

particle acceleration on Earth, ca. 1937

# Magnetized turbulent plasmas as high-energy particle accelerators

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# The pioneering studies of E. Fermi (1949, 1954)

→ a key problem for particle acceleration in astrophysical plasmas: high conductivity implies small electric fields... in practice  $\mathbf{E} \sim 0$  everywhere on length/time scales of interest

Debye length scale:  $\lambda_D \sim 10^3 \text{ cm } T_4^{1/2} n_0^{-1/2}$

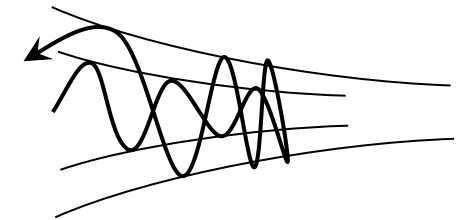
ion plasma time scale:  $1/\omega_{pi} \sim 10^{-3} \text{ s } n_0^{-1/2}$

→ Fermi's solution:  $\mathbf{E} = 0$  in plasma rest frame, but  $\mathbf{E} = -\mathbf{v}_E \times \mathbf{B}/c$  in magnetized plasmas moving at  $\mathbf{v}_E$   
... particles can gain energy from motional electric fields (*more precisely: differences in  $\mathbf{E}$ , or  $\mathbf{v}_E$ !*)

→ details: assume interaction of particle with “magnetized cloud”  
moving at  $\mathbf{v}_E$  in lab frame...  
in cloud rest frame: elastic scattering ( $\mathbf{E} \sim 0$ )  
in lab frame: energy gain, or loss

→ kinematics: Lorentz transform to center frame, scattering and back gives

$$\Delta\epsilon/\epsilon = \gamma_E^2 (1 + \mathbf{v}_E \cdot \mathbf{v}'/c^2) (1 - \mathbf{v}_E \cdot \mathbf{v}/c^2) - 1 \simeq \mathcal{O}(v_E^2/c^2)$$



Fermi type A reflection of a cosmic-ray particle

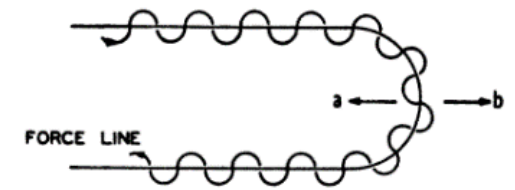


FIG. 1. Type B reflection of a cosmic-ray particle.

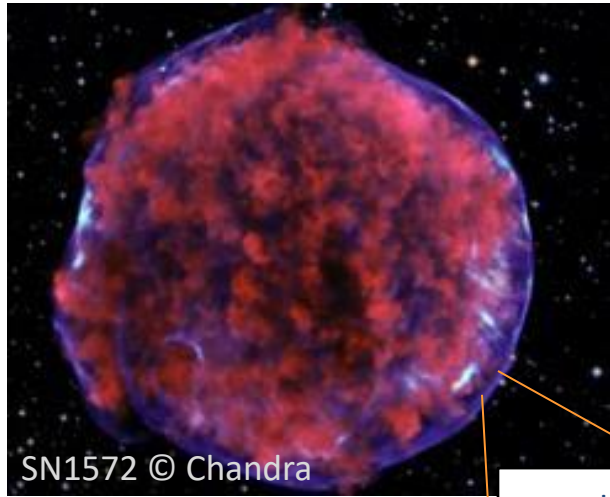
# Shock waves as particle accelerators in HE astrophysics: the standard scheme

→ particle acceleration in MHD flows:

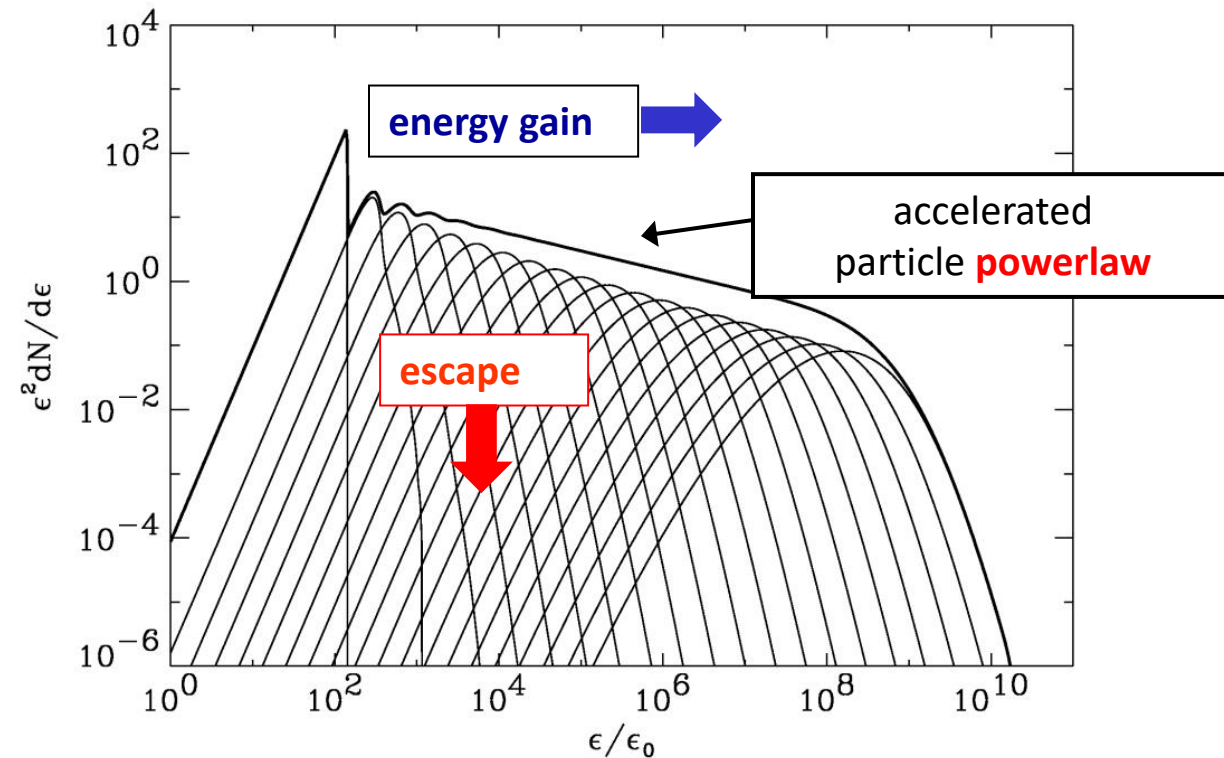
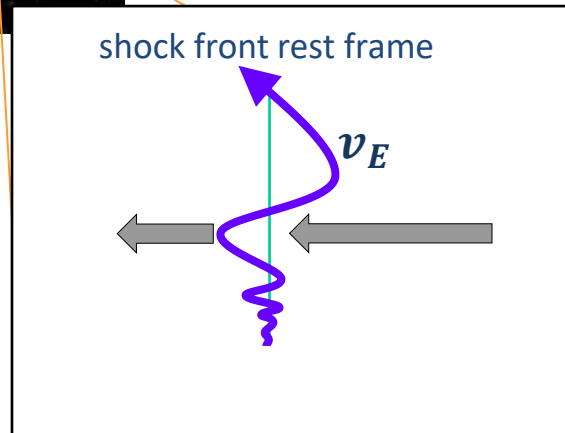
... particles draw energy from electric field carried by plasma (ideal Ohm's law):  $\mathbf{E} = -\mathbf{v}_E \times \mathbf{B}/c$

... often picture as kinematics of interactions back and forth across shock front...

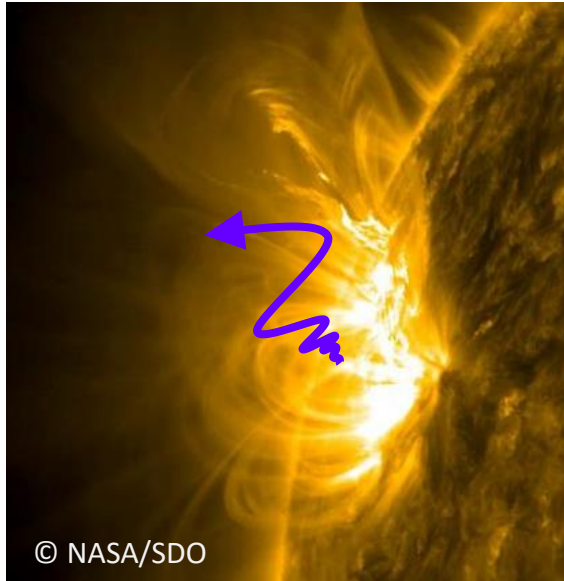
... shapes spectrum  $dn/d\epsilon \propto \epsilon^{-2}$ ...



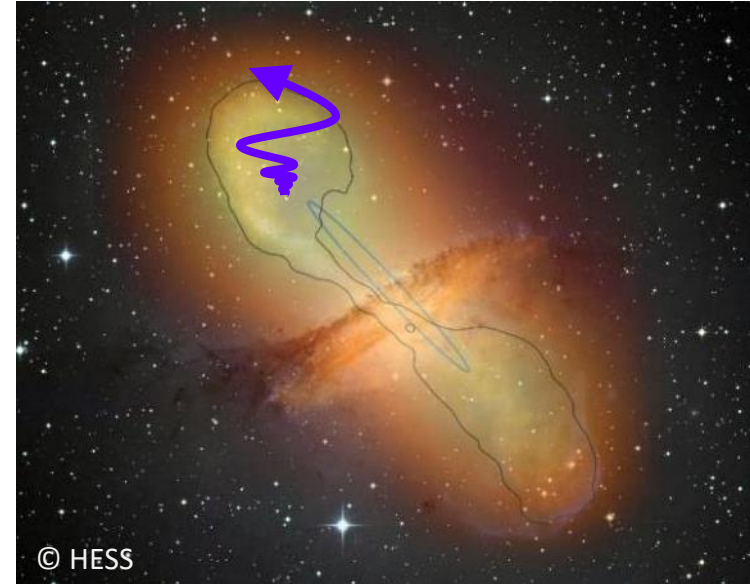
SN1572 © Chandra



# Stochastic particle acceleration à la Fermi: a standard acceleration scheme in astrophysics



... from acceleration in solar flares



... to acceleration in extra-galactic jets...



... to AGN cores...

→ a stochastic process of acceleration:

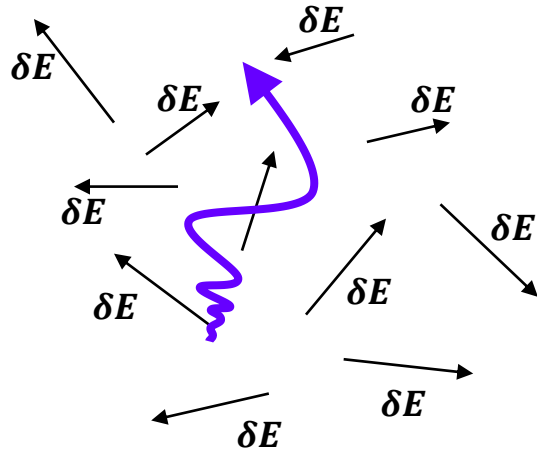
... particles diffuse in momentum space through energy gain- or loss-interactions

... expected acceleration timescale  $t_{\text{acc}} \propto 1/v_E^2$  with  $v_E$  characteristic random velocity (e.g. Alfvén)

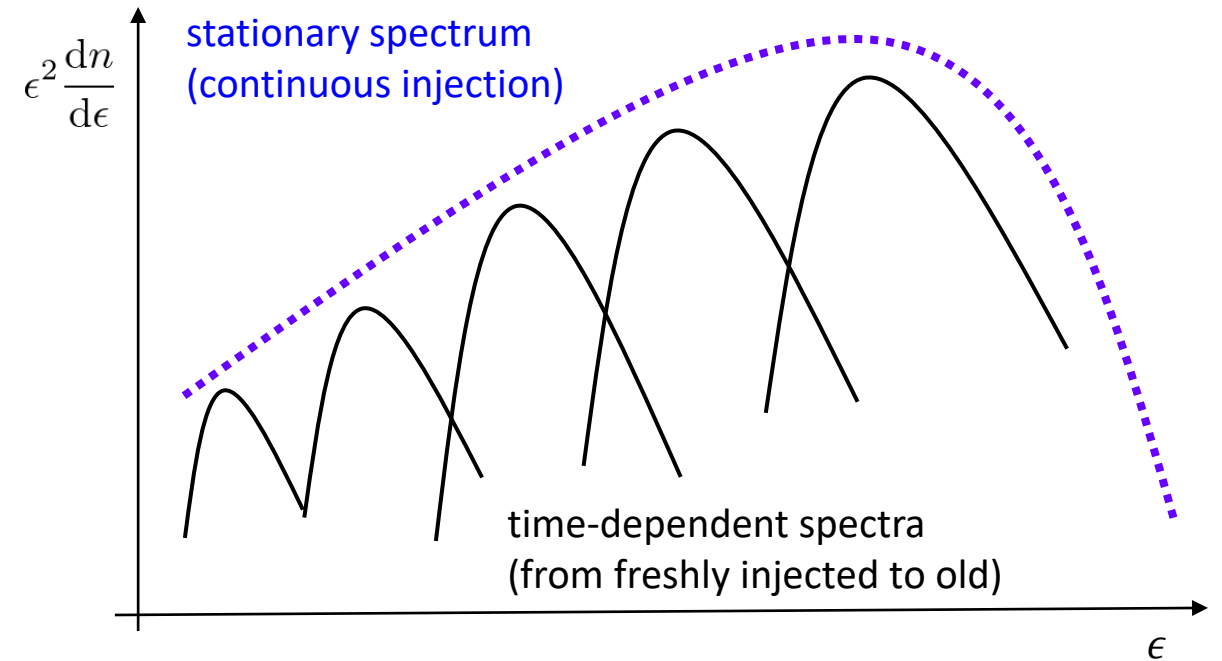
... long-standing issue: acceleration mechanism? ... origin of electric field? ... consequences? ... relativistic regime?

# Stochastic particle acceleration in astrophysics

... a key question: how to describe stochastic acceleration in random electric fields...



... a well-known signature: hard spectra = most of the energy at the highest energies...



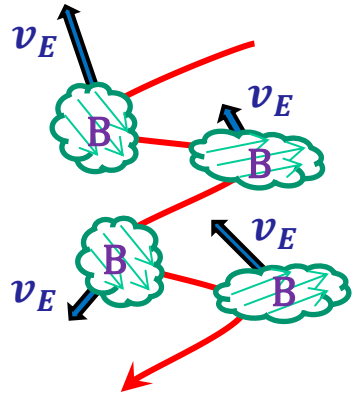
→ a non-linear, multi-scale problem:

... e.g. in turbulence: charged particles build the e.m. fields that transport them ...

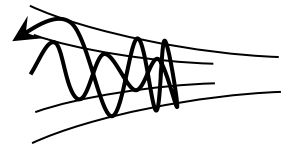
⇒ HPC numerical simulations, e.g. MHD or « particle-in-cell » (PIC) method

# Detailed mechanism of acceleration in turbulent plasmas?

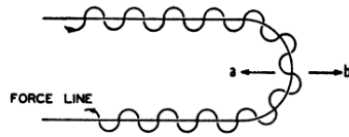
2<sup>nd</sup> order Fermi (49,54) acceleration: scattering off discrete **magnetized structures**, with  $\mathbf{E} = 0$  in local rest frame



$$\mathbf{E} = -\mathbf{v}_E \times \mathbf{B}/c$$



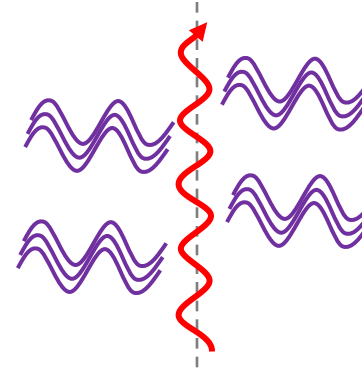
Fermi type A: bounce on magnetic mirror



Fermi type B: bounce on curved field line

Quasilinear picture: transport in a bath of small-amplitude **linear waves** (e.g. Alfvén, magnetosonic)...

... energy gain through resonant interactions



... interactions dominated by resonances  
e.g.  $k r_g \sim 1$  ...

$$\delta \mathbf{E} = -\mathbf{v}_\phi \times \delta \mathbf{B}/c$$

... a schizoid pattern connected to an old debate in turbulence physics: structures vs waves ...

... in practice, assume diffusion coefficient and use Fokker-Planck: 
$$\frac{\partial}{\partial t} f(p, t) = \frac{1}{p^2} \frac{\partial}{\partial p} \left[ p^2 D_{pp} \frac{\partial}{\partial p} f(p, t) \right]$$

# A recurring debate: waves vs structures... impact on cosmic-ray transport + acceleration?

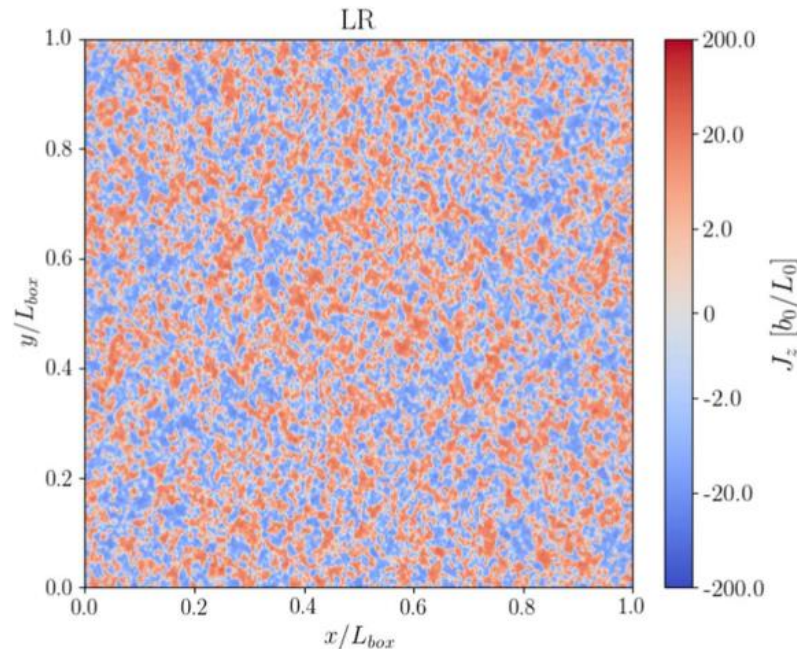
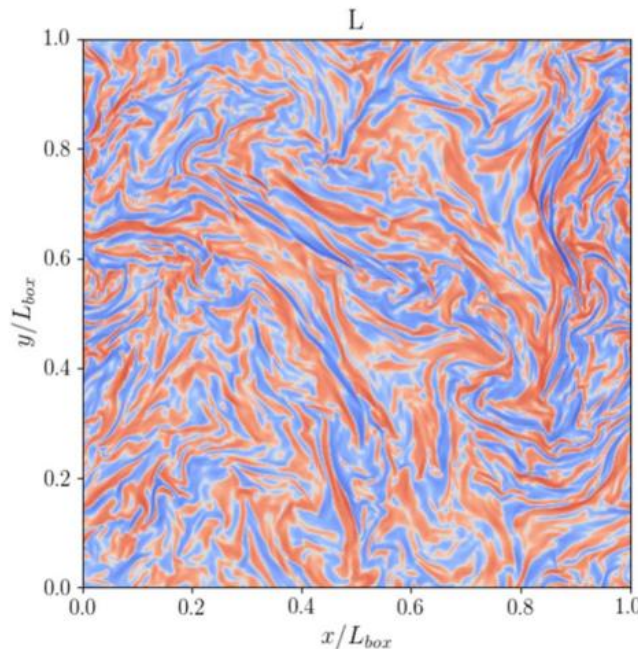
→ Quasilinear calculations of diffusion coefficients:

$$\frac{dp}{dt} = q \hat{\mathbf{p}} \cdot \delta \mathbf{E} \Rightarrow \langle \Delta p^2 \rangle = \int_0^{\Delta t} dt_1 \int_0^{\Delta t} dt_2 \dots \langle \delta E_i(\mathbf{x}(t_1), t_1) \delta E_j(\mathbf{x}(t_2), t_2) \rangle$$
$$\sim \Delta t \int d\mathbf{k} \int_0^{+\infty} d\tau \mathcal{R}_{\mathbf{k}}(t) \mathcal{P}_{\delta B_{\mathbf{k}}} \leftarrow \begin{array}{l} \text{(key) assumption for practical calculations:} \\ \delta \mathbf{B} \sim \text{Gaussian random field} \\ \text{[as sum of random phased } \delta B_{\mathbf{k}} \text{]} \\ \dots \text{all information in power spectrum } P_{\delta B_{\mathbf{k}}} \end{array}$$

⇒ diffusion coefficient:  $D_{pp} \equiv \frac{\langle \Delta p^2 \rangle}{\Delta t}$

→ **Waves or structures:** RPA means waves are incoherent, while phase coherence builds up structures ... as outliers to the distribution (non-Gaussianity – intermittency)

left:  
actual MHD simulation



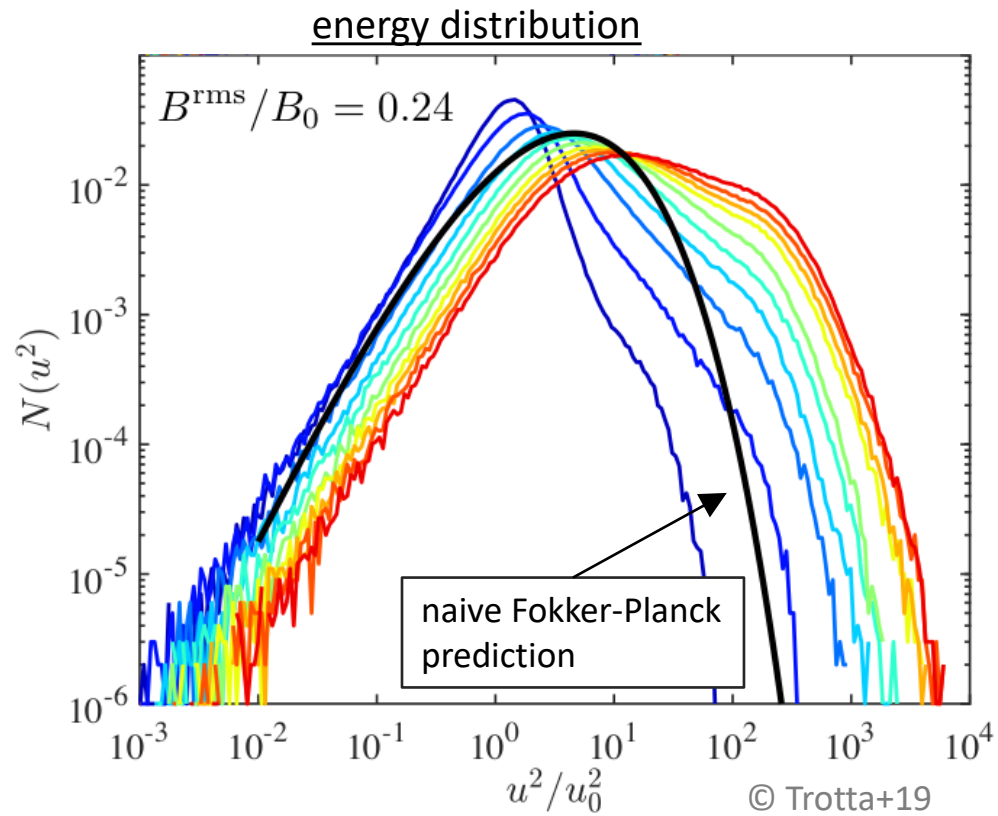
right: quasilinear vision,  
randomized phases

# Insights from particle tracking in MHD numerical simulations

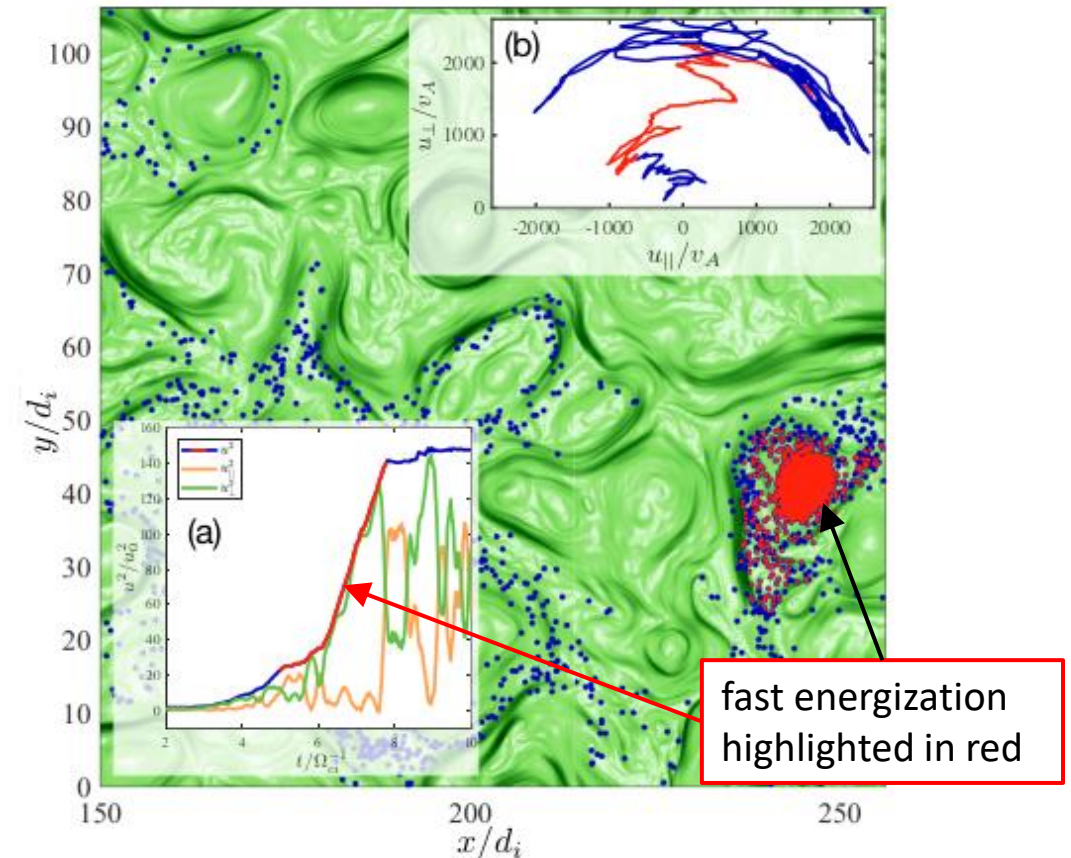
→ MHD / hybrid simulations<sup>1</sup> of magnetized turbulence + particle tracking:

... recent simulations provide evidence for fast acceleration in localized regions ...

... non-trivial energy distributions ( $\sim$ not simple Fokker-Planck)<sup>2</sup> ...

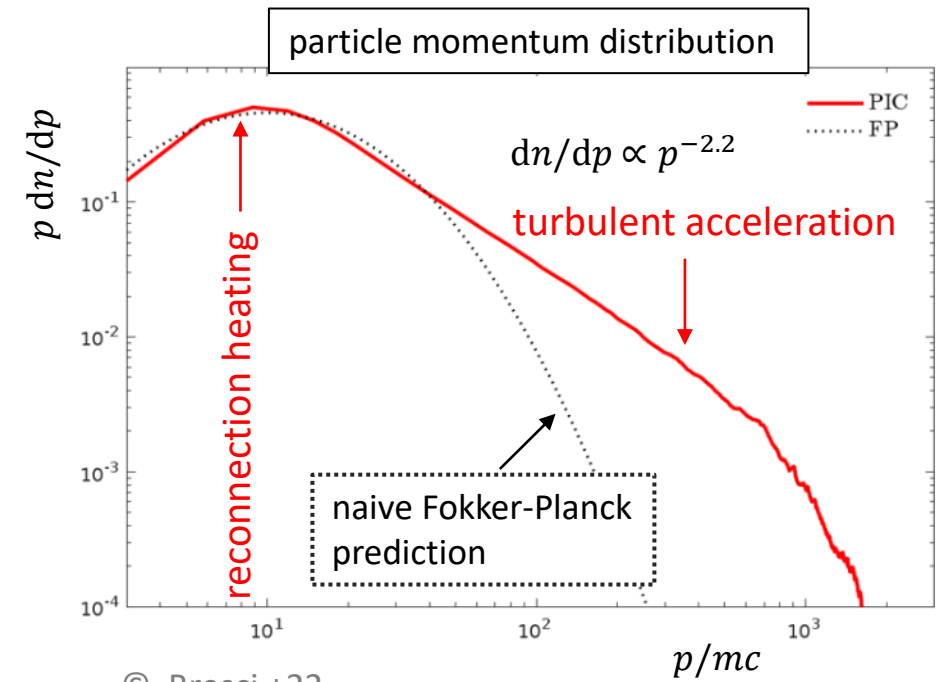
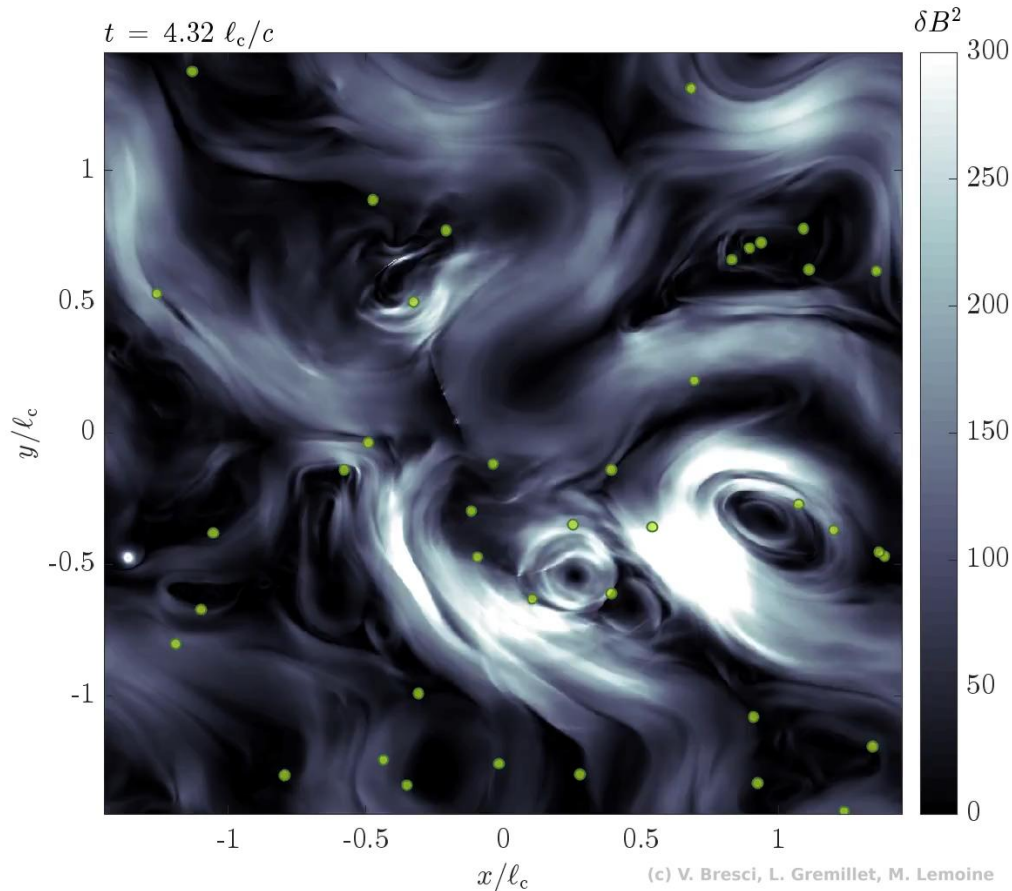


particle tracking in 2D sim.



# Insights from numerical simulations

→ PIC simulations<sup>1</sup> of particle acceleration in semi- to fully-relativistic (Alfvén  $v_A \gtrsim 0.1 c$ ), collisionless turbulence:



→ unexpected<sup>2</sup> emergence of powerlaws,  $dn/dp \propto p^{-s}$  with  $s \sim 2 \dots 4$ , signature of a rich phenomenology...

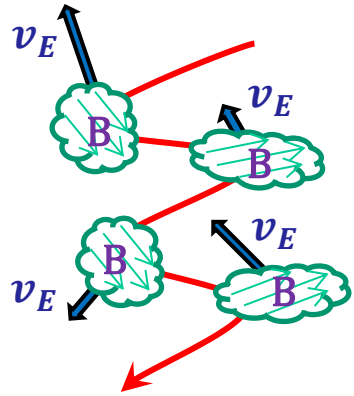
→ in relativistic regime, diffusion coefficient  $D_{pp} \sim 0.2 \sigma p^2 c/l_c$  (scaling w/ Alfvén 4-velocity)

Refs:

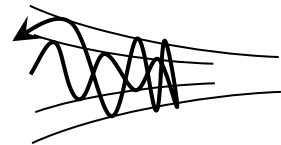
1. Zhdankin+17,18,20, ... Wong+ 19, Comisso+Sironi 18, 19, Nättilä + Beloborodov 20, Vega+20, ... Bresci+22  
+ many MHD/hybrid (Dmitruk+03, Arzner+06, ..., Isliker+17, Trotta+19, Pezzi+22)
2. discussion in M.L. + Malkov 20

# Detailed mechanism of acceleration in turbulent plasmas?

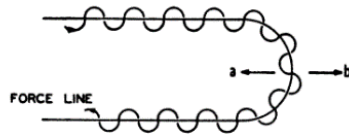
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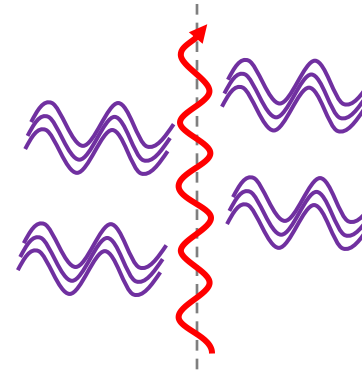
Fermi type A: bounce on magnetic mirror



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Quasilinear picture: transport in a bath of small-amplitude **linear waves** (e.g. Alfvén, magnetosonic)...

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## → outline:

1. generalize Fermi acceleration to turbulence, including relativistic ( $v_A \sim c$ )...  
⇒ Fermi prevails in strong turbulence due to strong intermittency of velocity structures  
... and Fokker-Planck fails.
2. phenomenological application: acceleration in black hole coronae and Ice Cube neutrinos

# Generalized Fermi acceleration in a random velocity flow

→ Fermi acceleration: in original Fermi scheme, particles gain energy by point-like, discrete interactions with moving scattering centers (carrying electric fields!)

... key difference for turbulence (or large-scale random velocity flow):

**velocity flow  $v_E = c E \times B / B^2$  is continuous...**

→ generalization to turbulence<sup>2</sup>:

... many models<sup>1</sup>, a direct connection to structures on various scales...

... follow particle momentum in the (non-inertial) frame where  $\mathbf{E} = 0$

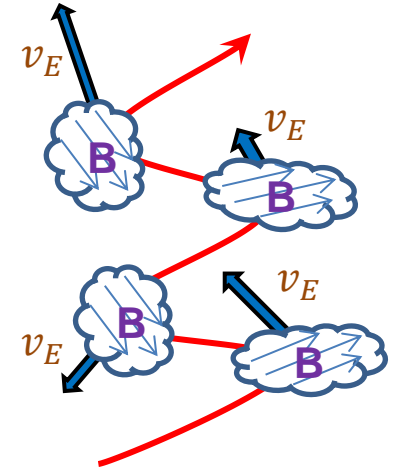
$$\frac{d\gamma'}{d\tau} = -\Gamma_{ab}^0 \frac{p'^a p'^b}{m^2 c^2}$$

... inertial forces:  $\Gamma_{ab}^0 \propto \partial_a u_{Eb}$

...  $\gamma'$  comoving particle Lorentz factor

... in that frame, energy variation  $\propto$  non-inertial forces characterized by velocity shear of  $\mathbf{u}_E$  (4-velocity!)

→ fully covariant implementation of Fermi acceleration in turbulence, diffusion coefficient  $\propto (u_E/c)^2$



Refs:

1. Fermi 49,..., Bykov+Toptygin 83, Ptuskin 88, Chandran+Maron 04, Cho+Lazarian 06, Ohira 13, Brunetti+Lazarian 16, ...

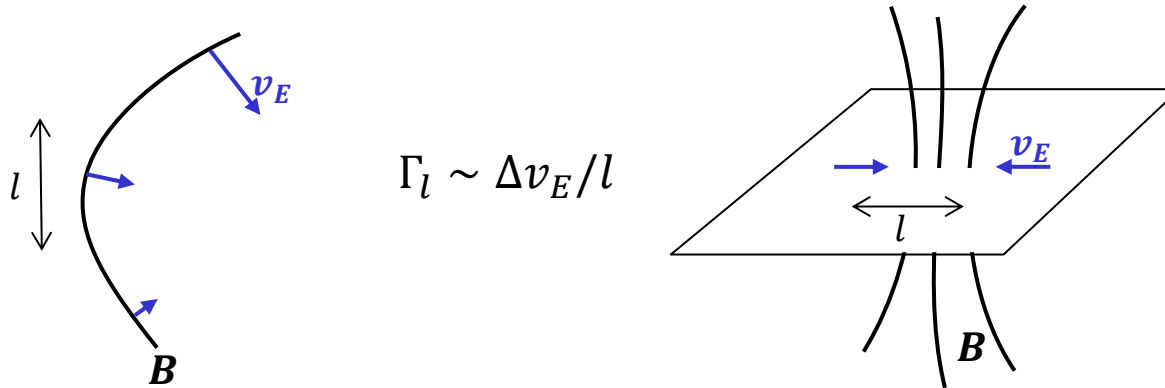
2. M.L. 19 [PRD 99, 083006 (2019)], 21 [PRD 104, 063020 (2021)]; see also previous works by Webb 85, 89

# Generalized Fermi acceleration in magnetized turbulence

→ theoretical model<sup>1</sup>:  $\dot{p} = \Gamma_l p$  (simplified expression in comoving frame)

with  $\Gamma_l$  a random field: gradients of  $\mathbf{v}_E$  coarse-grained on scale  $l \gtrsim r_g$  ...

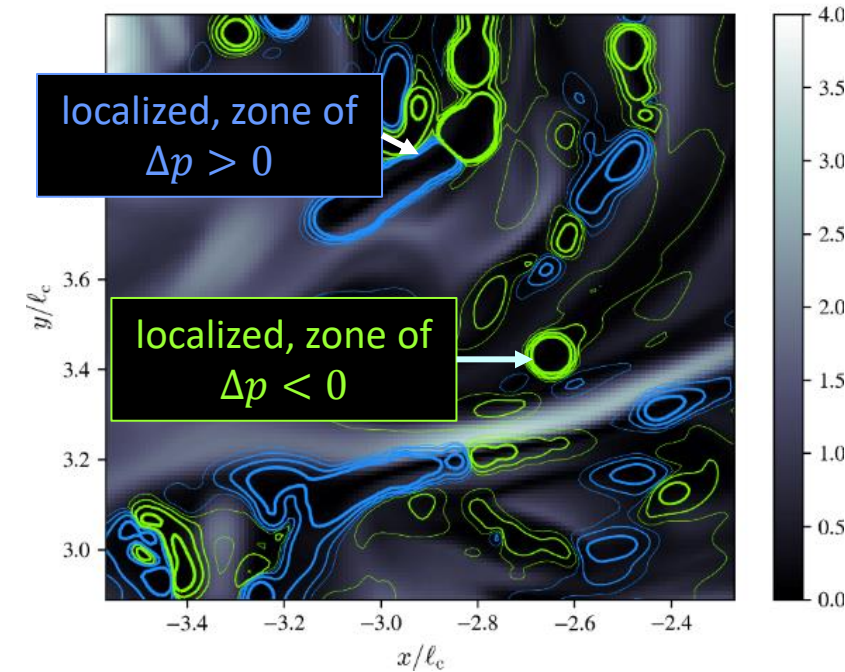
$\Gamma_l$  from dynamic curved field lines, or dynamic perp. gradients (mirrors), or acceleration of field lines



→ Properties of the random force:

- ... (exponential) energy gain if  $\Gamma_l > 0$ , loss if  $\Gamma_l < 0$
- ...  $\Gamma_l$  is non-Gaussian, highly localized in specific regions... (in large-amplitude turbulence)
- ... different particles experience different histories  $\Rightarrow$  powerlaw spectrum

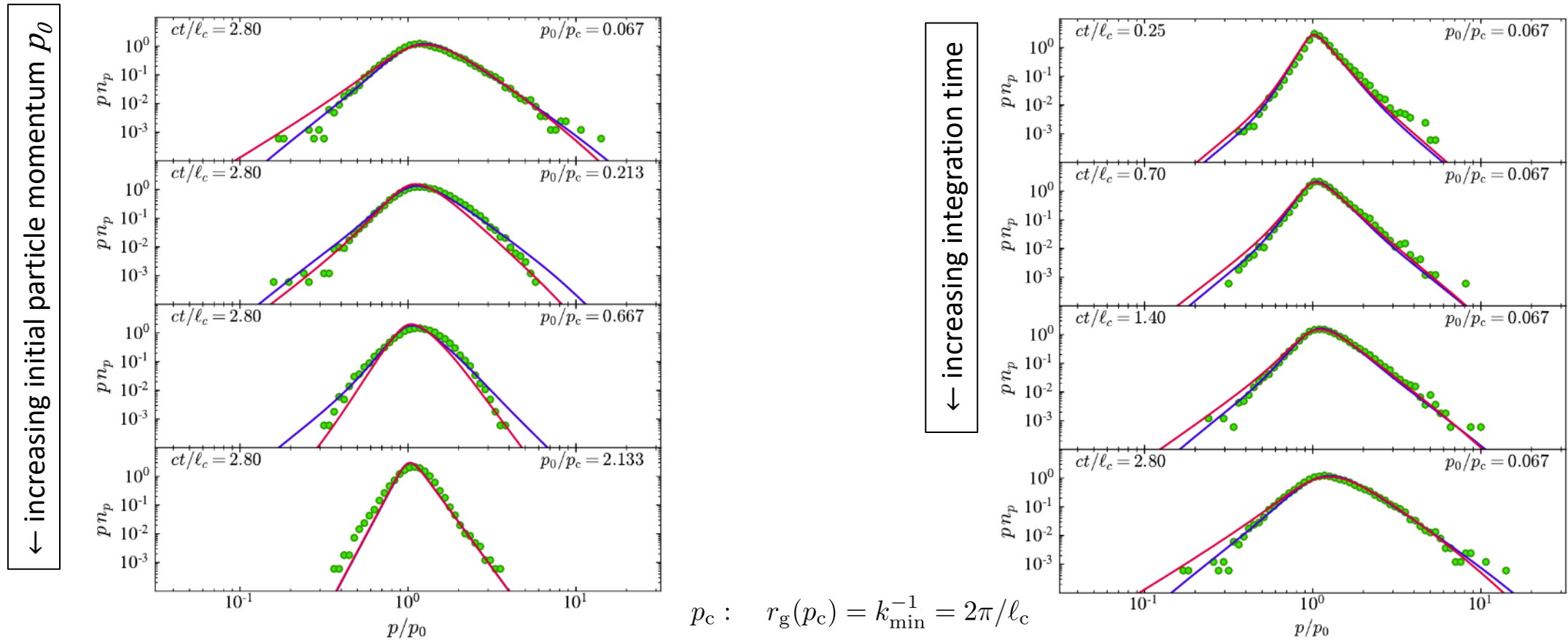
Map of  $\ln |\Gamma_l|$  in MHD 1024<sup>3</sup> sim.<sup>2</sup>  
(no guide field: large-amplitude turb.)



# A transport model reproducing spectra obtained by particle tracking in MHD simulation

→ comparison to numerical data:

1. fit model (here 2: blue & red) to p.d.f. of forces ( $\Gamma_l$ )
2. integrate kinetic equation<sup>1</sup>
3. compare to distribution measured in MHD 1024<sup>3</sup> simulation<sup>2</sup> by time-dependent particle tracking...



⇒ model reproduces time- and energy- dependent Green functions... + produces powerlaw spectra  $dn/dp \propto p^{-4}$

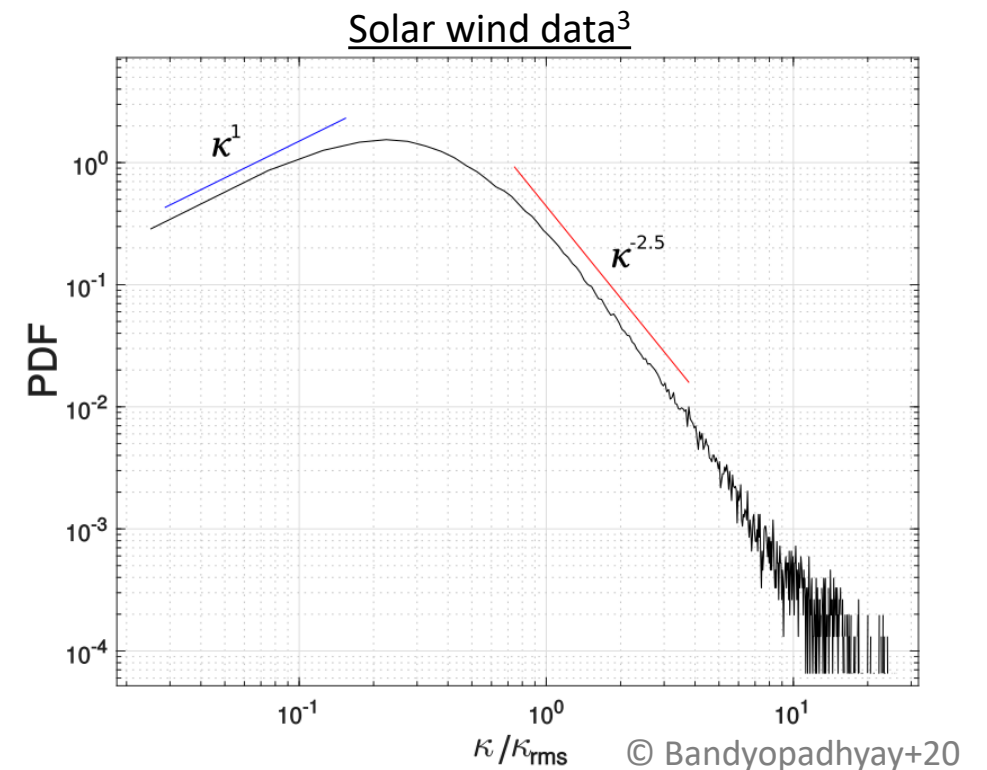
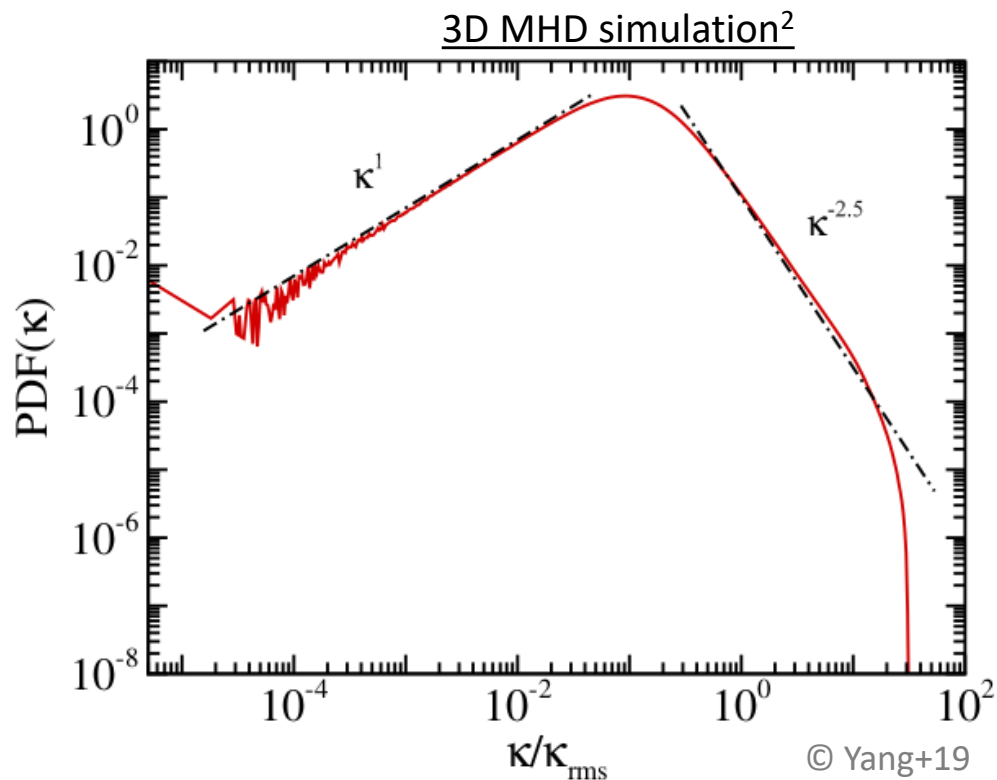
# The (dominant?) role of the field line curvature

→ energization through curvature drift:

... a dominant process in reconnection physics<sup>1</sup>

... field line curvature:  $\kappa = \mathbf{b} \cdot \nabla \mathbf{b}$  ( $\mathbf{b} \equiv \mathbf{B}/|\mathbf{B}|$ )

... statistics of  $\kappa$ : a powerlaw at large values<sup>2</sup>,  $\text{p.d.f.}(\kappa) \propto \kappa^{-2.5} \Rightarrow$  origin, connection to statistics of random force?

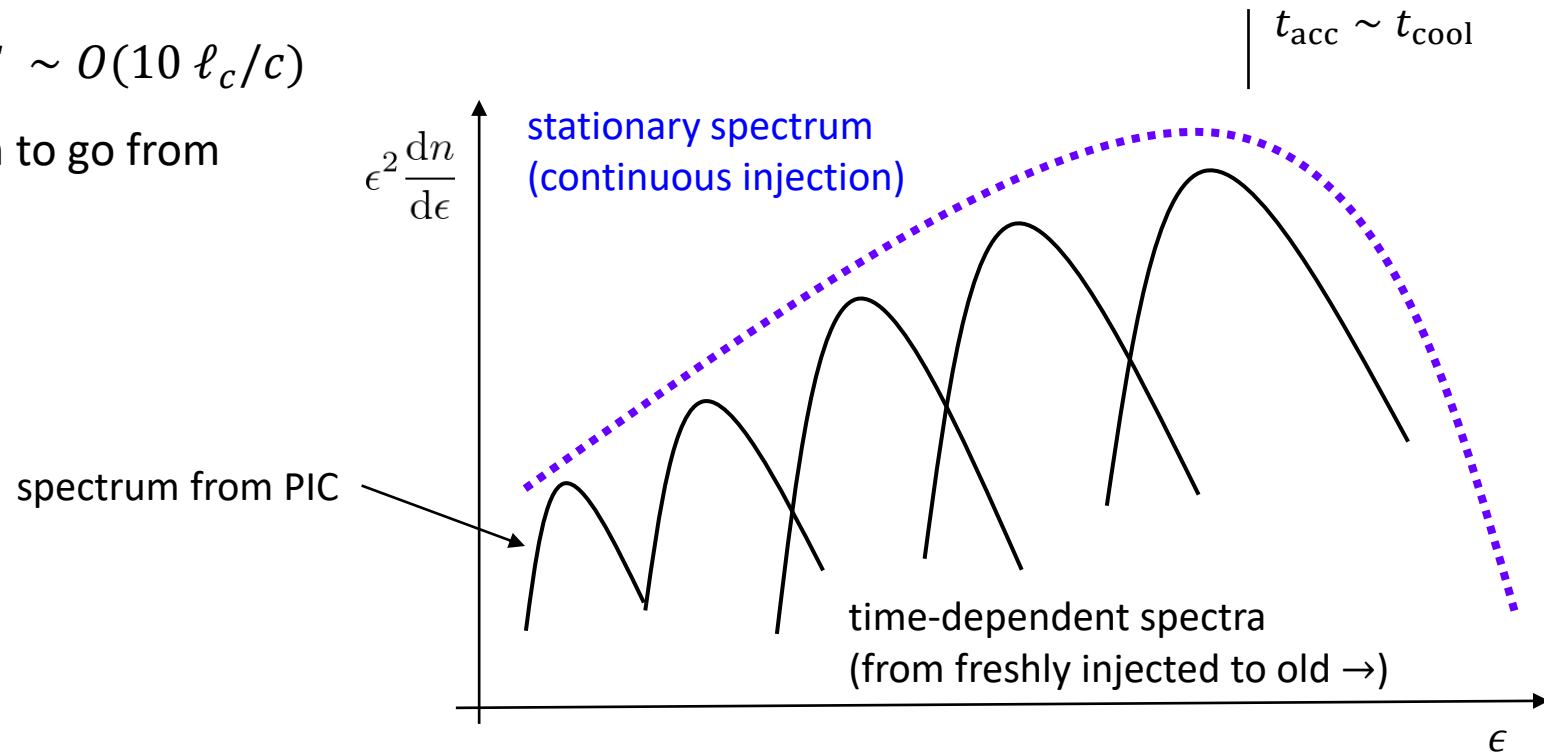


# Evolution on “long” timescales: from simulations to astrophysical objects

→ limited duration of simulations:

... in practice, simulations run for  $T \sim O(10 \ell_c/c)$

... PIC spectrum  $\sim$  Green’s function to go from thermal to supra-thermal over  $T$



... important:

(1) stochastic acceleration is diffusion + advection in momentum space...

(2) final spectrum depends on injection history + whether turbulence is sustained or not (“decaying”)

(3) high-energy particles take most of the energy... until they exhaust the turbulence that feeds them!

# Evolution on “long” timescales: self-regulation through turbulence damping

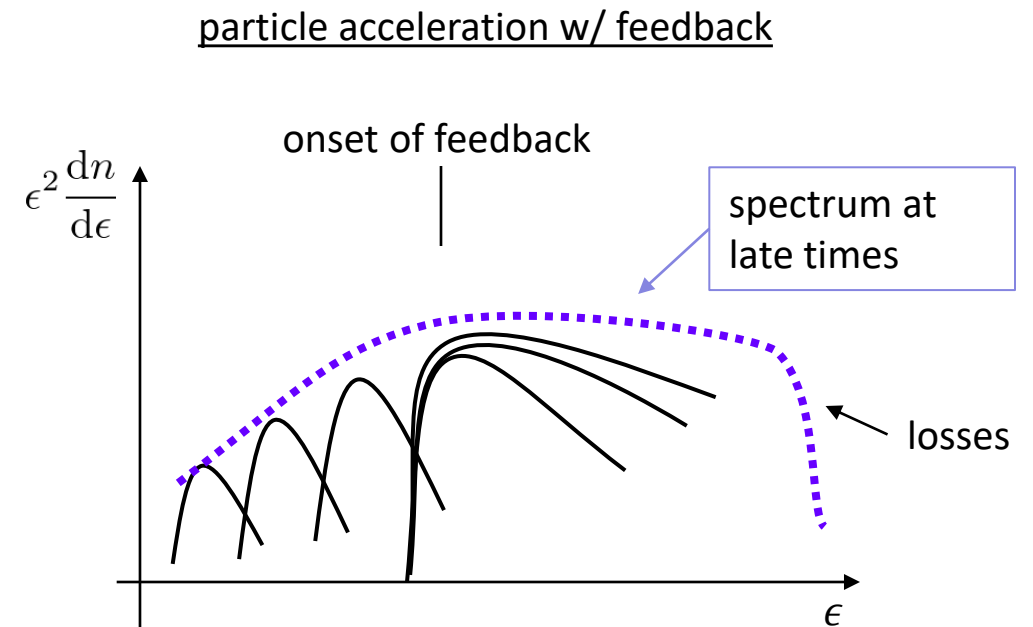
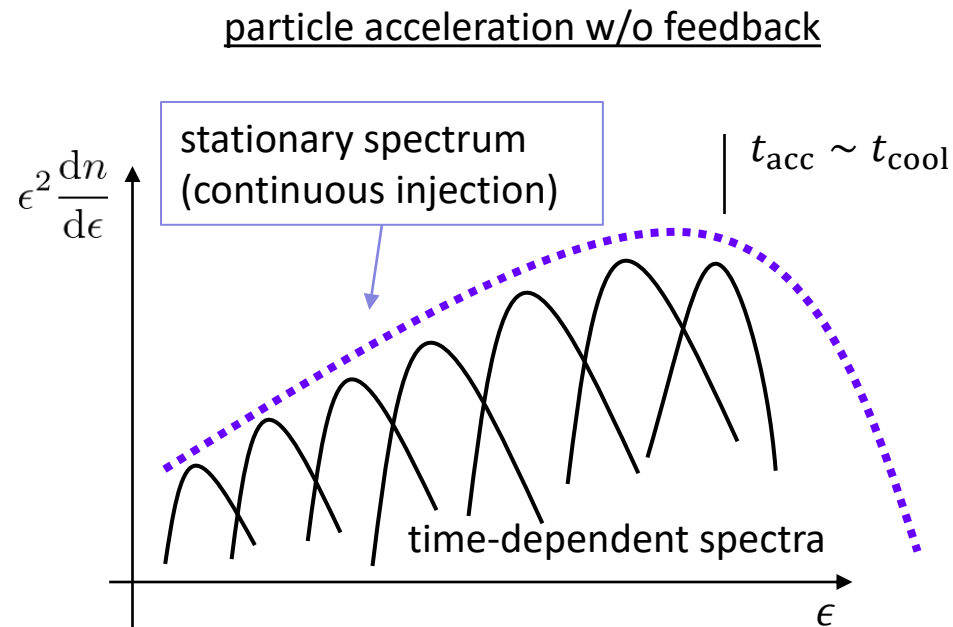
→ damping of turbulence by stochastic particle acceleration<sup>1</sup>:

... wave-particle picture: cyclotron damping of resonant waves...

... in non-resonant Fermi: suprathermal particles bring in effective viscosity + diffusivity

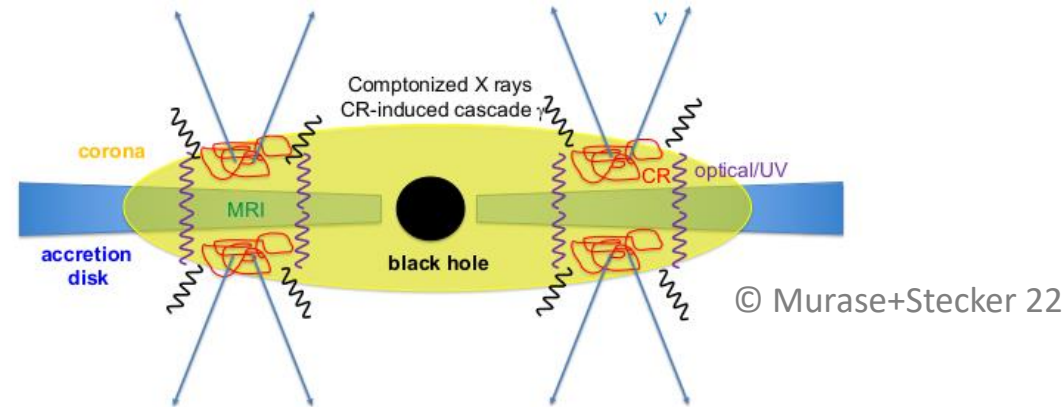
... simplified model<sup>2</sup>: incorporate energy loss in turbulent cascade through kernel describing where energy is drawn from in k-space for particles of momentum p...

⇒ consequences for particle spectra: remodeled to broken powerlaw, constant energy/decade  $dn/dp \propto p^{-2}$



# Stochastic Fermi acceleration & high-energy neutrinos from NGC 1068

→ Ice Cube 22: excess of high-energy (1-10 TeV) neutrinos from nearby AGN NGC 1068...  
... a possible scenario: stochastic acceleration in turbulent corona +  $p - p, p - \gamma$  neutrino production<sup>1</sup>

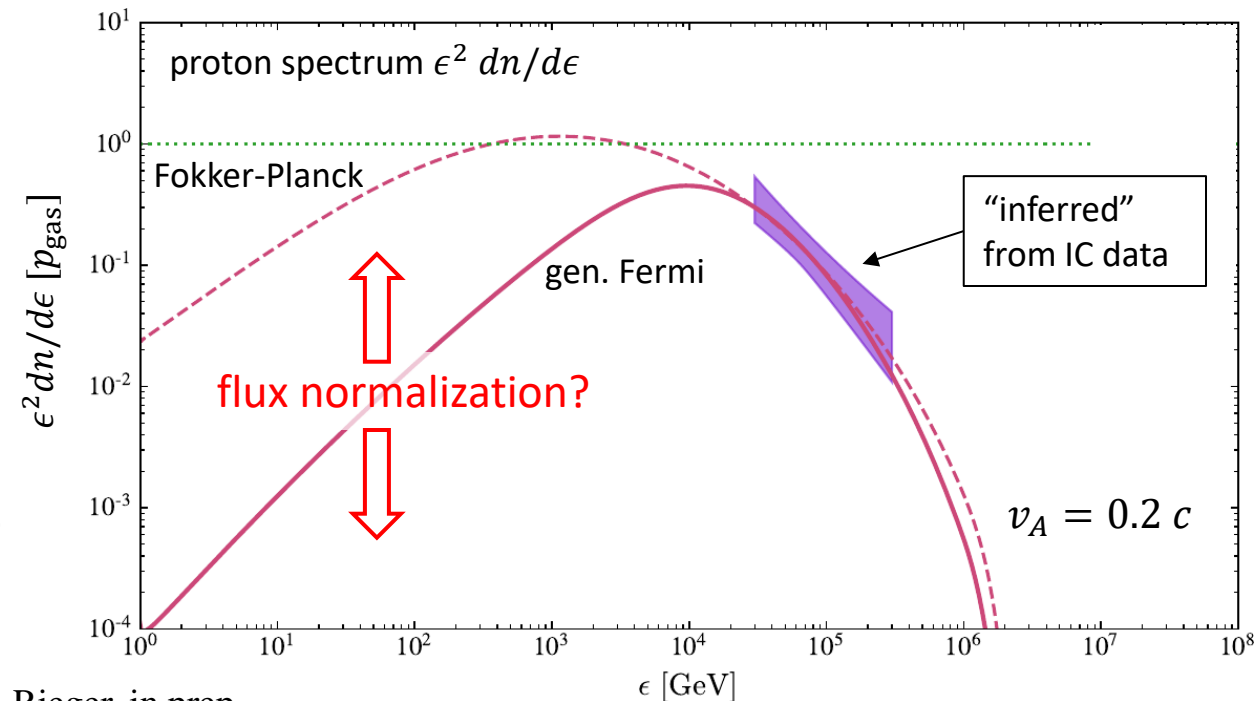


→ model<sup>2</sup>: integrate spectra through transport eqn...  
... including relevant energy losses

→  $p$  acceleration to  $>100\text{TeV}$  possible for turbulent Alfvén velocity  $v_A \gtrsim 0.1c$

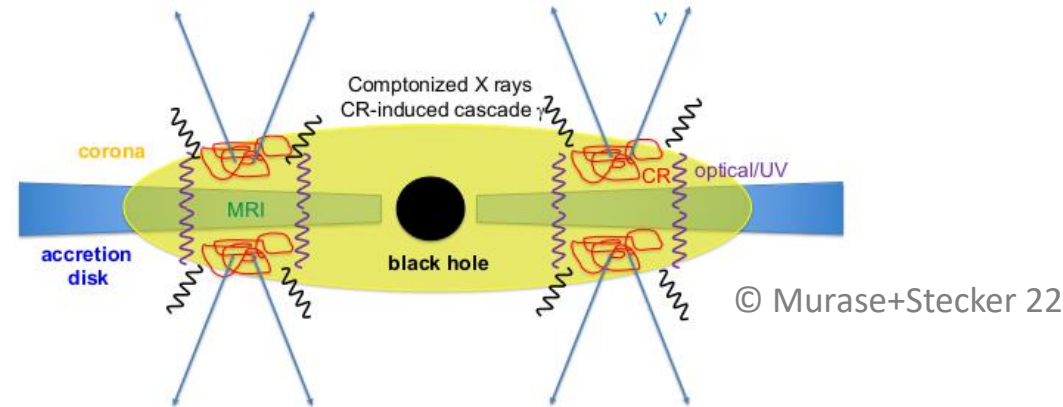
→ **issue: ad-hoc normalization of the flux...**

... particle feedback on turbulence appears unavoidable



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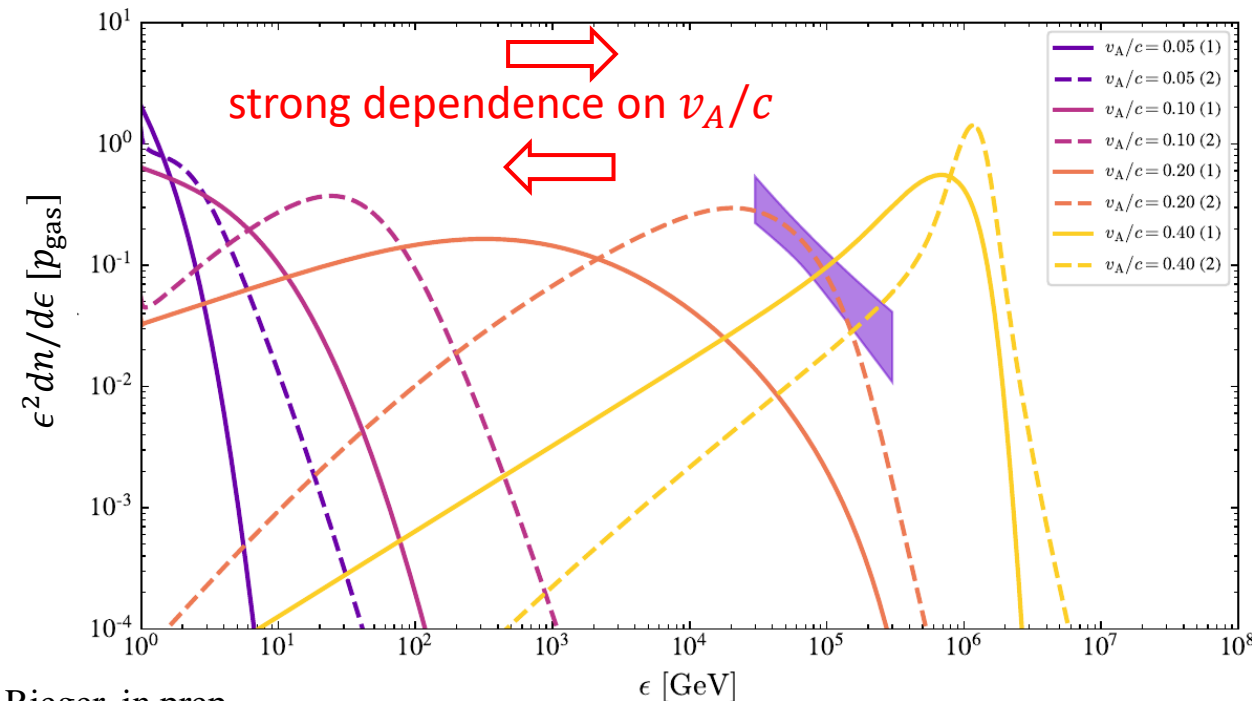


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→ p acceleration to >100TeV possible for turbulent Alfvén velocity  $v_A \gtrsim 0.1c$

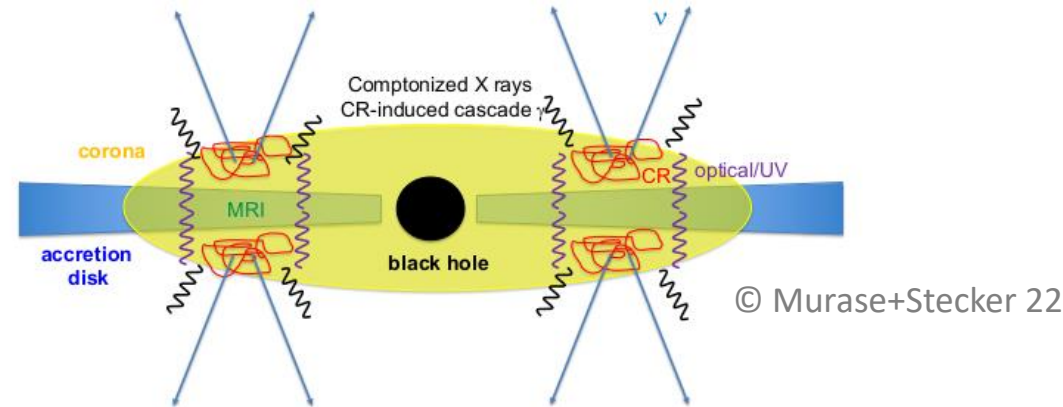
→ issue: sensitivity to acceleration rate...

$$\langle \epsilon \rangle \propto \exp(4D_{pp}t/p^2)$$



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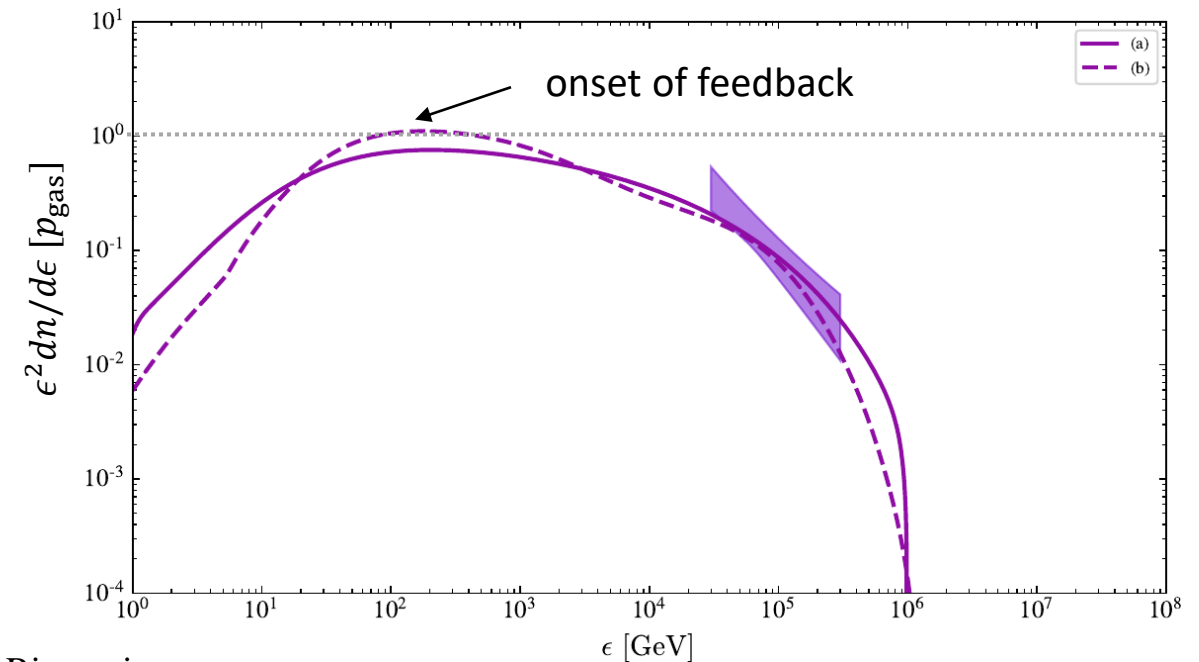
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→ model<sup>2</sup>: integrate spectra through transport eqn...  
... including relevant energy losses

... proper account of feedback of particles on turbulence (damping)  $\Rightarrow$  reasonable fit to Ice Cube data, without fine-tuning of normalization...

... high-energy tail likely shaped by distribution of acceleration rates (e.g.  $v_A$ ) inside corona... ?

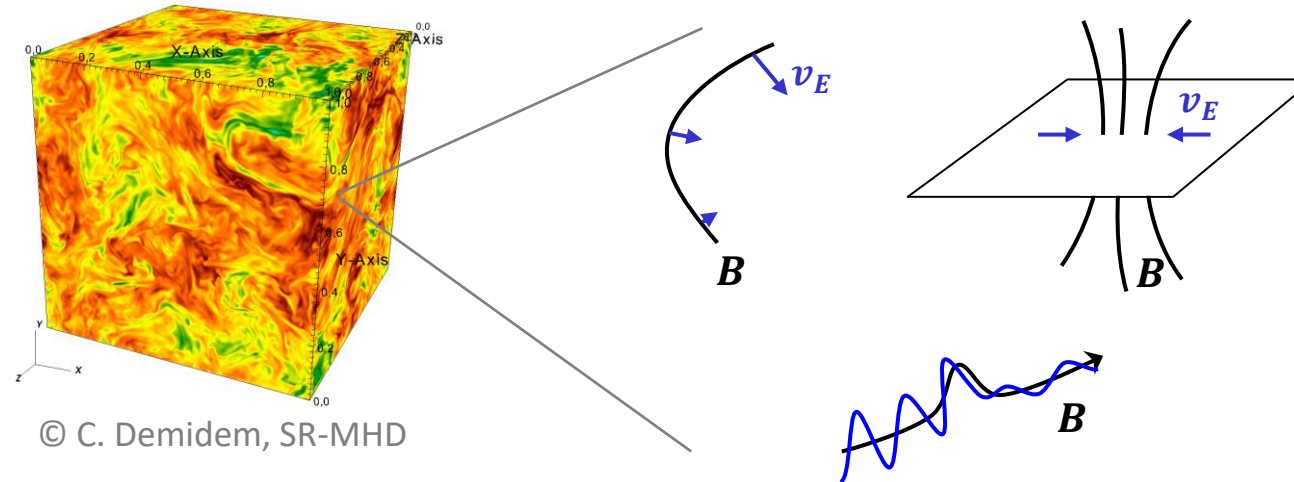


# Summary + discussion: generalized Fermi acceleration in turbulent plasmas

→ Summary (1): particle acceleration in turbulence as generalized Fermi process

*... Fermi acceleration generalized to turbulence: acceleration in localized regions of strong (field line) velocity gradients ... model supported by PIC+MHD simulations ...*

*... generalization to transport: interaction with (static) regions of strong curvature leads to strong scattering events ... can sustain scattering with m.f.p.  $\lambda_s \sim \ell_c^{0.7} r_g^{0.3}$*



→ Summary (2): application to phenomenology of Ice Cube neutrinos from Seyferts

*... (generalized Fermi) transport equation allows to model spectra ...*

*... an important effect in (many) sources: account for feedback of particles on turbulence... acceleration process becomes self-regulated*