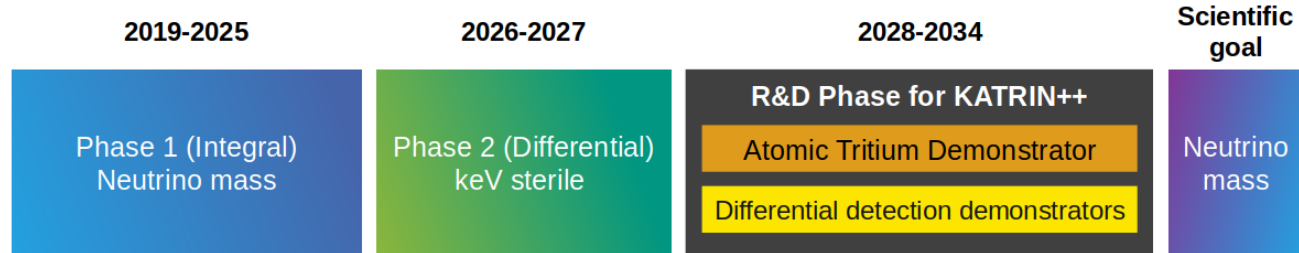


From KATRIN to KATRIN++

Markus Steidl. „Matter and the Universe“ Days 2024@DESY



ν – mass, a fundamental parameter

$\frac{2}{3}$ Left u Right up	$\frac{2}{3}$ Left c Right charm	$\frac{2}{3}$ Left t Right top
$-\frac{1}{3}$ Left d Right down	$-\frac{1}{3}$ Left s Right strange	$-\frac{1}{3}$ Left b Right bottom
$< 1 \text{ eV}$ Left ν_e Right electron neutrino	$< 1 \text{ eV}$ $\sim \text{keV}$ Left N_1 Right sterile neutrino	$< 1 \text{ eV}$ $\sim \text{GeV}$ Left ν_μ Right muon neutrino
$< 1 \text{ eV}$ $\sim \text{keV}$ Left ν_τ Right tau neutrino	$< 1 \text{ eV}$ $\sim \text{GeV}$ Left N_2 Right sterile neutrino	$< 1 \text{ eV}$ $\sim \text{GeV}$ Left N_3 Right sterile neutrino
-1 Left e Right electron	-1 Left μ Right muon	-1 Left τ Right tau

S.M. **with** a minimal extension

Key role for Standard Model:

- Higgs mass mechanism of S.M. does not fit to neutrinos, right handed neutrinos would be minimal extension of S.M.
- implications for extensions to the SM, such as seesaw mechanisms and leptogenesis.

Key role for cosmology

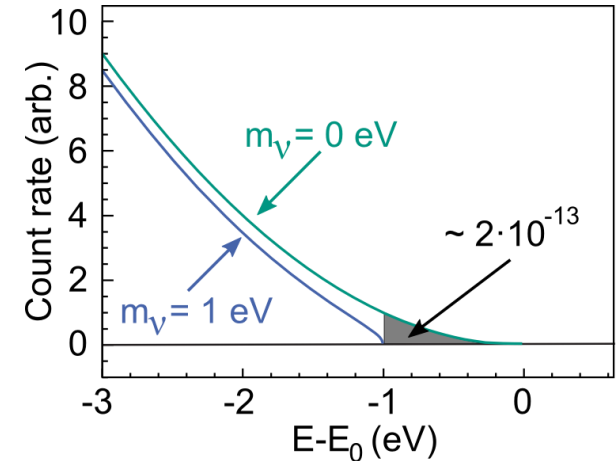
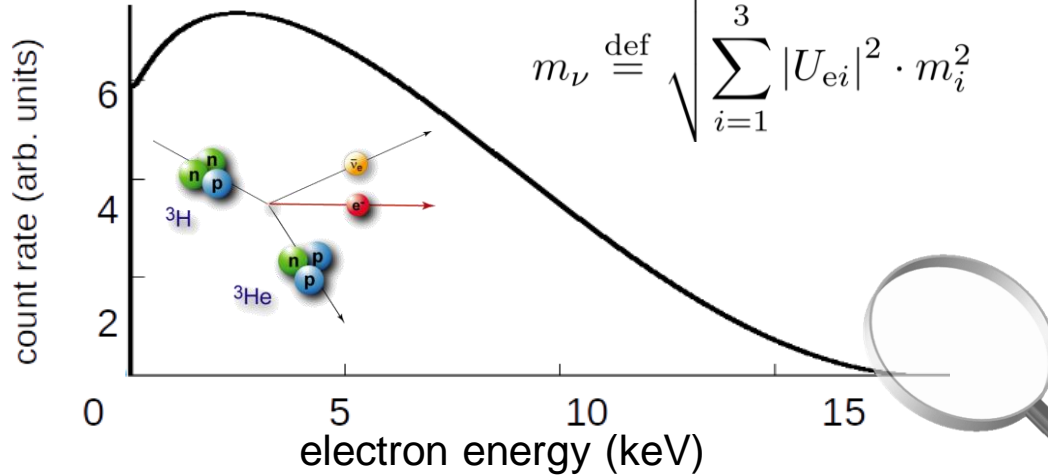
- ν 's are the most abundant massive particle in the Universe
- Neutrino masses impact cosmological evolutions (CMB, LSS, H_0 ...)

Neutrino mass in tritium β -decay

Measurement of effective mass m_ν , based on **kinematic parameters & energy conservation**

$$R_\beta(E) \propto (E_0 - E) \sqrt{(E_0 - E)^2 - m_\nu^2}$$

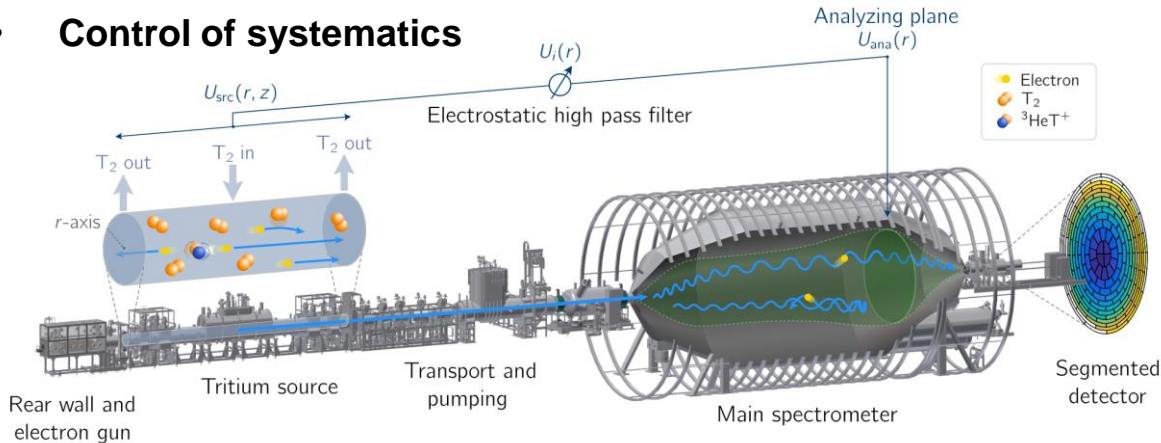
$$m_\nu \stackrel{\text{def}}{=} \sqrt{\sum_{i=1}^3 |U_{ei}|^2 \cdot m_i^2}$$



Neutrino mass in tritium β -decay

Experimental challenges:

- High source **activity**, **super-allowed transition**
⇒ Tritium: $E_0 = 18.6 \text{ keV}$, $T_{1/2} = 12 \text{ yr}$
- Excellent energy **resolution** ($\sim 1 \text{ eV}$)
- Low **background** ($\ll 1 \text{ cps}$)
- **Control of systematics**



license for 40 g of tritium ($\approx 1.5 \cdot 10^{16} \text{ Bq}$)

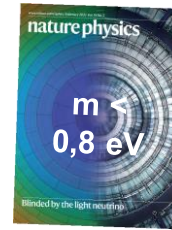


Tritium Laboratory Karlsruhe
safe tritium technologies &
versatile tritium analytics ($> 30 \text{ yr}$)

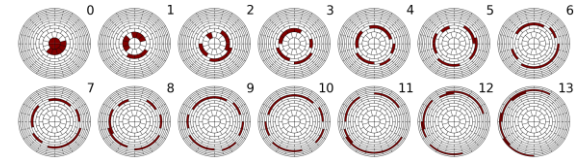
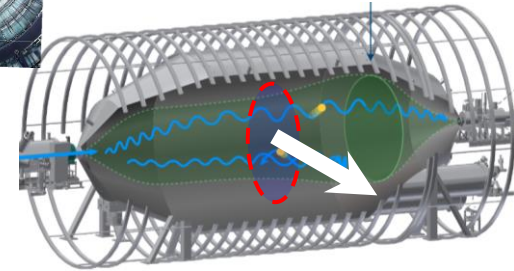


Experimental improvements wrng

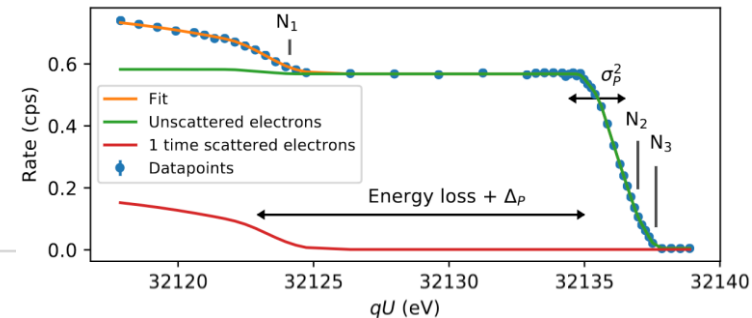
- ✓ **Factor 6** more in statistics
- ✓ **Factor 2** lower **background** using “*shifted analyzing plane*” configuration
- ✓ **Precision calibration tools** available (Kr co-circulation with T-gas, novel e-gun)
- ✓ Detector **patches** to account for inhomogeneities
- ✓ **Improved** statistical sensitivity by optimized scan-time distribution
- ✓ **Systematic studies** e.g. measure Kr line widths to determine plasma parameters.
- ✓ **Eliminated** trapped particle backgrounds



Nature Phys.
18 (2022) 160
Data of 2019



Spectrum of $N_{1,2,3}$ -32 lines of ^{83m}Kr



Systematic uncertainties

Statistical uncertainty dominates

Significant reduction of the **background**-related systematics

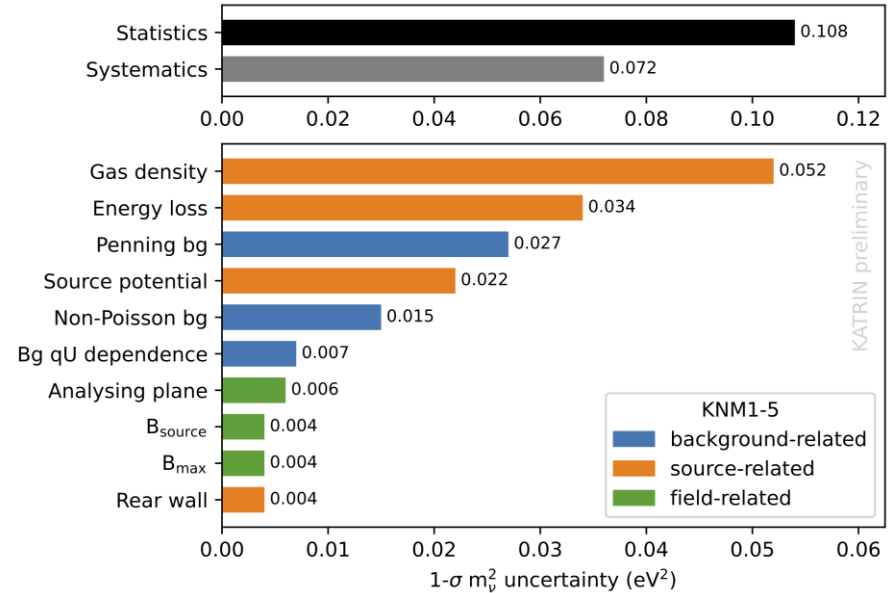
Better control over source **scattering**

Increased conservative uncertainties in this release

Reduced uncertainties in following data

Reduction of the molecular final-states uncertainties

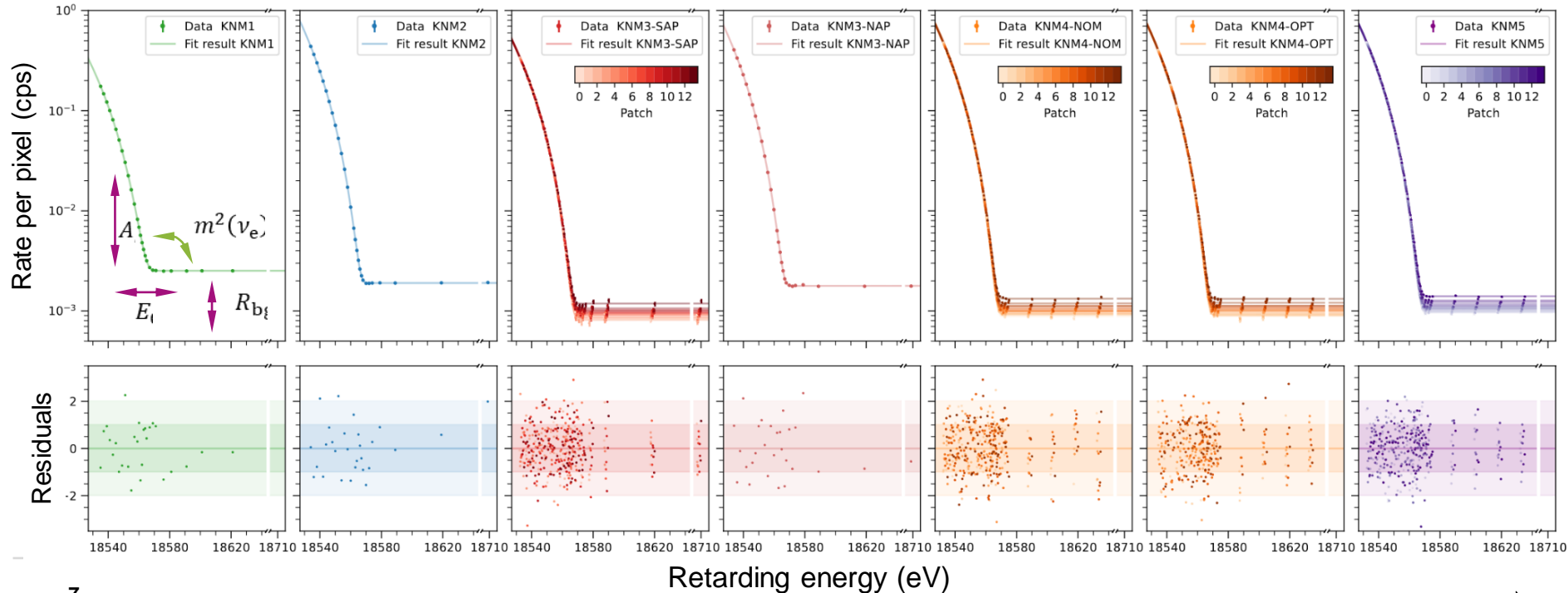
Reassessment of theoretical uncertainty estimation: [S. Schneidewind et al., Eur. Phys. J. C 84, 494 \(2024\)](#)



→ further reduction of systematics for final neutrino mass analysis

Data & Fit result

- Maximum likelihood fit with common m_ν^2 parameter in 59 data sets
- Excellent goodness-of-fit: **p-value=0.84**



Results

Best-fit value $m_\nu^2 = -0.14_{-0.15}^{+0.13} \text{ eV}^2$

Negative m^2 estimates allowed by the spectrum model to accommodate statistical fluctuations

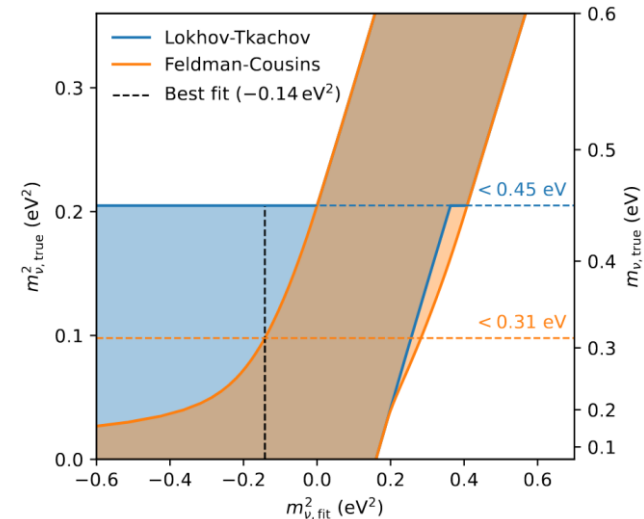
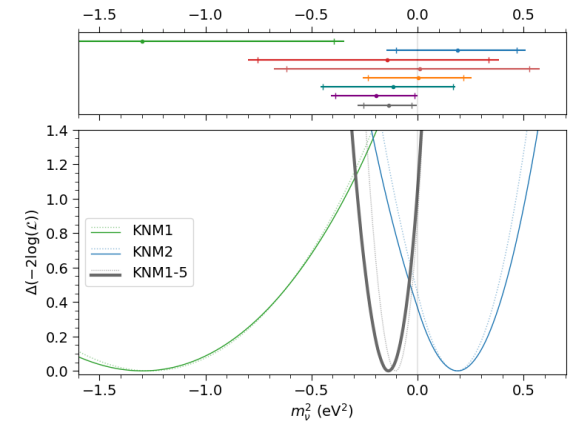
Lokhov-Tkachov construction

$$m_\nu < 0.45 \text{ eV (90 \% CL)}$$

KATRIN collab: [arXiv:2406.13516](https://arxiv.org/abs/2406.13516)

Feldman-Cousin $m_\nu < 0.31 \text{ eV}$ at 90 % CL

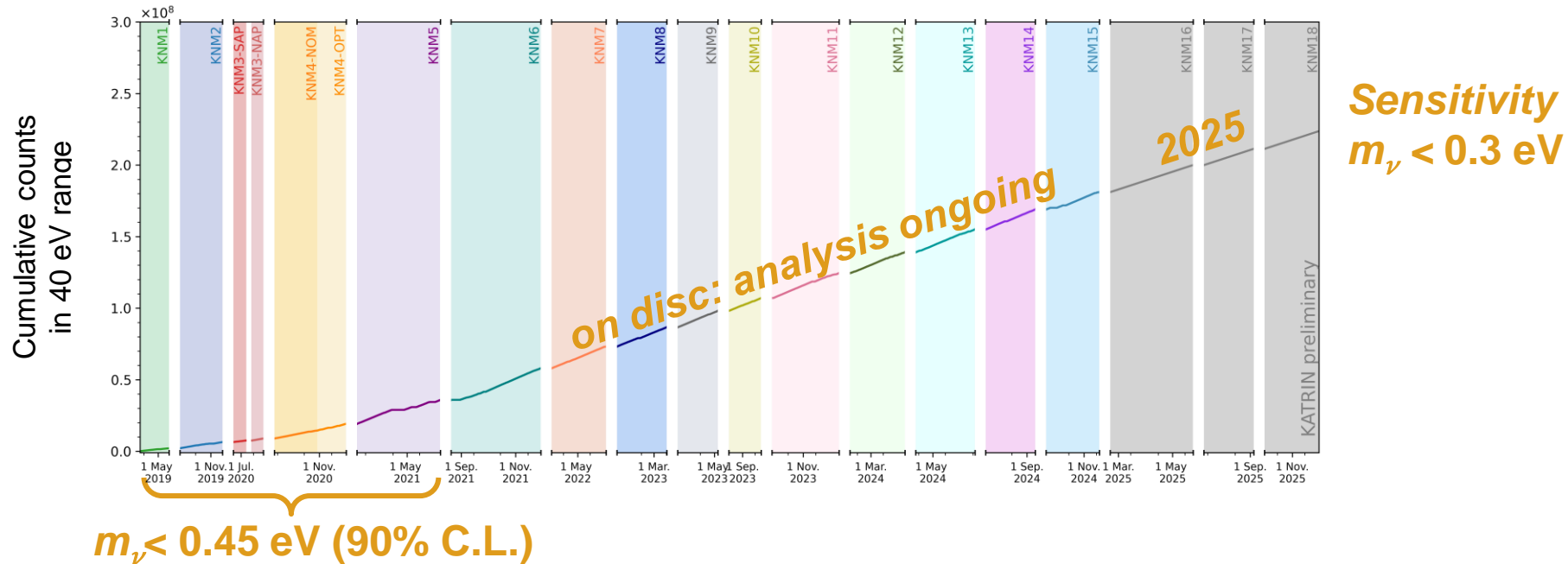
Bayesian analysis is in preparation



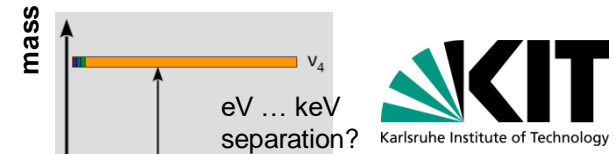
KATRIN data taking continues

Meanwhile ~ **170 Mio** counts recorded – **x4.5** the statistics!

Another **50 Mio** to come in **2025** + calibration/systematics improvements



KATRIN “beyond neutrino mass”



β -spectrum of high statistics and precision

Is there a fourth (sterile) neutrino?
(search for a kink)

Phys.Rev.Lett. 126 (2021) 9, 091803
Phys.Rev.D 105 (2022) 7, 072004

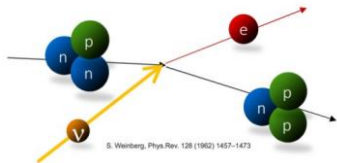
Constrain local density of cosmic relic neutrinos
(peak search)

Search for Lorentz invariance violation
(sidereal modulation)

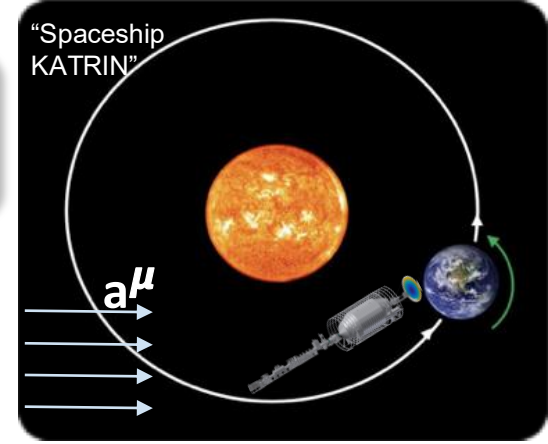
Phys.Rev.D 107 (2023) 8, 082005

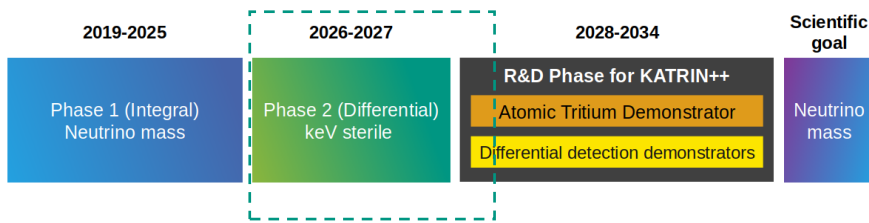
Search for exotic interactions
(spectrum shape)

KATRIN collab., arXiv: 2410.13895

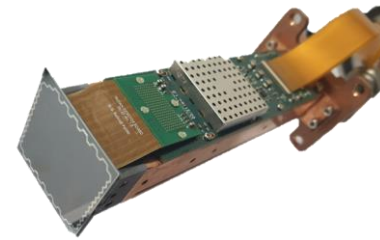
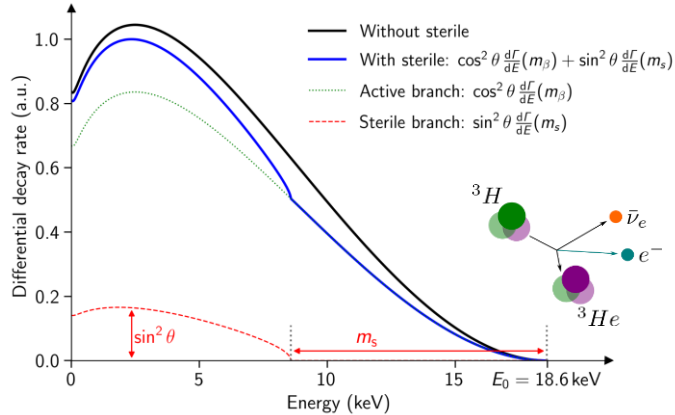


Phys. Rev. Lett. 129 (2022) 1, 011806



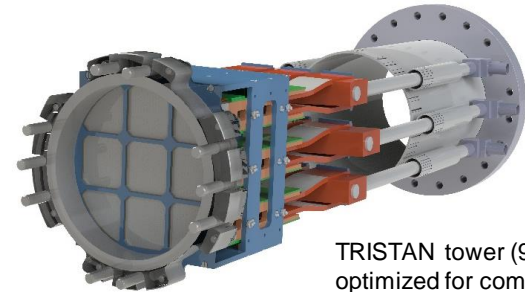


Differential: energy determined directly by detector response, i.e. not by an integral scan of the spectrometer



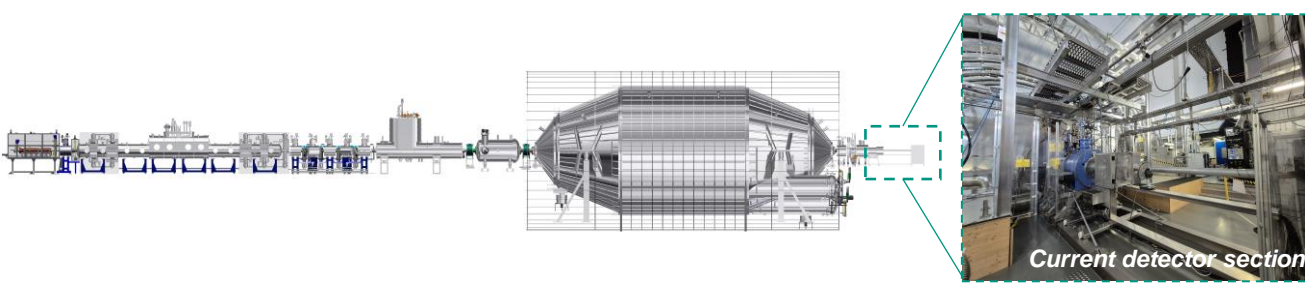
A TRISTAN module
Silicon Drift Detector with 166 pixels
Achieve Fano-noise limited performance
Max-Planck Institute of Physics, Munich
Halbleiterlabor Munich

2 ASIC boards as frontend
Politecnico di Milano



TRISTAN tower (9 modules)
optimized for compact insertion
into beamline with cooling
and keeping XUV condition

- New **detector** and **data processing** (from 1 cps/pixel \rightarrow 10^5 cps/pixel)
- New **beamline configuration** with **source modifications**
- New **analysis** methods required and new **systematic** effects involved



Setting up all equipment
in 2025 in a new detector section;
to be swapped in 2026.

2025: Pre-characterization of
TRISTAN detector with calibration
sources

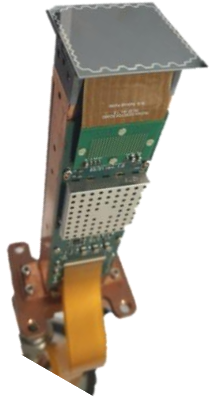
Link to MT

New DAQ & Prepare data processing
(1 TB/s streaming to backend),
data and analysis management
for ~50 TB/a

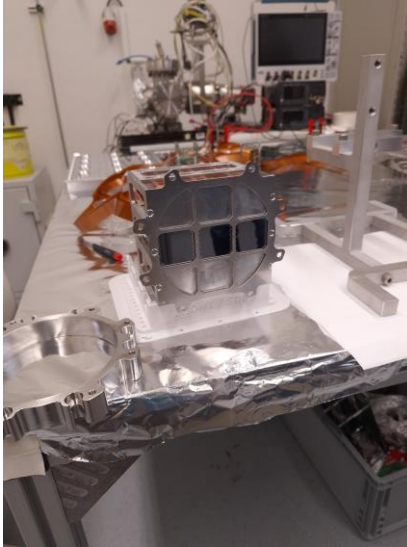


See also appendix

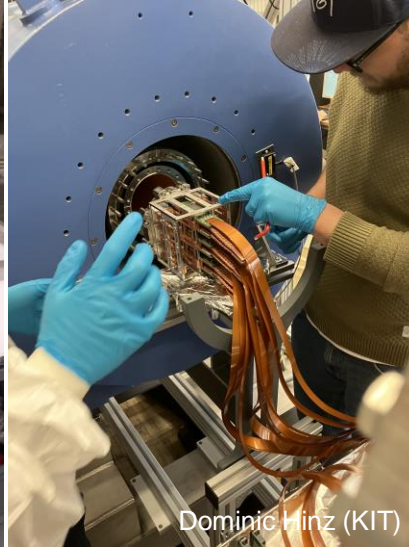
Arrival of first 3 detectors from Munich/Milano



TRISTAN module

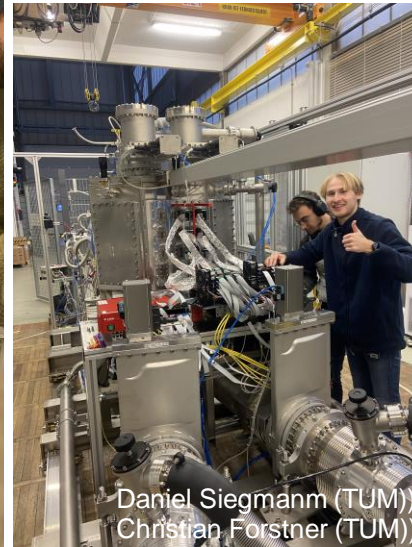


TRISTAN tower with 9 modules (here with 3 modules and 6 dummies)



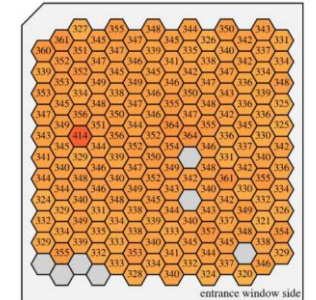
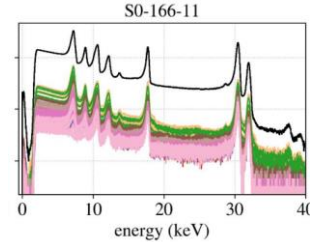
Dominic Hinz (KIT)

Integration into Beamtube inside s.c.solenoid



Daniel Siegmann (TUM)
Christian Forstner (TUM)

Feedthrough chamber for DAQ interface



First Light with solid ^{83}mKr source

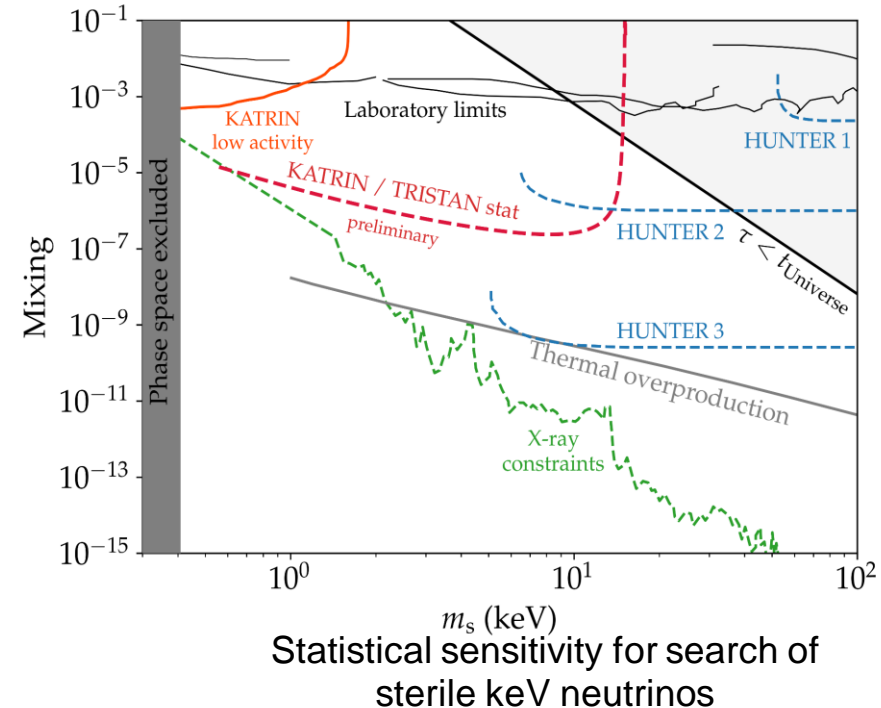
Differential measurements KATRIN with TRISTAN detectors

Unique opportunity for new scientific scope.

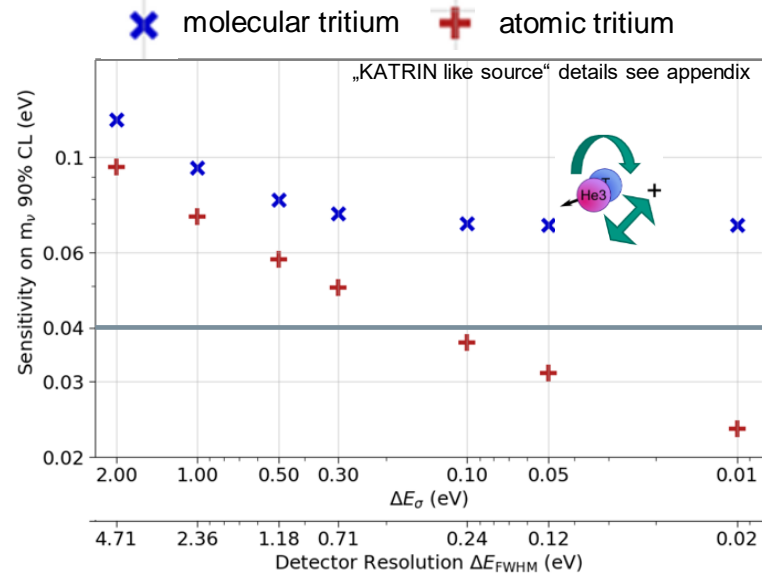
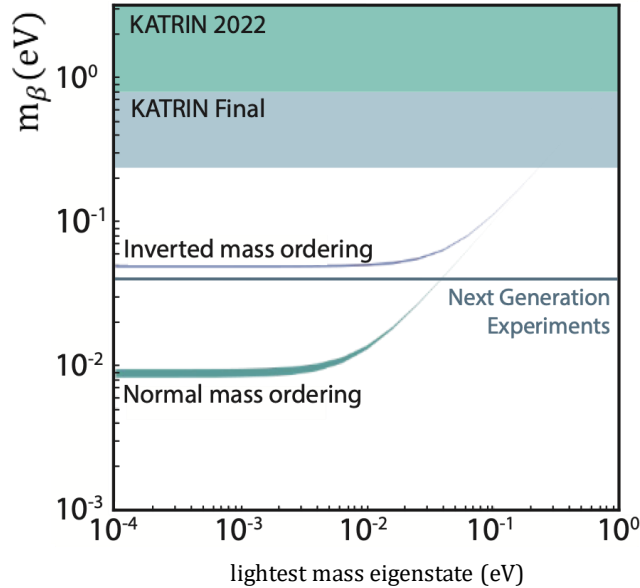
Hardware for installation in 2026 is on schedule.

Additional challenges remain:

(data management, control and **treatment of limiting systematic effects by scattering**, implementation of new analysis & simulation methods)



Prospects for direct neutrino mass measurement



Atomic tritium required

Energy resolution
 $\Delta E_\sigma < 0.3$ eV



KATRIN++

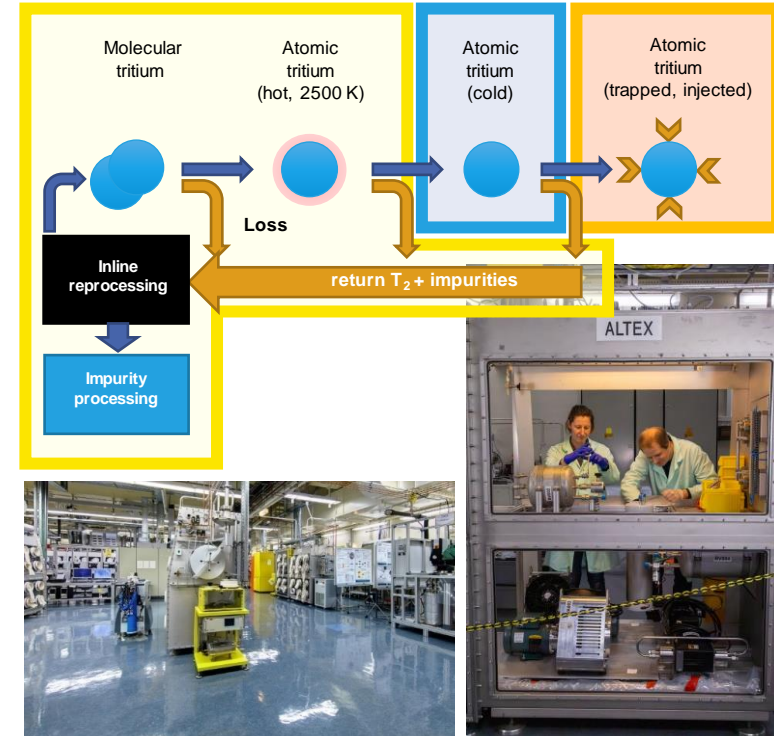
Initial R&D towards KATRIN++

Atomic tritium at TLK

Aim for investigation

- Develop atom cooling mechanism
- Trapping times / max. densities
- Interplay of beta-driven plasma (meV–eV) and ultra-cold trapped atoms (neV)

Tritium atom throughput on the order of 10 g/day (c.f. KATRIN: 40 g/day)



Initial R&D towards KATRIN++

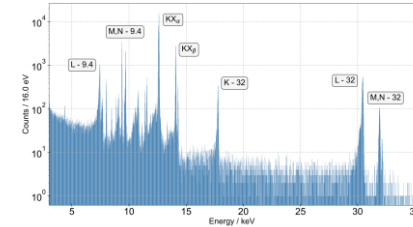
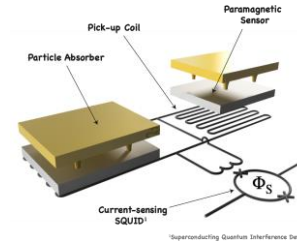
Cross-topic activity with MT,
Contribution to InnoPool
QS4Physics



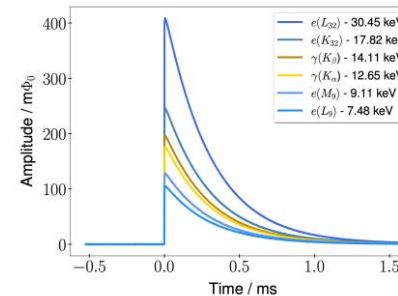
Quantum Sensors

Aim for investigation

- Find suitable quantum sensors for energy measurement or Time-of-Flight methods
- Windowless coupling of mK-sensors to source/spectrometer on higher temperature
- Operation in magnetic fields (> 20 mT)
- Scaleability to sensitive areas ≈ 100 cm²



Candidate: Metallic Magnetic Microcalorimeters (MMCs)



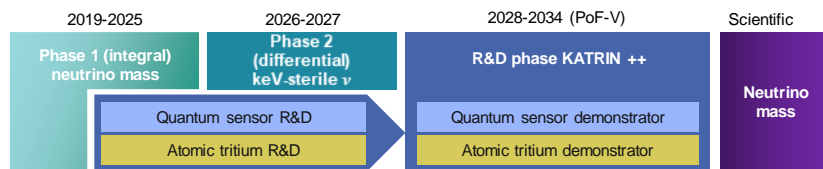
Lab-book (S. Kempf, IMS)
Demonstration of identical response of MMCs to photons and electrons. ✓
(publication in preparation)

PoF-V

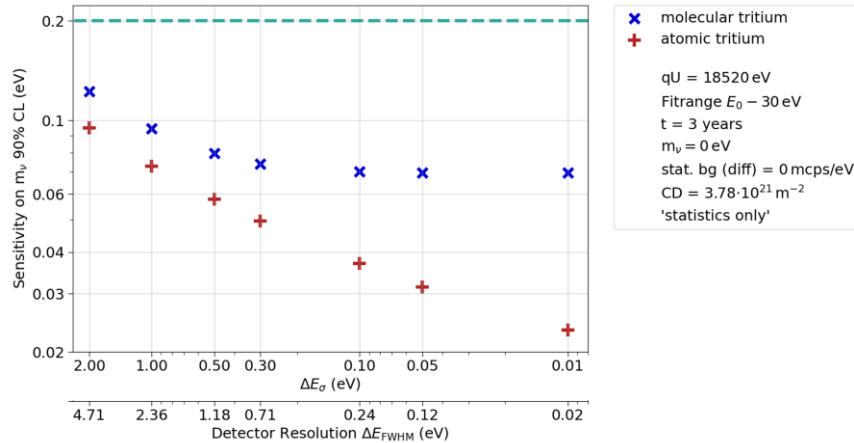
Use established MAC-E filter and KATRIN source technology to characterize quantum sensors
Use expertise and technical capabilities of existing TLK to develop atomic tritium source

Summary

- Release of $m < 450$ meV (90% C.L.) based on ~20% of anticipated total data
- **Stable operation** of KATRIN & TLK:
80% of data for final analysis are on disc.
- Preparation in full swing for KATRIN with TRISTAN detectors → **new physics program**
- **Atomic tritium** and **quantum sensors** are key technologies for next generation (KATRIN++).
Initial R&D started as seed for PoF-V.



Appendix



Input parameters for sensitivity simulation



This photo has been mirrored for better comparativity to other photo of slide.