

Axions, the path to the hot dark matter bound

Working Group Meeting COSMIC WISPerS



Gioacchino Piazza



University of
Zurich^{UZH}

Based on:

L. Di Luzio, G. Martinelli, **GP**

L. Di Luzio, **GP**

L. Di Luzio, G. Martinelli, J.M. Camalich, J.A. Oller, **GP**

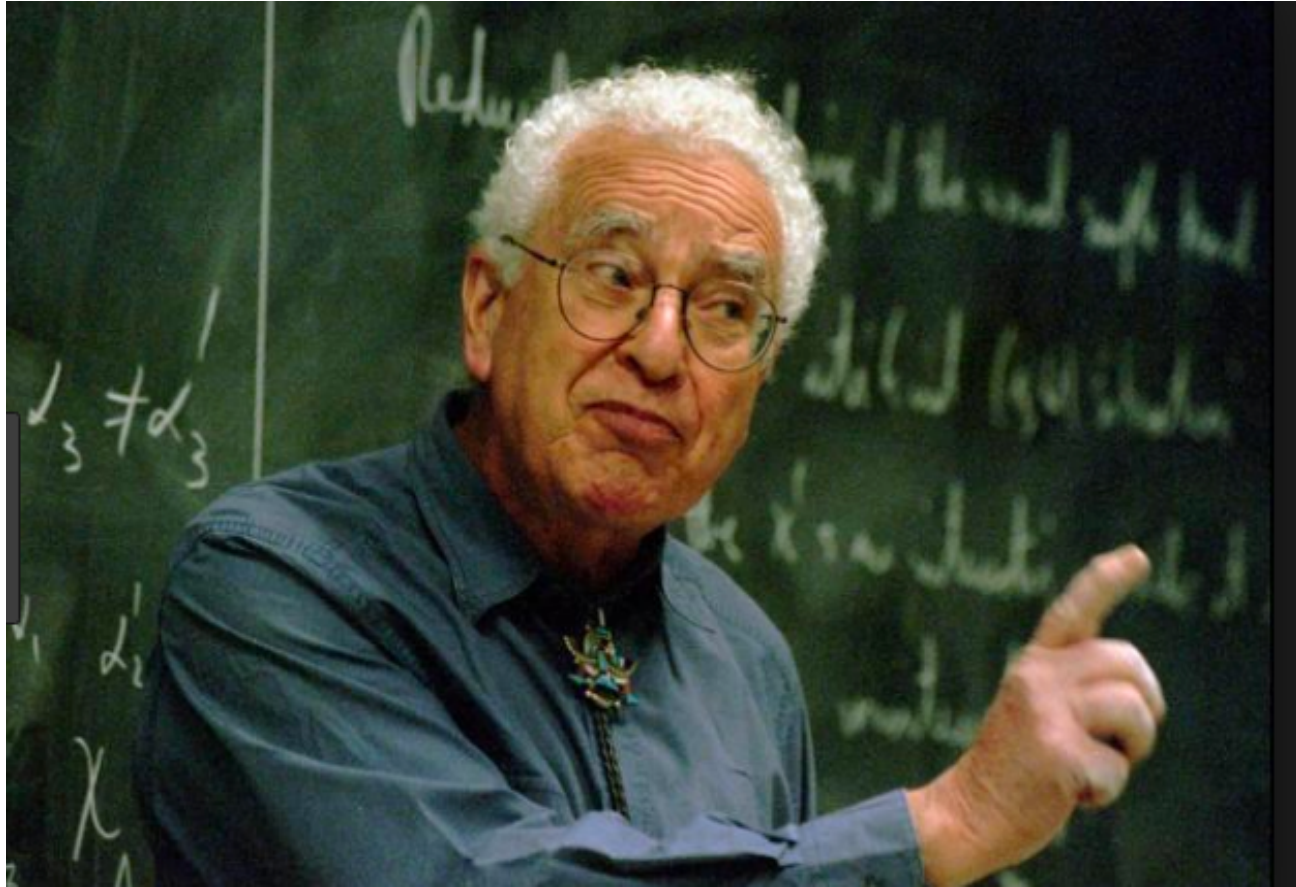
[arXiv: [2101.10330](#)]

[arXiv: [2206.04061](#)]

[arXiv: [2211.05073](#)]

DESY 01/02/2024

Strong CP puzzle & Axion



“Any process which is not forbidden by a conservation law actually does take place with appreciable probability” [M. Gell-Mann, 1956]

$$\mathcal{L}_{\text{QCD}}^\theta = \theta \frac{g_s^2}{32\pi^2} G_{\mu\nu}^a \tilde{G}_a^{\mu\nu}$$

$$\bar{\theta} = \arg \det(Y_u Y_d e^{i\theta})$$

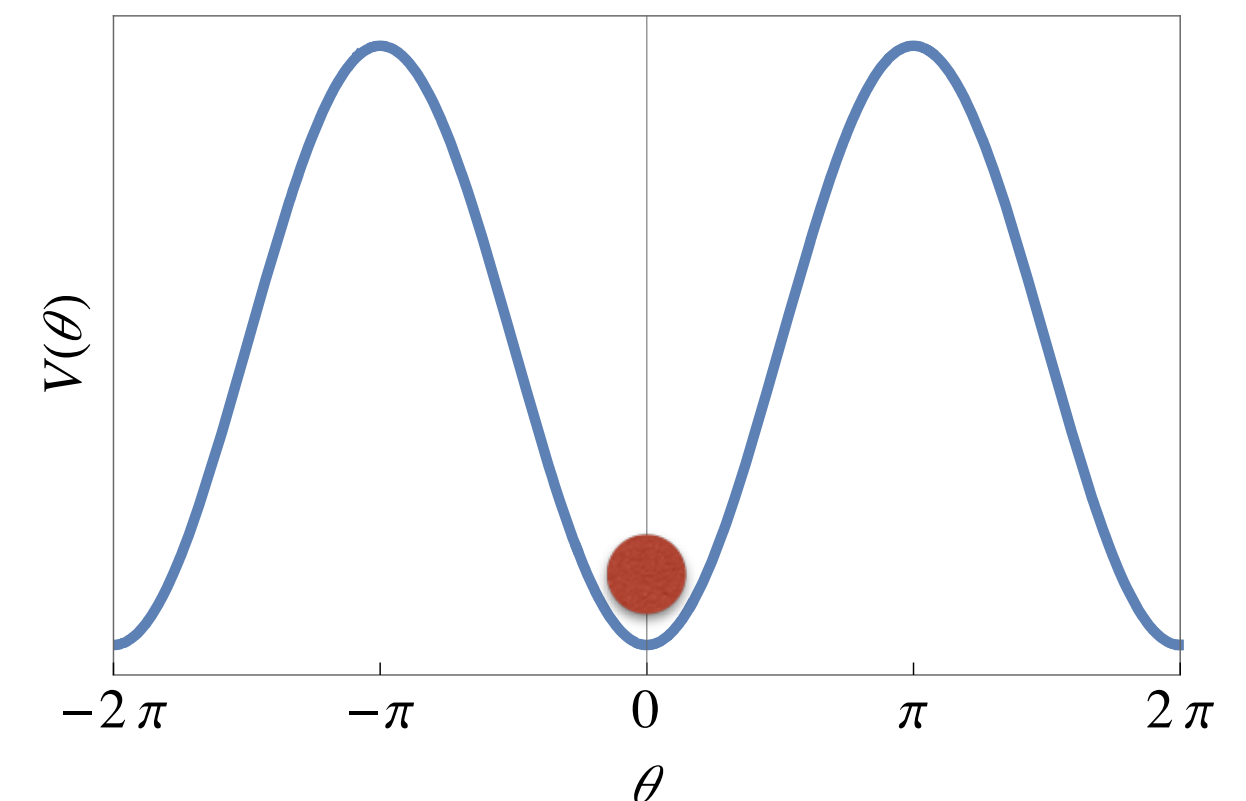
$$|\bar{\theta}| \lesssim 10^{-10} \text{ from nEDM}$$

- ◆ Spontaneously broken global symmetry, with QCD anomaly, solves the strong CP problem

$$\mathcal{L}_a = \left[\frac{a}{f_a} - \theta \right] \frac{\alpha_s}{4\pi} G \tilde{G} \quad a \rightarrow a + \alpha f_a \quad \text{with} \quad \langle a \rangle = 0$$

$$m_a^2 = \frac{m_u m_d}{(m_u + m_d)^2} \frac{m_\pi^2 f_\pi^2}{f_a^2}$$

Light



[Peccei, Quinn '77]
[Wilczek '78]
[Weinberg '78]
[Preskill, Wise, Wilczek '82]
[Dine, Fischler, Srednicki '81]

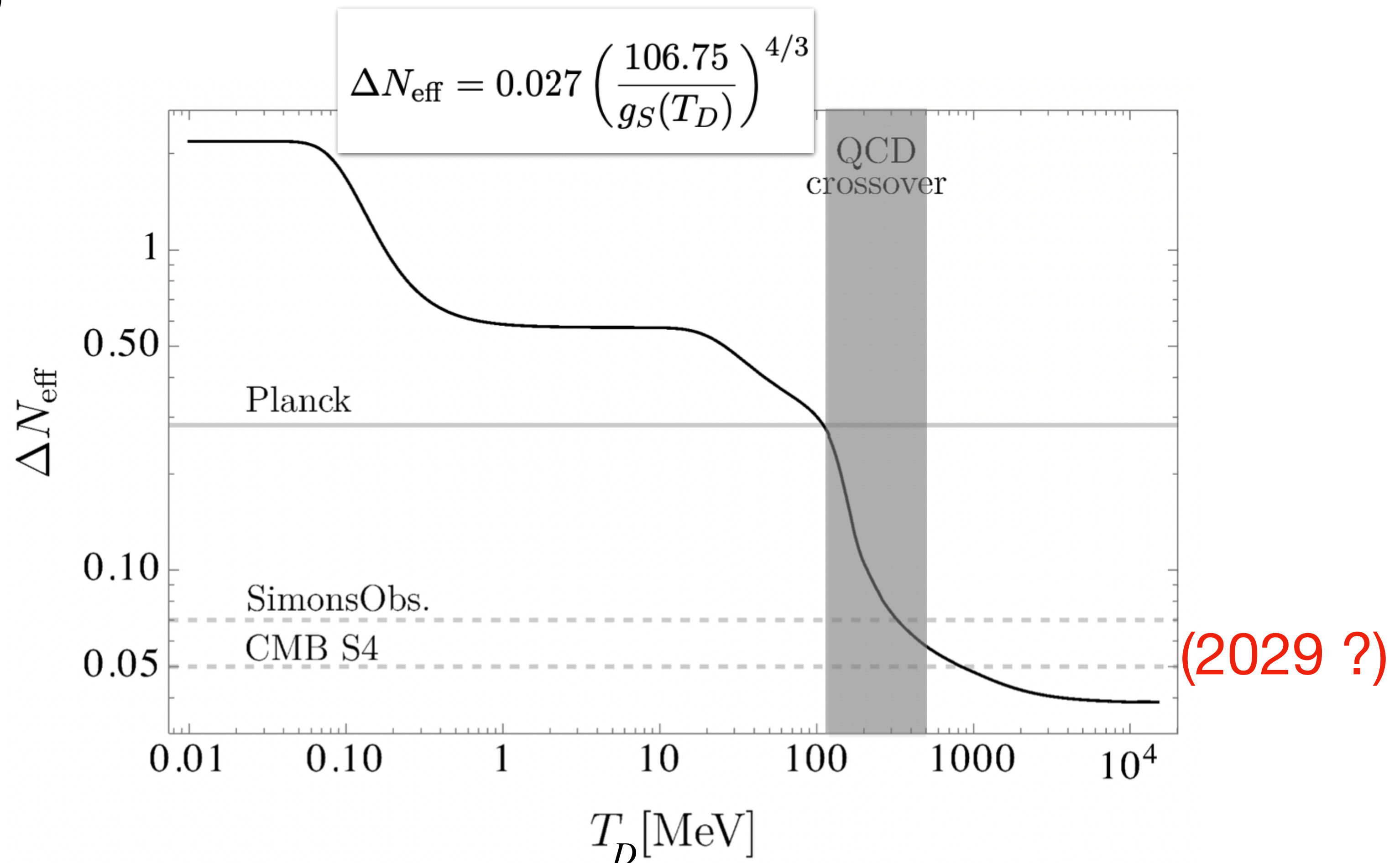
A possible discovery channel for the axion

- Axions once in equilibrium with SM thermal bath contribute to the **radiation density** of the Universe (ΔN_{eff})

$$\rho = \rho_\gamma \left[1 + \frac{7}{8} \left(\frac{T_\nu}{T_\gamma} \right)^4 N_{\text{eff}} \right]$$

- $T_{\text{Decoupling}}$ depends on the strength of the axion interactions set by f_a

- Range of ΔN_{eff} will be covered by CMB-S4



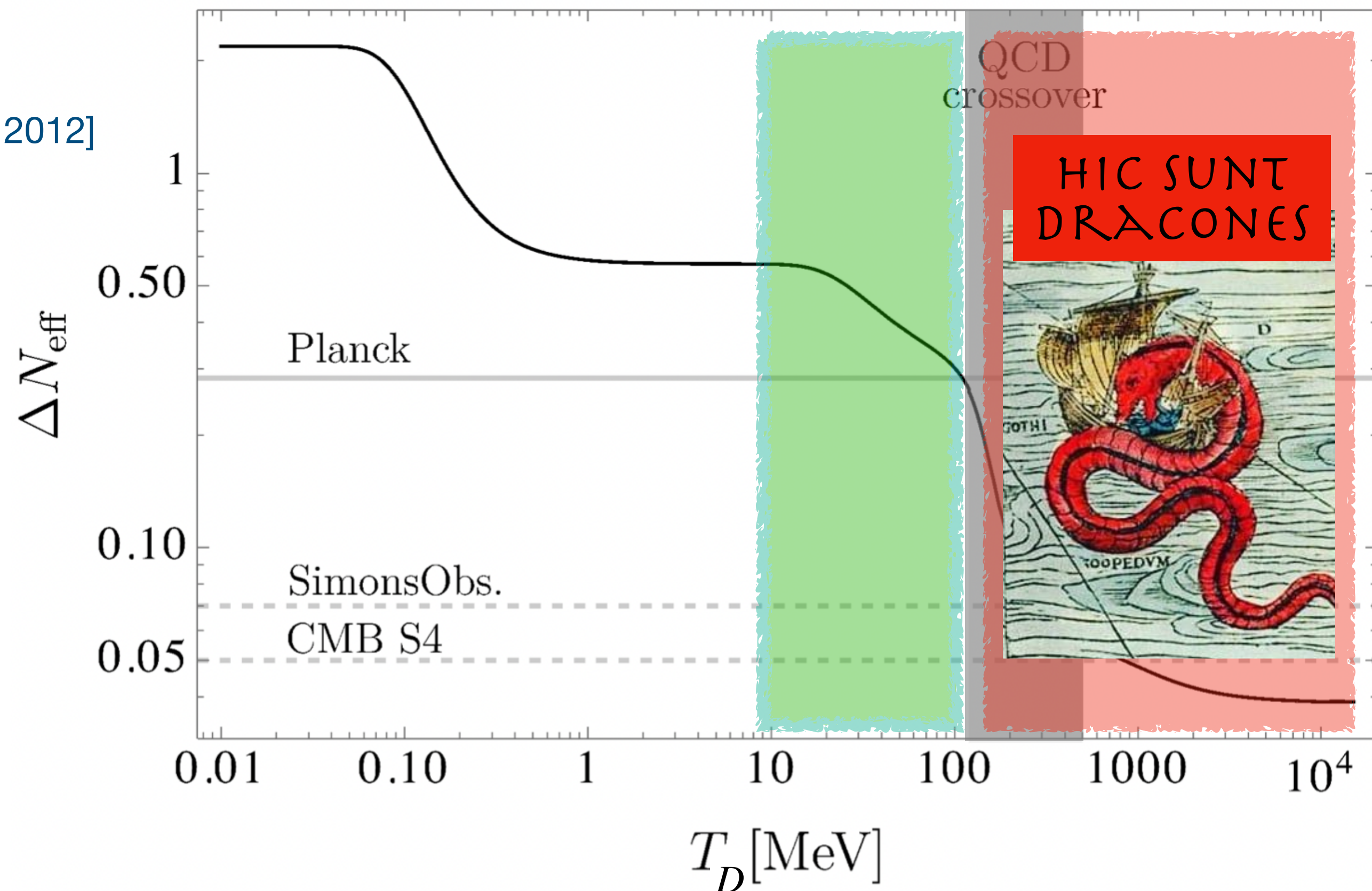
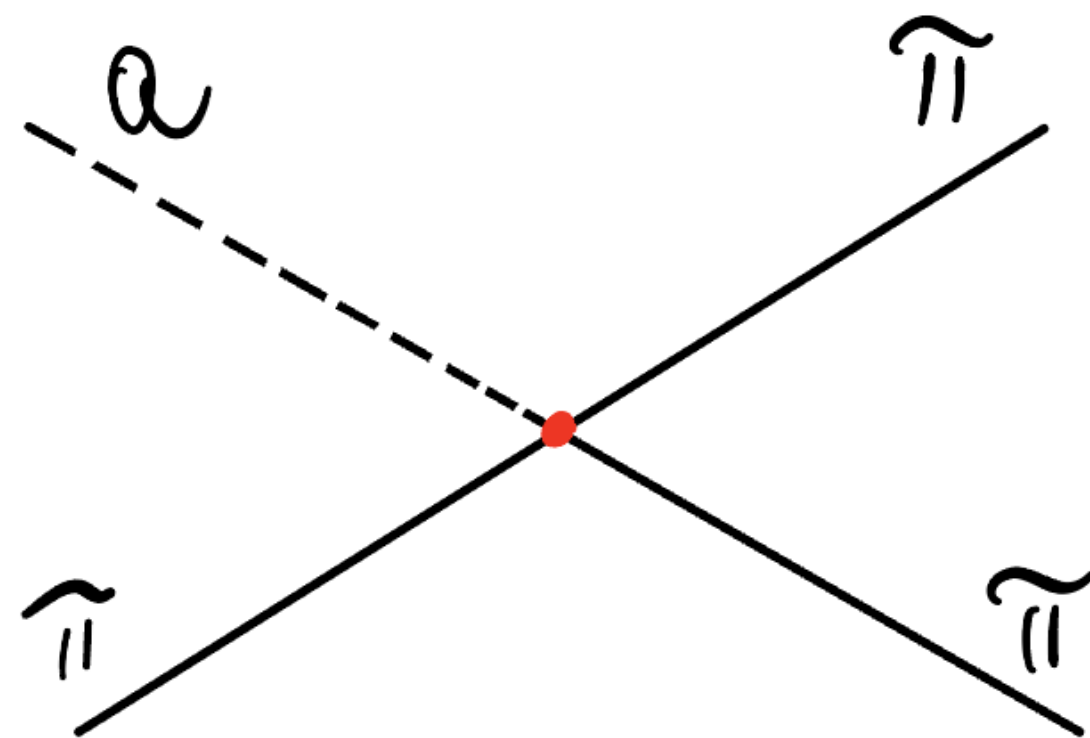
A possible discovery channel for the axion

- Axions once in equilibrium with SM thermal bath contribute to the **radiation density** of the Universe (ΔN_{eff})

$$T_{\text{Decoupling}} \lesssim 155 \text{ MeV} = T_c$$

[Bazavov et al. 2012]

- Below QCD deconfinement the main thermalization channel is



Axion-Pion Effective Lagrangian: Leading Order

$$\frac{m_\pi^2}{\Lambda_{\text{QCD}}^2} \ll 1$$

$$\mathcal{L}_a^\chi = \frac{f_\pi^2}{4} \text{Tr} \left[(D^\mu U)^\dagger D_\mu U + U \chi^\dagger + \chi U^\dagger \right] + \frac{\partial^\mu a}{f_a} \frac{1}{2} \text{Tr} [c_q \sigma^a] J_\mu^a$$

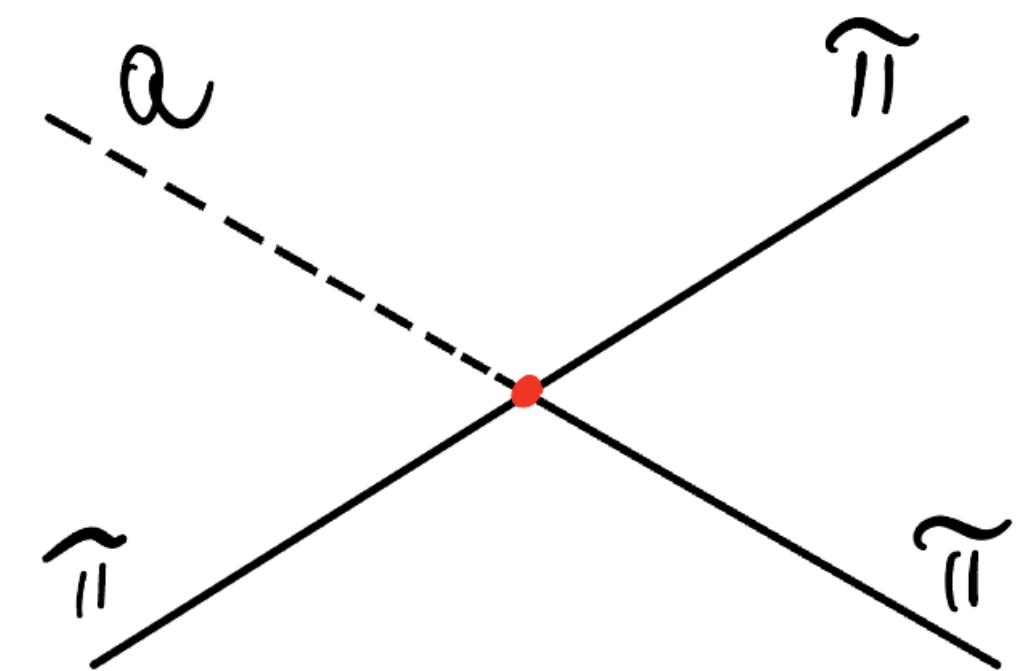
[Georgi, Kaplan, Randall,
Phys. Lett. B **169** (1986)]

$$\begin{cases} U = e^{i\pi^a \sigma^a / f_\pi} \\ \chi = 2B_0 \bar{e}^{i\frac{a}{2f_a} Q_a} M_q \bar{e}^{i\frac{a}{2f_a} Q_a} \end{cases}$$

$$J_\mu^a = \frac{i}{4} f_\pi^2 \text{Tr} \left[\sigma^a \{U, (D^\mu U)^\dagger\} \right]$$

$$\mathcal{L}_{a\pi}^{(\text{LO})} = \frac{C_{a\pi}}{f_a f_\pi} \partial_\mu a \left(2\partial_\mu \pi_0 \pi_+ \pi_- - \pi_0 \partial_\mu \pi_+ \pi_- - \pi_0 \pi_+ \partial_\mu \pi_- \right)$$

$$C_{a\pi} = \frac{1}{3} \left(\frac{m_d - m_u}{m_u + m_d} + c_d^0 - c_u^0 \right)$$



Axion thermal production in the Early Universe

To extract the HDM bound we compute the axion decoupling temperature T_D via the freeze-out condition* (conservative estimate)

$$\Gamma_a(T_D) = H(T_D)$$

Rate of reactions keeping the axions in thermal equilibrium

$$\Gamma_a = \frac{1}{n_a^{\text{eq}}} \int \frac{d^3\mathbf{p}_1}{(2\pi)^3 2E_1} \frac{d^3\mathbf{p}_2}{(2\pi)^3 2E_2} \frac{d^3\mathbf{p}_3}{(2\pi)^3 2E_3} \frac{d^3\mathbf{p}_4}{(2\pi)^3 2E_4} \sum |\mathcal{M}|^2 \frac{1}{(2\pi)^4 \delta^4(p_1 + p_2 - p_3 - p_4) f_1 f_2 (1 \pm f_3)(1 \pm f_4)}$$

Hubble Rate

$$H(T) = \sqrt{4\pi^3 g_*(T)/45} T^2 / m_{\text{pl}}$$

* For improved treatment of freeze-out see [Notari, Rompineve, Villadoro \[2211.03799\]](#)

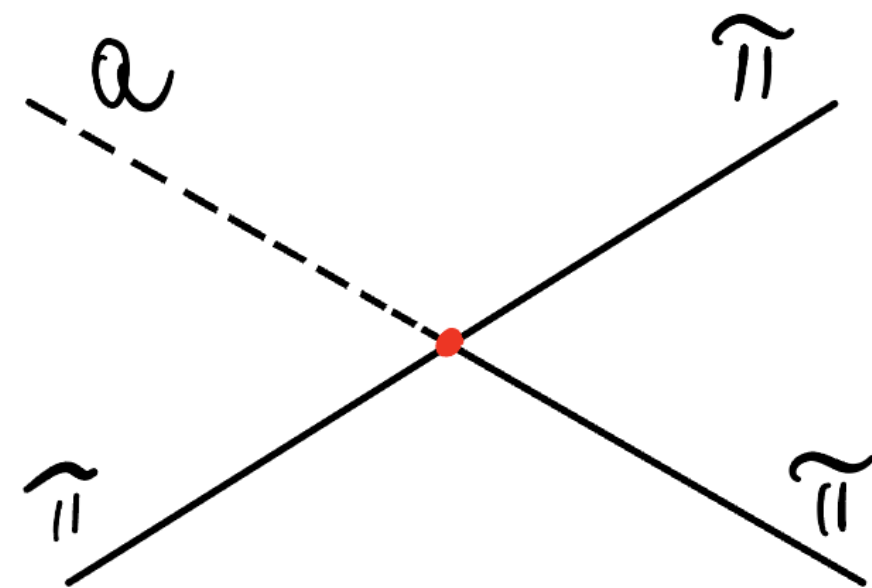
Common Lore circa 2021:

Chang, Choi [hep-ph/9306216]
Hannestad, Mirizzi, Raffelt [hep-ph/0504059]

Axion HDM bound computed via LO ChPT

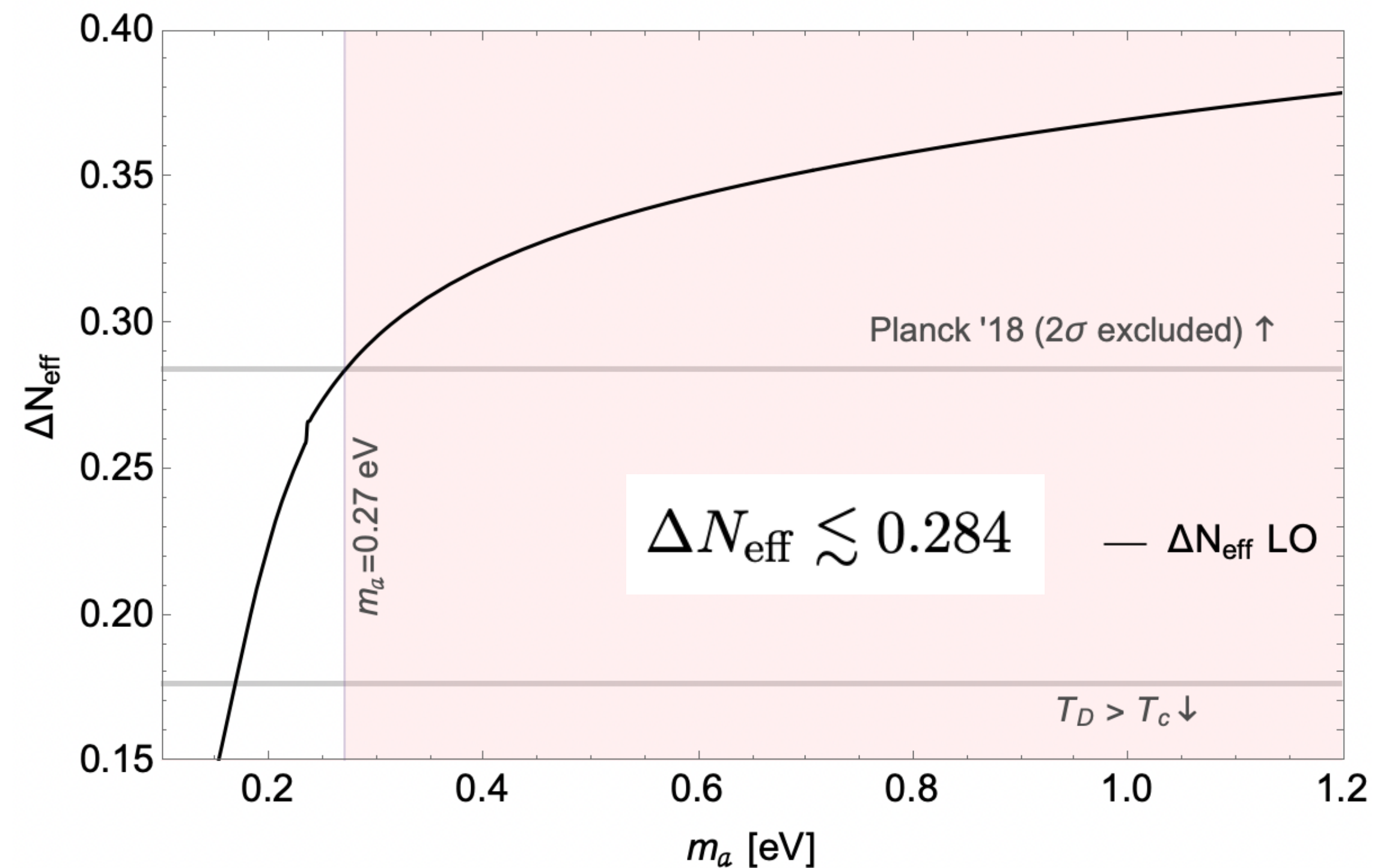
$$\Delta N_{\text{eff}} = 0.027 \left(\frac{106.75}{g_S(T_D)} \right)^{4/3}$$

$$\sum |\mathcal{M}|_{\text{LO}}^2 = \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 \frac{9}{4} [s^2 + t^2 + u^2 - 3m_\pi^4]$$



LO ChPT set the bound

$$m_a < 0.27 \text{ eV}$$



See further:

Melchiorri, Mena, Slosar [0705.2695]

Hannestad, Mirizzi, Raffelt, Wong [0803.1585]

Hannestad, Mirizzi, Raffelt, Wong [1004.0695]

Di Valentino, Giusarma, Lattanzi, Mena, Melchiorri, Silk [1507.08665]

But... is ChPT valid?

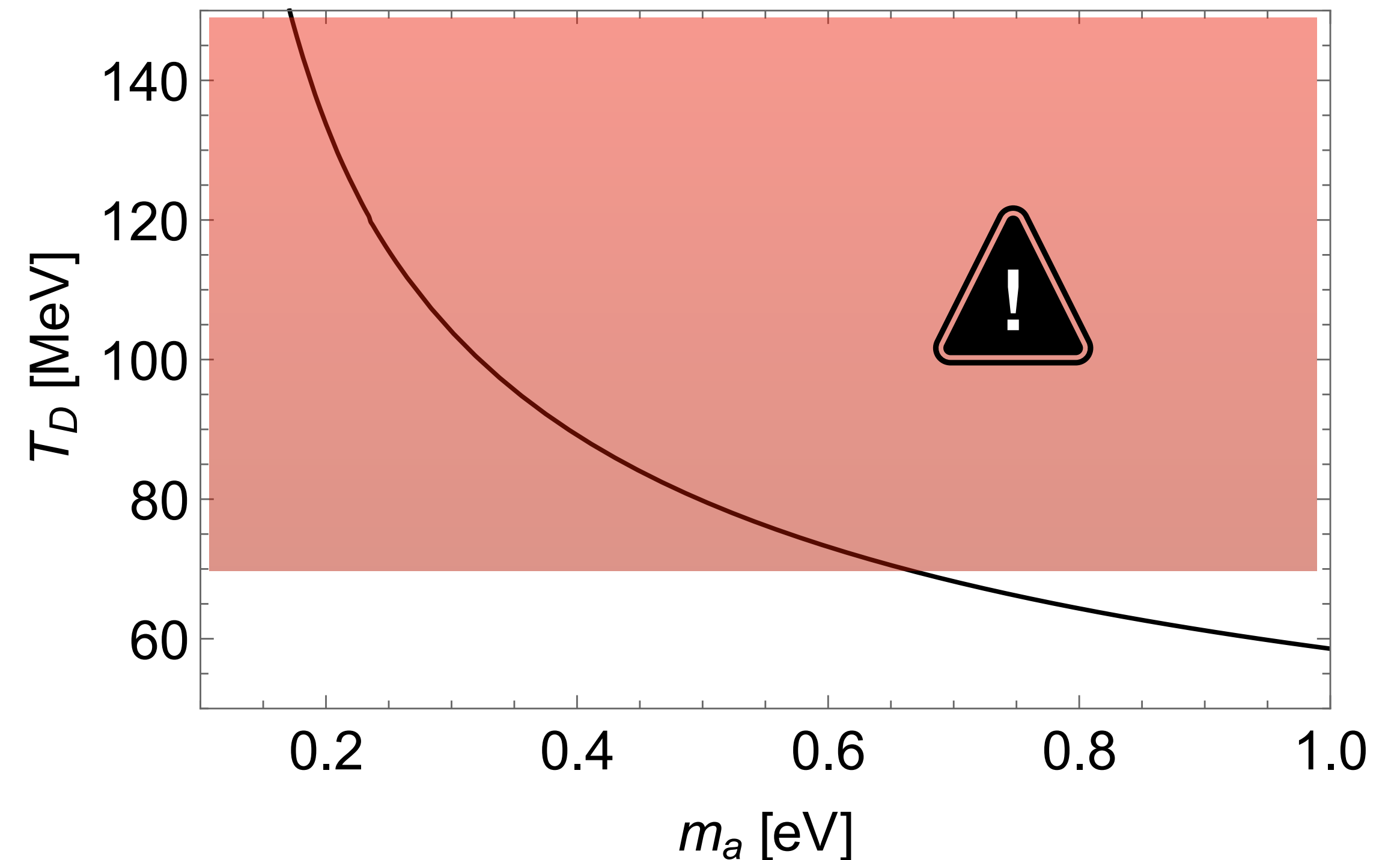
E.g. at $T \simeq 70$ MeV, $\sqrt{s}_{a\pi} \simeq 550$ MeV

BUT

ChPT violates perturbative unitarity* for
 $E \gtrsim 460$ MeV

Is ChPT reliable?

NLO axion production rate



Breakdown of Chiral Perturbation Theory for the Axion Hot Dark Matter Bound

Luca Di Luzio^{1,2,3,*}, Guido Martinelli^{4,†} and Gioacchino Piazza^{5,‡}

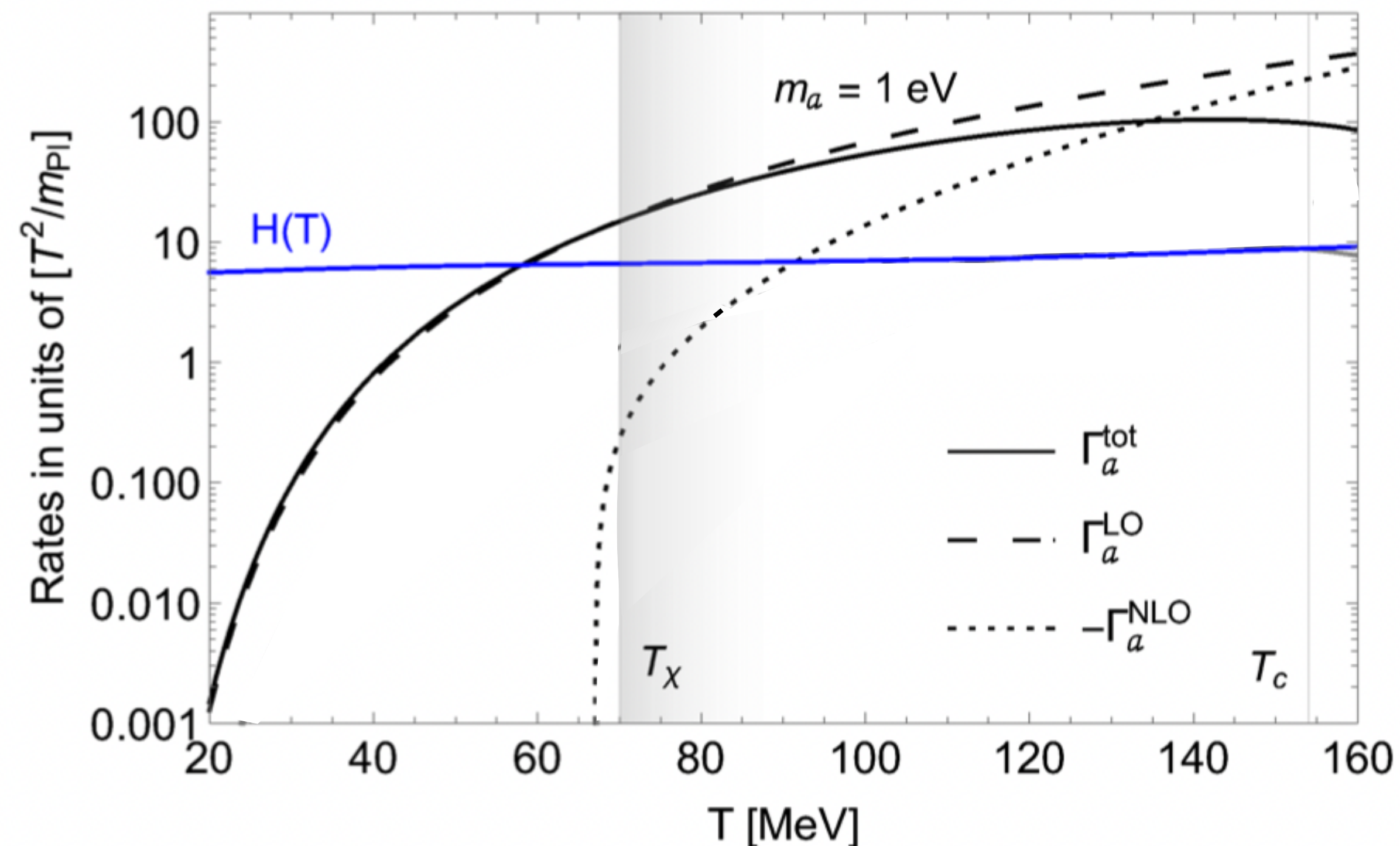
*see e.g. [Donoghue et al., PhysRevD.86.014025]

For $\pi\pi$ scattering see also [Schenk 1993]

NLO Thermalization rate

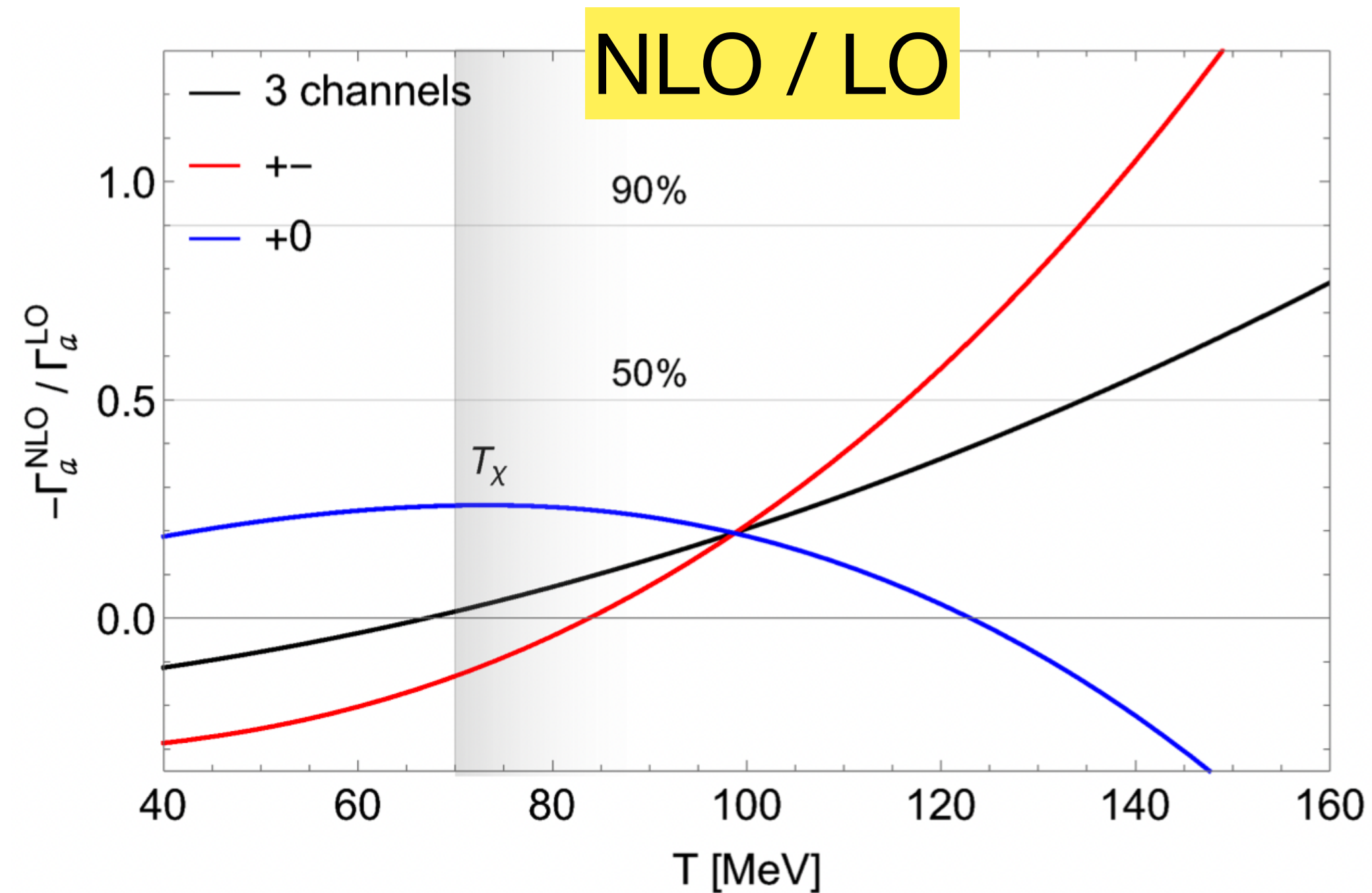
$$\sum |\mathcal{M}|^2 = |\mathcal{M}_{\text{LO}}|^2 + 2\text{Re}[\mathcal{M}_{\text{LO}}\mathcal{M}_{\text{NLO}}^*]$$

$$\Gamma_a(T) = \left(\frac{C_{a\pi}}{f_a f_\pi}\right)^2 0.163 T^5 \left[h_{\text{LO}}(m_\pi/T) - 0.251 \frac{T^2}{f_\pi^2} h_{\text{NLO}}(m_\pi/T) \right]$$



Di Luzio, Martinelli, **Piazza** [\[2101.10330\]](#)

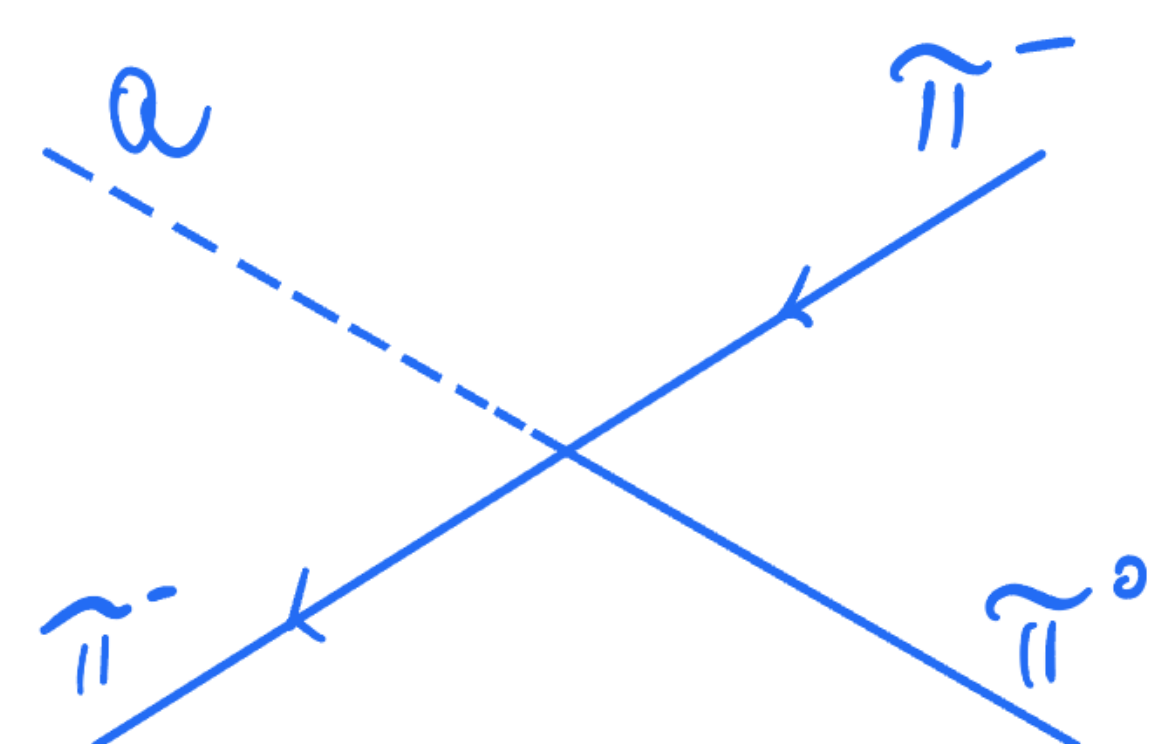
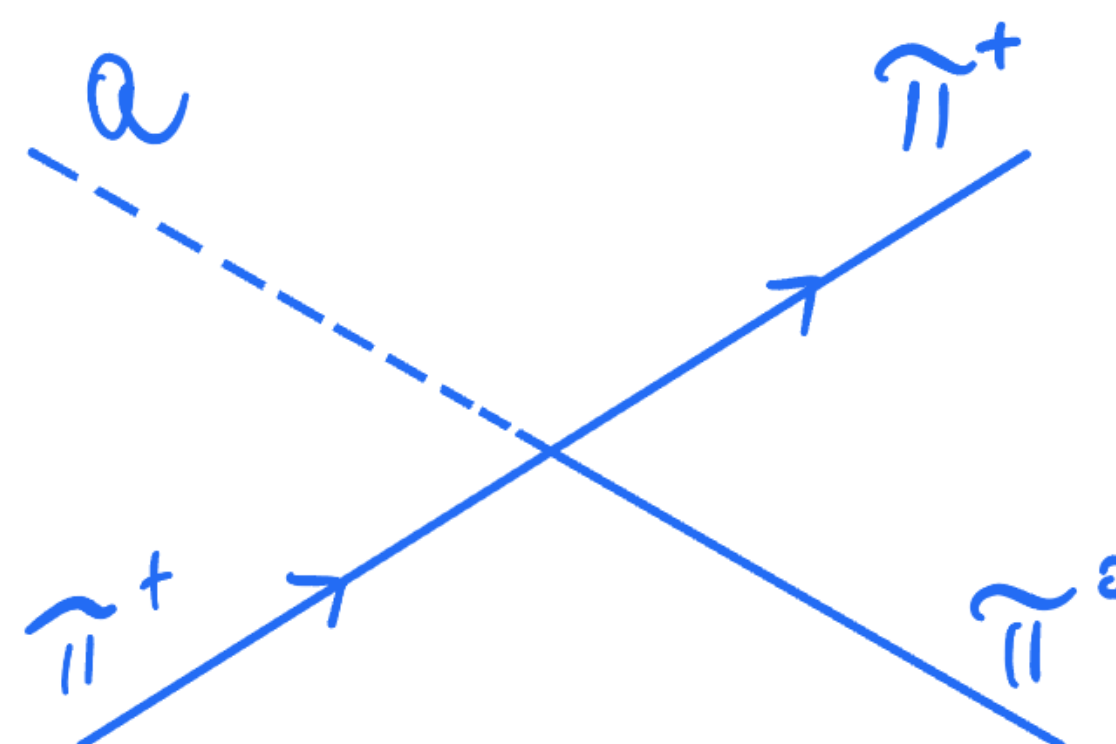
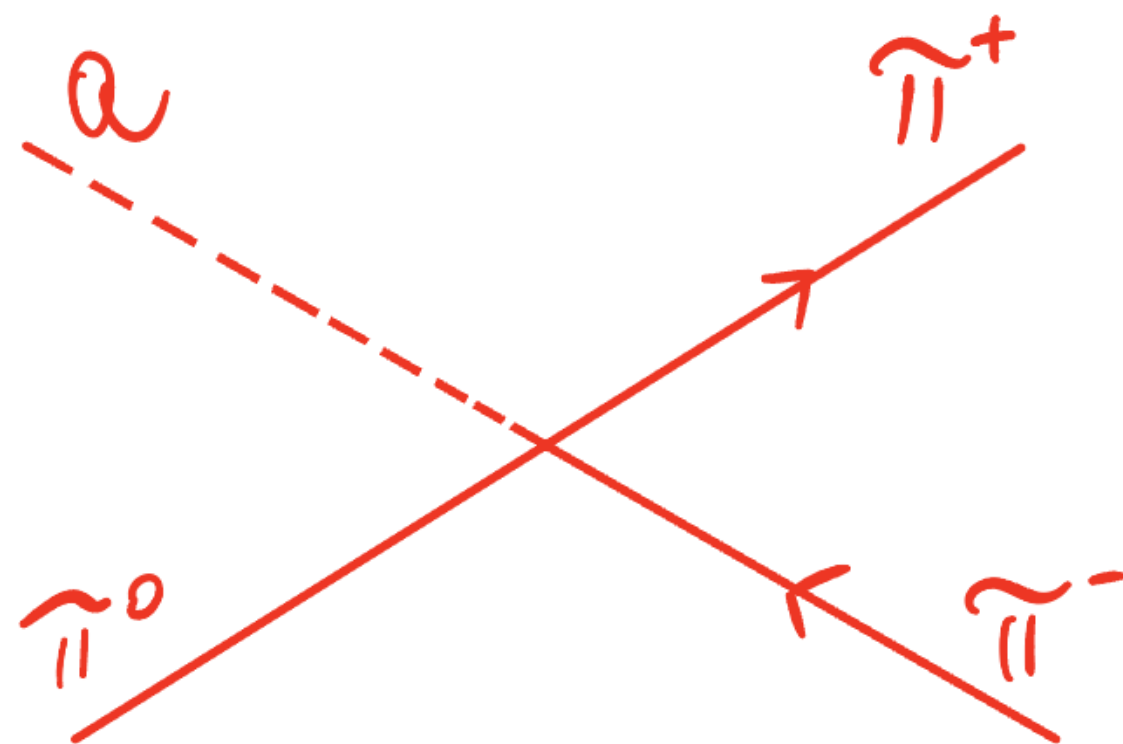
Breakdown of ChPT : Γ



◆ The NLO corrections to total Γ reach 50% x LO at $T \simeq 135$ MeV, due to accidental cancellations;

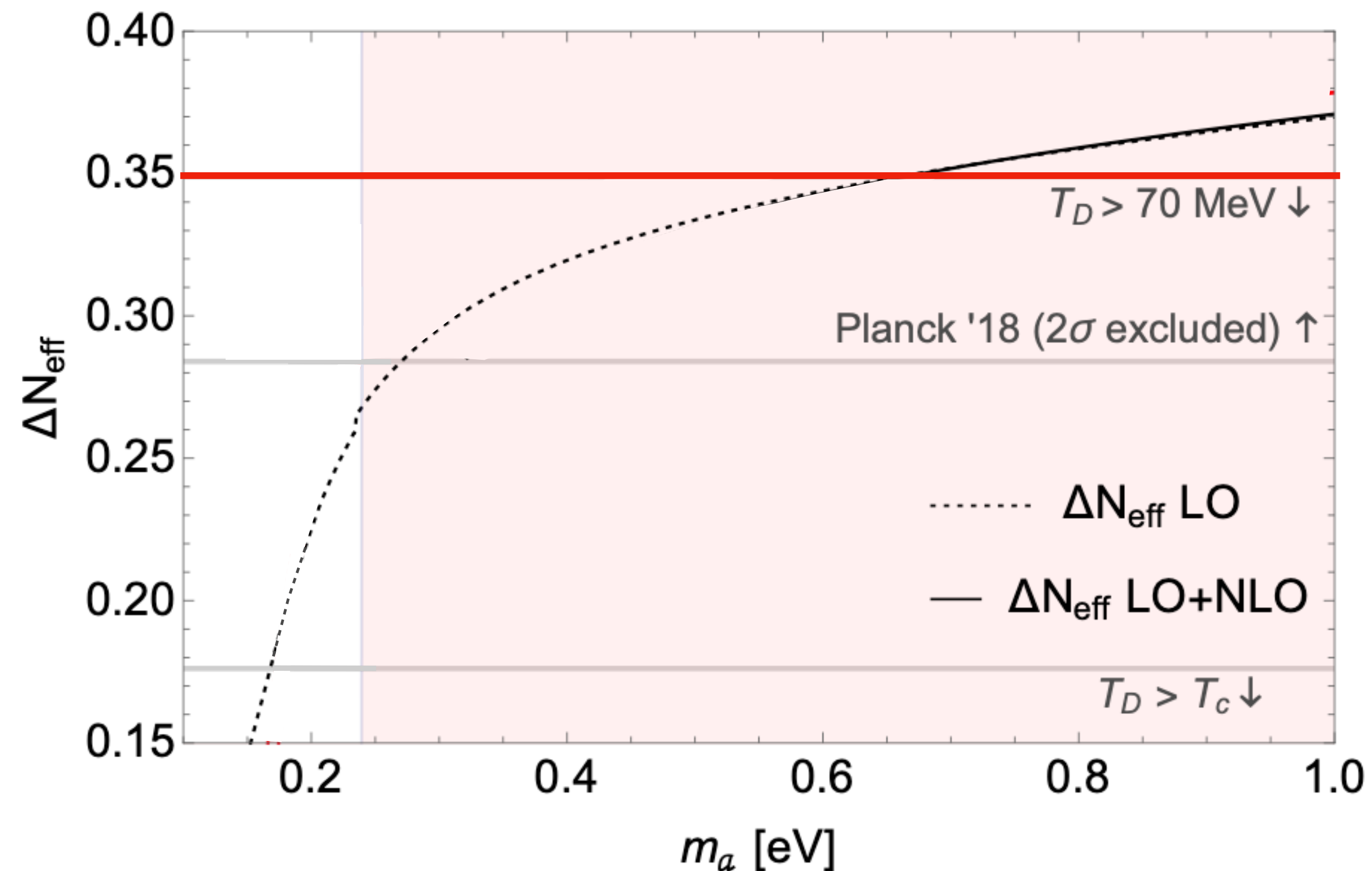
◆ A more realistic estimate of T_χ by looking at the first exclusive channels with large NLO correction.

➡ In $\pi^+\pi^0$ big corrections at $T_\chi \simeq 70$ MeV

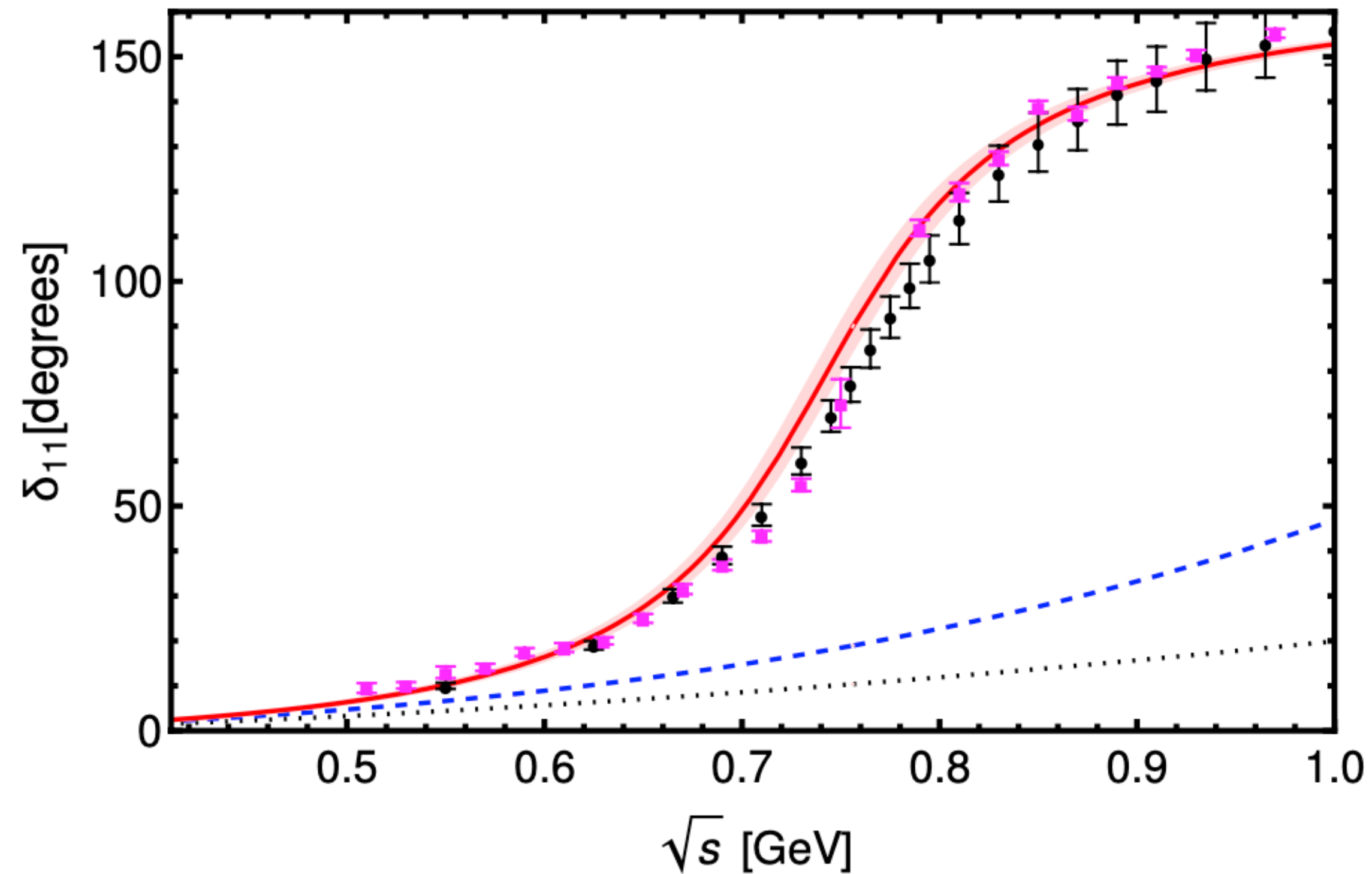


ΔN_{eff} including NLO correction

T_D cannot be extracted in the region of interest since the *NLO* $\sim$ *LO* for $T > 70$ MeV



Extending the validity of ChPT: Unitarization

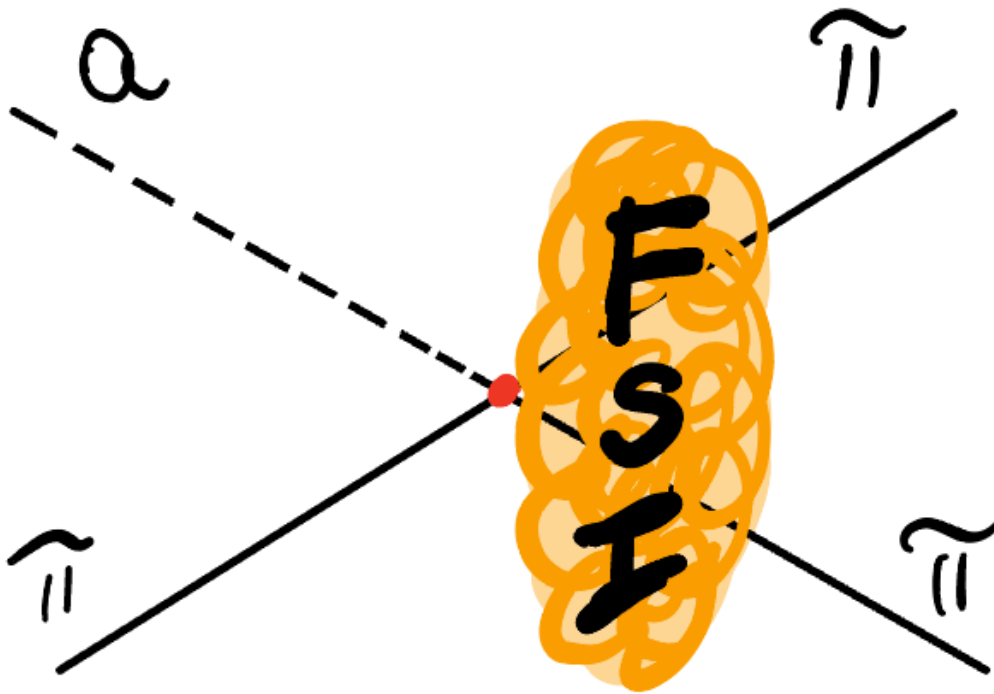


Di Luzio, Camalich, Martinelli, Oller, **Piazza** [\[2211.05073\]](#)

$\pi\pi$ final-state interactions (FSI) are resonant

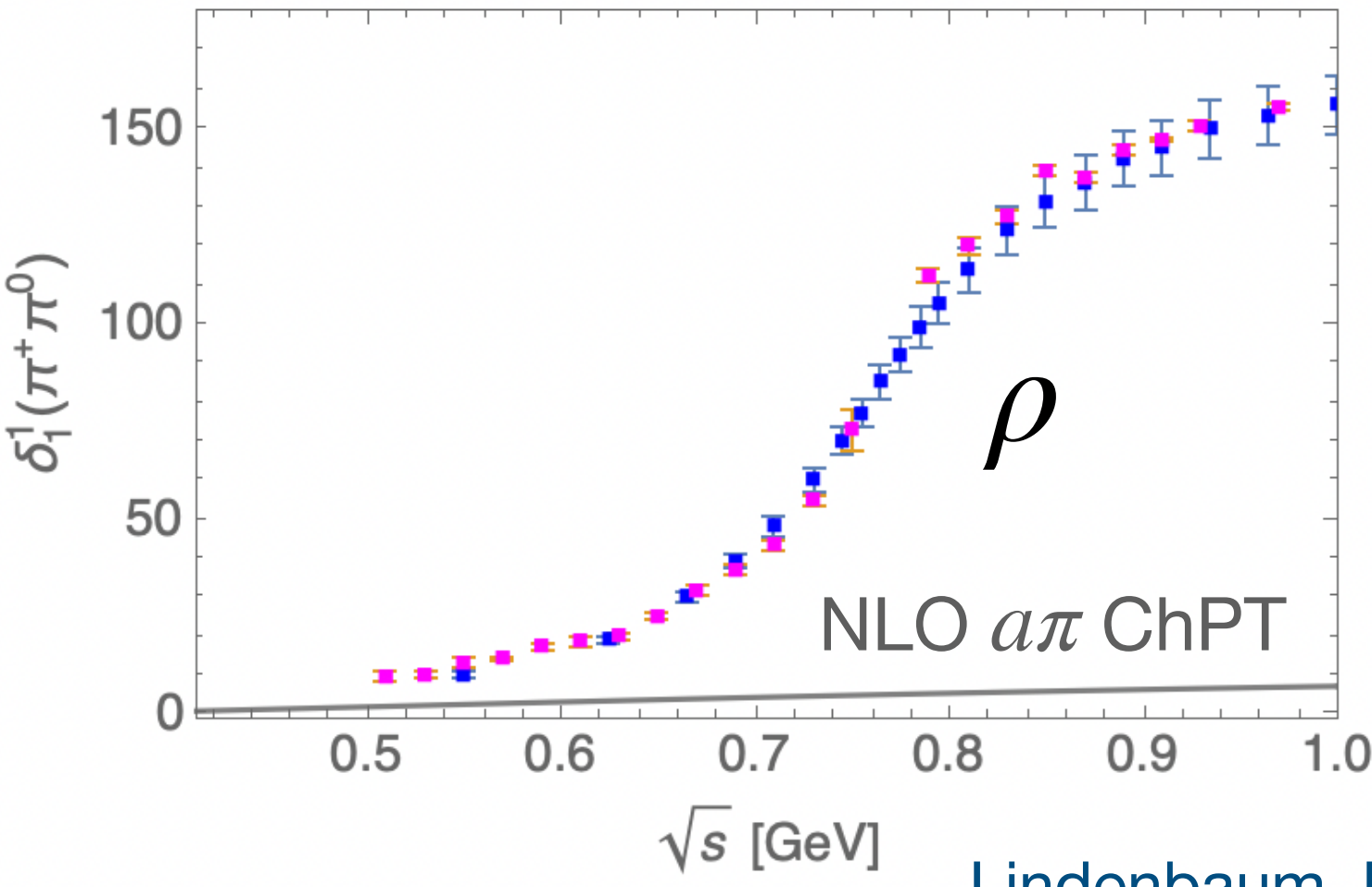
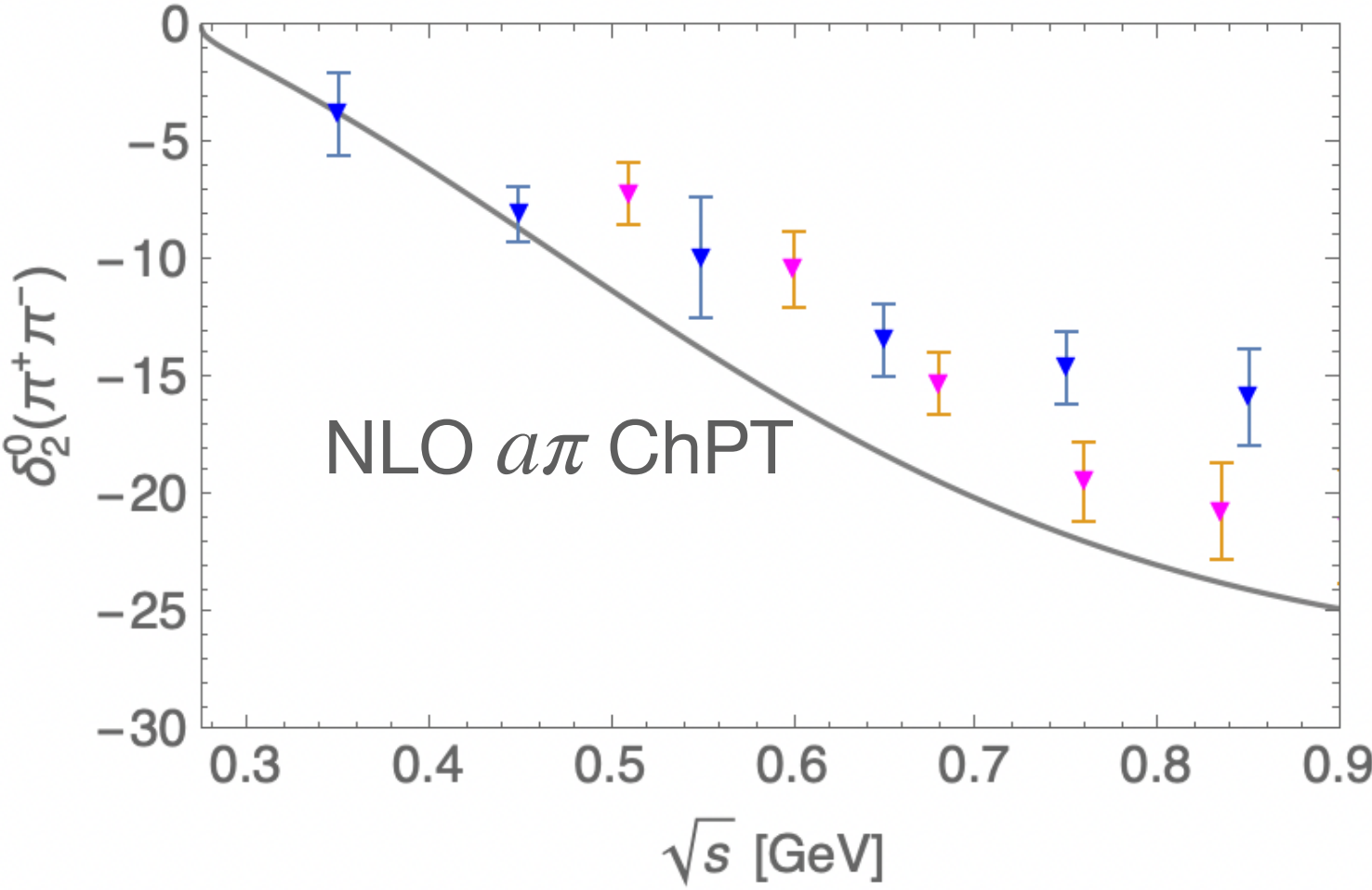
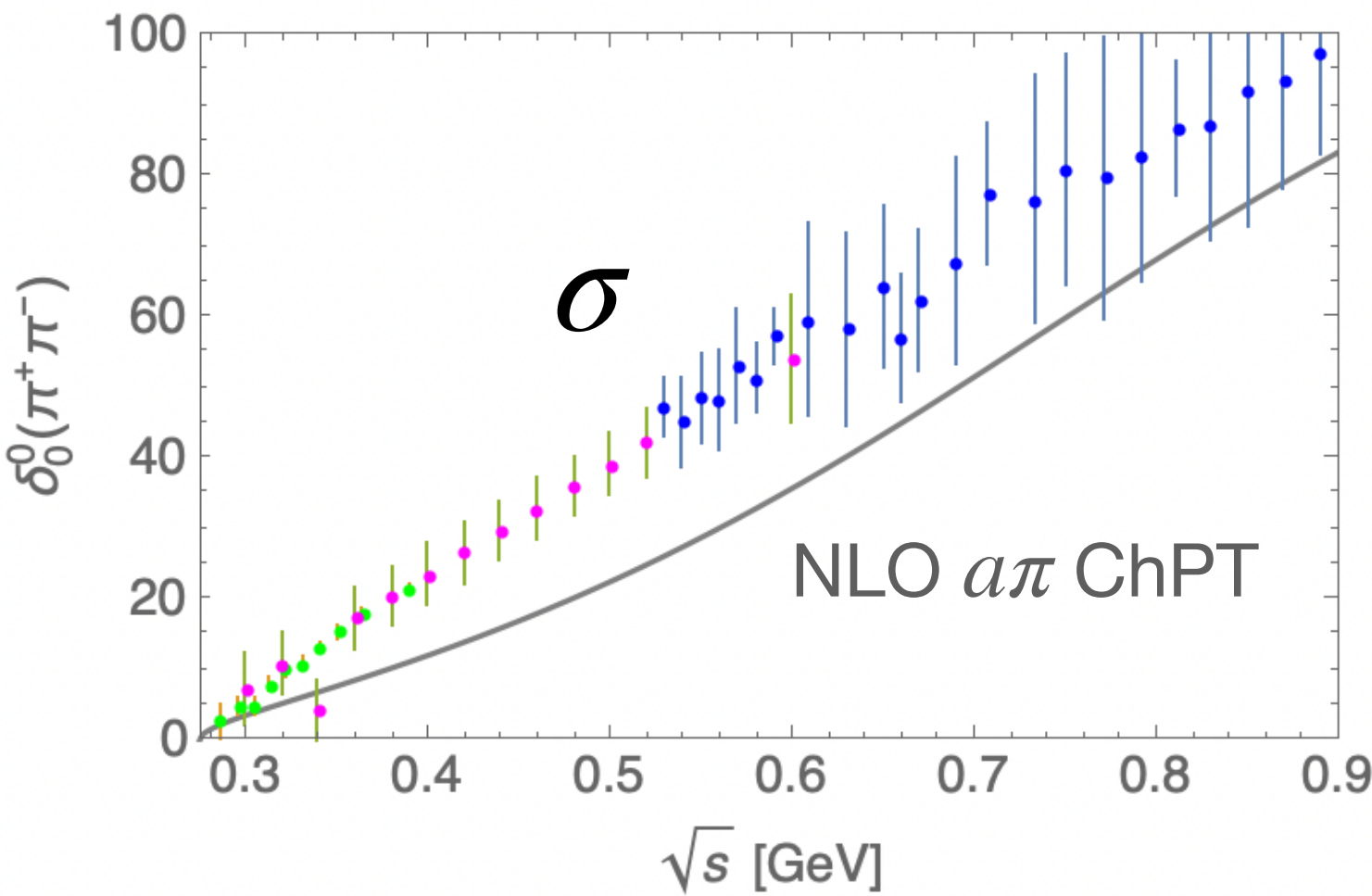
ChPT cannot produce resonances

$$\begin{cases} \sigma \text{ or } f_0(500) \text{ in } I = L = 0 \\ \rho(770) \text{ in } I = L = 1 \end{cases}$$



Unitarity (Watson theorem) $\Rightarrow (\delta_{a\pi})_I^\ell = (\delta_{\pi\pi})_I^\ell$ [K. M. Watson (1952)]

Comparing NLO $a\pi$ ChPT to $\pi\pi$ data: $(\delta_a)_I^\ell \neq (\delta_{\pi\text{-scatt}})_I^\ell$



Lindenbaum, Longacre '92
Estabrooks, Martin '74

.....

Unitarization to extend the validity of ChPT

❖ Inverse Amplitude Method (IAM): [Truong, PRL **61**, 2526]

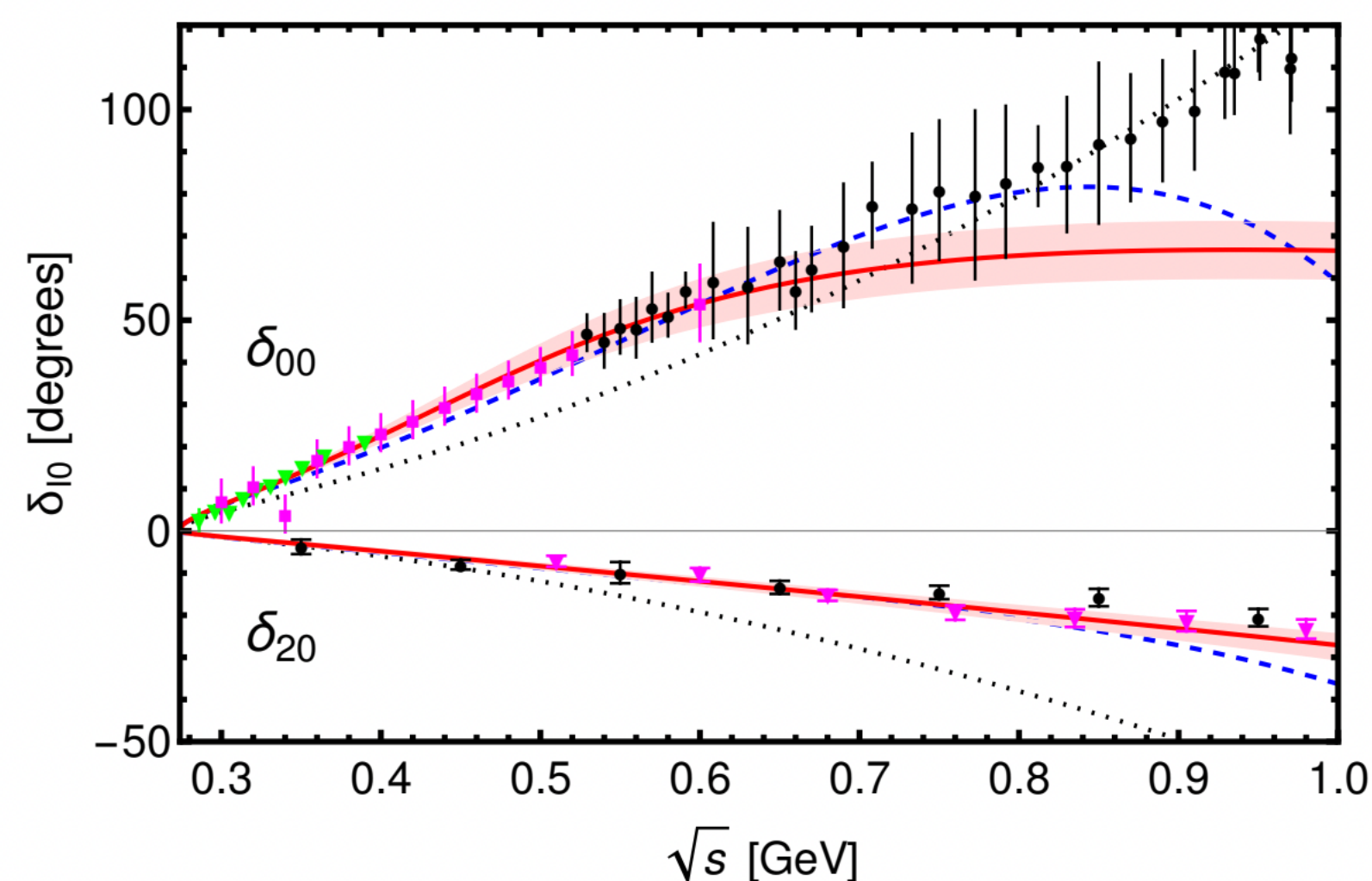
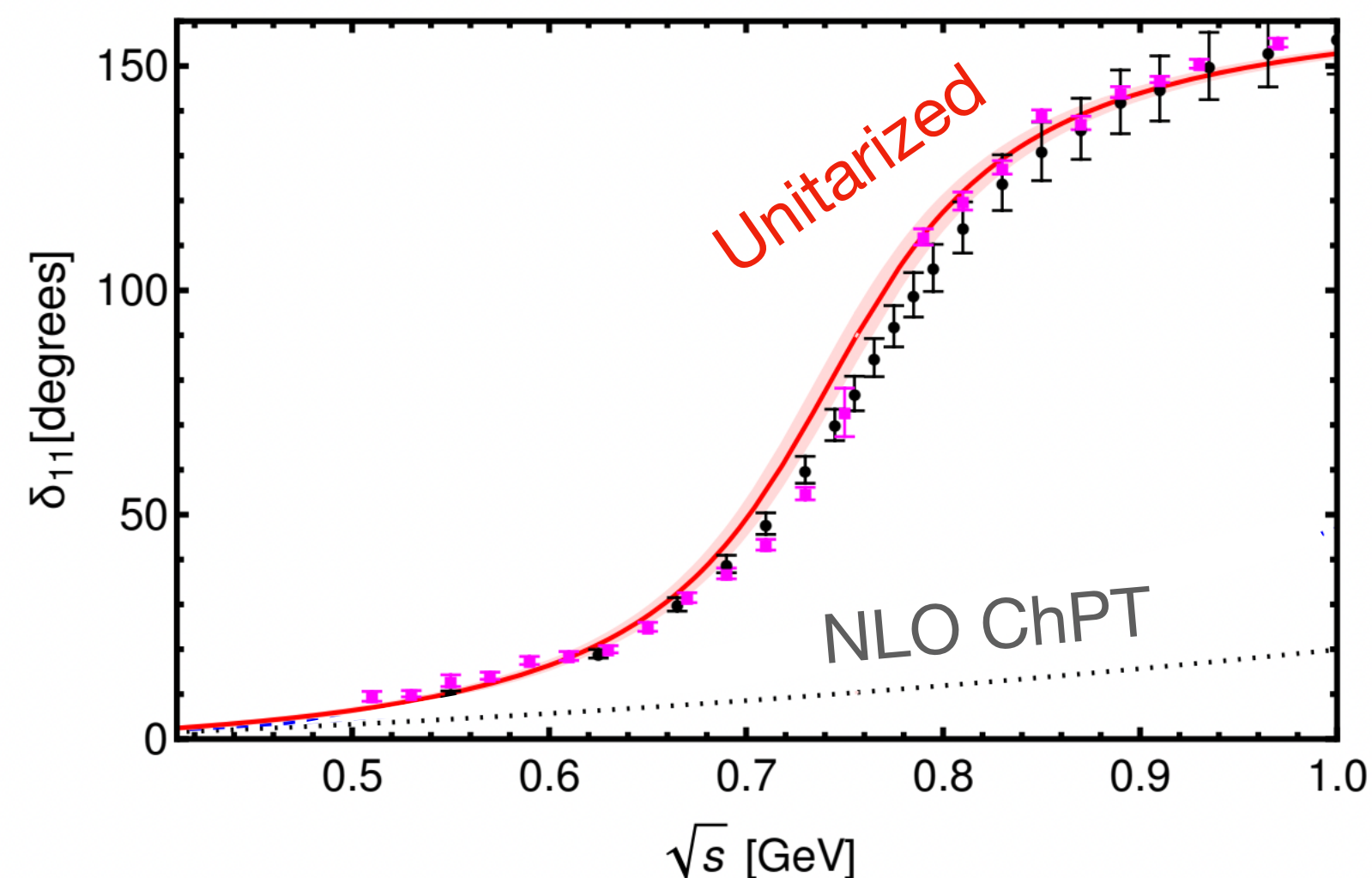
The IAM amplitude **satisfies exactly unitarity**, includes the **resonances**, and **reproduces ChPT** at low-energies

Definite I, J amplitudes

$$A_{IJ}(s) = A_{IJ}^{(2)}(s) + A_{IJ}^{(4)}(s) + \dots \quad \rightarrow \quad A_{IJ}^{\text{IAM}}(s) = \frac{A_{IJ}^{(2)}(s)}{1 - A_{IJ}^{(4)}(s)/A_{IJ}^{(2)}(s)}$$

IAM LECs from fit to $\pi\pi$ scatt. [Dobado, Pelaez 1997]

✓ Phases obtained in IAM correspond to phases of $\pi\pi$ scattering: Watson th. !

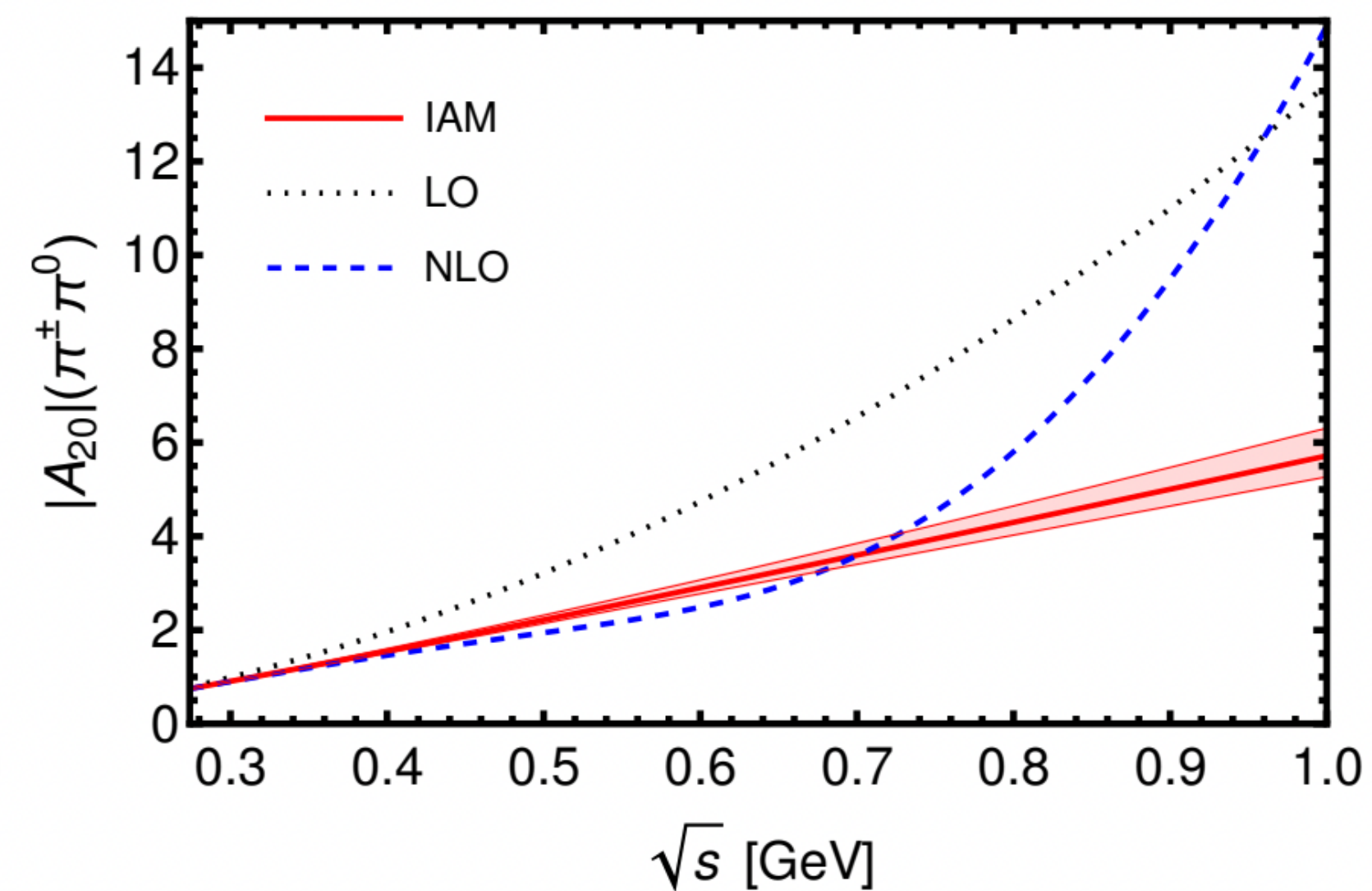
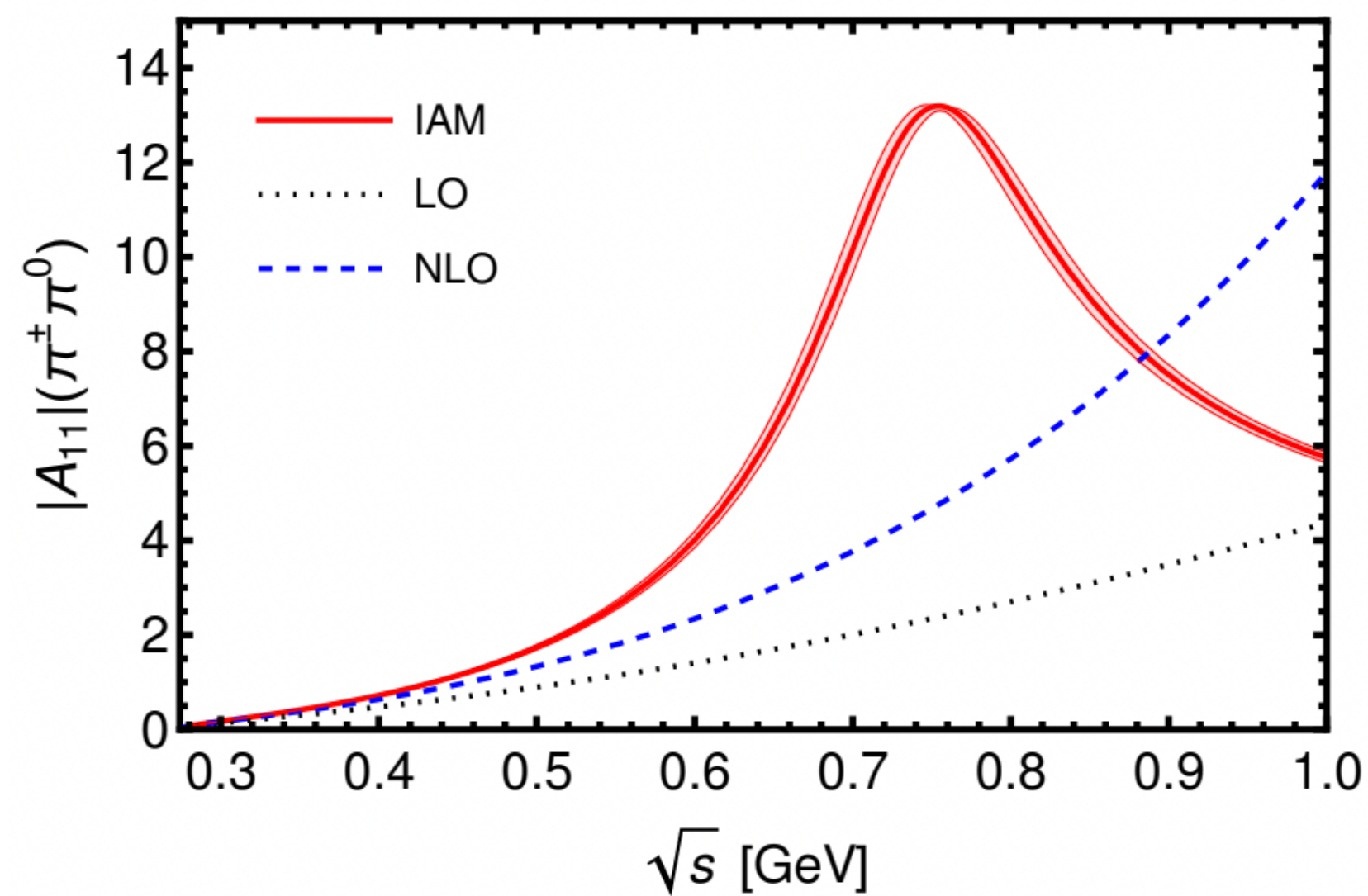
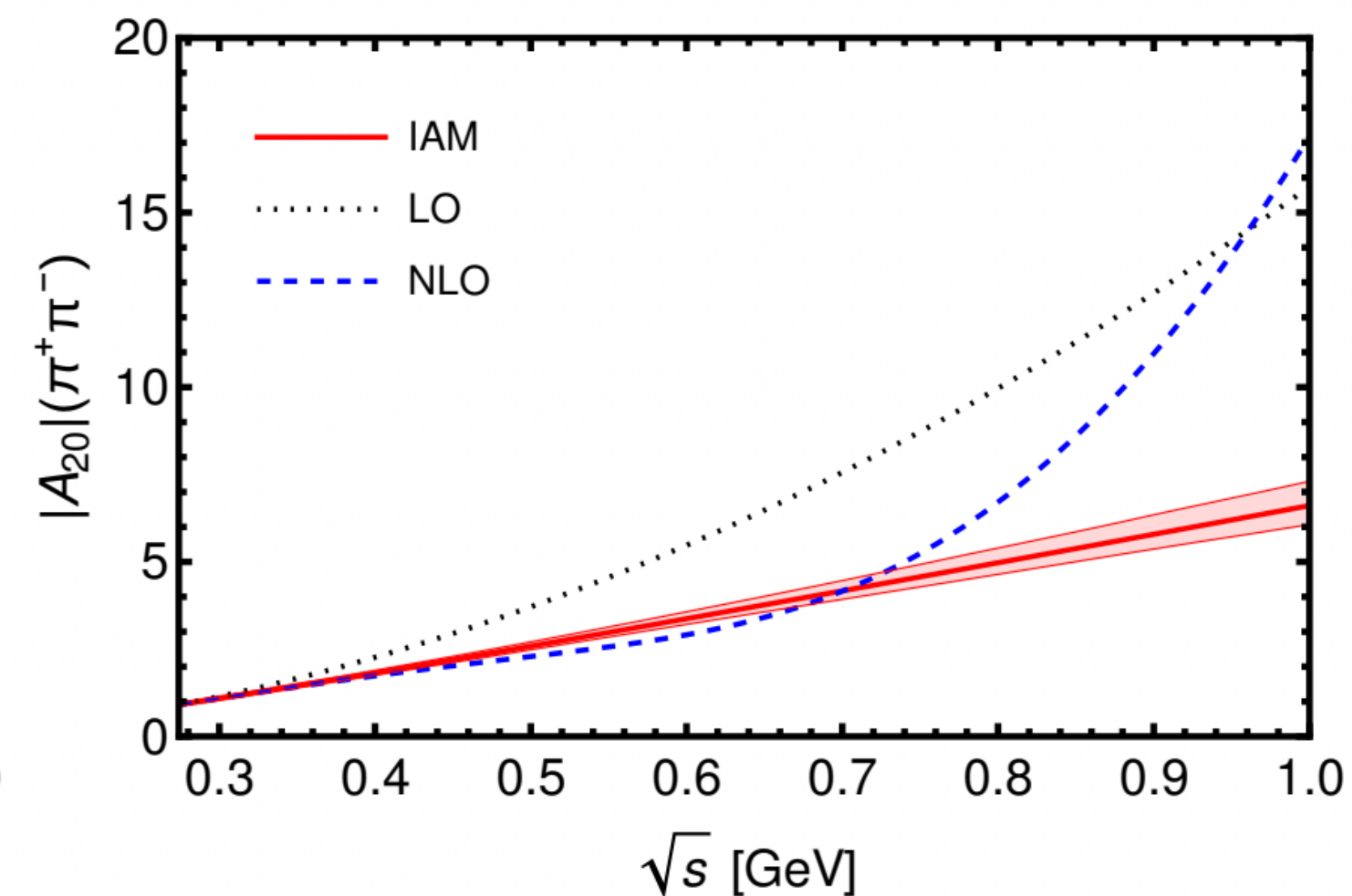
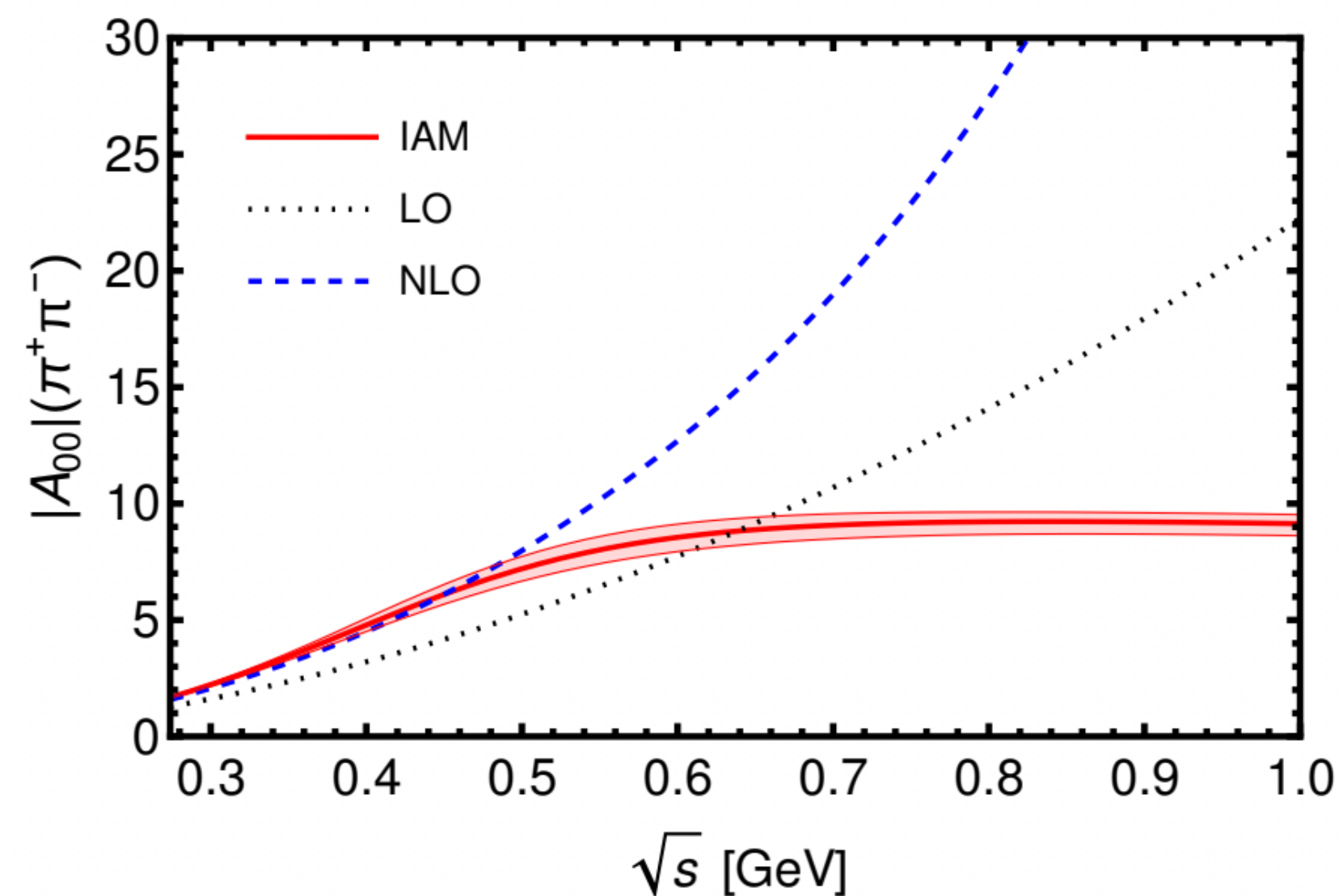


ChPT extended up to ~ 800 MeV

For $\pi\pi$ scattering see also [Schenk 1993]

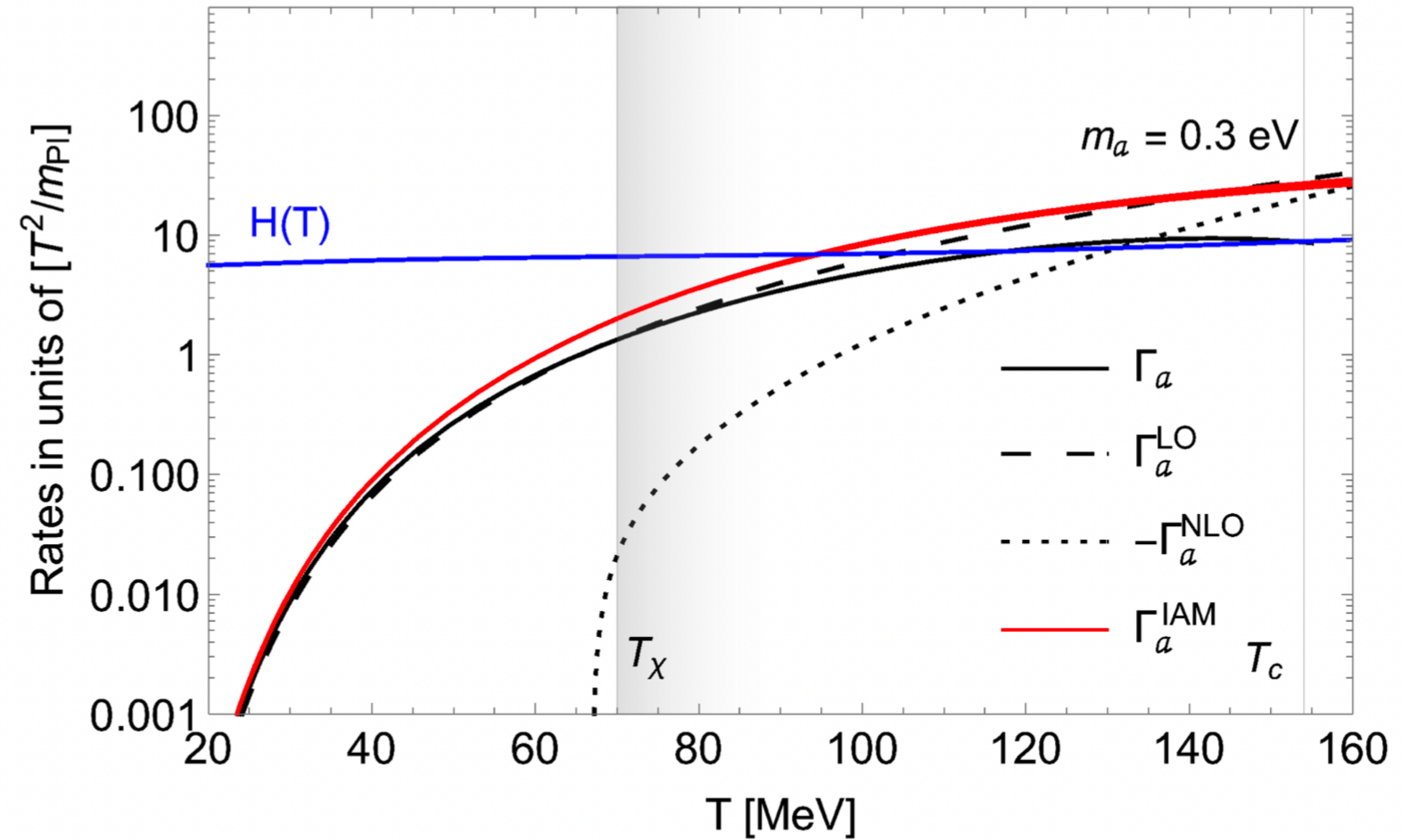
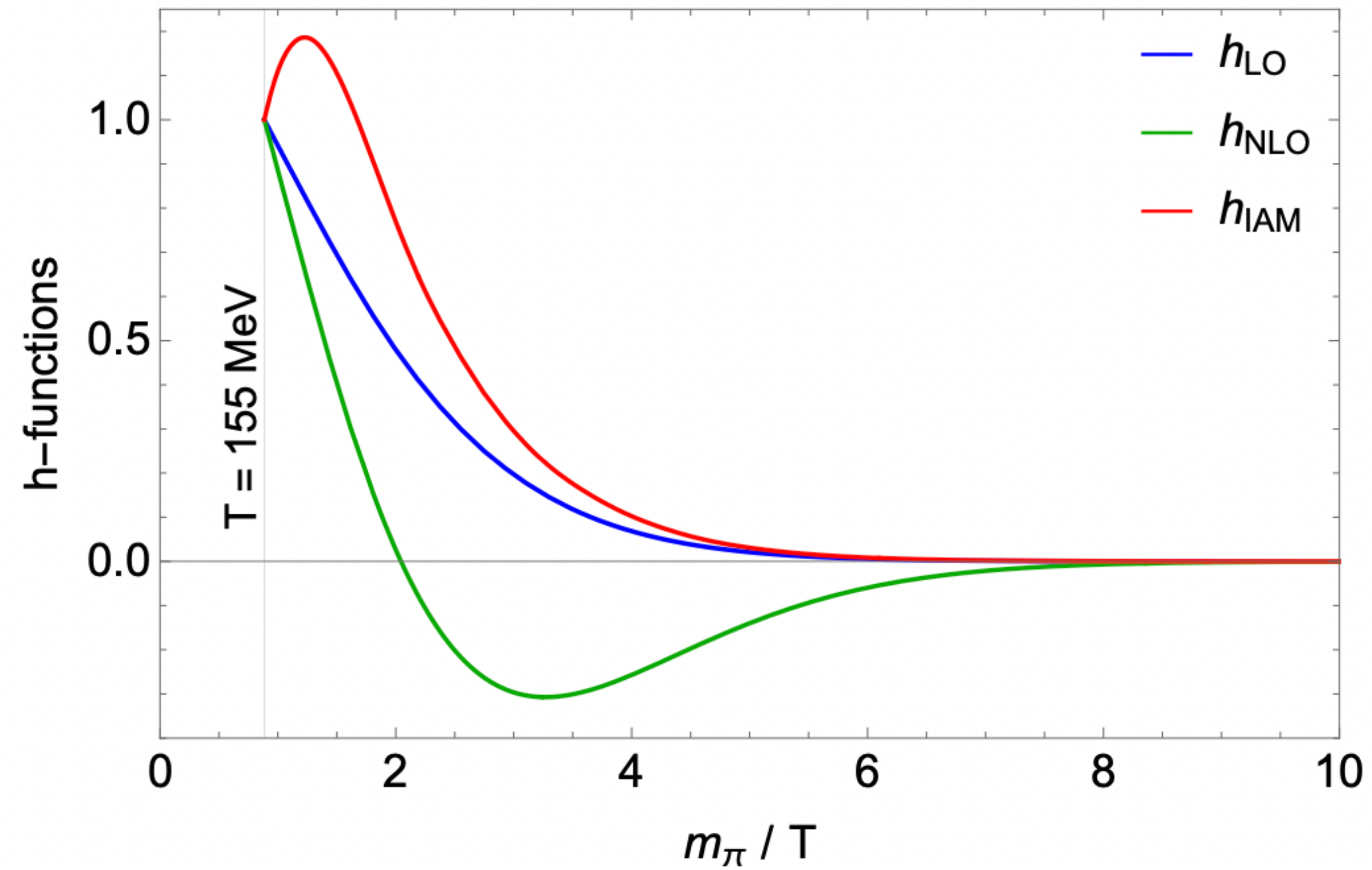
Partial wave amplitudes

Growth with energy of
ChPT amplitudes is
tamed by unitarization!



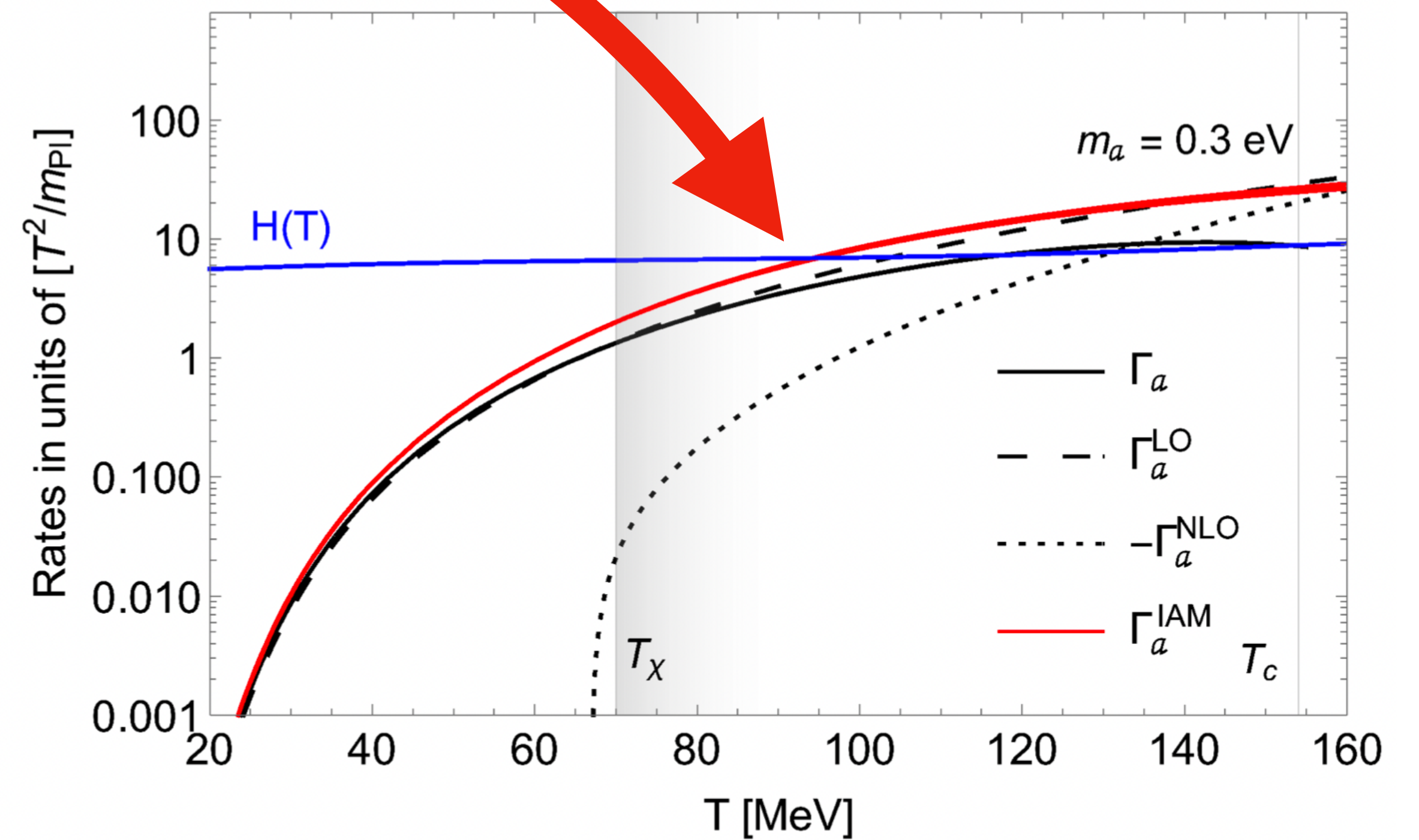
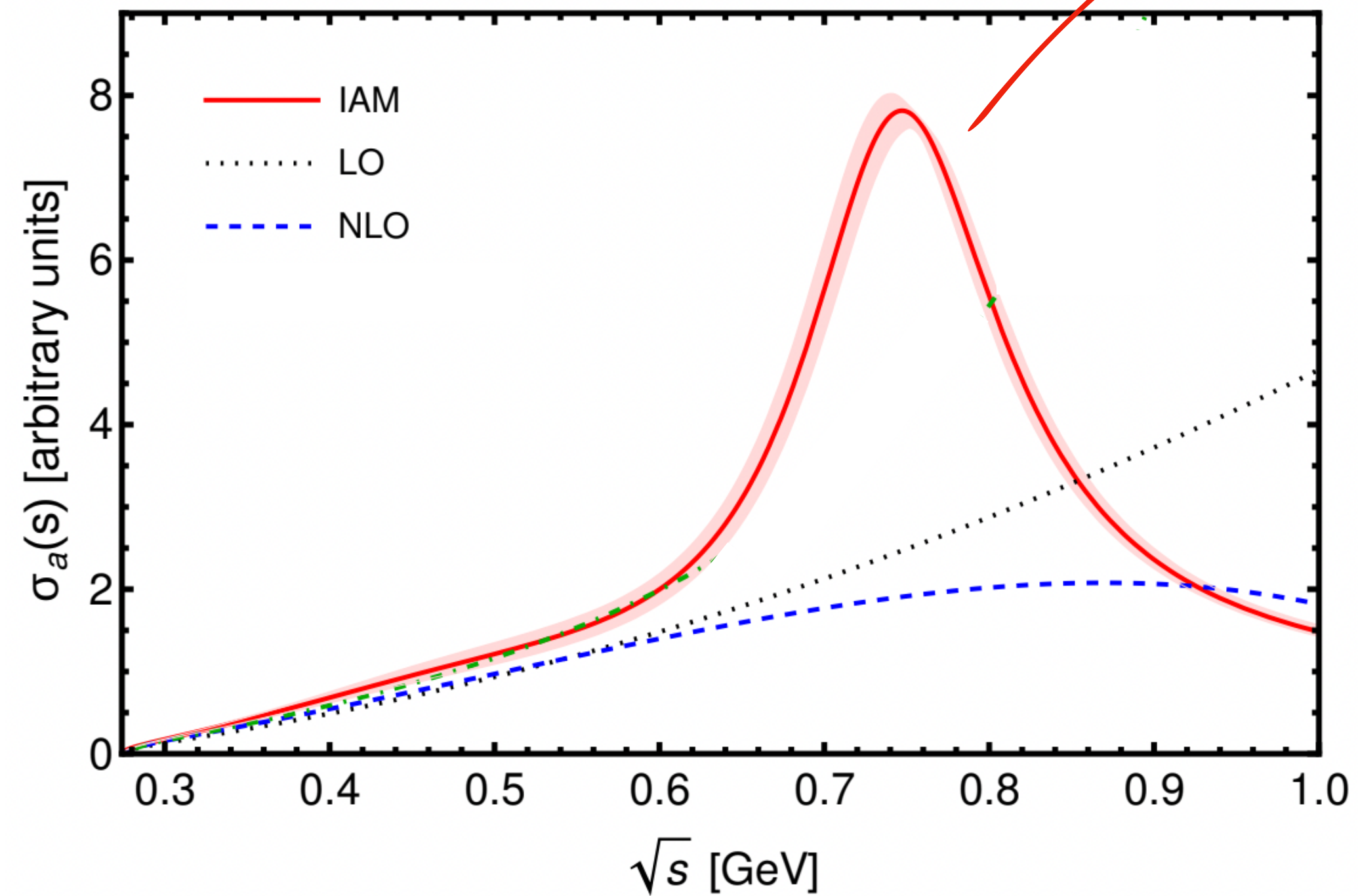
Thermal rate

$$\Gamma_a^{\text{IAM}}(T) = \left(\frac{C_{a\pi}}{f_a f_\pi} \right)^2 0.137 T^5 h_{\text{IAM}}(m_\pi/T)$$



Di Luzio, Camalich, Martinelli, Oller, **Piazza** [2211.05073]

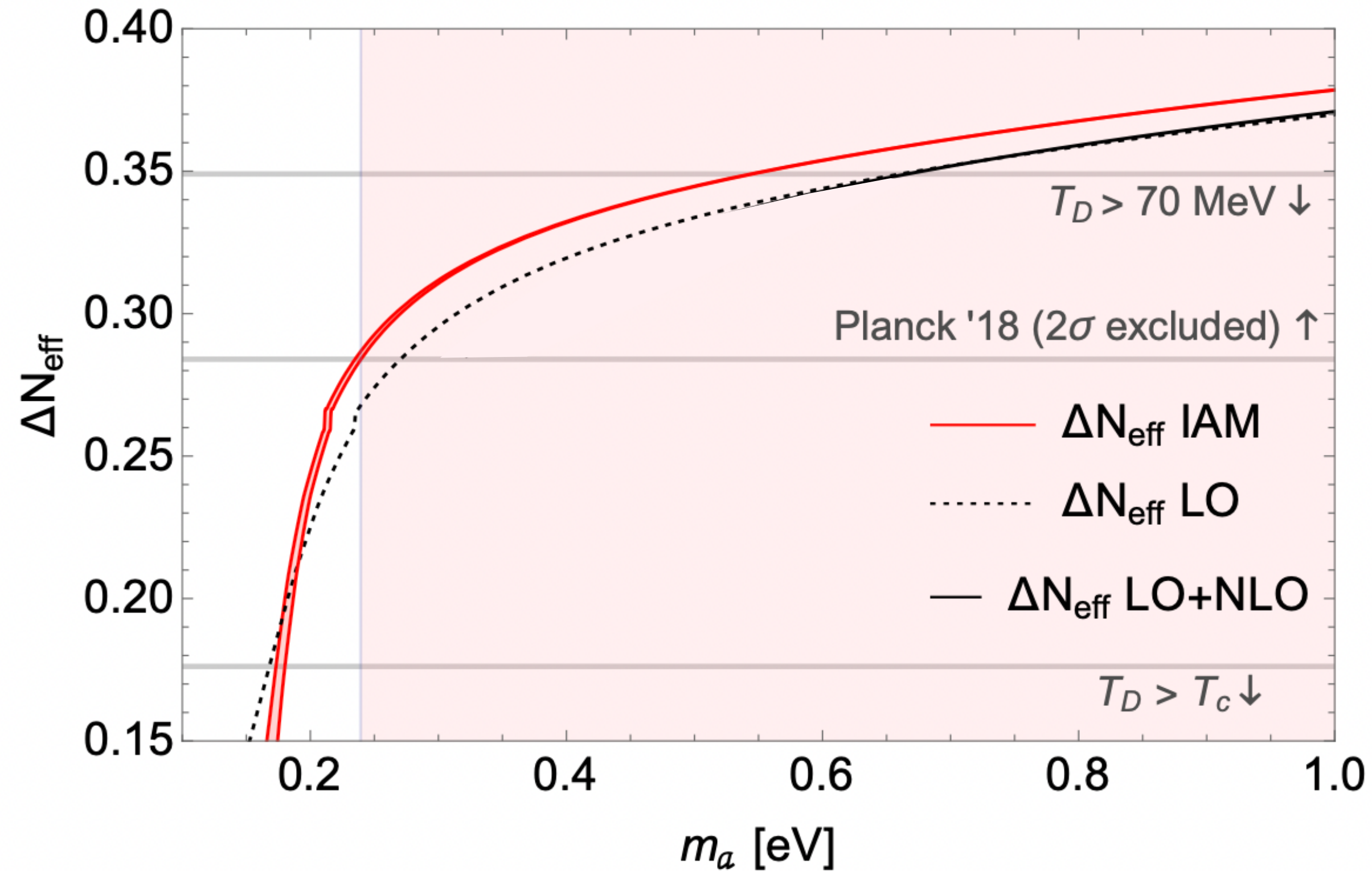
Thermal rate



ρ resonance appears at $\sqrt{s} \sim 750$ MeV $\rightarrow T \sim 100$ MeV

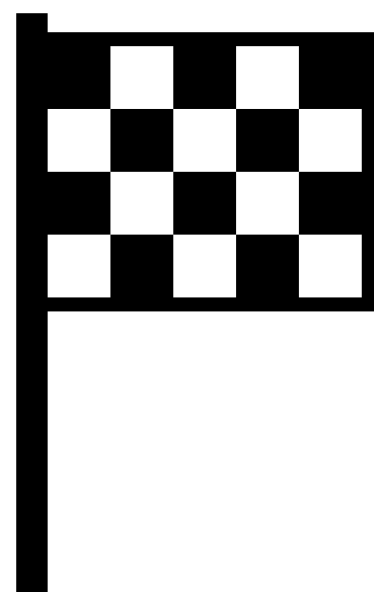
Similar results in [Notari, Rompineve, Villadoro \[2211.03799\]](#)

ΔN_{eff} : IAM vs LO

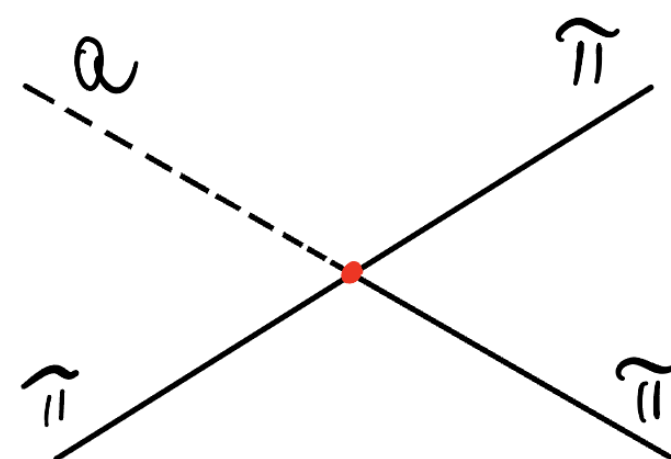


- ◆ LO and NLO ChPT reliable up to $T_D \sim 70 \text{ MeV}$
- ◆ IAM **conservative** upper bound: $m_a \leq 0.24 \text{ eV}$

Thermal corrections amount to 10% increase on the mass upper bound (see [2312.15240](#))



Conclusions



- ChPT above $T \sim 70$ MeV is unreliable
- **Unitarization** provides a way to **extend ChPT** including resonances and satisfying unitarity
- IAM Hot Dark Matter bound $m_a \leq 0.24$ eV

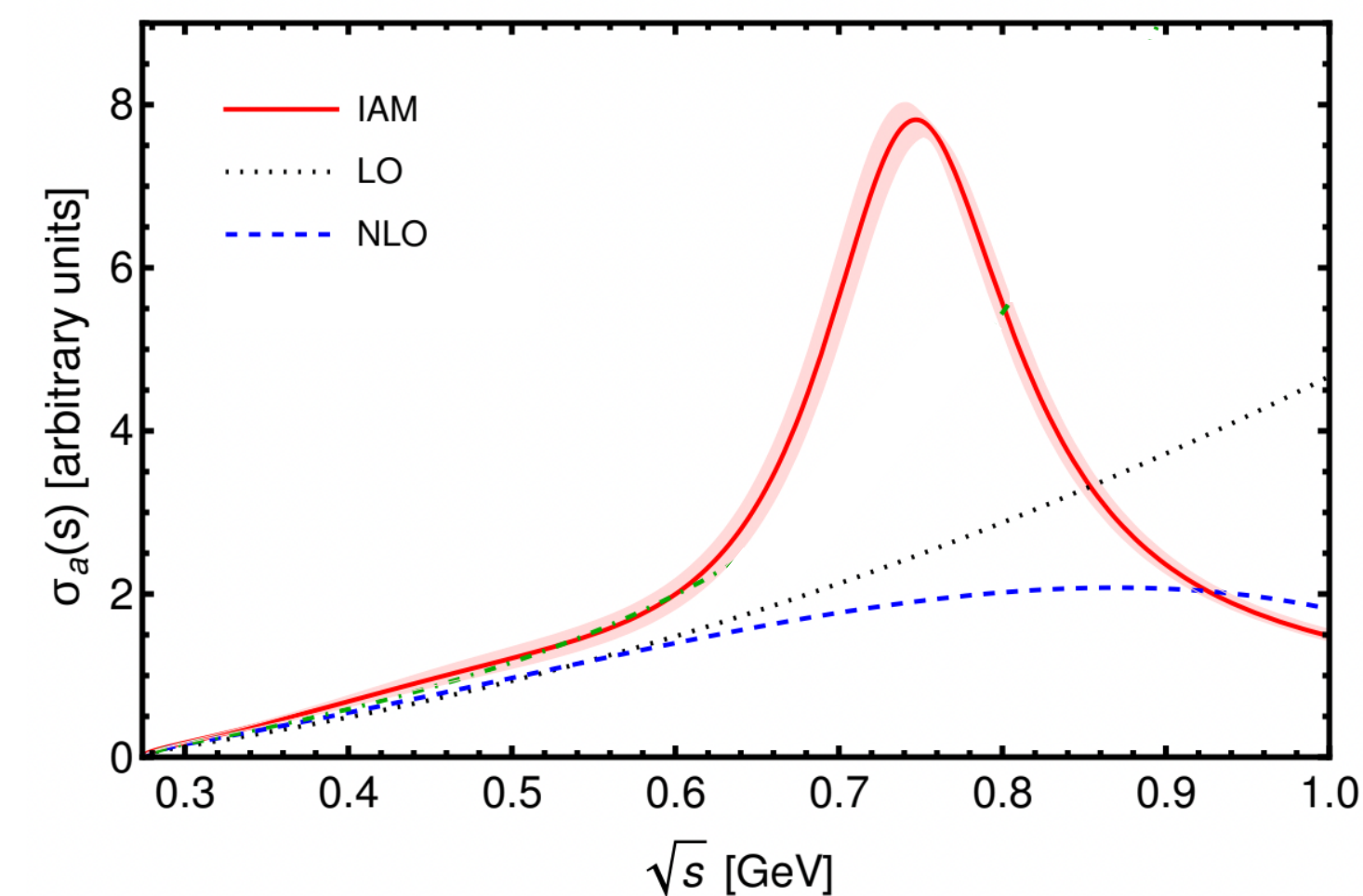
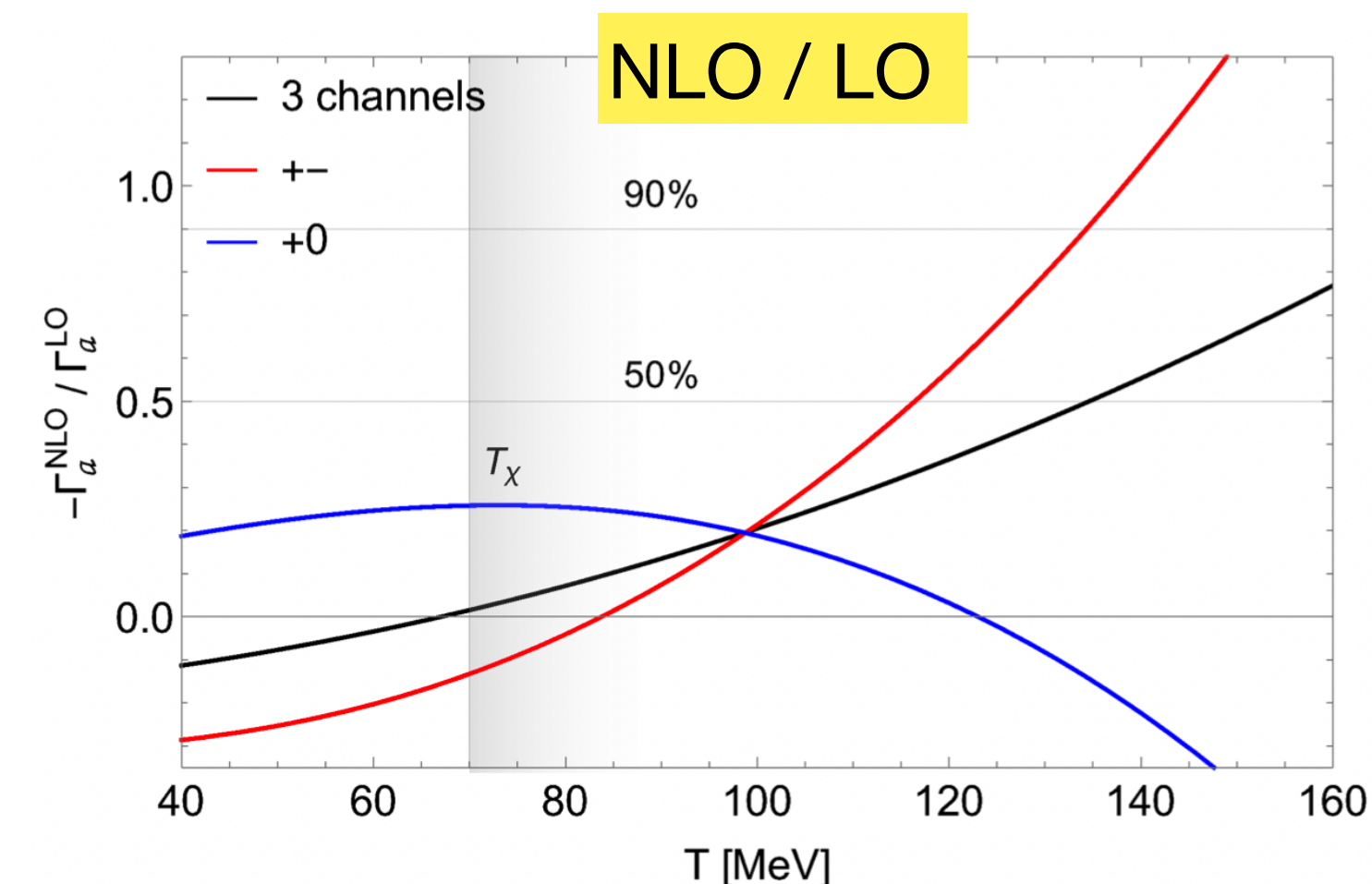
Huge effort from different groups leads to concordant results, although using different methods and cosmological analysis

[2211.03799] Notari, Rompineve, Villadoro $m_a \leq 0.24$ eV

[2212.11926] Di Valentino, Gariazzo, Giarè, Melchiorri, Mena, Renzi $m_a \leq 0.21$ eV

[2310.08169] Bianchini, di Cortona, Valli $m_a \leq 0.18$ eV

[2312.15240] Wang, Guo, Zhou $m_a \leq 0.25$ eV



Thanks for the attention!

Backup

Axion-Pion scattering: Next-to-Leading Order

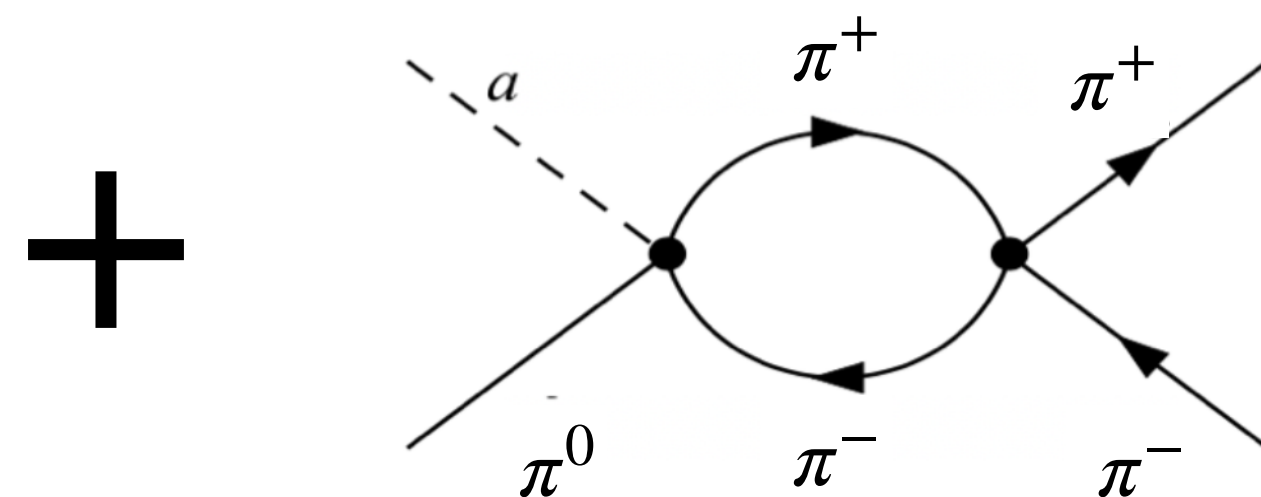
Ingredients

Tree-level graph from NLO Lagrangian and loop amplitudes from LO Lagrangian contributes to the same Order

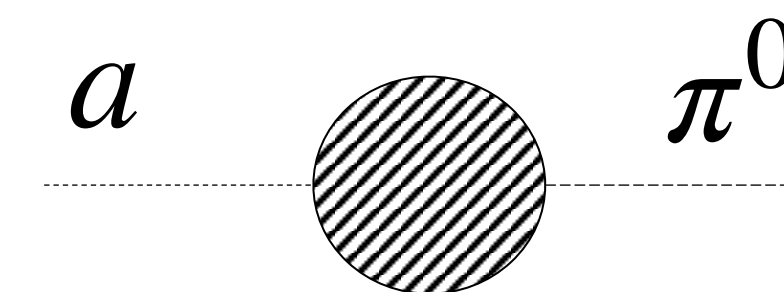
$$\begin{aligned}
 \mathcal{L}_{\text{NLO}} = & \frac{l_1}{4} \left\{ \text{Tr} \left[D_\mu U (D^\mu U)^\dagger \right] \right\}^2 + \frac{l_2}{4} \text{Tr} \left[D_\mu U (D_\nu U)^\dagger \right] \text{Tr} \left[D^\mu U (D^\nu U)^\dagger \right] \\
 & + \frac{l_3}{16} \left[\text{Tr} \left(\chi U^\dagger + U \chi^\dagger \right) \right]^2 + \frac{l_4}{4} \text{Tr} \left[D_\mu U (D^\mu \chi)^\dagger + D_\mu \chi (D^\mu U)^\dagger \right] \\
 & + l_5 \left[\text{Tr} \left(f_{\mu\nu}^R U f_L^{\mu\nu} U^\dagger \right) - \frac{1}{2} \text{Tr} \left(f_{\mu\nu}^L f_L^{\mu\nu} + f_{\mu\nu}^R f_R^{\mu\nu} \right) \right] \quad \text{NLO Lagrangian} \\
 & + i \frac{l_6}{2} \text{Tr} \left[f_{\mu\nu}^R D^\mu U (D^\nu U)^\dagger + f_{\mu\nu}^L (D^\mu U)^\dagger D^\nu U \right] \\
 & - \frac{l_7}{16} \left[\text{Tr} \left(\chi U^\dagger - U \chi^\dagger \right) \right]^2 + \frac{h_1 + h_3}{4} \text{Tr} \left(\chi \chi^\dagger \right) + \frac{h_1 - h_3}{16} \left\{ \left[\text{Tr} \left(\chi U^\dagger + U \chi^\dagger \right) \right]^2 \right. \\
 & \left. + \left[\text{Tr} \left(\chi U^\dagger - U \chi^\dagger \right) \right]^2 - 2 \text{Tr} \left(\chi U^\dagger \chi U^\dagger + U \chi^\dagger U \chi^\dagger \right) \right\} - 2h_2 \text{Tr} \left(f_{\mu\nu}^L f_L^{\mu\nu} + f_{\mu\nu}^R f_R^{\mu\nu} \right)
 \end{aligned}$$

NLO chiral axial
current J_μ^a

$$\mathcal{L}_a^\chi \supset \frac{\partial^\mu a}{f_a} \text{Tr} \frac{1}{2} [c_q \sigma^a] J_\mu^a$$



1-loop amplitudes
from LO

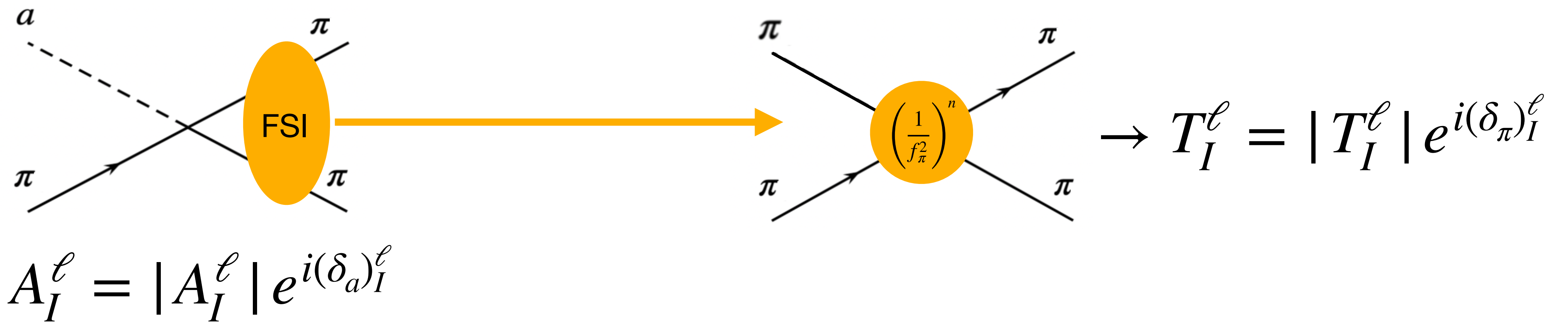
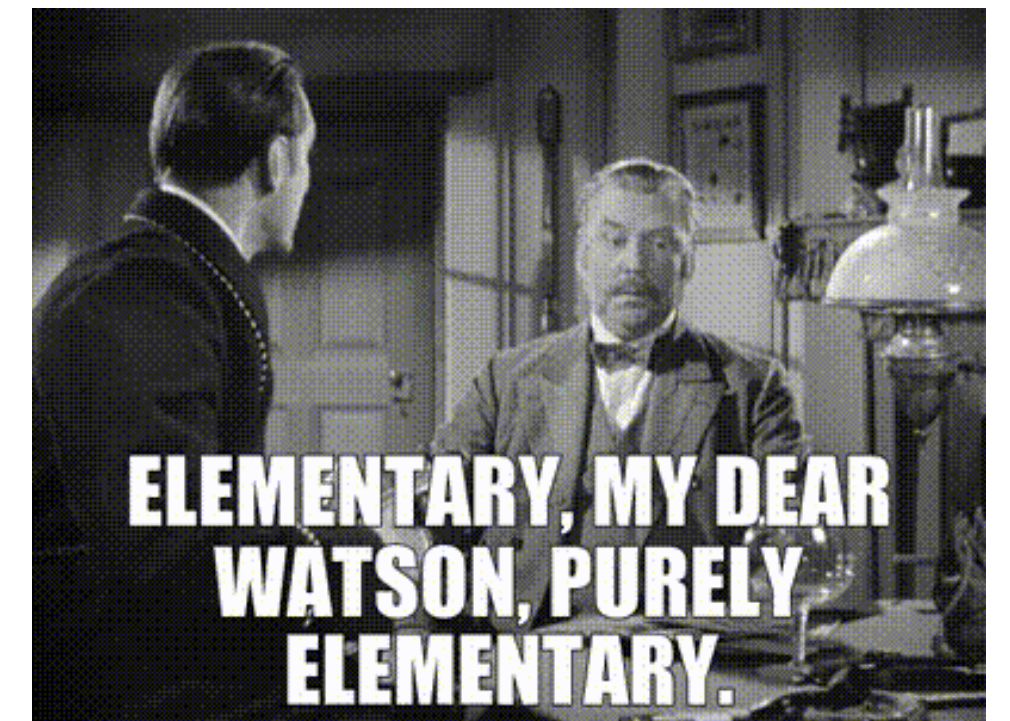


$a - \pi_0$
diagonalization

Watson Theorem

[K. M. Watson, *Phys. Rev.* 88, 1163 (1952)]

The axion interacts weakly, but $\pi\pi$ final-state interactions are strong and resonant



$$T^\dagger T = i(T^\dagger - T)$$

$$\text{Im } A_I^\ell(s) = \frac{\sigma(s)}{32\pi} A_I^\ell(s) T_I^\ell(s)^* \theta(s - 4m_\pi^2)$$

$$\sigma = \sqrt{1 - 4m^2/s}$$

Unitarity $\Rightarrow (\delta_a)_I^\ell = (\delta_\pi)_I^\ell$

IAM “derivation”

[Truong, PRL **61**, 2526]

$$\text{Im } t(s) = \sigma(s)|t(s)|^2 \Rightarrow \text{Im} \frac{1}{t(s)} = -\sigma(s)$$

$$t(s) = \frac{1}{\text{Re } t^{-1}(s) - i\sigma(s)}$$

$$\sigma = \sqrt{1 - 4m^2/s}$$

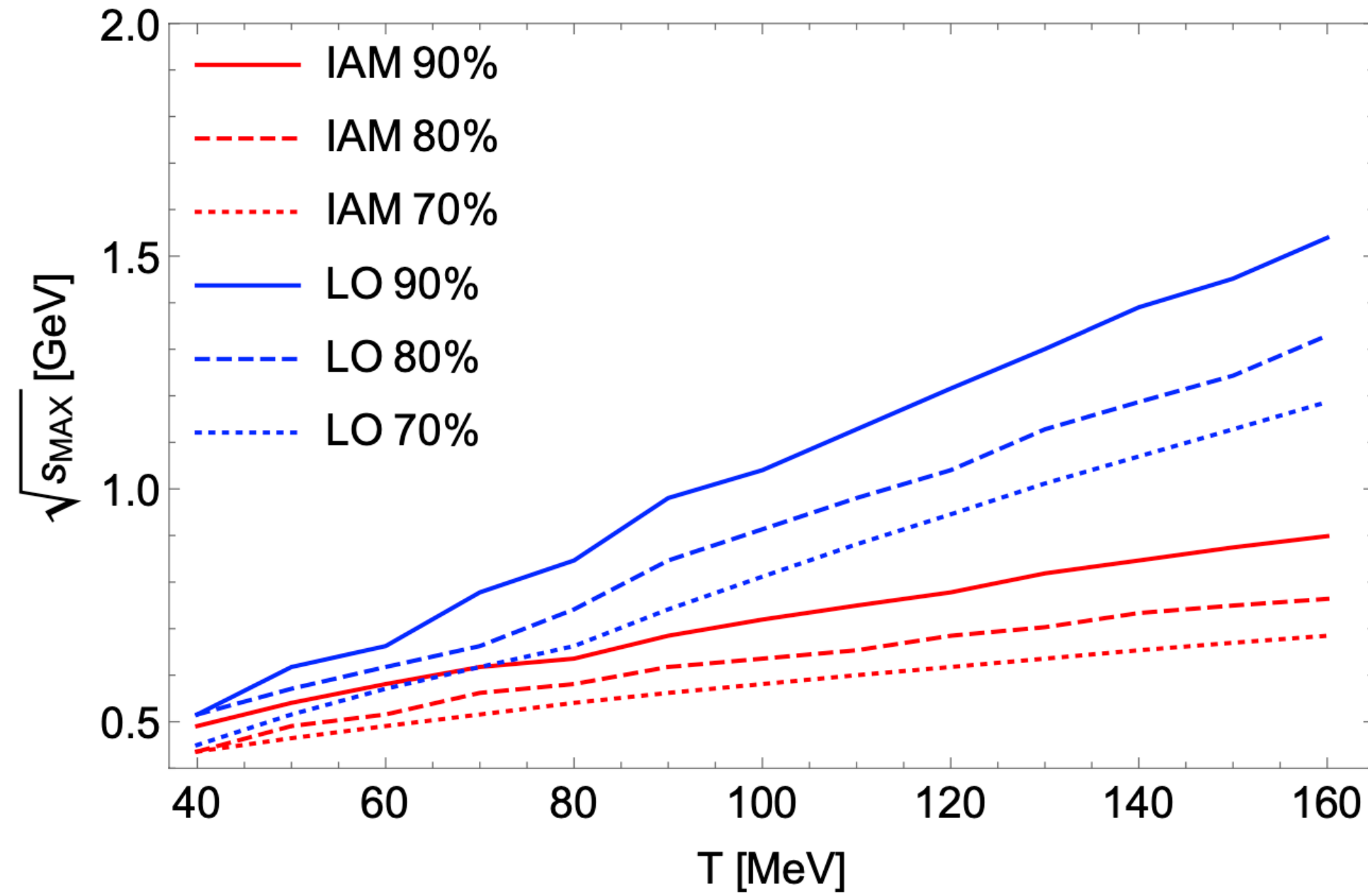
Replace $\text{Re } t^{-1}$ by $\mathcal{O}(p^4)$ ChPT expansion

$$t^{\text{IAM}}(s) \simeq \frac{t_2^2(s)}{t_2(s) - t_4(s)},$$

satisfies unitarity

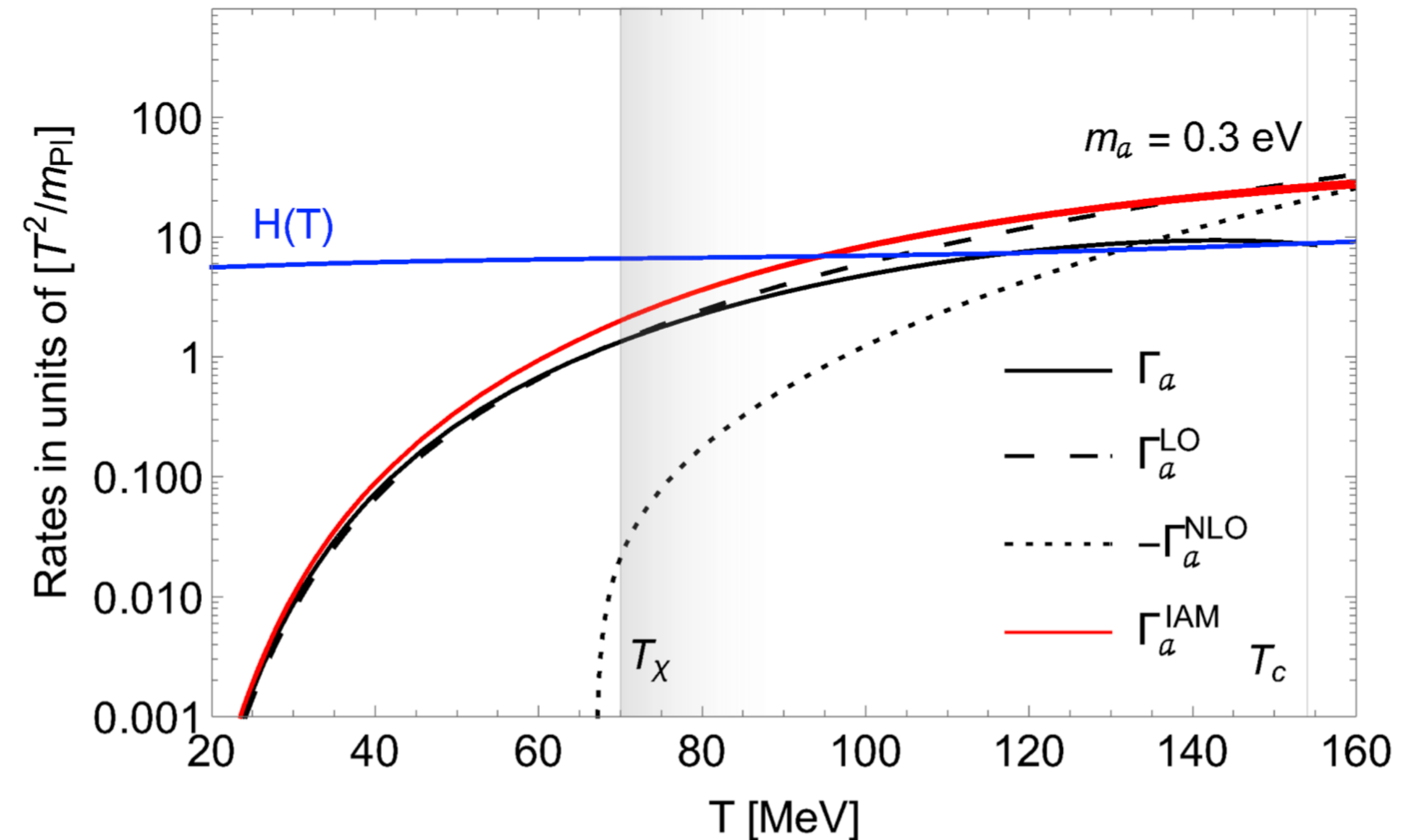
Reproduces simultaneously the low-energy expansion (Padé approx.) and the lightest resonances without including them explicitly in the Lagrangian

Energy contributions to thermal rate



- Error: difference between the total thermal rate in IAM and the one cut at $\sqrt{s_{\text{MAX}}} < 1$ GeV

- At least 90% of the contribution to the thermal rates in IAM stems from $\sqrt{s} < 1$ GeV, where IAM is under control



ΔN_{eff} , the origins

$$\rho = \rho_\gamma + \rho_\nu + \rho_a$$

$$= \rho_\gamma \left[1 + \frac{7}{8} \left(\frac{T_\nu}{T_\gamma} \right)^4 N_{\text{eff}}^{\text{SM}} + \frac{1}{2} \left(\frac{T_a}{T_\gamma} \right)^4 \right]$$

$$= \rho_\gamma \left[1 + \frac{7}{8} \left(\frac{T_\nu}{T_\gamma} \right)^4 N_{\text{eff}} \right]$$

$$\frac{T_a}{T_\nu} = \left(\frac{43}{4g_S(T_D)} \right)^{1/3}$$

$$\Delta N_{\text{eff}} = N_{\text{eff}} - N_{\text{eff}}^{\text{SM}} = \frac{4}{7} \left(\frac{T_a}{T_\nu} \right)^4$$

$$\Delta N_{\text{eff}} = 0.027 \left(\frac{106.75}{g_S(T_D)} \right)^{4/3}$$

Effects of N_{eff} on the CMB

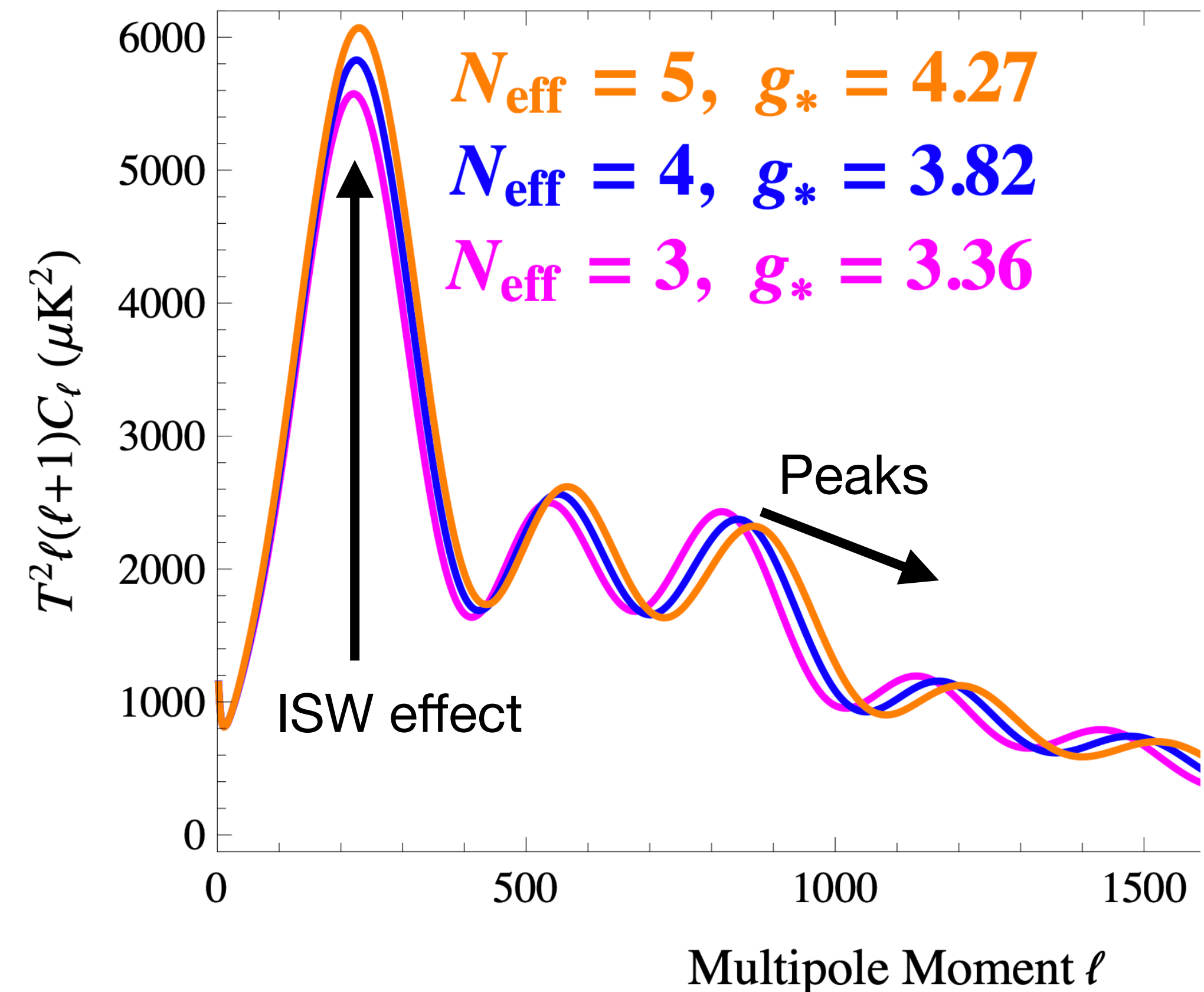
- $N_{\text{eff}} \uparrow \Rightarrow H \uparrow$, time for photons diffusion in the plasma decreases, reducing Silk damping and restricting it to higher ℓ . $\ell_{\text{dump}} \uparrow$
- $H \uparrow$ Acoustic oscillation length scale decreases, increasing the sound horizon. $\ell_{\text{sound}} \uparrow$
- Overall less dumping but more peaks dumped.
 $H \uparrow \Rightarrow \ell_s / \ell_d \uparrow$
- Also, gravitational red/blue shift increased on 1st peak scales (ISW)

[Silk, *Astrophys.J.* 151 (1968)]

[Sachs, Wolfe, *Astrophys. J.* 147 (1967)]

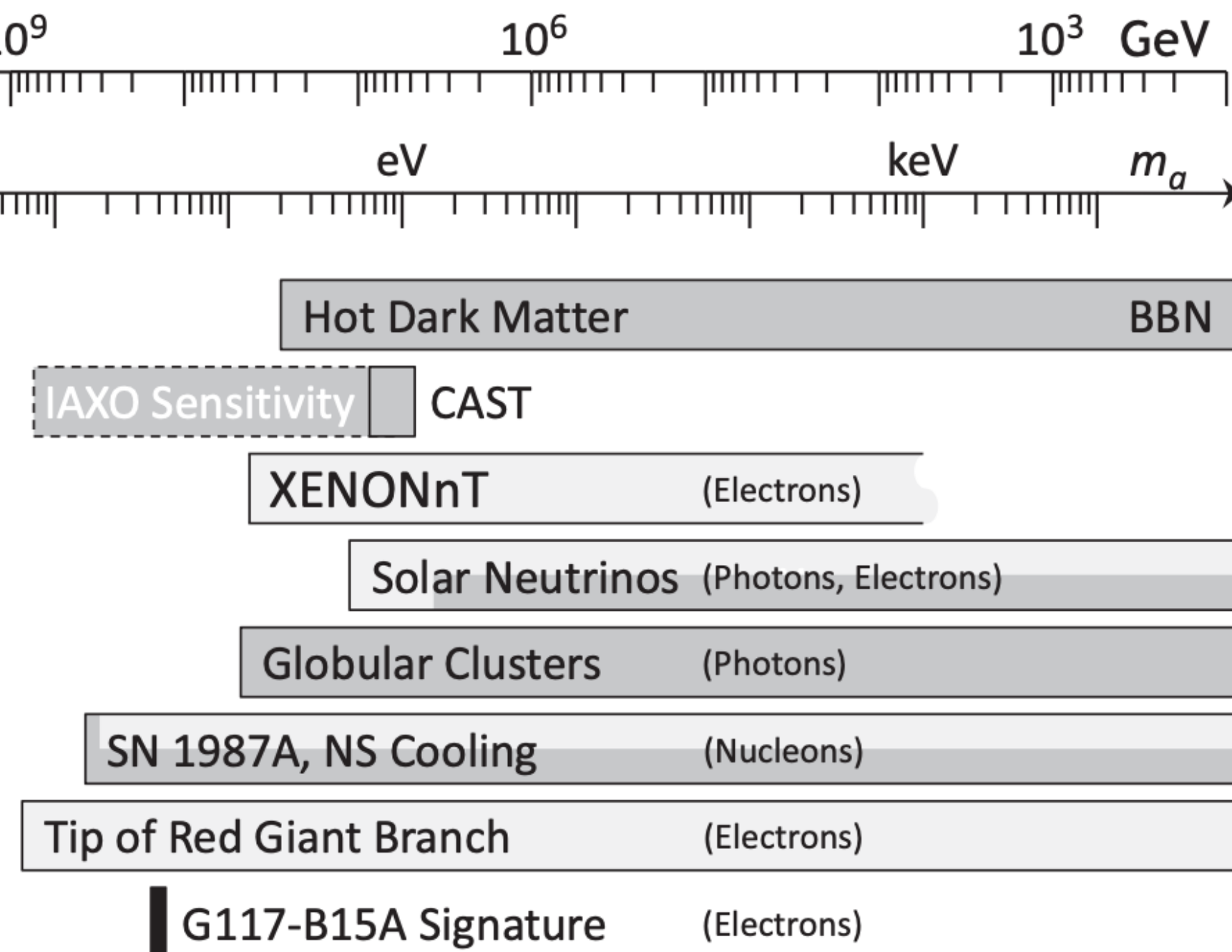
[Bowen, Hansen, Melchiorri, Silk, Trotta, arXiv: astro-ph/0110636]

[Brust, Kaplan, Walters, arXiv:1303.5379]



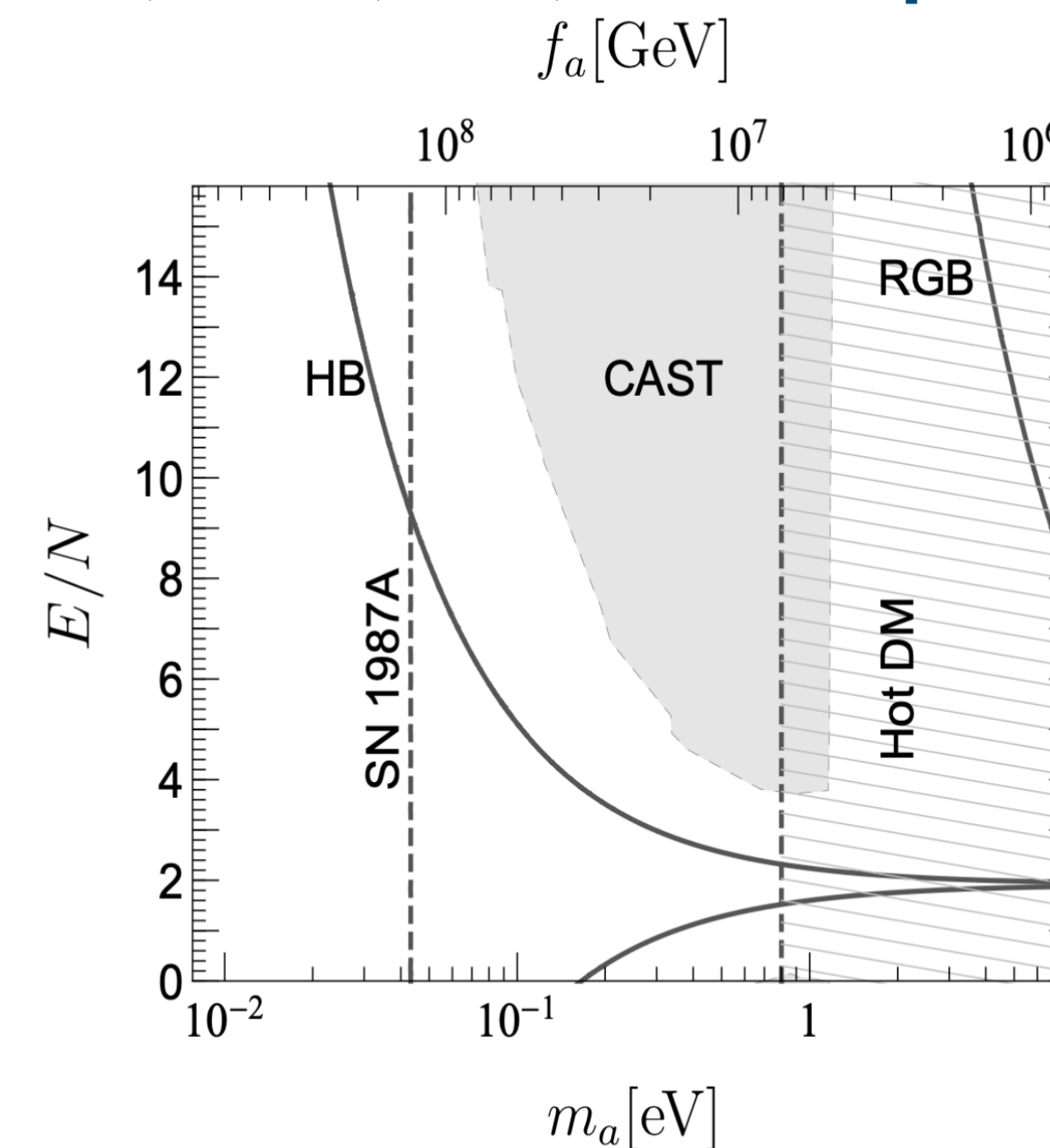
[Brust, Kaplan, Walters, arXiv:1303.5379]

ASTRO Bounds



From [Caputo, Raffelt (2024)]

- $g_{ae}^0 = 0$ in KSVZ models
- SN bound - large astrophysical uncertainties
see e.g. [Bar, Blum, D'Amico, 1907.05020]
- $g_{a\gamma}$ can be accidentally suppressed
[Di Luzio, Mescia, Nardi, 1705.05370]



[Di Luzio et al., Phys. Rept. **870** (2020)]