

# Quantum Approximate Optimization Algorithm

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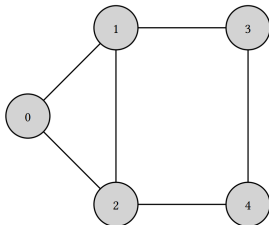
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# Presentation Overview

- 1 **The Max-Cut problem**
- 2 **The Ising model**
- 3 **Thinking quantum: mapping problems into quantum computing**
- 4 Quantum Unconstrained Binary Optimization (QUBO) - Introduction
- 5 QUBO and the Ising model
- 6 Combinatorial optimization and the QUBO model

# The Max-Cut problem

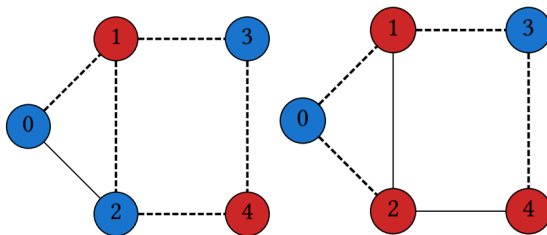
- The Max-Cut problem aims to find the **maximum cuts** in a **Graph**, a mathematical structure



- Divide the vertices into 2 sets in a way that the number of edges with extremes in different sets of the cut is maximum possible
- These edges are called **cut**, and their number define the **size of the cut**

# The Max-Cut problem

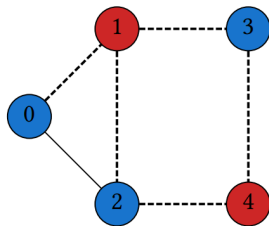
- Examples of cuts are shown below



- Left panel, cut size = 5, right panel, cut size = 4
- The maximum cut is **not** unique

# The Max-Cut problem

- The Max-Cut problem can be formulated mathematically without graphical representation
- A combinatorial optimization problem
- Let  $z_i$ ,  $i = 0, 1, \dots, n-1$ , be a vertex on the graph, with  $z_i \in \{-1, 1\}$
- Each  $z$  assignment represent a cut, e.g.,  $z_0 = z_2 = z_3 = 1$ , and  $z_1 = z_4 = -1$  or vice versa



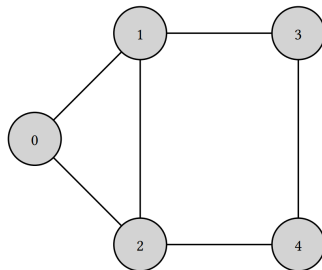
## The Max-Cut problem as a combinatorial optimization

An edge between 2 vertices  $j$  and  $k$  is a cut if  $z_j z_k = -1$

# The Max-Cut problem

The Max-Cut problem can be formulated as

$$\begin{aligned} &\text{minimize} && \sum_{\{j,k\} \in E} z_j z_k \\ &\text{subject to} && z_j \in \{-1, 1\}, \end{aligned}$$

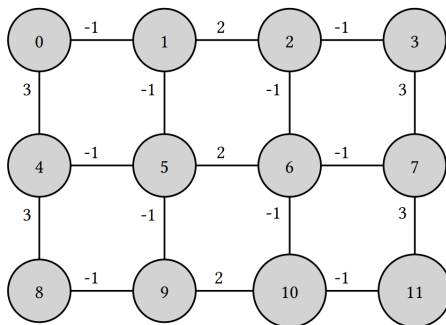


where  $E$  is the set of edges in the graph

$$\begin{aligned} &\text{minimize} && \sum_{\{j,k\} \in E} z_0 z_1 + z_0 z_2 + z_1 z_2 + z_1 z_3 + z_2 z_4 + z_3 z_4 \\ &\text{subject to} && z_j \in \{-1, 1\}, \end{aligned}$$

# The Ising model

- The mathematical model describing the ferromagnetic interaction of particles with spin (lattice structure)
- The particle spin are represented by  $z_j \in \{-1, 1\}$  (spin down and spin up) respectively



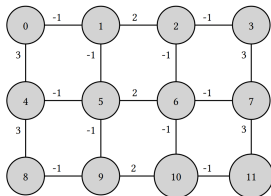
# The Ising model

The Hamiltonian function of the Ising model read

$$-\sum_{j,k} J_{jk} z_j z_k - \sum_j h_j z_j \quad (1)$$

where

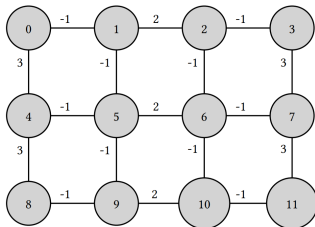
- The coefficients  $J_{jk}$  represent the interaction between particles  $j$  and  $k$
- The coefficients  $h_j$  represent the influence of an external magnetic field on particles  $j$





# The Ising model

- Finding the minimum energy of the system  $\iff$  obtaining a spin configuration that the Hamiltonian reaches its minimum
- The coefficients  $J_{jk}$  are the numbers on the edges
- Homogeneous magnetic field, i.e. the coefficients  $h_j = 1$



$$\begin{aligned} \min \quad & \sum_{\{j,k\} \in E} z_0 z_1 - 2z_1 z_2 - 3z_0 z_4 + z_1 z_5 + 2z_2 z_6 - 3z_3 z_7 + \dots \\ \text{s.t.} \quad & z_j \in \{-1, 1\}, \end{aligned}$$

# Thinking quantum: mapping problems into quantum computing

## Z matrix

Rotation operator of the state vector around the z-axis:  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

- $Z|0\rangle = |0\rangle$ ,  $Z|1\rangle = -|1\rangle$ ,  $\langle 0|Z|0\rangle = 1$ , and  $\langle 1|Z|1\rangle = -1$

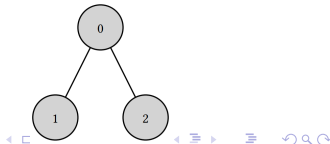
## Key to quantum formulation

The Z matrix can be used to evaluate terms in the function to be minimized

- Consider the following Max-Cut problem

minimize  $Z_0Z_1 + Z_0Z_2$

subject to  $Z_j \in \{-1, 1\}$ ,  $j = 0, 1, 2$ ,

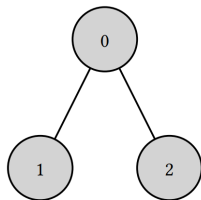


# Thinking quantum: mapping problems into quantum computing

- Working with 3 vertices, 3 qubits are used
- Apply the tensor product  $Z \otimes Z \otimes I$  on the basis  $|010\rangle$  leads to  $\langle 010| Z \otimes Z \otimes I |010\rangle = -1$
- $|010\rangle$  can be interpreted as a cut where vertices 0 and 2 are assigned to one set and vertex 1 to the other
- $\langle 010| Z \otimes Z \otimes I |010\rangle = -1$ : the edge (0,1) has extremes in different sets of the cut
- $Z \otimes Z \otimes I$ ,  $Z$  operators act on qubits 0 and 1
- $Z \otimes Z \otimes I$  is denoted as  $Z_0 Z_1$

minimize  $Z_0 Z_1 + Z_0 Z_2$

subject to  $z_j \in \{-1, 1\}, j = 0, 1, 2,$



# Thinking quantum: mapping problems into quantum computing

- Furthermore  $\langle 010 | Z_0 Z_2 | 010 \rangle = 1$
- Edge (0,2) is not a cut
- In analogy, the  $Z_0 Z_1$  and  $Z_0 Z_2$  can be applied on any basis  $|x\rangle \in \{000, \dots, 111\}$
- By linearity

$$\langle x | Z_0 Z_1 + Z_0 Z_2 | x \rangle$$

- Furthermore  $Z_j Z_k |x\rangle = |x\rangle$  or  $Z_j Z_k |x\rangle = -|x\rangle$
- $|x\rangle$  is an eigenvector of  $Z_j Z_k$  with eigenvalues of 1 or  $-1$ , therefore for  $x \neq y$

$$\langle y | Z_j Z_k | x \rangle = \pm \langle y | x \rangle = 0$$

# Thinking quantum: mapping problems into quantum computing

- $|\Psi\rangle = \sum_x a_x |x\rangle$
- By linearity

$$\langle\Psi| Z_j Z_k |\Psi\rangle = \sum_x |a_x|^2 \langle x| Z_j Z_k |x\rangle$$

In particular for the Max-Cut case,

$$\langle\Psi| Z_0 Z_1 + Z_0 Z_2 |\Psi\rangle = \sum_x |a_x|^2 \langle x| Z_0 Z_1 + Z_0 Z_2 |x\rangle$$

Given that  $\sum_x |a_x|^2 = 1$ , then this sets an upper limit according to

$$\begin{aligned} \sum_x |a_x|^2 \langle x| Z_0 Z_1 + Z_0 Z_2 |x\rangle &\geq \sum_x |a_x|^2 \langle x_{\min}| Z_0 Z_1 + Z_0 Z_2 |x_{\min}\rangle \\ &= \langle x_{\min}| Z_0 Z_1 + Z_0 Z_2 |x_{\min}\rangle \end{aligned}$$

# Thinking quantum: mapping problems into quantum computing

- $|x_{\min}\rangle$  is a basis state (or a set of them) for which  $\langle x| Z_0 Z_1 + Z_0 Z_2 |x\rangle$  is minimum
- $|x_{\min}\rangle$  represents a maximum cut
- The Maximum-Cut can now therefore be written as

$$\begin{array}{ll} \text{minimize} & \langle \Psi | Z_0 Z_1 + Z_0 Z_2 | \Psi \rangle \\ \text{where} & |\Psi\rangle \in \text{set of quantum states of 3 qubits} \end{array}$$

- Minimization over all possible quantum states

# Thinking quantum: mapping problems into quantum computing

The quantity

$$\langle \Psi | \sum_{j,k \in E} Z_j Z_k | \Psi \rangle = \sum_{j,k \in E} \langle \Psi | Z_j Z_k | \Psi \rangle$$

- Is the **expected value** of  $\sum_{j,k \in E} Z_j Z_k$
- Reaches its minimum at *one* of the **eigenvectors**
- This eigenvector is called the **ground state**

For the Ising model, the quantum model reads

$$\text{minimize} \quad \sum_{j,k \in E} J_{jk} \langle \Psi | Z_j Z_k | \Psi \rangle - \sum_j h_j \langle \Psi | Z_j | \Psi \rangle$$

where  $|\Psi\rangle \in$  set of quantum states of  $n$  qubits

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# The End

Questions? Comments?