

# Summary of FWD electrons performance in Run2 ZAi analysis

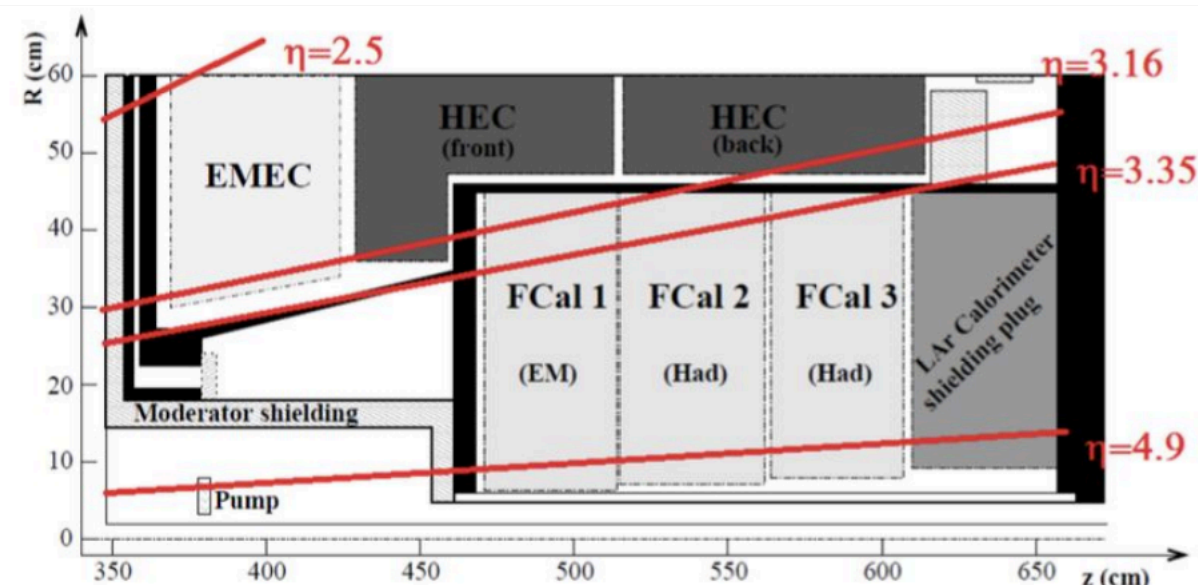


Ludovica Aperio Bella

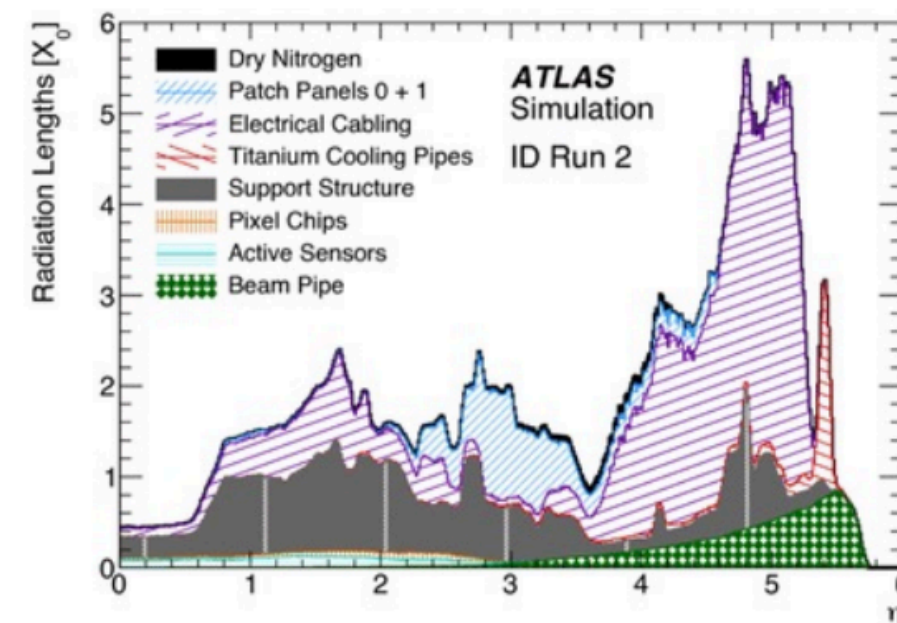


## Forward Region ( $|\eta| > 2.5$ )

- Electromagnetic end-cap calorimeter (EMEC)
  - $2.5 < |\eta| < 3.2$
- Forward calorimeter (FCAL)
  - $3.2 < |\eta| < 4.9$
- lower granularity in  $\eta:\phi$



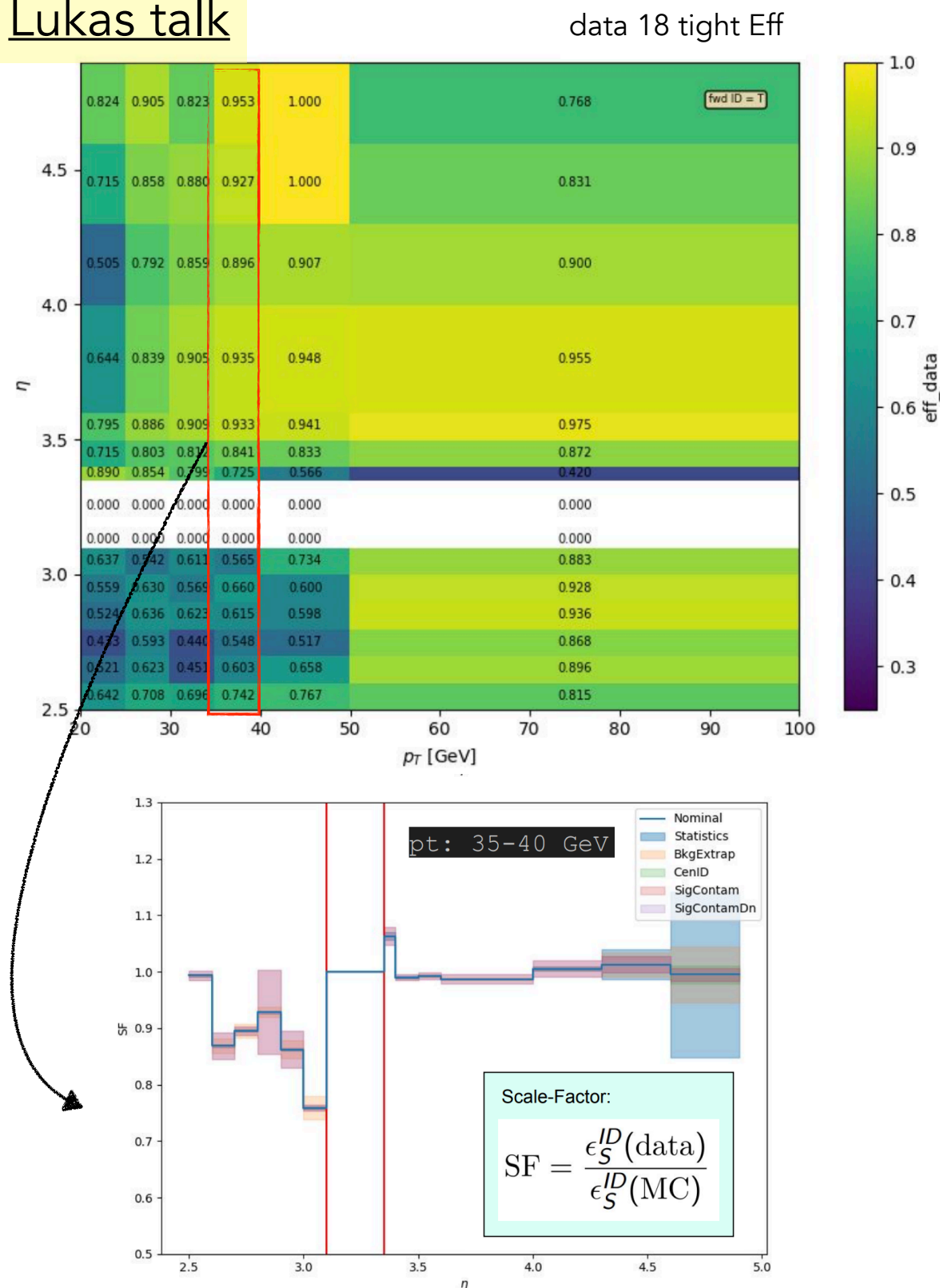
- This lies outside of the tracking acceptance of ATLAS!
  - Higher levels of QCD background to deal with.
- Large amounts of passive material to deal with.
- Highly sensitive to Standard Model parameters!



Central

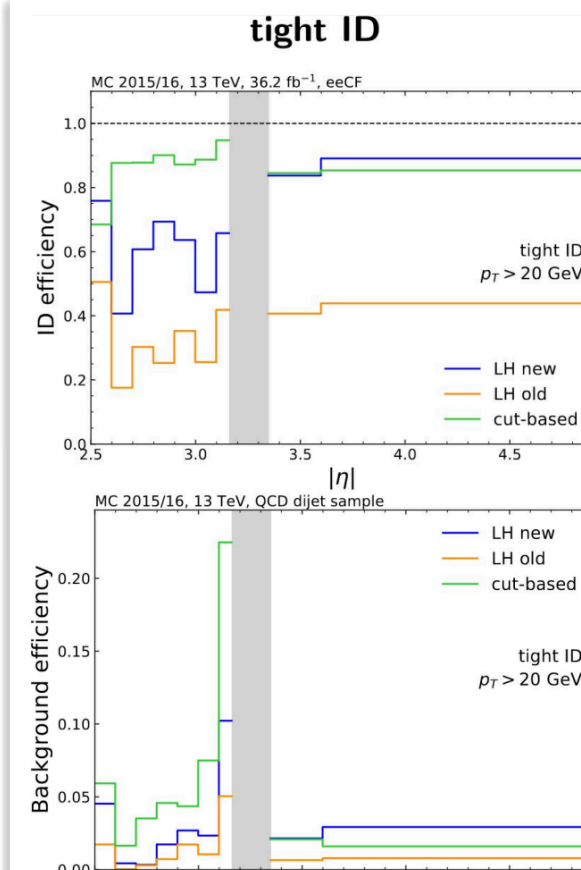
Forward

## Lukas talk



Forward electrons are reconstructed using a topological clustering algorithm, and identified using a likelihood-based discriminant. Tuned with 2015 and 2016 data

- LH tune **NOT** officially available in ATHENA release
- FudgeFactor applied on MC to have reasonable efficiencies



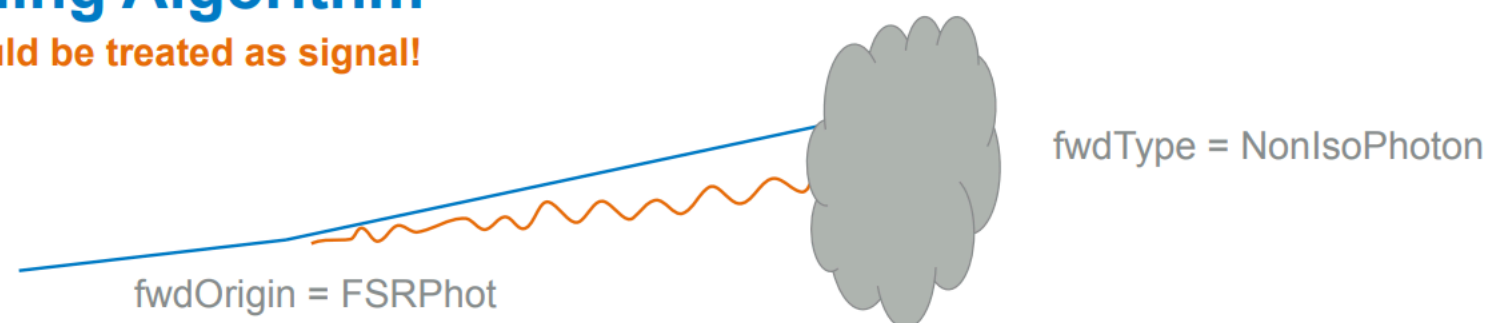
- Versions:
  - LH new: mc16\_20190729 (used here)
  - LH old: mc16\_20180822 (current standard)
  - cut-based: mc15\_20150812
- tuned with 2015/16 physics\_Main STDM4 data and mc16 Monte-Carlo
- ID efficiency estimated using MCTruthPartClassifier
- Background efficiency estimated using QCD dijet sample to select fake forward electrons

## Lukas slides TM

- Truth Matching algorithm need to be apply at analysis level and consistency on all the performance steps.
- in signal MC we consider reconstructed fwd-EI that are also truth matched.
  - the non-truth matched fwd-EI are rejected and consider in the bkg estimate.

## Truthmatching Algorithm

FSR Photons should be treated as signal!



```
if (!(fwdType == IsoElectron && (fwdOrigin==ZBoson || fwdOrigin==ZorHeavyBoson)) &&
    !(fwdType == IsoPhoton && (fwdOrigin==ZBoson || fwdOrigin==ZorHeavyBoson)) &&
    !(fwdType == BkgElectron && (fwdOriginBkg==ZBoson || fwdOriginBkg==FSRPhot))) pass = false;
```

does not exist in ntuples for 13 TeV !!!

no-truth matching  
applying while  
calibrating fwd-EI

➔ include `fwdType == NonIsoPhoton && fwdOrigin == FSRPhot` into truthmatching as true fwd-el



- Run2 FWD-Electron strategy follows closely 8TeV one ([here](#)) with some improvements :

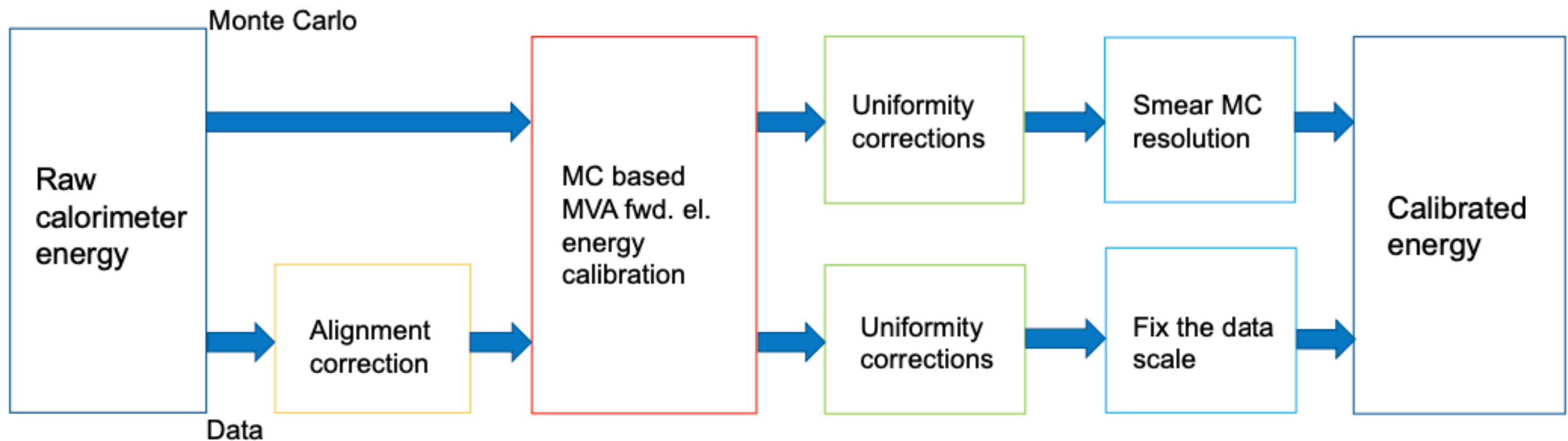


Figure 6: The calibration chain for forward electrons.

(more info [Craig Talk](#))

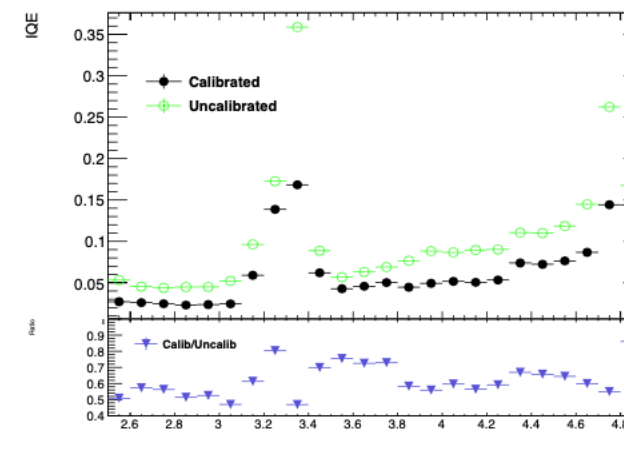
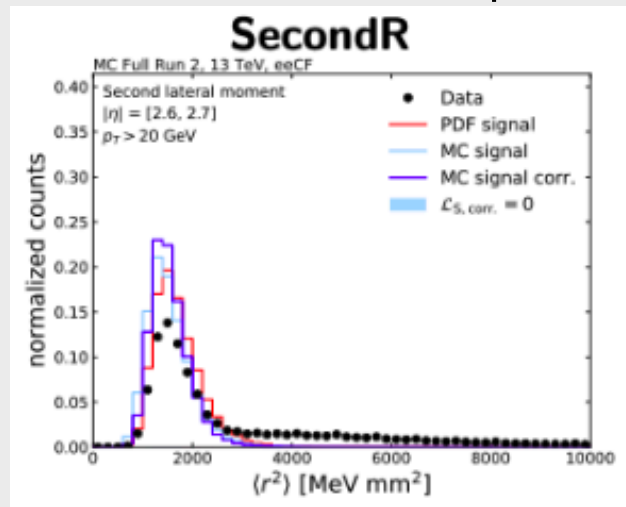
- Alignment corrections
- MVA: Correcting the raw energy of individual electrons.
- Uniformity correction: Harmonising the energy response of the calorimeter cells.
- INSITU: Matching the mass spectrum of the Z boson in data and Monte Carlo.

EMEC ( $ \eta  < 3.15$ )	FCal ( $ \eta  > 3.35$ )	Transition Region ( $3.15 <  \eta  < 3.35$ )
$E_{raw}$	$E_{raw}$	$E_{raw}$
$\eta_{cl}$	$CentreX/Y/Z$	$CentreX/Y/Z$
$\phi_{cl}$	$\eta$ Modulus $\Delta\eta$	$\eta$ Modulus $\Delta\eta$
$[\eta/\Delta\eta]$	$[\eta/\Delta\eta]$	$[\eta/\Delta\eta]$
$\mu$	$\mu$	$\mu$
$npv$	$npv$	$npv$
$\langle r^2 \rangle$	$\langle r^2 \rangle$	—
$E_{S_1}^{Max}/E_{S_2}^{Max}$	$\langle \rho^2 \rangle$	—
$\phi$ Modulus $\frac{2\pi}{16}$	$\lambda_{centre}$	—

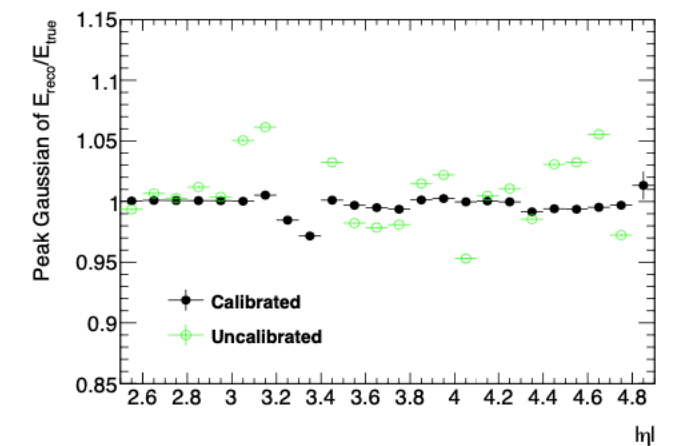
In MC MVA greatly improve linearity and resolution of FWD electron  $\sim 40\%$

Table 3: The input variables used for training the BDTs. N.B  $\mu$  is defined here as the actual number of interactions per bunch crossing.

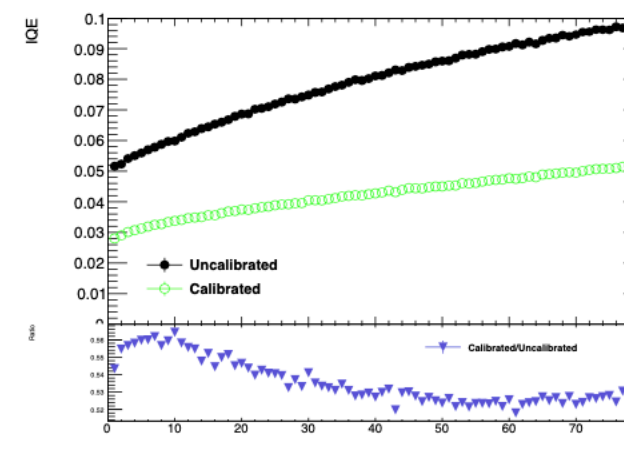
crucial role of shower shape correction



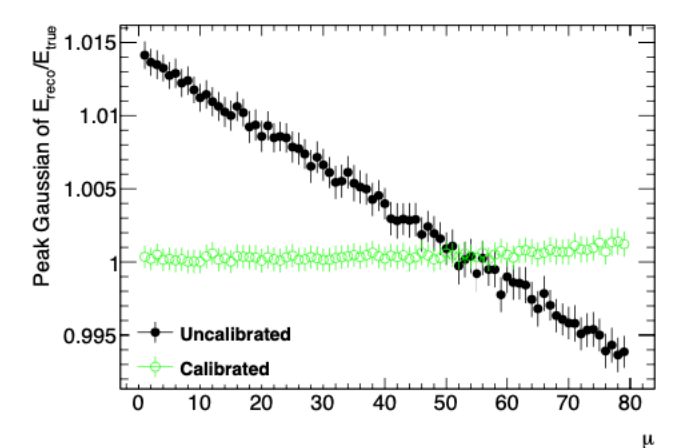
(a) Resolution



(b) Energy scale

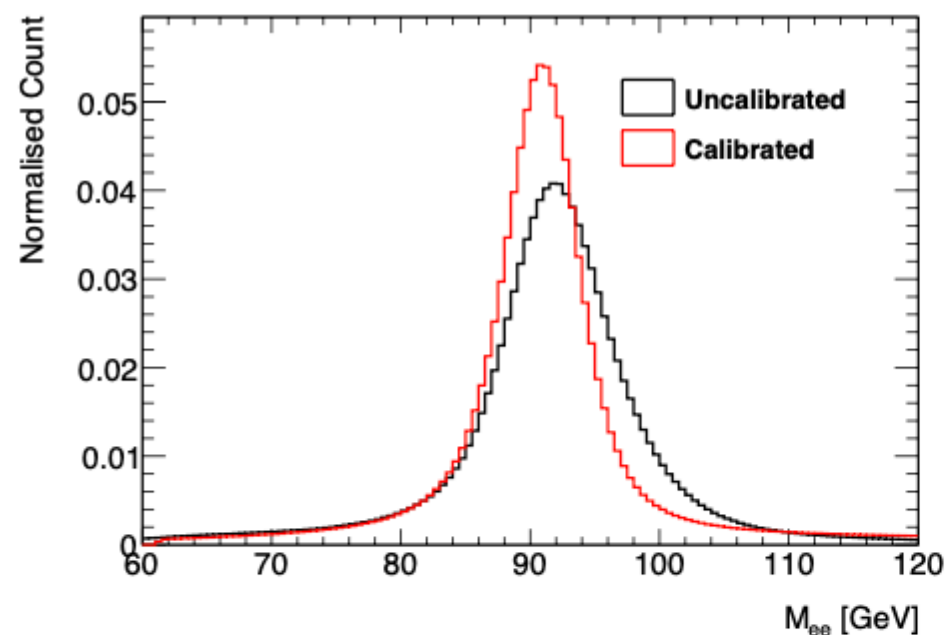


(c) Resolution as a function of pileup.

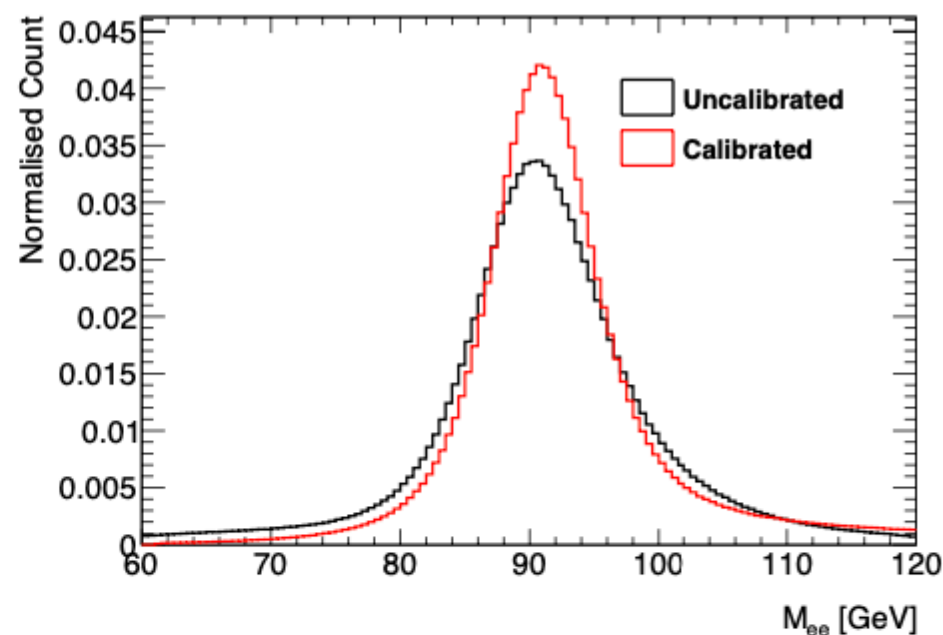


(d) Energy scale as a function of pileup.

(more info [Craig Talk](#))



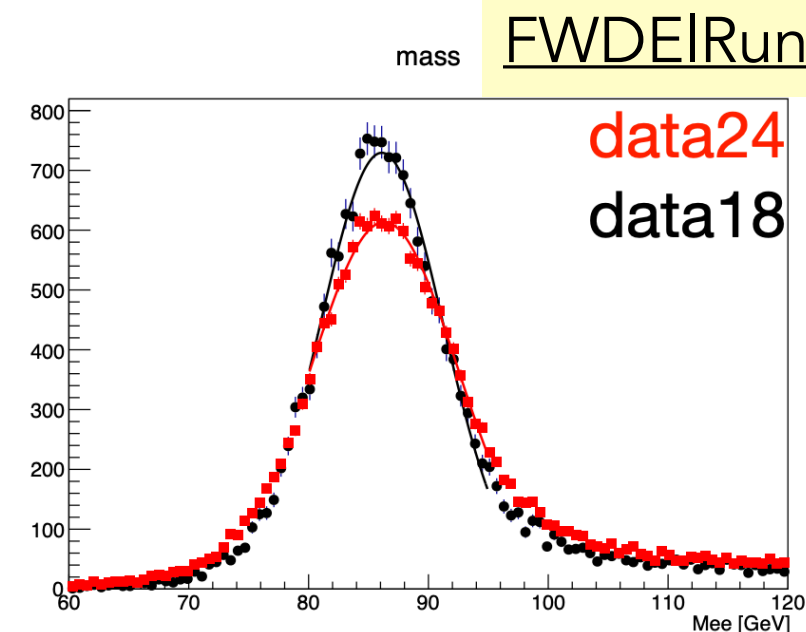
(a) EMEC



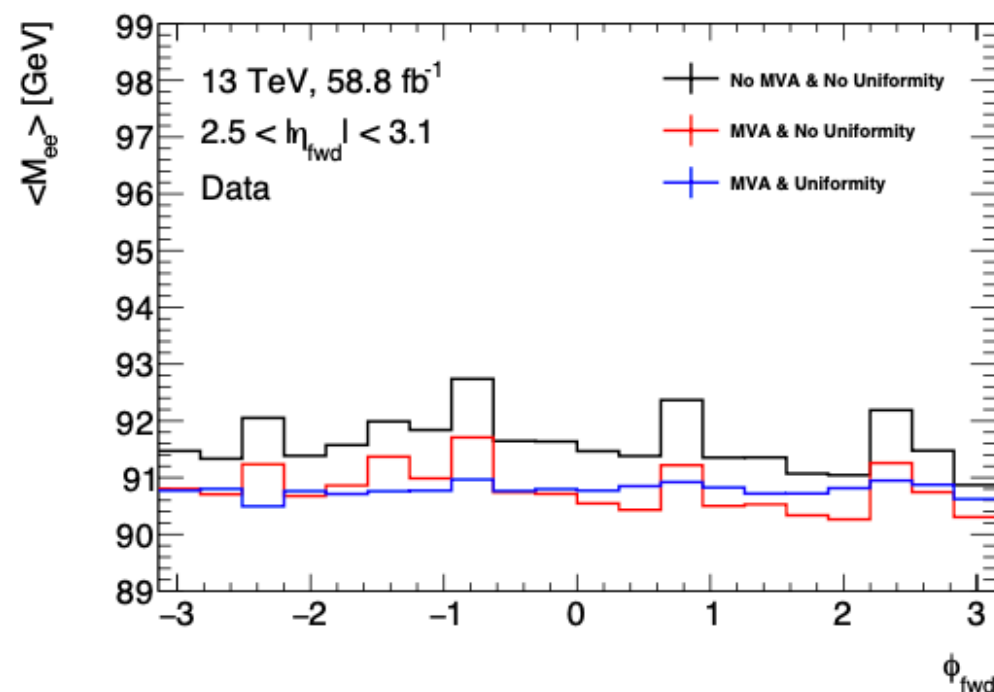
(b) FCal

2017	Fitted Peak (GeV)	IQE ( Calibrated Improvement)
Data Uncalibrated	90.62	3.79
Data Calibrated	90.25	3.34 (11.9%)
Signal Uncalibrated	91.46	3.52
Signal Calibrated	91.13	2.82 (19.9%)

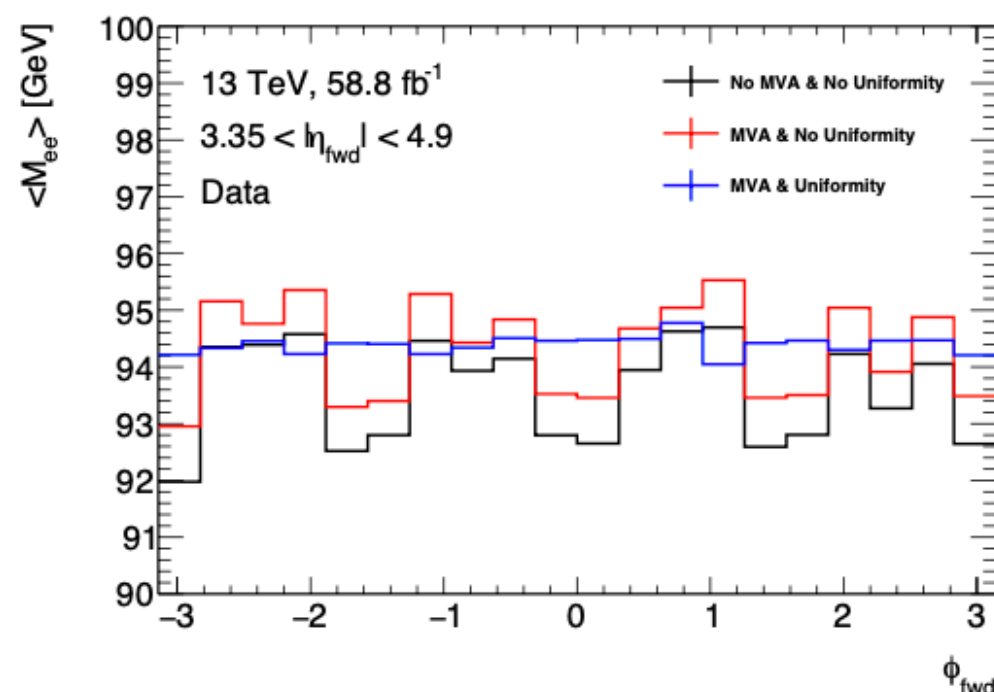
MC much more optimistic than data because of missing pileup noise



(more info [Craig Talk](#))

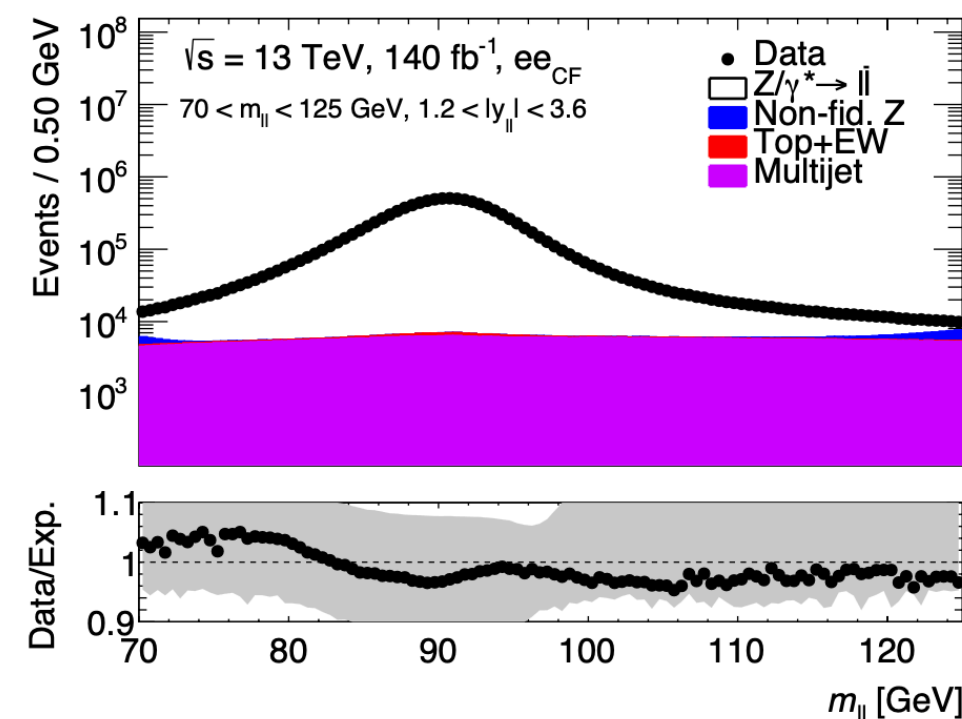
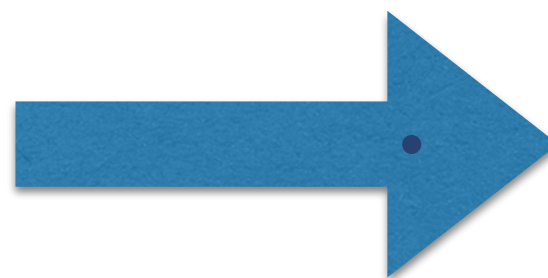
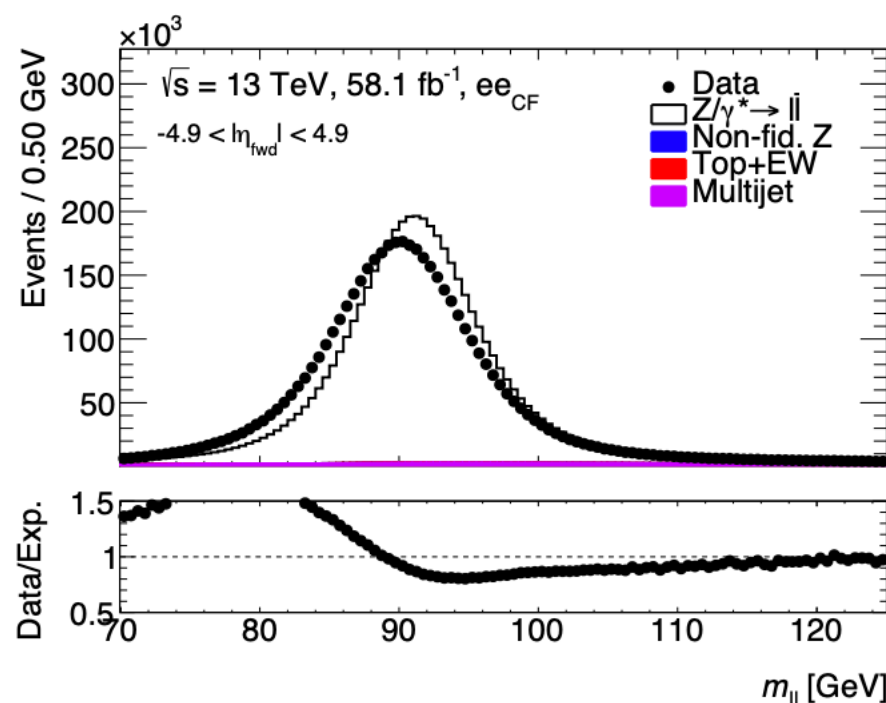


(a) Uniformity in data in the EMEC



- Large modulation vs  $\phi$  seen in EMECs (partially correct by MVA)
  - especially pronounced in  $\eta$  bin known to have large unknown material effects
- Derive energy scale corrections based on fitted mean
  - Inter-calibrate data-data and MC-MC such that energy scale is uniform vs  $\phi$  in each  $\eta$  bin





Post-MVA +  
uniformity signal  
MC

Template function

Top + EW + MJ

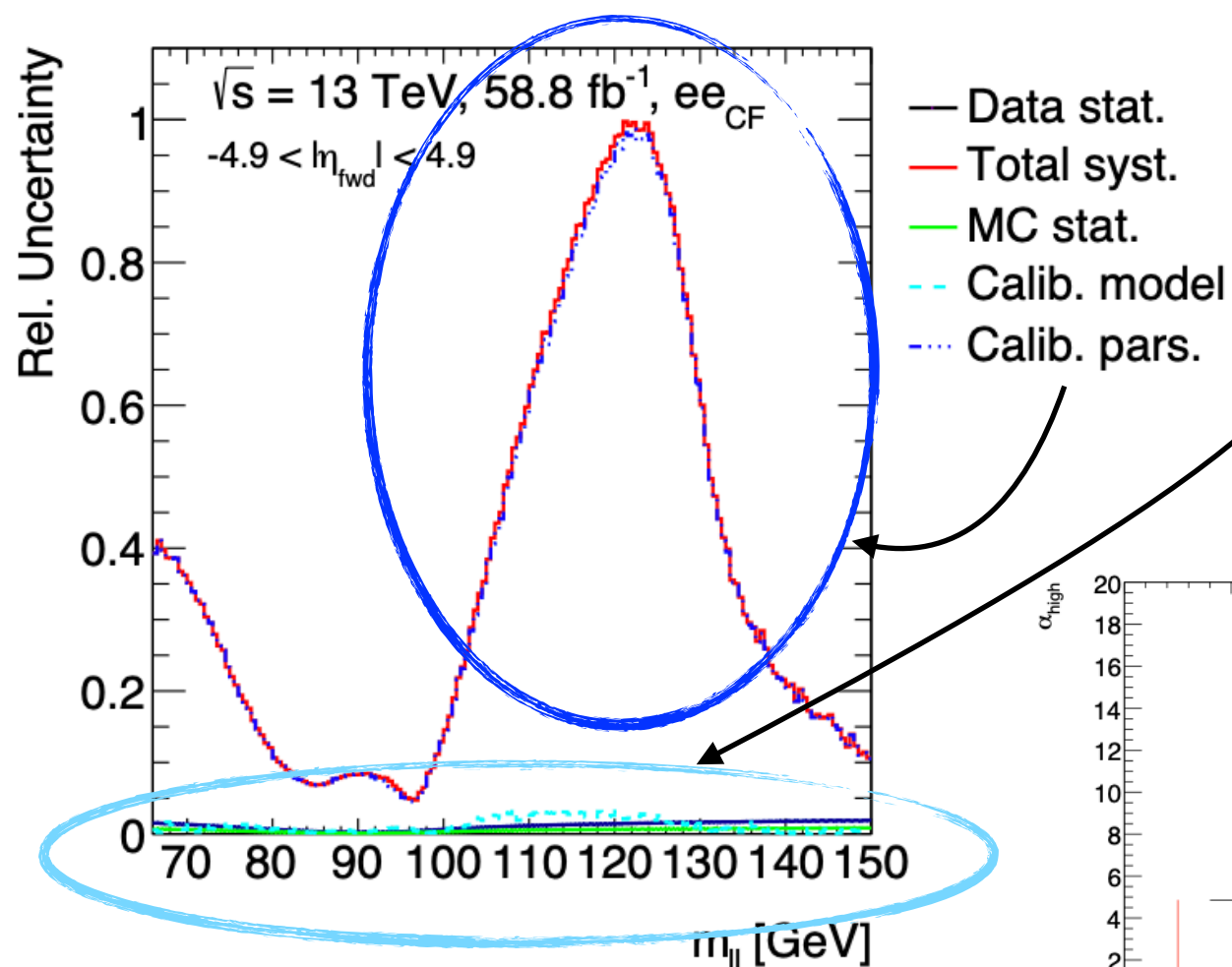
$$T_{MC}(m) \rightarrow f_{Data}(m) = T_{MC}(m) \otimes DSCB(m; \mu, \sigma, \alpha_L, \alpha_R, n_L, n_R) + T_{Bkg}(m)$$

Signal MC becomes a  
function approximating data

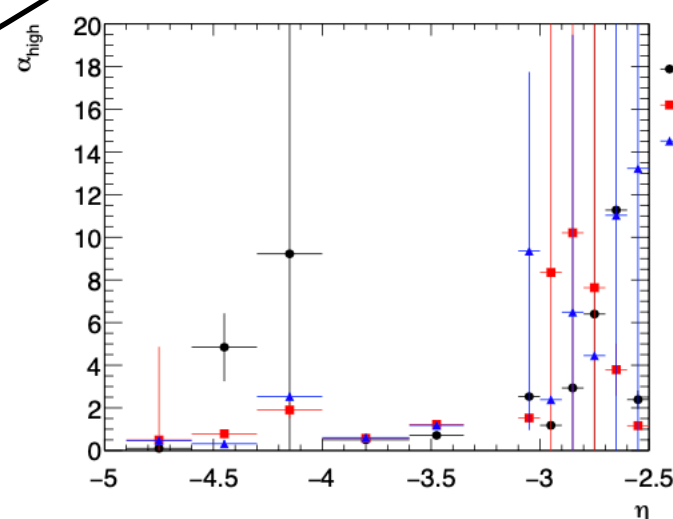
Fit to the actual data distribution

$$f(m; \mu, \sigma, \alpha_L, \alpha_U, n_L, n_U) = \begin{cases} A_L \times (B_L - \frac{m-\mu}{\sigma})^{-n_L} & \frac{m-\mu}{\sigma} < -\alpha_L \\ \exp\left(-\frac{(m-\mu)^2}{\sigma^2}\right) & -\alpha_L < \frac{m-\mu}{\sigma} < \alpha_U \\ A_U \times (B_U - \frac{m-\mu}{\sigma})^{-n_U} & \frac{m-\mu}{\sigma} > \alpha_U \end{cases}$$

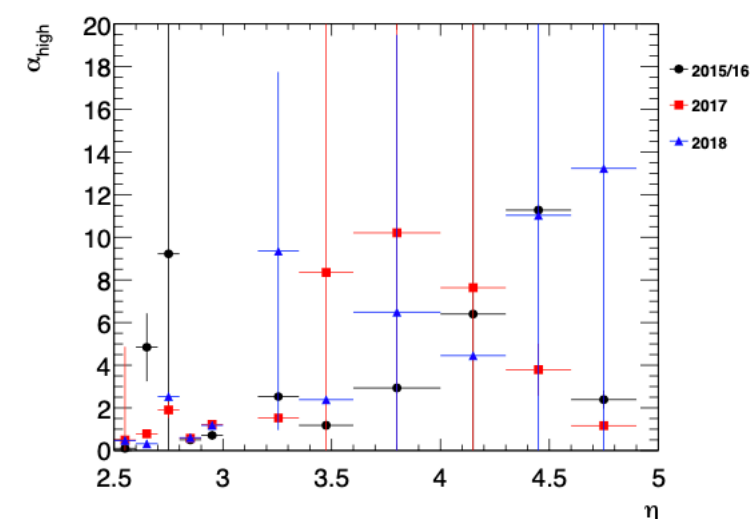
(more info [Craig Talk](#))



■ Forward electron calibration is by far the leading systematic.



(g) Fitted  $\alpha_U$  for  $\eta < 0$ .

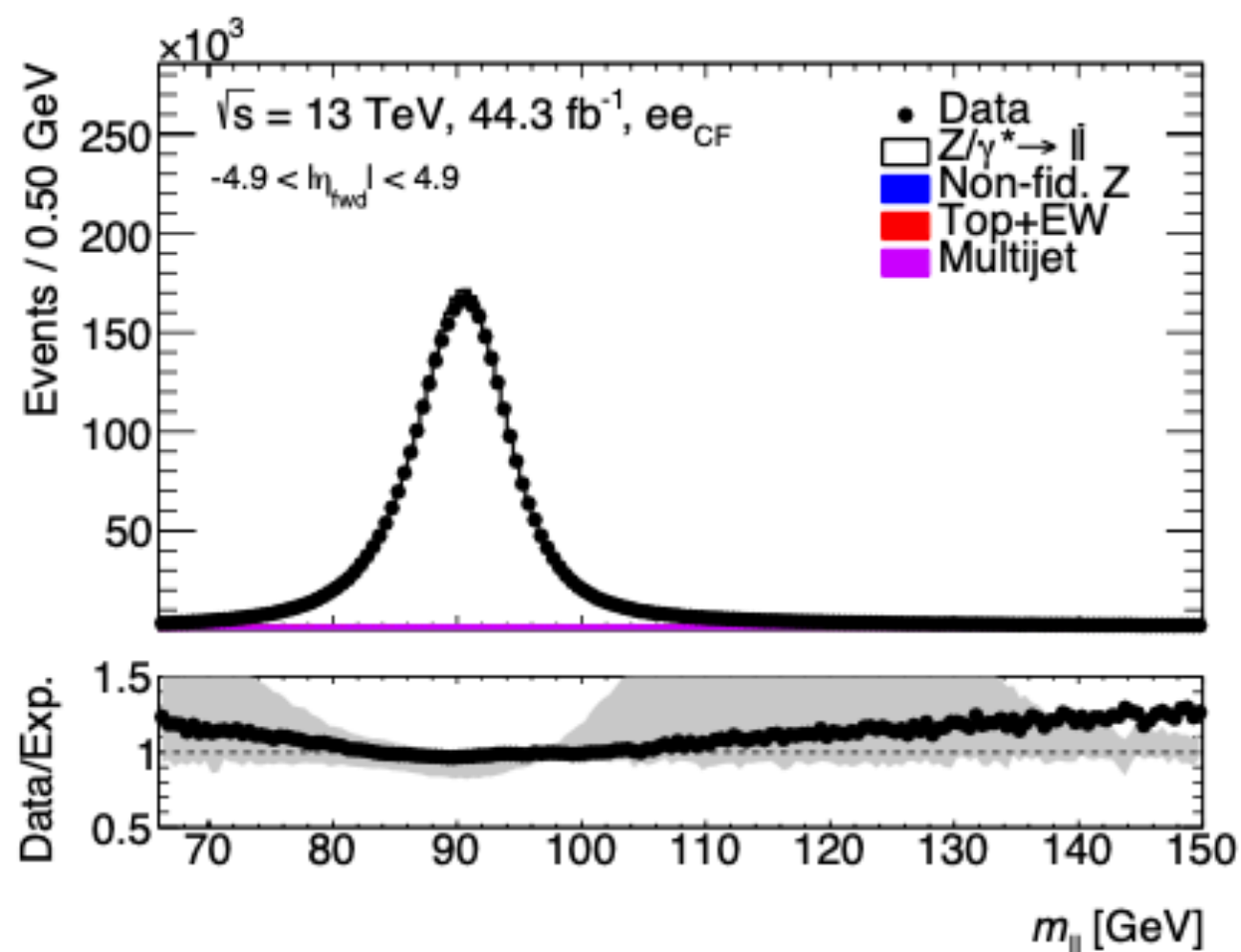


(h) Fitted  $\alpha_U$  for  $\eta > 0$ .

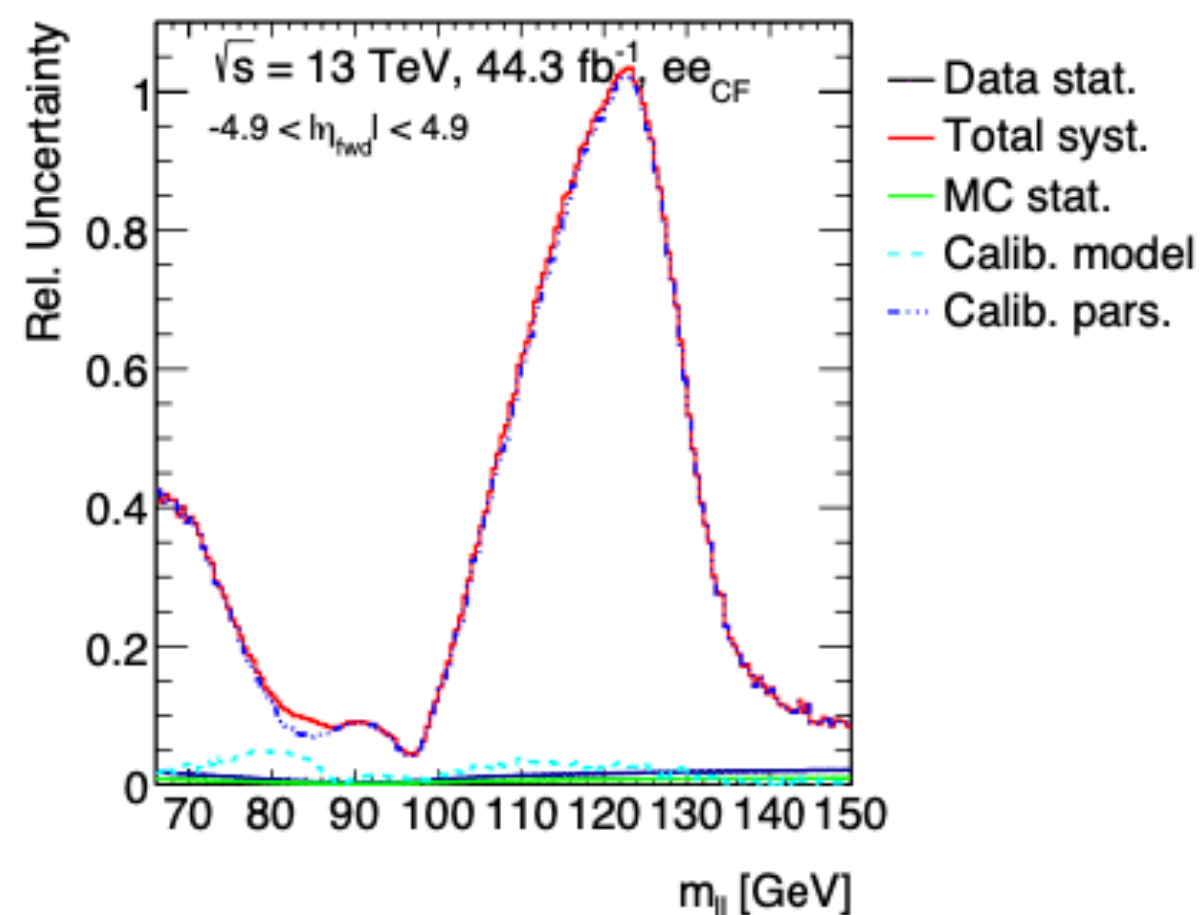
$$f(m; \mu, \sigma, \alpha_L, \alpha_U, n_L, n_U) = \begin{cases} A_L \times (B_L - \frac{m-\mu}{\sigma})^{-n_L} & \frac{m-\mu}{\sigma} < -\alpha_L \\ \exp\left(-\frac{(m-\mu)^2}{\sigma^2}\right) & -\alpha_L < \frac{m-\mu}{\sigma} < \alpha_U \\ A_U \times (B_U - \frac{m-\mu}{\sigma})^{-n_U} & \frac{m-\mu}{\sigma} > \alpha_U \end{cases}$$

several DSCB Fit parameters  
unconstrained by the fit resulting in  
very big variation

(a) Mass spectrum in 2015/16

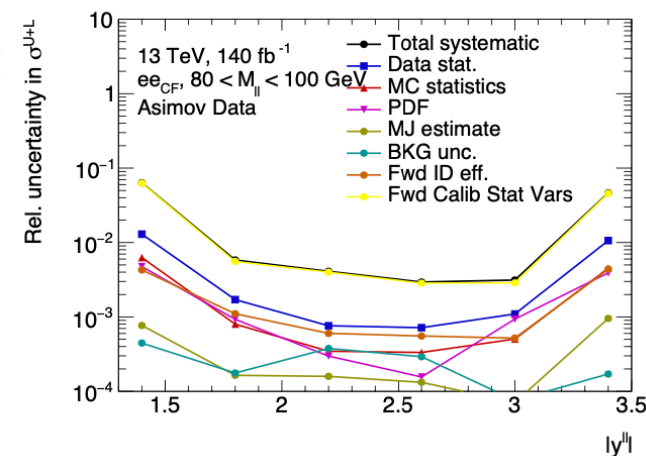


(b) Breakdown of uncertainty in 2015/16



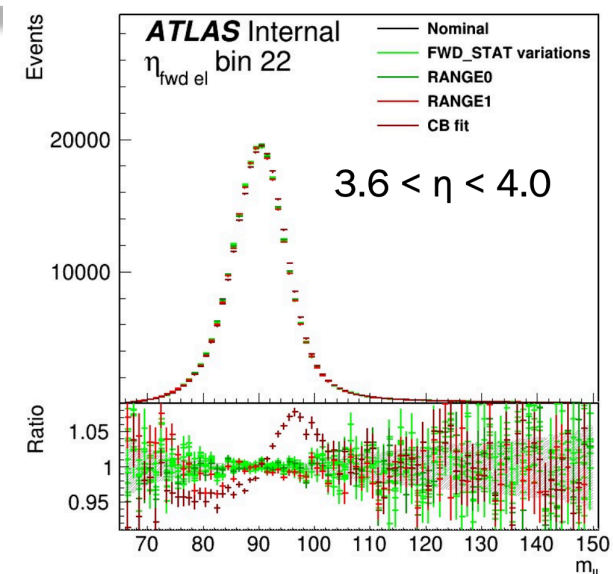
## CF WMA - Observations

- On pole, we reach a total systematic uncertainty of 1% in  $A_9$ !
  - *I'm sure we can do better though 😊*
- Forward electron calibration is by far the leading systematic.
  - *Effect of variations to the fit  $m_{ll}$  range still to be evaluated.*
  - *Sensitivity at high  $y_{ll}$  could be improved by merging FCal bins in the calibration.*
  - *Effect of parameter variations can most likely be improved (e.g changing allowed fit ranges and/or fixing parameters with large uncertainties). Personally I'd look at fixing  $n_{high/low}$  and allowing  $\alpha$  to float.*
- MJ may be possible to reduce further.
  - *Use fake factors for full run 2 rather than individual years. Unlikely to yield large improvements since CF MJ  $\gg$  CC MJ.*
  - *Fix issues with FF stat variations to bring it down to level of other variations. The fit will then most likely consider these variations as well.*



from here

We could improve simply the in situ model by fixing some of the parameter in the FIT ! —> the second dominant sys in the calibration procedure is coming for changing for CB to DSCB model only few % impact in the Xsection

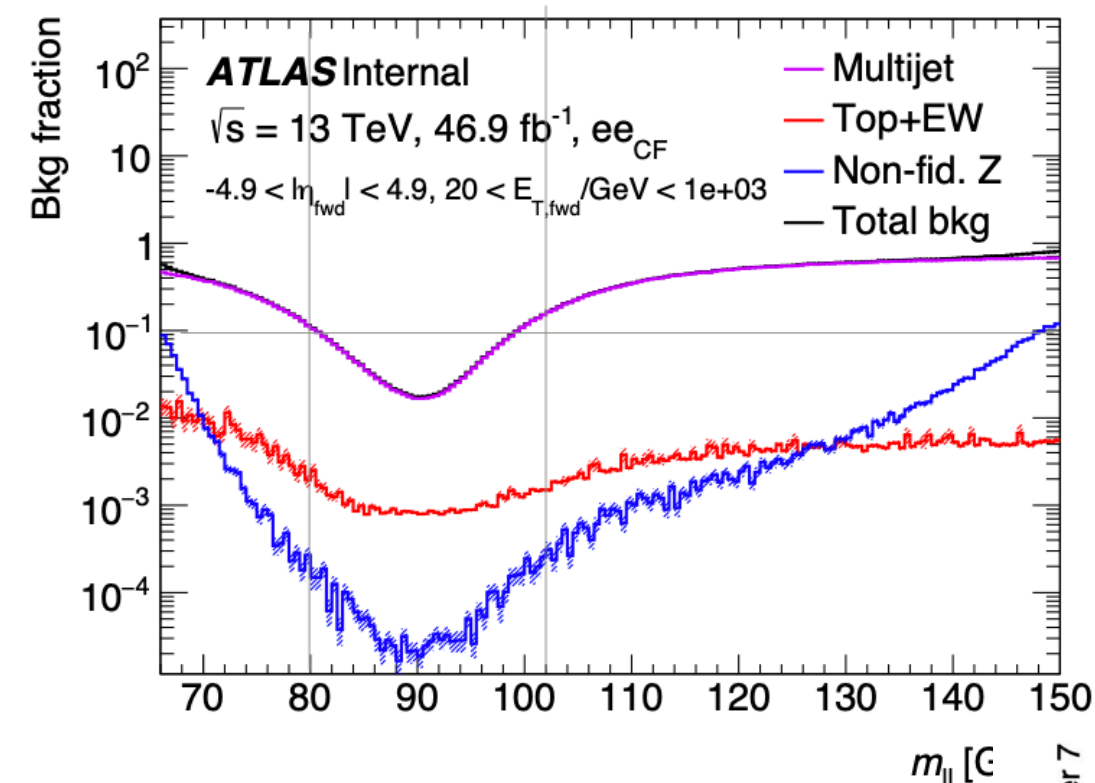




# BACKUP

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Craig Freiburg: [https://indico.cern.ch/event/1327610/contributions/5587221/attachments/2731511/4748676/Freiburg23\\_Craig.pdf](https://indico.cern.ch/event/1327610/contributions/5587221/attachments/2731511/4748676/Freiburg23_Craig.pdf)

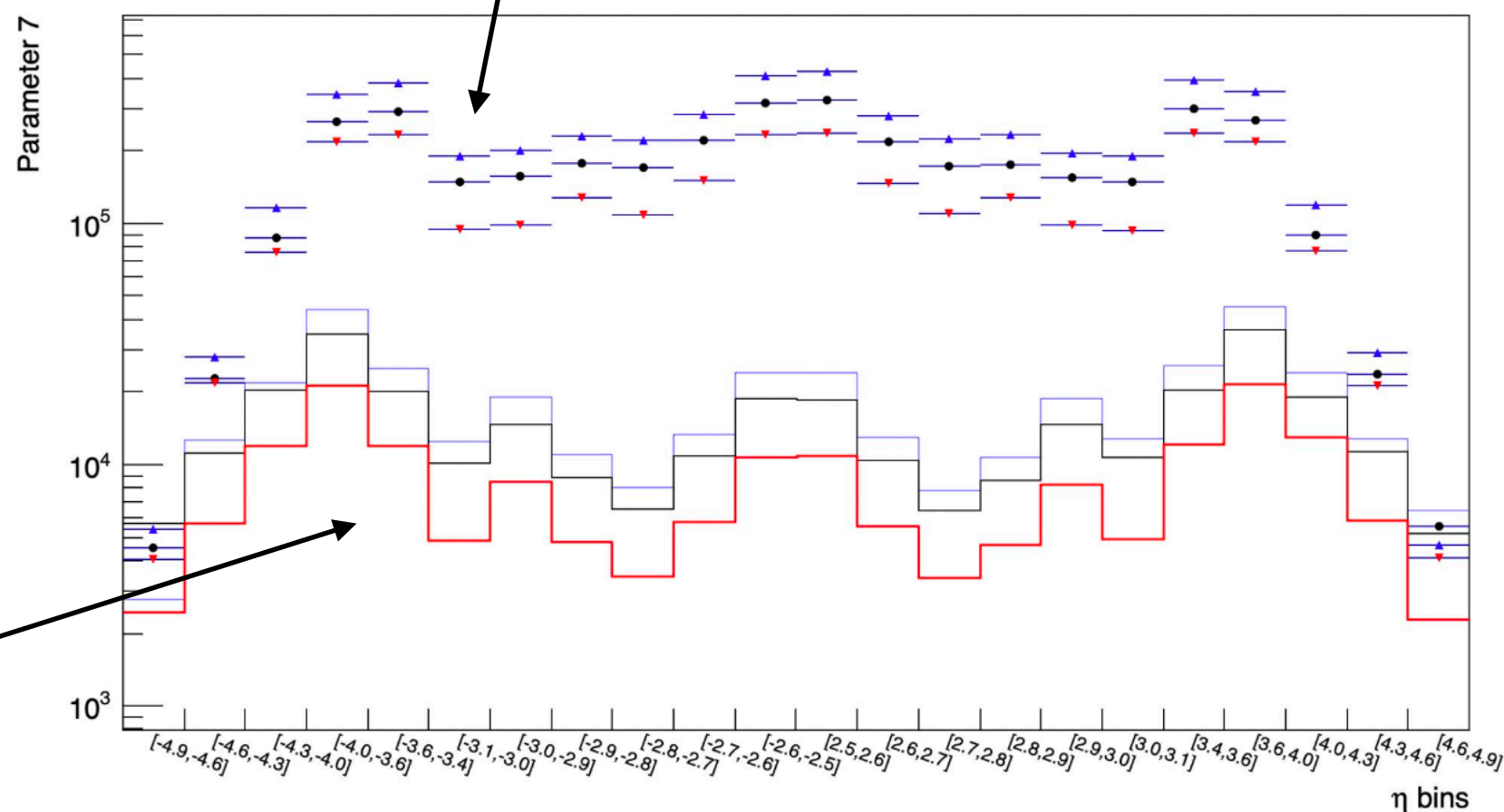


in calibration chain  
 $m_{||}[66 - 150]\text{GeV}$

#signal events

- 2018  
 - 2017  
 - 2015-16

#bkg events

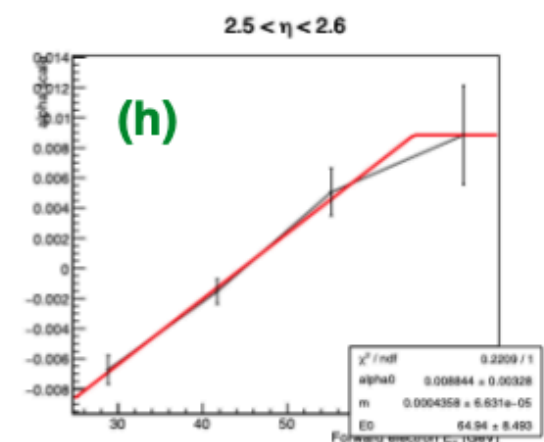
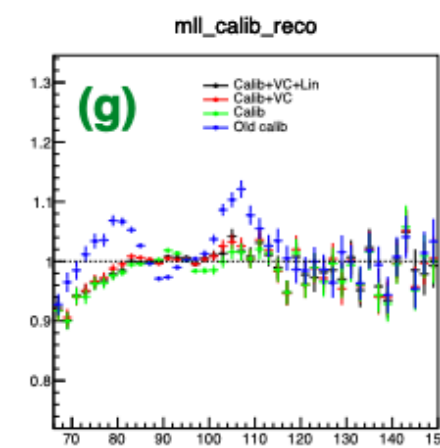
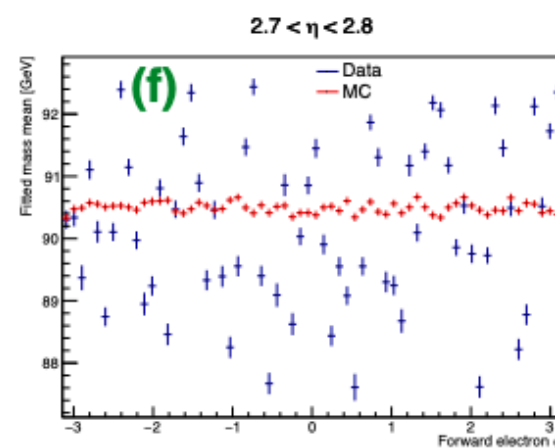
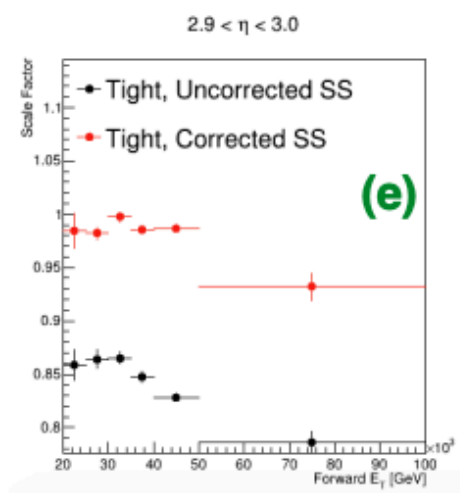
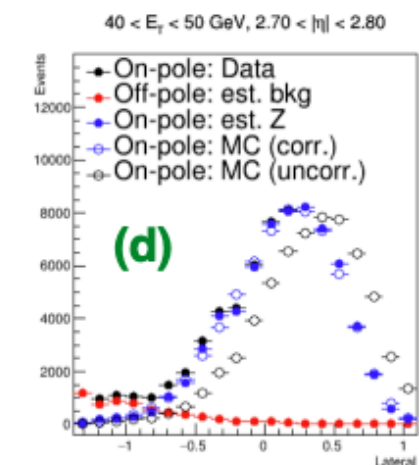
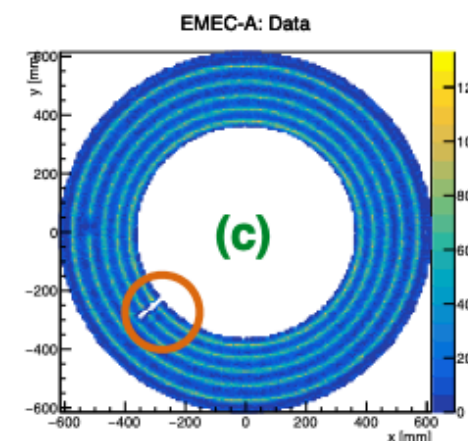
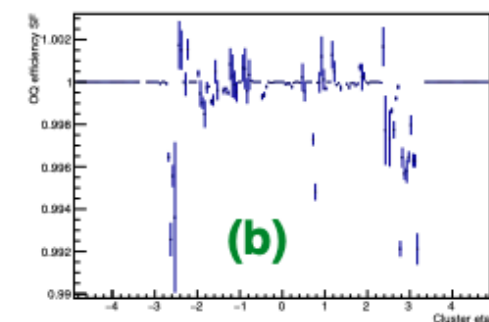
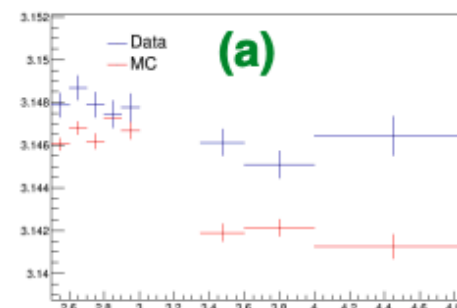


8TeV strategy described [here](#) ( [CDS](#) )

## Reminder: Fwd Electron Performance

3

- Several new corrections applied to address the issues observed
  - (a) Alignment corrections
  - (b) OQ scale factors
  - (c) Removal of regions with HV or other unknown DQ issues
  - (d) Shower shape corrections
  - (e) New ID scale factors
  - (f) Phi / X-Y energy inter-calibration
  - (g) Crystal Ball based calibration for mis-modelled material effects
  - (h) Energy response linearity correction



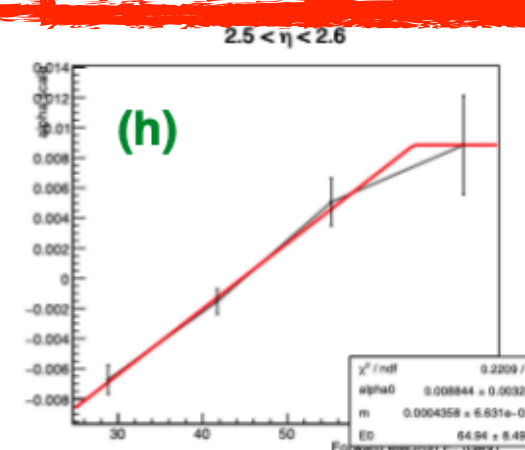
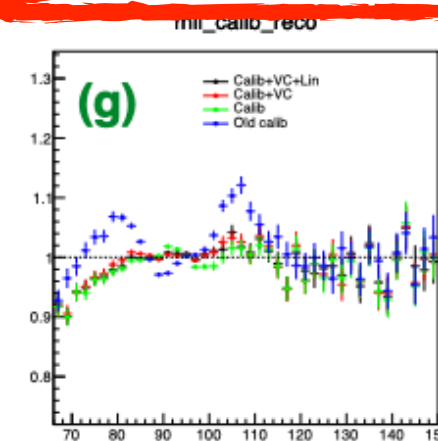
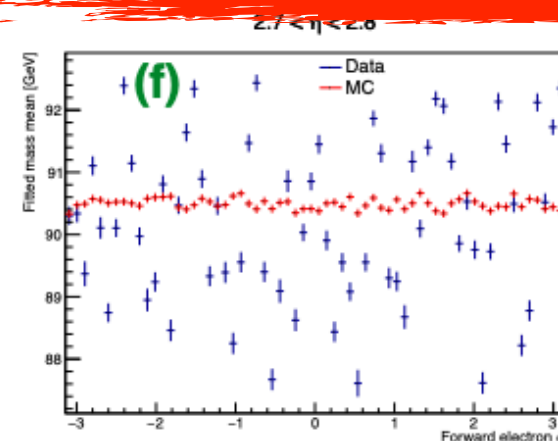
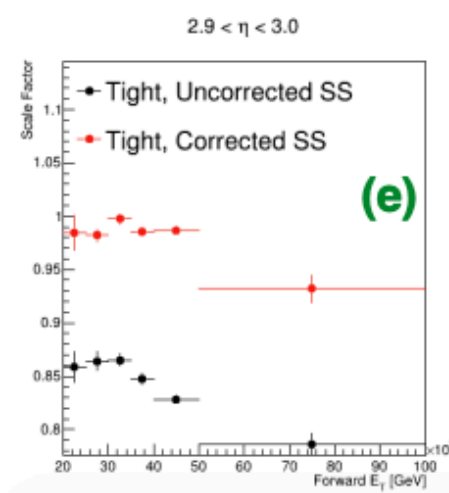
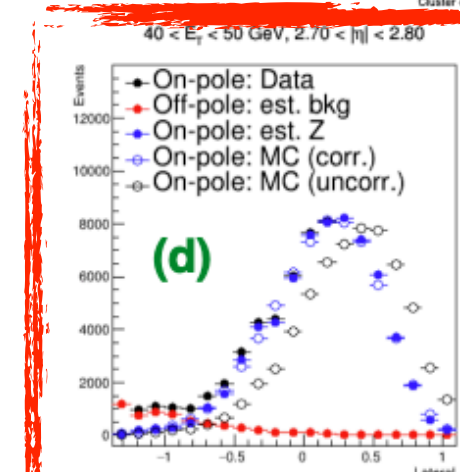
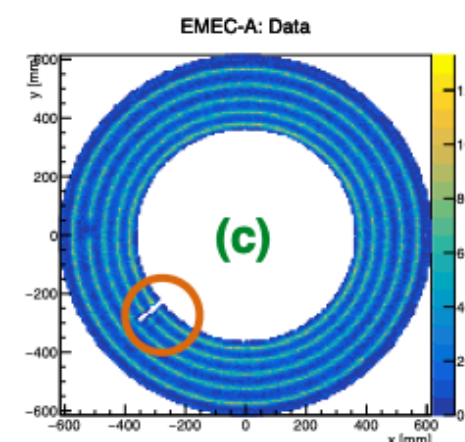
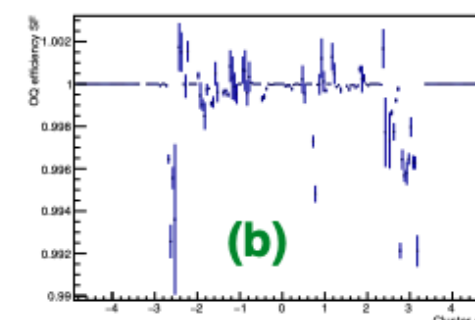
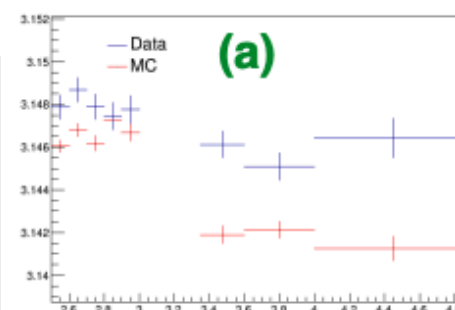
## summary of Run1 fwd electron performances for Ai analysis

### Reminder: Fwd Electron Performance

3

we expect MVA to help with:

- (d)dependence of calibration on Shower shape
- (f) non uniformity
- (g)more gaussian tail on the energy response
- (h)general energy scale linearity





## summary of Run1 fwd electron performances for Ai analysis

### Reminder: Fwd Electron Performance

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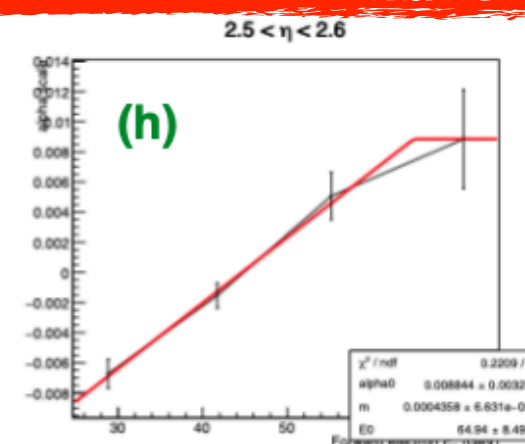
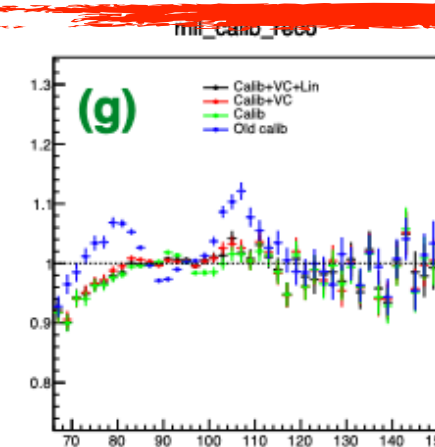
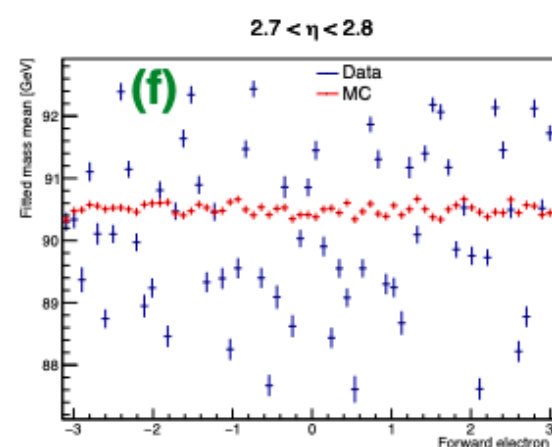
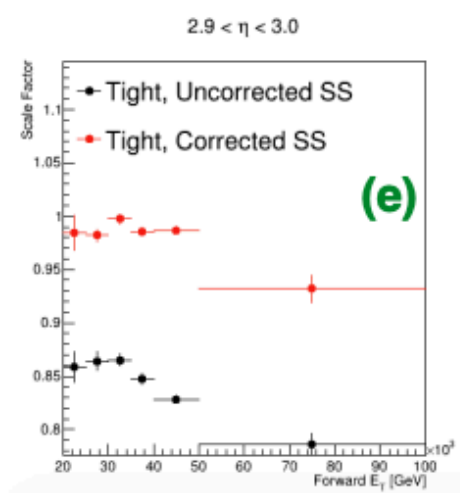
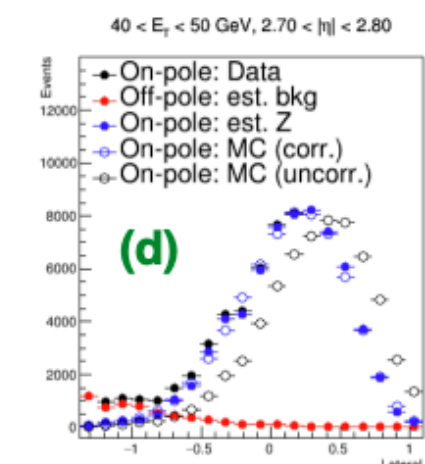
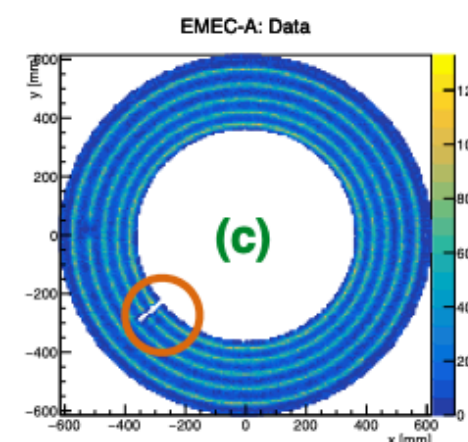
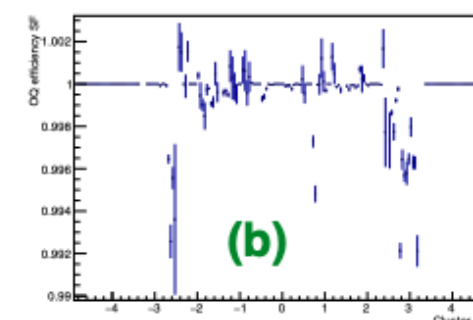
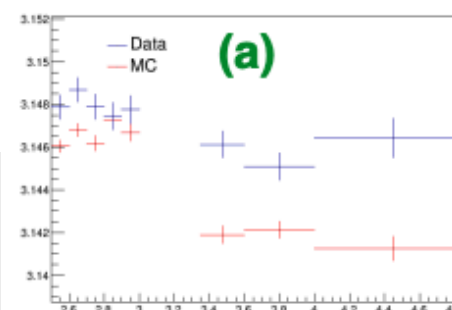
- Several new corrections applied to address the issues observed

- (a) Alignment corrections

### New model for In-situ calibration

(g) double sided CRystalBall choose as nominal model because better handle on the low-high energy tails

- (h) Energy response linearity correction



## summary of Run1 fwd electron performances for Ai analysis

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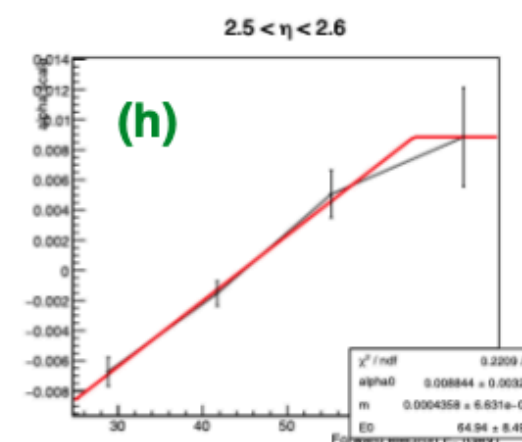
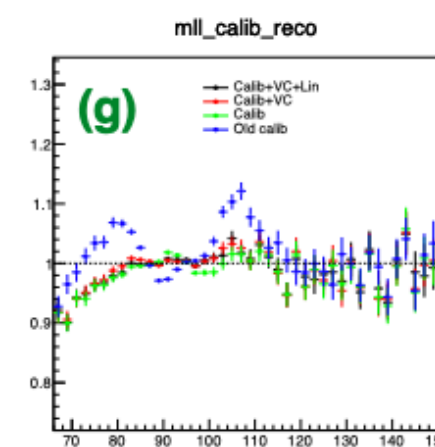
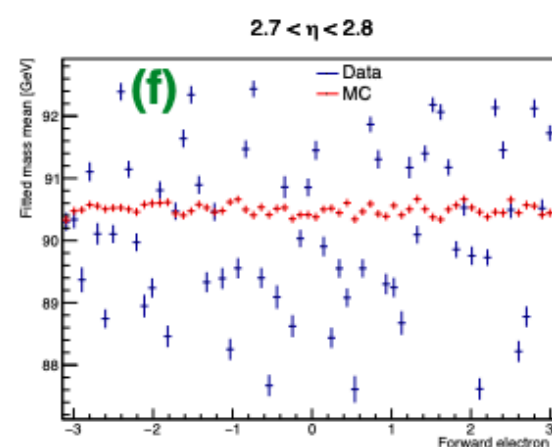
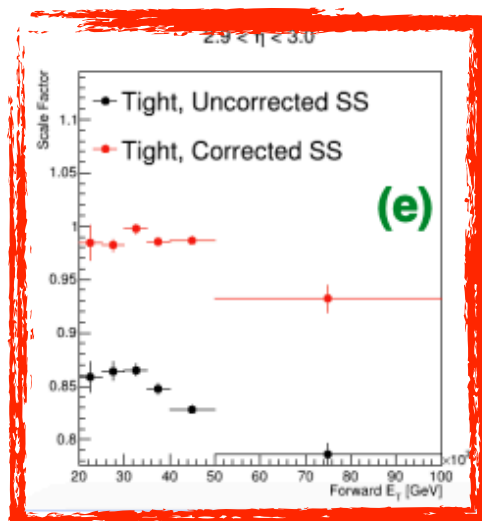
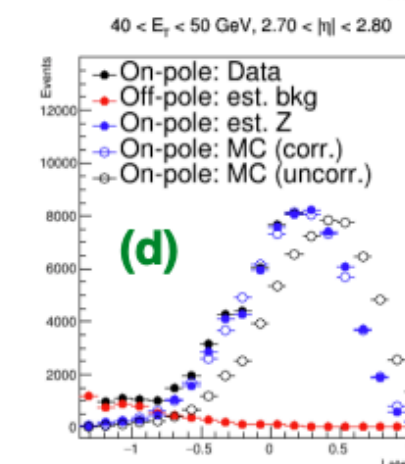
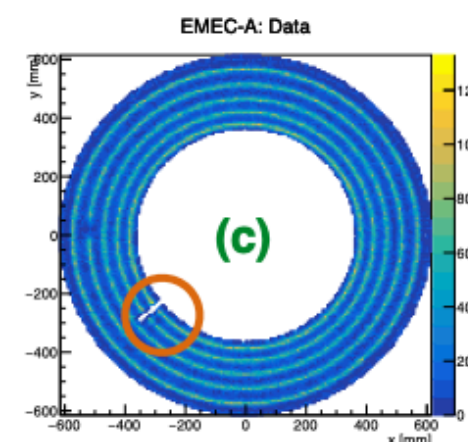
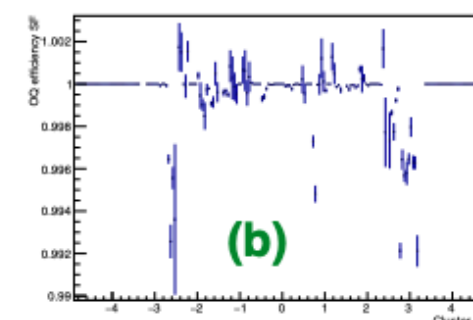
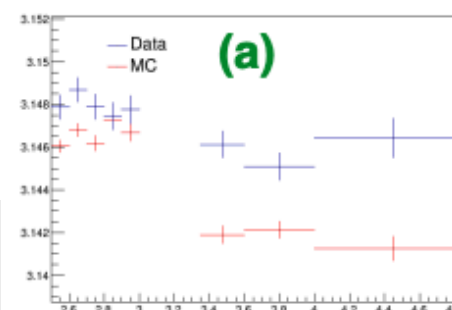
- Several new corrections applied to address the issues observed

- (a) Alignment corrections

### New SF

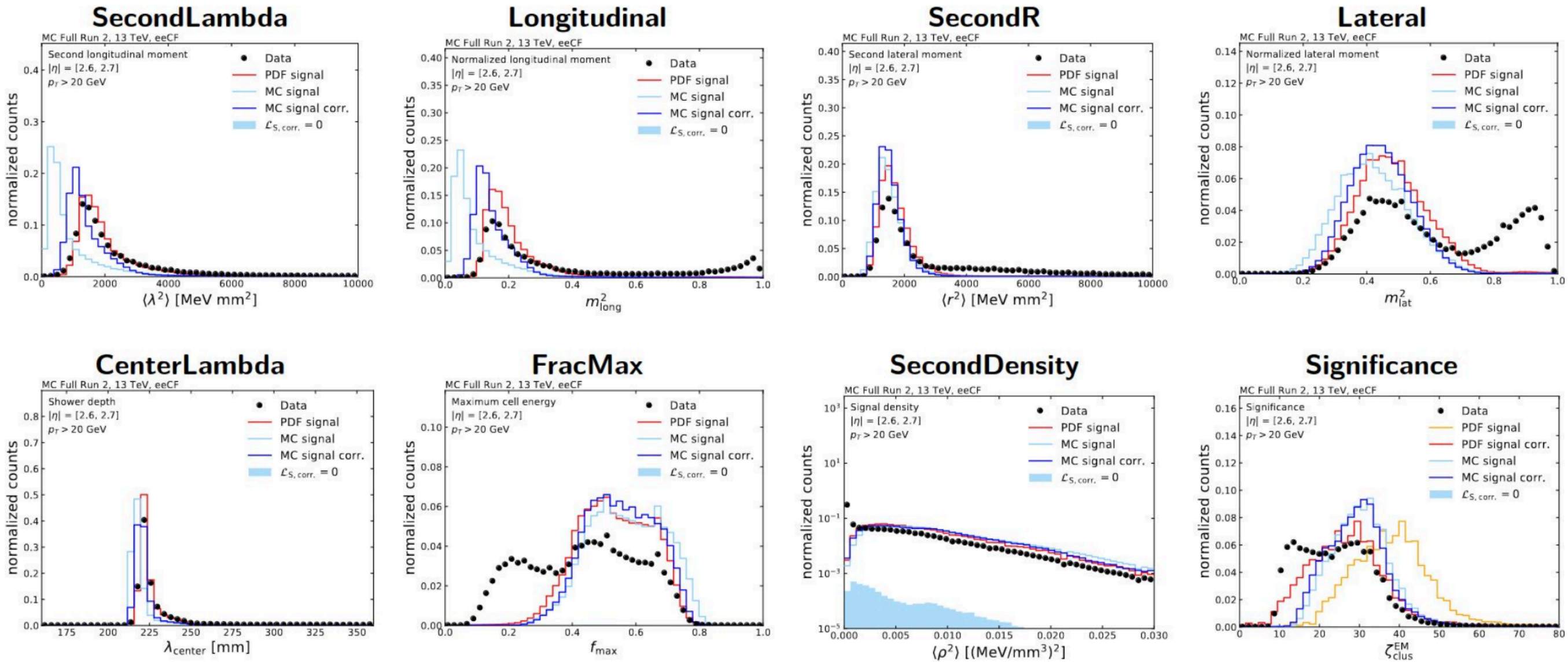
(e) completely re-derived on top of FWD LH ID

- (g) Crystal Ball based calibration for mis-modelled material effects
- (h) Energy response linearity correction



## Shower Shapes

after applying fudge factors (in EMEC)

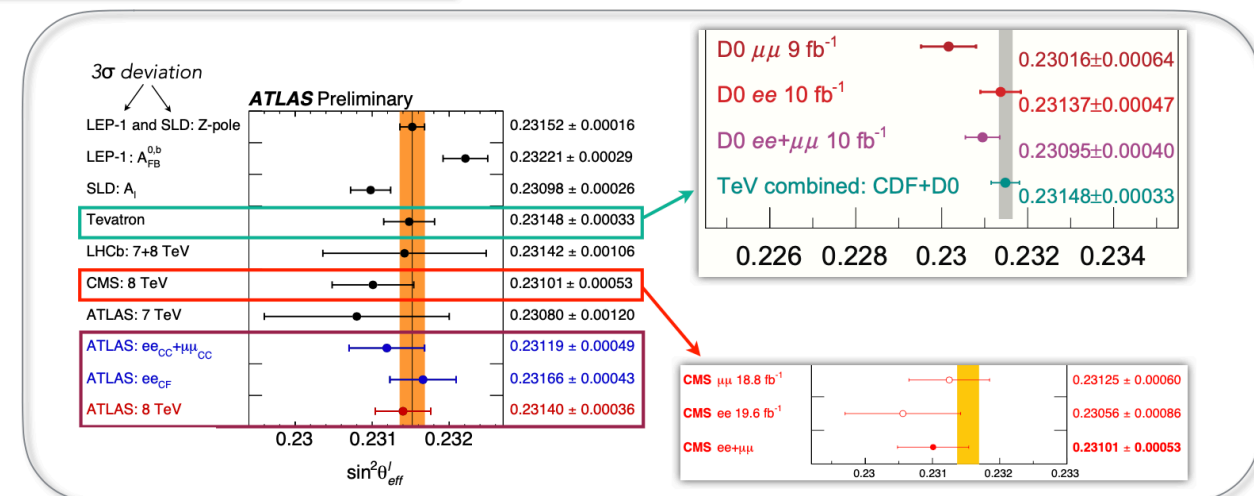




Channel	$ee_{CC}$	$\mu\mu_{CC}$	$ee_{CF}$	$ee_{CC} + \mu\mu_{CC}$	$ee_{CC} + \mu\mu_{CC} + ee_{CF}$
Central value	0.23148	0.23123	0.23166	0.23119	0.23140
Uncertainties					
Total	68	59	43	49	36
Stat.	48	40	29	31	21
Syst.	48	44	32	38	29
Uncertainties in measurements					
PDF (meas.)	8	9	7	6	4
$p_T^Z$ modelling	0	0	7	0	5
Lepton scale	4	4	4	4	3
Lepton resolution	6	1	2	2	1
Lepton efficiency	11	3	3	2	4
Electron charge misidentification	2	0	1	1	< 1
Muon sagitta bias	0	5	0	1	2
Background	1	2	1	1	2
MC. stat.	25	22	18	16	12
Uncertainties in predictions					
(MMHT) PDF (predictions)	37	35	22	33	24
QCD scales	6	8	9	5	6
EW corrections	3	3	3	3	3

$\times 10^{-5}$

$ee_{CF}$  is most precise channel [ 1.5 M of events (13.5M  $ee+\mu\mu$ )  
measurement uncertainty  $36 \times 10^{-5}$

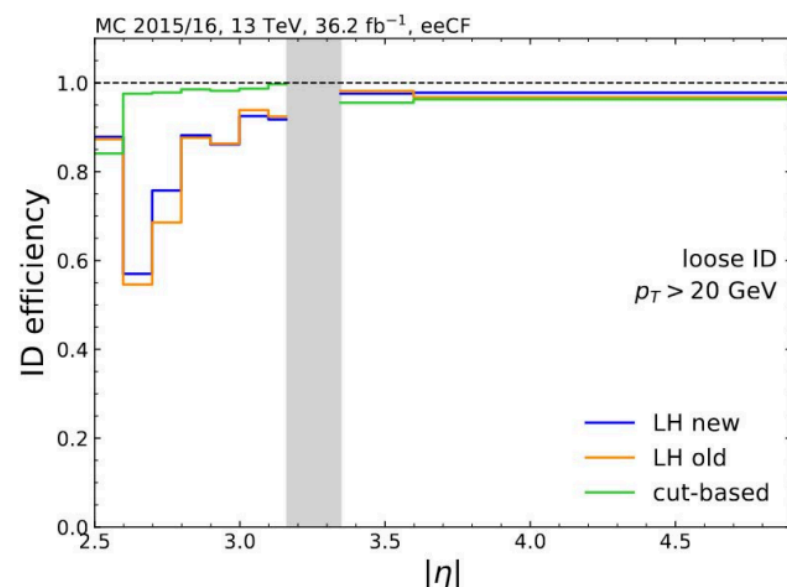




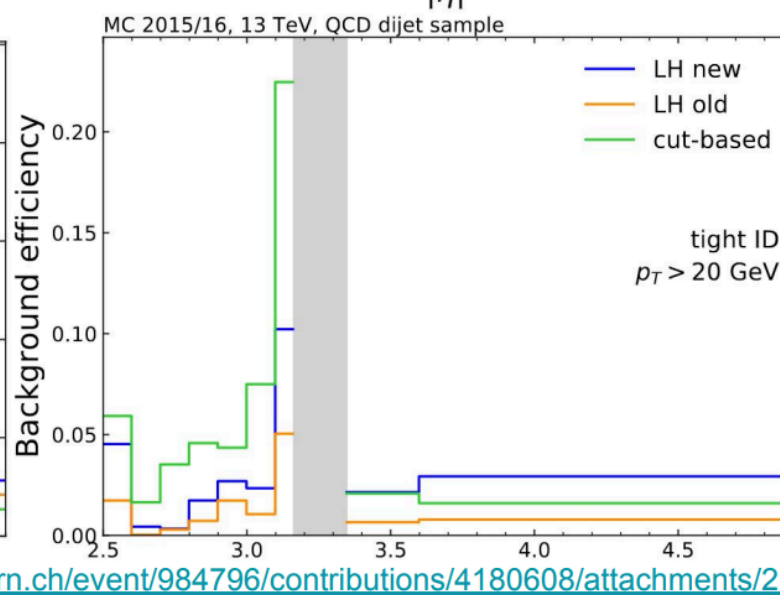
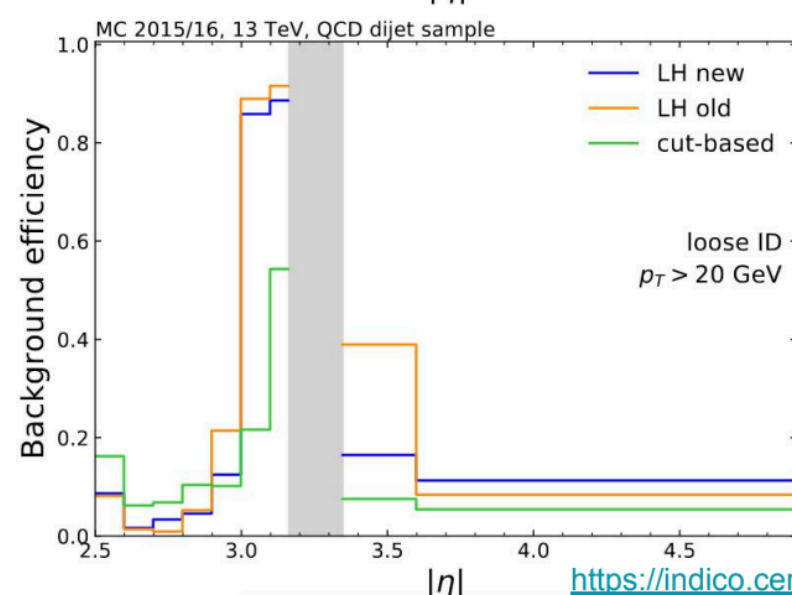
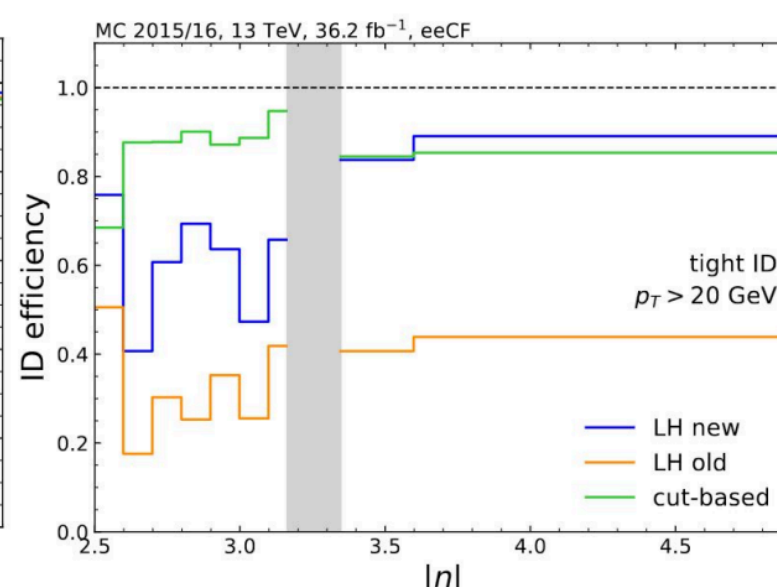
## LH Working Point Versions

### ID and Background Efficiencies

loose ID

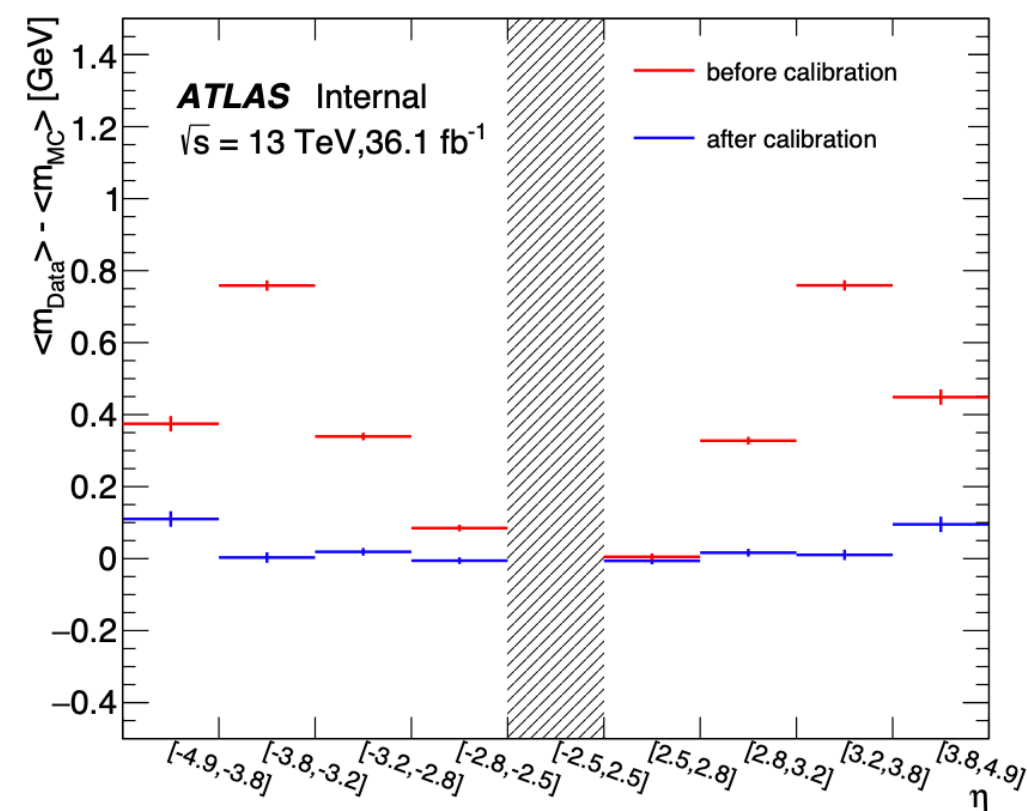
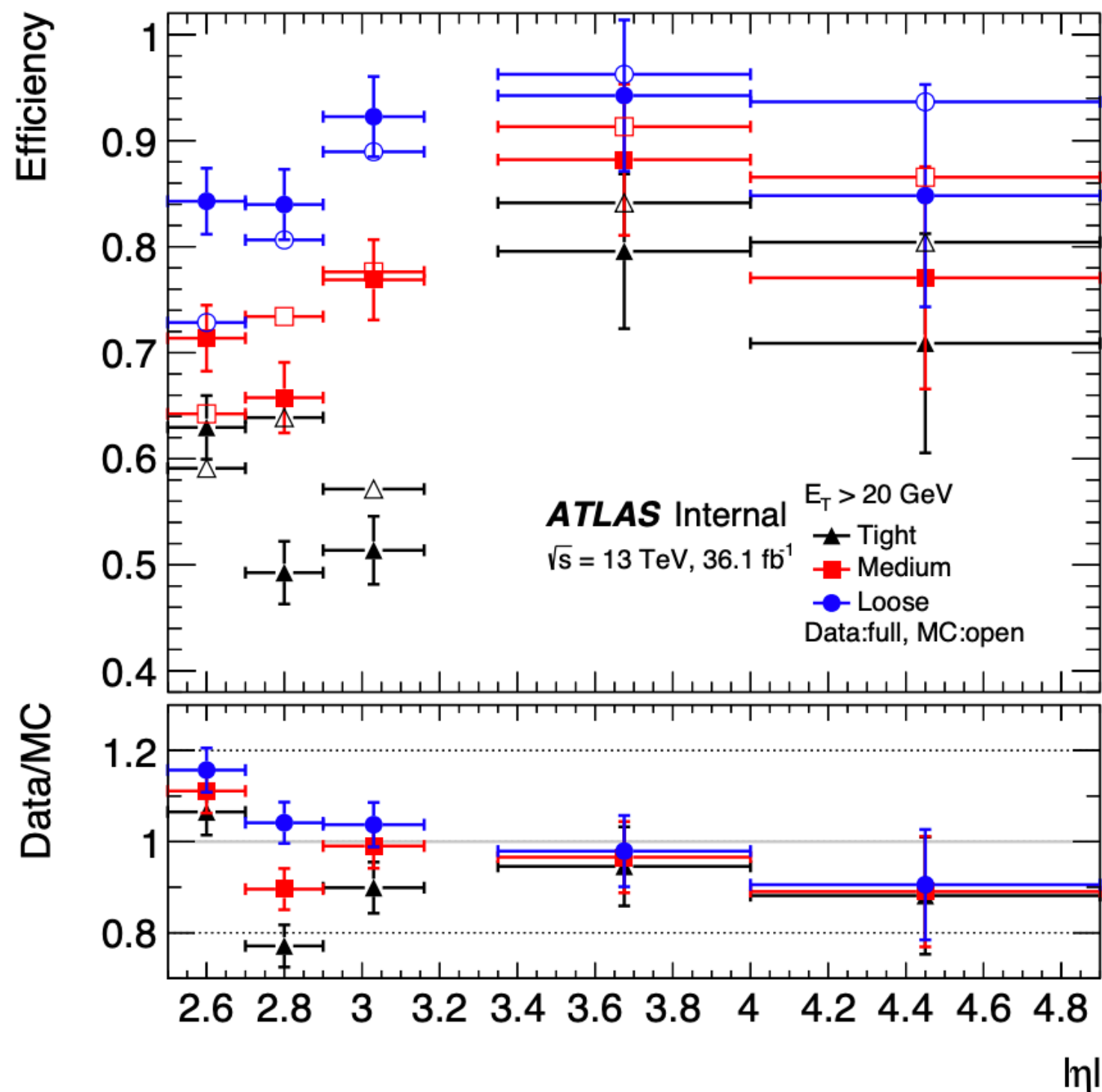


tight ID



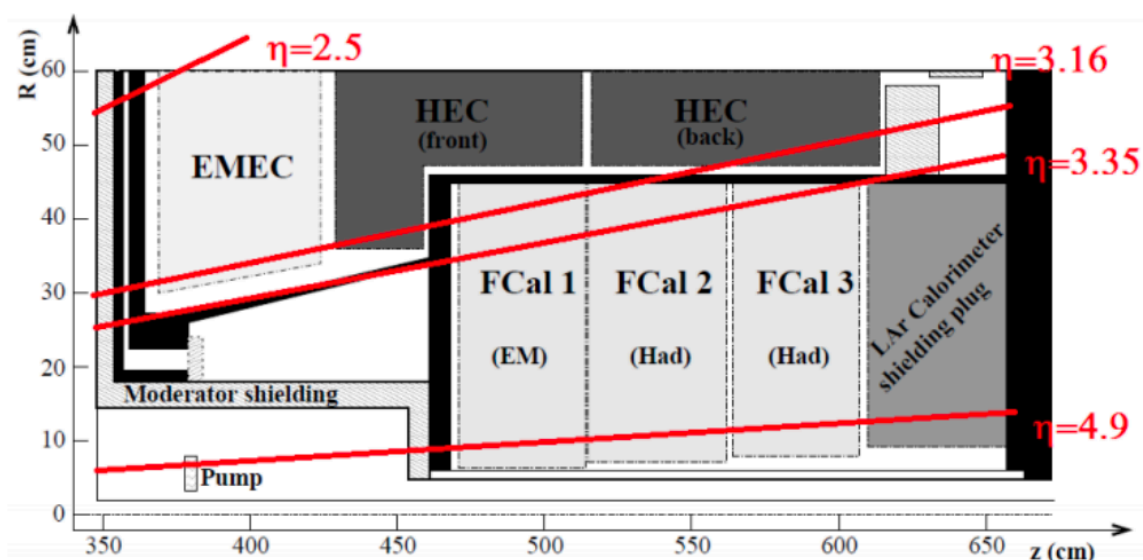
- Versions:
  - LH new: mc16\_20190729  
■ (used here)
  - LH old: mc16\_20180822  
■ (current standard)
  - cut-based: mc15\_20150812
- tuned with 2015/16 physics\_Main STDM4 data and mc16 Monte-Carlo
- ID efficiency estimated using MCTruthPartClassifier
- Background efficiency estimated using QCD dijet sample to select fake forward electrons

Public plot 2019



- InsituCalib sumamry (June2022)
- Julian Inter-claibration : Dec2019 JAn2020  
January2020 ; Run1 - Run2 diff ; Marc2020 ; Apr2020 ;  
May2020 —> BCID correction not needed.
- Alignemet: alignement from Julian ; simulation Meeting ;  
alignemenet 2
- Quality cut: QT 13TeV Manuell offman Nov2020 ;  
Dec2020

## Detector Overview



### Forward Calorimeter

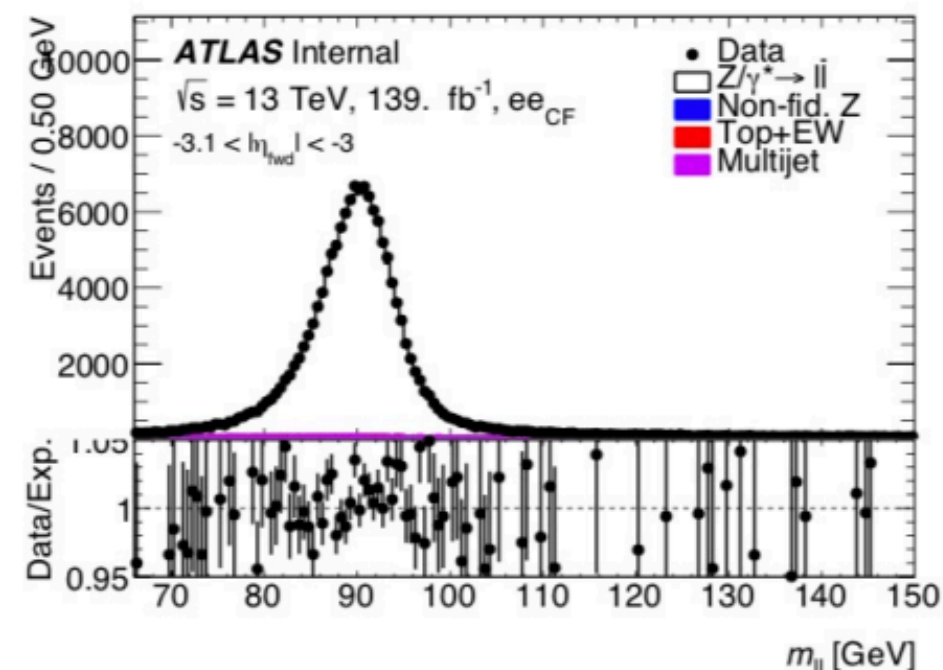
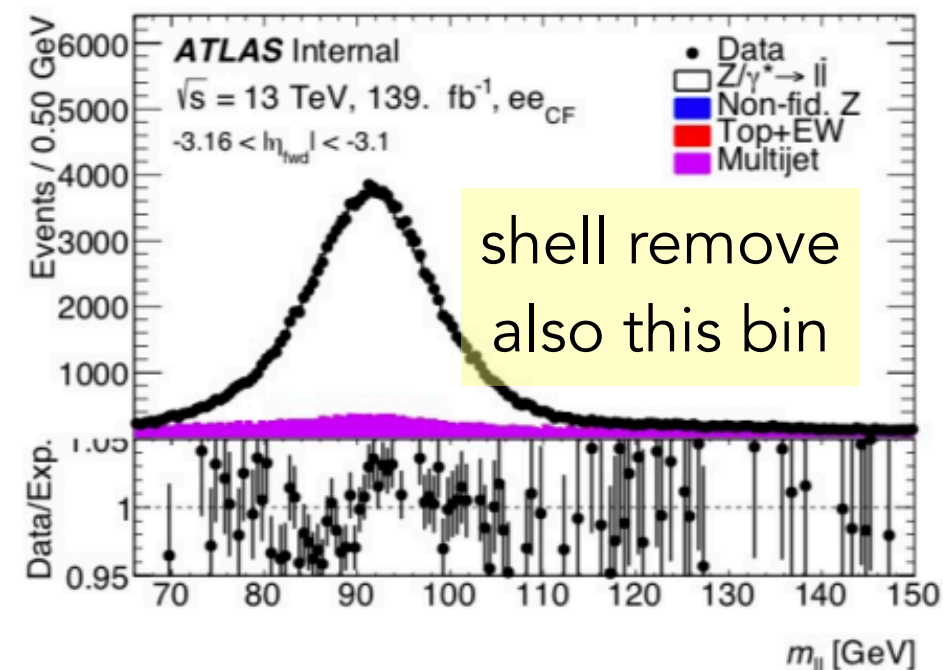
- $\eta \in [2.5, 4.9]$ . No tracker here. ( $\eta \in [3.16, 3.35]$  is crack region.)
- EMEC region:  $\eta \in [2.5, 3.16]$ .
- FCAL region:  $\eta \in [3.35, 4.9]$ .

3 / 23

Hanlin Xu Forward electrons ID and efficiency

The transition region between the two calorimeters ( $3.16 < |\eta| < 3.35$ ) is excluded from the study.

CB



The sudden increase of material at  $|\eta| \approx 3.2$ , corresponding to the transition between the endcap calorimeters and the forward calorimeter, is mostly due to the cryostat that acts also as a support structure. (<https://arxiv.org/pdf/1404.2240.pdf>)

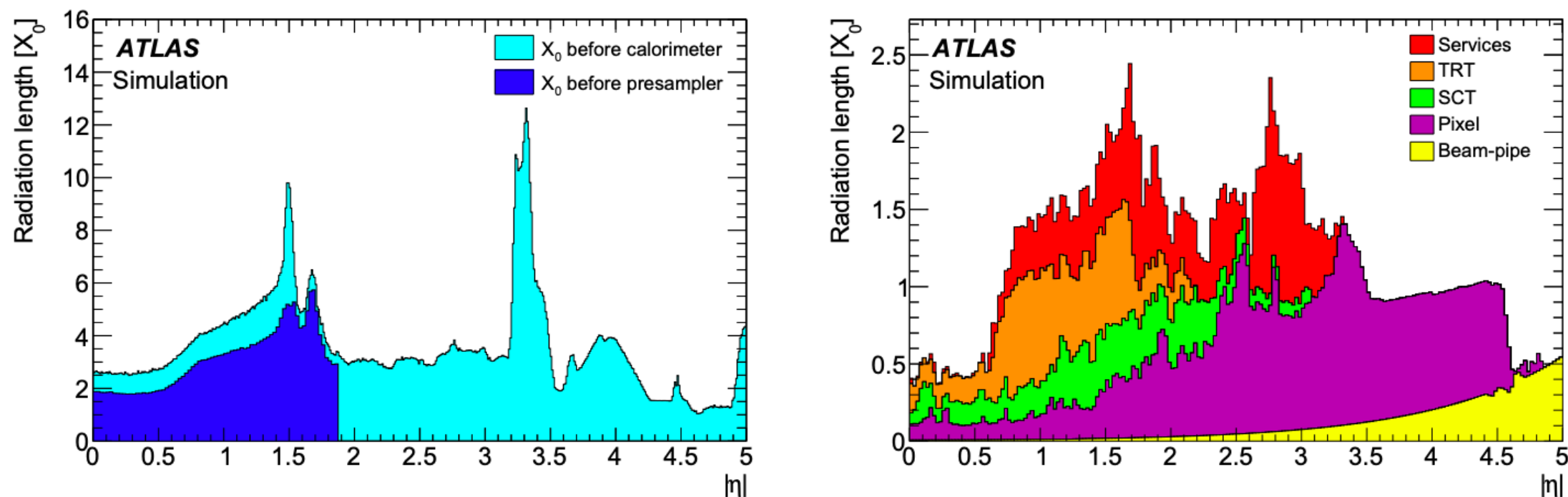
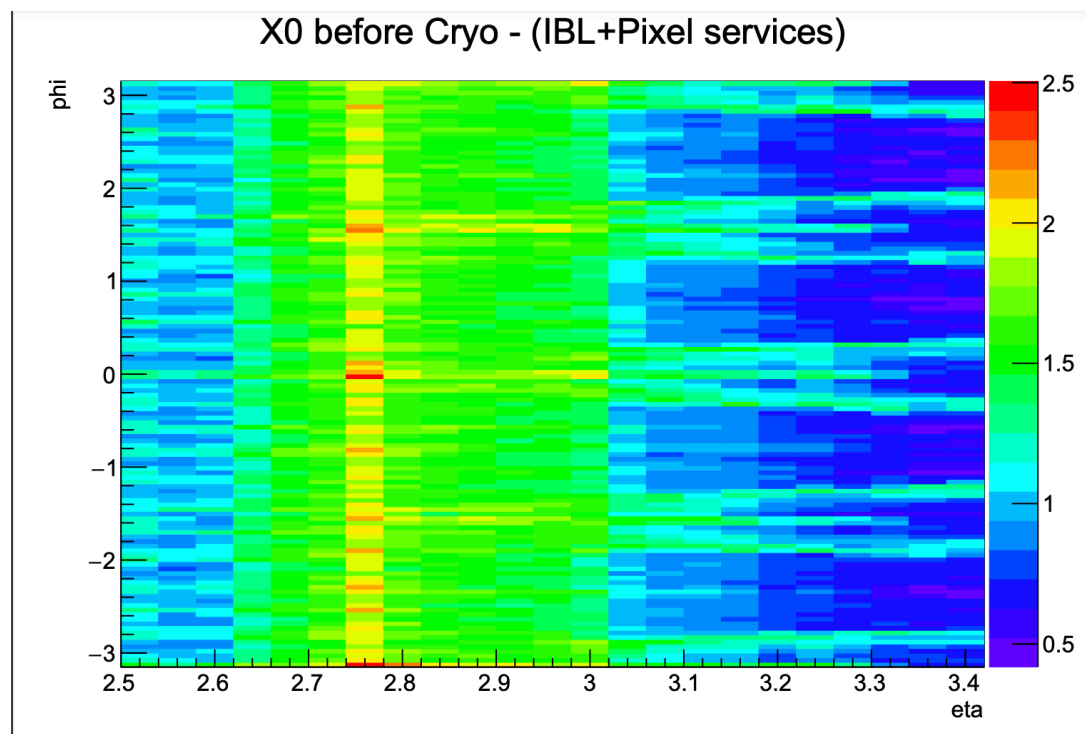


Figure 1: Amount of material, in units of radiation length  $X_0$ , traversed by a particle as a function of  $|\eta|$ : material in front of the presampler detector and the EM accordion calorimeter (left), and material up to the ID boundaries (right). The contributions of the different detector elements, including the services and thermal enclosures are shown separately by filled colour areas.

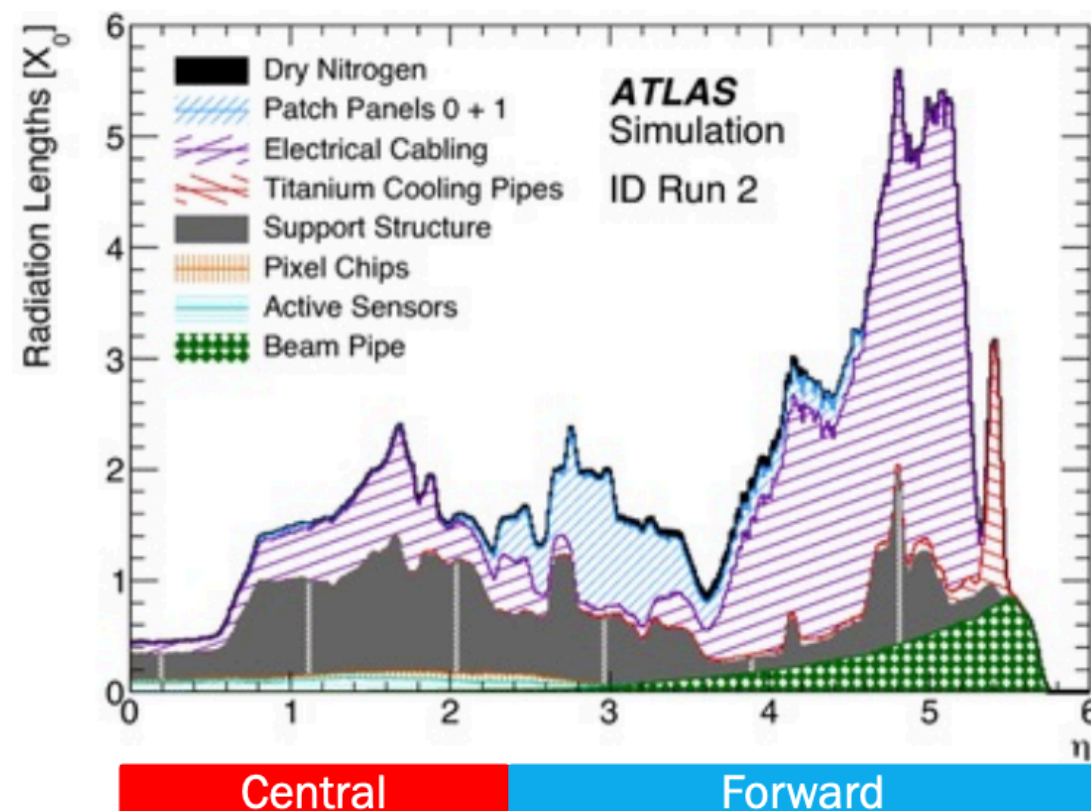
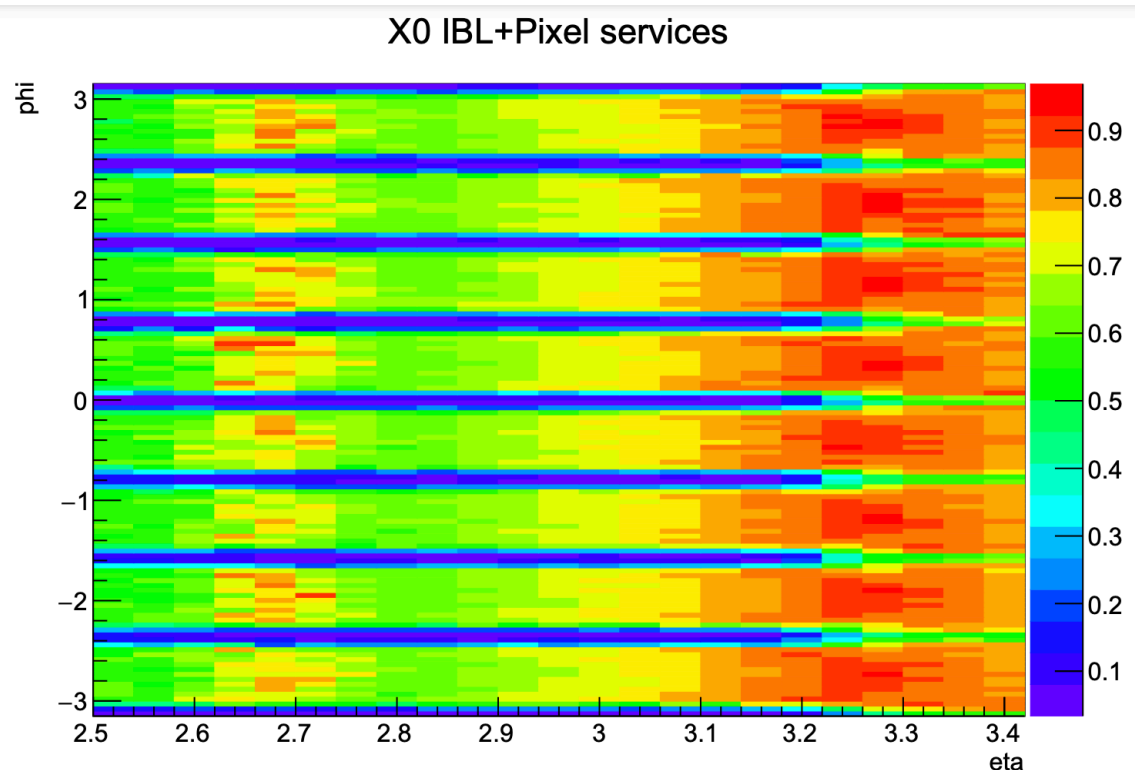
I think the 3.16 is used to match the sudden increase of the material  
the real transition starts at 3.1 but the material start to increase after  
the 3.16 + 1cell ~



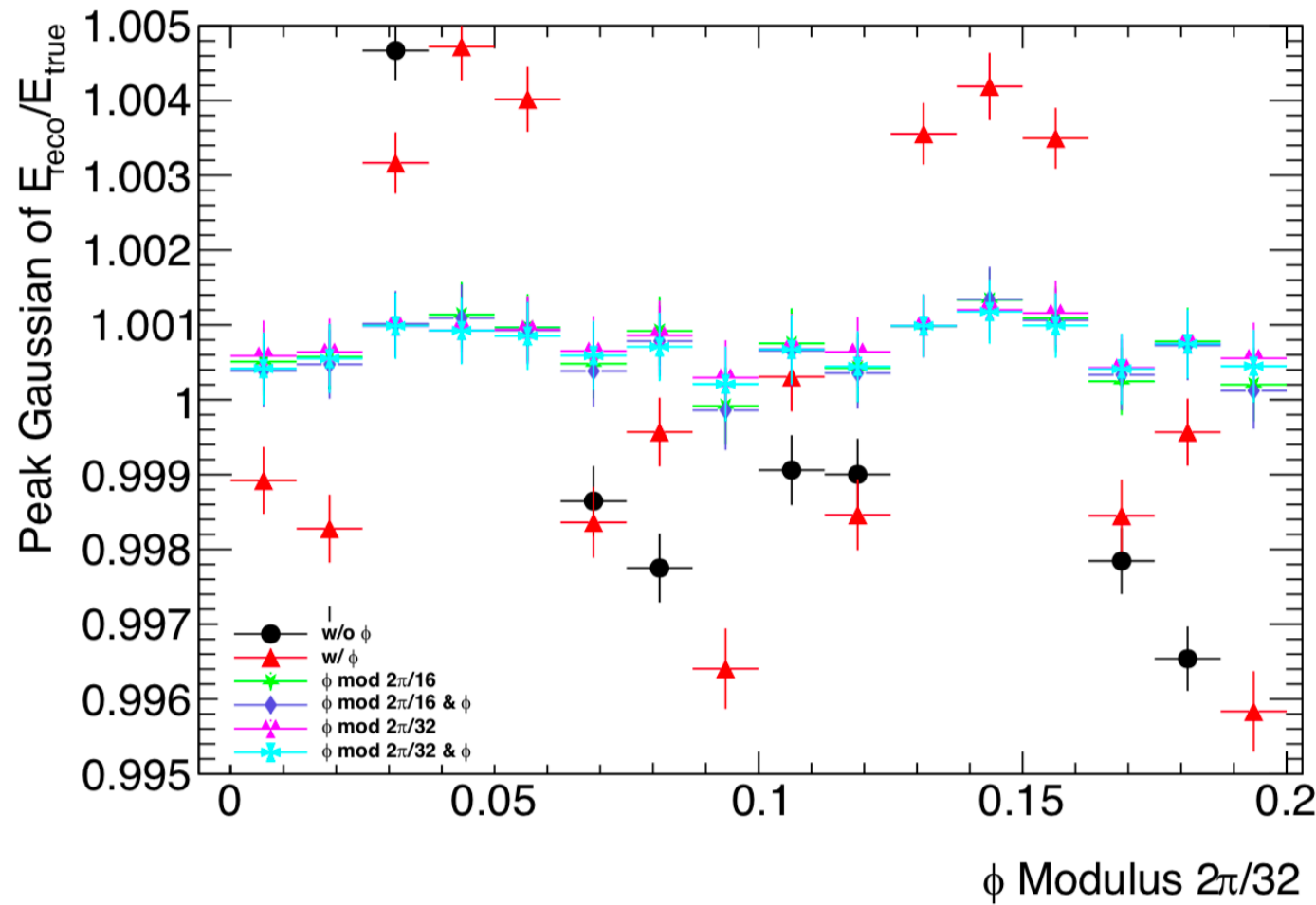
X0 before Cryo - (IBL+Pixel services)



X0 IBL+Pixel services



Passive material in ATLAS



For comparison, the FCAL granularity is approximately  $\Delta\eta \times \Delta\phi = 0.15 \times 0.15 (0.3 \times 0.3)$  at  $\eta = 3.5 (4.5)$ .

Table 1: The read-out granularity of the ATLAS calorimeter system [1], given in terms of  $\Delta\eta \times \Delta\phi$  with the exception of the forward calorimeters, where it is given in linear measures  $\Delta x \times \Delta y$ , due to the non-pointing read-out geometry of the FCAL. For comparison, the FCAL granularity is approximately  $\Delta\eta \times \Delta\phi = 0.15 \times 0.15 (0.3 \times 0.3)$  at  $\eta = 3.5 (4.5)$ . The total number of read-out cells, including both ends of the calorimeter system, with (without) pre-samplers is 187 652 (178 308).

Calorimeter	Module	Sampling ( $S_{\text{calo}}$ )	$N_{\text{cells}}$	$\eta$ -coverage	$\Delta\eta \times \Delta\phi$
Electromagnetic calorimeters	EMB		109 568	$ \eta  < 1.52$	
		PreSamplerB	7 808	$ \eta  < 1.52$	$0.025 \times \pi/32$
		EMB1		$ \eta  < 1.4$	$0.025/8 \times \pi/32$
	EMB2			$1.4 <  \eta  < 1.475$	$0.025 \times \pi/128$
				$ \eta  < 1.4$	$0.025 \times \pi/128$
				$1.4 <  \eta  < 1.475$	$0.075 \times \pi/128$
	EMB3			$ \eta  < 1.35$	$0.050 \times \pi/128$
	EMEC		63 744	$1.375 <  \eta  < 3.2$	
		PreSamplerE	1 536	$1.5 <  \eta  < 1.8$	$0.025 \times \pi/32$
		EME1		$1.375 <  \eta  < 1.425$	$0.050 \times \pi/32$
				$1.425 <  \eta  < 1.5$	$0.025 \times \pi/32$
				$1.5 <  \eta  < 1.8$	$0.025/8 \times \pi/32$
				$1.8 <  \eta  < 2.0$	$0.025/6 \times \pi/32$
				$2.0 <  \eta  < 2.4$	$0.025/4 \times \pi/32$
				$2.4 <  \eta  < 2.5$	$0.025 \times \pi/32$
				$2.5 <  \eta  < 3.2$	$0.1 \times \pi/32$
		EME2		$1.375 <  \eta  < 1.425$	$0.050 \times \pi/128$
				$1.425 <  \eta  < 2.5$	$0.025 \times \pi/128$
				$2.5 <  \eta  < 3.2$	$0.1 \times \pi/128$
		EME3		$1.5 <  \eta  < 2.5$	$0.050 \times \pi/128$
Hadronic calorimeters	Tile (barrel)		2 880	$ \eta  < 1$	
		TileBar0/1 TileBar2			$0.1 \times \pi/32$ $0.2 \times \pi/32$
	Tile (extended barrel)		2 304	$0.8 <  \eta  < 1.7$	
		TileExt0/1 TileExt2			$0.1 \times \pi/32$ $0.2 \times \pi/32$
	HEC		5 632	$1.5 <  \eta  < 3.2$	
		HEC0/1/2/3		$1.5 <  \eta  < 2.5$ $2.5 <  \eta  < 3.2$	$0.1 \times \pi/32$ $0.2 \times \pi/16$
Forward calorimeters	FCAL		3 524	$3.1 <  \eta  < 4.9$	$\Delta x \times \Delta y$
		FCAL0		$3.1 <  \eta  < 3.15$ $3.15 <  \eta  < 4.3$	$1.5 \text{ cm} \times 1.3 \text{ cm}$ $3.0 \text{ cm} \times 2.6 \text{ cm}$
				$4.3 <  \eta  < 4.83$	$1.5 \text{ cm} \times 1.3 \text{ cm}$
		FCAL1		$3.2 <  \eta  < 3.24$ $3.24 <  \eta  < 4.5$	$1.7 \text{ cm} \times 2.1 \text{ cm}$ $3.3 \text{ cm} \times 4.2 \text{ cm}$
				$4.5 <  \eta  < 4.81$	$1.7 \text{ cm} \times 2.1 \text{ cm}$
				$4.81 <  \eta  < 4.9$	$2.7 \text{ cm} \times 2.4 \text{ cm}$

<https://arxiv.org/pdf/1603.02934.pdf>

# simplified geometry ATLAS calorimeter

