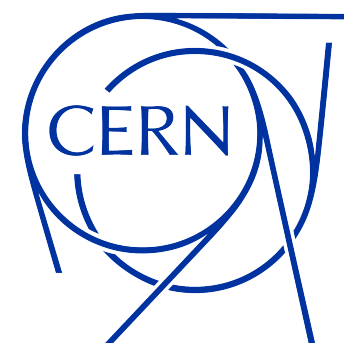


NLL Shower Accuracy

Terascale Monte Carlo School,
DESY Hamburg, 27 November 2025

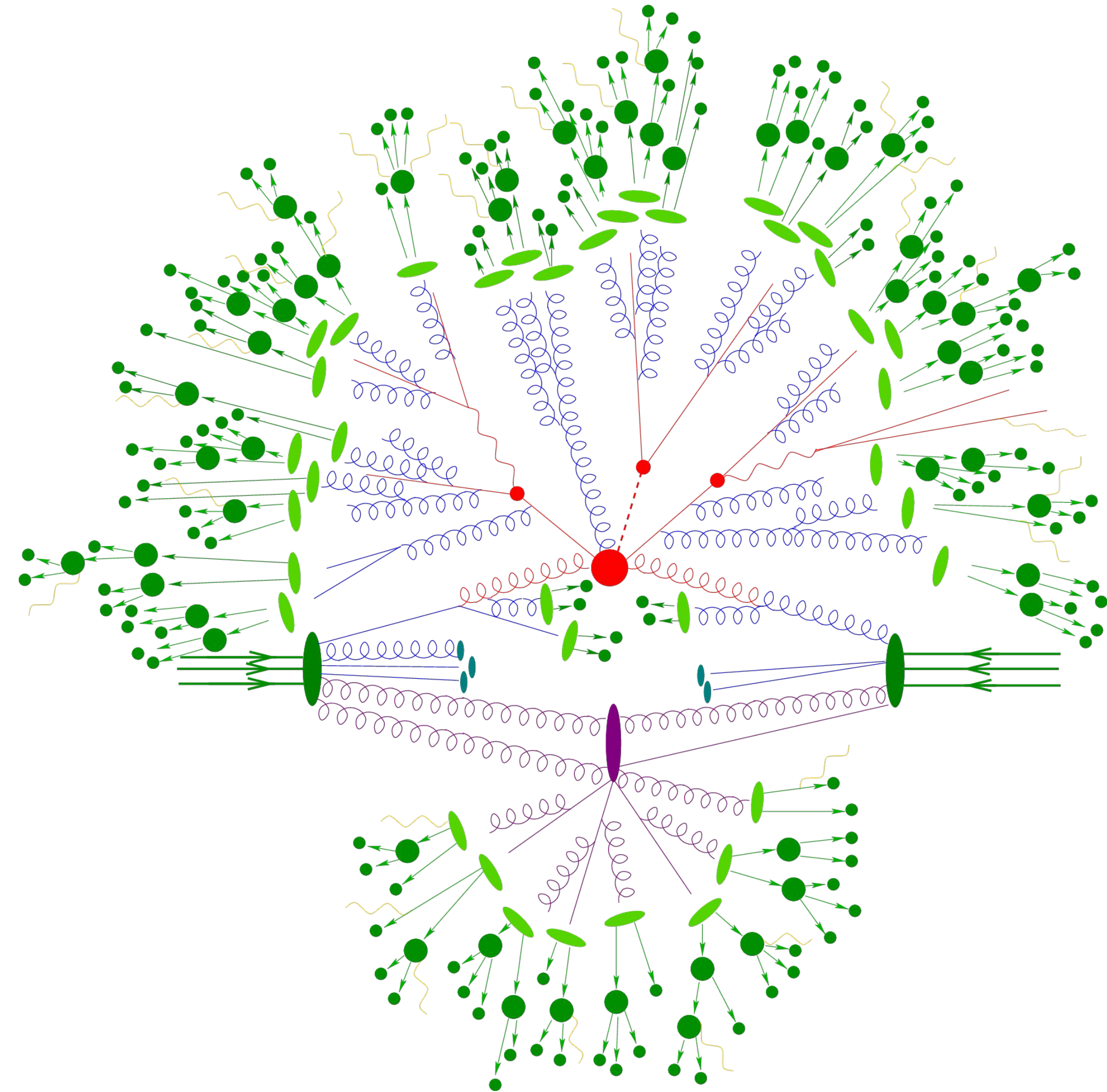
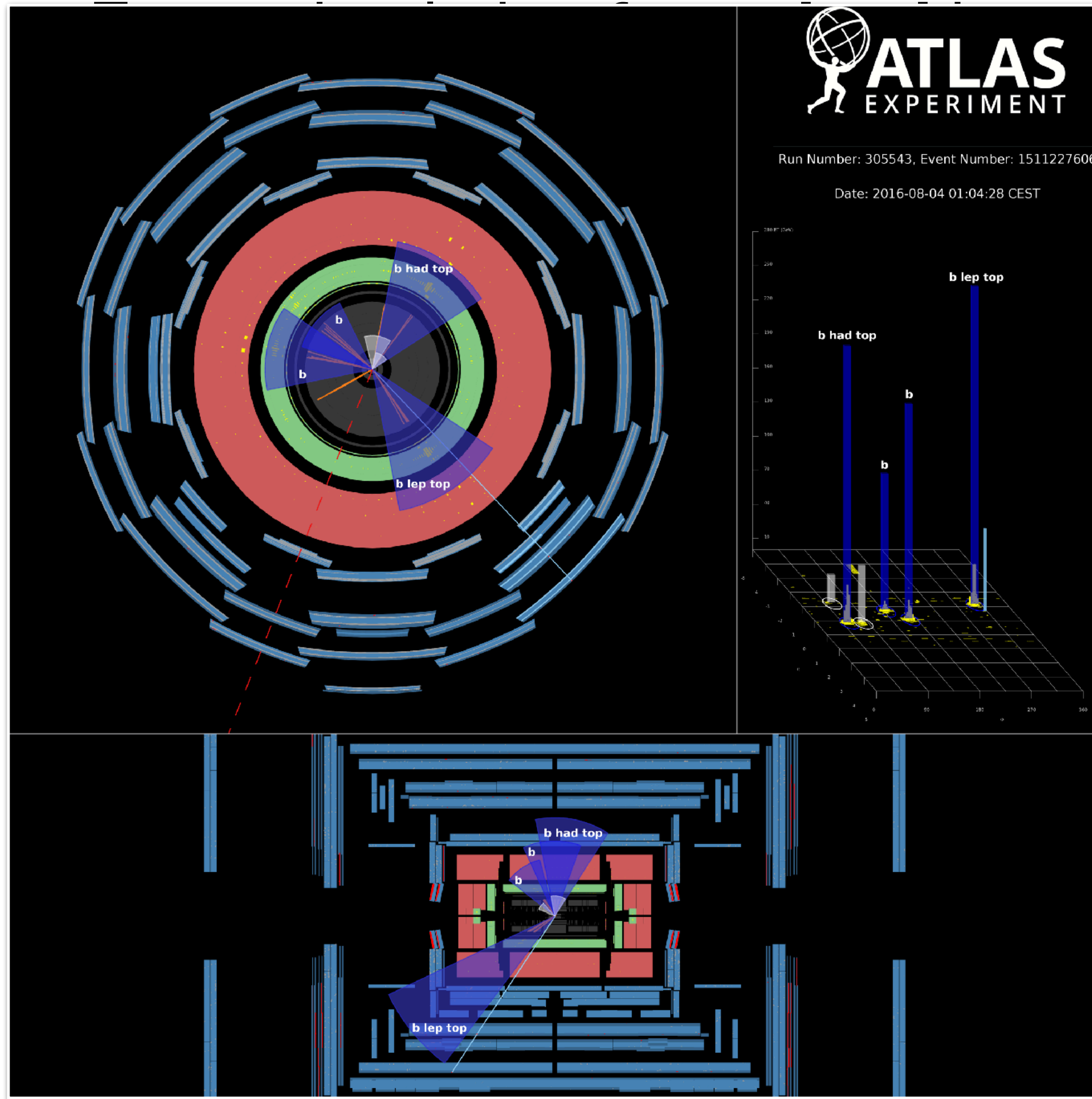
Daniel
Reichelt



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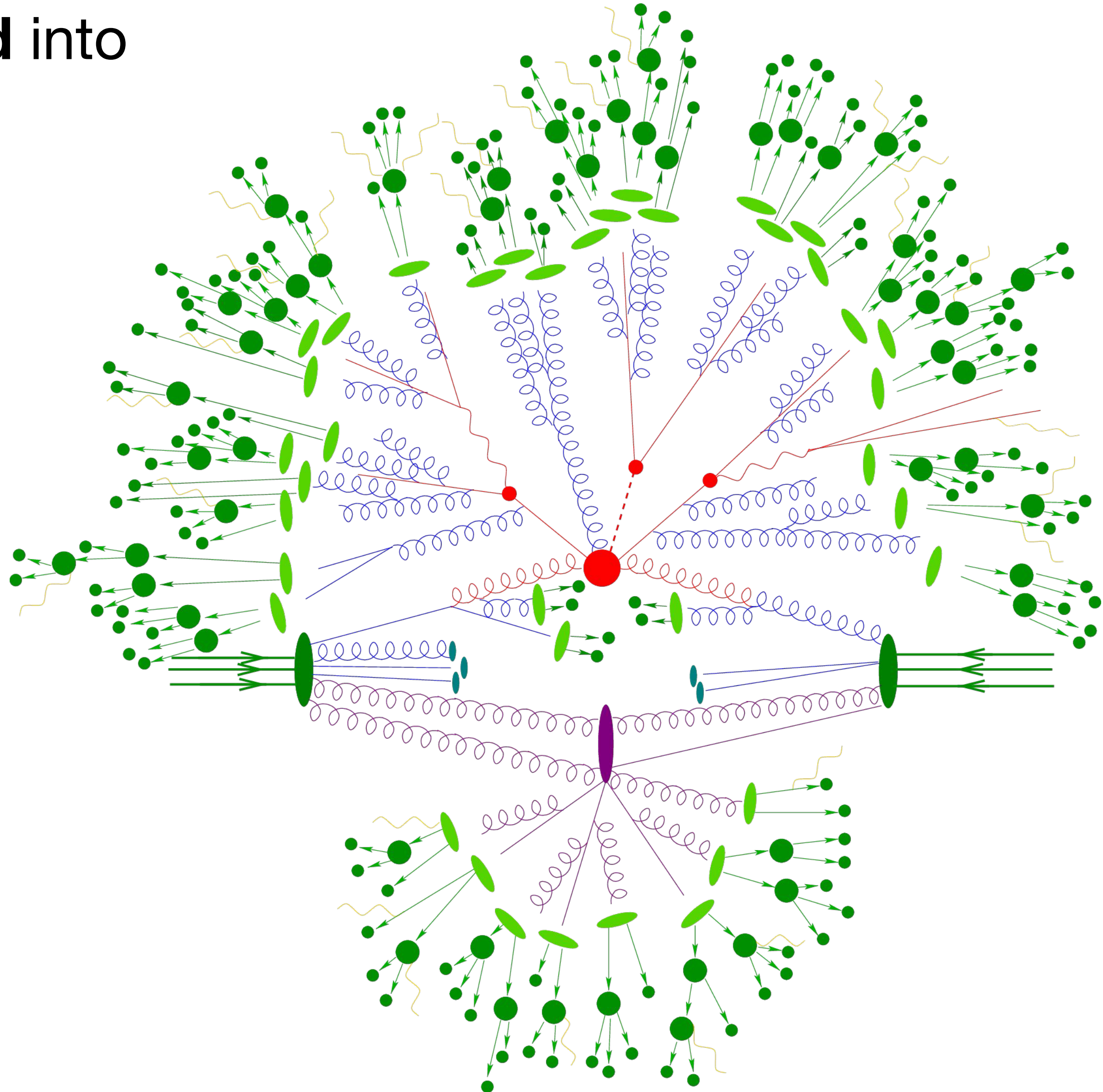


Event Generators



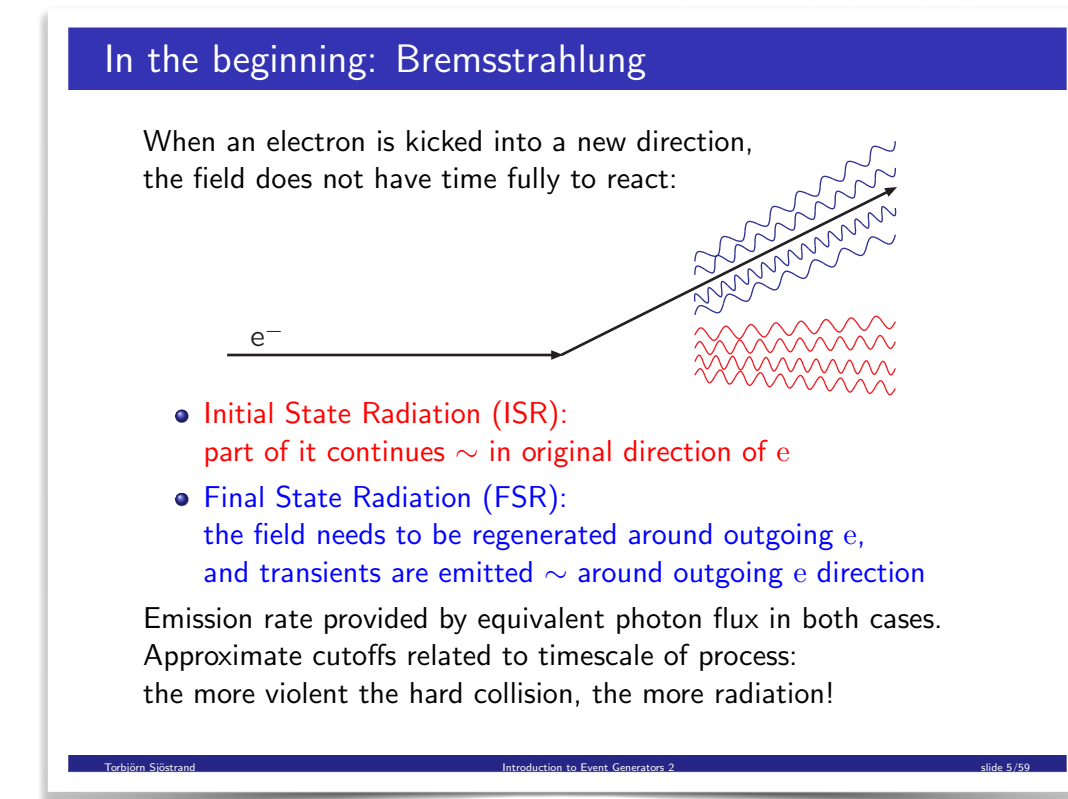
Event Generators

- Event simulation **factorised** into
 - **Hard Process**
 - **Parton Shower**
 - **PDF/Underlying event**
 - **Hadronisation**
 - **Hadron Decays**



Intro

- We know a lot about field theory and QCD in certain cases and limits, PS is an attempt to do our best in all limits at once
- Compatibility with semi-classical Bremsstrahlung
- General behavior of FO matrix elements
- Collinear limits \rightarrow fragmentation functions follow DGLAP evolution
- Soft+Collinear limits \rightarrow reproduce results from resummed calculations for certain (classes of) observables
- What I will try here:
 - Go through theoretical arguments entering shower development, with eye on previous lectures, try to pin-point relations



Reading (and sources for this lecture)

- See lecture 1 for standard particle/collider physics books:

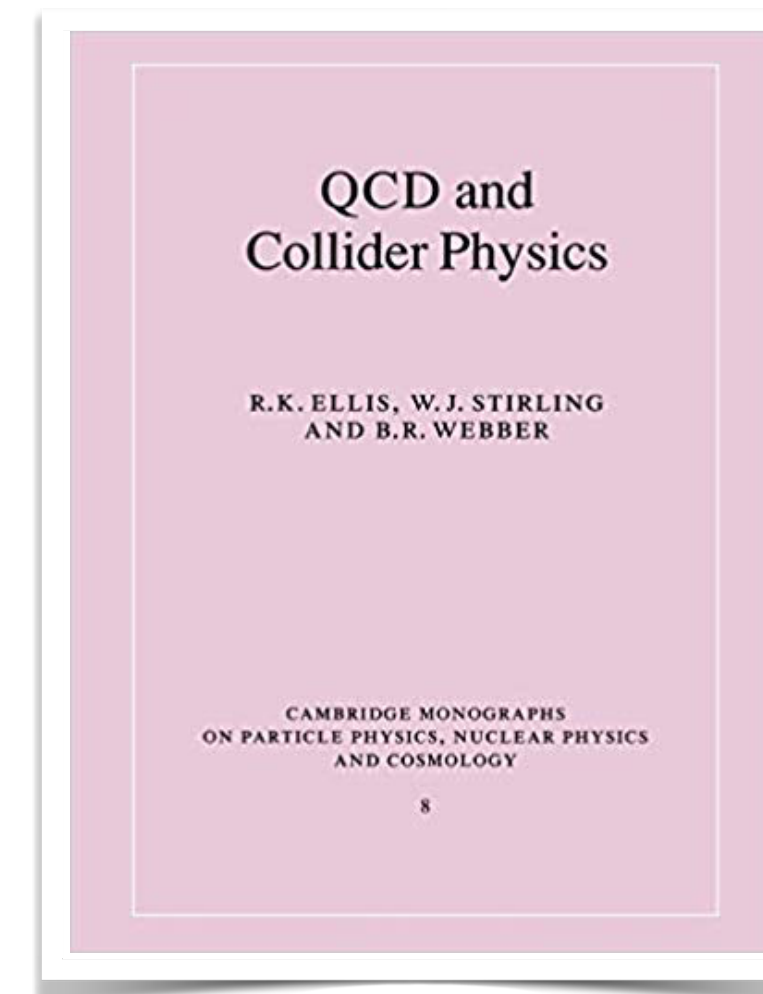
Event generator literature

- A. Buckley et al., “General-purpose event generators for LHC physics”, Phys. Rep. 504 (2011) 145, arXiv:1101.2599 [hep-ph], 89 pp
- J.M. Campbell et al., “Event Generators for High-Energy Physics Experiments”, for Snowmass 2021, arXiv:2203.11110 [hep-ph], 153 pp
- C. Bierlich et al., “A comprehensive guide to the physics and usage of PYTHIA 8.3”, SciPost PhysCodeb 2022, 8, arXiv:2203.11601 [hep-ph], 315 pp
- MCnet annual summer schools Monte Carlo network from ~ 10 European universities, see further <https://www.montecarlonet.org/>, with 2026 school at CERN, 31 May — 5 June
- Other schools arranged by CTEQ, DESY, CERN, ...

Textbook literature examples

- B.R. Martin and G. Shaw, “Particle Physics”, Wiley (2017, 4th edition)
- G. Kane, “Modern Elementary Particle Physics”, Cambridge University Press (2017, 2nd edition)
- D. Griffiths, “Introduction to Elementary Particles”, Wiley (2008, 2nd edition)
- M. Thomson, “Modern Particle Physics”, Cambridge University Press (2013)
- A. Rubbia, “Phenomenology of Particle Physics”, Cambridge University Press (2022) (1100 pp!)
- P. Skands, “Introduction to QCD”, arXiv:1207.2389 [hep-ph] (v5 2017)
- G. Salam, “Toward Jetography”, arXiv:0906.1833 [hep-ph]

- “QCD and Collider Physics”
- “Introduction to Parton Shower Event Generators” TASI lecture by Stefan Höche



Terascale MC School 2025

Recap: Unitary Parton Shower Algorithms

- Start with state (i.e. ingoing and outgoing partons with specified momentum, flavour and charges) determined by hard process
- Given some probability to split a parton, assume unitarity, i.e.

$$\begin{aligned} P(\text{no splitting}) &= 1 - P(\text{any splittings}) = 1 - \sum_n P(n \text{ ordered splitting}) \\ &= 1 - \sum_n \frac{1}{n!} P^n(\text{one splitting}) = \exp\left(-P(\text{one splitting})\right) \end{aligned}$$

- Select new scale and kinematics according to this, produce splitting
- Repeat until we reach some cutoff scale

Recap: Unitary Parton Shower Algorithms

- Start with state (i.e. ingoing and flavour and charges) determined

- Given some probability to split

$$P(\text{no splitting}) = 1 - P(\text{any split})$$

$$= 1 - \sum_n \frac{1}{n!} P^n(\text{one})$$

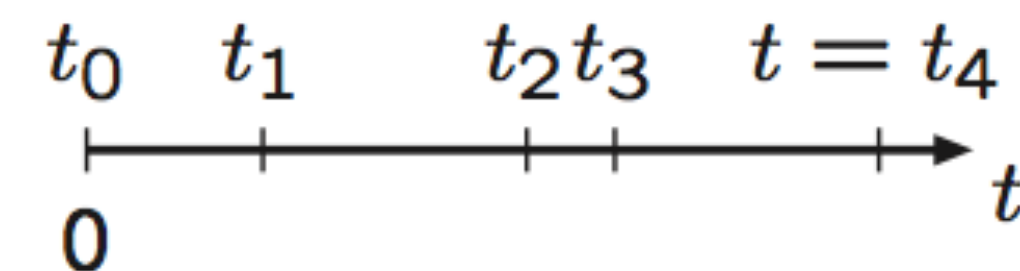
- Select new scale and kinematics
- Repeat until we reach some cut

Reminder: Algorithmically solved by veto algorithm, see lecture by Torbjörn!

The veto algorithm: solution

The veto algorithm

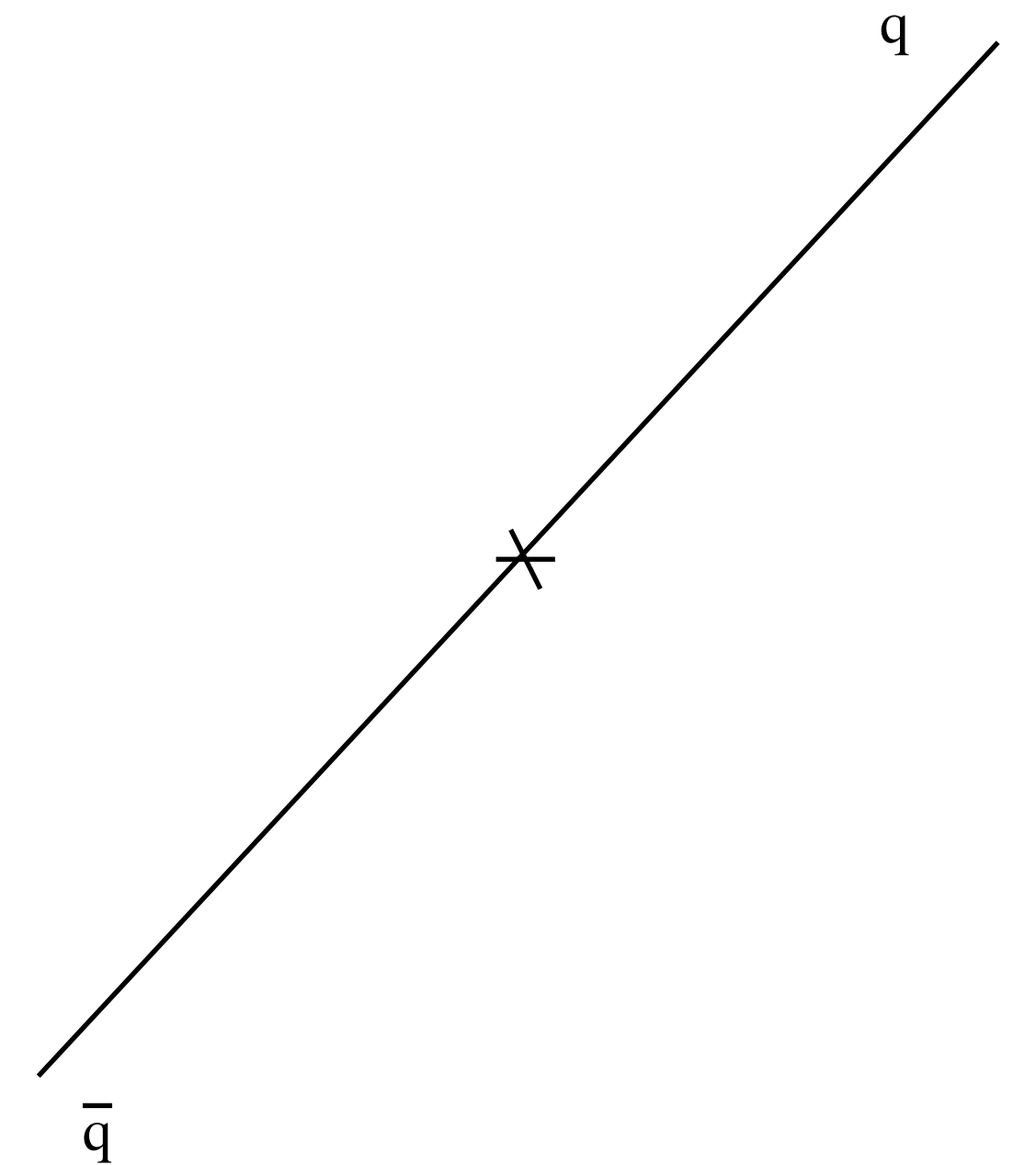
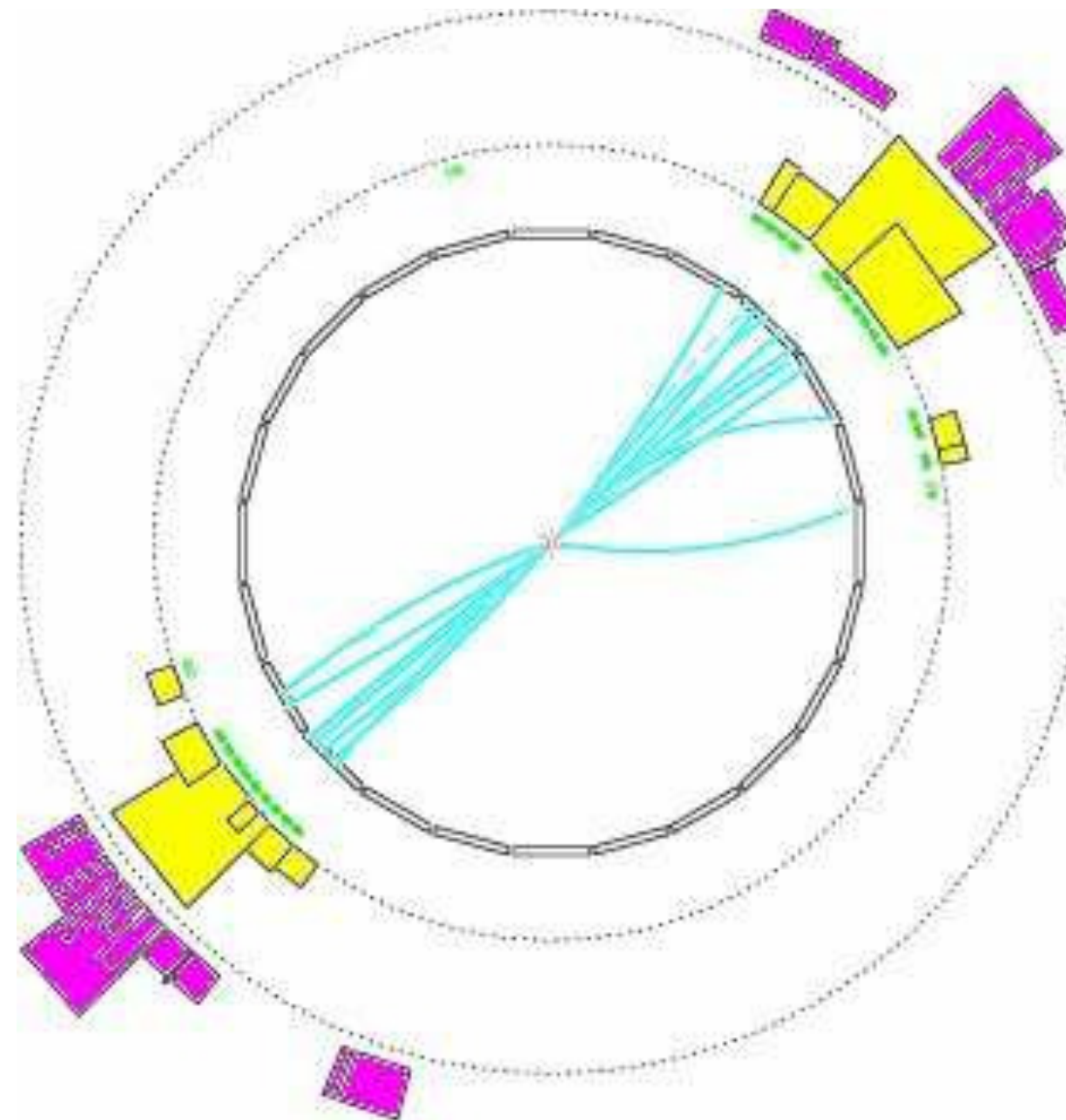
- 1 start with $i = 0$ and $t_0 = 0$
- 2 $i = i + 1$
- 3 $t = t_i = G^{-1}(G(t_{i-1}) - \ln R)$, i.e. $t_i > t_{i-1}$
- 4 $y = R g(t)$
- 5 while $y > f(t)$ cycle to 2



That is, when you fail, you keep on going from the time when you failed, and *do not* restart at time $t = 0$. (Memory!)

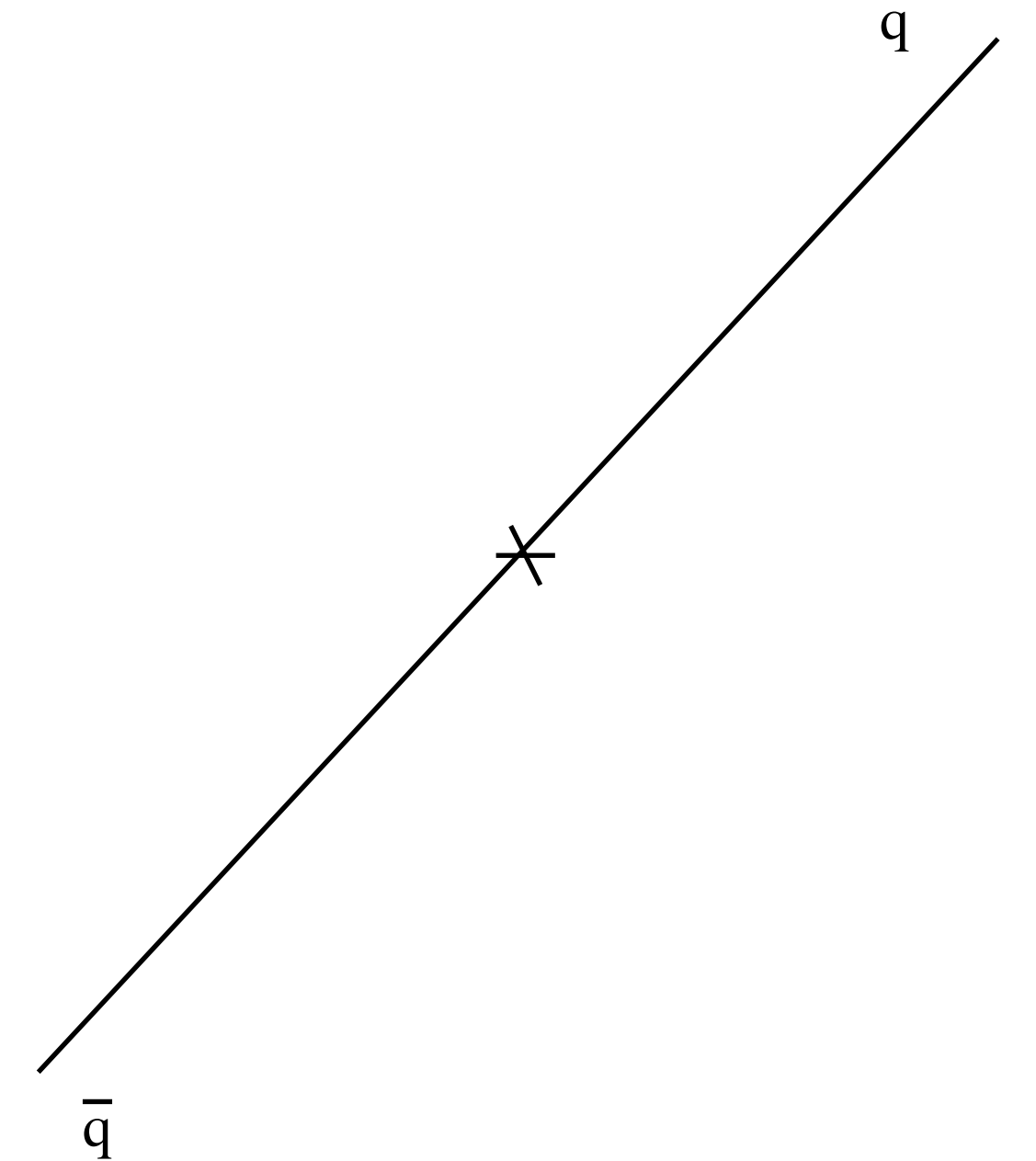
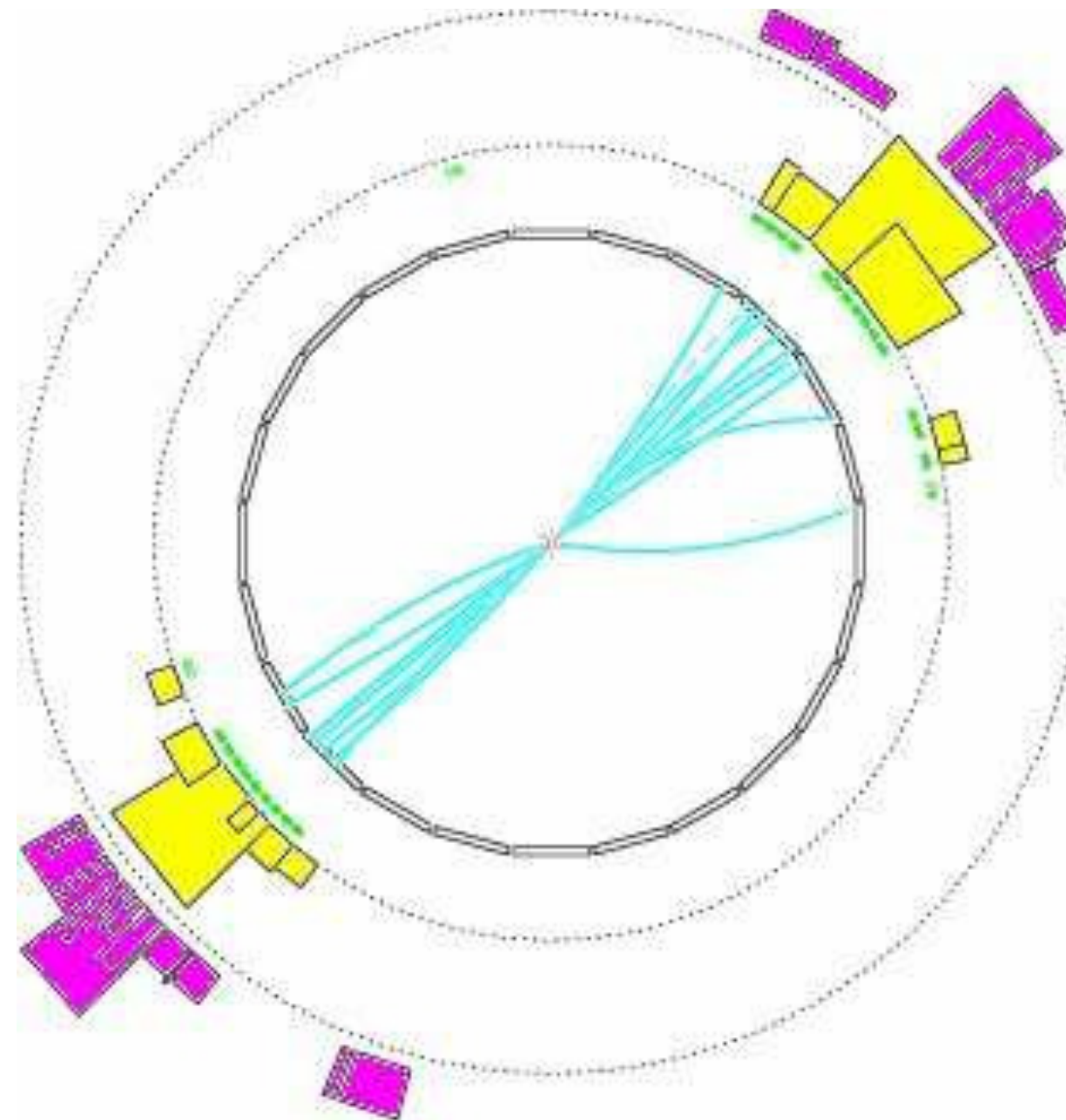
jet evolution - qualitative picture

- Start from simple partonic state



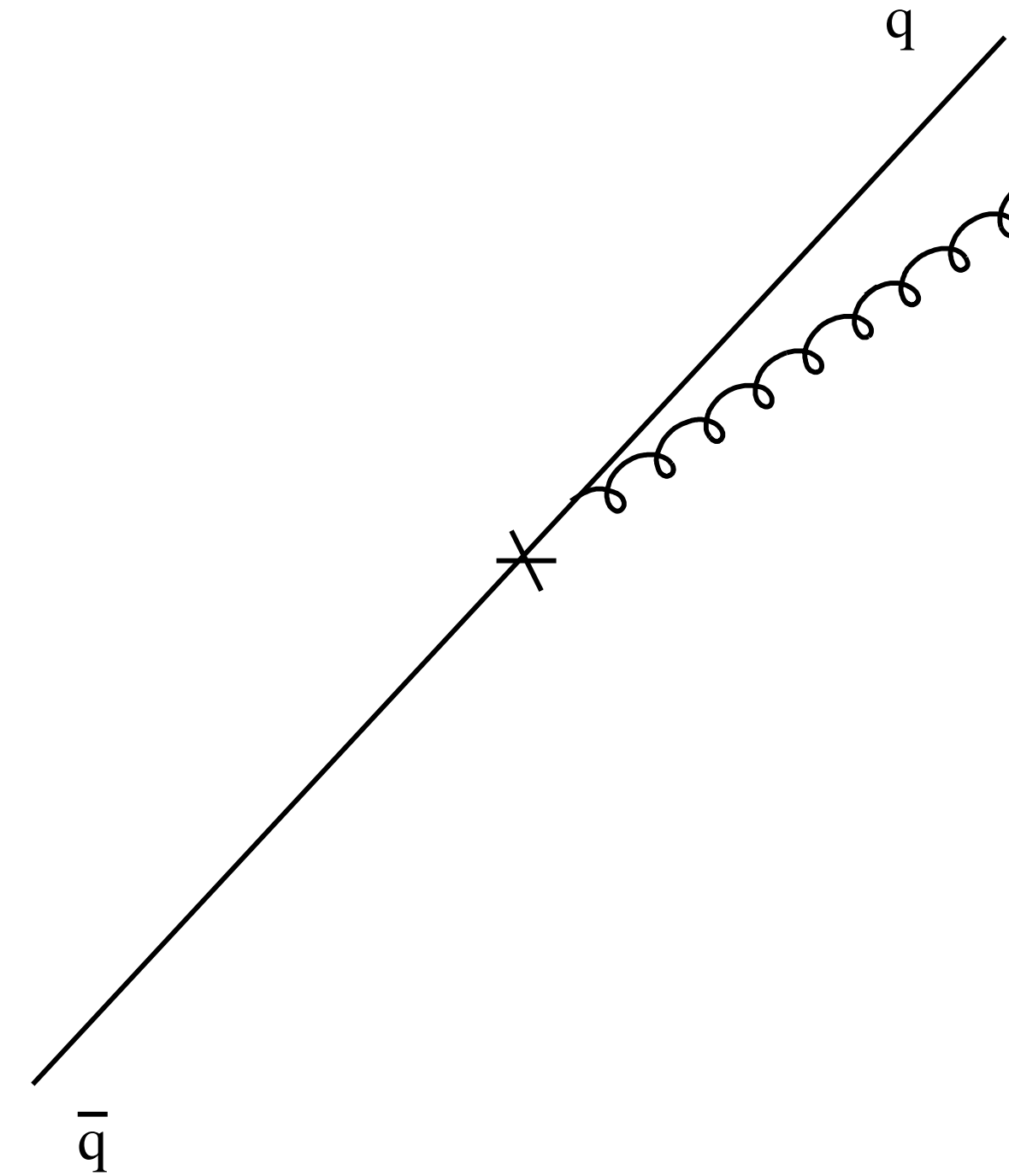
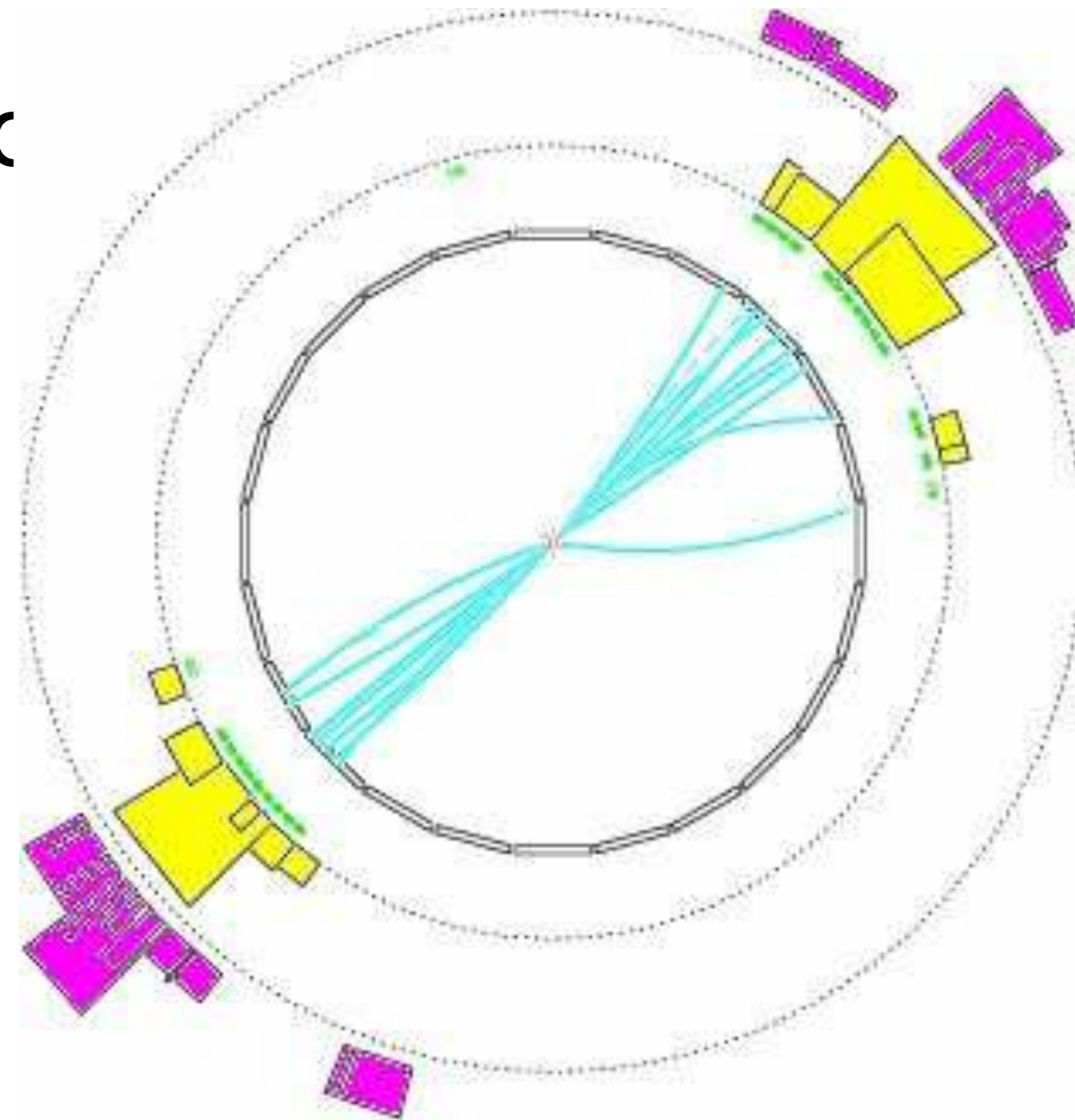
jet evolution - qualitative picture

- Start from simple partonic state
- determine new splitting scale and kinematics according to “no-emission probability”



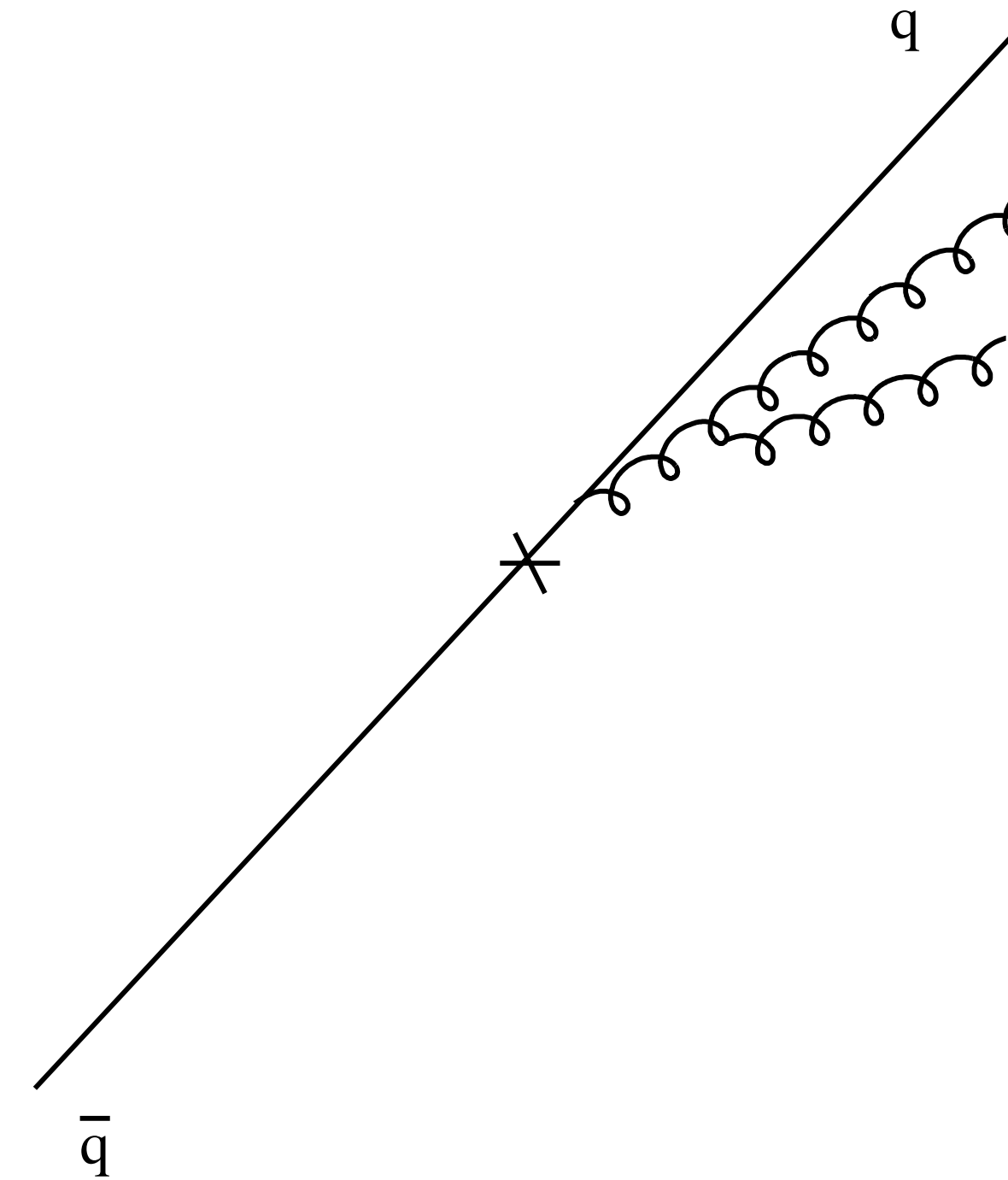
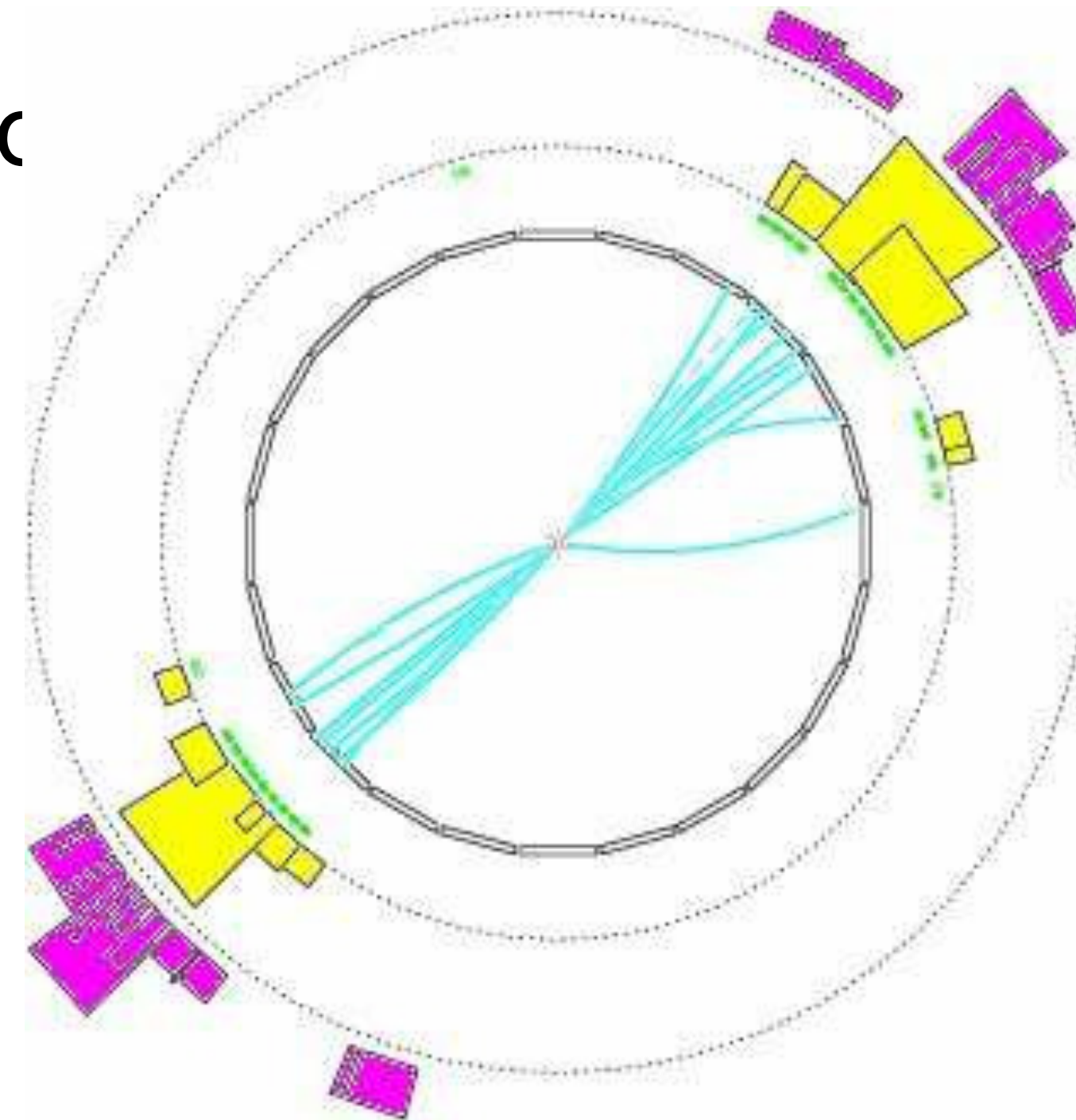
jet evolution - qualitative picture

- Start from simple partonic state
- determine new splitting scale and kinematics according to “no-emission probability”
- produce new state with additional gluon



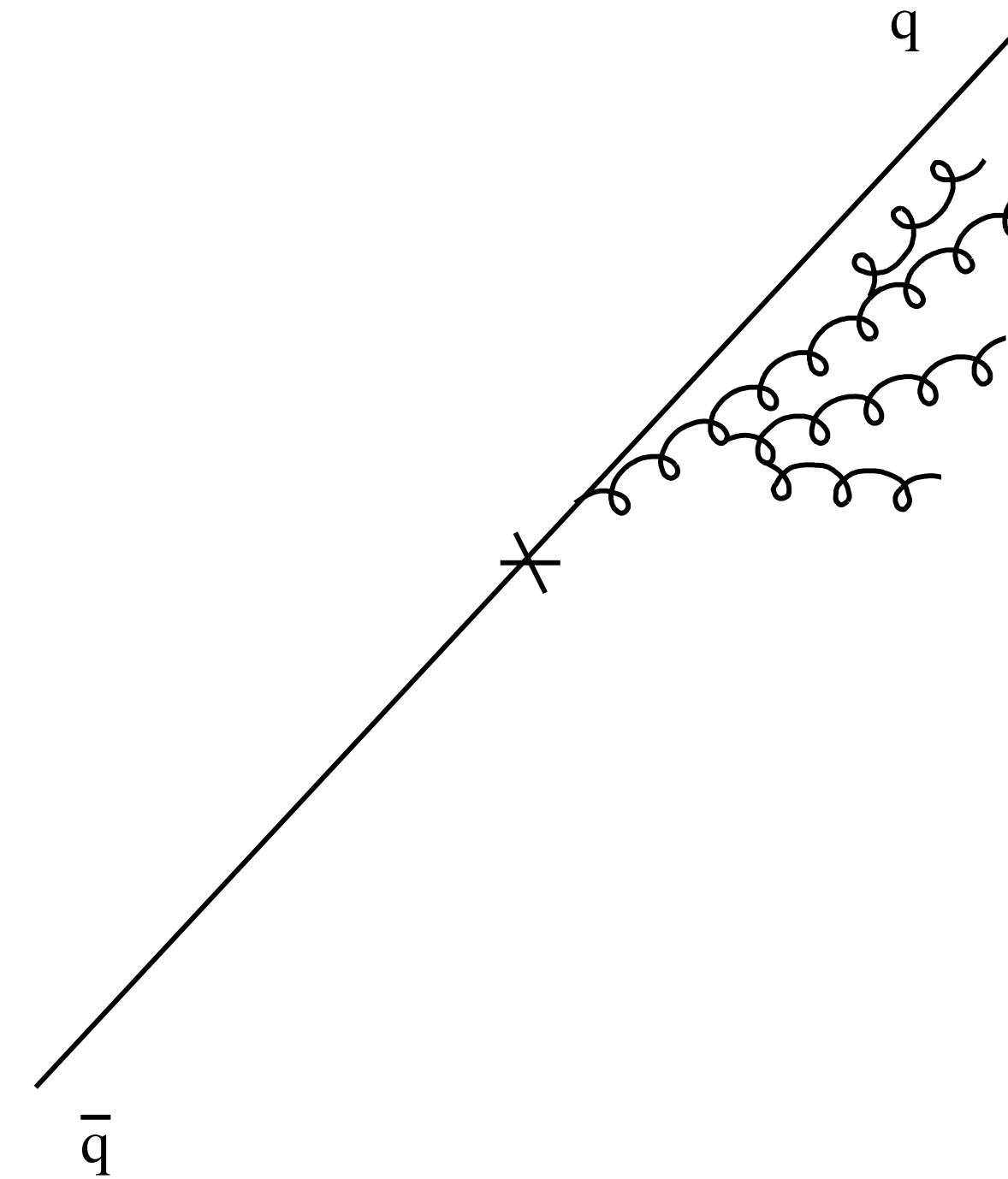
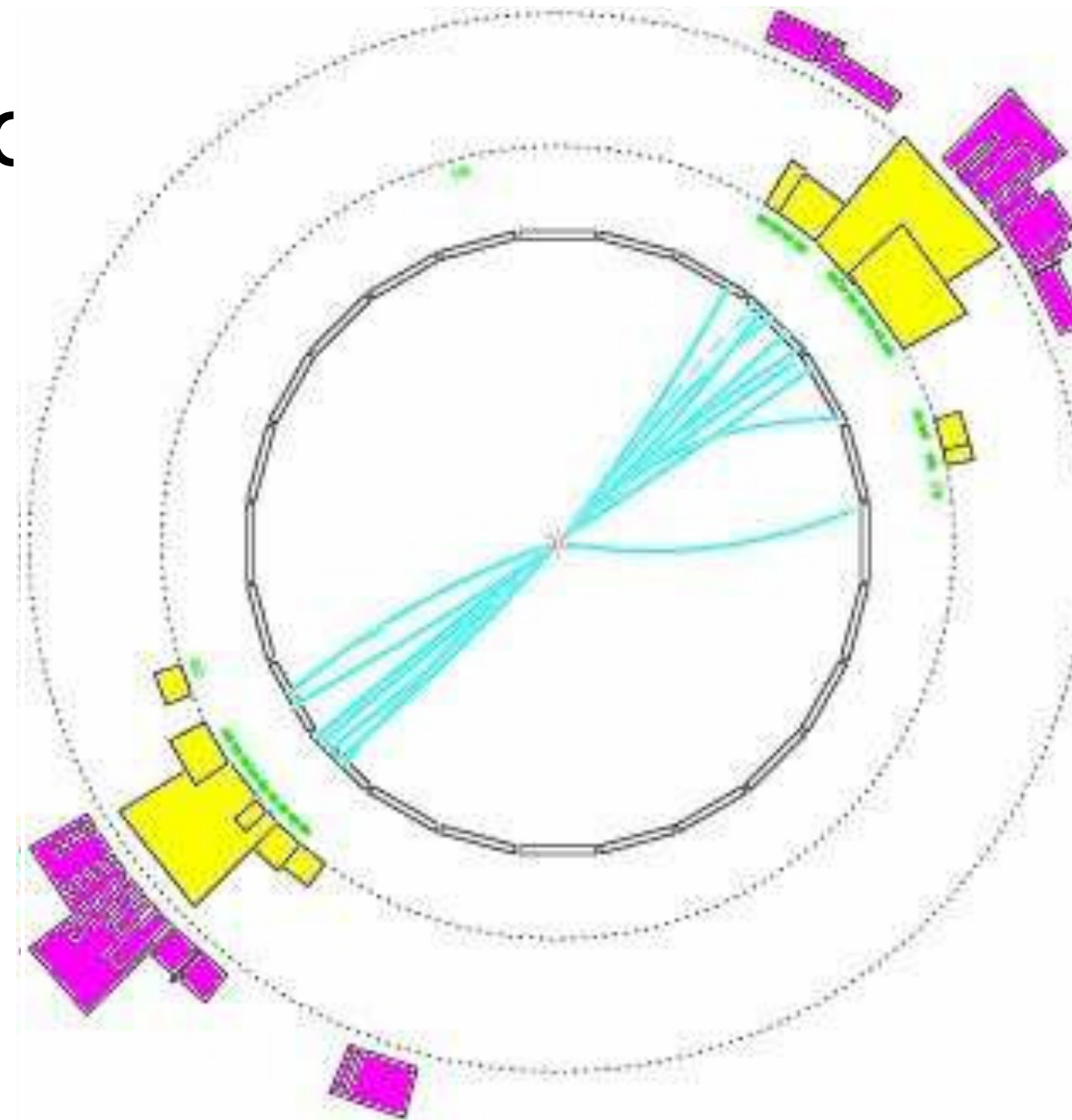
jet evolution - qualitative picture

- Start from simple partonic state
- determine new splitting scale and kinematics according to “no-emission probability”
- produce new state with additional gluon
- iterate!



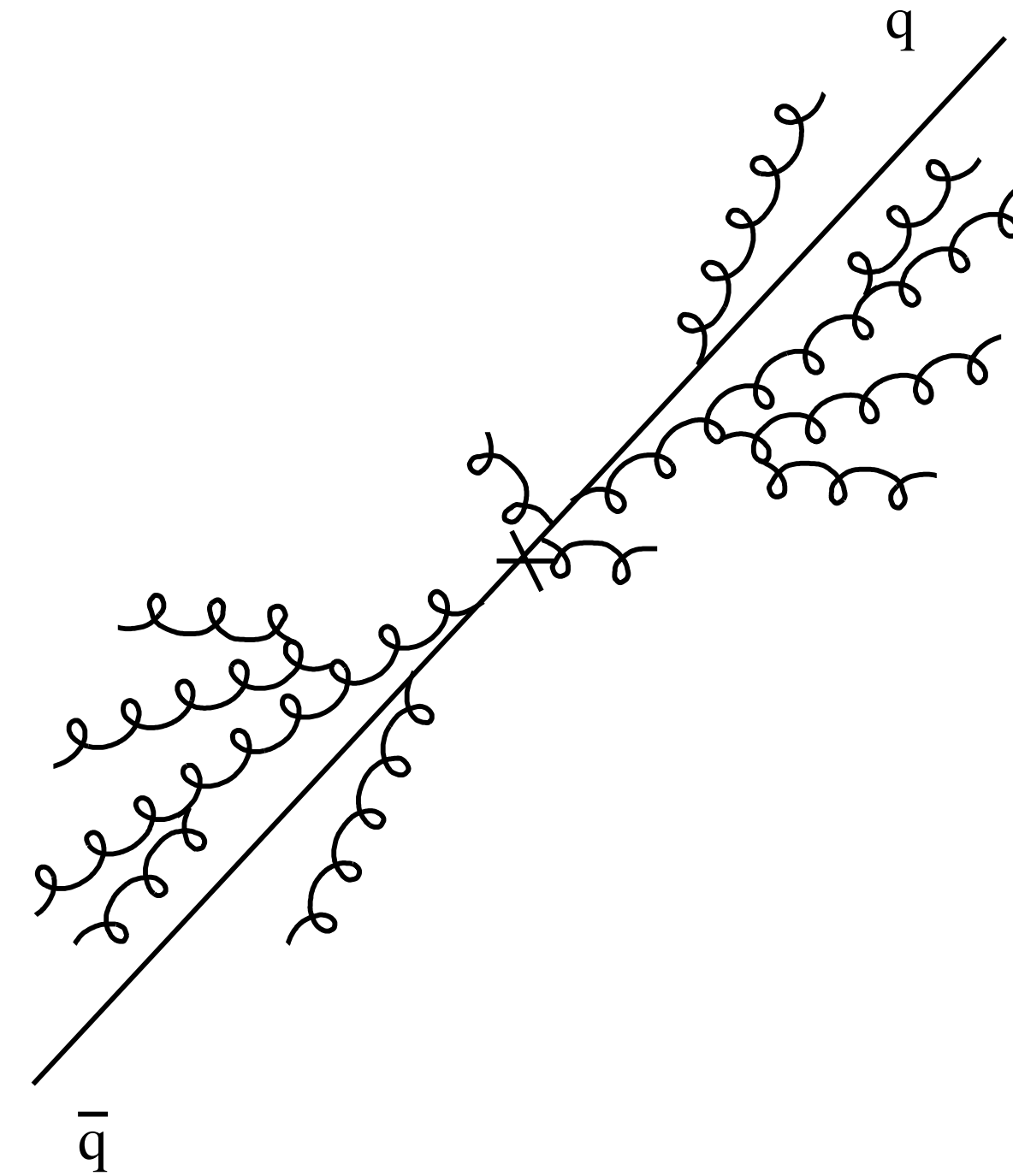
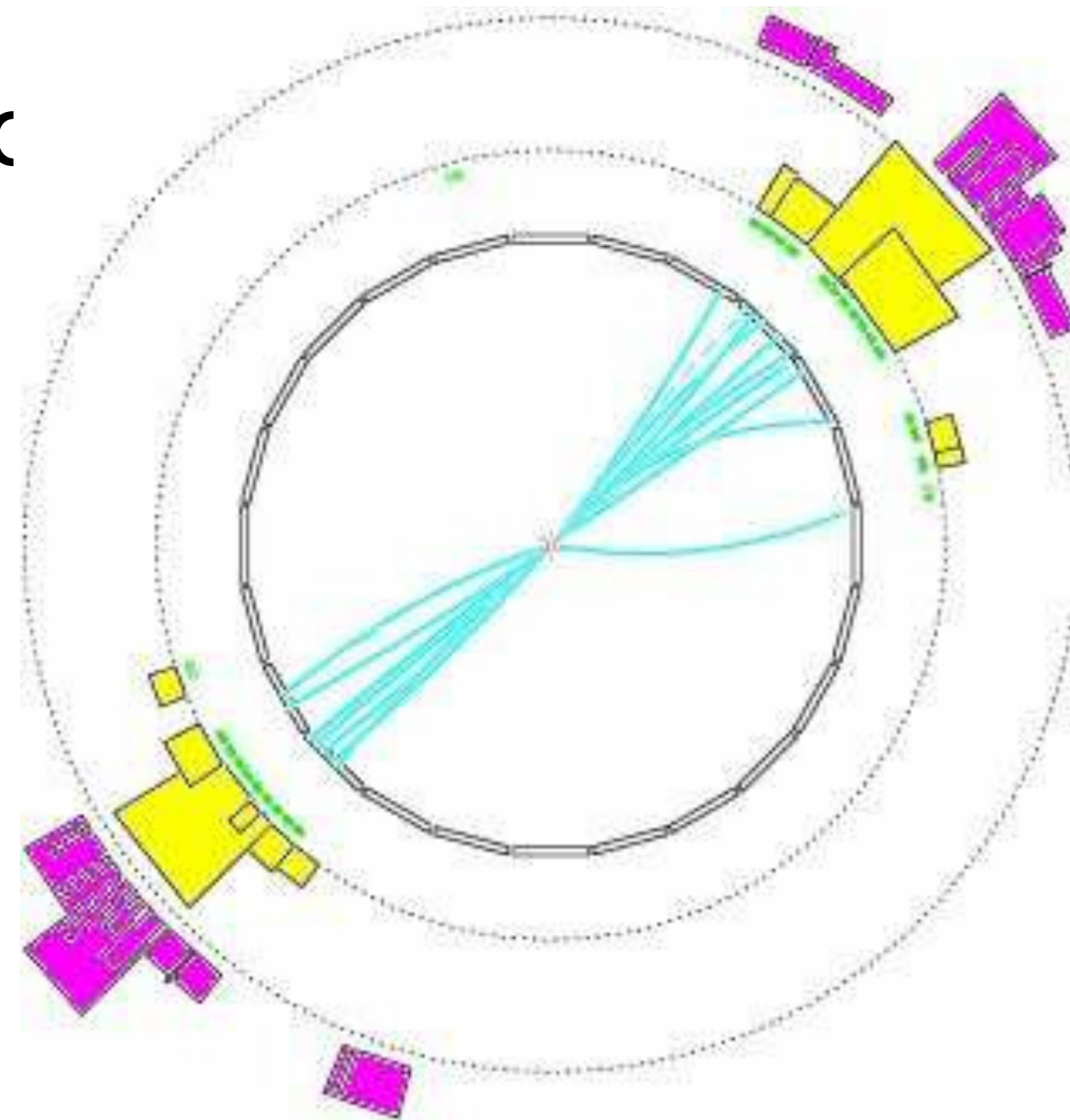
jet evolution - qualitative picture

- Start from simple partonic state
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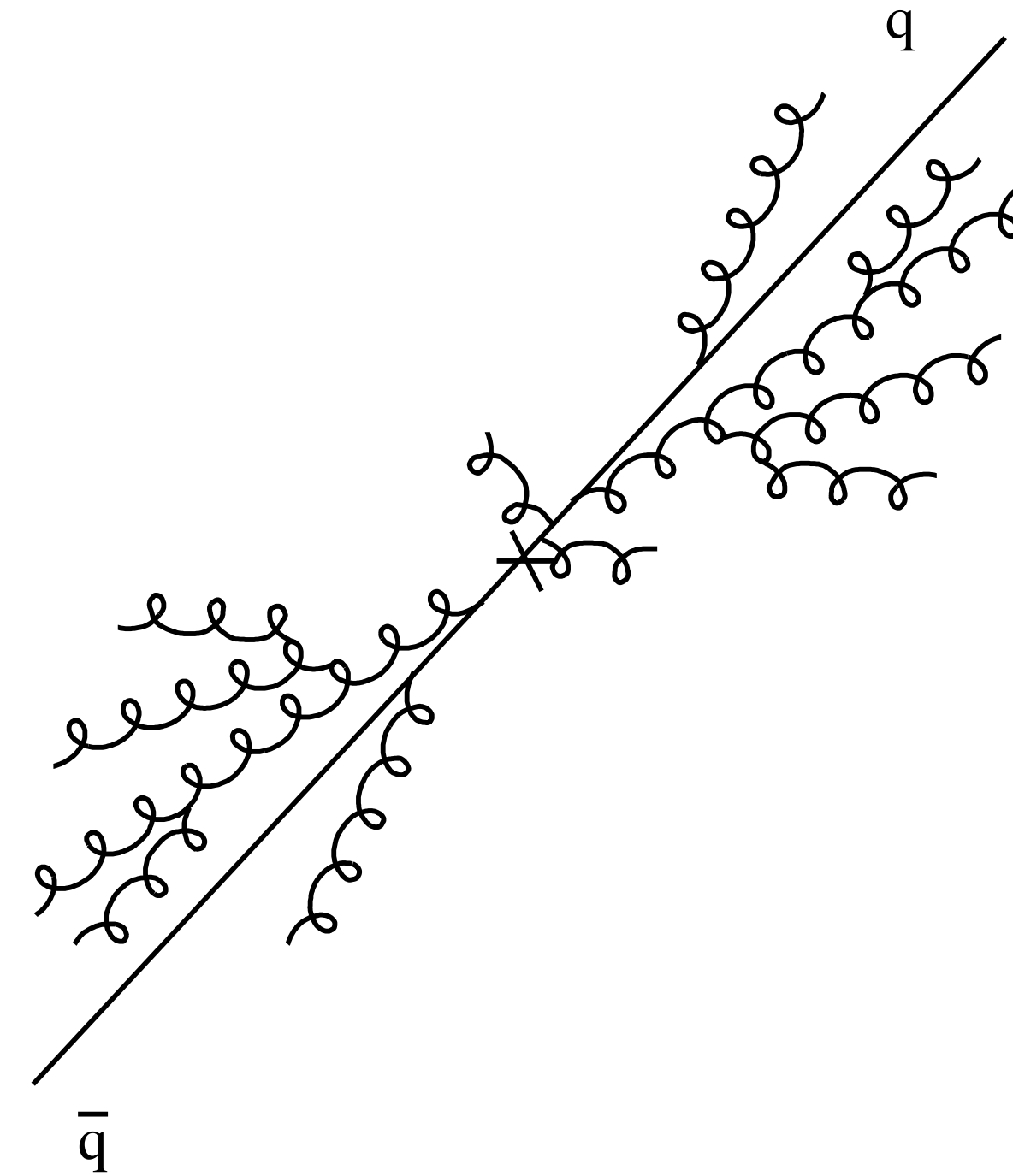
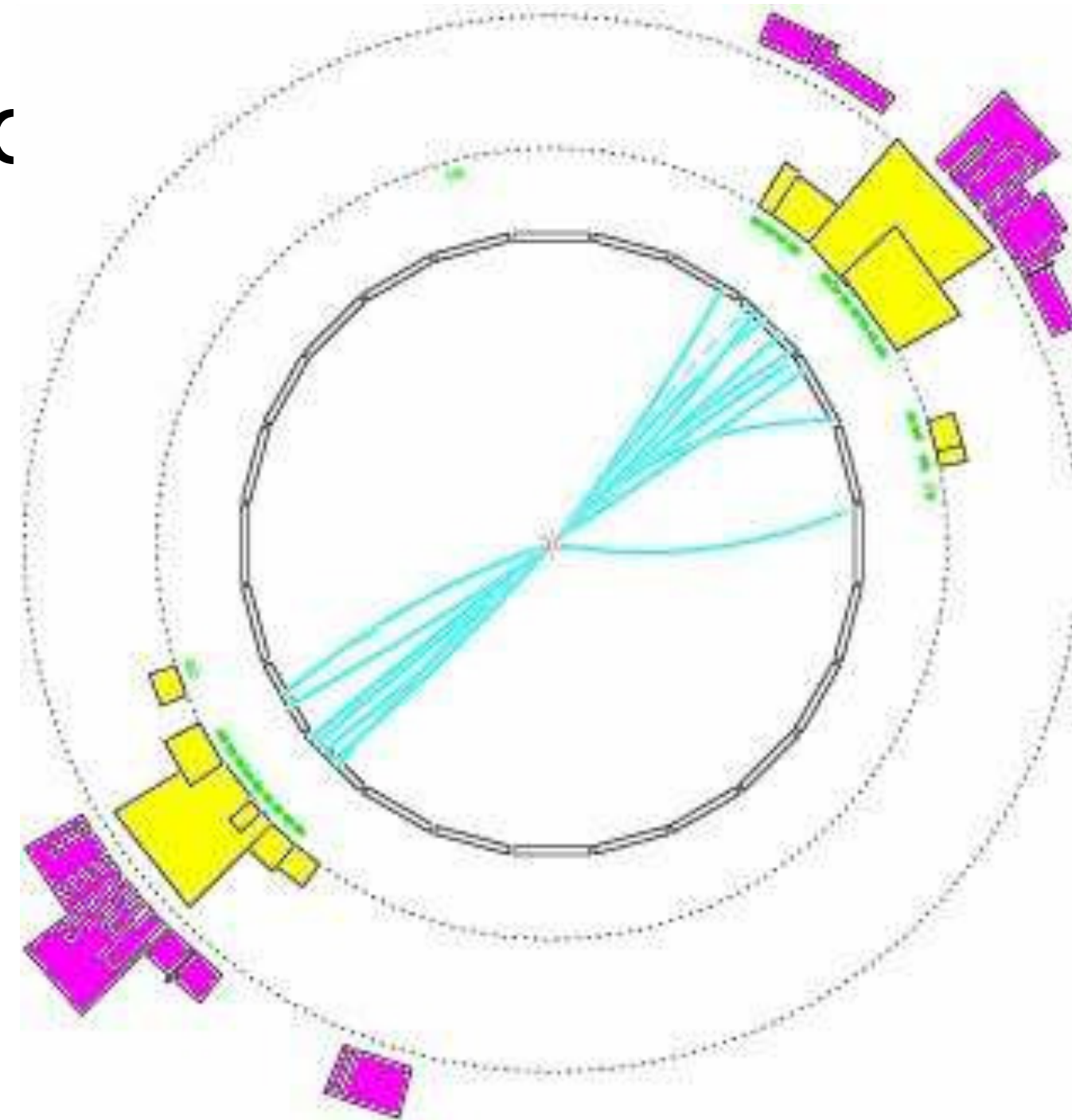
jet evolution - qualitative picture

- Start from simple partonic state
- determine new splitting scale and kinematics according to “no-emission probability”
- produce new state with additional gluon
- iterate!



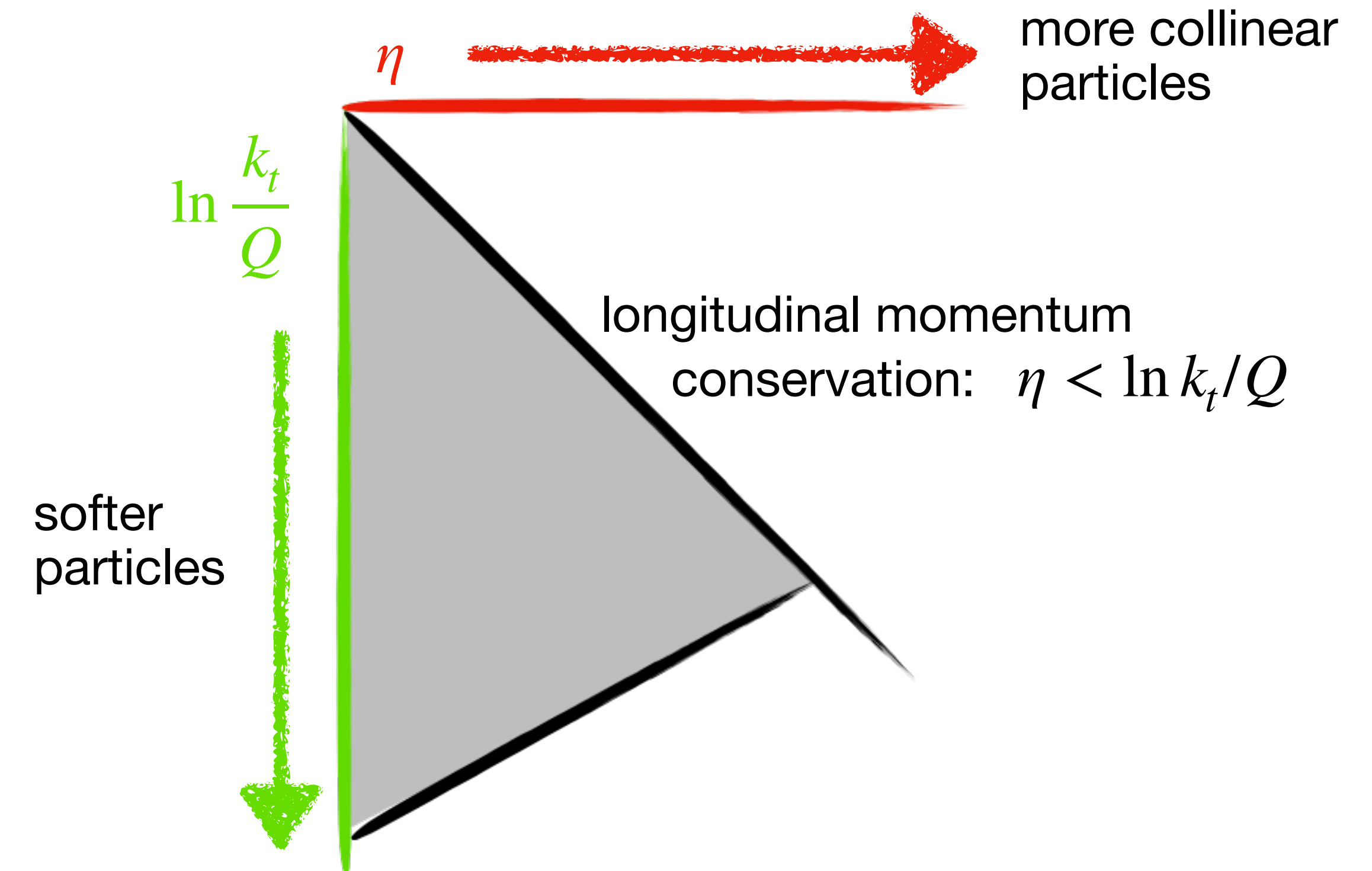
jet evolution - qualitative picture

- Start from simple partonic state
- determine new splitting scale and kinematics according to “no-emission probability”
- produce new state with additional gluon
- iterate!



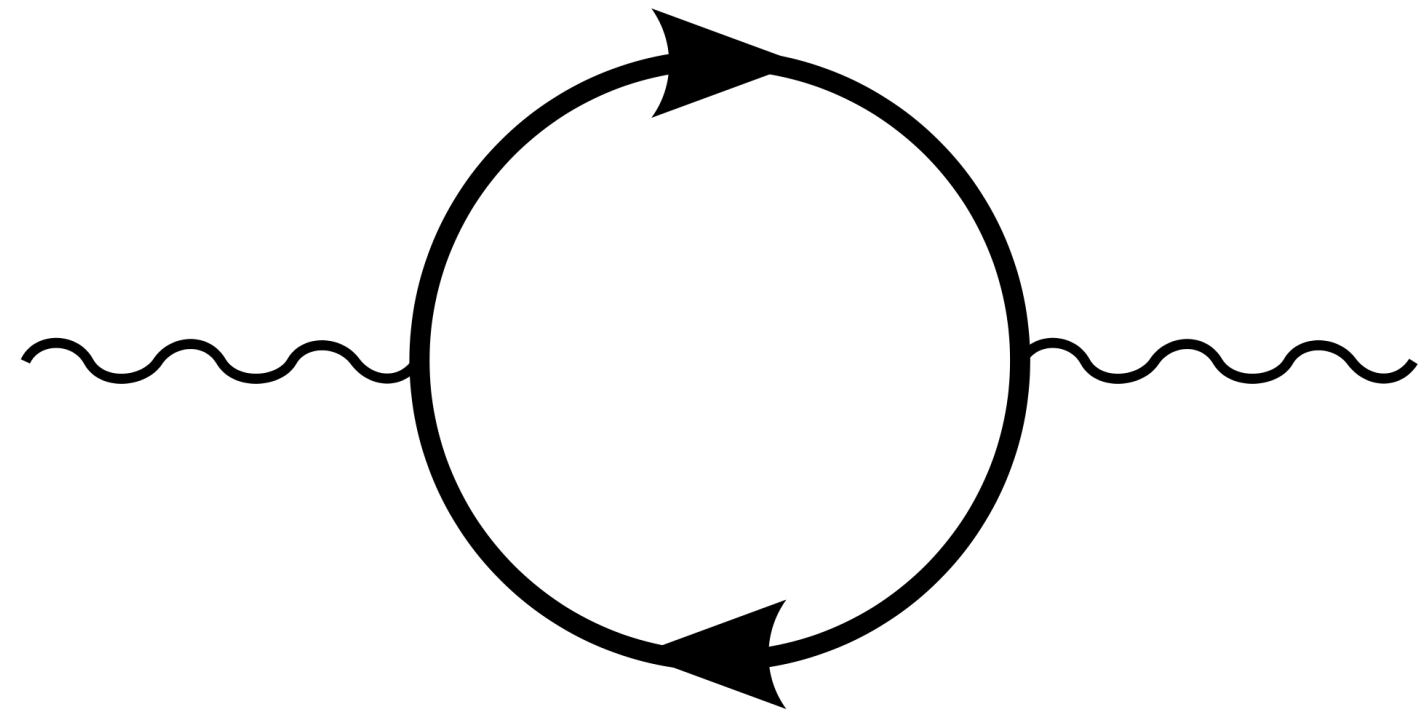
Recap: Unitary Parton shower Algorithms

- Main ingredients to a shower:
 1. splitting kernels $P(z)$ captures soft and collinear limits of matrix elements
 2. fill phase space ordered in evolution variable $(k_t, \theta, q^2, \dots)$
 3. generate new final state after emission according to recoil scheme



Collinear Splitting Functions

- Calculate for example $g \rightarrow q\bar{q}$



assume Sudakov decomposition like

$$p_i^\mu = z_i \hat{p}_{ij}^\mu + \frac{-k_t^2}{z_i 2p_{ij}\bar{n}} \bar{n}^\mu + k_t^\mu,$$

$$p_j^\mu = z_j \hat{p}_{ij}^\mu + \frac{-k_t^2}{z_j 2p_{ij}\bar{n}} \bar{n}^\mu - k_t^\mu$$

polarisation tensor:

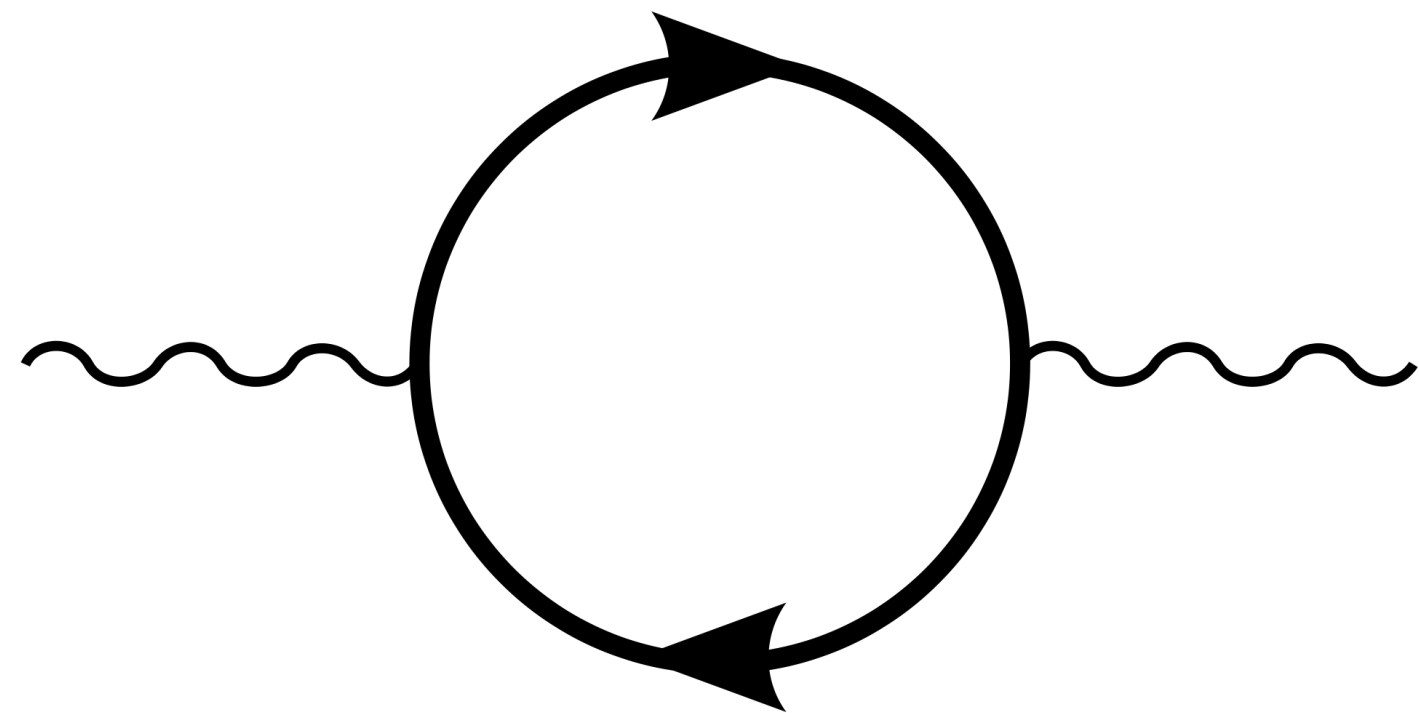
$$d^{\mu\nu}(p, n) = -g^{\mu\nu} + \frac{p^\mu n^\nu + p^\nu n^\mu}{pn}$$

$$P_{g \rightarrow q}^{\mu\nu}(p_i, p_j) = \frac{T_R}{2p_{ij}^2} d_\rho^\mu(p_{ij}, \bar{n}) \text{Tr}[\not{p}_i \gamma^\rho \not{p}_j \gamma^\sigma] d_\sigma^\nu(p_{ij}, \bar{n})$$

- evaluate in collinear limit: $\rightarrow T_R \left[-g^{\mu\nu} + 4z_i z_j \frac{k_\perp^\mu k_\perp^\nu}{k_\perp^2} \right]$
- spin average: $\rightarrow T_R \left[1 - 2z_i z_j \right] = \left[1 - 2z(1 - z) \right]$

Collinear Splitting Functions

- Calculate for example $g \rightarrow q\bar{q}$



$$P_{g \rightarrow q}^{\mu\nu}(p_i, p_j) = \frac{T_R}{2p_{ij}^2} d_{\rho}^{\mu}(p_{ij}, \bar{n}) \text{Tr}[\dots]$$

- evaluate in collinear limit: $\rightarrow T$

- spin average: $\rightarrow T$

Full set of DGLAP equations from introduction lectures:

The DGLAP equations

Generalizes to

DGLAP (Dokshitzer–Gribov–Lipatov–Altarelli–Parisi)

$$dP_{a \rightarrow bc} = \frac{\alpha_s}{2\pi} \frac{dQ^2}{Q^2} P_{a \rightarrow bc}(z) dz$$

$$P_{q \rightarrow qg} = \frac{4}{3} \frac{1+z^2}{1-z}$$

$$P_{g \rightarrow gg} = 3 \frac{(1-z(1-z))^2}{z(1-z)}$$

$$P_{g \rightarrow q\bar{q}} = \frac{n_f}{2} (z^2 + (1-z)^2) \quad (n_f = \text{no. of quark flavours})$$

Universality: any matrix element reduces to DGLAP in collinear limit.

$$\text{e.g. } \frac{d\sigma(H^0 \rightarrow q\bar{q}g)}{d\sigma(H^0 \rightarrow q\bar{q})} = \frac{d\sigma(Z^0 \rightarrow q\bar{q}g)}{d\sigma(Z^0 \rightarrow q\bar{q})} \text{ in collinear limit}$$

DGLAP parton showers

- DGLAP equation determines collinear evolution

- of IS PDFs

$$t \frac{\partial}{\partial t} f(x, t) = \int_x^1 \frac{dz}{z} \frac{\alpha_s}{2\pi} P(z) f(x/z, t)$$

- or FS: fragmentation functions

- introduce Sudakov factor

$$\Delta(t) \equiv \exp \left[- \int_{t_0}^t \frac{dt'}{t'} \int dz \frac{\alpha_s}{2\pi} \hat{P}(z) \right]$$

- leads to integral equation

$$f(x, t) = \Delta(t) f(x, t_0) + \int_{t_0}^t \frac{dt'}{t'} \frac{\Delta(t)}{\Delta(t')} \int \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}(z) f(x/z, t')$$

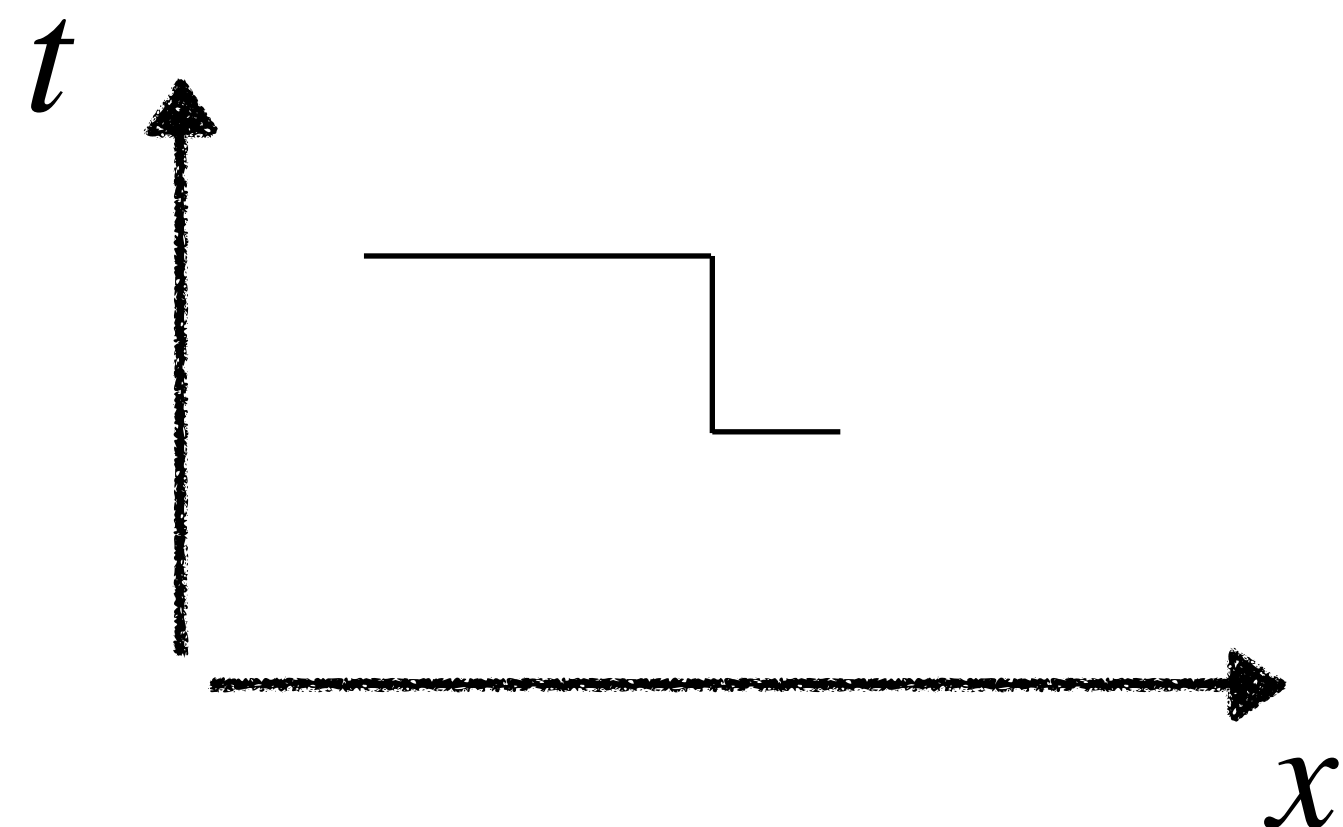
DGLAP parton showers

$$f(x, t) = \Delta(t) f(x, t_0) + \int_{t_0}^t \frac{dt'}{t'} \frac{\Delta(t)}{\Delta(t')} \int \frac{dz}{z} \frac{\alpha_s}{2\pi} \hat{P}(z) f(x/z, t')$$

Evolution between
 t and t_0 without
any splitting

Evolution between
 t and t' without
any splitting

Splitting at t' with
momentum
fraction z



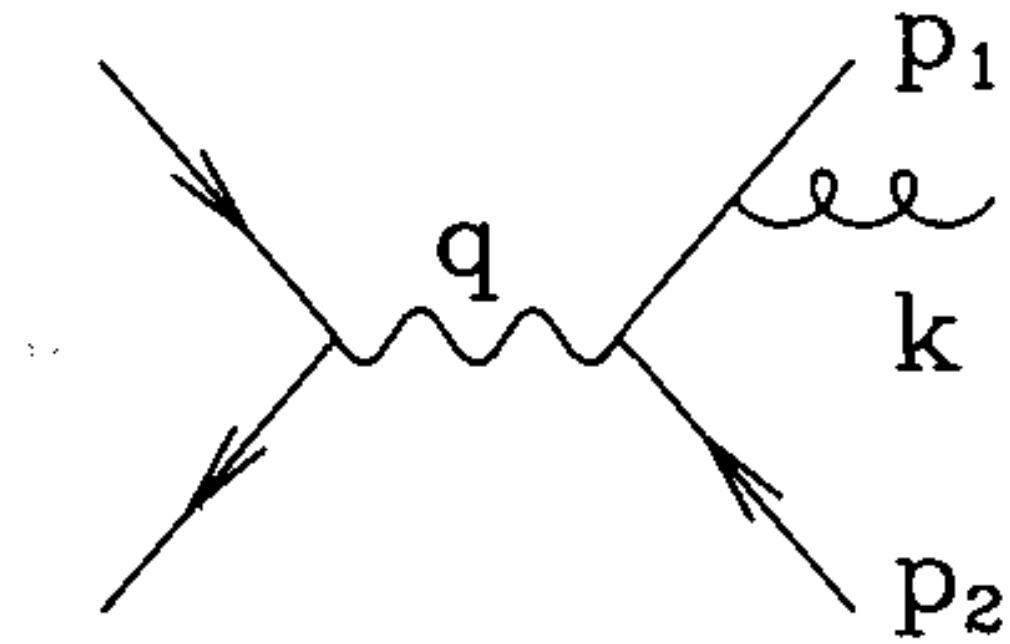
Select size of t step

Select size of x step

Towards Coherent Branching

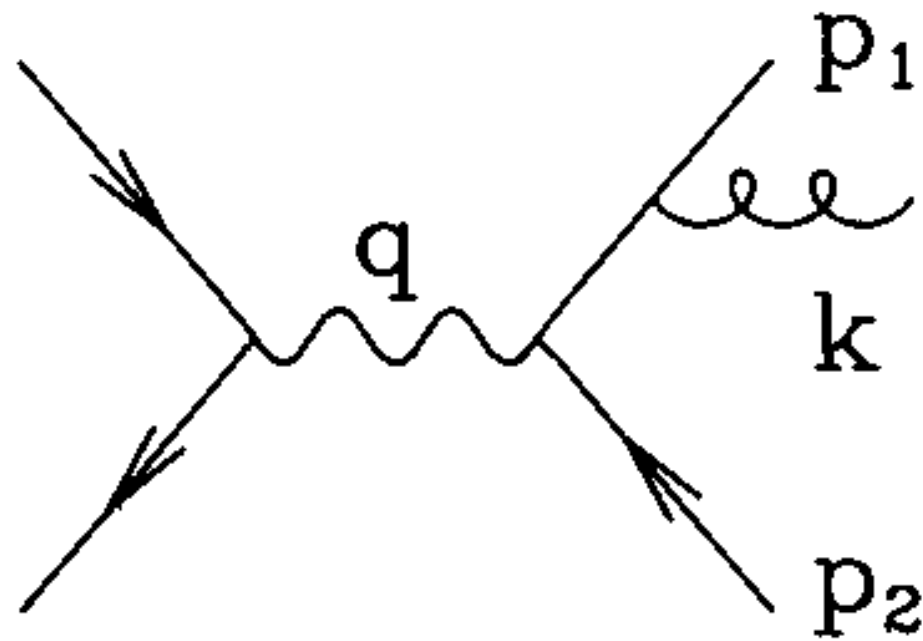
- Collinear enhancements under control at all orders
- What about soft gluons emissions?
- Limit of splitting functions enhanced by $\sim \frac{1}{1-z}$
- Is that all? Lets have a closer look!

QCD calculations – soft limit



$$\sim \bar{u}(p_1)(-ig_s)t^A\gamma^\alpha\frac{i(\not{p}_1+\not{k})}{(p_1+k)^2}(-ie)\gamma^\mu v(p_2)\epsilon_\alpha$$

QCD calculations – soft limit

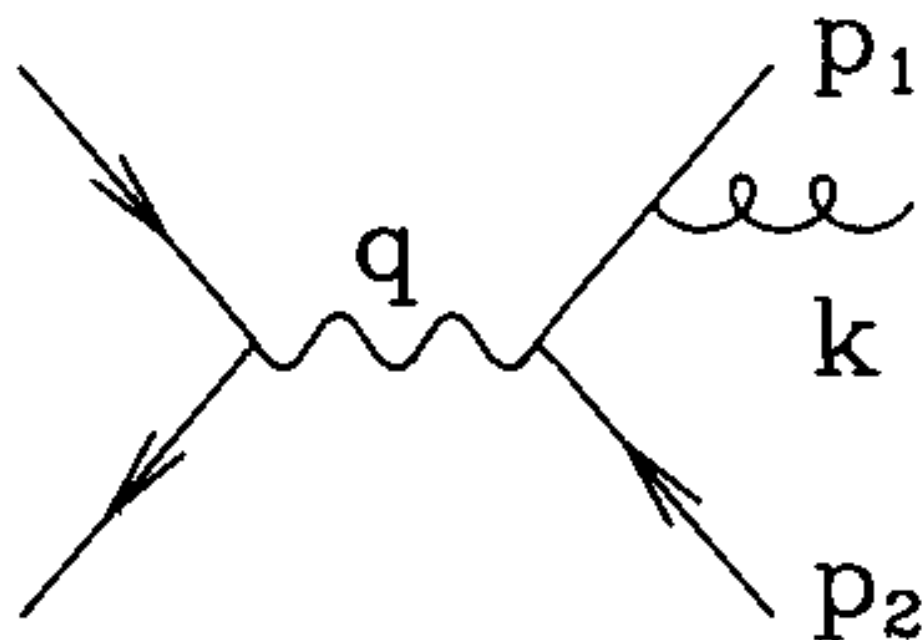


$$\sim \bar{u}(p_1)(-ig_s)t^A\gamma^\alpha\frac{i(\not{p}_1 + \not{k})}{(p_1 + k)^2}(-ie)\gamma^\mu v(p_2)\epsilon_\alpha$$

$$\sim \bar{u}(p_1)(-ig_s)t^A\not{\epsilon}\frac{i\not{p}_1}{2p_1 \cdot k}(-ie)\gamma^\mu v(p_2)$$

assume massless partons, $p_1^2 = 0$, $k^2 = 0$ and analyse the soft gluon $k \rightarrow 0$ limit

QCD calculations – soft limit



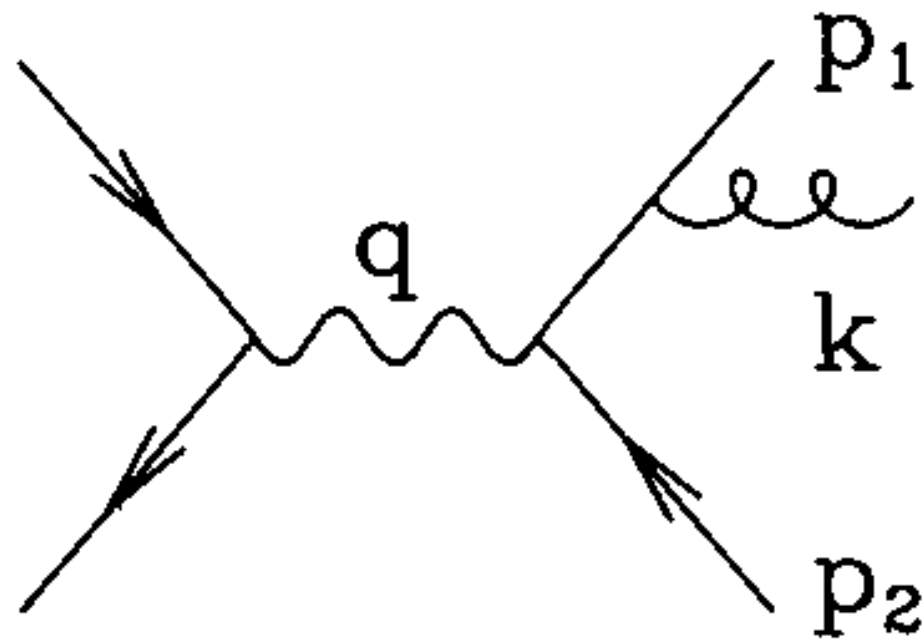
$$\sim \bar{u}(p_1)(-ig_s)t^A\gamma^\alpha\frac{i(\not{p}_1 + \not{k})}{(p_1 + k)^2}(-ie)\gamma^\mu v(p_2)\epsilon_\alpha$$

$$\sim \bar{u}(p_1)(-ig_s)t^A\not{\epsilon}\frac{i\not{p}_1}{2p_1 \cdot k}(-ie)\gamma^\mu v(p_2)$$

$$\sim \bar{u}(p_1)(-ig_s)t^A\frac{i p_1 \cdot \epsilon}{p_1 \cdot k}(-ie)\gamma^\mu v(p_2)$$

use $\not{\epsilon}\not{p}_1 = 2\epsilon \cdot p_1 - \not{p}_1\not{\epsilon}$ and the Dirac equation $\bar{u}(p_1)\not{p}_1 = 0$

QCD calculations – soft limit



$$\sim \bar{u}(p_1)(-ig_s)t^A\gamma^\alpha\frac{i(\not{p}_1+\not{k})}{(p_1+k)^2}(-ie)\gamma^\mu v(p_2)\epsilon_\alpha$$

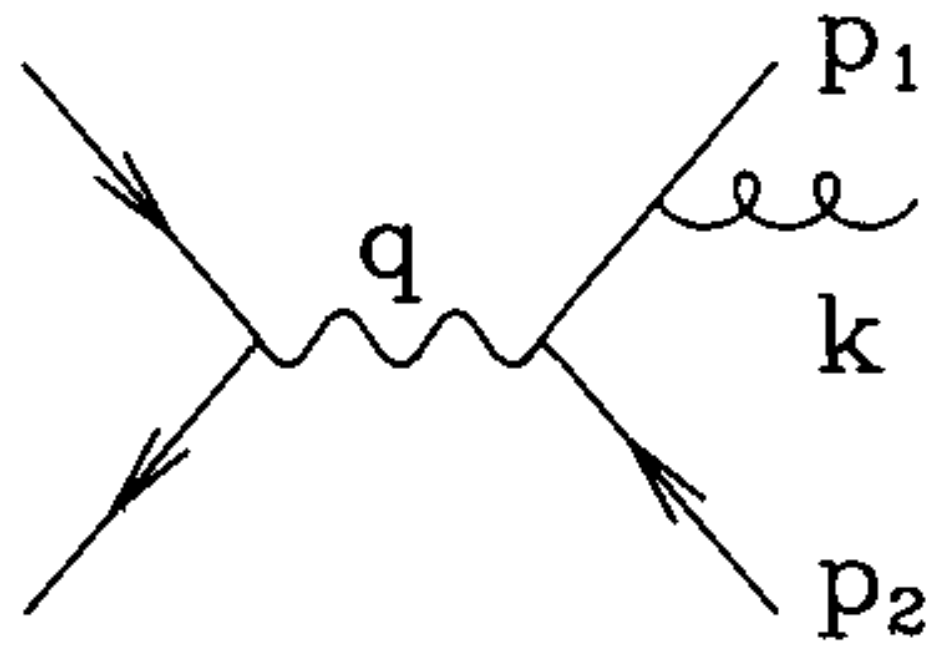
$$\sim \bar{u}(p_1)(-ig_s)t^A\not{\epsilon}\frac{i\not{p}_1}{2p_1\cdot k}(-ie)\gamma^\mu v(p_2)$$

$$\sim \bar{u}(p_1)(-ig_s)t^A\frac{i\not{p}_1\cdot\epsilon}{p_1\cdot k}(-ie)\gamma^\mu v(p_2)$$

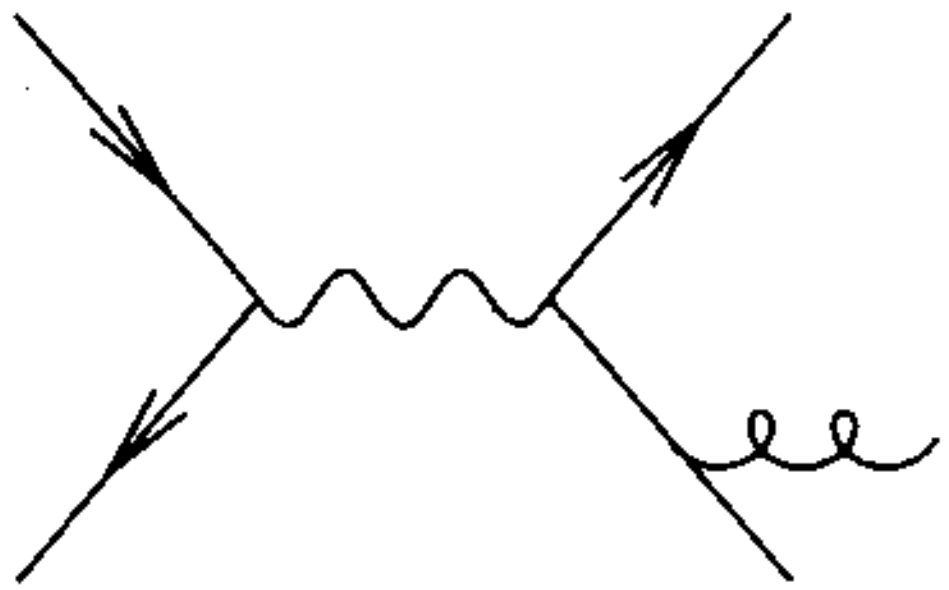
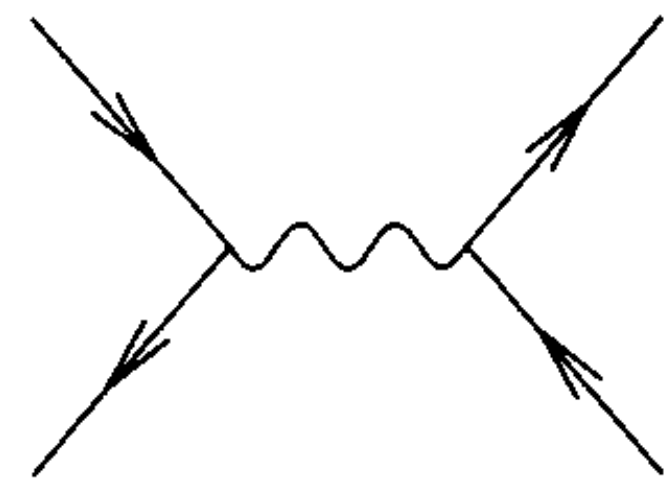
$$\sim g_s t^A \frac{p_1 \cdot \epsilon}{p_1 \cdot k} \times$$

soft gluon emissions factorise!

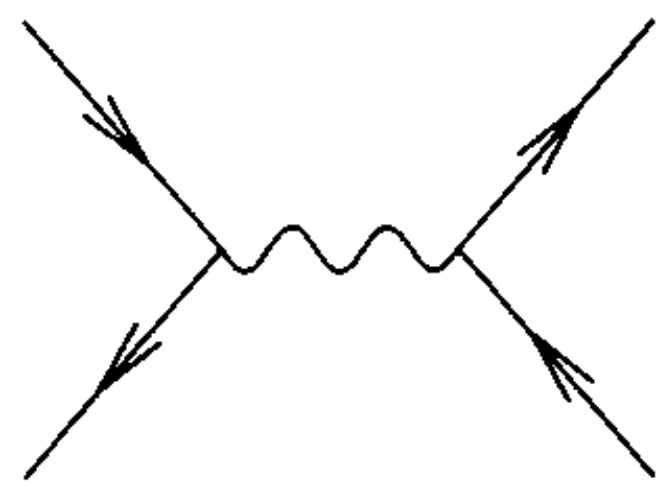
QCD calculations – soft limit



$$\sim g_s t^A \frac{p_1 \cdot \epsilon}{p_1 \cdot k} \times$$

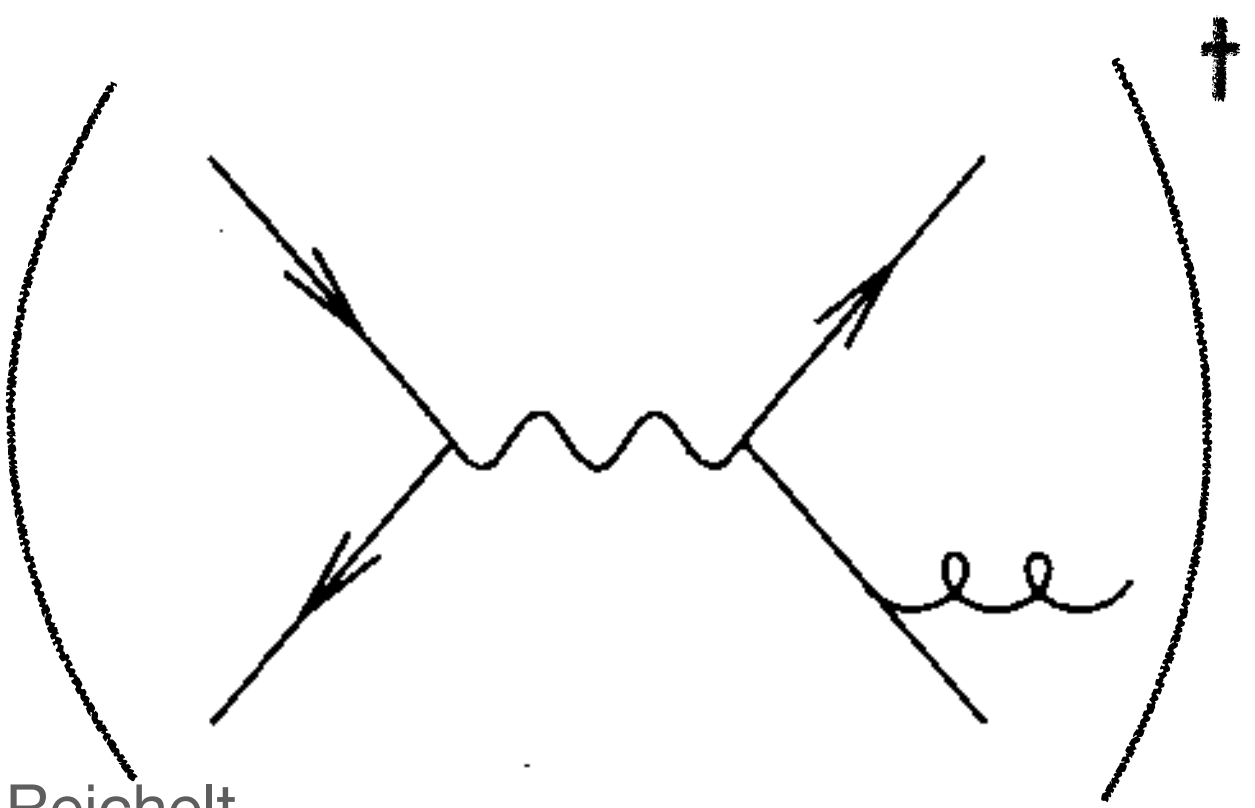
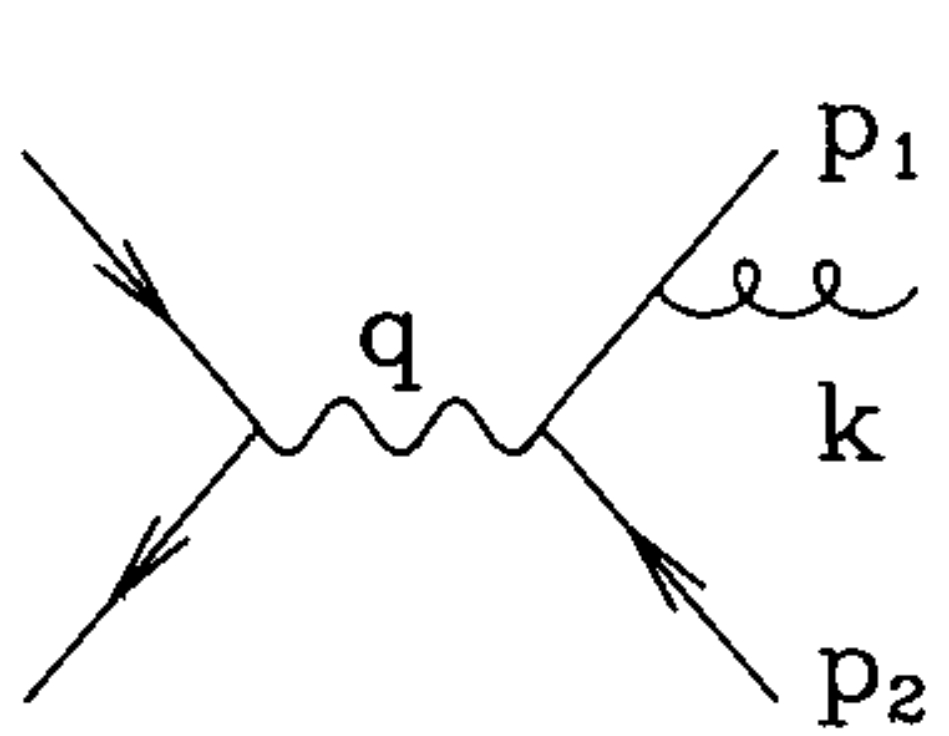


$$\sim g_s t^A \frac{p_2 \cdot \epsilon}{p_2 \cdot k} \times$$

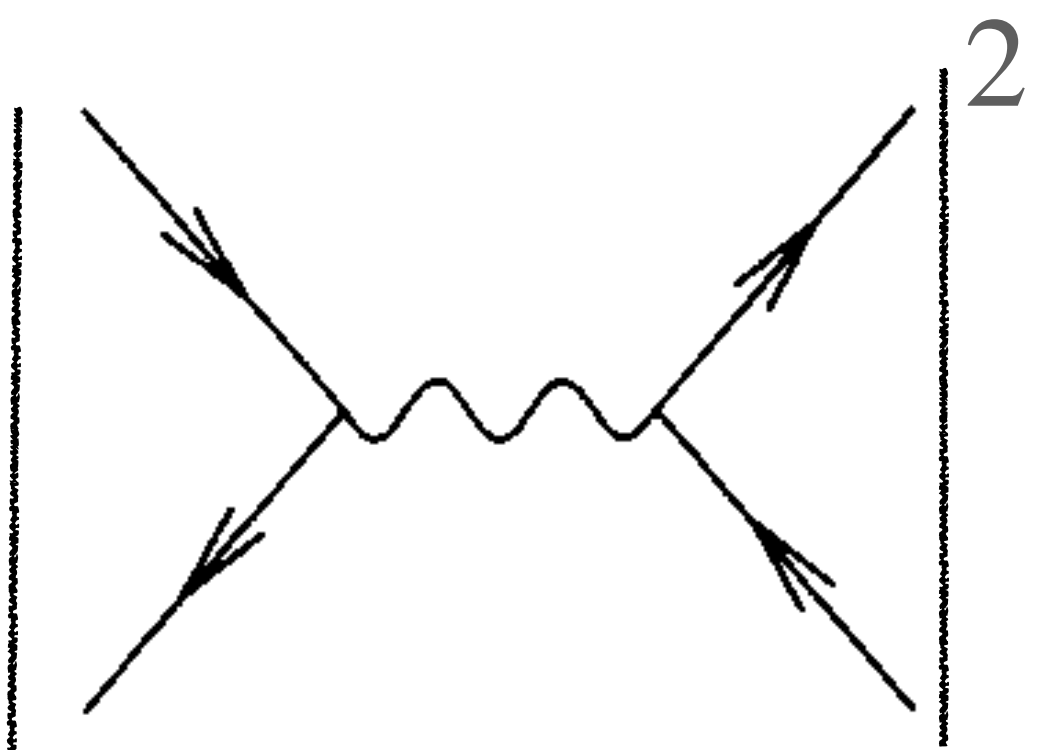


soft gluon
emissions
factorise!

2

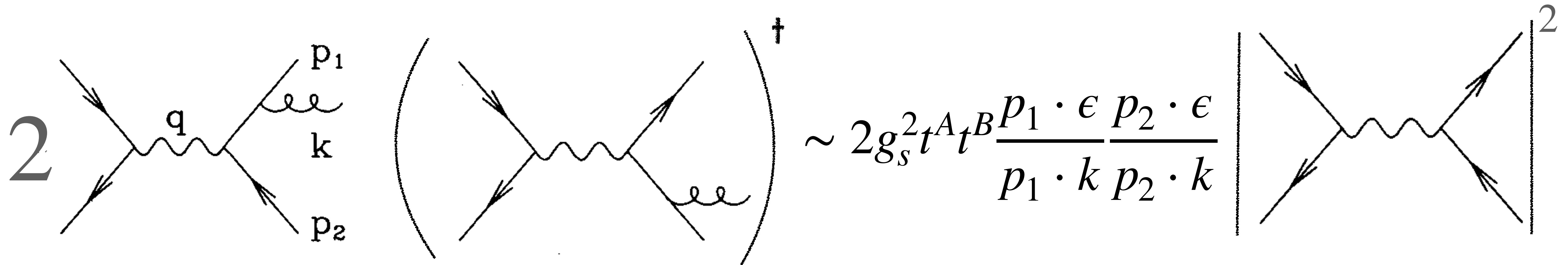


$$\sim 2 g_s^2 t^A t^B \frac{p_1 \cdot \epsilon}{p_1 \cdot k} \frac{p_2 \cdot \epsilon}{p_2 \cdot k}$$



QCD calculations – soft limit

2

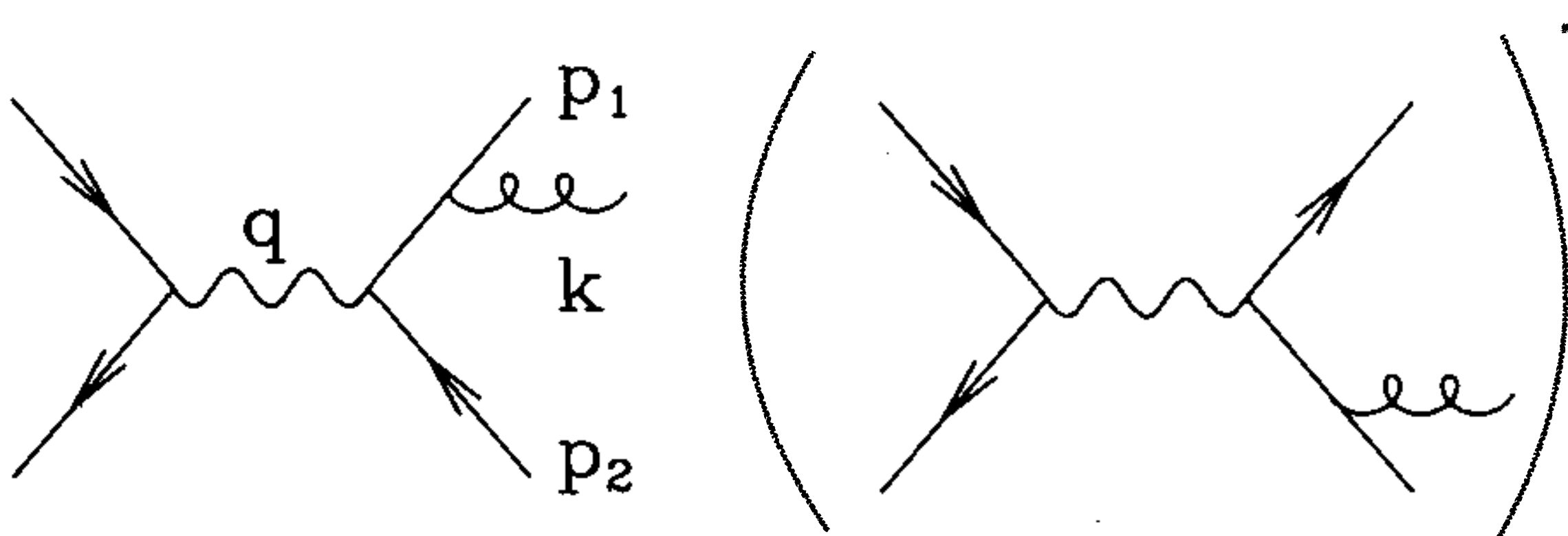


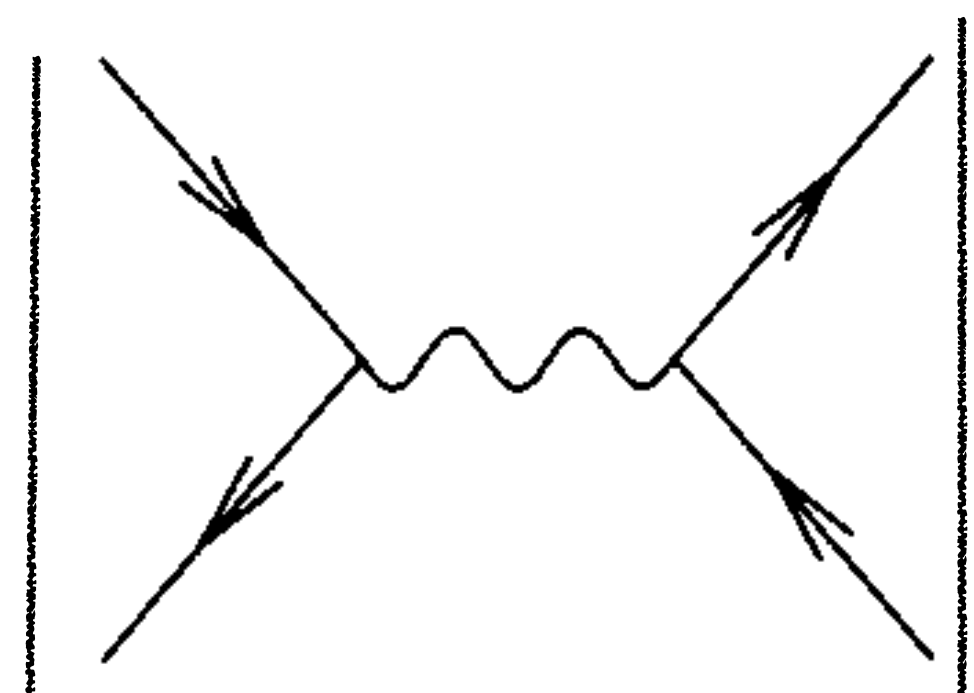
$$\sim 2g_s^2 t^A t^B \frac{p_1 \cdot \epsilon}{p_1 \cdot k} \frac{p_2 \cdot \epsilon}{p_2 \cdot k}$$

2

QCD calculations – soft limit

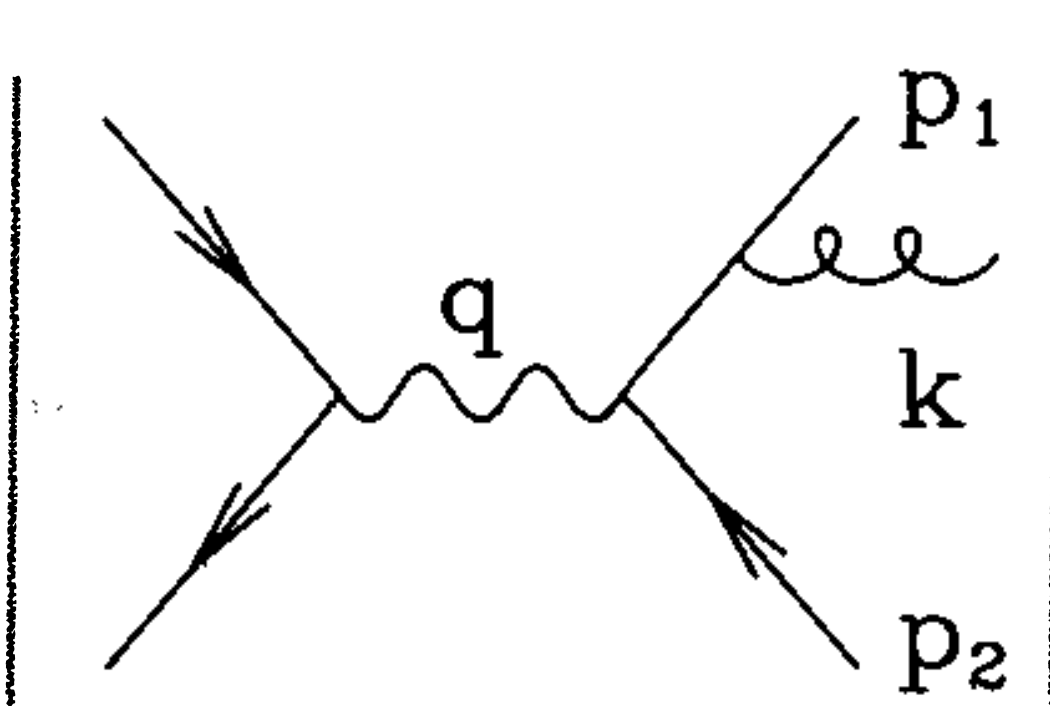
2

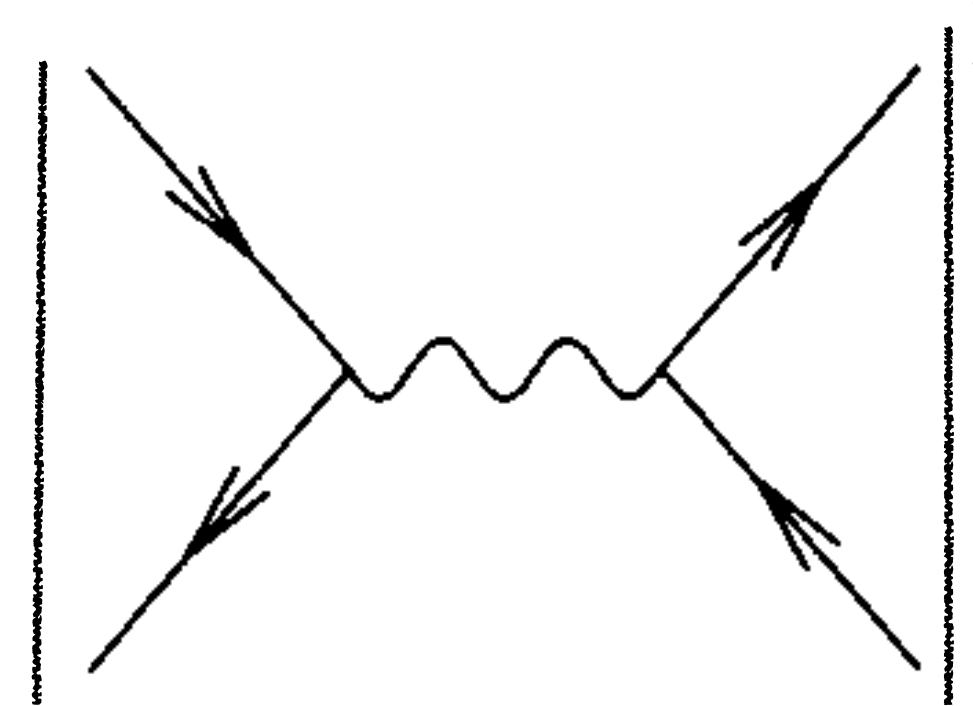


$$\sim 2g_s^2 t^A t^B \frac{p_1 \cdot \epsilon}{p_1 \cdot k} \frac{p_2 \cdot \epsilon}{p_2 \cdot k}$$


2

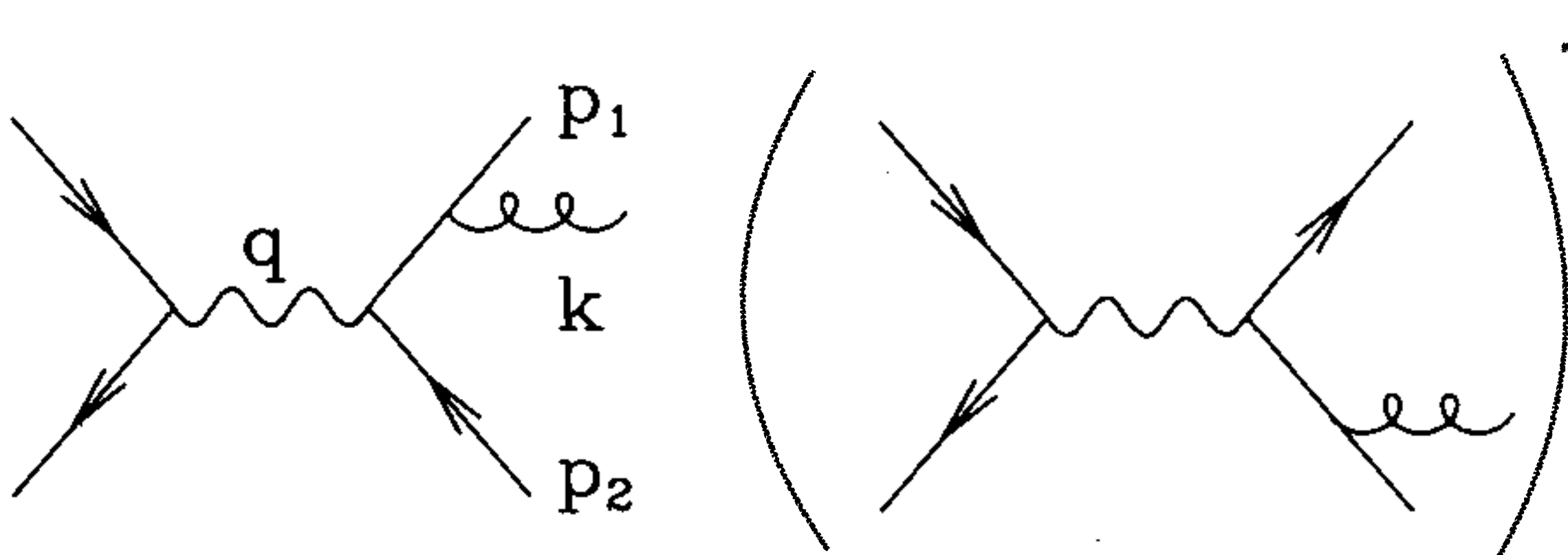
perform sum over gluon polarisations $\epsilon_\mu \epsilon_\nu \rightarrow -g_{\mu\nu}$, and colours $t^A t^B \rightarrow C_F$



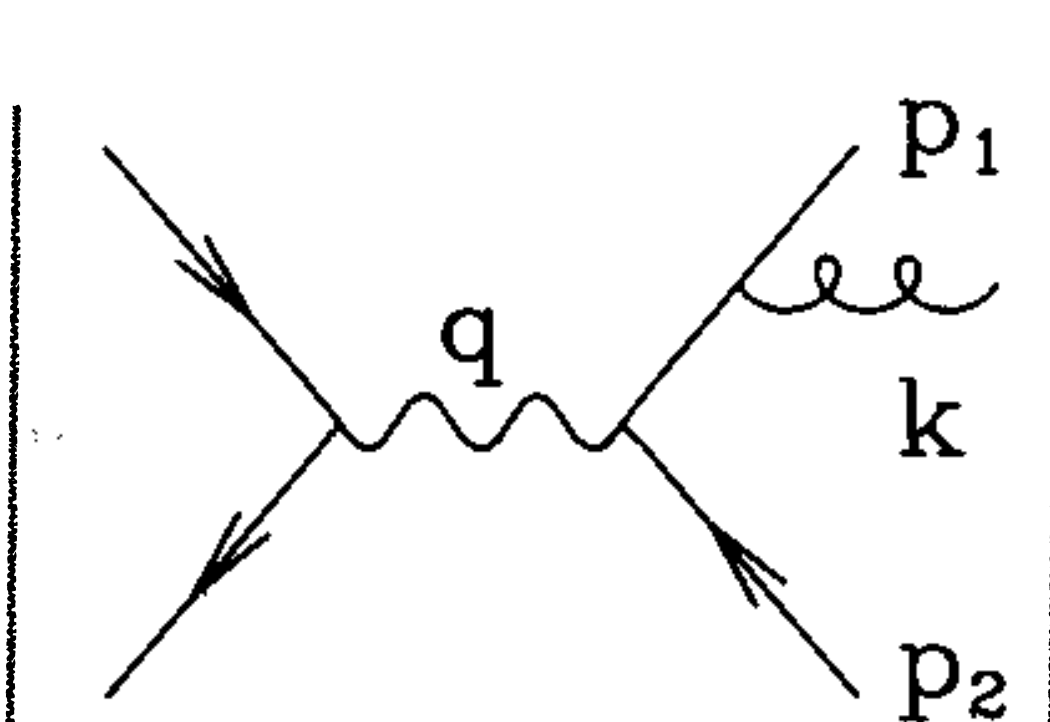
$$\sim g_s^2 C_F \frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)}$$


2

QCD calculations – soft limit

$$2 \left| \text{diagram} \right|^2 \sim 2g_s^2 t^A t^B \frac{p_1 \cdot \epsilon}{p_1 \cdot k} \frac{p_2 \cdot \epsilon}{p_2 \cdot k} \left| \text{diagram} \right|^2$$


perform sum over gluon polarisations $\epsilon_\mu \epsilon_\nu \rightarrow -g_{\mu\nu}$, and colours $t^A t^B \rightarrow C_F$

$$\left| \text{diagram} \right|^2 \sim g_s^2 C_F \frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)} \left| \text{diagram} \right|^2$$


Note: phase space factorises as well

$$d\phi_{q\bar{q}g} = d\phi_{q\bar{q}} d\phi_{+1}$$

Factorisation with “eikonal” factor!

Eikonal factor

$$\frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)}$$

observation: divergent if $k \parallel p_1$ or $k \parallel p_2$ or $k \rightarrow 0$

\Rightarrow collinear and soft/infrared limits

Eikonal factor

$$\frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)}$$

observation: divergent if $k \parallel p_1$ or $k \parallel p_2$ or $k \rightarrow 0$

\Rightarrow collinear and soft/infrared limits

Explicitly in some reference frame, use $p_i \cdot k = E_i E_k (1 - \cos \theta_{ik})$

$$\frac{2p_1 \cdot p_2}{(p_1 \cdot k)(p_2 \cdot k)} = \frac{1}{E_k^2} \frac{1 - \cos \theta_{12}}{(1 - \cos \theta_{1k})(1 - \cos \theta_{2k})} \equiv \frac{W_{12,k}}{E_k^2}$$

\Rightarrow divergencies visible for $\theta_{ik} \rightarrow 0$ (collinear) and $E_k \rightarrow 0$ (soft)

Coherent Branching - Angular Ordering

Eikonal derived in last slides:

$$W_{ik,j} = E_k^2 \frac{2p_i \cdot p_k}{(p_i \cdot p_j)(p_k \cdot p_j)} = \frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})}$$

We want to split this up, only divergent in one collinear region (by clever adding and subtracting divergent terms):

$$W_{ik,j} = \tilde{W}_{ik,j}^i + \tilde{W}_{ik,j}^j$$

$$\tilde{W}_{ik,j}^i = \frac{1}{2} \left(\frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})} + \frac{1}{1 - \cos \theta_{ij}} - \frac{1}{1 - \cos \theta_{jk}} \right)$$

Coherent Branching - Angular Ordering

- We have found

$$\tilde{W}_{ik,j}^i = \frac{1}{2} \left(\frac{1 - \cos \theta_{ik}}{(1 - \cos \theta_{ij})(1 - \cos \theta_{jk})} + \frac{1}{1 - \cos \theta_{ij}} - \frac{1}{1 - \cos \theta_{jk}} \right)$$

- Now perform the phase space integral, in particular angular integrals for emission j, choose coordinate system with i along z-axis $d\Omega_j = d\cos\theta_{ij}d\phi_j$

Coherent Branching - Angular Ordering

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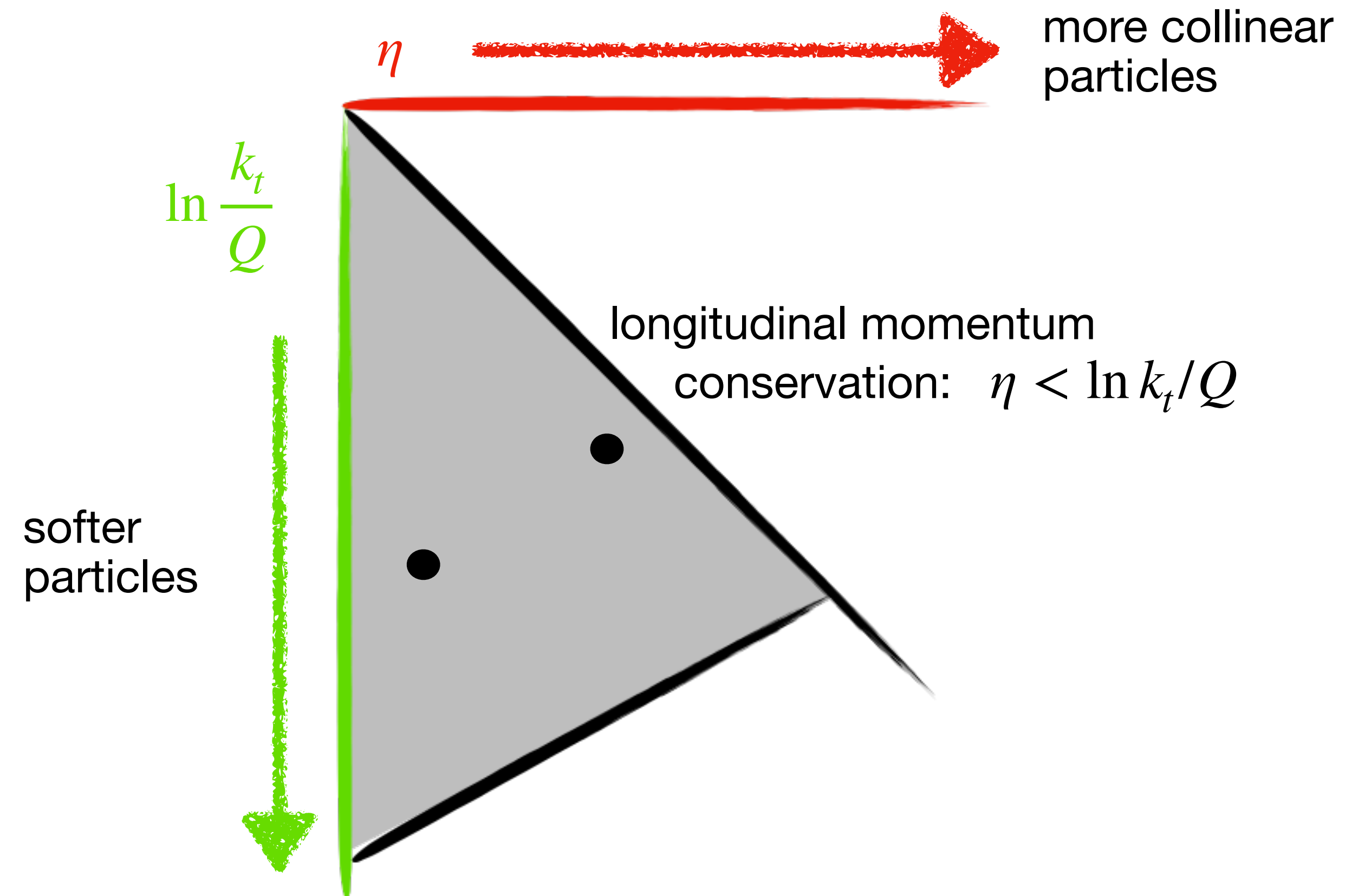
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- Now perform the phase space integral, in particular angular integrals for emission j, choose coordinate system with i along z-axis $d\Omega_j = d \cos \theta_{ij} d\phi_j$:

$$\int d\phi_j \tilde{W}_{ik,j}^i = \begin{cases} \frac{1}{1 - \cos \theta_{ij}} & \text{if } \theta_{ij} < \theta_{ik} \\ 0 & \text{else} \end{cases}$$

Coherent Branching - Angular Ordering

- What does this mean for parton shower?
- We should first generate splitting at large angle
- will be generated coherently from all more collinear partons, so generate them first while we only have original parent

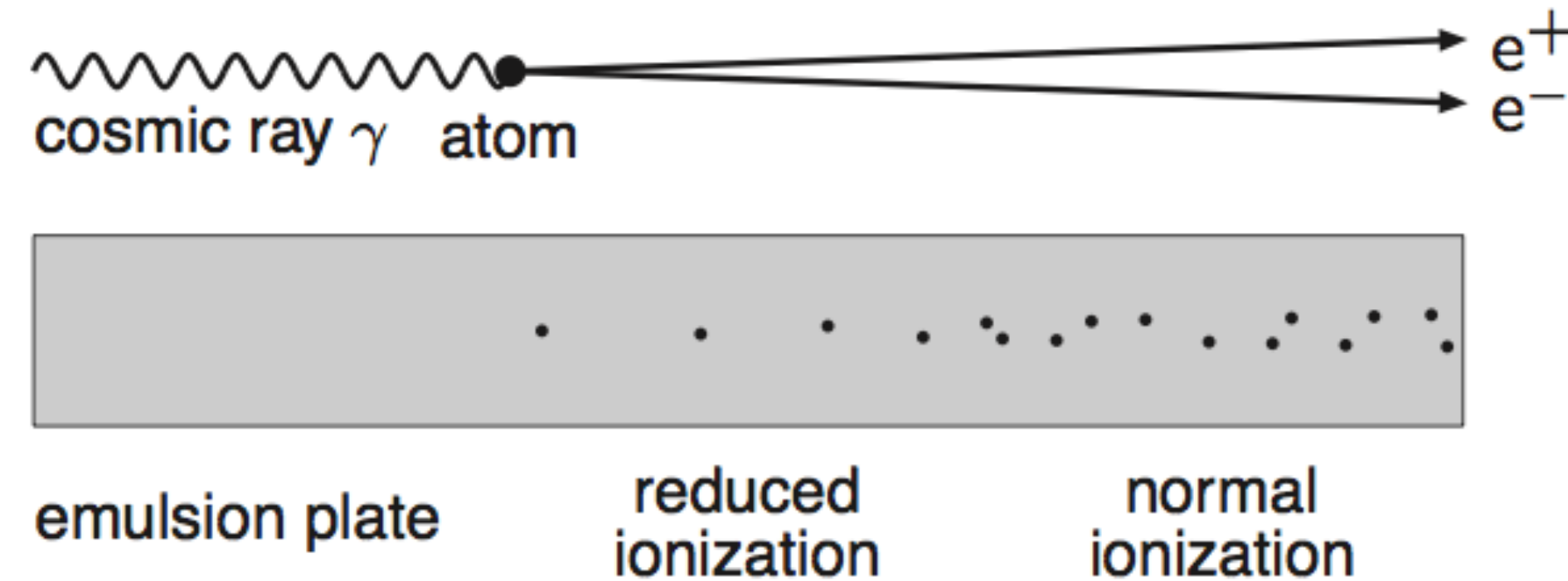


Coherent Branching - Angular Ordering

- Reminder: Consistent with expectations from QED

Coherence

QED: Chudakov effect (mid-fifties)



QCD: colour coherence for **soft** gluon emission

The equation shows two Feynman diagrams for gluon emission from a quark line, summed together and squared, equal to the square of a single diagram. The first diagram shows a quark line (red) emitting a gluon (black) which then splits into two more gluons (red and blue). The second diagram shows a quark line (red) emitting a gluon (black) which then splits into two more gluons (red and blue) in a different configuration. The equation is:
$$\left| \text{Diagram 1} + \text{Diagram 2} \right|^2 = \left| \text{Diagram 3} \right|^2$$

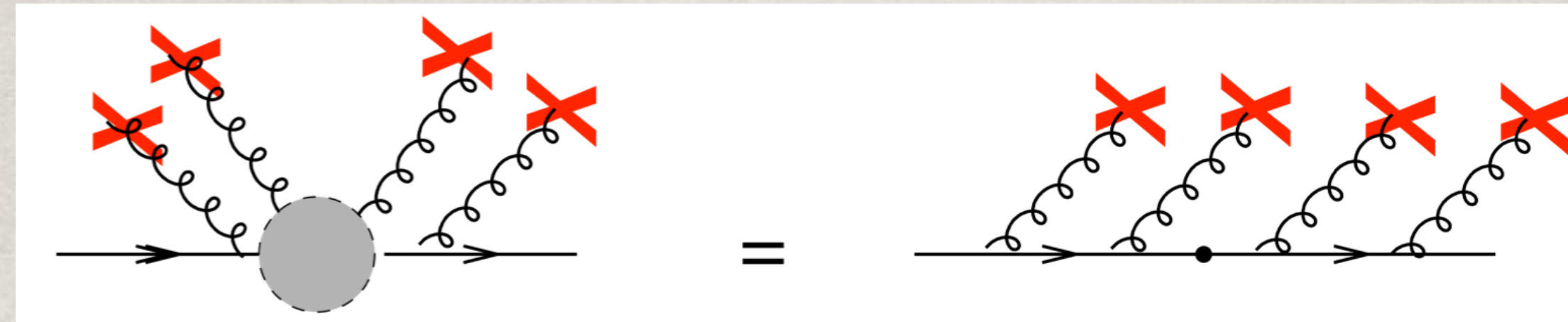
- solved by
- requiring **emission angles** to be decreasing
 - or
 - requiring **transverse momenta** to be decreasing

Coherent Branching - Angular Ordering

- Reminder: Coherence in resummation of event shapes

MULTIPLE SOFT-COLLINEAR EMISSIONS

- We first consider an ensemble of soft-collinear emissions widely separated in angle (rapidity)
- Due to QCD coherence, the multi-gluon matrix element factorises into the product of single-emission matrix elements



- Contribution of multiple soft-collinear emissions to $\Sigma(v)$

$$\Sigma(v) = e^{-\int [dk] M^2(k)} \sum_{n=0}^{\infty} \frac{1}{n!} \int \prod_i [dk_i] M^2(k_i) \Theta(v - V(\{\tilde{p}\}, k_1, \dots, k_n))$$

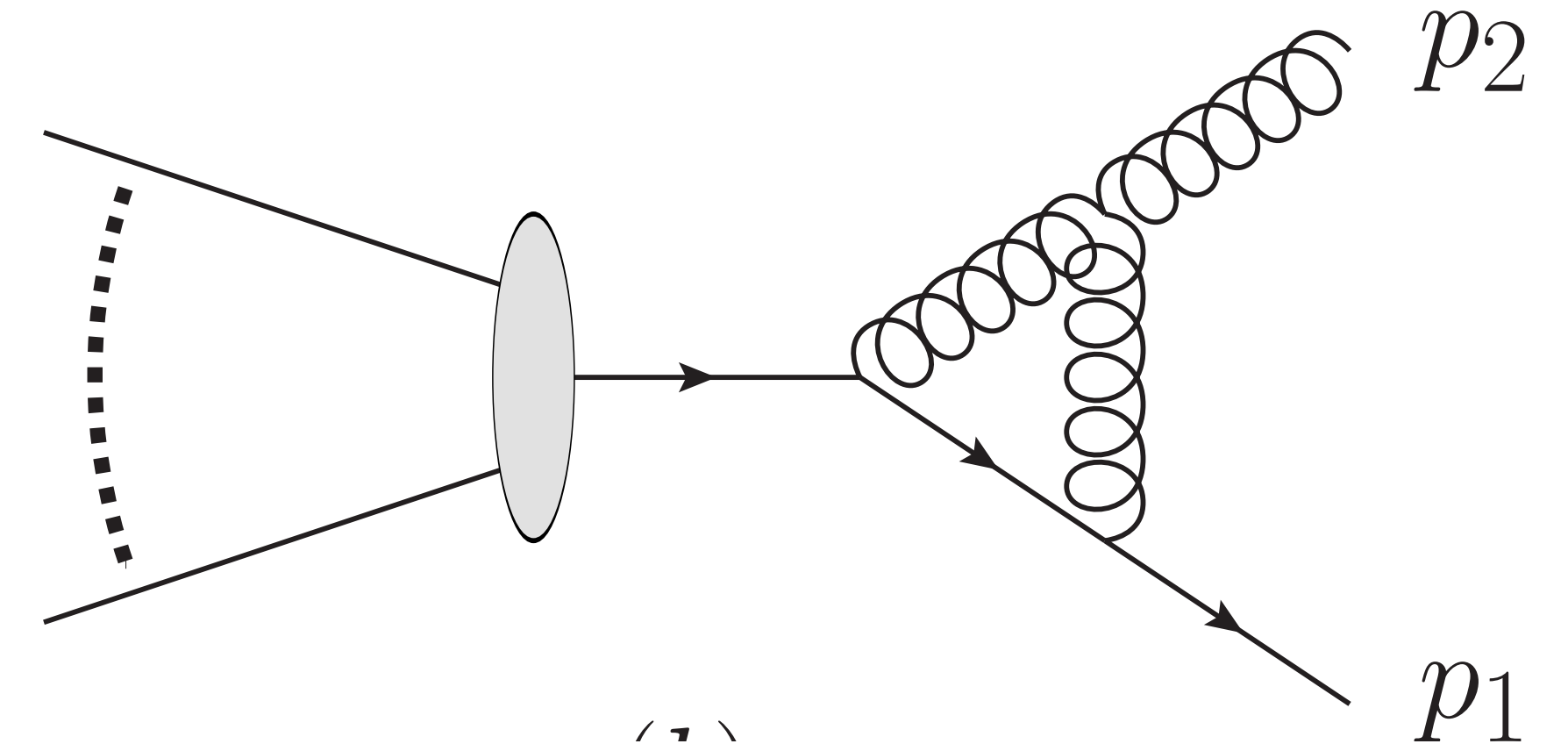
virtual corrections, ensure that the inclusive sum over all emissions gives one

Towards NLL - argument of α_s

- Imagine we want to calculate loop corrections to splitting functions:

- This will diverge in UV, need renormalization!
- QCD is renormalized multiplicatively, effect: replace bare by renormalized coupling

$$\alpha_s^0 \rightarrow \alpha_s(\mu) \frac{e^{\epsilon\gamma_E}}{(4\pi)^\epsilon} \left(1 - \frac{1}{\epsilon} \frac{\alpha_s(\mu)}{2\pi} \beta_0 + \mathcal{O}(\alpha_s^2) \right)$$



- On the other hand D-dim phase space w/ Sudakov parametrization

$$d^D p = \frac{2p_1 \cdot p_2}{2} dz_1 dz_2 d\Omega(\epsilon) k_t^{D-3} dk_T \delta(p^2) \propto (k_t)^{-2\epsilon} dz_1 dz_2 d\Omega dk_t$$

$$\rightarrow \frac{\alpha_s}{2\pi} \beta_0 \ln \frac{\mu^2}{k_t^2}$$

Towards NLL - argument of α_s

- Imagine we want to calculate loop correct
- This will diverge in UV, need renormalization
- QCD is renormalized multiplicatively, effectively replace bare by renormalized coupling

$$\alpha_s^0 \rightarrow \alpha_s(\mu) \frac{e^{\epsilon \gamma_E}}{(4\pi)^\epsilon} \left(1 - \frac{1}{\epsilon} \frac{\alpha_s(\mu)}{2\pi} \beta_0 + \mathcal{O}(\alpha_s^2) \right)$$

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$$\rightarrow \frac{\alpha_s}{2\pi} \beta_0 \ln \frac{\mu^2}{k_t^2}$$

Essentially this term from lecture by Rene:

UV renormalization in QCD

$\overline{\text{MS}}$ Scheme (massless QCD, covariant gauge):

Wave functions:

$$Z_q = 1 - \lambda C_F \frac{\alpha_s S_\epsilon}{4\pi\epsilon} + \mathcal{O}(\alpha_s^2)$$

$$Z_g = 1 - \frac{\alpha_s S_\epsilon}{4\pi\epsilon} \left[\left(\frac{\lambda}{2} - \frac{13}{6} \right) C_A + \frac{4}{3} T_F n_f \right] + \mathcal{O}(\alpha_s^2)$$

Coupling:

$$Z_{g_s} = 1 - \frac{\alpha_s S_\epsilon}{4\pi\epsilon} \frac{11C_A - 4n_f T_F}{6} + \dots$$

$$S_\epsilon = \frac{(4\pi)^\epsilon}{\Gamma(1-\epsilon)}$$

These introduce new diagrams in the perturbative expansions:
→ cancellation of all UV divergences



$$\times (Z_{g_s} - 1)$$

$$\times (Z_g - 1)$$

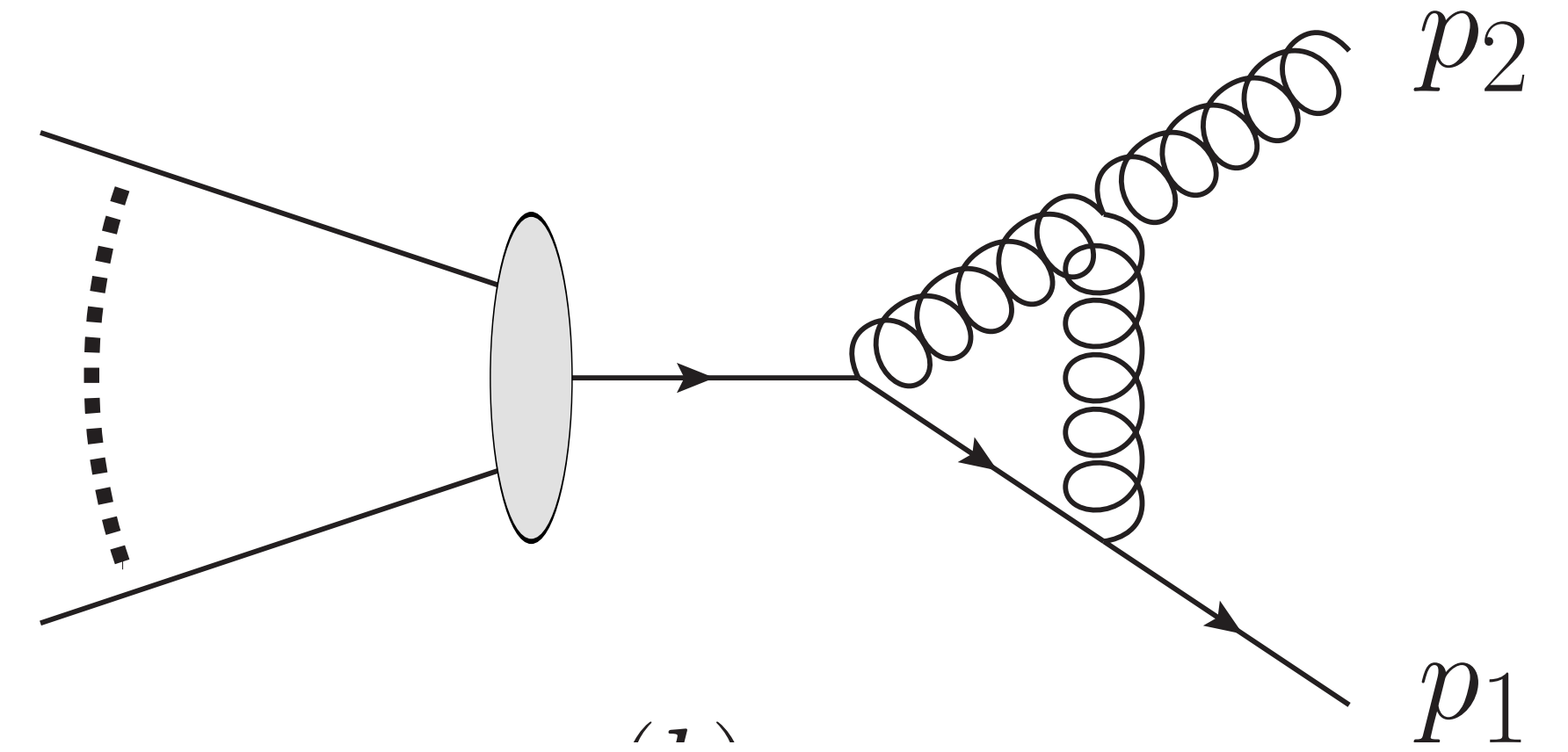
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Towards NLL - argument of α_s

- Imagine we want to calculate loop corrections to splitting functions:

- This will diverge in UV, need renormalization!
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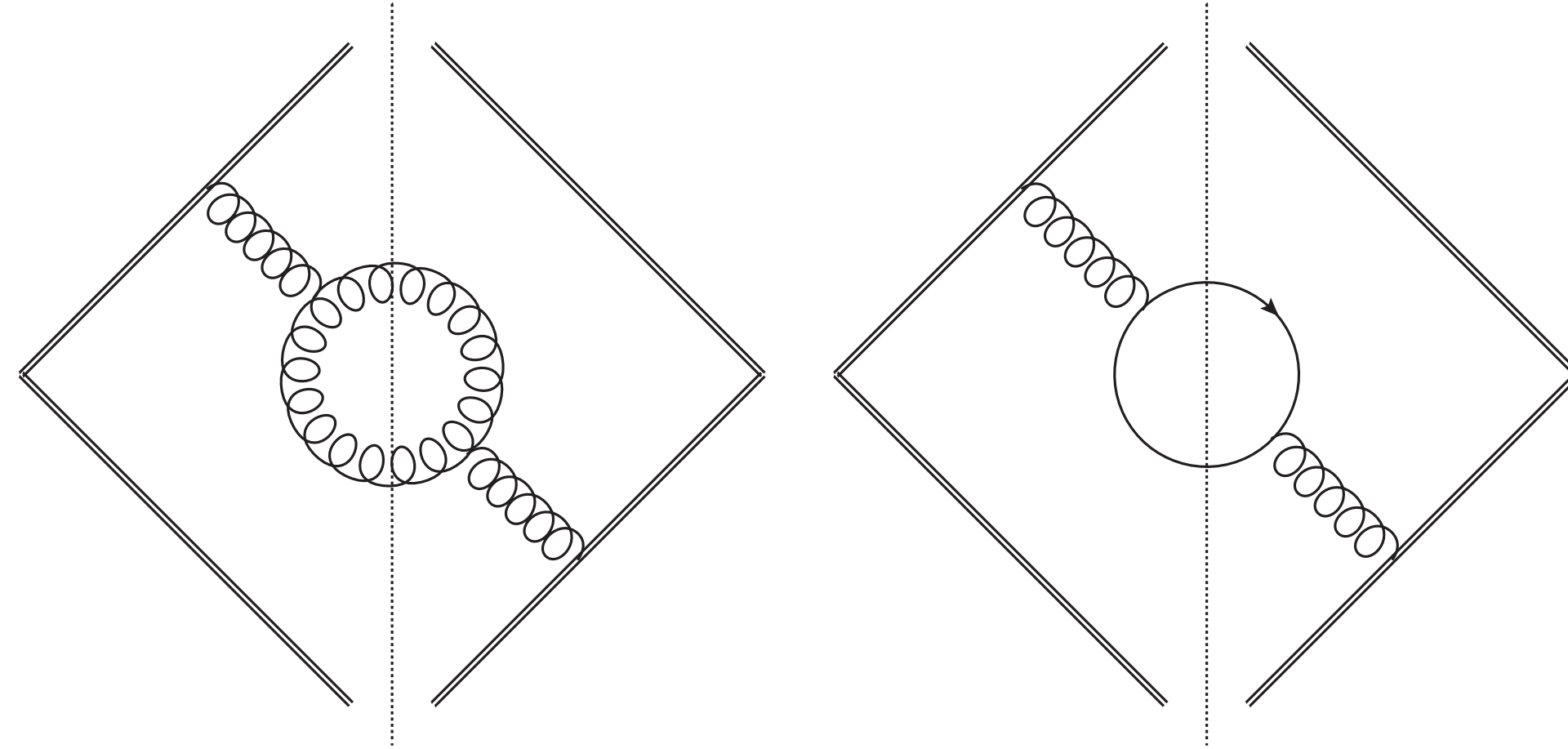
- On the other hand D-dim phase space w/ Sudakov parametrization

$$d^D p = \frac{2p_1 \cdot p_2}{2} dz_1 dz_2 d\Omega(\epsilon) k_t^{D-3} dk_T \delta(p^2) \propto (k_t)^{-2\epsilon} dz_1 dz_2 d\Omega dk_t$$

$$\rightarrow \frac{\alpha_s}{2\pi} \beta_0 \ln \frac{\mu^2}{k_t^2}$$

Towards NLL - the physical coupling scheme

- More higher order corrections
- Full calculation, again in D dimensions and integrate inclusive over splitting variables
- In dim. reg. and \overline{MS} scheme gives:
- Can be interpreted as local enhancement of gluon splitting, and absorbed into strong coupling (together with k_t log from last slide):



$$\left(\frac{67}{18} - \frac{\pi^2}{3} \right) C_A$$

$$-\frac{10}{9} n_f T_R$$

$$\alpha_s(\mu^2) \rightarrow \alpha_s(\mu^2) \left(1 + \frac{\alpha_s(\mu^2)}{2\pi} \left(\beta_0 \ln \frac{k_t^2}{\mu^2} + K \right) \right)$$

Towards NLL - the physical coupling scheme

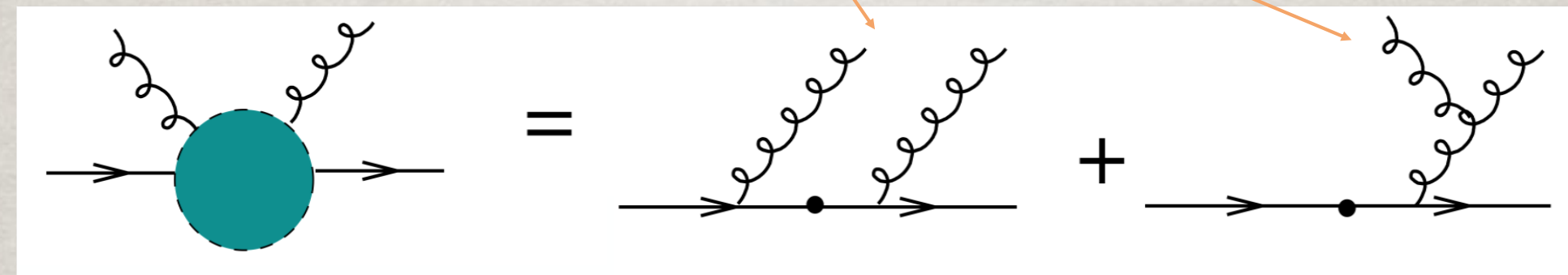
- More higher order corrections
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Compare to treatment in resummation in Lecture by Andrea:

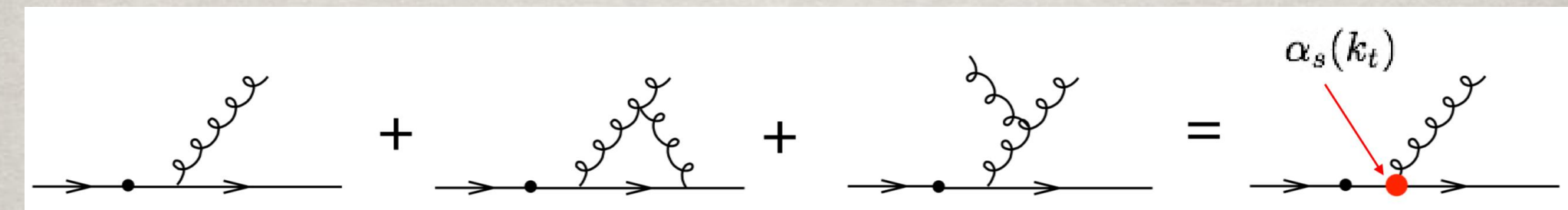
TWO-GLUON CORRELATED EMISSION

The matrix element for two soft-collinear gluons can always be written as the sum of an independent and correlated emission part

$$M^2(k_1, k_2) = M^2(k_1)M^2(k_2) + \tilde{M}^2(k_1, k_2)$$



The correlated emission part, if integrated inclusively, is combined with the one-loop one-gluon matrix element to give the running coupling in a physical renormalisation scheme



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Putting things together

- Consider an rIRC safe observable like thrust
- Parton shower (as discussed so far) will produce very similar expression to resummation (e.g. CAESAR master formula):

$$\Sigma_{\text{PS}}(v) = \sum_{m=0}^{\infty} \prod_{i=0}^m \frac{1}{m!} \int \frac{d\xi_i}{\xi_i} \mathcal{P}(\xi_i, \xi_{i+1}) \Theta(v - V(\xi_1, \dots, \xi_m))$$

$$\mathcal{P}(t', t) = \frac{d\Pi(t', t)}{d \ln t'} \quad \Pi(t', t) = e^{-R(t, t')} \quad R(t, t') = \int_{t'}^t \int_{z_-}^{z_+} dt dz \frac{\alpha_s}{2\pi} P(z)$$

- In practice V not evaluated in strict limit \rightarrow leftover higher order corrections
- Apart from that, AO shower reproduces resummed Σ “by construction”

Putting things together

- Consider an rIRC safe observable like thrust
- Parton shower (as discussed so far)
- resummation (e.g. CAESAR master)

$$\Sigma_{\text{PS}}(v) = \sum_{m=0}^{\infty} \prod_{i=0}^m \frac{1}{m!} \int \frac{d\xi_i}{\xi_i}$$

$$\mathcal{P}(t', t) = \frac{d\Pi(t', t)}{d \ln t'} \quad \Pi(t', t)$$

- In practice V not evaluated in strict
- Apart from that, AO shower reproduces

For example here:

SUDAKOV FORM FACTOR

- Strategy: split the exponent in two parts

$$\int [dk] M^2(k) = \int_v [dk] M^2(k) + \int^v [dk] M^2(k) \quad \int_v [dk] M^2(k) \equiv R(v)$$

$$\Sigma(v) = e^{-R(v)} \left\{ e^{-\int^v [dk] M^2(k)} \sum_{n=0}^{\infty} \frac{1}{n!} \int \prod_i [dk_i] M^2(k_i) \Theta(v - V(\{\tilde{p}\}, k_1, \dots, k_n)) \right\}$$

Sudakov form factor

multiple-emission correction

Putting things together

- Consider an rIRC safe observable like thrust
- Parton shower (as discussed so far) will produce very similar expression to resummation (e.g. CAESAR master formula):

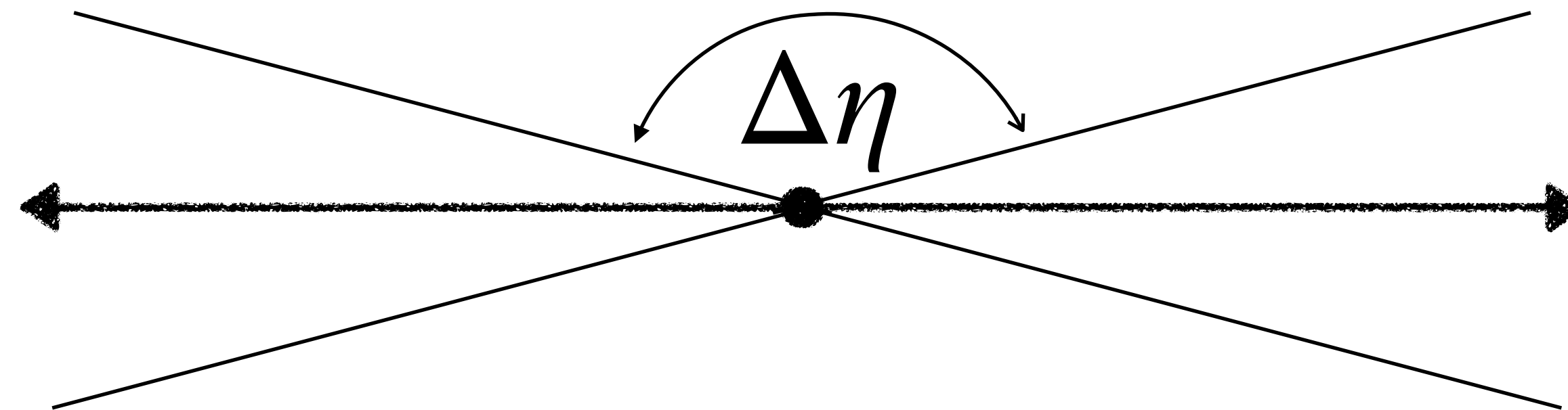
$$\Sigma_{\text{PS}}(v) = \sum_{m=0}^{\infty} \prod_{i=0}^m \frac{1}{m!} \int \frac{d\xi_i}{\xi_i} \mathcal{P}(\xi_i, \xi_{i+1}) \Theta(v - V(\xi_1, \dots, \xi_m))$$

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- In practice V not evaluated in strict limit \rightarrow leftover higher order corrections
- Apart from that, AO shower reproduces resummed Σ “by construction”

Non-global Observables - Dipole showers

- The argument that led us to coherent branching and angular ordering crucially depended on freely averaging over the azimuth ϕ_j !
- What if we are interested in observables that distinguish radiation at different ϕ ?
- Example: radiation into gap between jets \Rightarrow coherence argument fails!



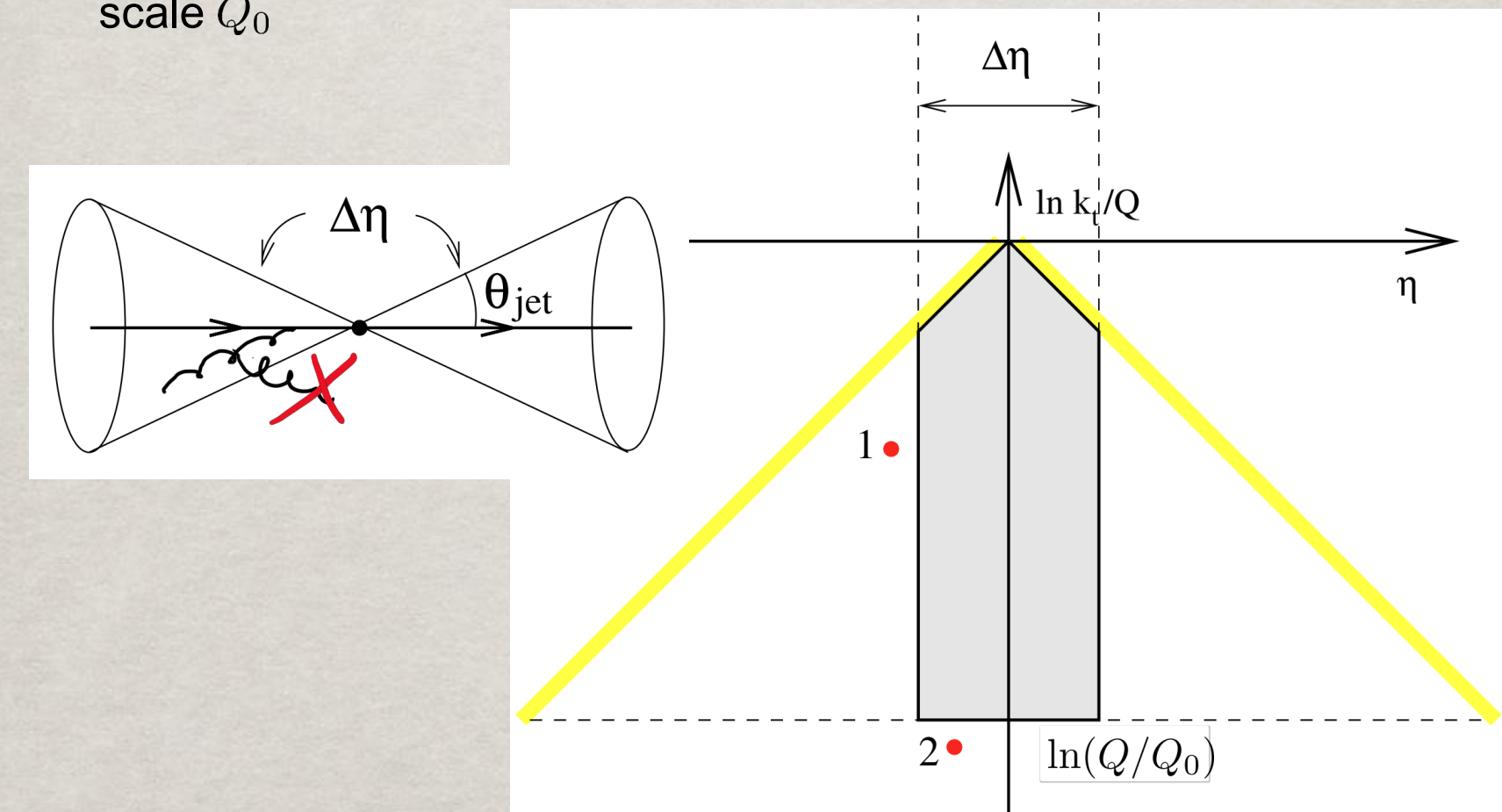
Non-global Observables - Dipole showers

- The argument that led us to coherence crucially depended on freely averaging over different ϕ ?
- Example: radiation into gap between

Those are exactly the NGLs:

NGLS IN THE LUND PLANE

- The energy of the harder gluon (real) spans a single-logarithmic region of size $\ln(Q/Q_0)$
- The softer gluon contribution cancels with virtual corrections below the veto scale Q_0



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Non-global Observables - Dipole showers

- The argument that led us to coherence crucially depended on freely averaging over different ϕ ?
- Example: radiation into gap between

Correct Language are dipoles ordered in k_t :

THE BMS EQUATION

- The factorisation properties of the amplitude squared in the planar limit makes it possible to write closed differential equations for $G_{ij}[Q, u]$
- For $G_{ij}[Q; u]$ we obtain the Banfi-Marchesini-Smye (BMS) equation

[AB Marchesini Smye hep-ph/0206076]

$$Q \frac{\partial}{\partial Q} 1 \rightarrow G_{12} \rightarrow 2 = 1 \rightarrow G_{1a} \rightarrow G_{a2} \rightarrow 2 - 1 \rightarrow \text{loop} \rightarrow G_{12} \rightarrow 2$$

$$(k_{ta}^{(12)})^2 \equiv 2 \frac{(p_1 k_a)(k_a p_2)}{(p_1 p_2)}$$

$$k_{ta}^{(12)} \simeq E_a$$

$$Q \partial_Q G_{12}[Q, u] = \int [dk_a] \bar{\alpha} \left(k_{ta}^{(12)} \right) w_{12}(k_a) \times$$

$$\times \{ u(k_a) G_{1a}[Q, u] G_{a2}[Q, u] - G_{12}[Q; u] \} Q \delta \left(Q - k_{ta}^{(12)} \right)$$

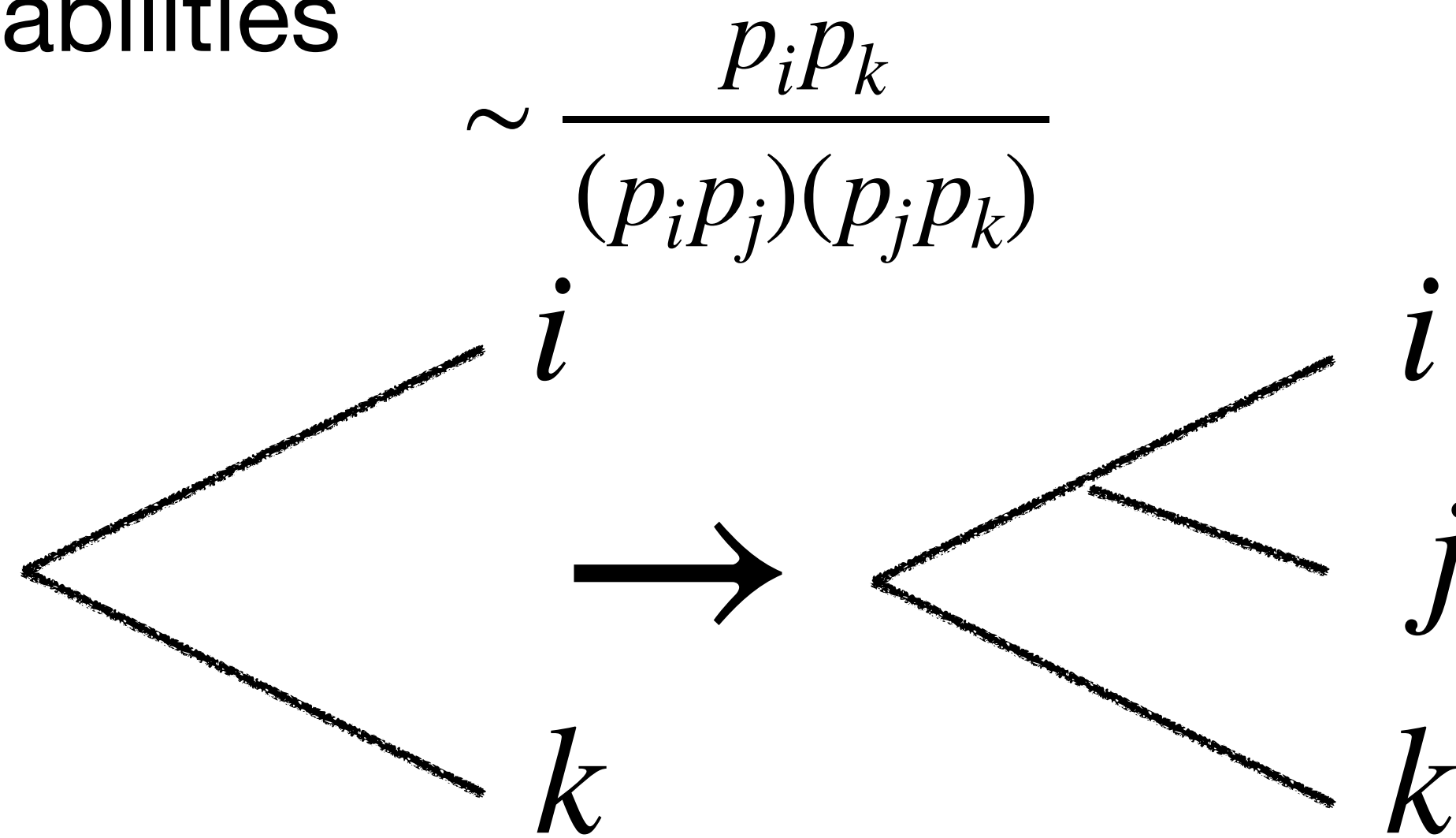
ordering variable

The solution of the BMS equation gives the LL resummation of NGLs

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Dipole Showers!

- Correct language for NGLs are dipoles ordered in transverse momentum.
- Simple interpretation for shower:
Probability for emission from dipole i, k to emit j
- Afterwards, we have new dipoles, (i, k) , (i, j) , (j, k) all emitting with respective probabilities



Addendum: dipole kinematics and matching

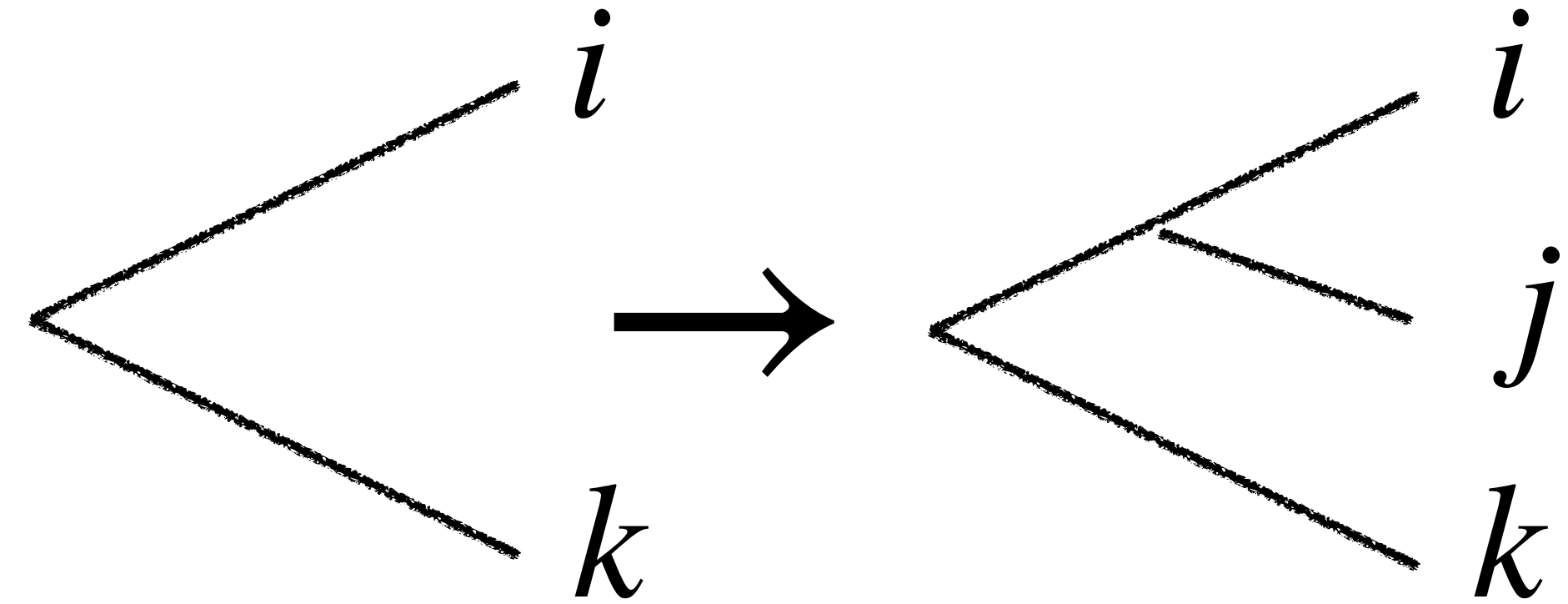
- Dipole showers are the correct language to discuss non-global logarithms
- But also:
 - close correspondence to NLO subtraction schemes, makes matching to fixed order significantly simpler
 - Probably the main reason for their rapid adoption concurrently with the wide availability of automated NLO calculations and PS matching schemes!

Dipole Recoil

- Preserve 4-momentum locally in both,
- Scale t , i.e. after every emission project back to on-shell state
- within the dipole, i.e.

$$\tilde{p}_{ij} + \tilde{p}_k = p_i + p_j + p_k$$

- See e.g. [\[Catani, Seymour '97\]](#)



$$p_i = z\tilde{p}_{ij} + (1 - z)y\tilde{p}_k + k_{\perp}$$

$$p_j = (1 - z)\tilde{p}_{ij} + zy\tilde{p}_k - k_{\perp}$$

$$p_k = (1 - y)\tilde{p}_k .$$

Treatment of multiple emissions e.g. in CAESAR

- generalized rescaling

[Banfi, Salam, Zanderighi '05]:

$$k_t^\rho = k_t \rho$$

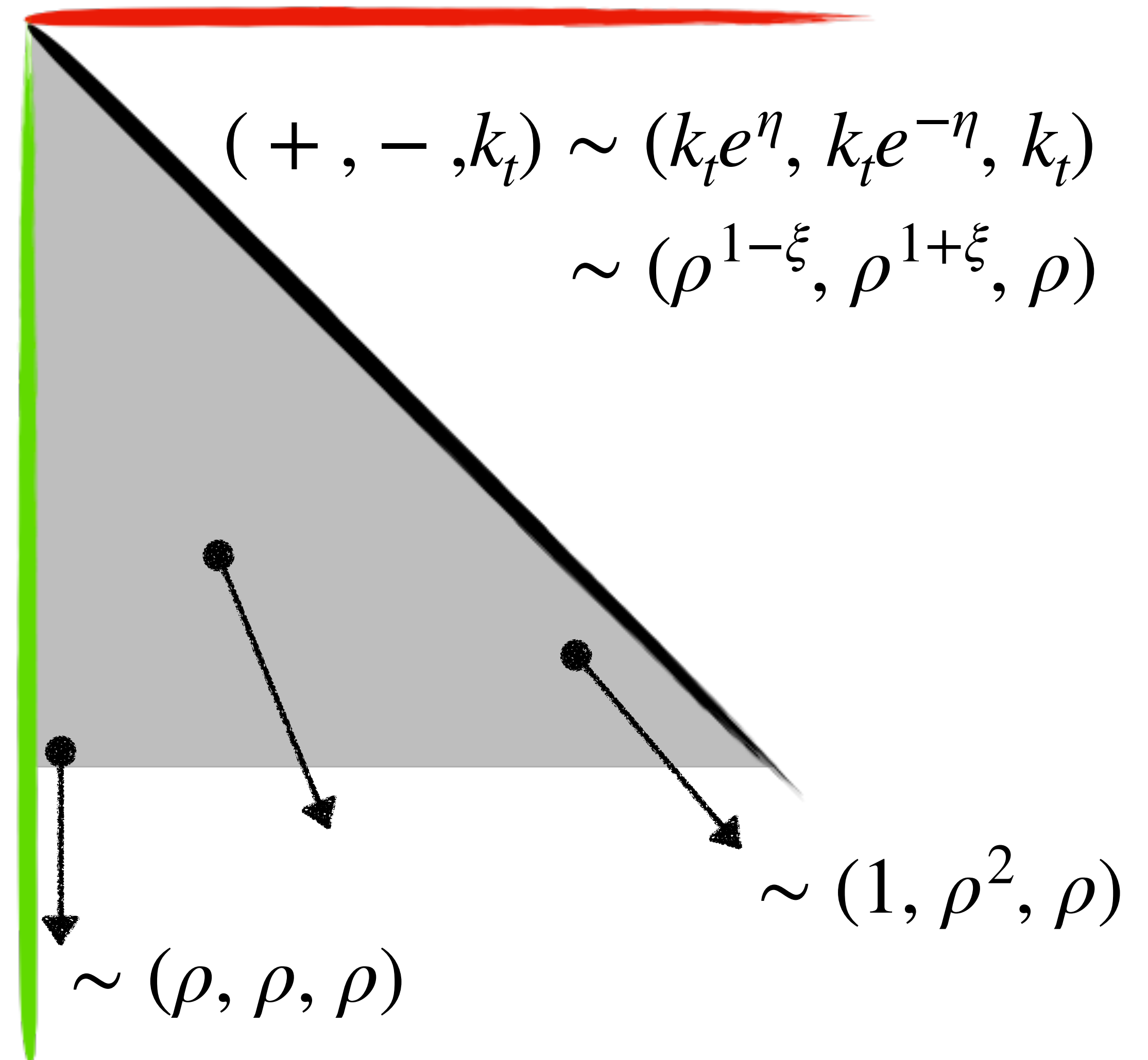
$$\xi = \frac{\eta}{\eta_{\max}}$$

$$\eta^\rho = \eta - \xi \ln \rho$$

and assume

→ numerically
evaluate phase space
integrals in this limit

$$V(k_i^\rho) = \rho V(k_i)$$



* example assuming $V(k_t, \eta) \sim k_t/Q$ for brevity

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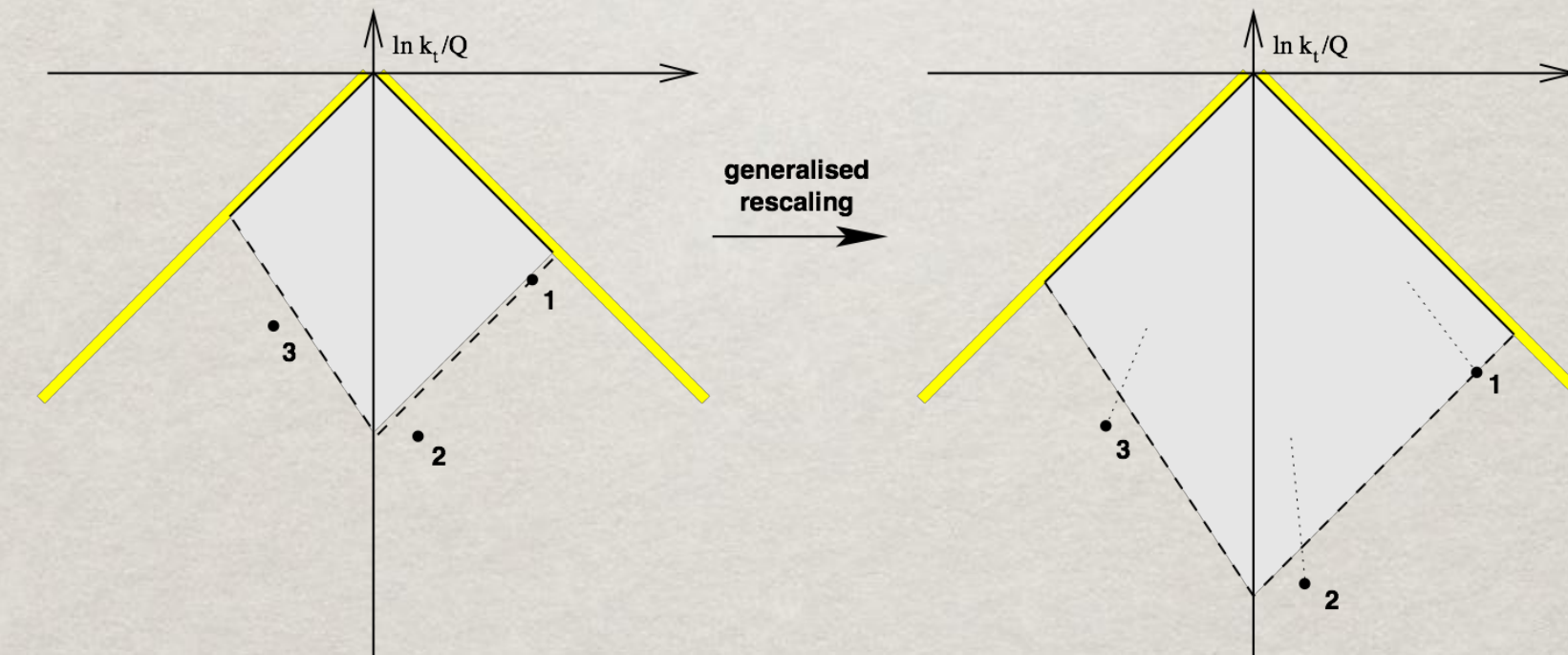
→ numerically
evaluate phase space
integrals in this limit

Generalized rescaling from Andrea's lecture:

RECURSIVE IRC SAFETY CONDITION 1

The requirement that the observable scales in the same way irrespectively of the number of emission is formalised as follows

$$\lim_{v \rightarrow 0} \frac{V(\{\tilde{p}\}, k_1, \dots, k_n)}{v} = \text{finite and non-zero}$$



- This is the first of the requirements known as “recursive” IRC safety
- rIRC safe observables are the only ones that can be resummed so far

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* example assuming $V(k_t, \eta) \sim k_t/Q$ for brevity

Effect of recoil on accuracy

- question: do recoil effects indeed vanish in soft limit (i.e. $\rho \rightarrow 0$)?*

[Dasgupta,Dreyer,Hamilton,Monni,Salam '18]

- consider situation where we first emit \tilde{p}_{ij} from p_a, p_b , then emit p_j ,

$$\tilde{p}_{ij} \rightarrow p_i, p_j$$

- transverse momentum of p_i will be

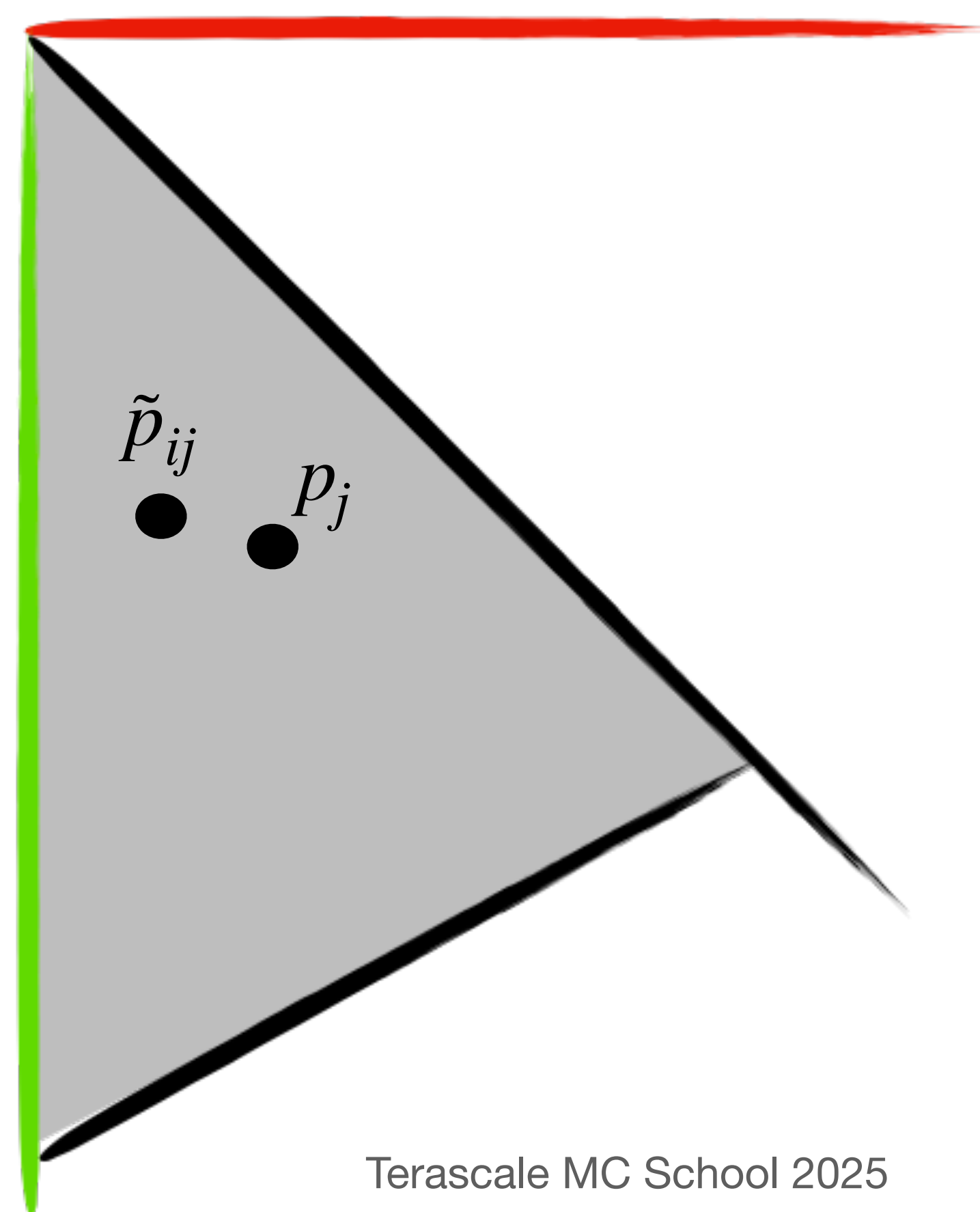
$$k_t^i \sim k_t^{ij} + k_t^j \rightarrow k_t^{ij} \text{ as } \frac{k_t^j}{k_t^i} \rightarrow 0$$

- but, relevant limit is $\frac{\Delta k_t^i}{k_t^i} \rightarrow \frac{\rho k_t^j}{\rho k_t^i} = \mathcal{O}(1)$

$$p_i = z\tilde{p}_{ij} + (1-z)y\tilde{p}_k + k_\perp$$

$$p_j = (1-z)\tilde{p}_{ij} + zy\tilde{p}_k - k_\perp$$

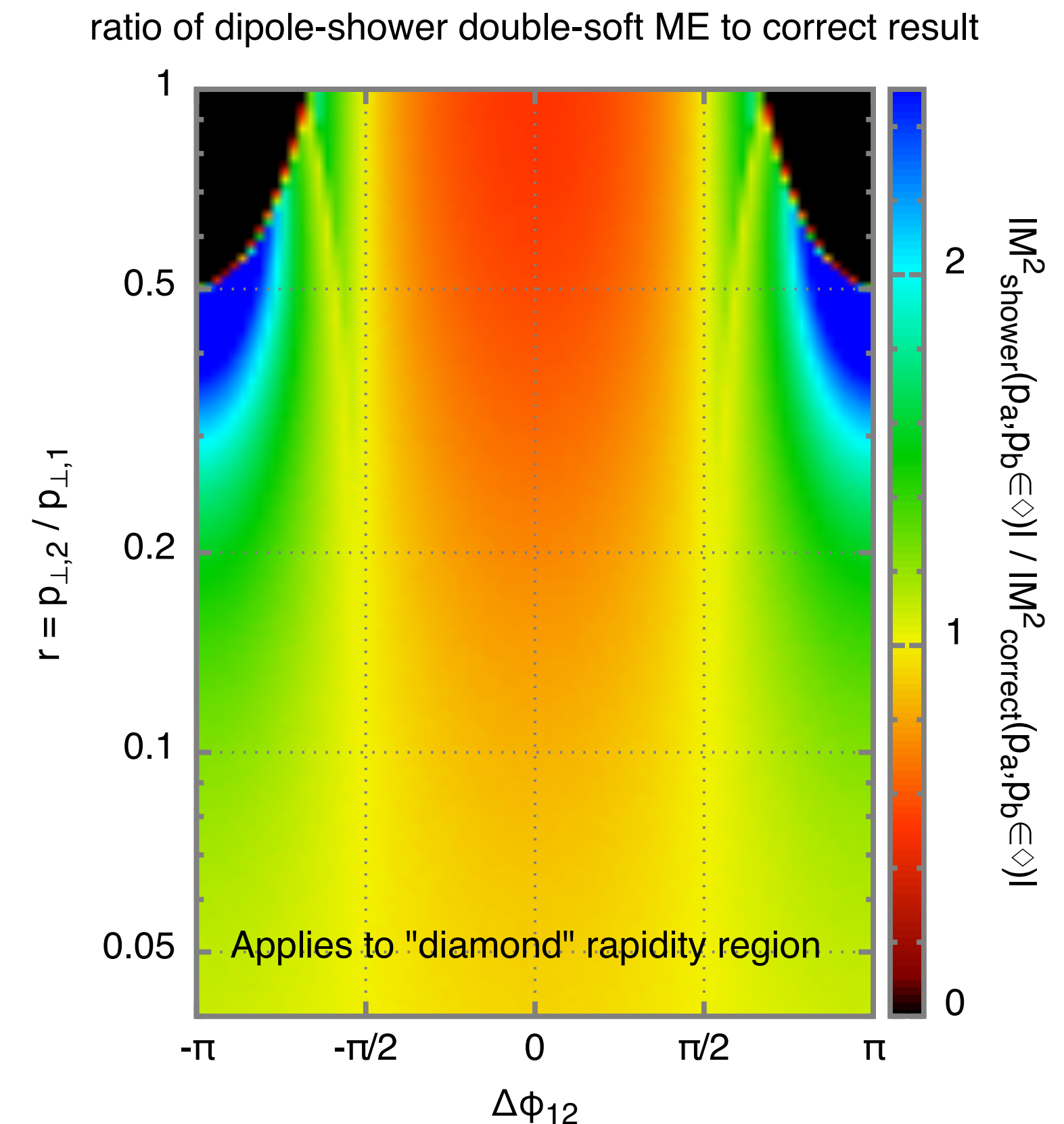
$$p_k = (1-y)\tilde{p}_k .$$



Effect of recoil on accuracy

- With local dipole recoil, effects stay relevant even in the soft limit.
- Spoils NLL accuracy of most currently used (e.g. by ATLAS/CMS) dipole showers.

[Dasgupta, Dreyer, Hamilton, Monni, Salam '18]



New Parton Showers - NLL accuracy

- Several solutions/re-evaluations of parton shower concepts:
- [\[Dasgupta, Dreyer, Hamilton, Monni, Salam, Soyez '20\]](#), [\[vanBeekveld, Ferrario Ravasio, Hamilton, Salam, Soto-Ontoso, Soyez '22\]](#) ...
 - partitioning of splitting functions and appropriate choice of evolution variable can lead to NLL accurate shower for local and global recoil strategies
- [\[Forshaw, Holguin, Plätzer '20\]](#)
 - Connections between angular ordered and dipole showers
- [\[Nagy, Soper '11\]](#)
 - local transverse, global longitudinal recoil
- [\[Herren, Höche, Krauss, DR, Schönherr, '22\]](#), [\[Höche, Asse '23\]](#), [\[Höche, Krauss, DR '24\]](#)
 - global recoil, enables analytic comparison to resummation and proof of NLL accuracy
- [\[Preuss '24\]](#)
 - global recoil in antenna shower Vincal

Numerical accuracy tests

- First attempt: try to list all the differences between showers and resummation, look at the differences [\[Höche, DR, Siegert '17\]](#)

- General expression:
$$\Sigma(v) = \exp \left\{ - \int_v \frac{d\xi}{\xi} R'_{>v}(\xi) - \int_{v_{\min}}^v \frac{d\xi}{\xi} R'_{<v}(\xi) \right\} \times \sum_{m=0}^{\infty} \frac{1}{m!} \left(\prod_{i=1}^m \int_{v_{\min}} \frac{d\xi_i}{\xi_i} R'_{<v}(\xi_i) \right) \Theta \left(v - \sum_{j=1}^m V(\xi_j) \right)$$

$$R'_{\leq v}(\xi) = \frac{\alpha_s^{\leq v, \text{soft}}(\mu_{\leq v}^2)}{\pi} \int_{z_{\min}}^{z_{\leq v, \text{soft}}^{\max}} dz \frac{C_F}{1-z} - \frac{\alpha_s^{\leq v, \text{coll}}(\mu_{\leq v}^2)}{\pi} \int_{z_{\min}}^{z_{\leq v, \text{coll}}^{\max}} dz C_F \frac{1+z}{2}$$

- Can give either shower or resummed result, depending on choices

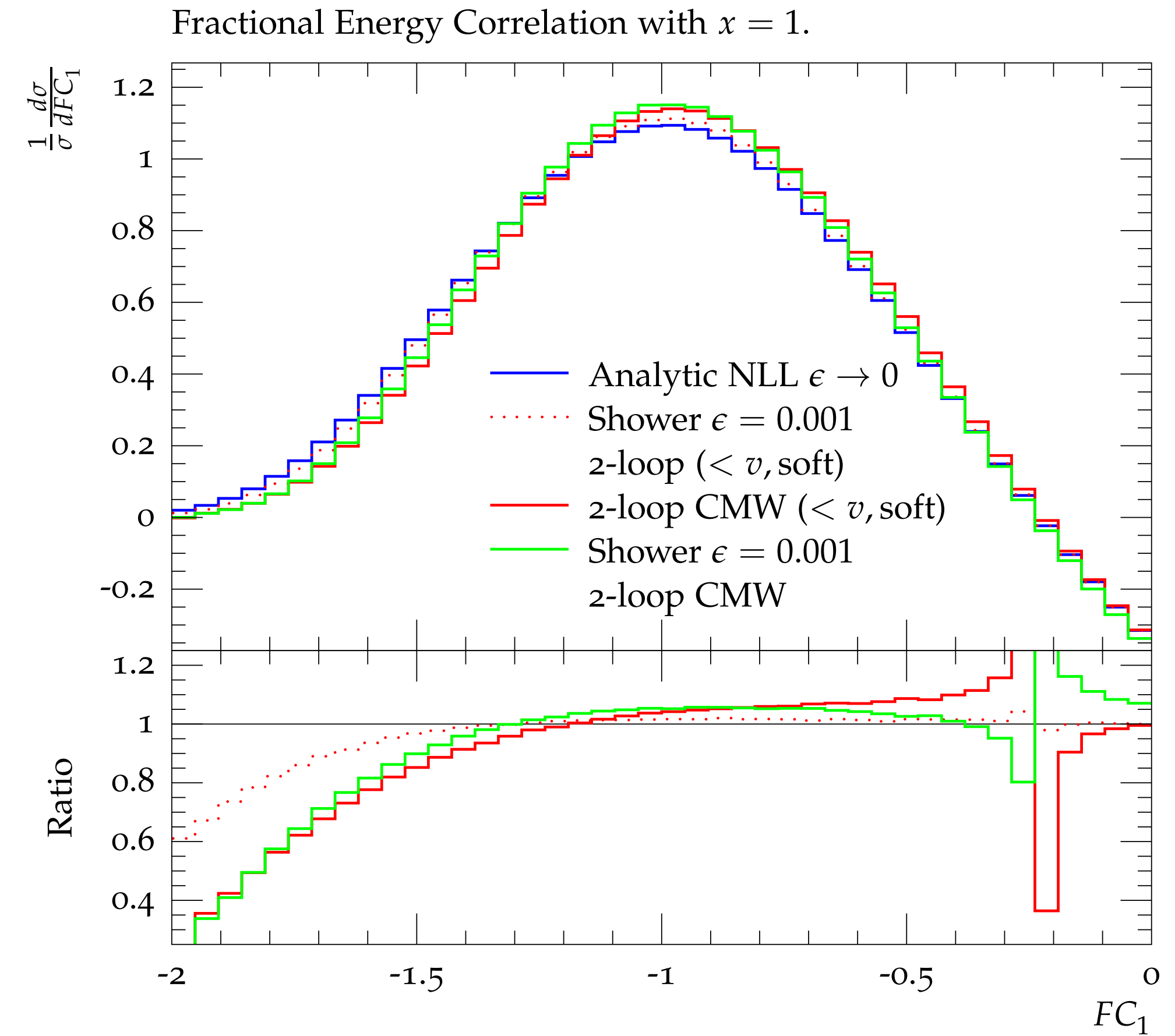
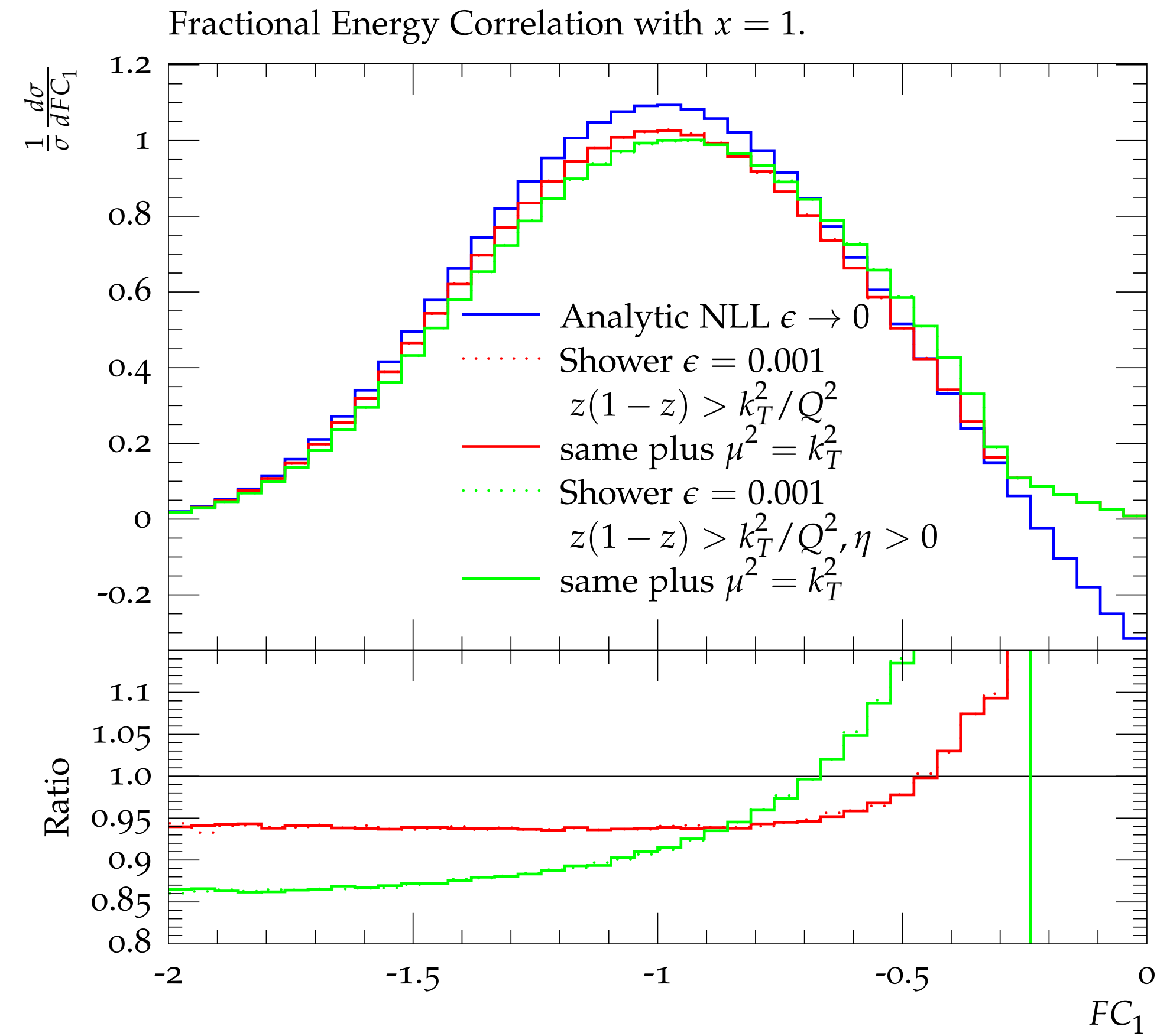
Numerical accuracy tests

$$R'_{\leqslant v}(\xi) = \frac{\alpha_s^{\leqslant v,\text{soft}}(\mu_{\leqslant v}^2)}{\pi} \int_{z^{\min}}^{z_{\leqslant v,\text{soft}}^{\max}} dz \frac{C_F}{1-z} - \frac{\alpha_s^{\leqslant v,\text{coll}}(\mu_{\leqslant v}^2)}{\pi} \int_{z^{\min}}^{z_{\leqslant v,\text{coll}}^{\max}} dz C_F \frac{1+z}{2}$$

- Can give either shower or resummed result, depending on choices

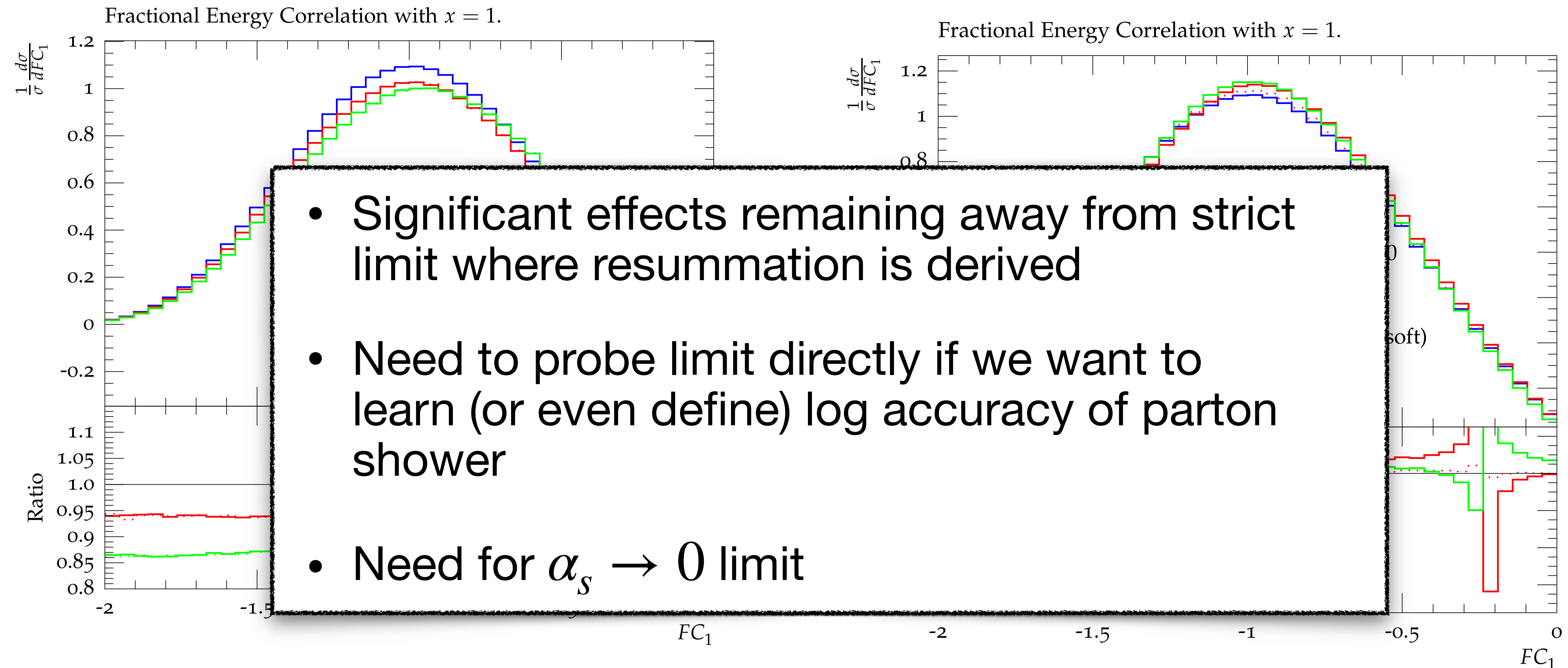
	NLL	Parton Shower		NLL	Parton Shower
$z_{>v,\text{soft}}^{\max}$	$1 - (\xi/Q^2)^{\frac{a+b}{2a}}$		$z_{>v,\text{coll}}^{\max}$	1	$1 - (\xi/Q^2)^{\frac{a+b}{2a}}$
$\mu_{>v,\text{soft}}^2$	$\xi(1-z)^{\frac{2b}{a+b}}$		$\mu_{>v,\text{coll}}^2$	ξ	$\xi(1-z)^{\frac{2b}{a+b}}$
$\alpha_s^{>v,\text{soft}}$	2-loop CMW		$\alpha_s^{>v,\text{coll}}$	1-loop	2-loop CMW
$z_{<v,\text{soft}}^{\max}$	$1 - v^{\frac{1}{a}}$	$1 - (\xi/Q^2)^{\frac{a+b}{2a}}$	$z_{<v,\text{coll}}^{\max}$	0	$1 - (\xi/Q^2)^{\frac{a+b}{2a}}$
$\mu_{<v,\text{soft}}^2$	$Q^2 v^{\frac{2}{a+b}} (1-z)^{\frac{2b}{a+b}}$	$\xi(1-z)^{\frac{2b}{a+b}}$	$\mu_{<v,\text{coll}}^2$	n.a.	$\xi(1-z)^{\frac{2b}{a+b}}$
$\alpha_s^{<v,\text{soft}}$	1-loop	2-loop CMW	$\alpha_s^{<v,\text{coll}}$	n.a.	2-loop CMW

Numerical accuracy tests



[Höche, DR, Siegert '17]

Numerical accuracy tests



[Höche, DR, Siegert '17]

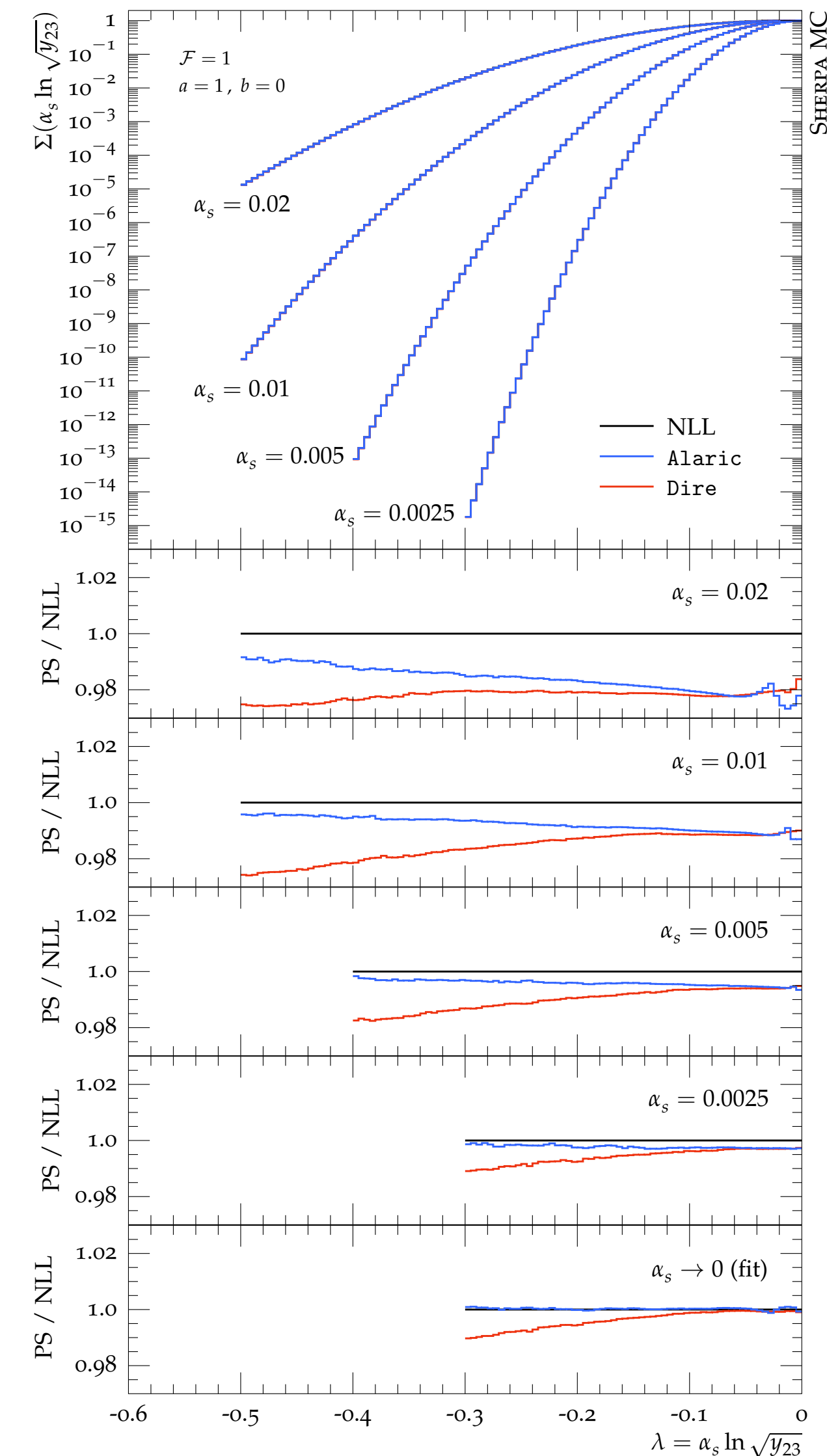
Numerical accuracy tests

- Limit $\alpha_s \rightarrow 0$ with $\lambda = \alpha_s L = \text{const.}$ (see [\[Dasgupta, Dreyer, Hamilton et. al. '20\]](#)) of

$$\frac{\Sigma_{\text{Shower}}}{\Sigma_{\text{NLL}}} \sim \exp \left(f_{\text{Shower}}^{LL} - L g_1(\alpha_s^n L^n) \right) \\ \times \exp \left(f_{\text{Shower}}^{NLL} - g_2(\alpha_s^n L^n) \right) \\ \times \exp \left(\mathcal{O}(\alpha_s^{n+1} L^n) \right)$$

$\rightarrow 1$ if shower reproduces
LL, NLL logs

- Observable: jet resolution y_{23} in Cambridge jet measure, $\mathcal{F} = 1 \rightarrow$ only largest emission matters, check that additional shower emissions vanish

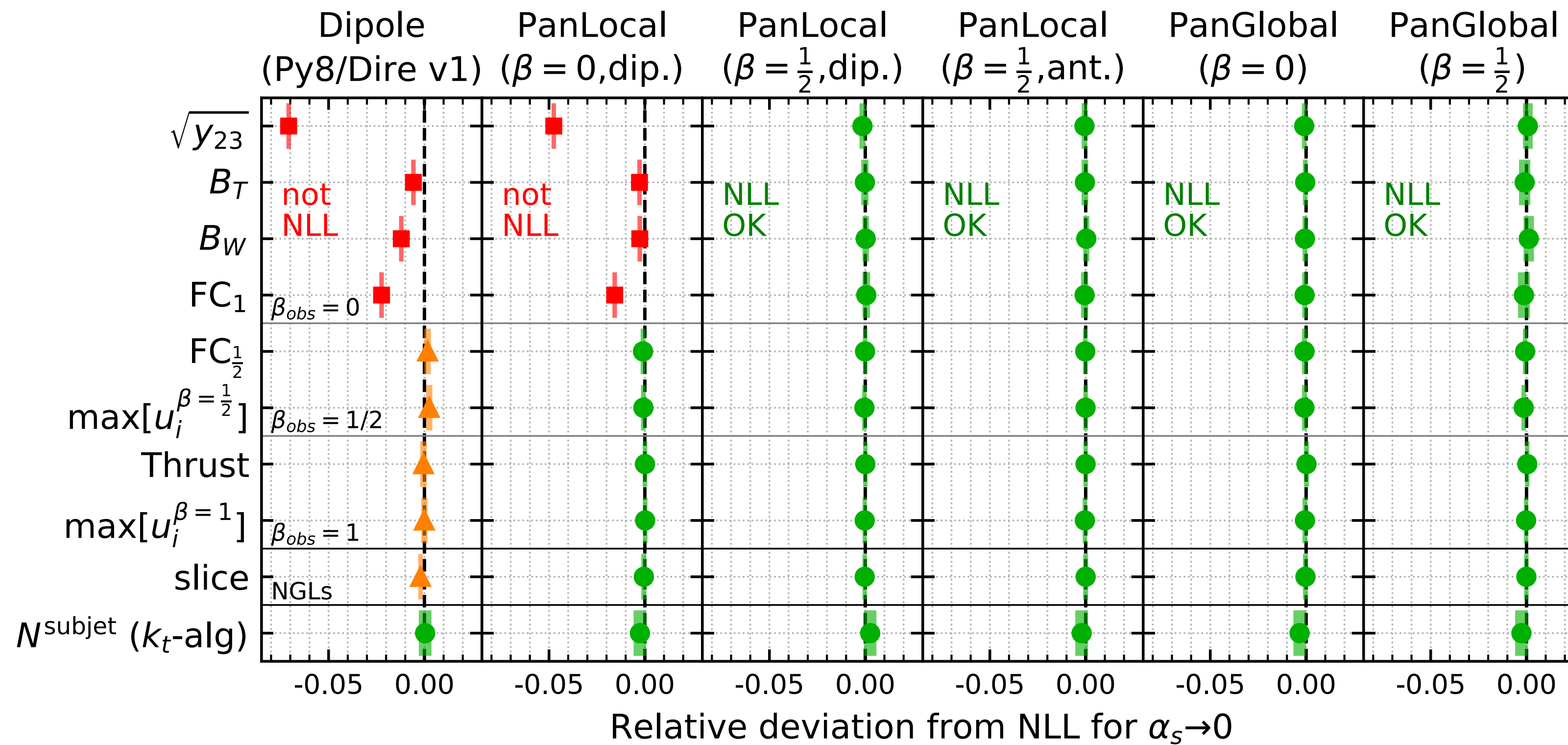


[Herren, Höche, Krauss, DR, Schönherr, '22]

Numerical accuracy tests

- Most extensive sets of tests by PanScales collaboration

[\[Dasgupta, Dreyer, Hamilton et. al. '20\]](#)

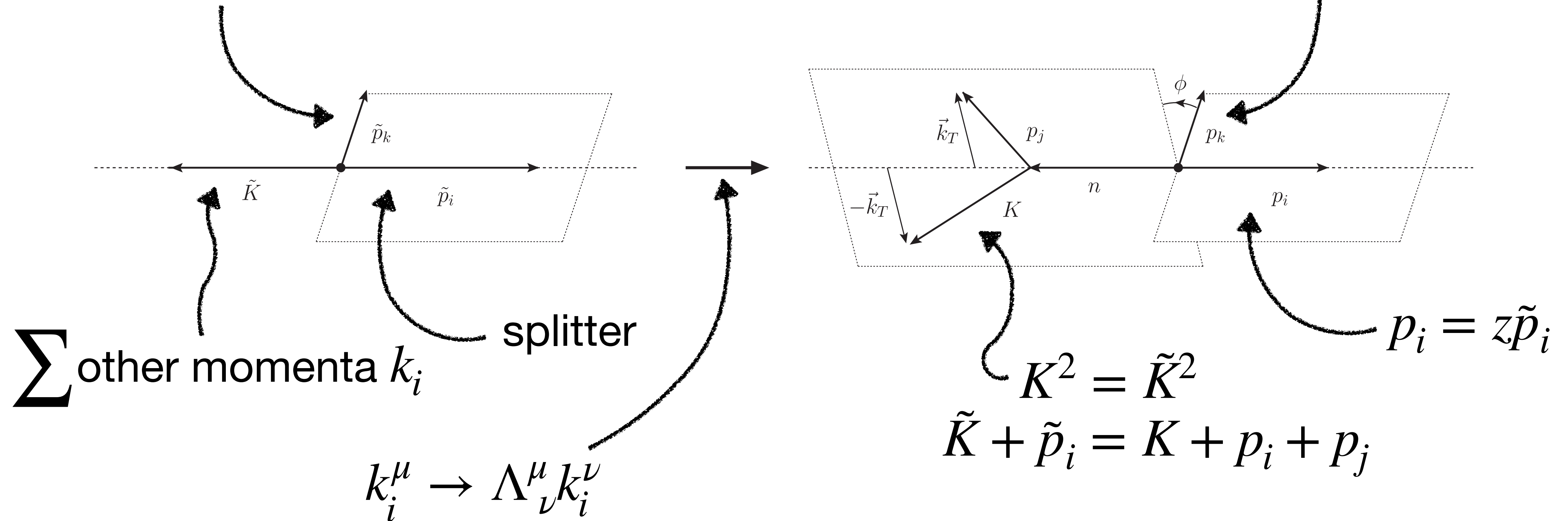


Kinematics - global recoil scheme (Alaric example)

[Herren, Höche, Krauss, DR, Schönherr, '22]

- Before splitting:
colour spectator

- After splitting:



\sum other momenta k_i

splitter

$$k_i^\mu \rightarrow \Lambda^\mu_\nu k_i^\nu$$

[Catani, Seymour '97]

$$\Lambda^\mu_\nu = g^\mu_\nu - \frac{(K + \tilde{K})^\mu (K + \tilde{K})_\nu}{K \cdot \tilde{K} + \tilde{K}^2} + 2 \frac{K^\mu \tilde{K}_\nu}{\tilde{K}^2} \rightarrow \Lambda^\mu_\nu \tilde{K}^\nu = K^\mu$$

What about angular ordered showers?

- Original recoil scheme:
 - keep momenta off-shell during shower
 - at the end, boost jets to globally preserve momentum
- But modern “local” schemes can be problematic: [\[Berwick, Ferrario-Ravasio, Richardson, Seymour '19\]](#)
- q_T preserving scheme favored by data in hard region, but not log-preserving
- q^2 preserving scheme has problems describing data, but theoretically solid in the soft limit
- Solution: new “dot product” preserving scheme as middle ground

