

Beyond Axion Discovery:

Distinguishing Different Axion Models and Further Searches with (Baby)IAXO

Daniel Heuchel (DESY)
daniel.heuchel@desy.de
FH Particle Physics Pizza Seminar
Hamburg, 26th June 2023

Mainly based on papers:

[JHEP05\(2021\)137](#)

[JCAP06\(2019\)047](#)

[JCAP03\(2019\)039](#)

[Eur. Phys. J. C 82, 120 \(2022\)](#)



Outline

For this Talk

- Axions/ALPs & detection strategies
- Helioscopes: IAXO & BabyIAXO
- Physics prospects beyond (Primakoff)-axion discovery:
 - Axion-electron coupling
 - Axion-nucleon coupling
 - X-ray polarisation
 - Haloscope mode and further searches
- Summary and Outlook

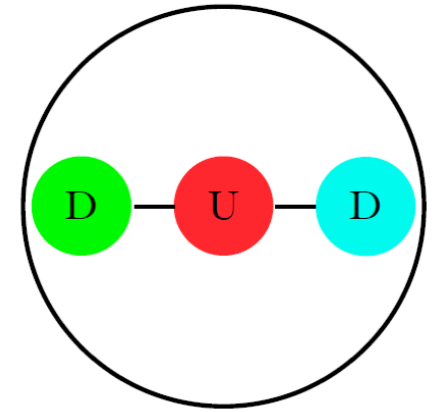
Axions/ALPs

and how to detect them.



Why Axions/ALPs?

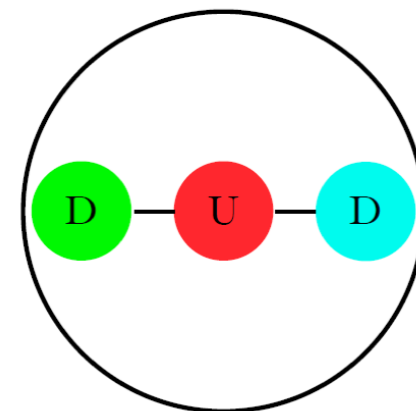
Physics Motivation



Most compelling solution to the strong CP problem

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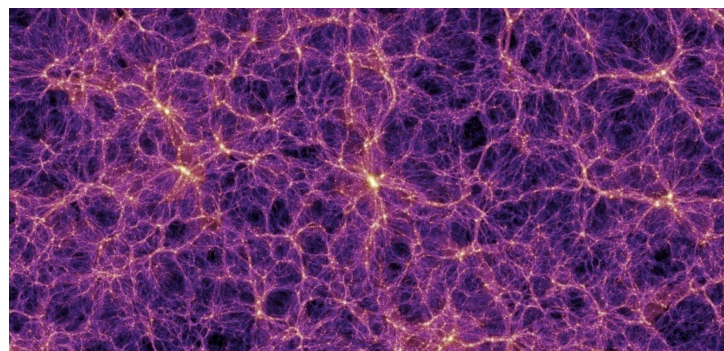
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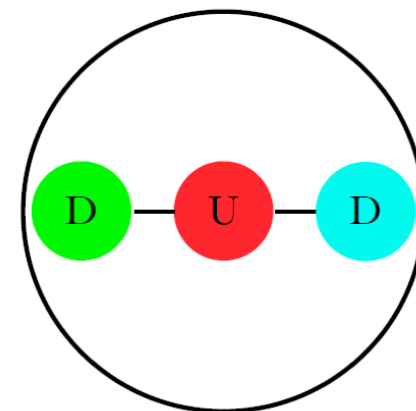
Astrophysical hints:
UHE γ transparency +
anomalous stellar cooling, ...

Why Axions/ALPs?

Physics Motivation



Cosmology:
Excellent cold dark matter candidate
(Not ad hoc solution to DM)



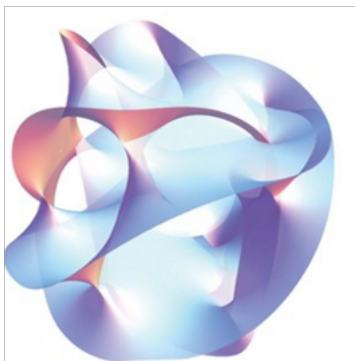
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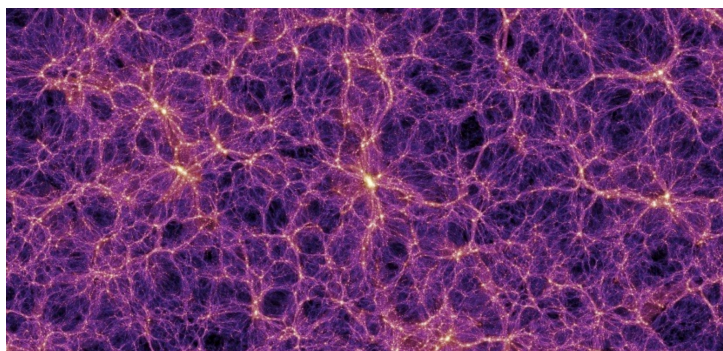
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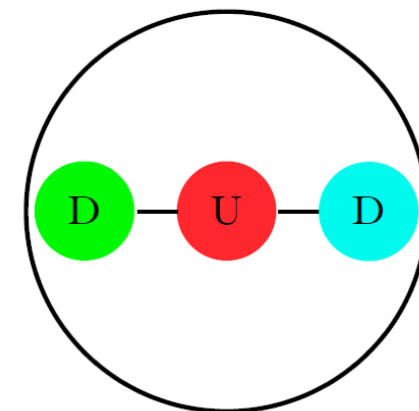
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Theory:
More generic axion-like particles (ALPs) predicted by many extensions of SM (e.g. string theory)



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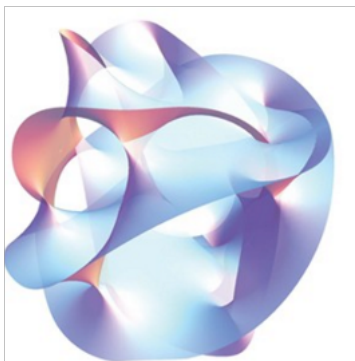
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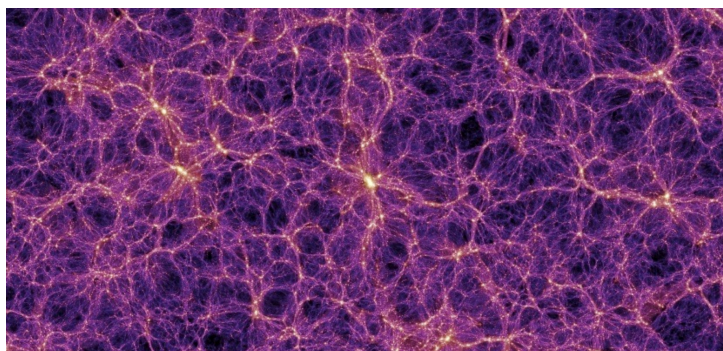
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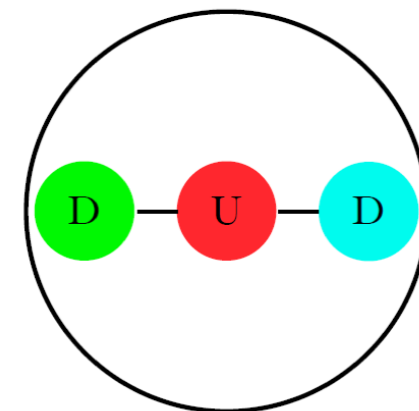
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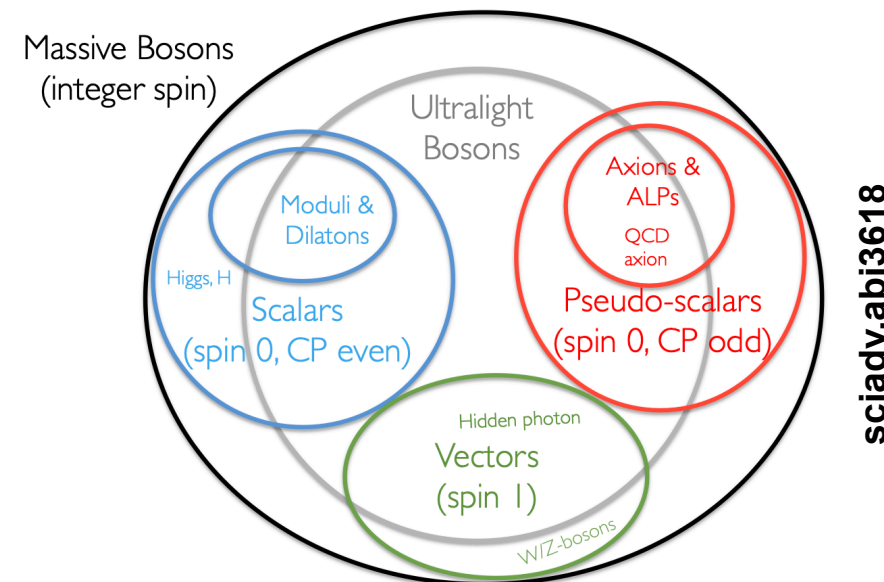
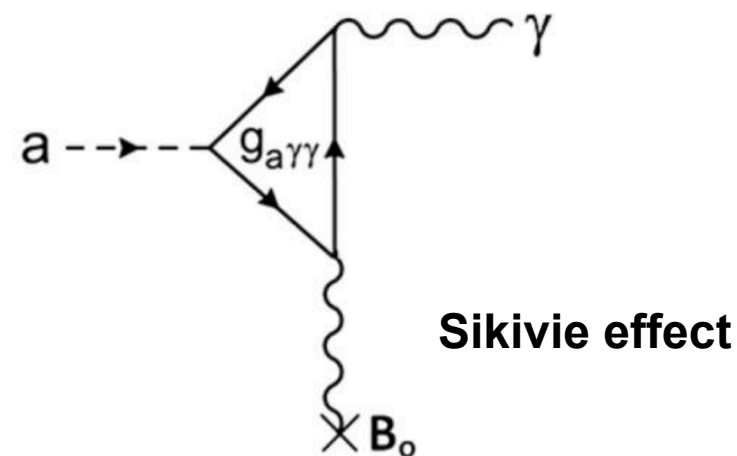
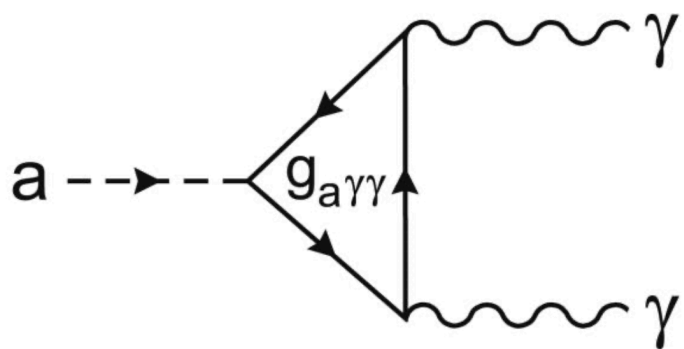


Astrophysical hints:
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Detection of Axion/ALPs

Coupling to Photons

- Properties of axions/ALPs:
 - ➔ WISP (Weakly interacting sub-eV particles), typical: $m_a < 1\text{eV}$
 - ➔ Pseudo-scalar
 - ➔ $Z = 0$
 - ➔ Minimal interaction with SM constituents
 - ➔ **Axion/ALP photon mixing in magnetic fields**

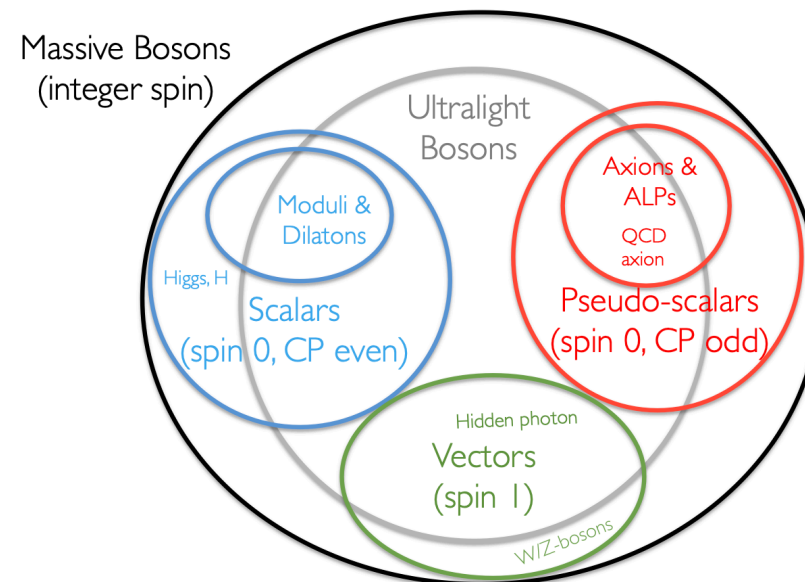
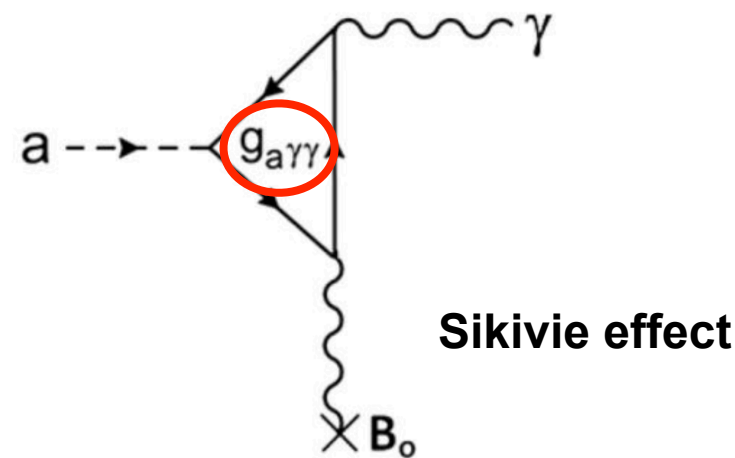
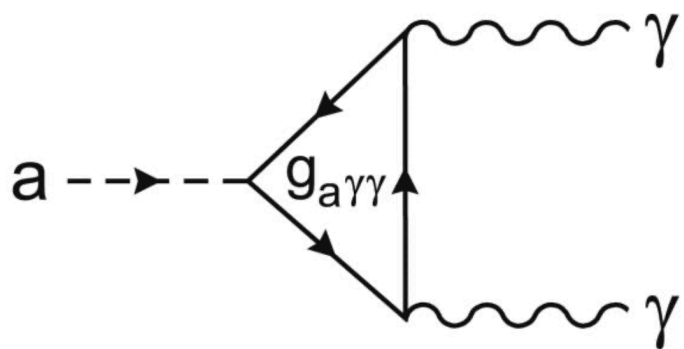


sciadv.abj3618

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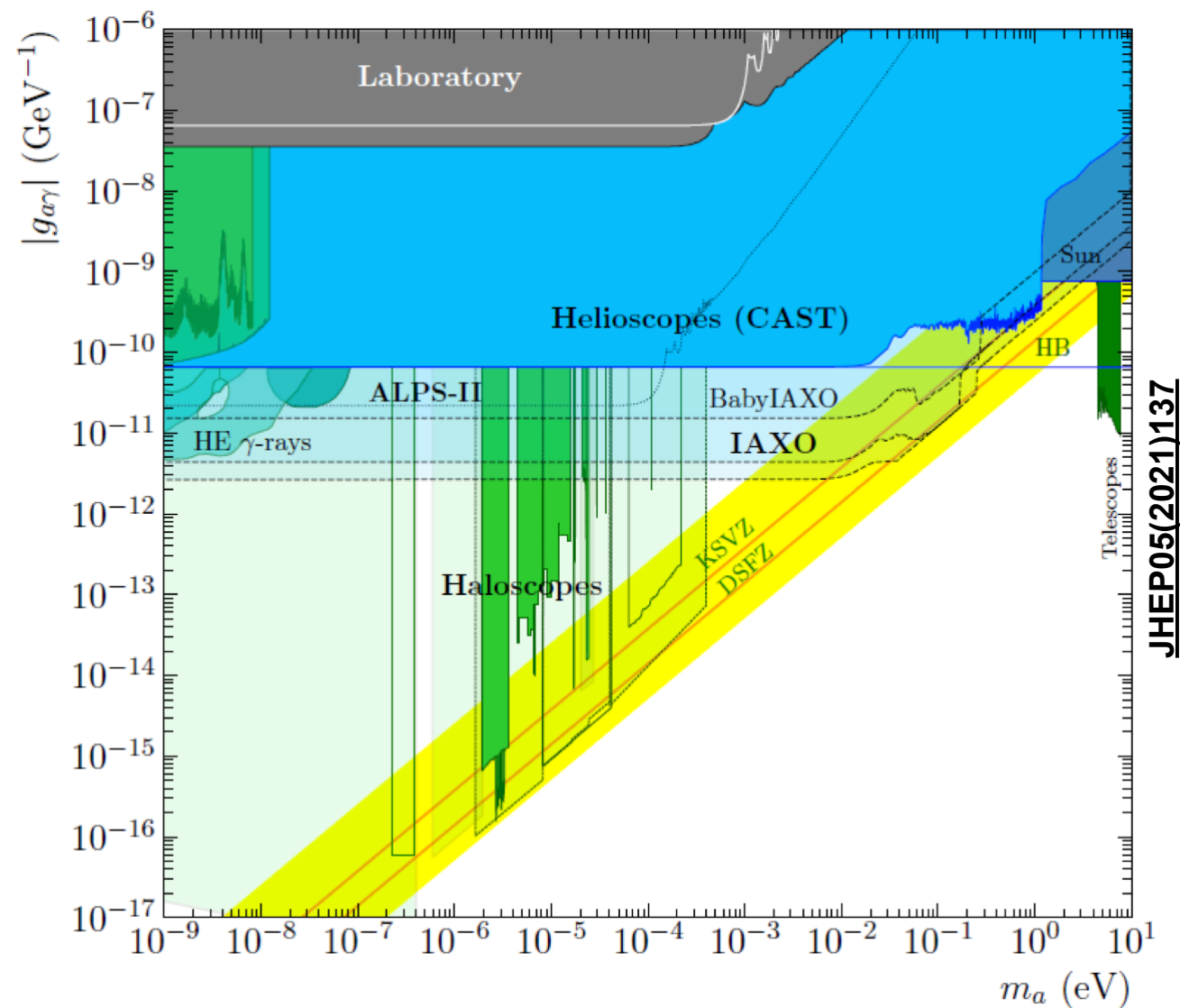
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The Parameter Landscape

Theories and Experiments

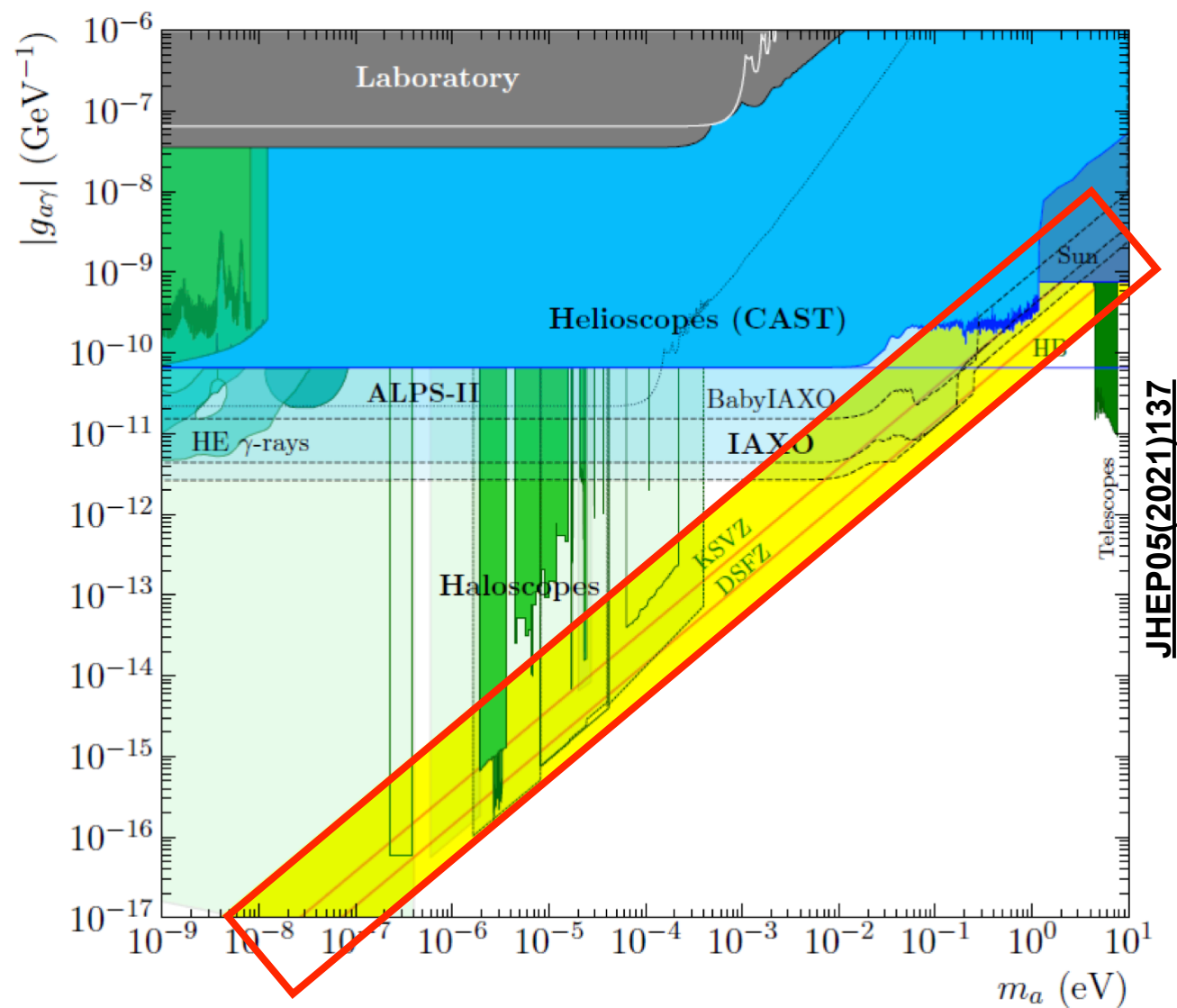


JHEP05(2021)137

The Parameter Landscape

Theories and Experiments

- Yellow band: traditional QCD axion benchmarks
 - ➡ DFSZ (Dine, Fischler, Srednicki, Zhitniskii) axions couple to fermions
 - ➡ KSVZ (Kim, Shifman, Vainshtein, Zakharov) axions couple to BSM quarks only

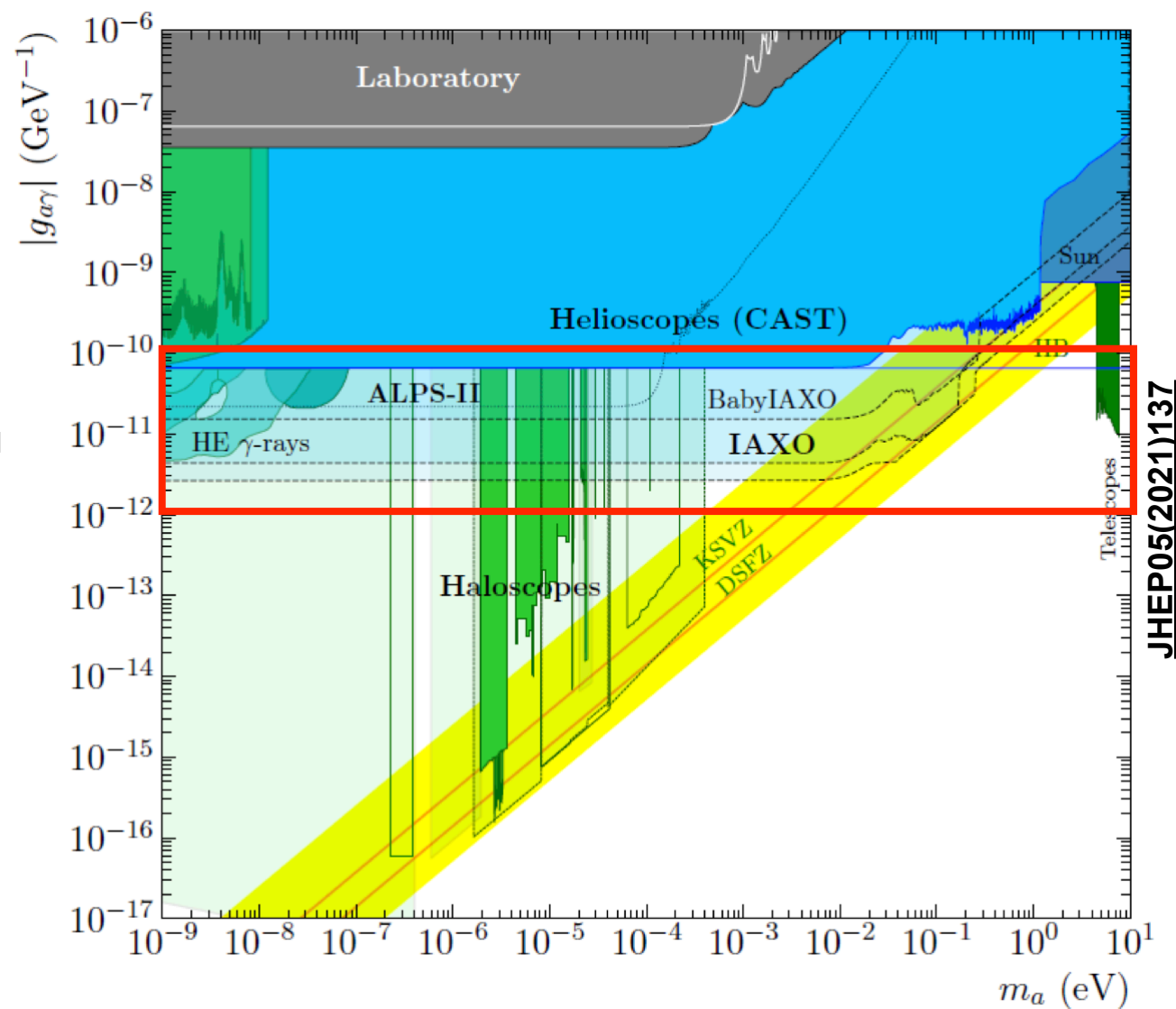


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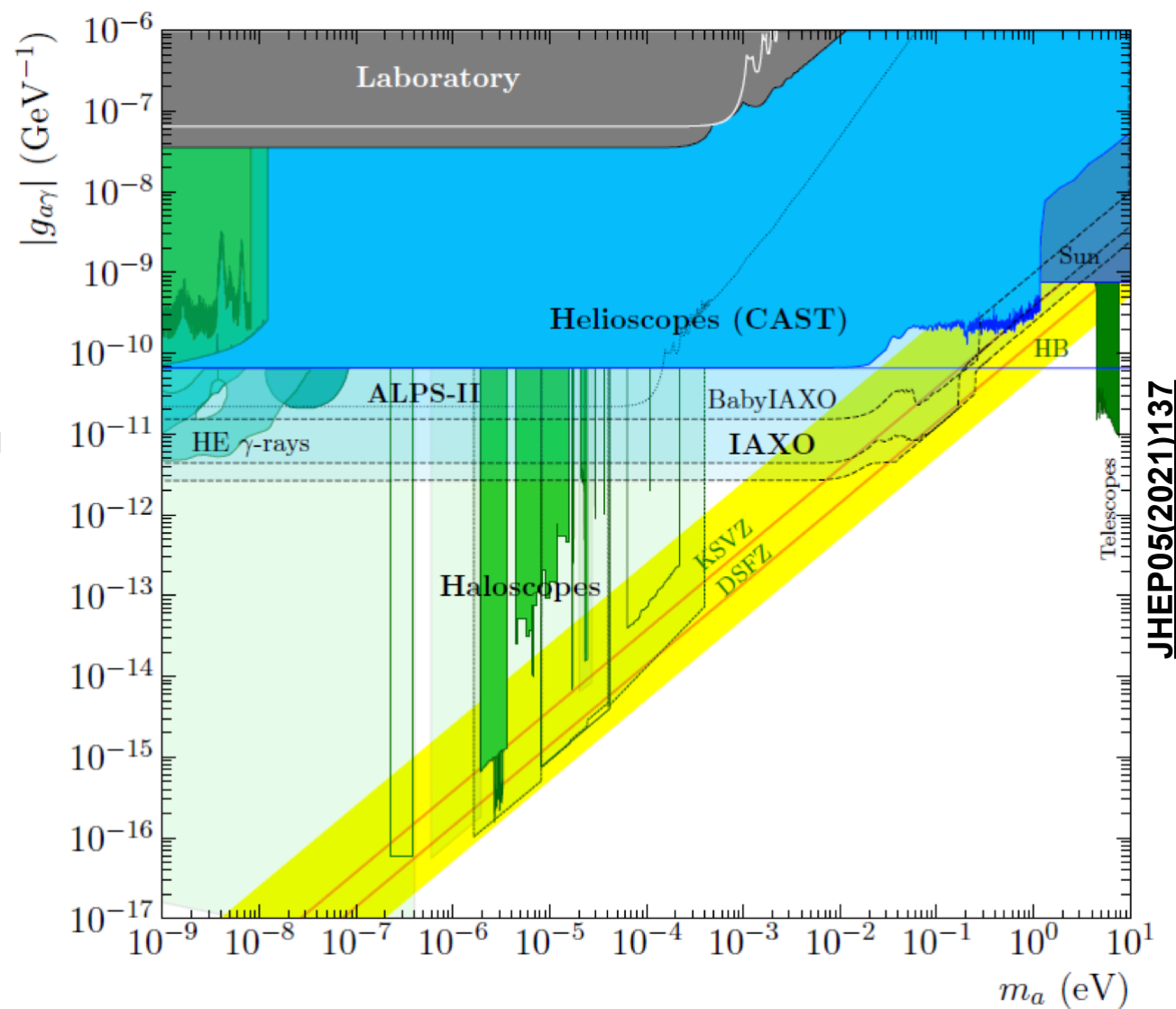


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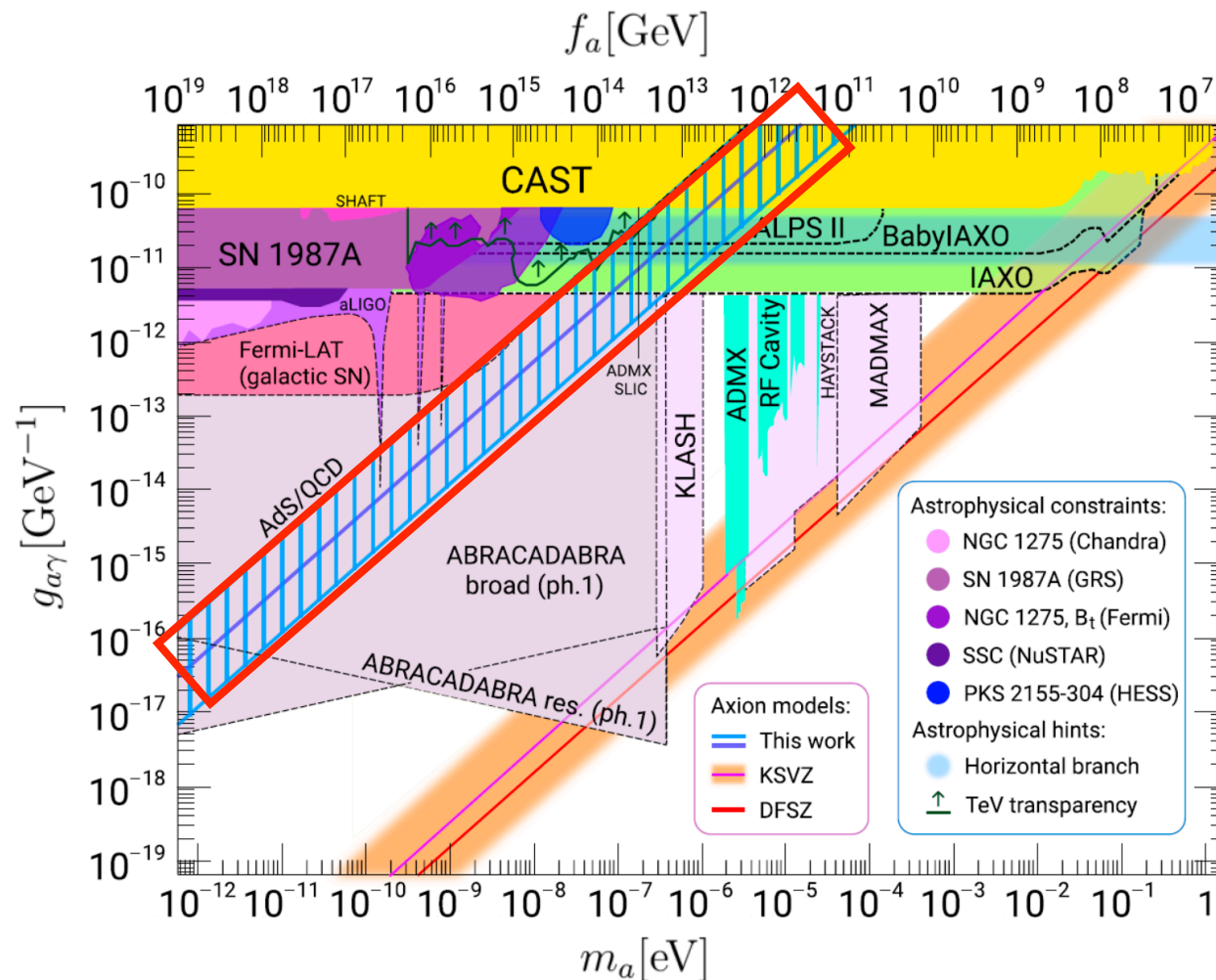


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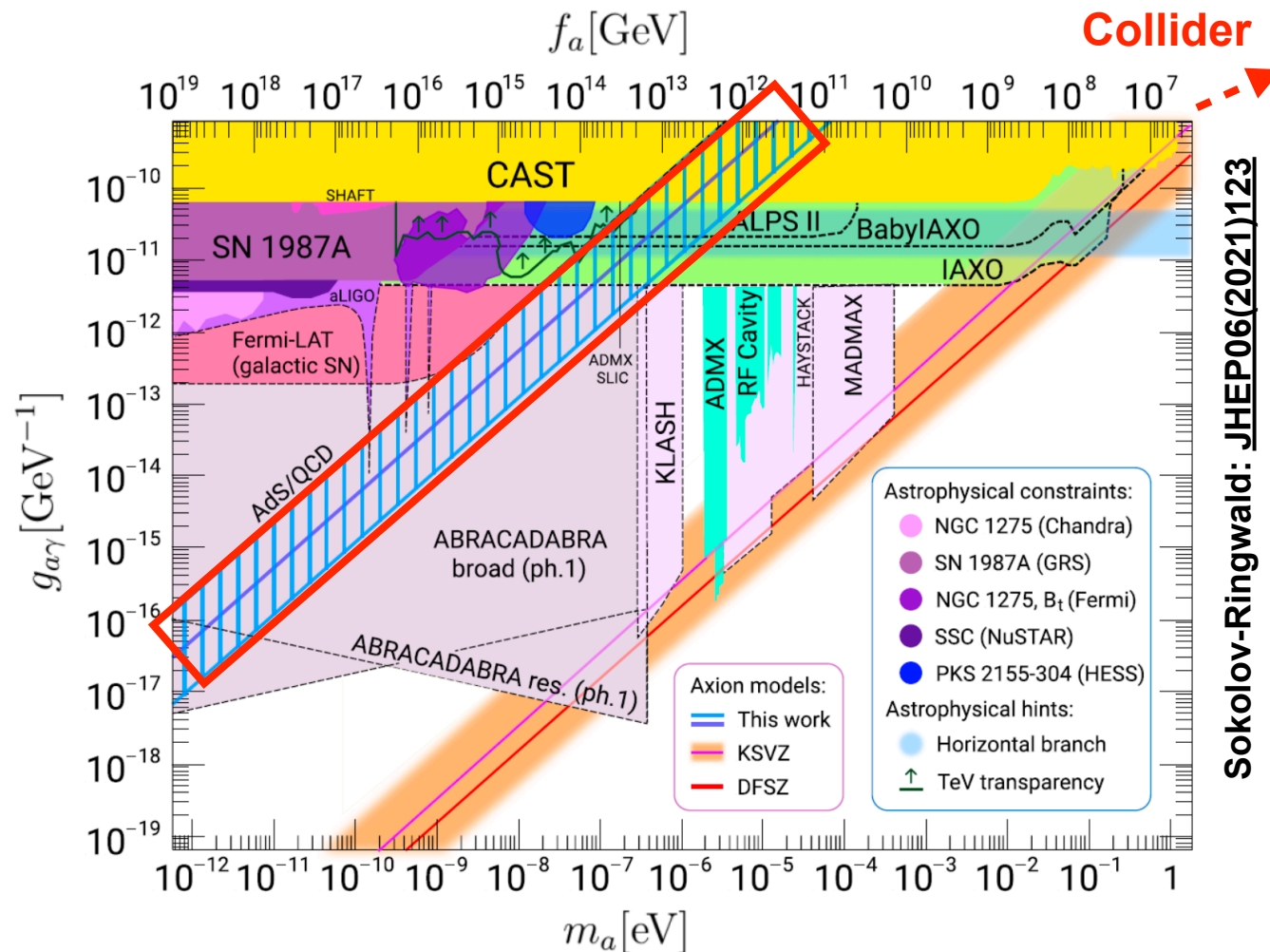


Sokolov-Ringwald: JHEP06(2021)123

The Parameter Landscape

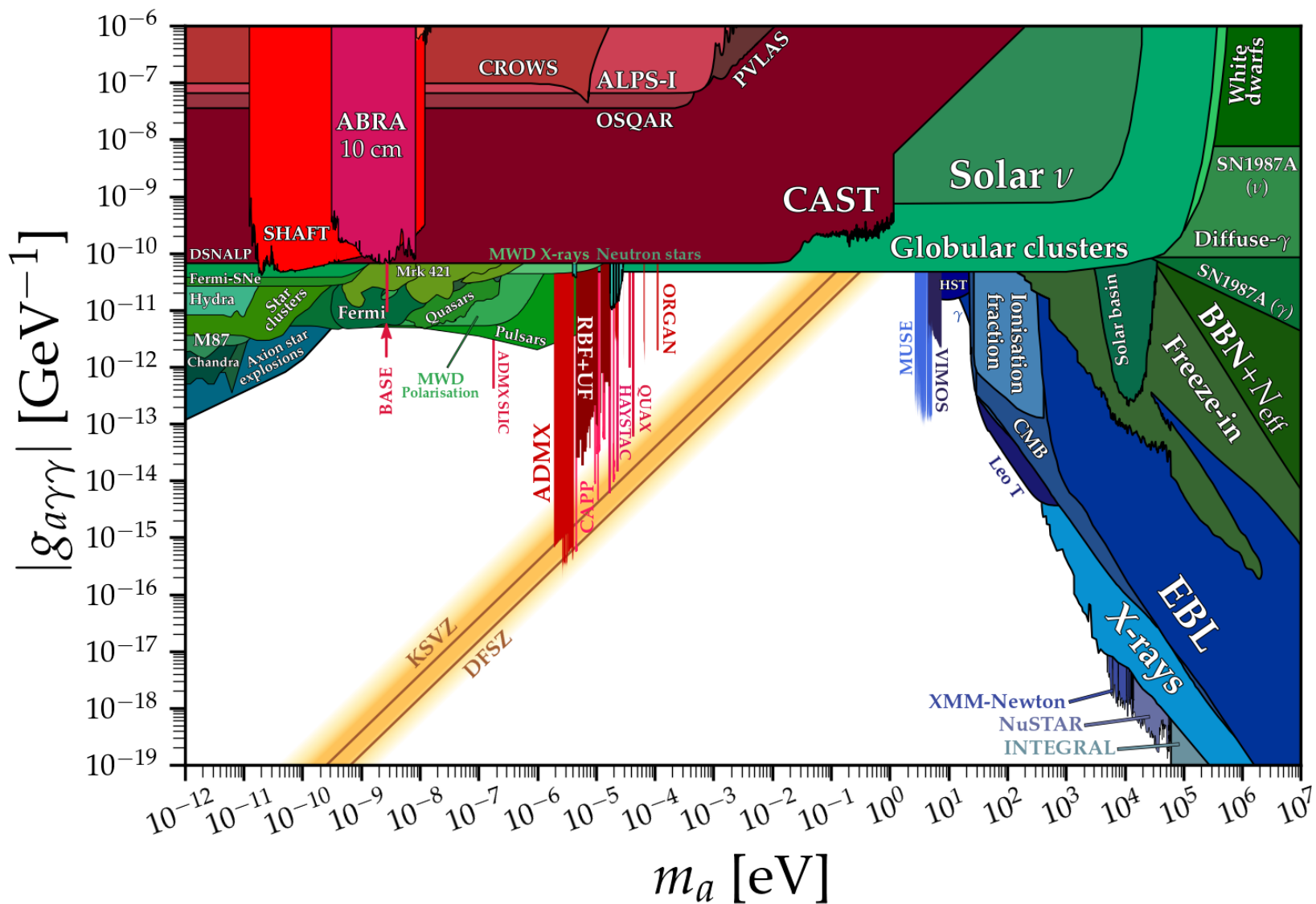
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- **Reachable parameter space! Very interesting times for different types of axion experiments!**



Experimental Exclusion Limits

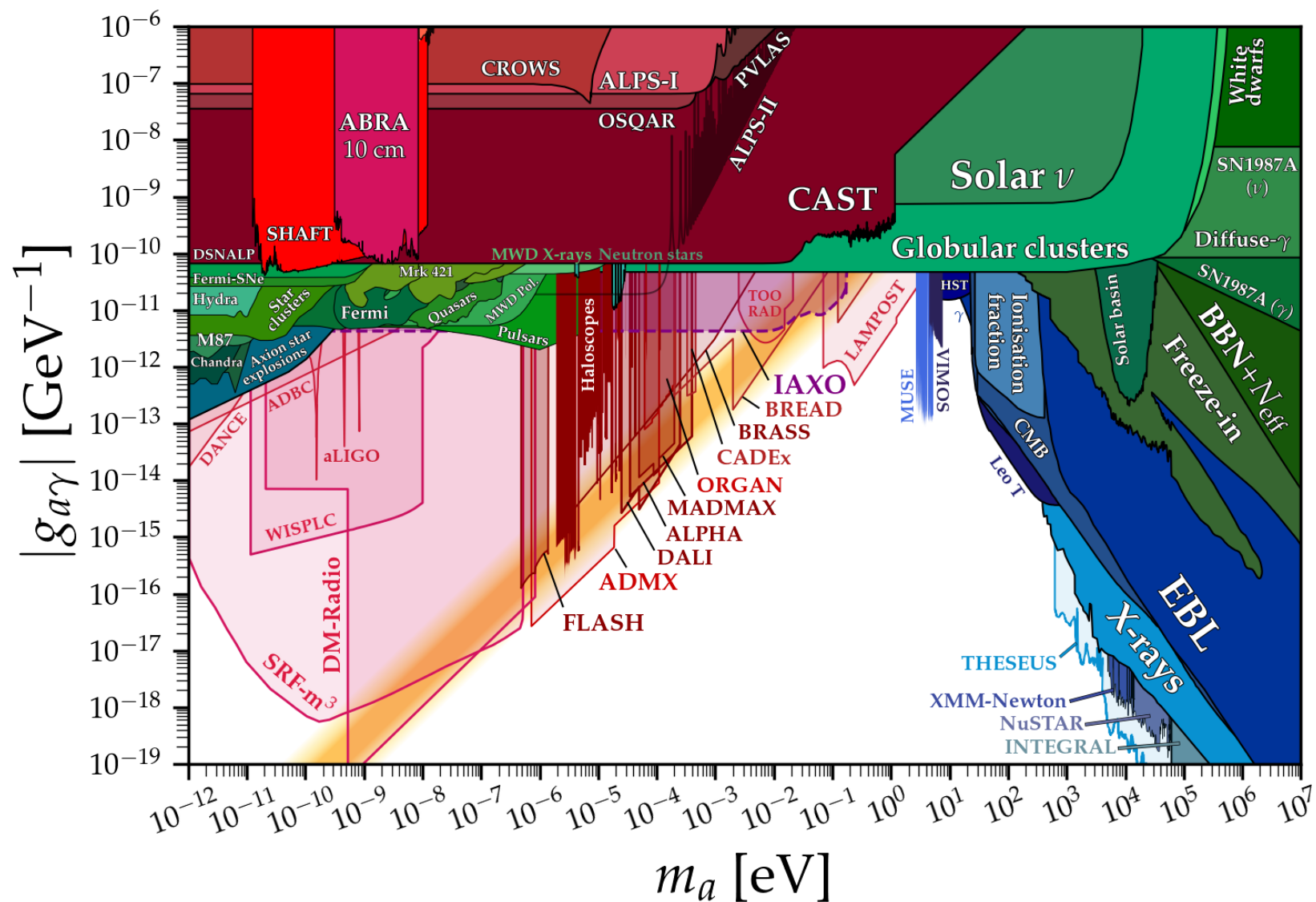
<https://cajohare.github.io/AxionLimits/docs/ap.html>



The Current Parameter Landscape

Experimental Exclusion Limits + Projections

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Experimental Approaches

Complementarity & Model Dependencies

Concept

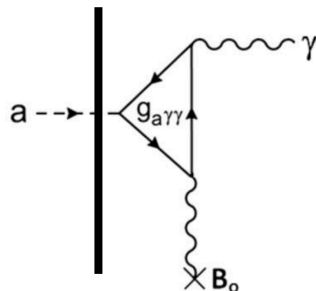
Source

Detection

Model & Cosmology
Dependency

Experiments

Haloscopes



High: axions cold dark
matter constituents
-> “monochromatic”
microwave

ADMX, CAST-CAPP,
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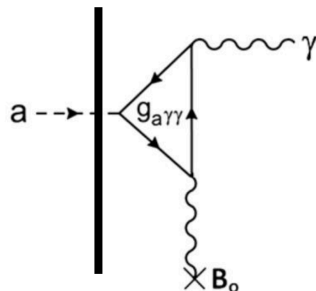
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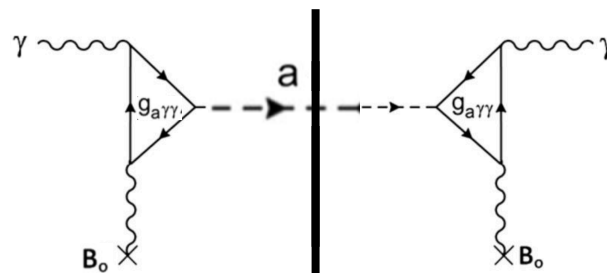
ADMX, CAST-CAPP, CASPER, RADES, **MADMAX**,...



Light-shining-through-walls experiments



Lab axions



Model independent: “self-made” axions
-> monochromatic microwave/optical

OSQAR, CROWS, ALPS, **ALPS II**,...



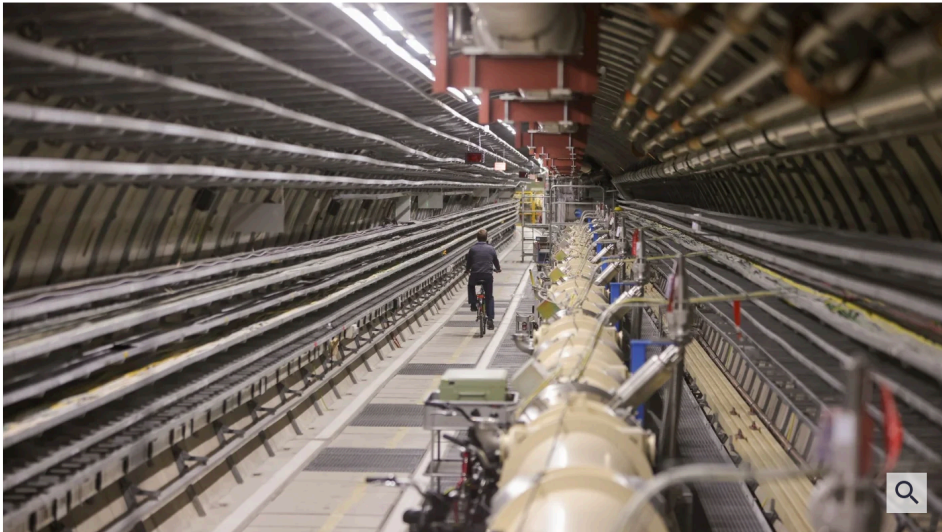
ALPS II - End of May 2023: Start of First Science Run

Just one Extra Slide...

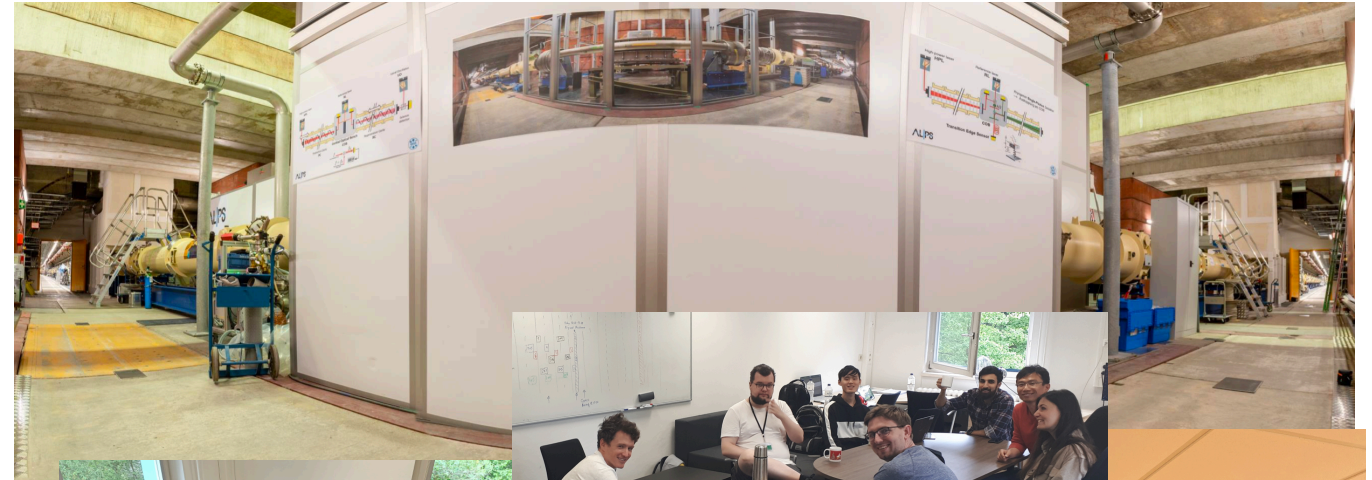
Forschung - Hamburg

Mit Licht durch die Wand: Desy forscht zu Dunkler Materie

23. Mai 2023, 12:10 Uhr | Lesezeit: 1 min



Ein Mitarbeiter des Deutschen Elektronen-Synchrotrons (DESY) fährt am Instrument ALPS II entlang. Foto: Ulrich Perrey/dpa/Archivbild (Foto: dpa)



[Link to ALPS II video](#)

[Link to drone flight video](#)

Experimental Approaches

Complementarity & Model Dependencies

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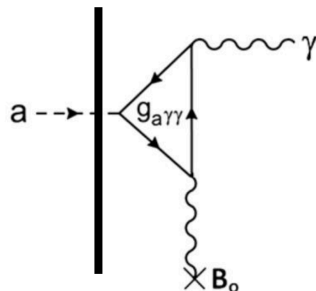
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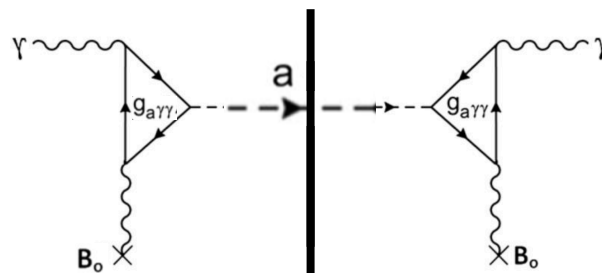
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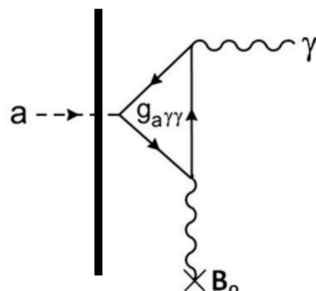
OSQAR, CROWS, ALPS, **ALPS II**,...



Helioscopes



Solar axions



Low: but depending on production channel and solar model
-> X-rays (relativistic axions!), non-monochromatic

SUMICO, CAST, **(Baby)IAXO**



Helioscopes

The sunny side of life with (Baby)IAXO.



<https://www.stern.de/kultur/tv/-teletubbies---so-sieht-das-baby-auf-der-sonne-heute-aus-32610940.html>



Baby
IAXO

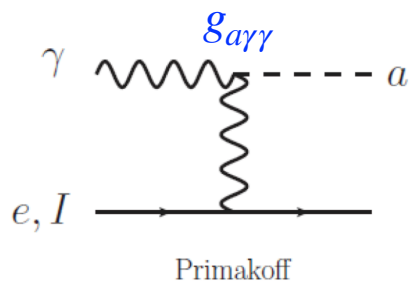
Solar Axions

Production Mechanisms

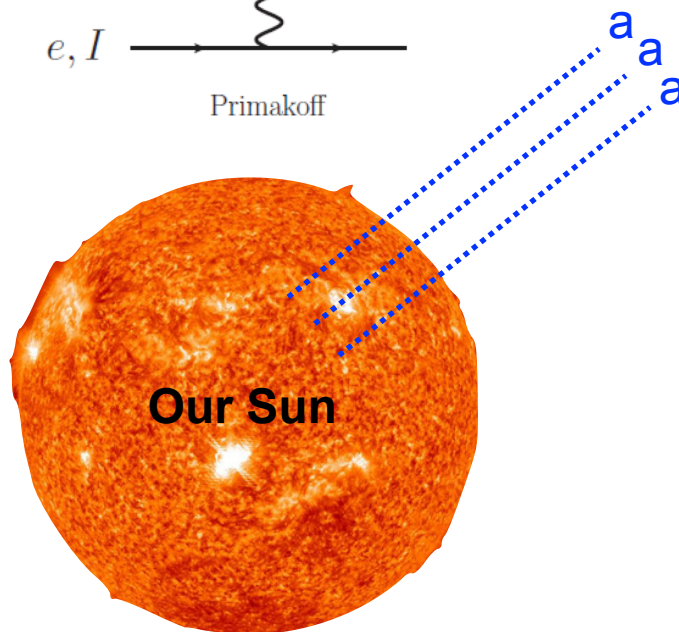


Solar Axions

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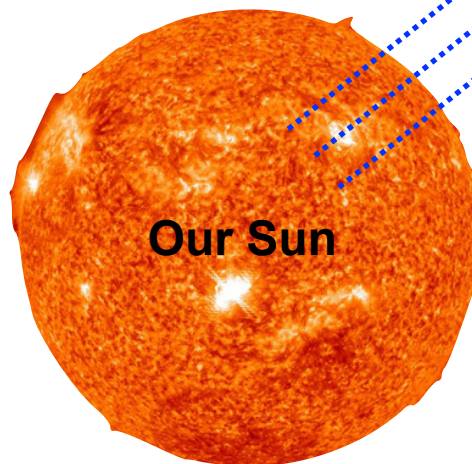
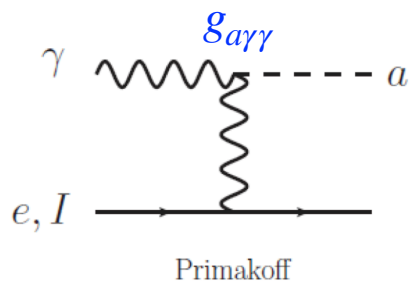


1. “Classical” Primakoff axions from solar plasma photons
 - ➡ Generic prediction of most axion models
 - ➡ Axion energy: thermal spectrum of sun



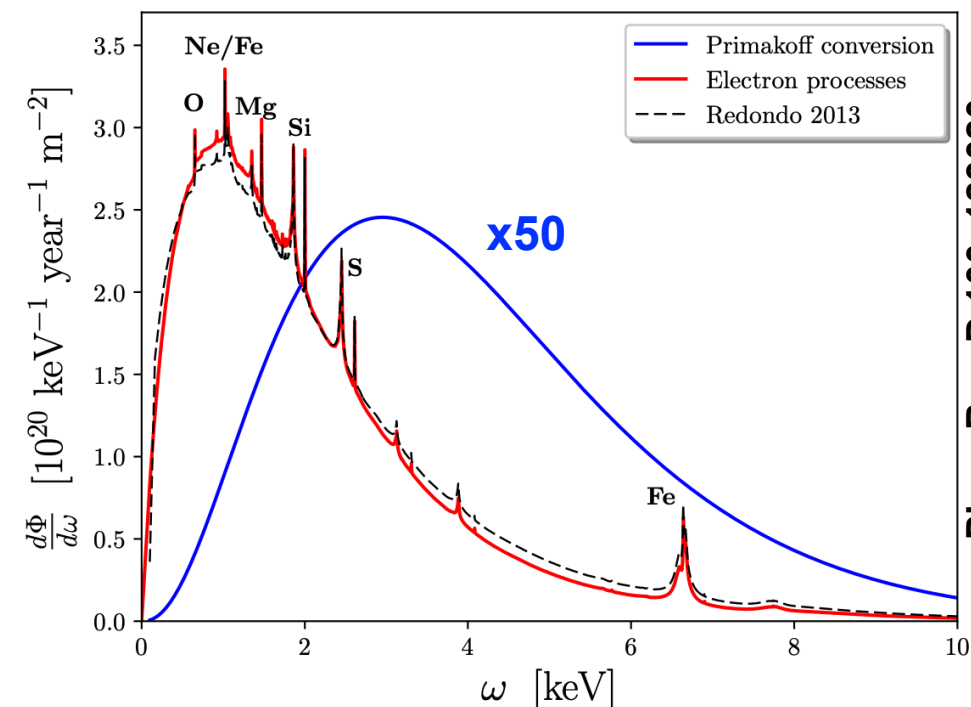
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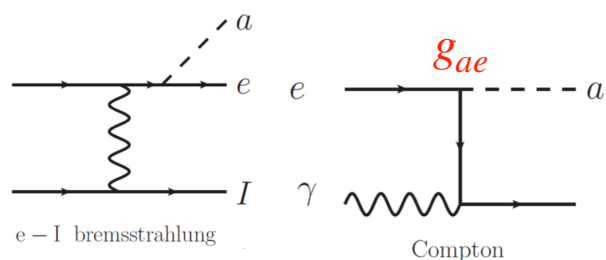
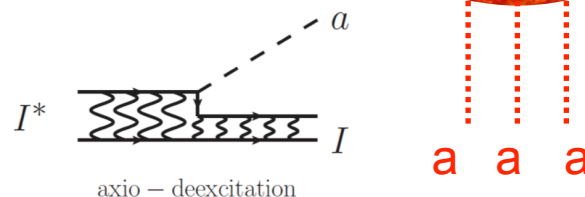
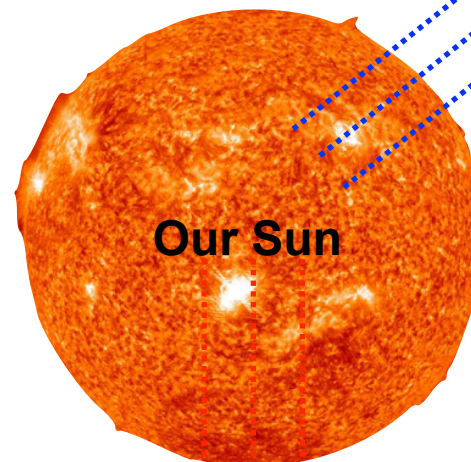
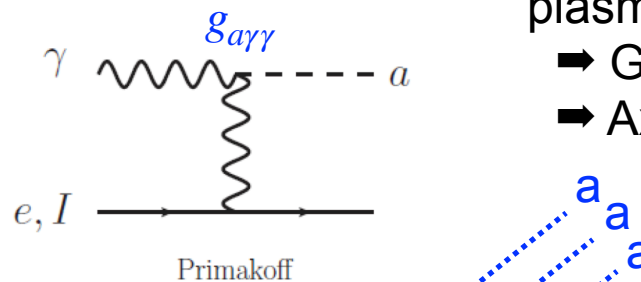
Differential axion flux at earth



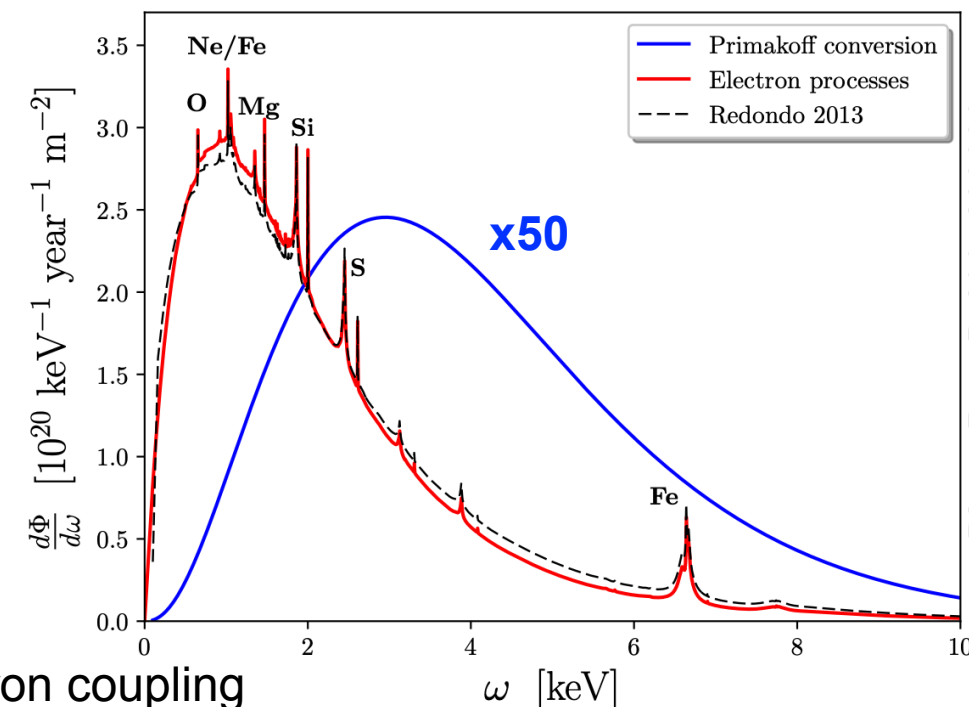
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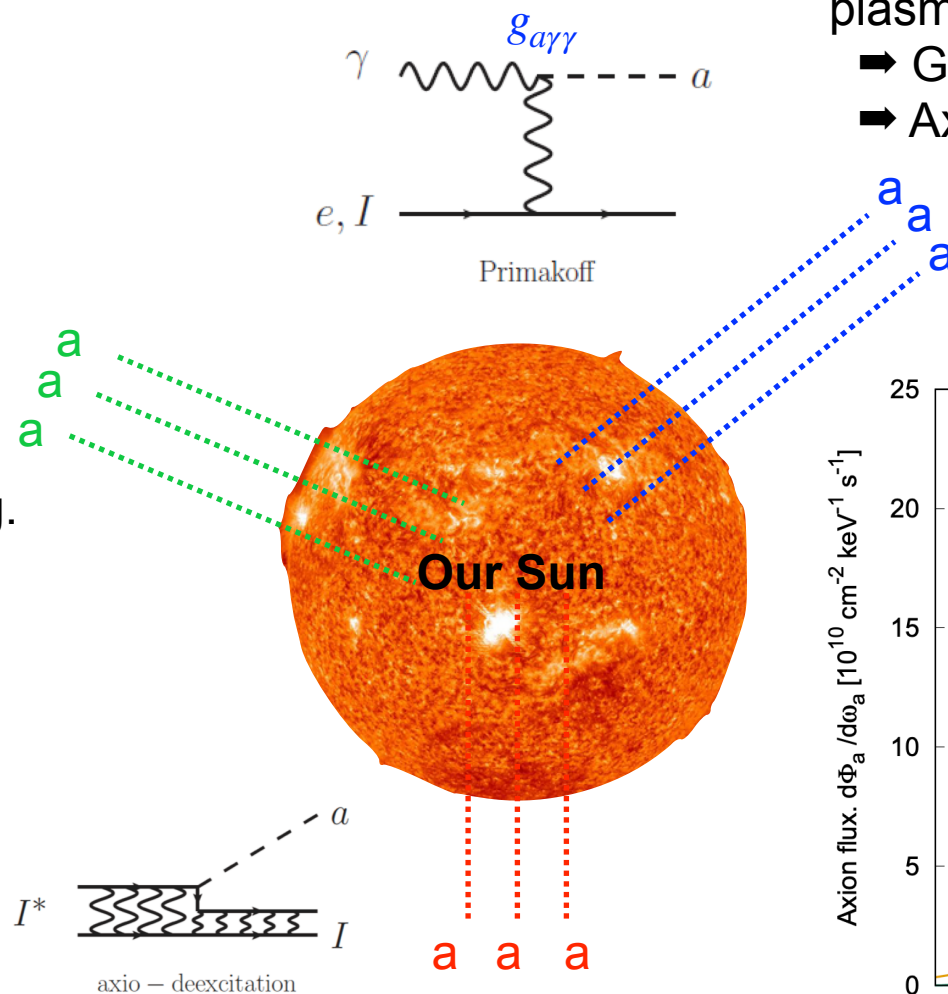
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Solar Axions

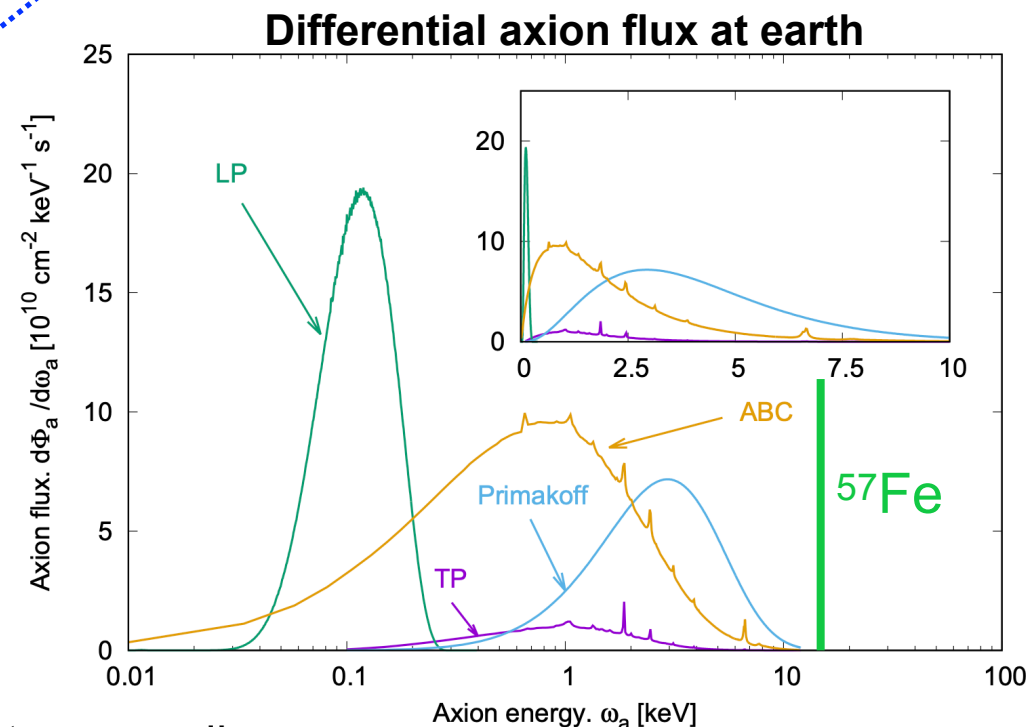
Production Mechanisms

3. Solar axions from axion-nucleon coupling g_{an}

- ➔ Model dependent
- ➔ Fusion processes and nuclear transitions, e.g. most promising: ^{57}Fe
- ➔ Monochromatic lines: e.g. 14.4 keV (^{57}Fe)



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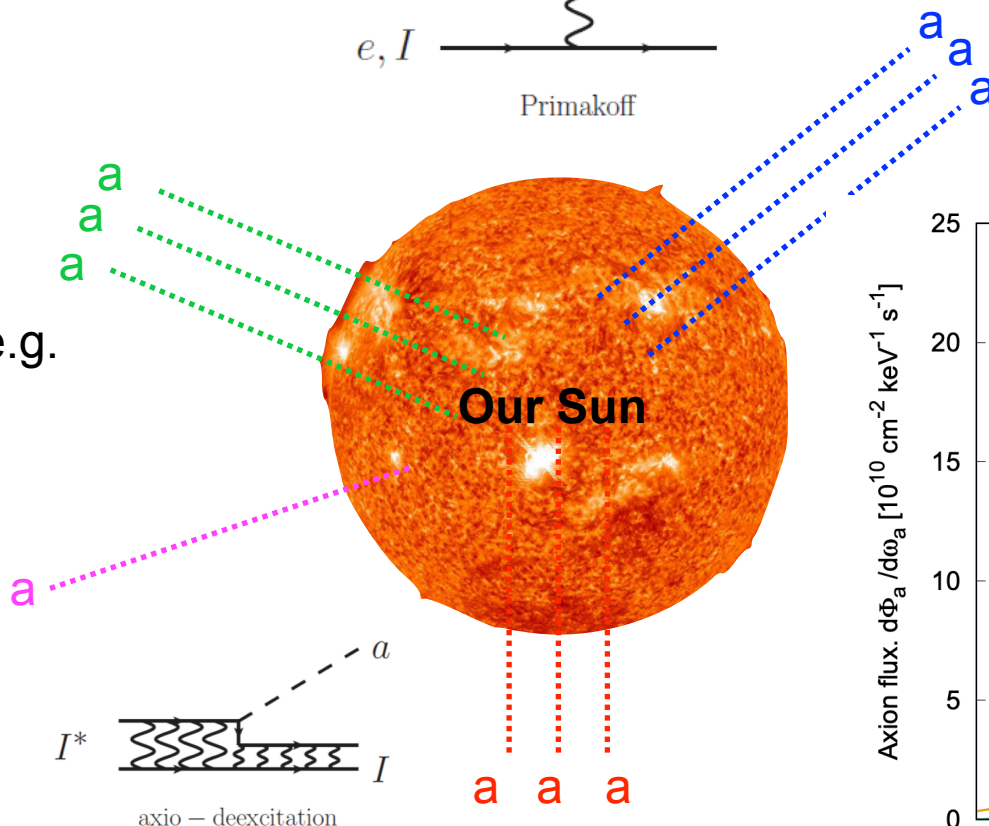
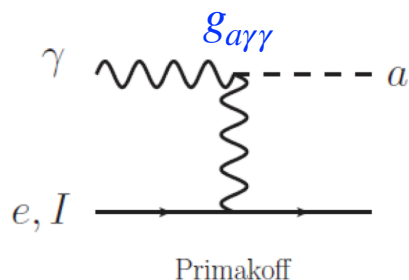
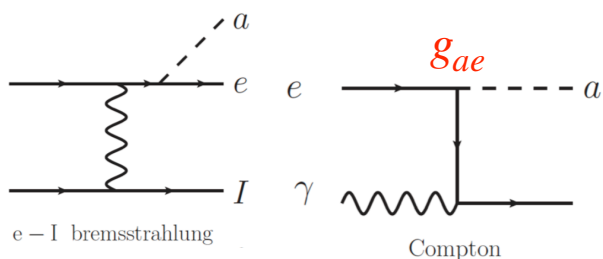
[universe8010037](https://arxiv.org/abs/2306.10037)

Solar Axions

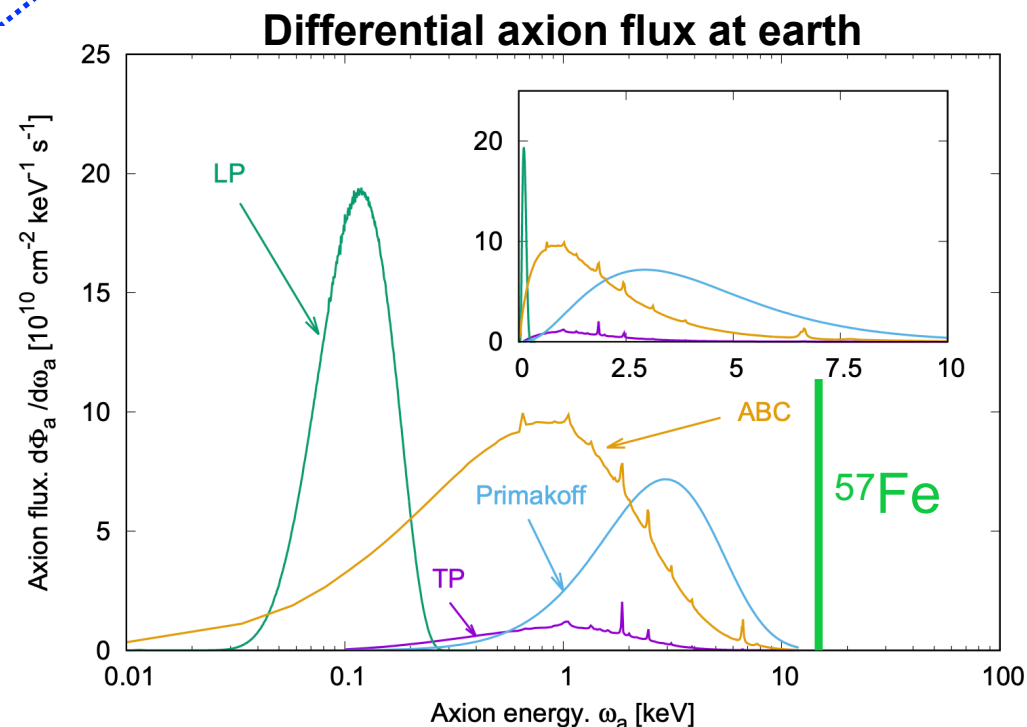
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- Other mechanisms:**
 - ➔ Photon-axion conversion in macroscopic B-field
 - ➔ Plasmon-axion conversion
Phys. Rev. D 102, 123024
Phys. Rev. D 101, 123004



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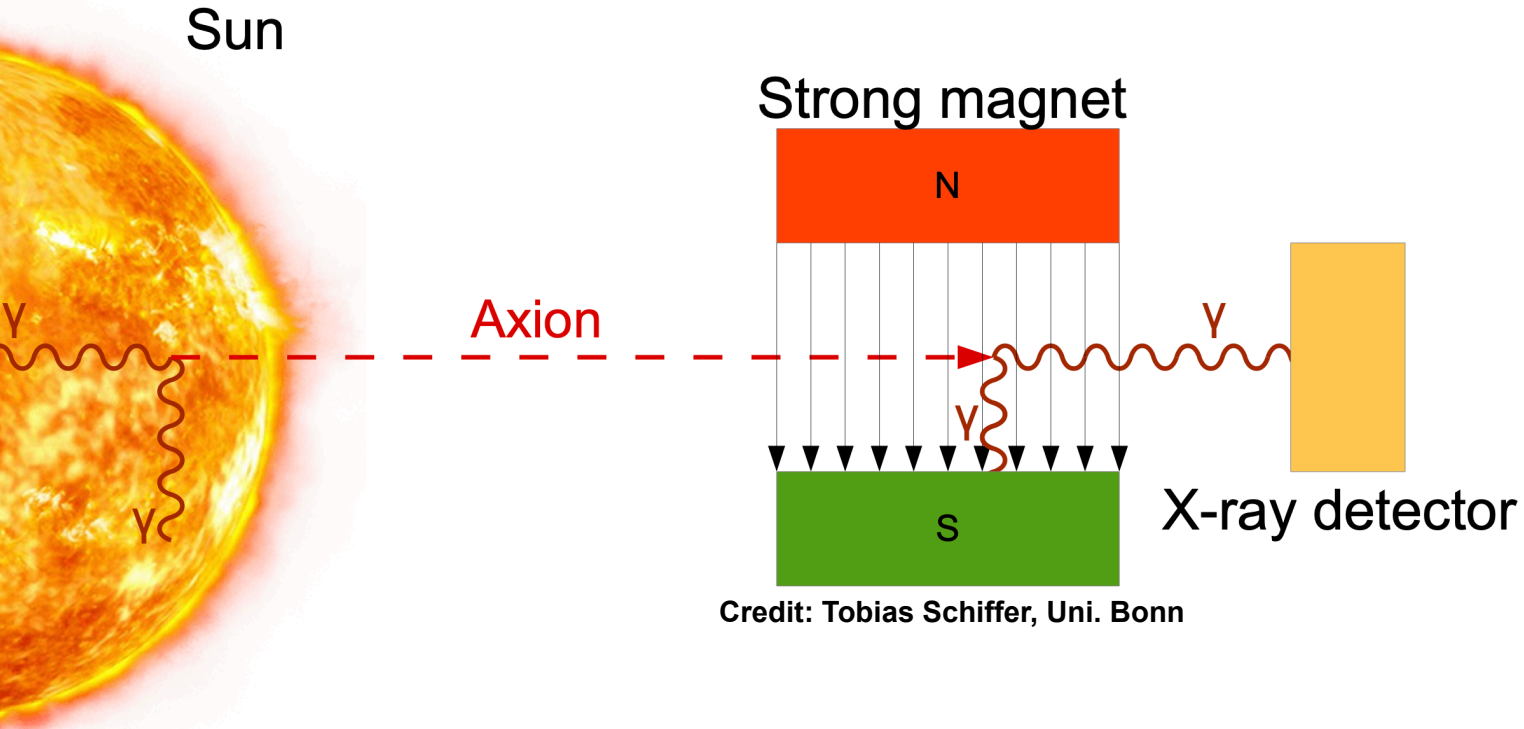


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[universe8010037](https://arxiv.org/abs/1801.00377)

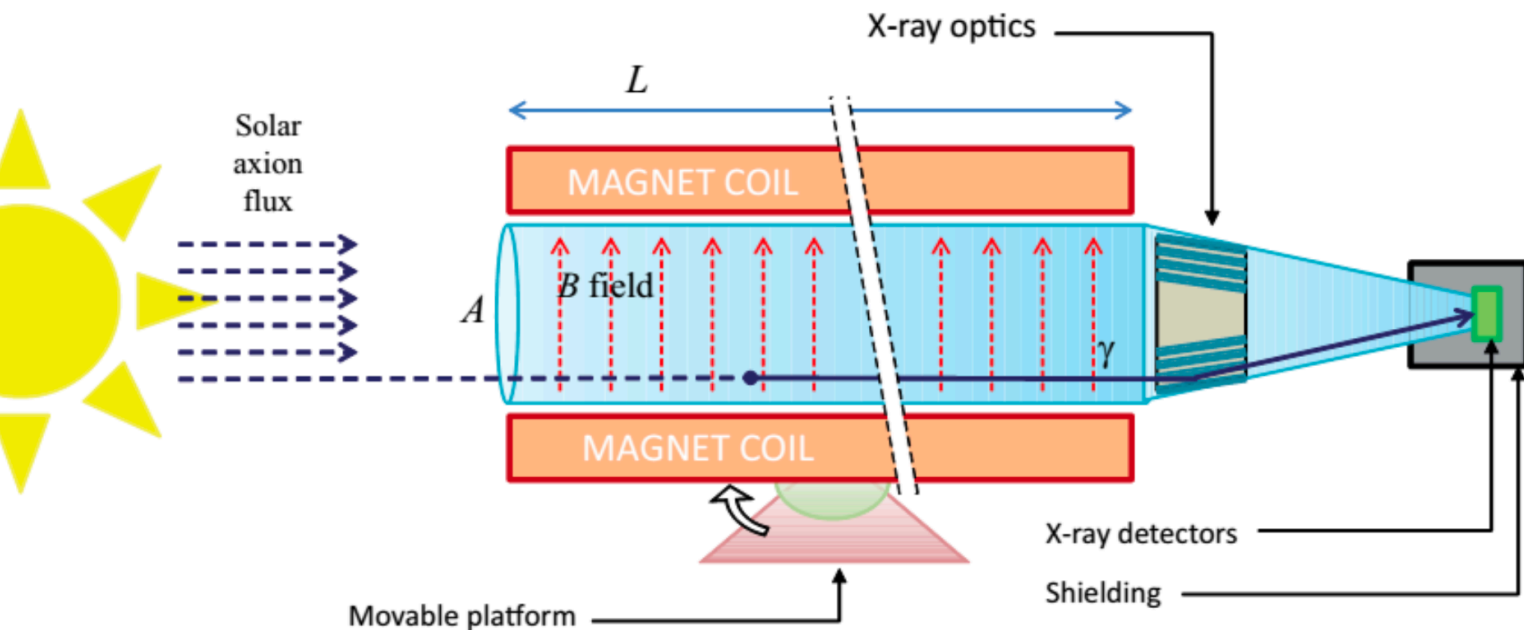
Helioscopes

Basic Components, Detection Principle and Figure of Merit



Helioscopes

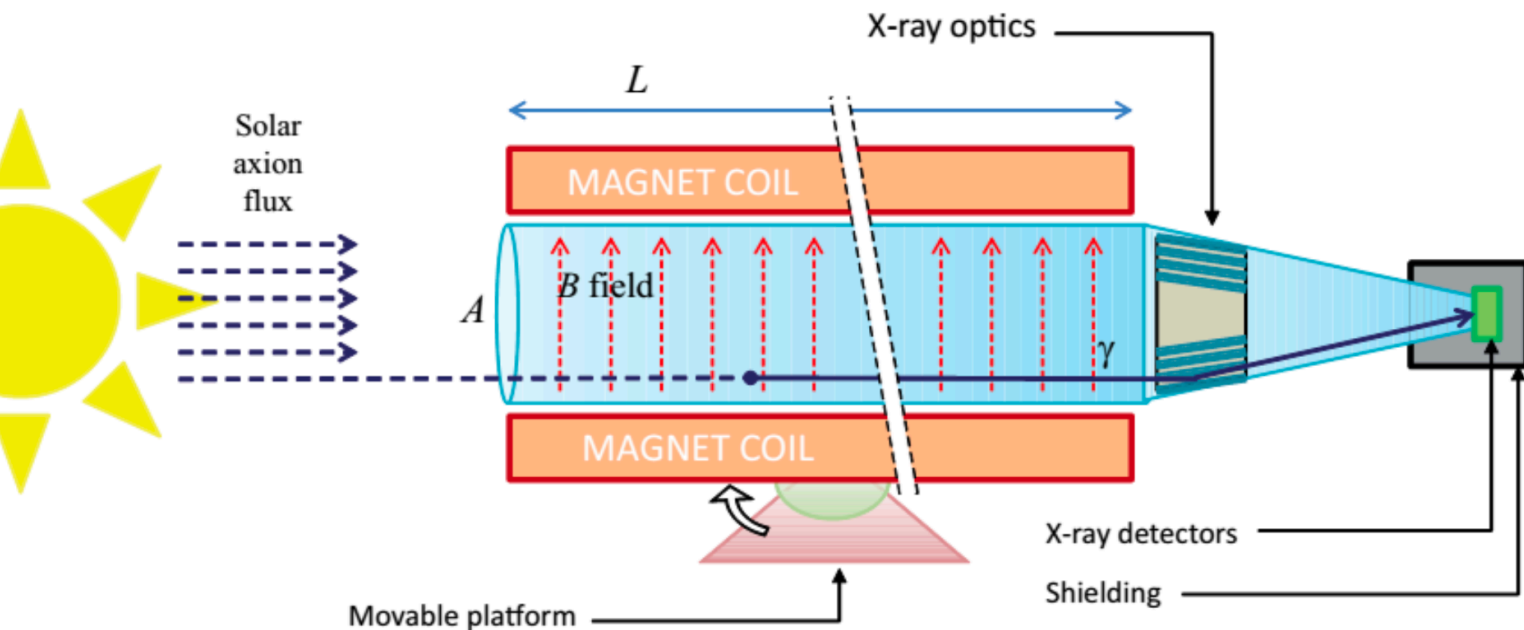
Basic Components, Detection Principle and Figure of Merit



- **Structure & drive system:** precise and long sun tracking capability
- **Magnet:** large volume and high field strength
- **X-ray optics:** small focal spot and high throughput
- **X-ray detectors:** high efficiency and low background

Helioscopes

Basic Components, Detection Principle and Figure of Merit



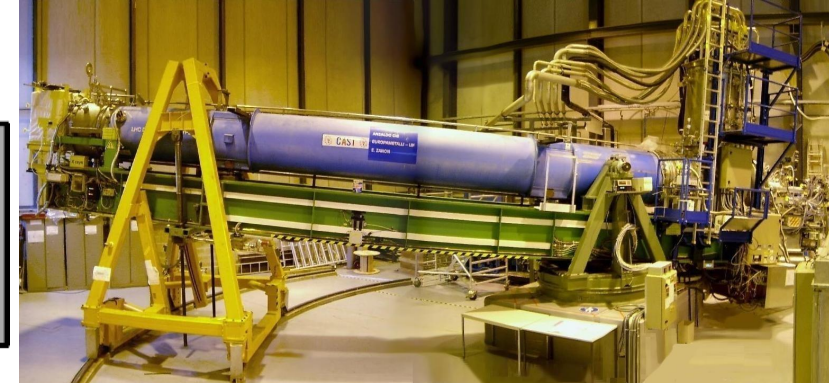
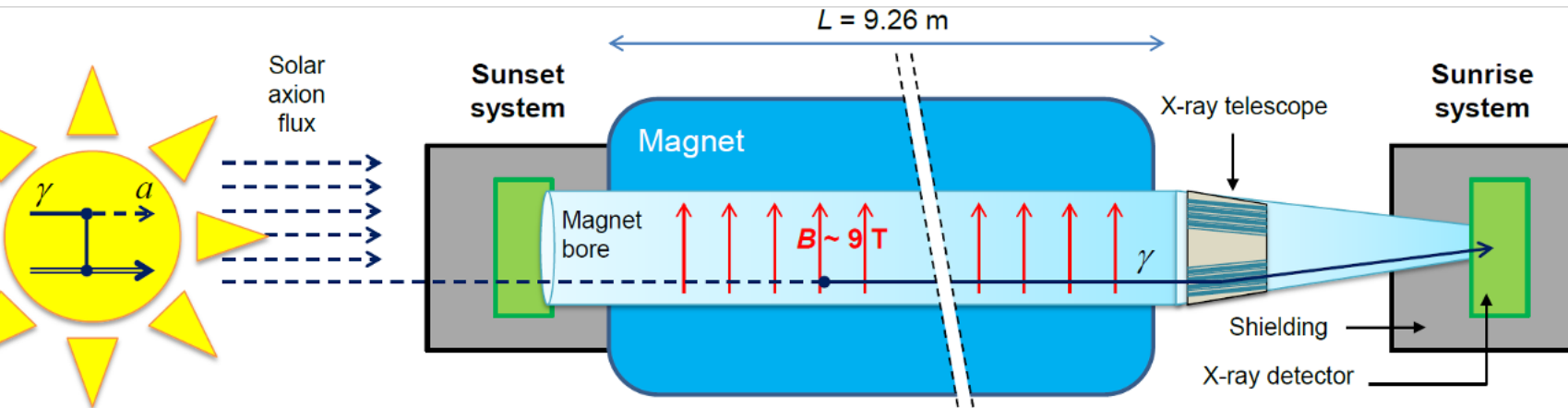
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Sensitivity figure of merit:

$$g_{a\gamma}^4 \propto \underbrace{b^{1/2} \epsilon^{-1}}_{\text{detectors}} \times \underbrace{a^{1/2} \epsilon_o^{-1}}_{\text{optics}} \times \underbrace{(BL)^{-2} A^{-1}}_{\text{magnet}} \times \underbrace{t^{-1/2}}_{\text{exposure}}$$

CERN Solar Axion Telescope (CAST)

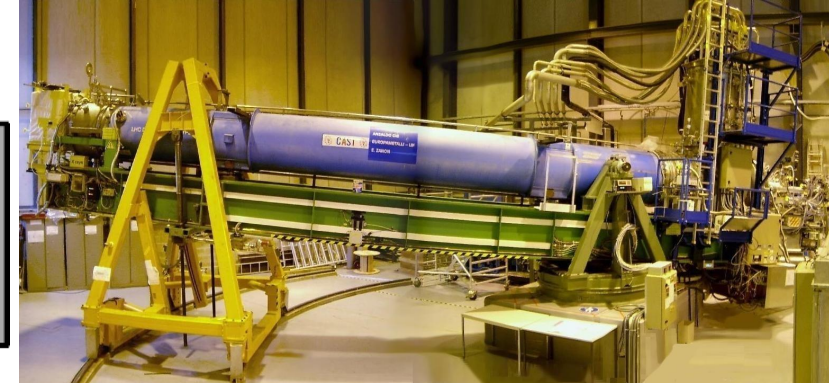
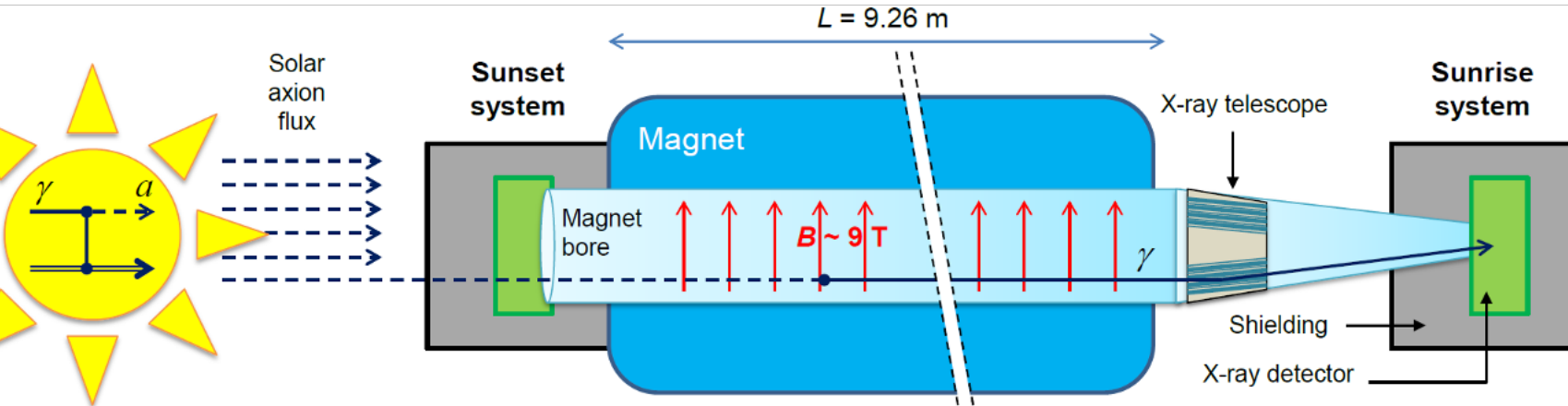
State-of-the-art Helioscope



- Sunrise & sunset system: sun tracking for 2 x 1.5 hours / day
- LHC magnet: $\sim 9 \text{ T}$, $\sim 10 \text{ m}$ long and two 4.2 cm diameter bores: $B^2 L^2 A = \sim 21 \text{ T}^2 \text{ m}^4$
- First helioscope using X-ray focusing and low background techniques
- Data taking ended 2021 after 20 years of fruitful operation

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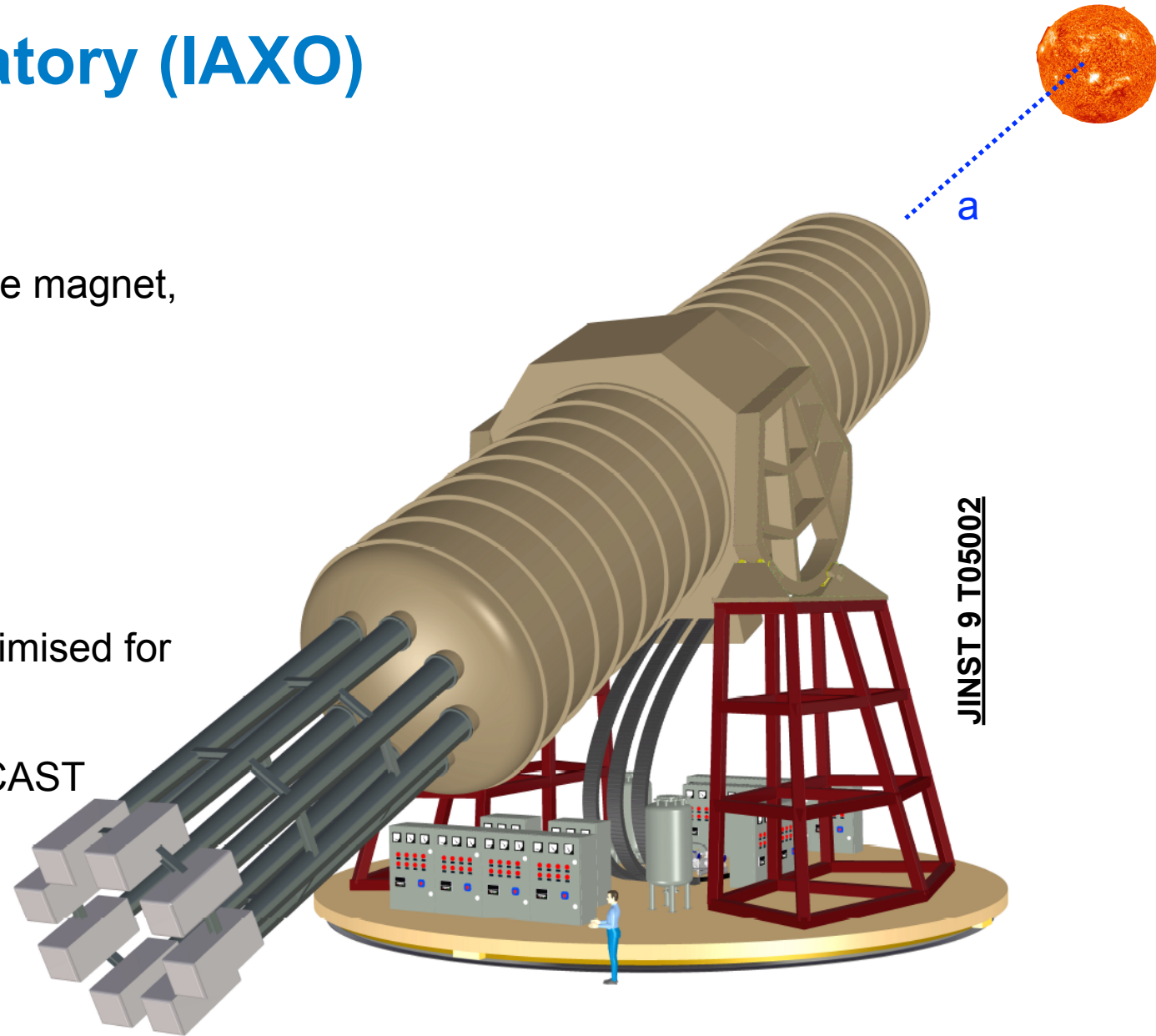


- Sunrise & sunset system: sun tracking for 2×1.5 hours / day
- LHC magnet: $\sim 9\text{ T}$, $\sim 10\text{ m}$ long and two 4.2 cm diameter bores: $B^2 L^2 A = \sim 21\text{ T}^2\text{ m}^4$
- First helioscope using X-ray focusing and low background techniques
- Data taking ended 2021 after 20 years of fruitful operation
 - ➔ Still state-of-the-art limits on $g_{a\gamma\gamma}$ vs. m_a and other parameter space
 - ➔ **Last years of experiment: IAXO pathfinder phase**

International AXion Observatory (IAXO)

The Next Generation Axion Helioscope

- 12 hours solar tracking per day
- 20 m superconducting purpose built large scale magnet, 2-3 T, 8 bores (d = 60 cm each)
 - ➔ $B^2 L^2 A = \sim 6200 \text{ T}^2 \text{ m}^4$ (300x CAST)
- X-ray optics with $\sim 0.2 \text{ cm}^2$ focal planes
- 8 detection lines
 - ➔ Complementary detector technologies optimised for different measurements
 - ➔ 4+ orders of magnitude better SNR than CAST



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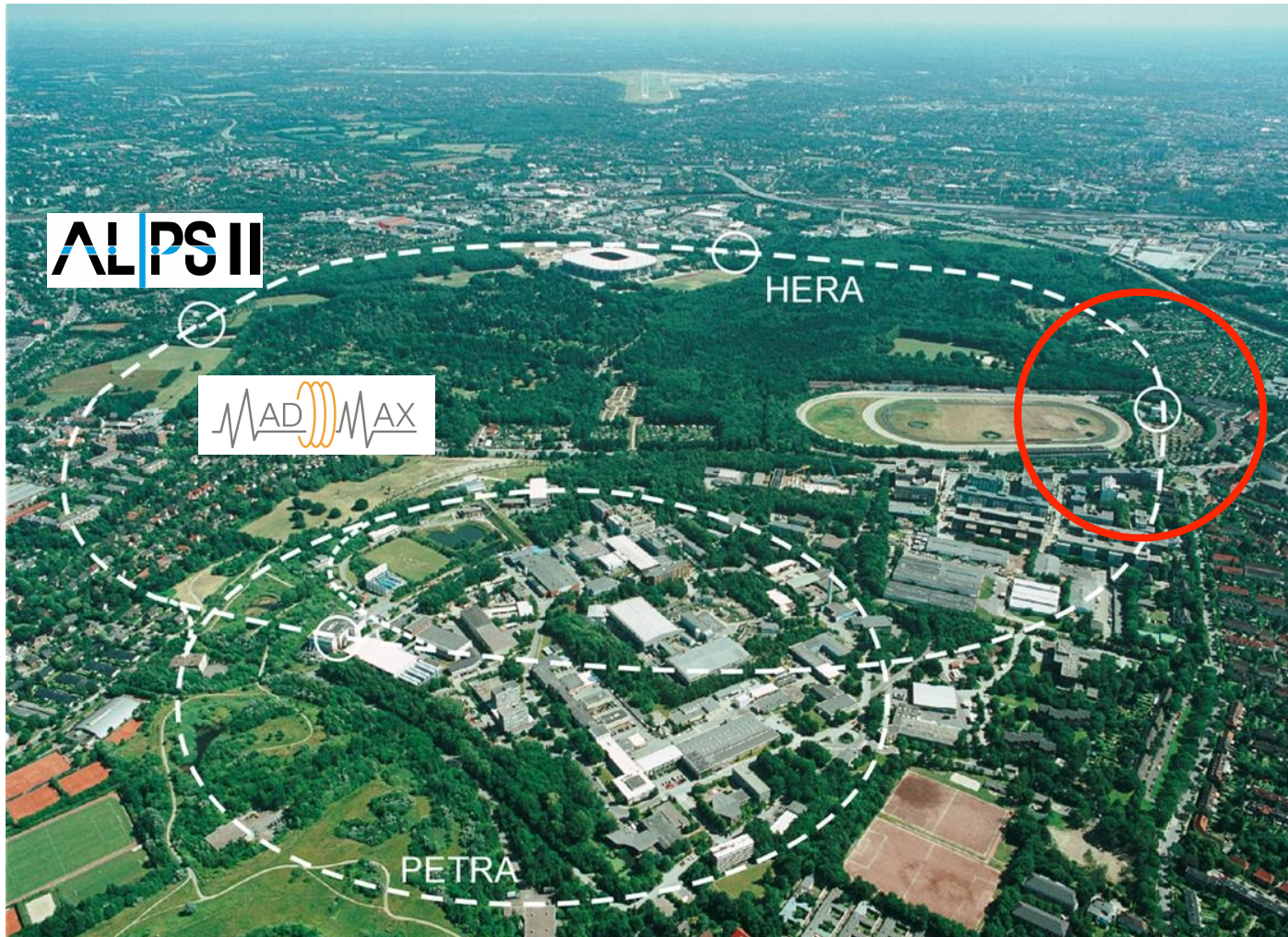
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BabyIAXO - The Intermediate Step

But indeed not a Baby...

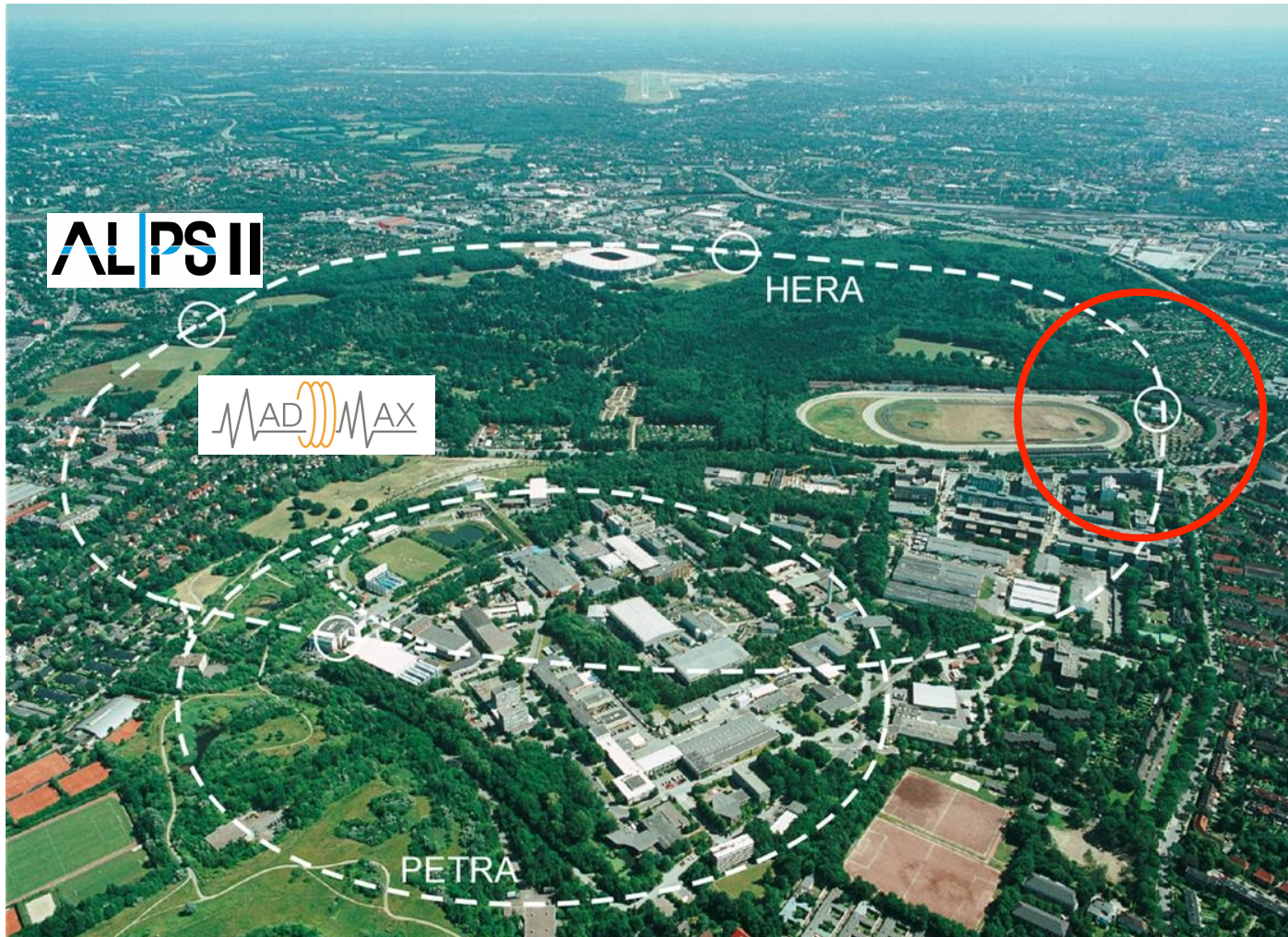


New life arises in HERA hall south!



BabyIAXO - The Intermediate Step

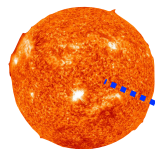
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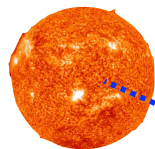
- Prototype for all IAXO sub-systems
- **Fully-fledged helioscope that will study new parameter space and deliver important physics results**



JHEP05(2021)137

BabyIAXO - The Intermediate Step

But indeed not a Baby...



- Prototype for all IAXO sub-systems
- **Fully-fledged helioscope that will study new parameter space and deliver important physics results**
- 12 hours solar tracking per day
- 10 m superconducting purpose built large scale magnet, 2-3 T, 2 bores (d = 70 cm each)
 - ➔ $B^2 L^2 A = \sim 325 \text{ T}^2 \text{ m}^4$ (>10x CAST)
- X-ray optics with $\sim 0.2 \text{ cm}^2$ focal planes
- 2 detection lines
 - ➔ Complementary detector technologies optimised for different measurements
 - ➔ 2 orders of magnitude better SNR than CAST

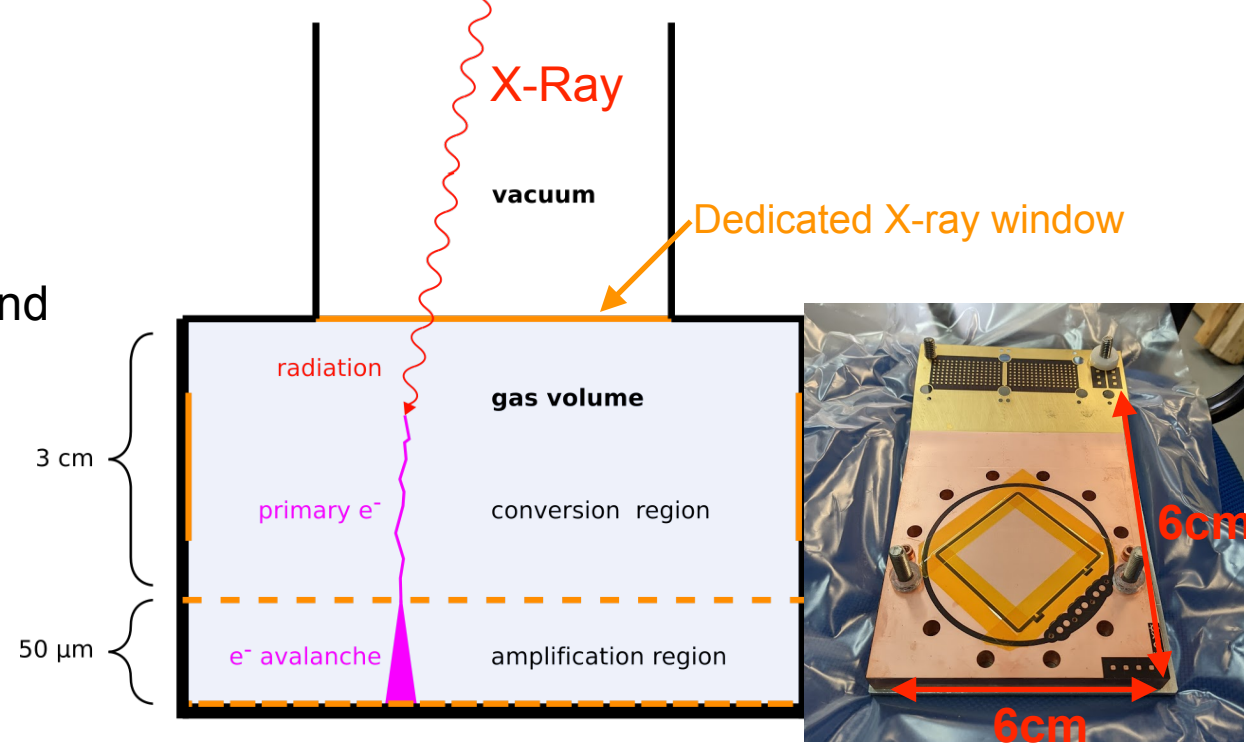


JHEP05(2021)137

X-Ray Detectors

Discovery Technologies

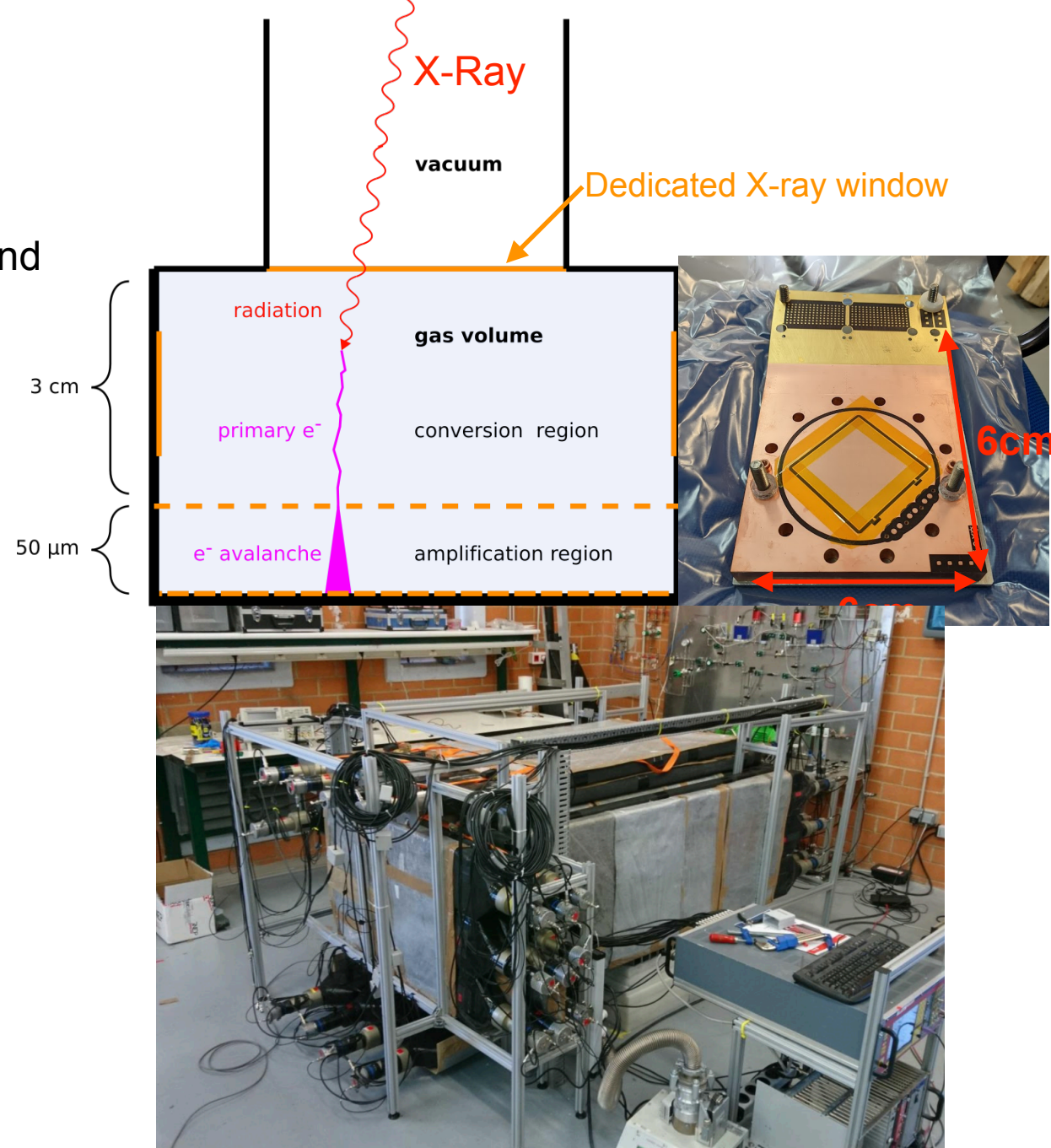
- Requirements: High detection efficiency (1-10 keV) and ultra-low background levels
- Baseline option: Micromegas (Micro-Mesh) TPC



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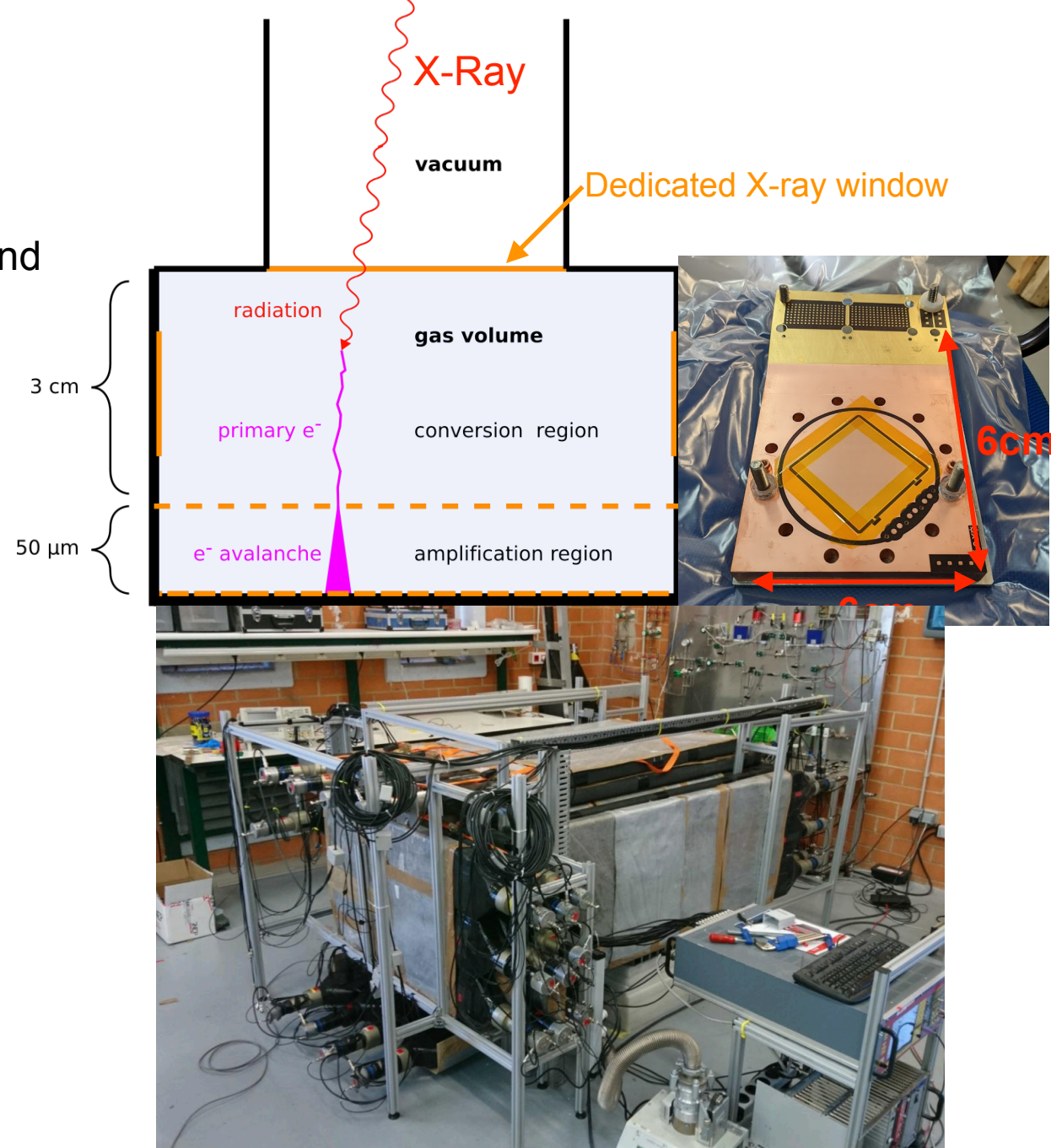
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X-Ray Detectors

Discovery Technologies

- Requirements: High detection efficiency (1-10 keV) and ultra-low background levels
- Baseline option: Micromegas (Micro-Mesh) TPC + shielding + veto systems
- Proven design (CAST) & extensive R&D:
 - ➔ 60-70% detection efficiency
 - ➔ Demonstrated BKG-level of $< 10^{-6}$ counts $\text{keV}^{-1} \text{cm}^{-2} \text{s}^{-1}$ (32 photons per year)
Goal: ~ 1 photon $\text{keV}^{-1} \text{cm}^{-2} \text{year}^{-1}$
 - ➔ Spatial resolution: $\sim 100 \mu\text{m}$
 - ➔ Energy resolution: $\sim 10\%$ (FWHM, 5.9 keV ^{57}Fe)

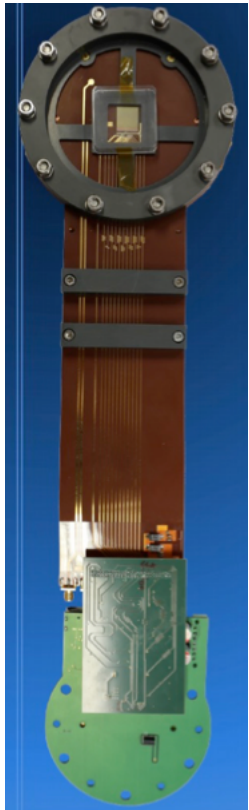


X-Ray Detectors

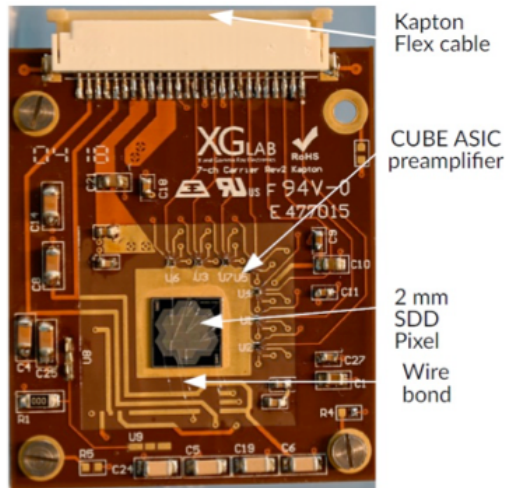
Post Discovery Technologies

- Beyond baseline: precision technologies
 - ➔ Better energy resolution: few eV - 100 eV
 - ➔ Lower energy threshold: ~ 0.1 keV
- Very active R&D ongoing: designs, materials, readout

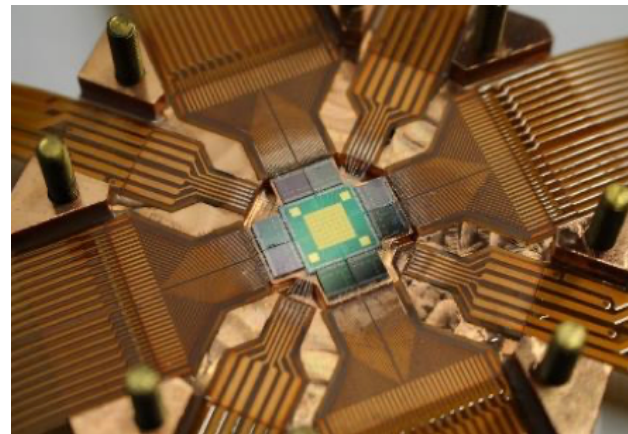
Keep in mind!



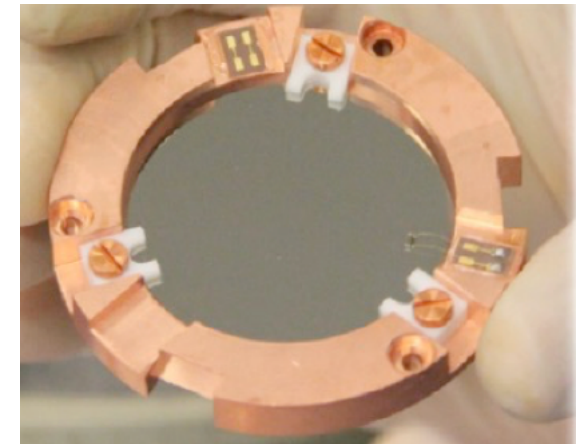
GridPix
(U. Bonn)



SDD: Silicon Drift
Detectors (TUM)



MMC: Metallic Magnetic
Calorimeters (U. Heidelberg)



TES: Transition Edge Sensors
(DESY/UHH + INMA-ICMAB CSIC)

In-Situ Background Measurements

And Detector System Integration

- Goal BKG level: $\sim 1 \text{ photon keV}^{-1} \text{ cm}^{-2} \text{ year}^{-1}$
- ➡ Extensive background measurement campaigns at individual detector level
 - ➡ Extrinsics (cosmics, radioactivity) vs. Intrinsic (internal radioactivity)

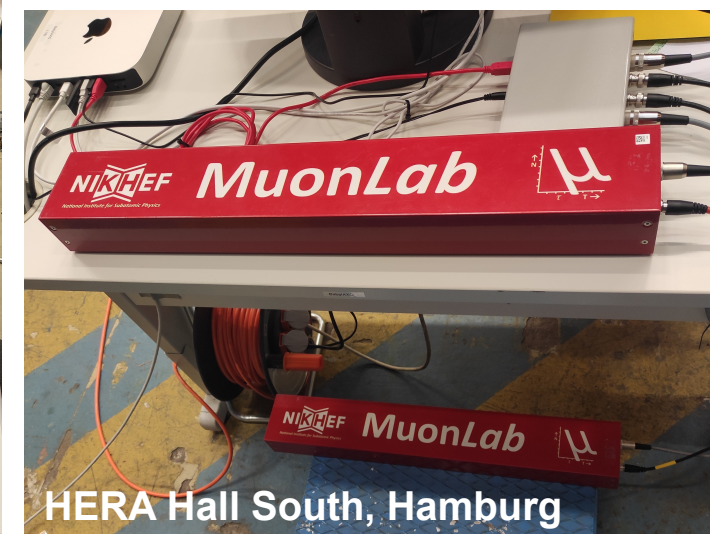


Canfranc (LSC), Spain

In-Situ Background Measurements

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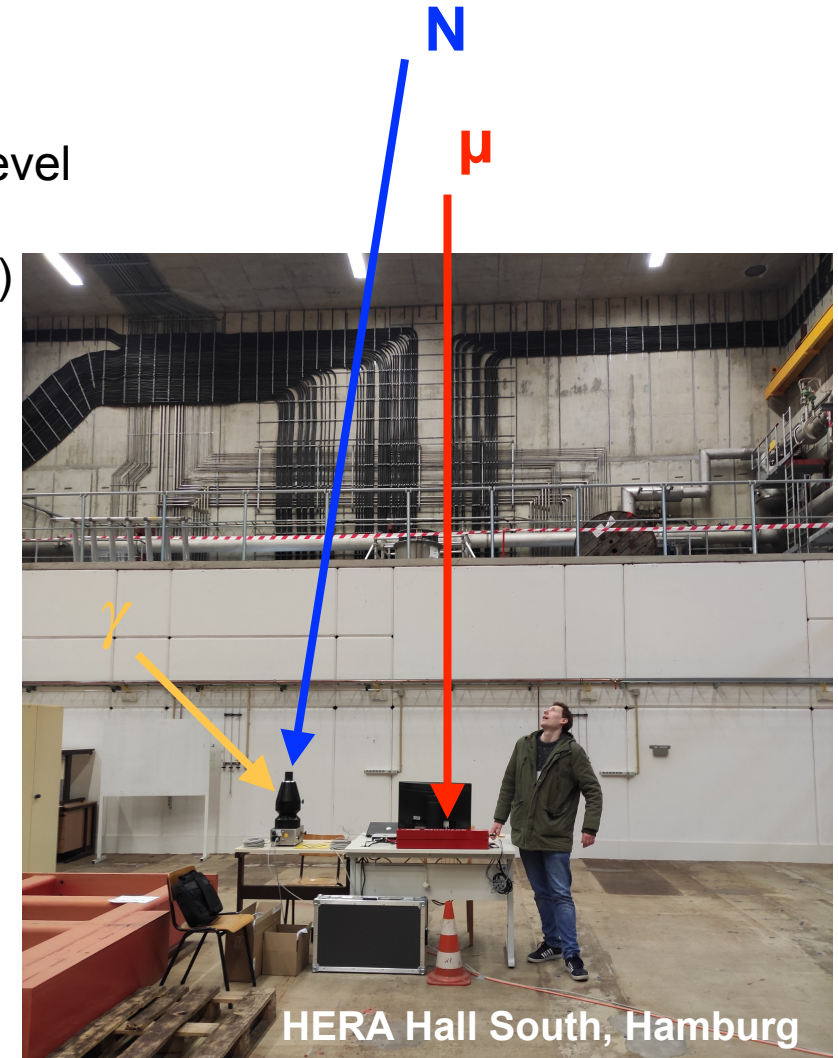
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 - ➔ Characterise local background sources and levels
 - ➔ Full system level integration of detectors and BabyIAXO components for potential “dry runs” without magnet



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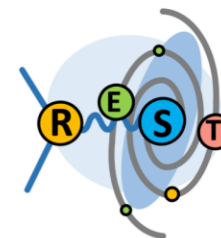


Background Simulation Campaigns

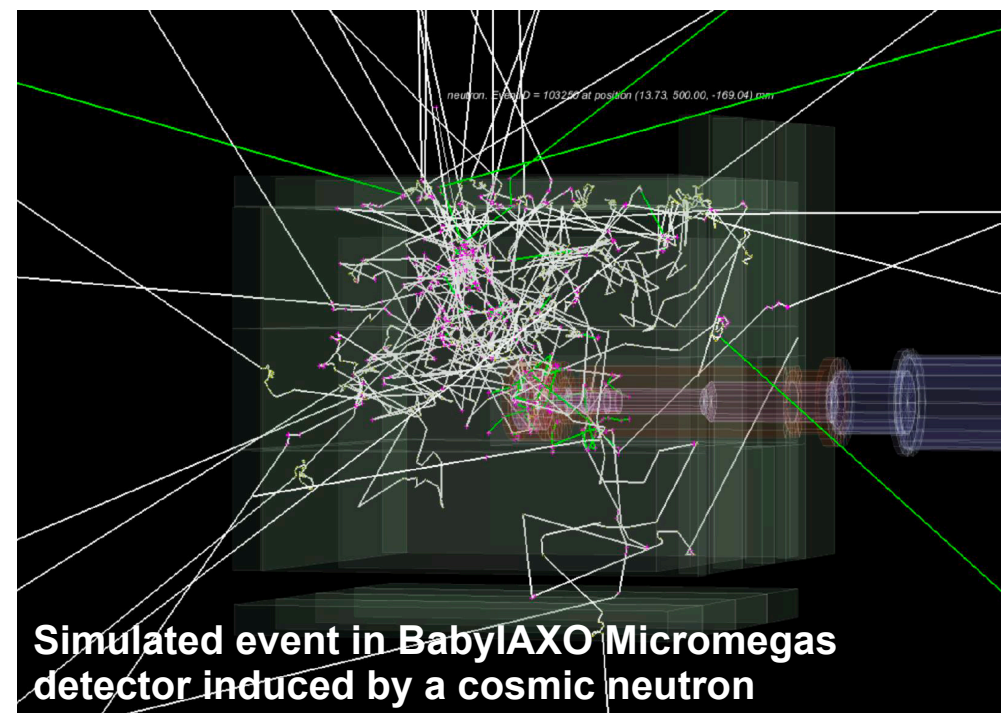
Distinguishing Signals and Background

- Extensive MC Geant4 simulation campaigns with REST for different detector technologies and backgrounds
 - ➔ Characterise and quantify response by different backgrounds e.g. BKG induced by cosmic neutrons

Studies are powered by
**REST-for-Physics (Rare
Event Searches Toolkit)**
Framework for data analysis
and Geant4 MonteCarlo
simulation.



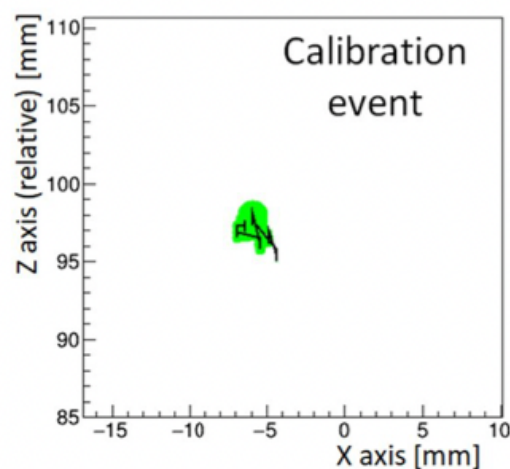
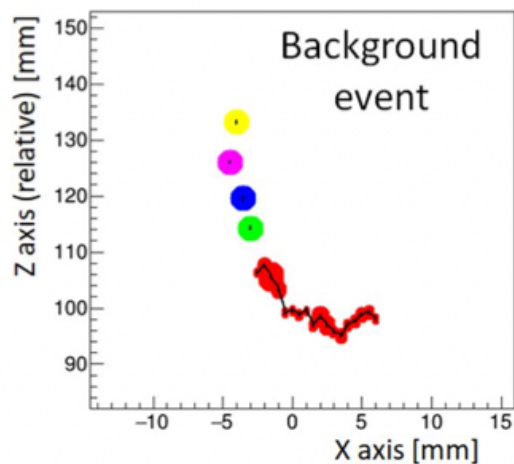
[https://github.com/
rest-for-physics](https://github.com/rest-for-physics)



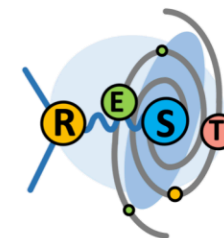
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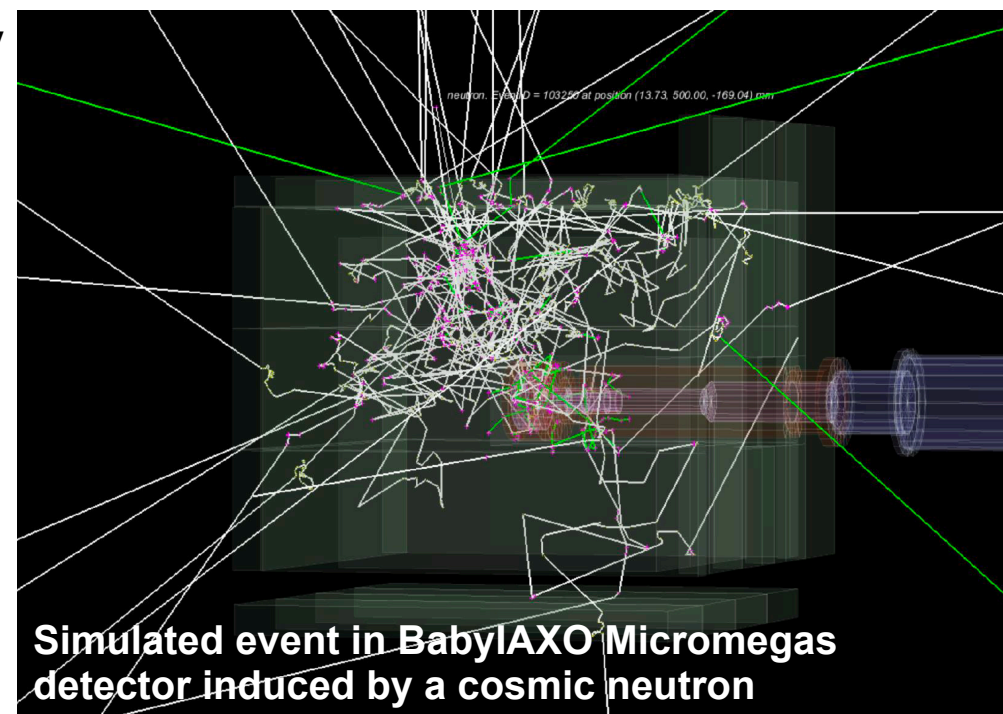
- Extensive MC Geant4 simulation campaigns with REST for different detector technologies and backgrounds
 - ➔ Characterise and quantify response by different backgrounds e.g. BKG induced by cosmic neutrons
 - ➔ More generic studies: distinguish BKG from signal events by topology, signal shape, timing,...
 - ➔ Machine learning algorithms



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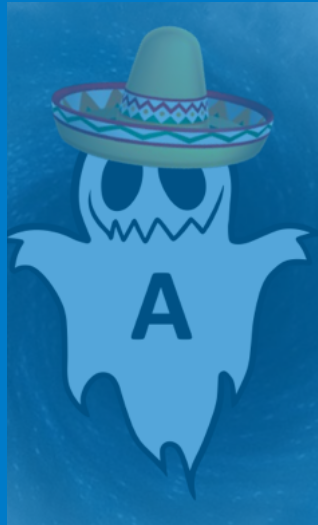


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Primakoff-Axion Discovery:

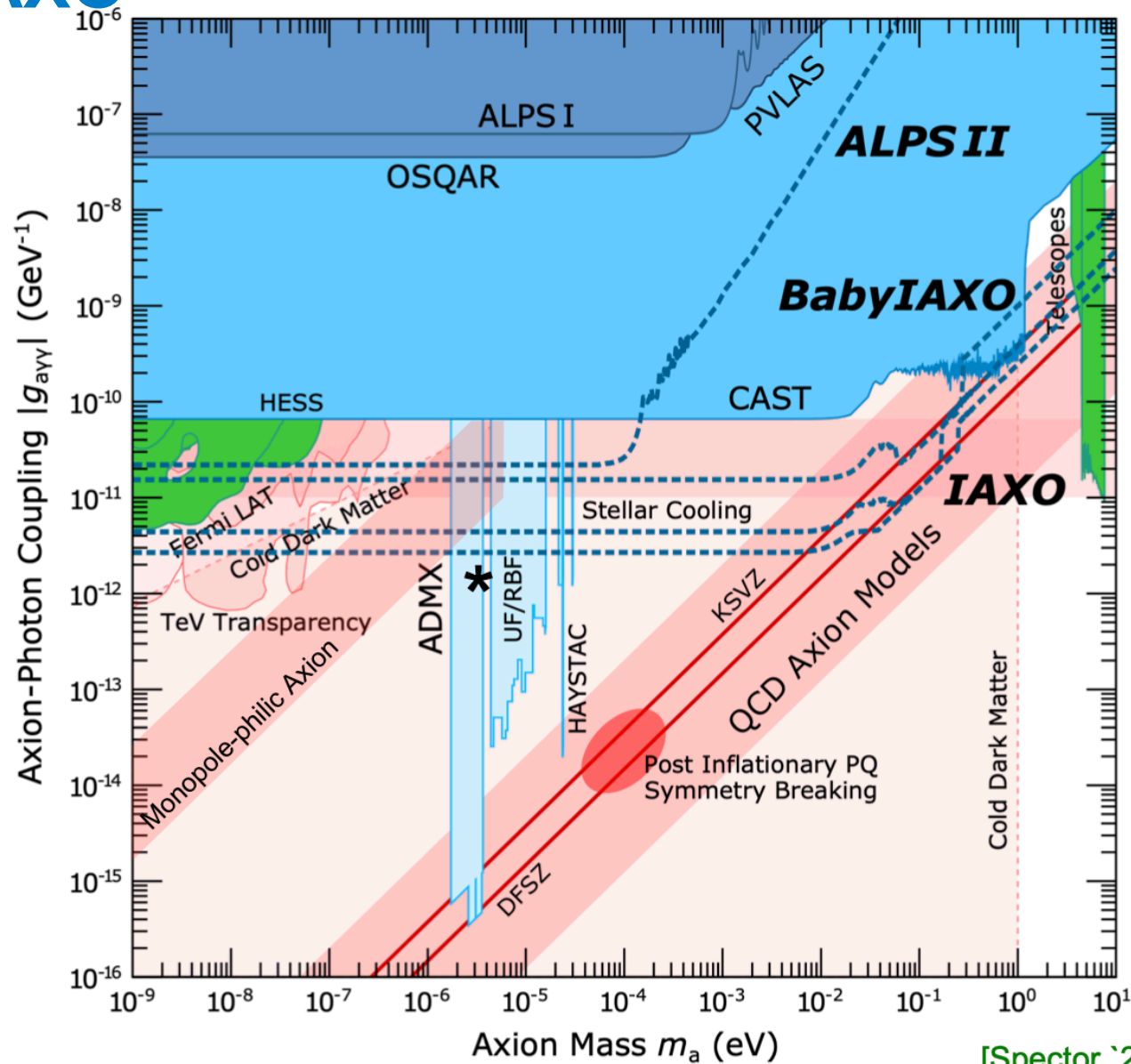
Exploring the Axion-Photon Coupling.



Physics Prospects of (Baby)IAXO

Baseline (Primakoff-)Axion-Photon Coupling

- BabyIAXO will probe large generic unexplored ALPs parameter space:
 - ➔ Low masses: $g_{a\gamma\gamma} \sim \text{few } 10^{-11} \text{ GeV}^{-1}$
 - ➔ Hints from astrophysics and cosmologically interesting regions
 - ➔ **Vanilla QCD axion models** (meV - eV)

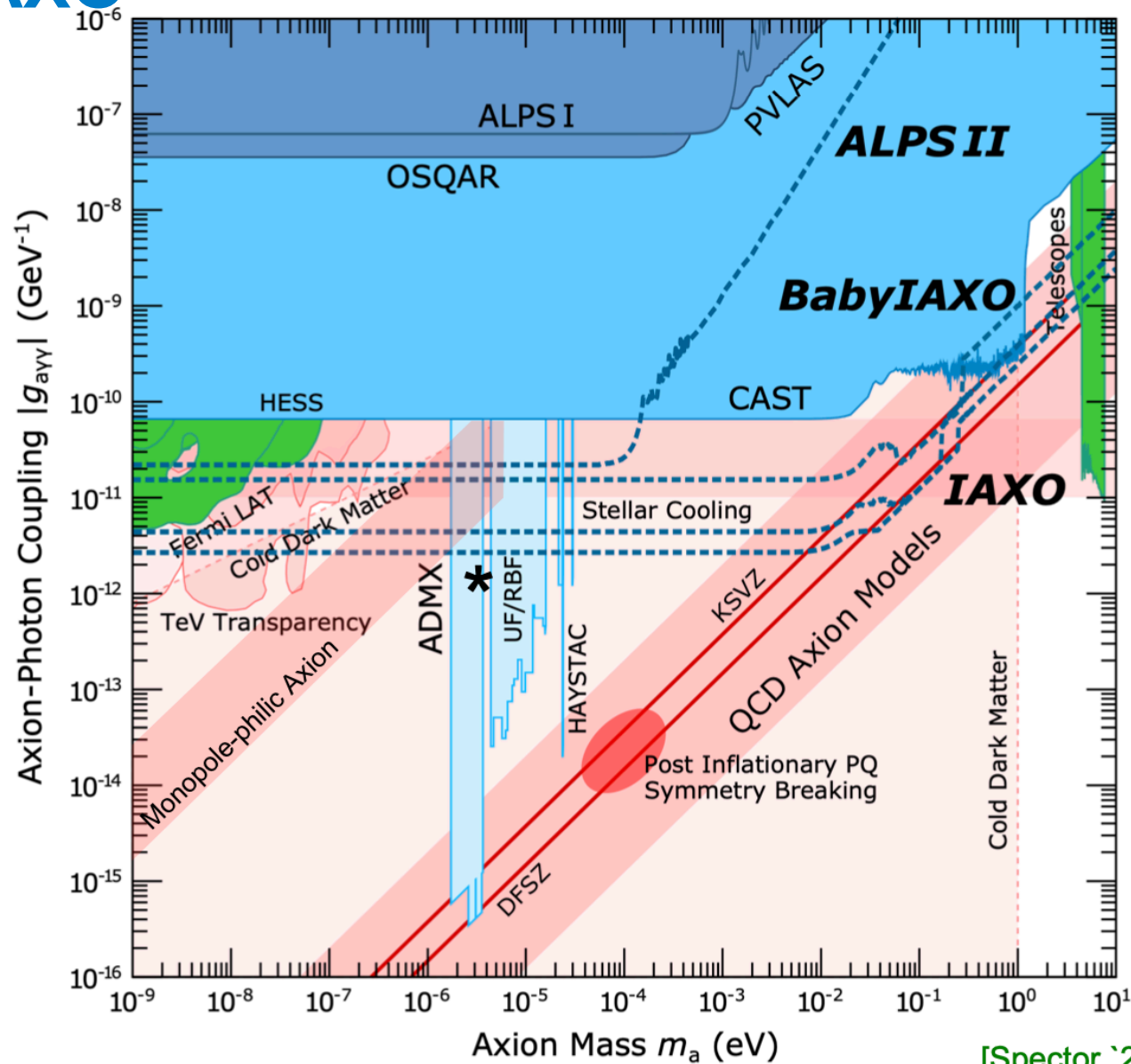


[Spector '21]

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- Independent of axion = DM hypothesis
- Synergy with ALPS II: $g_{a\gamma\gamma}$ would provide total solar (Primakoff-)axion flux
- IAXO(+) will dig deeper in parameter space

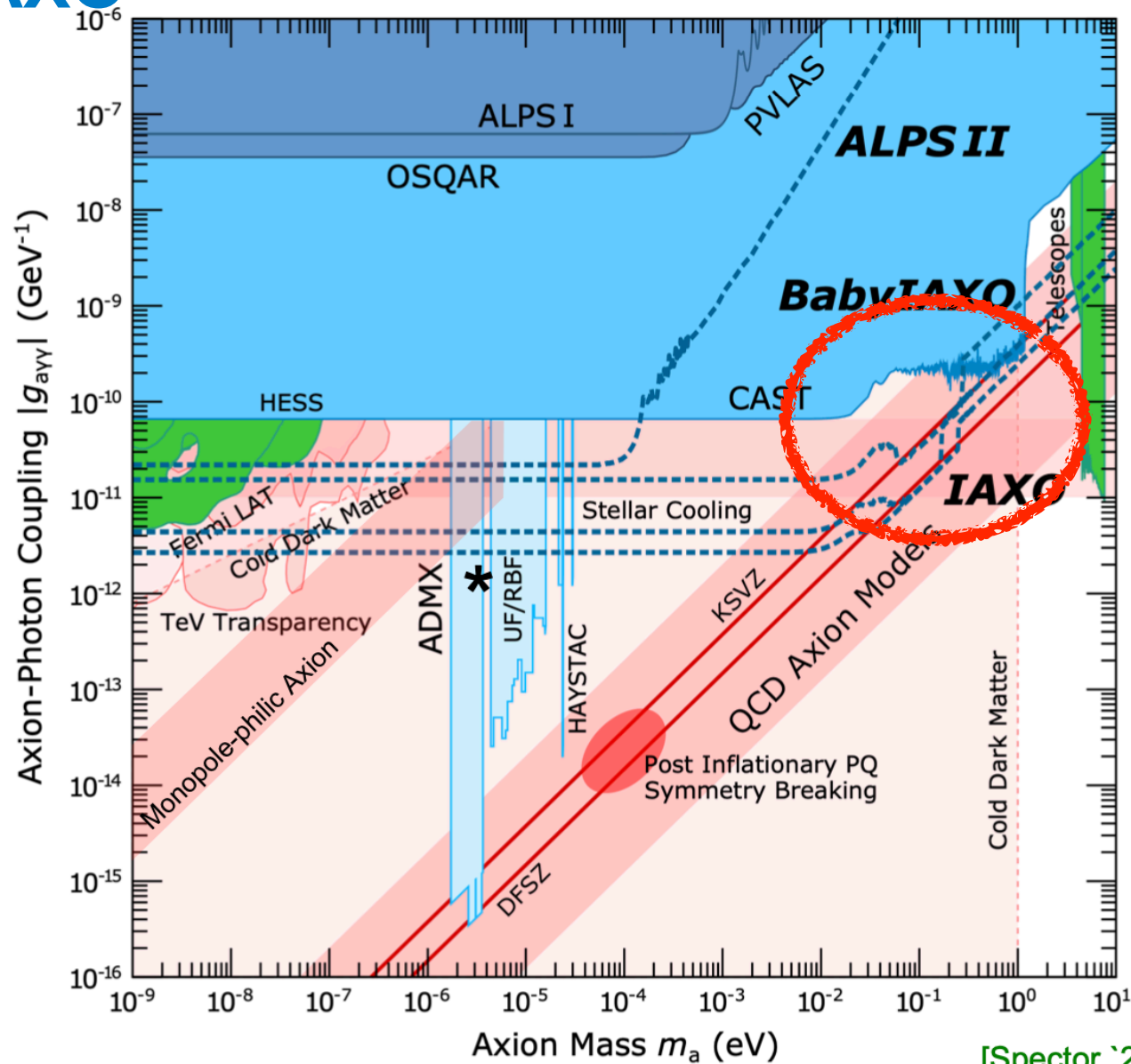


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[Spector '21]

Coherence Gas Buffer Technique

Pushing the Sensitivity to high Axion Masses

“Massless” case $m_a < 20$ meV

$$P_{a \rightarrow \gamma} = \frac{g_{a\gamma}^2 B^2 L^2}{4}$$

Constant

Finite mass case $m_a > 20$ meV (IAXO)

$$P_{a \rightarrow \gamma} = \frac{g_{a\gamma}^2 B^2 L^2}{4} \times \frac{2(1 - \cos(qL))}{(qL)^2}$$

Oscillates and rapidly drops with axion mass and L of conversion volume (Decoherence of axion and photon field)

Transferred momentum

$$q = \frac{1}{2\omega} (m_a^2 - m_\gamma^2)$$

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 - ➡ Introducing n and therefore a change in m_γ
 - ➡ Tune gas type & pressure: effective coherent conversion again for a specific m_a
- Scan with different pressure settings: extend m_a reach with high sensitivity to $g_{a\gamma\gamma}$
 - ➡ Successfully demonstrated in CAST and to be used in (Baby)IAXO as well
 - ➡ Limit: Condensation of gas in bore and X-ray absorption

Beyond Axion Discovery I:

Axion-Electron Coupling.

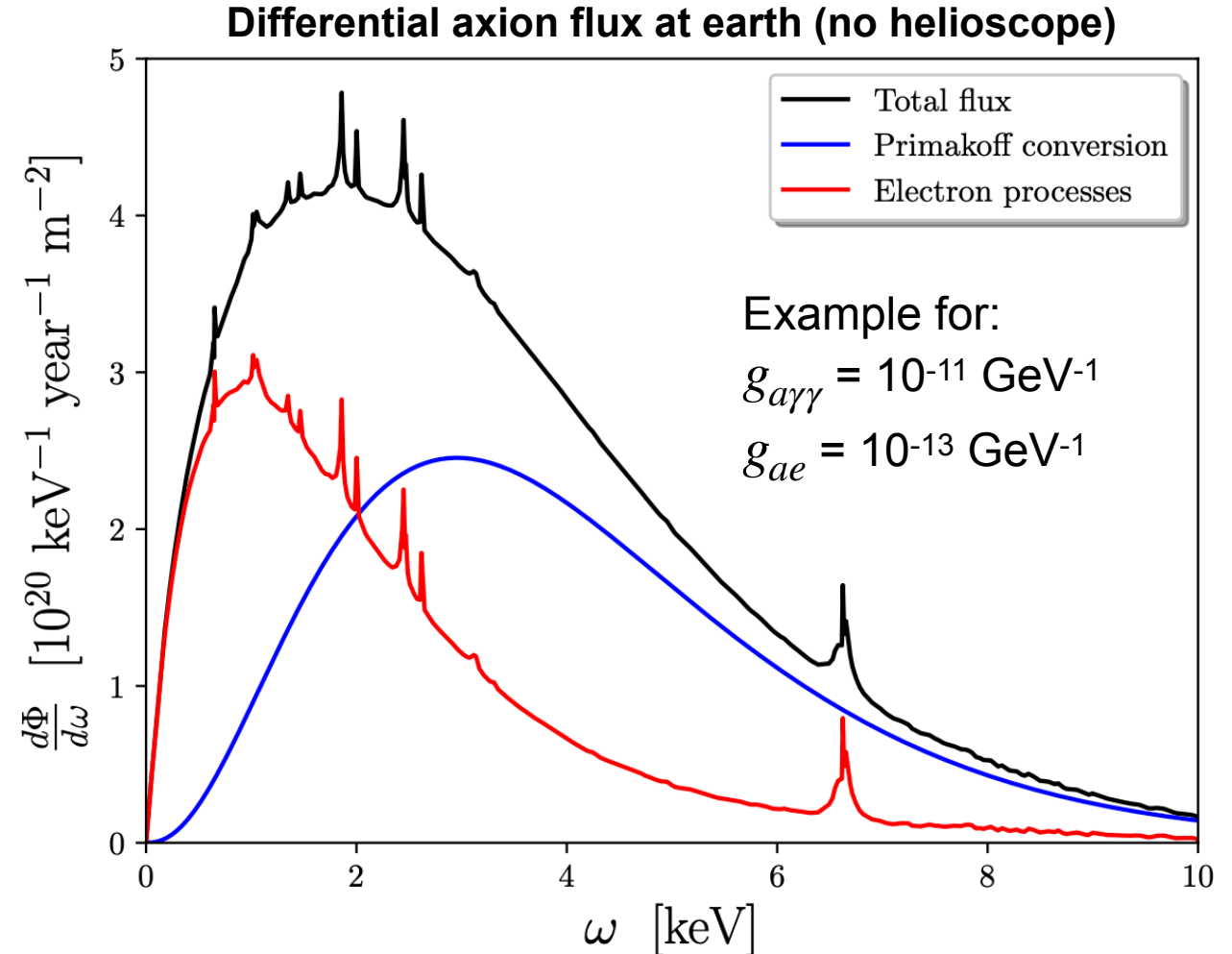


Distinguishing Axion Models with $g_{a\gamma\gamma}$ and g_{ae}

JCAP03(2019)039

Basic Idea and Strategy

- Main idea: measured axion spectrum contains axions from axion-photon and axion-electron coupling within the Sun
- Depending on the shape of the spectrum one can determine $g_{a\gamma\gamma}$ and g_{ae} individually in a specific range

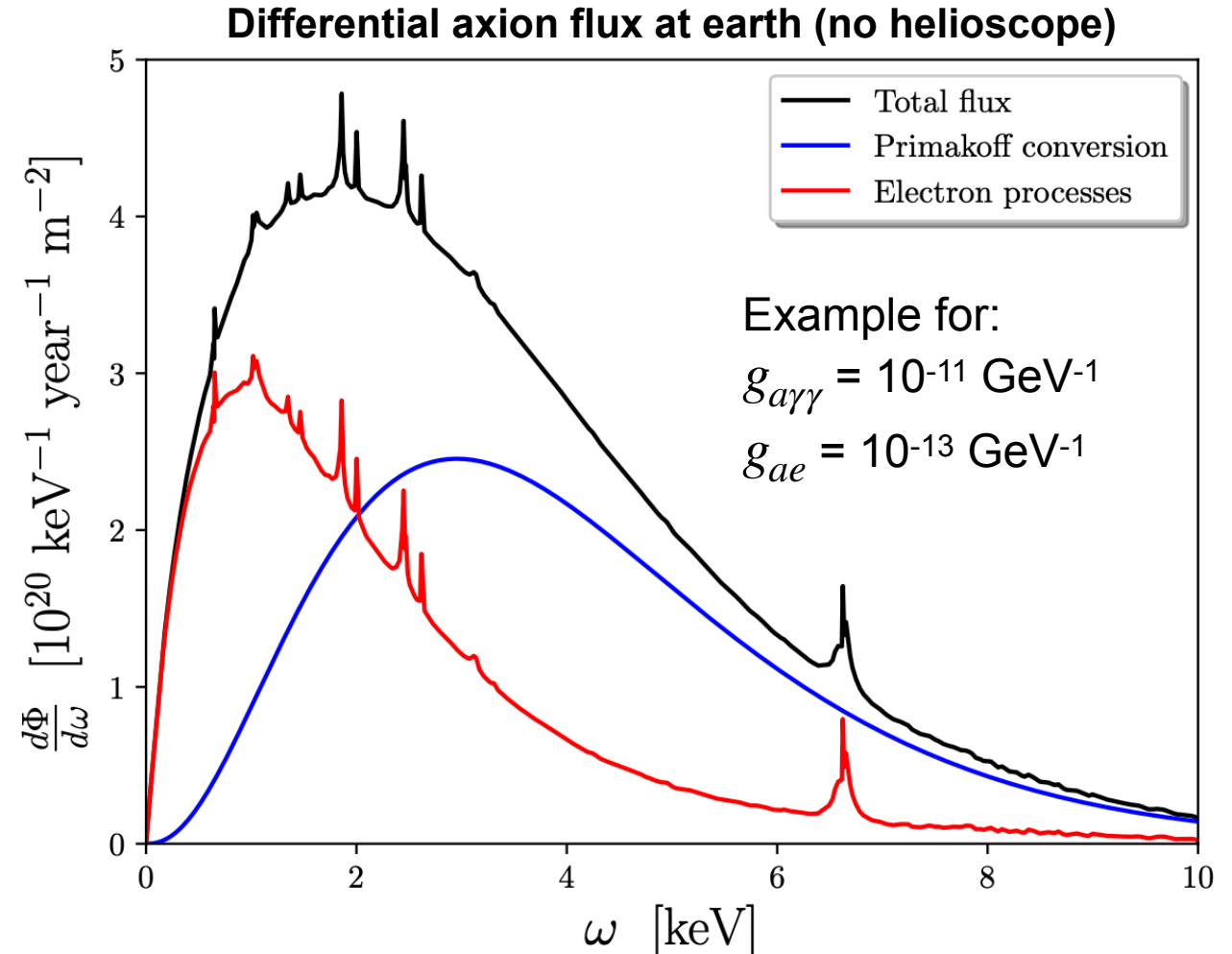


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JCAP03(2019)039

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- Main idea: measured axion spectrum contains axions from axion-photon and axion-electron coupling within the Sun
- Depending on the shape of the spectrum one can determine $g_{a\gamma\gamma}$ and g_{ae} individually in a specific range
 - ➔ Higher g_{ae} softens the spectrum
 - ➔ Higher g_{ae} pronounces atomic transition peaks
- ➔ For a large range the measurement of m_a is possible due to helioscope techniques



Simulation and Analysis Strategy

JCAP03(2019)039

Overview

Measured energy spectrum
of photons in helioscope:

$$\frac{d\Phi_\gamma}{d\omega} = Q(\omega) P_{a \rightarrow \gamma}(\omega) \frac{d\Phi_a}{d\omega}.$$

Combined efficiencies of X-ray
window, optics & detectors

Axion-photon conversion
probability (shown before)
depending on magnet and
 $\propto g_{a\gamma\gamma}^2$

Solar axion energy spectrum
calculated in detail:
 $\propto g_{a\gamma\gamma}^2$ and $\propto g_{ae}^2$

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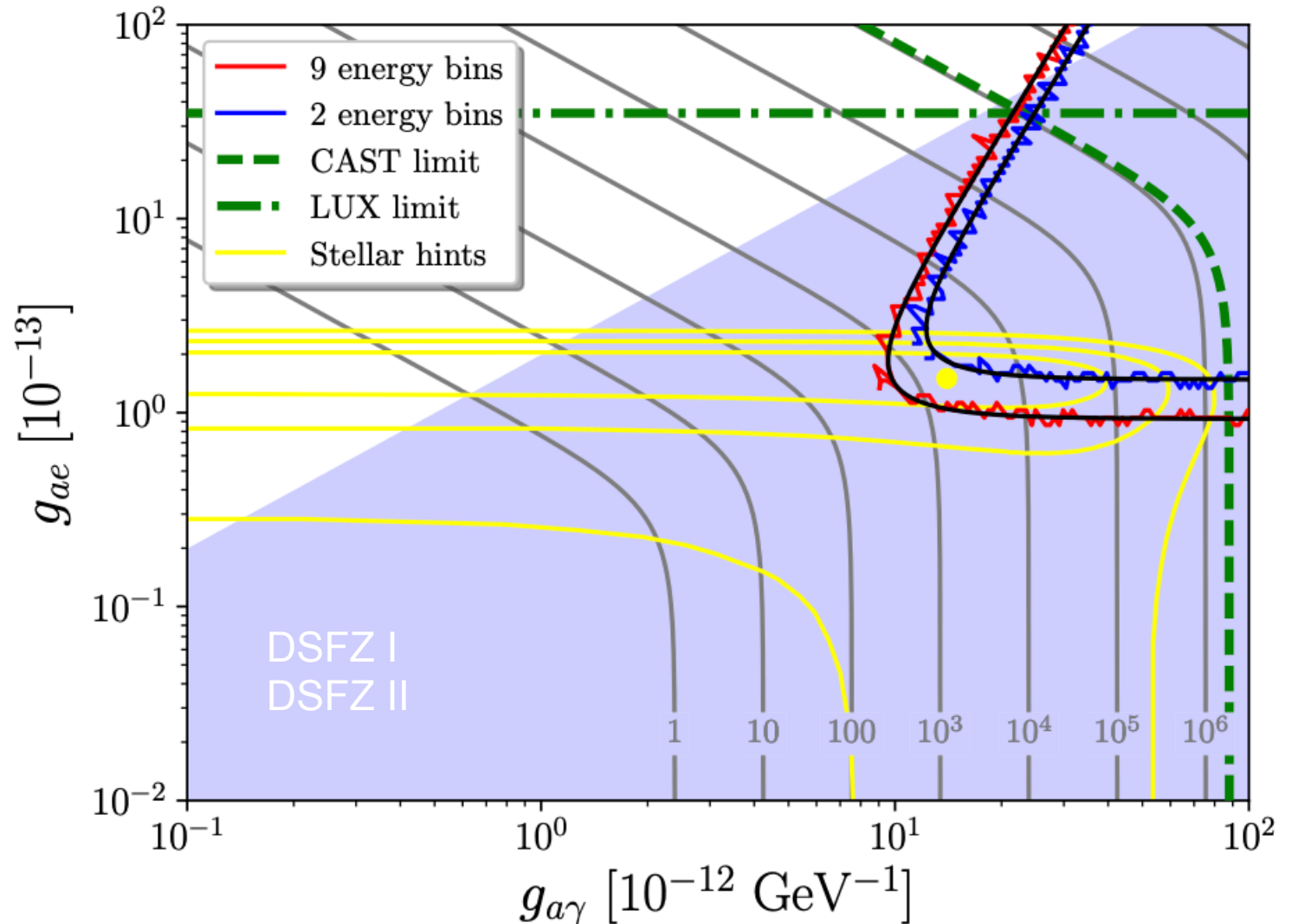
- Use Poisson statistics to simulate a binned signal for IAXO
 - ➡ Inputs I: numerical values from solar axion spectrum and helioscope parameters
 - ➡ Inputs II: g_{ae} between 10^{-15} - 10^{-10} GeV^{-1} and adapt $g_{a\gamma\gamma}$ to keep the same number of events
- Recover the two couplings by using a maximum likelihood method (likelihood-ratio test)
 - ➡ For each value 95% certainty interval for g_{ae} calculated
 - ➡ Couplings defined as resolved if relative error on $g_{ae} < 10\%$

Results: Massless Case + Baseline IAXO

JCAP03(2019)039

Coherent Axion-Photon Conversion

- Large new parameter space beyond CAST limits accessible
- Large parameter space in DSFZ models accessible
 - ➔ Example, other models could be confronted as well with model-independent analysis
- Best fit for stellar hints accessible with 1 keV energy resolution (**red**) of IAXO detectors

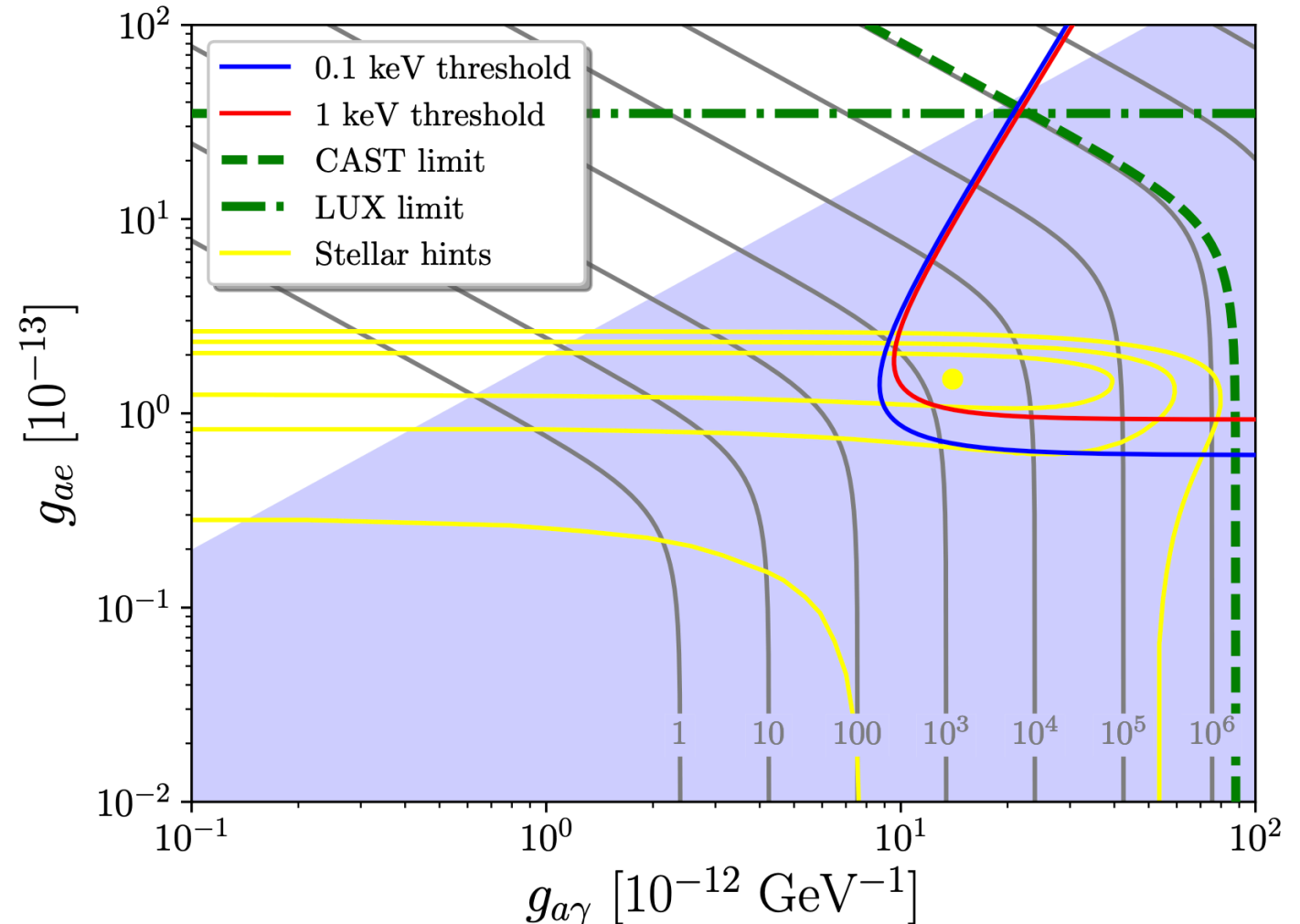


Results: Massless Case + Optimised IAXO

JCAP03(2019)039

Coherent Axion-Photon Conversion

- Detector technologies with lower energy thresholds help to increase sensitivity
 - ➔ More sensible to softer X-rays from electron processes
 - Increased energy resolution is also expected to improve sensitivity limits
 - ➔ Soft vs. harder X-rays and resolving peaks?
- ➔ IAXO SDD, MMC, TES, Gridpix!



Results: Massive Case

JCAP03(2019)039

Increasing Decoherence in Axion-Photon Conversion

- If finite axion mass: decoherence effect
 - ➔ Gas buffer technique to counteract
 - ➔ Allows to measure m_a in addition for specific ranges!
 - ➔ **But:** photon absorption: lower number of events and therefore sensitivity to individual couplings

Results: Massive Case

JCAP03(2019)039

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- If finite axion mass: decoherence effect
 - ➔ Gas buffer technique to counteract
 - ➔ Allows to measure m_a in addition for specific ranges!
 - ➔ **But:** photon absorption: lower number of events and therefore sensitivity to individual couplings

#	m_a	Detection	m_a resolved	$(g_{a\gamma}, g_{ae})$ resolved	Method
0	$\lesssim 2 \text{ meV}$	✓	✗	✓	vacuum only
1	$\sim(2-5) \text{ meV}$	✓	✓	✓	on/off resonance
2	$\sim(5-20) \text{ meV}$	✓	✓	✓	vacuum only
3	$\sim(20-200) \text{ meV}$	✓	✓	✓	scanning m_γ
4	$\sim(0.2-1) \text{ eV}$	✓	✓	✗	scanning m_γ
5	$\gtrsim 1 \text{ eV}$	✗	✗	✗	-

Example for:

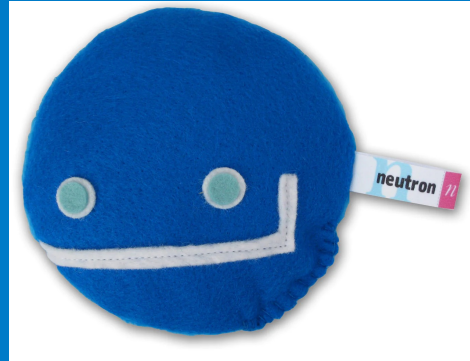
$$g_{a\gamma\gamma} = 10^{-11} \text{ GeV}^{-1}$$

$$g_{ae} = 10^{-13} \text{ GeV}^{-1}$$

➔ For a broad range of $g_{a\gamma\gamma}$, g_{ae} and m_a : individual measurements possible! Info about axion model!

Beyond Axion Discovery II:

Axion-Nucleon Coupling.

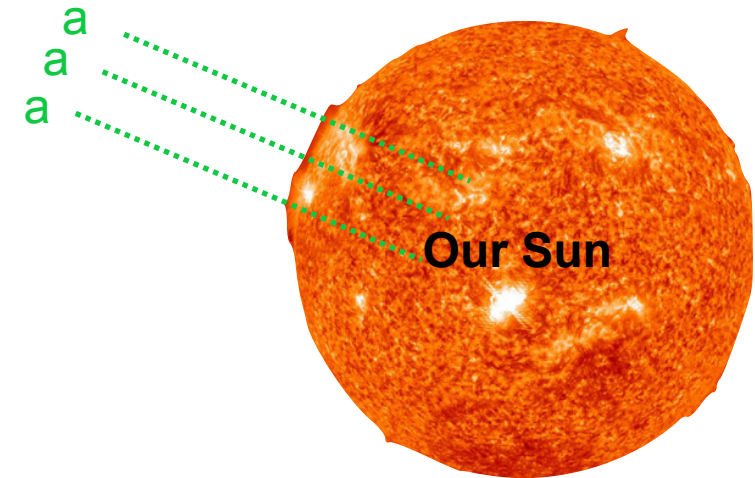


Solar Axions from Nuclear Processes

Eur. Phys. J. C 82, 120 (2022)

Model Dependencies and Fluxes

- If axions couple to nucleons, production in nuclear processes within the Sun (fusion, nuclear transitions)
- Flux dependent on solar model (T_{core} , isotope abundance, lifetimes of excited states, occupation numbers,...)



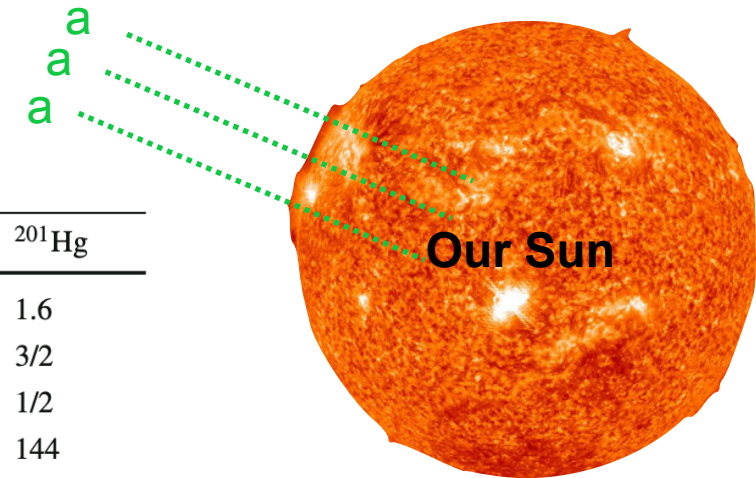
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➔ Most promising candidate: M1 transition of ^{57}Fe at 14.4 keV



	^{57}Fe	^{83}Kr	^{169}Tm	^{187}Os	^{201}Hg
E^* [keV]	14.4	9.4	8.4	9.7	1.6
J_0	1/2	9/2	1/2	1/2	3/2
J_1	3/2	7/2	3/2	3/2	1/2
τ_0 [ns]	141	212	5.9	3.4	144
α	8.56	17.09	285	264	47,000
ϵ	$10^{-4.5}$	$10^{-8.75}$	$10^{-11.9}$	$10^{-10.6}$	$10^{-10.83}$
a [%]	2.14	11.55	100	1.6	13.2
$\mathcal{N}_a(r=0)$ [relative to ^{57}Fe]	1	1.8×10^{-3}	1.3×10^{-4}	3.0×10^{-5}	1.9×10^{-6}

Solar Axions from Nuclear Processes

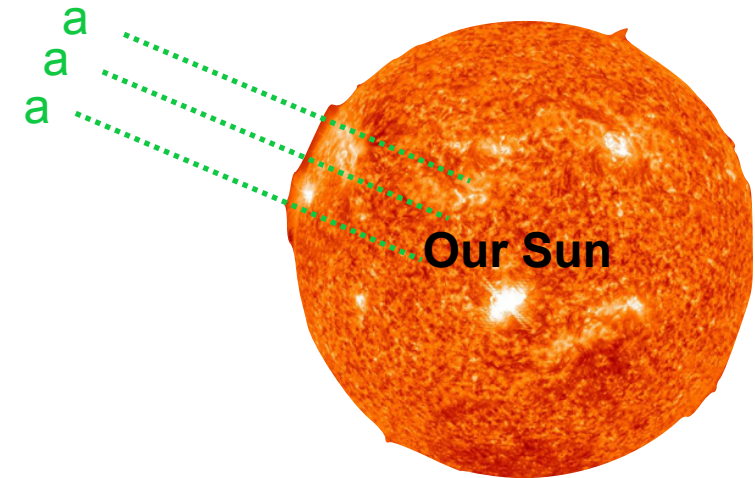
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 - ➔ Most promising candidate: **M1 transition of ^{57}Fe at 14.4 keV**
 - ➔ Monochromatic: natural line width $\ll$ Doppler broadening (~ 2 eV)

- Flux dependent on axion model g_{aN} ($g_{aN}^{\text{eff}} = 0.16g_{ap} + 1.16g_{an}$ for ^{57}Fe)

➔ $\frac{\Gamma_a}{\Gamma_\gamma} = 2.32(g_{aN}^{\text{eff}})^2$. Example: $\left. \frac{\Gamma_a}{\Gamma_\gamma} \right|_{\text{KSVZ}} = 5.81 \times 10^{-16} \left(\frac{m_a}{1 \text{ eV}} \right)^2$

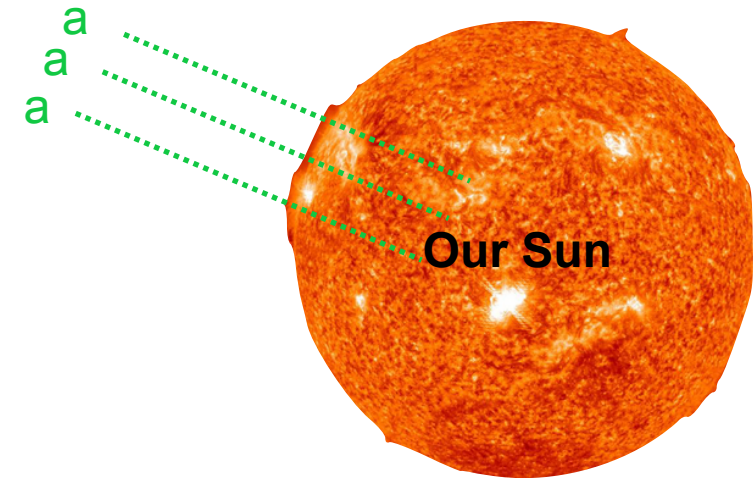


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Eur. Phys. J. C 82, 120 (2022)

Model Dependencies and Fluxes

- If axions couple to nucleons, production in nuclear processes within the Sun (fusion, nuclear transitions)
- Flux dependent on solar model (T_{core} , isotope abundance, lifetimes of excited states, occupation numbers,...)
 - ➔ Most promising candidate: **M1 transition of ^{57}Fe at 14.4 keV**
 - ➔ Monochromatic: natural line width $\ll$ Doppler broadening (~ 2 eV)



- Flux dependent on axion model g_{aN} ($g_{aN}^{\text{eff}} = 0.16g_{ap} + 1.16g_{an}$ for ^{57}Fe)

$$\rightarrow \frac{\Gamma_a}{\Gamma_\gamma} = 2.32(g_{aN}^{\text{eff}})^2. \text{ Example: } \left. \frac{\Gamma_a}{\Gamma_\gamma} \right|_{\text{KSVZ}} = 5.81 \times 10^{-16} \left(\frac{m_a}{1 \text{ eV}} \right)^2$$

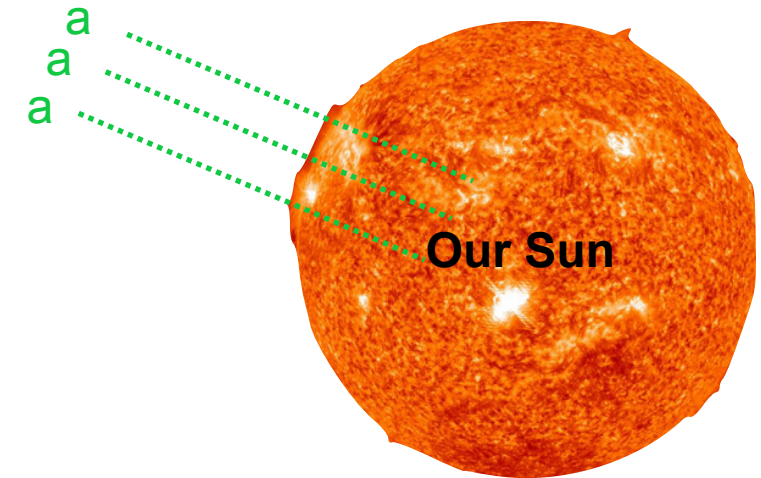
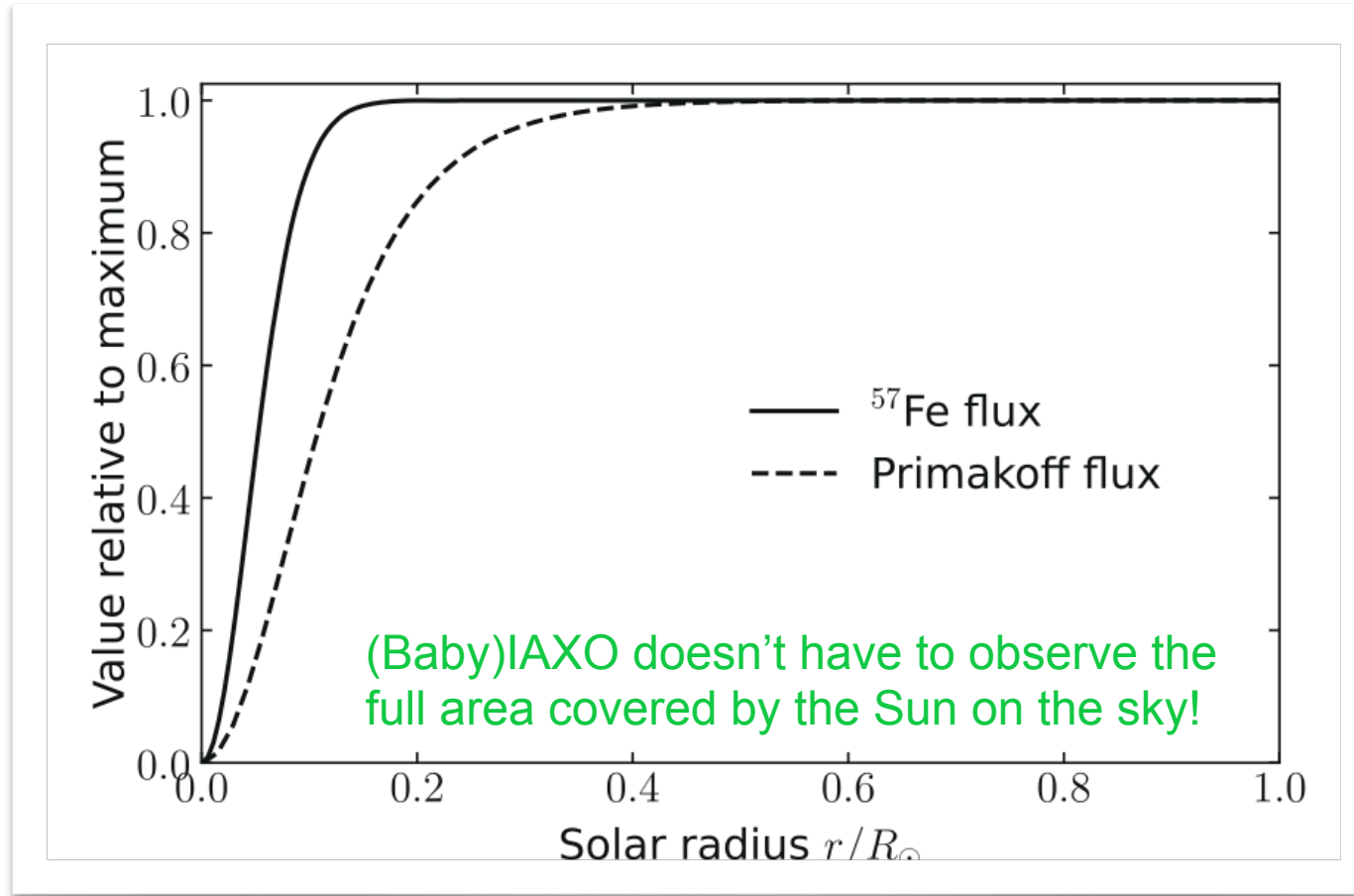
- Fixing a solar model (B16-AGSS09) and calculating the monochromatic solar axion flux of ^{57}Fe :

$$\rightarrow \Phi_a = 5.06 \times 10^{23} (g_{aN}^{\text{eff}})^2 \text{ cm}^{-2} \text{ s}^{-1}$$

Solar Axions from Nuclear Processes

Model Dependencies and Fluxes

Eur. Phys. J. C 82, 120 (2022)



$$\Rightarrow \Phi_a = 5.06 \times 10^{23} (g_{aN}^{\text{eff}})^2 \text{ cm}^{-2} \text{ s}^{-1}$$

Sensitivity Estimates and Backgrounds

Eur. Phys. J. C 82, 120 (2022)

How to Optimise Sensitivity

- Two type of background in signal bin (14.4 keV) expected:
 - ➡ Conventional backgrounds: cosmics, radioactivity
 - ➡ Primakoff photons

Sensitivity Estimates and Backgrounds

Eur. Phys. J. C 82, 120 (2022)

How to Optimise Sensitivity

- Two type of background in signal bin (14.4 keV) expected:
 - ➔ Conventional backgrounds: cosmics, radioactivity
 - ➔ Primakoff photons

Efficiencies of X-ray optics and detectors

Figure of merit: $f \propto \frac{S}{\sqrt{B}} \propto \frac{\epsilon_o \epsilon_d g_{a\gamma}^2}{\sqrt{\Delta E_d} \sqrt{ba + g_{a\gamma}^4 \kappa \epsilon_o \epsilon_d}}$

Energy resolution of X-ray detectors

Signal spot area after focusing

Conventional background rate per area

Quantifying Primakoff background flux

Studied Experimental Setups

Optimised for 14.4 keV photons

Eur. Phys. J. C 82, 120 (2022)

Label	BabyIAXO				IAXO		IAXO+	
	Baseline	No optics	Optimized optics	High energy resolution	Low background	High energy resolution	Low background	High energy resolution
	BabyIAXO ₀	BabyIAXO ₁	BabyIAXO ₂	BabyIAXO ₃	IAXO _b	IAXO _r	IAXO _b ⁺	IAXO _r ⁺

No optics, full coverage of magnetic bore with a Micromegas gas detectors (high pressure Xenon)

Optimised optics (14.4 keV) and SDD

Optimised optics and Cadmium-Zinc-Telluride semiconductor detector (Optimised to ~14.4 keV)

IAXO_b⁽⁺⁾: benchmark configuration parameters + fully optimised optics

IAXO_r⁽⁺⁾: benchmark configuration parameters + fully optimised optics + per-mille level energy resolving detectors (MMCs)

Analysis Strategy

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Determining Sensitivity Limits for g_{aN}^{eff} vs. $g_{a\gamma\gamma}$

Expected number of signal events: $\mu_{\text{signal}} = \Phi_a P_{a \rightarrow \gamma} A t \epsilon_o \epsilon_d \propto (g_{a\gamma} g_{aN}^{\text{eff}})^2$

Expected number of background events: $\mu_{\text{back}} \simeq \left(g_{a\gamma}^4 \kappa \epsilon_o \epsilon_d + ba \right) \Delta E_d t$

Determining Sensitivity Limits for g_{aN}^{eff} vs. $g_{a\gamma\gamma}$

Expected number of signal events: $\mu_{\text{signal}} = \Phi_a P_{a \rightarrow \gamma} A t \epsilon_o \epsilon_d \propto (g_{a\gamma} g_{aN}^{eff})^2$

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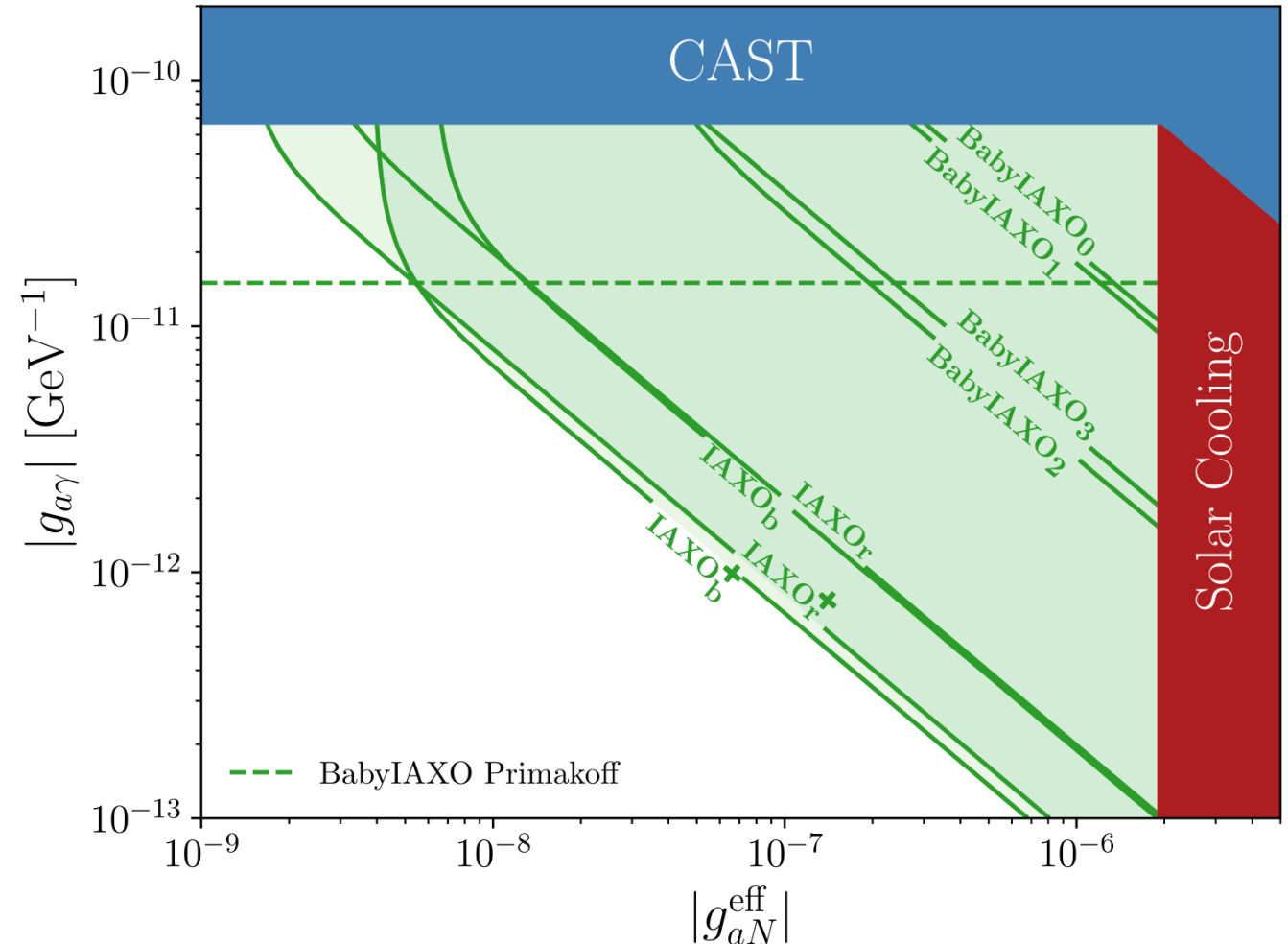
- Scan all combinations of $g_{a\gamma\gamma}$ and g_{aN}^{eff} and calculate $\mu = \mu_{\text{signal}} + \mu_{\text{back}}$
 - ➡ Assume Poisson distribution of counts in signal bin and calculate expectation value of p (only background hypothesis)
 - ➡ If $\langle p \rangle < 0.05$ (2 sigma anomaly) sensitivity to $g_{a\gamma\gamma}$ and g_{aN}^{eff} is claimed

Results: Massless Axion

Coherent Axion-Photon Conversion

Eur. Phys. J. C 82, 120 (2022)

- BabyIAXO will probe new parameter space beyond solar and CAST bounds
 - ➔ Optimised optic pays off
- Probing of DFSZ and M1 models in principle possible with gas buffer technique
- If axions have couplings above green dashed line: individual extraction of $g_{a\gamma\gamma}$ and g_{aN}^{eff} might be possible for BabyIAXO
- If axions have couplings below green line, axions from ^{57}Fe might be detected before Primakoff axions

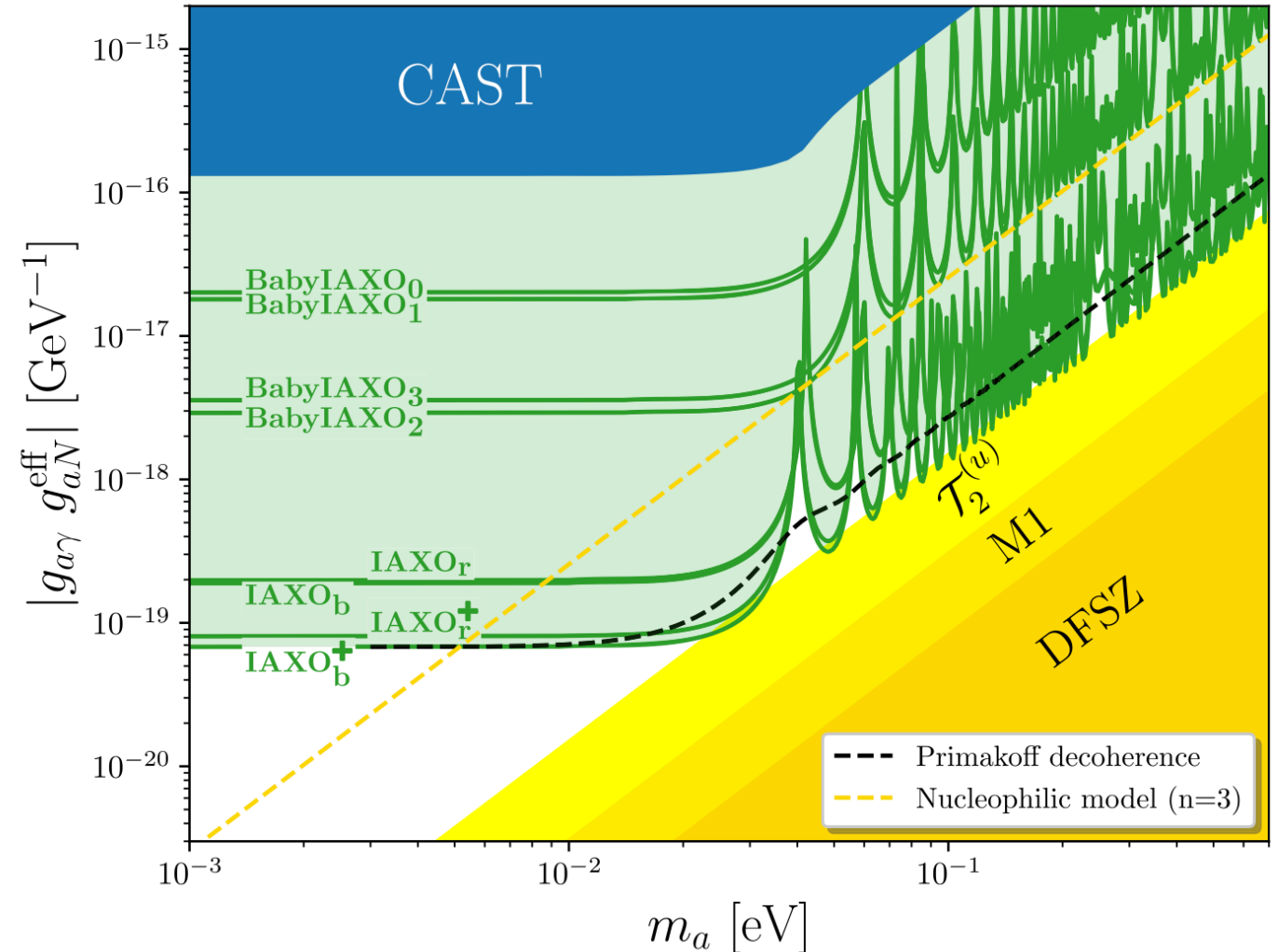


Results: Massive Case

Eur. Phys. J. C 82, 120 (2022)

Increasing Decoherence in Axion-Photon Conversion

- Worst case scenario shown here: no gas buffer technique at all, so increasing decoherence with increasing m_a
- No Primakoff background in signal bin, same statistical analysis as before
- Still BabyIAXO will reach new parameter space and might see axions described by nucleophilic models
- IAXO and IAXO+ will dig deeper in parameter space



Beyond Axion Discovery II: X-Ray Polarisation.



Pseudo-scalar vs. Scalar

And the Polarisation of Converted Photons

Pseudo-scalar

0⁻

Effective Lagrangian density of interaction with an electromagnetic field $F_{\mu\nu}$:

$$\mathcal{L}_{int} = -\frac{1}{4} g_{A\gamma\gamma} \mathcal{A} F_{\mu\nu} \tilde{F}^{\mu\nu} = g_{A\gamma\gamma} \mathcal{A} \vec{E} \cdot \vec{B}$$

Scalar

0⁺

$$\mathcal{L}_{int} = -\frac{1}{4} g_{A\gamma\gamma} \mathcal{A} F_{\mu\nu} F^{\mu\nu} = g_{A\gamma\gamma} \mathcal{A} \frac{1}{2} (\vec{E}^2 - \vec{B}^2)$$

Polarisation mode of linearly polarised photons after conversion in transversal magnetic field B_{ext} :

$$E_\gamma \parallel B_{ext} \text{ (p-polarisation)}$$

$$E_\gamma \perp B_{ext} \text{ (s-polarisation)}$$

Pseudo-scalar vs. Scalar

And the Polarisation of Converted Photons

Pseudo-scalar

0^-

Effective Lagrangian density of interaction with an electromagnetic field $F_{\mu\nu}$:

$$\mathcal{L}_{int} = -\frac{1}{4} g_{A\gamma\gamma} \mathcal{A} F_{\mu\nu} \tilde{F}^{\mu\nu} = g_{A\gamma\gamma} \mathcal{A} \vec{E} \cdot \vec{B}$$

Scalar

0^+

$$\mathcal{L}_{int} = -\frac{1}{4} g_{A\gamma\gamma} \mathcal{A} F_{\mu\nu} F^{\mu\nu} = g_{A\gamma\gamma} \mathcal{A} \frac{1}{2} (\vec{E}^2 - \vec{B}^2)$$

Polarisation mode of linearly polarised photons after conversion in transversal magnetic field B_{ext} :

$E_\gamma \parallel B_{ext}$ (p-polarisation)

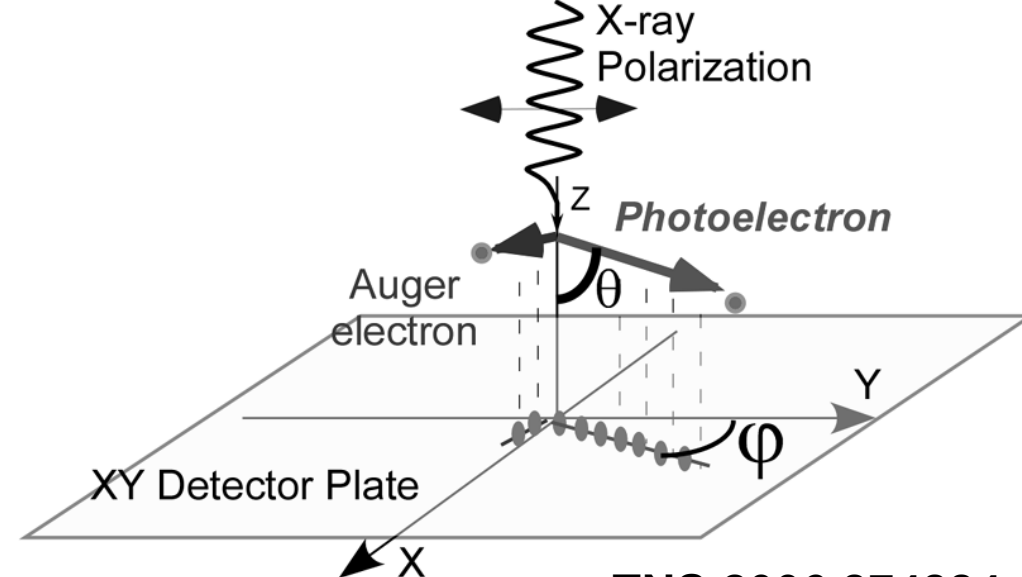
$E_\gamma \perp B_{ext}$ (s-polarisation)

- ➔ ALPS II: depending on the polarisation of the laser, pseudo-scalar or scalar conversion mode
- ➔ **(Baby)IAXO: measuring the X-ray polarisation with respect to B_{ext} - pseudo-scalar or scalar?**

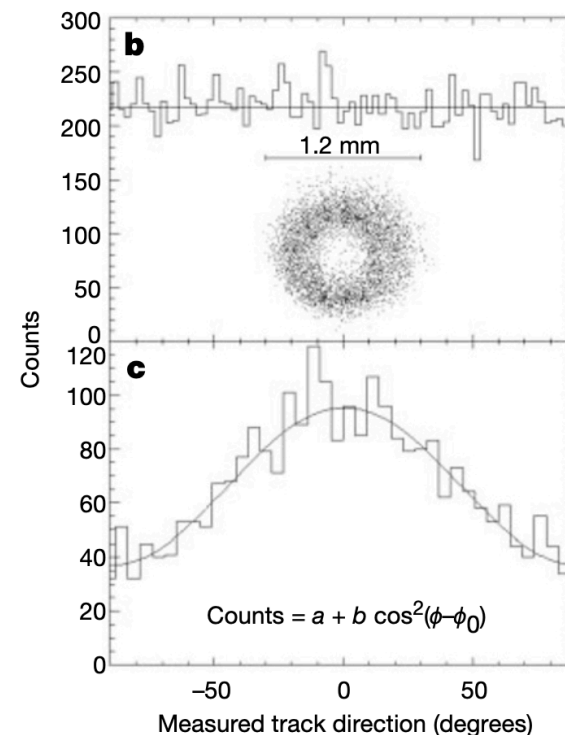
How to Measure X-Ray Polarisation

In a IAXO Gas-Based Detector

- Idea and concept actively developed in astrophysics and photoemission spectroscopy: polarisation measurement of X-rays by photoelectron emission angle
 - ➔ Depending on the X-ray polarisation the angle spectrum of the emitted photoelectron changes



TNS.2006.874884



Un-polarised

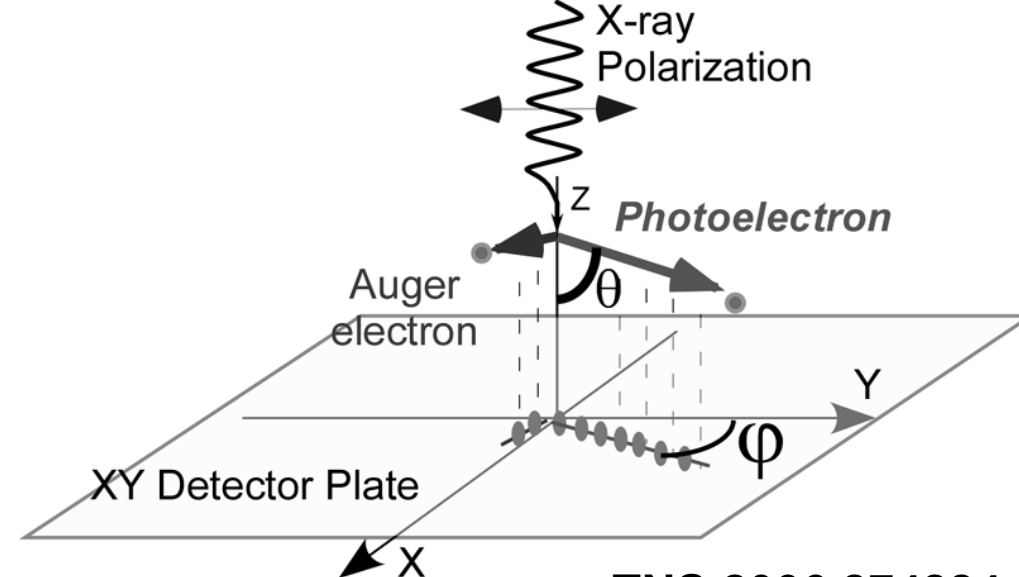
p-polarisation

Nature 411, 662–665 (2001)

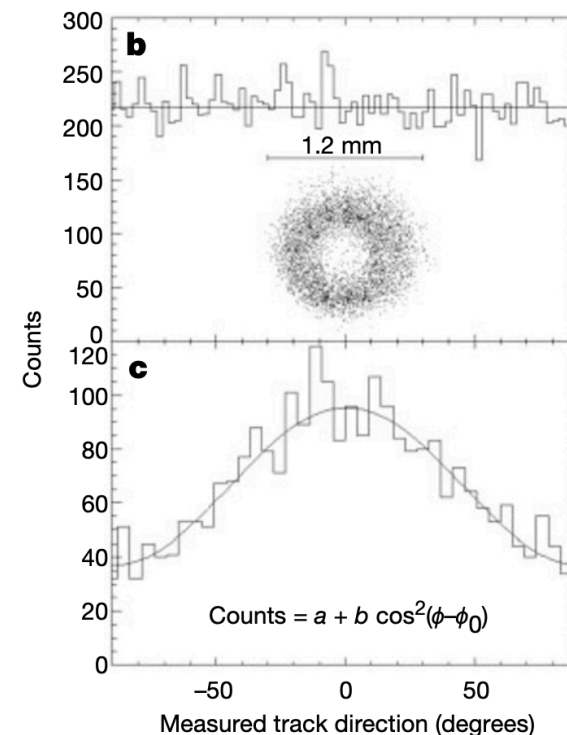
How to Measure X-Ray Polarisation

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- Idea and concept actively developed in astrophysics and photoemission spectroscopy: polarisation measurement of X-rays by photoelectron emission angle
 - ➔ Depending on the X-ray polarisation the angle spectrum of the emitted photoelectron changes
- Currently conceiving a study for sensitivity in BabyIAXO
 - ➔ Impact by energy of X-rays (1-10 keV)?
 - ➔ Optimal detector volume, gas type and pressure?
 - ➔ Required readout granularity to resolve direction of photoelectron track? Gridpix?
 - ➔ How many X-rays (axions/ALPS) required?
 - ➔ May machine learning algorithms help?



TNS.2006.874884

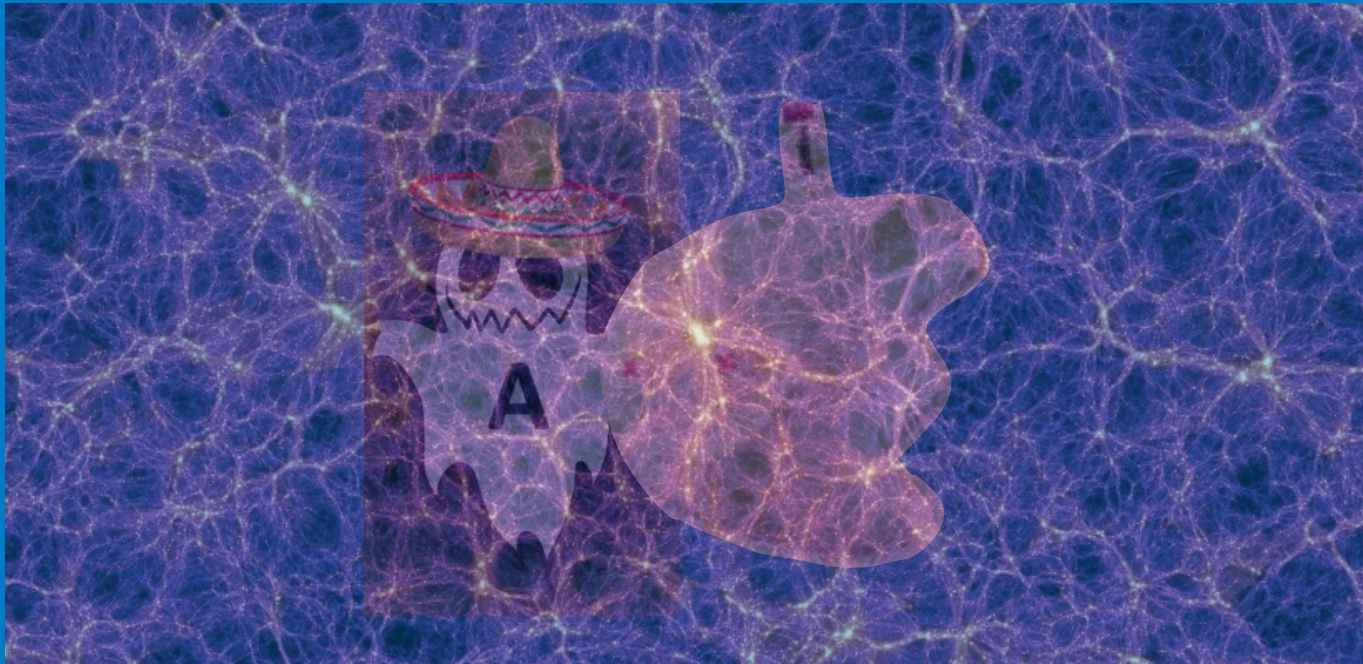


Un-polarised

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Nature 411, 662–665 (2001)

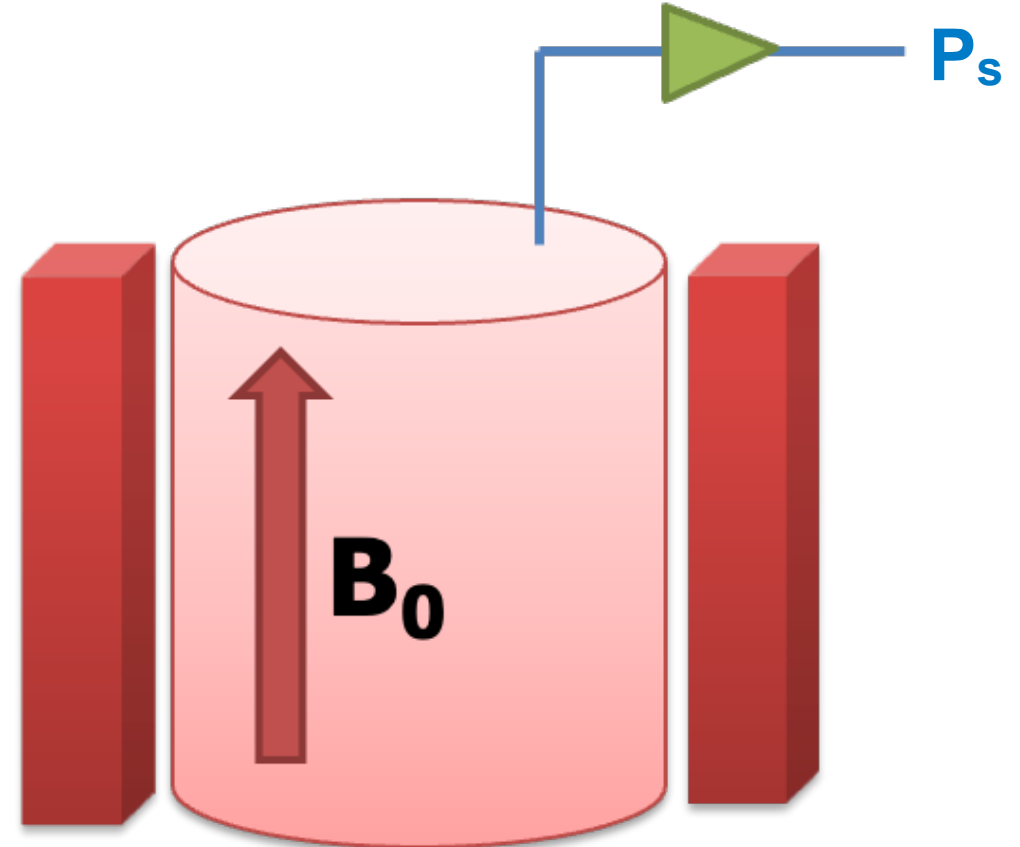
Beyond Axion Discovery IV: Haloscope Mode.



Haloscopes

Detecting Dark Matter Axions - In a Nutshell

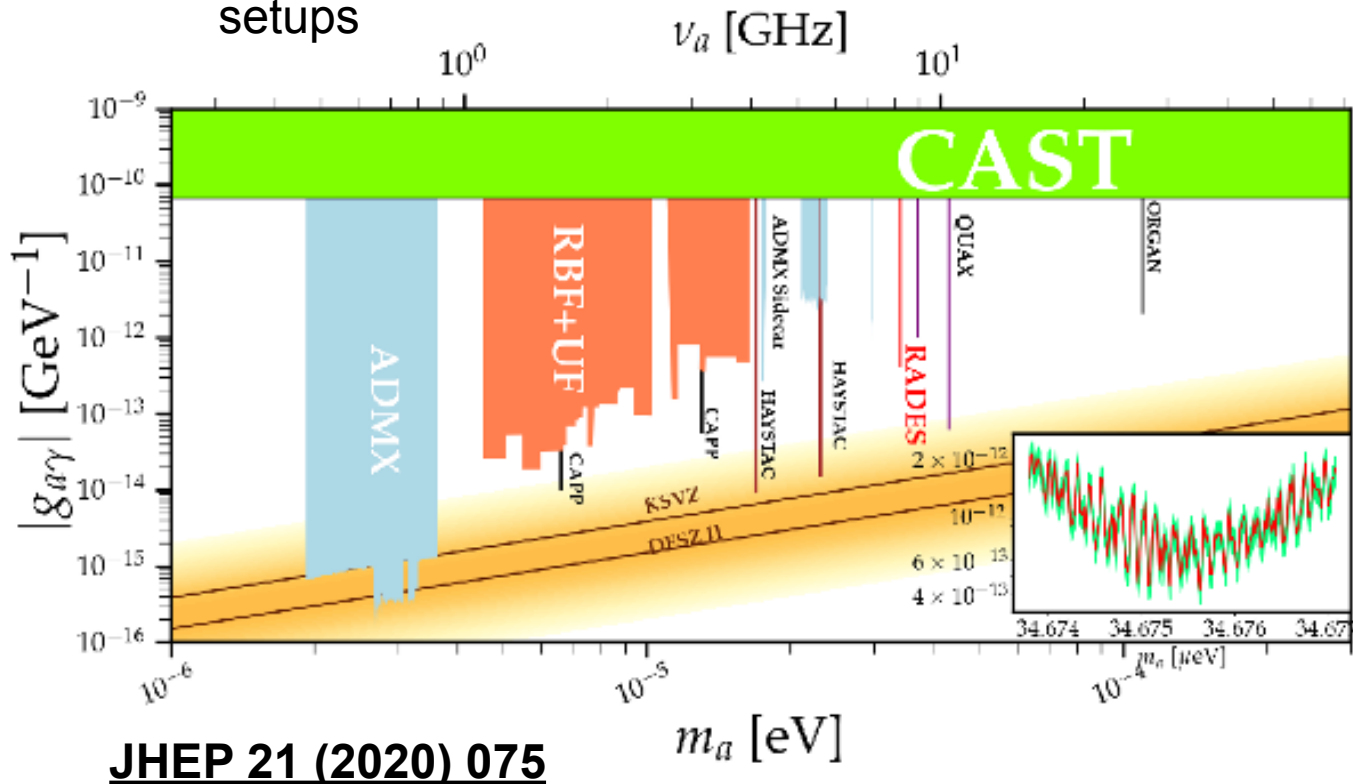
- Assumption for haloscope: DM halo is mostly made of axions
 - ➔ Axions non-relativistic: $m_a \rightarrow f_{a,\gamma}$
- Resonant “Sikivie” cavities
 - ➔ Axion-photon conversion in tunable resonant cavity
 - ➔ Typically in frequency ranges of microwaves
- If cavity is tuned to axion frequency: Boost of conversion by resonant factor
 - ➔ Excess in measured output power P_s



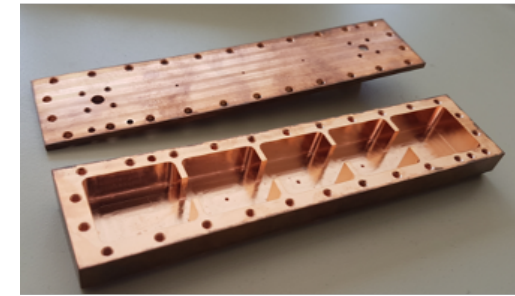
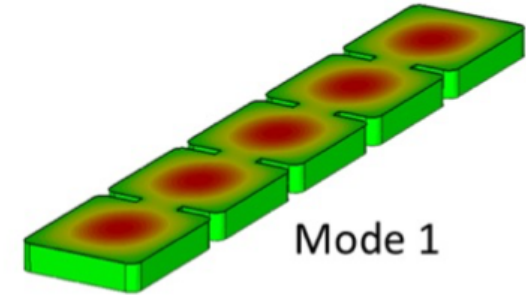
RADES

Helioscope as Haloscope Project

- During late years in the CAST experiment the RADES project emerged
 - ➔ Reuse the magnetic volumes of helioscope for haloscope searches by integrating resonant cavity setups



JCAP 05 (2018) 040



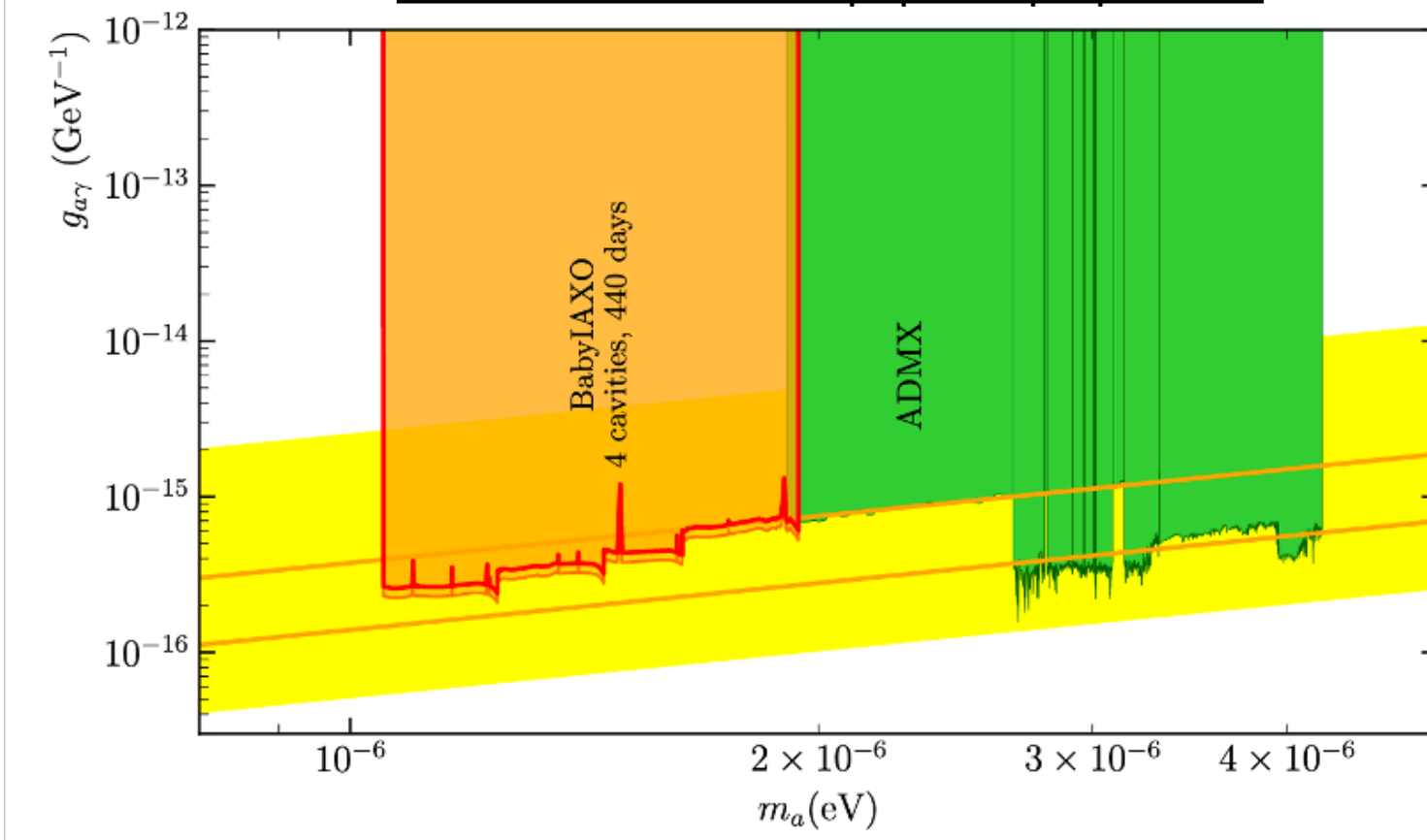
- Single frequency point measurement at 37 μeV in the CAST experiment
- Developments continued after CAST times
 - ➔ Optimising geometries of cavities
 - ➔ Improving coating for improving boost factor, etc.

BabyIAXO - Preliminary Projected Sensitivities

Haloscope Mode

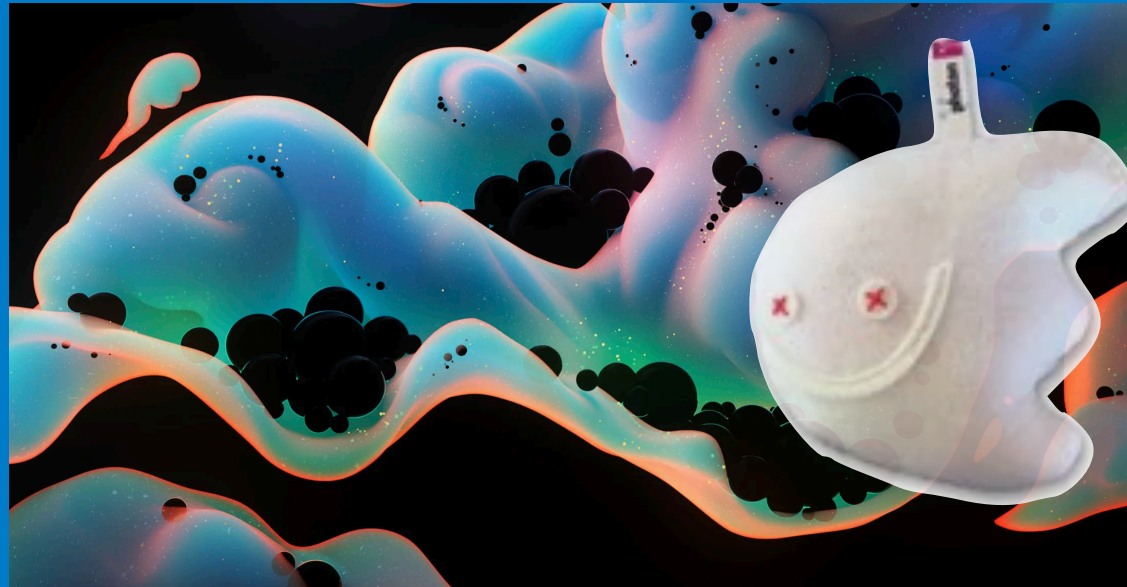
- Use 4 x 5m long cavities in the BabyIAXO magnetic bores
 - ➔ May enable sensitivity to 1-2 μeV DM axions close to ADMX limits
 - ➔ Within 2 years of data taking reaching the KSVZ band
- Further implementations actively being discussed by collaboration

RADES collaboration paper in preparation



*Haloscope bounds shown assume axion to be 100% of DM. In general, scale as $\sqrt{\rho_{\text{DM}}/\rho_a}$

Beyond Axion Discovery V: High Frequency Gravitational Waves and Other Searches.



<https://www.wired.com/story/is-dark-matter-just-black-holes-made-during-the-big-bang/>

Further Searches

A Broad Spectrum of Ideas

- Axions from supernova explosions [arXiv:2008.03924](https://arxiv.org/abs/2008.03924)
 ➔ Would require HE- γ detector at the opposite of X-ray detector

- If g_{ae} sufficiently high, characterisation of solar metallicity by measuring elemental peaks in ABC axion spectrum
[Phys. Rev. D 100, 123020](https://arxiv.org/abs/1905.07864)

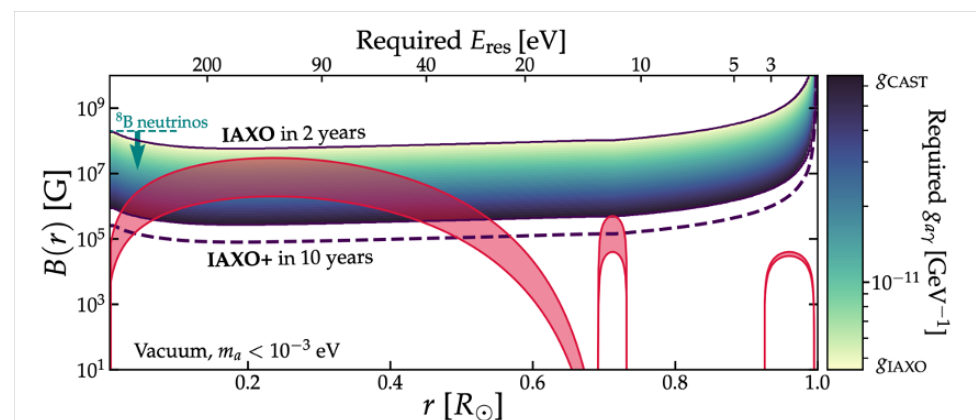
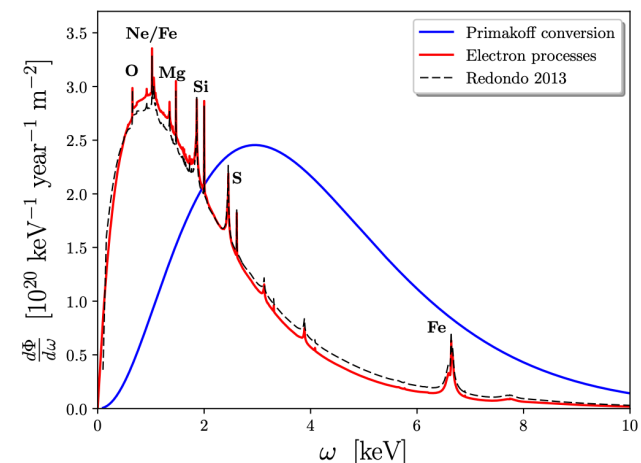
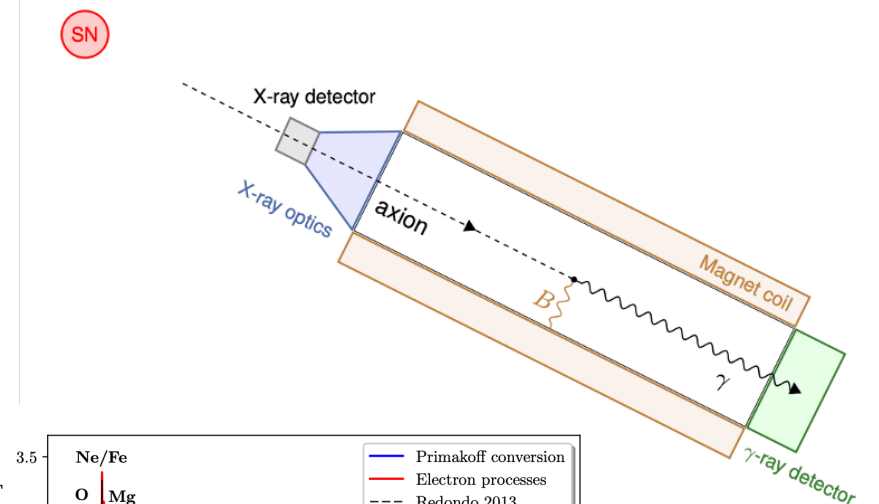
- Helioscope as solar magnetometers [Phys. Rev. D 102, 043019](https://arxiv.org/abs/1905.07864)

- High frequency gravitational waves by primordial black holes

[PhysRevD.106.103520](https://arxiv.org/abs/1905.07864)

[PhysRevD.106.063027](https://arxiv.org/abs/1905.07864)

[JCAP03\(2021\)054](https://arxiv.org/abs/1905.07864)



Summary & Outlook

... and a Dream

- After ALPS II, BabyIAXO is the next in the line of local axion/ALPs experiment @ DESY
- BabyIAXO is prototype for IAXO, but will be a fully fledged helioscope with discovery potential in many channels!
- Helioscope searches offer unique environment to probe different couplings ($g_{a\gamma\gamma}$, g_{ae} , g_{aN}) and m_a individually for a significant part of the parameter space
 - ➔ Distinguishing of different axion models possible
- (Baby)IAXO might turn into a facility to search for more generic axion-related physics and beyond: DM axions, SN axions, dark photons, HFGW,...






**Thank you for your
attention!**

IAXO Collaboration Meeting @ DESY, Hamburg, 12-15.03.2023
~125 scientists from 22 full member institutions + 5 associate institutions.
<https://iaxo.desy.de>

Backup

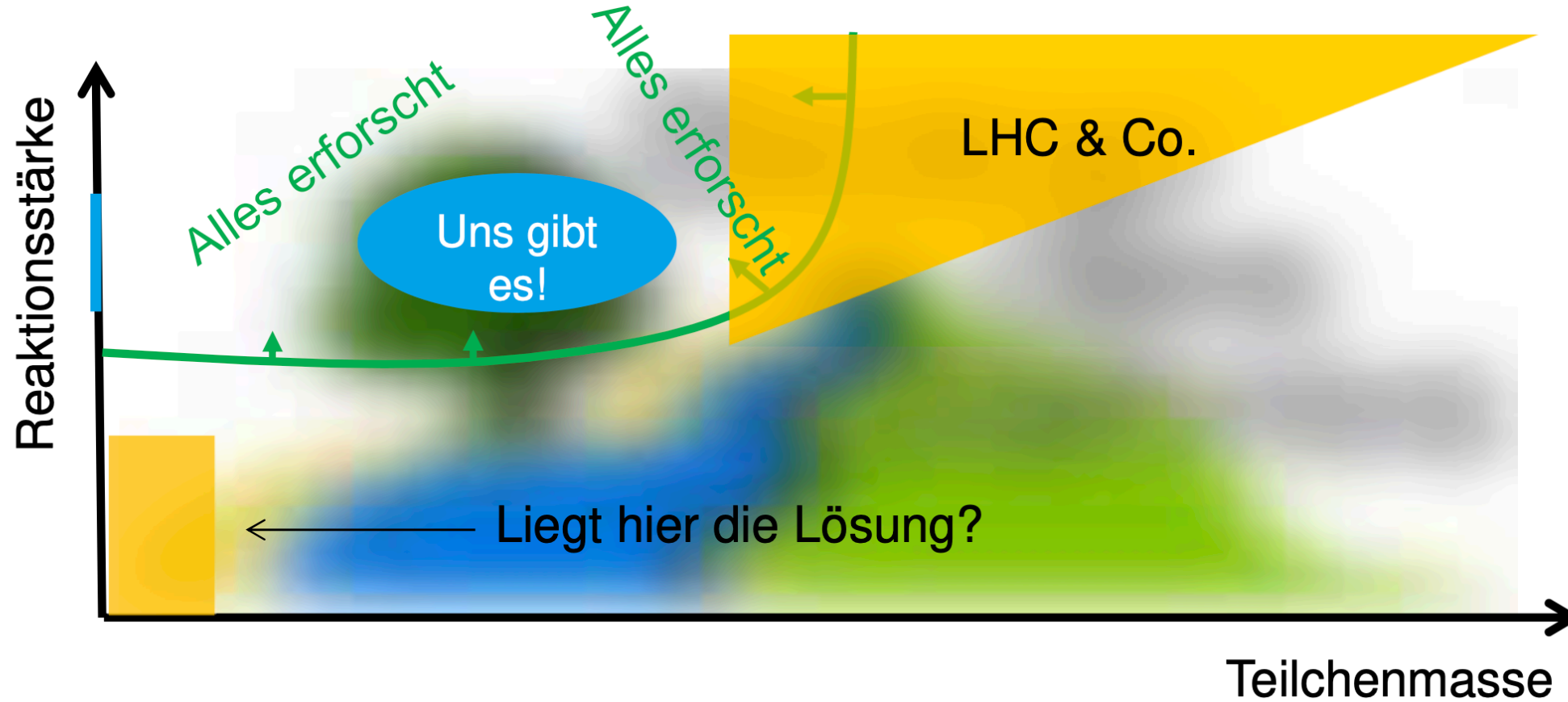


Full members: Kirchhoff Institute for Physics, Heidelberg U. (Germany) | IRFU-CEA (France) | CAPA-UNIZAR (Spain) | INAF-Brera (Italy) | CERN (Switzerland) | ICCUB-Barcelona (Spain) | Petersburg Nuclear Physics Institute (Russia) | Siegen University (Germany) | Barry University (USA) | Institute of Nuclear Research, Moscow (Russia) | University of Bonn (Germany) | DESY (Germany) | University of Mainz (Germany) | MIT (USA) | LLNL (USA) | University of Cape Town (S. Africa) | Moscow Institute of Physics and Technology (Russia) | Technical University Munich (TUM) (Germany) | CEFCa-Teruel (Spain) | U. Polytechnical of Cartagena (Spain) | U. of Hamburg (Germany) | MPE/PANTER (Germany) |

Associate members: DTU (Denmark) | U. Columbia (USA) | SOLEIL (France) | IJCLab (France) | LIST-CEA (France)

Collider vs. High Precision - Rare Event Search Experiments

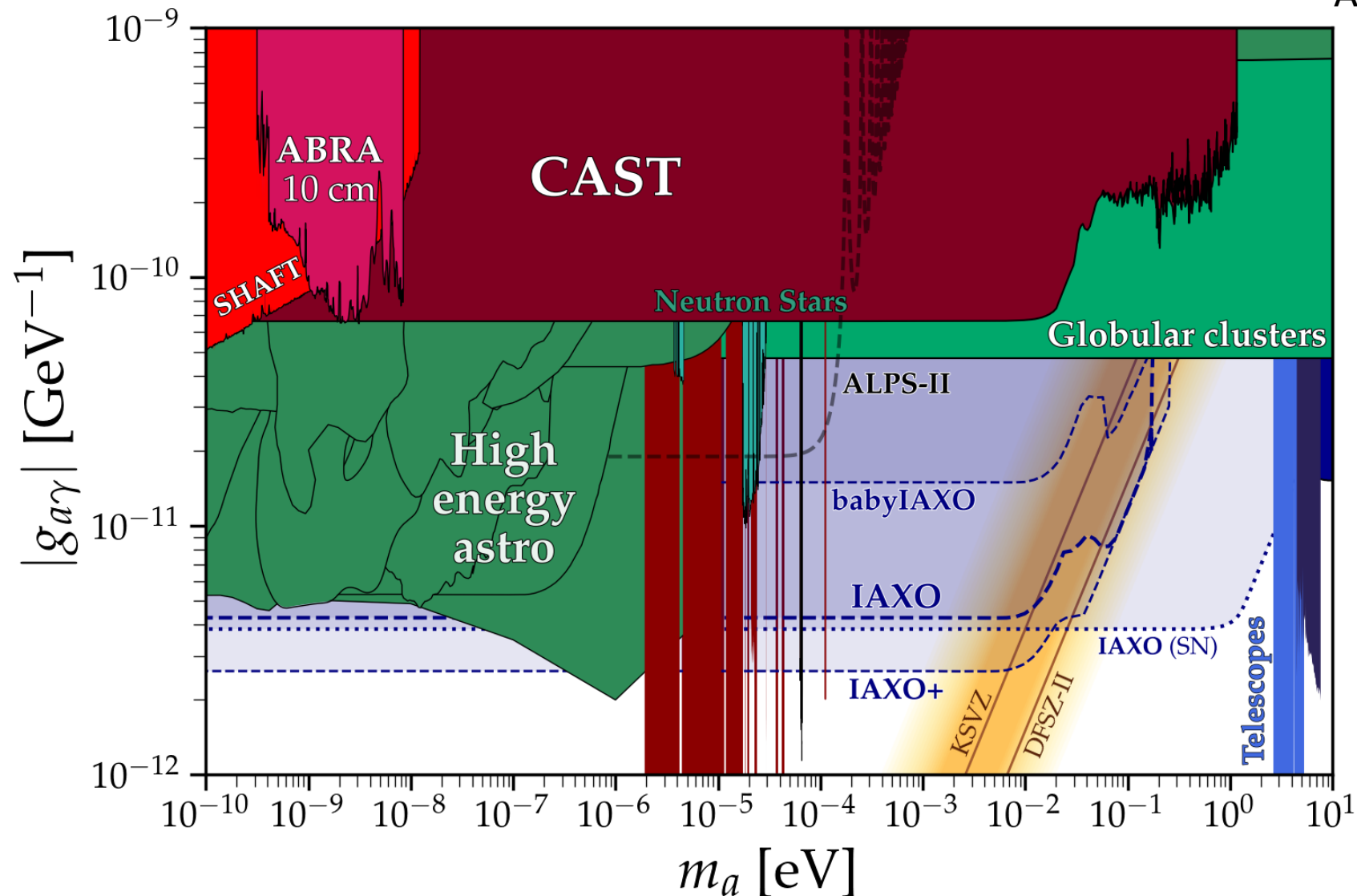
Different Parameter Spaces to Investigate!



Current Parameter Space - Axion-Photon Coupling

Experimental Limits + Projections Helioscopes

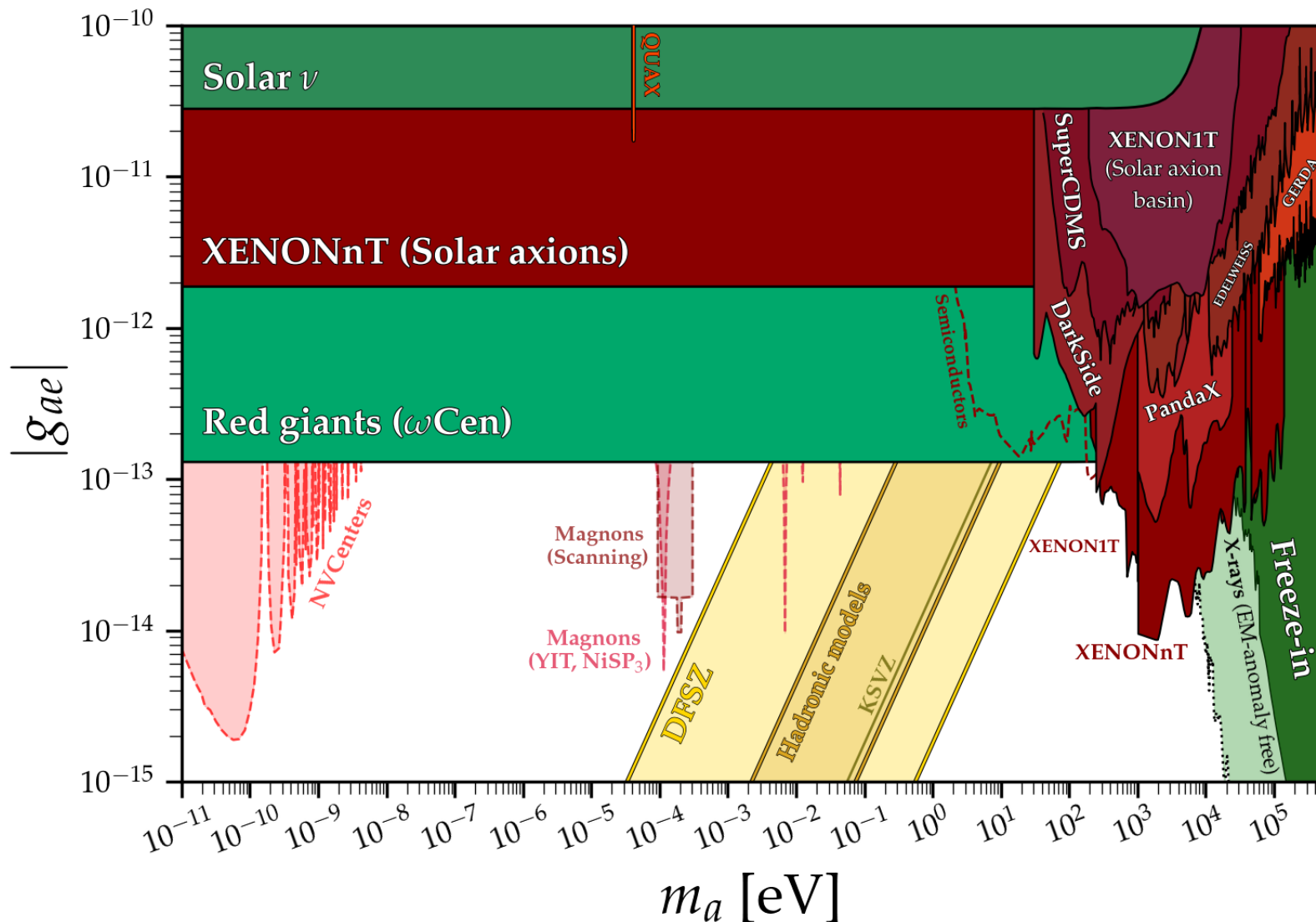
<https://cajohare.github.io/AxionLimits/docs/ap.html>



Current Parameter Space - Axion-Electron Coupling

Experimental Limits + Projections

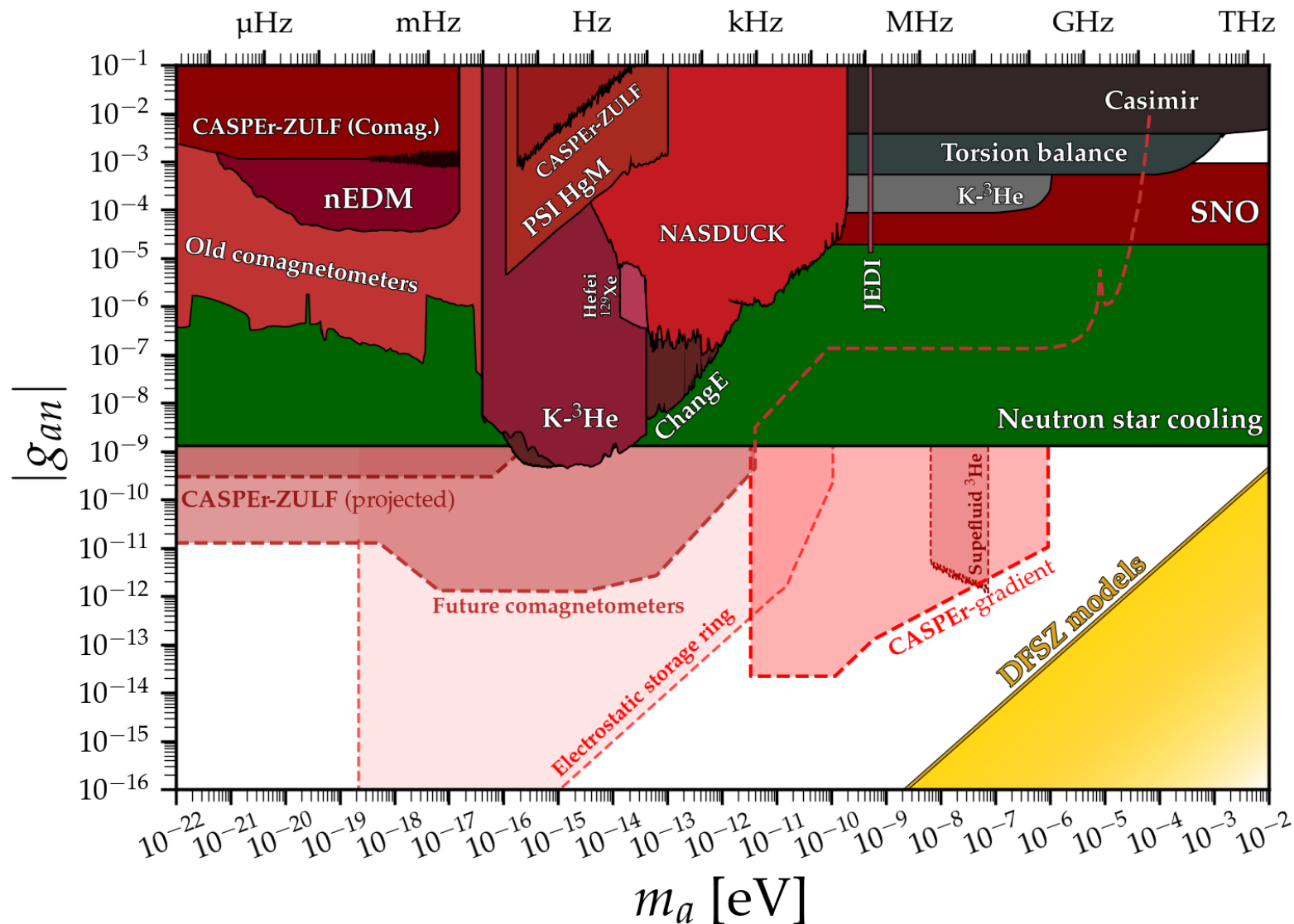
<https://cajohare.github.io/AxionLimits/docs/ap.html>



Current Parameter Space - Axion-Neutron Coupling

Experimental Limits + Projections

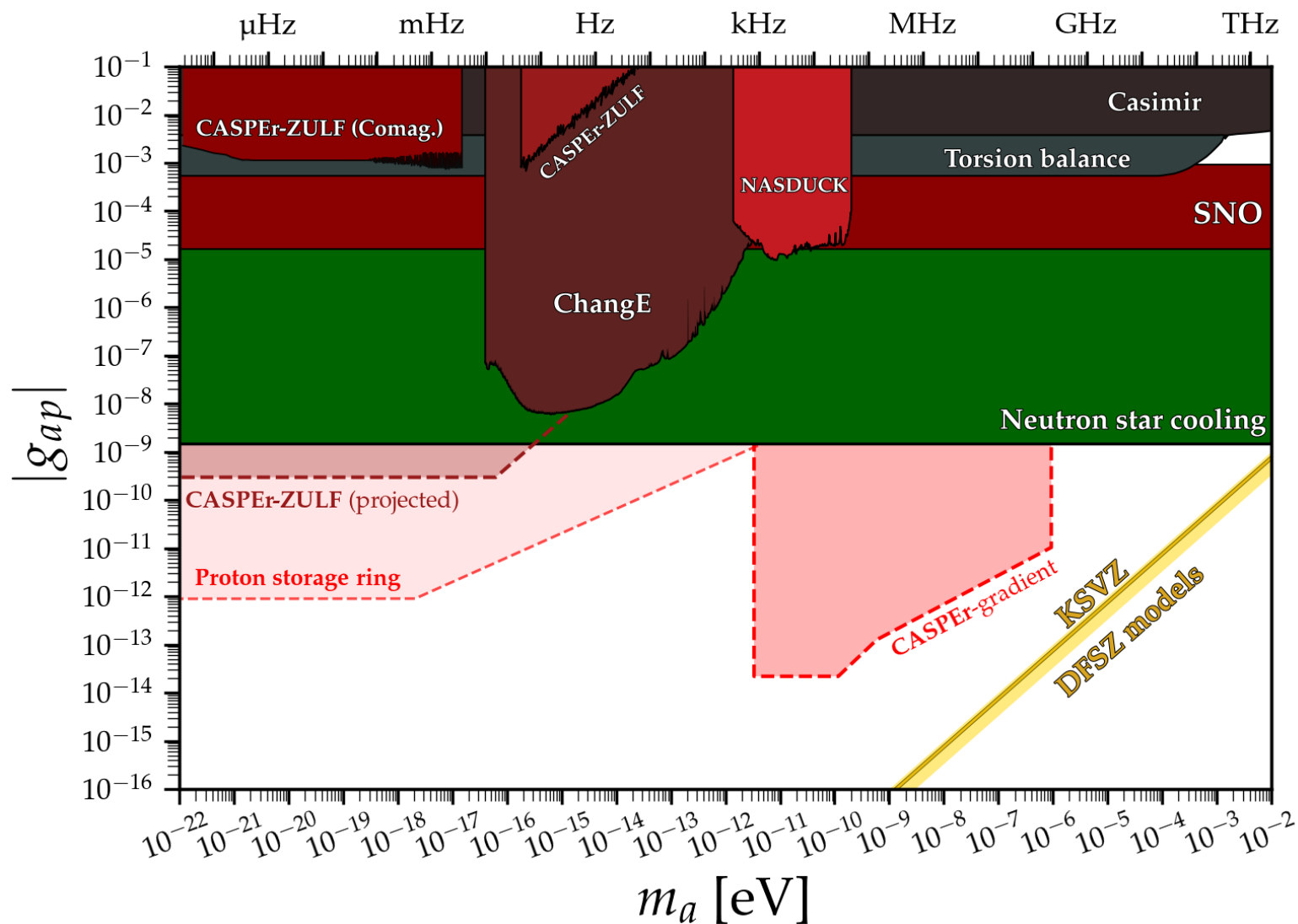
<https://cajohare.github.io/AxionLimits/docs/ap.html>



Current Parameter Space - Axion-Proton Coupling

Experimental Limits + Projections

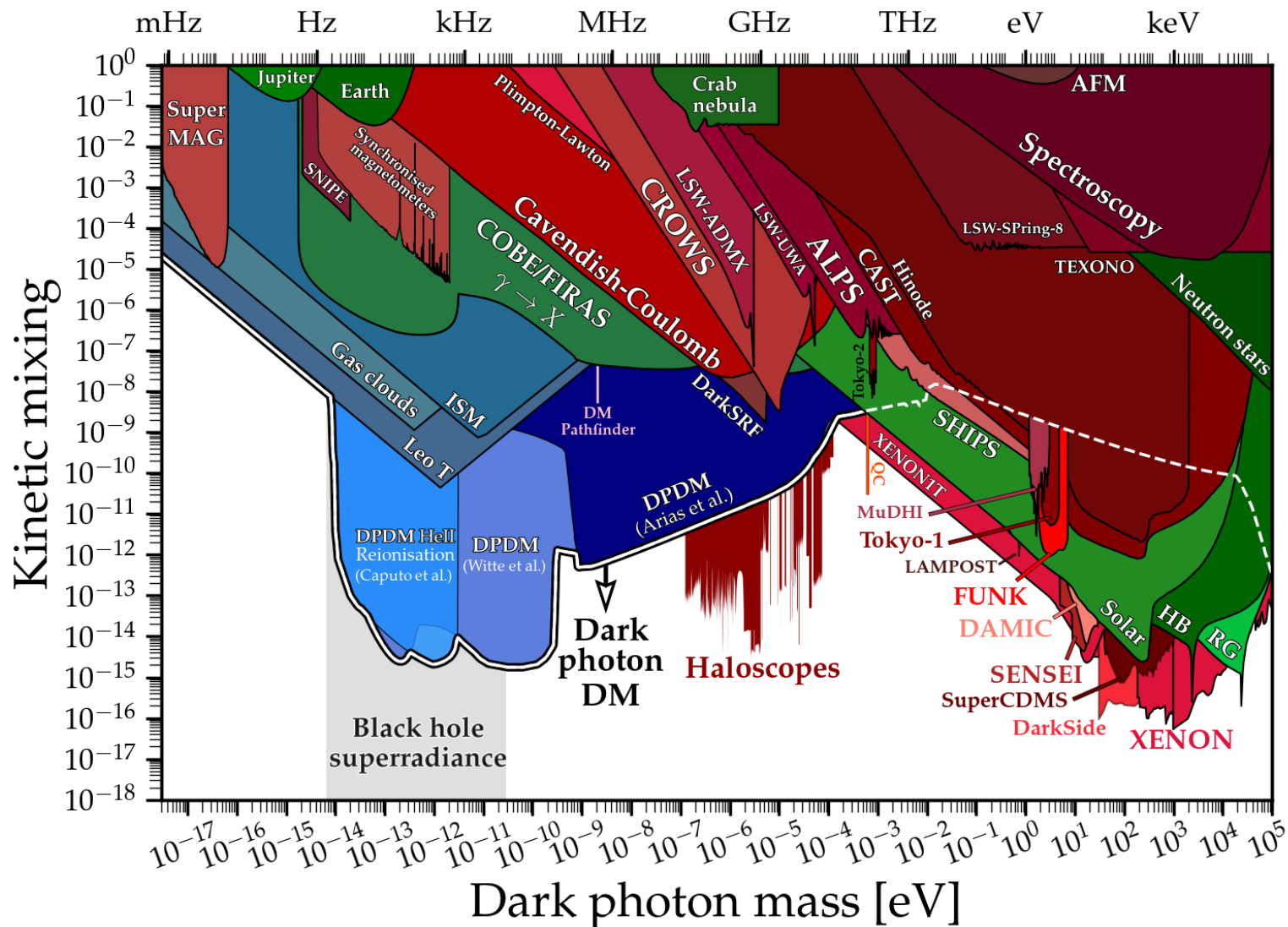
<https://cajohare.github.io/AxionLimits/docs/ap.html>



Current Parameter Space - Dark Photons

Experimental Limits + Projections

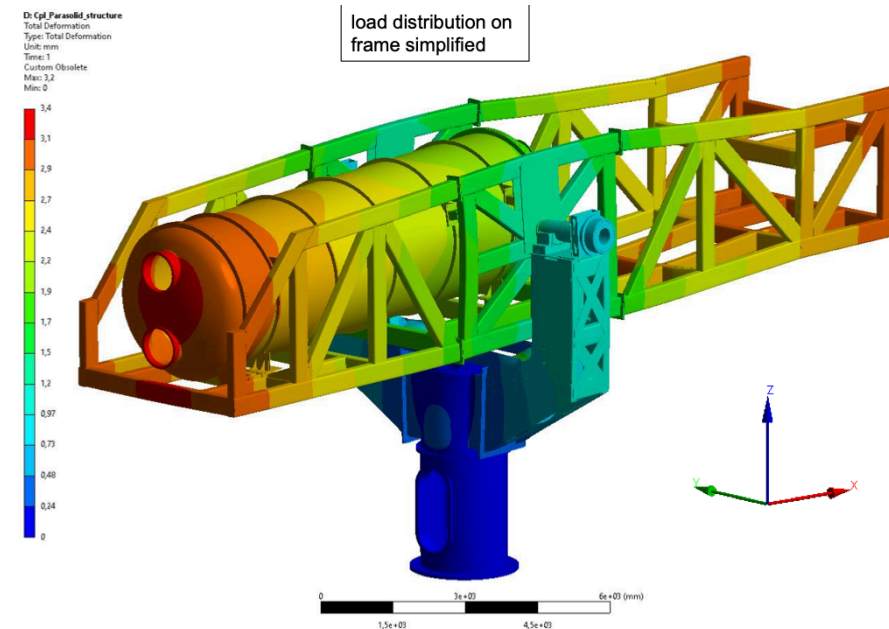
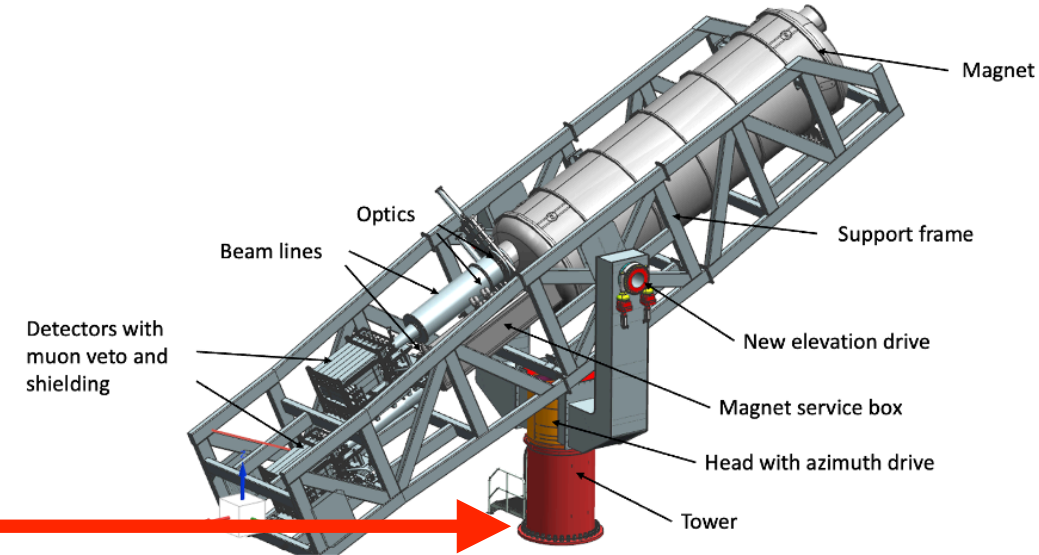
<https://cajohare.github.io/AxionLimits/docs/ap.html>



Structure & Drive System

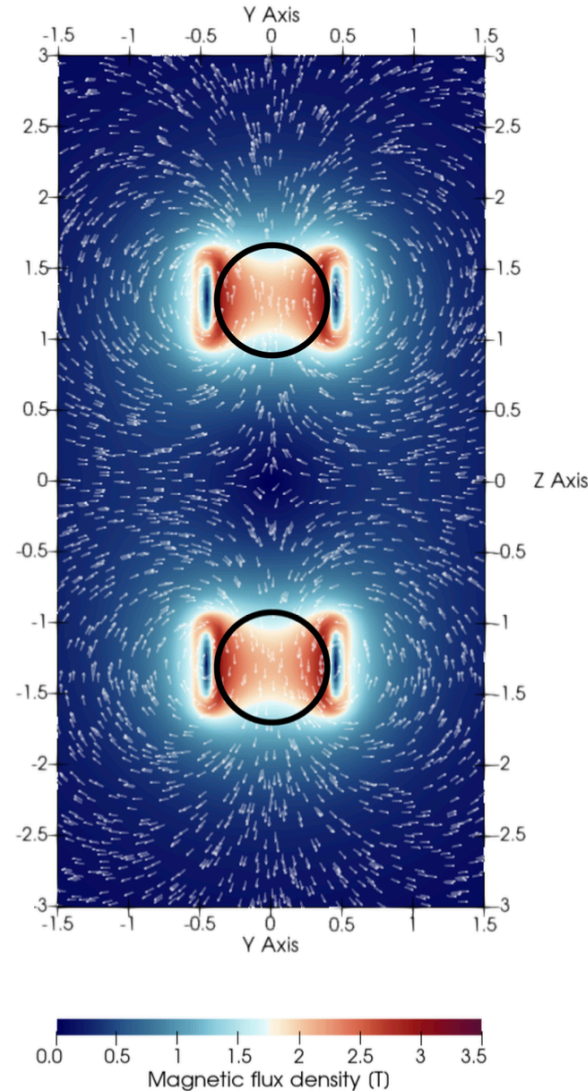
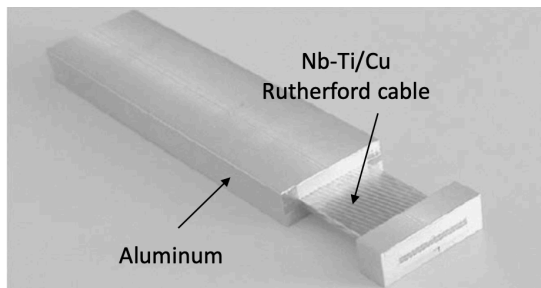
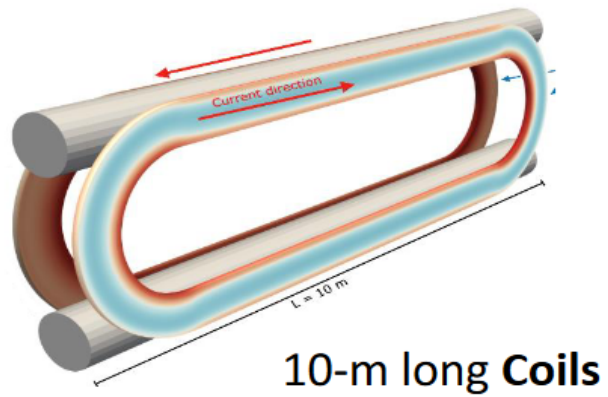
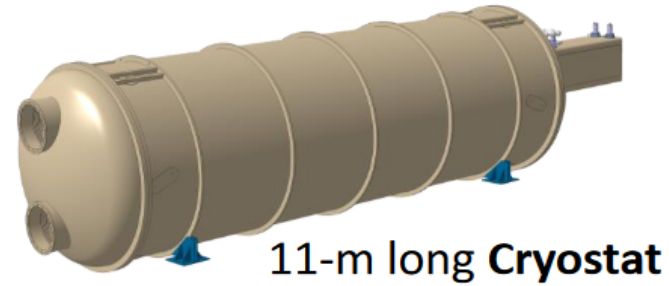
And Alignment to the Sun

- Reusing parts of CTA/MST prototype from DESY Zeuthen 
- Technical studies progressing well
 - ➔ Design almost finished
 - ➔ Rotation: 360° , Tilt: $\pm 25^\circ$
 - ➔ Pointing precision $< 0.01^\circ$
 - ➔ Extensive simulations of load distributions and deformations
 - ➔ Internal and external alignment studies



Magnet (Design by CERN)

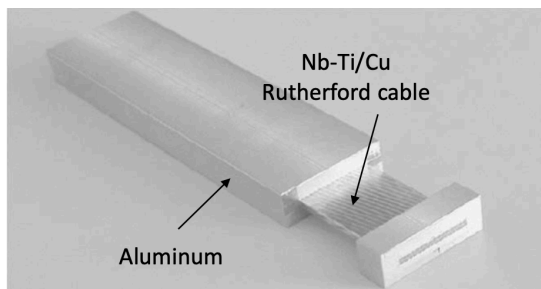
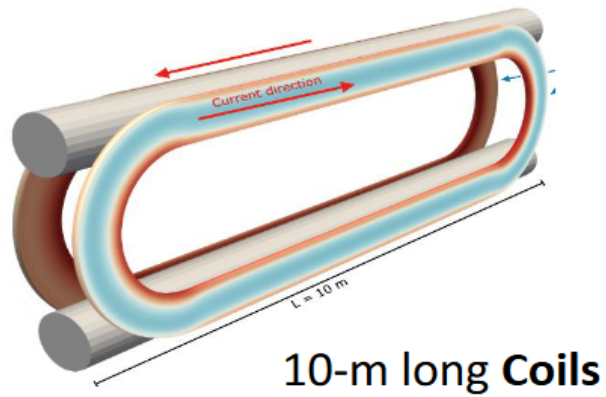
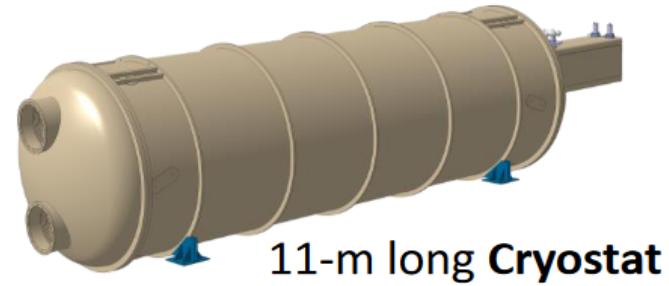
Prospects and Challenges



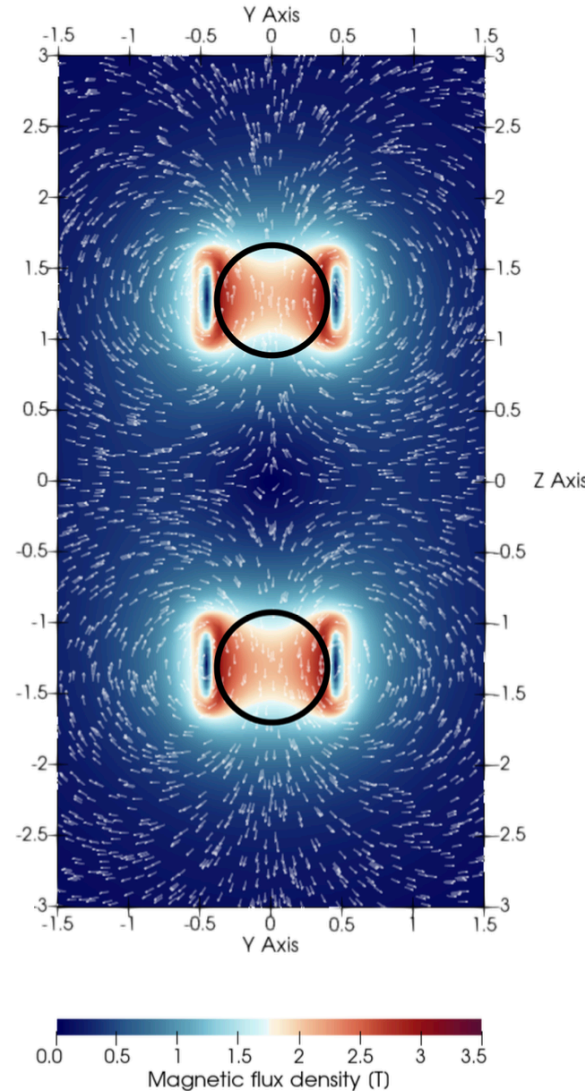
- Two 10 m long bores with common coil racetrack design, cryocooler concept

Magnet (Design by CERN)

Prospects and Challenges



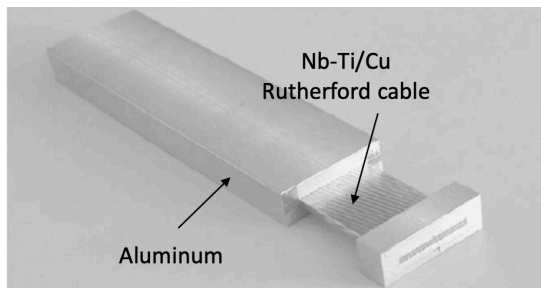
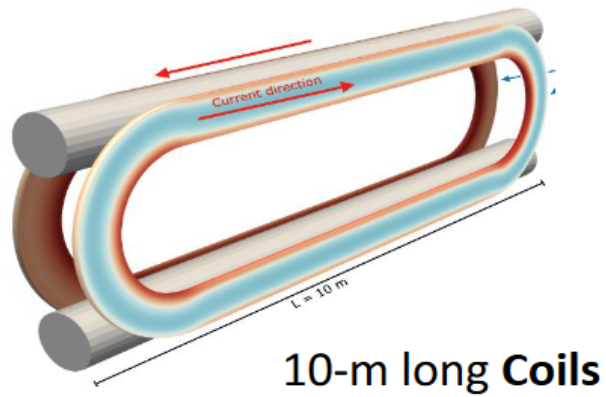
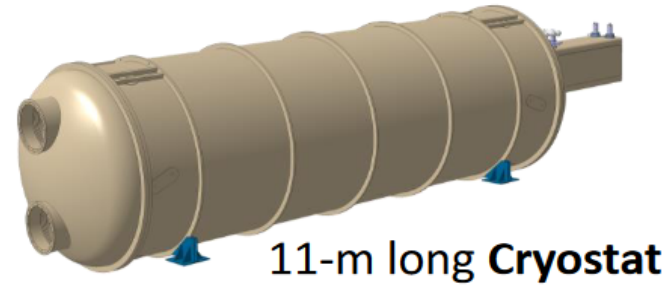
20-km long **Conductor**



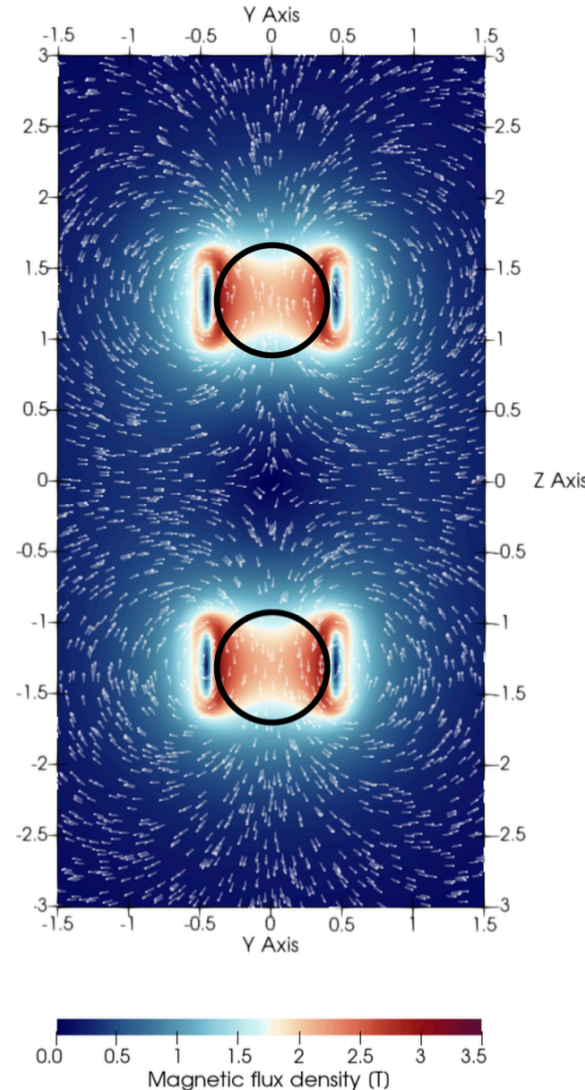
- Two 10 m long bores with common coil racetrack design, cryocooler concept
- Ongoing discussion mainly due to difficulties in building Al-stabilised superconducting cables, **critical item**
 - ➔ Potential Russian companies not available
 - ➔ Causing additional costs and delays

Magnet (Design by CERN)

Prospects and Challenges



20-km long **Conductor**



- Two 10 m long bores with common coil racetrack design, cryocooler concept
- Ongoing discussion mainly due to difficulties in building Al-stabilised superconducting cables, **critical item**
 - ➔ Potential Russian companies not available
 - ➔ Causing additional costs and delays
- Collaboration of magnet experts (DESY+CERN)
 - ➔ Build up competence to build cable at CERN or let it built by a suitable industrial partner
 - ➔ Constantly improving cryogenic system
 - ➔ Conceptual design under preparation, new magnet review upcoming

Optics

Focusing X-Rays

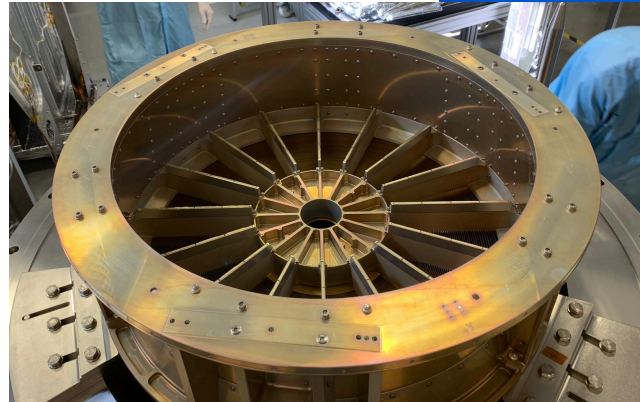
- Two different X-ray focusing optics to be used

1. XMM Newton flight spare XRT from ESA



➡ Focal length: 7.5 m

➡ To be re-calibrated at MPE/PANTER



Optics

Focusing X-Rays

- Two different X-ray focusing optics to be used

1. XMM Newton flight spare XRT from ESA



- ➔ Focal length: 7.5 m

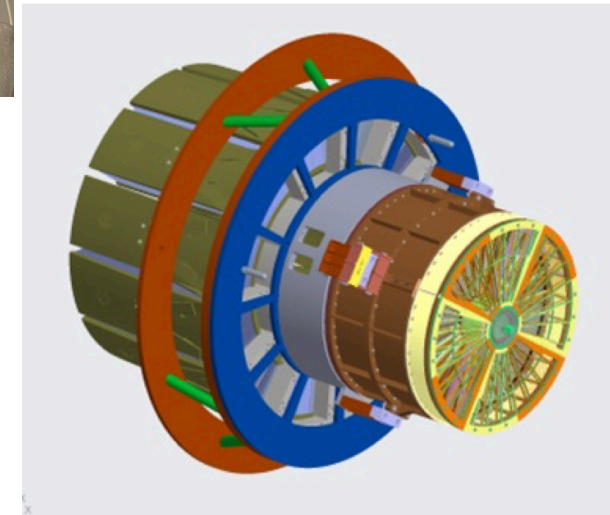
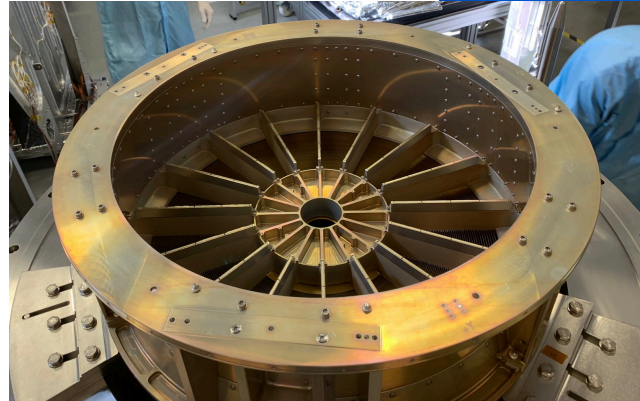
- ➔ To be re-calibrated at MPE/PANTER

2. Custom optics (hybrid approach)

- ➔ Focal length: 5 m

- ➔ Significant progress in test for different mirror coating, design and calibration

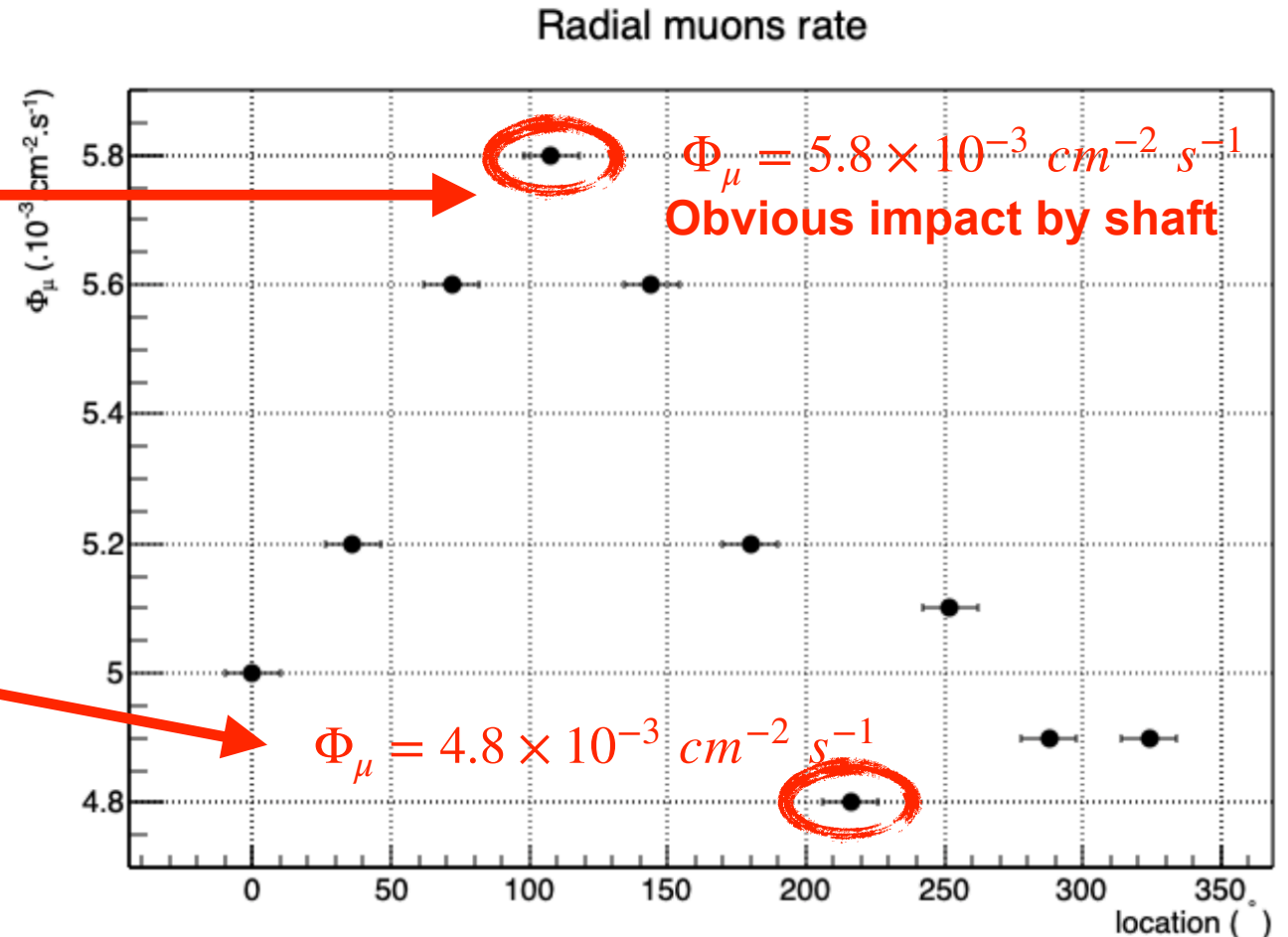
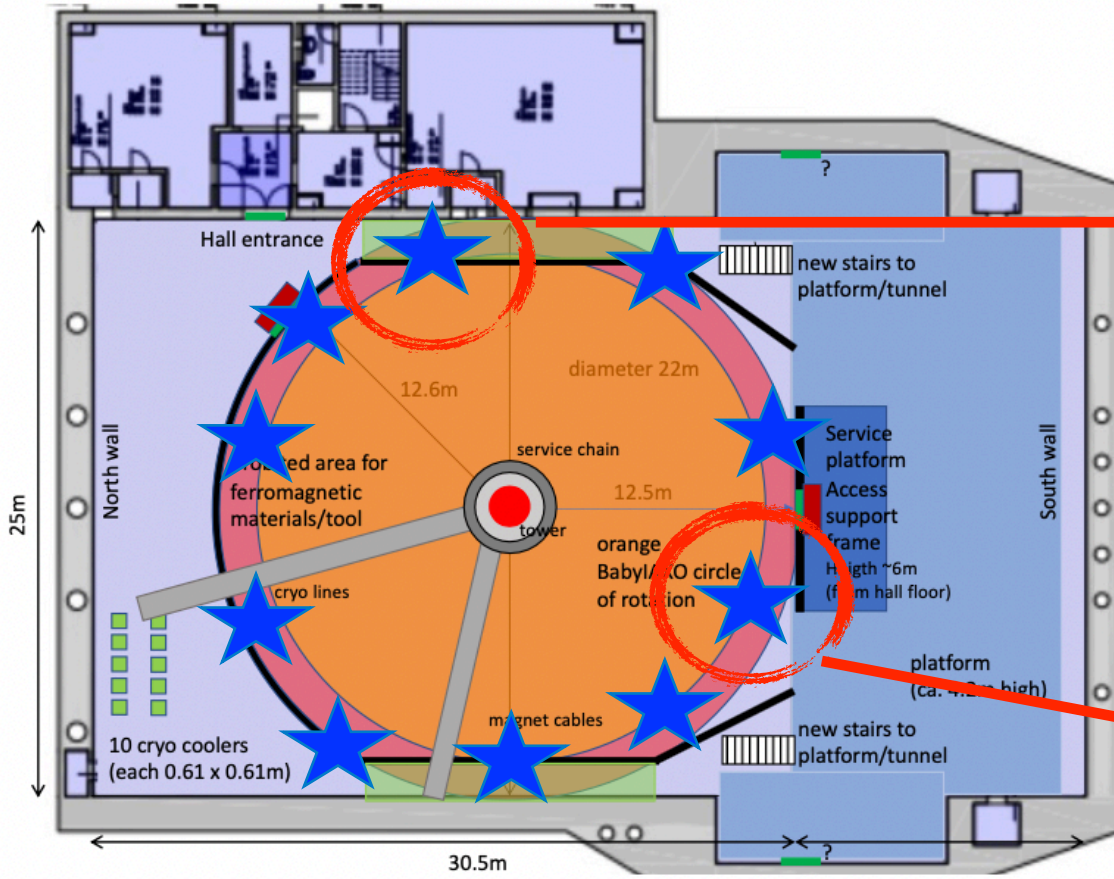
- Challenges: Throughput efficiency (40-60%) and focal area (0.2 cm²)



Muon Measurements I

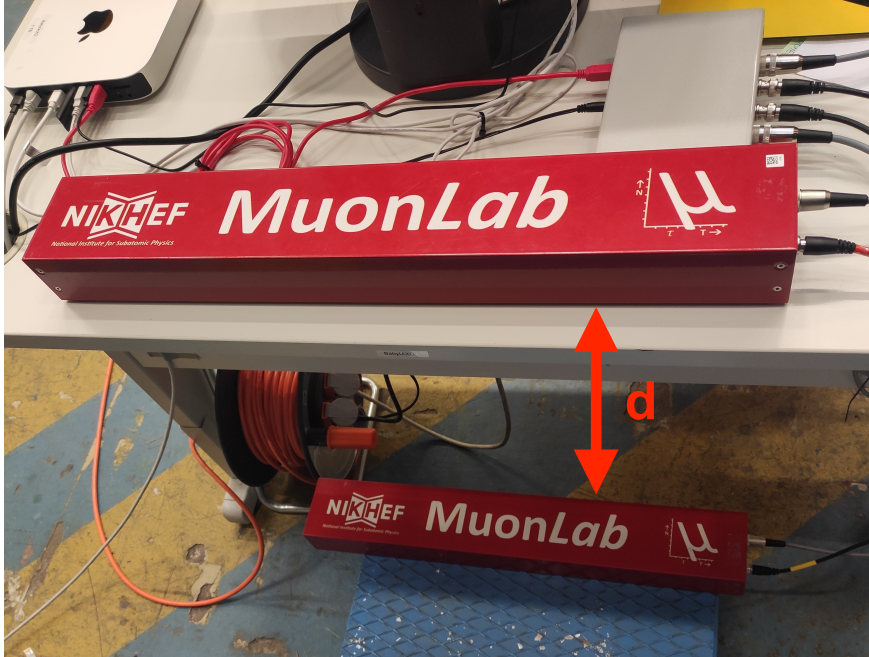
BabyIAXO Circle of Rotation + Shaft

- Defined 10 measurement locations at the outer radius of the BabyIAXO circle of rotation

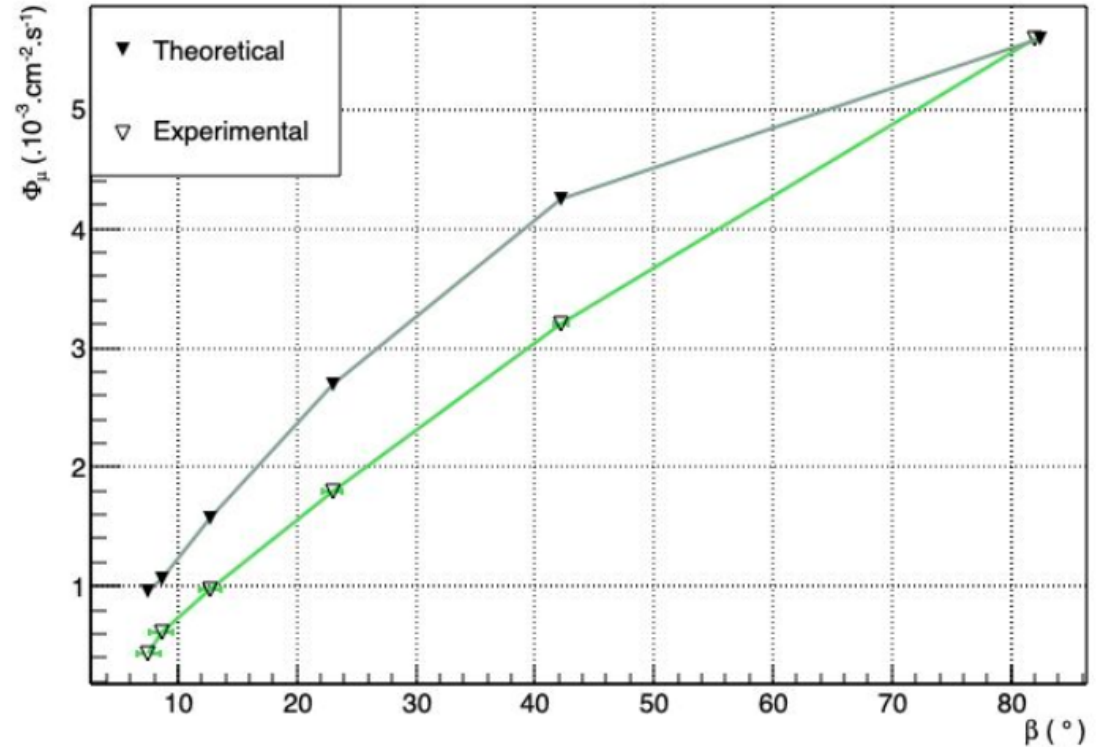


Muon Measurements II

Acceptance Angle



Rate vs. Acceptance Angle



- Long time measurements below shaft with varying scintillator distance **d**
 - ➔ Calculation of acceptance angle β
 - ➔ Comparison to angular spectrum of cosmic rays and calculation of expected rates
 - ➔ Trend similar, but experimental values systematically lower: misalignment, bar size, layers of concrete,...

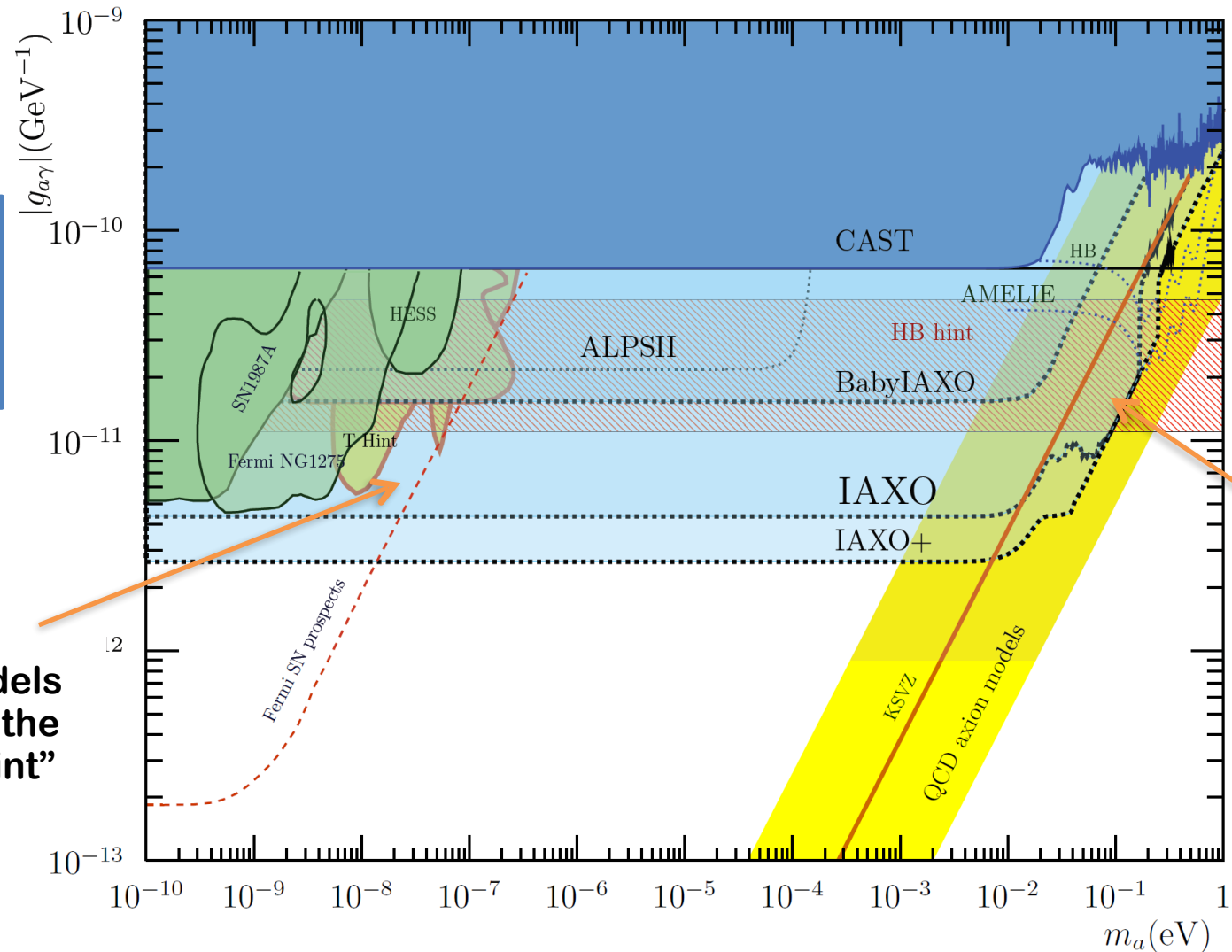
BabyIAXO & IAXO physics reach

BabyIAXO prospects:
10xMFOM + optics
and detector from
conservative scenario
of Lol

IAXO+: enhanced
scenario with x10 (x4)
higher FOM (MFOM)
with respect Lol

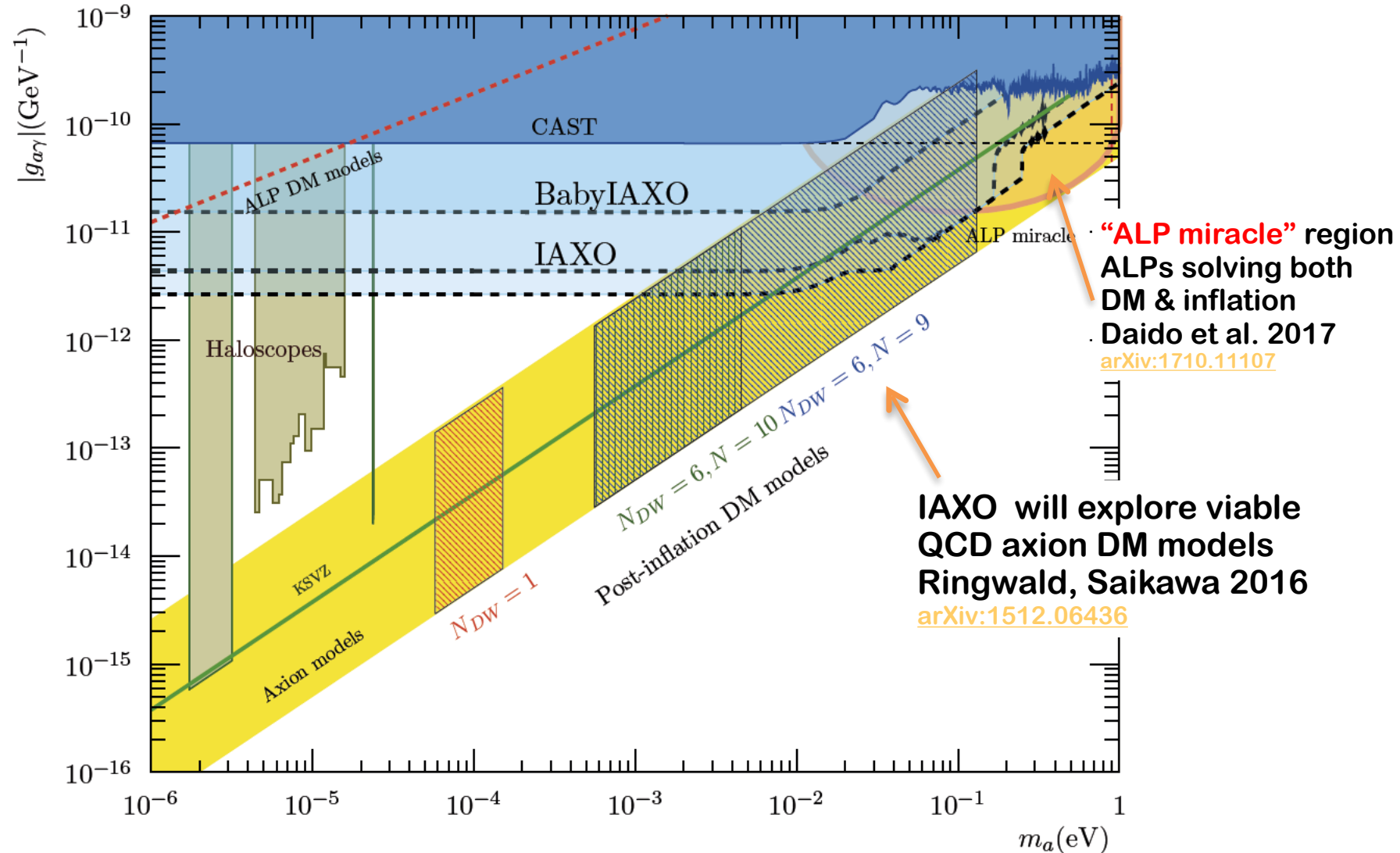
IAXO will fully
explore ALP models
invoked to solve the
“transparency hint”

... as well as a large
fraction of the axion &
ALP models invoked in
the “stellar cooling
anomaly”
But for this the g_{ae} is
particularly interesting



MFOM = Magnet FOM

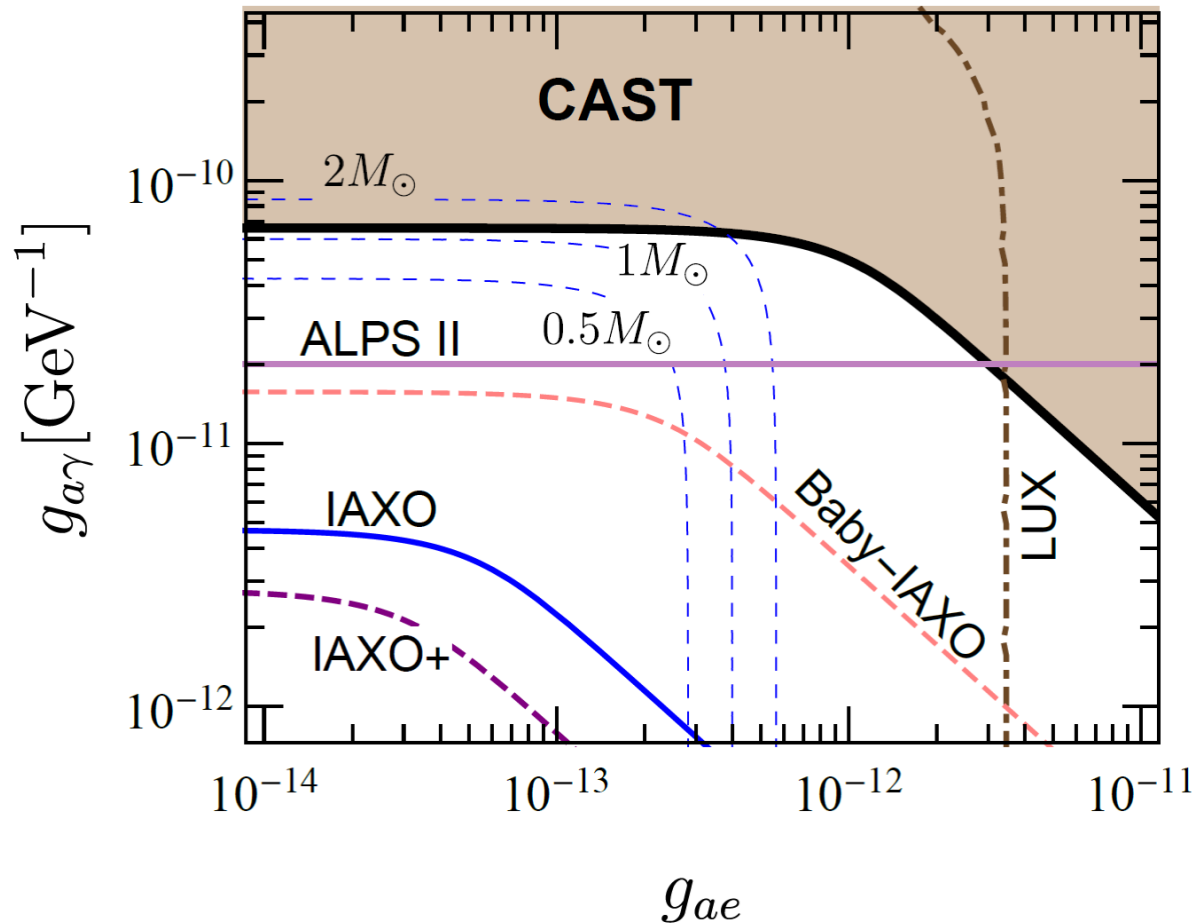
IAXO & meV axion cosmology



Axion Search Sensitivity

Couplings

O. Straniero et al.



IAXO sensitive to axion – photon and axion – electron coupling, in contrast to light-shining-through-a-wall experiments and haloscopes

Baby-IAXO magnets (II)

- Two bores of **70 cm diameter** (dimensions similar to final IAXO bores) and **10 m length**
- Field in bore **2 – 3 T**

Magnetic figure of merit

$$f_M = B^2 L^2 A$$

About 10 times larger than in CAST

- **Design of supporting structures**

Repelling force 34 MN

- Defining the electrical circuit:

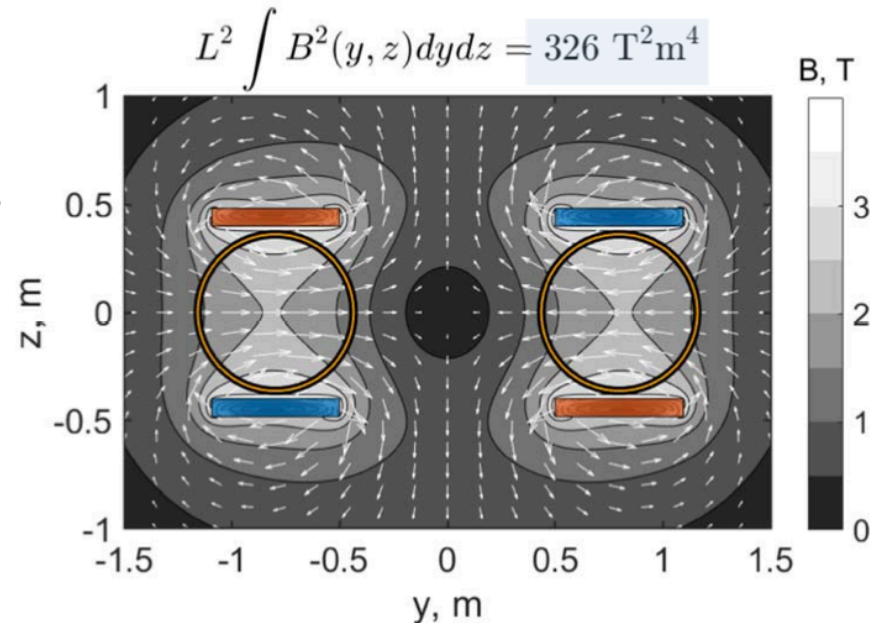
Direct drive (no risk) vs **Persistent Mode Drive** (R&D)

Quench protection

- Cryogenics:

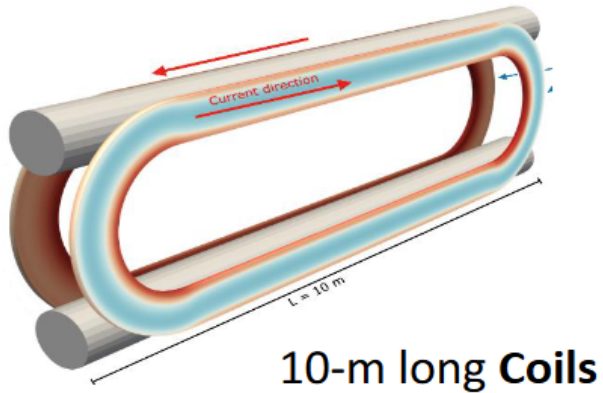
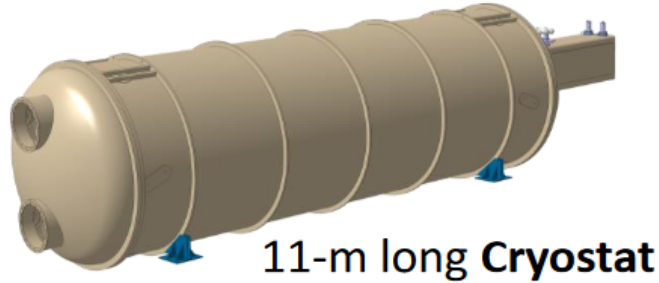
cryocoolers based cooling system

evaluation of the heat load



Magnet

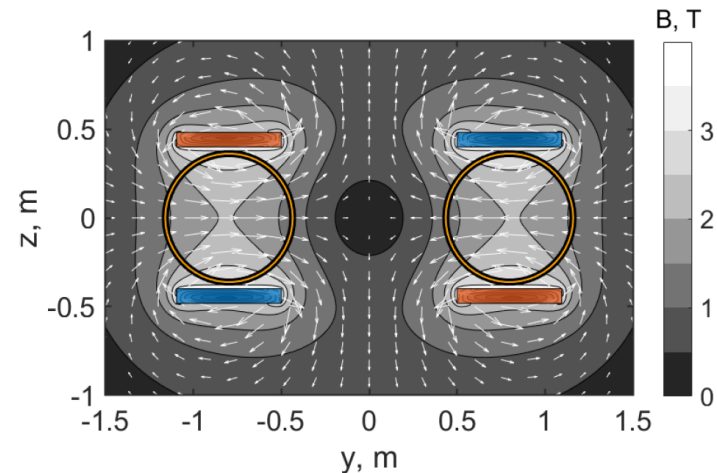
Prospects and Challenges



~35 km **Superconductor**



- Two 10 m long bores with 70 cm diameter each, magnetic field ~ 2 T
 - ➔ Common coil racetrack design with counter-flowing currents
- Dry detector magnet concept based on cryocoolers, cold mass 4.5 K



- Ongoing adaptations due to difficulties in obtaining Al-stabilised superconducting cables
 - ➔ Potential Russian providers not available
 - ➔ Critical item, causing delays
- Intense collaboration with magnet experts at CERN
 - ➔ Requests for quotations and tendering restarted recently
 - ➔ New review upcoming, conceptual design under preparation

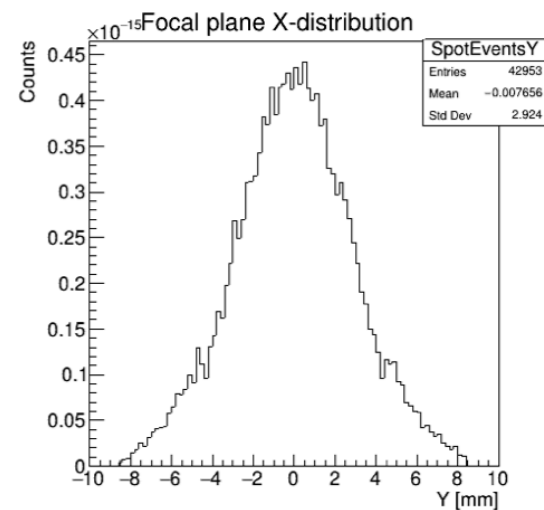
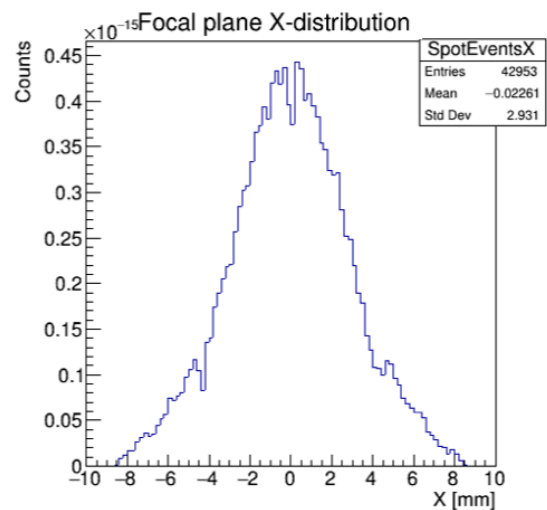
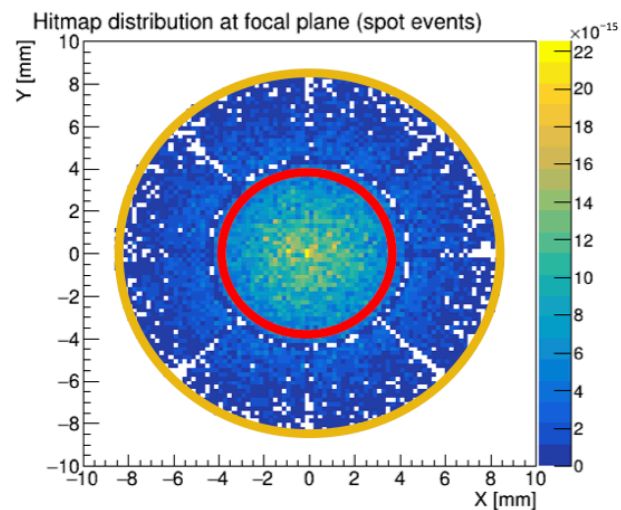
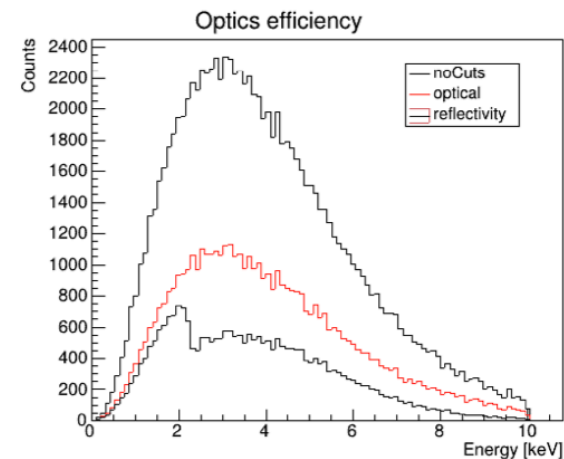
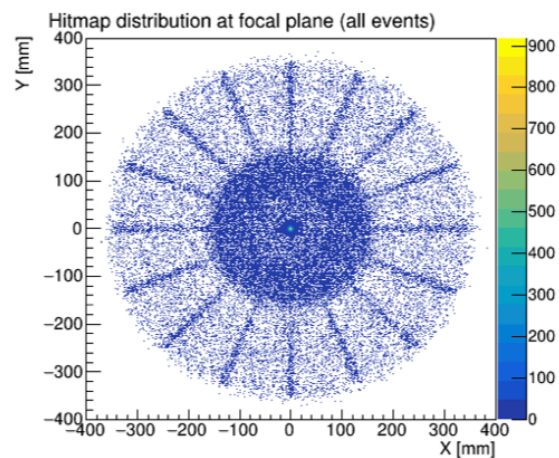
Optics

Focal Plane with Spot Events

Run number : 17

Run tag : Primakoff_XMM_Micromegas_Vacuum

Entries : 100000



IAXO-D0: the Micromegas prototype at Unizar



Centro de Astropartículas y
Física de Altas Energías
Universidad Zaragoza

Micromegas detector

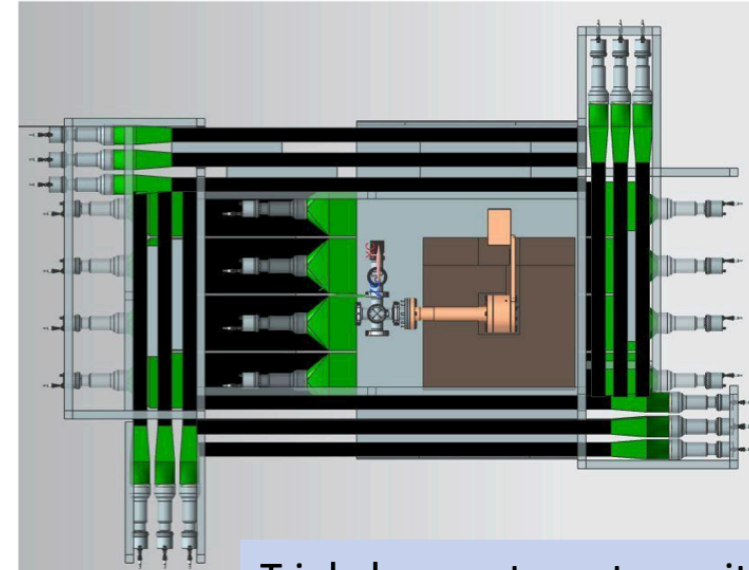
Interface
copper
tube

Detector
chamber

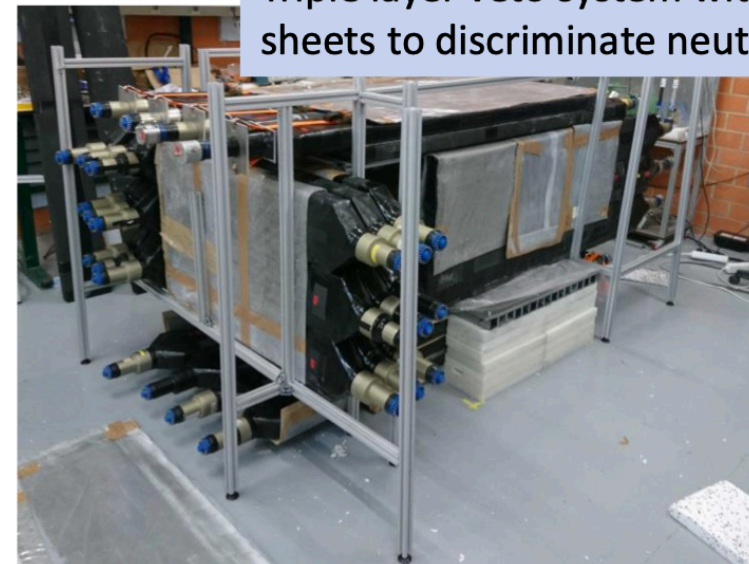
Readout
strips
connector



Lead castle



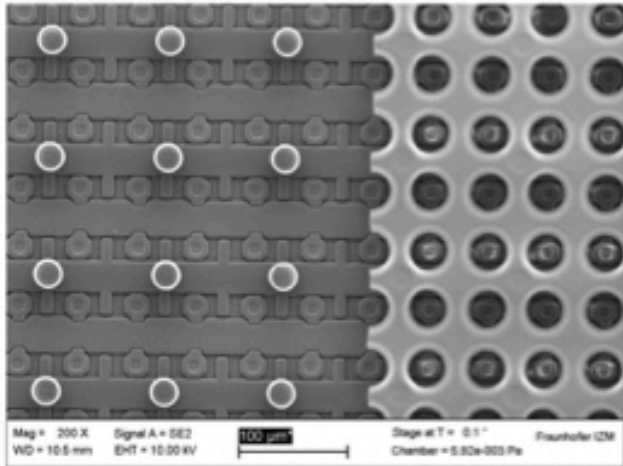
Triple layer veto system with cadmium
sheets to discriminate neutron background



Prospective (Baby)IAXO X-ray detectors

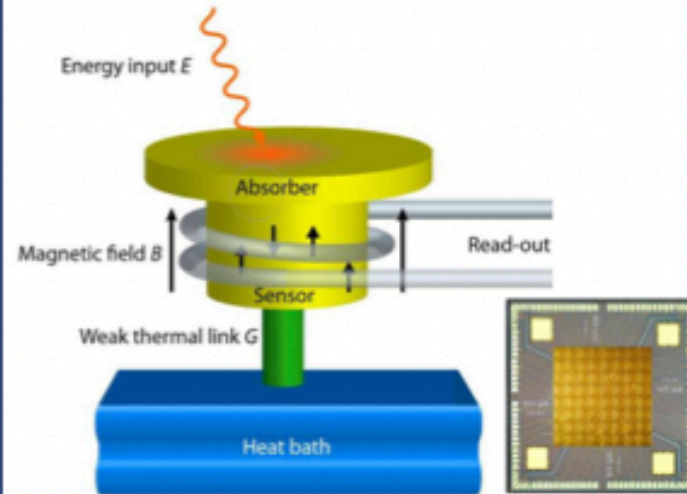
GridPix

- Evolution of Micromegas detector
- CMOS chip in readout plane
- Single electron detection



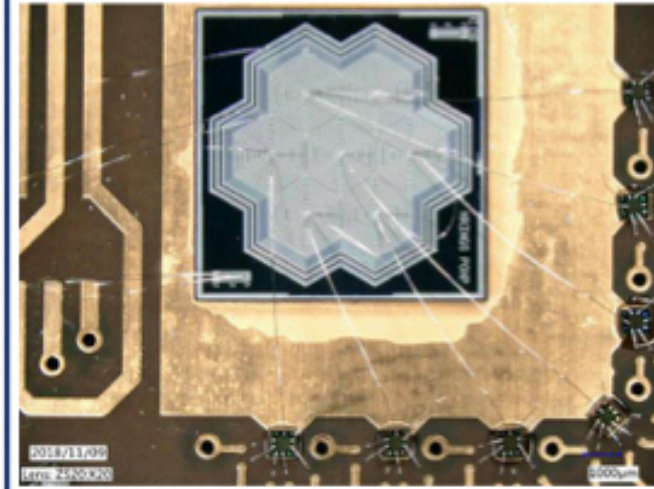
Metallic magnetic calorimeters

- high energy resolution ~ 1.6 eV fwhm
 - “no” threshold from detector, but window necessary for cryostat
- Especially useful for axion spectroscopy in case of signal



Silicon drift detectors

- Low threshold < 500 eV
- Good energy resolution ~ 130 eV fwhm
- no window necessary

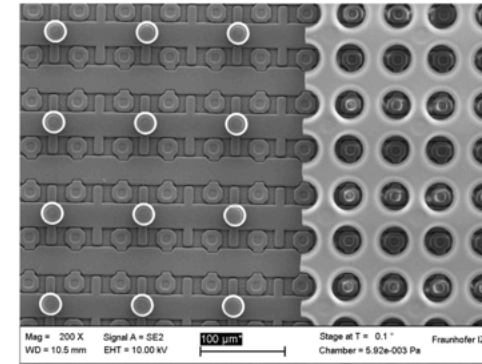


+ Transition Edge Sensors (TES) R&D

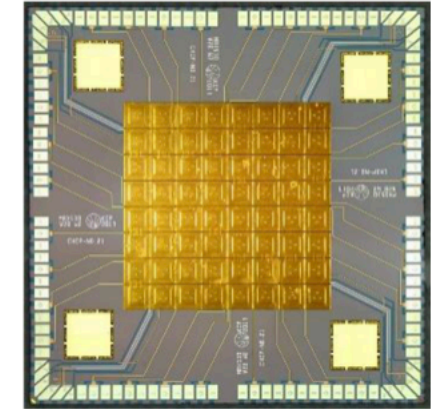
Other detectors under study

Many alternative detectors are being considered and are undergoing development for later stages of BabyIAXO data taking

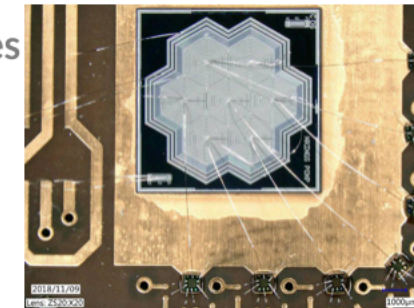
- Other technologies under study
 - IAXO as a generic infrastructure for axions and ALPs physics
 - and R&D of alternative detectors with different properties
 - Excellent energy resolution, energy threshold, high efficiency and ultra-pure materials
 - Improve the energy threshold → investigation of fine structures in the axion spectrum
- Post-discovery scenario
 - If positive signal, low threshold + good energy resolution → possibility to determine m_a and g_{ae}
 - Minimization of systematics effects and reinforcement of the claim significance



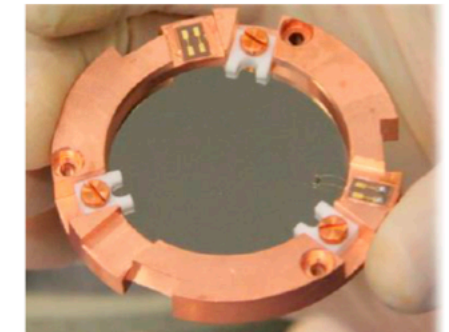
GridPix – evolution of
Micromegas



Metallic magnetic
calorimeters (MMC)



Silicon Drift
Detectors (SDD)



Transition Edge
Sensors (TES)

Simulation and Analysis Strategy

JCAP03(2019)039

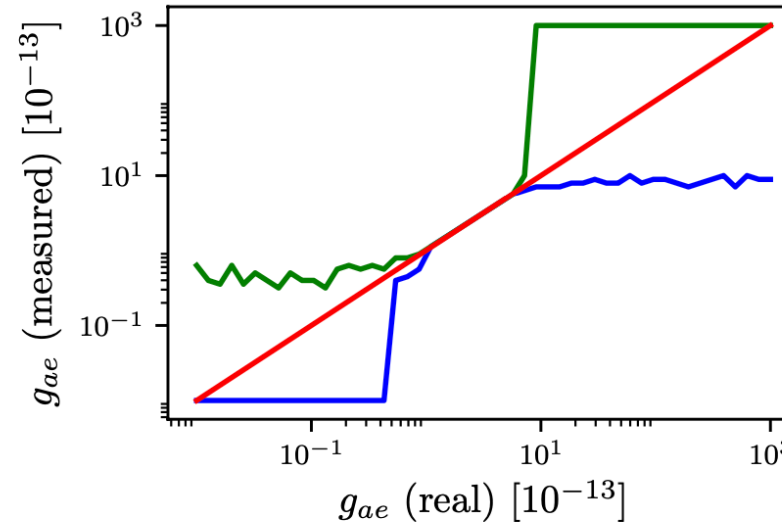
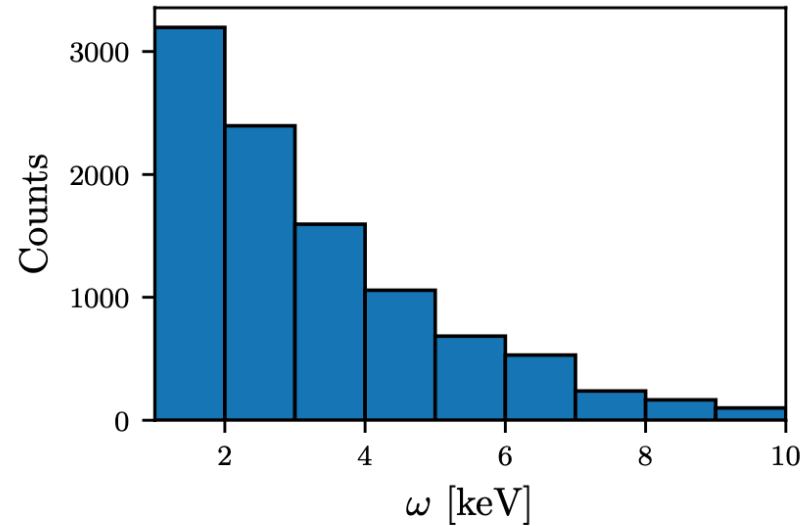
IAXO Parameters

Parameter	Value
Magnetic field strength B	2.8 T
Length of conversion volume L	20 m
Cross-section of conversion volume A	2 m ²
Figure of merit ($B^2 L^2 A$)	6272 T ² m ⁴ ($\sim 300 \times \text{CAST}$)
Total tracking time t	100 days
Bandwidth	(1–10) keV
Energy resolution $\Delta\nu$	1 keV
Inverse absorption length Γ	0 (vacuum)
Efficiency of telescope Q	0.5
Background level	$10^{-7} \text{ keV}^{-1} \text{ s}^{-1} \text{ cm}^{-2}$
Detector area A_{detect}	1 cm ²

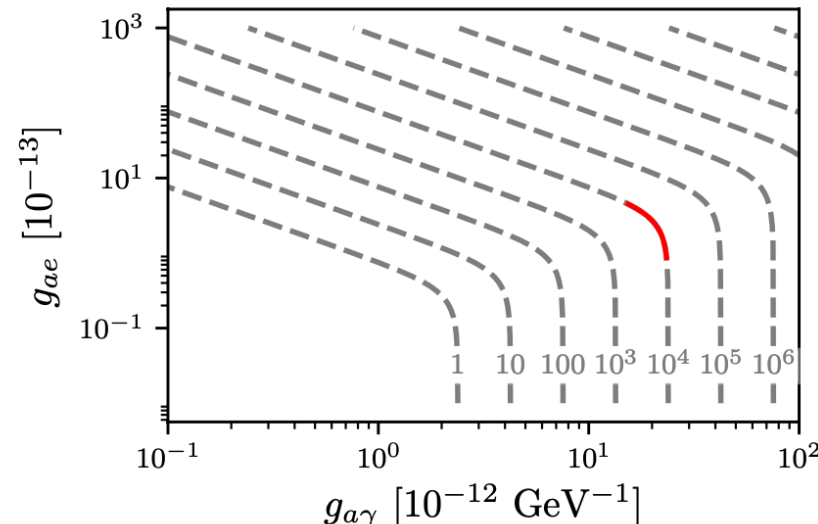
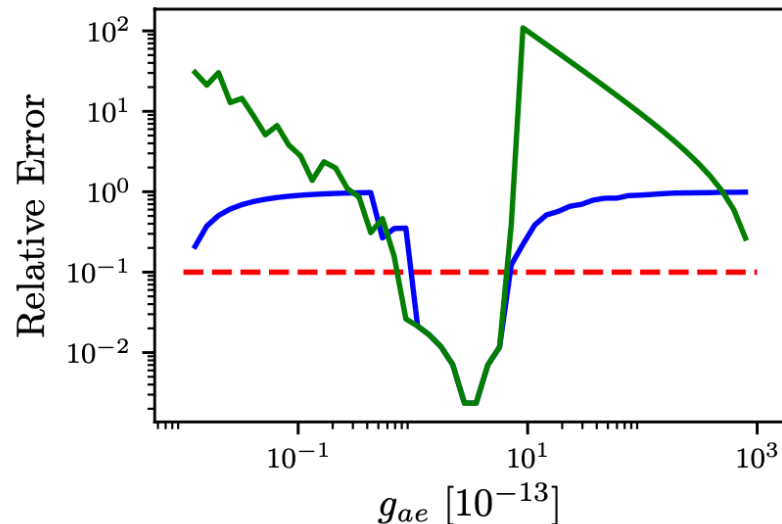
Simulation and Analysis Strategy

Example

JCAP03(2019)039



Red: truth input values
Green: Upper value in 95% certainty interval
Blue: Lower value in 95% certainty interval



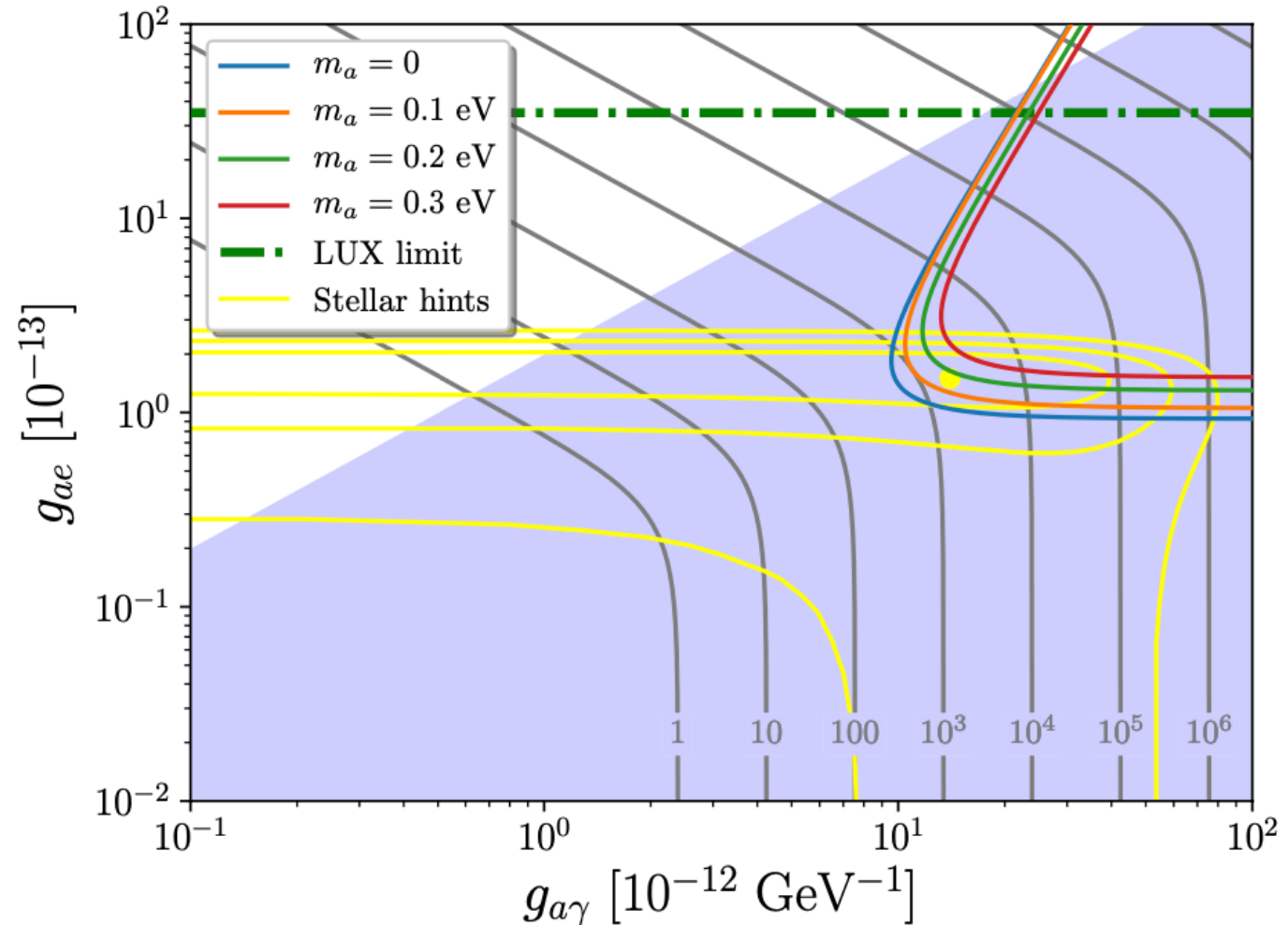
Procedure repeated for many other expectation values of g_{ae} to check accessible area in parameter space

Results: Massive Case

JCAP03(2019)039

Increasing Decoherence in Axion-Photon Conversion

- ➔ Lower sensitivity due to higher photon absorption with increasing gas pressure (higher m_a)



Studied Experimental Setups

Optimising for 14.4 keV photons

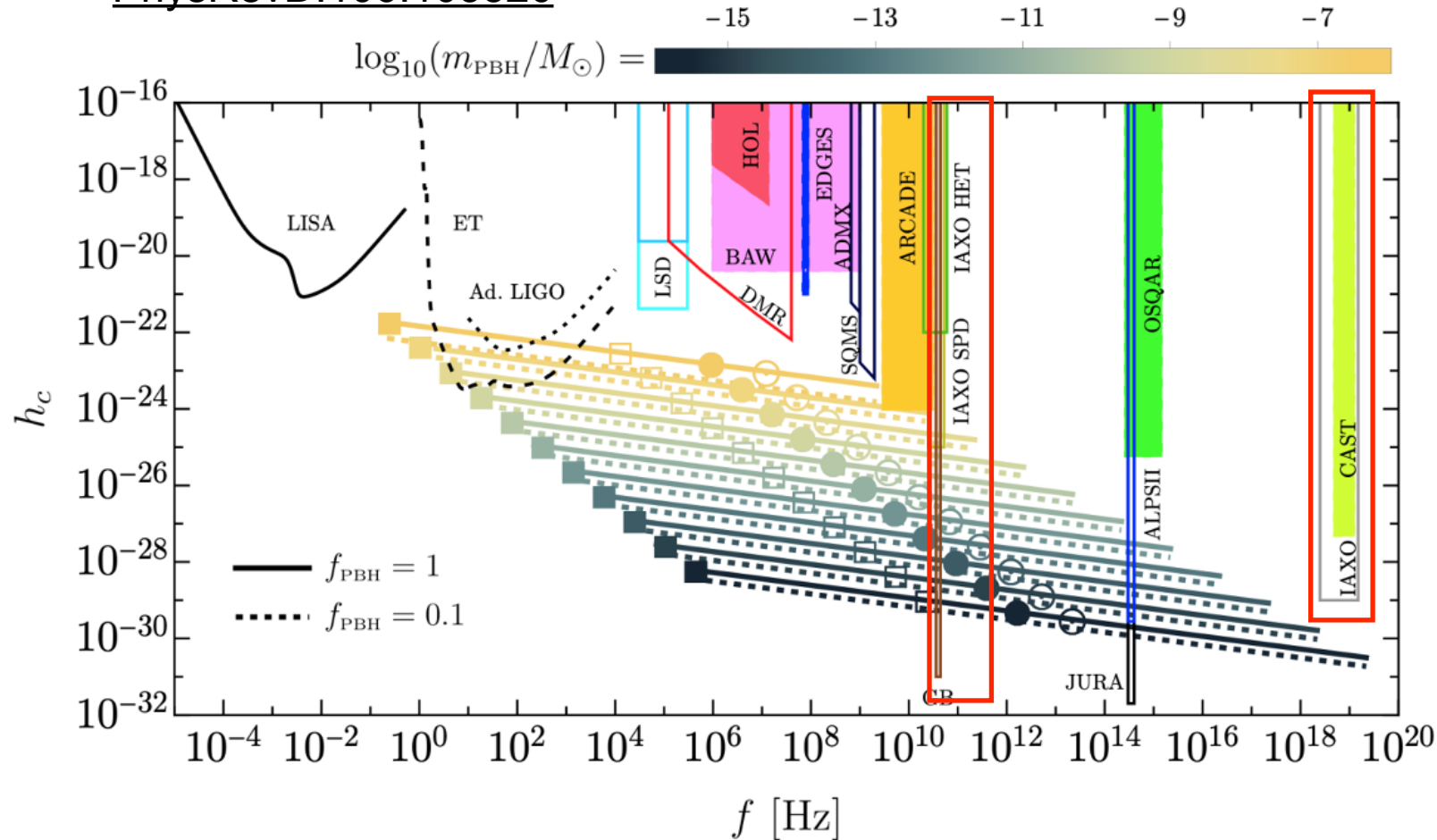
Eur. Phys. J. C 82, 120 (2022)

Label	BabyIAXO				IAXO		IAXO+	
	Baseline	No optics	Optimized optics	High energy resolution	Low background	High energy resolution	Low background	High energy resolution
	BabyIAXO ₀	BabyIAXO ₁	BabyIAXO ₂	BabyIAXO ₃	IAXO _b	IAXO _r	IAXO _b ⁺	IAXO _r ⁺
B [T]	2	2	2	2	2.5	2.5	3.5	3.5
L [m]	10	10	10	10	20	20	22	22
A [m ²]	0.77	0.38	0.38	0.38	2.3	2.3	3.9	3.9
t [year]	0.75	0.75	0.75	0.75	1.5	1.5	2.5	2.5
b [$\frac{1}{\text{keVcm}^2\text{s}}$]	10^{-7}	10^{-6}	10^{-7}	10^{-5}	10^{-8}	10^{-6}	10^{-9}	10^{-6}
ϵ_d	0.15	0.9	0.5	0.99	0.99	0.99	0.99	0.99
ϵ_0	0.013	1	0.3	0.3	0.3	0.3	0.3	0.3
a [cm ²]	0.6	3800	0.3	0.3	1.2	1.2	1.2	1.2
$r_\omega = \frac{\Delta E_d}{14.4 \text{ keV}}$	0.12	0.12	0.12	0.02	0.02	$\frac{5}{14400}$	0.02	$\frac{5}{14400}$

(Baby)IAXO and HFGW

Detection Possible?

PhysRevD.106.103520

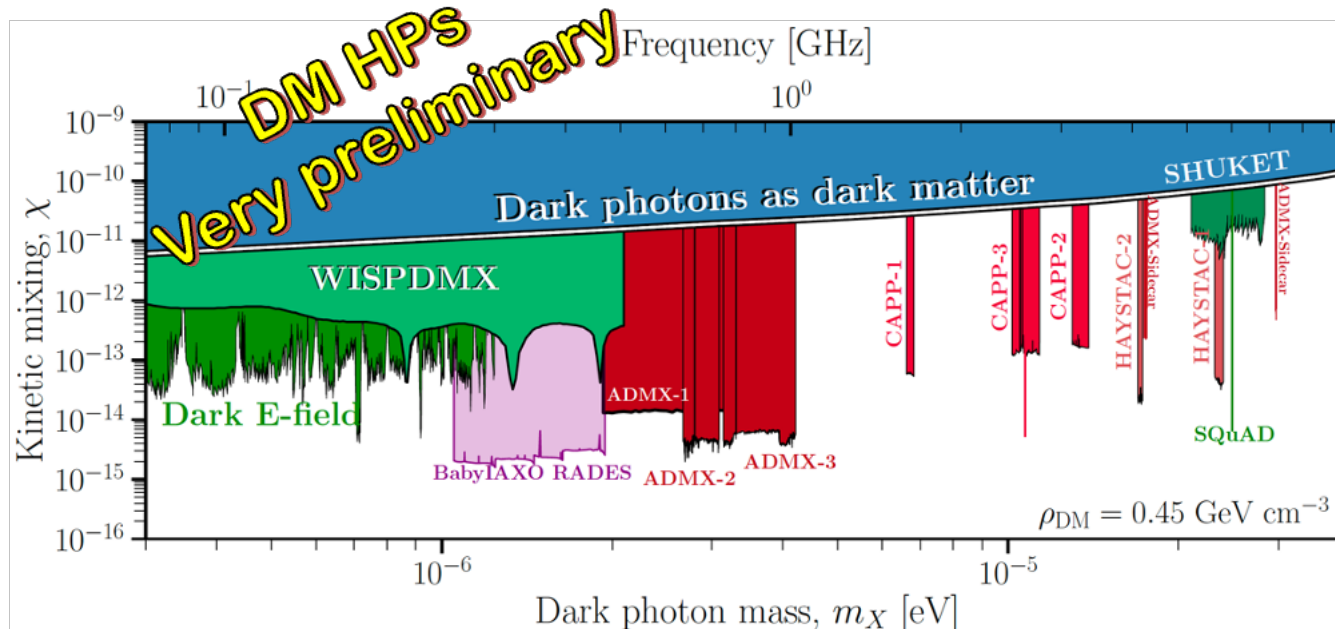


- High frequency gravitational waves are expected in non-standard scenarios, e.g. from primordial black holes
- Gravitational waves converted into photons by inverse Gertsenshtein effect in a strong magnetic field
 - ➔ (Baby)IAXO sensitive to specific frequencies?
- ➔ Emerging field of study, synergies?

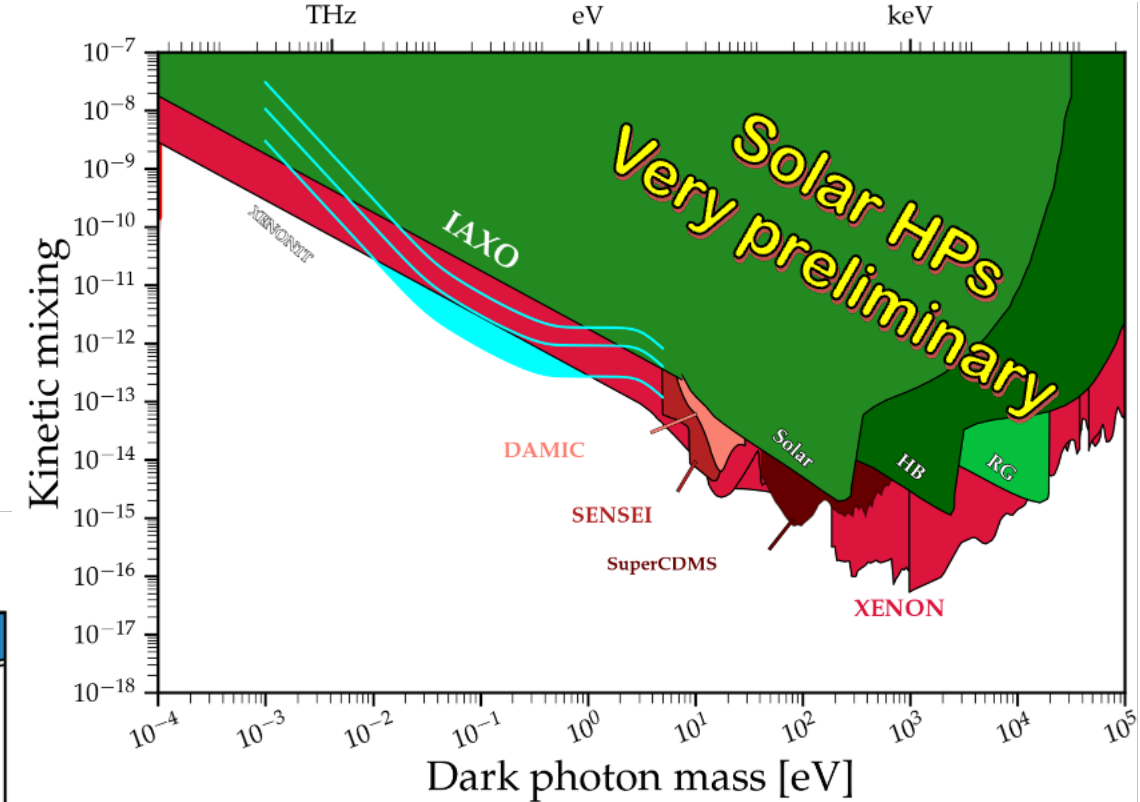
(Baby)IAXO sensitivities: [PhysRevD.106.063027](#) + [JCAP03\(2021\)054](#)

Hidden photons at IAXO

- Search for **hidden photons**, both solar and DM. Same configuration as with axions but without B-field.



Computed by C. Cogollos. Paper in preparation...



Computed by T. O'shea.
Paper in preparation...