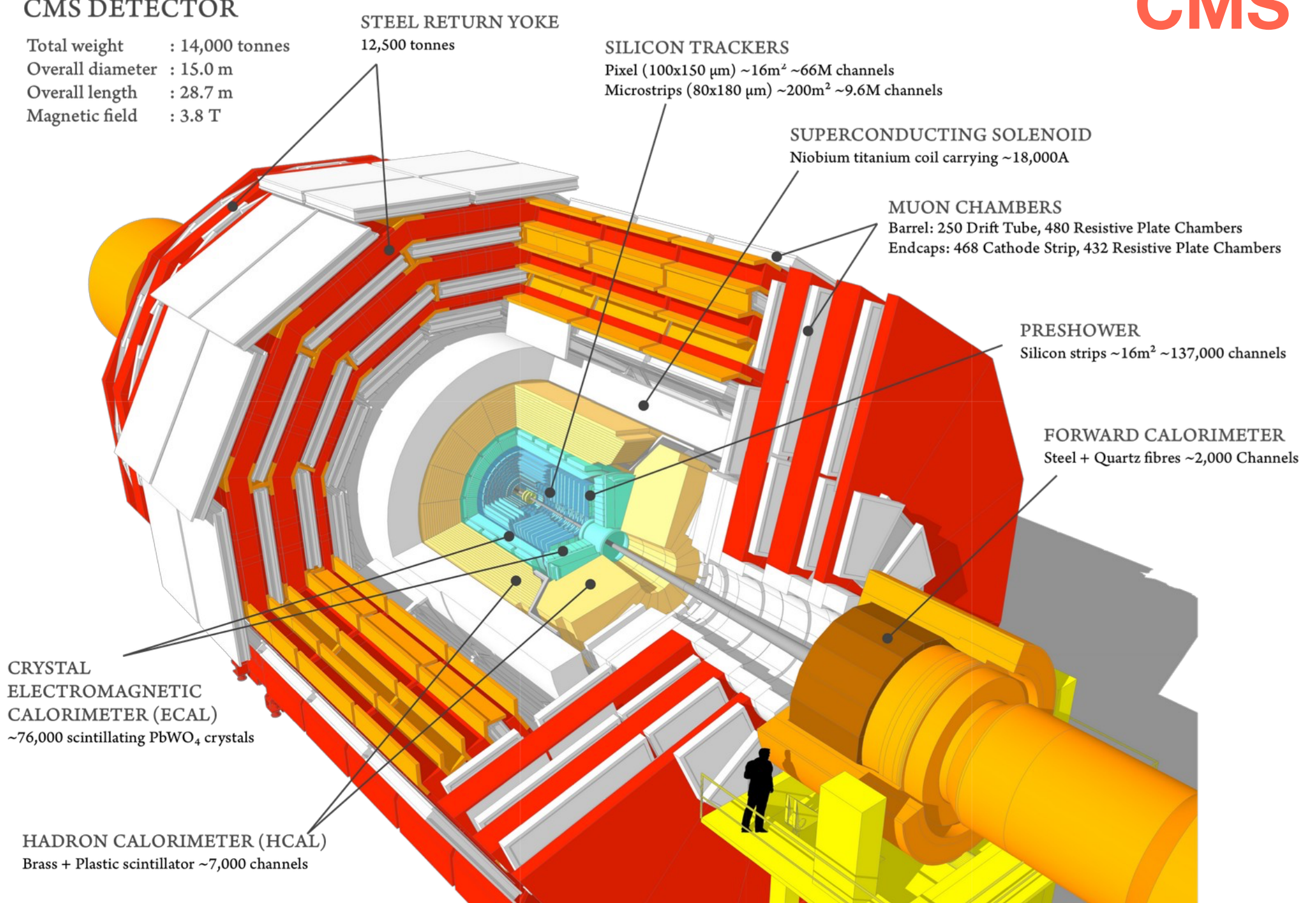
ATLAS and CMS experiments

- 2 magnet systems (central 2T solenoid and large toroids in muon spectrometer)
- electron scale uncertainty $\sim 0.7\%$ in central region
- muon momentum scale uncertainty 0.05%
- τ energy scale uncertainty 2% (3%) for 1- (3-) prong τ -lepton

CMS

CMS DETECTOR

Total weight : 14,000 tonnes
 Overall diameter : 15.0 m
 Overall length : 28.7 m
 Magnetic field : 3.8 T

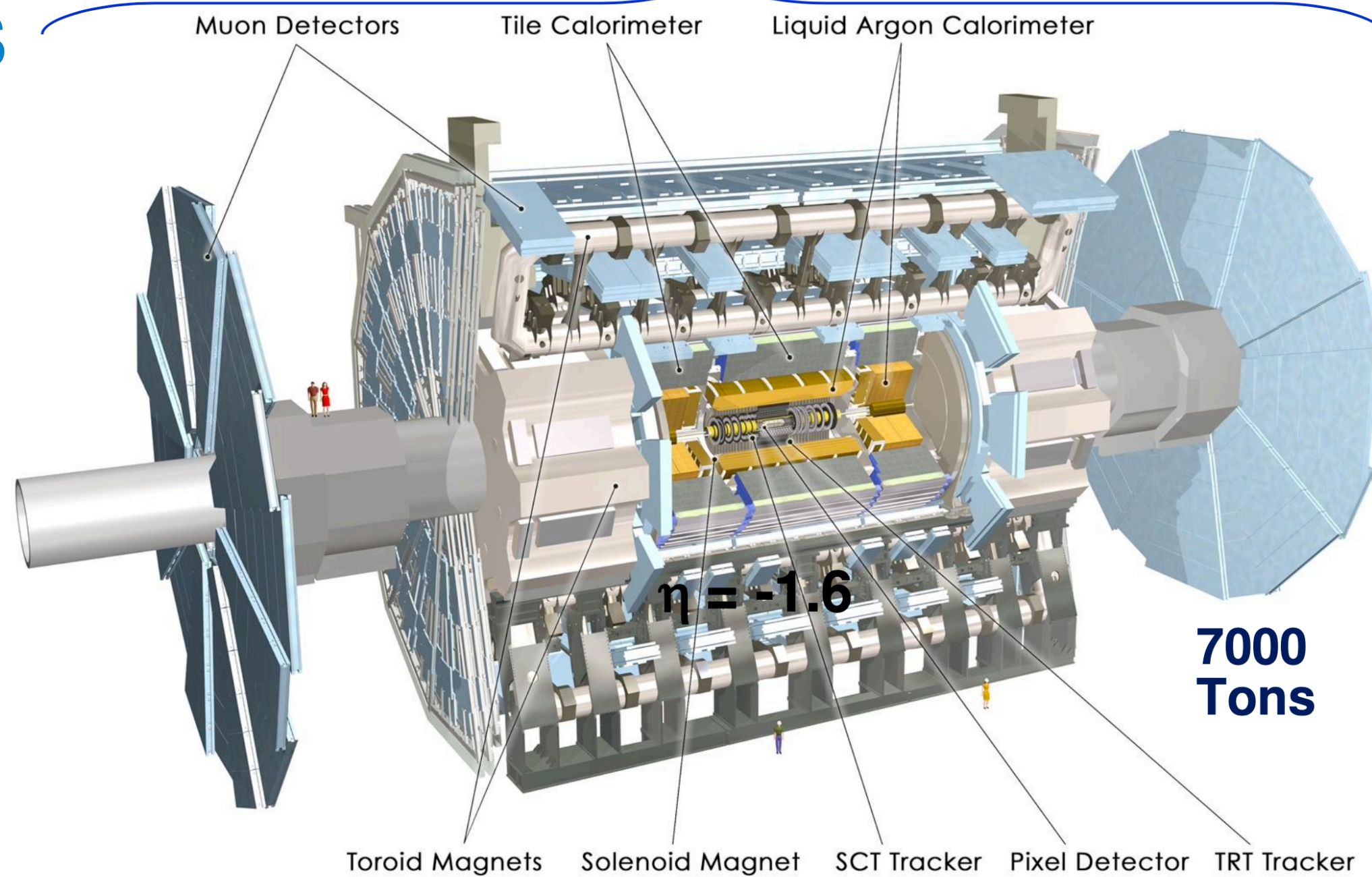


- one large 4T solenoid
- electron scale uncertainty $\sim 0.3\%$ (central region)
- muon momentum scale uncertainty 0.2%
- τ energy scale uncertainty $< 1.2\%$

ATLAS

24 m

45 m





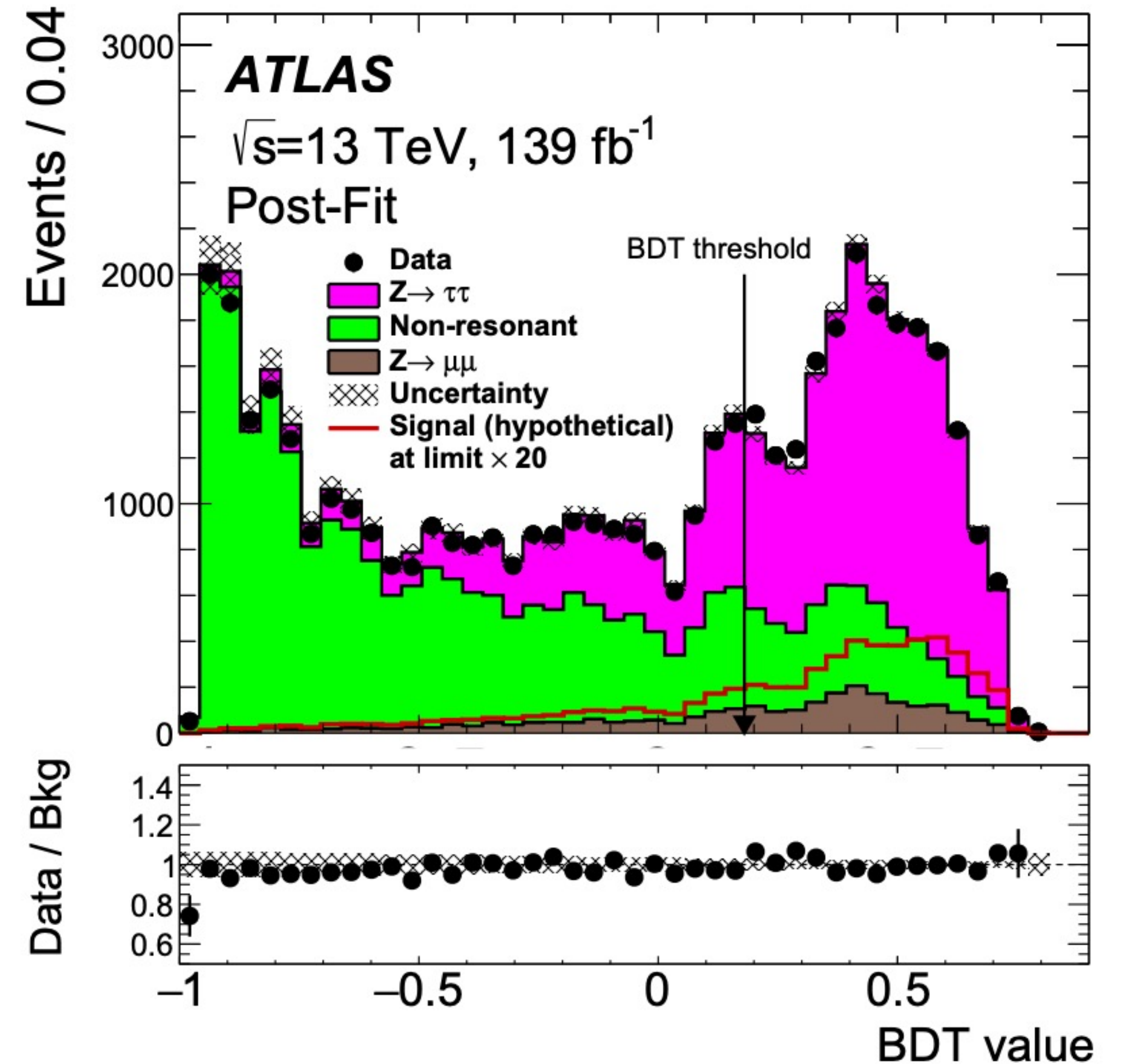
Intro

- It has been about 11 years since the discovery of the Higgs boson at the LHC
- ATLAS and CMS have discovered ($>5\sigma$) all main production processes and decay channels
 - Searches for decays to 2nd generation fermions and other rare decays already show impressive results
- It's not all about the Higgs LFV searches! ATLAS and CMS have an extensive program of searches for BSM physics probing LFV using:
 - Rare Z decays
 - Top quark processes
 - High-mass searches



Z LFV search

- Final state: $Z \rightarrow e^{\pm} \mu^{\mp}$
- Main background: $Z \rightarrow \tau\tau \rightarrow e\mu\nu\bar{\nu}\nu\bar{\nu}$
- Sub-leading bkg: $Z \rightarrow \mu\mu$ with one μ faking an e
- Both bkg are modeled using MC simulations
- Background suppression using a Boosted-decision-tree (BDT), which takes as input kinematic and lepton-ID variables

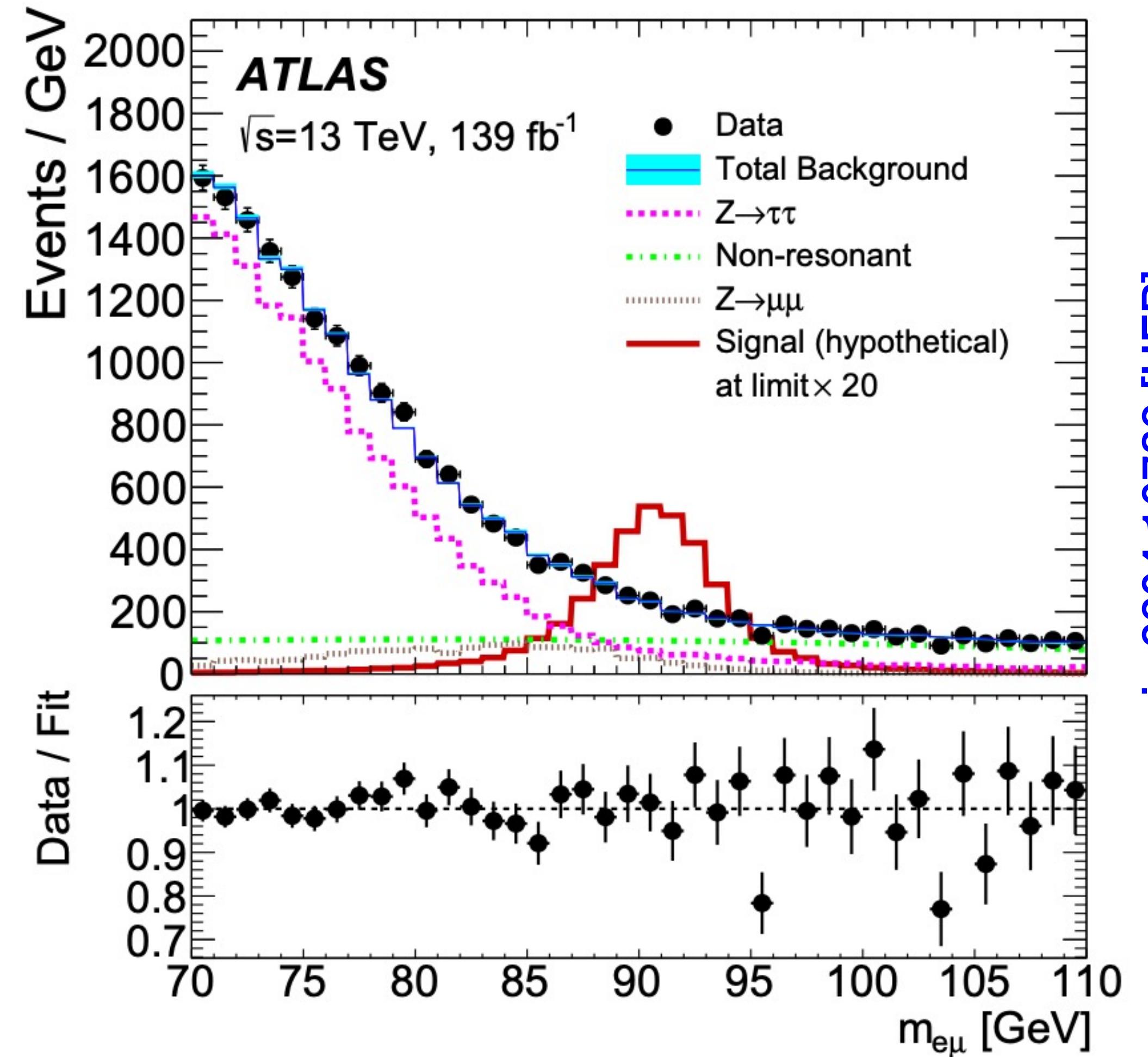


[arxiv:2204.10783 \[HEP\]](https://arxiv.org/abs/2204.10783)



Z LFV search

- Parametrized fit to the $e\mu$ inv mass to extract the signal yield
- The signal component should peak at $m_{e\mu} = 91$ GeV
- The observed (expected) upper limit on the $B(Z \rightarrow e\mu)$ is 2.62 (2.37) $\times 10^{-7}$ at 95% CL



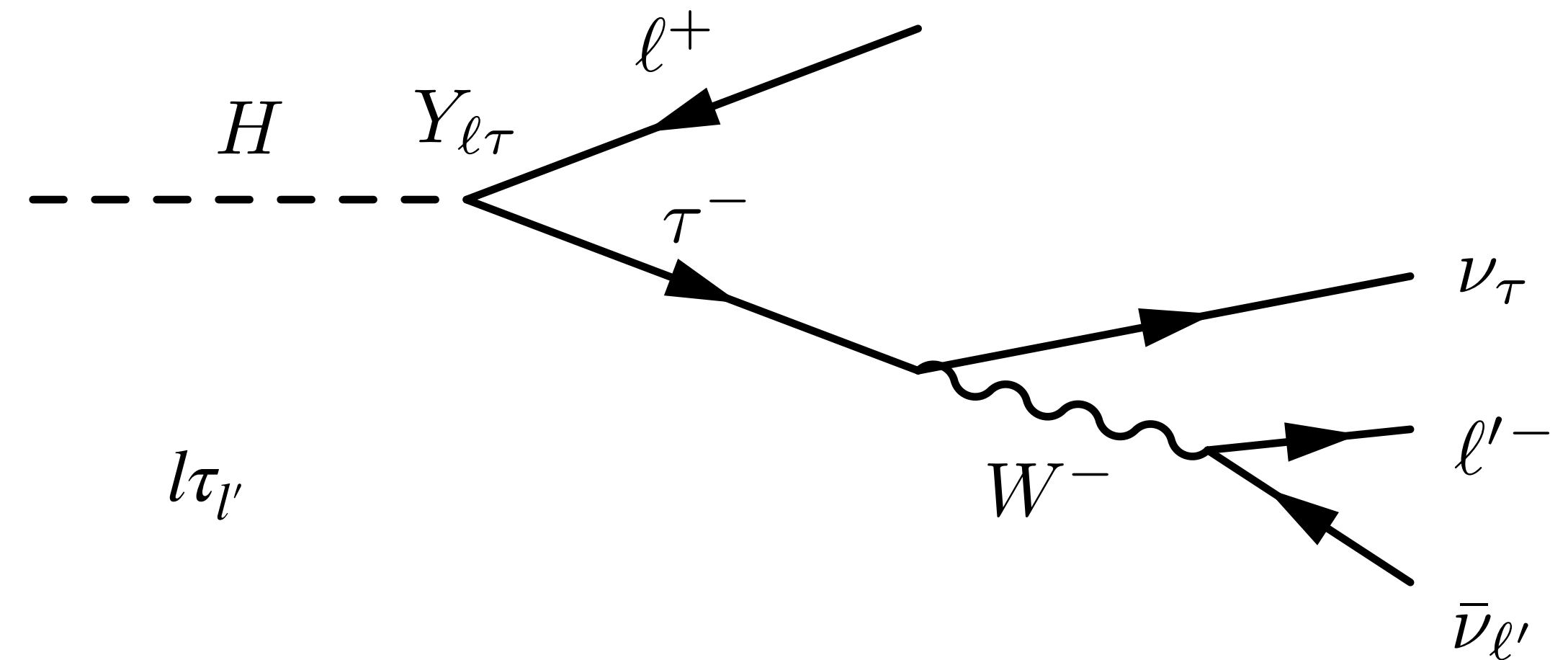
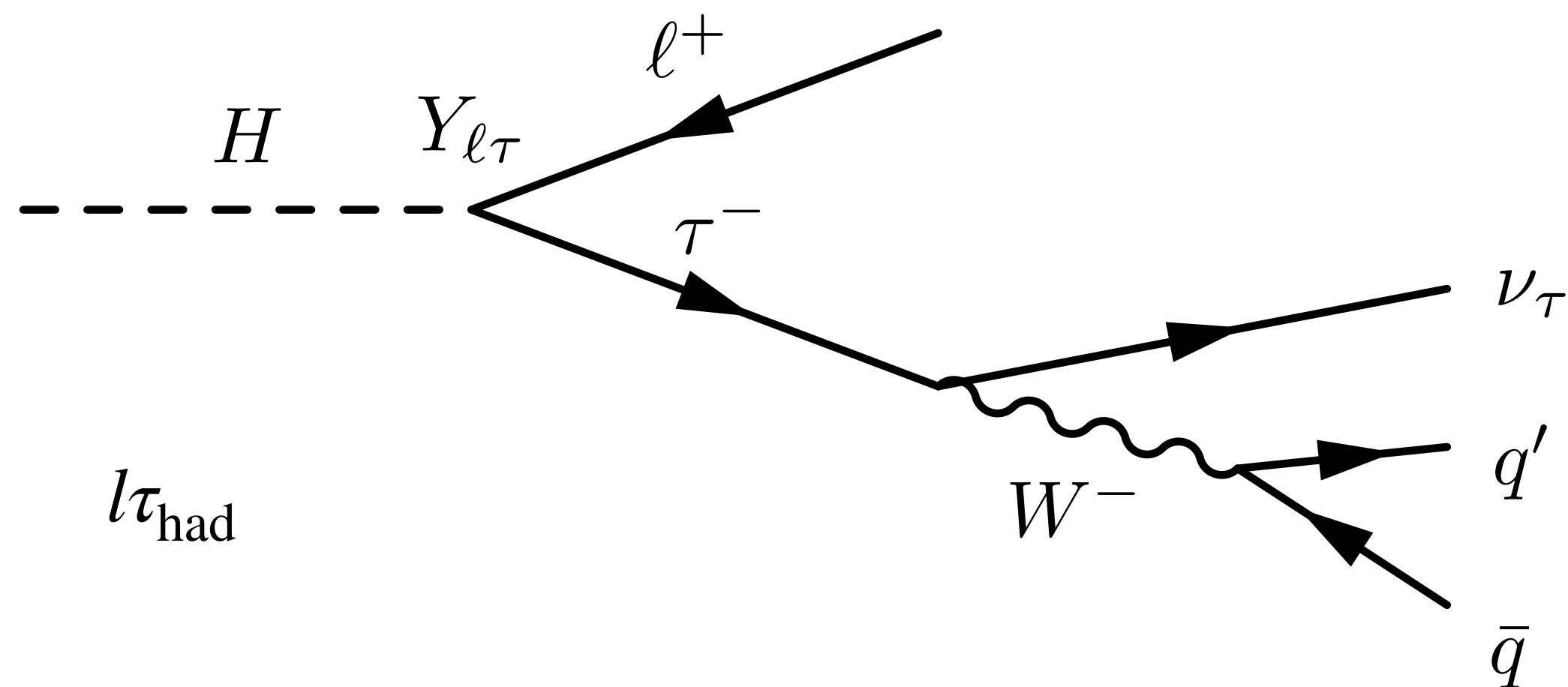
arxiv:2204.10783 [HEP]

Background	Best-fit contribution in mass window	
	[70, 110] GeV	[85, 95] GeV
$Z \rightarrow \tau\tau$	13716 ± 185	951 ± 13
$Z \rightarrow \mu\mu$	1557 ± 209	533 ± 72
Non-resonant	4105 ± 259	1075 ± 68



Search for $H \rightarrow l\tau$ ($l=e$ or μ)

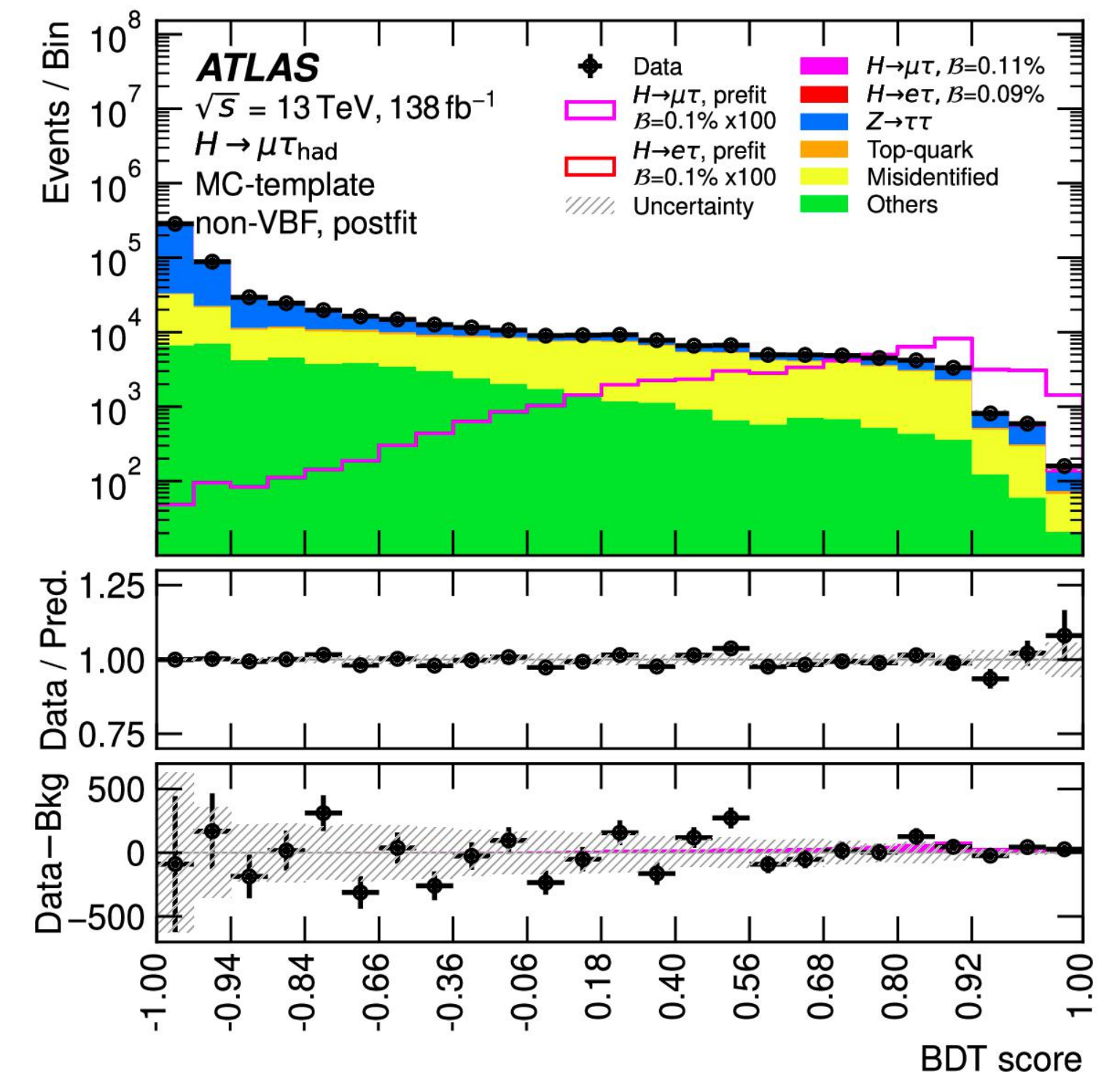
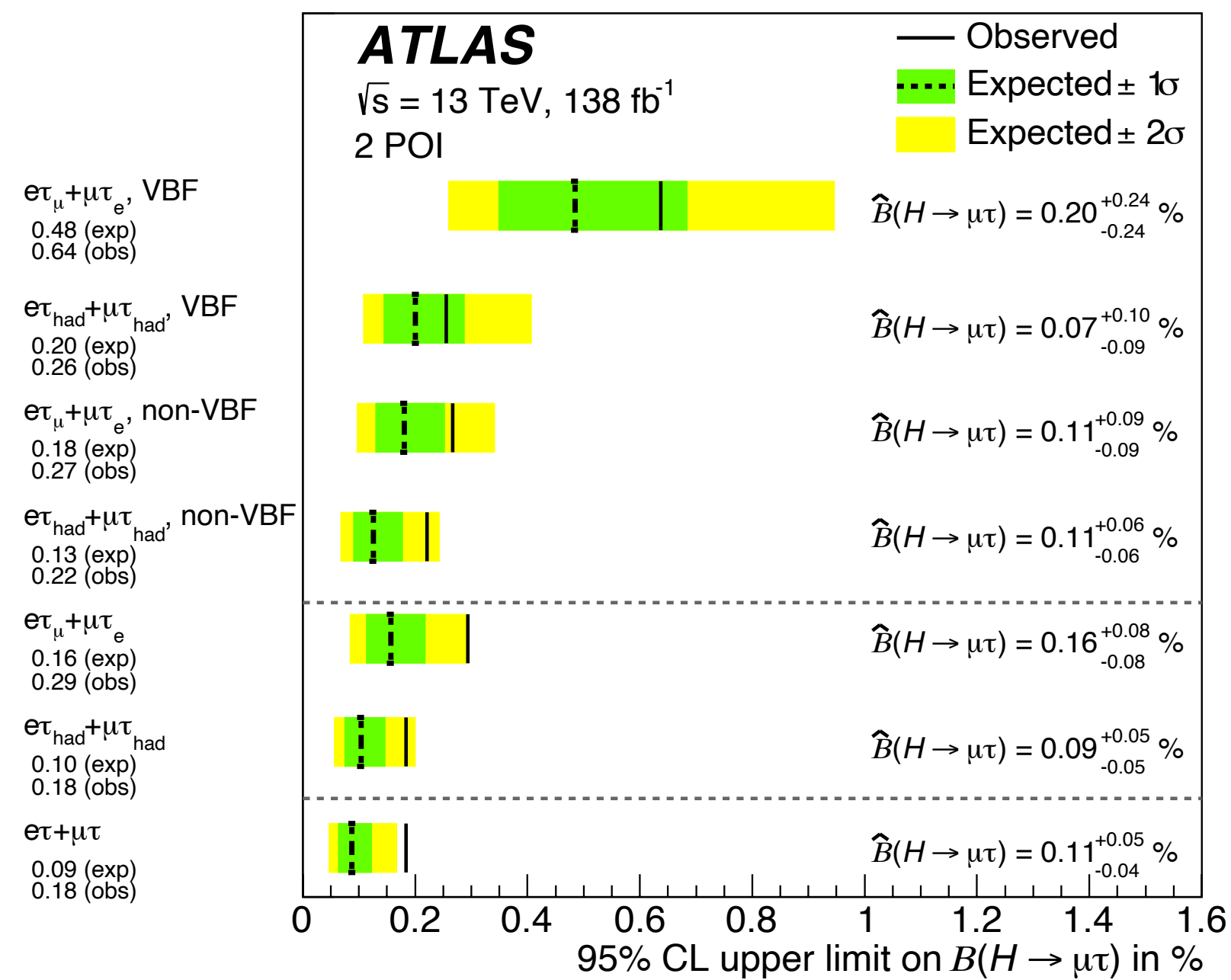
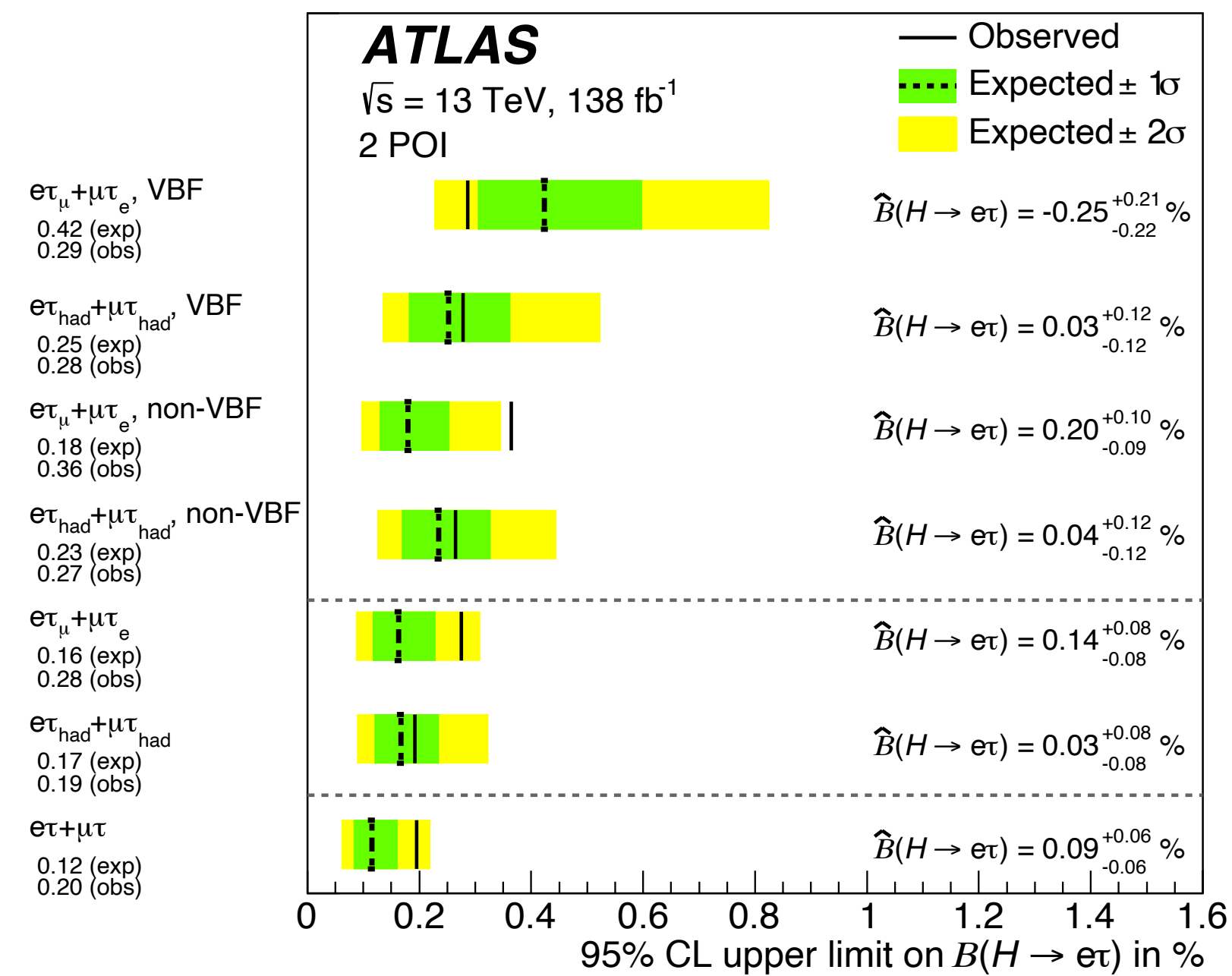
- $H \rightarrow e\tau$ and $H \rightarrow \mu\tau$ are independent signals (two searches); two background estimation methods targeting leptonic tau decays ($l\tau'_i$) and one for hadronic tau decays ($l\tau_{\text{had}}$)
- Background estimation methods:
 - **MC-template**: fake taus from data-driven and the other backgrounds from MC templates for $l\tau_{\text{had}}$ and $l\tau'_i$:
 - **Symmetry-based**: fake taus from data-driven and the other backgrounds mainly via data-driven symmetry method for $l\tau'_i$
- The $l\tau'_i$ requires light leptons with different flavor to suppress background from $Z \rightarrow ll$
- Events categorization based on VBF and non-VBF Higgs production





Search for $H \rightarrow l\tau$ ($l=e$ or μ) in ATLAS

- The main backgrounds are: $Z \rightarrow \tau\tau$, Top processes, W +jets and QCD
- Misidentified tau well modeled using a data-driven method
- MVA to discriminate signal from background



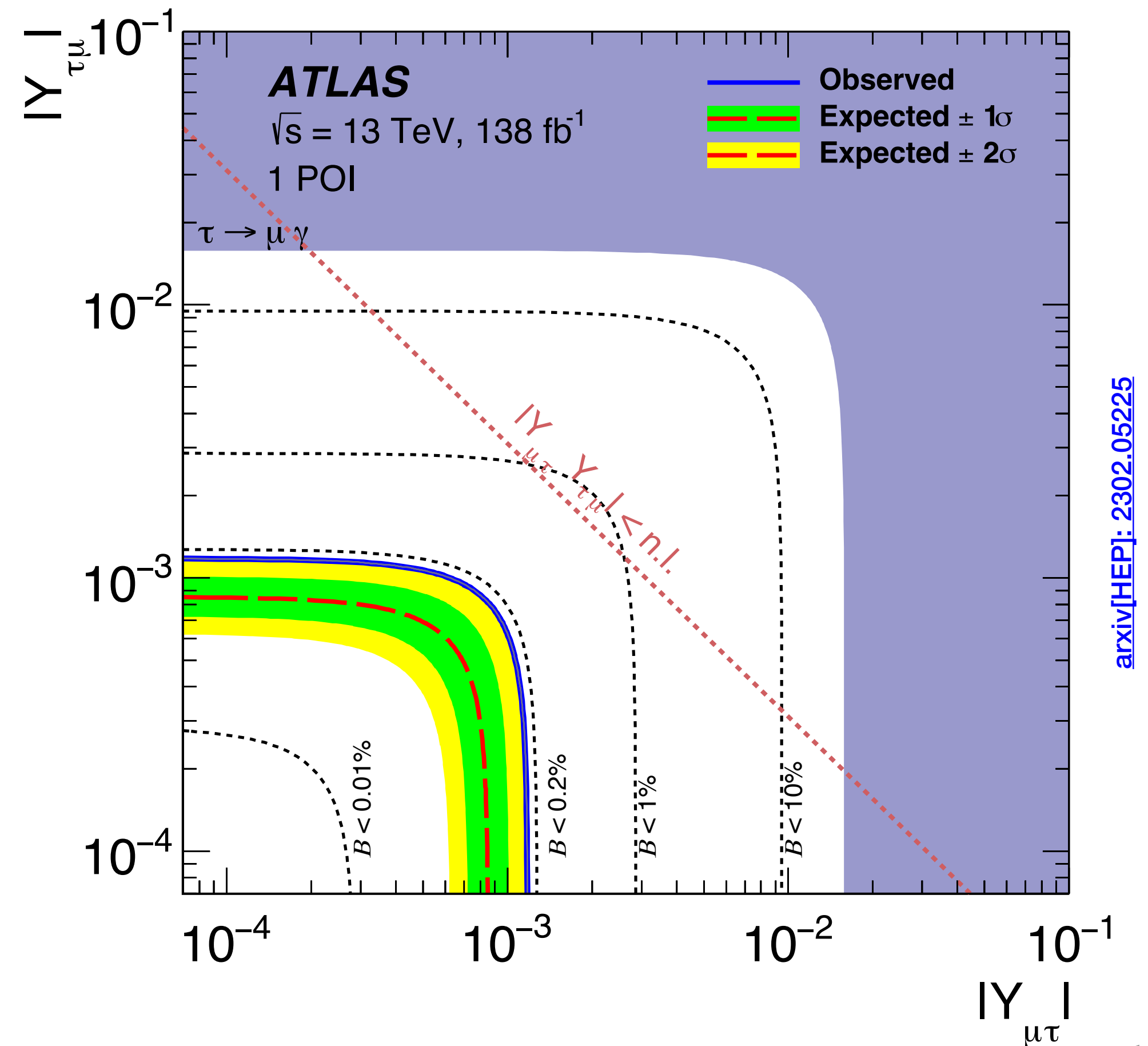
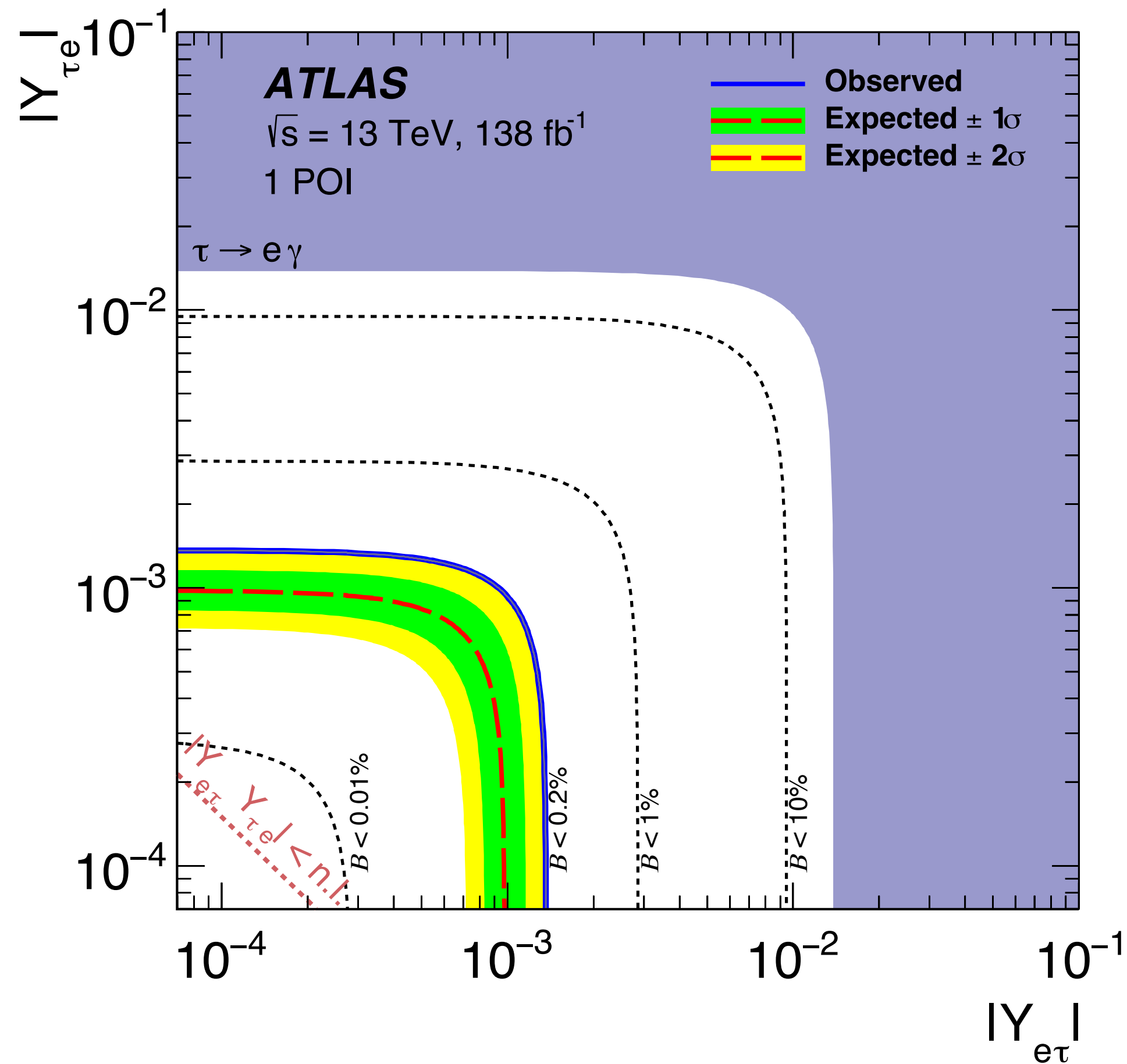
arXiv[HEP]: 2302.05225



Search for $H \rightarrow l\tau$ ($l=e$ or μ) in ATLAS

- The $B(H \rightarrow l\tau)$ is related to the non-diagonal Yukawa coupling matrix elements

$$|Y_{\ell\tau}|^2 + |Y_{\tau\ell}|^2 = \frac{8\pi}{m_H} \frac{\mathcal{B}(H \rightarrow l\tau)}{1 - \mathcal{B}(H \rightarrow l\tau)} \Gamma_H(\text{SM})$$

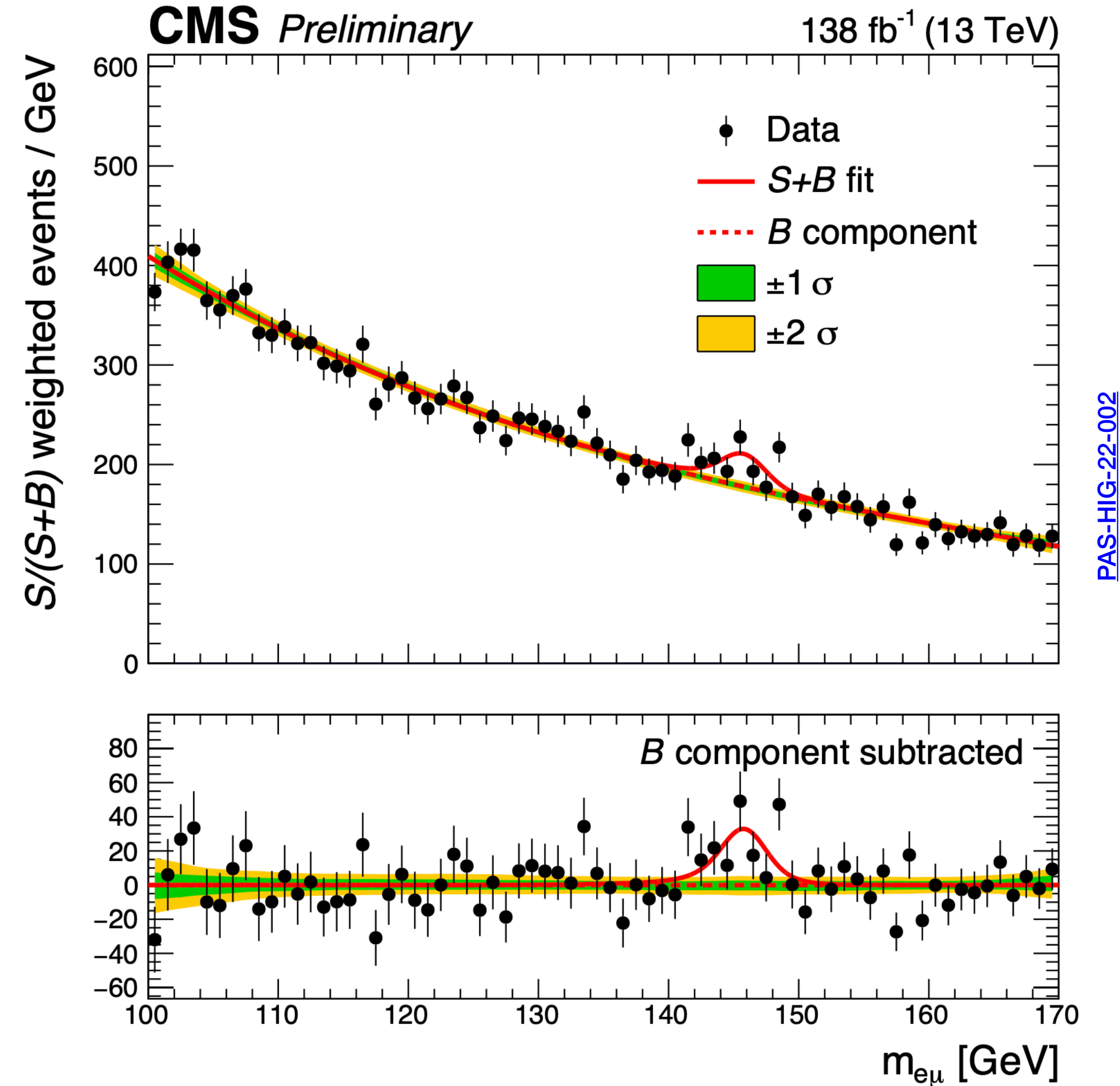


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Near Higgs $e\mu$ search in CMS

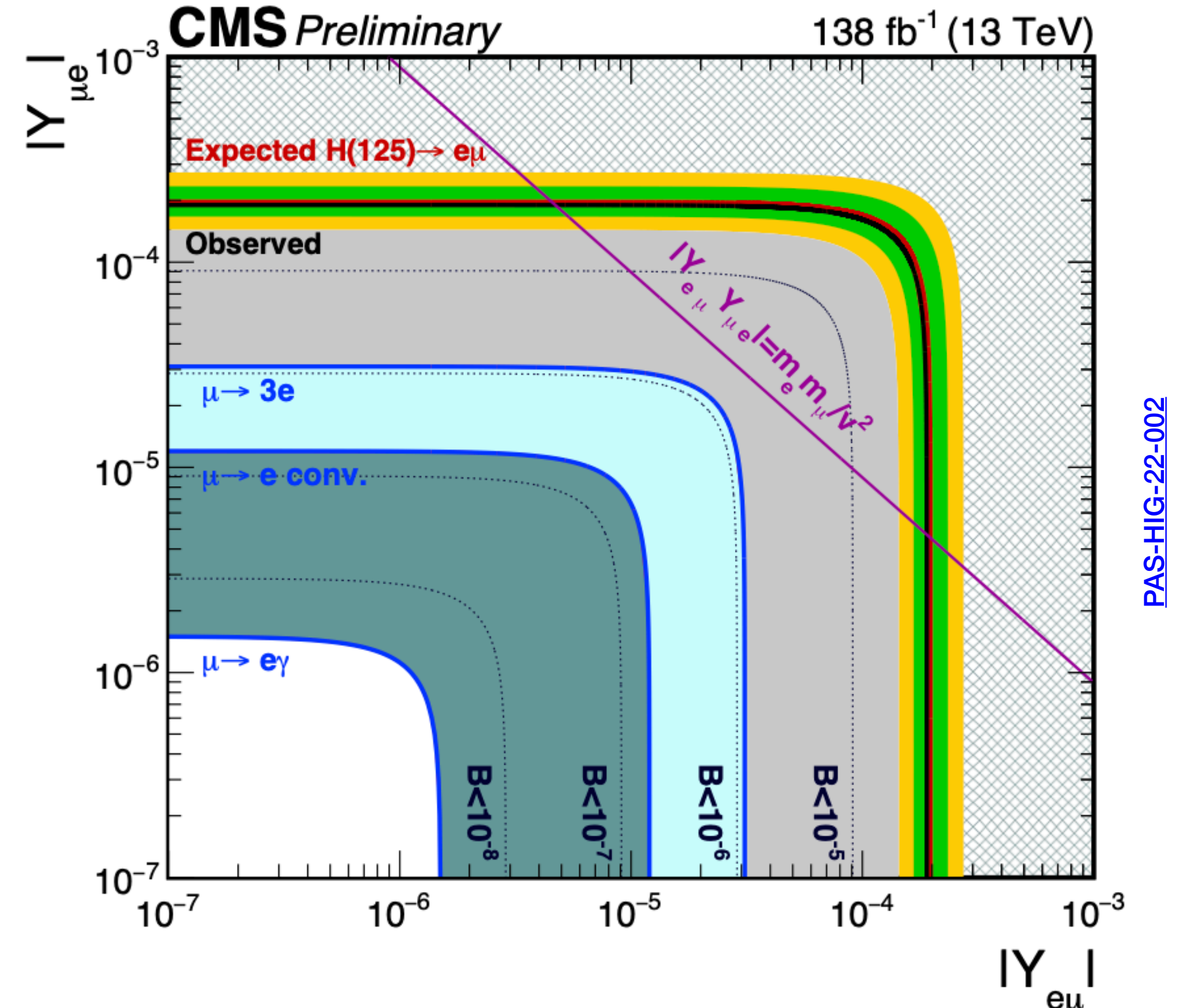
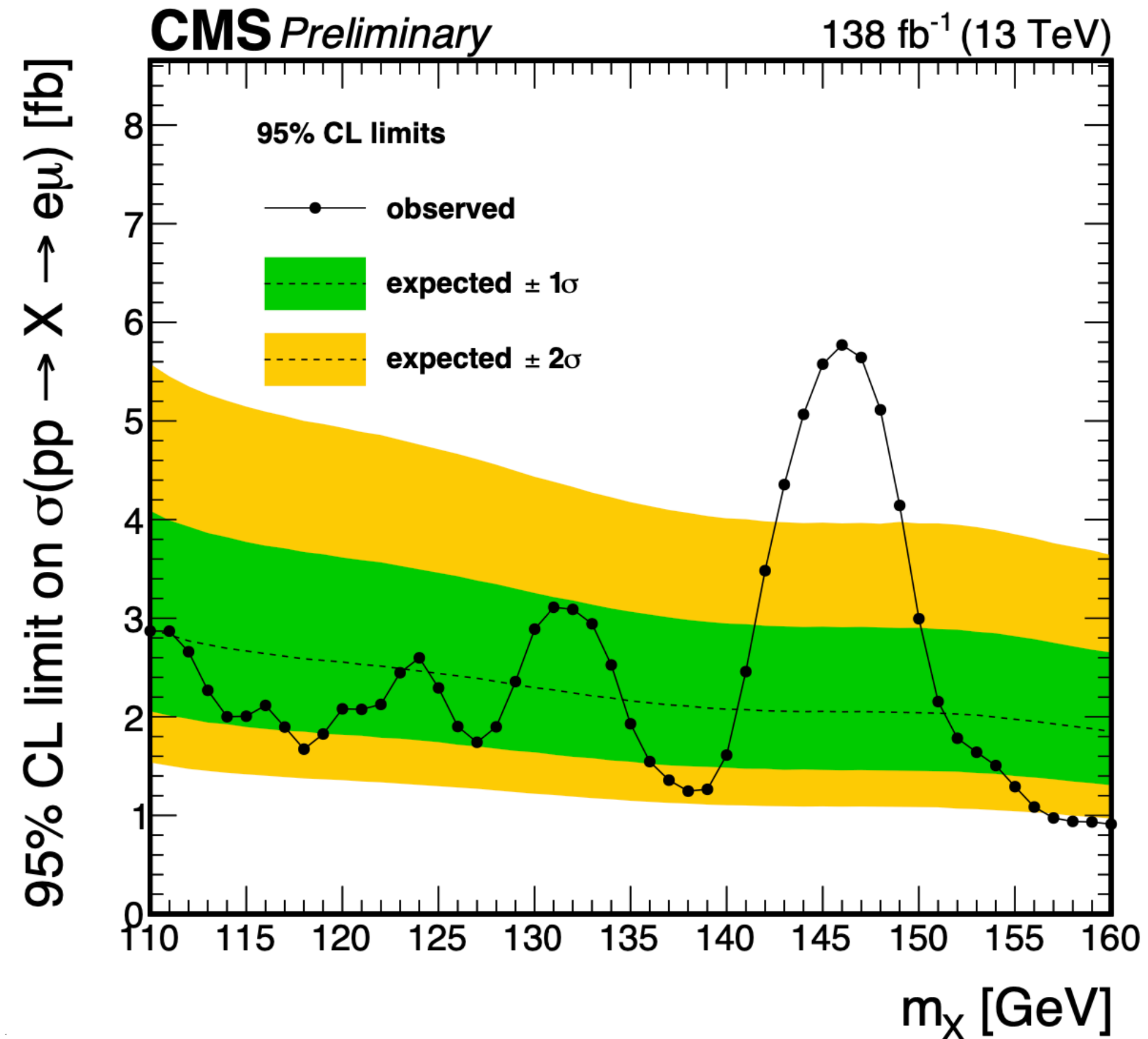
- CMS searched also for $X \rightarrow e^\pm \mu^\mp$ near the Higgs mass
- Two categories to split VBF from non-VBF production modes
- Background modeled using a Bernstein polynomial function





Near Higgs $e\mu$ search in CMS

- Possible sign of structure ~ 146 GeV
- 2.8 (3.8) σ global (local) significance





Near Higgs $e\mu$ search in ATLAS

- ATLAS search for $H(125) \rightarrow e\mu$ not directly comparable with CMS analysis, but back-of-the-envelope calculation from sideband data disfavors a narrow-width excess, as observed by CMS

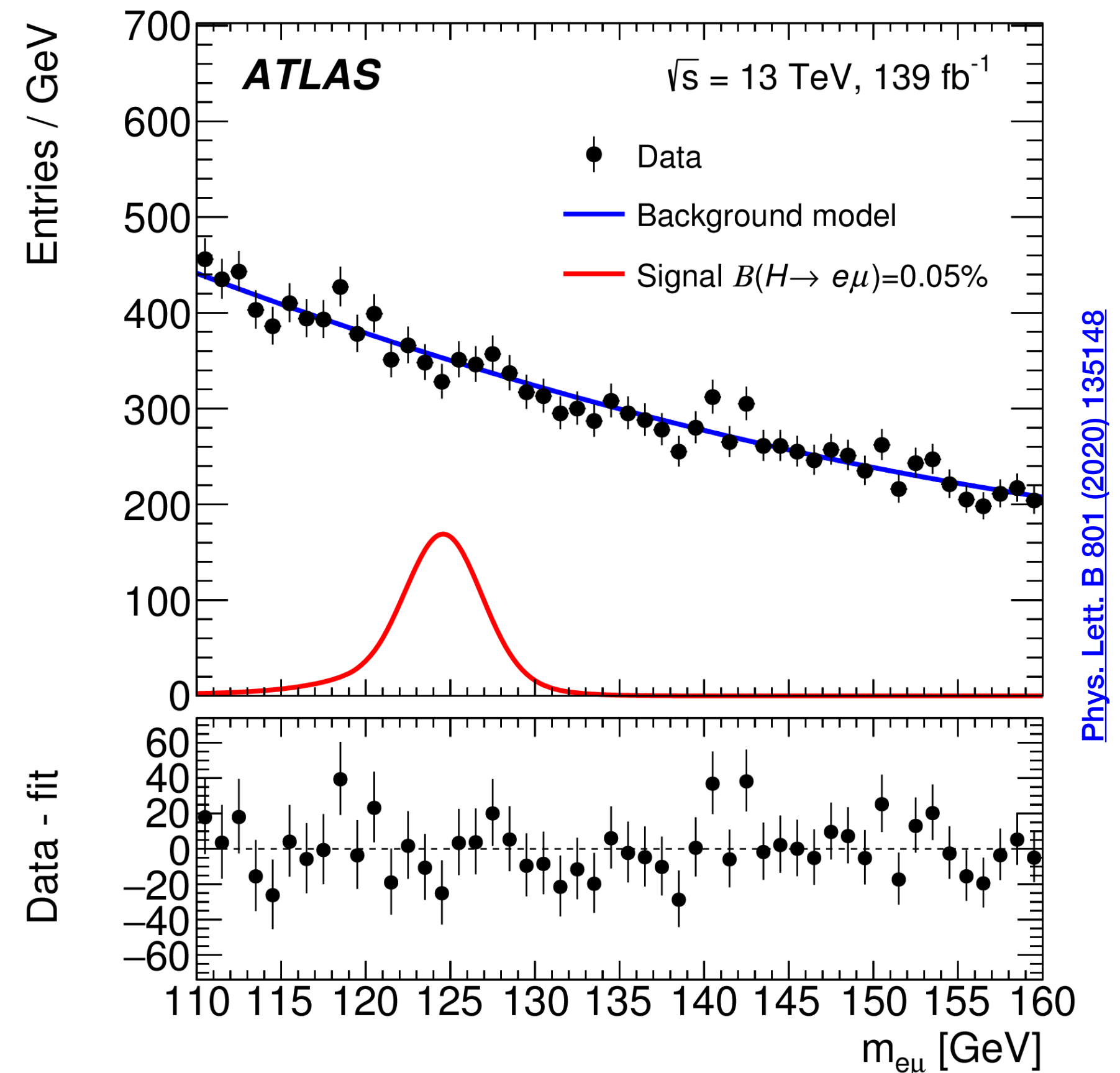
Limit for 125 GeV Higgs:
 $BR(H \rightarrow e\mu) < 6.2 \times 10^{-5}$ (observed)
 $< 5.9 \times 10^{-5}$ (expected)

Fold in total Higgs cross-section of 55.6 fb at 13 TeV:
 $\sigma \times BR < 3.4$ fb (observed)
 < 3.3 fb (expected)

Rough scaling of backgrounds (slightly lower at 146 GeV than 125 GeV):
 $\sigma \times BR < \sim 3$ fb (expected and observed, since no excess seen)

Not entirely conclusive (ballpark estimates + no directly comparable analysis), but CMS excess is disfavoured by ATLAS.

*Back-of-the-envelope calculation
courtesy of N. Berger and T. Masubuchi*

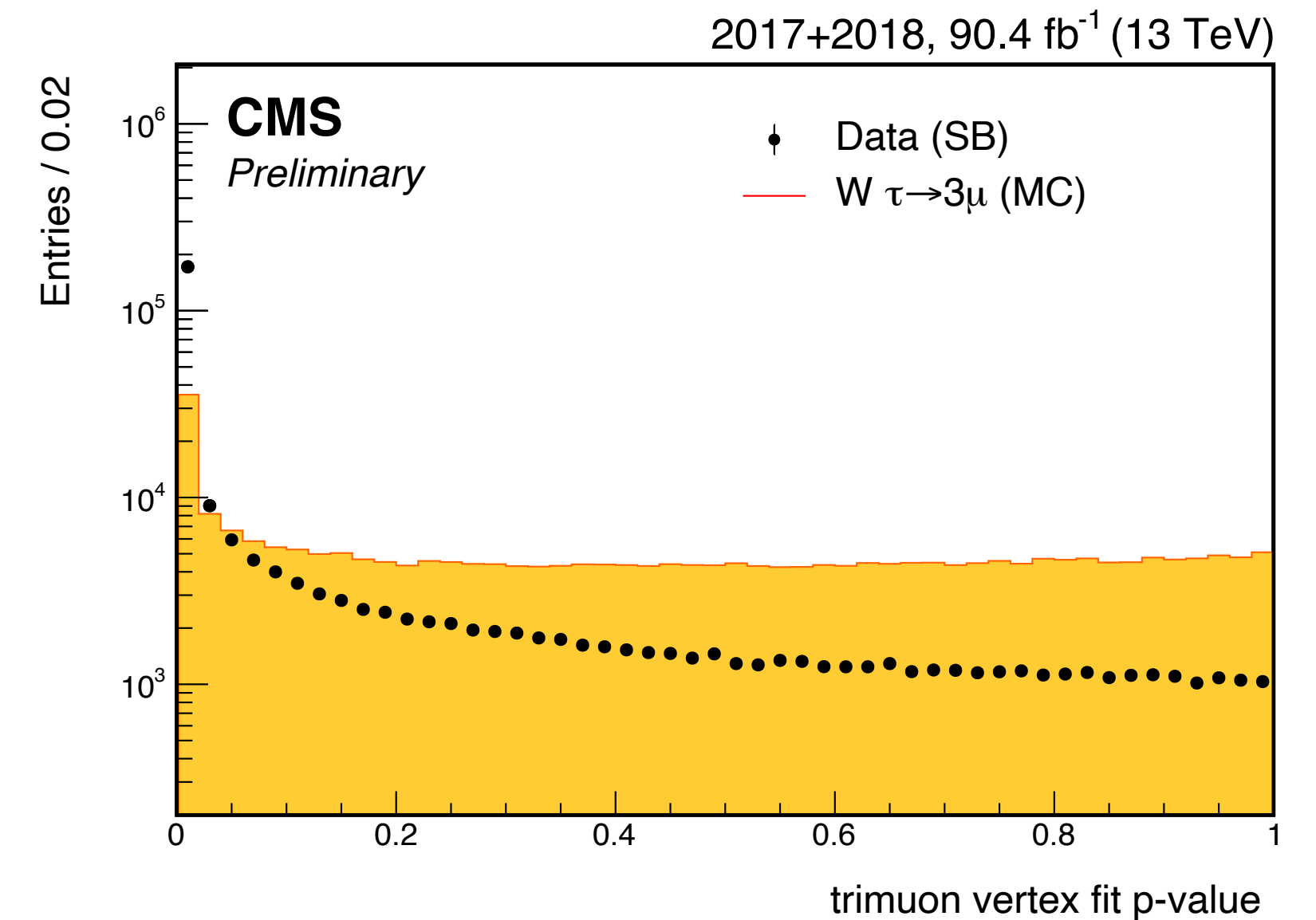
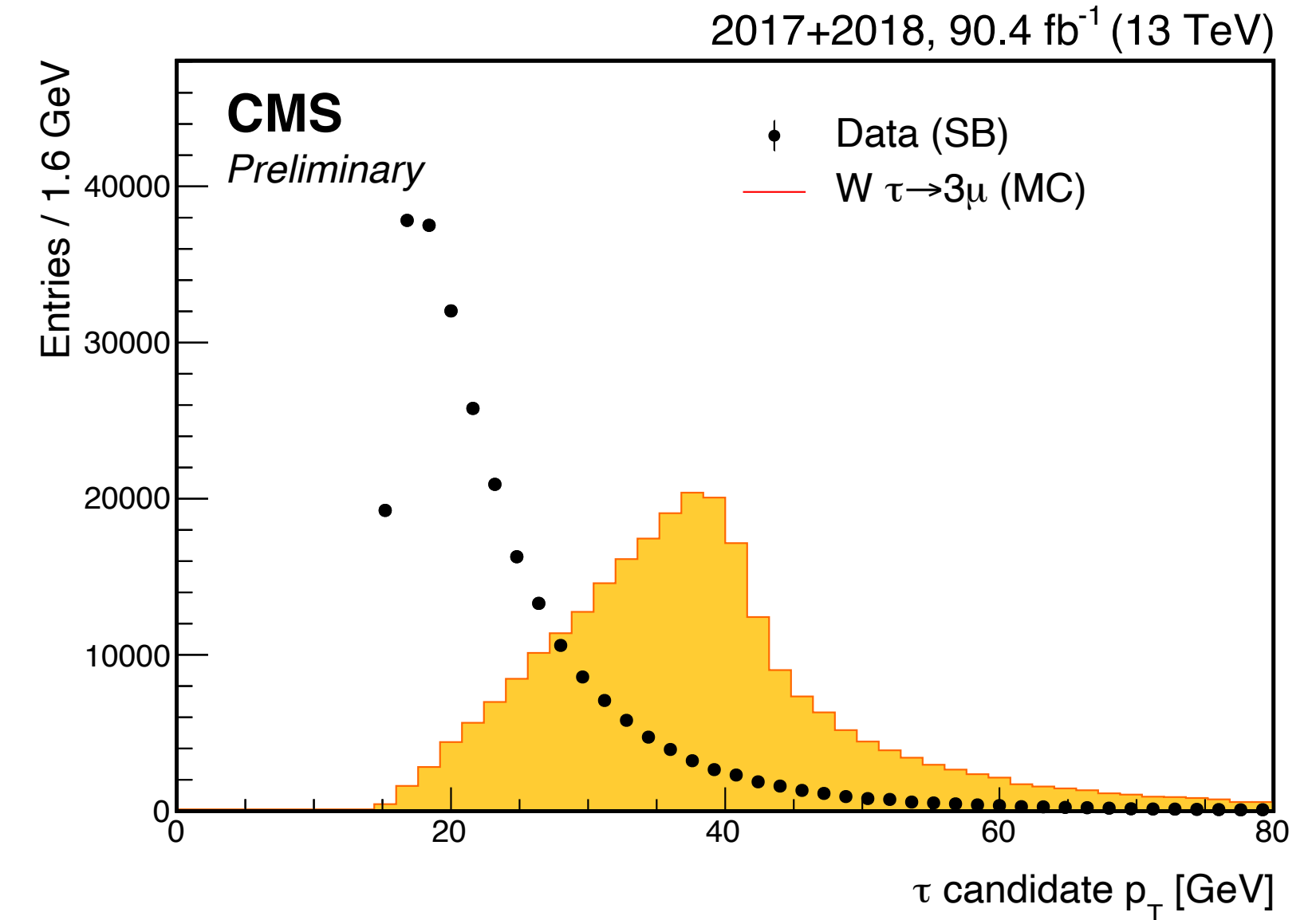


[Phys. Lett. B 801 \(2020\) 135148](#)



$\tau \rightarrow \mu\mu\mu$ decay

- Search for LFV $\tau \rightarrow \mu\mu\mu$ decay with 90.4 fb^{-1}
- It includes tau production from heavy flavor (B, D) and W decays
- $W \rightarrow \tau\nu$ populates more the high p_T
- Muon $p_T > 7, 1, 1 \text{ GeV}$ fit to common vertex
- $p_T(3\mu) > 15 \text{ GeV}$
- BDT to separate signal from background
- Muon identification
- $\tau \rightarrow \mu\mu\mu$ vertex: χ^2 , pointing angle
- Split into three categories based on 3μ mass resolution

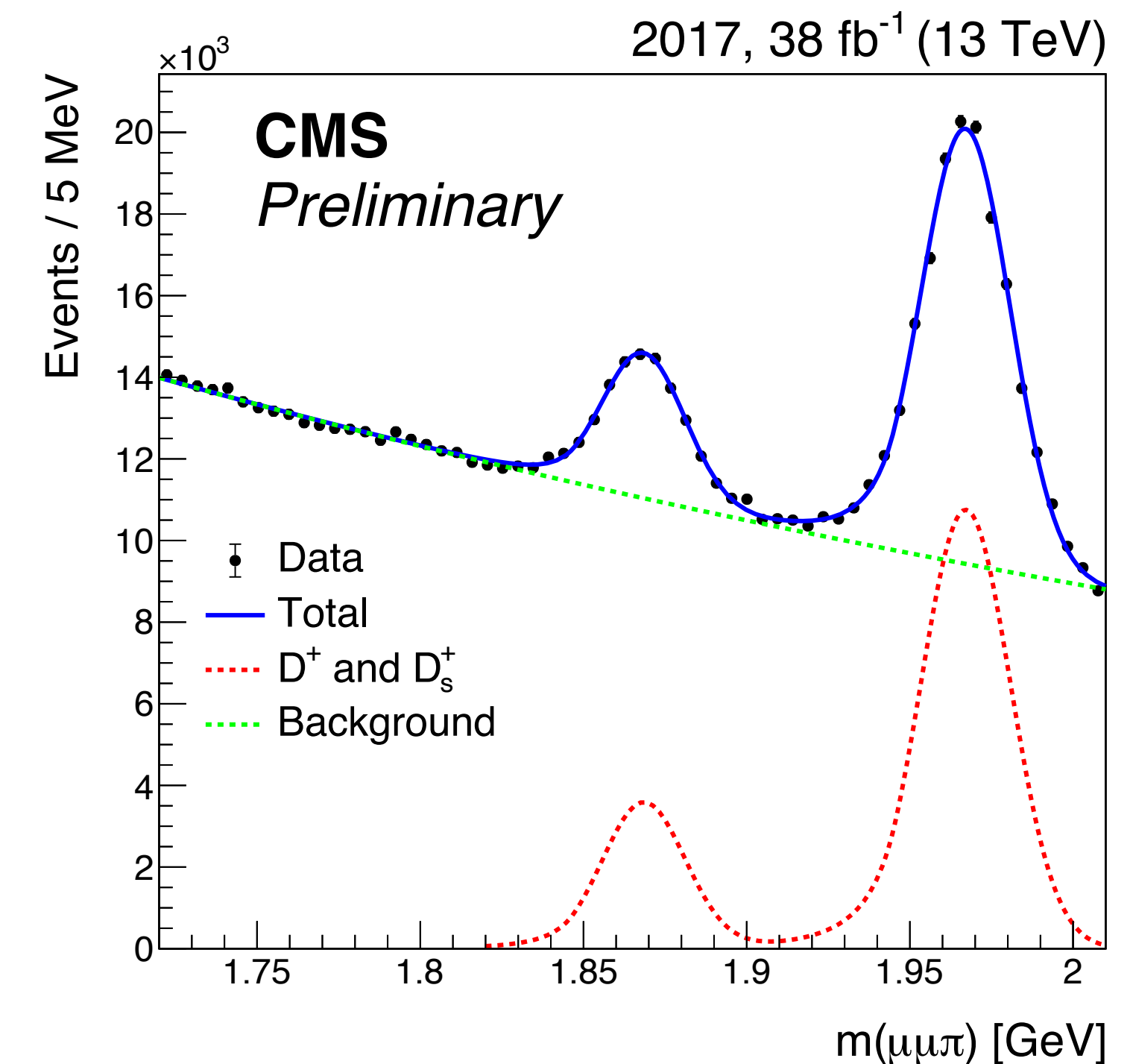
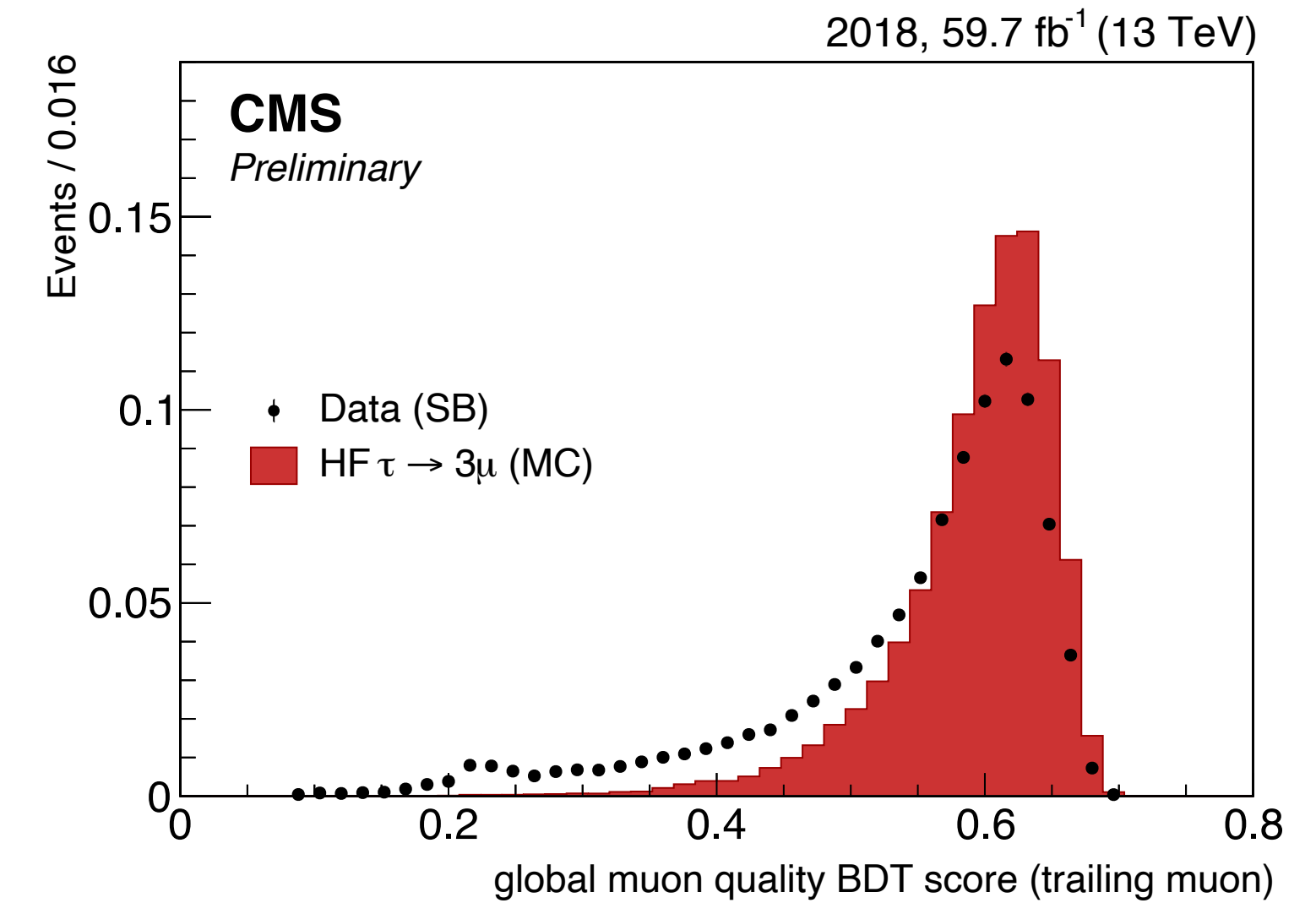


PAS-BPH-21-005



$\tau \rightarrow \mu\mu\mu$ Heavy flavor production

- Background dominated by π or K decay-in-flight and hadron tracks faking muons
- BDT used to provide discrimination
- Three categories of mass resolution used
- BDT used to discriminate signal from background
- Signal normalized to $D_s^+ \rightarrow \phi(\mu^+\mu^-)\pi^+$ to minimize the dependence on B or D x-sec and muon selection efficiencies

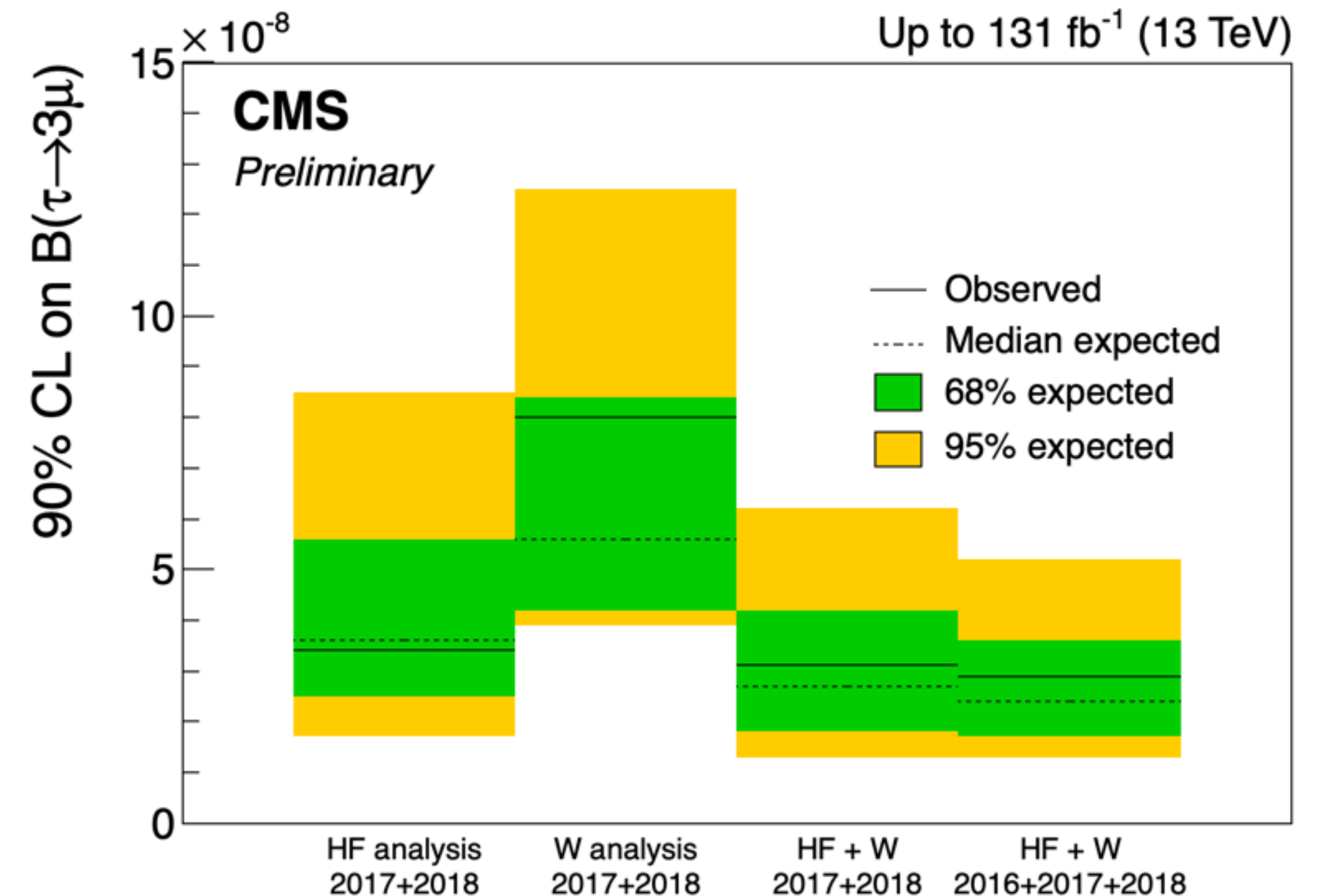
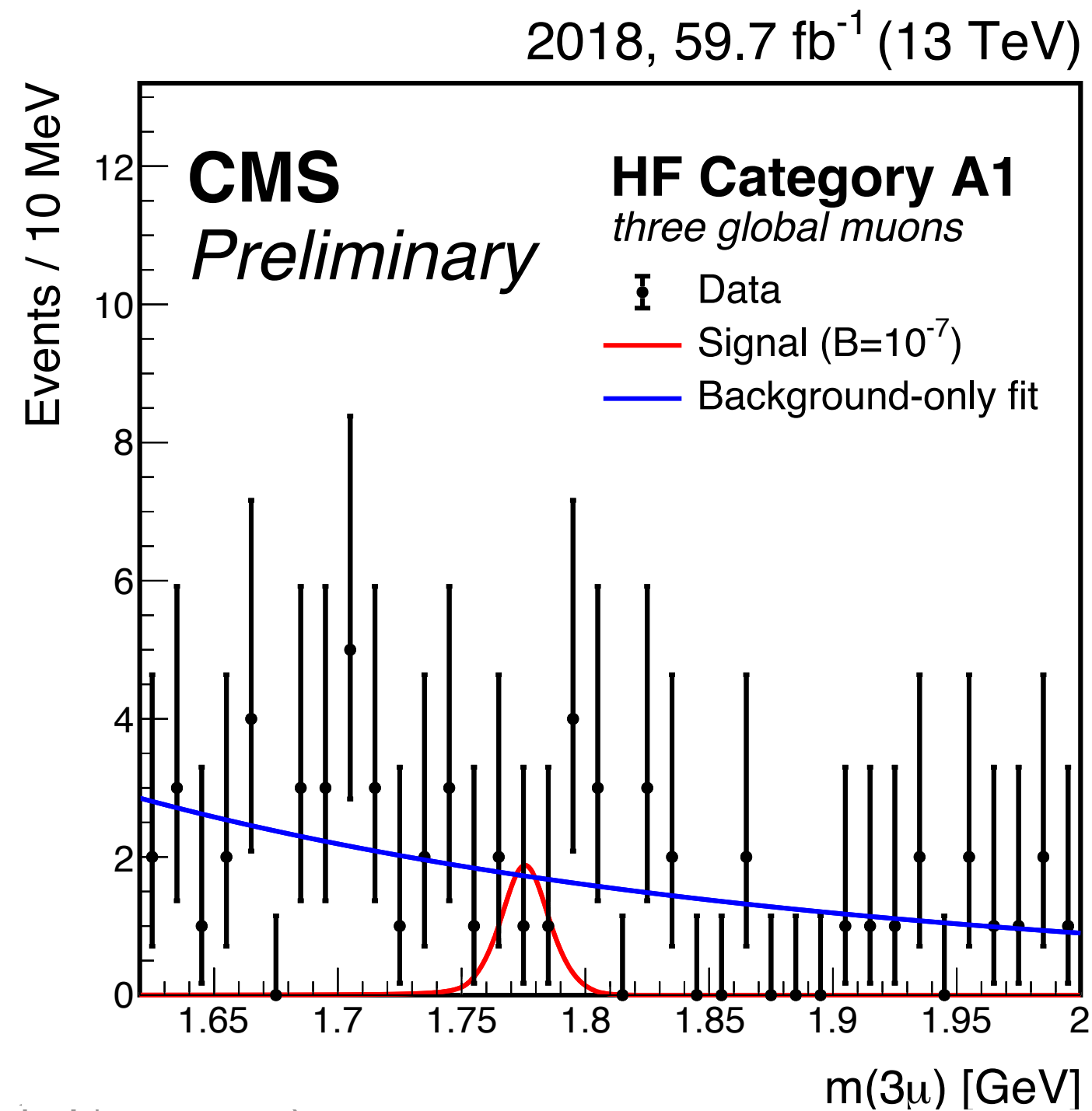


PAS-BPH-21-005



$\tau \rightarrow \mu\mu\mu$ decay

- Final result extracted from simultaneous parametrized fit to all the signal regions including the results from 2016 data
- $\text{Br}(\tau \rightarrow \mu\mu\mu) < 2.9 \times 10^{-8}$ at 90% CL
- Getting very close to the world limit from Belle (2.1×10^{-8} at 90% CL)

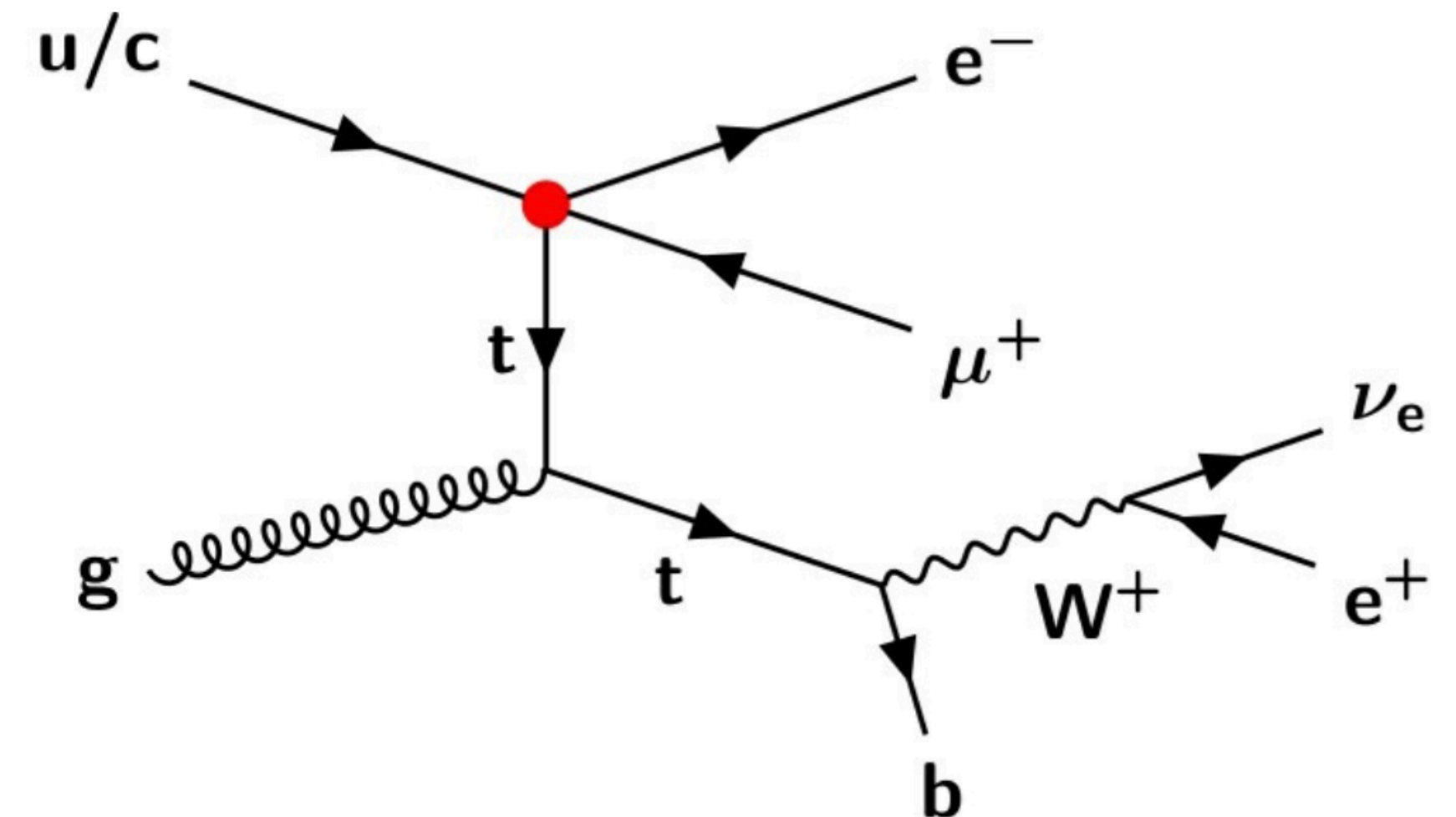
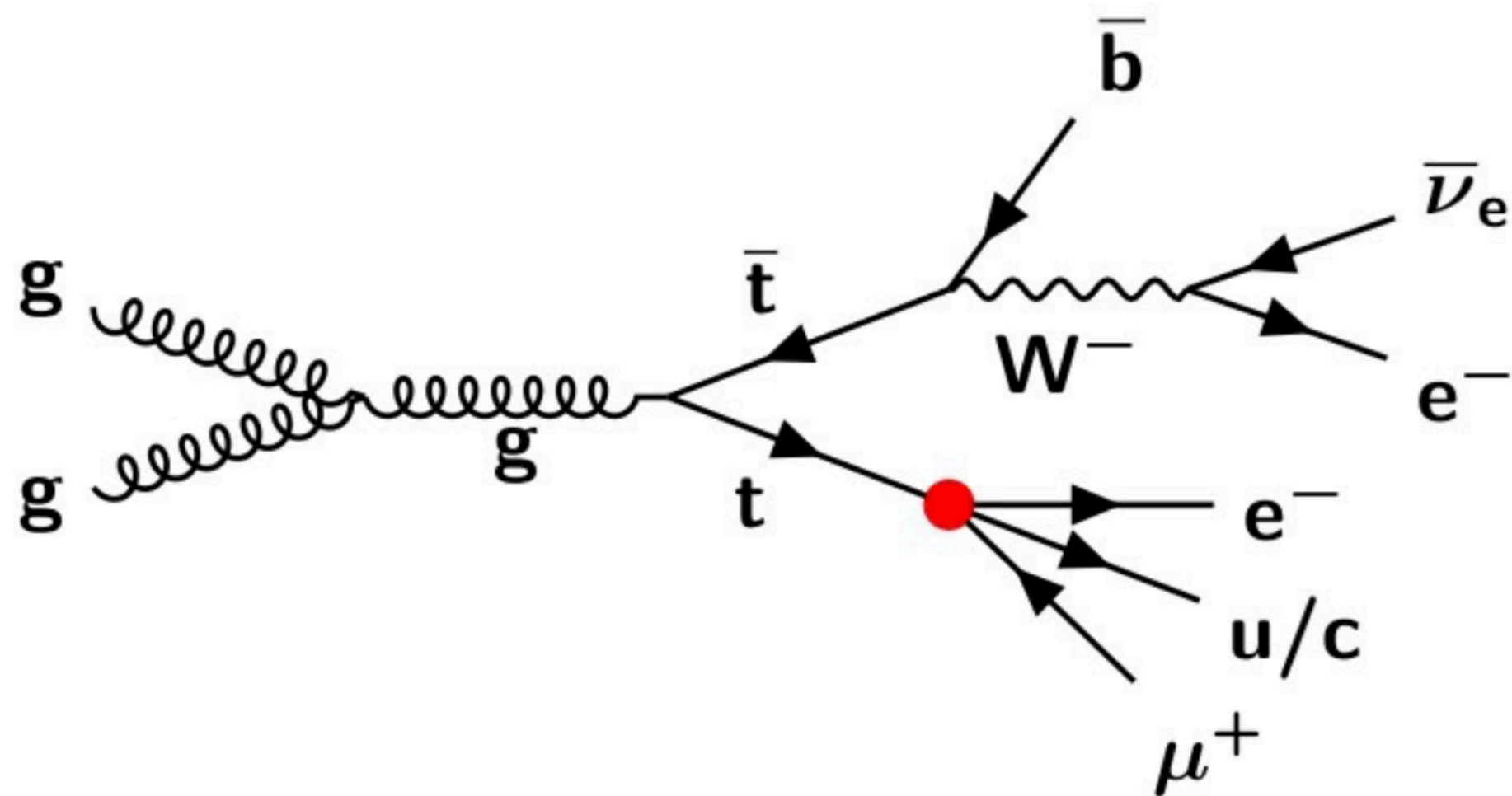


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LFV from Top- \rightarrow 3l+jets final state @ CMS

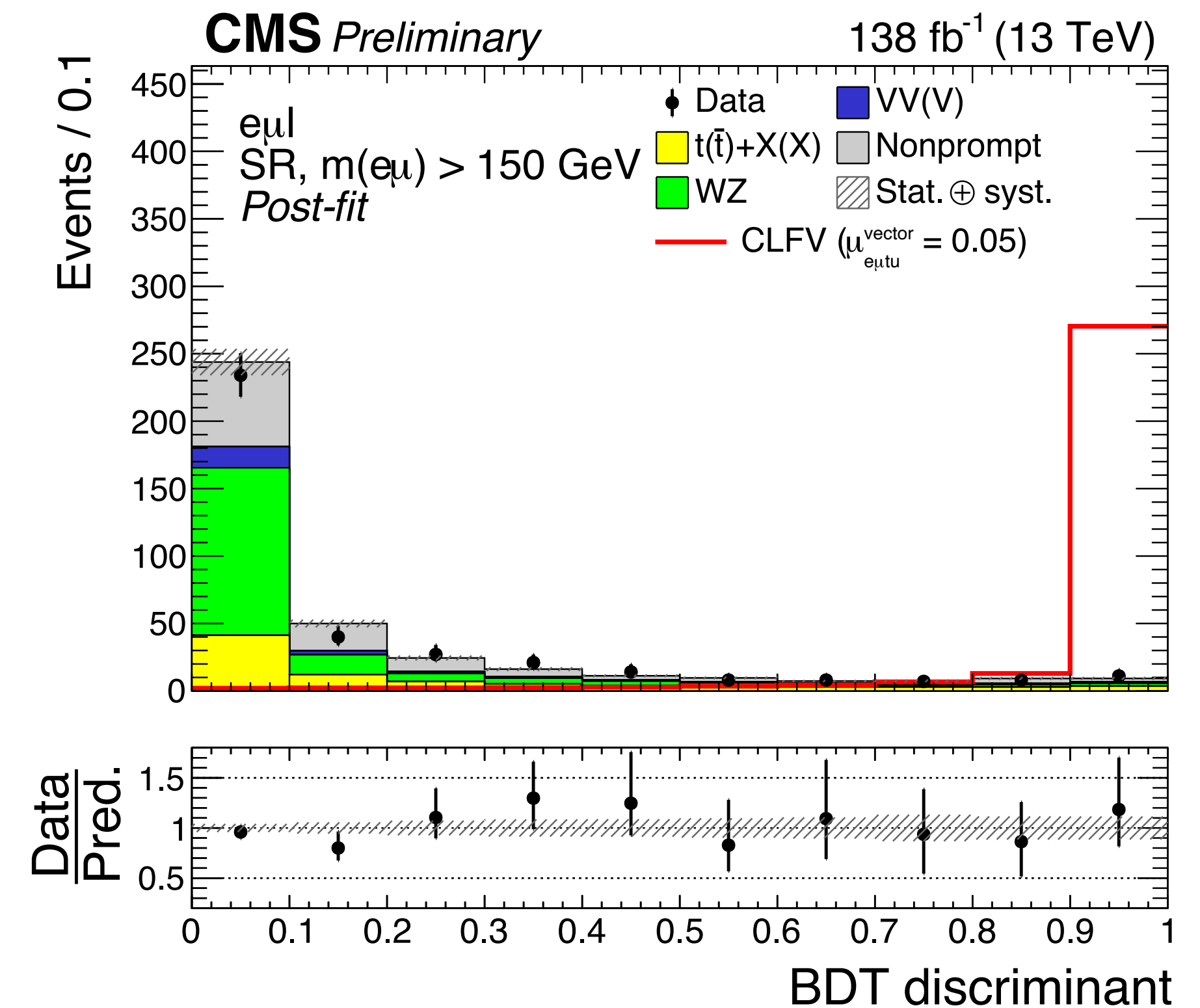
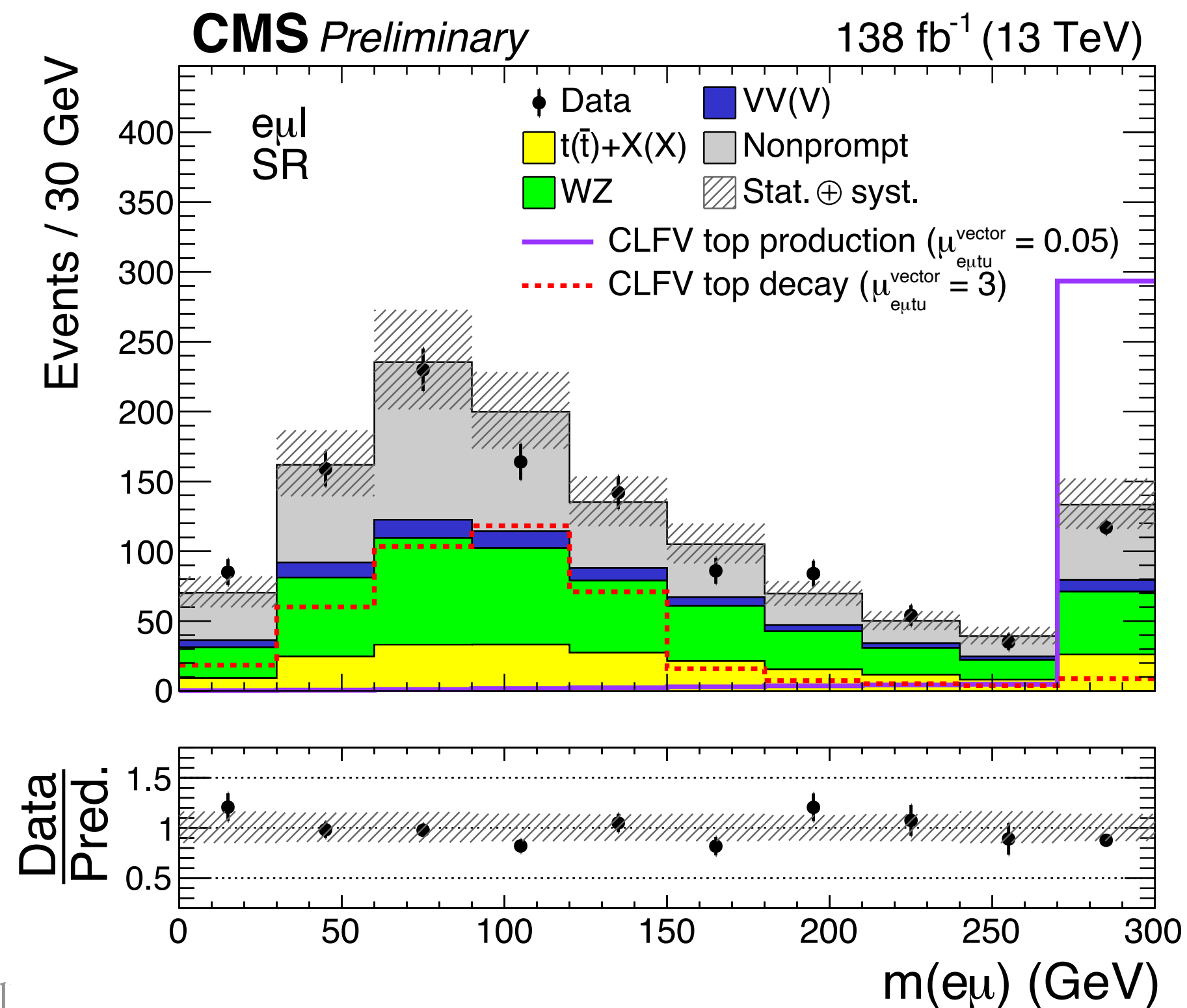
- Final state: $e\mu$ + b-jet + ≥ 1 jet
- $e\mu$ from LFV interaction
- l from SM Top quark decay
- SM Top candidates assigned using: b-jet, extra light-lepton and the MET
- Possible in production and decay of Top quarks
- Inv-mass($e\mu$) used to define production (> 150 GeV) and decay (< 150 GeV) regions





LFV from Top- \rightarrow 3l+jets: background @ CMS

- Prompt bkg from SM processes with three real leptons (VV and VVV)
- Non-prompt bkg from one or more non-prompt leptons (b, c, or π decays)
- BDT trained to discriminate signal from background in the final fit

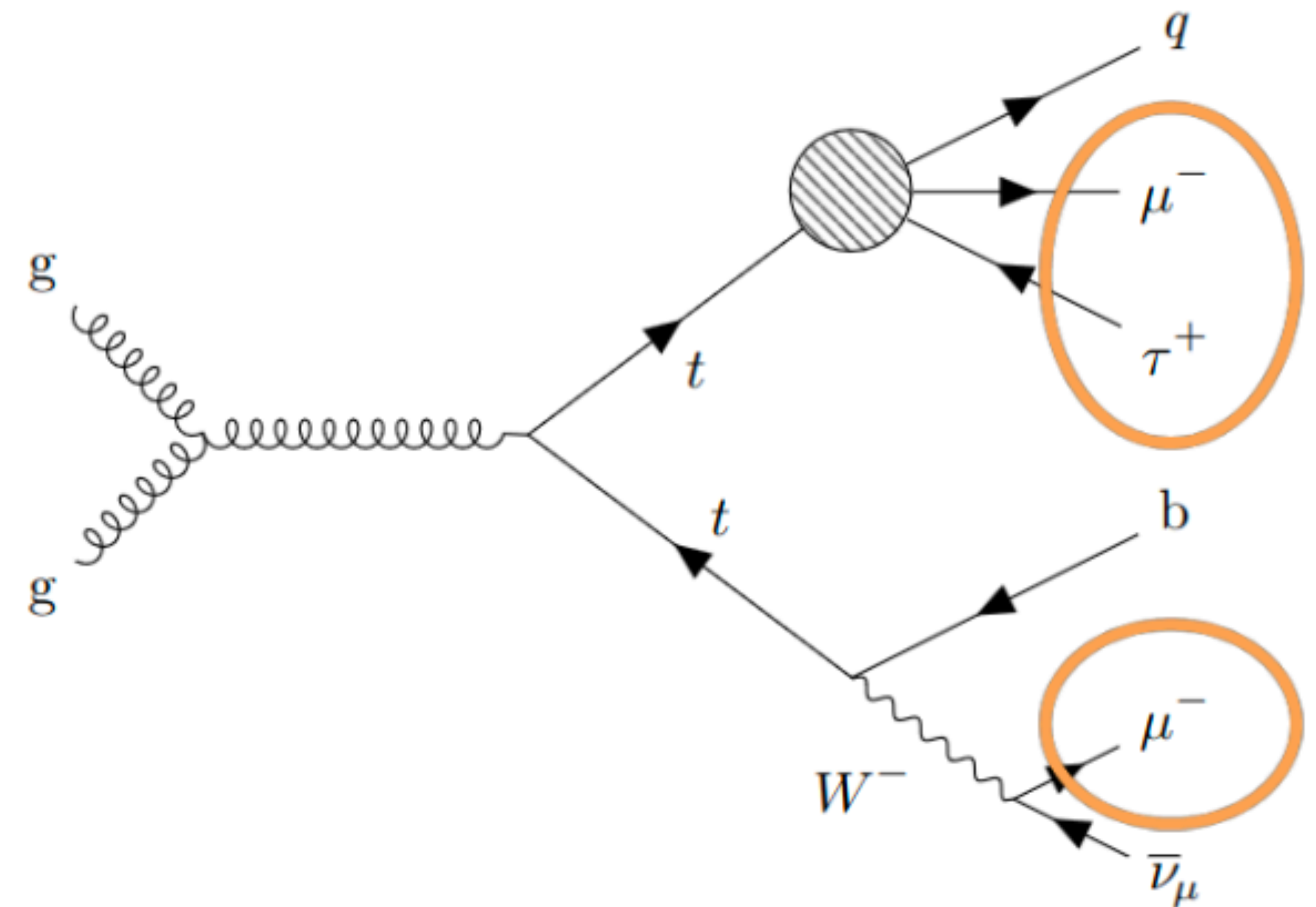
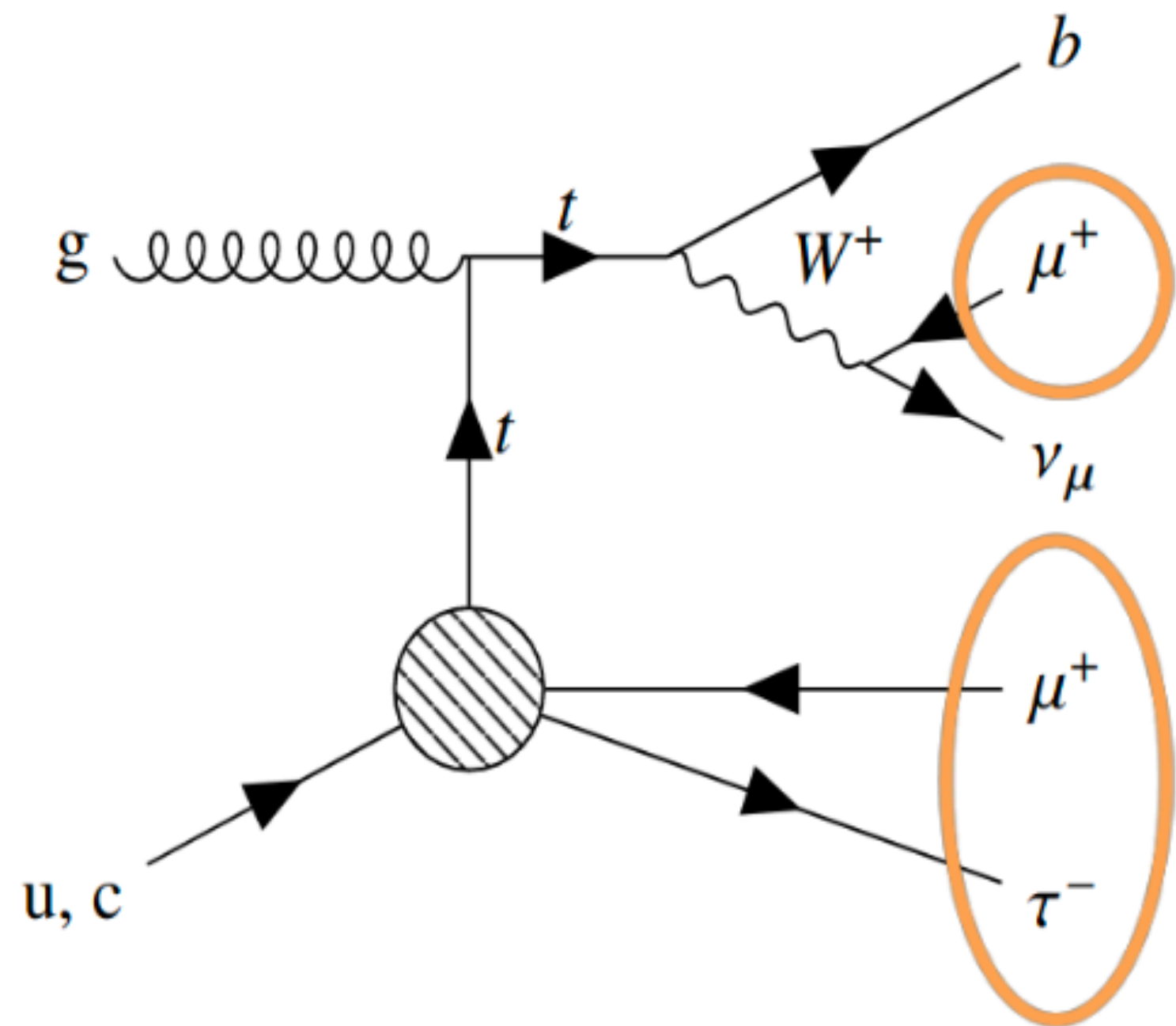


PAS-TOP-22-005



LFV from Top- \rightarrow 3l+jets final state @ ATLAS

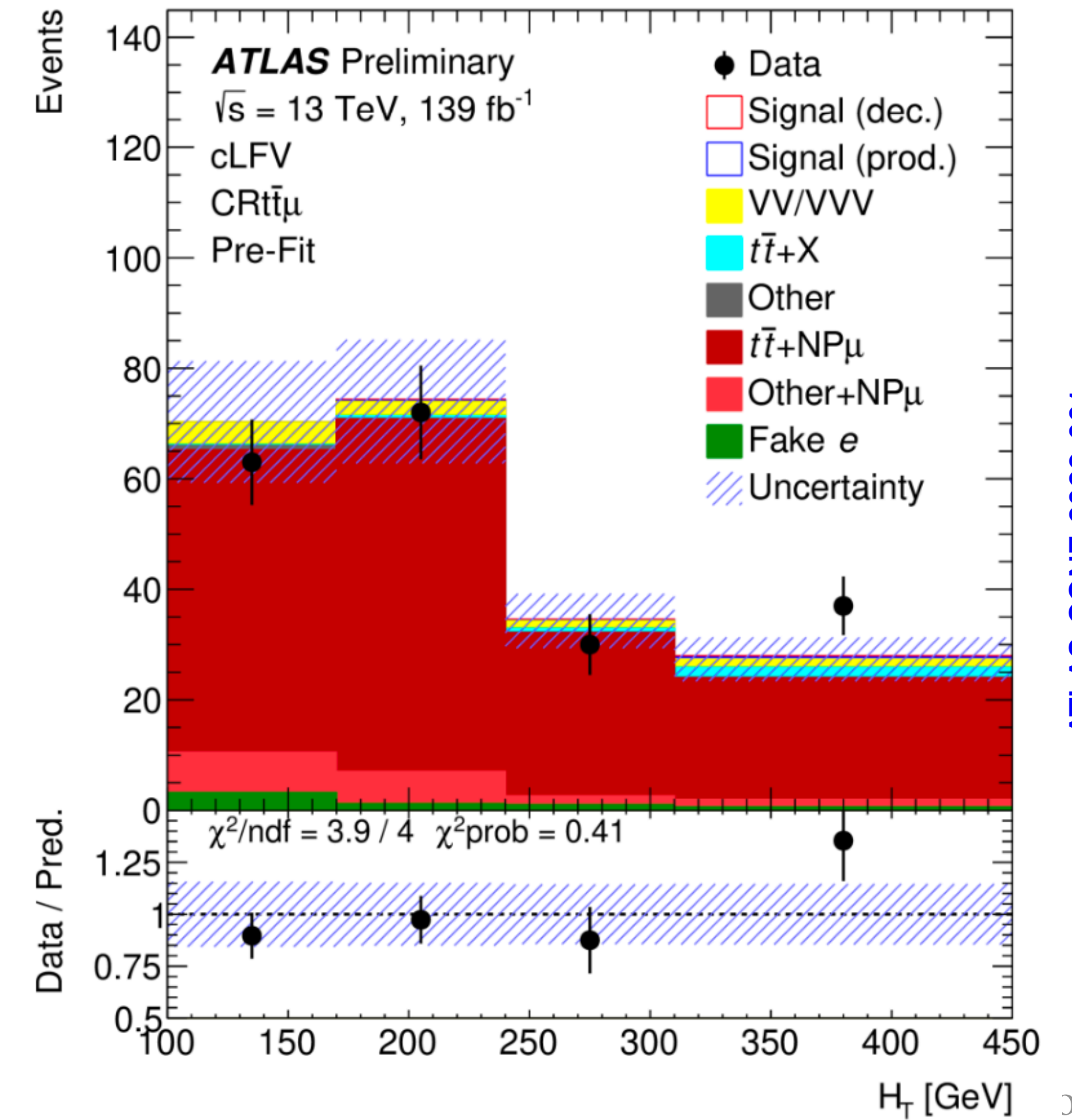
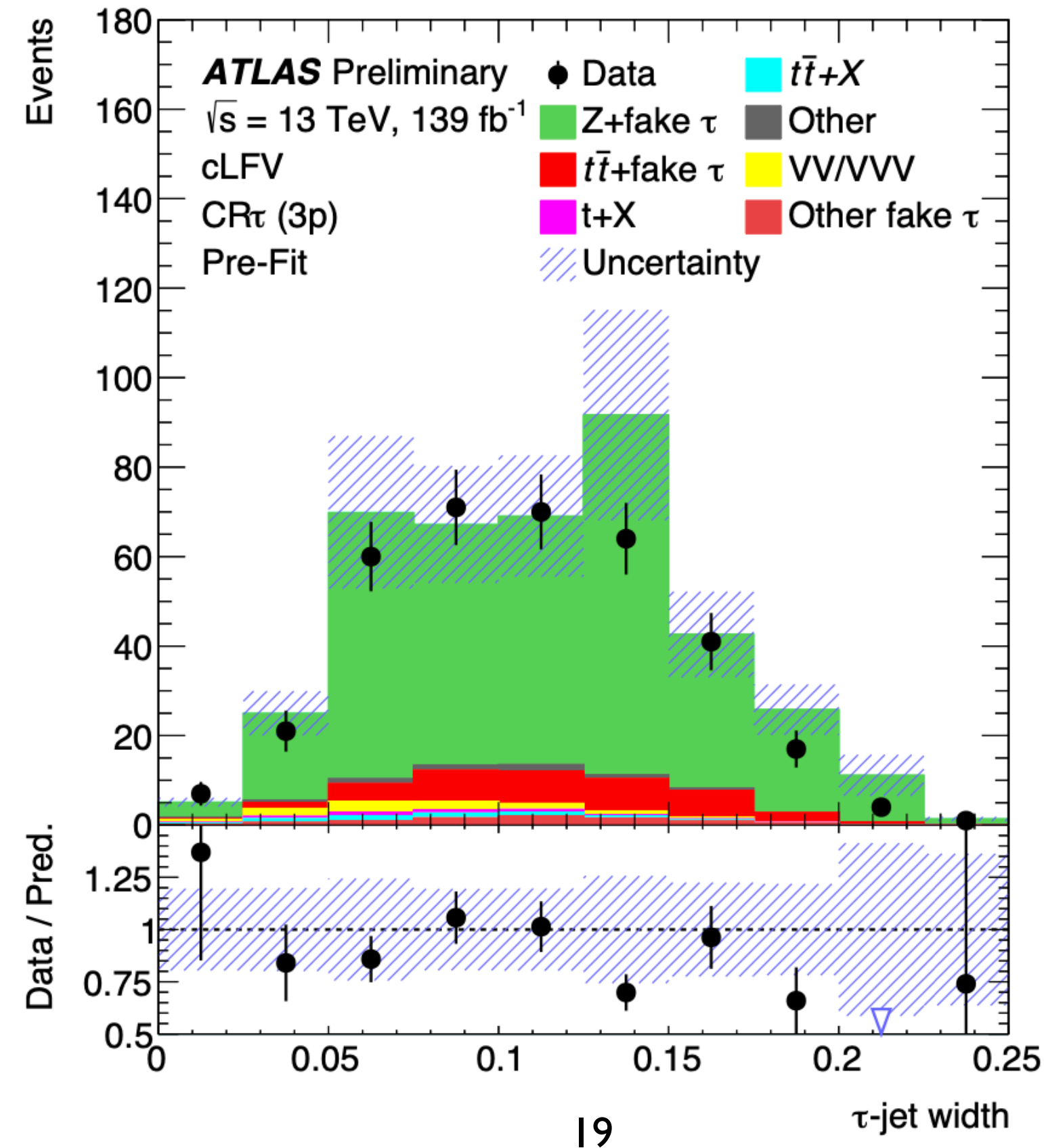
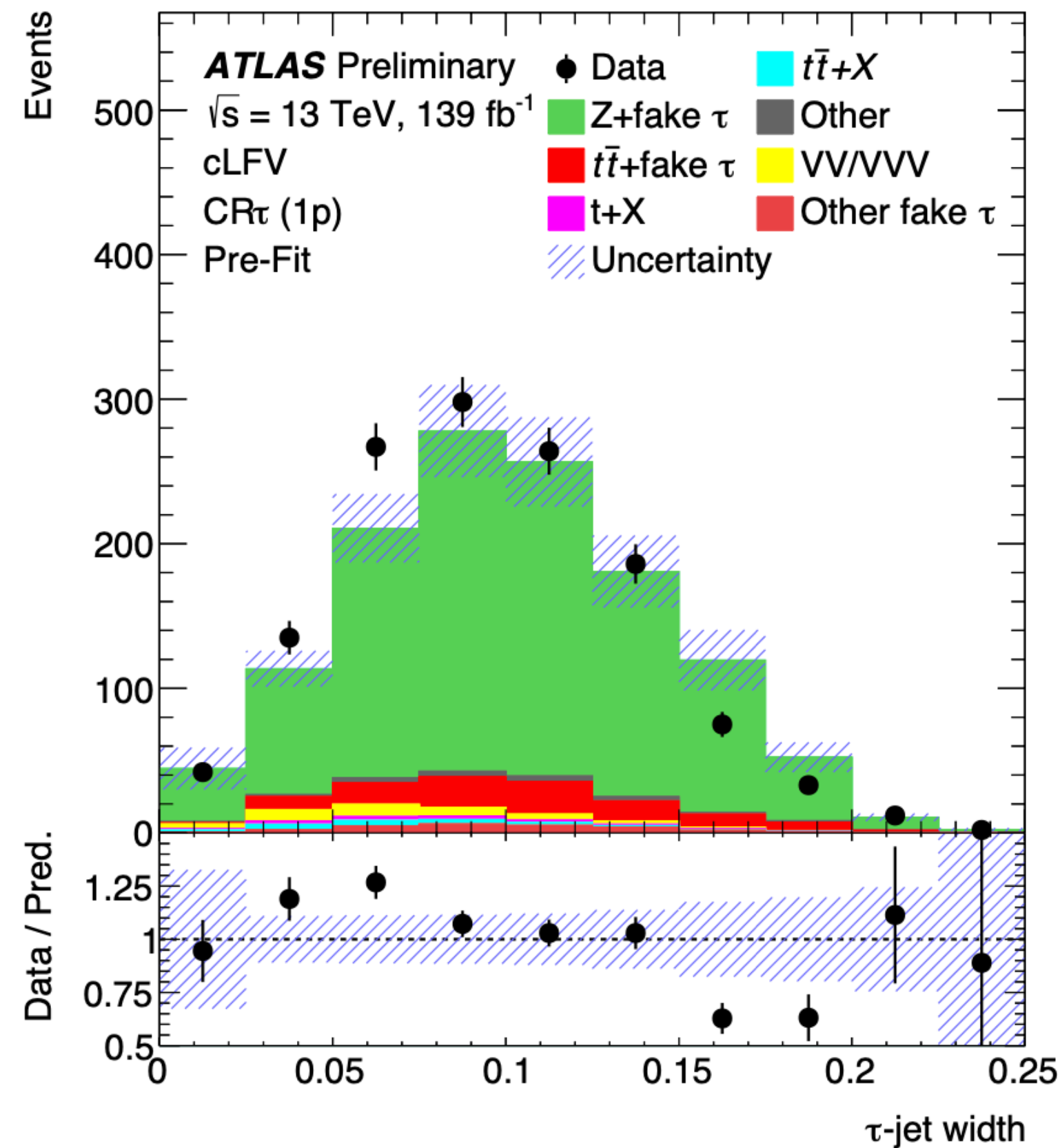
- Final state: τ + same-sign $\mu\mu$ + b-jet (+ ≥ 1 jet)
- $\tau\mu$ from the LFV interaction
- μ from SM Top quark decay
- Top quark decay and production diagrams differ by 1 jet





LFV from Top->3l+jets: background @ ATLAS

- The dominant background is due to $t\bar{t}$ events reconstructed with 3 leptons due to a non-prompt muon from a heavy-flavor decay inside a jet, typically a b -jet
- Fake taus from QCD jets are estimated using the Fake method
- Same-sign muons provide significant background reduction
- Control regions for fake-tau and fake/non-prompt-muon backgrounds



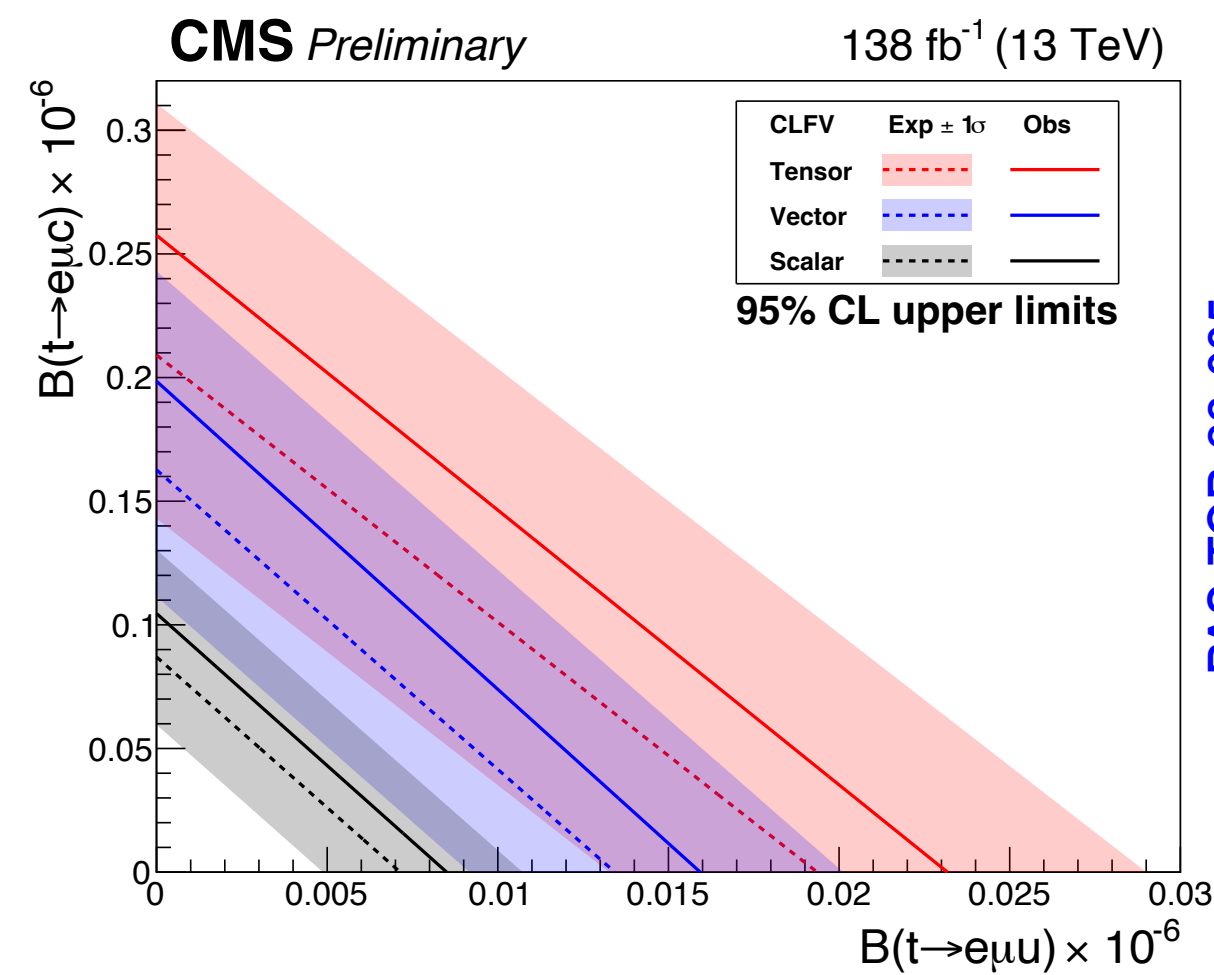
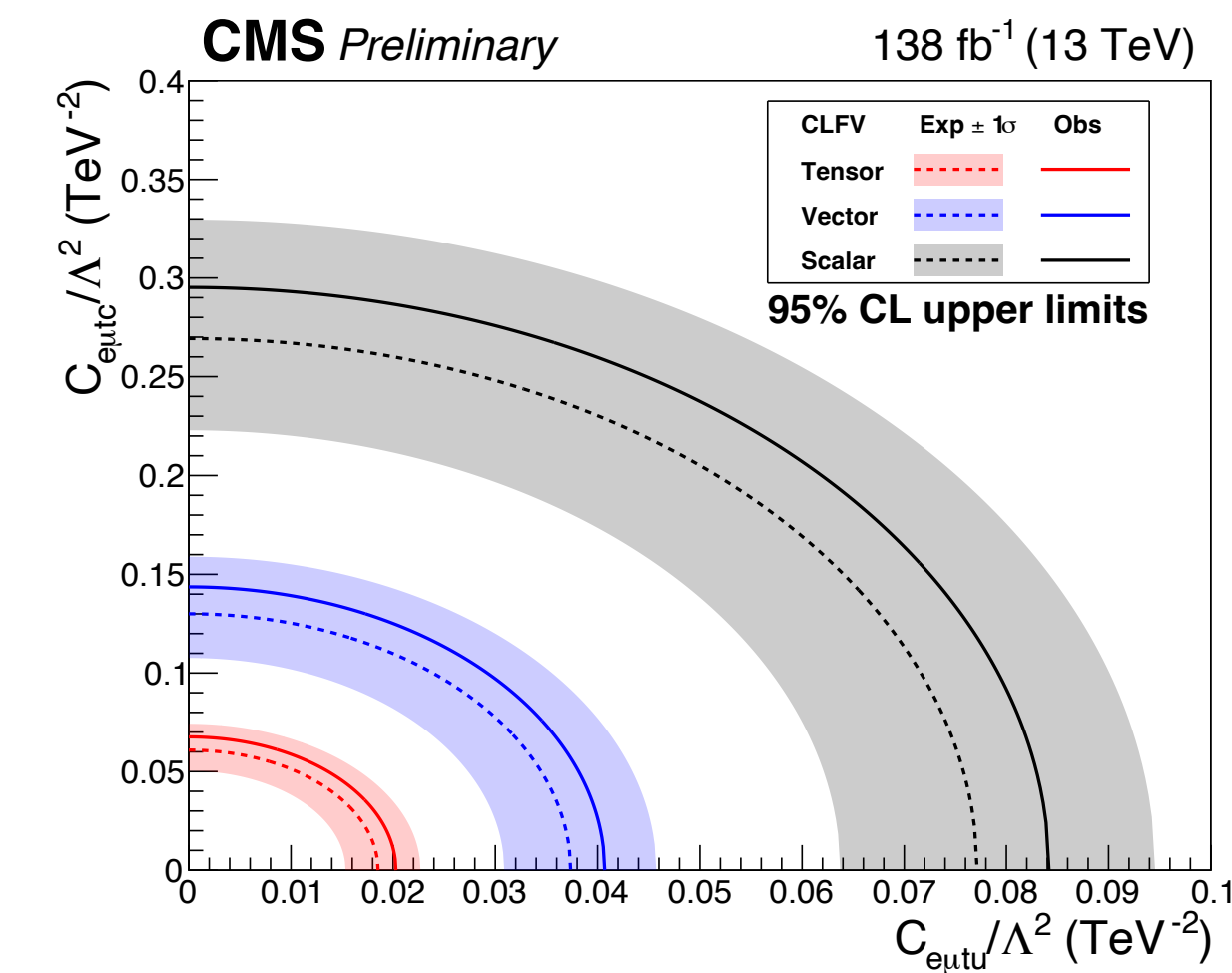


LFV from Top- \rightarrow 3l+jets: interpretations

- Limit on Wilson coefficients and limits on branching fractions
- EFT limits w.r.t. the previous results improved by a factor $\sim [8, 50]$

	95% CL upper limits on BR($t \rightarrow \mu\tau q$)	
	Stat. only	All systematics
Expected	8×10^{-7}	10×10^{-7}
Observed	9×10^{-7}	11×10^{-7}

ATLAS-CONF-2023-001



PAS-TOP-22-005

	95% CL upper limits on Wilson coefficients						c/Λ^2 [TeV ⁻²]	
	$c_{lq}^{-(ijk3)}$	$c_{eq}^{(ijk3)}$	$c_{lu}^{(ijk3)}$	$c_{eu}^{(ijk3)}$	$c_{lequ}^{1(ijk3)}$	$c_{lequ}^{1(ij3k)}$	$c_{lequ}^{3(ijk3)}$	$c_{lequ}^{3(ij3k)}$
Previous (u) [22]	12	12	12	12	26	26	3.4	3.4
Expected (u)	0.47	0.44	0.43	0.46	0.49	0.49	0.11	0.11
Observed (u)	0.49	0.47	0.46	0.48	0.51	0.51	0.11	0.11
Previous (c) [22]	14	14	14	14	29	29	3.7	3.7
Expected (c)	1.6	1.6	1.5	1.6	1.8	1.8	0.35	0.35
Observed (c)	1.7	1.6	1.6	1.6	1.9	1.9	0.37	0.37

ATLAS-CONF-2023-001



High Mass searches

- Variety of New Physics models can produce heavy resonances decaying to lepton pairs
- if LFV couplings are included, can expect final states with two different-flavor (charged) light leptons and no neutrinos
- Target dilepton mass > 600 GeV with back-to-back topology
- When a tau is involved, the neutrino direction is taken as the same as the visible tau components
- This results in a mass resolution of $\sim 4\%$ for $e\tau$ and 12% for $\mu\tau$ for a 2 TeV benchmark Z'



High Mass searches: backgrounds

Final states: $X \rightarrow e^\pm \mu^\mp, e^\pm \tau^\mp, \mu^\pm \tau^\mp$

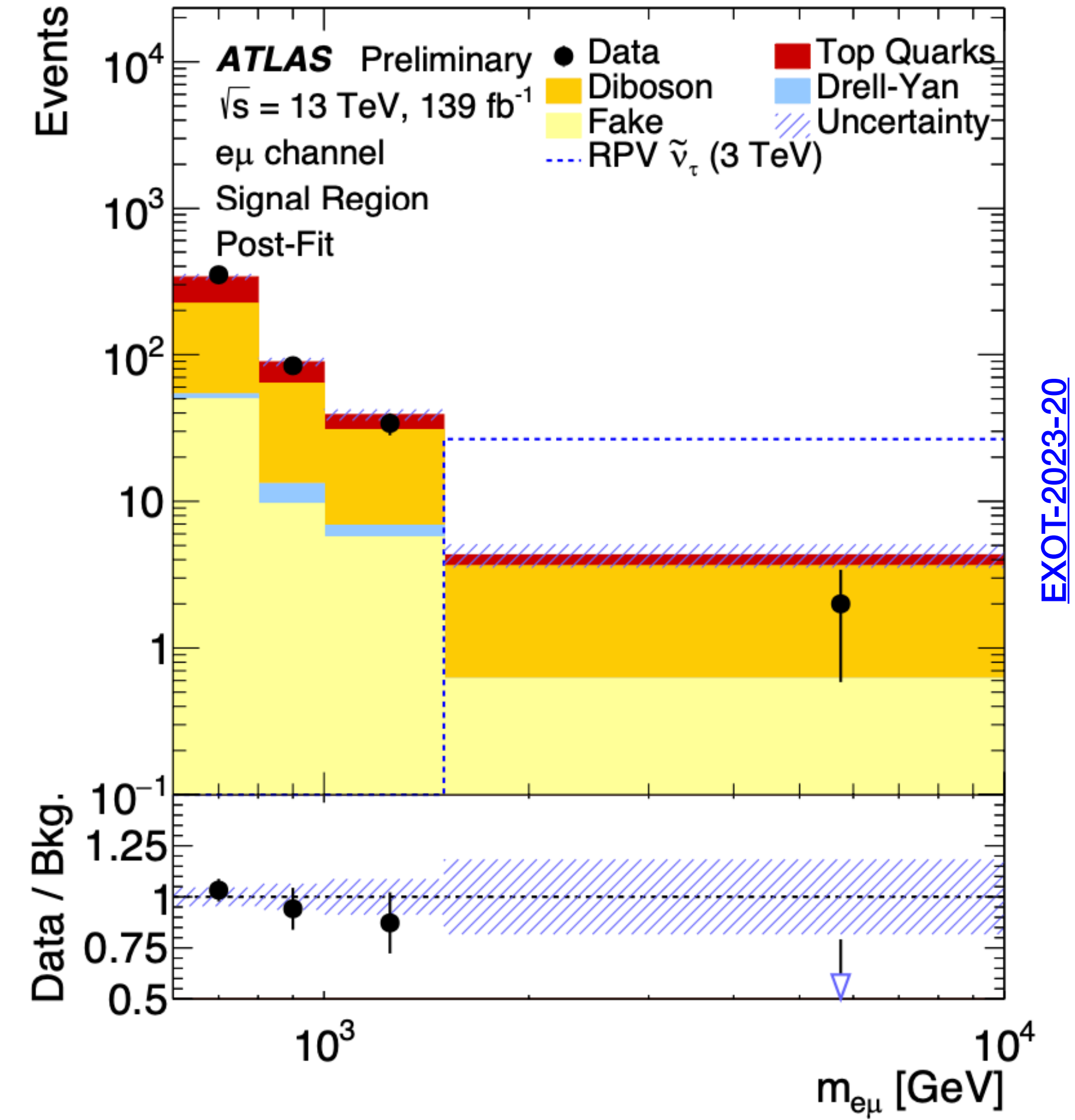
Two main background components:

Irreducible

- SM processes with true opposite charge different flavor leptons ($VV, t\bar{t}, Z \rightarrow \tau\tau$)
- Estimated from MC simulations corrected with data using dedicated background-enriched regions

Reducible

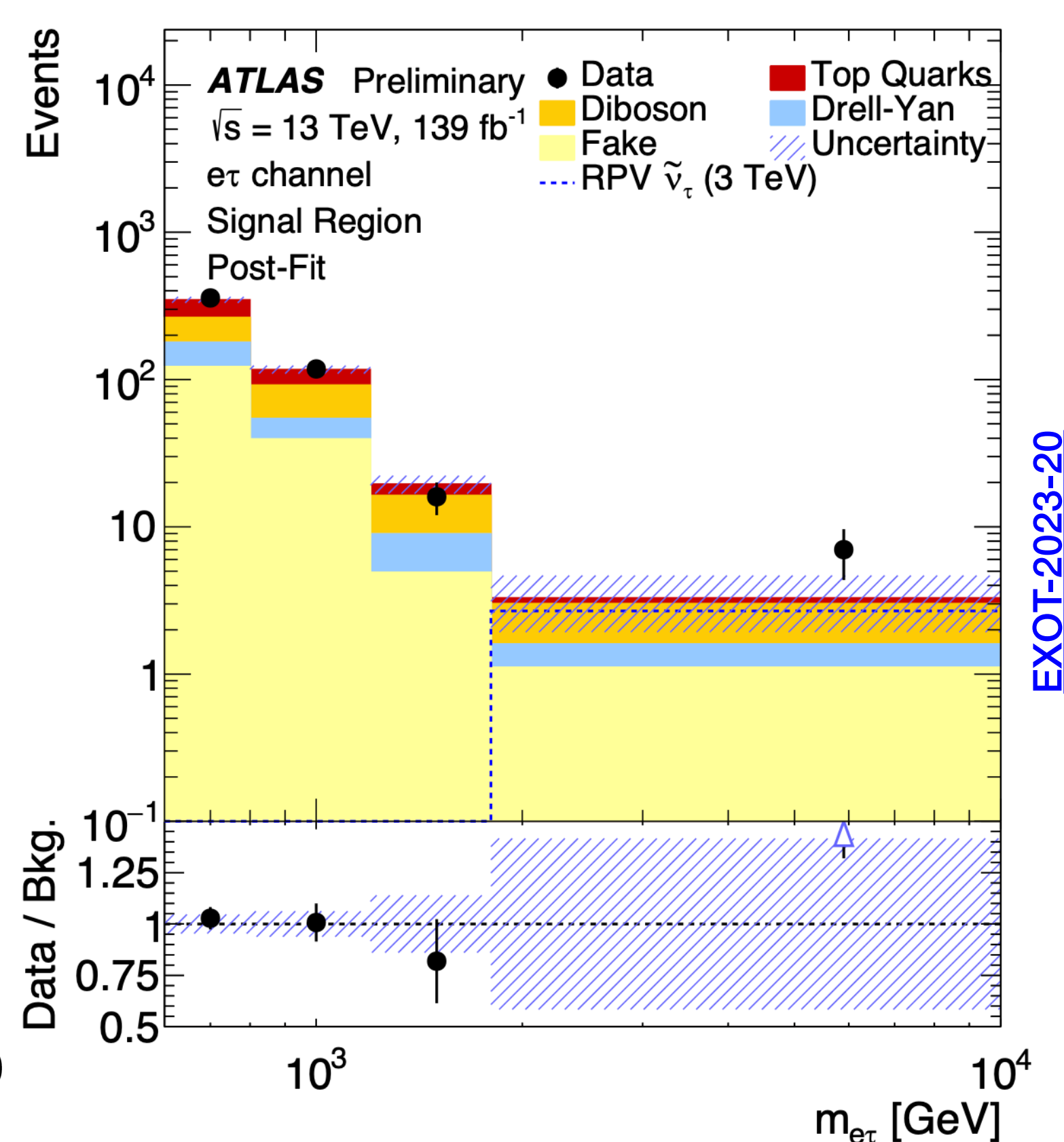
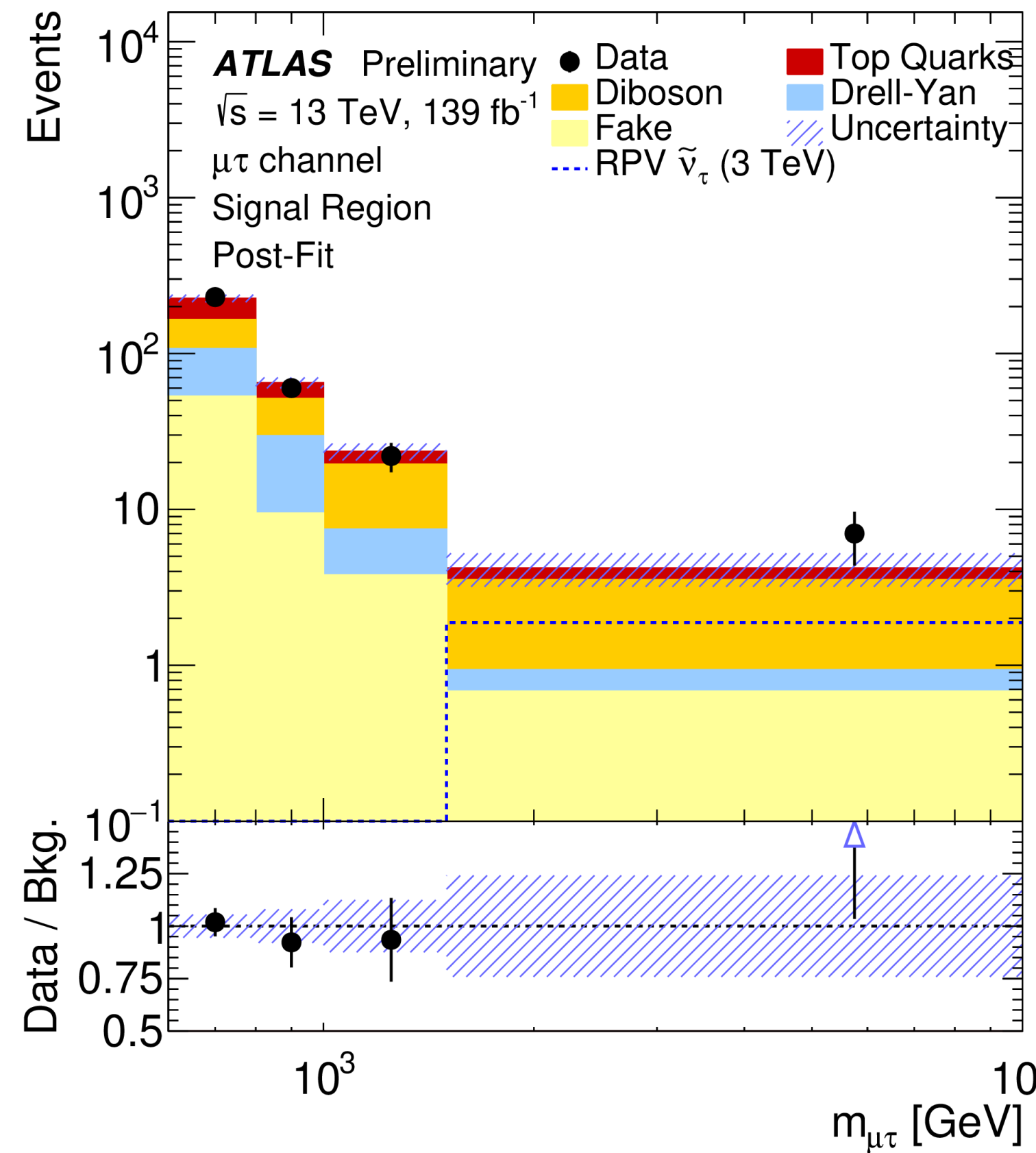
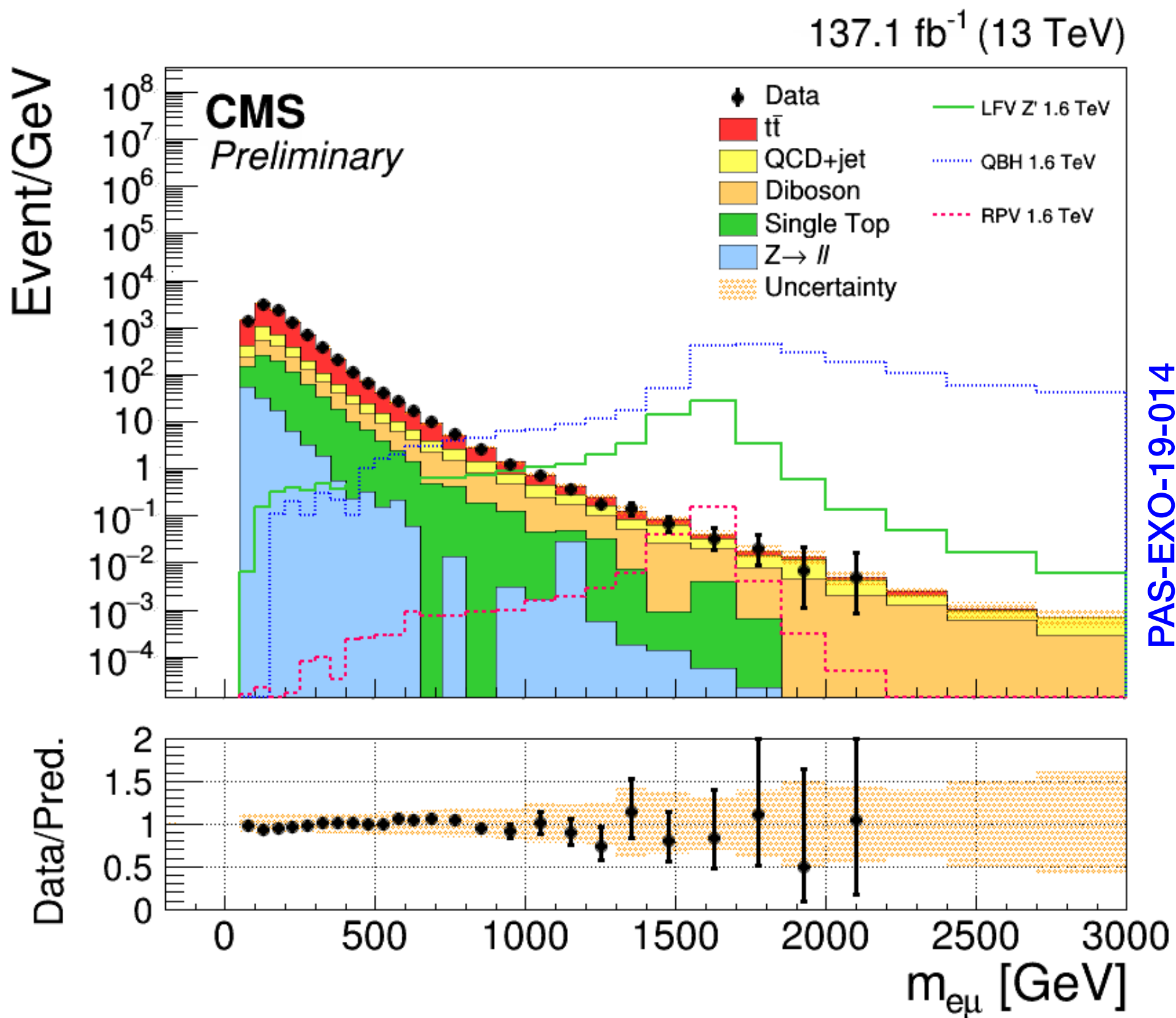
- Jets mis-reconstructed as leptons (W +jets, multijet)
- Non-prompt e or μ
- QCD jets faking τ_{had} derived scaling the background enriched control region (CR) yield by SR/CR ratio from MC simulation





High Mass searches: results

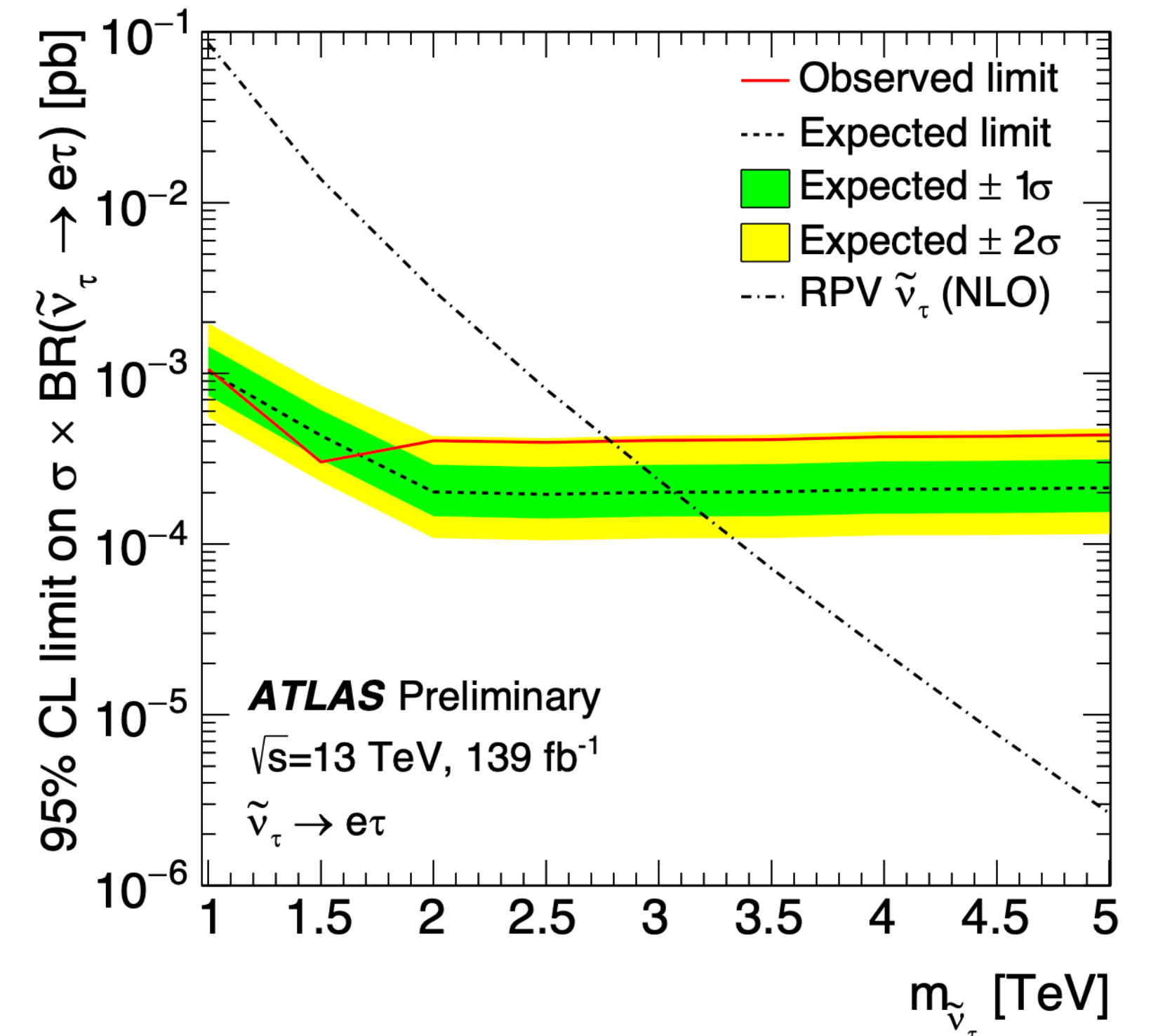
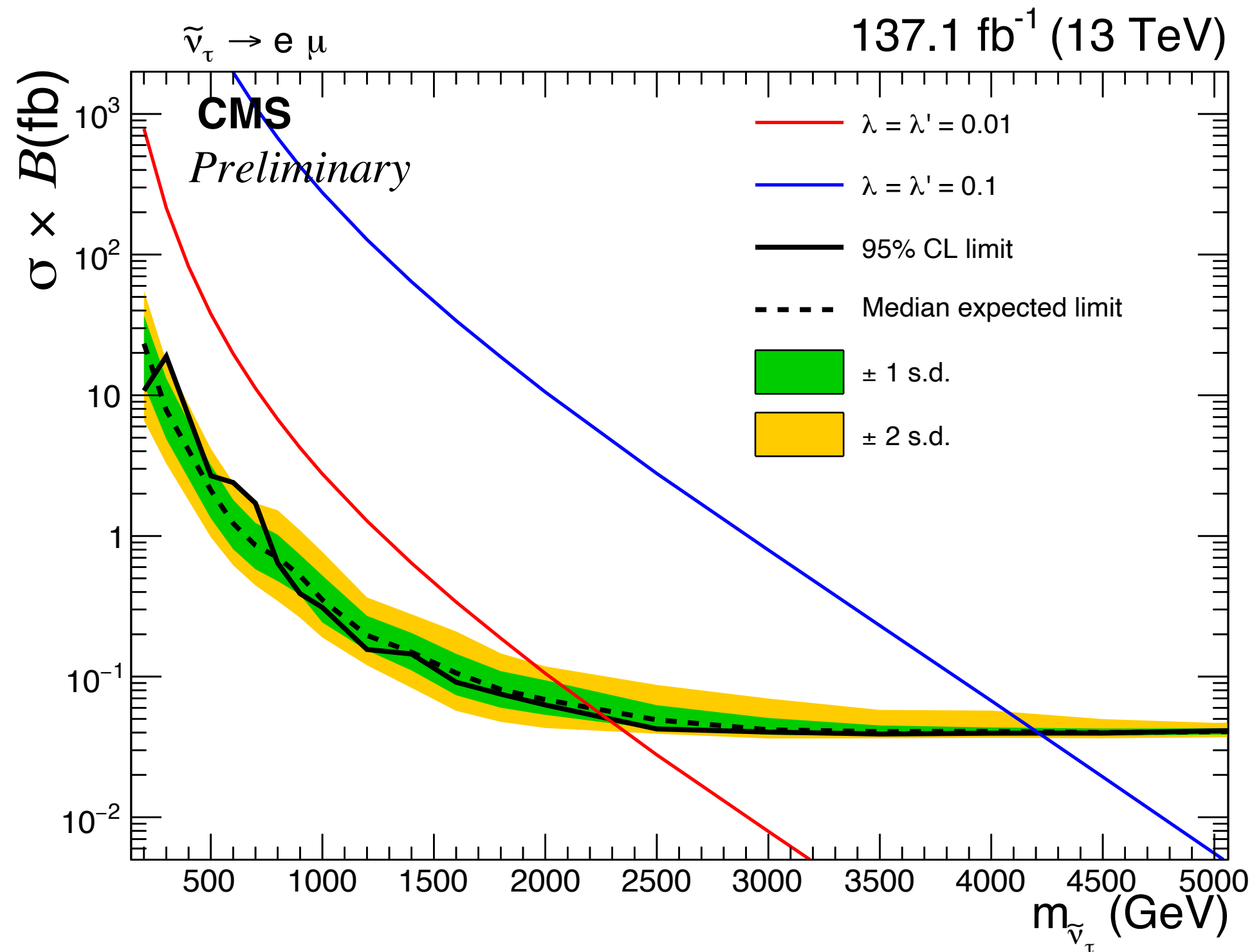
- ATLAS shows an interesting excess ~ 2 sigma in the highest mass bin in $e\tau$ and $\mu\tau$ channels
- Similar search performed by CMS doesn't confirm the excess in the sneutrino search





High Mass searches: results

- Results interpreted as limit on a variety of BSM models: Z' , RPV, quantum black holes, sneutrinos





Summary

- LHC experiments delivered many searches of LFV processes
- The LHC has world's best sensitivity to many LFV channels including Z, Higgs, and Top decays and high mass searches
- CMS limit on $\text{Br}(\tau \rightarrow \mu\mu\mu)$ it is getting very close to the results from Belle
- No clear signs of LFV yet, but several interesting hints to be followed up with more data