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Top-quark pole mass from $pp \rightarrow l l \nu \nu b b j$ events

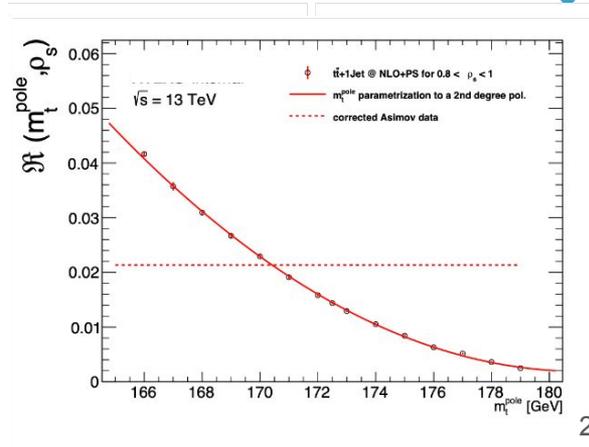
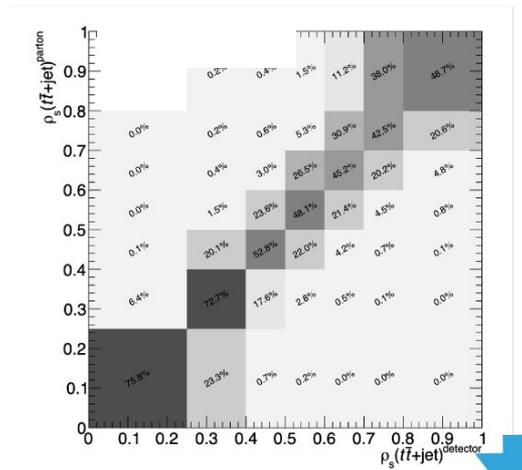
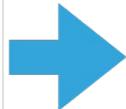
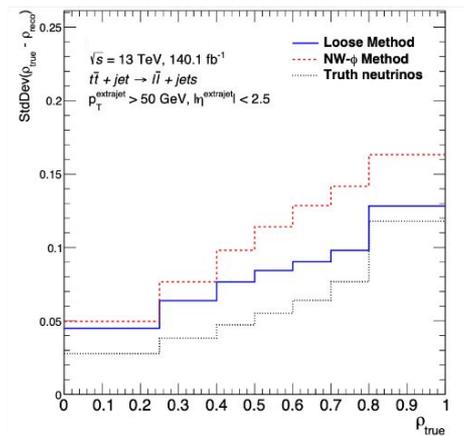
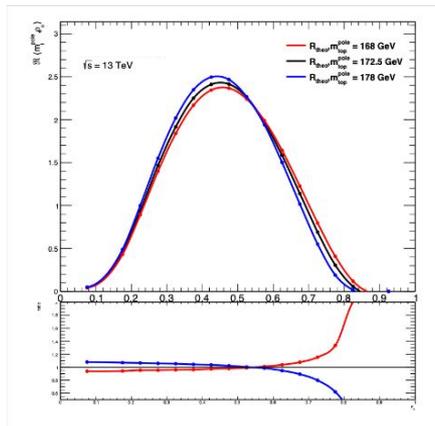
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Top Mass Workshop
Desy, 29th January 2024



Introduction



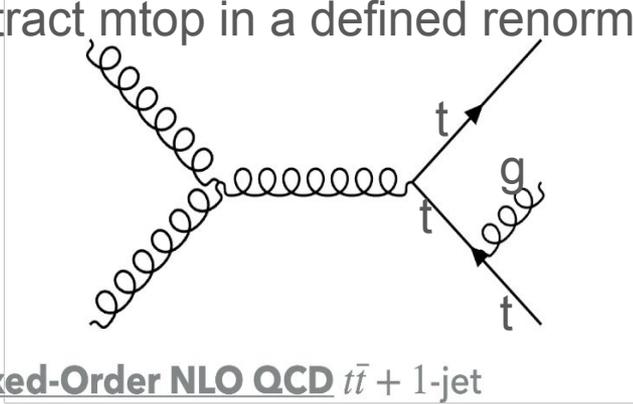
Normalised differential cross-section of $t\bar{t} + 1\text{jet}$ events found to be powerful to measure m_{Top} .

Usual steps to perform the measurement:

- **define observable** $\mathcal{R}(m_t^{\text{pole}}, \rho_s) = \frac{1}{\sigma_{\bar{t}\bar{t}+1\text{-jet}}} \cdot \frac{d\sigma_{\bar{t}\bar{t}+1\text{-jet}}}{d\rho_s}, \quad \rho_s = \frac{2m_0}{\sqrt{s_{\bar{t}\bar{t}+1\text{-jet}}}}$
- **select events** and reconstruct “ $t\bar{t} + 1\text{jet}$ ” system at detector level
- **unfold** (i.e. correct for some effects) to a defined theory/truth level
- get mass from a χ^2 -fit to theory

Introduction - theory predictions available

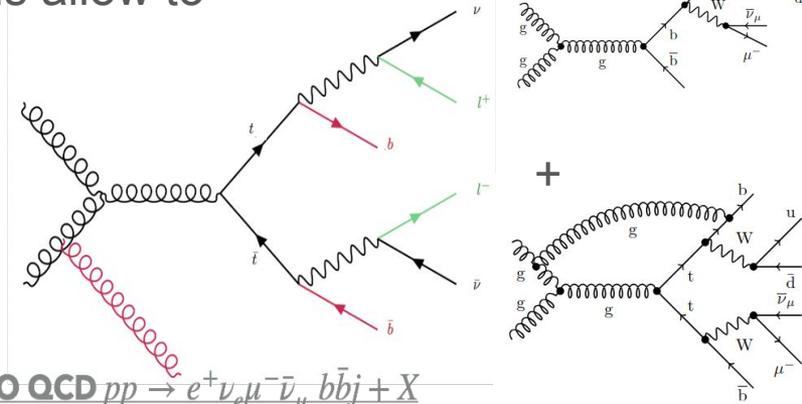
Comparison to fixed order (>)NLO QCD predictions allow to extract m_{top} in a defined renormalization scheme



► **Fixed-Order NLO QCD** $t\bar{t} + 1\text{-jet}$

- provided by “ttbarj” in Powheg-Box-v2 [[1110.5251](#)]
- 2->3 process, top-quarks are “stable”
- scale choices and other parameters studied (for 13 TeV) in [[2202.07975](#)]

$$\frac{E_T}{2}: E_T = \sum_{i=1}^3 \sqrt{p_{T,i}^2 + m_i^2}$$



► **FO NLO QCD** $pp \rightarrow e^+ \nu_e \mu^- \bar{\nu}_\mu b \bar{b} j + X$

- provided by authors of [[1509.09242](#)]
- scale choices suggested
 Scale $\frac{H_T}{2}$: $H_T = p_{T,e^+} + p_{T,\mu^-} + p_{T,b_1} + p_{T,b_2} + p_{T,j} + p_T^{\text{miss}}$
- 2->7 process, diagrams with no tops, single-top, off-shell top-quarks included. Full off-shell effects also included.

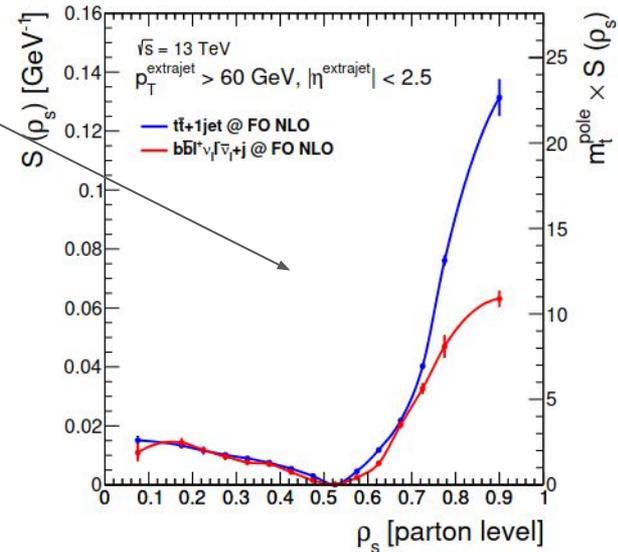
Advantages/disadvantages

Comparison of the 2->3 and 2->7 approaches:

- 3-objects system or 7-objects system used in the definition of ρ_s
- **sensitivity** to top-quark mass is higher for 2->3 prediction
 - the same %unc at the observable level translates in a larger unc on extracted mass for the 2->7 compared to the 2->3
 - most sensitive region is for $\rho_s > 0.7$
- 2->7 in principle require **“less” unfolding**:
 - no need to correct for top-quark decay effects, the 2->7 level is closer to detector-level objects
 - in practice (so far) unfolding found to be similar:
 - strong cuts are applied at detector level which cannot be applied at truth level
 - unfolding is usually MonteCarlo-based
 - need a simulation to the detector level
 - need to match the definition of the theory levels by an adequate truth MC definition

$$\mathcal{R}(m_t^{\text{pole}}, \rho_s) = \frac{1}{\sigma_{t\bar{t}+1\text{-jet}}} \cdot \frac{d\sigma_{t\bar{t}+1\text{-jet}}}{d\rho_s},$$

$$\rho_s = \frac{2m_0}{\sqrt{s_{t\bar{t}+1\text{-jet}}}}, m_0 \text{ fixed to } 170 \text{ GeV}$$



Unfolding - definition of truth levels in MC

Truth level definition for 2->3 process

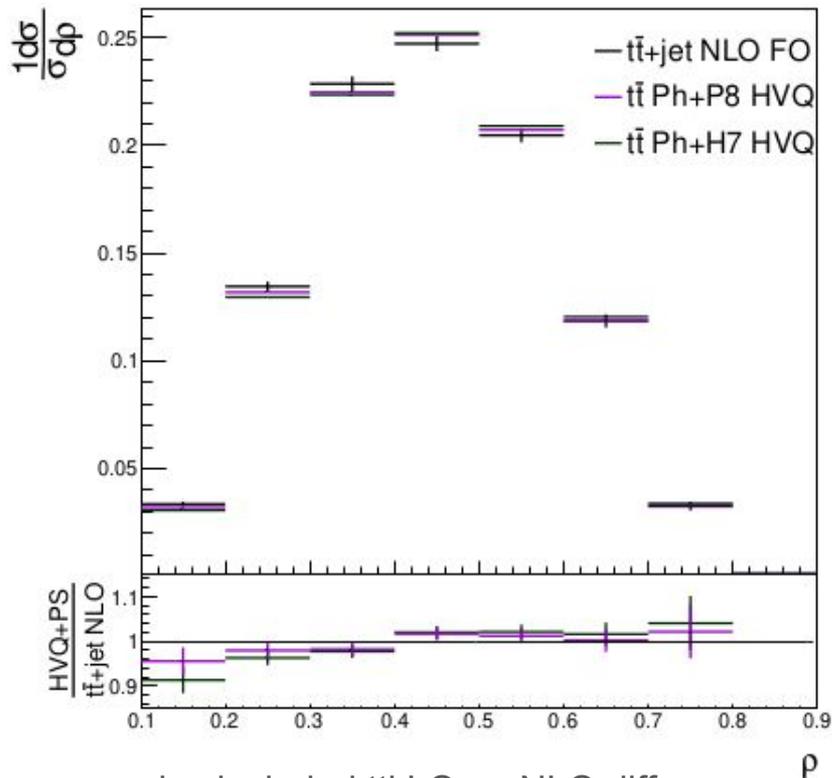
- ▶ **Last top quarks before decay after ISR/FSR (daughter not top quark)**
- ▶ Hardest additional jet in $|\eta| < 2.5$:
- ▶ anti- $k_T R = 0.4$ on last particles **before hadronization after ISR/FSR**
- ▶ **Pythia 8: status 62**
- ▶ **Herwig 7: MC gen. chain** (daughter particle is hadron)
- ▶ Top-quark decay products removed

Truth level definition for 2->7 process

- ▶ $t\bar{t} + tW$ Ph+Py8 MC stack:
- ▶ **Parton jets:** Last particles before hadronization, as above
- ▶ Clustering anti- $k_T R = 0.4$:
 - ▶ b-jets matched to b-quarks, $\Delta R < 0.4$
- ▶ \mathcal{R} : Two b-jets, two leptons and neutrinos from W (no taus), one additional jet
 - ▶ Require $\Delta R > 0.4$ between all objects (same as theory calculation)
 - ▶ Same p_T cuts as the reco-distribution

Unfolding - definition of truth levels in MC

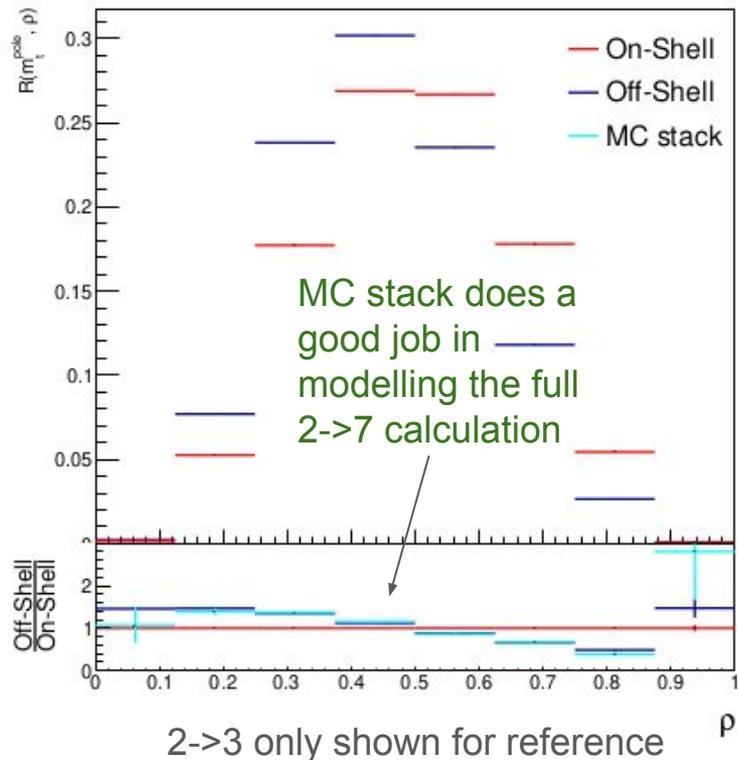
Truth level definition for 2->3 process



here are also included $t\bar{t}j$ LO-vs-NLO diffs.

Truth level definition for 2->7 process

► $t\bar{t} + tW$ Ph+Py8 MC stack:



Unfolding - correction factors 1

Bkg-subtracted data (no tW subtracted for 2->7 case) is corrected with bin-by-bin factor for events not passing truth-level cuts, but reconstructed at detector level

$$f_i^{\text{acc}} = \frac{\frac{d\sigma_{t\bar{t}+1\text{-jet}}}{d\rho_s} (\text{detector + parton phase space})_i^{\text{MC,det}}}{\frac{d\sigma_{t\bar{t}+1\text{-jet}}}{d\rho_s} (\text{detector phase space})_i^{\text{MC,det}}}.$$

- Larger correction for 2->7 truth level, as it has less inclusive cuts

Unfolding - correction factors 2

The IBU unfolding algorithm is given a **response matrix** to handle the bin migrations from detector to truth level.

Similar migrations
for the two truth
level definitions.

2->3 migration matrix

2->7 migration matrix

Unfolding - correction factors 3

The **unfolded distribution is corrected with a bin-by-bin factor** for events passing truth level cuts, but not surviving the detector-level selection

$$f_i^{\text{eff}} = \frac{\frac{d\sigma_{t\bar{t}+1\text{-jet}}}{d\rho_s} (\text{detector + parton phase space})_i^{\text{MC}}}{\frac{d\sigma_{t\bar{t}+1\text{-jet}}}{d\rho_s} (\text{parton phase space})_i^{\text{MC}}}$$

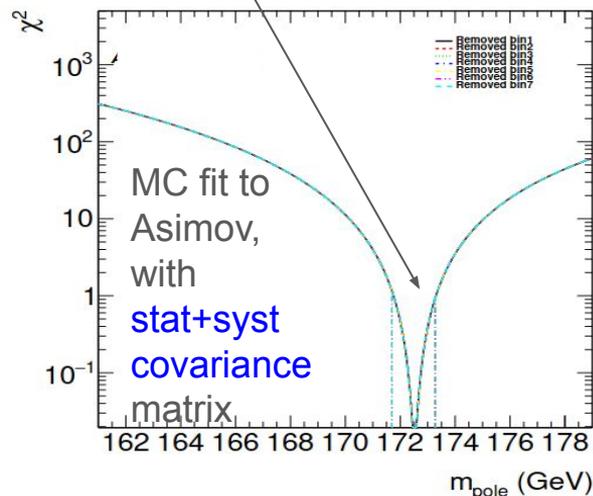
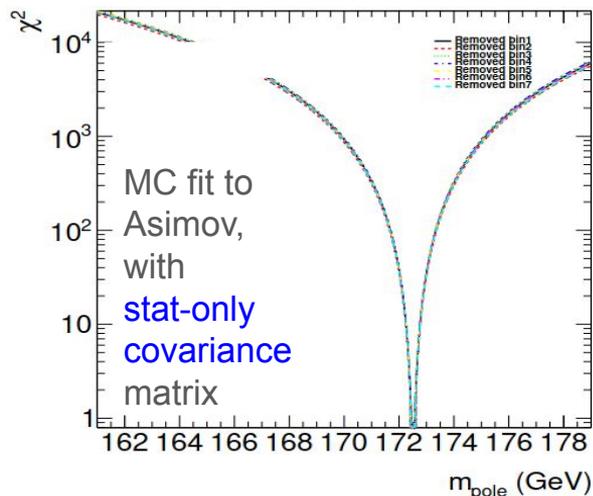
Smaller
extrapolation
needed for 2->7
truth level, as its
fiducial phase
space definition is
closer to the
detector level one
(#objects,)

Fit - chi2 and bin removal

mTop value and uncertainty extracted minimizing

$$\chi^2 = \sum_{i,j} \left[\mathcal{R}_{\text{data}}^{t\bar{t}+1\text{-jet}} - \mathcal{R}_{\text{theo@NLO}}^{t\bar{t}+1\text{-jet}}(m_t^{\text{pole}}) \right]_i [V^{-1}]_{ij} \left[\mathcal{R}_{\text{data}}^{t\bar{t}+1\text{-jet}} - \mathcal{R}_{\text{theo@NLO}}^{t\bar{t}+1\text{-jet}}(m_t^{\text{pole}}) \right]_j$$

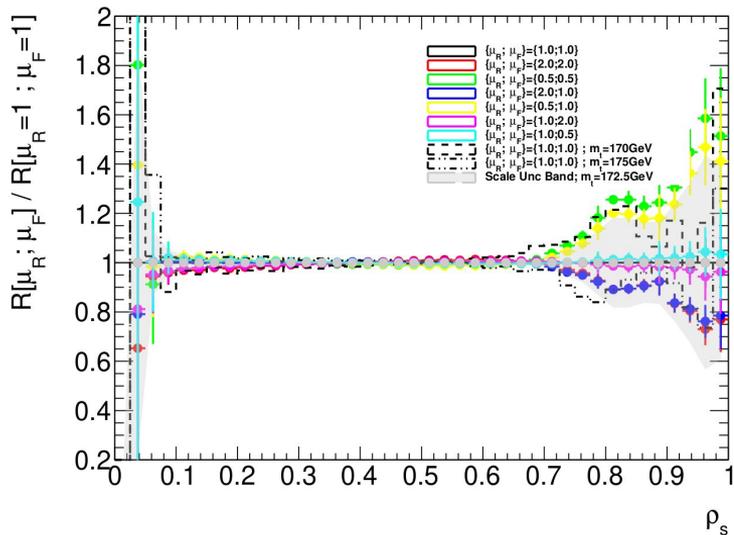
- one bin removed from sum (normalized distributions) -> removed first bin
- uncertainty obtained requiring looking where $\chi^2 = \chi^2_{\text{min}} + 1$ (cross-checked with toys)



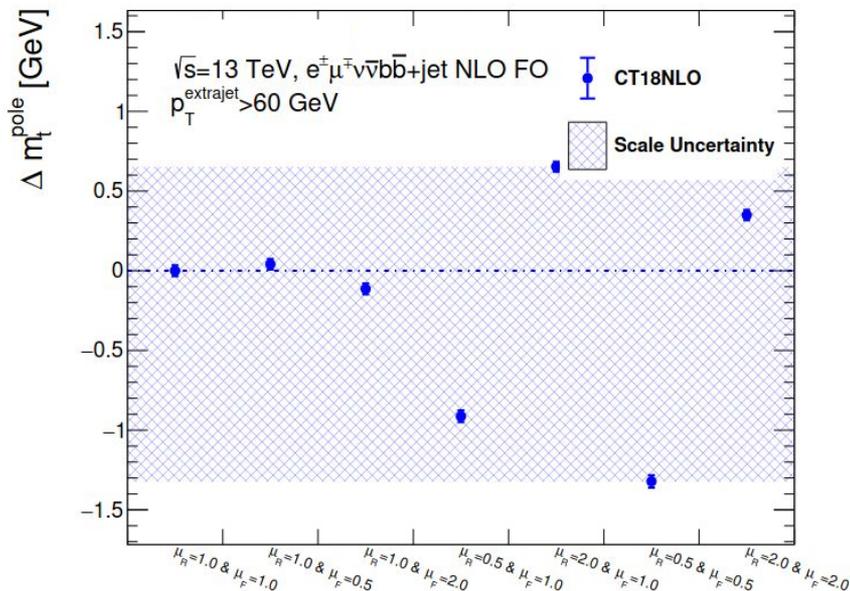
Theoretical uncertainty - scales

Theoretical uncertainties on m_{Top} estimated by fitting nominal theoretical template to alternative theory prediction, through a chi2 fit

Scale variation impact on observable (wrt nominal)



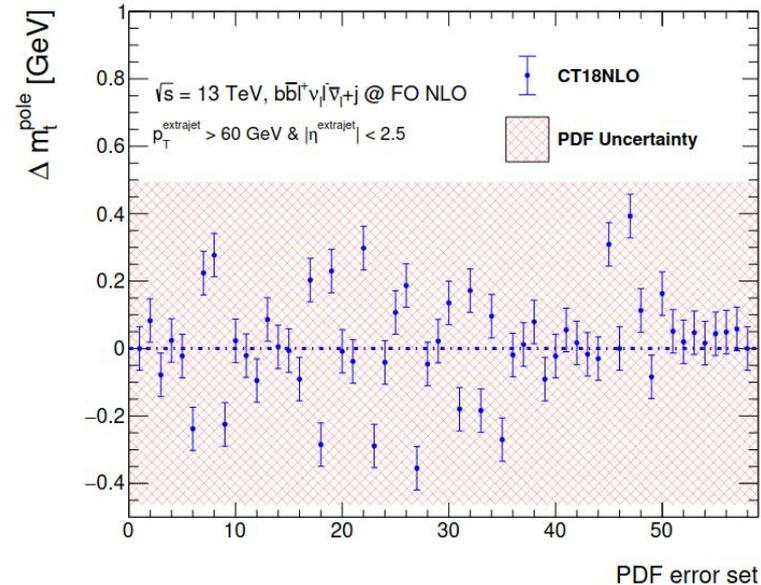
Scale variation impact on m_{Top}



Large impact of scale variations for 2- \rightarrow 7 prediction on m_{Top} , in line with what was predicted by authors of calculation

Theoretical uncertainty - PDFs

Theoretical uncertainties on m_{Top} estimated by fitting nominal theoretical template to alternative theory prediction, through a χ^2 fit



PDF uncertainty affecting the theory calculation evaluated independently to the PDF uncertainty affecting the unfolding process

Preliminary result

Uncertainty Source	Δm_i^{pole} [GeV]
Theory Unc.	
Scale variations	+0.66 -1.34
PDF	+0.49 -0.46
Total	+1.86 -2.19

Blinded data mass values of 2->3 and 2->7 compared to check their compatibility:

$$m_{(2 \rightarrow 7)} = m_{(2 \rightarrow 3)} + 1.19 \text{ GeV}$$

difference covered by scale uncertainties in the theory calculations (-1.34 GeV for 2->7)

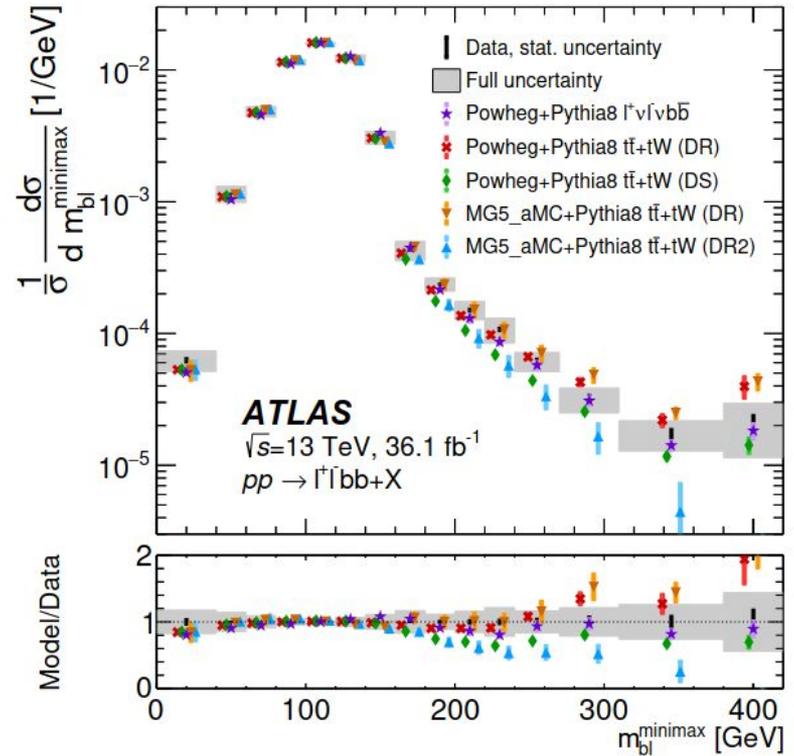
Frequently Asked Questions

cut on $m(lb)$ variable

The m_{Top} analysis cuts on $m(lb)$ variable to avoid a phase space region which was found to be mismodelled in other analysis using the same final state and similar event selection.

Unfortunately such **cut also removes phase space where off-shell effects** are more important.

This is a limitation of the current analysis/status of MC modelling. Expect not to be an issue in the future



bb4l cross-check

The **cleanest approach** for the 2->7 analysis is to **use a matrix-element MC generator which simulates the 7-parton final state**, then matched to parton shower.

This is the case for the “*bb4l*” *Monte Carlo* simulation available in Powheg.

Cross-checked unfolding with bb4l or the MC-stack of ttbar(hvq)+tW.

$$m_{2 \rightarrow 7}^{\text{bb4l}} = m_{2 \rightarrow 7}^{\text{tt+tW}} - 100 \text{ MeV}$$

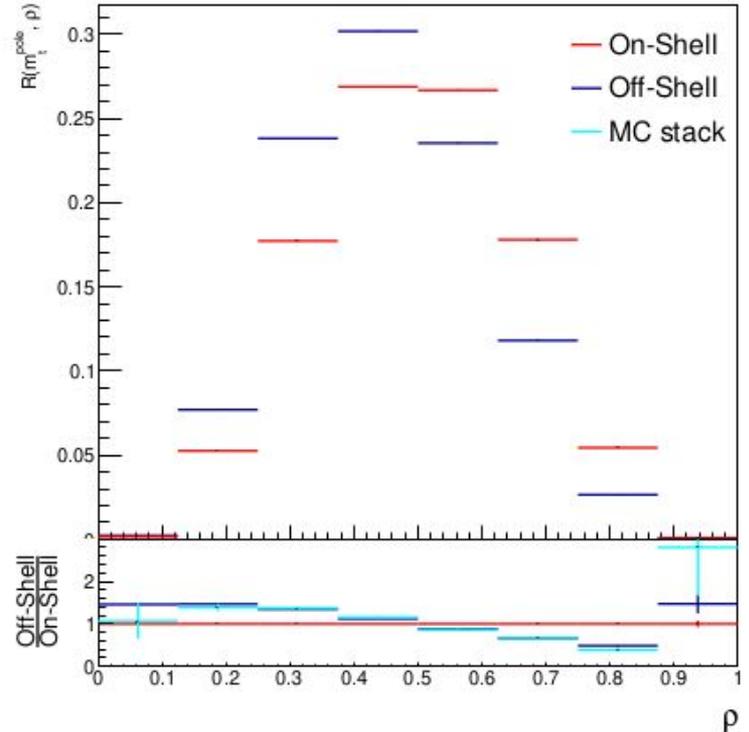
(result apply to ATLAS analysis under approval process, $m(\text{lb}) < 180$ cut applied)

Additional Uncertainty for Parton-Level/FO Differences?

- **Question:** Do we need a systematic uncertainty to cover the difference between FO and Parton-Level distributions?

What is the impact of multiple particle interactions (MPI) or underlying event (UE)?

- not included in the fixed-order calculations but present in the MC simulations



Currently testing this, in the context of the ATLAS analysis. No quantitative answer yet.

Conclusions

Measurement of top-quark pole mass in $pp \rightarrow l l \nu \nu b b j$ events nearly there:

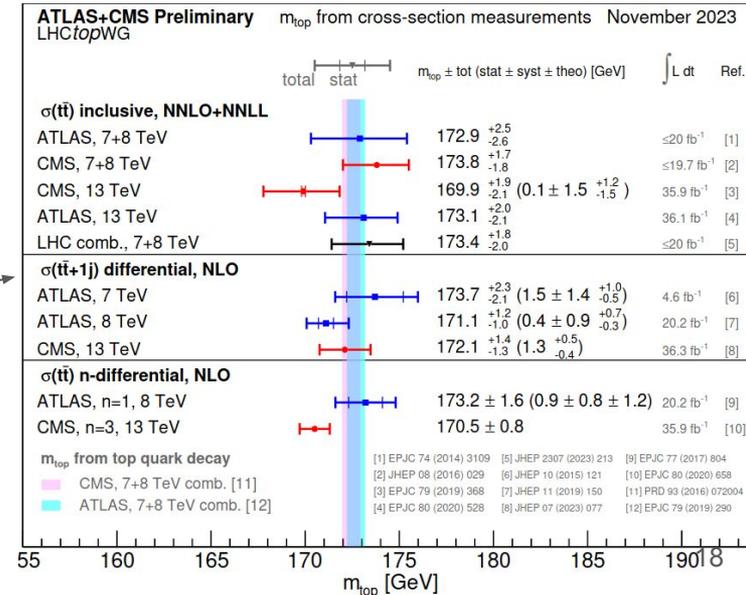
- first time using a 2->7 calculation which includes top-decay and full off-shell effects

Novelties in approach:

- new experimental systematics treatment (cov matrices) which should ease future combinations

Result has larger uncertainty than other measurements using 2->3 calculations:

- total uncertainty ~ 2 GeV
- theory scale uncertainty, as well as modelling and jets experimental uncertainties are dominant

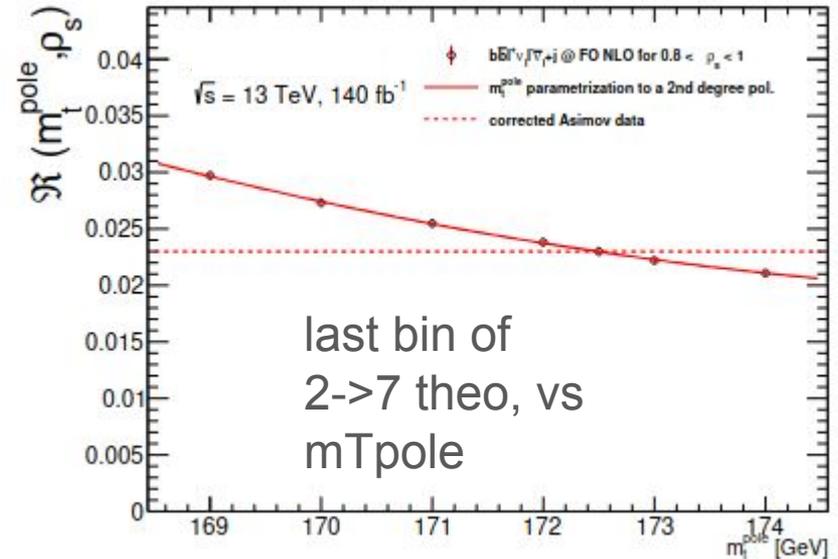
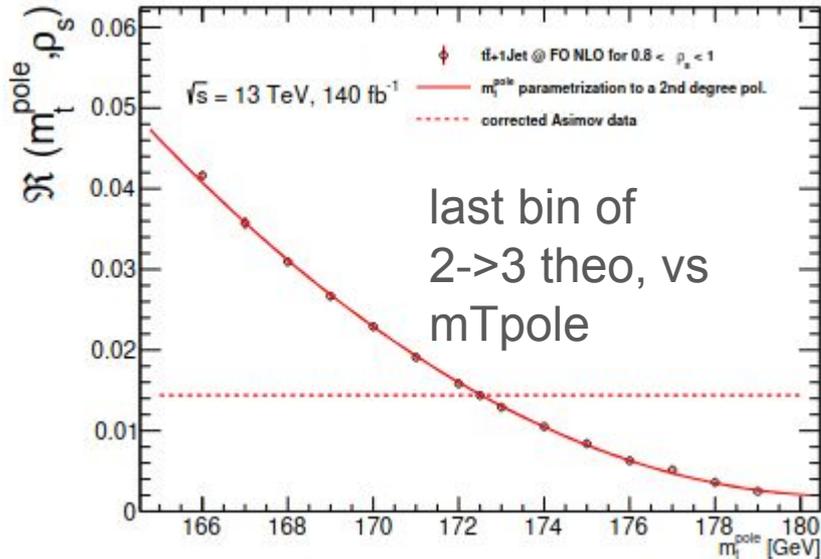


Back-up

Fit - Theoretical predictions parametrization

Theoretical prediction generated for various mass points and **interpolated with a 2nd order polynomial**:

- less points used for 2->7 theo, but still very good parametrisation.



Unfolding - covariance matrix and systematics

To estimate the effect of systematic effects on the extracted top-quark mass, the **historical approach** was to repeat the nominal analysis procedure (unfold+fit) on alternative detector/level distributions.

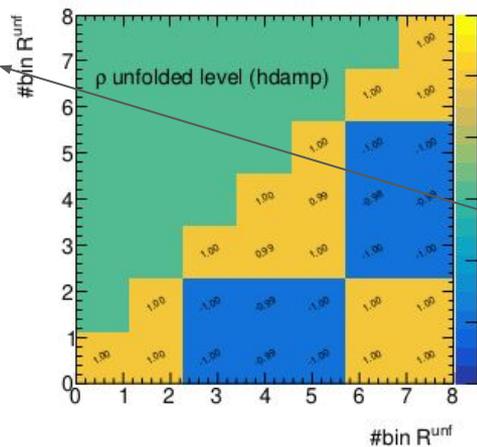
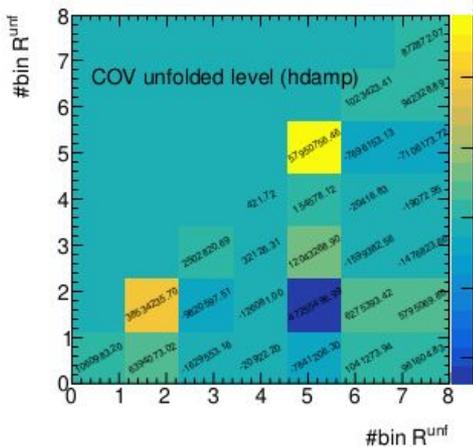
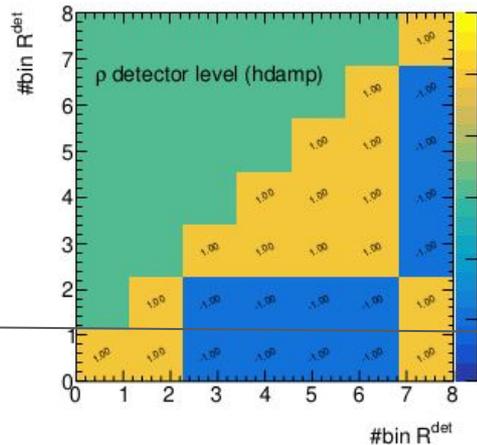
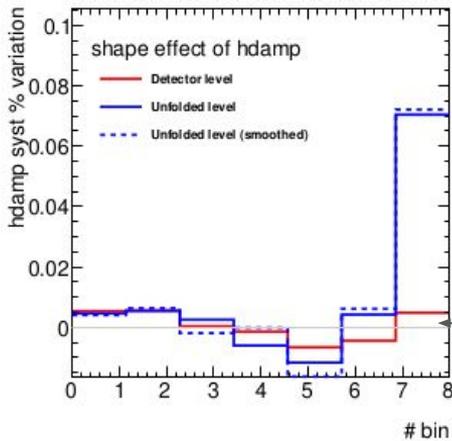
- the **covariance matrix used in the fit to data contains only statistical effects.**
- found to be still useful to evaluate tiny single-effects, but not used anymore

Now incorporated many systematics effects in a global covariance matrix, using the approach followed by [boosted ttbar xsec analysis](#):

- unfold alternative det-level distributions with nominal (stat-only) unfolding
- for each systematic, define a **cov matrix** $\tilde{V}_{i,j}^{\text{sys}} = \delta_i \times \delta_j$ where δ_i **sys shift** in bin i
 - δ defined from post-unfolding unnormalised distributions and its sign is preserved
- define a total covariance matrix $\tilde{V}_{ij}^{\text{tot}} = \tilde{V}_{ij}^{\text{stat}} + \sum_{\text{sys}} \tilde{V}_{ij}^{\text{sys}}$
- Normalise total covariance matrix with [Cholensky decomposition](#) and use it in the fit

Assumptions: all the **systematic components are independent** to each other and each **individual systematic is fully correlated across all bins** in the distribution

Unfolding - example of systematic covariance matrix



A visual example, for the hdamp MC modelling systematics in the 2->3 measurement

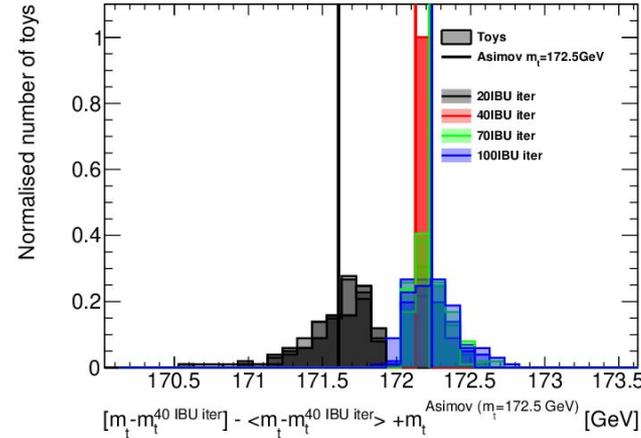
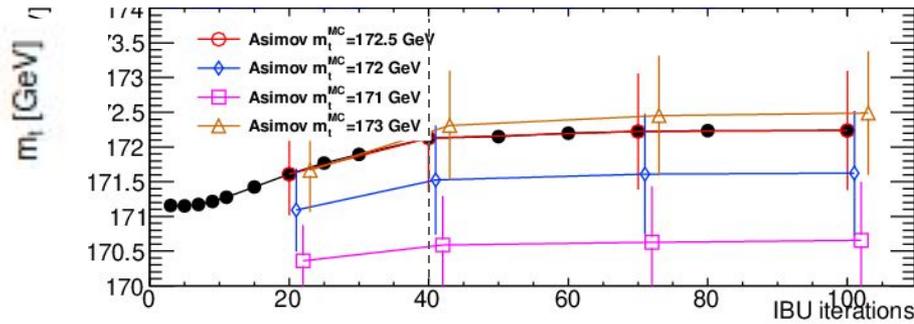
The **systematic effect** at detector level, is **taken as fully correlated across bins**, at detector level (+1 if positive shift)

Using & unfolding toys, one can get the covariance at unfolded level.

Correlations at unfolded level can be inferred by looking at sign of unfolded-level syst shift

Unfolding validation - stability against #iterations for 2->3

The extracted top-quark mass and its uncertainty has been checked to be stable against the number of iterations chosen in the IBU algorithm



Spread of [#IBU -40IBU] amounts to 100-to-200 MeV

Histograms are centered on correspondent $m_{\text{Top}}(\#IBU)$ value