The European Innovation Council (EIC): Pathfinder

Europe Day @ DESY

6 September 2023

EU Project Office (EUP) Tom Minniberger

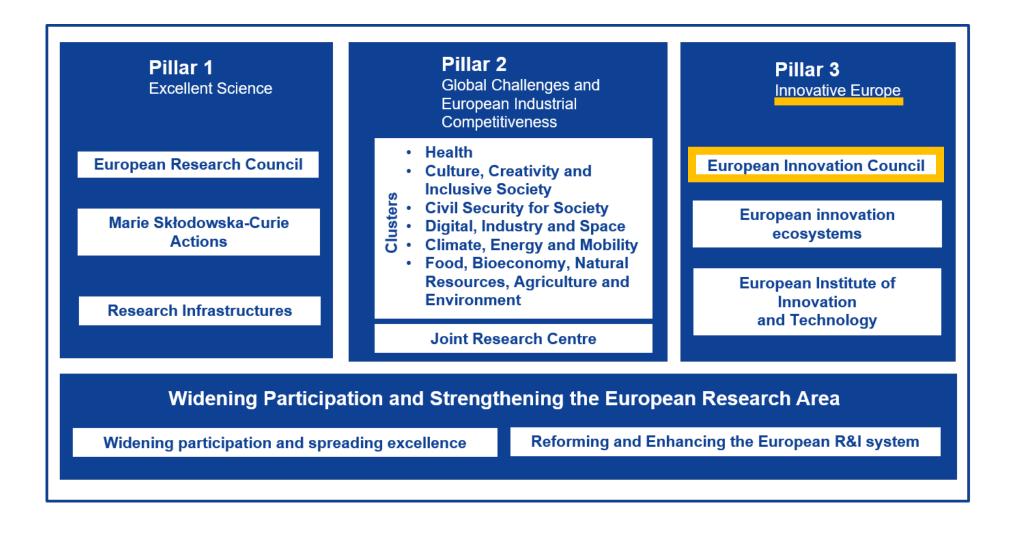


Table of content

- EIC Funding opportunities
- Pathfinder Programme
- Statistics
- Deadlines
- Useful links

Horizon Europe — 9th Research Framework Program

Pillar 3: Innovative Europe



European Innovation Council (EIC)



EIC Pathfinder

Support to research teams to research or develop an emerging breakthrough technology



EIC Transition

Building on promising research results to demonstrate and mature the technology and develop business plans for specific applications

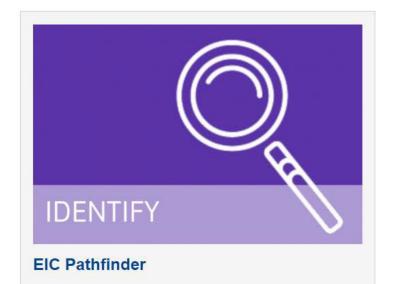


EIC Accelerator

Funding and investments through the EIC Fund for individual start-ups and small companies to develop and scale up game changing innovations

technology

European Innovation Council (EIC)



Support to research teams to research or

develop an emerging breakthrough



EIC Transition

Building on promising research results to demonstrate and mature the technology and develop business plans for specific applications



EIC Accelerator

Funding and investments through the EIC Fund for individual start-ups and small companies to develop and scale up game changing innovations

What is the EIC Pathfinder?



The **EIC Pathfinder**

- funds research to develop the scientific basis to underpin breakthrough technologies
- supports the earliest stages of scientific, technological or deep-tech R&D
- aims to build on new, cutting-edge directions in science and technology to transform a field and a market or create new opportunities
- implements innovative technological solutions to identify, develop and disseminate breakthrough technologies and breakthrough innovations in Europe

Funding schemes

EIC Pathfinder Open

(open topics)

to support **projects in any field** of science, technology or application without predefined thematic priorities ('bottom-up')

EIC Pathfinder Challenges

(theme-based)



to support **coherent portfolios** of projects within predefined thematic areas with the aim to achieve specific objectives for each Challenge

Pathfinder Open

Why should you apply?

- You should apply if you are looking for support from EIC Pathfinder Open to realise an ambitious vision for radically new technology with potential to create new markets and/or to address global challenges
- EIC Pathfinder Open supports early stage development of such future technologies (e. g. various activities at low Technology Readiness Levels 1-4), based on high risk/high gain science towards technology breakthrough research (including 'deep tech')
- This research must provide the basis for the technology you plan to use

EIC Pathfinder Open: Criteria's

Collaborative, interdisciplinary research, meeting the following criteria's:

- convincing, long-term vision of a radically new technology that has the
 potential to have a transformative positive effect to our economy and society;
- concrete, novel and ambitious science-towards-technology breakthrough, providing advancement towards the targeted technology;
- high-risk & high-gain research approach & methodology, with concrete and plausible objectives.

Expected output of EIC Pathfinder Open projects

- Expected output is the proof of principle that the main ideas of the planed future technology are feasible. Thus, the scientific and technological basis is validated. Project results should include top-level scientific publications in open access
- Measures must be taken to enable future takeovers, e.g. through adequate formal protection of the intellectual property (IP)
- Projects are encouraged to involve and empower in their teams key actors that
 have the potential to become future leaders in their field such as excellent earlycareer researchers or promising high-tech SMEs, including start-ups
- Project are also encouraged to empower female researchers and to achieve gender balance among the work package leaders

Can you apply? Consortium composition

- Proposals must be submitted by the coordinator, on behalf of a consortium
- A consortium consist of at least 3 legal entities, independent from each other and each established in a different country as follows:
 - > at least 1 legal entity established in a Member State; and
 - > at least 2 other independent legal entities, each established in different Member States or Associated Countries.
- The legal entities may be for example be universities, research organisations,
 SMEs, start-ups, industrial partners or natural persons.

What support will you receive if your proposal is funded?

- You will receive a grant for a Research and Innovation Action to cover the eligible costs, necessary for the implementation of your project.
- The EIC considers Pathfinder open proposals with a requested EU contribution
 of up to EUR 3 million as appropriate. Nonetheless, this does not preclude you
 to request larger amounts, if properly justified.
- The funding rate of this grant will be 100% of the eligible costs.

EIC support beyond funding:

- All EIC supported projects and companies get access to coaching, mentoring, partnering and other EIC Business Acceleration Services
- Find out more <u>here</u>

Additional opportunities for Pathfinder selected projects

Projects funded through EIC Pathfinder are eligible:

- to receive additional EIC Booster grants (up to 3 per project or more if duly justified) of up to EUR 50 000 to undertake complementary activities:
 - > to explore potential pathways to commercialization
 - for portfolio activities
- to submit an EIC Transition proposal

How do you apply: how long does it take?

- You must submit your application via the Funding and Tender Opportunities
 Portal before the given deadline.
- You will be informed about the outcome of the evaluation within 5 months from the call deadline (indicative).
- If your proposal is selected for funding, you can expect your grant agreement to be signed by 8 months after the call deadline.

Pathfinder Challenges

EIC Pathfinder Challenges - introduction

- Build on new, cutting-edge directions in science and technology
- Disrupt a market or to create new opportunities by realising innovative technological solutions grounded in high-risk/high-gain research and development;
- Establish a portfolio of projects for each challenge that explore different perspectives, competing approaches or complementary aspects

Expected project outcomes

- Expected outcomes defined in the respective Challenge
- Project results should include top-level scientific publications as well as an adequate formal protection of the generated Intellectual Property
- Projects are encouraged to involve and empower in their teams key actors that
 have the potential to become future leaders in their fields such as excellent
 early-career researchers or promising high-tech SMEs, including start-ups
- Project particularly encouraged to empower female researchers and to achieve gender balance among the work package leaders

Pathfinder Challenge Guides

Challenge Guides will provide more information about:

- Objectives of the Challenges
- > Technical information underpinning the objectives
- Portfolio considerations used for the final selection of proposals to be funded
- Challenge Guides will be published on the <u>EIC website</u>

Can you apply?

- Single legal entities established in a Member State or an Associated Country (medium-sized and larger companies will not be permitted)
- Consortia of 2 entities must be two independent legal entities from two different Member States or Associated Countries
- Consortia of 3 or more entities must include as beneficiaries at least three legal entities, independent from each other and each established in a different country as follows:
 - at least 1 legal entity established in a Member State; and
 - > at least 2 other independent legal entities, each established in different Member States or Associated Countries.
- The legal entities may for example be universities, research organisations,
 SMEs, start-ups, industrial partners or natural persons.

What support will you receive if your proposal is funded?

- A grant for a Research and Innovation Action to cover eligible costs
- EIC considers proposals with an EU contribution of up to € 4 million as appropriate (larger amounts possible, if duly justified)
- Funding rate 100% of the eligible costs

EIC support beyond funding:

- All EIC supported projects and companies get access to coaching, mentoring, partnering and other EIC Business Acceleration Services
- Find out more <u>here</u>

Pathfinder- Summary table

	Pathfinder Open	Pathfinder Challenges
Proposals (indicative)	Up to €3 million	Up to €4 million
Funding rate	100% of eligible costs	100% of eligible costs
Length of proposal	17-page proposal (part B)	25-page proposal (part B)
Applicants	Consortia min. 3 partners from 3 different Memer States /Associated Countries (of which at least 1 partner in a Member State)	 Consortia: If 2 partners: from different MS/AC, Min 3 partners from 3 different MS/AC (of which at least 1 partner in a MS) Single legal entities in a MS/AC

Pathfinder- Statistic

	Programme	selected proposals	success rate	Budget	Size of the consortia	Duration	SMEs
	Pathfinder Open	57	6,64%	Average budget of projects selected for funding: 3,17M Out of 57, 30 projects applied for more than 3M Highest budget selected for funding: 5.47M	Size of the consortia varied from 3 to 10 partners in the successful projects, with a concentration of 5 to 7 partners.	0x24months, 14x36m, 7x42m, 30x48m, 6x60m	SMEs are involved in 46 of the 57 projects (80.7%); a total of 65 SME partners
2022	Pathfinder Challenges	44	10,09%	Average budget of projects selected for funding: 3.8 M	Size of the consortia varied from 1 to 12 partners in the successful projects, with a concentration of 4 to 7 partners.		16% of participants are SMEs

EIC — Call Dates for 2023

	Pathfinder Open	Pathfinder Challenges
Call opens	November 2022	20/06/2023
Deadline to submit applications	Closed in March 2023	18/10/2023
Budget	EUR 179.5 million	EUR 163.5 million

Call dates and deadlines will expected similarly in 2024

Helpful Resources

Useful Links

- Find more information on the European Commission's EIC Website
- **❖** Work Programme 2023
- **EIC Frequently asked questions**
- **TRL self-assessment tool**
- Factsheet on EIC Pathfinder Open -Interview with Timo Hallantie, Head of Unit at EISMEA

Contact Persons at DESY's EU Project Office

Dr. Susanne Hummel

Email: <u>susanne.hummel@desy.de</u>

phone: +49-40-8998-2808

Annika Thies

Email: annika.thies@desy.de

phone: +49-40-8998-4508

Petra Ullmann

Email: petra.ullmann@desy.de

phone: +49-40-8998-3351

Contact

DESY. Deutsches

Elektronen-Synchrotron

Tom Minniberger

Email: tom.minniberger@desy.de

phone: +49-40-8998-5022

Juliane Marauska

Email: juliane.marauska@desy.de

phone: +49-40-8998-5870

www.desy.de