

Tackling the Axion Isocurvature Problem with Modulus Field Kination

Chandrika Chandrashekar & Matthew Reece

Harvard University

Cargese Summer School

July 30, 2025

Construct a Cosmological Scenario for the QCD axion* where:

1. QCD axion avoids quality problem
2. QCD axion comprises *all* the dark matter
3. Supports high inflationary energy scale

* QCD axion = axion that solves the strong CP problem

Construct a Cosmological Scenario for the QCD axion* where:

1. QCD axion avoids quality problem → *consider axions that come from extra dimensional gauge fields*
2. QCD axion comprises *all* the dark matter
3. Supports high inflationary energy scale

* QCD axion = axion that solves the strong CP problem

Extra Dimensional Axions Seed Isocurvature Fluctuations

High Quality Axions \rightarrow Extra-dimensional axions \rightarrow around during inflation \rightarrow seed isocurvature^[1,2]

Inflation with Inflaton Only

$$\begin{array}{c} \delta\phi \\ \swarrow \downarrow \searrow \\ \delta_{DM}(t,x) = \delta_b(t,x) = \frac{3}{4}\delta_\gamma(t,x) \end{array}$$

\Rightarrow initial fluctuations are *adiabatic*^[3]

[1] David Marsh. *arXiv: arXiv:1510.07633v2* (2016)

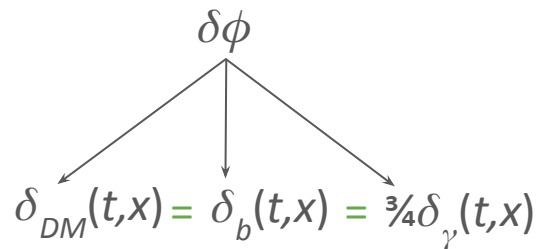
[2] Ciaran A. J. O'Hare. *arXiv:2403.17697v2* (2024)

[3] Baumann, Daniel. *Cosmology*. Cambridge University Press, 2022.

Extra Dimensional Axions Seed Isocurvature Fluctuations

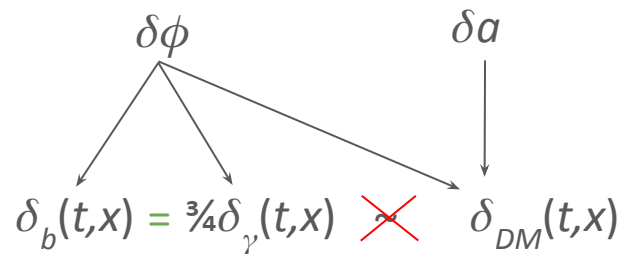
High Quality Axions \rightarrow Extra-dimensional axions \rightarrow around during inflation \rightarrow seed isocurvature^[1,2]

Inflation with Inflaton Only



\Rightarrow initial fluctuations are *adiabatic*^[3]

Inflation with Inflaton and Spectator Field



\Rightarrow initial fluctuations are *non-adiabatic*

Known as “isocurvature”

[1] David Marsh. arXiv: arXiv:1510.07633v2 (2016)

[2] Ciaran A. J. O’Hare. arXiv:2403.17697v2 (2024)

[3] Baumann, Daniel. Cosmology. Cambridge University Press, 2022.

Isocurvature Constraints from the CMB

$$\left(\frac{H_{inf}}{\pi f \theta_i}\right)^2 \lesssim 8 \cdot 10^{-11} \quad [1]$$



$$H_{inf} \lesssim \theta_i \left(\frac{f}{10^{12} \text{ GeV}}\right) 10^7 \text{ GeV}$$

For QCD axion to comprise all the dark matter, we need $f \approx 10^{12} \text{ GeV}$ [2,3]

⇒ Low inflationary Hubble scale

Problem: inflation with low H_{inf} tends to end prematurely^[4]

“Axion Isocurvature Problem”

[1] Ciaran A. J. O’Hare. arXiv:2403.17697v2 (2024)

[2] David Marsh. arXiv:1510.07633v2 (2016)

[3] Ciaran A. J. O’Hare. arXiv:2403.17697v2 (2024)

[4] Clough, Katy, et al. "Robustness of inflation to inhomogeneous initial conditions." *Journal of Cosmology and Astroparticle Physics* 2017.09 (2017): 025.

Allowing a Larger H_{inf} with *Time Varying* Axion Decay Constant

f need *not* be constant *throughout* cosmic history.

We want:

- (1) **Constant** $f \approx 10^{12} \text{ GeV}$ **after reheating** (DM abundance constraints).
- (2) $f > 10^{12} \text{ GeV}$ **during inflation** to allow for larger H_{inf} (isocurvature constraints).

\Rightarrow Need a mechanism that allows f to *decrease* between inflation and reheating

Decreasing Axion Decay Constant with Bulk Modulus Evolution

Consider: a $(4+n)$ -dimensional spacetime manifold $M = X_{4D} \times Y_{nD}$ with gauge field A

In the 4D EFT we find:

An **axion** θ coming from the KK zero mode of A

Axion decay constant:

$$f^2 \propto \frac{1}{\mathcal{V}_Y}$$

where \mathcal{V}_Y = volume of Y_{nD}

Decreasing Axion Decay Constant with Bulk Modulus Evolution

Consider: a $(4+n)$ -dimensional spacetime manifold $M = X_{4D} \times Y_{nD}$ with gauge field A

In the 4D EFT we find:

An **axion** θ coming from the KK zero mode of A

Axion decay constant:

$$f^2 \propto \frac{1}{\mathcal{V}_Y}$$

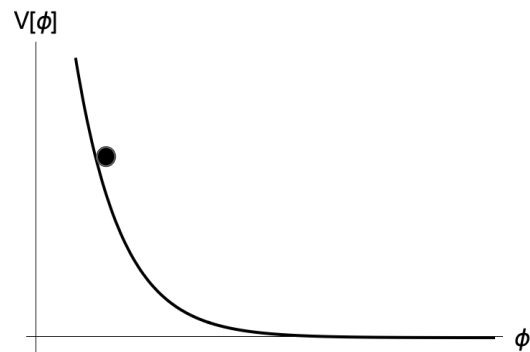
where \mathcal{V}_Y = volume of Y_{nd}

A **bulk modulus** field ϕ

ϕ sets the overall volume of the extra dimensional manifold: $\mathcal{V}_Y \sim \text{Exp}[\# \phi / M_p]$

Has an exponentially decaying potential

$$V(\phi) \sim \exp(-\phi)$$



Decreasing Axion Decay Constant with Bulk Modulus Evolution

Consider: a (4+n)-dimensional spacetime manifold $M = X_{4D} \times Y_{nD}$ with gauge field A

In the 4D EFT we find:

An **axion** θ coming from the KK zero mode of A

Axion decay constant:

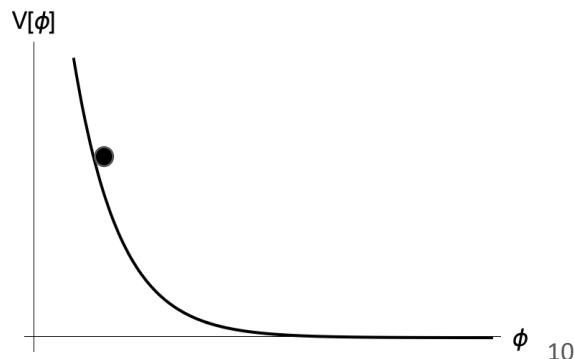
$$f^2 \propto \frac{1}{\mathcal{V}_Y}$$

where \mathcal{V}_Y = volume of Y_{nd}

A **bulk modulus** field ϕ

ϕ sets the overall volume of the extra dimensional manifold: $\mathcal{V}_Y \sim \text{Exp}[\#\phi/M_p]$

Has an exponentially decaying potential $V(\phi) \sim \exp(-\phi)$



$$\Rightarrow f^2 \sim \text{Exp}[-\#\phi/M_p]$$

ϕ increases \Rightarrow Volume \mathcal{V} increases $\Rightarrow f$ decreases

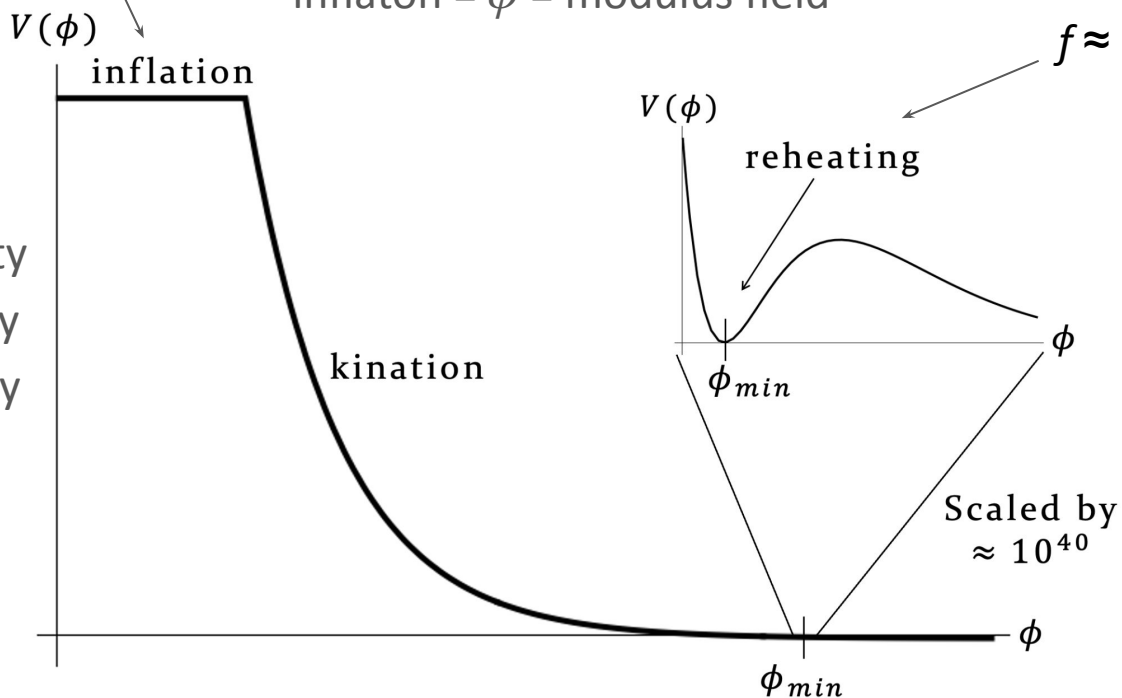
Cosmic History with Bulk Modulus Field

$$f \gg 10^{12} \text{ GeV}$$

inflaton = ϕ = modulus field

$$f \approx 10^{12} \text{ GeV}$$

Kination =
energy density
dominated by
kinetic energy
of scalar
field^[1,2]



[1] Conlon, Joseph P., and Filippo Revello. "Catch-me-if-you-can: the overshoot problem and the weak/inflation hierarchy." *Journal of High Energy Physics* 2022.

[2] Fien Apers et al., *arXiv:2401.04064* (2024).

[1] Conlon, Joseph P., and Filippo Revello. "Catch-me-if-you-can: the overshoot problem and the weak/inflation hierarchy." *Journal of High Energy Physics* 2022.
[2] Joseph Conlon et al., arXiv:0806.0809v2 (2008)

Ending Kination with Matter/Radiation

Need to end kination to avoid decompactification

High kinetic energy

⇒ modulus overshoots the minimum^[1]

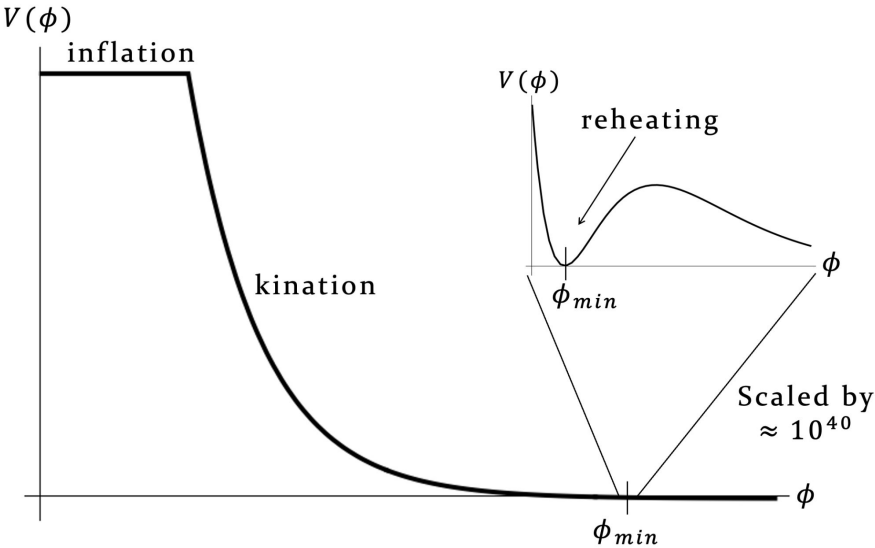
$$\mathcal{L} \supset \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - V(\phi)$$

$$\ddot{\phi} + 3H\dot{\phi} = 0$$

$$H^2 = \frac{\dot{\phi}^2}{6M_P^2} = \left(\frac{\dot{a}}{a}\right)^2$$

$$\phi(t) = \phi_0 + \sqrt{\frac{2}{3}} M_P \ln(t/t_0)$$

$$\Rightarrow \rho_{kination} \sim \dot{\phi}^2 \sim \frac{1}{a^6}$$



$$\rho_{matter} \sim \frac{1}{a^3}$$

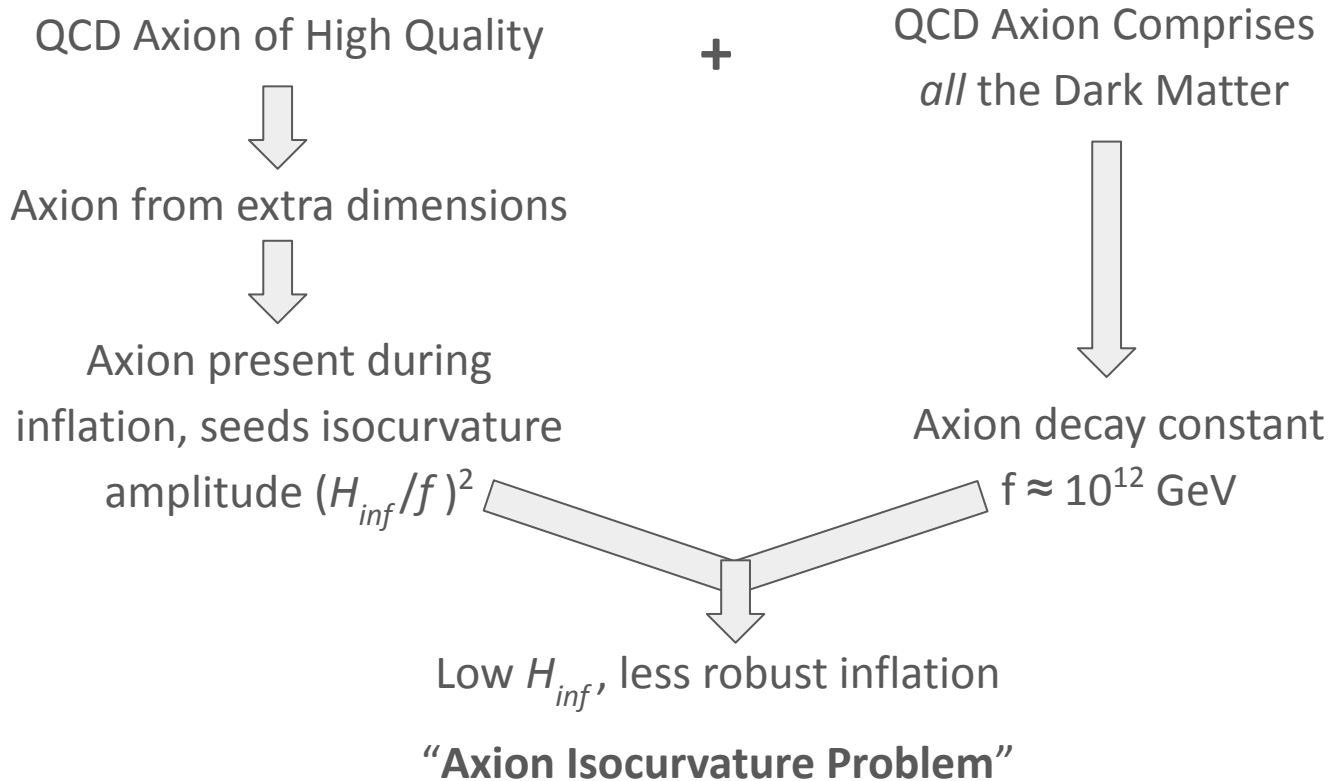
$$\rho_{radiation} \sim \frac{1}{a^4}$$

Modulus trapped if kination ends before ϕ_{min} ^[1,2]

Thank You!

Backup Slides

Motivation Overview



QCD Axion: A Solution to the Strong CP Problem

[1] Hook, Anson. *arXiv:1812.02669* (2018).
[2] Reece, Matthew. *arXiv:2304.08512* (2023).
[3] Abel, Christopher, et al. *Physical Review Letters* 124.8 (2020): 081803.

The QCD Lagrangian has a CP violating term^[1,2]:

$$\mathcal{L}_{QCD} \supset \bar{\theta} \left(\frac{g_s^2}{32\pi^2} \right) G_{\mu\nu}^a \tilde{G}^{a\mu\nu} \quad \text{where} \quad \tilde{G}^{a\mu\nu} = \varepsilon^{\mu\nu\alpha\beta} G_{\alpha\beta}^a$$

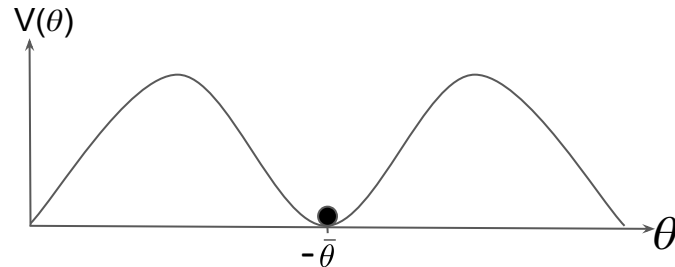
Extent of CP violation parametrized by $\bar{\theta}$

From neutron EDM measurements^[3]: $\bar{\theta} \leq 10^{-10}$

Introducing the Axion field, θ , with gluon coupling^[1,2]: $\mathcal{L} \supset \mathcal{L}_{QCD} + \frac{f^2}{2} \partial_\mu \theta \partial^\mu \theta + \theta \left(\frac{g_s^2}{32\pi^2} \right) G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$

Generates periodic axion potential^[1]

$$V(\theta) = -F_\pi^2 m_\pi^2 \sqrt{1 - \frac{4m_u m_d}{(m_u + m_d)^2} \sin^2(\bar{\theta} + \theta(x))} \quad \text{minimized at} \quad \langle \theta \rangle = -\bar{\theta}$$



\Rightarrow Axion dynamically relaxes and **cancels CP violating term** in \mathcal{L}_{QCD}

The Quality Problem

[1] Hook, Anson. *arXiv:1812.02669* (2018).
[2] Reece, Matthew. *arXiv:2304.08512* (2023).
[3] Abel, Christopher, et al. *Physical Review Letters* 124.8 (2020): 081803.

The QCD Lagrangian has a CP violating term^[1,2]:

$$\mathcal{L}_{QCD} \supset \bar{\theta} \left(\frac{g_s^2}{32\pi^2} \right) G_{\mu\nu}^a \tilde{G}^{a\mu\nu}$$

Extent of CP violation parametrized by $\bar{\theta}$

From neutron EDM measurements^[3]: $\bar{\theta} \leq 10^{-10}$

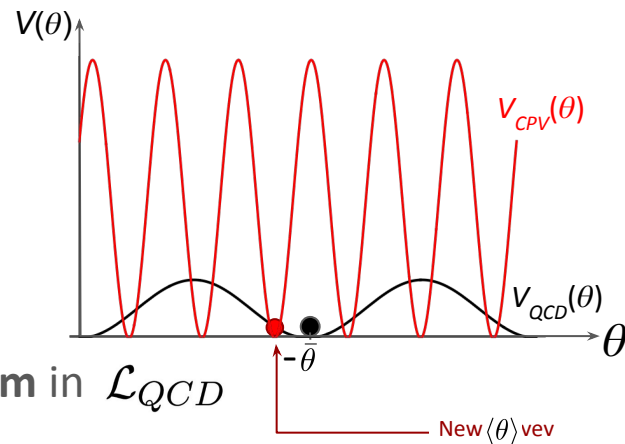
Introduce the axion, θ , with periodic potential V_{QCD}
minimized at $\langle \theta \rangle = -\bar{\theta}$ ^[1]

⇒ Axion dynamically relaxes and **cancels CP violating term** in \mathcal{L}_{QCD}

But, adding higher order axion operators will generically *break* CP symmetry^[2]

In order to preserve CP symmetry, we would need to justify neglecting these operators

⇒ known as the **Quality Problem**



Alleviating the Quality Problem with Extra Dimensional Axions

Set up: θ comes from higher dimensional gauge field $A^{[1]}$

Coupling of A to G generates V_{QCD} in 4D

To spoil CP, we need non-derivative axion terms

⇒ non-derivative gauge terms in higher dimensions

⇒ **gauge symmetries** permit two kinds of terms

(1) A couples to other gauge fields

(2) A couples to charged fields

Covariant derivative generates axion

$$V_{extra} \sim V_{QCD} \sim e^{-\#R} \quad \leftarrow \text{potential in 4D}$$

Where R is the size of the extra dimensions

⇒ We will be working with **extra dimensional axions**

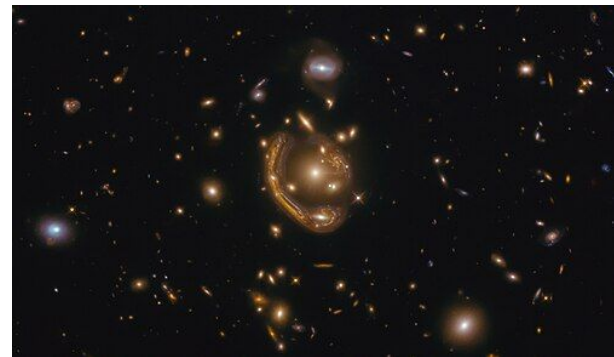
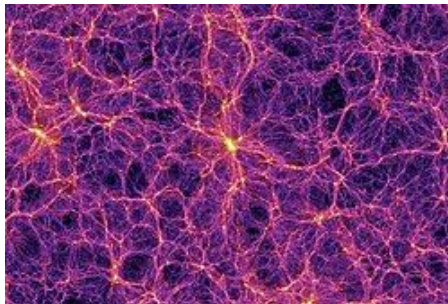
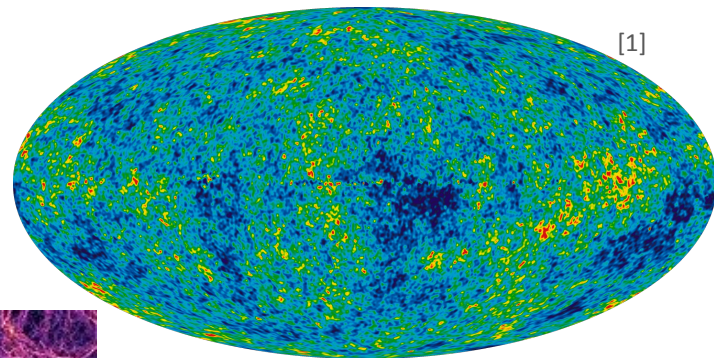
QCD Axions as *All* the Dark Matter

Ample evidence for dark matter (DM) from

CMB

Large Scale Structure

Strong Lensing



We know DM comprises $\sim 85\%$ of the mass of the universe.

Interacts weakly with Standard Model.

Could extra dimensional QCD axions comprise *all* the dark matter?

[1] 'Cosmic Microwave Background', Wikipedia.

[2] 'Large-scale structure of the universe', Wikipedia.

[3] 'Strong gravitational lensing', Wikipedia.

Axions as Dark Matter Through Misalignment Mechanism

Could extra dimensional QCD axions comprise *all* the dark matter?

[1] Anchordoqui, Luis A., and Ignatios Antoniadis. arXiv: 2310.20282v2 (2024)

Scale invariant power spectrum \Rightarrow inflation in 4D ^[1,2]

[2] Ignatios Antoniadis et al., arXiv: 2311.17680v2 (2024)

\Rightarrow extra dimensional axions around during inflation

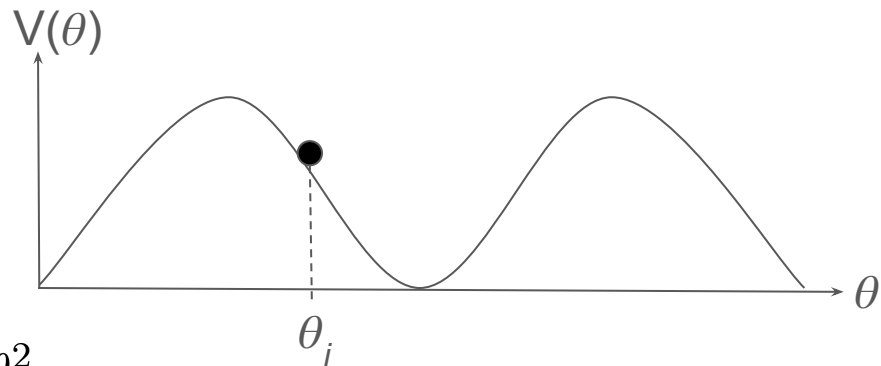
[3] David Marsh. arXiv: arXiv:1510.07633v2 (2016)

[4] Ciaran A. J. O'Hare. arXiv:2403.17697v2 (2024)

Large H_{inf} freezes axion in field space at random θ value^[3,4]

Axion begins to roll when H falls below m_a

Oscillations around minimum behave like matter^[3,4]



Comoving energy once oscillating: $\rho_a = \frac{1}{2} m_a^2 f^2 \theta_i^2$

Dark matter density bounds $\Rightarrow f \approx 10^{12} \text{ GeV}$ ^[3,4]

Quantifying Axion Isocurvature Fluctuations

In dS, scalar fields with $m \ll H$ have fluctuations^[1,2]

$$\langle \delta a^2 \rangle = \left(\frac{H_{inf}}{2\pi} \right)^2 \quad \xrightarrow{a = f\theta} \quad \langle \delta \theta^2 \rangle = \left(\frac{H_{inf}}{2\pi f} \right)^2$$

Fluctuations in θ turn into *isocurvature* matter fluctuations during oscillations:

$$\rho_a = \frac{1}{2} m_a^2 a_i^2 = \frac{1}{2} m_a^2 f^2 \theta_i^2 \quad \delta \rho_{a, iso} = m_a^2 f^2 \theta_i \delta \theta$$

Axion fluctuations obtain adiabatic component due to misalignment mechanism

Larger local $H \Rightarrow$ later oscillations \Rightarrow larger local DM density

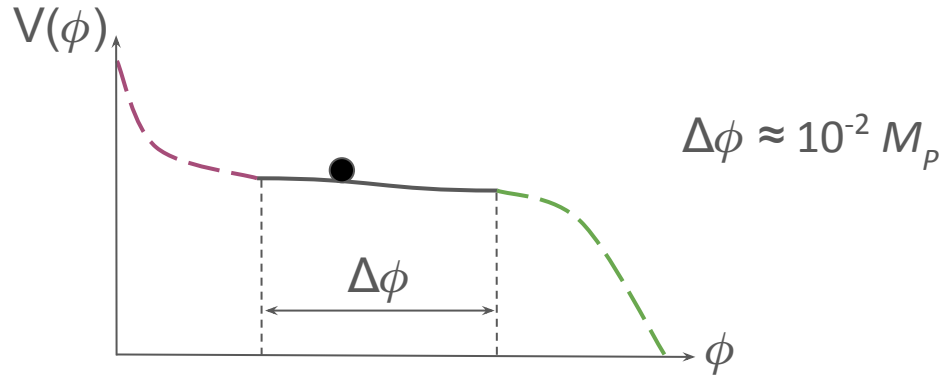
$$\mathcal{P}_a(k) = \mathcal{P}_{a, adiabatic}(k) + \mathcal{P}_{a, iso}(k)$$

[1] David Marsh. *arXiv:1510.07633v2* (2016)

[2] Ciaran A. J. O'Hare. *arXiv:2403.17697v2* (2024)

Low Inflationary Energy Scales \Rightarrow Less Robust Inflation

Low inflationary Hubble scales \Rightarrow small inflaton field displacement



\Rightarrow Small initial inhomogeneities in inflaton field can end inflation too soon

[1] showed that for $H_{inf} \lesssim 10^7 \text{ GeV}$, inflation ends prematurely

(with $\sim\phi^4$ inflation model)

Low Inflationary Energy Scale \Rightarrow Small Field Displacement

$$\begin{array}{ccccc}
 & \text{Tensor power} & & \text{Scalar power} & \\
 & \text{spectrum amplitude} & & \text{spectrum amplitude} & \\
 r \equiv \frac{A_t}{A_s} & A_t = \frac{2H_*^2}{\pi^2 M_P^2} & A_s = \left(\frac{H_*^2}{2\pi \dot{\phi}_*} \right)^2 & \Rightarrow & r = \frac{8}{M_P^2} \left(\frac{d\phi}{dN} \right)^2 \\
 \Downarrow \quad \Downarrow & & & & \Downarrow \\
 \frac{H_*}{M_P} = \frac{\pi}{\sqrt{2}} \sqrt{A_s r} & \Rightarrow & \frac{\Delta\phi}{H_*} = \frac{N}{2\pi} \sqrt{\frac{1}{A_s}} & \Leftarrow & \frac{\Delta\phi}{M_P} = N \sqrt{\frac{r}{8}} \\
 & & \Downarrow & & \\
 N \sim \ln T_R \quad \& \quad T_R \sim \sqrt{M_P H_{inf}} & & & \boxed{\Delta\phi \sim H_{inf} \ln \left(\sqrt{H_{inf}} \right)}
 \end{array}$$

Bulk Axions as Seeds of Radiation

In type IIB string theories, the bulk modulus couples to a bulk axion^[1]:

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{3M_P^2}{4} \exp \left[-2\sqrt{\frac{2}{3}} \frac{\phi}{M_P} \right] \partial_\mu \theta_b \partial^\mu \theta_b \quad \theta_b = \text{bulk axion}$$

Assume we start with *zero* bulk axion particles after inflation.

Two ways to produce bulk axions:

- 1) Cosmological particle production
- 2) Bulk modulus “decays” into bulk axions

Enough to trap bulk modulus?

Bulk Axion Mode Equation of Motion

Mode EOM:

$$\ddot{\chi}_k + \left(3H(t) - \frac{2}{M_P} \sqrt{\frac{2}{3}} \dot{\phi} \right) \dot{\chi}_k + \frac{k^2}{a^2} \chi_k = 0$$

$$\downarrow H^2 = \frac{\dot{\phi}^2}{6M_P^2}$$

$$\ddot{\chi}_k - H \dot{\chi}_k + \frac{k^2}{a^2} \chi_k = 0 \quad \xrightarrow{\chi_k(t) = a^{1/2}(t) X_k(t)}$$

$$\ddot{X}_k + \omega_k^2 X_k = 0$$

where

$$\omega_k^2(t) \equiv \frac{k^2}{a^2(t)} - \frac{3}{4} H^2(t) + \frac{1}{2} \frac{\ddot{a}(t)}{a(t)}$$

Calculating Bulk Axion Particle Number and Energy Density

$$\ddot{X}_k + \omega_k^2 X_k = 0 \xrightarrow{\text{ansatz}} X_k(t) = \frac{\alpha_k(t)}{\sqrt{2\omega}} e^{i \int \omega dt} + \frac{\beta_k(t)}{\sqrt{2\omega}} e^{-i \int \omega dt}$$
$$|\alpha|^2 - |\beta|^2 = 1$$

α and β are known as Bogoliubov coefficients, and we can determine particle number density $n_k(t)$ from β :

$$n_k(t) = |\beta_k(t)|^2 = \frac{\omega_k}{2} \left(\frac{|\dot{X}_k|^2}{\omega_k^2} + |X_k|^2 \right) - \frac{1}{2}$$

$$\rho_\gamma \sim \int k^2 dk (n_k \omega_k)$$

⇒ solve for mode functions $X_k(t)$

⇒ determine energy density stored in bulk axions (ρ_γ)

p-cycles and $C^{(p)}$ compactifications

“p-cycles” are p-dimensional submanifolds which:

- Have no boundary
- Are not a boundary themselves

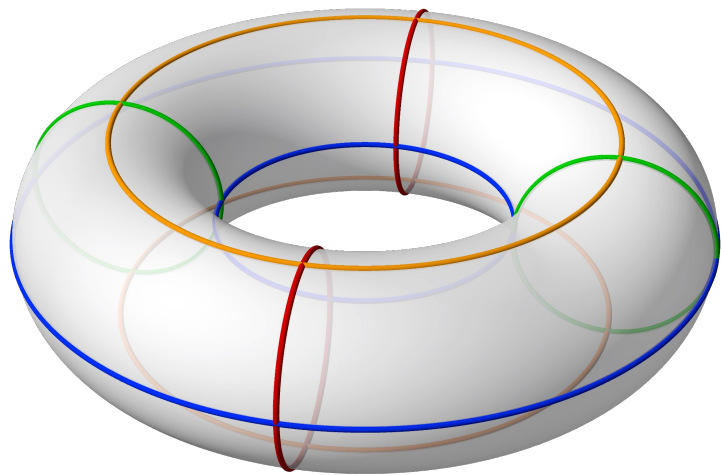


Image credit: Wikipedia

Preliminary Results

Scenario with Largest Possible H_{inf}

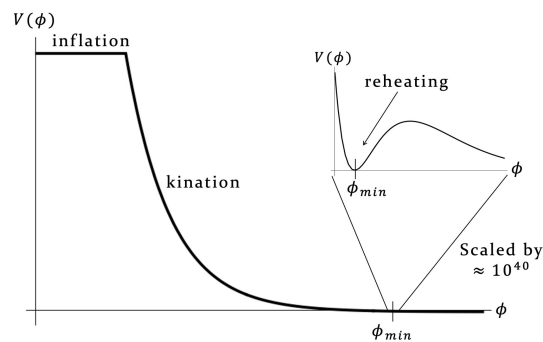
$$f \lesssim M_P \xrightarrow{\text{isocurvature constraint}} H_{inf} \lesssim 5 \cdot 10^{13} \text{ GeV}$$

$$\xrightarrow{\text{taking } f_{RH} \approx 10^{12} \text{ GeV}} \Delta\phi \lesssim 24$$

In the *Large Volume Scenario* (LVS) of Type IIB Compactifications, modulus potential is given by^[1]:

$$V(\phi) = V_0 \left(\underbrace{(1 - \epsilon(\phi/M_P)^{3/2})e^{-\sqrt{\frac{27}{2}}\phi/M_P}}_{\substack{\downarrow \\ \text{Generates local} \\ \text{AdS minimum at } \phi \sim \epsilon^{-2/3}}} + \underbrace{Ce^{-10\phi/\sqrt{6}M_P} + De^{-11\phi/\sqrt{6}M_P}}_{\substack{\text{Generates flat portion} \\ \Rightarrow \text{inflation epoch}}} + \underbrace{\delta e^{-\sqrt{6}\phi/M_P}}_{\substack{\downarrow \\ \text{Uplifts local} \\ \text{minimum to dS, } V>0}} \right)$$

In this scenario, volume given by $\mathcal{V} = \exp\left(\sqrt{\frac{3}{2}}\phi/M_P\right)$

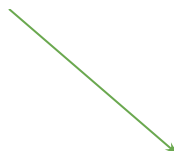


Chose LVS parameters that yield $H_{inf} \approx 2 \cdot 10^{13} \text{ GeV}$ and $\Delta\phi \approx 23$

Solving for Modulus Evolution

With $H_{inf} \approx 2 \cdot 10^{13}$ GeV we source $\rho_{\gamma,K} \approx 10^{-12} \rho_{inf}$ in bulk axions

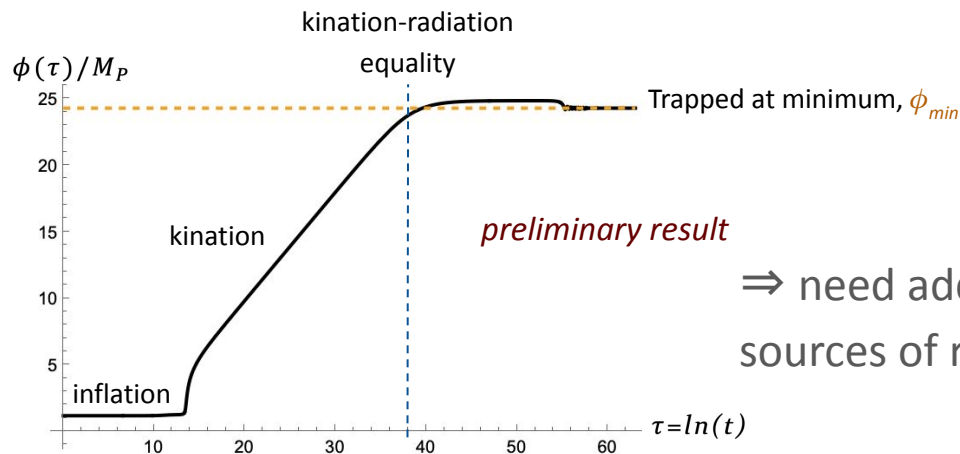
Modulus evolution given by:

$$\ddot{\phi} + 3H(t)\dot{\phi} + \frac{dV}{d\phi} = 0 \quad \& \quad H(t) = \frac{1}{\sqrt{3}M_P} \sqrt{\frac{\rho_{\gamma,K}}{a^4} + \frac{1}{2}\dot{\phi}^2 + V(\phi)}$$


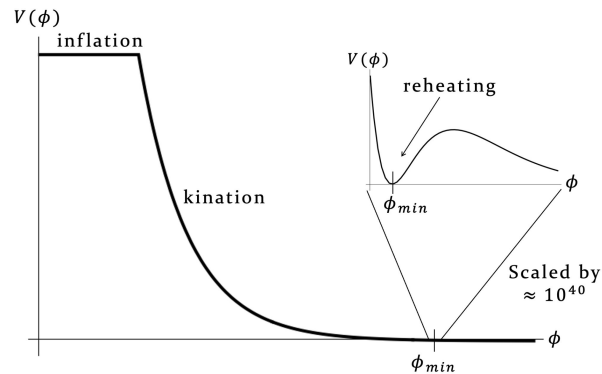
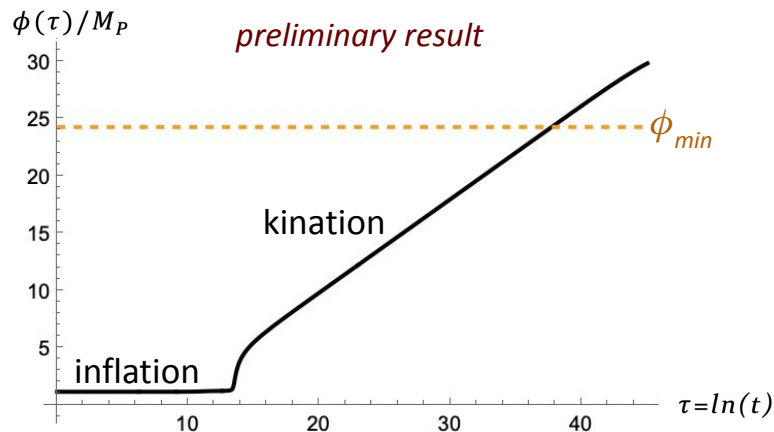
Preliminary Result: Not Enough Bulk Axion Production to Trap Modulus

We find that the modulus does *not* get trapped

However, if we increase $\mathcal{Q}_{\gamma,K}$ by a factor of ~ 250 , we *can* trap the modulus field



\Rightarrow need additional sources of radiation



Next Steps

Consider perturbations in modulus field

These redshift like radiation^[1]

⇒ could help trap modulus field^[2]

Consider additional axions (the supersymmetric partners of stabilized moduli)

Consider LVS potentials with *two* minima^[3]

⇒ could slow down the modulus field

[1] Eröncel, Cem, et al. "A universal bound on the duration of a kination era." *arXiv: 2501.17226* (2025).

[2] Martin Mosny, Joseph Conlon, Edmund Copeland. "Self-Tracking Solutions for Asymptotic Scalar Fields." *arXiv: 2507.04161v1* (2025).

[3] AbdusSalam, Shehu, et al. "Coexisting Flux String Vacua from Numerical Kahler Moduli Stabilisation." *arXiv:2507.00615* (2025).

Extra Dimensional Compactifications and 4D Dynamics

Axions from Extra Dimensional Gauge Fields

Consider: 5 dimensional manifold with 5th dimension a compact circle of radius R ,
 $M = X_{4d} \times S^1$

with 1-form gauge field A living in 5D $\vec{A} = A_\mu x^\mu + A_5 x^5$

How does the 5D field A manifest in 4D?

5D kinetic term:
$$S = \int_{X_{4d} \times S^1} \sqrt{\det[g_{MN}]} d^5x \left(-\frac{1}{4e_5^2} F_{MN} F^{MN} \right)$$

where $F_{MN} = \partial_M A_N - \partial_N A_M \sim (\partial_\mu A_\nu - \partial_\nu A_\mu) + (\partial_\mu A_5 - \partial_5 A_\mu)$

$$A_\mu(x_\mu, x_5) = \sum_{n=-\infty}^{\infty} e^{inx_5/R} \tilde{A}_\mu^{(n)}(x^\mu) \quad A_5(x_\mu, x_5) = \sum_{n=-\infty}^{\infty} e^{inx_5/R} \phi^{(n)}(x^\mu) \quad \begin{aligned} \tilde{A}^{(-n)} &= (\tilde{A}^{(n)})^* \\ \phi^{(-n)} &= (\phi^{(n)})^* \end{aligned}$$

Define axion as zero mode of $A_5^{[1]}$: $\theta(x_\mu) \equiv \int_0^{2\pi R} A_5(x_\mu, x_5) dx_5 = 2\pi R \phi^{(0)}(x^\mu)$

Axions from Extra Dimensional Gauge Fields

Consider: 5 dimensional manifold with 5th dimension a compact circle of radius R ,

$$M = X_{4d} \times S^1$$

with 1-form gauge field \mathbf{A} living in 5D $\vec{A} = A_\mu x^\mu + A_5 x^5$

$$\text{5D kinetic term: } S = \int_{X_{4d} \times S^1} \sqrt{\det[g_{MN}]} d^5x \left(-\frac{1}{4e_5^2} F_{MN} F^{MN} \right)$$

$$\text{ansatz: } A_\mu(x_\mu, x_5) = \sum_{n=-\infty}^{\infty} e^{inx_5/R} \tilde{A}_\mu^{(n)}(x^\mu) \quad \text{and} \quad A_5(x_\mu, x_5) = \sum_{n=-\infty}^{\infty} e^{inx_5/R} \phi^{(n)}(x^\mu)$$

$$\text{Define axion as zero mode of } A_5^{[1]}: \quad \theta(x_\mu) \equiv \int_0^{2\pi R} A_5(x_\mu, x_5) dx_5 = 2\pi R \phi^{(0)}(x^\mu)$$

Extra Dimensional Axions have Decay Constants that Depend on Extra Dimensional Geometry

Recall: $A_5(x_\mu, x_5) = \sum_{n=-\infty}^{\infty} e^{inx_5/R} \phi^{(n)}(x^\mu)$

$$\mathcal{L}_{4d} \supset -\frac{1}{4e_5^2} \int_{S^1} (\partial_\mu A_5)^2 = \frac{2\pi R}{4e_5^2} \sum_n \left(\partial_\mu \phi^{(n)} \right) \left(\partial^\mu \phi^{(n)} \right)$$

\Downarrow Zero mode, using $\theta(x_\mu) = 2\pi R \phi^{(0)}(x^\mu)$

$$\boxed{\mathcal{L}_{4d} \supset \frac{1}{2} f^2 \partial_\mu \theta \partial^\mu \theta} \quad \text{where} \quad \boxed{f^2 = \frac{1}{2\pi R e_5^2}}$$

More *generally*, for a higher dimensional manifold^[1]

$$M = X_{4D} \times Y_{nD},$$

$$f^2 \propto \frac{1}{\mathcal{V}_Y}$$

where \mathcal{V}_Y = volume of Y_{nD}

Extra Dimensional Axions have Decay Constants that Depend on Extra Dimensional Geometry

$$A_5 \rightarrow A_5 + \partial_5 \alpha \quad \text{where} \quad \alpha = x_5/R$$

$$\theta(x_\mu) \equiv \int_0^{2\pi R} A_5(x_\mu, x_5) dx_5 \rightarrow \theta(x_\mu) + 2\pi \Rightarrow \theta \text{ is } 2\pi \text{ periodic } \checkmark$$

$$\text{Recall: } A_5(x_\mu, x_5) = \sum_{n=-\infty}^{\infty} e^{inx_5/R} \phi^{(n)}(x^\mu)$$

$$\mathcal{L}_{4d} \supset -\frac{1}{4e_5^2} \int_{S^1} (\partial_\mu A_5)^2 = \frac{2\pi R}{4e_5^2} \sum_n \left(\partial_\mu \phi^{(n)} \right) \left(\partial^\mu \phi^{(n)} \right)$$

$$\Downarrow \text{ Zero mode, using } \theta(x_\mu) = 2\pi R \phi^{(0)}(x^\mu)$$

$$\boxed{\mathcal{L}_{4d} \supset \frac{1}{2} f^2 \partial_\mu \theta \partial^\mu \theta} \quad \text{where} \quad \boxed{f^2 = \frac{1}{2\pi R e_5^2}}$$

More *generally*, for a higher dimensional manifold^[1]

$$M = X_{4D} \times Y_{nd},$$

$$f^2 \propto \frac{1}{\mathcal{V}_Y}$$

where \mathcal{V}_Y = volume of Y_{nd}

Compactifying Extra Dimensions Generically Leads to a Scalar Field with Exponential Potential in 4D

Again start with higher dimensional manifold $M = X_{4D} \times Y_{nD}$

$$ds^2 = g_{MN}(X) dX^M dX^N = g_{\mu\nu}(x) dx^\mu dx^\nu + \boxed{\lambda^2(x)} g_{mn}(y) dy^m dy^n$$

Overall volume of Y
depends on position in 4D

$$S_{EH} = \int_M \sqrt{\det[g_{MN}]} \boxed{\mathcal{R}_{(4+n)D}} M_{(4+n)}^{2+n} d^4x d^n y$$

$$\boxed{\mathcal{R}_{(4+n)D}} = \underbrace{\mathcal{R}_{4D} + \frac{\mathcal{R}_{nD}(y)}{\lambda^2(x)}}_{\text{Potential term}} + \underbrace{\# \frac{1}{\lambda^2(x)} g^{\mu\nu}(x) \partial_\mu \lambda(x) \partial_\nu \lambda(x)}_{\text{Kinetic term}}$$

Potential term

Kinetic term

$$\sim \partial_\mu \Phi \partial^\mu \Phi$$

Canonical field: $\lambda(x) = e^{\Phi(x)}$

$$\boxed{V(\Phi) \sim (e^{-\Phi})^{n+2}}$$

bulk modulus field:
 $\phi(x) = M_p \Phi(x)$

Compactifying Extra Dimensions Generically Leads to a Scalar Field with Exponential Potential in 4D

Again start with higher dimensional manifold $M = X_{4D} \times Y_{nD}$

$$ds^2 = g_{MN}(X) dX^M dX^N = g_{\mu\nu}(x) dx^\mu dx^\nu + \boxed{\lambda^2(x)} g_{mn}(y) dy^m dy^n$$

Overall volume of Y depends on position in 4D

$$S_{EH} = \int_M \boxed{\sqrt{\det[g_{MN}]}} \boxed{\mathcal{R}_{(4+n)D}} \boxed{M_{(4+n)}^{2+n}} d^4x d^n y$$

Higher dimensional Planck constant

$$\boxed{\det[g_{MN}]} = \lambda^{2n}(x) \det[g_{\mu\nu}(x)] \det[g_{mn}(y)]$$

$$\boxed{\mathcal{R}_{(4+n)D}} = \underbrace{\mathcal{R}_{4D} + \frac{\mathcal{R}_{nD}(y)}{\lambda^2(x)}}_{\text{Potential term}} + \underbrace{\# \frac{1}{\lambda^2(x)} g^{\mu\nu}(x) \partial_\mu \lambda(x) \partial_\nu \lambda(x)}_{\text{Kinetic term}}$$

Weyl rescaling: $\tilde{g}_{\mu\nu} = \lambda^n(x) g_{\mu\nu}$

Canonical field: $\lambda(x) = e^{\Phi(x)}$

$$\boxed{V(\Phi) \sim (e^{-\Phi})^{n+2}}$$

bulk modulus field: $\phi = M_P \Phi$

Bulk Modulus Induces Dynamical Axion Decay Constant

Extra dimensional compactifications also generically lead to ‘modulus fields’

Bulk modulus field, ϕ :

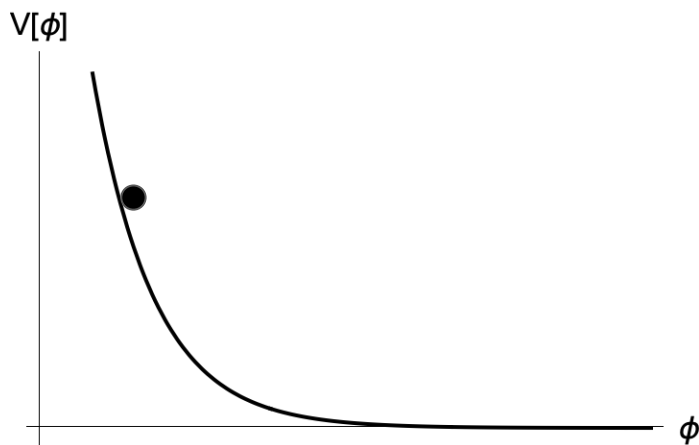
- Characterizes overall volume of the extra dimensional manifold: $\mathcal{V}_Y \sim \text{Exp}[\#\phi/M_p]$
- Has an exponential potential $V(\phi) \sim \exp(-\phi)$

$$f^2 \sim 1/\mathcal{V}_Y \sim \text{Exp}[-\#\phi/M_p]$$

Dynamical $\phi \Rightarrow$ dynamical f !

Steep potential \Rightarrow fast roll \Rightarrow kination

\Rightarrow large $\Delta\phi \Rightarrow$ large $\Delta\mathcal{V}_Y \Rightarrow$ large Δf



Bulk Axions as Seeds of Radiation

In type IIB string theories, the bulk modulus couples to a bulk axion^[1]:

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu \phi \partial^\mu \phi + \frac{3M_P^2}{4} \exp \left[-2\sqrt{\frac{2}{3}} \frac{\phi}{M_P} \right] \partial_\mu \theta_b \partial^\mu \theta_b \quad \theta_b = \text{bulk axion}$$

Assume we start with *zero* bulk axion particles after inflation.

Two ways to produce bulk axions:

- 1) Cosmological particle production
- 2) Bulk modulus “decays” into bulk axions

Enough to trap bulk modulus?

Bulk Axion Mode Equation of Motion

$$\ddot{\theta}_b + \left(3H(t) - \frac{2}{M_P} \sqrt{\frac{2}{3}} \dot{\phi} \right) \dot{\theta}_b - \frac{1}{a^2} \nabla^2 \theta_b = 0 \quad \xrightarrow{H^2 = \frac{\dot{\phi}^2}{6M_P^2}} \quad \ddot{\theta}_b - H(t) \dot{\theta}_b - \frac{1}{a^2} \nabla^2 \theta_b = 0$$

$$\hat{\theta}_b(x, t) = \int d^3k \left[\hat{a}_k \chi_k(t) e^{-ikx} + \hat{a}_k^\dagger \chi_k^*(t) e^{ikx} \right] \quad \text{where} \quad [\hat{a}_k, \hat{a}_k^\dagger] = 1$$

Mode EOM:

$$\ddot{\chi}_k - H \dot{\chi}_k + \frac{k^2}{a^2} \chi_k = 0 \quad \xrightarrow{\chi_k(t) = a^{1/2}(t) X_k(t)} \quad \boxed{\ddot{X}_k + \omega_k^2 X_k = 0}$$

$$\text{where} \quad \boxed{\omega_k^2(t) \equiv \frac{k^2}{a^2(t)} - \frac{3}{4} H^2(t) + \frac{1}{2} \frac{\ddot{a}(t)}{a(t)}}$$

Particle Number in Curved Spacetimes

Bulk axion quantum field in terms

of rescaled mode functions: $\hat{\theta}_b(x, t) = \int d^3k a^{1/2} \left[\hat{a}_k X_k(t) e^{-ikx} + \hat{a}_k^\dagger X_k^*(t) e^{ikx} \right]$

$$\ddot{X}_k + \omega_k^2 X_k = 0 \xrightarrow{\text{ansatz}} X_k(t) = \frac{\alpha_k(t)}{\sqrt{2\omega}} e^{i \int \omega dt} + \frac{\beta_k(t)}{\sqrt{2\omega}} e^{-i \int \omega dt}$$

$$|\alpha|^2 - |\beta|^2 = 1$$

Rewriting mode expansion in terms of α_k and β_k :

$$\hat{\theta}_b(x, t) = \int \frac{d^3k a^{1/2}}{\sqrt{2\omega}} \left[(\alpha_k(t) \hat{a}_k + \beta_{-k}^*(t) \hat{a}_{-k}^\dagger) e^{-i \int \omega dt} e^{-ikx} + (\beta_{-k}(t) \hat{a}_{-k} + \alpha_k^*(t) \hat{a}_k^\dagger) e^{i \int \omega dt} e^{ikx} \right]$$

$$\hat{b}_k(t) \equiv \alpha_k(t) \hat{a}_k + \beta_{-k}^*(t) \hat{a}_{-k}^\dagger$$

$$\hat{b}_k^\dagger(t) \equiv \alpha_k^*(t) \hat{a}_k^\dagger + \beta_{-k}(t) \hat{a}_{-k}$$

$$[\hat{b}_k, \hat{b}_k^\dagger] = 1$$

“Bogoliubov Transformations”

Particle Number in Curved Spacetimes Cont'd

$$\hat{a}_k |\Omega\rangle = 0$$

$$\begin{aligned} n_k(t) &\equiv \langle \Omega | \hat{N}_k(t) | \Omega \rangle = \langle \Omega | \hat{b}_k^\dagger(t) \hat{b}_k(t) | \Omega \rangle = |\beta_k(t)|^2 \\ &= \frac{\omega_k}{2} \left(\frac{|\dot{X}_k|^2}{\omega_k^2} + |X_k|^2 \right) - \frac{1}{2} \end{aligned}$$

\Rightarrow solve for mode functions $X_k(t)$

\Rightarrow determine comoving $n_k(t)$

\Rightarrow physical number density given by $n_k(t)/a^3(t)$