# QCD for Collider Physics Part 3

#### M. Diehl

Deutsches Elektronen-Synchroton DESY

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Factorisation
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#### The parton model

describe deep inelastic scattering, Drell-Yan process, etc.

fast-moving hadron

pprox set of free partons  $(q, \bar{q}, g)$  with low transverse momenta

physical cross section

= cross section for partonic process  $(\gamma^* q \rightarrow q, q\bar{q} \rightarrow \gamma^*)$ 

 $\times$  parton densities



Deep inelastic scattering (DIS):  $\ell p \rightarrow \ell X$ 

Drell-Yan:  $pp \to \ell^+ \ell^- X$ 



Nobel prize 1990 for Friedman, Kendall, Taylor

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#### The parton model

describe deep inelastic scattering, Drell-Yan process, etc.

- fast-moving hadron
  - pprox set of free partons (q,ar q,g) with low transverse momenta
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    - $\times$  parton densities

## Factorisation

implement and correct parton-model ideas in QCD

- conditions and limitations of validity kinematics, processes, observables
- corrections: partons interact

 $\alpha_s$  small at large scales  $\leadsto$  perturbation theory

 define parton densities in field theory derive their general properties make contact with non-perturbative methods Evolution 00000000 Event generators

#### Factorisation: physics idea and technical implementation



idea: separation of physics at different scales

- high scales: quark-gluon interactions
   ~> compute in perturbation theory
- low scale: proton  $\rightarrow$  quarks, antiquarks, gluons  $\rightsquigarrow$  parton densities
- ► requires hard momentum scale in process large photon virtuality  $Q^2 = -q^2$  in DIS

Evolution 00000000 Event generators

#### Factorisation: physics idea and technical implementation



implementation: separate process into

- "hard" subgraph *H* with particles far off-shell compute in perturbation theory
- "collinear" subgraph A with particles moving along proton turn into definition of partOn density



## Collinear expansion



- graph gives  $\int d^4k H(k)A(k)$ ; simplify further
- ▶ light-cone coordinates:  $v^{\pm} = \frac{1}{\sqrt{2}} (v^0 \pm v^3)$ ,  $v = (v^1, v^2)$ more detail  $\rightsquigarrow$  blackboard

## Collinear expansion



- graph gives  $\int d^4k H(k)A(k)$ ; simplify further
- in hard graph neglect small components of external lines
   Taylor expansion

$$H(k^+, k^-, k_T) = H(k^+, 0, 0) +$$
corrections

 $\rightsquigarrow$  loop integration greatly simplifies:

 $\int d^4k \ H(k) \ A(k) \approx \int dk^+ \ H(k^+, 0, 0) \ \int dk^- d^2k_T \ A(k^+, k^-, k_T)$ 

- ▶ in hard scattering treat incoming/outgoing partons as exactly collinear (k<sub>T</sub> = 0) and on-shell (k<sup>-</sup> = 0)
- ▶ in collin. matrix element integrate over k<sub>T</sub> and virtuality
   → collinear (or k<sub>T</sub> integrated) parton densities only depend on k<sup>+</sup> = xp<sup>+</sup>

further subtleties related with spin of partons, not discussed here

## Definition of parton distributions



matrix elements of quark/gluon operators

$$f_q(x) = \int \frac{dz^-}{2\pi} e^{ixp^+z^-} \left\langle p \left| \bar{\psi}(0) \frac{1}{2} \gamma^+ \psi(z) \left| p \right\rangle \right|_{z^+=0, z_T=0} \right.$$

 $\psi(z) = {\rm quark}$  field operator: annihilates quark

 $\bar{\psi}(0) = {
m conjugate field operator: creates quark}$ 

$$\frac{1}{2}\gamma^+ =$$
 matrix in Dirac space: sums over quark spin 
$$\int \frac{dz^-}{2\pi} e^{ixp^+z^-} \text{ projects on quarks with } k^+ = xp^+$$

- analogous definitions for polarised quarks, antiquarks, gluons
- analysis of factorisation used Feynman graphs but here provide non-perturbative definition

further subtleties related with choice of gauge, not discussed here

#### Factorisation for pp collisions

• example: Drell-Yan process  $pp \rightarrow \gamma^* + X \rightarrow \mu^+ \mu^- + X$ where X = any number of hadrons

one parton distribution for each proton × hard scattering ~> deceptively simple physical picture



#### Factorisation for pp collisions

- ► example: Drell-Yan process  $pp \rightarrow \gamma^* + X \rightarrow \mu^+ \mu^- + X$ where X = any number of hadrons



- "spectator" interactions produce additional particles which are also part of unobserved system X ("underlying event")
- need not calculate this thanks to unitarity as long as cross section/observable sufficiently inclusive
- but must calculate/model if want more detail on the final state

#### More complicated final states

- production of W, Z or other colourless particle (Higgs, etc) same treatment as Drell-Yan
- ▶ jet production in ep or pp: hard scale provided by  $p_T$
- heavy quark production: hard scale is  $m_c$ ,  $m_b$ ,  $m_t$

#### Importance of factorisation concept

- describe processes for study of electroweak and BSM physics, e.g.
  - W mass measurement
  - determination of Higgs boson properties
  - signal and background in new physics searches
- determine parton densities as a tool to make predictions and to learn about proton structure
  - requires many processes to disentangle quark flavors and gluons

#### A closer look at one-loop corrections

#### example: DIS





UV divergences removed by standard renormalisation

- soft divergences cancel in sum over graphs
- collinear div. do not cancel, have integrals

$$\int\limits_{0} \frac{dk_T^2}{k_T^2}$$

what went wrong?

Evolution

- hard graph should not contain internal collinear lines collinear graph should not contain hard lines
- must not double count  $\rightsquigarrow$  factorisation scale  $\mu$



with cutoff: take k<sub>T</sub> > μ 1/μ ~ transverse resolution take  $k_T < \mu$ 

Evolution 0000000

- hard graph should not contain internal collinear lines collinear graph should not contain hard lines
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- with cutoff: take  $k_T > \mu$  $1/\mu \sim$  transverse resolution
- in dim. reg.: subtract collinear divergence

take  $k_T < \mu$ 

subtract ultraviolet div.

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The evolution equations

#### DGLAP equations

$$\frac{d}{d\log\mu^2} f(x,\mu) = \int_x^1 \frac{dx'}{x'} P\left(\frac{x}{x'}\right) f(x',\mu) = \left(P \otimes f(\mu)\right)(x)$$

- $\blacktriangleright$  P = splitting functions
  - have perturbative expansion

$$P(x) = \alpha_s(\mu) P^{(0)}(x) + \alpha_s^2(\mu) P^{(1)}(x) + \alpha_s^3(\mu) P^{(2)}(x) \dots$$

known to  $\mathcal{O}(\alpha_s^3),$  in part to  $\mathcal{O}(\alpha_s^4)$  — Moch, Vermaseren, Vogt

• contains terms  $\propto \delta(1-x)$  from virtual corrections

x' 999

Evolution

quark and gluon densities mix under evolution:



matrix evolution equation



 $\blacktriangleright$  parton content of proton depends on resolution scale  $\mu$ 

#### Factorisation formula

• example: 
$$p + p \rightarrow H + X$$

$$\sigma(p+p \to H+X) = \sum_{i,j=q,\bar{q},g} \int dx_i \, dx_j \, f_i(x_i,\mu_F) \, f_j(x_j,\mu_F)$$
$$\times \hat{\sigma}_{ij}\left(x_i,x_j,\alpha_s(\mu_R),\mu_R,\mu_F,m_H\right) + \mathcal{O}\left(\frac{\Lambda^2}{m_H^4}\right)$$

- $\hat{\sigma}_{ij} = {\rm cross}$  section for hard scattering  $i+j \to H+X$   $m_H$  provides hard scale
- $\mu_R$  = renormalisation scale,  $\mu_F$  = factorisation scale may take different or equal
- $\mu_F$  dependence in C and in f cancels up to higher orders in  $\alpha_s$  similar discussion as for  $\mu_R$  dependence
- accuracy:  $\alpha_s$  expansion and power corrections  ${\cal O}(\Lambda^2/m_H^2)$

 $\blacktriangleright$  can make  $\sigma$  and  $\hat{\sigma}$  differential in kinematic variables, e.g.  $p_T$  of H

#### Scale dependence



Mistlberger, arXiv:1802.00833

 $\mu_F = \mu_R = \mu$ 

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## LO, NLO, and higher

- instead of varying scale(s) may estimate higher orders by comparing N<sup>n</sup>LO result with N<sup>n-1</sup>LO
- caveat: comparison NLO vs. LO may not be representative for situation at higher orders

often have especially large step from LO to NLO

- certain types of contribution may first appear at NLO e.g. terms with gluon density g(x) in DIS,  $pp \rightarrow Z + X$ , etc.
- final state at LO may be too restrictive

e.g. in  $\frac{d\sigma}{dE_{T1}\,dE_{T2}}$  for dijet production



## Summary so far

- implements ideas of parton model in QCD
  - perturbative corrections (NLO, NNLO, ...)
  - field theoretical def. of parton densities
     → bridge to non-perturbative QCD
- valid for sufficiently inclusive observables and up to power corrections in Λ/Q or (Λ/Q)<sup>2</sup> which are in general not calculable
- must in a consistent way
  - remove collinear kinematic region in hard scattering
  - remove hard kinematic region in parton densities
     ↔ UV renormalisation

procedure introduces factorisation scale  $\mu_F$ 

• separates "collinear" from "hard", "object" from "probe"

#### And now for something completely different

a few words about general-purpose event generators e.g. Herwig, Pythia, Sherpa

note: Many other generators exist, often with a specialised scope and approach. Not all of them fit the description given in the following.

- ▶ build on structure of factorisation formulae e.g. for  $pp \rightarrow H + g + X$
- but compute fully specified events, i.e. no "+X" schematically:



ingredients:

parton densities and hard-scattering matrix elements

- ▶ build on structure of factorisation formulae e.g. for  $pp \rightarrow H + g + X$
- but compute fully specified events, i.e. no "+X" schematically:



ingredients:

- parton densities and hard-scattering matrix elements
- parton showers: collinear and soft radiation from partons in initial and final state (in perturbative region)

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#### ingredients:

- parton densities and hard-scattering matrix elements
- parton showers: collinear and soft radiation from partons in initial and final state (in perturbative region)
- models for multiparton interactions

- ▶ build on structure of factorisation formulae e.g. for  $pp \rightarrow H + g + X$
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#### ingredients:

- parton densities and hard-scattering matrix elements
- parton showers: collinear and soft radiation from partons in initial and final state (in perturbative region)
- models for multiparton interactions and hadronisation

### Instead of a summary:

