

Parton Branching results discussion in GEN-22-001

Hannes Jung (Emeritus, II Institut f. Theoretische Physik, DESY, Hamburg)

- based on:
 - Bubanja, I. et al, arXiv: [2312.08655](#)
 - M. Mendizabal arXiv: [2309.11802](#)
 - Bubanja, I. et al, arXiv: [2404.04088](#)
- together with co-authors:
 - I. Bubanja, A. Bermudez Martinez, L. Favart, F. Guzman, F. Hautmann, A. Lelek, M. Mendizabal, K. Moral Figueroa, L. Moureaux, N. Raicevic, M. Seidel, S. Taheri Monfared

The role of soft gluons

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- Recap of PB method
- issues of consistency
- Drell-Yan production
- Importance of soft gluons

Parton Branching approach - recap

DGLAP evolution – solution with parton branching method

$$f(x, \mu^2) = f(x, \mu_0^2) \Delta_s(\mu^2) + \int^{z_M} \frac{dz}{z} \int \frac{d\mu'^2}{\mu'^2} \cdot \frac{\Delta_s(\mu^2)}{\Delta_s(\mu'^2)} P^{(R)}(z) f\left(\frac{x}{z}, \mu'^2\right)$$

- solve integral equation via iteration:

$$f_0(x, \mu^2) = f(x, \mu_0^2) \Delta(\mu^2)$$

- with Sudakov form factor

$$\Delta_s(\mu^2) = \exp \left(- \sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mathbf{q}'^2}{\mathbf{q}'^2} \int_0^{z_M} dz \, z \, P_{ba}^{(R)}(\alpha_s, z) \right)$$

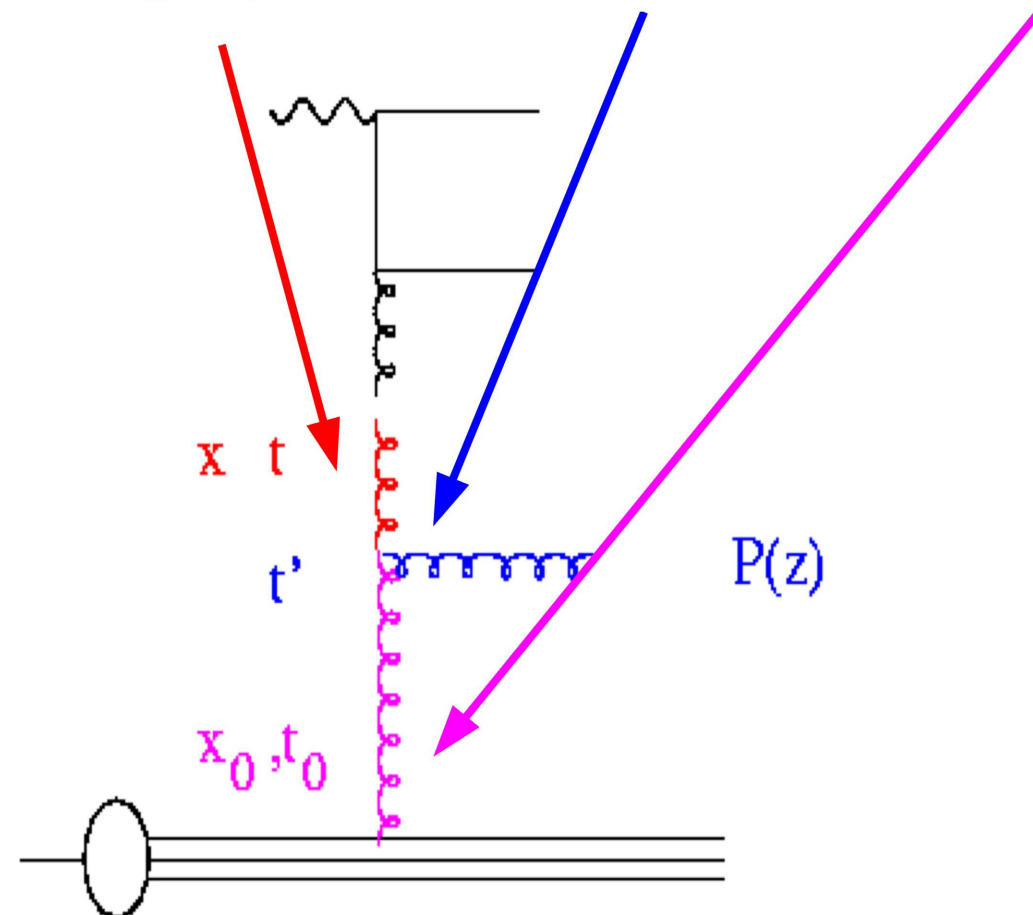
DGLAP evolution – solution with parton branching method

$$f(x, \mu^2) = f(x, \mu_0^2) \Delta_s(\mu^2) + \int^{z_M} \frac{dz}{z} \int \frac{d\mu'^2}{\mu'^2} \cdot \frac{\Delta_s(\mu^2)}{\Delta_s(\mu'^2)} P^{(R)}(z) f\left(\frac{x}{z}, \mu'^2\right)$$

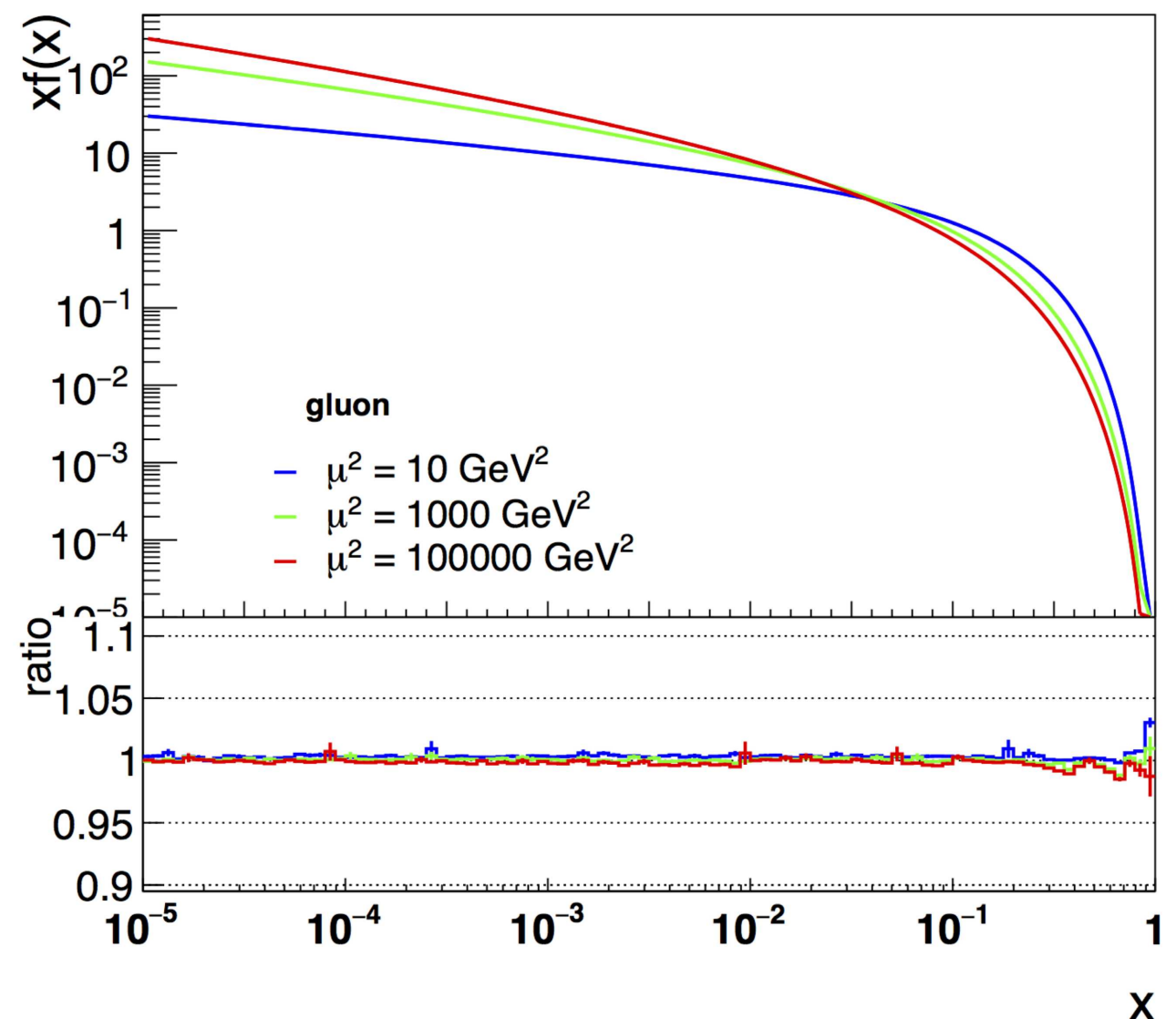
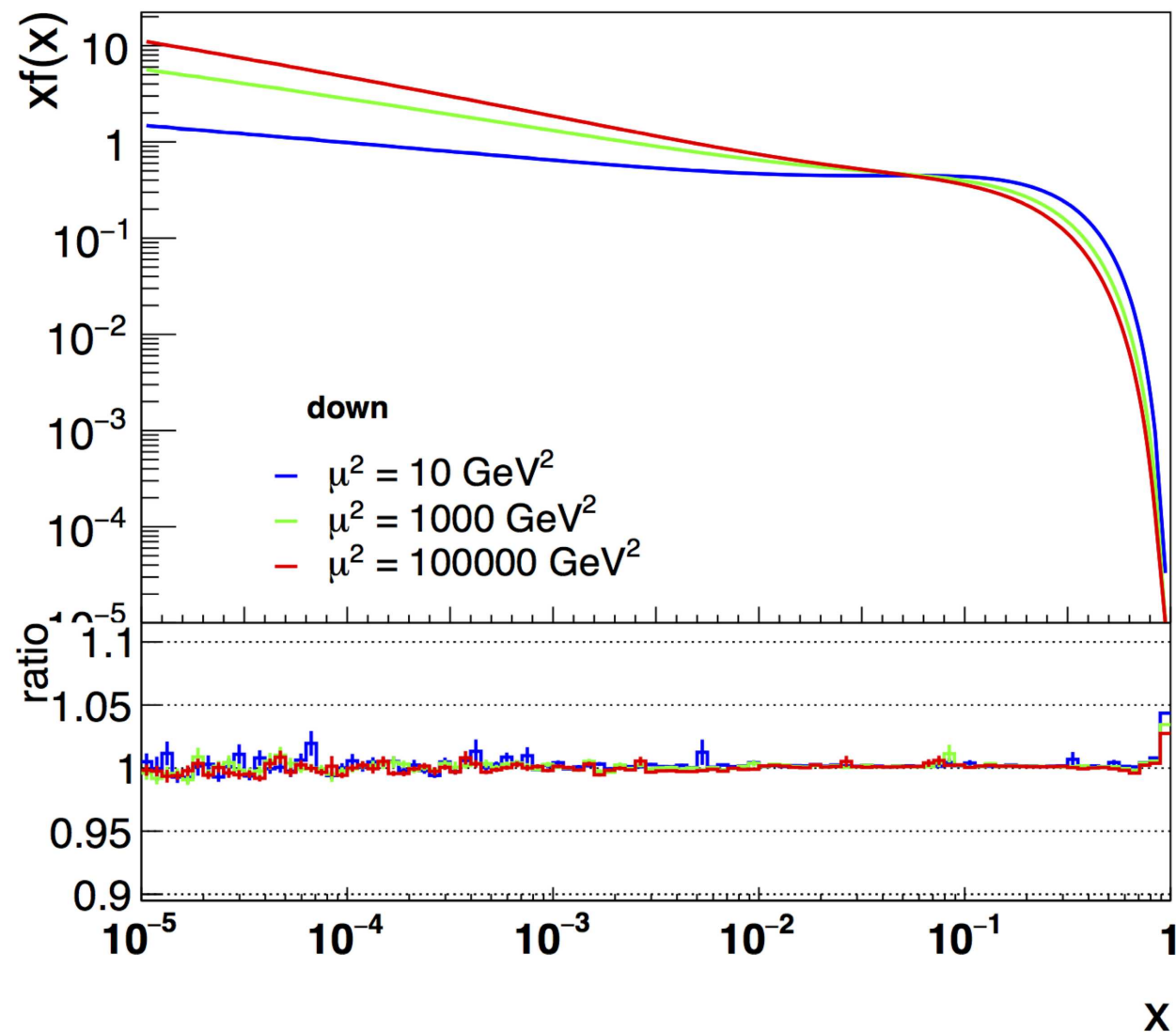
- solve integral equation via iteration:

$$f_0(x, \mu^2) = f(x, \mu_0^2) \Delta(\mu^2)$$

$$f_1(x, \mu^2) = f(x, \mu_0^2) \Delta(\mu^2) + \int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \frac{\Delta(\mu^2)}{\Delta(\mu'^2)} \int^{z_M} \frac{dz}{z} P^{(R)}(z) f(x/z, \mu_0^2) \Delta(\mu'^2)$$

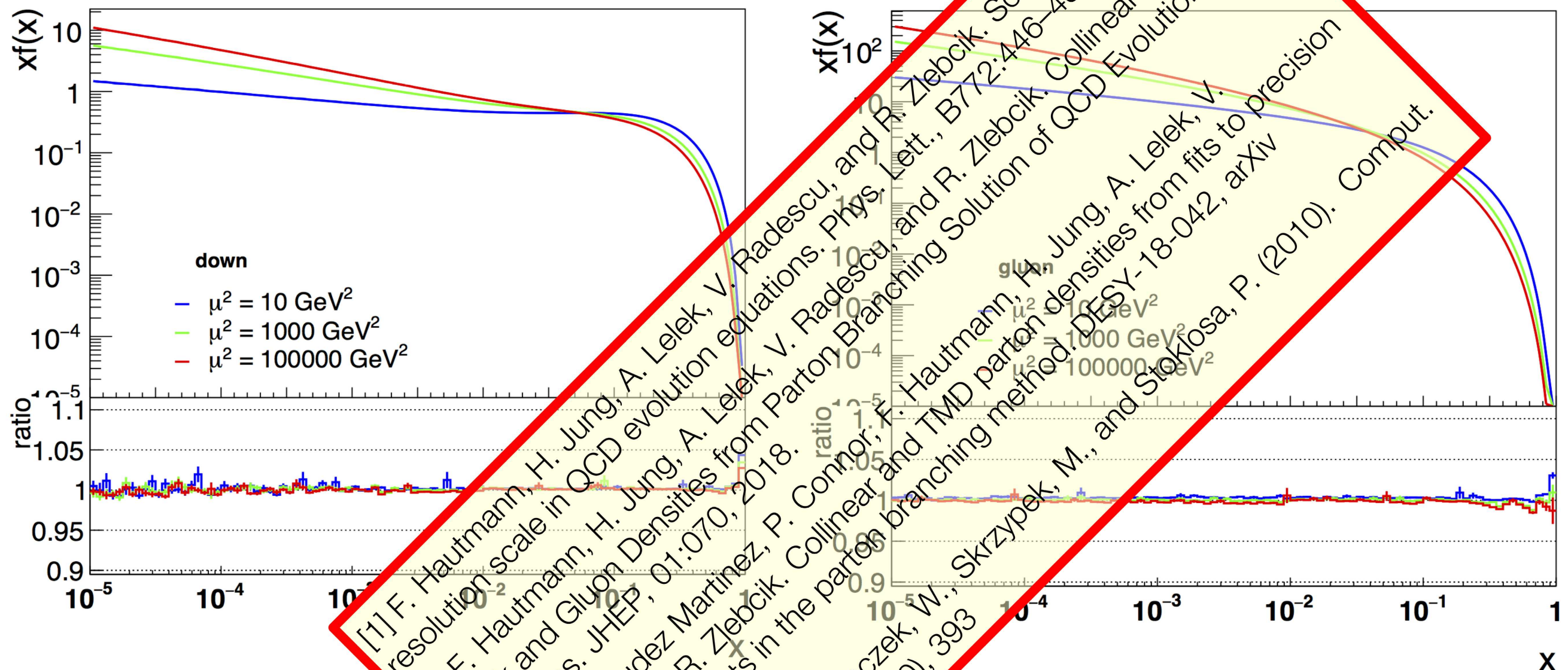


Validation of method with QCDnum at **NLO**



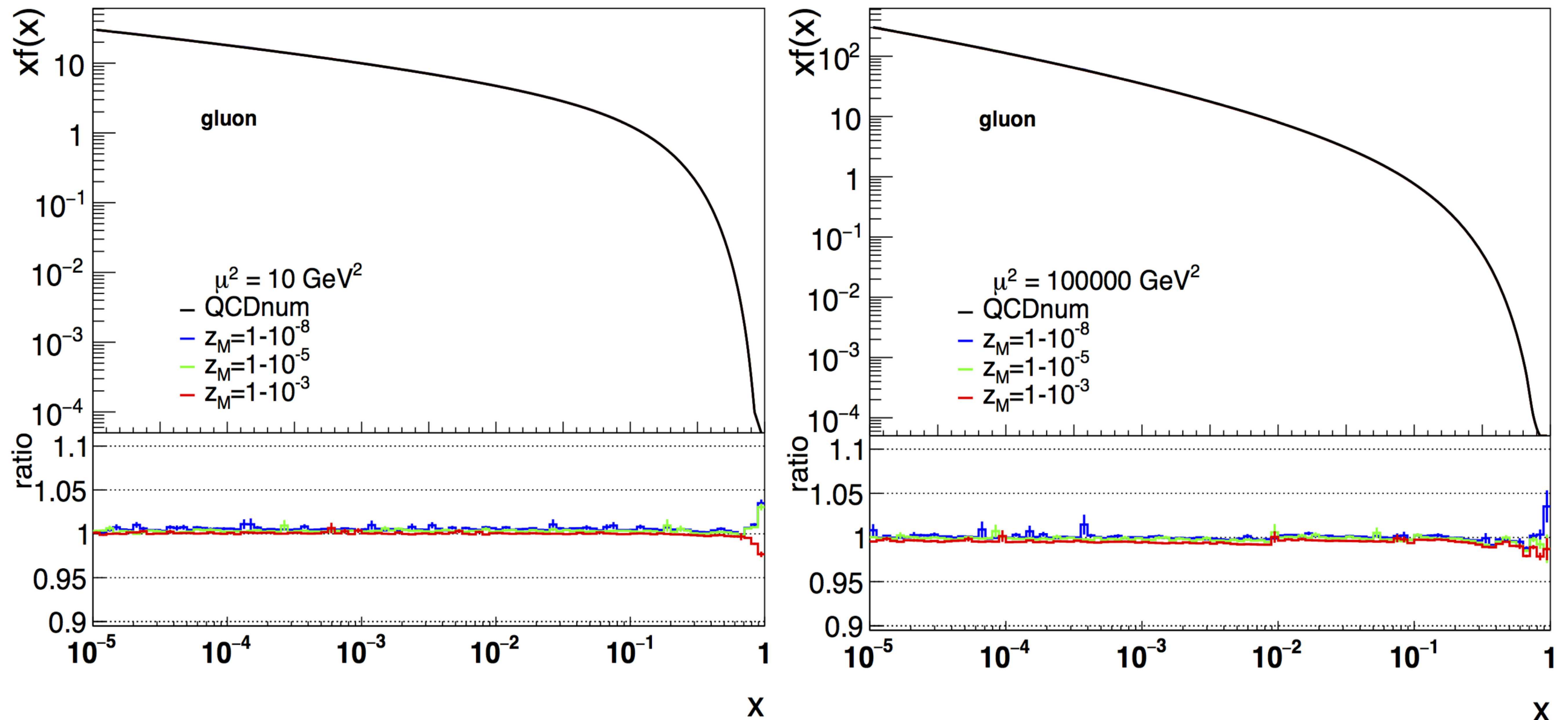
- Very good agreement with **NLO** - QCDnum over all x and μ^2
 - the same approach works also at NNLO !

Validation of method with QCDnum at NLO



- Very good agreement with NLO-QCDnum over all x and μ^2
- the same approach works also at NLO!

Validation of method at **NLO**: z_M - dependence



- No dependence on z_M if z_M is large enough
- Very good agreement with **NLO** - QCDnum

PDFs from Parton Branching method: fit to HERA data

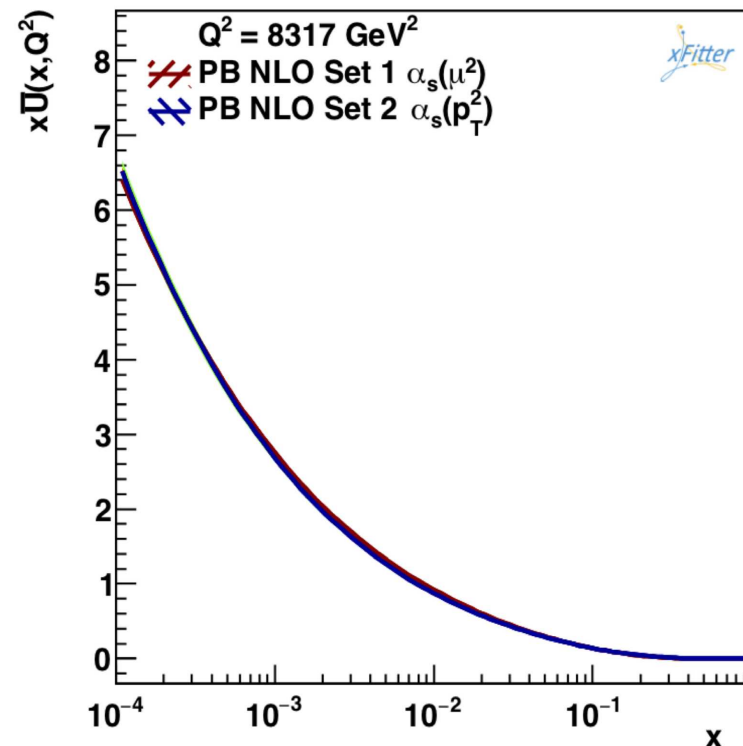
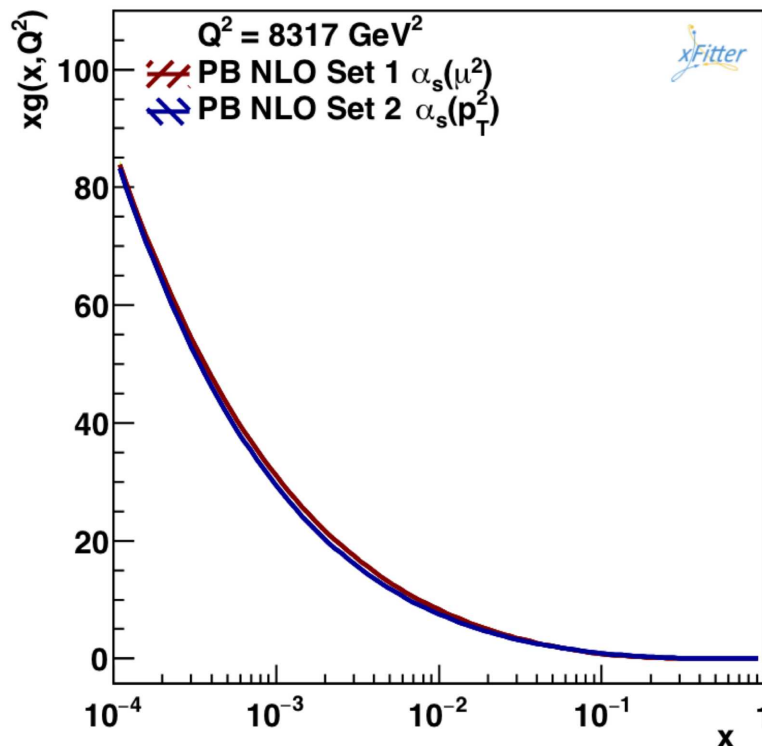
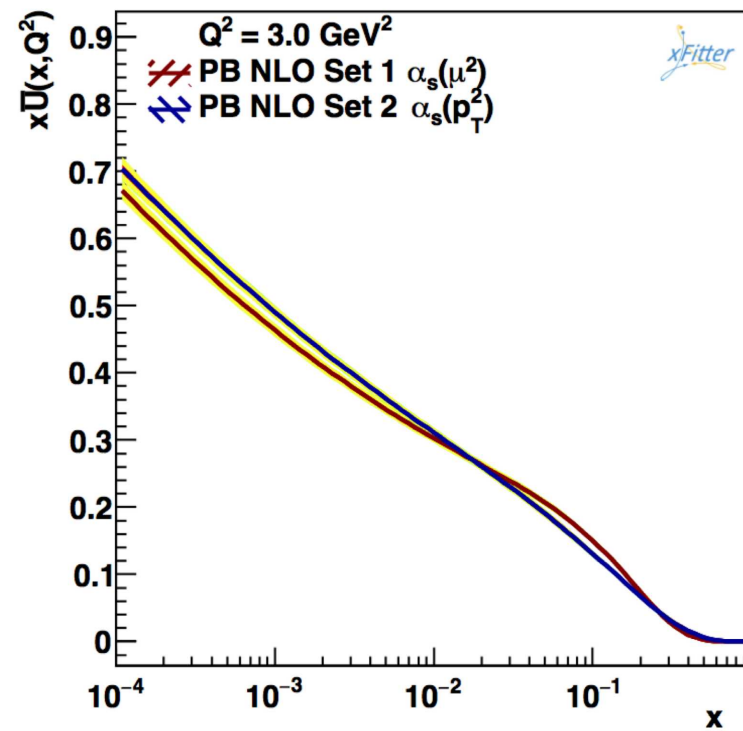
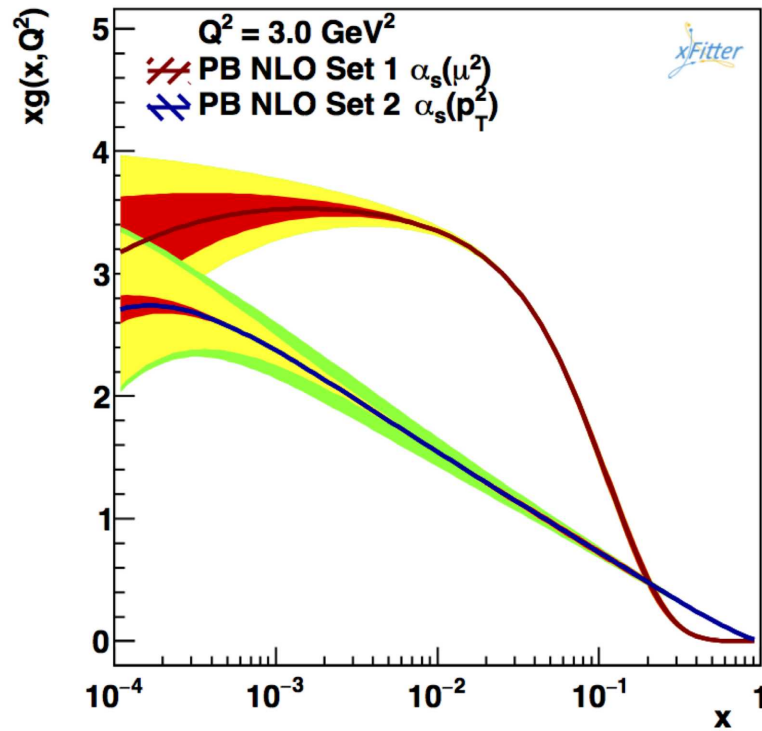
- Convolution of kernel with starting distribution

$$\begin{aligned} x f_a(x, \mu^2) &= x \int dx' \int dx'' \mathcal{A}_{0,b}(x') \tilde{\mathcal{A}}_a^b(x'', \mu^2) \delta(x'x'' - x) \\ &= \int dx' \mathcal{A}_{0,b}(x') \cdot \frac{x}{x'} \tilde{\mathcal{A}}_a^b\left(\frac{x}{x'}, \mu^2\right) \end{aligned}$$

- Fit performed using xFitter frame (with collinear Coefficient functions at NLO)
 - using full HERA I+II inclusive DIS (neutral current, charged current) data
 - in total 1145 data points
 - $3.5 \leq Q^2 \leq 50000 \text{ GeV}^2$
 - $4 \cdot 10^{-5} < x < 0.65$
 - using starting distribution as in HERAPDF2.0
 - $\chi^2/n d f = 1.2$

➔ Can be easily extended to include any other measurement for fit !

Collinear parton distributions after fit

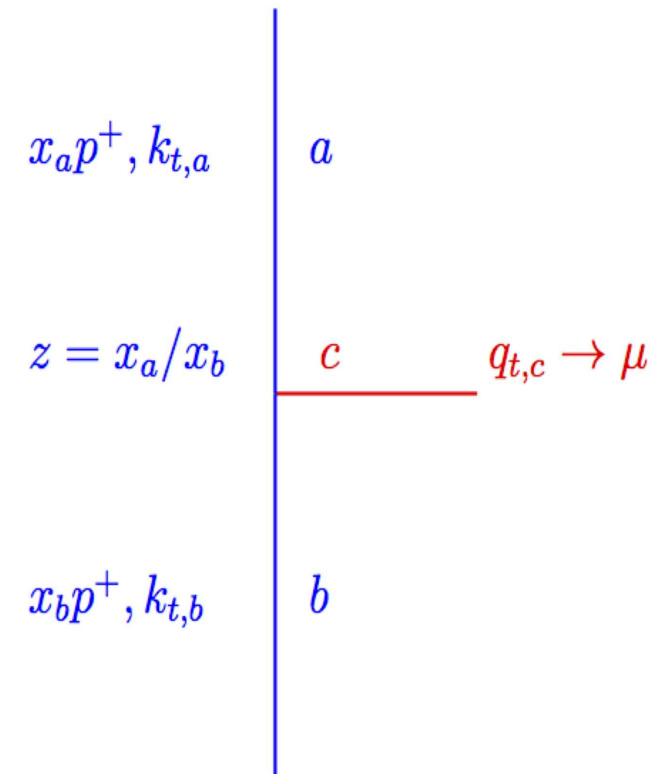


- fit 1 with $\alpha_s(q)$
 - as good as HERAPDF2.0
 $\chi^2/ndf = 1.2$
- fit 2 with $\alpha_s(q(1-z))$
 - $\chi^2/ndf = 1.21$
- very different gluon distribution obtained at small Q^2

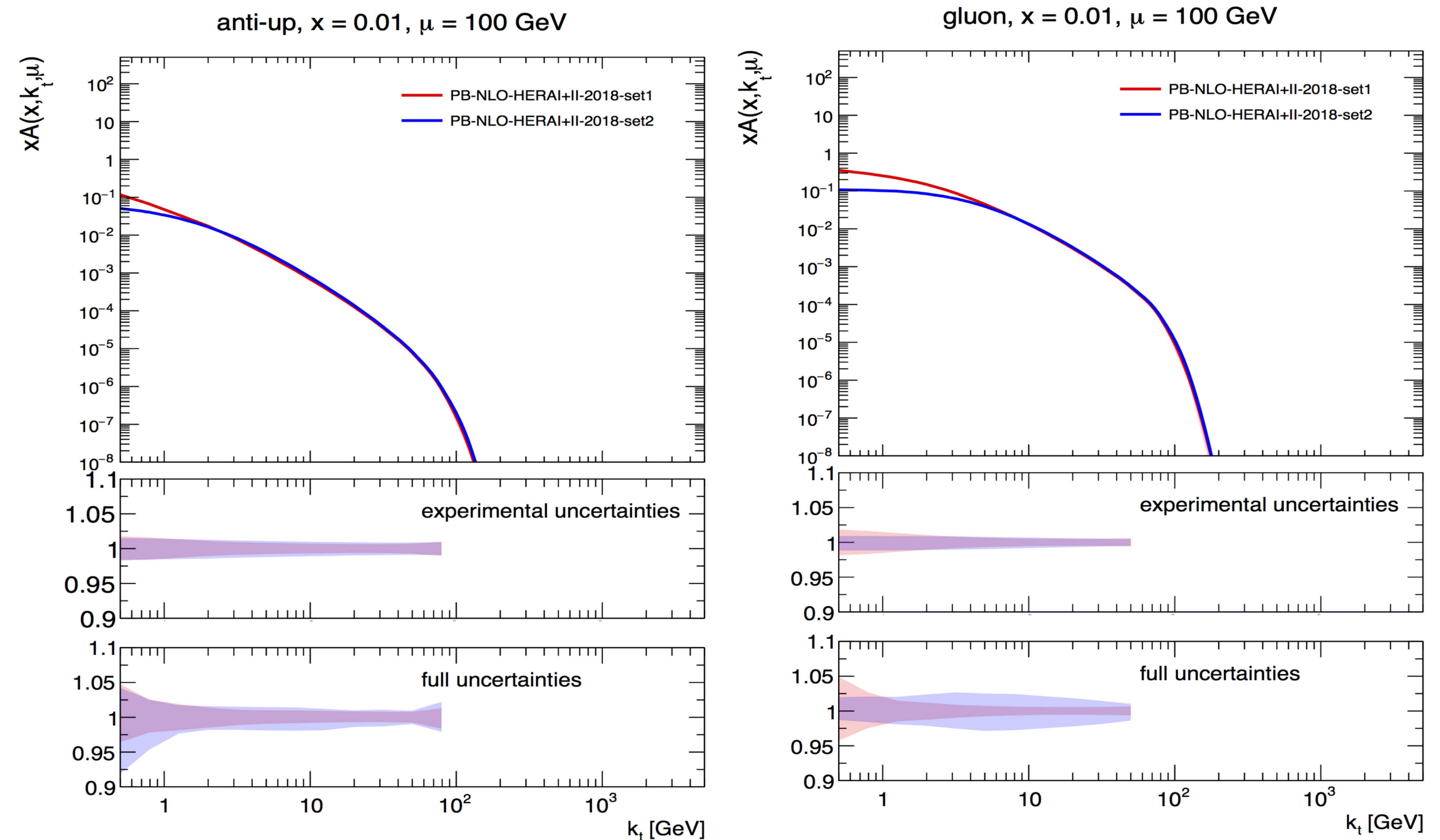
Transverse Momentum Dependence

- Parton Branching evolution generates every single branching:
 - kinematics can be calculated at every step
- Give physics interpretation of evolution scale:
 - angular ordering:

$$\mu = q_T / (1-z)$$



TMD distributions from fit to HERA data

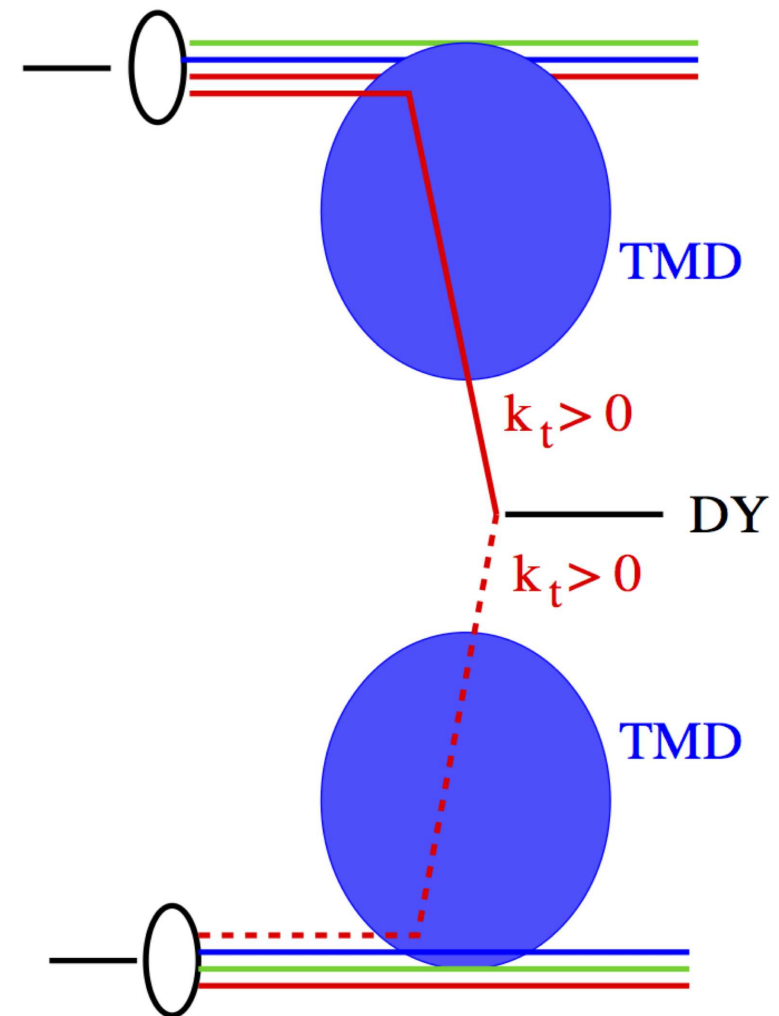


- model dependence larger than experimental uncertainties

Application of PB TMDs

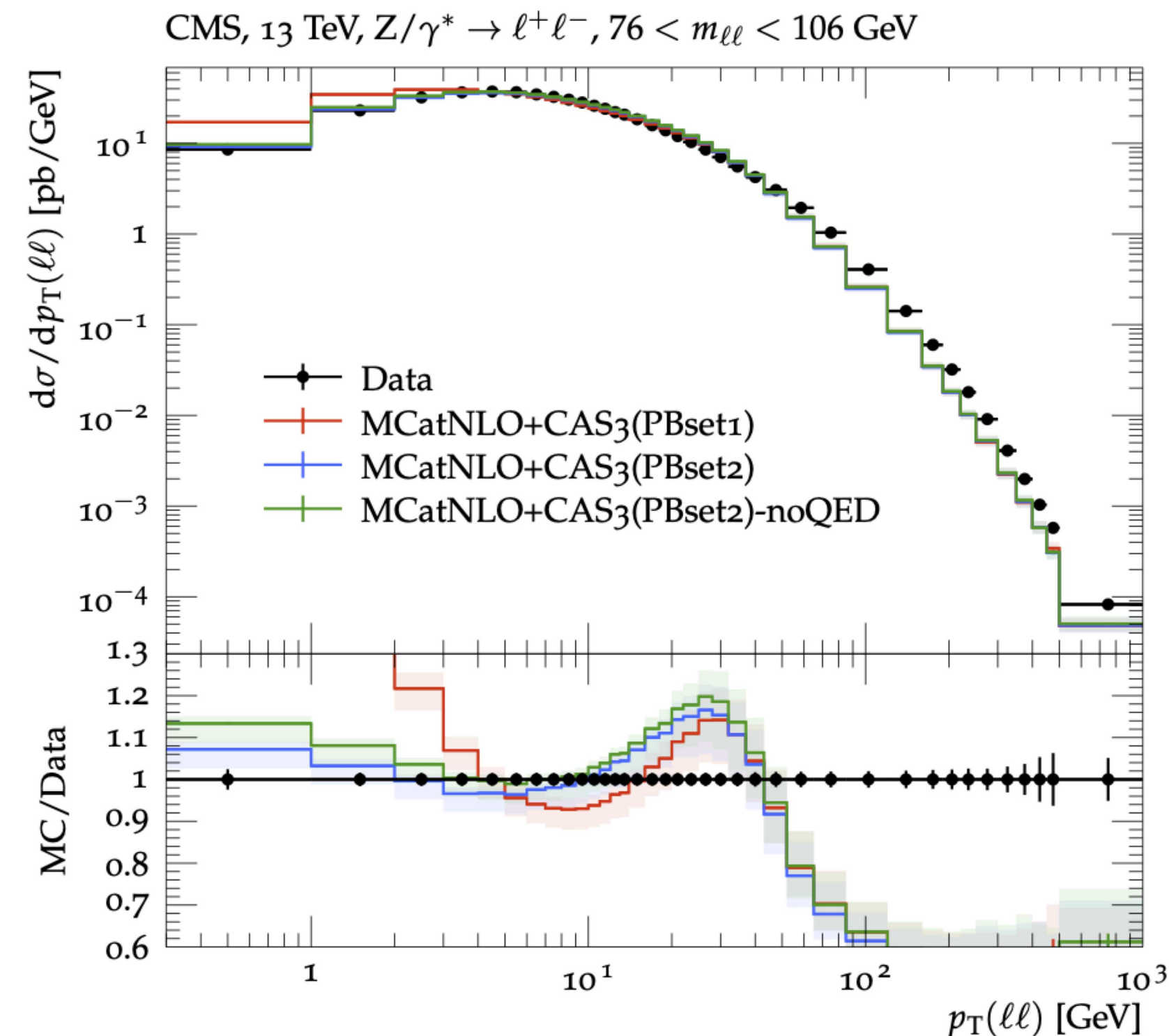
Drell-Yan production: q_T - spectrum

- DY production
 - $q\bar{q} \rightarrow Z_0$
 - use NLO calculations: MC@NLO
- add k_t for each parton as function of x and μ according to TMD
 - keep final state mass fixed
 - preserve rapidity
 - but x_1 and x_2 (light-cone fraction) are different after adding k_t



Z - production at 13 TeV (CMS)

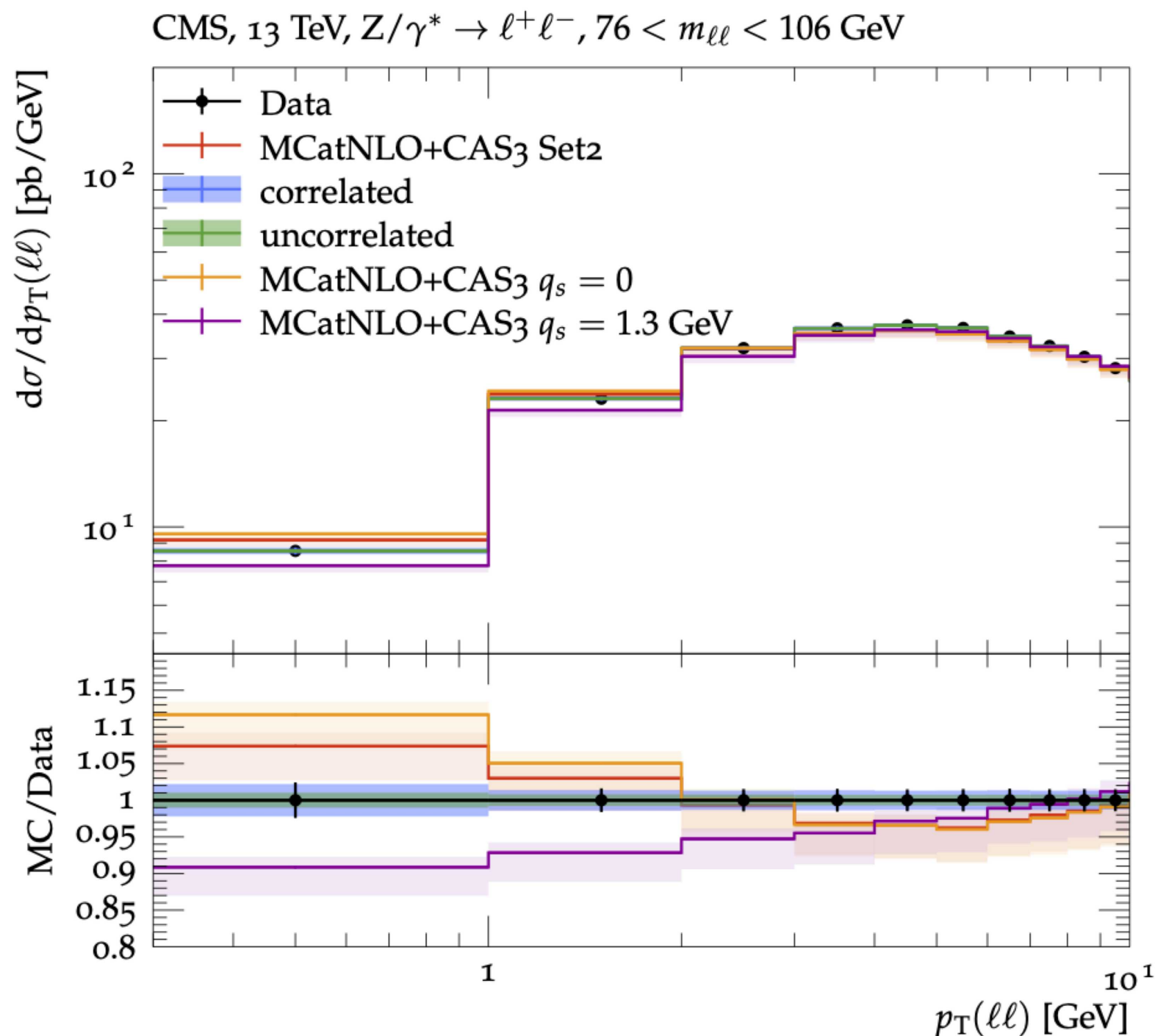
Bubanja, I. et al, arXiv: [2312.08655](https://arxiv.org/abs/2312.08655)



- very good description of low p_T region with PB-set 2 (with $\alpha_s(q(1-z))$)
- at larger p_T contribution from higher order matrix elements important
- Uncertainties in PB method mainly from scale of [MC@NLO](#) matrix element

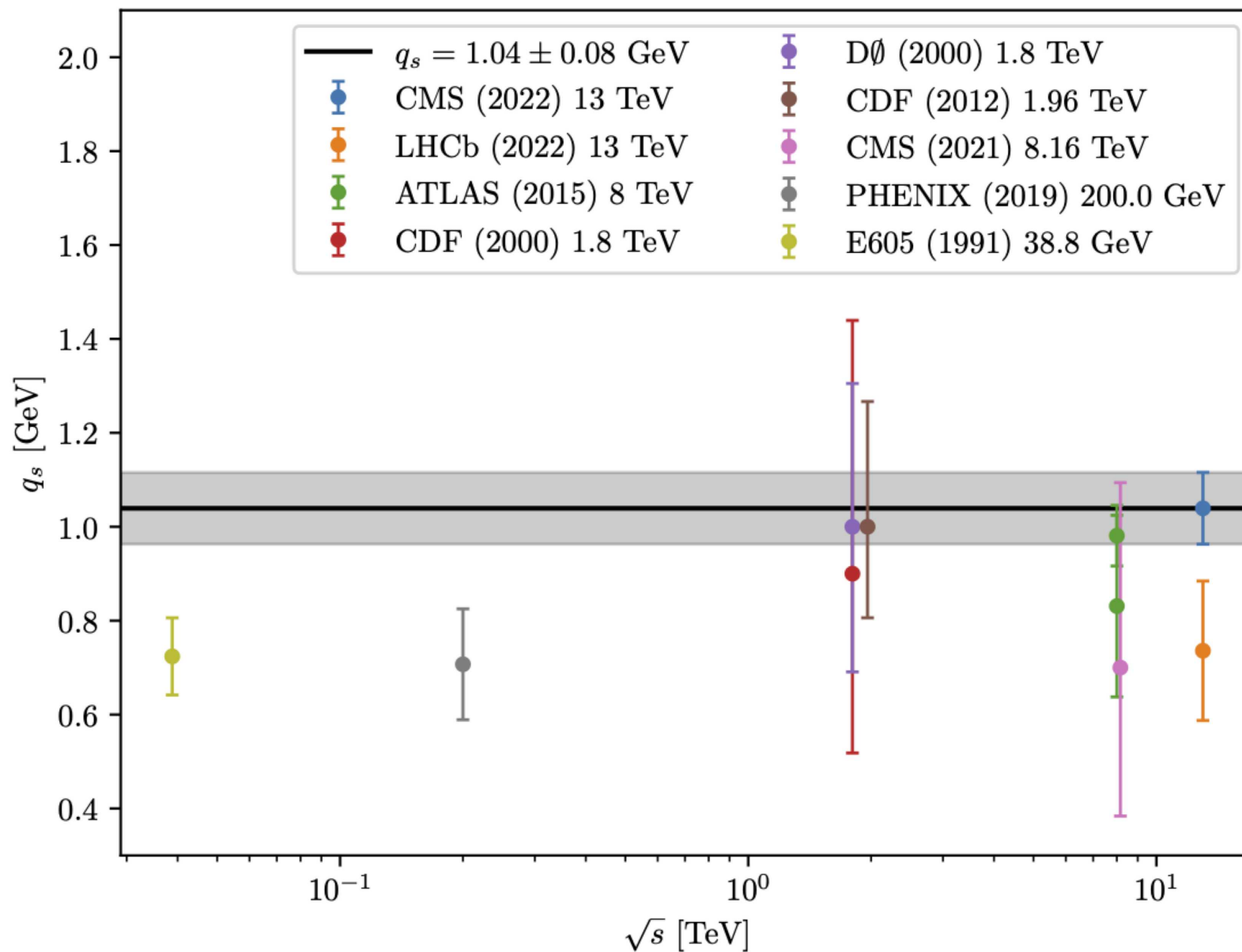
Intrinsic k_T in DY - production at 13 TeV (CMS)

Bubanja, I. et al, arXiv: [2312.08655](https://arxiv.org/abs/2312.08655)



- in TMD, intrinsic k_T distribution:
 - Gauss with zero mean, width q_s
$$\sim \exp(-|k_T^2|/q_s^2)$$
- Focus on small k_T region:
 - in lowest p_T bin, sensitivity to intrinsic k_T
- Use DY production at different m_{DY} and $\sqrt{s}$ to determine q_s
- Is intrinsic k_T dependent on m_{DY} and $\sqrt{s}$?

Fit of Intrinsic k_T in DY – production vers $\sqrt{s}$



Bubanja, I. et al, arXiv: [2312.08655](https://arxiv.org/abs/2312.08655)

- Gauss with zero mean, width q_s

$$\sim \exp(-|k_T^2|/q_s^2)$$

Fit to determine q_s of intrinsic k_T distribution from DY production as a function of $\sqrt{s}$

- obtain q_s rather independent on $\sqrt{s}$

The role of soft gluons

Reminder: DIS Jet Cross Sections in $O(\alpha_s)$

- From differential x-section

$$\frac{d\sigma(\gamma g \rightarrow q\bar{q})}{dx dz d\hat{t}} = K \sum_{\text{quarks } a} e_a^2 \frac{\alpha_s(Q^2)}{4\pi^2} \frac{2z}{Q^2} z f_g\left(\frac{x}{z}, Q^2\right) \times$$

$$\times \left\{ \frac{1}{4} \left(\frac{\hat{u}}{\hat{t}} + \frac{\hat{t}}{\hat{u}} - 2 \frac{Q^2}{\hat{u}\hat{t}} + 4 \frac{\hat{s}Q^2}{(\hat{s} + Q^2)^2} \right) \right\}$$

→ obtain:

J. Collins JHEP 0005:004,2000

$$\frac{d\sigma(\gamma g \rightarrow q\bar{q})}{dx dz d\cos\theta} = K \sum_{\text{quarks } a} e_a^2 \frac{\alpha_s(Q^2)}{4\pi^2} z f_g\left(\frac{x}{z}, Q^2\right) \times$$

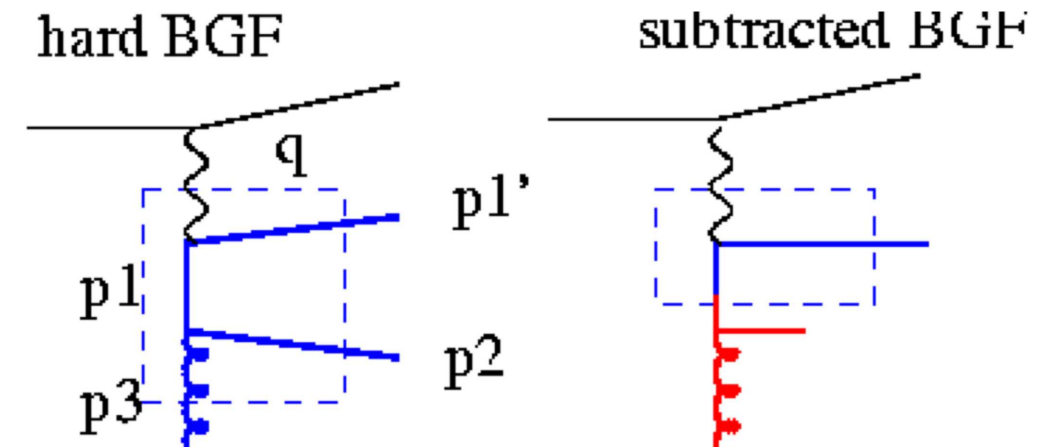
$$\times \left\{ P(z) \left[\frac{1}{1 - \cos\theta} + \frac{1}{1 + \cos\theta} \right] - \frac{1}{2} + 3z(1 - z) \right\},$$

Reminder: NLO and subtraction

- and obtain singular piece separately:

$$u = \frac{-Q^2(1 + \cos\theta)z}{2x}$$

$$z = \frac{p_3 q}{pq}$$



→ problem: kinematics ... avoid to subtract too much

$$\frac{d\sigma^{\text{subtract}}(\gamma g \rightarrow q\bar{q})}{dx dy dz d\cos\theta} = K \sum_{\text{quarks } a} e_a^2 \frac{\alpha_s(Q^2)}{4\pi^2} z f_g\left(\frac{x}{z}, Q^2\right) P(z) \left[\frac{1}{1 - \cos\theta} + \frac{1}{1 + \cos\theta} \right]$$

with

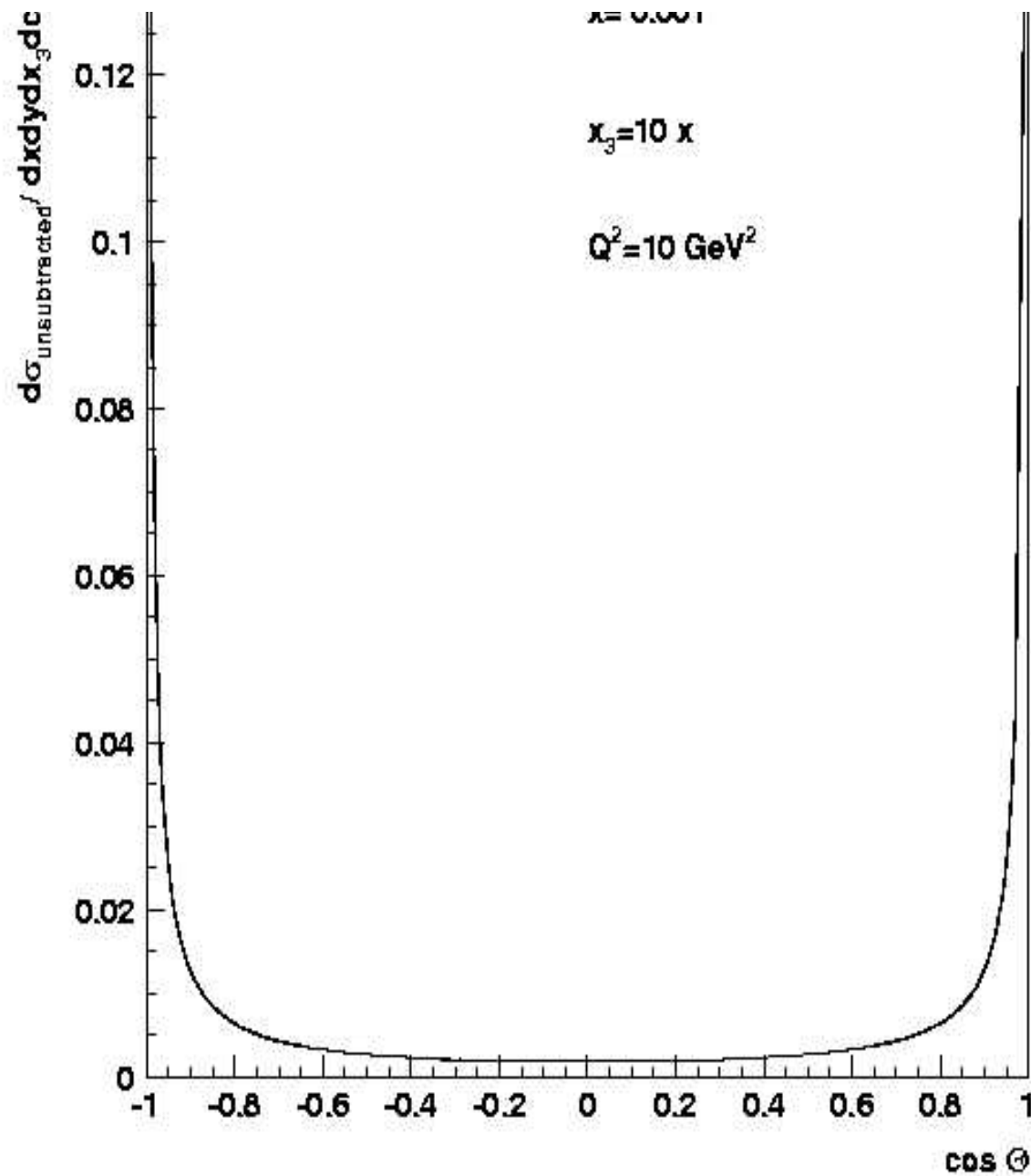
$$\frac{d\sigma_{\text{hard}}}{dx dy dz d\cos\theta} = K \sum_{\text{quarks } a} e_a^2 \frac{\alpha_s(Q^2)}{4\pi^2} z f_g\left(\frac{x}{z}, Q^2\right) \times$$

$$\times \left\{ \frac{P(z)(1 - C(-t))}{1 - \cos\theta} + \frac{P(z)(1 - C(-u))}{1 + \cos\theta} - \frac{1}{2} + 3z(1 - z) \right\}$$

$$C(a) = \Theta(Q^2 - a)$$

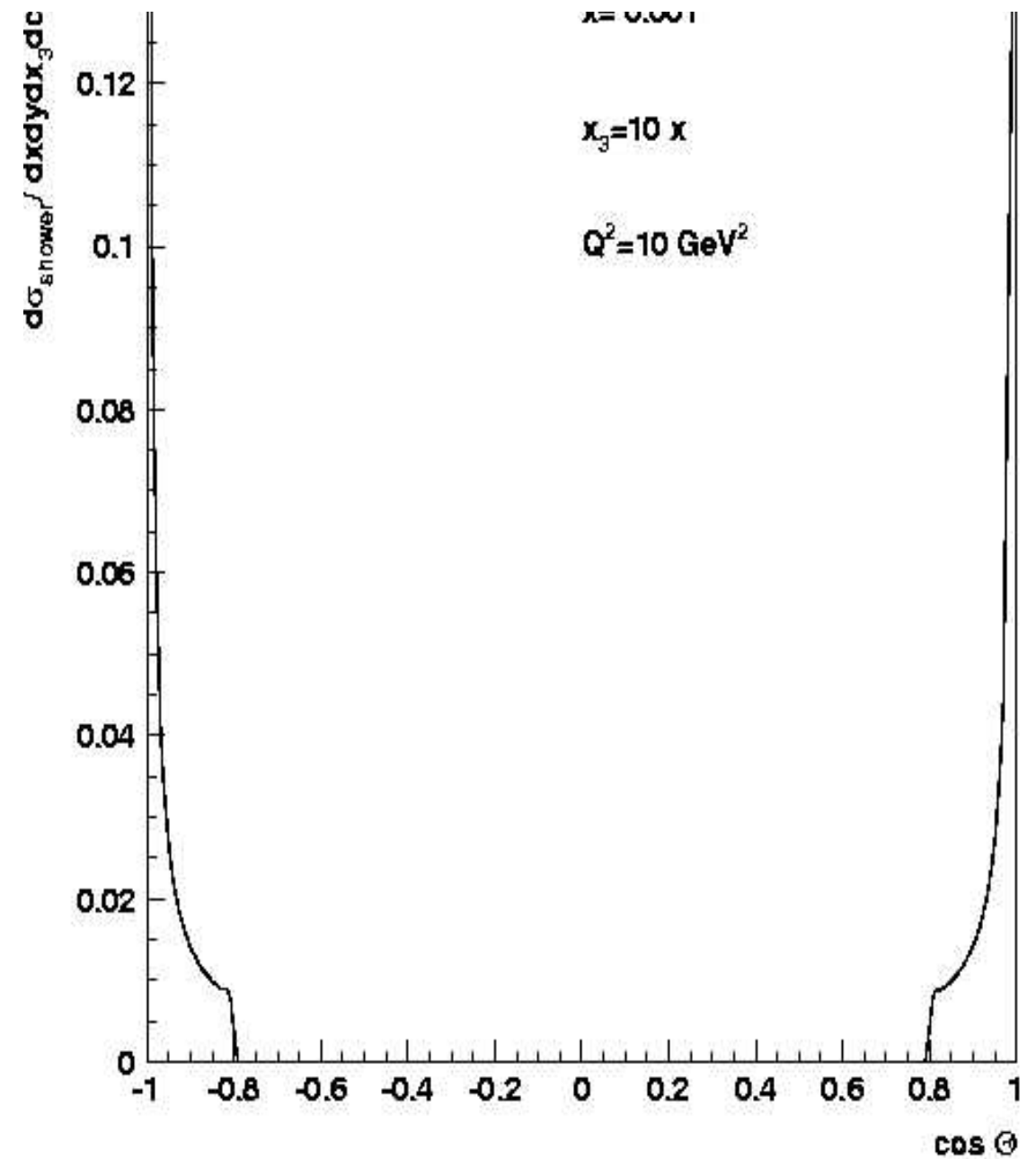
Reminder: NLO and subtraction

full BGF contribution



collinear contribution

$$C(a) = \Theta(Q^2 - a)$$



Reminder: NLO x-section

M. Mendizabal arXiv: [2309.11802](#)

- DIS x-section at NLO:

$$F_2(x, Q^2) = x \sum_{q, \bar{q}} e_q^2 \int_x^1 \frac{d\xi}{\xi} q(\xi, Q^2) \left[\delta \left(1 - \frac{x}{\xi} \right) + \frac{\alpha_s}{2\pi} C_{\overline{\text{MS}}} \left(\frac{x}{\xi} \right) + \dots \right]$$

- with

$$C_q^{\overline{\text{MS}}}(z) = C_F \left[2 \left(\frac{\log(1-z)}{1-z} \right)_+ - \frac{3}{2} \left(\frac{1}{1-z} \right)_+ - (1+z) \log(1-z) - \frac{1+z^2}{1-z} \log z + 3 + 2z - \left(\frac{\pi^2}{3} + \frac{9}{2} \right) \delta(1-z) \right]$$

- integral $d\xi$ has to extend up to 1 for consistency !

Reminder of NLO calculations

- Calculations at NLO (and higher order) require (integrated) parton densities with $z_M \rightarrow 1$ (if used in MSbar factorization scheme)
 - if $z_M \ll 1$, inconsistencies appear.
- Issue of $z_M \rightarrow 1$ was already discussed in Z. Nagy, D.Soper Phys. Rev. D102 (2020) 1
- Issue discussed in detail in M. Mendizabal, F. Guzman, H. Jung, S. Taheri Monfared arXiv [2309.11802](#)
- S. Frixione, B Webber (arXiv [2309.15587](#)) propose calculating NLO ME's with $z_M \ll 1$, huge enterprise, as all ME's need recalculation

Reminder of NLO calculations

Integration $z_M \rightarrow 1$ is essential for consistency

- Split Sudakov form factor into perturbative and non-perturbative part:

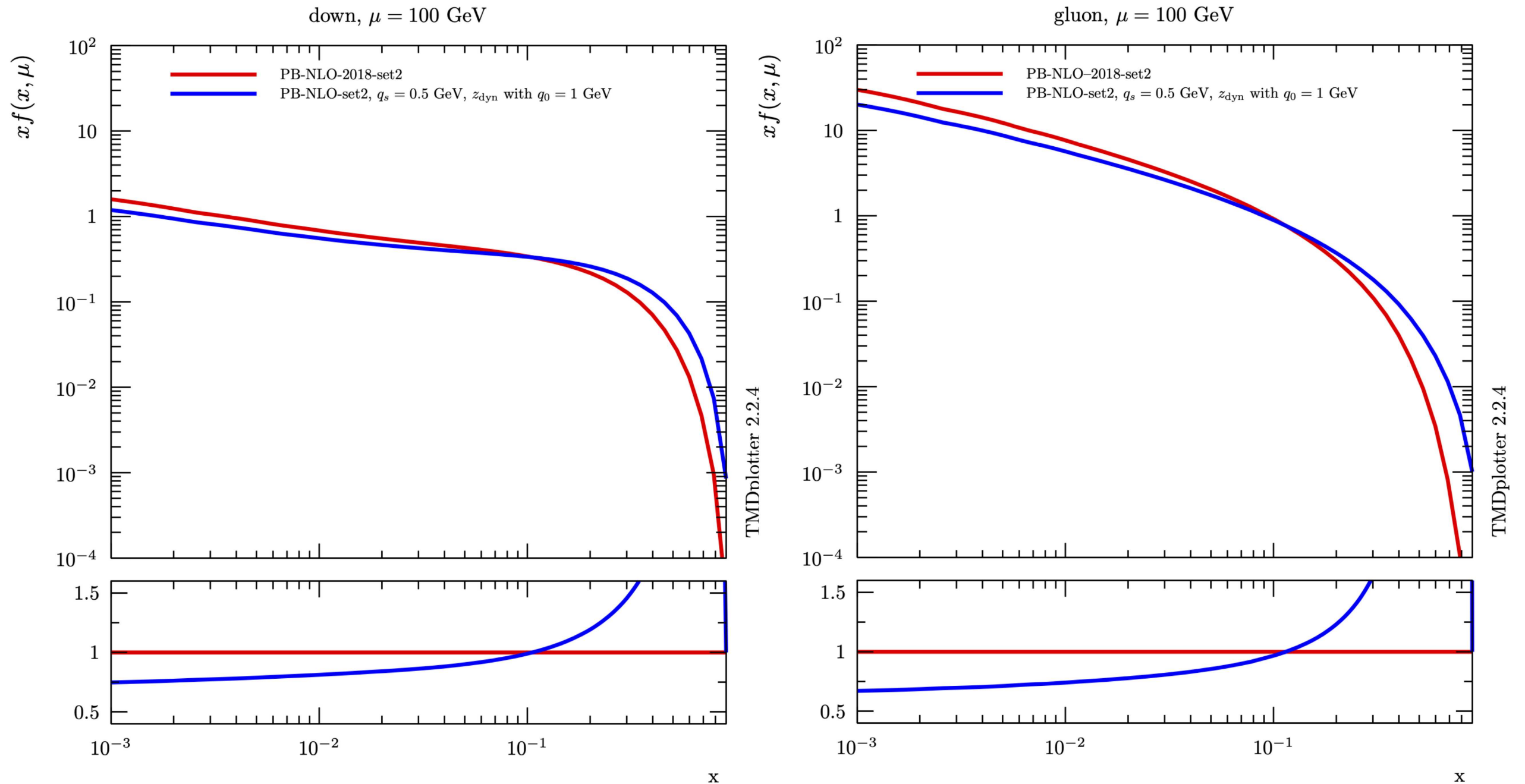
$$\begin{aligned}\Delta_s(\mu^2) &= \exp \left(- \sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mathbf{q}'^2}{\mathbf{q}'^2} \int_0^{z_M} dz \, z \, P_{ba}^{(R)}(\alpha_s, z) \right) \\ &= \exp \left(- \sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mathbf{q}'^2}{\mathbf{q}'^2} \int_0^{z_{\text{dyn}}} dz \, z \, P_{ba}^{(R)}(\alpha_s, z) \right) \\ &\quad \times \exp \left(- \sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mathbf{q}'^2}{\mathbf{q}'^2} \int_{z_{\text{dyn}}}^{z_M} dz \, z \, P_{ba}^{(R)}(\alpha_s, z) \right) \\ &= \Delta_s^{(\text{P})}(\mu^2, \mu_0^2, q_0^2) \cdot \Delta_s^{(\text{NP})}(\mu^2, \mu_0^2, q_0^2)\end{aligned}$$

- with $z_{\text{dyn}} = 1 - q_0/q'$

Importance of non-perturbative gluons

Bubanja, I. et al, arXiv: [2312.08655](https://arxiv.org/abs/2312.08655)

- Apply $z_{\text{dyn}} = 1 - q_0/q'$ with $q_0 = 1$ GeV on inclusive distributions



Parton Shower MC event generators

- Parton shower follows backward evolution:

$$\Pi = \exp \left[- \int_{\mu_l^2}^{\mu_h^2} \frac{d\mu'^2}{\mu'^2} \int^{z_{\text{dyn}}} \frac{dz}{z} \hat{P}(z) \frac{f(x/z, \mu^2)}{f(x, \mu^2)} \right]$$

- Emitted partons should have *resolvable* energy (or p_T) with: $p_T > q_0 \sim 1\text{GeV}$

$$z_{\text{dyn}} = 1 - \frac{q_0}{\mu'}$$

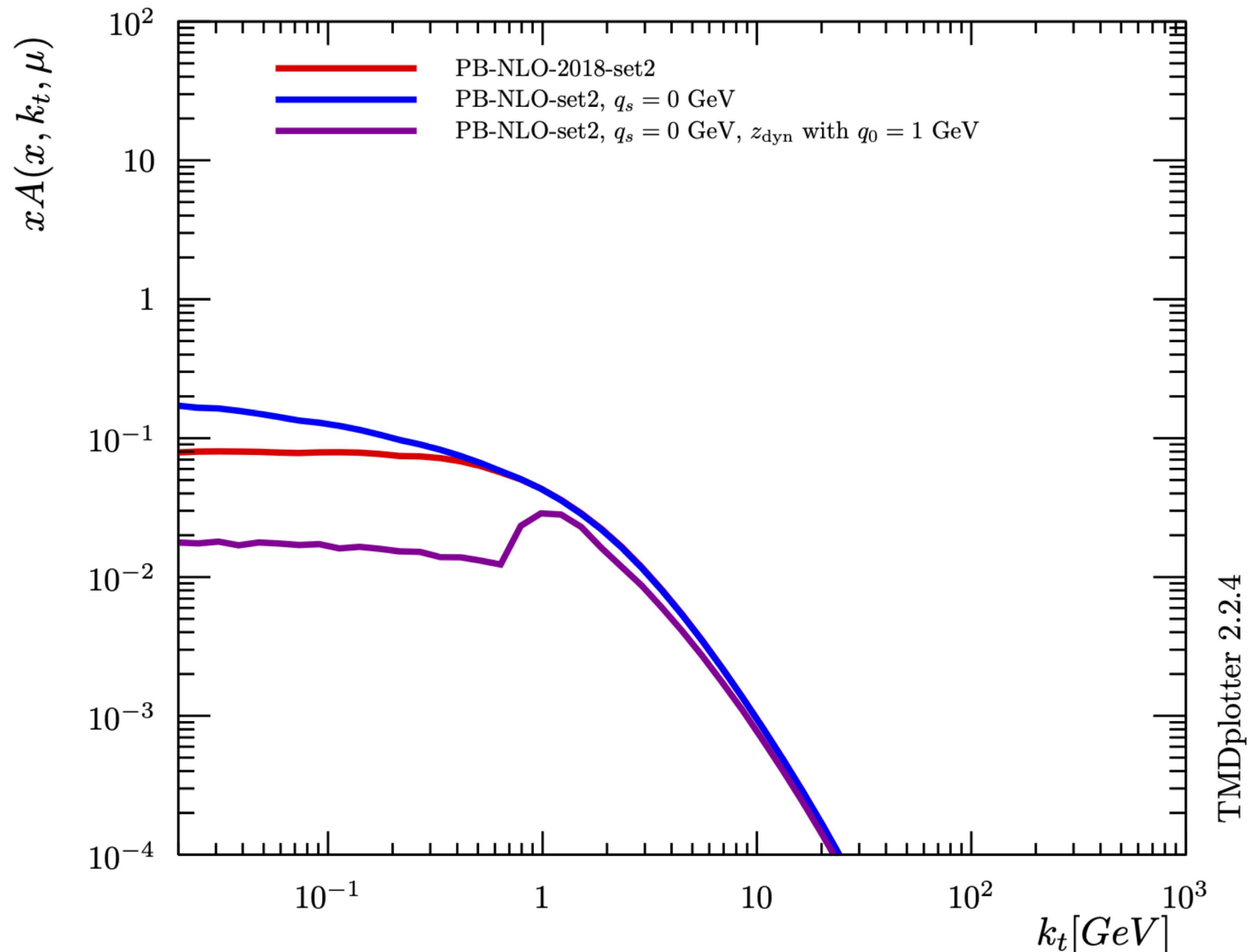
- With $z_{\text{dyn}} \ll 1$ soft gluons with $p_T < 1\text{GeV}$ are neglected.

- What is the role of these soft gluons ?

Role of soft gluons in TMD distributions

Bubanja, I. et al, arXiv: 2312.08655

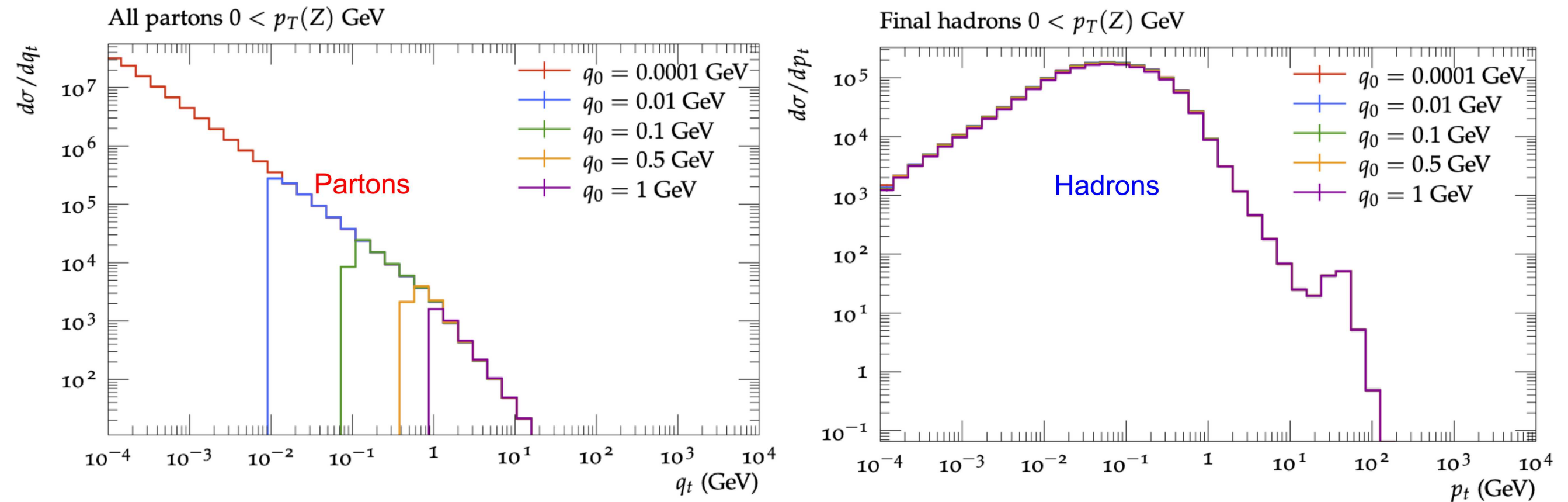
- Perform evolution with PB method with and without cut $z_{\text{dyn}} = 1 - \frac{q_0}{\mu'}$
down, $x = 0.01$, $\mu = 100$ GeV



Soft gluons in Parton Shower

M. Mendizabal arXiv: 2309.11802

- With PB-TMD parton shower study effect of $z_{\text{dyn}} = 1 - \frac{q_0}{\mu'}$



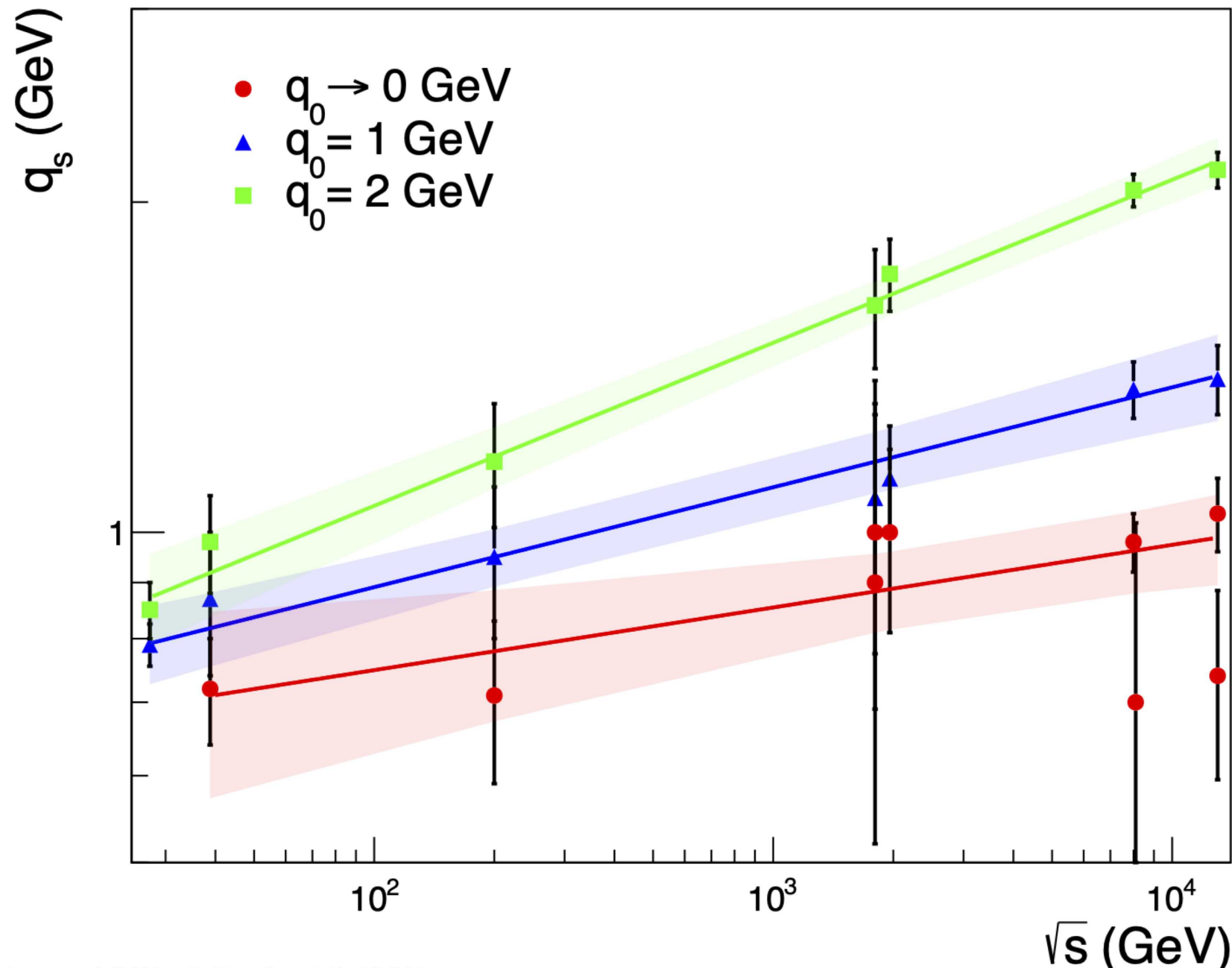
- in Lund string fragmentation these soft partons do not change hadron spectra
 - no issue for hadrons or jets from parton shower !
- no effect on hadron spectra !

Effect of soft gluons in inclusive DY pt spectra

Effect of removing soft gluons from TMD

- Perform evolution with PB method with cut $z_{\text{dyn}} = 1 - \frac{q_0}{\mu'}$
 - to mimic parton shower approach in MC event generators
- Determine width of intrinsic kt distribution

Bubanja, I. et al, arXiv: 2404.04088



CSS formalism

Collins, Rogers [arXiv 1705.07167](https://arxiv.org/abs/1705.07167)

- Collins Soper Serman (CSS) formalism for pt spectrum of DY production

$$\begin{aligned}
 \frac{d\sigma}{dQ^2 dy dq_T^2} = & \frac{4\pi^2 \alpha^2}{9Q^2 s} \sum_{j,j_A,j_B} e_j^2 \int \frac{d^2 \mathbf{b}_T}{(2\pi)^2} e^{i \mathbf{q}_T \cdot \mathbf{b}_T} \\
 & \times \int_{x_A}^1 \frac{d\xi_A}{\xi_A} f_{j_A/A}(\xi_A; \mu_{b_*}) \tilde{C}_{j/j_A}^{\text{CSS1, DY}} \left(\frac{x_A}{\xi_A}, b_*; \mu_{b_*}^2, \mu_{b_*}, C_2, a_s(\mu_{b_*}) \right) \\
 & \times \int_{x_B}^1 \frac{d\xi_B}{\xi_B} f_{j_B/B}(\xi_B; \mu_{b_*}) \tilde{C}_{\bar{j}/j_B}^{\text{CSS1, DY}} \left(\frac{x_B}{\xi_B}, b_*; \mu_{b_*}^2, \mu_{b_*}, C_2, a_s(\mu_{b_*}) \right) \\
 & \times \exp \left\{ - \int_{\mu_{b_*}^2}^{\mu_Q^2} \frac{d\mu'^2}{\mu'^2} \left[A_{\text{CSS1}}(a_s(\mu'); C_1) \ln \left(\frac{\mu_Q^2}{\mu'^2} \right) + B_{\text{CSS1, DY}}(a_s(\mu'); C_1, C_2) \right] \right\} \\
 & \times \exp \left[-g_{j/A}^{\text{CSS1}}(x_A, b_T; b_{\text{max}}) - g_{\bar{j}/B}^{\text{CSS1}}(x_B, b_T; b_{\text{max}}) - g_K^{\text{CSS1}}(b_T; b_{\text{max}}) \ln(Q^2/Q_0^2) \right] \\
 & + \text{suppressed corrections.}
 \end{aligned}$$

intrinsic k_T distribution

non-perturbative Sudakov form factor

intrinsic k_T and non-pert Sudakov must be determined by measurements

Correspondence of PB – TMDs with CSS

- Check correspondence of PB Sudakov form factor with CSS

- use only $P_{qq}(z)$ in large z limit: $P_{qq}(z) \sim \frac{1+z^2}{1-z} + \frac{3}{2}\delta(1-z) \rightarrow \frac{2}{1-z} + \frac{3}{2}\delta(1-z)$

- apply angular ordering constraint for z_M $z_{\text{dyn}} = 1 - q_0/q$

$$\begin{aligned}\Delta_s^{(\text{P})}(\mu) &= \exp \left(-\frac{\alpha_s}{2\pi} \left[\int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} \int_0^{z_{\text{dyn}}} dz P(z) + \frac{3}{2} \right] \right) \\ &= \exp \left(-\frac{\alpha_s}{2\pi} \left[\int_{\mu_0^2}^{\mu^2} \frac{d\mu'^2}{\mu'^2} 2 \log \frac{\mu^2}{\mu'^2} + \frac{3}{2} \right] \right)\end{aligned}$$

- perturbative Sudakov from PB is Sudakov from CSS
- PB give also prediction on non-pert Sudakov:

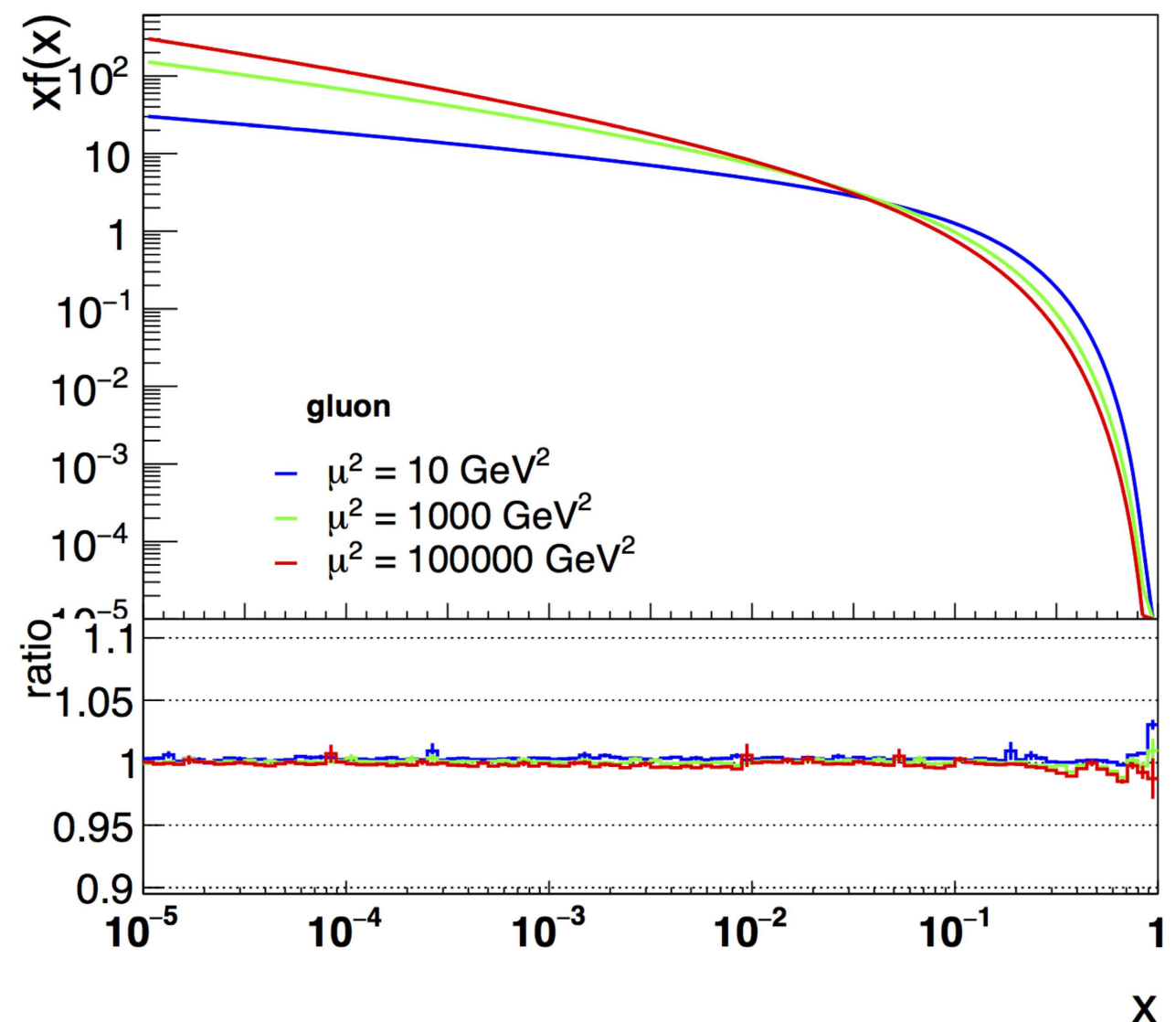
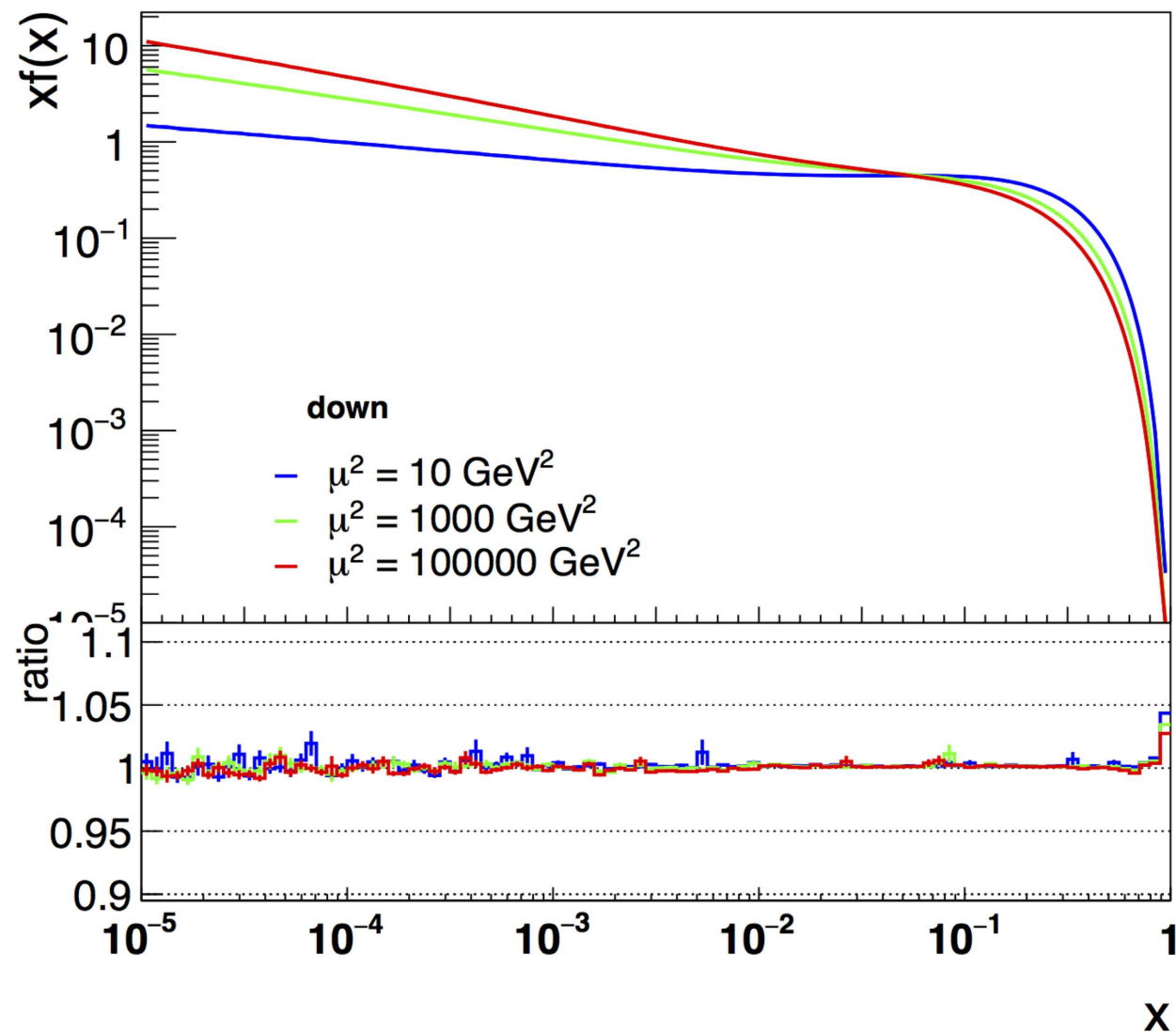
$$\Delta_s^{(\text{NP})}(\mu^2) = \times \exp \left(-\sum_b \int_{\mu_0^2}^{\mu^2} \frac{d\mathbf{q}'^2}{\mathbf{q}'^2} \int_{z_{\text{dyn}}}^{z_M} dz z P_{ba}^{(R)}(\alpha_s, z) \right)$$

Conclusion

- Parton Branching method to solve DGLAP equation at LO, NLO and NNLO
 - method directly applicable to determine k_T distribution
- Application to inclusive DY processes in pp at different energies and masses:
 - intrinsic k_T distribution determined over large range of m_{DY} and $\sqrt{s}$
 - no or very mild $\sqrt{s}$ dependence observed, in contrast to MCEG
- Importance of soft gluons established:
 - essential for consistency of NLO matrix elements and pdfs !
 - otherwise new factorization scheme to be defined and all NLO ME's must be recalculated.
 - essential for inclusive parton densities (DGLAP requires $z_M \rightarrow 1$)
 - essential for inclusive TMD distributions, e.g. DY q_T spectra
- Soft gluons are not important for final state jets or hadrons from parton shower

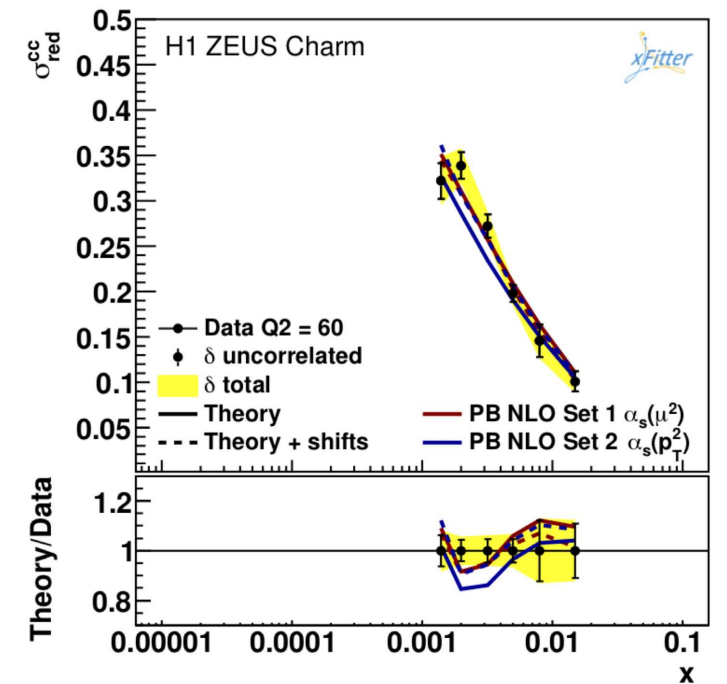
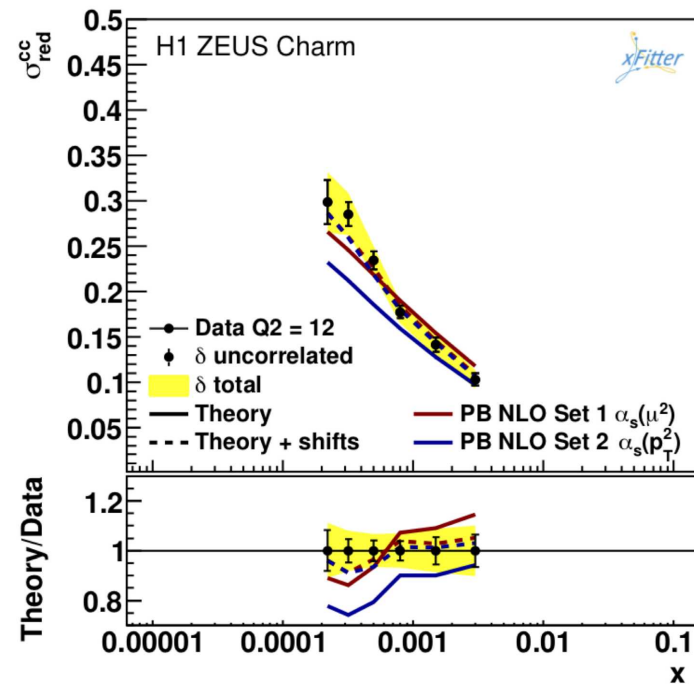
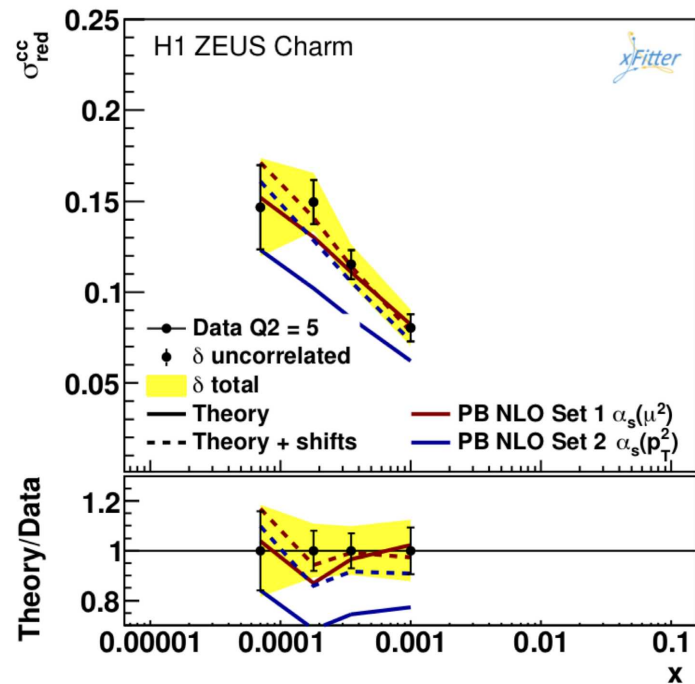
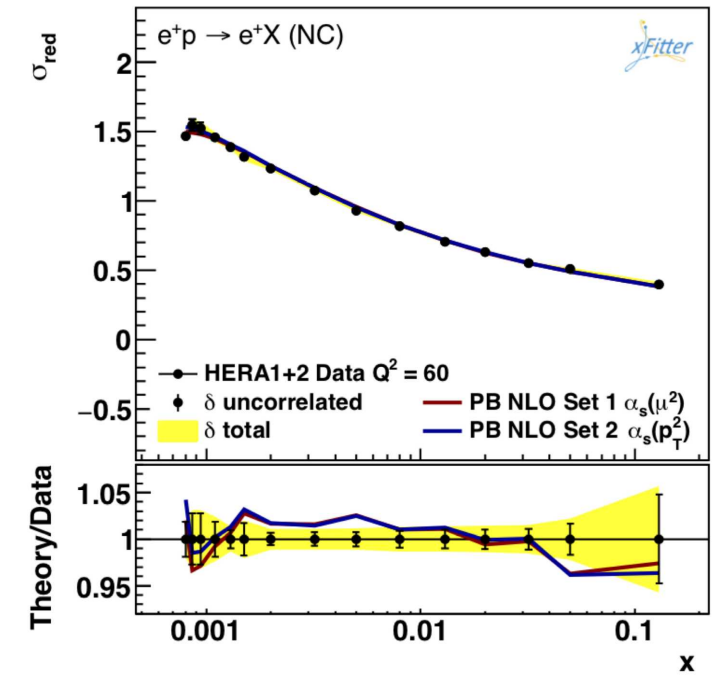
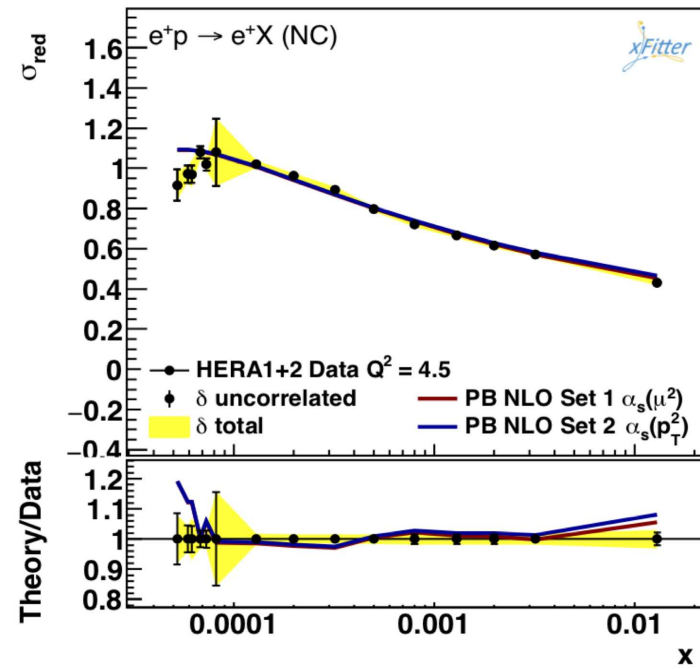
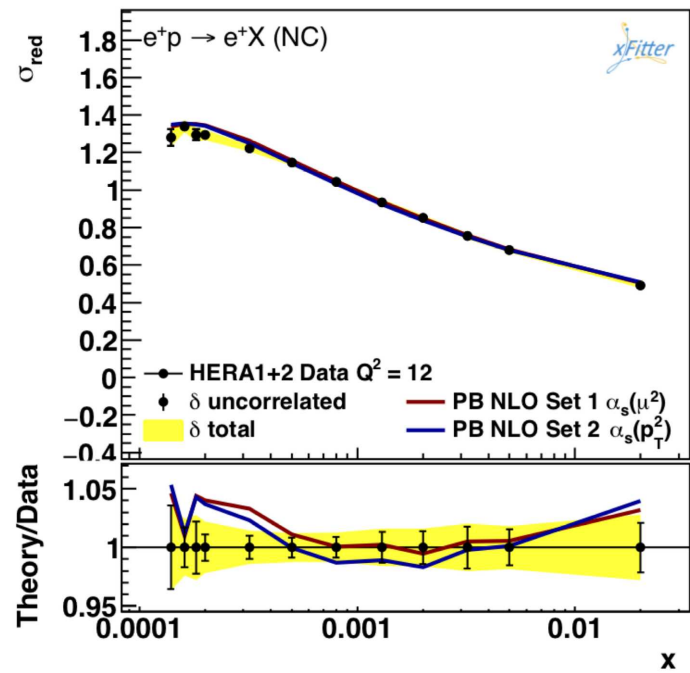
Appendix

Validation of method with QCDnum at **NLO**



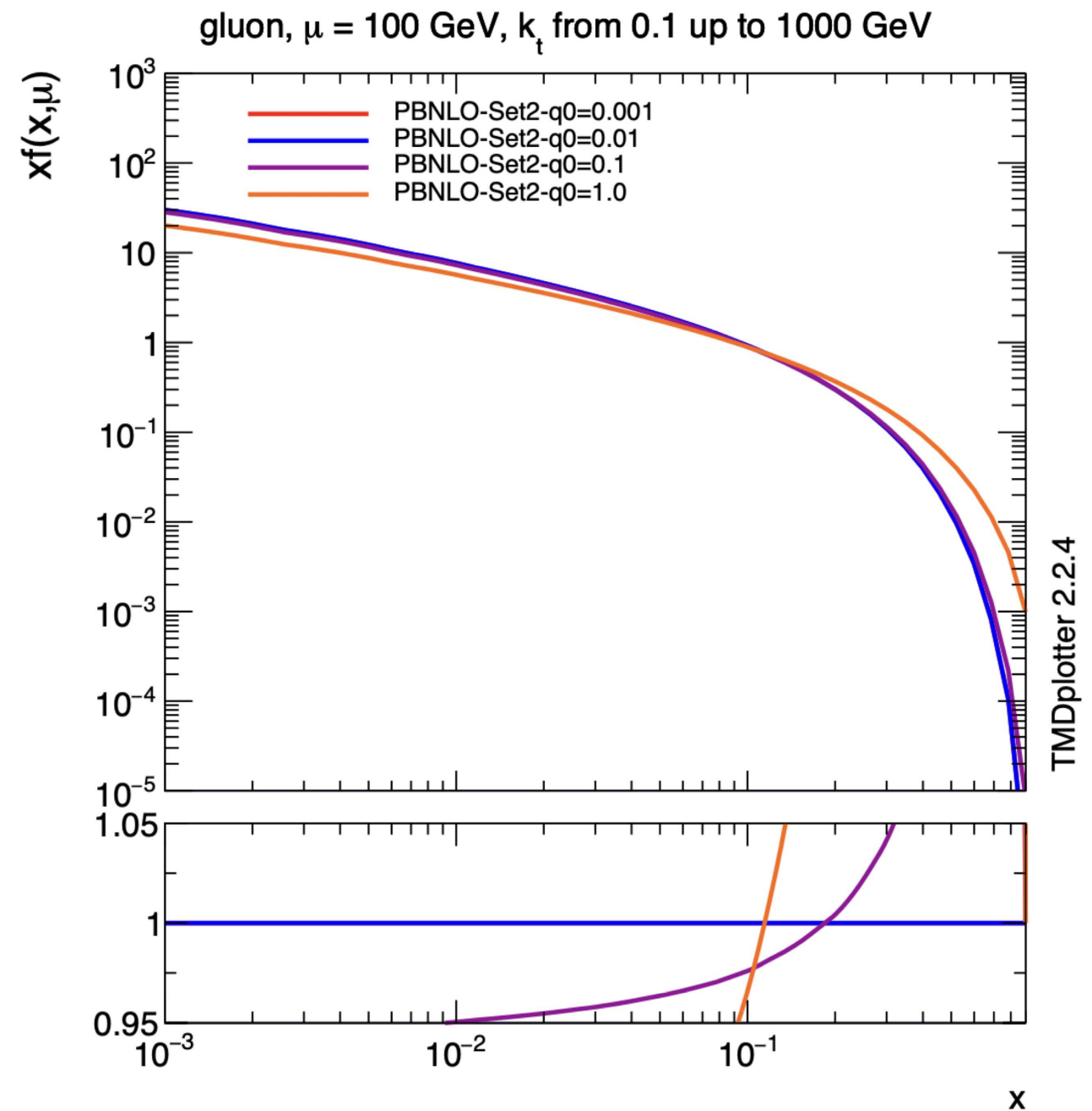
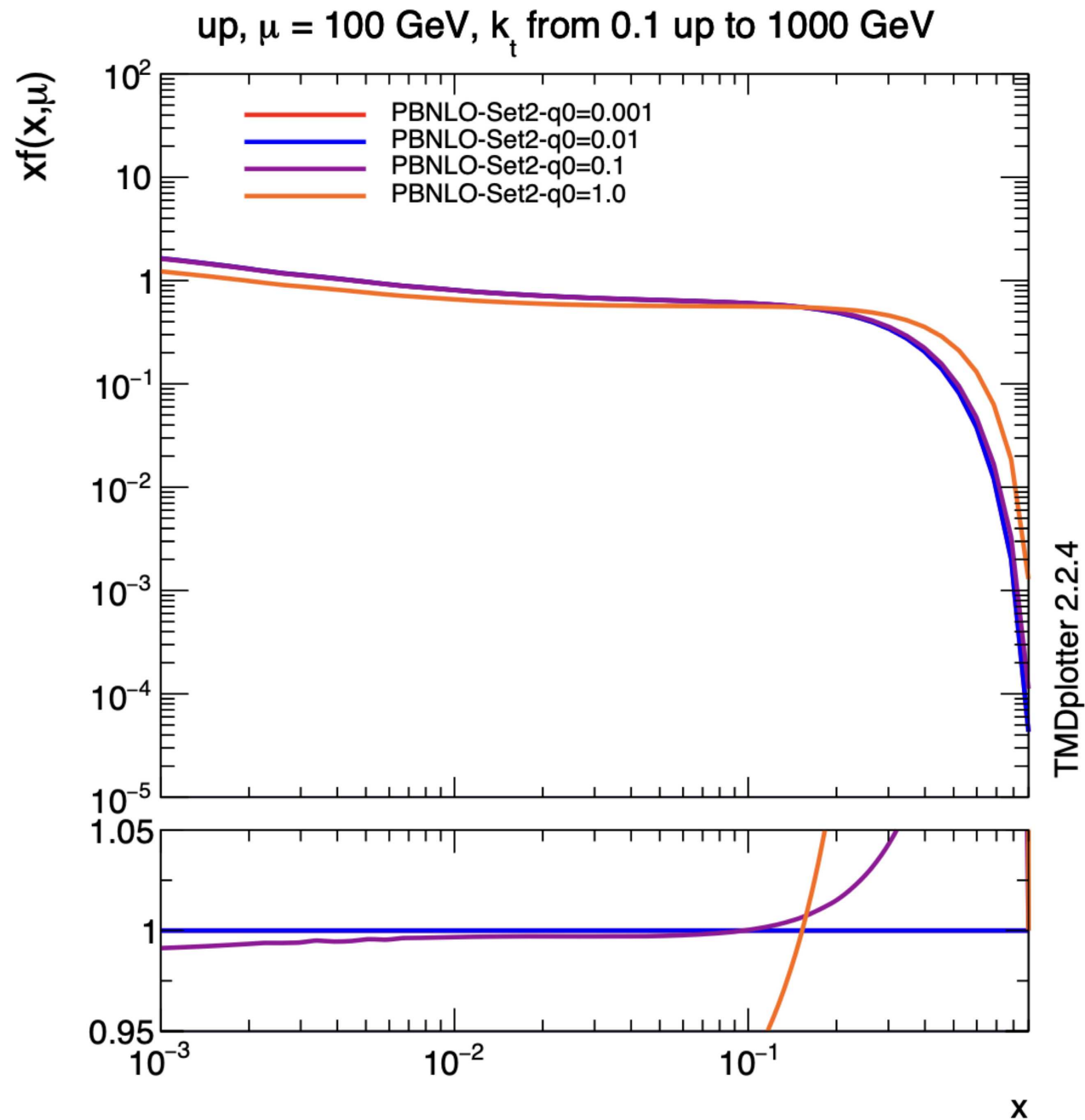
- Very good agreement with **NLO** - QCDnum over all x and μ^2
 - the same approach works also at NNLO !

Fits to DIS x-section at NLO: F_2 and F_2^c



Role of soft gluons in inclusive distributions

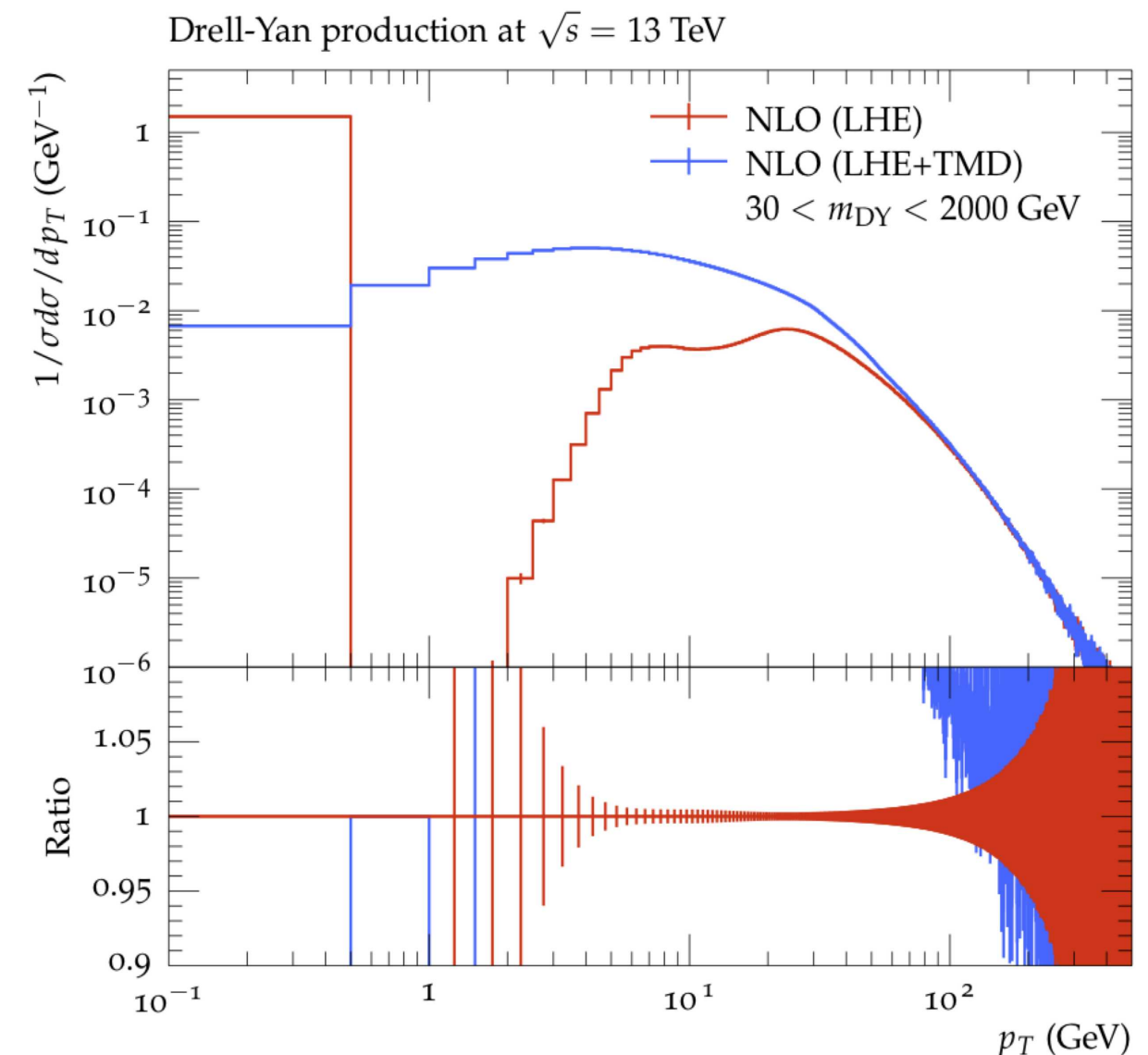
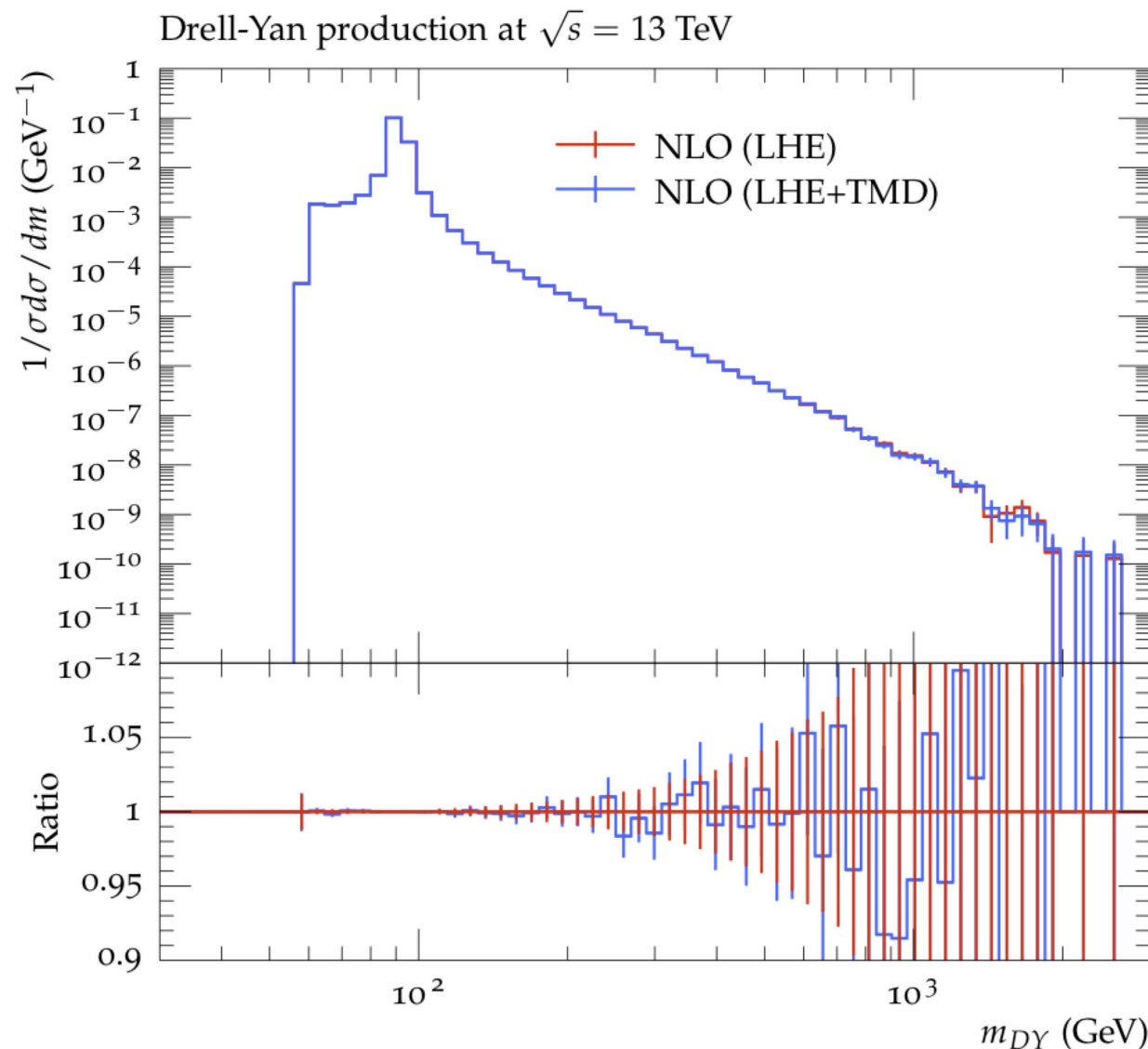
- Perform evolution with PB method with and without cut $z_{\text{dyn}} = 1 - \frac{q_{t \text{ cut}}}{\mu'}$



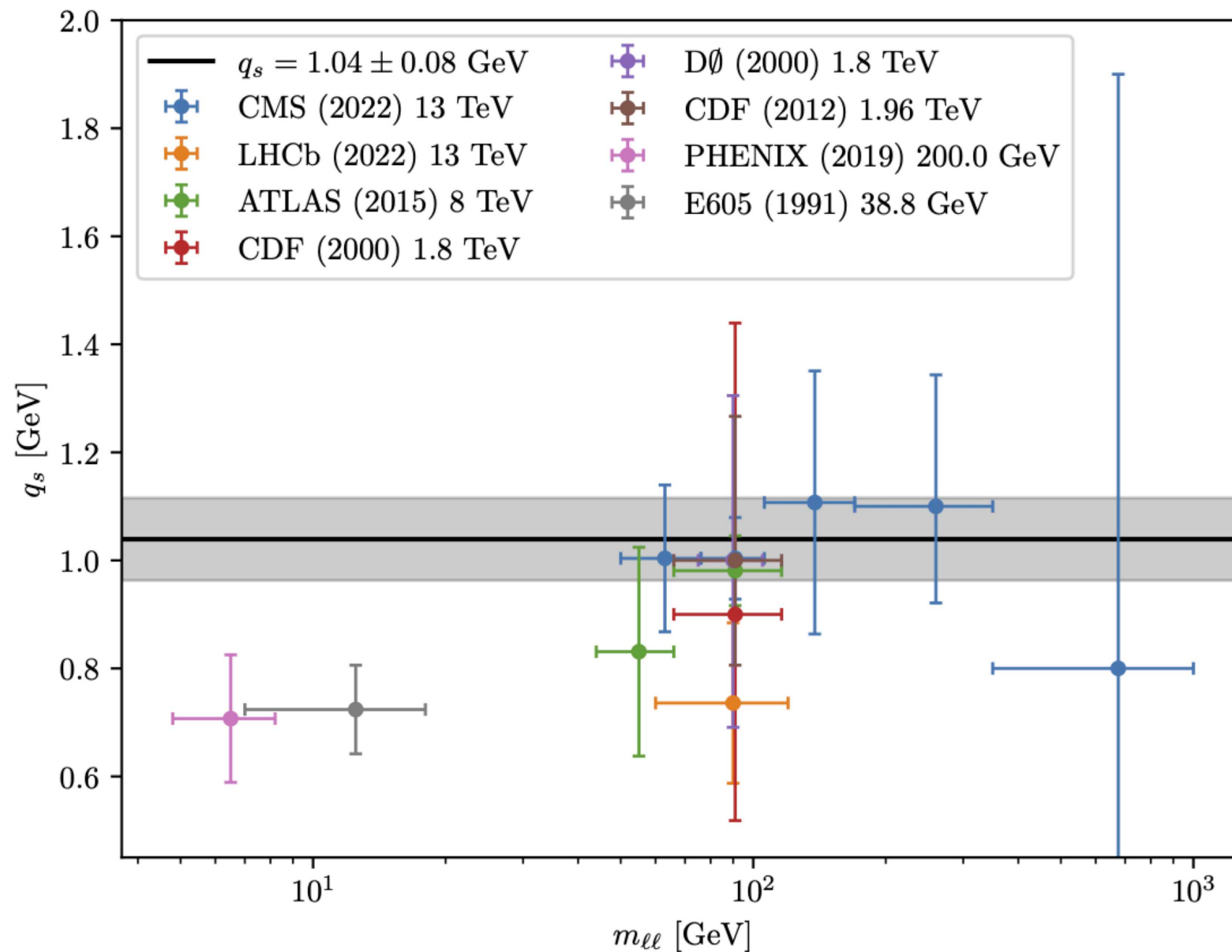
Including TMDs for DY production with MC@NLO

DY production: Bermudez Martinez, A. et al, arXiv 1906.00919, 2001.06488
CASCADE3 S. Baranov et al, arXiv 2101.10221

- MC@NLO subtracts soft & collinear parts from NLO (added back by TMD and/or parton shower)
- MC@NLO without shower and/or TMD unphysical (here herwig6 subtraction)



Fit of Intrinsic k_T in DY – production vers m_{DY}



Bubanja, I. et al, arXiv: 2312.08655

- Gauss with zero mean, width q_s
 $\sim \exp(-|k_T^2|/q_s^2)$

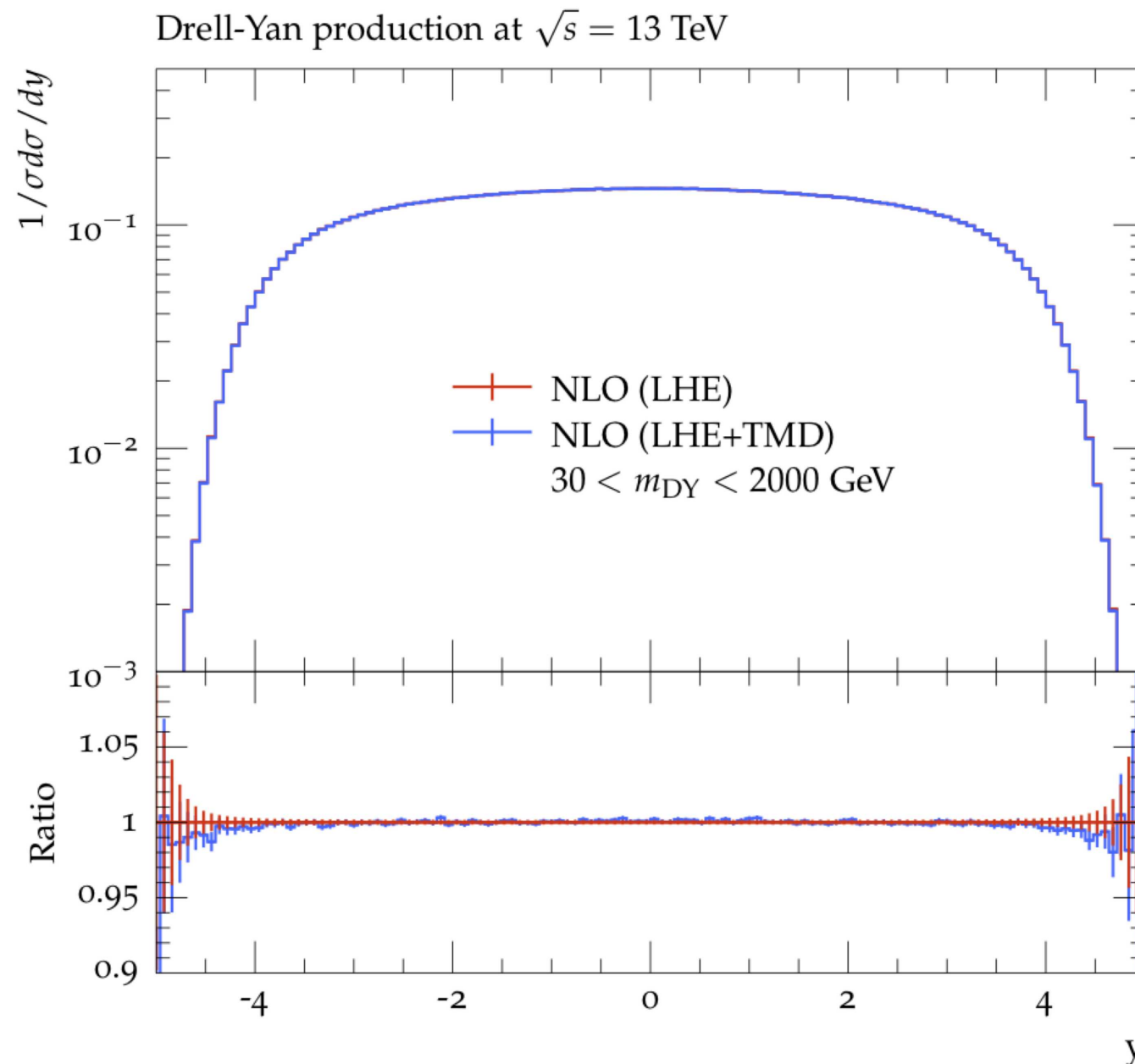
Fit to determine q_s of intrinsic k_T distribution from DY production as a function of m_{DY}

- obtain q_s rather independent on m_{DY}

Including TMDs for Z production with MC@NLO

- Are other features of DY production preserved ?

DY production: Bermudez Martinez, A. et al,
arXiv 1906.00919, 2001.06488
CASCADE3 S. Baranov et al, arXiv 2101.10221



- Rapidity of DY pair not changed ... (but x_1 and x_2)