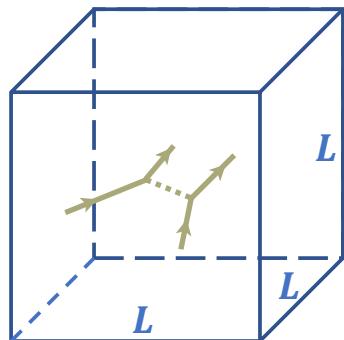


Evgeny Epelbaum, Ruhr University Bochum

Lattice seminar, Berlin, 5. February 2024

Two-particle scattering from finite-volume energies

Based on work done in collaboration with Lu Meng, Vadim Baru, Arseniy Filin, Ashot Gasparyan



Introduction

Low-energy theorems in the infinite volume

Low-energy theorems finite volume

Applications: NN, DD*

Summary

The Lüscher method

Lüscher's quantization condition for moving systems: $\det \left[\underbrace{M_{ln,l'n'}^{(\Gamma, P)}}_{\text{infinite-dimensional matrix written in terms of the Lüscher zeta functions}} - \delta_{ll'} \delta_{nn'} \cot \delta_l \right] = 0$

Lüscher '86; Rummukainen, Gottlieb '95; Kim et al. '05; Luu, Savage '11;
Göckeler et al. '12; Fu '12; Lescovec, Prelovsek '12; ...

Limitations and issues:

- Requires sufficiently large volumes ($M_\pi L \gtrsim 3$)
- Partial-wave mixing effects
 - Extreme truncation (1 PW): one-to-one correspondence between FV energies and $\delta_l(q)$
 - Several PWs: Need a **parametrization** of $T_l(q)$ like e.g. the ERE Morningstar et al.'17
Pole extraction from $\delta_l(q_i)$ is also usually carried out using the ERE.
But the ERE is only valid for $|q| < M_\pi/2$... Is addressed for NN in 1504.07852, 1604.02551 and for the T_{cc} state in 2023.09441
- The t -channel cut problem
 - For FV energy levels below the LHC, the above Lüscher's QC is invalid

Actually, all above limitations are ultimately related to the LHC-physics (as for PW mixing effects, they start becoming relevant at $q \sim M_\pi$, since $T_l(q) \xrightarrow{q \rightarrow 0} \sim (q/M_\pi)^{2l}$)

Low-energy theorems in the infinite volume

Idea: Benefit from the known long-range interaction due to the one-pion exchange to:

- Construct ***model-independent*** parametrization of $T_l(E)$ valid well beyond the ERE (useful for analyzing lattice data at physical & unphysical M_π)
- Provide an efficient method for reconstructing the 2-body scattering amplitude from finite-volume energies, which is ***applicable also below the t-channel cut***

ERE and MERE

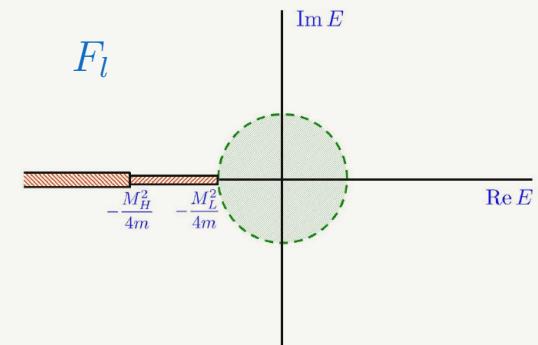
Consider two-range potential $V(r) = V_L(r) + V_S(r)$ with $M_L^{-1} \gg M_H^{-1}$

- Effective range expansion Landau, Smorodinsky '44, Bethe '49

$$T_l(k) = -\frac{16\pi^2}{m} \frac{k^{2l}}{F_l(k) - ik^{2l+1}}$$

$\equiv k^{2l+1} \cot \delta_l$ – real meromorphic function of k^2 in $|k| \leq M_L/2$

$$\Rightarrow \text{ERE: } F_l = -\frac{1}{a} + \frac{1}{2}rk^2 + v_2k^4 + v_3k^6 + \dots$$

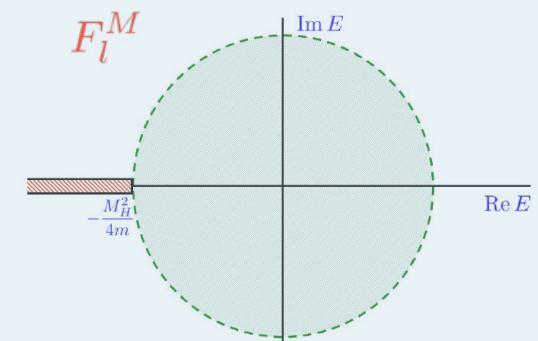


- Modified effective range expansion van Haeringen, Kok '82

$$F_l^M(k^2) \equiv M_l^L(k) + \frac{k^{2l+1}}{|f_l^L(k)|^2} \cot [\delta_l(k) - \delta_l^L(k)]$$

$$\underbrace{f_l^L(k)}_{\text{Jost function for } V_L(r)} = \lim_{r \rightarrow 0} \left(\frac{l!}{(2l)!} (-2ikr)^l \underbrace{f_l^L(k, r)}_{\text{Jost solution for } V_L(r)} \right)$$

$$M_l^L(k) = \operatorname{Re} \left[\frac{(-ik/2)^l}{l!} \lim_{r \rightarrow 0} \left(\frac{d^{2l+1}}{dr^{2l+1}} \frac{r^l f_l^L(k, r)}{f_l^L(k)} \right) \right]$$



Per construction, F_l^M reduces to F_l for $V_L = 0$ and is meromorphic in $|k| < M_H/2$

MERE and low-energy theorems

Example: proton-proton scattering

$$F_C(k^2) = C_0^2(\eta) k \cot[\delta(k) - \delta^C(k)] + 2k\eta h(\eta) = -\frac{1}{a^M} + \frac{1}{2}r^M k^2 + v_2^M k^4 + \dots$$

where $\underbrace{\delta^C \equiv \arg \Gamma(1 + i\eta)}_{\text{Coulomb phase shift}}, \quad \eta = \frac{m}{2k}\alpha, \quad \underbrace{C_0^2(\eta) = \frac{2\pi\eta}{e^{2\pi\eta} - 1}}_{\text{Sommerfeld factor}}, \quad h(\eta) = \operatorname{Re} \left[\underbrace{\Psi(i\eta)}_{\text{Digamma function } \Psi(z) \equiv \Gamma'(z)/\Gamma(z)} \right] - \ln(\eta)$

MERE and low-energy theorems

Long-range forces impose correlations between the ER coefficients (\equiv low-energy theorems)

Cohen, Hansen '99; Steele, Furnstahl '00; Baru, EE, Filin, Gegelia '15

$$\underbrace{F_l^M(k^2)}_{\text{meromorphic for } k^2 < (M_H/2)^2} \equiv M_l^L(k) + \frac{k^{2l+1}}{|f_l^L(k)|^2} \cot [\delta_l(k) - \delta_l^L(k)]$$

can be computed if the long-range force is known

- approximate $F_l^M(k^2)$ by first 1,2,3,... terms of the Taylor expansion in k^2
 - calculate all “soft” quantities
 - reconstruct $\delta_l^L(k)$ and predict all coefficients in the ERE

Toy model: Low-energy theorems

$$V(r) = \underbrace{v_L e^{-M_L r} f(r)}_{V_L} +$$

where $f(r) = \frac{(M_H r)^2}{1 + (M_H r)^2}$

and $M_L = 1.0$, $v_L = -0.875$,

ERE and MERE

	a	r	v_2	v_3	v_4
F_0 [fm n]	5.458	2.432	0.113	0.515	-0.993
F_0^M [M_S^{-n}]	1.710	-1.063	-0.434	-0.680	2.624

Low-Energy Theorems

	LO	NLO	NNLO	"Exp"
r				2.432197161
v_2				0.112815751
v_3				0.51529
v_4				-0.9928

The simplest implementation: use E(F)T with explicit OPEP and let QM do the job...

Application 1: LETs as a sanity check of LQCD results

Baru, EE, Filin, Phys. Rev. C94 (2016) 014001

2015 NPLQCD study of NN at $M_\pi = 450$ MeV:

Orginos et al., Phys. Rev. D92 (2015) 114512

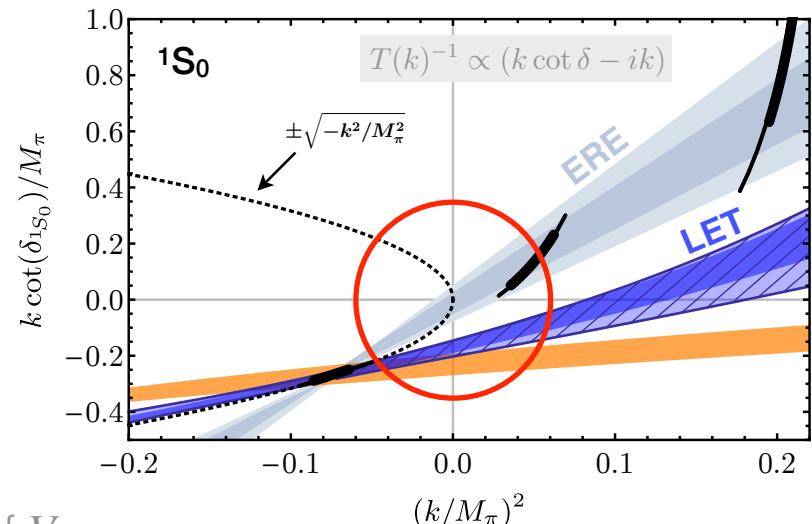
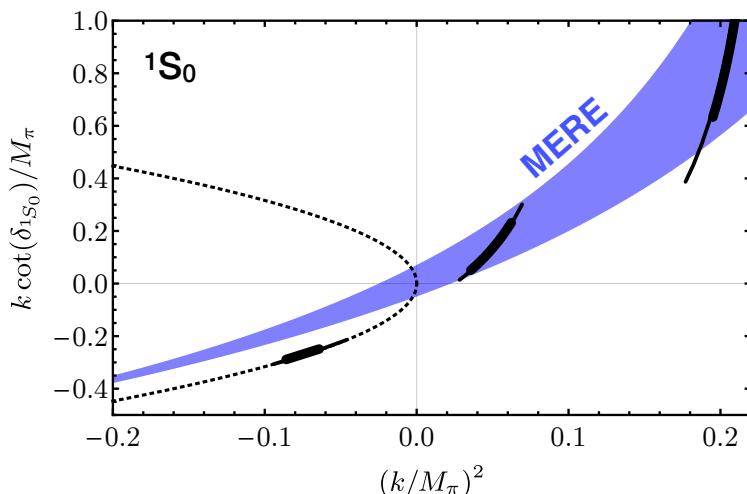
$$B_{nn} = 12.5 \left(\begin{array}{l} +3.2 \\ -4.9 \end{array} \right) \text{ MeV}$$

The ERE-fit yielded the large effective range:

$$r_{1S0} \simeq 6.7 M_\pi^{-1}$$

If true, this would suggest:

- the interaction range (much) longer than that of $V_{1\pi}$
- or the appearance of a pole in $k \cot \delta$ near the threshold



⇒ In any case, no reason to expect the ERE to be valid for $|k| > 2/r \sim M_\pi/4$.

Use MERE (valid in a large kinematical range):

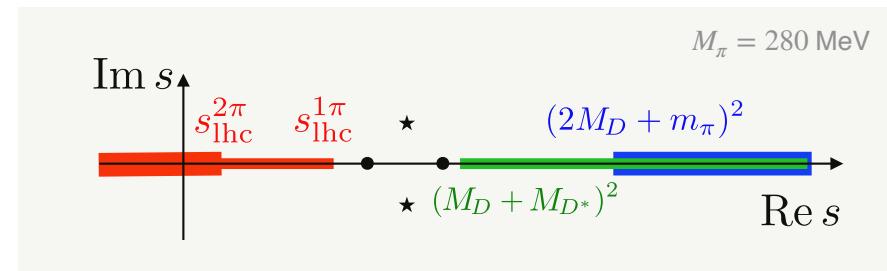
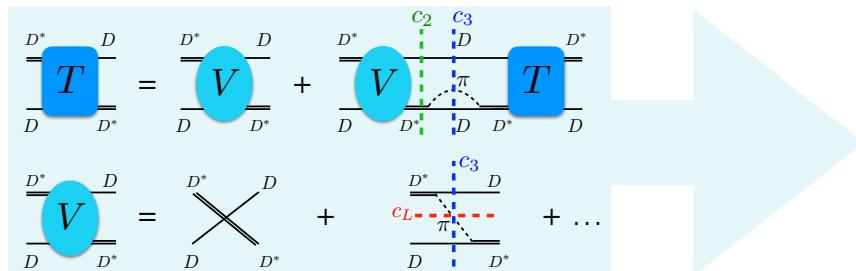
- LET prediction based on the BE inconsistent with NPLQCD scattering phase shifts
- MERE for the lowest-energy $\delta(k)$ cannot accommodate for BE ~ 12 MeV

2021 re-analysis by NPLQCD (Illa et al.) is consistent with the MERE and LETs

Application 2: DD* scattering and the $T_{cc}(3875)^+$ state

Du, Filin, Baru, Dong, EE, Guo, Hanhart, Nefediev, Nieves, Wang, PRL 131 (2023) 131903

Re-analyzed LQCD data for DD* scattering at $M_\pi = 280$ MeV of Padmanath, Prelovsek PRL 129 (2022) 032002 by taking into account effects from the LHC



For this LQCD setup, the LHC starts at $p_{\text{lhc}}^2 \simeq \frac{1}{4}[(M_{D^*} - M_D)^2 - M_\pi^2] \sim -(126 \text{ MeV})^2$

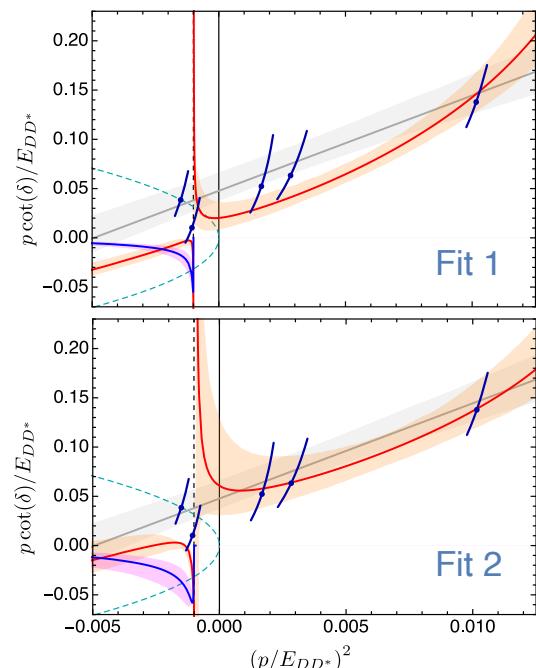
Notice:

- Below the LHC, $p \cot \delta$ acquires an imaginary part
- The ER function possesses a near-threshold pole (accidentally) located very close to the LHC

Fit 1 (all points): a pair of virtual states

Fit 2 (3 points above threshold): narrow resonance

But how reliable are the phase shifts extracted using the Lüscher method given the nearby LHC?

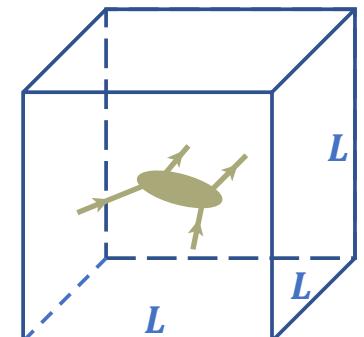


LETs in a finite volume: 2-particle scattering from FV spectra without Lüscher

Lu Meng, EE, JHEP 10 (2021) 051; Lu Meng et al., e-Print: 2312.01930

- EFT-based Hamiltonian: long-range ($1/\pi$) + contact interactions
- LECs depend on UV (cutoff) but not on IR (volume) physics \Rightarrow same EFT can be used in the infinite and in finite volume
- Solve the theory in a box using the plain wave basis and fix the LECs from FV energies
- Extract real-world scattering observables by solving the theory in the infinite volume

$$H = \text{---} + X + \dots$$



Formalism

Infinite volume: Lippmann-Schwinger (or 3-dim-reduced Bethe-Salpeter equation) in the plane-wave basis (CMS)

$$T(\mathbf{p}, \mathbf{p}'; E) = V(\mathbf{p}, \mathbf{p}'; E) + \int_{q < q_{\max}} \frac{d^3 \mathbf{q}}{(2\pi)^3} V(\mathbf{p}, \mathbf{q}; E) G(q; E) T(\mathbf{q}, \mathbf{p}'; E)$$

Finite volume: Momenta $\mathbf{p}_1, \mathbf{p}_2 = \mathbf{P} - \mathbf{p}_1$ are quantized $\mathbf{P} = \frac{2\pi}{L} \mathbf{d}, \quad \mathbf{d} \in \mathbb{Z}^3$

$$\int \frac{d^3 \mathbf{q}^*}{(2\pi)^3} f(\mathbf{q}^*) = \int \mathcal{J} \frac{d^3 \mathbf{q}}{(2\pi)^3} f[\mathbf{q}^*(\mathbf{q})] \xrightarrow{FV} \frac{1}{L^3} \sum_{\mathbf{n} \in \mathbb{Z}^3} \mathcal{J} f[\mathbf{q}^*(\mathbf{q}_n)]$$

Döring et al., EPJA 48 (12) 114;
Li et al., PRD 103 (21) 094518

LS equation reduces to the matrix equation $\underbrace{\mathbb{T}}_{\text{block-diagonal according to } \Gamma_a} = \mathbb{V} + \mathbb{V} \mathbb{G} \mathbb{T}$; T-matrix poles from

$$\det(\mathbb{G}^{-1} - \mathbb{V}) = 0 \quad \text{or} \quad \det(\mathbb{H} - E \mathbb{I}) = 0 \quad \text{or just} \quad \underbrace{\mathbb{H} |\Psi\rangle = E |\Psi\rangle}_{\text{only real, positive momenta needed} \Rightarrow \text{no LHC problem!}}$$

Lüscher's Quantization Condition: $\underbrace{\det \{ \mathbb{M}^{(\Gamma, P)}(E) - \text{diag}[\cot \delta_l(E)] \}}_{} = 0$

relationship between FV energy levels E (T-matrix poles) and the
on-shell amplitude $\cot \delta_l(E)$, which possesses the LHC
Alternative solution: Raposo, Hansen, 2311.18793

Application 1: Two-nucleon scattering (spin-0)

Lu Meng, EE, JHEP 10 (2021) 051

Motivation:

- Quantify partial-wave mixing effects from the 1π -exchange at physical pion masses
- Test the method to extract phase shifts from FV energies

Instead of lattice-QCD data, use FV energy spectra from realistic NN potential models.

Benchmarking (no partial-wave mixing)

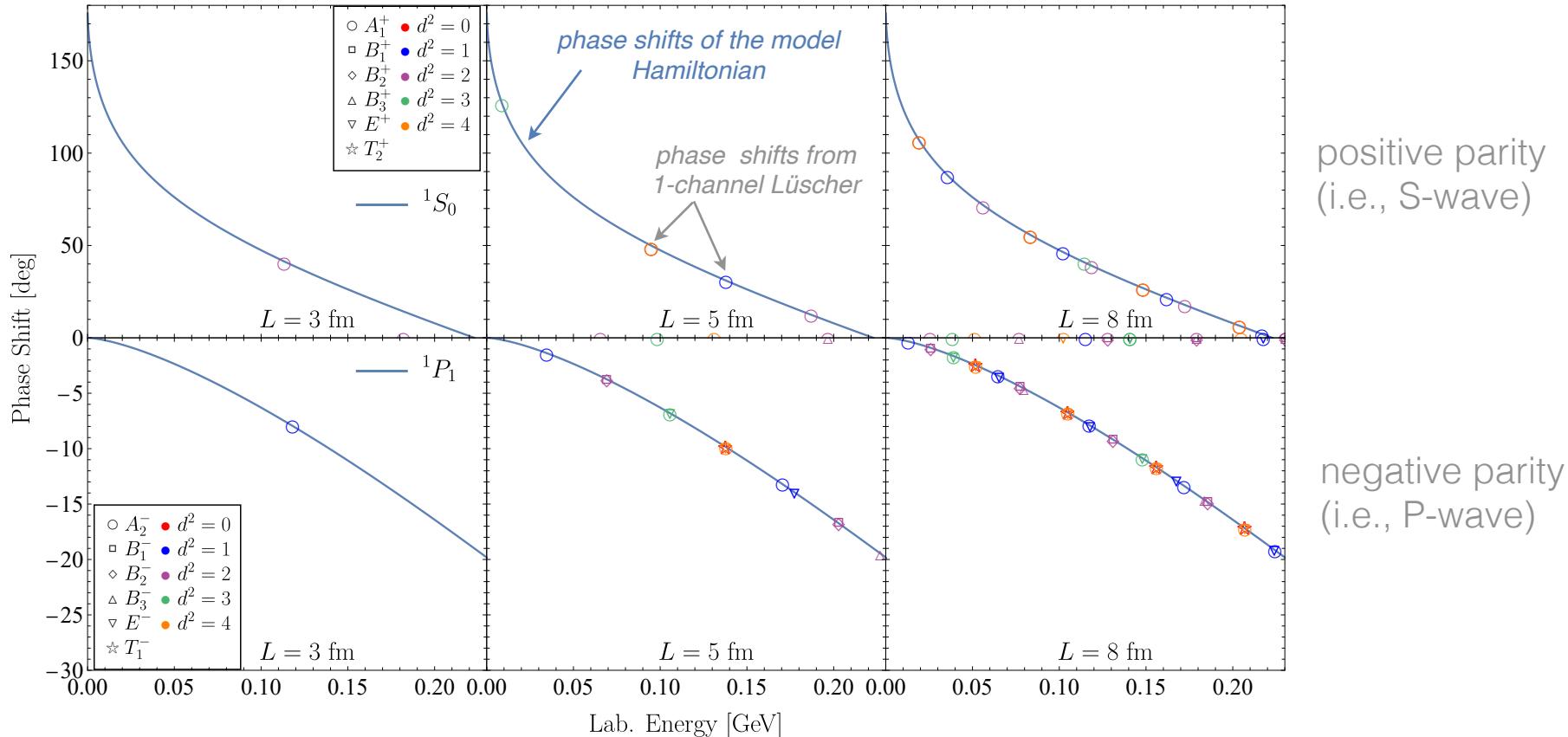
To test the approach, use π -less EFT at NLO. The Hamiltonian in the infinite volume:

$$V_{1S0}(p', p) \equiv \langle l = 0, p' | \hat{V} | l = 0, p \rangle = [\tilde{C}_{1S0} + C_{1S0}(p'^2 + p^2)] e^{-\frac{p'^2 + p^2}{\Lambda^2}}$$

$$V_{1P1}(p', p) \equiv \langle l = 1, p' | \hat{V} | l = 1, p \rangle = C_{1P1} p' p e^{-\frac{p'^2 + p^2}{\Lambda^2}}$$

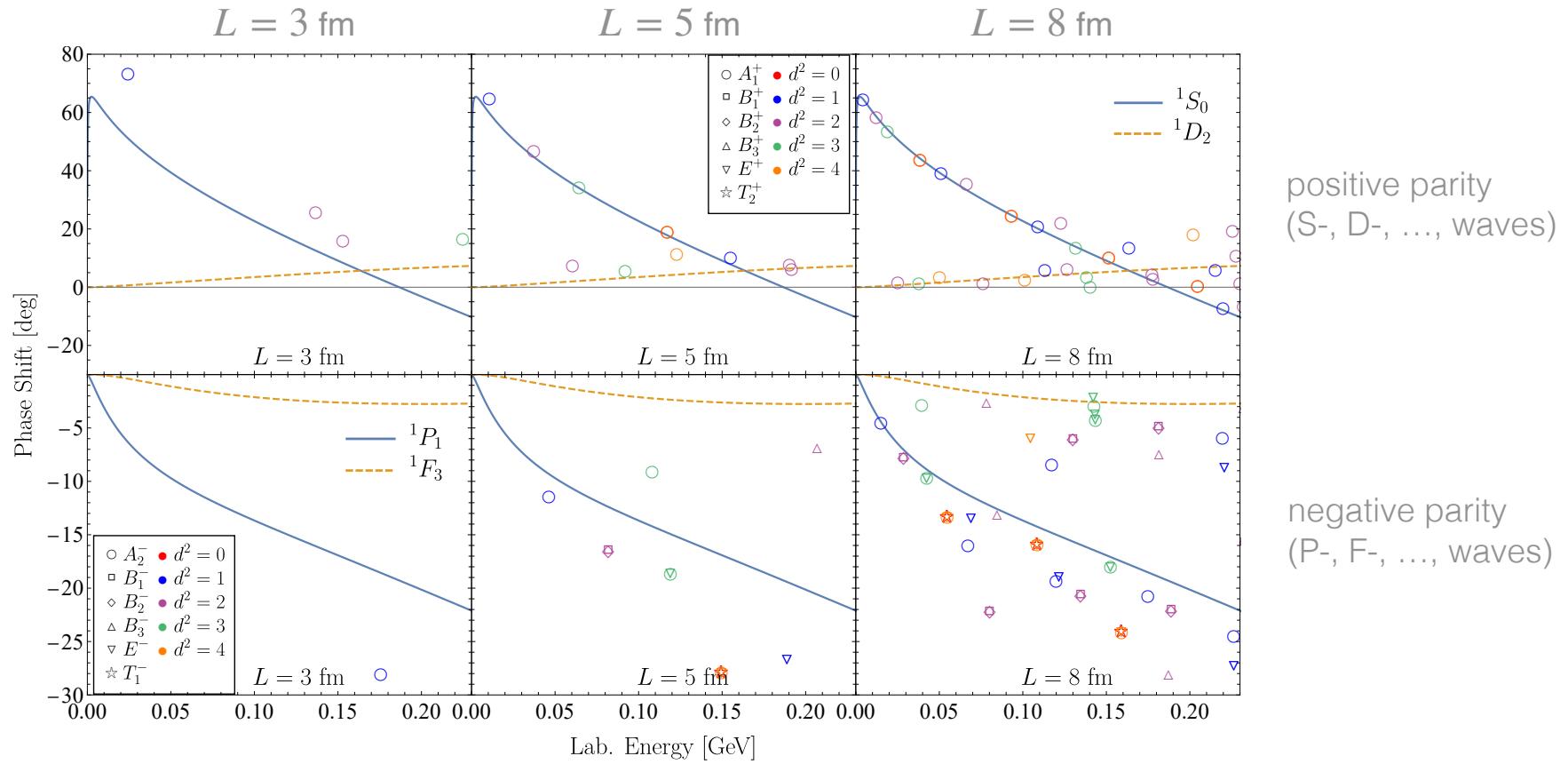
The LECs are chosen to mimic (qualitatively) the behavior of NN phase shifts

Per construction, no partial wave mixing...



Partial-wave mixing effects at the physical M_π

Chiral EFT@N²LO as an example of realistic NN interaction: $V = V_{1\pi} + V_{2\pi} + \text{contact terms}$



- deviations for the smallest box $L = 3 \text{ fm}$ to be expected ($M_\pi L \sim 2$)
- fairly small PW mixing effects for positive-parity states
- surprisingly large PW mixing effects for negative-parity states, no improvement for large L

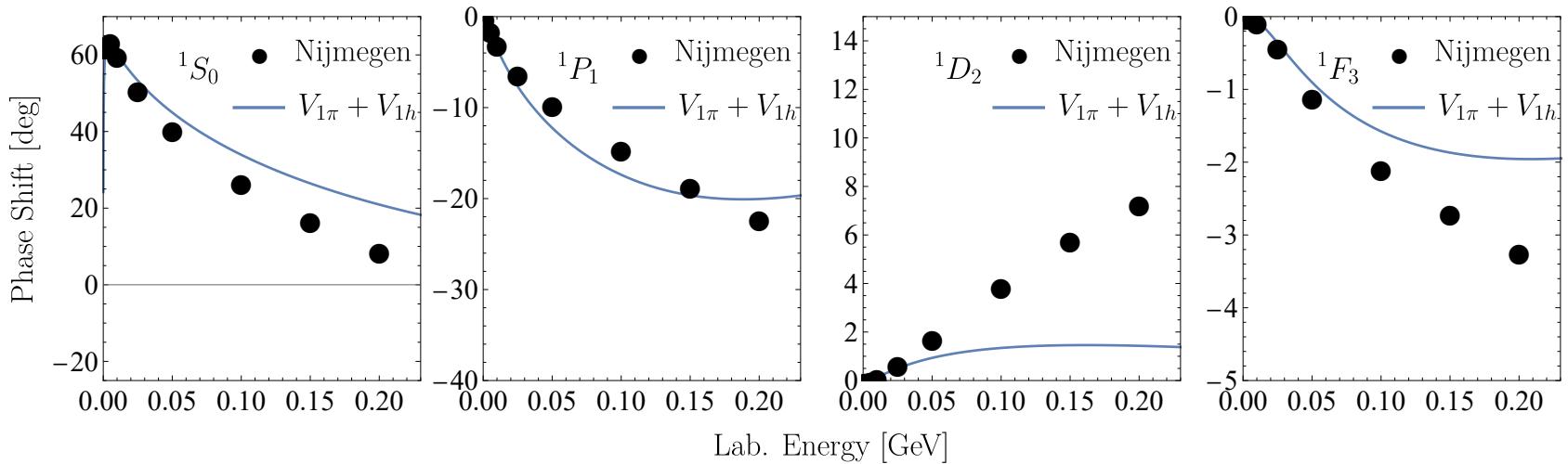
Phase shifts from FV-energies using EFT

- Toy-model for spin-0 NN interaction:

$$V_{\text{toy}} = V_{1\pi} + V_{1h} = \left[- \left(\frac{g_A}{2F_\pi} \right)^2 \frac{M_\pi^2}{\mathbf{q}^2 + M_\pi^2} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2 + (c_{h1} + c_{h2} \boldsymbol{\tau}_1 \cdot \boldsymbol{\tau}_2) \frac{1}{\mathbf{q}^2 + m_h^2} \right] e^{-\frac{p^2+p'^2}{\Lambda^2}}$$

long-range
short-range

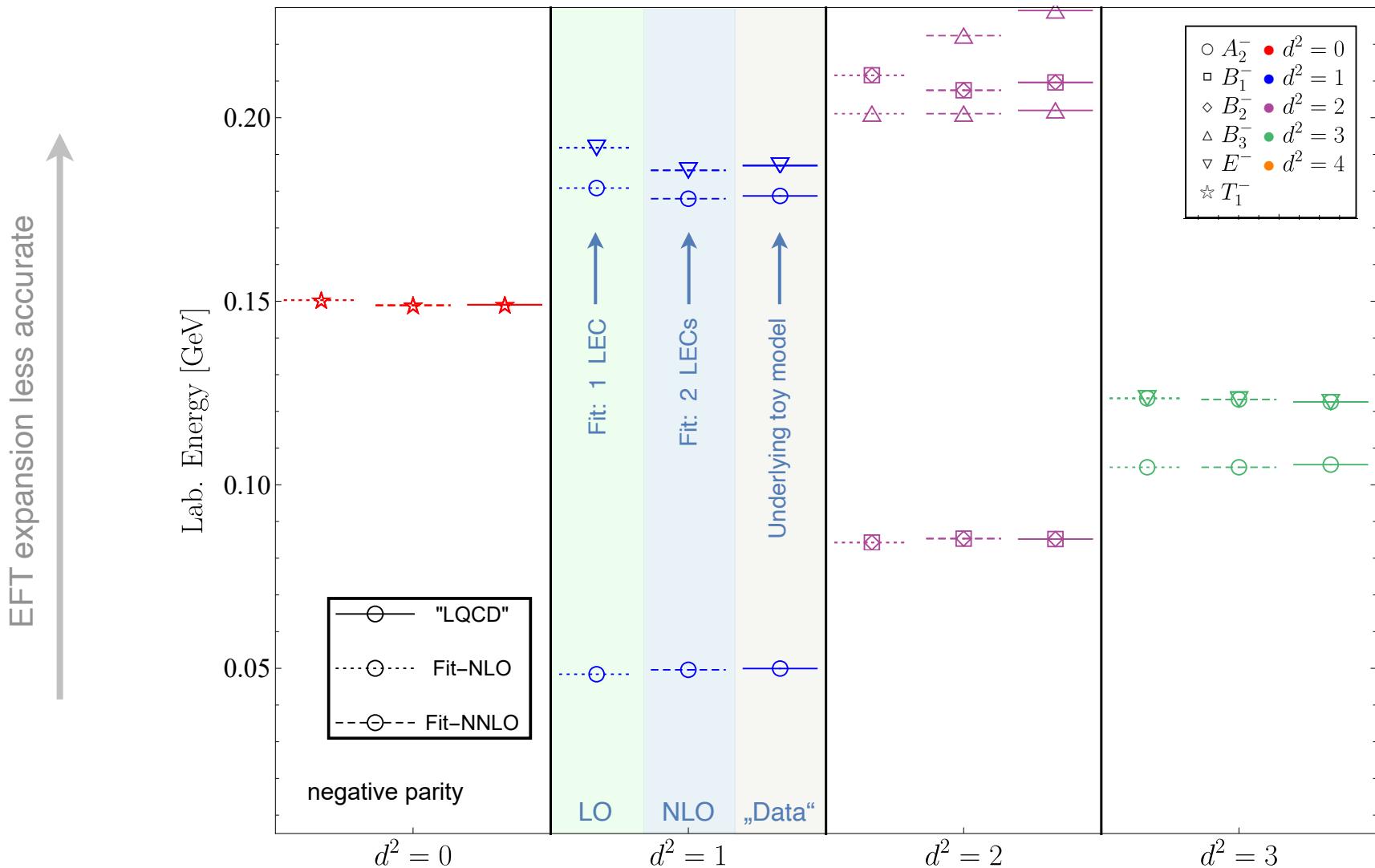
Reproduces (qualitatively) the empirical phase shifts:



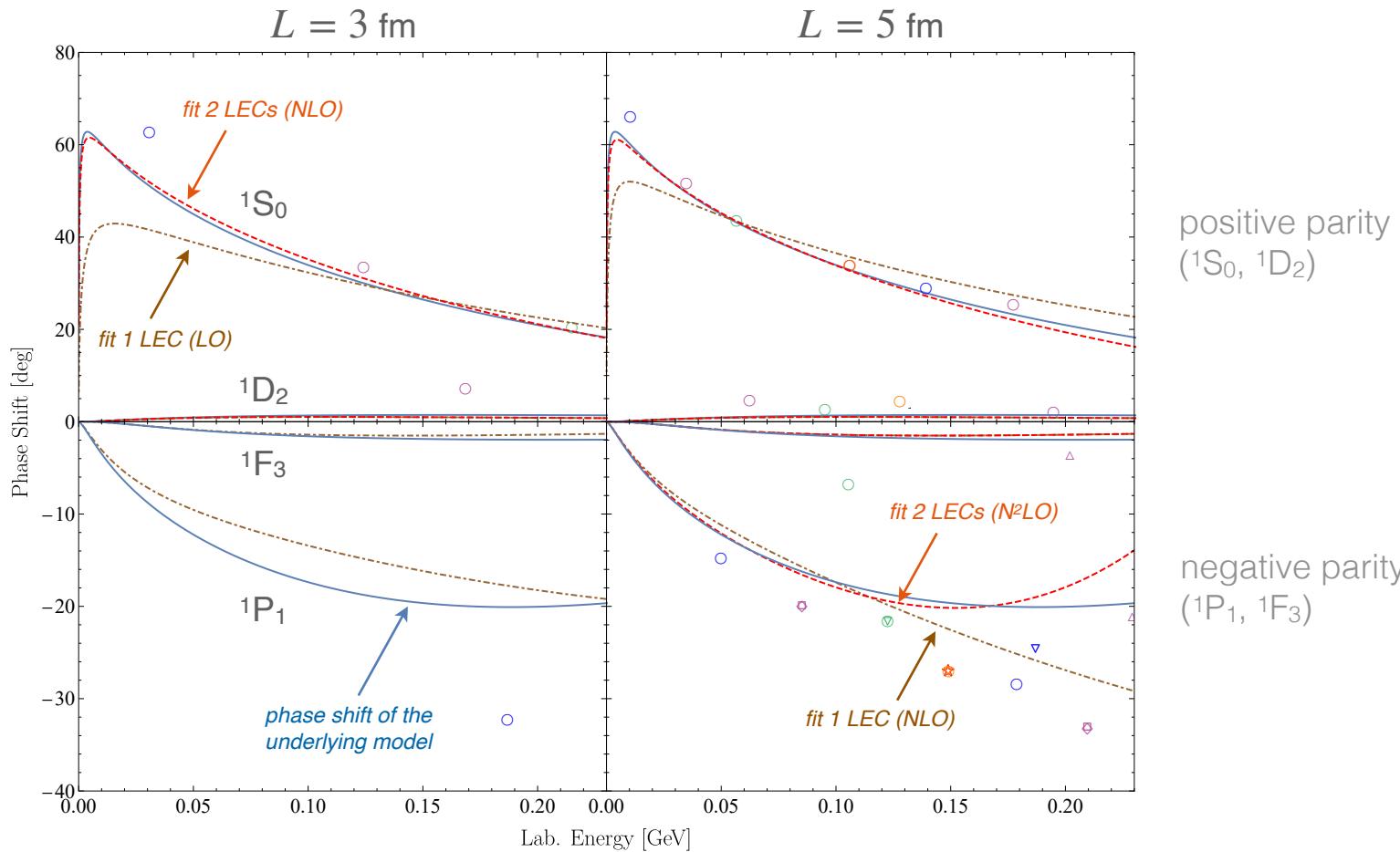
- Effective theory: $V_{\text{EFT}} = V_{1\pi} + \underbrace{V_{\text{cont}}^{(0)}}_{1 \text{ LEC } (S)} + \underbrace{V_{\text{cont}}^{(2)}}_{+2 \text{ LECs } (S,P)} + \underbrace{V_{\text{cont}}^{(4)}}_{+3 \text{ LECs } (S,P,D)} + \dots$
- ← tuned to reproduce the FV energy spectrum

Phase shifts from FV-energies using EFT

Positive parity, $L = 5$ fm



Phase shifts from FV-energies using EFT



- Using an EFT, tuned to FV energies, allows one to avoid complications from PW mixing
- Systematically improvable, good convergence for $p \sim M_\pi$ (as we know from χ EFT for NN)
- Works even for $L = 3 \text{ fm}$ (lattice size actually limited by the number of low-lying states...)

Application 2: $T_{cc}(3875)^+$ from FV energy levels

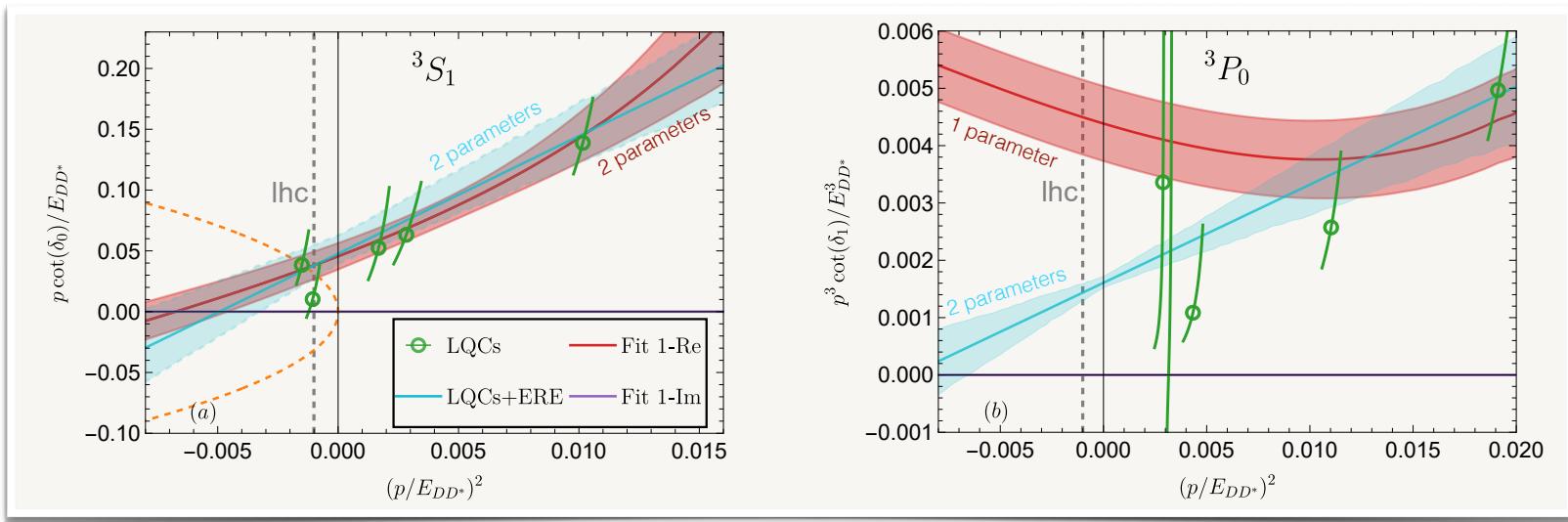
Lu Meng, Vadim Baru, EE, Arseniy Filin, Ashot Gasparian, e-Print: 2312.01930

Goal: Analyze the FV L-QCD data from Padmanath, Prelovsek PRL 129 (22) 032002
with no reliance on the Lüscher method

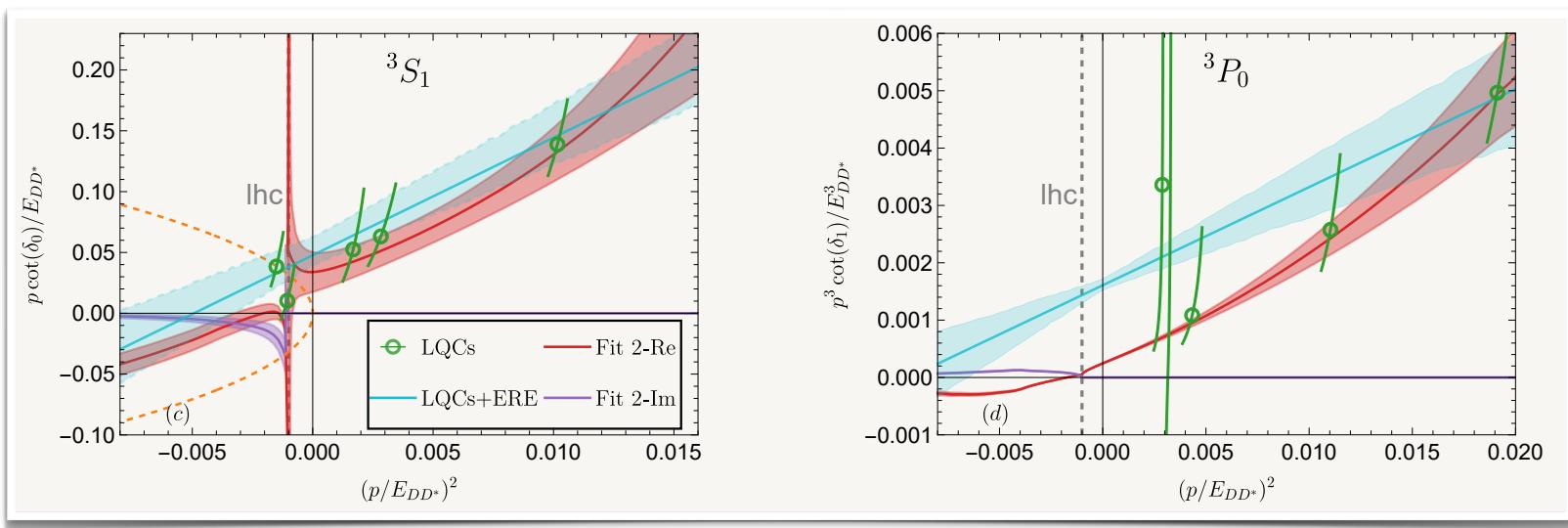
$T_{cc}(3875)^+$ from FV energy levels

Lu Meng, Vadim Baru, EE, Arseniy Filin, Ashot Gasparyan, e-Print: 2312.01930

without inclusion of the LHC



complete (including the LHC)



Summary and conclusions

The knowledge of long-range physics (1π -exchange) allows one to go beyond the ERE when parametrizing $T_l(E)$ and beyond the „exponentially suppressed corrections“ when relating FV energies and 2-body scattering amplitude.

EFT-based Hamiltonian with 1π -exchange + PW basis + matching to FV energy spectra:

- no issues with partial-wave mixing
- no left-hand cut problem
- smaller boxes

First applications have revealed:

- strong partial-wave mixing effects in NN scattering @physical M_π due to $V_{1\pi}$,
the 1-channel Lüscher formula is a no-go
- 1π -exchange plays an important role for analyzing
LQCD data for DD* scattering and will be even
more important for smaller M_π :

$$M_{\text{eff}}^2 = (M_{D^*} - M_D)^2 - M_\pi^2$$

Future: Other systems, 3-body scattering...

