

# CNNs and GNNs for Tagging Anomalous Showers with ATLAS

## DESY FH-SciComp Workshop 2024

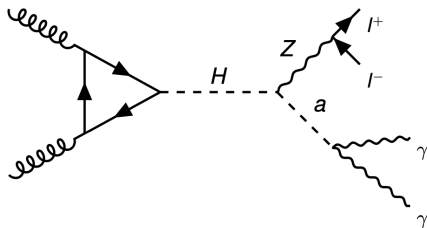
**Lukas Bauckhage**, Federico Meloni

Physikalisches Institut Universität Bonn, Deutsches Elektronen-Synchrotron (DESY) Hamburg

02.07.2024

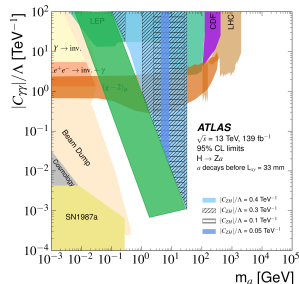
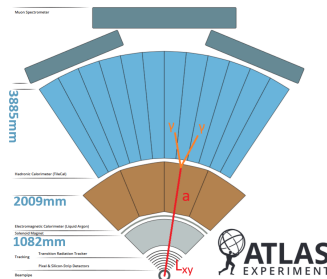


# Reconstructing long-lived ALP decay photons



What if the ALP is **long-lived**?

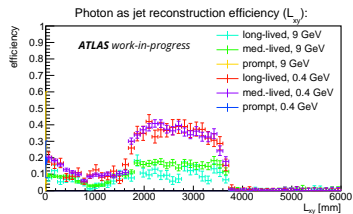
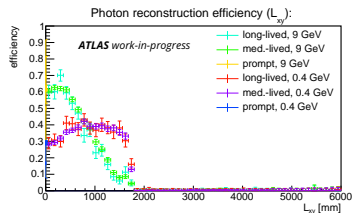
- Important difference:  
Due to long ALP lifetime  $\Rightarrow$  **large displacement** of ALP decay vertex decreases reconstruction efficiency of photons
- New challenge: optimize reconstruction of displaced photons



arXiv: 2312.01942

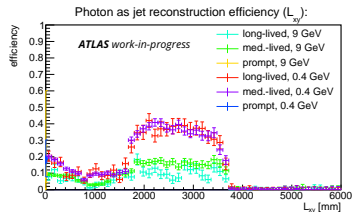
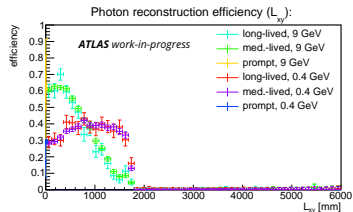
# Reconstruction efficiency of displaced photons

- $L_{xy}$ : transverse distance between IP and ALP decay vertex
- When reaching HCAL, jets supersede photons
- photons/jets are matched to truth ALP decay photons (minimal  $\Delta R$ )
- One approach: jet objects instead of photons?
- 2 main questions:
  - How to optimize selection/cutflow?
  - How to identify displaced photon candidates?

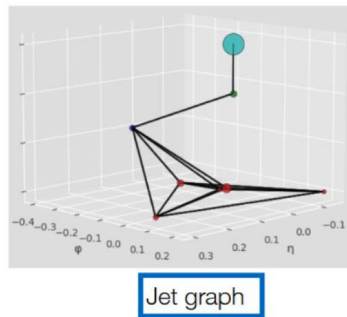
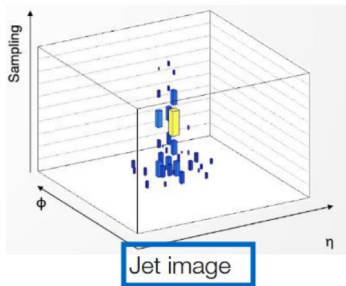


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# How to identify displaced photon candidates?

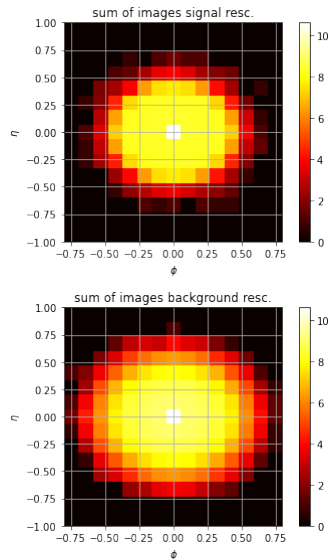


- CNN jet tagger already used in a dark photon analysis (arXiv: 2206.12181)
- **New:** Transform clusters of calo cells into graphs and use GNN to tag jets
- Jet Images mostly empty  $\Rightarrow$  conversion to graphs very convenient (less storage/memory, faster I/O, faster training, ...)
- CNN vs. GNN comparison (as fair as possible)

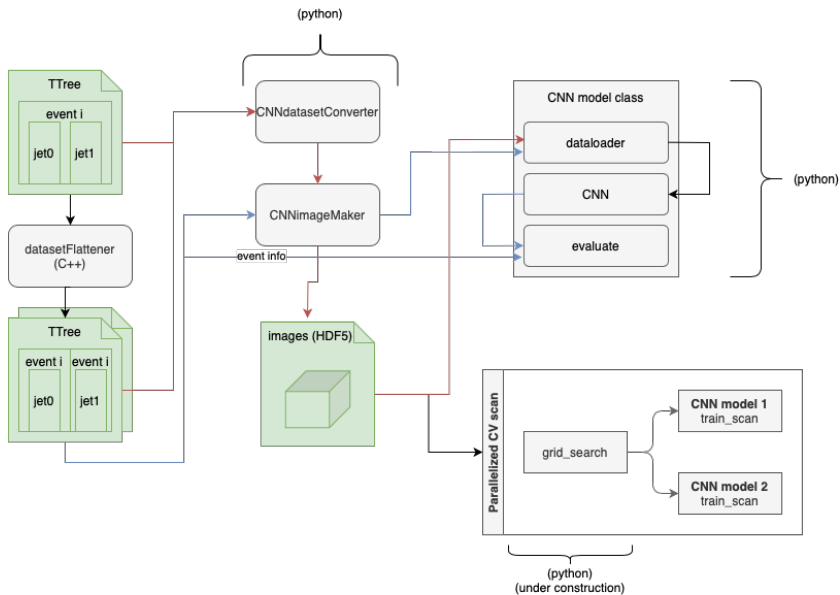
# CNN framework

# Image Processing

- Find highest energy cluster of jet
- Convert positions of all other clusters to relative coordinates w.r.t. highest energy cluster
- Each cluster energy filled in  
 $\eta \times \phi \times \text{layer} = 15 \times 15 \times (4/5/3)$  histograms
- 3 different histograms for barrel, endcap and barrel extension (different # of layers)
- Clusters below threshold  $E_{\min} = 400 \text{ MeV}$  are not used
- Histograms are rescaled by total energy of all clusters (i.e. normalized to 1)

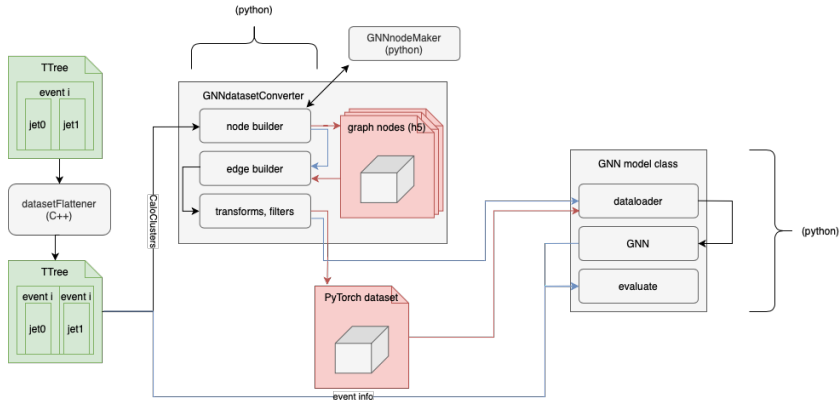


# CNN framework



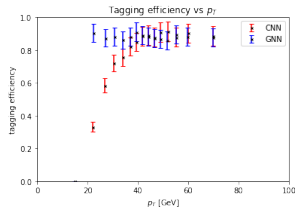
# GNN framework

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## Comparison

# Comparison of Performance



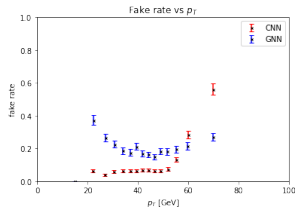
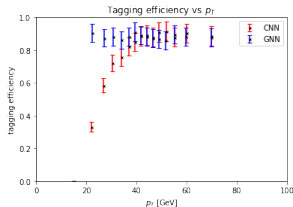
| Layer (type)              | Output Shape           | Param # |
|---------------------------|------------------------|---------|
| input_layer (InputLayer)  | (None, 15, 15, 12, 11) | 0       |
| conv3d_0 (Conv3D)         | (None, 15, 15, 12, 60) | 1080    |
| conv3d_1 (Conv3D)         | (None, 15, 15, 12, 60) | 97200   |
| max_pool_0 (MaxPooling3D) | (None, 7, 7, 12, 60)   | 0       |
| conv3d_2 (Conv3D)         | (None, 7, 7, 12, 60)   | 97200   |
| max_pool_1 (MaxPooling3D) | (None, 2, 2, 12, 60)   | 0       |
| flatten (Flatten)         | (None, 2880)           | 0       |
| dense_0 (Dense)           | (None, 380)            | 288190  |
| dense_1 (Dense)           | (None, 1)              | 381     |

Total params: 484481 (1.85 MB)  
 Trainable params: 484481 (1.85 MB)  
 Non-trainable params: 0 (0.00 Byte)

|   | Name  | Type              | Params |
|---|-------|-------------------|--------|
| 0 | loss  | BCEWithLogitsLoss | 0      |
| 1 | norm  | BatchNorm         | 8      |
| 2 | conv1 | ARMAConv          | 203 K  |
| 3 | conv2 | ARMAConv          | 590 K  |
| 4 | conv3 | ARMAConv          | 590 K  |
| 5 | lin0  | Linear            | 65.8 K |
| 6 | lin   | Linear            | 257    |

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 5.803 Total estimated model params size (MB)

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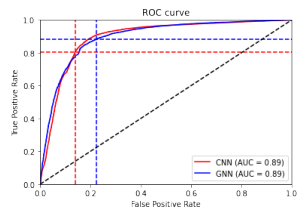
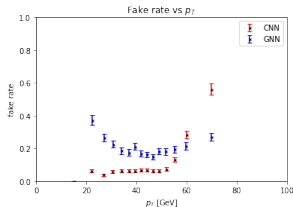
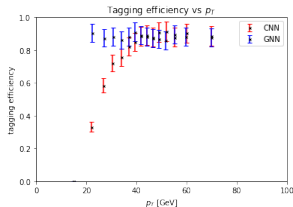
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| dense_1 (Dense)           | (None, 1)              | 101     |

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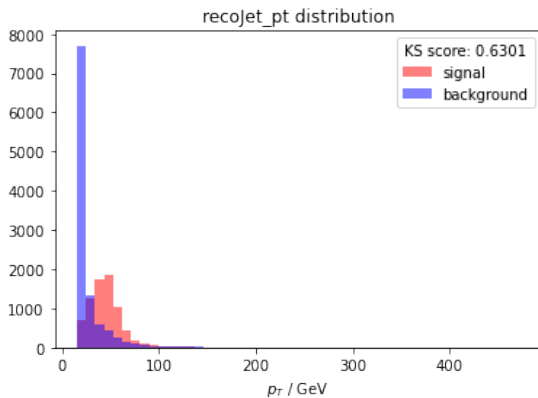
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## **"Feature Request":**

Run LCG `Jupyter/IPython` kernels on NAF JupyterHub Server

- It *should* be possible by specifying the correct kernel in the `Jupyter` configuration file
- Need to set all necessary environment variables to `CVMFS` paths
- If someone has done this  $\Rightarrow$  please let me know

Backup

$p_T$  distribution

# Training Procedure

- datasets of  $\mathcal{O}(10^5) - \mathcal{O}(10^6)$  **3D** images won't fit into memory  
( $15 \times 15 \times (4 + 5 + 3)$  pixel images  $\approx 173$  kB)  
⇒ batch of 5000 images  $\approx 864$  MB  
⇒ whole dataset  $\approx \mathcal{O}(100$  GB))
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- Each event can have multiple jets
- Some events/jets filtered out in pre-selection
- Definition of Signal/Background might depend on complicated criteria (e.g. truthmatching)

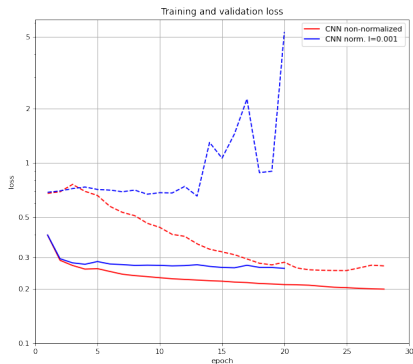
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  - Definition of Signal/Background might depend on complicated criteria (e.g. truthmatching)
- $\Rightarrow$  For performance tests create images on-the-fly from ROOT data and read event data along with CaloCluster data
- "flatten" ROOT tree (i.e. 1  $n$ -jet-event  $\rightarrow n$  1-jet-events)

# Graph Processing

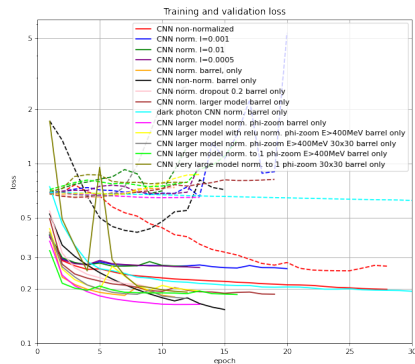
- Create nodes from CaloClusters with features  $\eta$ ,  $\phi$ ,  $E_{\text{abs}}$ ,  $E_{\text{norm}}$  (for each layer)  
 $\eta$ ,  $\phi$  relative to highest energy cluster  
store to disk (.h5)
- Create PyTorch dataset (GNNdataset class inherits from `torch_geometric.data.InMemoryDataset`):
  - Load nodes from .h5 files and combine them
  - Build graphs from list of nodes, filter out nodes below threshold  $E_{\text{min}} = 400 \text{ MeV}$  and remove  $E_{\text{abs}}$  as a feature
- Apply filters ( $\rightarrow$  `jetgraphs` library)
  - pre-filters (e.g.  $n_{\text{nodes}} \geq 2$ )
  - pre-transform: build edges according with tunable thresholds (threshold for distance between nodes in same layer, consecutive layer, self-loop weights, ...)
  - transform: add layer information
  - post-filters
- Store dataset to disk (.pt)
- No manual batch-wise training routine necessary
- (Possibility to create graphs on-the-fly from ROOT data for tests of dependence of performance, efficiencies, etc. on kinematics)

# CNN normalization



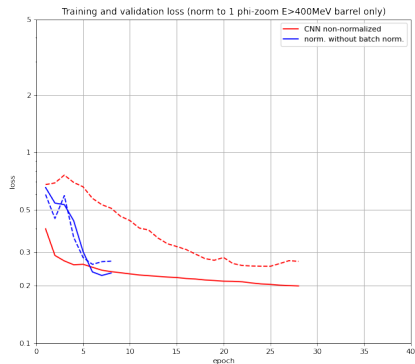
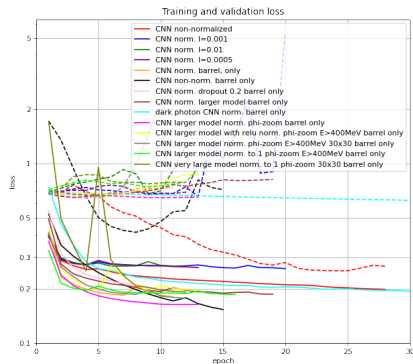
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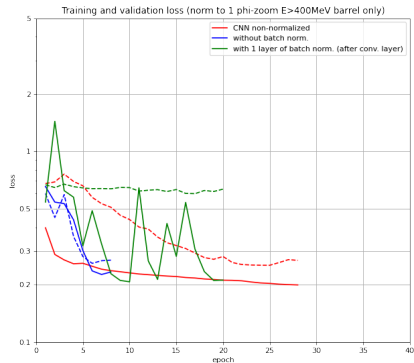
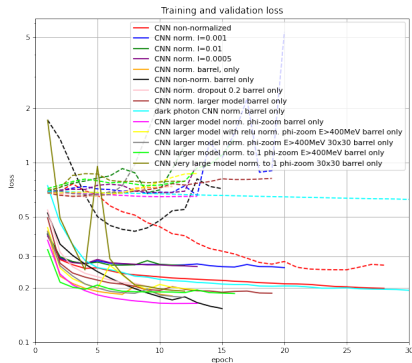
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- After many tests, it was found that batch normalization layers were the cause of the problem:
- As soon as a single batch norm. layer is introduced in model  $\Rightarrow$  CNN does not improve on validation set anymore
- We were puzzled by this behavior, batch normalization should do the opposite