



NATIONAL SCIENCE CENTRE
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Nucleation rate with out-of-eq effects

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UNIWERSYTET
WARSZAWSKI

EWPT $\sim 10^{-12}$ s

We are here!

Interesting physics here!

CMB emission

10^{-32} seconds

1 microsecond

3 minutes

380,000 years

200 million years

400 million years

10 billion years

13.8 billion years

Inflation

Initial expansion

First Particles

Neutrons, protons, and electrons form

First Nuclei

Helium and hydrogen form

First Light

The first atoms form

First Stars

Gas and dust condense into stars

Galaxies & Dark Matter

Galaxies form in dark matter cradles

Dark Energy

Expansion accelerates

Today

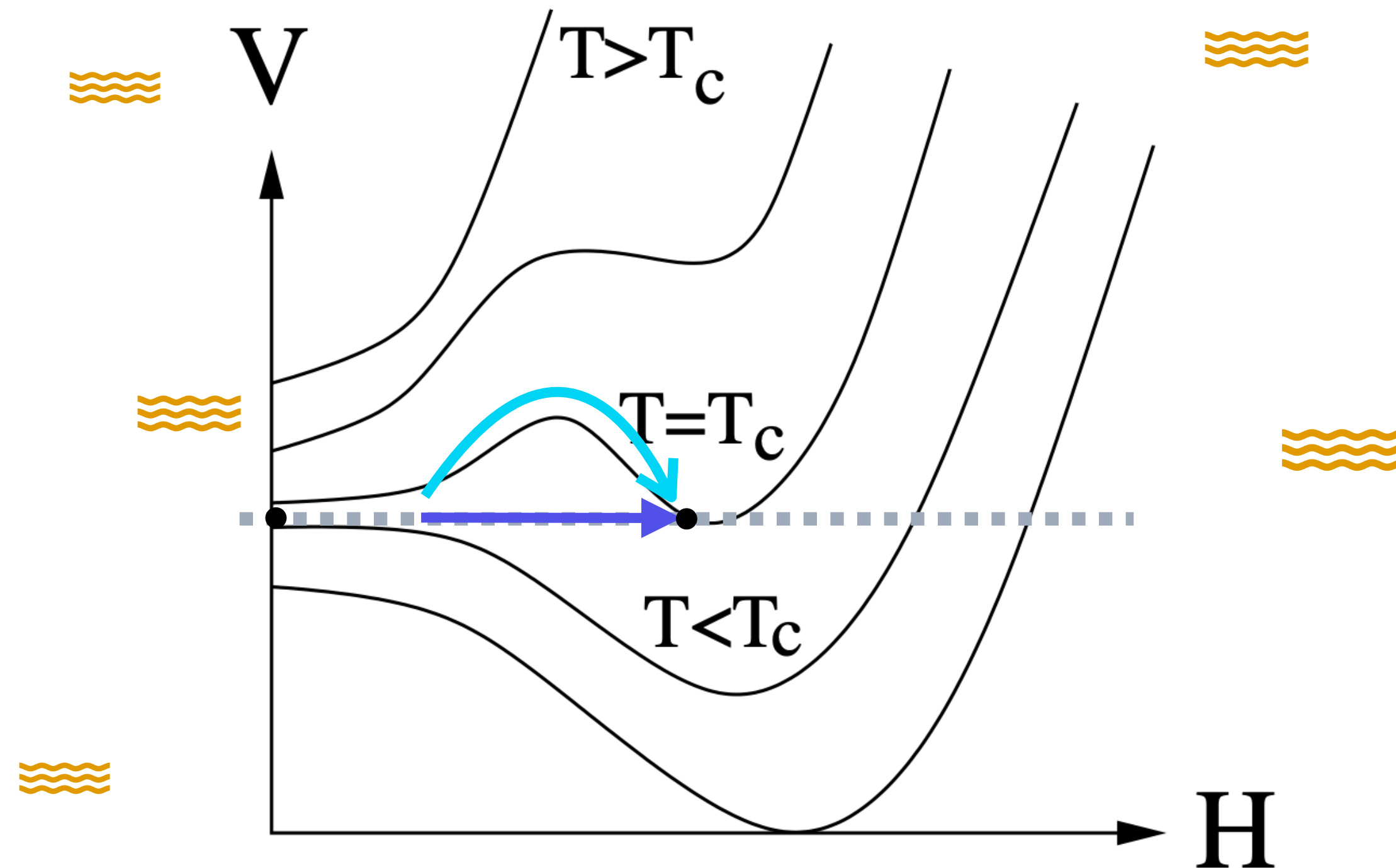
Humans observe the universe

First-order phase transitions

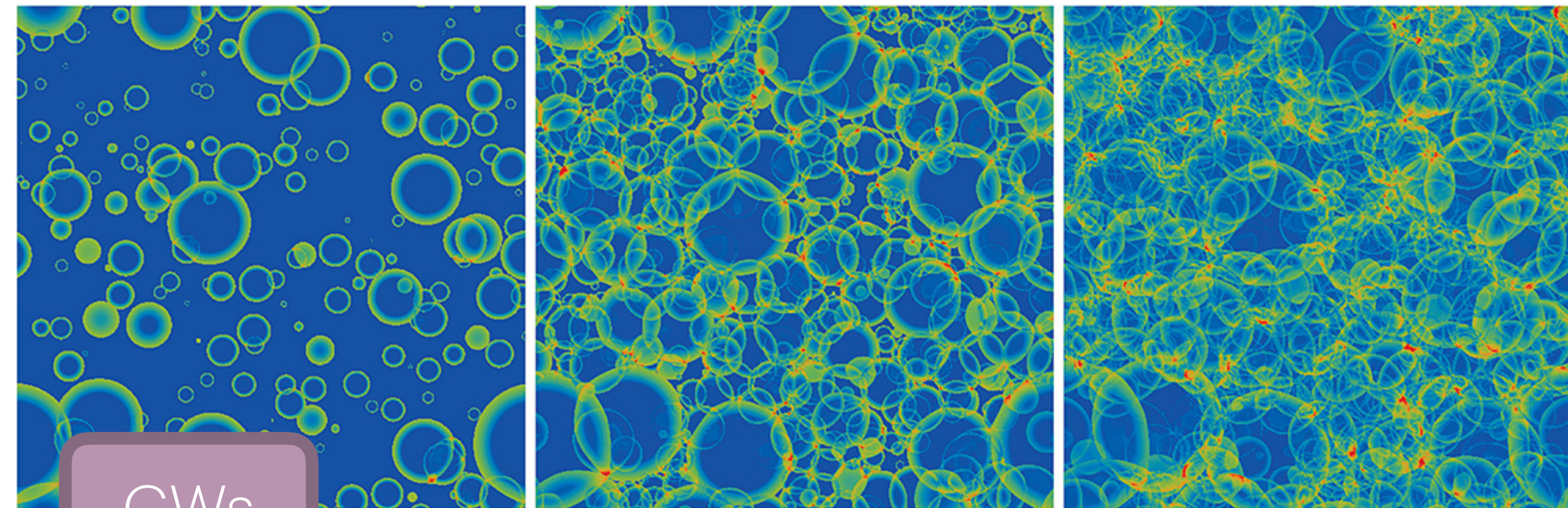
+ dissipative effects

Out-of-eq effects & open quantum systems

Thermal + vacuum **equilibrium** effects are well understood



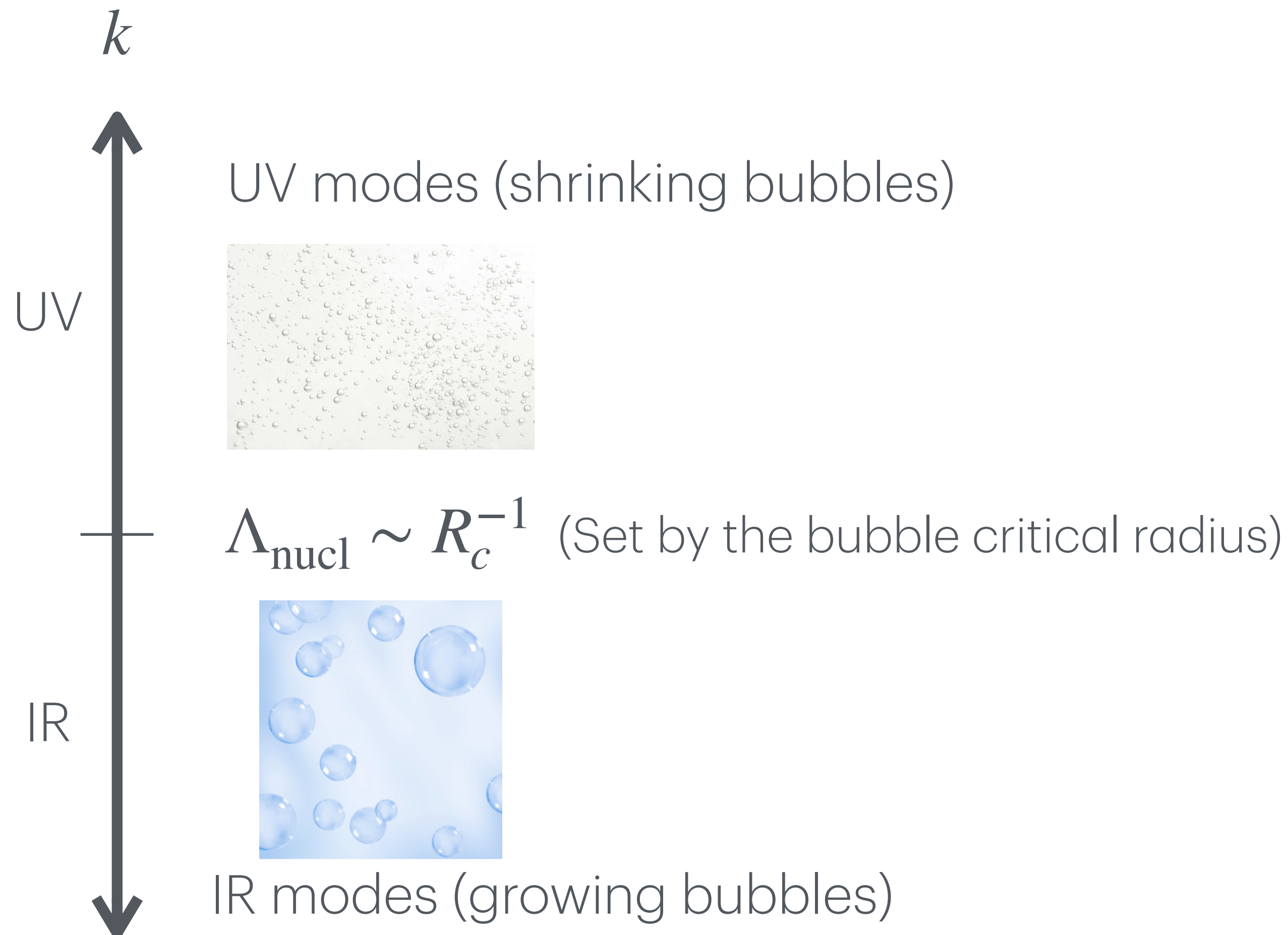
Cline 2006



Jinno, Konstandin, Rubira 2021

- Nucleation via **Thermal activation**
- Nucleation via **Quantum tunneling**

EFT for nucleation



1. System-Environment splitting

$$\phi(x) = \phi_{\text{IR}}(x) + \phi_{\text{UV}}(x)$$

$$S[\phi] = S[\phi_{\text{IR}}] + S[\phi_{\text{UV}}] + S_{\text{mix}}[\phi_{\text{IR}}, \phi_{\text{UV}}]$$

$$\hat{\rho}(t_0) = \hat{\rho}_{\text{IR}}(t_0) \hat{\rho}_{\text{UV}}(t_0)$$

$$\hat{\rho}_{\text{IR}}(t) = \text{Tr}_{\text{UV}}\{U(t, t_0) \hat{\rho}(t_0) U^\dagger(t, t_0)\}$$

Sebastian Ellis
IR-UV couplings affect the IR physics

2. Initial conditions

$$\rho_{\text{UV}}[\phi_{\text{UV}}^+, \phi_{\text{UV}}^-; t] \equiv \langle \phi_{\text{UV}}^+(\vec{x}) | \hat{\rho}_{\text{UV}}(t) | \phi_{\text{UV}}^-(\vec{x}) \rangle$$

In-In formalism

Example:

$$\hat{\rho}_{\text{UV}}(t_0) = |\Omega\rangle\langle\Omega| \Rightarrow \Psi[\phi_{\text{UV}}^+] \Psi[\phi_{\text{UV}}^-]^*$$

Daniel Baumann
Vacuum wavefunctional

IR partition function:

$$Z_{\text{IR}} = \int_{\text{B.C.}} D\phi_{\text{IR}}^+ D\phi_{\text{IR}}^- \rho_{\text{IR}}[\phi_{\text{IR}}^+, \phi_{\text{IR}}^-; t_0] e^{\frac{i}{\hbar} S_{\text{eff}}[\phi_{\text{IR}}^+, \phi_{\text{IR}}^-]}$$

$$G^{\text{F}}(x, x') = \langle \mathcal{T} \{ \phi(x) \phi(x') \} \rangle$$

$$G^>(x, x') = \langle \phi(x) \phi(x') \rangle$$

$$G^<(x, x') = \langle \phi(x') \phi(x) \rangle$$

$$G^{\text{D}}(x, x') = \langle \mathcal{A} \{ \phi(x) \phi(x') \} \rangle$$

Effective action:

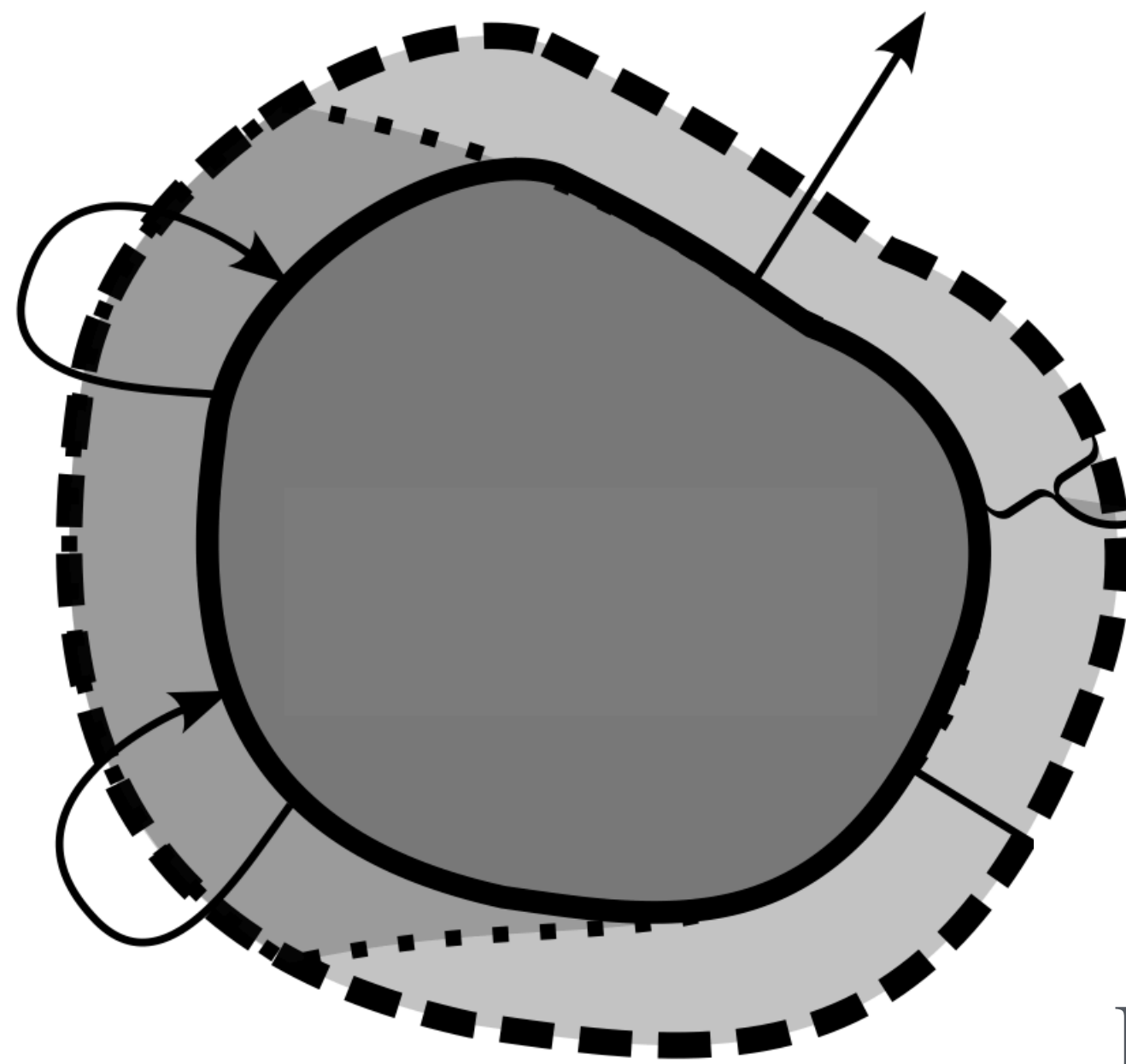
$$S_{\text{eff}}[\phi_{\text{IR}}^+, \phi_{\text{IR}}^-] = \sum_a a \left(S[\phi_{\text{IR}}^a] + S_{\text{IF}}[\phi_{\text{IR}}^+, \phi_{\text{IF}}^-] \right)$$

Unitary evolution
of IR modes
EFT corrections

Influence functional:

$$e^{\frac{i}{\hbar} S_{\text{IF}}[\phi_{\text{IR}}^+, \phi_{\text{IR}}^-]} = \int_{\text{B.C.}} D\phi_{\text{UV}}^+ D\phi_{\text{UV}}^- \rho_{\text{UV}}[\phi_{\text{UV}}^+, \phi_{\text{UV}}^-; t_0] e^{\sum_a \frac{i}{\hbar} a (S[\phi_{\text{UV}}^a] + S_{\text{mix}}[\phi_{\text{IR}}^a, \phi_{\text{UV}}^a])}$$

In fact, we can map this information into a “reduced Wigner function”
Out-of-eq effects, Memory/non-local effects, Collision terms, etc



$$W[\phi, \pi; t]$$

$$\frac{dW}{dt} = -\vec{\nabla} \cdot \vec{J} = -\vec{\nabla}_\phi \cdot \vec{J}_\phi - \vec{\nabla}_\pi \cdot \vec{J}_\pi \quad (\text{Schematically})$$

$$\Gamma \propto \int_{\Omega} \vec{J} \cdot \hat{n}$$

$$\Gamma = \frac{\omega_0}{2\pi\omega} \left[\left(\frac{\bar{\gamma}^2}{4} + \bar{\omega}^2 \right)^{1/2} - \frac{\bar{\gamma}}{2} \right] \exp\left(-\frac{V_b - V_{f.v.}}{kT}\right) .$$

Nucleation rate in
Quantum mechanics
Hanggi-Mojtabai (1982)

Function of the dissipation kernel

Negative eigenmode (Now corrected by dissipative kernels)

Take-home message

- Γ depends on the details of the dissipation.
- The dissipation kernel can be computed directly from the *Influence action*.
- Strong memory effects lead to sizable effects in the nucleation rate (deviations from the traditional result).
- Theoretical uncertainties in the GW spectrum due to dissipation.

Thank you