

# String breaking in $N_f = 2 + 1$ QCD

Francesco Knechtli

- Introduction
- The static potential from lattice QCD
- String breaking with up, down and strange quarks
- Conclusions and Outlook

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# Part I

## Introduction

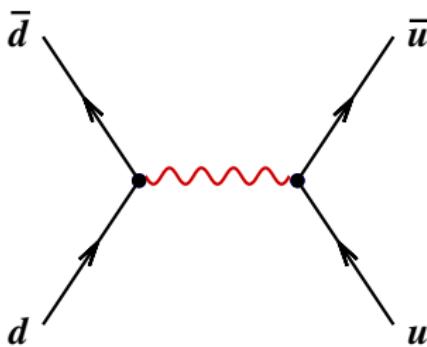
# Quantumchromodynamics (QCD)

QCD is the theory of strong interactions with the Lagrangian

$$\mathcal{L}_{\text{QCD}}(g_0, m_q) = -\frac{1}{2g_0^2} \text{tr} \{ F_{\mu\nu} F_{\mu\nu} \} + \sum_{q=u,d,s,c,b,t} \bar{q} (\gamma_\mu (\partial_\mu + A_\mu) + m_q) q$$

- bare parameters: gauge coupling  $g_0$  and quark masses  $m_q$

- Perturbation theory is an asymptotic expansion for small  $g_0$



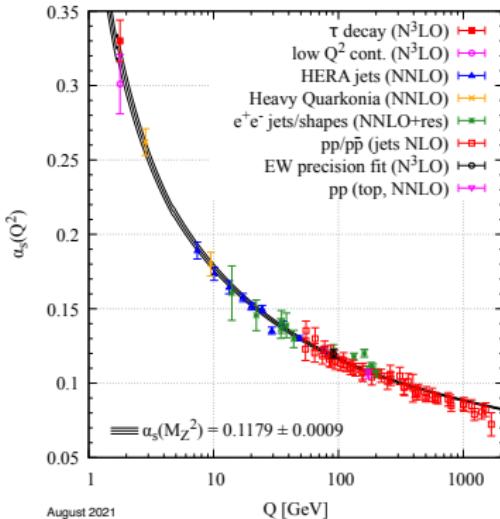
# Asymptotic freedom

Renormalized coupling  $\bar{g}$  and masses  $\bar{m}$  depend on the renormalization scale  $\mu$  and on the renormalization scheme

$$\bar{g}(\mu) = \text{Diagram showing a quark line with a wavy arrow labeled } \mu \text{ and a gluon line with two arrows.}$$

Asymptotic freedom for large  $\mu$  ('t Hooft, 1972; Gross and Wilczek; Politzer, 1973):

$$\bar{g}^2(\mu) \xrightarrow{\mu \rightarrow \infty} \frac{1}{2b_0 \log(\mu/\Lambda)}$$



[R.L. Workman et al. (Particle Data Group), Prog. Theor. Exp. Phys. 2022, 083C01 (2022)]  
 $\overline{\text{MS}}$  scheme,  $\alpha_s = \bar{g}(\mu)^2/(4\pi)$

# Confinement

## Regimes of QCD

- Perturbation theory works at high energy  $\gtrsim 2 \text{ GeV}$  where  $\alpha_s$  is small and quarks and gluons are (almost) free
- At lower energies, quarks and gluons are confined into hadrons  $\Rightarrow$  we need a different tool to extract from  $\mathcal{L}_{\text{QCD}}$  the properties of hadrons: lattice formulation and computer simulations

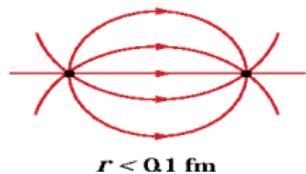
## Probe of QCD at all scales

There is a quantity that can probe QCD in both regimes: it is the **quark anti-quark static potential**

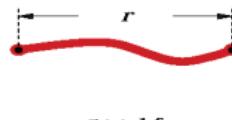
# Quark anti-quark static potential

Potential energy levels  $V_n(r)$ ,  $n = 0, 1, 2, \dots$  of a quark-anti-quark static pair at distance  $r$

Pure gauge theory (Yang-Mills, no fermions):



asymptotic freedom,  
perturbation theory



confinement, flux tube  
effective bosonic string theory

# Short distance

## Perturbation theory for $r \lesssim 0.1$ fm

$SU(N)$  + fermions: as  $r \rightarrow 0$  perturbation theory can be applied (asymptotic freedom)

$$V(r) \xrightarrow{r \rightarrow 0} -C_F \frac{g_0^2}{4\pi r}, \quad C_F = (N^2 - 1)/(2N)$$

Expansion of  $V(r)$  in the  $\overline{\text{MS}}$  coupling is known to 3 loops [N. Brambilla, A. Pineda, J. Soto, A. Vairo, [hep-ph/9907240](#), [hep-ph/9903355](#); A. V. Smirnov, V. A. Smirnov and M. Steinhauser, [0911.4742](#); C. Anzai, Y. Kiyo and Y. Sumino, [0911.4335](#)]

# Large distance

## $SU(N)$ Yang-Mills

As  $r \rightarrow \infty$  the potential can be computed using an *effective bosonic string theory* [Y. Nambu, 1979; M. Lüscher, K. Symanzik, P. Weisz, 1980; M. Lüscher, 1981; M. Lüscher and P. Weisz, [hep-th/0406205](#)]: the string describes a flux tube joining the static sources and fluctuating in  $d - 2$  transverse directions

$$V(r) = \sigma r + \mu + \frac{\gamma}{r} + O(1/r^3)$$

$\sigma$  is the string tension,  $\mu$  a mass parameter;  $\gamma = -\pi(d - 2)/24$  depends only on the number of dimensions  $d$

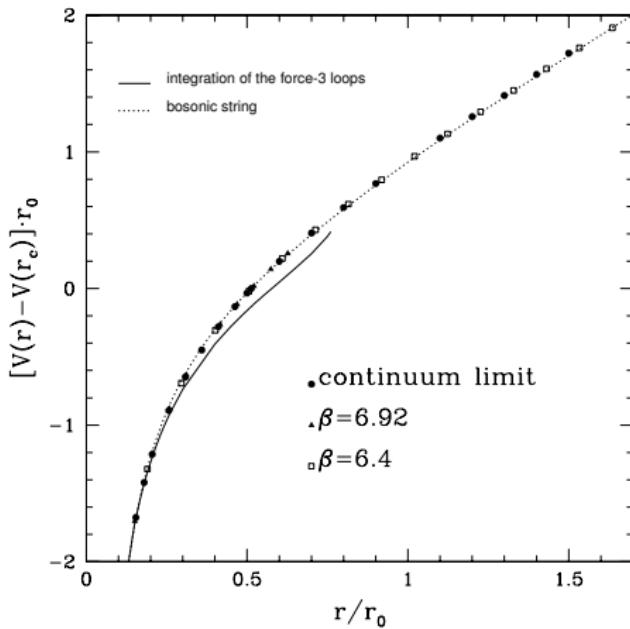
Broadening of the string: its width increases logarithmically in the distance  $r$   
[M. Lüscher, G. Münster and P. Weisz, 1981]

## Static force

$$F(r) = \frac{dV}{dr}$$

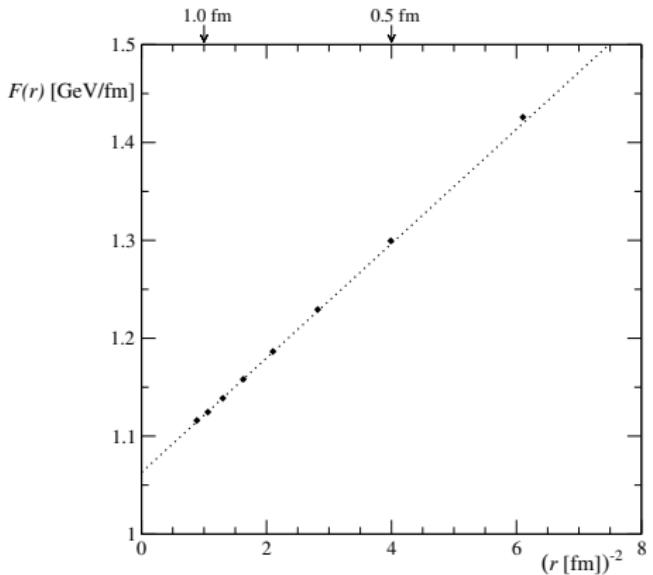
It is a renormalized quantity.

# Static potential in $SU(3)$ Yang-Mills



[S. Necco and R. Sommer, [hep-lat/0108008](#)] continuum limit,  $r_0 = 0.5$  fm [R. Sommer, [hep-lat/9310022](#)]

# Static force in $SU(3)$ Yang-Mills



[M. Lüscher and P. Weisz, [hep-lat/0207003](#)] multi-level algorithm,  $\beta = 6.0$ .  
Bosonic string:

$$F(r) = \sigma + \frac{\pi}{12r^2} + O(1/r^4) \quad (r \rightarrow \infty)$$

For the ground state the string behavior already sets in for  $r \geq 0.5 \text{ fm}$

# Large distance with fermions

With dynamical (sea) quarks:

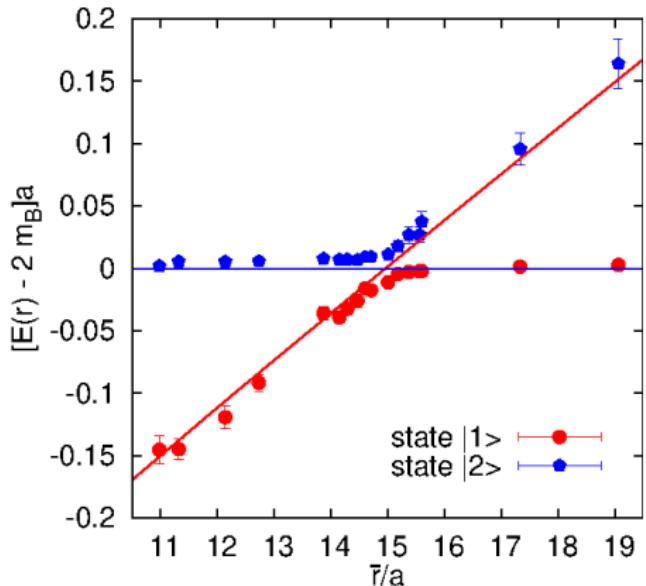


Around  $r_c \approx 1.5$  fm formation of two static-light mesons (string breaking)

## String breaking

- String breaking describes the flattening of the potential  $V_0(r)$  at large  $r$
- “The ground state potential  $V_0(r)$  can therefore be called a static quark potential or a static meson potential” [Sommer, Phys. Rept. 275(1) (1996)]
- Estimate  $r_c \approx 1.5$  fm from  $V_0(r_c) = 2E_{\text{stat-light}}$  [Alexandrou et al., Nucl.Phys. B414 (1994)]
- It has been observed as a mixing of “string-like” and two-meson operators [Drummond, 9805012; Philipsen and Wittig, 9807020; Knechtli and Sommer, 9807022, 0005021; Bali et al., 0505012, Bulava et al., 1902.04006]

# Observation of string breaking in $N_f = 2$ QCD



[SESAM, Bali, Neff, Düssel, Lippert, Schilling,  
Phys.Rev.D 71 (2005)]

$N_f = 2$ ,  $40 \times 24^3$ ,  $m_\pi \approx 400$  MeV,  
 $a \approx 0.083$  fm

Minimal energy gap

A simple model of mixing [Knechtli, Günther, Peardon, Lattice Quantum Chromodynamics: Practical Essentials, Springer, 2017]

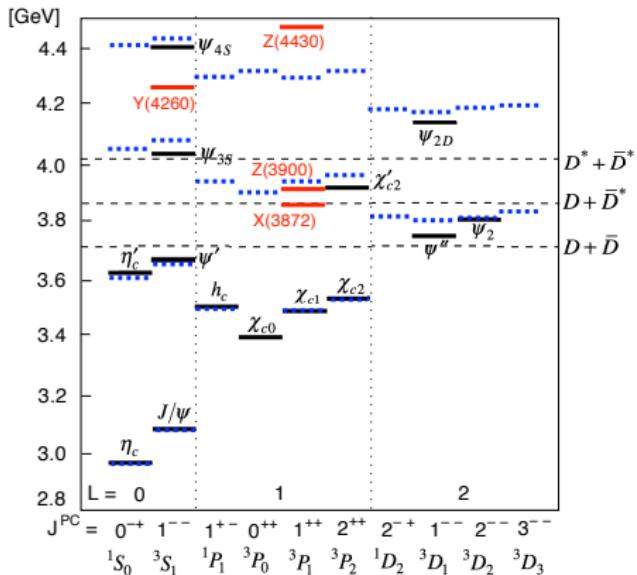
$$H(r) = \begin{pmatrix} \sigma r & g \\ g & 2E_{\text{stat-light}} \end{pmatrix}$$

$\sigma r$ : linearly rising energy level;  
 $2E_{\text{stat-light}}$ : energy of a static-light meson pair;  $g$ : mixing.  
In the string breaking region, where  $\sigma r \approx 2E_{\text{stat-light}}$  the energy levels are approximately

$$2(E_{\text{stat-light}} \pm g)$$

“avoided level crossing” ( $g \neq 0$ )

# Relation to quarkonium



charmonium, **exotic XYZ states**, quark model

[Hosaka et al., PTEP 2016 (2016) 6, 062C01]

Born-Oppenheimer approximation

- Cornell potential [Eichten et al., Phys.Rev.D 21 (1979)]

$$V_0(r) = -\frac{\kappa}{r} + \sigma r$$

- Schrödinger equation ( $m_Q, m_Q v \gg \Lambda_{\text{QCD}}, v \ll 1$ )

$$\left( -\frac{1}{2\mu} \frac{d^2}{dr^2} + \frac{l(l+1)}{2\mu r^2} + V_0(r) \right) u(r) = E u(r)$$

$\mu = m_Q/2$  from quark models

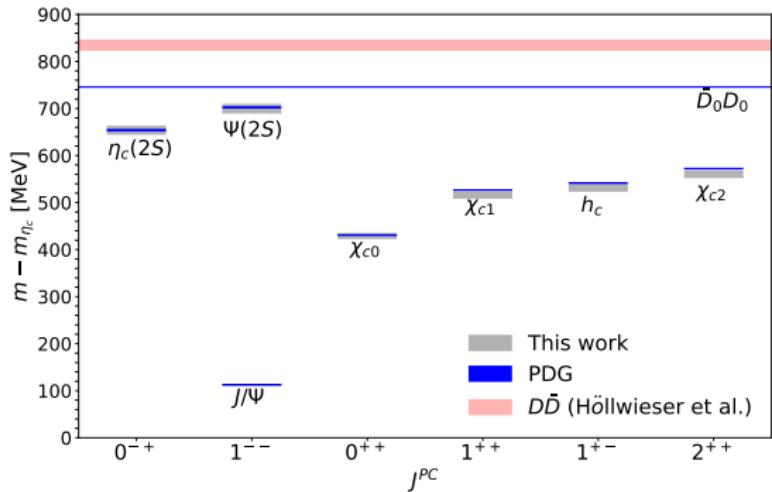
[Godfrey and Isgur, Phys.Rev. D32 (1985);

Capitani et al., Phys.Rev.D 99 (2019)]

Quarkonium levels  $E$

- Relativistic spin corrections to  $V_0$  [Eichten and Feinberg, Phys.Rev.D 23 (1981)]

# Charmonium spectrum



PhD thesis of J. A. Urrea-Niño, based on [Knechtli, Korzec, Peardon, Urrea-Niño, 2205.11564]

FOR 5269



<https://confluence.desy.de/display/for5269>

Wuppertal – DESY, Zeuthen – Dublin

We develop and apply new numerical techniques for spectroscopy computations of charmonium, glueballs and (hybrid) static potentials

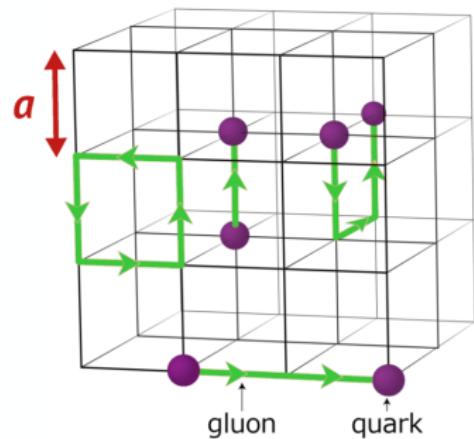
## Part II

The static potential from lattice QCD

# Lattice QCD setup

## Numerical simulations of QCD using Monte Carlo methods

- well-established framework for non-perturbative QCD
- ab-initio calculations, action only input
- discretization of space-time,
- introduce **lattice spacing  $a$**
- gluons,  $SU(3)$  link variables
- quarks, covariant derivatives
- Dirac operator  $D$ , quark propagator  $D^{-1}$
- discretized forms must reduce to continuum form in the limit  $a \rightarrow 0$



# Lattice QCD setup contd

## Monte Carlo methods: statistical treatment of the theory

- create gluon configurations using QCD action
- average over configurations, error
- we need 100s to 1000s of (statistically independent) configurations
- observables: correlation functions in terms of “quark propagators”
- building block of hadronic measurements on the lattice
- solution of the Dirac equation  $Dx_i = v_i$   
most intensive part of calculations
- very large ( $190M \times 190M$ ), but sparse matrix (most elements zero)
- highly optimized algorithms with good scaling behavior

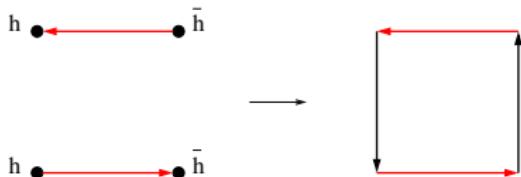
## Distillation [Hadron Spectrum, Peardon et al, 0905.2160]

- quark field smearing with Laplacian eigenmodes  $v_i[t]$
- we need inversions to get “quark perambulators”  $v_i[t_1]^\dagger D_{\alpha\beta}^{-1} v_j[t_2]$
- stochastic representation of the perambulator [Morningstar et al, 1104.3870]

# Wilson loops

Creation operator for a static quark at position  $\vec{x}$  and a static anti-quark at  $\vec{y}$  ( $R = |\vec{r}| = |\vec{y} - \vec{x}|$ ) at  $t = t_0$ ; annihilation operator at  $t = t_1$ ,  $T = |t_1 - t_0|$ :

$$\begin{aligned} O(\vec{x}, \vec{y}) &= \bar{\psi}_h(\vec{x}) U_s(\vec{x}; \vec{y}) \gamma_5 \psi_{\bar{h}}(\vec{y}) \\ \bar{O}(\vec{y}, \vec{x}) &= -\bar{\psi}_{\bar{h}}(\vec{y}) U_s^\dagger(\vec{x}; \vec{y}) \gamma_5 \psi_h(\vec{x}) \end{aligned}$$



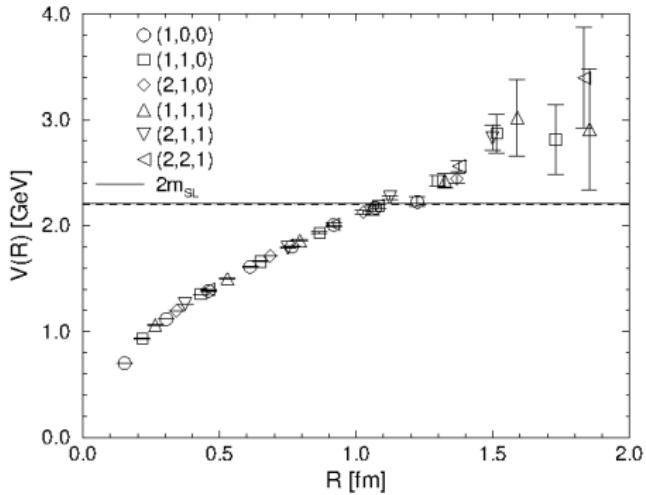
After integration over the heavy quarks  $\psi_h$ ,  $\psi_{\bar{h}}$  obtain a product of gauge links along a rectangle  $\rightarrow$  Wilson loop

$$\langle W(R, T) \rangle_{U, \psi, \bar{\psi}} \stackrel{N_t \rightarrow \infty}{\sim} \sum_n c_n c_n^* e^{-V_n(r)T}$$

Static quark anti-quark potential

$$V_0(R) = -\lim_{T \rightarrow \infty} \partial_T \ln(\langle W(R, T) \rangle)$$

# An overlap problem



$$N_f = 2, 32 \times 16^3, m_\pi \approx 460 \text{ MeV}, a \approx 0.15 \text{ fm}$$

[Burkhalter for CP-PACS, Nucl.Phys.B Proc.Suppl. 73 (1999), LATTICE 98, Boulder]

Wilson loops have a tiny overlap with the broken string (need impractically large  $T$ ). Mixing with static-light mesons is necessary to see string breaking.

## Part III

String breaking with up, down and  
strange quarks

# Mixing matrix

$N_f=2+1$  QCD: degenerate up, down (light) quarks and a strange quark  
static-light “ $B$ ” and static strange “ $B_s$ ” mesons

$$C(\mathbf{r}, t) = \begin{pmatrix} \langle \mathcal{O}_W(t) \bar{\mathcal{O}}_W(0) \rangle & \langle \mathcal{O}_{B\bar{B}}(t) \bar{\mathcal{O}}_W(0) \rangle & \langle \mathcal{O}_{B_s\bar{B}_s}(t) \bar{\mathcal{O}}_W(0) \rangle \\ \langle \mathcal{O}_W(t) \bar{\mathcal{O}}_{B\bar{B}}(0) \rangle & \langle \mathcal{O}_{B\bar{B}}(t) \bar{\mathcal{O}}_{B\bar{B}}(0) \rangle & \langle \mathcal{O}_{B_s\bar{B}_s}(t) \bar{\mathcal{O}}_{B\bar{B}}(0) \rangle \\ \langle \mathcal{O}_W(t) \bar{\mathcal{O}}_{B_s\bar{B}_s}(0) \rangle & \langle \mathcal{O}_{B\bar{B}}(t) \bar{\mathcal{O}}_{B_s\bar{B}_s}(0) \rangle & \langle \mathcal{O}_{B_s\bar{B}_s}(t) \bar{\mathcal{O}}_{B_s\bar{B}_s}(0) \rangle \end{pmatrix}$$
$$= \begin{pmatrix} \square & \sqrt{2} \times \text{wavy square} & \text{wavy square} \\ \sqrt{2} \times \text{wavy square} & 2 \times \text{wavy square} + \text{wavy vertical} & \sqrt{2} \times \text{wavy square} \\ \text{wavy square} & \sqrt{2} \times \text{wavy square} & \text{wavy square} + \text{wavy vertical} \end{pmatrix}$$

- Two string-like operators
- Off-axis separations to increase the resolution in the string breaking region [Bresenham, 1965; Bolder et al., hep-lat/0005018]

# CLS ensembles

$N_f = 2 + 1$  ensembles from **Coordinated Lattice Simulations (CLS)**:

CERN, DESY/NIC, Dublin, Berlin HU, Mainz, Madrid, Milan, Münster,  
Odense/CP<sup>3</sup>-Origins, Regensburg, Roma-La Sapienza, Roma-Tor Vergata,  
Valencia, Wuppertal [M. Bruno et al., 1411.3982]

id	$N_{\text{conf}}$	$N_{\text{conf}}^W$	$t_0/a^2$	$N_s$	$N_t$	$m_\pi$ [MeV]	$m_K$ [MeV]	$m_\pi L$
N203	94	752	5.1433(74)	48	128	340	440	5.4
N200	104	1664	5.1590(76)	48	128	280	460	4.4
D200	209	1117	5.1802(78)	64	128	200	480	4.2

- lattice spacing  $a = 0.06426(76)$  fm [M. Bruno, T. Korzec, S. Schaefer, 1608.08900]
- quark masses  $m_u = m_d = m_l$  and  $m_s$  vary along  $\sum_{f=u,d,s} m_{\text{bare},f} = \text{const.}$
- quark-mass parameter

$$\mu_I = \frac{3}{2} \frac{m_\pi^2}{\frac{1}{2} m_\pi^2 + m_K^2} \approx \frac{3}{2} \frac{2m_I}{2m_I + m_s} \propto m_I$$

$N_f = 3$  symmetric point:  $\mu_I = 1$

physical point:  $\mu_I = 0.1076$  ( $m_\pi = 134.8$  MeV,  $m_K = 494.2$  MeV isospin limit)

# Extraction of the energy levels

## Generalized Eigenvalue Problem (GEVP)

- For fixed inter-quark separation  $\mathbf{r}$  solve the GEVP [Lüscher and Wolff, Nucl.Phys.B 339(1) (1990); Blossier et al., 0902.1265]

$$\begin{aligned} C(t) v_n(t, t_0) &= \lambda_n(t, t_0) C(t_0) v_n(t, t_0), \quad n = 0, 1, \dots, 3, \quad t \geq t_0 \\ \lambda_n(t, t_0) &\simeq \exp\{-V_n(t - t_0)\} \end{aligned}$$

We use  $t_0 = 5$ .

- We solve GEVP at time  $t_d = 10$ , project the correlator

$$\hat{C}_{ij} = (v_i(t_0, t_d), C(t)v_j(t_0, t_d))$$

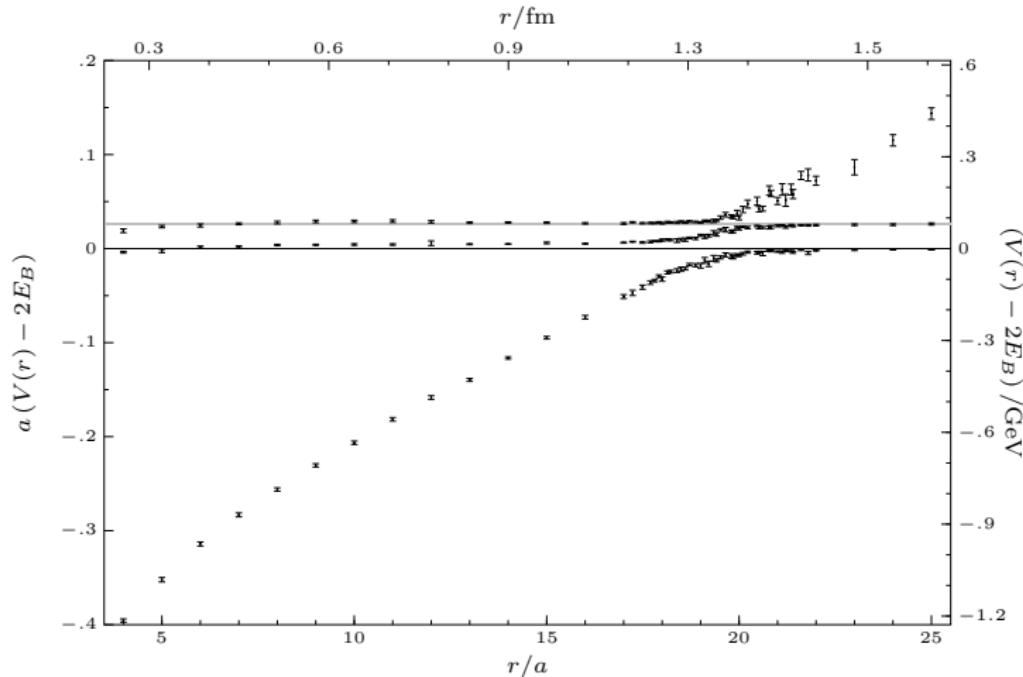
and build the ratios

$$R_n(t) = \frac{\hat{C}_{nn}(t)}{C_B^2(t)}, \quad n = 0, 1, 2$$

( $C_B$  is the correlator of a single static-light meson, energy  $E_B$ )  
Correlated single-exponential fit yields  $R_n = \text{const} \times \exp[-t(\mathcal{V}_n - 2E_B)]$

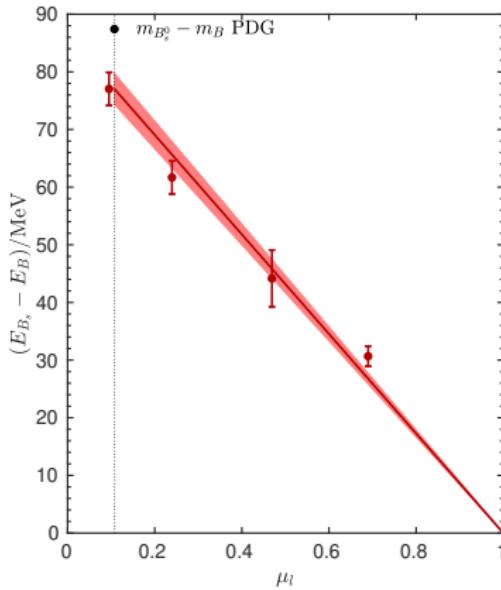
# Potential levels

Lowest three levels of the static potential on N200 ( $m_\pi = 280$  MeV) with the thresholds twice the static-strange and twice the static-light meson masses



[Bulava, Hörz, Knechtli, Koch, Moir, Morningstar, Peardon, Phys.Lett.B 793 (2019)]

# Static-light and static-strange mesons



At the physical point (preliminary [Knechtli, Koch, Peardon et al.] )

$$E_{B_s} - E_B = 77.0(2.9) \text{ MeV} \quad (\text{including the lattice spacing error})$$

PDG:  $m_{B_s^0} - m_B = 87 \text{ MeV}$ ;  $m_{D_s^\pm} - m_{D^0} = 105 \text{ MeV}$

# Model

## Model Hamiltonian

$$H(r) = \begin{pmatrix} \hat{V}(r) & g_1 & g_2 \\ g_1 & \hat{E}_1 & 0 \\ g_2 & 0 & \hat{E}_2 \end{pmatrix}, \quad \hat{V}(r) = \hat{V}_0 + \sigma r$$

- Correlated fit to spectrum with 6 parameters:  $a\hat{E}_1$ ,  $a\hat{E}_2$ ,  $ag_1$ ,  $ag_2$ ,  $a^2\sigma$ ,  $a\hat{V}_0$
- $\hat{V}(r)$ ,  $\hat{E}_1$ ,  $\hat{E}_2$  are the asymptotic energy levels for  $r \rightarrow \infty$  up to  $O(r^{-1})$
- Notice: string tension  $\sigma$  is defined including the effects of string breaking (ground state potential is nowhere linear)

## String breaking distances

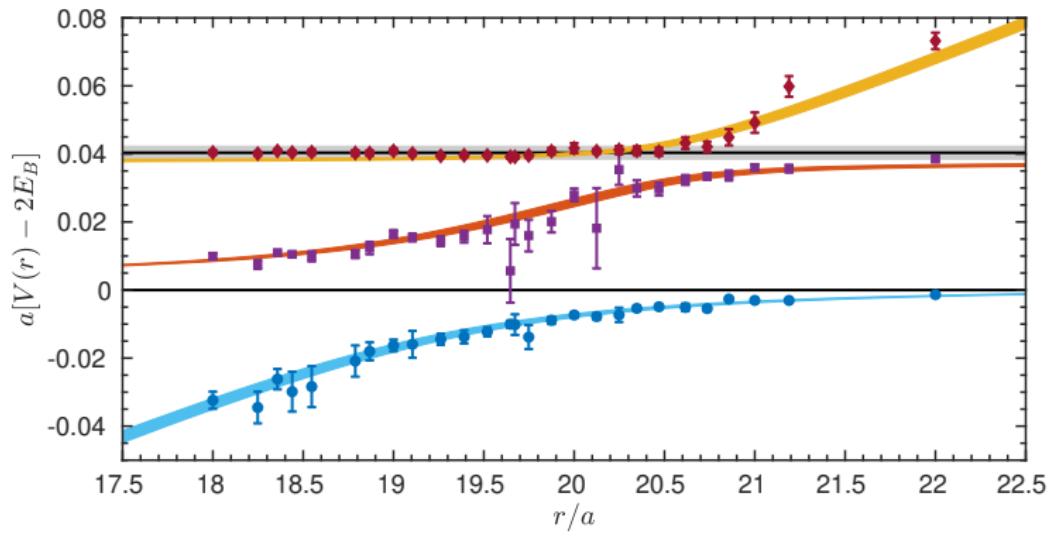
Definition by minimum energy gap does not work (unlike for  $N_f = 2$ )

Two string breaking distances  $r_c$  and  $r_{cs}$  defined by the crossings

$$\hat{V}(r_c) = \hat{E}_1 \text{ (static-light)} \quad \text{and} \quad \hat{V}(r_{cs}) = \hat{E}_2 \text{ (static-strange)}$$

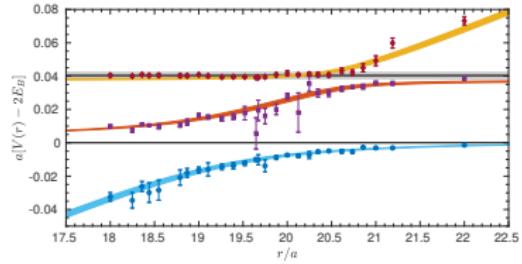
# Model fits

D200 ( $m_\pi = 200$  MeV)

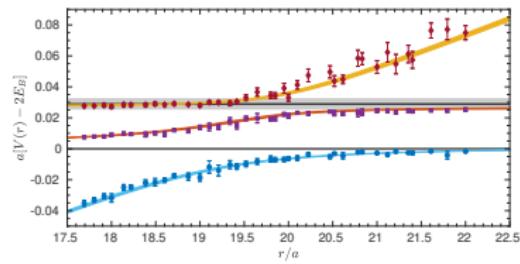


# Model fits contd

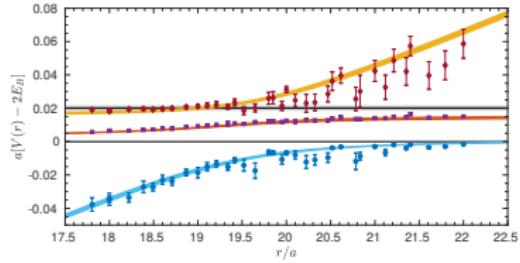
D200 ( $m_\pi = 200$  MeV)



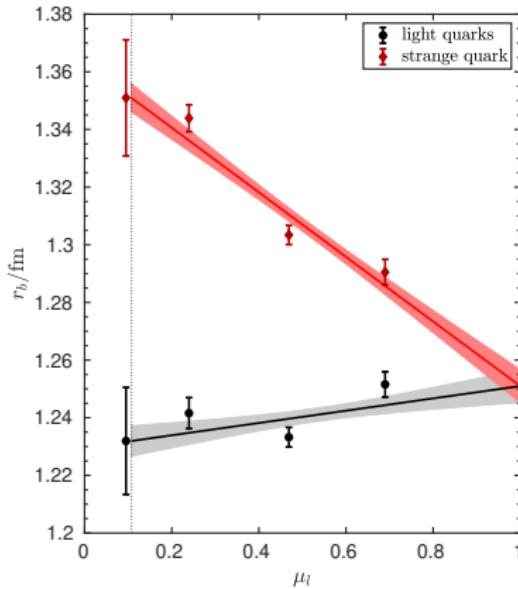
N200 ( $m_\pi = 280$  MeV)



N203 ( $m_\pi = 340$  MeV)



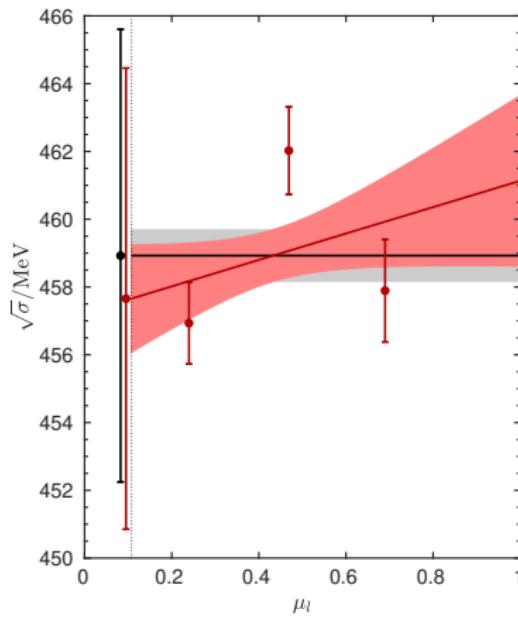
# String breaking distances



Constrained extrapolation ( $r_c = r_{c_s}$  at  $\mu_l = 1$ ) linear in  $\mu_l$ . Larger errors include the lattice spacing error. At the physical point (preliminary [Knechtli, Koch, Peardon et al.])

$$r_c = 1.232(19) \text{ fm (light)}, \quad r_{c_s} = 1.351(20) \text{ fm (strange)}$$

# String tension

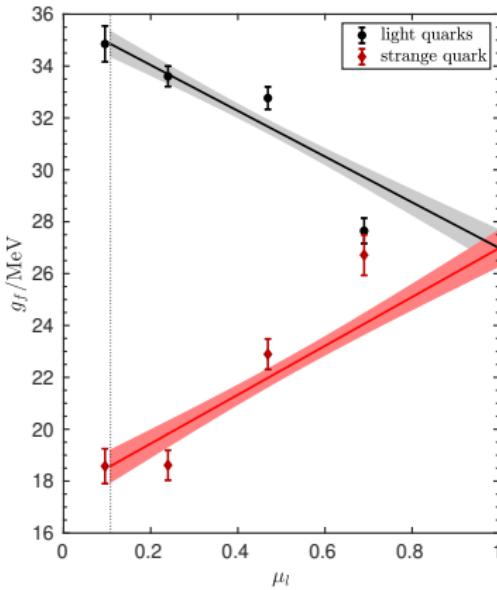


At the physical point (preliminary [Knechtli, Koch, Peardon et al.] )

$$\sqrt{\sigma} = 458(7) \text{ MeV} \quad \text{linear extrapolation}$$

TUMQCD [Brambilla et al., 2206.03156],  $N_f = 2 + 1 + 1$ :  $\sqrt{\sigma} = 467(7)$  or  $481(7)$  MeV

# Mixing



Mixing per flavour  $g_I = g_1/\sqrt{2}$  and  $g_s = g_2$ . Constrained extrapolation ( $g_I = g_s$  at  $\mu_l = 1$ ) linear in  $\mu_l$ . At the physical point (preliminary [Knechtli, Koch, Peardon et al.])

$$g_I = 34.9(7) \text{ MeV (light)}, \quad g_s = 18.6(7) \text{ MeV (strange)}$$

## Part IV

### Conclusions and Outlook

# Conclusions

- Computed three lowest levels of the static potential up to a separation  $r = 1.6 \text{ fm}$  in QCD with dynamical up, down and strange quarks
- Potential levels *in the region of string breaking* can be well fitted by a simple six parameter model → robust definition of the string tension
- We have results for three sets of quark masses
- Extrapolations of the string breaking distances to the physical point

$$r_c = 1.232(19) \text{ fm (light)} \quad r_{c_s} = 1.351(20) \text{ fm (strange)} \quad (\text{preliminary})$$

- Extrapolation of the string tension to the physical point

$$\sqrt{\sigma} = 458(7) \text{ MeV} \quad (\text{preliminary})$$

- At the physical point the mixing coefficient *per* light quark is about twice as large as for the strange quark
- Our model of string breaking can be used as input to study quarkonia above threshold and heavy-light and heavy-strange coupled-channel meson scattering
- Outlook: hybrid potentials, Laplacian trial states [Höllwieser et al., 2212.08485]