

# Nuclear effects in neutrino-induced pion production

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## Towards a more complete description of nucleon distortion in lepton-induced single-pion production at low- $Q^2$

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Theoretical predictions for lepton-induced single-pion production (SPP) on  $^{12}\text{C}$  are revisited in order to assess the effect of different treatments of the current operator. On one hand we have the asymptotic approximation, which consists in replacing the particle four-vectors that enter in the operator by their asymptotic values, i.e., their values out of the nucleus. On the other hand we have the full calculation, which is a more accurate approach to the problem. We also compare with results in which the final nucleon is described by a relativistic plane wave, to rate the effect of the nucleon distortion. The study is performed for several lepton kinematics, reproducing the SPP contribution to the inclusive and semi-inclusive cross sections belonging to the low- $Q^2$  region (between 0.05 and 1  $\text{GeV}^2$ ), which is of special interest in charged-current (CC) neutrino-nucleus  $1\pi$  production. The results of the SPP contribution to the inclusive electron cross section are compared with experimental data. We find nontrivial corrections comparable in size with the effect of the nucleon distortion, namely, corrections up to 6%, either increasing or diminishing the asymptotic prediction, and a shift of the distributions towards higher energy transfer. For the SPP contribution to the semi-inclusive cross section, we observe the correction to be prominent mainly at low values of the outgoing nucleon kinetic energy. Finally, for CC neutrino-induced  $1\pi^+$  production, we find a reduction at low  $Q^2$  with respect to both the plane-wave approach and the asymptotic case.

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# MOTIVATION

# Interaction mechanisms

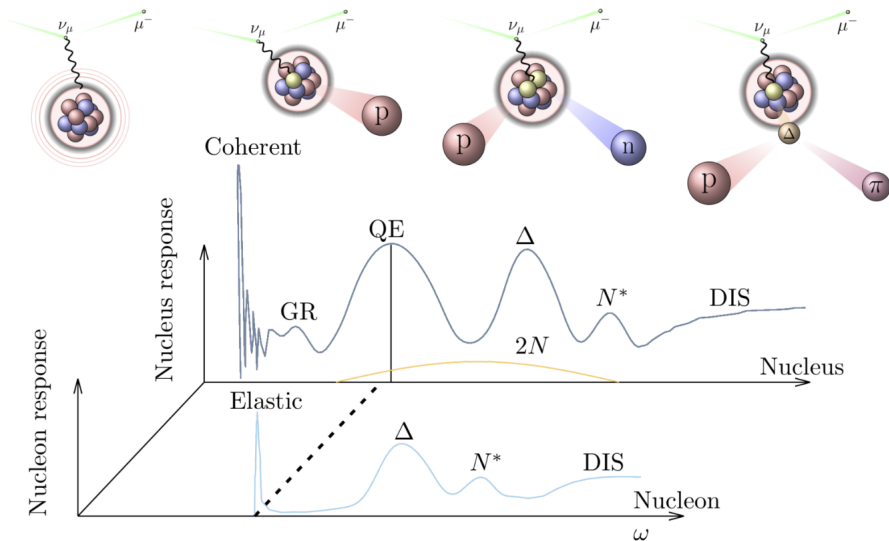
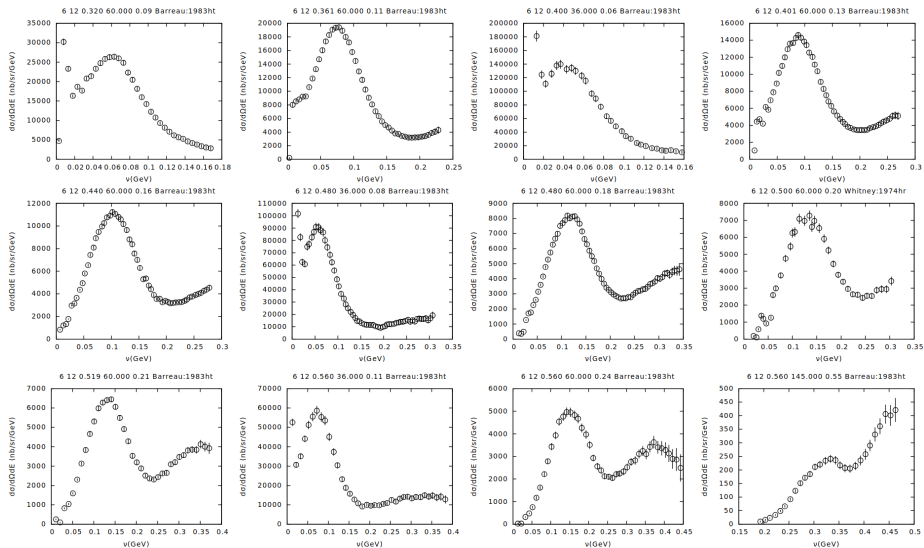


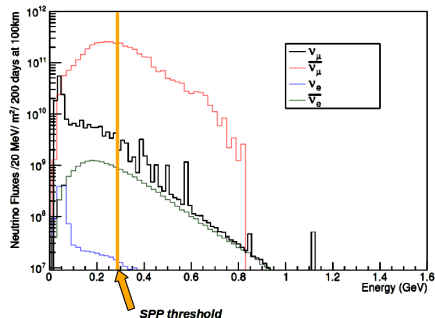
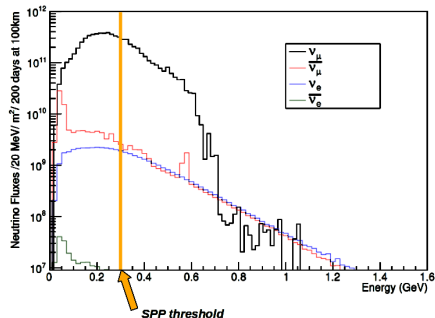
FIG. K. Niewczas

# Interaction mechanisms

We see the **QE** and  **$\Delta$**  peaks in  $^{12}\text{C}(e, e')$  data... even for **ESSnuSB** energies !



# SPP threshold @ ESSnuSB flux



From energy conservation

$$E_i - E_f = \omega = E_m + T_N + E_\pi \Rightarrow E_i = E_m + E_f + T_N + E_\pi$$

For  $\nu_\mu/\bar{\nu}_\mu$  and  $^{12}\text{C}$  (where all shells contribute)

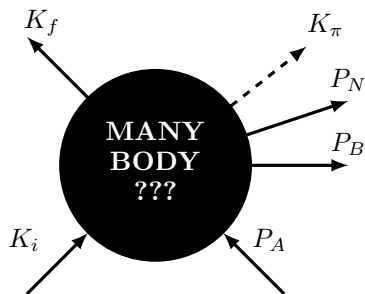
$$\min(E_i) = E_m + m_\mu + m_\pi \approx 290 \text{ MeV}$$

For the  $p$ -shell:  $\min(E_i) \approx 260 \text{ MeV}$

# MODELING PION PRODUCTION ON NUCLEI

## How do we model SPP on nuclei?

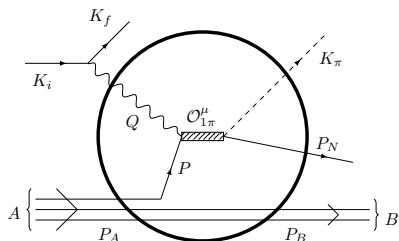
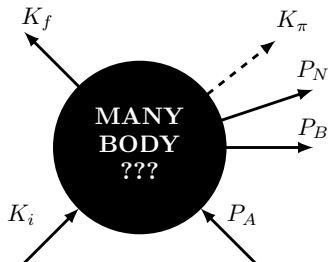
All the nuclear information is enclosed in the nuclear current  $J^\mu$



$$J^\mu = \langle N\pi, A-1 | \mathcal{O}_{many-body}^\mu | A \rangle$$

# How do we model SPP on nuclei?

All the nuclear information is enclosed in the nuclear current  $J^\mu$



The lepton only interacts with one nucleon inside the nucleus

$$J^\mu = \langle N, A-1 | \mathcal{O}_{many-body}^\mu | A \rangle \xrightarrow{\text{IA}} J^\mu \propto \int \bar{\Psi}_F \phi_\pi^* \mathcal{O}_{one-body}^\mu \Psi_B$$

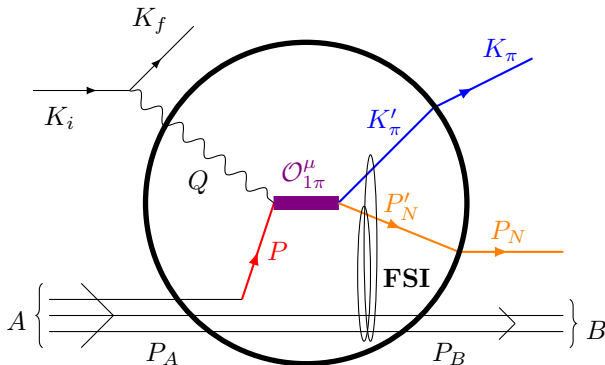
- $\mathcal{O}_{1\pi}^\mu$  from lepton-(free) nucleon interaction
- $\bar{\Psi}_F$ ,  $\phi_\pi^*$ , and  $\Psi_B$  are single-particle wave functions
- Exchanged boson:  $Q = (\omega, \mathbf{q})$

# Impulse approximation and nuclear model

Most general: **both** pion and nucleon are **distorted waves**

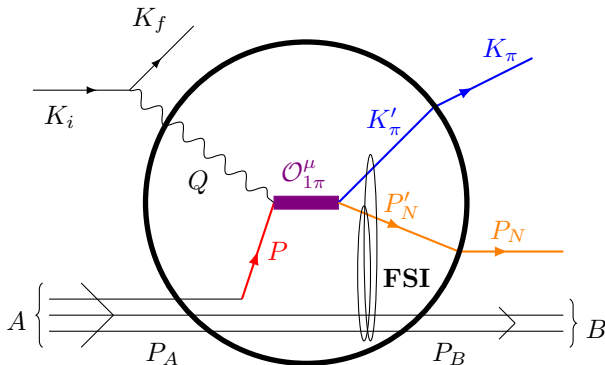
They account for the **interaction with the residual nucleus**

$$J^\mu \propto \int d\mathbf{p} \int d\mathbf{p}'_N \bar{\Psi}_F(\mathbf{p}'_N, \mathbf{p}_N) \phi_\pi^*(\mathbf{p} + \mathbf{q} - \mathbf{p}'_N, \mathbf{k}_\pi) \mathcal{O}_{1\pi}^\mu(Q, P'_N, P) \Psi_B(\mathbf{p})$$



**Asymptotic** (or local) approximation:  $O_{1\pi}^\mu$  is evaluated **only once**

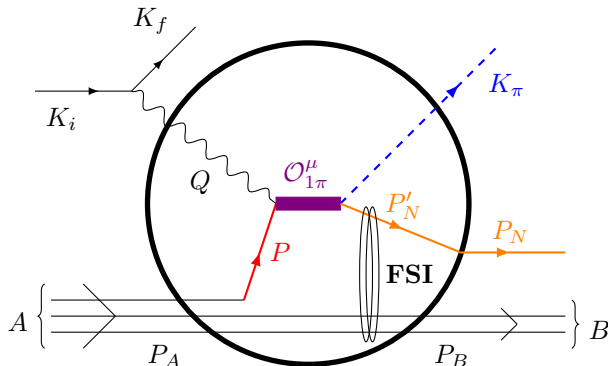
$$J^\mu \propto \int d\mathbf{p} \int d\mathbf{p}'_N \bar{\Psi}_F(\mathbf{p}'_N, \mathbf{p}_N) \phi_\pi^*(\mathbf{p} + \mathbf{q} - \mathbf{p}'_N, \mathbf{k}_\pi) \boxed{O_{1\pi}^\mu(Q, P_N, P)} \Psi_B(\mathbf{p})$$



# Impulse approximation and nuclear model

The **pion** is a **plane wave**, the nucleon is still a distorted wave

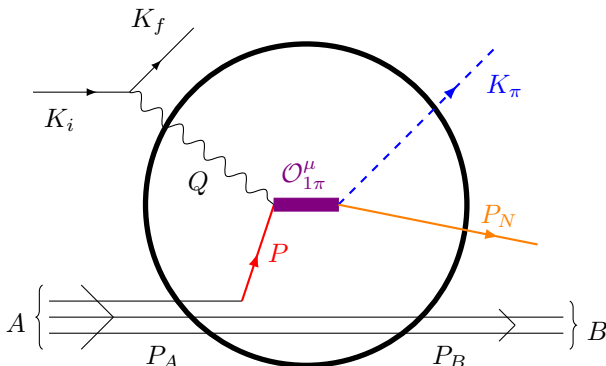
$$J^\mu \propto \frac{1}{\sqrt{2E_\pi}} \int d\mathbf{p} \, \bar{\Psi}_F(\mathbf{q} + \mathbf{p} - \mathbf{k}_\pi, \mathbf{p}_N) \, \mathcal{O}_{1\pi}^\mu(Q, P_N, P) \, \Psi_B(\mathbf{p})$$



# Impulse approximation and nuclear model

Both **pion** and **nucleon** are plane waves

$$J^\mu \propto \sqrt{\frac{M_N}{2E_\pi E_N}} \bar{u}_F(\mathbf{p}_N, s_N) \mathcal{O}_{1\pi}^\mu(Q, P_N, P) \Psi_B(\mathbf{p}_N + \mathbf{k}_\pi - \mathbf{q})$$



To sum up...

$$J^\mu \propto \int d\mathbf{p} \int d\mathbf{p}'_N \bar{\Psi}_F(\mathbf{p}'_N, \mathbf{p}_N) \phi_\pi^*(\mathbf{p} + \mathbf{q} - \mathbf{p}'_N, \mathbf{k}_\pi) \mathcal{O}_{1\pi}^\mu(Q, P'_N, P) \Psi_B(\mathbf{p})$$

- Bound nucleon  $\Psi_B(\mathbf{p}) \rightarrow$  Dirac + **RMF** potentials
- Final nucleon  $\bar{\Psi}_F(\mathbf{p}'_N, \mathbf{p}_N) \rightarrow$  Dirac **in the continuum** + **ED-RMF** potentials
- $\Psi_B(\mathbf{p})$  and  $\bar{\Psi}_F(\mathbf{p}'_N, \mathbf{p}_N)$  are orthogonal  $\rightarrow$  **Pauli Blocking** implemented !
- Pion  $\phi_\pi^*(\mathbf{k}'_\pi, \mathbf{k}_\pi) \rightarrow$  Klein-Gordon **in the continuum** + **suitable** optical potential [Work in progress]
- Operator  $\mathcal{O}_{1\pi}^\mu(Q, P'_N, P)$

To sum up...

$$J^\mu \propto \int d\mathbf{p} \int d\mathbf{p}'_N \bar{\Psi}_F(\mathbf{p}'_N, \mathbf{p}_N) \phi_\pi^*(\mathbf{p} + \mathbf{q} - \mathbf{p}'_N, \mathbf{k}_\pi) \mathcal{O}_{1\pi}^\mu(Q, P'_N, P) \Psi_B(\mathbf{p})$$

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Fully **relativistic** and **quantum mechanical** framework

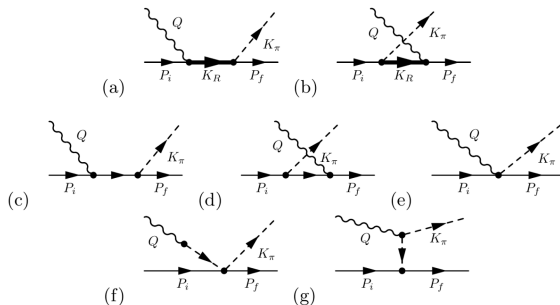
**Realistic** bound and final states

Can take into account **nuclear effects** consistently

# PION PRODUCTION OFF THE NUCLEON

# $\pi$ production model: quick overview

## Resonances + ChPT $N\pi$ -Lagrangian



Resonances:  $P_{33}(1232)$  ( $\Delta$ -baryon),  $D_{13}(1520)$ ,  $S_{11}(1535)$ ,  $P_{11}(1440)$

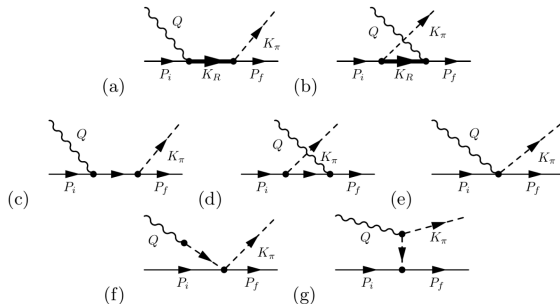
Works up to  $\sqrt{s} = W < 1.4$  GeV  $\rightarrow$  Extended via Regge Theory

PRD **76**, 033005 (2007)

PRD **95**, 113007 (2017)

# $\pi$ production model: quick overview

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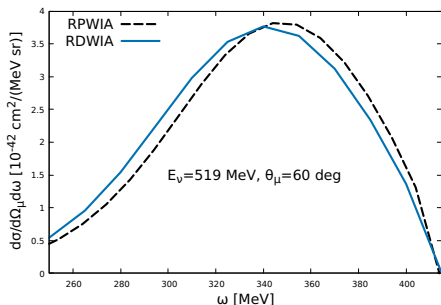
PRD **95**, 113007 (2017)

**Matthias Hoft** (UGent) is working on the **unitarization**... Stay tuned !

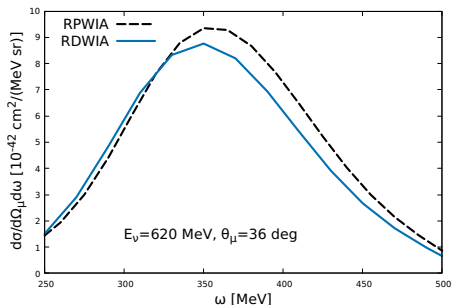
# NUCLEAR EFFECTS IN THE FINAL STATE

# Distortion of the final nucleon

CC  $\nu_\mu$ -induced  $1\pi^+$  production on  $^{12}\text{C}$



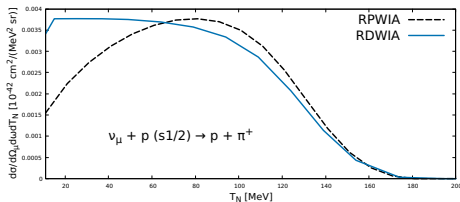
CC  $\nu_\mu$ -induced  $1\pi^+$  production on  $^{12}\text{C}$



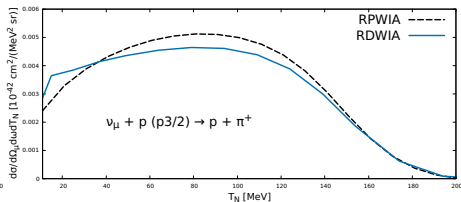
- The final nucleon interacts with the residual nucleus
- Pauli blocking naturally implemented
- Shift to lower energies
- Reduction of the strength
- Distortion of the final hadrons is important at low and intermediate energies

# Distortion of the final nucleon

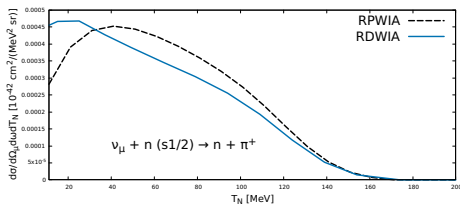
CC  $\nu_\mu$ -induced  $1\pi^+$  production on  $^{12}\text{C}$  at  $\omega=355$  MeV



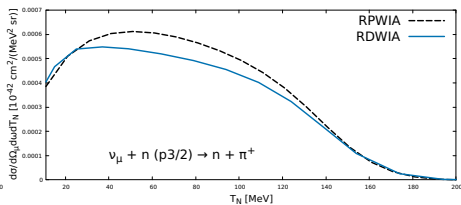
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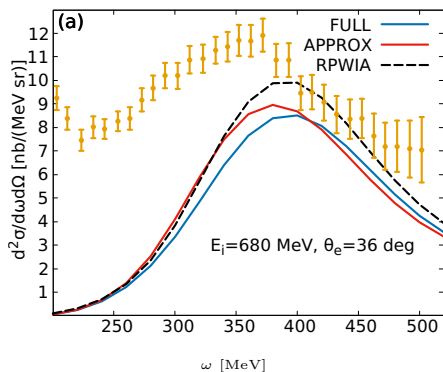


CC  $\nu_\mu$ -induced  $1\pi^+$  production on  $^{12}\text{C}$  at  $\omega=355$  MeV

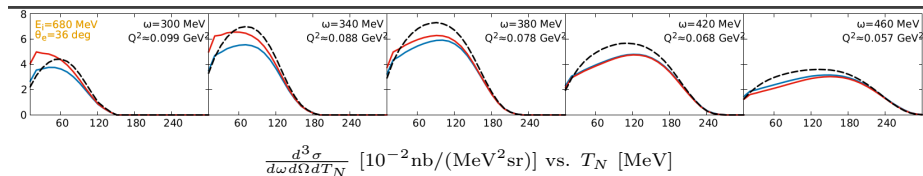


- Big effect at low- $T_N$
- Reduction at medium- $T_N$
- At high  $T_N$  (low- $T_\pi$ ) one expects **pion distortion** to play a role

# Beyond the asymptotic approximation

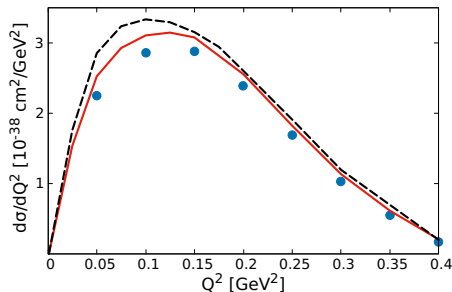


- Pion electroproduction on  $^{12}\text{C}$
- Nucleon distortion
- Pion is a plane wave
- No asymptotic approximation in  $\mathcal{O}_{1\pi}^\mu$
- The effect of the nucleon distortion is even greater at energies below  $\sim 1$  GeV

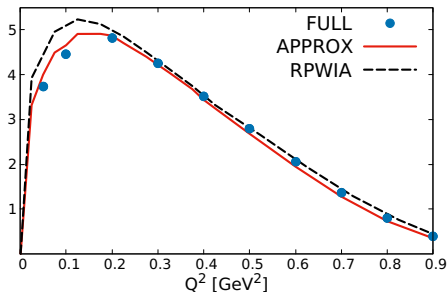


# Beyond the asymptotic approximation: neutrinos

$E_\nu = 0.6 \text{ GeV}$



$E_\nu = 1 \text{ GeV}$



- $\pi^+$  neutrino production on  $^{12}\text{C}$
- Nucleon distortion, pion is a plane wave
- No asymptotic approximation in  $\mathcal{O}_{1\pi}^\mu$
- The effect of the nucleon distortion is even greater at energies below  $\sim 1 \text{ GeV}$

PRC 109, 024608 (2024)

# PIONS AT VERY LOW ENERGY

# Very low energy... Hyperons !

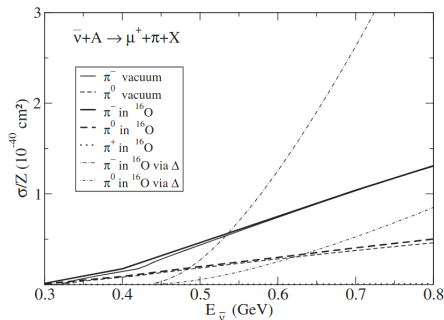


FIG. 10. Cross section for  $\pi$  production via an intermediate hyperon induced by a muonic antineutrino divided by the number of protons as a function of the antineutrino energy. Results compared with pions produced via  $\Delta$  excitation.

PRD **74**, 053009 (2006)  
PRD **110**, 030001 (2024)

- The pion is produced after hyperon (Y) excitation.
- In the low-energy region  $\Delta S = 0$  and  $\Delta S = 1$  processes are comparable
- Only antineutrino reactions in the  $\Delta S = 1$  sector are allowed:

$$\bar{\nu}_l + p \rightarrow l^+ + \Lambda$$

$$\bar{\nu}_l + p \rightarrow l^+ + \Sigma^0$$

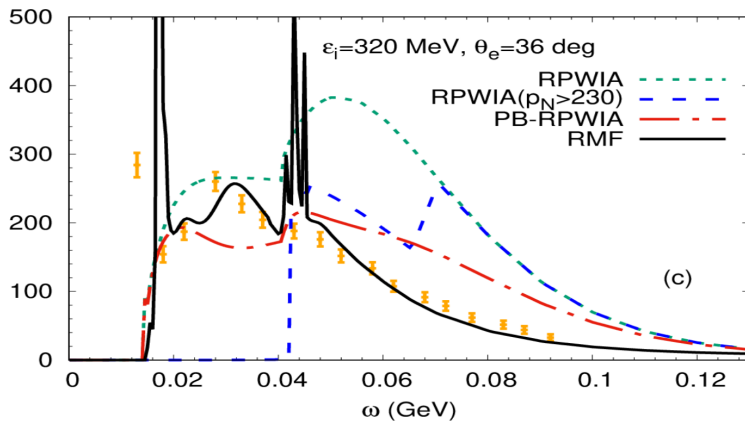
$$\bar{\nu}_l + n \rightarrow l^+ + \Sigma^-$$

- $\Lambda$  and  $\Sigma^-$  decay into  $N\pi$ .  $\Sigma^0$  decays into  $\Lambda\gamma$ .
- Probabilities of around 100%.

- Pion production may constitute an **important background** at ESSnuSB
- **Nuclear effects** are important when modeling neutrino-nucleus interactions
- **Final state interactions** via nucleon and pion distortions are paramount at low incoming energies ( $< 1$  GeV)
- Only within a **relativistic quantum mechanical framework** a consistent address of these effects can be achieved
- **At very low energy**, pion production coming from **hyperons** ( $Y$ ) may compete with pion production from the  $\Delta$

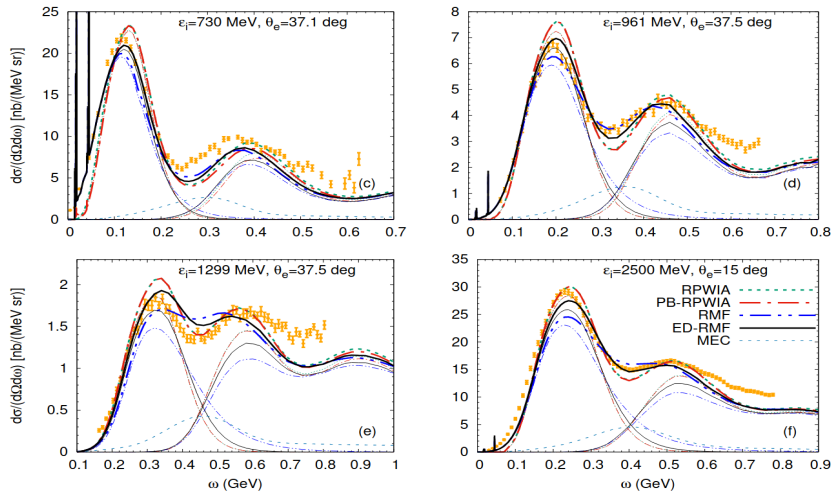
THANK YOU SO MUCH  
FOR YOUR ATTENTION!

# BACKUP SLIDES



QE on  $^{12}\text{C}(e, e')$

# QE+SPP results on $^{12}\text{C}(e, e')$



Phys. Rev. C **100** 045501 (2019)

$$\begin{aligned}
 \mathcal{L} = & \bar{\Psi} (i\gamma_\mu \partial^\mu - M) \Psi + \frac{1}{2} (\partial_\mu \sigma \partial^\mu \sigma - m_\sigma^2 \sigma^2) - U(\sigma) \\
 & - \frac{1}{4} \Omega_{\mu\nu} \Omega^{\mu\nu} + \frac{1}{2} m_\omega^2 \omega_\mu \omega^\mu - \frac{1}{4} \mathbf{R}_{\mu\nu} \mathbf{R}^{\mu\nu} + \frac{1}{2} m_\rho^2 \boldsymbol{\rho}_\mu \boldsymbol{\rho}^\mu - \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \\
 & - g_\sigma \bar{\Psi} \sigma \Psi - g_\omega \bar{\Psi} \gamma_\mu \omega^\mu \Psi - g_\rho \bar{\Psi} \gamma_\mu \boldsymbol{\tau} \boldsymbol{\rho}^\mu \Psi - g_e \frac{1 + \tau_3}{2} \bar{\Psi} \gamma_\mu A^\mu \Psi.
 \end{aligned}$$

$$\left[ -i\boldsymbol{\alpha} \cdot \boldsymbol{\nabla} + V(r) + \beta(M + S(r)) \right] \Psi_i(\mathbf{r}) = E_i \Psi_i(\mathbf{r})$$

- For increasing energies  $\rightarrow$  higher order contributions
- RTh: Infinite summation over all partial waves in the  $t$ -channel amplitude ( $\rightarrow$  contour integral in complex angular momentum space)
- A Regge pole corresponds to a pole in that complex space
- Regge pole  $\equiv$  whole family of  $t$ -channel contributions
- Regge propagator (with Regge trajectory) replaces the previous one

$$P_{\pi}(t, s) = -\alpha'_{\pi} \varphi_{\pi}(t) \Gamma[-\alpha_{\pi}(t)] (\alpha'_{\pi} s)^{\alpha_{\pi}(t)}$$
$$\alpha_{\pi}(t) = \alpha'_{\pi}(t - m_{\pi}^2) \quad , \quad \Gamma[-\alpha_{\pi}(t)] = \frac{-\pi}{\sin[\pi \alpha_{\pi}(t)] \Gamma[-\alpha_{\pi}(t) + 1]}$$

Nucl. Phys. A **627**, 645 (1997)

# In medium modification of the $\Delta$

- Delta propagator:

$$S_{\Delta,\alpha\beta} = \frac{-(\cancel{K}_{\Delta} + M_{\Delta})}{K_R^2 - M_{\Delta}^2 + iM_{\Delta}\Gamma_{\text{width}}} \times f_{\alpha\beta}(M_{\Delta}, K_{\Delta})$$

$$\begin{aligned} \Gamma_{\text{width}}^{\text{free}} &\longrightarrow \Gamma_{\text{width}}^{\text{in-medium}} = \Gamma_{\text{Pauli}} - 2\mathcal{I}(\Sigma_{\Delta}) \\ M_{\Delta}^{\text{free}} &\longrightarrow M_{\Delta}^{\text{in-medium}} = M_{\Delta}^{\text{free}} + \mathcal{R}(\Sigma_{\Delta}) \end{aligned}$$

- $\Gamma_{\text{Pauli}}$  is the free width corrected by Pauli blocking of the final nucleon
- Fixed  $\rho/\rho_0 = 0.75$
- Free  $\Delta\pi N$ -decay constant may be modified:  $f_{\Delta\pi N} \longrightarrow f_{\Delta\pi N}^{\text{in-medium}}$
- $\Delta\Gamma = \Gamma^{\text{in-medium}} - \Gamma^{\text{free}}, W = \sqrt{s}$
- Chen&Lee:  $\Sigma_{\Delta} = -40 - i30$

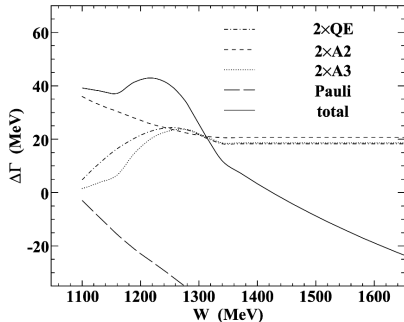


FIG. C. Praet, PhD Thesis, UGent (2009)

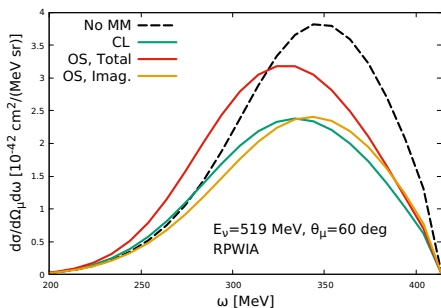
$$-\mathcal{I}(\Sigma_{\Delta}) = C_{QE}(\rho/\rho_0)^{\alpha} + C_{A2}(\rho/\rho_0)^{\beta} + C_{A3}(\rho/\rho_0)^{\gamma} \quad , \quad \mathcal{R}(\Sigma_{\Delta}) = 53 (\rho/\rho_0) \text{ MeV}$$

# In medium modification of the $\Delta$

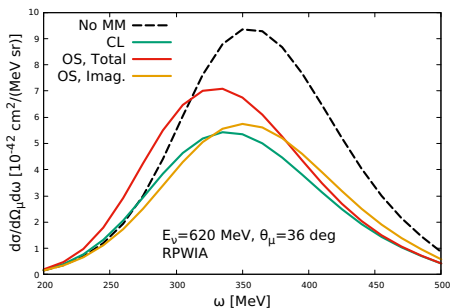
- Delta propagator:  $S_{\Delta,\alpha\beta} = \frac{-(K_{\Delta} + M_{\Delta})}{K_{\Delta}^2 - M_{\Delta}^2 + i M_{\Delta} \Gamma_{\text{width}}^{\text{red}}} \times f_{\alpha\beta}(M_{\Delta}, K_{\Delta})$

$$\Gamma_{\text{width}}^{\text{free}} \rightarrow \Gamma_{\text{width}}^{\text{in-medium}} = \Gamma_{\text{Pauli}} - 2\mathcal{I}(\Sigma_{\Delta}) \quad , \quad M_{\Delta}^{\text{free}} \rightarrow M_{\Delta}^{\text{in-medium}} = M_{\Delta}^{\text{free}} + \mathcal{R}(\Sigma_{\Delta})$$

CC  $\nu_{\mu}$ -induced  $1\pi^+$  production on  $^{12}\text{C}$



CC  $\nu_{\mu}$ -induced  $1\pi^+$  production on  $^{12}\text{C}$



- CL (Chen & Lee)  $\rightarrow$  constant values for  $\Sigma_{\Delta}$
- OS (Oset & Salcedo)  $\rightarrow$  constant value for  $\mathcal{R}(\Sigma_{\Delta})$ . Parametrization for  $\mathcal{I}(\Sigma_{\Delta})$
- Reduction of the strength, shift to lower energies when  $\mathcal{R}(\Sigma_{\Delta})$  is included

NPA 468, 631 (1987)

NPA 554, 509 (1993)

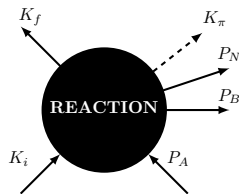
# Independent variables and cross section

Lepton-induced SPP on nuclei:  $l + A \longrightarrow l' + B + N + \pi$

Counting independent variables

|                                  |     |
|----------------------------------|-----|
| 4×6 particles                    | +24 |
| four-mom. conservation           | −4  |
| 5×on-shell ( $E^2 = p^2 + m^2$ ) | −5  |
| Target at rest                   | −3  |
| Fixed projectile direction       | −2  |
| Fixed incoming energy            | −1  |

$$\frac{d^9\sigma}{dE_f d\Omega_f dE_N d\Omega_N dE_\pi d\Omega_\pi} \propto L_{\mu\nu} H^{\mu\nu}$$



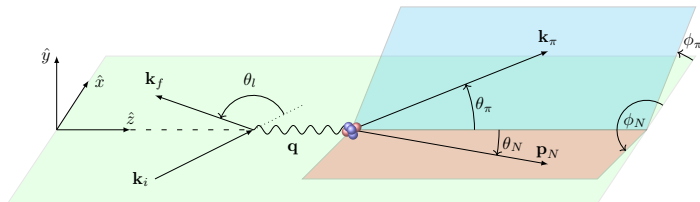
Four-momenta of every actor

|                       |                     |                       |                       |                       |                           |
|-----------------------|---------------------|-----------------------|-----------------------|-----------------------|---------------------------|
| $l \rightarrow K_i$   | $A \rightarrow P_A$ | $l' \rightarrow K_f$  | $B \rightarrow P_B$   | $N \rightarrow P_N$   | $\pi \rightarrow K_\pi$   |
| $(E_i, \mathbf{k}_i)$ | $(m_A, \mathbf{0})$ | $(E_f, \mathbf{k}_f)$ | $(E_B, \mathbf{p}_B)$ | $(E_N, \mathbf{p}_N)$ | $(E_\pi, \mathbf{k}_\pi)$ |

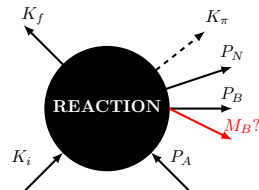
# Kinematics of SPP on nuclei

Nothing depends on  $\phi_l$

Is the residual system **excited**?



$$\frac{d^9 \sigma}{dE_f d\cos\theta_f dE_N d\Omega_N dE_\pi d\Omega_\pi dE_m} \propto \rho(E_m) L_{\mu\nu} H^{\mu\nu}$$



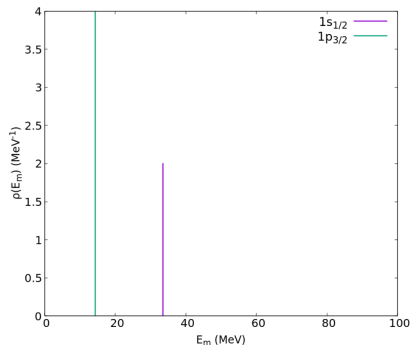
- Energy conservation:  $\omega + m_A = E_B + E_N + E_\pi$
- Mom. conservation:  $\mathbf{q} = \mathbf{p}_B + \mathbf{p}_N + \mathbf{k}_\pi$
- Mass of the residual system:  $m_B = E_m + m_A - M$
- Nucleon binding energy:  $E_\kappa = E_m$

# Independent particle shell model

Let's take  $^{12}\text{C}$ :

- In a pure shell model

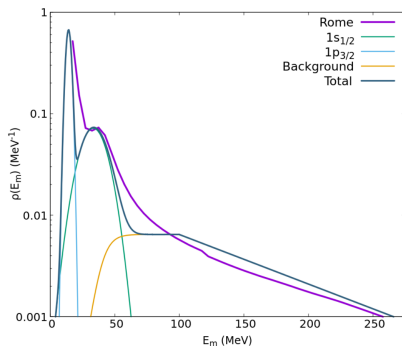
$$\rho(E_m) = \delta(E_m - E_\kappa)$$



$$N_s = 2 \text{ and } N_p = 4$$

FIGS. Tania Franco-Munoz

- More realistic approach: spectral function  $S(E_m, p_m)$



$$N_s = 1.8 \text{ and } N_p = 3.3$$
$$N_{BG} = 0.9 \text{ (SRC)}$$