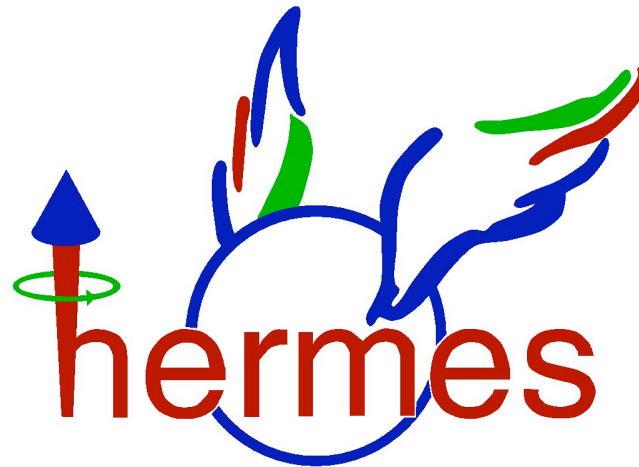


Report from



Contalbrigo Marco
INFN Ferrara

DESY PRC 71 – Open session
April 28, 2011 DESY Hamburg

INCLUSIVE MEASUREMENTS

DIS cross-section and F_2

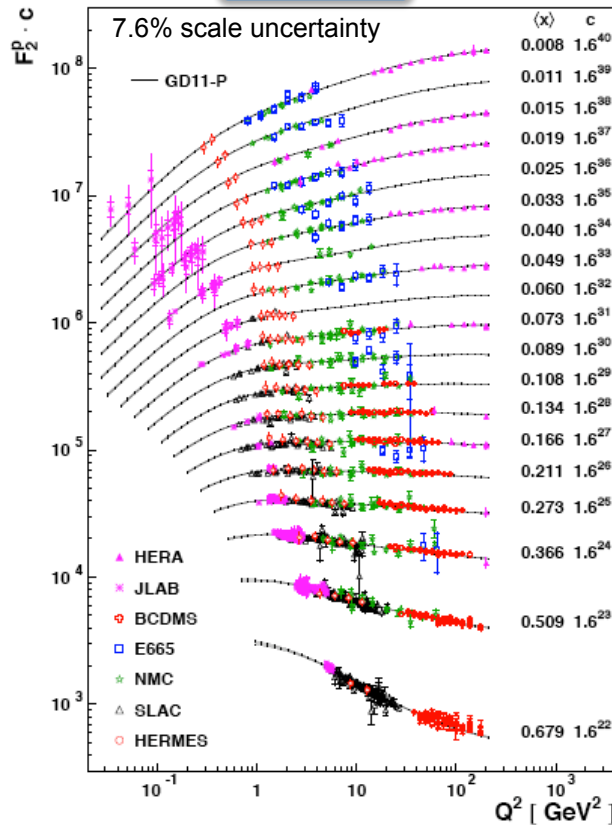
$$\frac{d^2\sigma}{dx dQ^2} = \frac{4\pi\alpha_{em}^2}{Q^4} \frac{F_2(x, Q^2)}{x} \left[1 - y - \frac{Q^2}{4E^2} + \frac{y^2 + Q^2/E^2}{2(1 + R(x, Q^2))} \right]$$

Exploring perturbative to non perturbative regime in an un-measured x - Q^2 region
 $0.006 < x < 0.9$ $0.1 \text{ GeV}^2 < Q^2 < 20 \text{ GeV}^2$

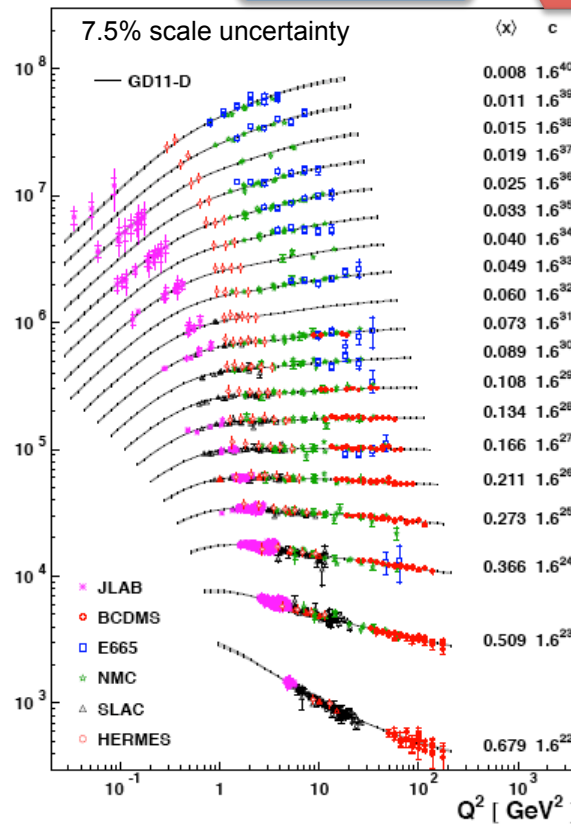
From global fit GD11: HERMES
 relative normalization $\sim 2\%$ for
 P and D and $< 0.5\%$ for the ratio

normalization	value
HERMES	0.996
NMC	0.999
BCDMS	1.010
SLAC	1.003
JLAB	1.000
EMC	0.995

Proton

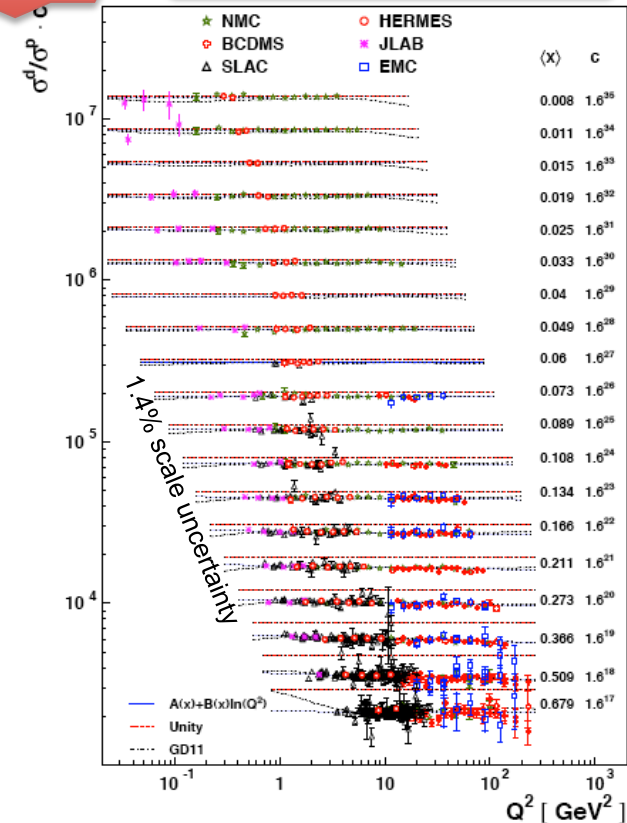


Deuteron



JHEP
 (submitted)

Ratio σ^d/σ^p (F_2^n/F_2^p)

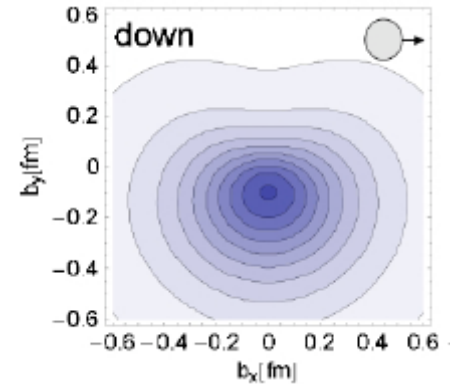
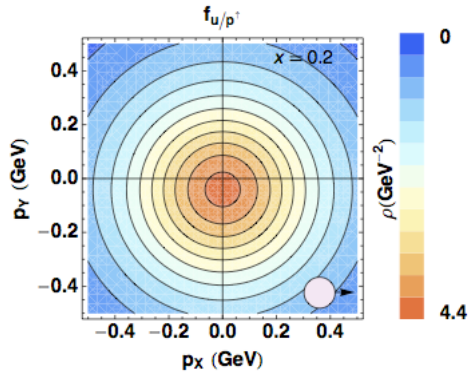


SEMI-INCLUSIVE & EXCLUSIVE

Quantum phase-space distributions of quarks

$W_p^q(x, k_T, r)$ "Mother" Wigner distributions

Probability to find a quark q in a nucleon P with a certain polarization in a position r & momentum k



TMD PDFs: $f_p^u(x, k_T), \dots$

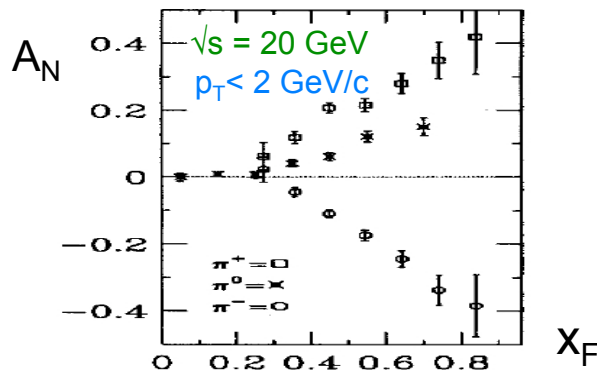
Semi-inclusive measurements
Momentum transfer to quark
Direct info about momentum distribution

May explain SSA

GPDs: $H_p^u(x, \xi, t), \dots$

Exclusive Measurements
Momentum transfer to target
Direct info about spatial distribution

May solve
proton spin puzzle



PDFs $f_p^u(x), \dots$

$$J_q = \frac{1}{2} \Delta \Sigma + L_q = \lim_{t \rightarrow 0} \int_{-1}^1 dx \, x \left[H(x, \xi, t) + E(x, \xi, t) \right]$$

SEMI-INCLUSIVE MEASUREMENTS

Leading Twist TMDs

Quark polarisation

Nucleon polarisation

	U	L	T
U	f_1  <i>Number Density</i>		$h_1^\perp$  -  <i>Boer Mulders</i>
L		g_1  -  <i>Helicity</i>	$h_{1L}^\perp$  -  <i>Worm-gear</i>
T	$f_{1T}^\perp$  -  <i>Sivers</i>	$g_{1T}^\perp$  -  <i>Worm-gear</i>	h_1  -  <i>Transversity</i> $h_{1T}^\perp$  -  <i>Pretzelosity</i>

Indication to be not-zero !
Preliminary result

Consistent with zero
PLB 562 (2003) 182
PRL 84 (2000) 4047

Different from zero !
PRL 94 (2005) 012002
PLB 693 (2010) 11

Consistent with zero
Preliminary result

Different from zero !
PRL 94 (2005) 012002
PRL 103 (2009) 152002

HERMES has access to all of them through specific azimuthal modulations (ϕ , ϕ_S) of the cross-section thanks to the polarized beam and target

Leading Twist TMDs

quark polarisation

nucleon polarisation

N/q	U	L	T
U	f_1  Number Density		$h_1^\perp$  -  Boer-Mulders
L		g_1  -  Helicity	$h_{1L}^\perp$  -  Worm-gear
T	$f_{1T}^\perp$  -  Sivers	$g_{1T}^\perp$  -  Worm-gear	h_1  -  Transversity $h_{1T}^\perp$  - 

Diagonal elements:

Survive transverse momentum integration
(A collinear analysis is possible)

Multidimensional approach to investigate
factorization and transverse momentum dependence

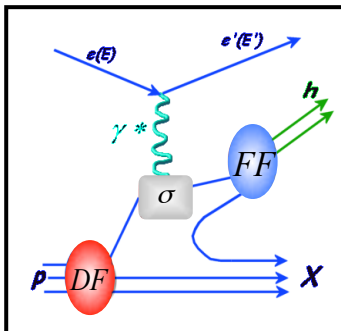
Off-diagonal elements:

Interference between wave functions with different
angular momenta: contains information about parton
orbital angular motion and spin-orbit effects

$$f_1 \otimes D_1$$

$$g_{1L} \otimes D_1$$

$$\frac{d^6\sigma}{dx dy dz d\phi_S d\phi dP_{h\perp}^2} \stackrel{\text{Leading Twist}}{\propto} \left\{ F_{UU,T} + \varepsilon \cos 2\phi F_{UU}^{\cos 2\phi} \right\} + S_{\parallel} \lambda_e \left\{ \sqrt{1-\varepsilon^2} F_{LL} \right\} +$$



$$+ |S_{\perp}| \lambda_e \left\{ \sqrt{1-\varepsilon^2} \cos(\phi - \phi_S) F_{LT}^{\cos(\phi - \phi_S)} \right\} + \dots$$

$$g_{1T}^\perp \otimes D_1$$

Charged-hadron multiplicities

LO interpretation:

$$\sigma_{UU} \propto f_1 \otimes D_1$$

$$M_N^h = \frac{1}{N_N^{DIS}(Q^2)} \frac{dN_N^h(z, Q^2)}{dz} = \frac{\sum_q e_q^2 \int dx f_{1q}(x, Q^2) D_{1q}^h(z, Q^2)}{\sum_q e_q^2 \int dx f_{1q}(x, Q^2)}$$

Disagreement for negative hadrons

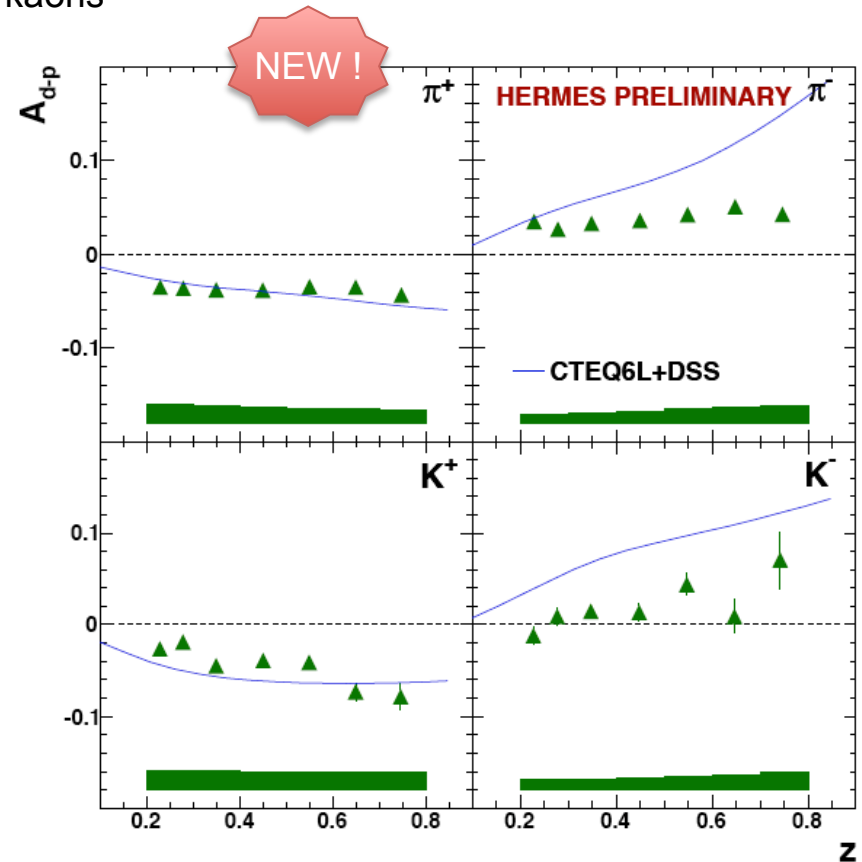
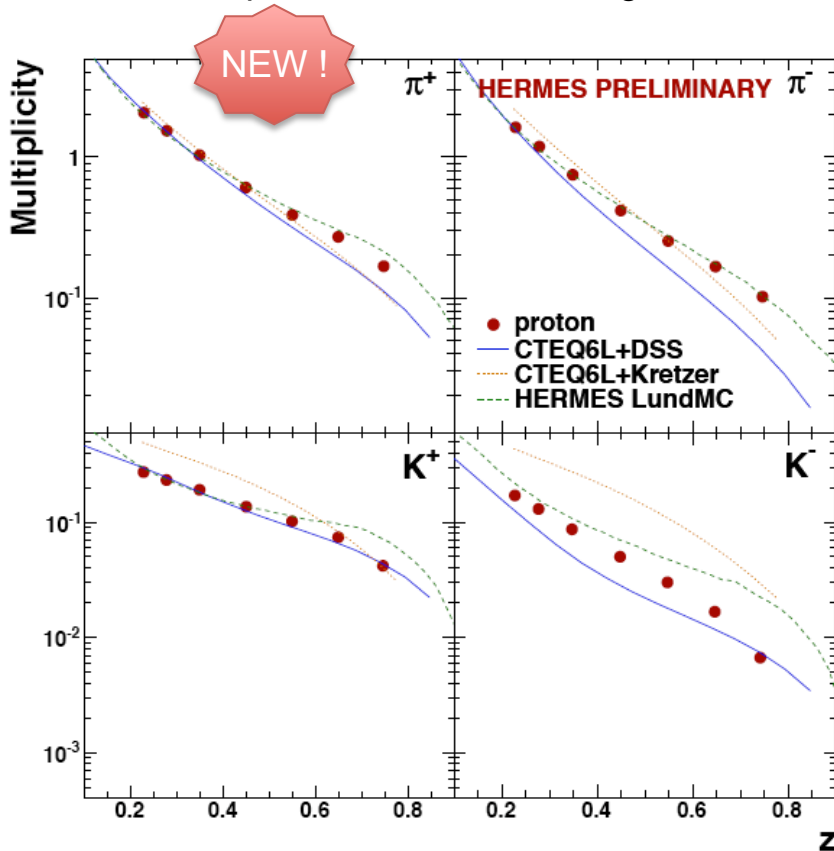
SIDIS data important to constrain fragmentation into kaons

Proton-deuteron asymmetry:

$$A_{d-p}^h \equiv \frac{M_d^h - M_p^h}{M_d^h + M_p^h}$$

Reflects different flavor content

Correlated systematics cancels

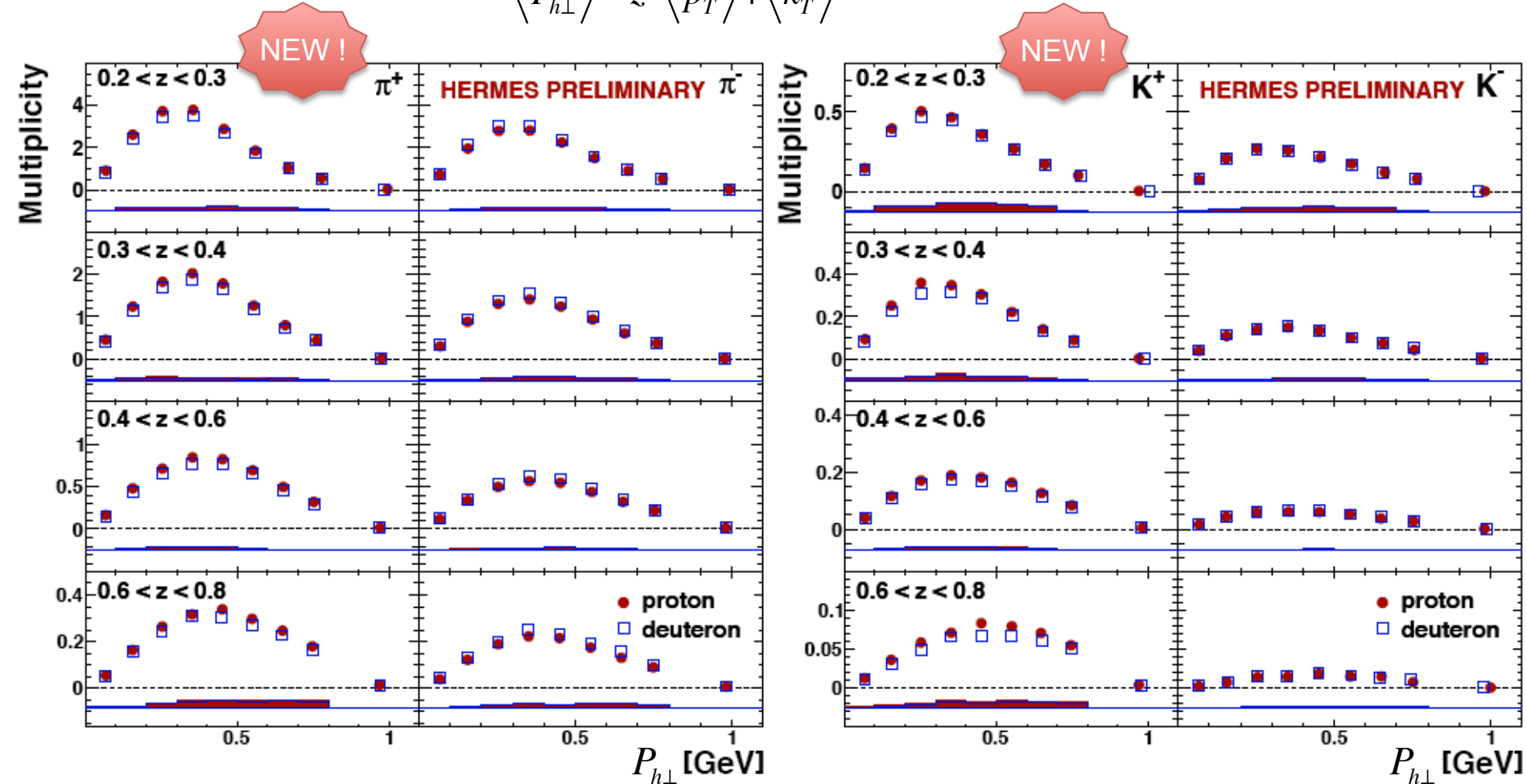


Charged-hadron multiplicities

- Disentanglement of z and $P_{h\perp}$
- Access to the transverse intrinsic quark p_T and fragmentation k_T

$$\sigma_{UU} \propto f_1 \otimes D_1$$

$$\langle P_{h\perp}^2 \rangle = z^2 \langle p_T^2 \rangle + \langle k_T^2 \rangle$$



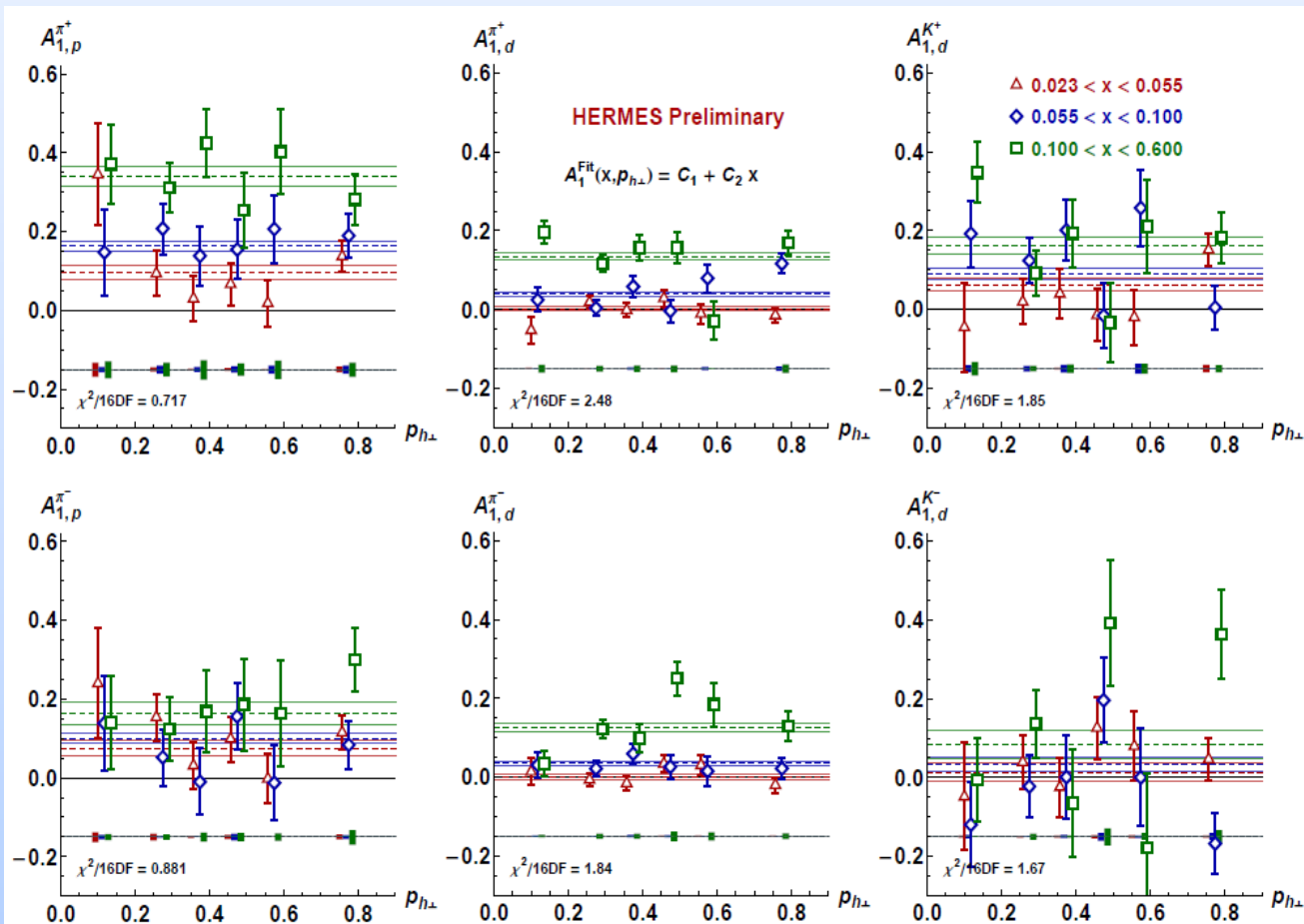
A_1 double-spin asymmetry

Refined studies extending the standard approach
published in *Phys. Rev. Lett.* 92 (2004) 012005

$$\sigma_{LL} \propto g_{1L} \otimes D_1$$

$A_1(x, P_{h\perp})$

2D - dependence



Sensitive to differences
in transverse momentum
dependence of g_1 and f_1

No significant $P_{h\perp}$
dependence observed

A₁ double-spin asymmetry

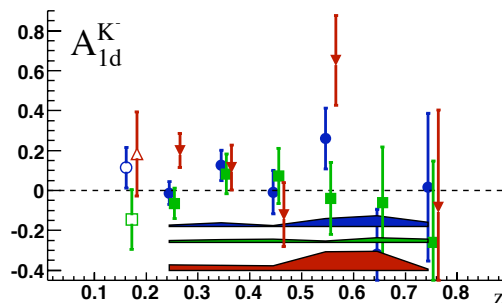
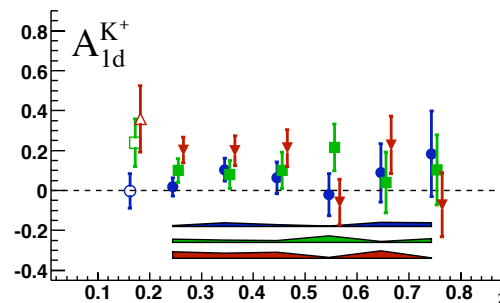
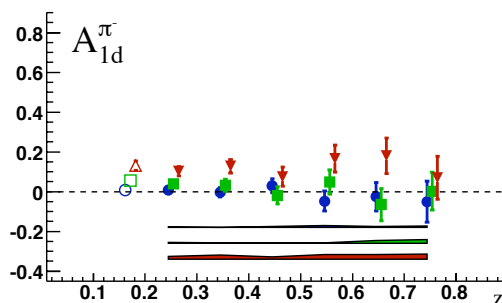
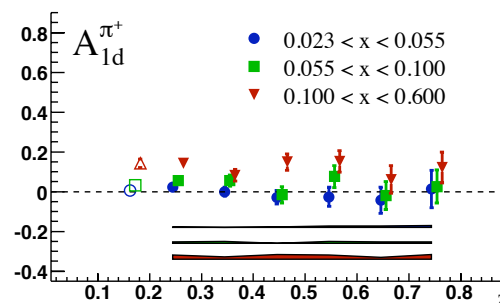
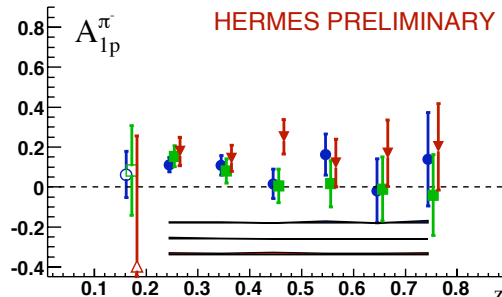
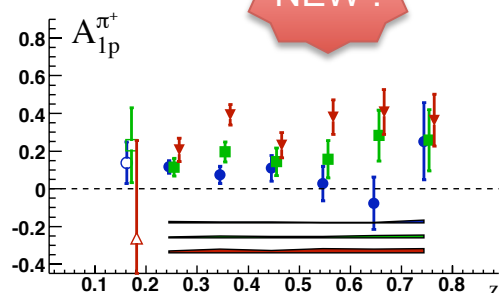
Refined studies extending the standard approach
published in *Phys. Rev. Lett.* 92 (2004) 012005

$$\sigma_{LL} \propto g_{1L} \otimes D_1$$

A₁(x, z)

2D - dependence

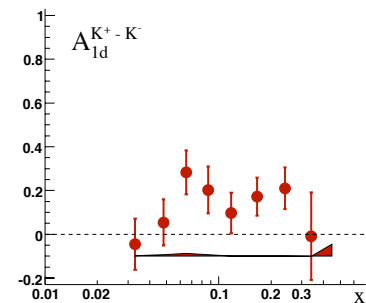
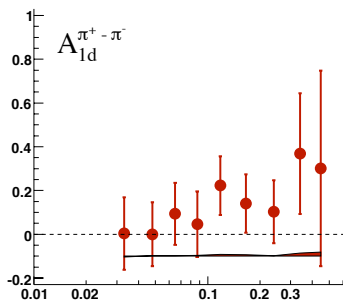
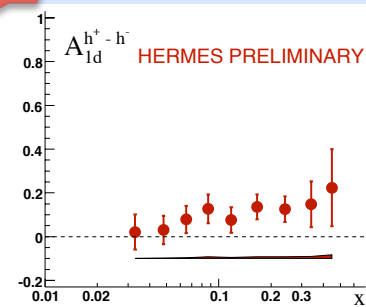
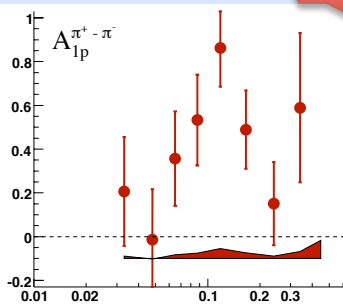
NEW !



With charge conjugation symmetry in fragmentation $D_q^{h^+} = D_{\bar{q}}^{h^-}$

$$A_{1d}^{h^+ - h^-}(x) = \frac{\Delta u_v + \Delta d_v}{u_v + d_v}(x)$$

NEW !



Leading Twist TMDs

quark polarisation

nucleon polarisation

N/q	U	L	T
U	f_1  Number Density		$h_1^\perp$  -  Boer-Mulders
L		g_1  -  Helicity	$h_{1L}^\perp$  -  Worm-gear
T	$f_{1T}^\perp$  -  Sivers	$g_{1T}^\perp$  -  Worm-gear	h_1  -  Transversity $h_{1T}^\perp$  - 

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Survive transverse momentum integration
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Multidimensional approach to investigate
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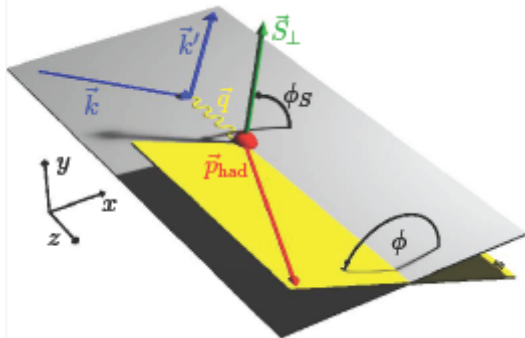
Off-diagonal elements:

Interference between wave functions with different
angular momenta: contains information about parton
orbital angular motion and spin-orbit effects

$$f_1 \otimes D_1$$

$$g_{1L} \otimes D_1$$

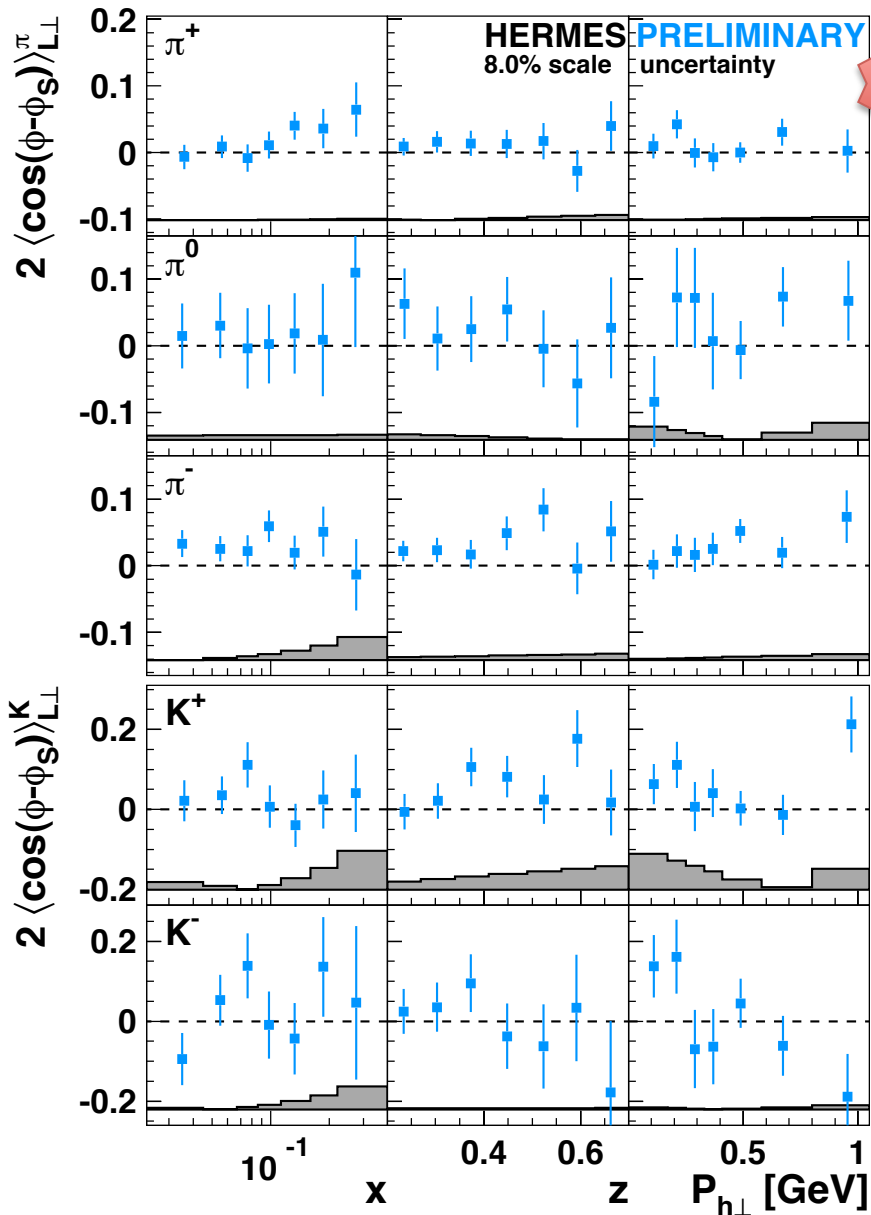
$$\frac{d^6\sigma}{dx dy dz d\phi_S d\phi dP_{h\perp}^2} \stackrel{\text{Leading Twist}}{\propto} \left\{ F_{UU,T} + \varepsilon \cos 2\phi F_{UU}^{\cos 2\phi} \right\} + S_{\parallel} \lambda_e \left\{ \sqrt{1-\varepsilon^2} F_{LL} \right\} +$$



$$+ |S_{\perp}| \lambda_e \left\{ \sqrt{1-\varepsilon^2} \cos(\phi - \phi_S) F_{LT}^{\cos(\phi - \phi_S)} \right\} + \dots$$

$$g_{1T}^\perp \otimes D_1$$

New TMD measurement



$$\sigma_{LT}^{\cos(\phi-\phi_s)} \propto g_{1T}^\perp \otimes D_1$$

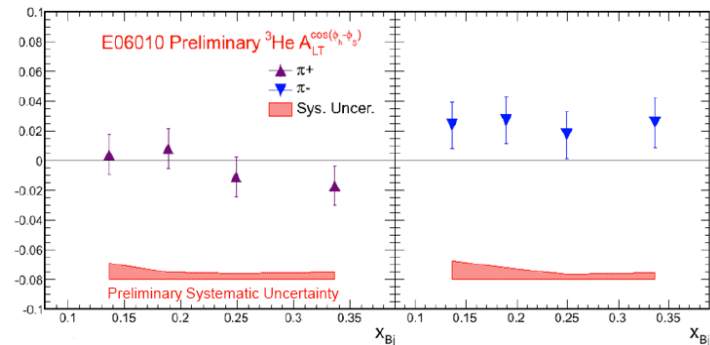
Worm-gear function: longitudinally polarized quarks in a transversely polarized nucleon

Related to parton orbital motion: requires interference between wave functions with OAM difference by 1 unit

$$g_{1T}^\perp = -h_{1L}^\perp \quad (\text{supported by many models})$$

$$g_{1T}^\perp(x) \stackrel{WW\text{-type}}{\approx} x \int_0^1 \frac{dy}{y} g_1(y) \quad (\text{Wandzura-Wilczek type approximation})$$

Jlab (Hall-A) result on ^3He



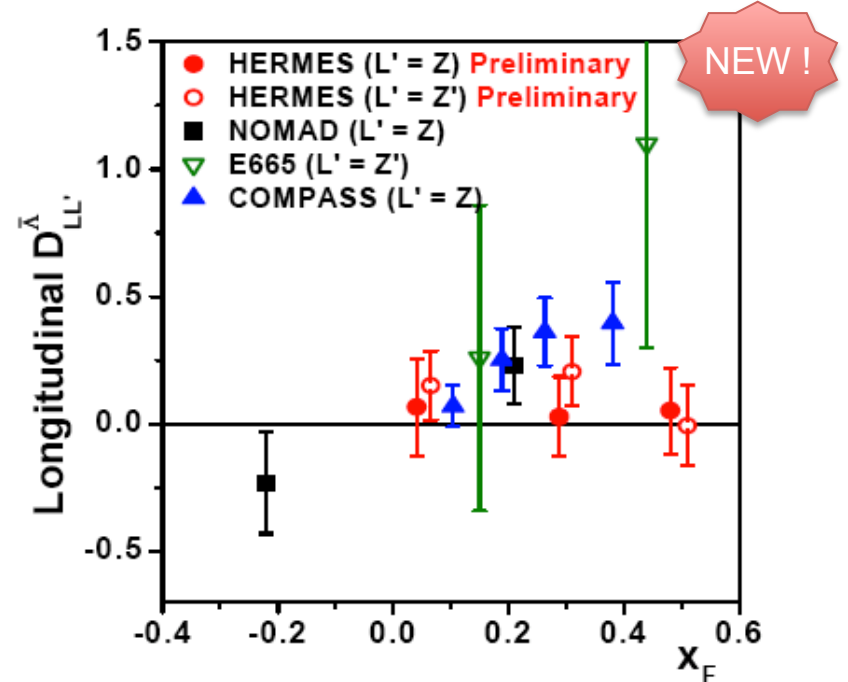
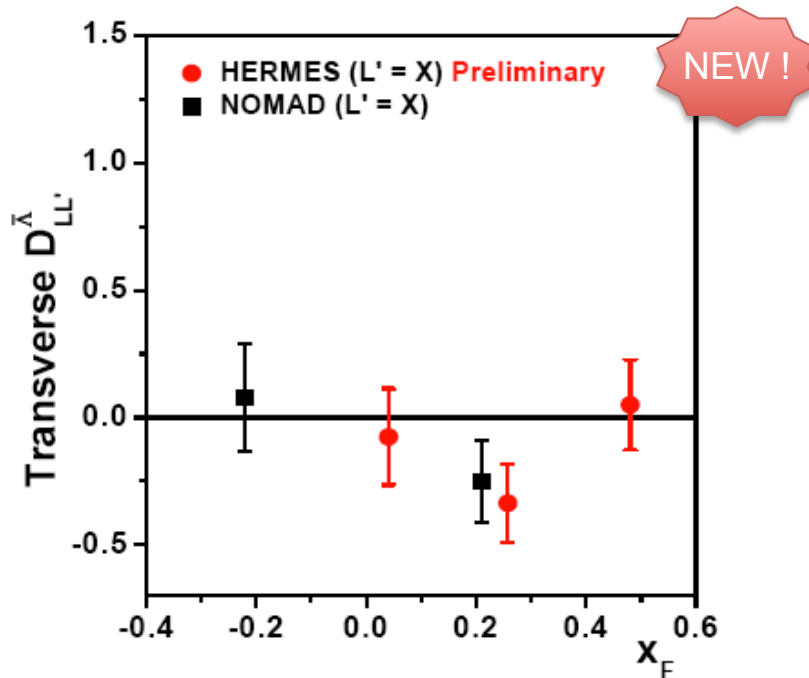
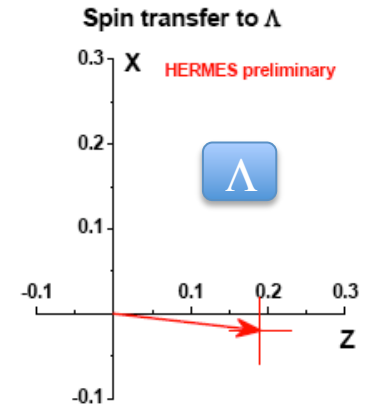
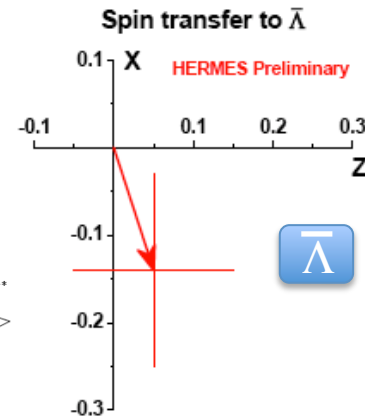
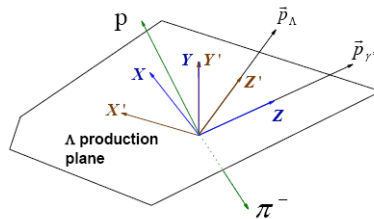
Anti-Lambda longitudinal spin transfer

$$\gamma^* N \rightarrow \bar{\Lambda} X$$

$$\sigma_{LL} \propto f_1 \otimes G_{1L}$$

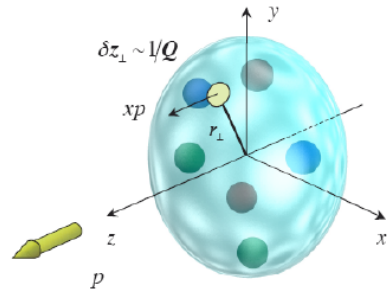
Parity violating decay : proton preferentially emitted along anti-Lambda spin $\alpha_{\bar{\Lambda}} = -0.642 \pm 0.013$

$$\frac{dN}{d\Omega_p} = \frac{dN_0}{d\Omega_p} \left(1 + \alpha_{\bar{\Lambda}} P_B \sum_{i=x,y,z} D_{Li}^{\Lambda} \cos\theta_i \right)$$



EXCLUSIVE MEASUREMENTS

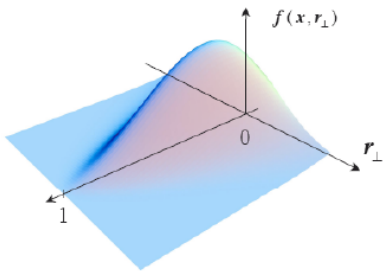
Generalized parton distributions



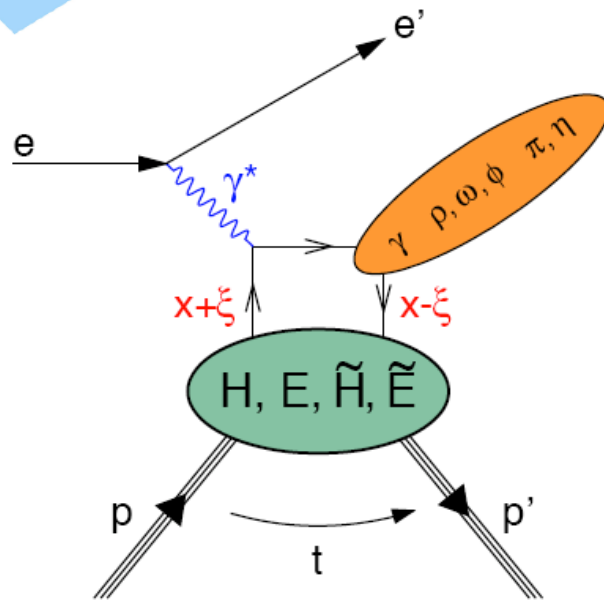
Encompass parton distributions and form factors

longitudinal momentum and transverse spatial position
correlated information

Access OAM $L_q = J_q - \frac{1}{2} \Delta \Sigma$ via Ji sum rule



$$\mathcal{J}_q = \lim_{t \rightarrow 0} \int_{-1}^1 dx x [H_q(x, \xi, t) + E_q(x, \xi, t)]$$



- Sensitivity of different final states to different GPDs
- For spin-1/2 target 4 chiral-even leading-twist quark GPDs: $H, E, \tilde{H}, \tilde{E}$
- $H, \tilde{H}$ conserve nucleon helicity, $E, \tilde{E}$ involve nucleon helicity flip
- DVCS (γ) $\rightarrow H, E, \tilde{H}, \tilde{E}$
- Vector mesons (ρ, ω, ϕ) $\rightarrow H, E$
- Pseudoscalar mesons (π, η) $\rightarrow \tilde{H}, \tilde{E}$

Hard Exclusive ρ^0 Meson Production

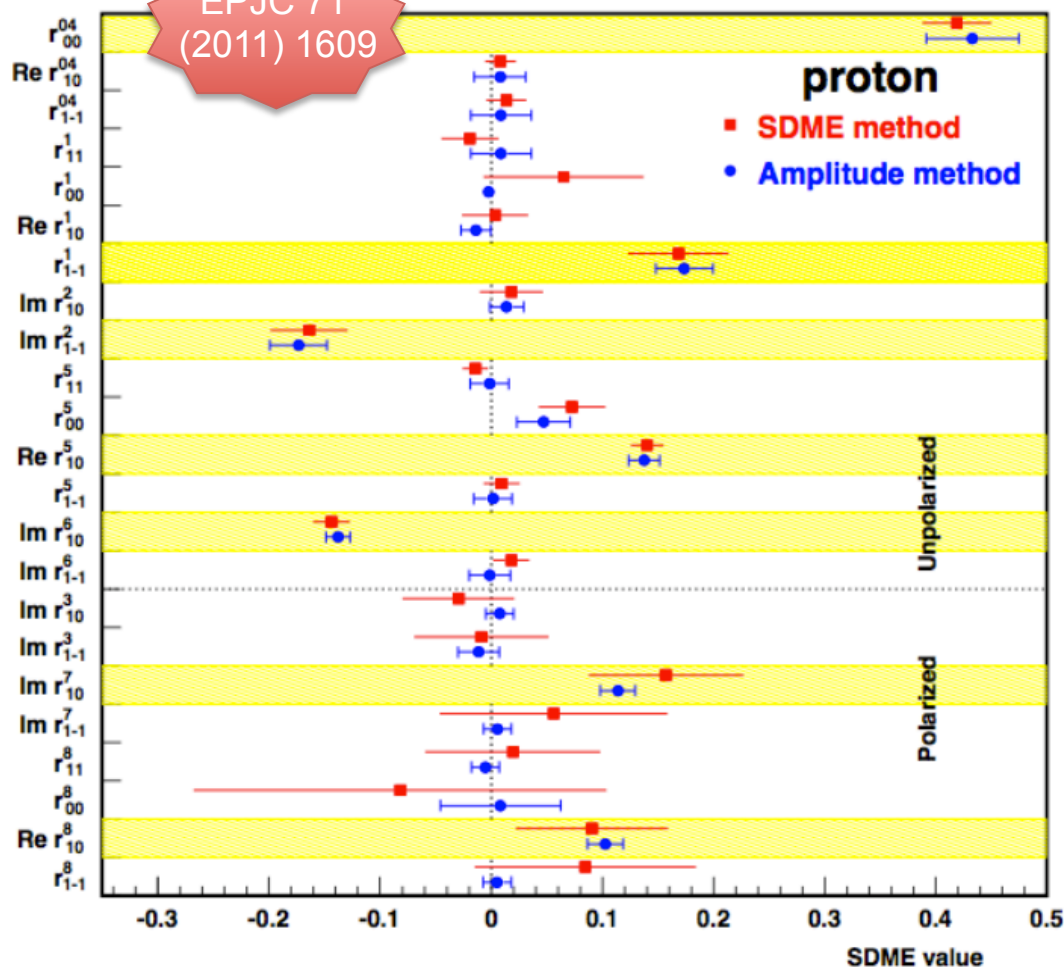
Meson SDMEs

EPJC 62 (2009) 659-694

Photon SDMEs

$$r_{\lambda_V \mu_V}^\eta = \frac{1}{2N} \sum_{\lambda_\gamma \mu_\gamma \lambda'_N \lambda_N} F_{\lambda_V \lambda'_N \lambda_\gamma \lambda_N} \Sigma_{\lambda_\gamma \mu_\gamma}^\eta F_{\mu_V \lambda'_N \mu_\gamma \lambda_N}^*$$

EPJC 71
(2011) 1609



Helicity Amplitudes

$$F_{\lambda_V \lambda_\gamma} = T_{\lambda_V \lambda_\gamma} + U_{\lambda_V \lambda_\gamma}$$

They form a basis for the SDMEs

Re-derived SDMEs consistent with published ones
[A. Airapetian et al. EPJC 62 (2009) 659]

Enhanced sensitivity for polarized SDMEs

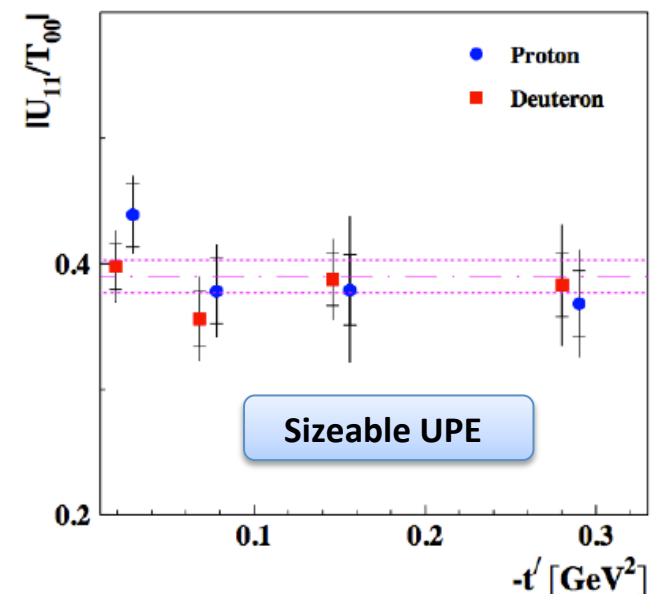
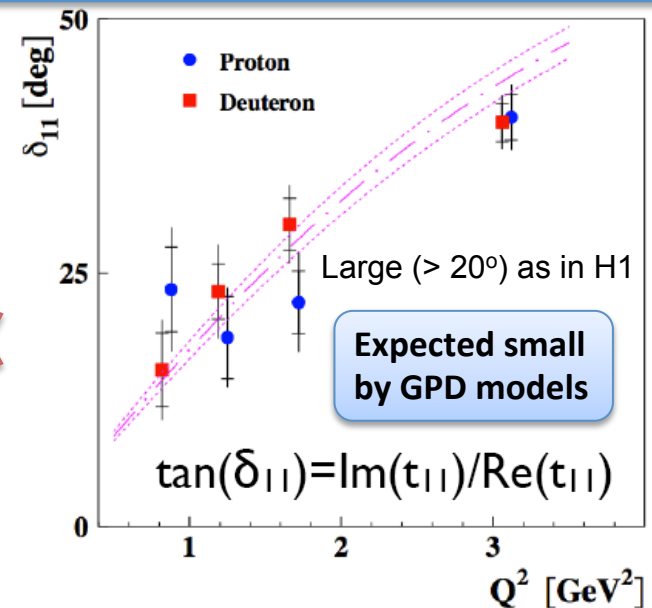
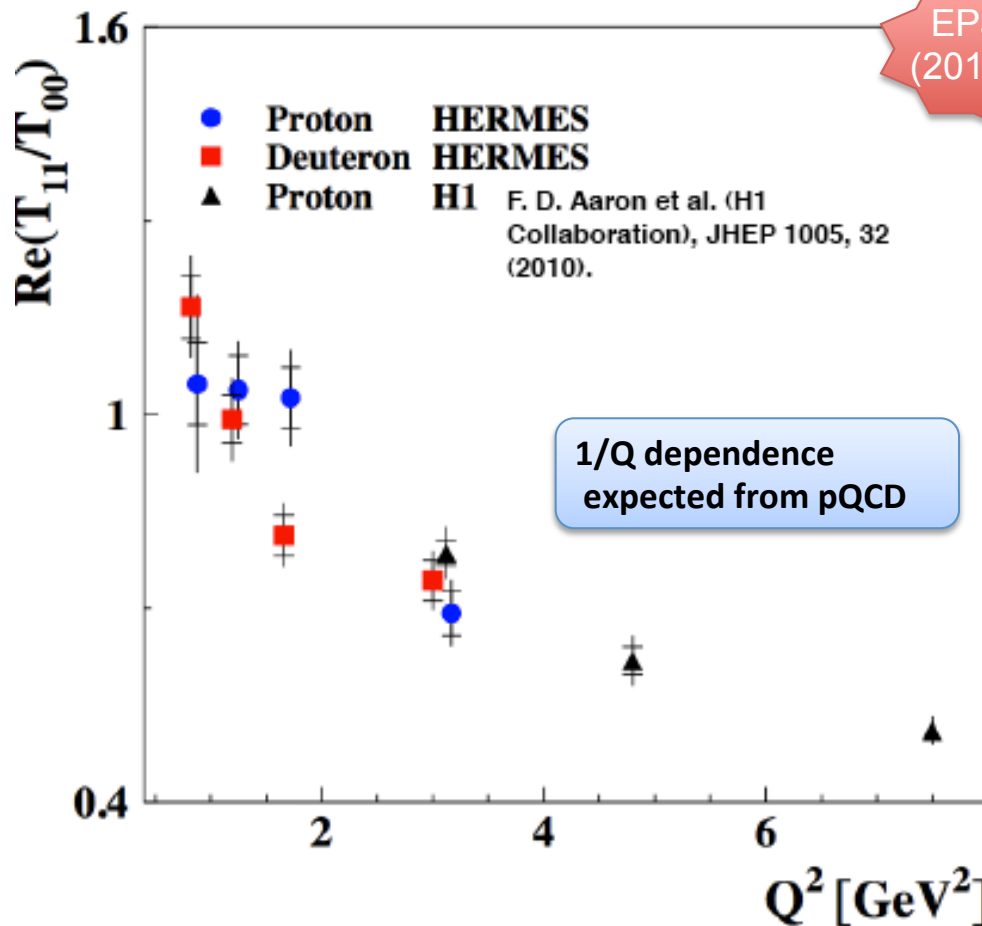
Helicity amplitudes are the fundamental quantities to be compared with theory

Hard Exclusive Meson Production

Hierarchy predicted by theory, confirmed by HERMES

$$|T_{00}|^2 \approx |T_{11}|^2 \gg |U_{11}|^2 > |T_{01}|^2 \gg |T_{10}|^2 \dots$$

[D. Yu. Ivanov and R. Kirschner,
Phys. Rev. D 58, 114026 \(1998\)](#)

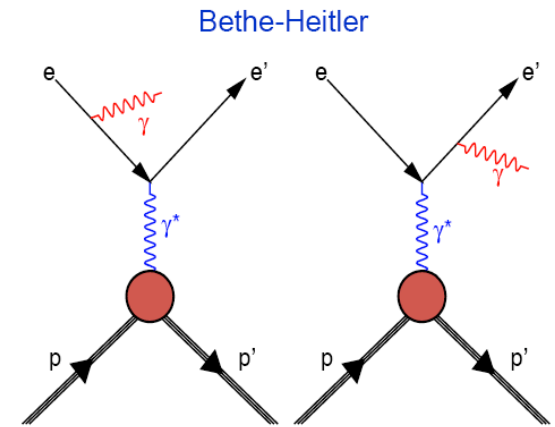
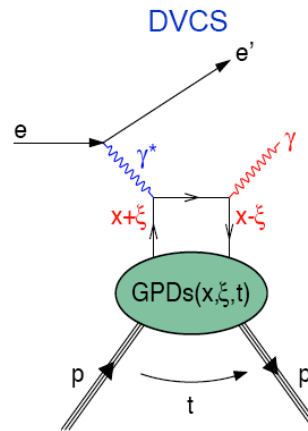


Deeply Virtual Compton scattering

Theoretically cleanest
way to access GPDs

@ HERMES:

Large BH amplitude enhances
DVCS signal via interference



Complete set of beam helicity, beam charge, target polarization asymmetries

DVCS A_{LT} Azimuthal Asymmetry

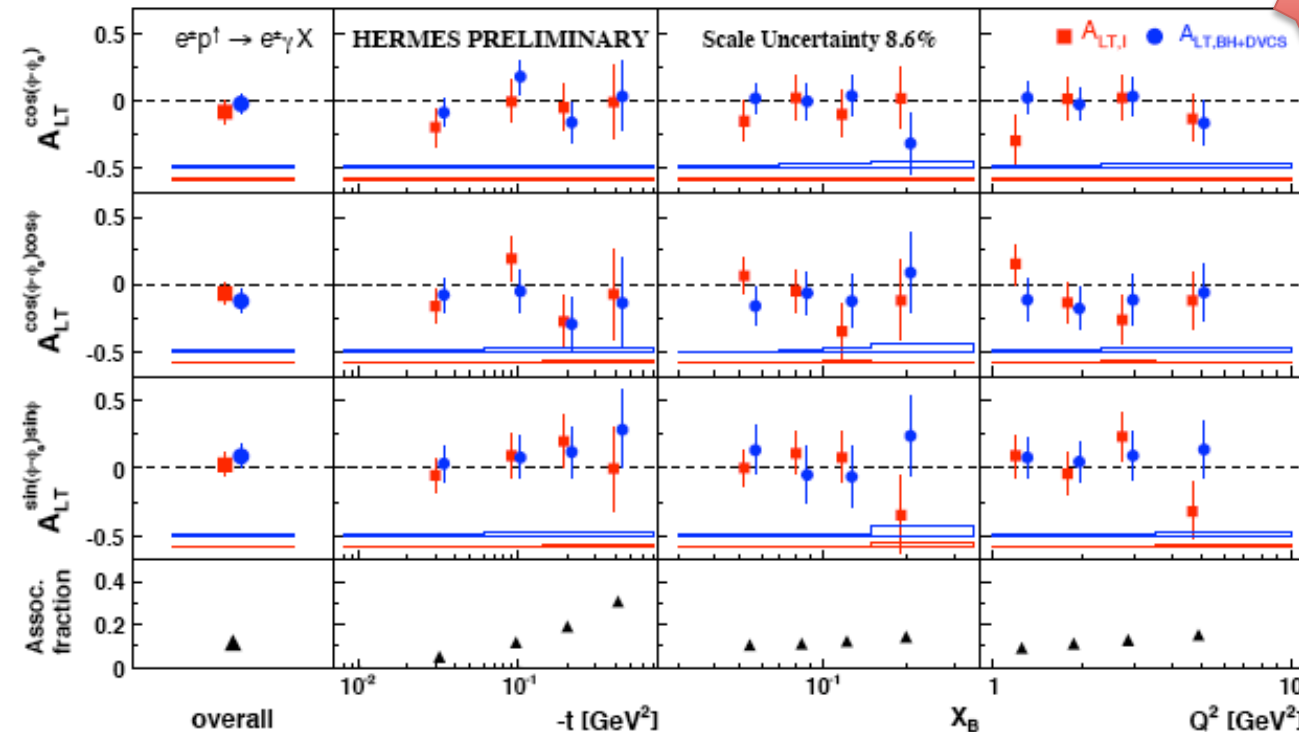
Beam charge

Beam polarization

Target polarization

$$\langle \mathcal{N}(e_l, P_l, S_t, \phi, \phi_S) \rangle \propto \sigma_{UU}(\phi) [1 + \dots + P_l S_t A_{LT}^{BH+DVCS} + e_l P_l S_t A_{LT}^I]$$

NEW!



$$\propto A_{LT}^{\cos(\phi-\phi_S) \cos(\phi)}$$

$$\propto \frac{\text{Re}[F_2 \tilde{\mathcal{H}} - (F_1 + \xi F_2) \tilde{\mathcal{E}}]}{\text{Re}[\mathcal{H} \mathcal{E}^* - \mathcal{E} \mathcal{H}^* - \xi(\tilde{\mathcal{H}} \tilde{\mathcal{E}}^* - \tilde{\mathcal{E}} \tilde{\mathcal{H}}^*)]}$$

$$\propto \frac{\text{Re}[F_2 \mathcal{H} - F_1 \mathcal{E}]}{\text{Re}[-\tilde{\mathcal{H}} \mathcal{E}^* - \tilde{\mathcal{H}}^* \mathcal{E} + \xi(\mathcal{H} \tilde{\mathcal{E}}^* + \tilde{\mathcal{E}} \mathcal{H}^*)]}$$

Sensitive to the GPDs entering the Ji sum rule

Consistent with zero

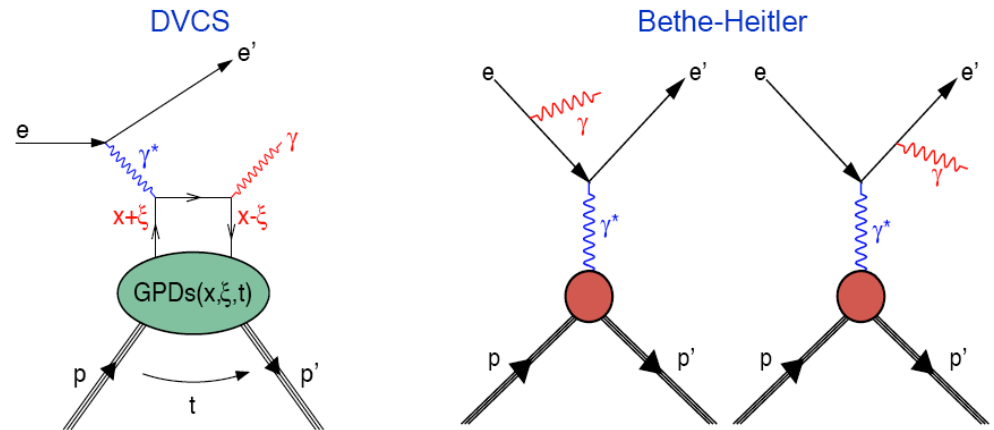
Sensitivity to J_u is suppressed by kinematic factors

Deeply virtual Compton scattering

Theoretically cleanest way to access GPDs

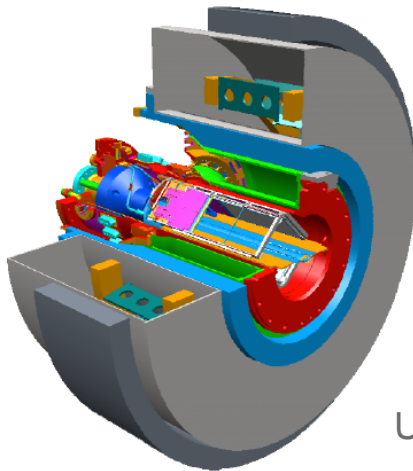
@ HERMES:

Large BH amplitude enhances DVCS signal via interference



Complete set of beam helicity, beam charge, target polarization asymmetries

Recoil detector to tag exclusivity



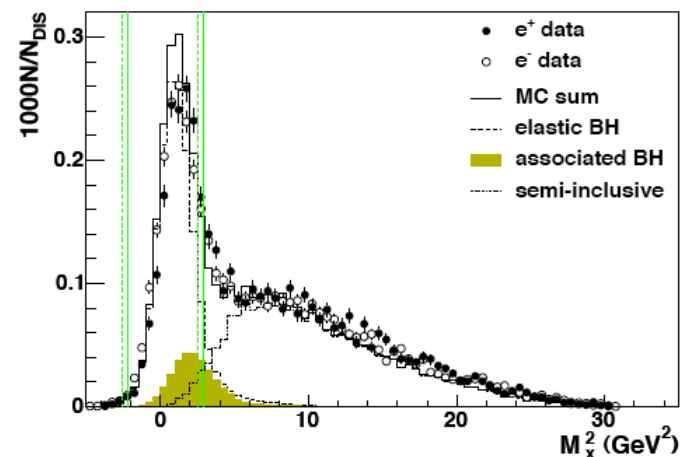
1T SC Solenoid

Photon Detector

Scintillating Fiber Tracker

Silicon Strip Detector

Unpolarized H and D targets

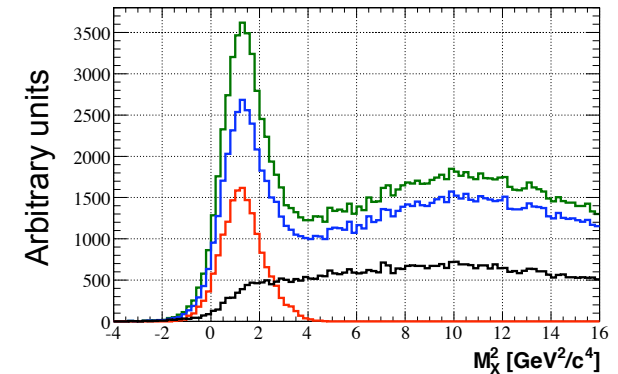
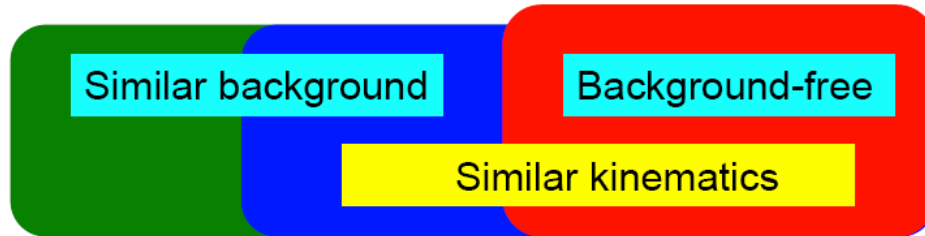


The recoil detector

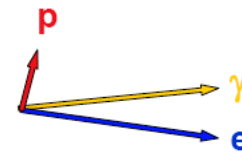
Without Recoil Detector

In Recoil Detector acceptance

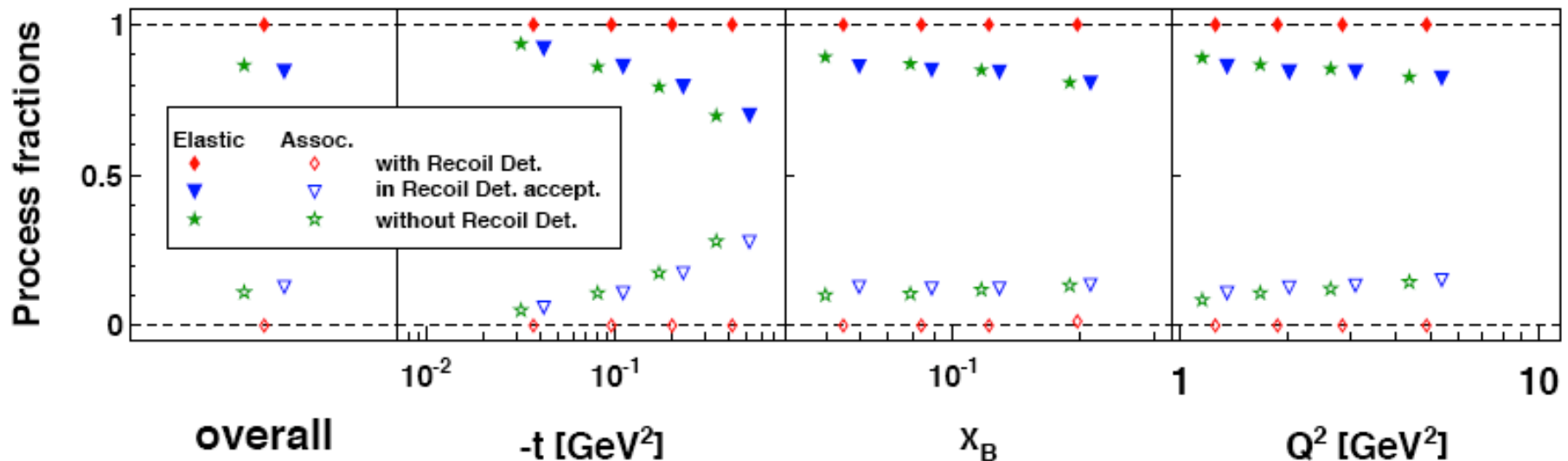
With Recoil Detector



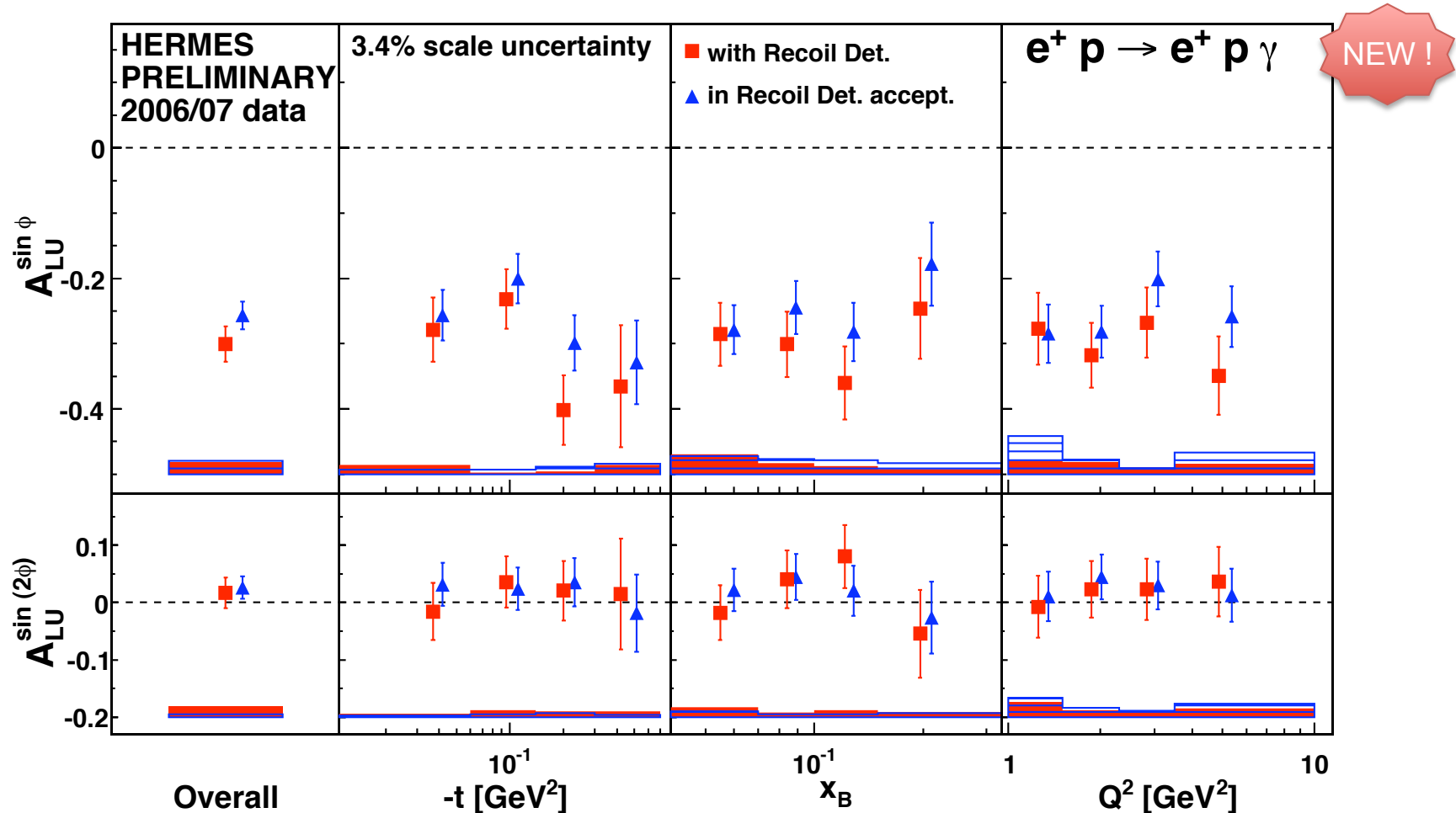
Kinematic event fitting technique: all 3 particles in the final state detected should satisfy 4-constraints on energy-momentum conservation



- No requirement for Recoil
- Charged recoil track in acceptance
- Kinematic fit probability > 1 %
- Kinematic fit probability < 1 %



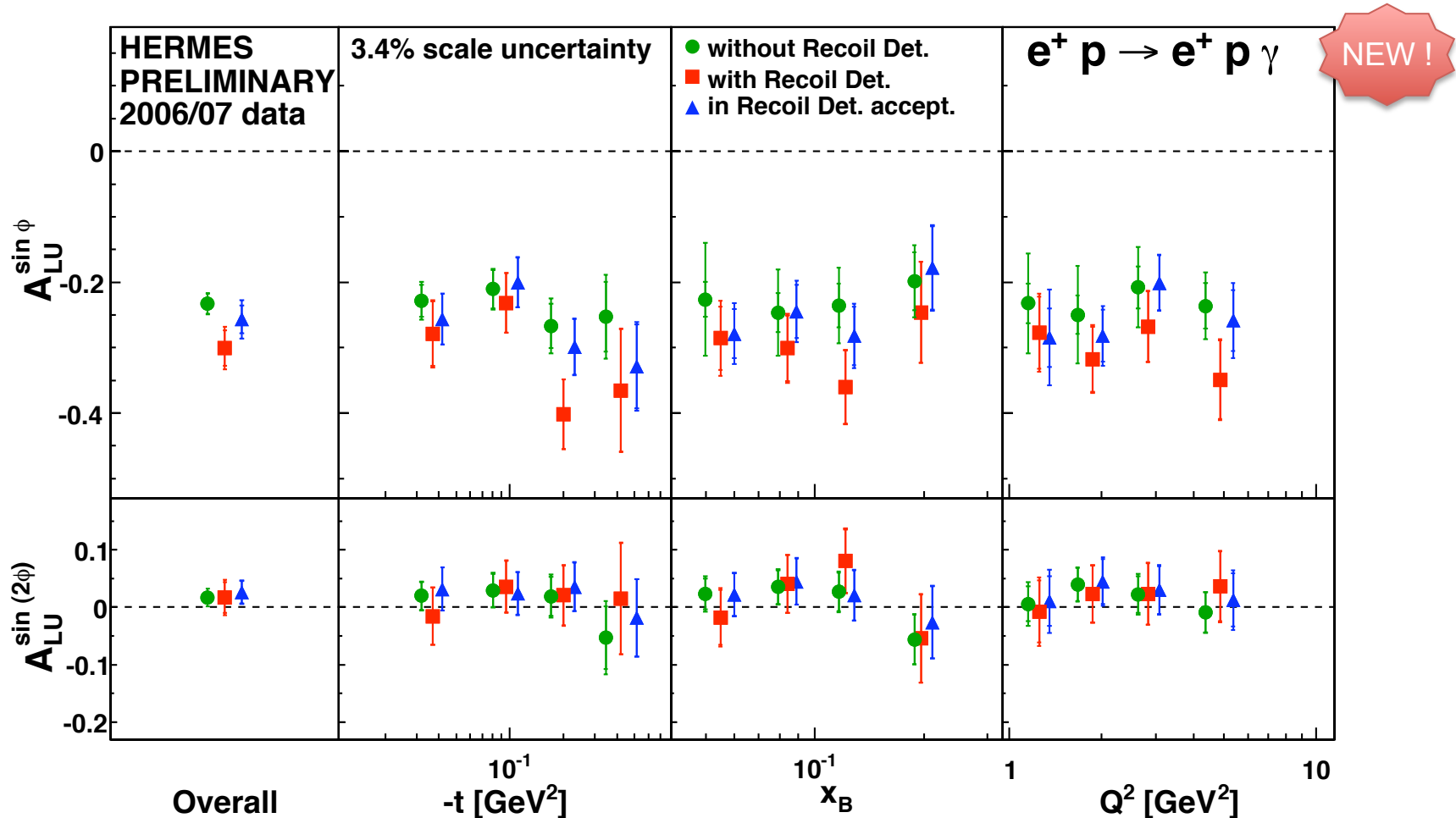
Pure elastic DVCS



Within the present level of precision, the signal is stable with respect background subtraction

Indication that the leading amplitude for pure elastic process (background < 0.1%)
is slightly larger in magnitude than the one for not-resolved elastic+associated processes

Recoil vs Traditional DVCS



DVCS analysis based on Recoil and/or Forward detector spans slightly different phase space

With recoil information (overconstrained kinematics) the systematics is better under control

Extraction of asymmetry amplitudes for associated processes is a subject of ongoing dedicated analysis

Summary

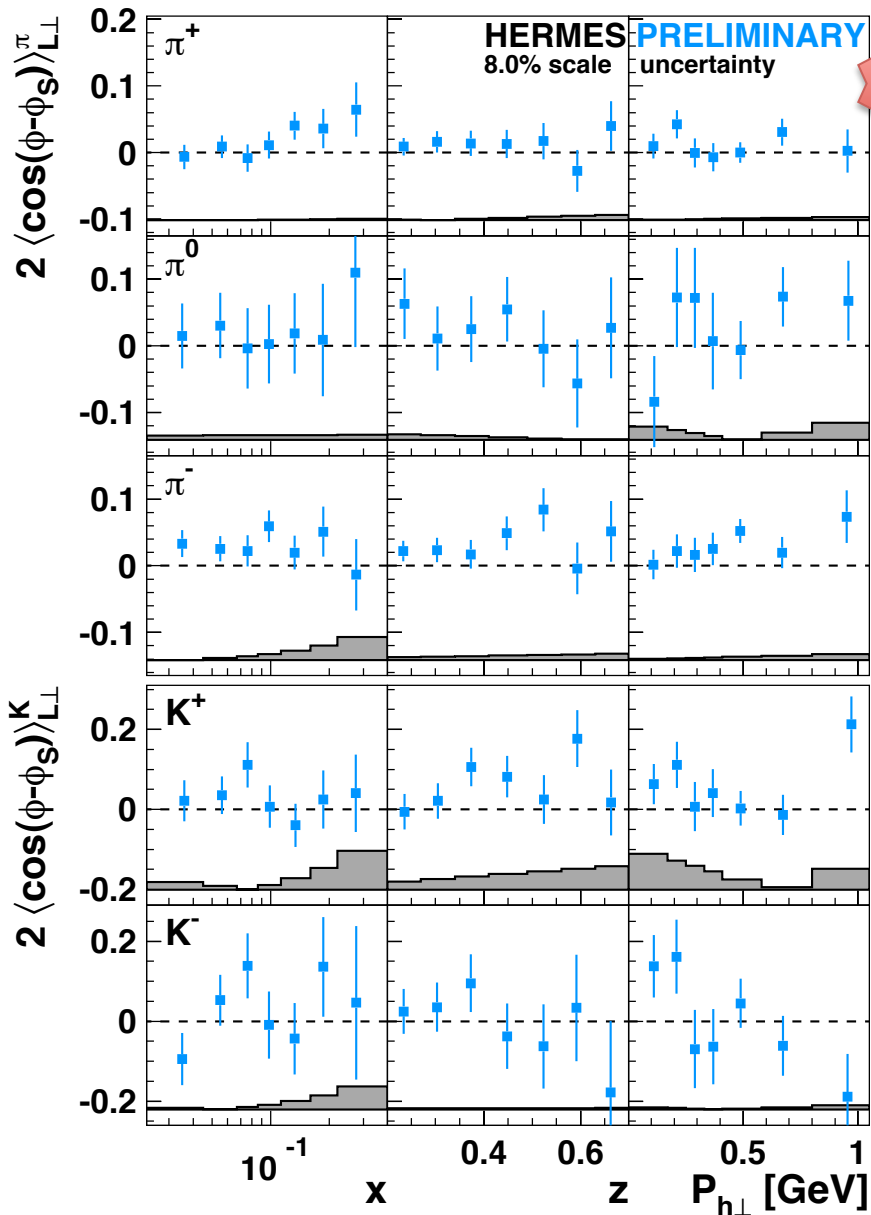
- ❖ 2011 started with:
 - 6 new preliminary results
 - 1 published, 2 submitted papers
 - 8 papers in circulation of the collaboration
 - 7 papers in advanced drafting stage
 - 9 talks at DIS (7 in spin session)
- ❖ New preliminary results cover all areas of physics studied at HERMES
- ❖ First released physics result based on Recoil Detector:
DVCS background-free beam helicity amplitude
- ❖ Collaboration still actively working to accomplish the
broad physics program



Major
Achievement

SPARES

New TMD measurement



$$\sigma_{LT}^{\cos(\phi - \phi_s)} \propto g_{1T}^{\perp} \otimes D_1$$

Worm-gear function: longitudinally polarized quarks in a transversely polarized nucleon

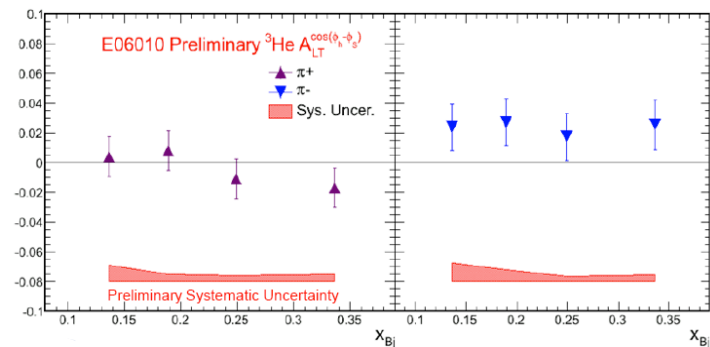
Related to parton orbital motion: requires interference between wave functions with OAM difference by 1 unit

Many models support simple relations

$$g_{1T}^q = -h_{1L}^{\perp q} \quad (\text{supported by Lattice QCD and first data})$$

$$g_{1T}^{q(1)}(x) \stackrel{WW\text{-type}}{\approx} x \int \frac{dy}{y} g_1^q(y) \quad (\text{Wandura-Wilczek type approximation})$$

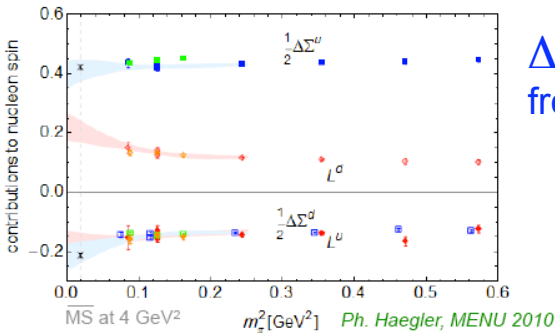
Jlab (Hall-A) result on ^3He



Open issues: test field for QCD

Proton spin budget: role of partonic orbital motion?

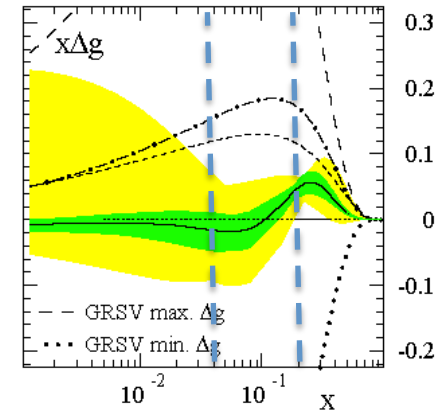
DSS, PRL101 (2008) 072001



$\Delta\Sigma=0.33\pm0.03$
from DIS & Lattice

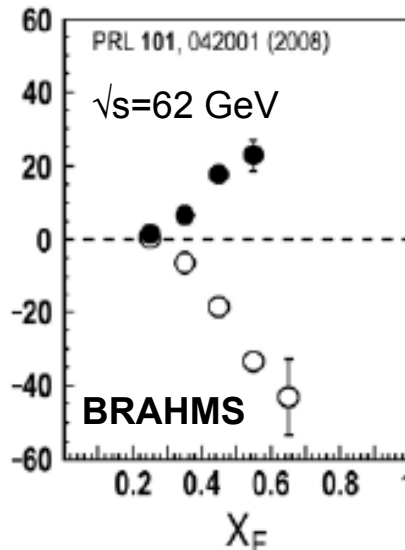
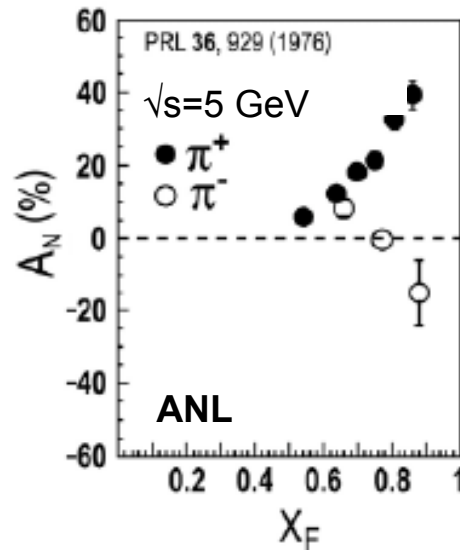
ΔG small at $0.02 < x < 0.3$
from DIS and pp scattering

$$\frac{1}{2} = \frac{1}{2} \sum_f (q_f^+ - q_f^-) + L_q + \Delta G + L_g$$

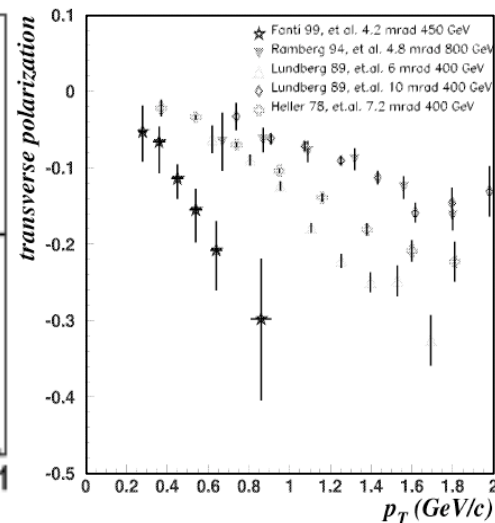


Single spin asymmetries: BIG (!) although suppressed as m_q/Q^2 in pQCD

$p^\uparrow p \rightarrow \pi X$

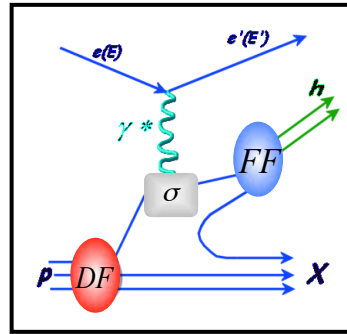
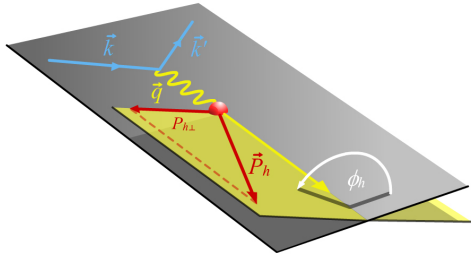


$pp \rightarrow \Lambda^\uparrow X$



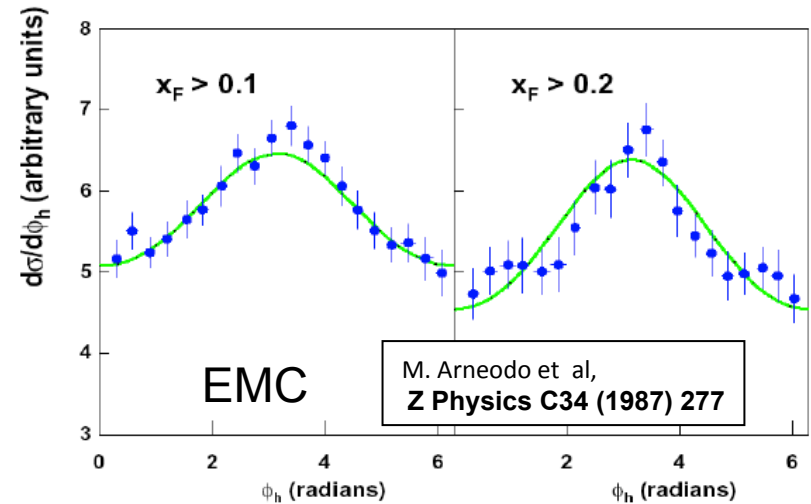
Cahn effect

Intrinsic k_T quark distribution

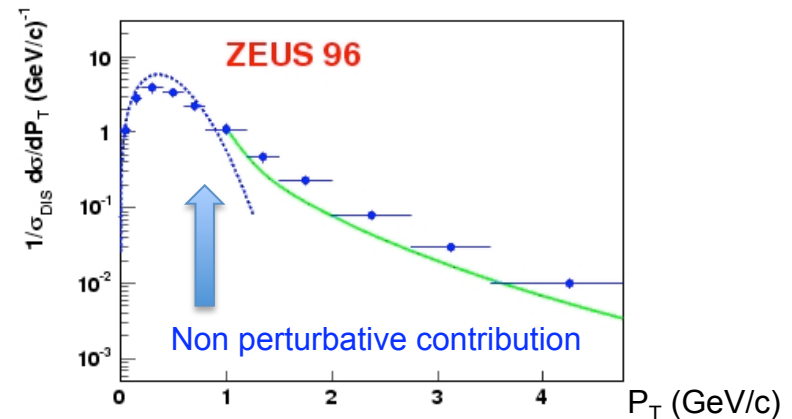


SIDIS:
 $ep \rightarrow e'hX$

$$\sigma_{UU}^{\cos(\phi)} \propto [f_1 \otimes D_1 + \dots]/Q$$



Predicted since 1978 by Cahn
Non-zero intrinsic k_T !!

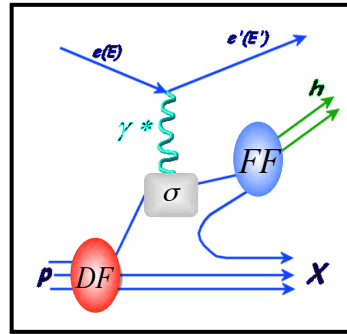
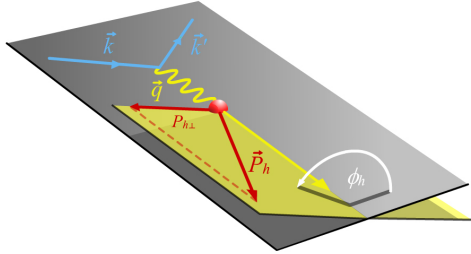


Distribution Functions (DF)			
N/q	U	L	T
U	f_1  number density		$h_1^\perp$  -  Boer-Mulders

Fragmentation Functions (FF)			
N/q	U	L	T
U	D_1  unpolarized		$H_1^\perp$  -  Collins

Boer-Mulders effect

Partonic spin-orbit effect



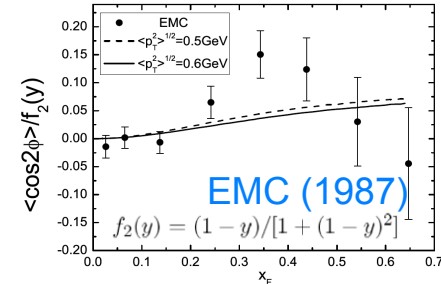
SIDIS:

$ep \rightarrow e'hX$

Sparse data before 2008

$$\sigma_{UU}^{\cos(\phi)} \propto [f_1 \otimes D_1 + h_1^\perp \otimes H_1^\perp + \dots]/Q$$

$$\sigma_{UU}^{\cos(2\phi)} \propto h_1^\perp \otimes H_1^\perp + [f_1 \otimes D_1 + \dots]/Q^2$$



Distribution Functions (DF)

N/q	U	L	T
U	f_1 (green circle) number density		$h_1^\perp$ (green circle) - (red circle) Boer-Mulders

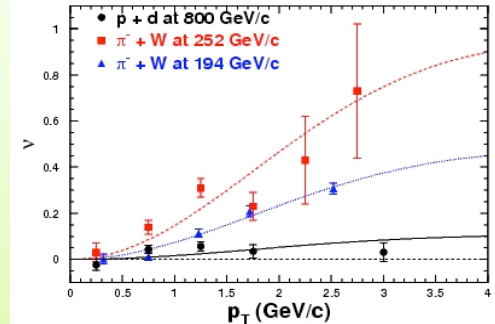
Fragmentation Functions (FF)

N/q	U	L	T
U	D_1 (green circle) unpolarized		$H_1^\perp$ (green circle) - (red circle) Collins

Drell-Yan

$hp \rightarrow \mu\mu X$

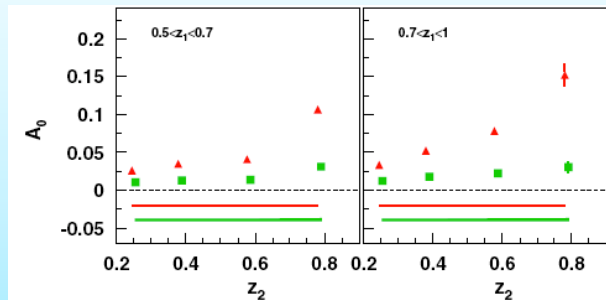
$$\sigma_{UU}^{\cos 2\phi} \propto h_1^\perp h_1^\perp$$



e+e- machines

$ee \rightarrow \pi\pi X$

$$A_0^{\cos 2\phi_0} \propto H_1^\perp H_1^\perp$$

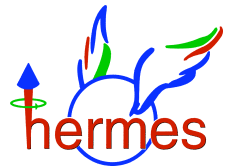
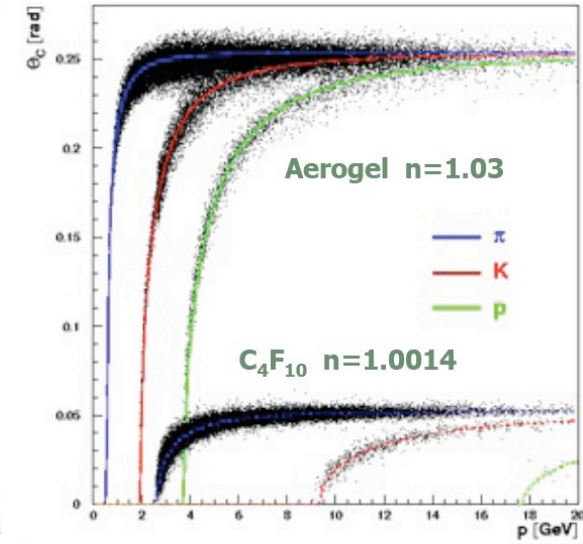
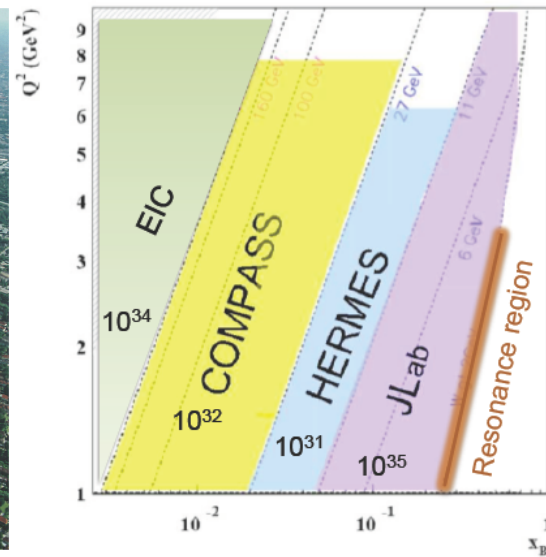


The experiment

27.6 GeV e⁺/e⁻ HERA beam

Access to valence and sea

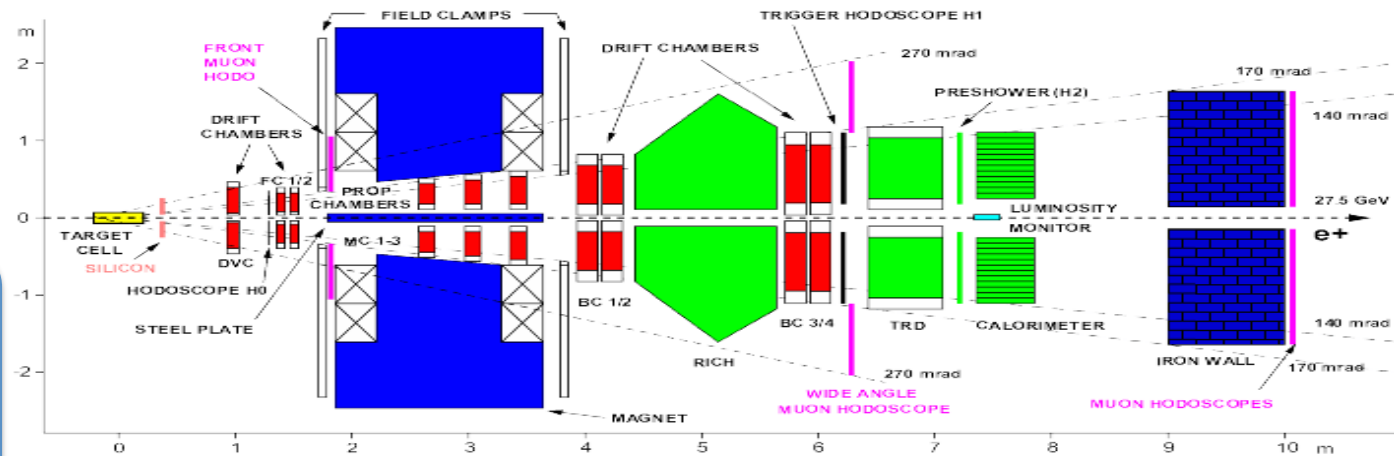
Electron and Hadron ID



Data taking: 95-07

Internal gaseous target
(no nuclear effects)

96-00 (H/D) Lpol + Upol
02-05 (H) Tpol + Upol
06-07 (H/D) Upol+Recoil



Unpolarized cross-section

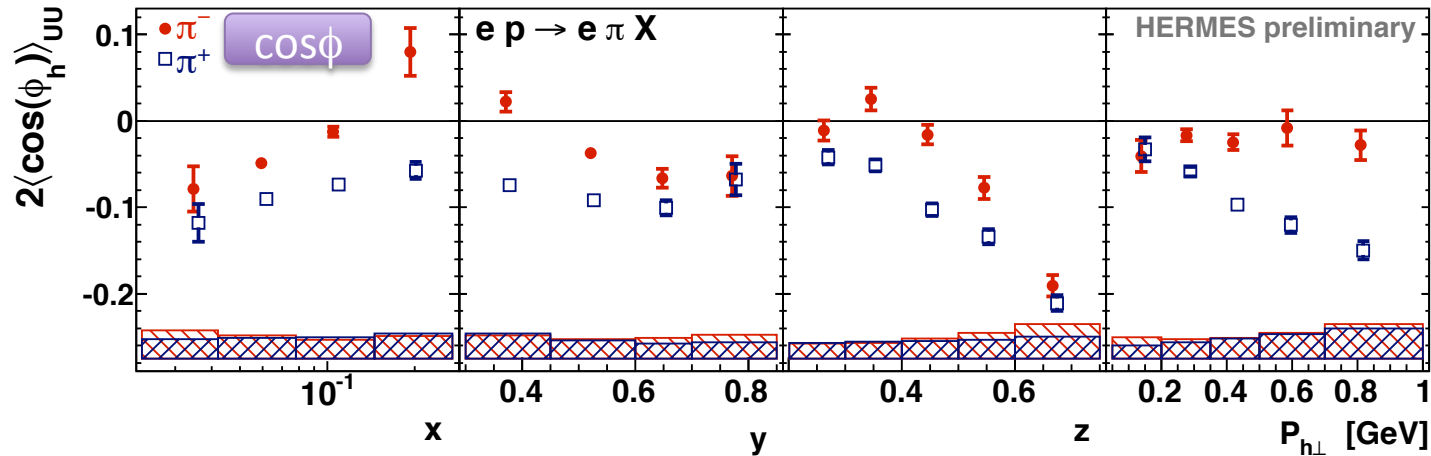
$\cos\phi$ large and negative !

$$\sigma_{UU}^{\cos(\phi)} \propto [f_1 \otimes D_1 + h_1^\perp \otimes H_1^\perp + \dots] / Q$$

Increasing with
z and P_h

Large difference
in hadron charge !

Larger in magnitude
for π^+



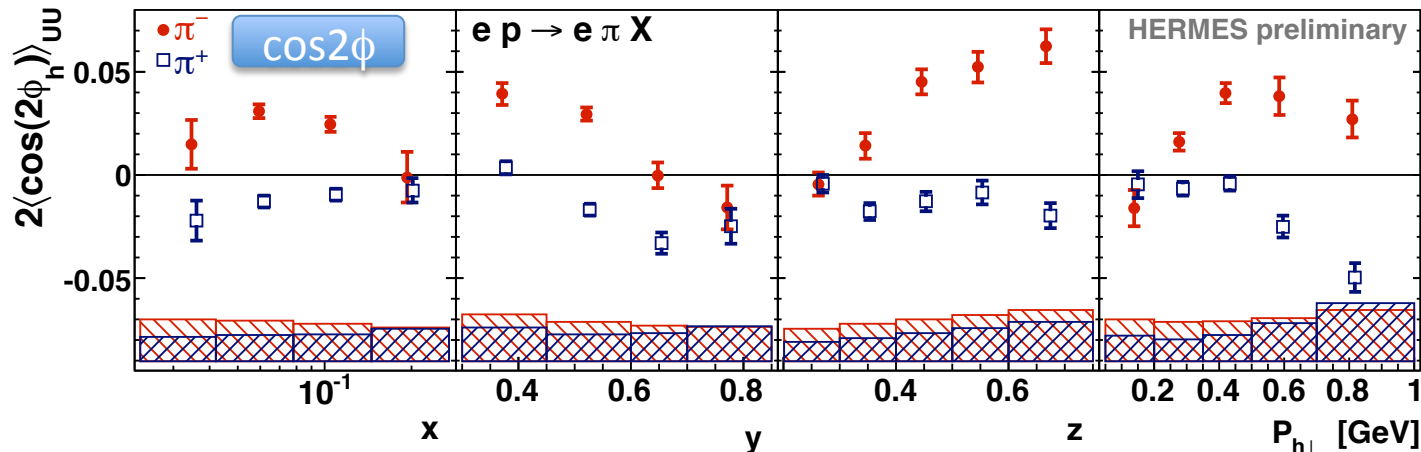
$\cos 2\phi$ non-zero !

$$\sigma_{UU}^{\cos(2\phi)} \propto h_1^\perp \otimes H_1^\perp + [f_1 \otimes D_1 + \dots] / Q^2$$

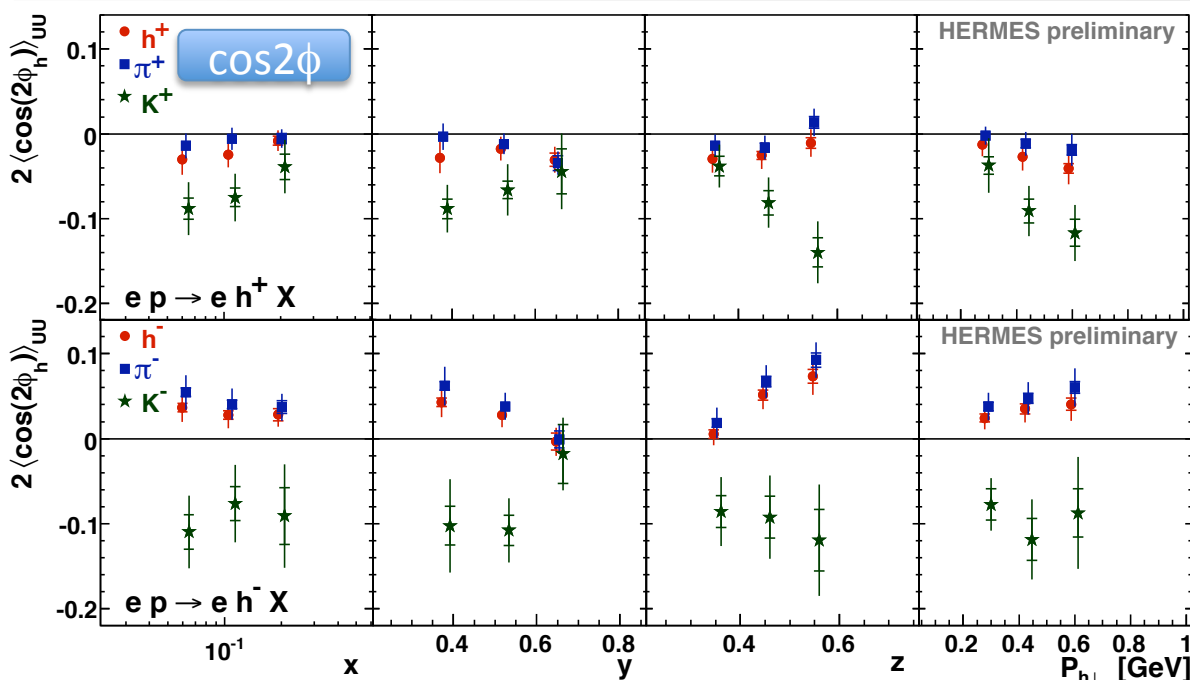
Difference in
hadron charge !

Positive for π^-

Negative for π^+



The kaon signal



$$\sigma_{UU}^{\cos(2\phi)} \propto h_1^\perp \otimes H_1^\perp + [f_1 \otimes D_1 + \dots]/Q^2$$

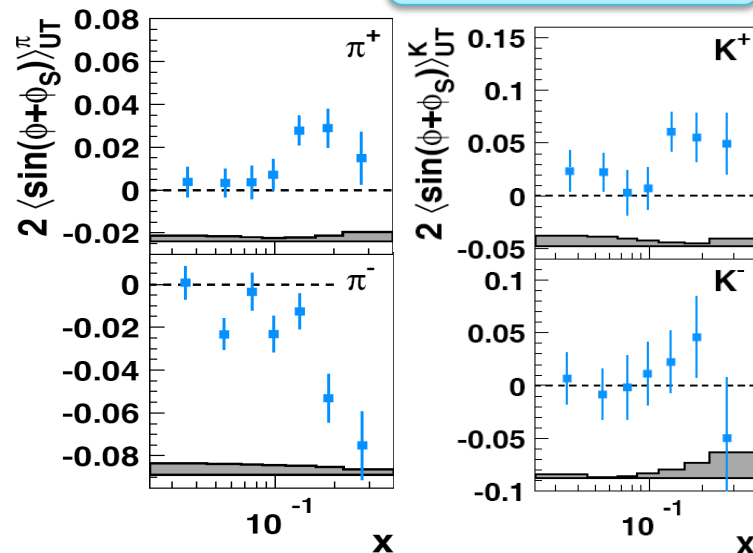


$$\sigma_{UT}^{\sin(\phi+\phi_S)} \propto h_1 H_1^\perp$$

The kaon puzzle

Already found in A_{UT} : Collins+Transversity

Role of the sea in
distribution and fragmentation functions



Spares

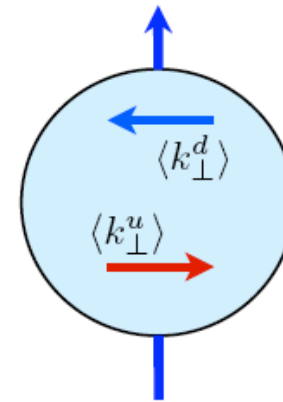
$$\sum_a \int dx d^2 \mathbf{k}_\perp \mathbf{k}_\perp f_{a/p^\uparrow}(x, \mathbf{k}_\perp) \equiv \sum_a \langle \mathbf{k}_\perp^a \rangle = 0$$

M. Burkardt, PR **D69**, 091501 (2004)

$$\langle k_\perp^u \rangle + \langle k_\perp^d \rangle = -17_{-55}^{+37} \text{ (MeV/c)}$$

$$[\langle k_\perp^u \rangle = 96_{-28}^{+60} \quad \langle k_\perp^d \rangle = -113_{-51}^{+45}]$$

$$\langle k_\perp^{\bar{u}} \rangle + \langle k_\perp^{\bar{d}} \rangle + \langle k_\perp^s \rangle + \langle k_\perp^{\bar{s}} \rangle = -14_{-66}^{+43} \text{ (MeV/c)}$$



Burkardt sum rule almost saturated by **u** and **d** quarks alone; little residual contribution from gluons

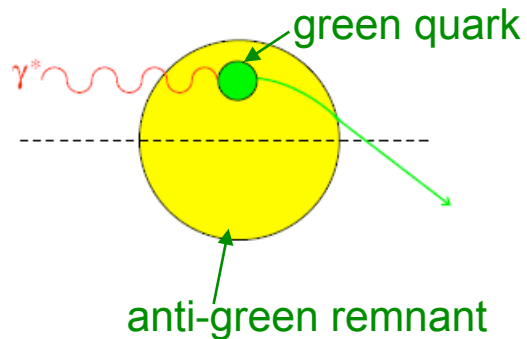
$$-10 \leq \langle k_\perp^g \rangle \leq 48 \text{ (MeV/c)}$$

Spares

QCD prediction: $f_{1T}^{\perp}(x)_{\text{SIDIS}} = -f_{1T}^{\perp}(x)_{\text{DY}}$

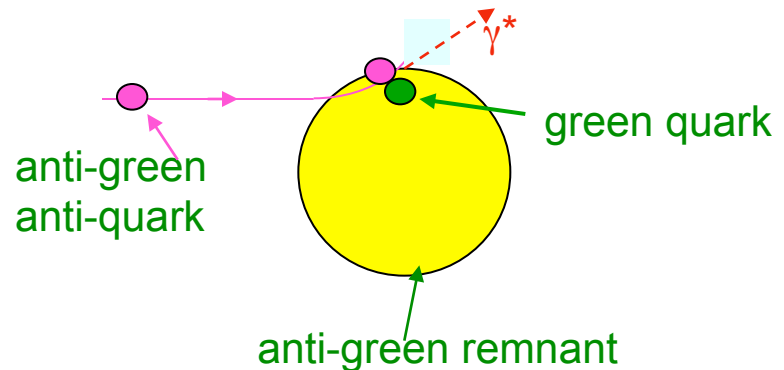
$$\gamma^* q \rightarrow q$$

lensing effect

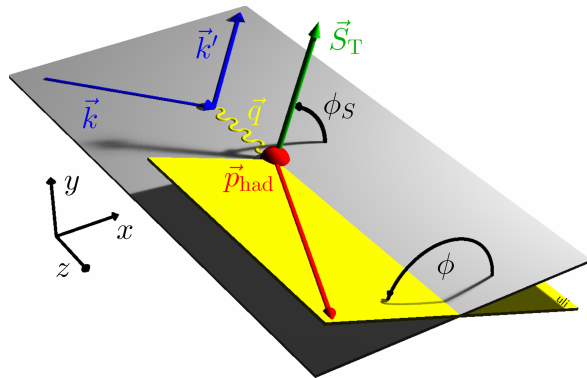


$$q\bar{q} \rightarrow \gamma^* \rightarrow \ell^+ \ell^-$$

anti-lensing



Asymmetries and moments



Collins moment

[angle and moments definitions according to Trento conventions]

$$A_{\text{UT}}^h(\phi, \phi_S) = \frac{1}{|S_T|} \frac{N_h^\uparrow(\phi, \phi_S) - N_h^\downarrow(\phi, \phi_S)}{N_h^\uparrow(\phi, \phi_S) + N_h^\downarrow(\phi, \phi_S)} =$$

$$\propto \dots \sin(\phi + \phi_S) \cdot \frac{\sum_q e_q^2 \text{I} \left[\dots h_1^q(x, \vec{p}_T^2) \cdot H_1^{\perp q}(z, \vec{k}_T^2) \right]}{\sum_q e_q^2 f_1(x) \cdot D_1^q(z)}$$

