

Particles, Strings and Cosmology 2025

Strong interactions

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DER FORSCHUNG | DER LEHRE | DER BILDUNG

CLUSTER OF EXCELLENCE
QUANTUM UNIVERSE



Standard Model of Elementary Particles

three generations of matter (fermions)

	I	II	III	
QUARKS	mass $\approx 2.4 \text{ MeV}/c^2$ charge $2/3$ spin $1/2$ u up	mass $\approx 1.275 \text{ GeV}/c^2$ charge $2/3$ spin $1/2$ c charm	mass $\approx 172.44 \text{ GeV}/c^2$ charge $2/3$ spin $1/2$ t top	mass 0 charge 0 spin 1 g gluon
	mass $\approx 4.8 \text{ MeV}/c^2$ charge $-1/3$ spin $1/2$ d down	mass $\approx 95 \text{ MeV}/c^2$ charge $-1/3$ spin $1/2$ s strange	mass $\approx 4.18 \text{ GeV}/c^2$ charge $-1/3$ spin $1/2$ b bottom	mass 0 charge 0 spin 1 γ photon
	mass $\approx 0.511 \text{ MeV}/c^2$ charge -1 spin $1/2$ e electron	mass $\approx 105.67 \text{ MeV}/c^2$ charge -1 spin $1/2$ μ muon	mass $\approx 1.7768 \text{ GeV}/c^2$ charge -1 spin $1/2$ τ tau	mass $\approx 91.19 \text{ GeV}/c^2$ charge 0 spin 1 Z Z boson
LEPTONS	mass $< 2.2 \text{ eV}/c^2$ charge 0 spin $1/2$ ν_e electron neutrino	mass $< 1.7 \text{ MeV}/c^2$ charge 0 spin $1/2$ ν_μ muon neutrino	mass $< 15.5 \text{ MeV}/c^2$ charge 0 spin $1/2$ ν_τ tau neutrino	mass $\approx 80.39 \text{ GeV}/c^2$ charge ± 1 spin 1 W W boson
				mass $\approx 125.09 \text{ GeV}/c^2$ charge 0 spin 0 H Higgs
				SCALAR BOSONS

GAUGE BOSONS

The color symmetry

Quantum Chromodynamics

- The theory of strong interactions is based on the SU(3) group*.
- The discovery of states like the Δ^{++} (=uuu) with spin=3/2 posed the problem of having all identical quarks on the same ground state

$$u \uparrow u \uparrow u \uparrow$$

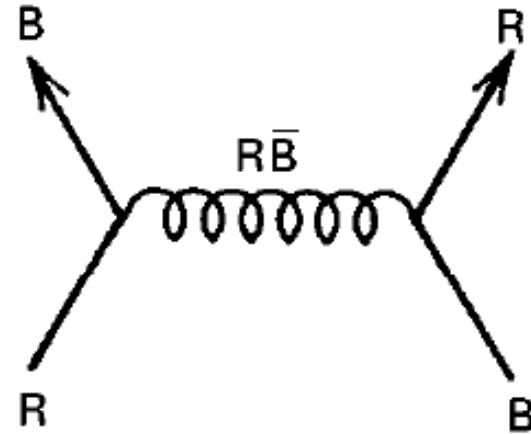
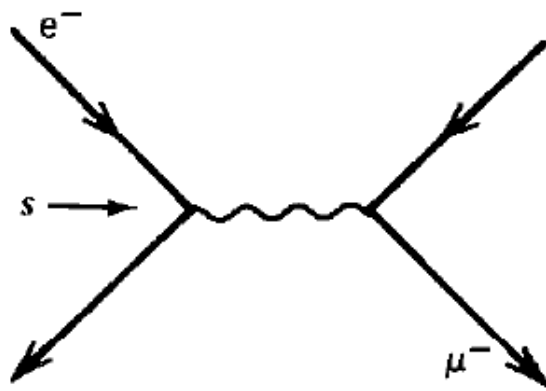
so that an additional quantum number, the „colour“ was introduced. Mesons and baryons are colourless, i.e. the colour wavefunction of a baryon:

$$(qqq)_{\text{col. singlet}} = \sqrt{\frac{1}{6}} (\mathbf{RGB} - \mathbf{RBG} + \mathbf{BRG} - \mathbf{BGR} + \mathbf{GBR} - \mathbf{GRB}).$$

Gluons are coloured and there are 8 generators of the group (3^2-1), as one is a colour singlet

$$\mathbf{R\bar{G}}, \mathbf{R\bar{B}}, \mathbf{G\bar{R}}, \mathbf{G\bar{B}}, \mathbf{B\bar{R}}, \mathbf{B\bar{G}}, \sqrt{\frac{1}{2}} (\mathbf{R\bar{R}} - \mathbf{G\bar{G}}), \sqrt{\frac{1}{6}} (\mathbf{R\bar{R}} + \mathbf{G\bar{G}} - 2\mathbf{B\bar{B}})$$

Analogy to QED



The two diagrams look very similar, however:

- different coupling strength ($\alpha = 1/128$, $\alpha_s = 0.116$ at $M(Z)$)
- QCD is a non-abelian theory, gluons are coloured and there are gluon self-interactions diagrams
- due to confinement, quarks are never observed free, but hadronize in bundles of particles. This process is not perturbative, and this poses a limitation to the verification of the theory ($< \sim 1\%$ precision at the moment)

Observation of 2 jets

First observed in the electron-positron colliders SPEAR

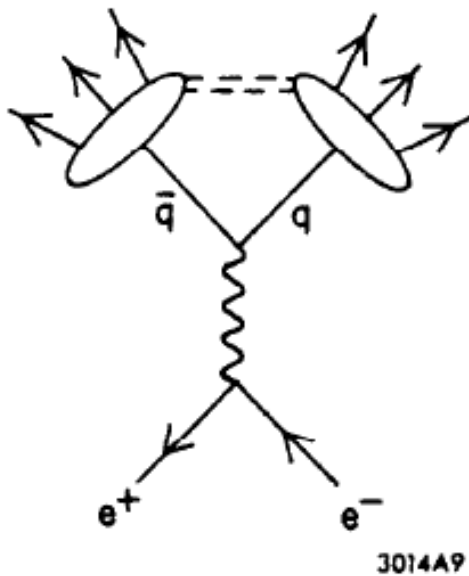


Fig. 9. Quark-parton model picture of production of hadrons in e^+e^- annihilation.

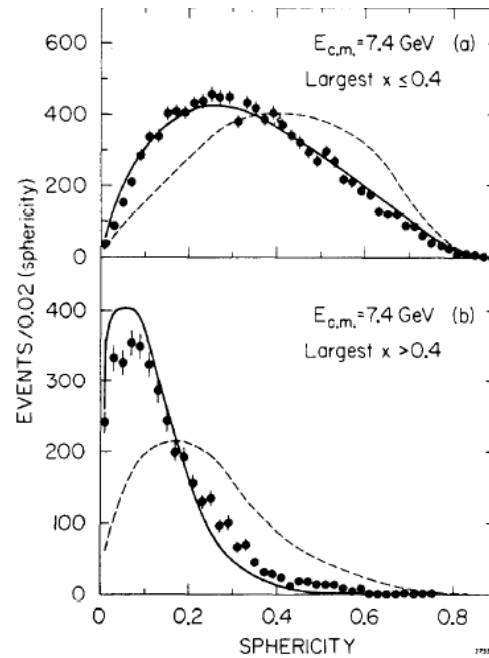
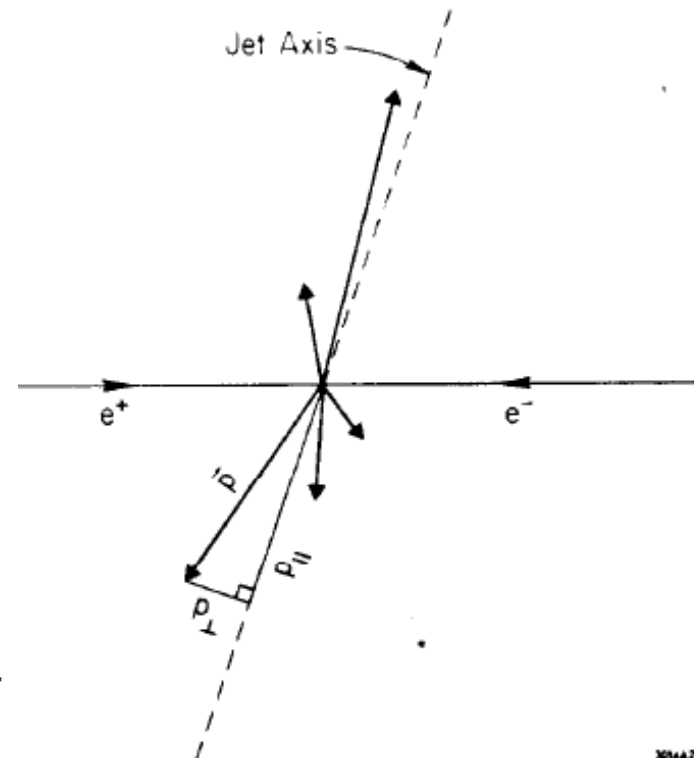
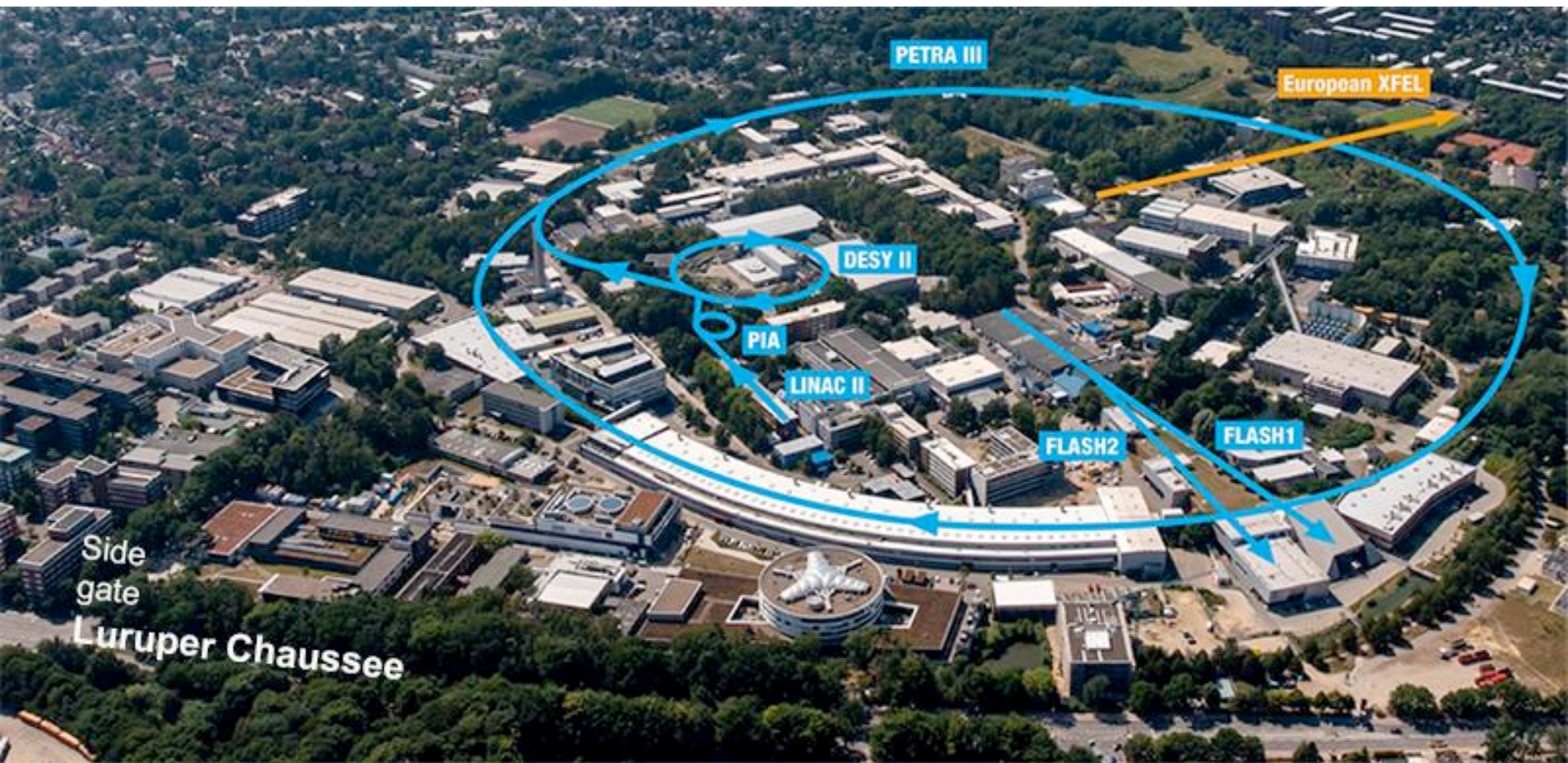


Fig. 16. Observed sphericity distributions at $E_{c.m.} = 7.4$ GeV for data, jet model (solid curves), and phase-space model (dashed curves) for (a) events with largest $x \leq 0.4$ and (b) events with largest $x > 0.4$.



DESY today



Observation of three jets

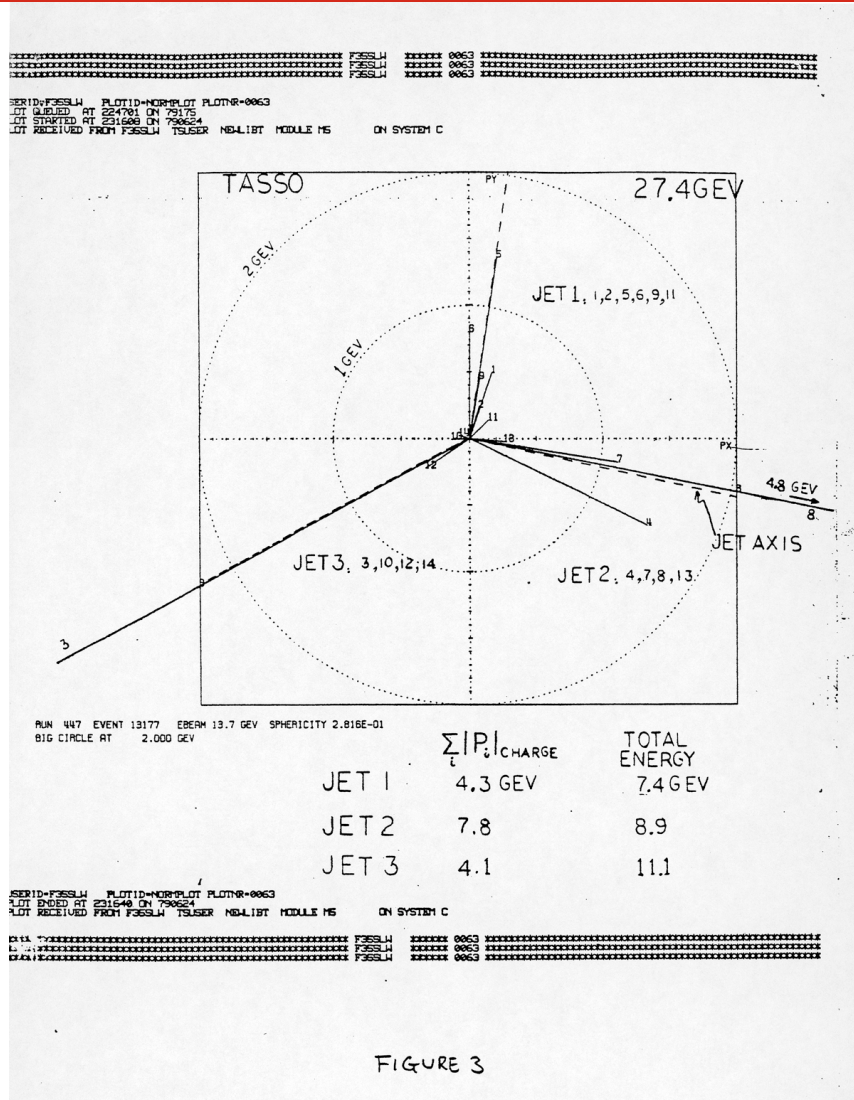
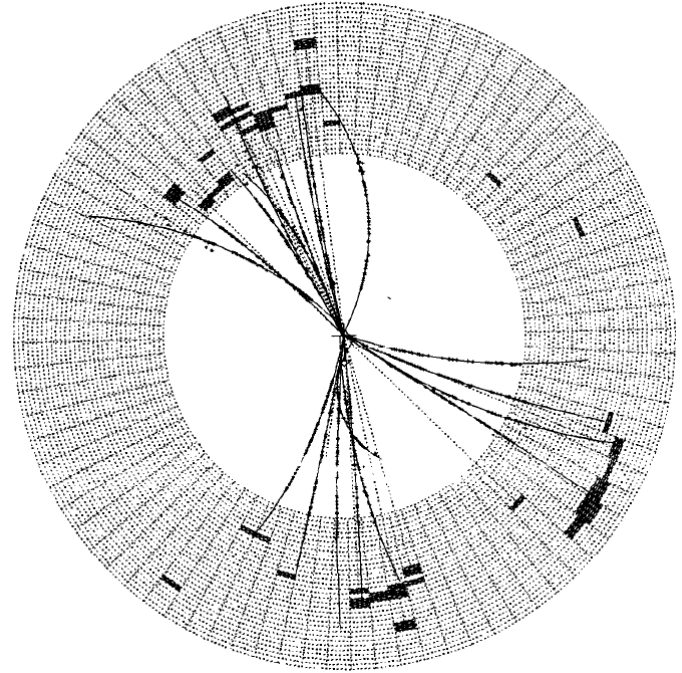
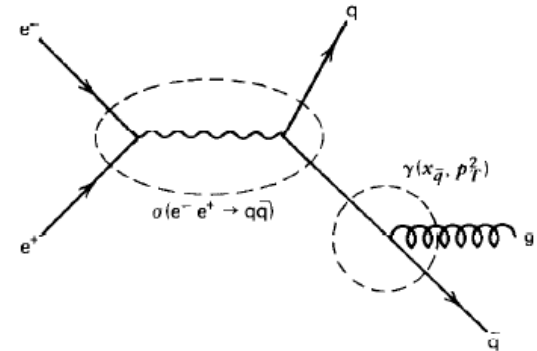


FIGURE 3

First event by TASSO (1979) at PETRA, DESY



JADE experiment, DESY



Ratio R in e^+e^-

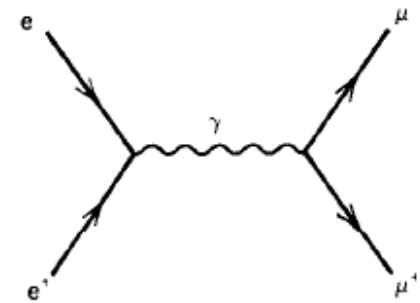
In lowest order the cross section for e^+e^- in two muons in QED is given by:

$$\sigma(e^-e^+ \rightarrow \mu^-\mu^+) = \frac{4\pi\alpha^2}{3Q^2}$$

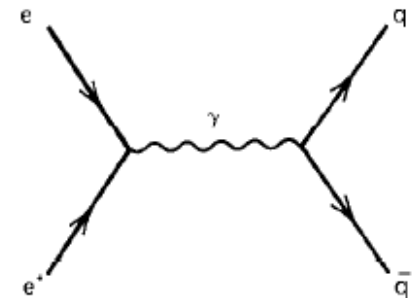
The diagram for the production of a quark pair is the same, except for:

- the quark charge (squared)
- the factor 3 due to the number of coloured state of the quarks

This factor 3 in R was one of the experimental proofs of the 3 „colours“ for quarks

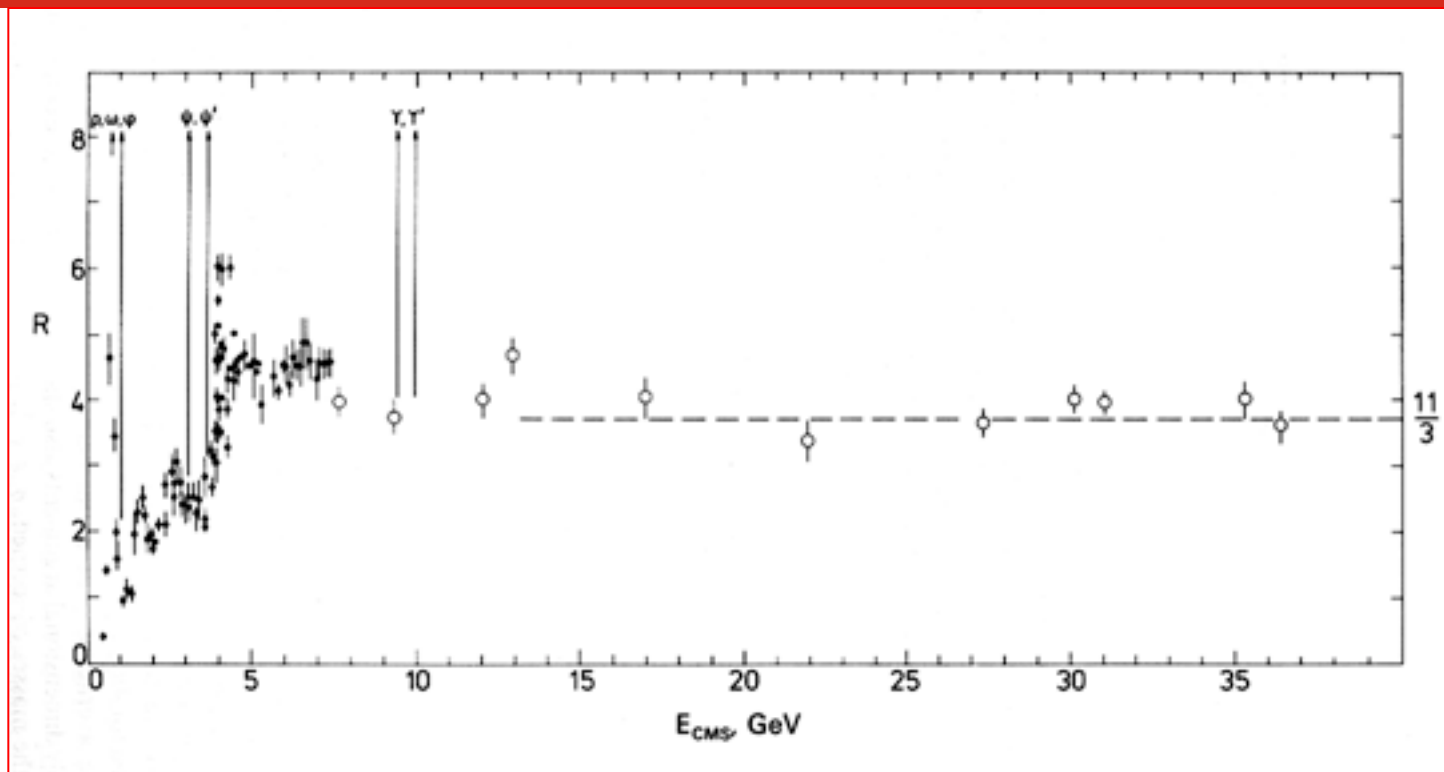


(a)



$$R \equiv \frac{\sigma(e^-e^+ \rightarrow \text{hadrons})}{\sigma(e^-e^+ \rightarrow \mu^-\mu^+)} = 3 \sum_q e_q^2.$$

Ratio R in e^+e^-



$$\begin{aligned}
 R &= 3 \left[\left(\frac{2}{3} \right)^2 + \left(\frac{1}{3} \right)^2 + \left(\frac{1}{3} \right)^2 \right] = 2 && \text{for } u, d, s, \\
 &= 2 + 3 \left(\frac{2}{3} \right)^2 = \frac{10}{3} && \text{for } u, d, s, c, \\
 &= \frac{10}{3} + 3 \left(\frac{1}{3} \right)^2 = \frac{11}{3} && \text{for } u, d, s, c, b
 \end{aligned}$$

Lagrangian and α_s

How many of you are familiar with the Lagrangian formalism in quantum field theory?

dasurau uiaa aueolx:

In QED

We require U(1) local gauge invariance*, where the phase $\alpha(x)$ is dependent on space and time point-to-point (that's what we are interested in)

$$\psi(x) \rightarrow e^{i\alpha(x)}\psi(x)$$

However the Lagrangian of a free particle

$$\mathcal{L} = i\bar{\psi}\gamma^\mu\partial_\mu\psi - m\bar{\psi}\psi,$$

is not invariant under this transformation. If we want the Lagrangian to have local gauge invariance, we have to do a trick and introduce the covariant derivative with a field A:

$$D_\mu \equiv \partial_\mu - ieA_\mu$$

$$\mathcal{L} = \bar{\psi}(i\gamma^\mu\partial_\mu - m)\psi + e\bar{\psi}\gamma^\mu A_\mu\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu}.$$

*invariance under UA(1) implies conservation of current

In QCD

In analogy to what is done in QED, let's start from the Lagrangian of a free particle

$$\mathcal{L}_0 = \bar{q}_j (i\gamma^\mu \partial_\mu - m) q_j$$

q=3 quark colour fields

And let's require the Lagrangian to be invariant under the local phase transformation SU(3):

$$q(x) \rightarrow Uq(x) \equiv e^{i\alpha_a(x)T_a}q(x)$$

T= 3x3 matrices

In analogy to what is done in QED, one can ask the Lagrangian to be invariant under local **color** phase transformation to the quark field. This can be achieved by replacing the derivative with the covariant derivative:

$$\partial_\mu q \rightarrow D_\mu = \partial_\mu + igT_a G_\mu^a$$

G= 8 gluon fields

QCD Lagrangian

The resulting Lagrangian is:

$$\mathcal{L} = \bar{q}(i\gamma^\mu\partial_\mu - m)q - g(\bar{q}\gamma^\mu T_a q)G_\mu^a - \frac{1}{4}G_{\mu\nu}^a G_a^{\mu\nu}$$



Quark line



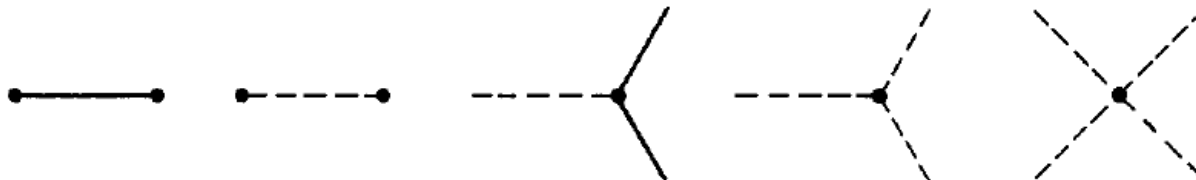
Gluon-quark
interaction with
coupling g



Kinetic term
+gluon self-
interaction

Due to the fact that gluons are „coloured“, there are also three-gluon and four-gluon vertices, differently to QED. One can symbolically rewrite the Lagrangian as:

$$\mathcal{L} = \text{“}\bar{q}q\text{”} + \text{“}G^2\text{”} + g\text{“}\bar{q}qG\text{”} + g\text{“}G^3\text{”} + g^2\text{“}G^4\text{”}.$$



Running of alpha in QED

In QED we have to consider not only the diagrams with the „bare“ charge e_0 , but also the loop corrections in the propagators. We can absorb the loop corrections in a redefinition of the charge $e_0 \rightarrow e$, this is the charge that we effectively measure.

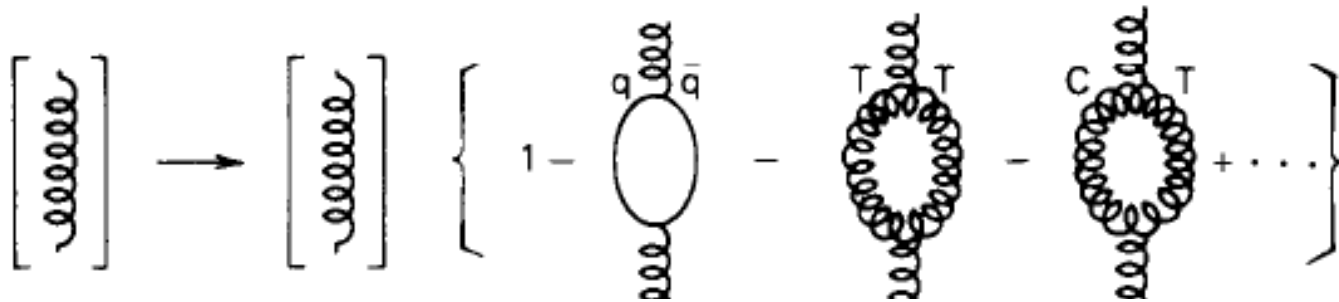
The diagram shows an equation for the electron propagator. On the left is a dressed propagator with external lines labeled e and e , and a wavy internal line. This is equal to a bracketed sum of three terms: a bare propagator with external lines labeled e_0 and e_0 ; a diagram with a fermion loop on the wavy line, with external lines labeled e_0 and e_0 ; and a diagram with a photon loop on the wavy line, with external lines labeled e_0 and e_0 . The bracketed sum is followed by an ellipsis. Below the bracketed sum is the text "at $Q^2 = \mu^2$ ".

.The relation between the two has to be specified at a particular scale and the charge e (or $\alpha(\text{QED})$) becomes dependent on the scale Q^2 and in particular it increases with energy:

The diagram shows an equation for the electron propagator. On the left is a dressed propagator with external lines labeled e and e , and a wavy internal line. This is equal to a bare propagator with external lines labeled e_0 and e_0 , multiplied by a bracketed term. The bracketed term is a fraction: the numerator is 1, and the denominator is 1 plus a diagram of a photon loop on the wavy line. To the right of the bracketed term is the formula for the running of the fine structure constant: $\alpha(Q^2) = \frac{\alpha_0}{1 - \frac{\alpha_0}{3\pi} \log\left(\frac{Q^2}{M^2}\right)}$.

Running of α_s in QCD

Renormalization group equation for the strong coupling constant:



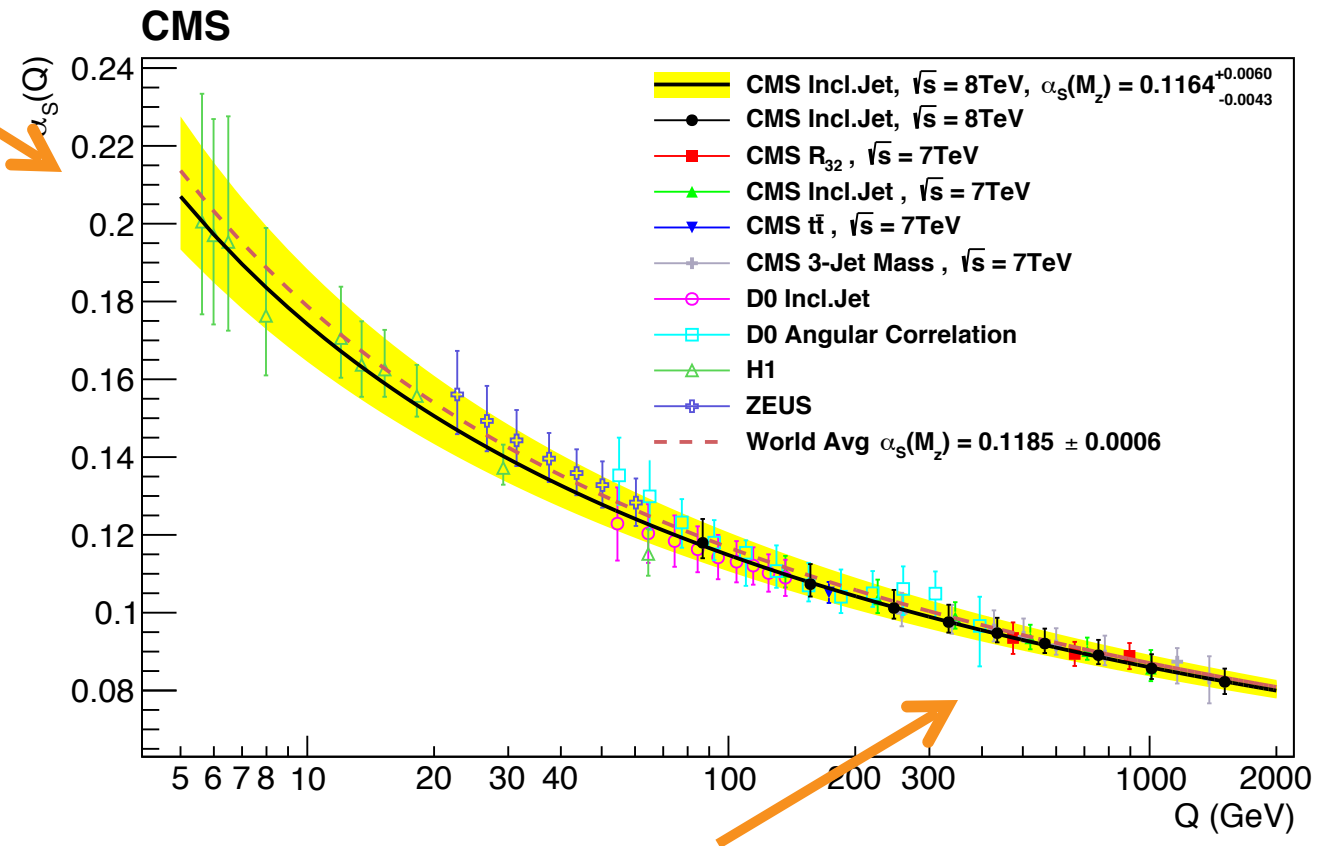
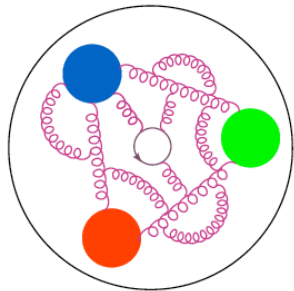
$$\alpha_s(Q^2) = \frac{\alpha_s(\mu^2)}{1 + \frac{\alpha_s(\mu^2)}{12\pi} (33 - 2n_f) \log(Q^2/\mu^2)}$$

Gluon-gluon term
Quark term

For 5 flavour n_f (true at the scale $M(Z)$), the dependence of α_s on the energy is opposite to the one of alpha electromagnetic, that is α_s decreases with increasing energy.

Strong coupling constant

α_s large, confinement of quarks inside hadrons

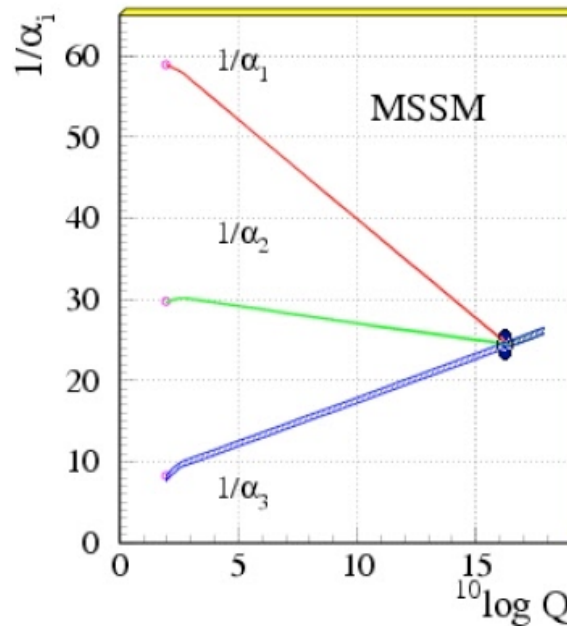
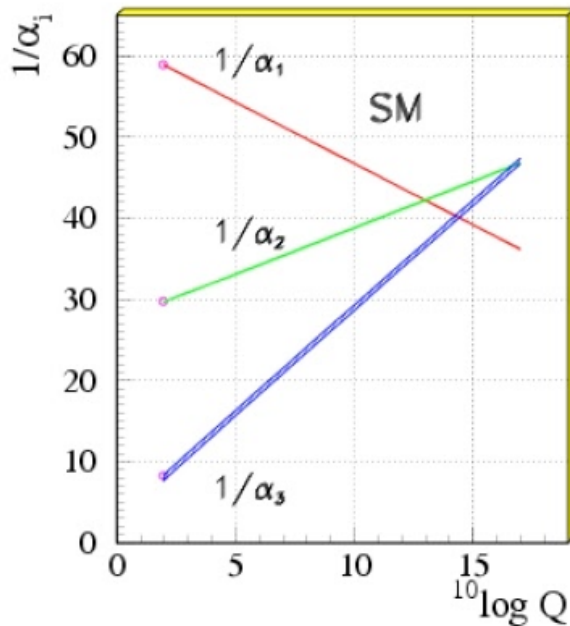


α_s small, can apply perturbative calculations

Asymptotic freedom: quarks are 'free' at short distances and large energies

Famous plot on running

Running of the three coupling constant (1=e.m., 2=strong, 3=weak) after first precise measurements at LEP.

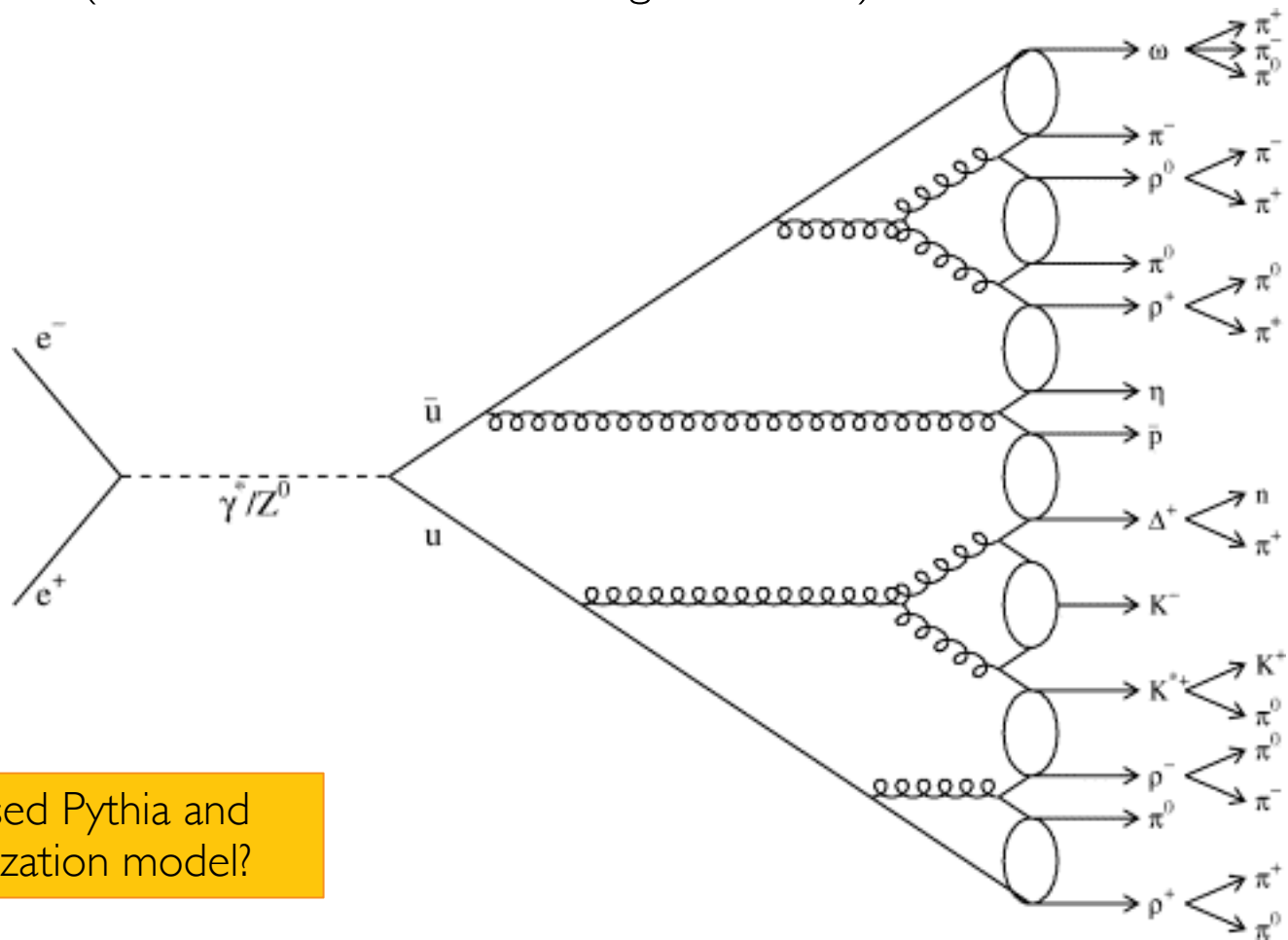


Why is this plot famous?

- A) My professor started to believe in Supersymmetry after seeing this plot
- B) It is not famous at all, and the second plot is a mistake
- C) It shows that the coupling constants will never meet
- D) I do not understand the question

Fragmentation

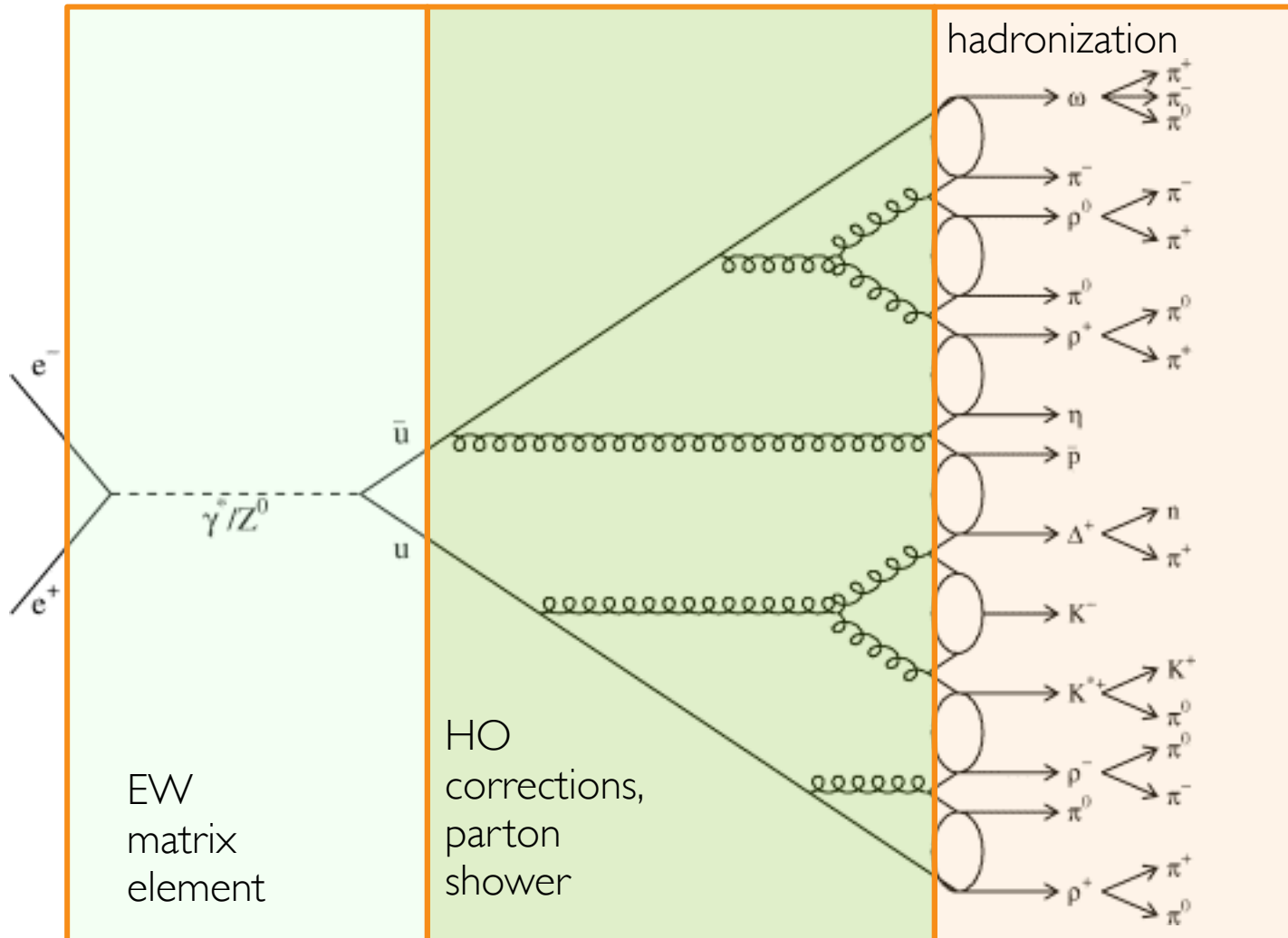
- Quarks are not observed free. What we observe are jets of hadrons in the direction of the quarks.
- The formation of hadrons is a non-perturbative process, described by empirical models (called hadronization or fragmentation)



Have you ever used Pythia and the Lund hadronization model?

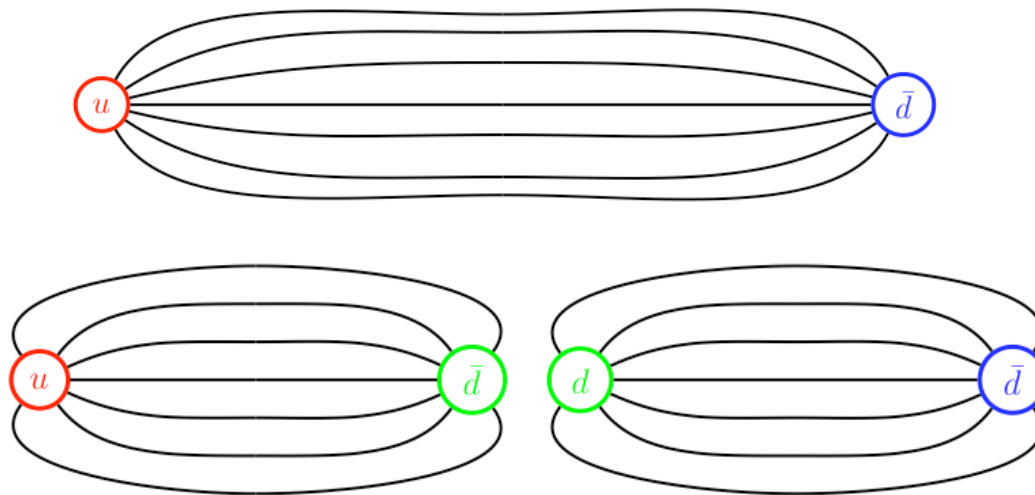
Fragmentation

Three steps in MC models:



Fragmentation models

- Fragmentation is a non perturbative process (small scales, large distances), is treated by phenomenological models, described in Monte Carlo programs with few parameters tuned to data.
- The most popular one is the Lund string fragmentation, called from the town of the developer (Pythia generator, etc.)



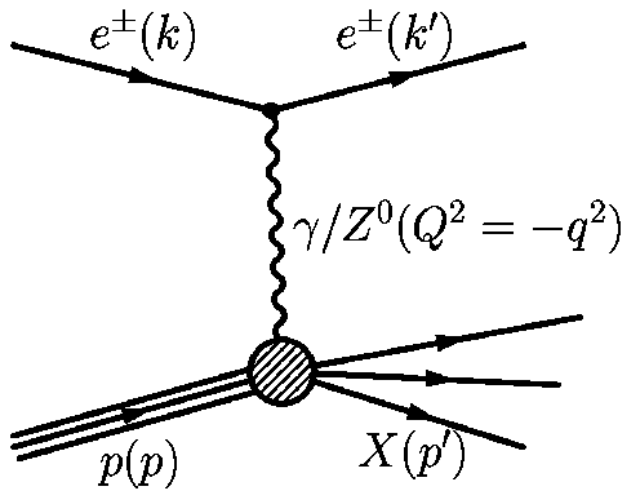
As two quarks try to get apart, a „string“ (gluon field lines) is created, which finally breaks and creates another pair of quark-antiquark. At the end the pairs combine into final hadrons

DIS

Are you already familiar with Deep Inelastic Scattering?

Deep Inelastic scattering

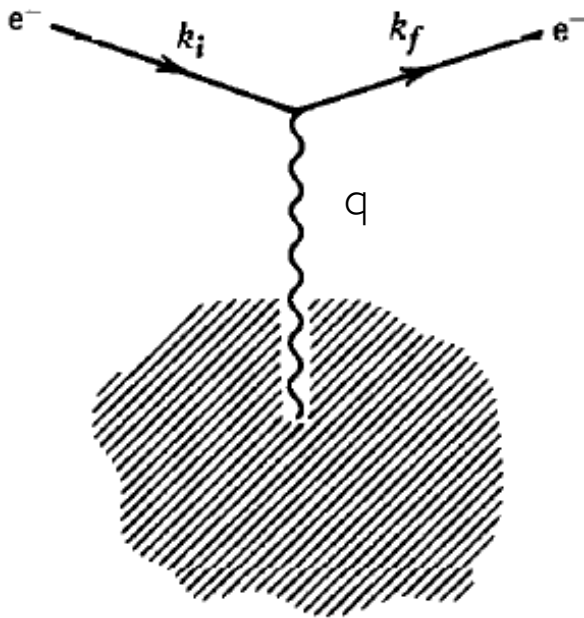
A big confirmation of the quark model first, and later of QCD as theory of strong interactions, came with experiments on Deep Inelastic Scattering:



- A pointlike beam typically probes the proton (electron, muon, neutrino)
- „Deep“ : the four-momentum transfer q is „high“ $\sim$ few GeV^2
- Elastic: the proton does not break-up
- Inelastic: the proton breaks up in hadrons, like in the figure

Form factors

Let's first consider the scattering of an electron to an extended charged object, for simplicity with spin zero. The cross section is given by:



$$\frac{d\sigma}{d\Omega} = \left(\frac{d\sigma}{d\Omega} \right)_{\text{point}} |F(q)|^2,$$

$$\left(\frac{d\sigma}{d\Omega} \right)_{\text{point}} \equiv \left(\frac{d\sigma}{d\Omega} \right)_{\text{Mott}} = \frac{(Z\alpha)^2 E^2}{4k^4 \sin^4 \frac{\theta}{2}} \left(1 - v^2 \sin^2 \frac{\theta}{2} \right)$$

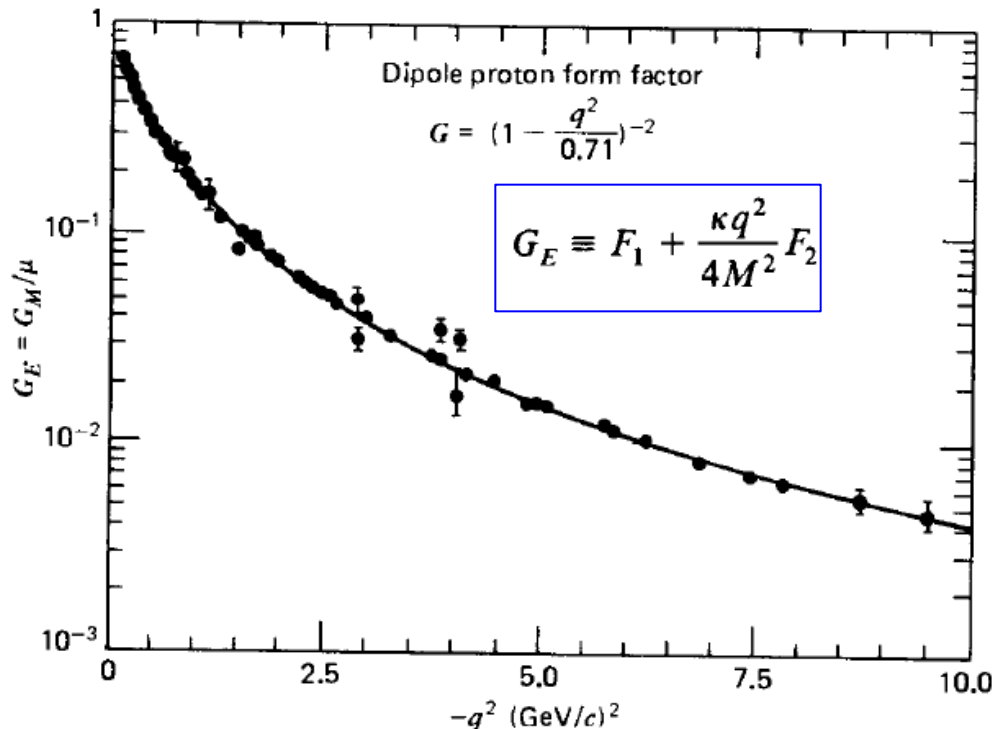
$$F(\mathbf{q}) = \int \rho(\mathbf{x}) e^{i\mathbf{q} \cdot \mathbf{x}} d^3x$$

$F(q)$ is the Fourier transform of the charge distribution and gives information about the structure of the target, θ is the electron scattering angle

Form factors

For scattering on protons, there is an additional magnetic form factor, due to the fact that the proton has spin $\frac{1}{2}$, and the cross section is given by

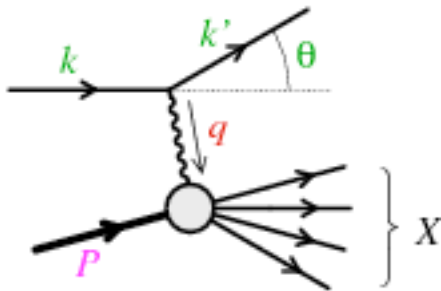
$$\left\{ \right\}_{\text{ep} \rightarrow \text{ep}} = \left(\frac{G_E^2 + \tau G_M^2}{1 + \tau} \cos^2 \frac{\theta}{2} + 2\tau G_M^2 \sin^2 \frac{\theta}{2} \right) \delta \left(\nu + \frac{q^2}{2M} \right)$$



Have you ever heard about the Rosenbluth formula?

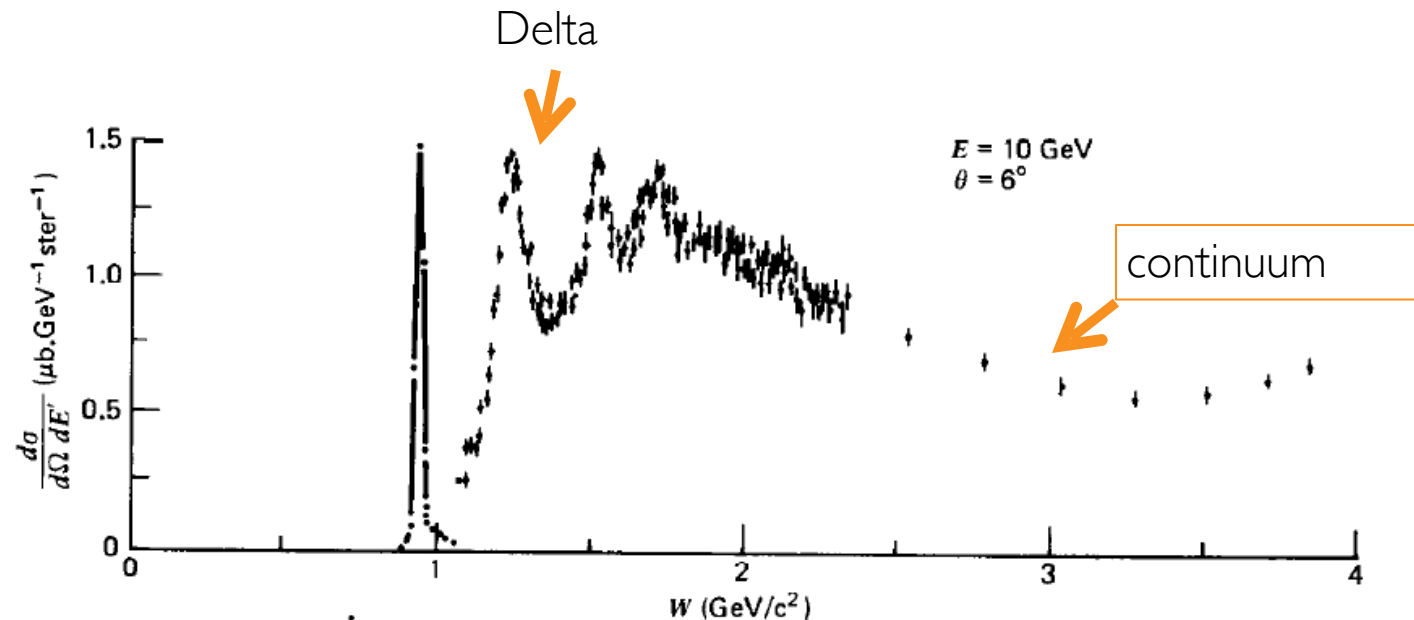
These form factors were determined experimentally measuring the cross section as a function of Q^2 , like here for the G_E of the proton. The expected drop with Q^2 is clearly seen

Inelastic $ep \rightarrow eX$



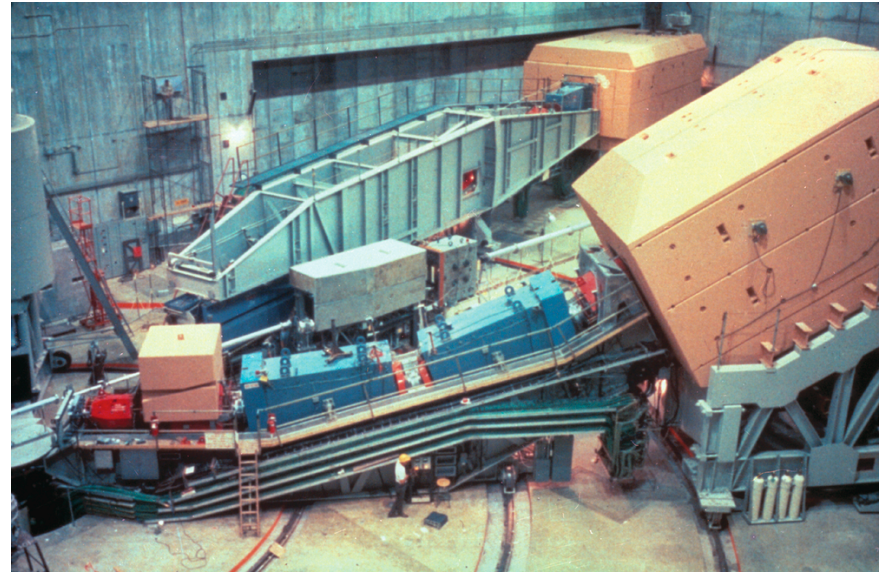
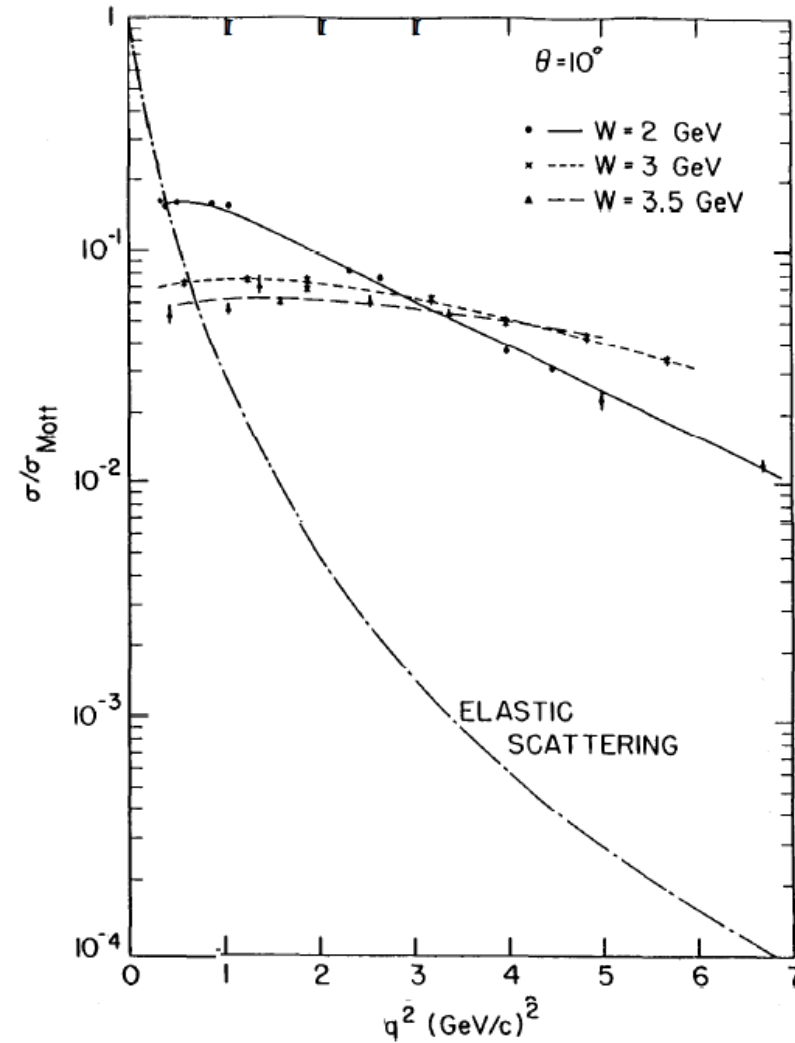
Increasing the Q^2 , the proton first gets into an excited state (like the Δ) particle and then loses its identity and breaks up into many hadrons X at high invariant mass W . This is what is called the „continuum“ and corresponds to inelastic scattering.

Data at SLAC



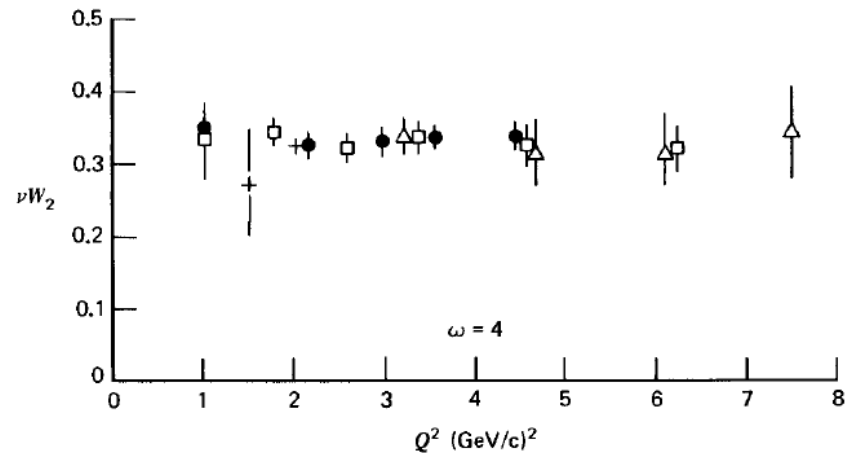
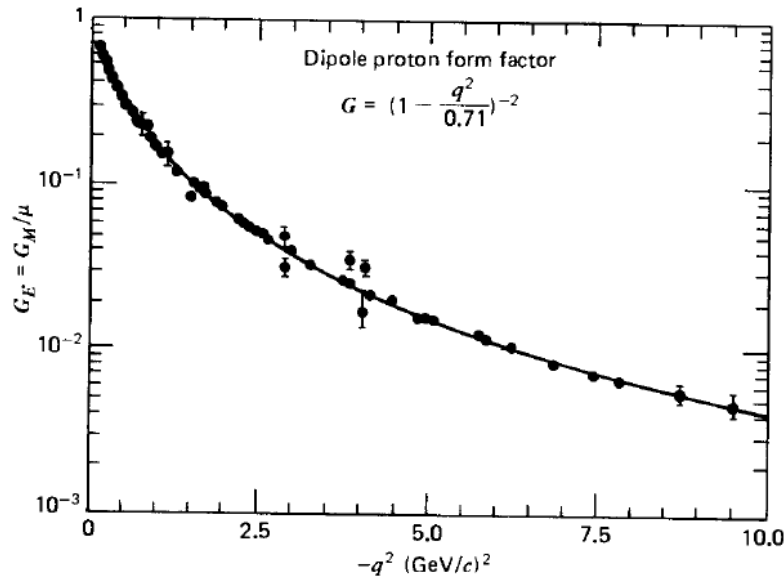
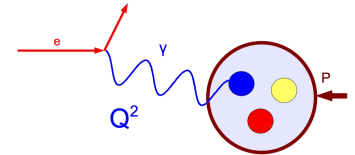
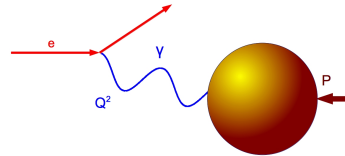
Deep Inelastic scattering

With the further increase of the beam energy at SLAC, a dramatic change in the cross section was observed



The cross section ratio was found to be constant vs Q^2 and not to have the usual form factor dependence

Bjorken scaling



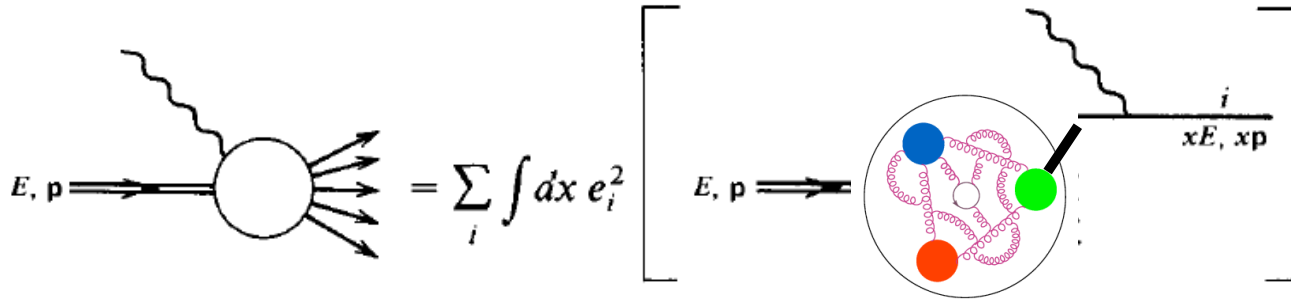
Reminder: $d \sim h/Q$

If an object is pointlike, increasing Q^2 , i.e. decreasing the distance, one does not expect to see a change in the structure function.

Interpretation from Feynman: scattering with a pointlike object

Quark Parton Model

Feynman interpreted the scaling observation in the Quark Parton Model



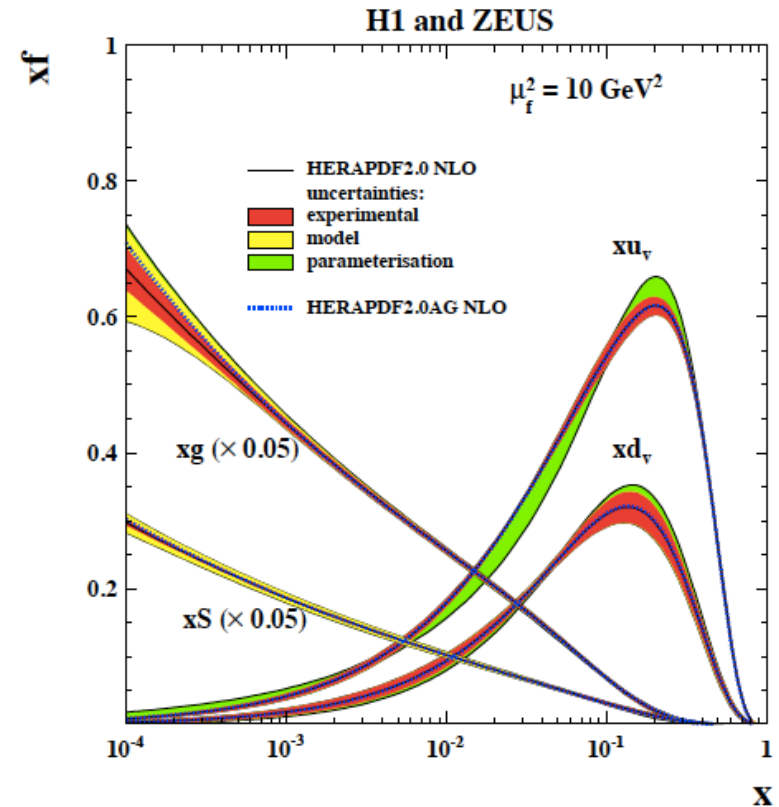
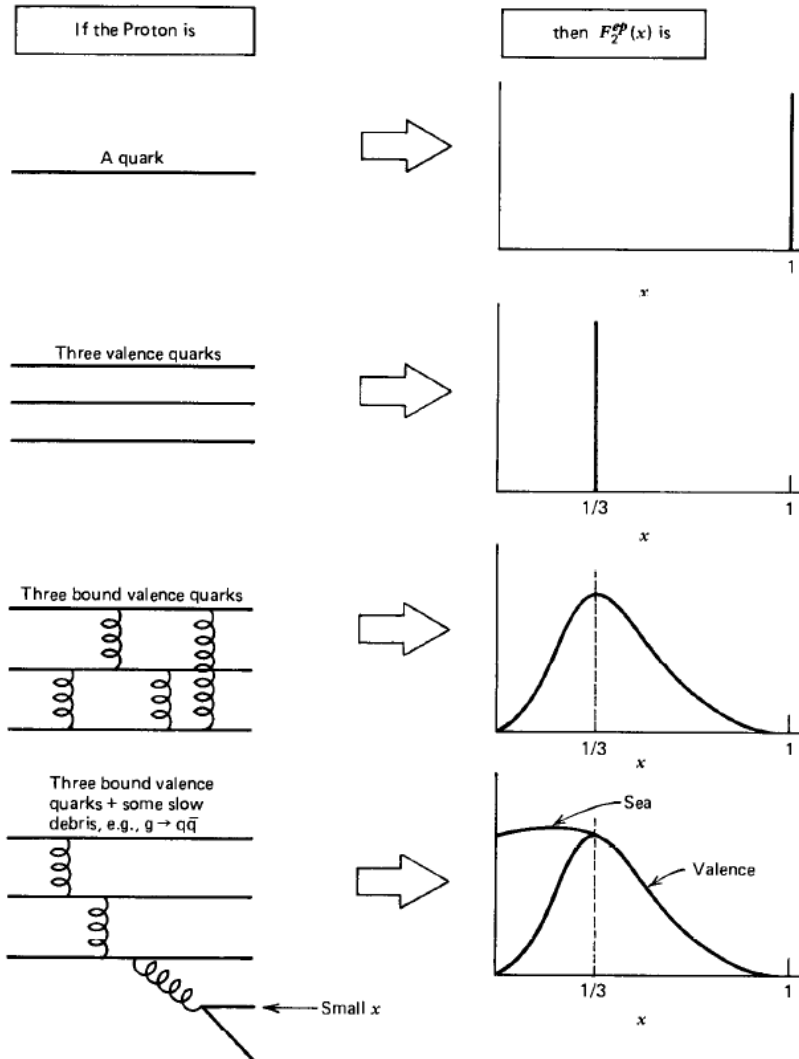
If the Q^2 is very high, the time of interaction of the virtual photon with the partons (**quarks/ gluons**) inside the proton is $\ll$ than the time of interactions among the partons, which are then seen as „quasi-free“.

The scattering becomes then as a coherent sum of the individual electron-parton scatterings.

$$F_2(x) = \sum e_q^2 x f(x)$$

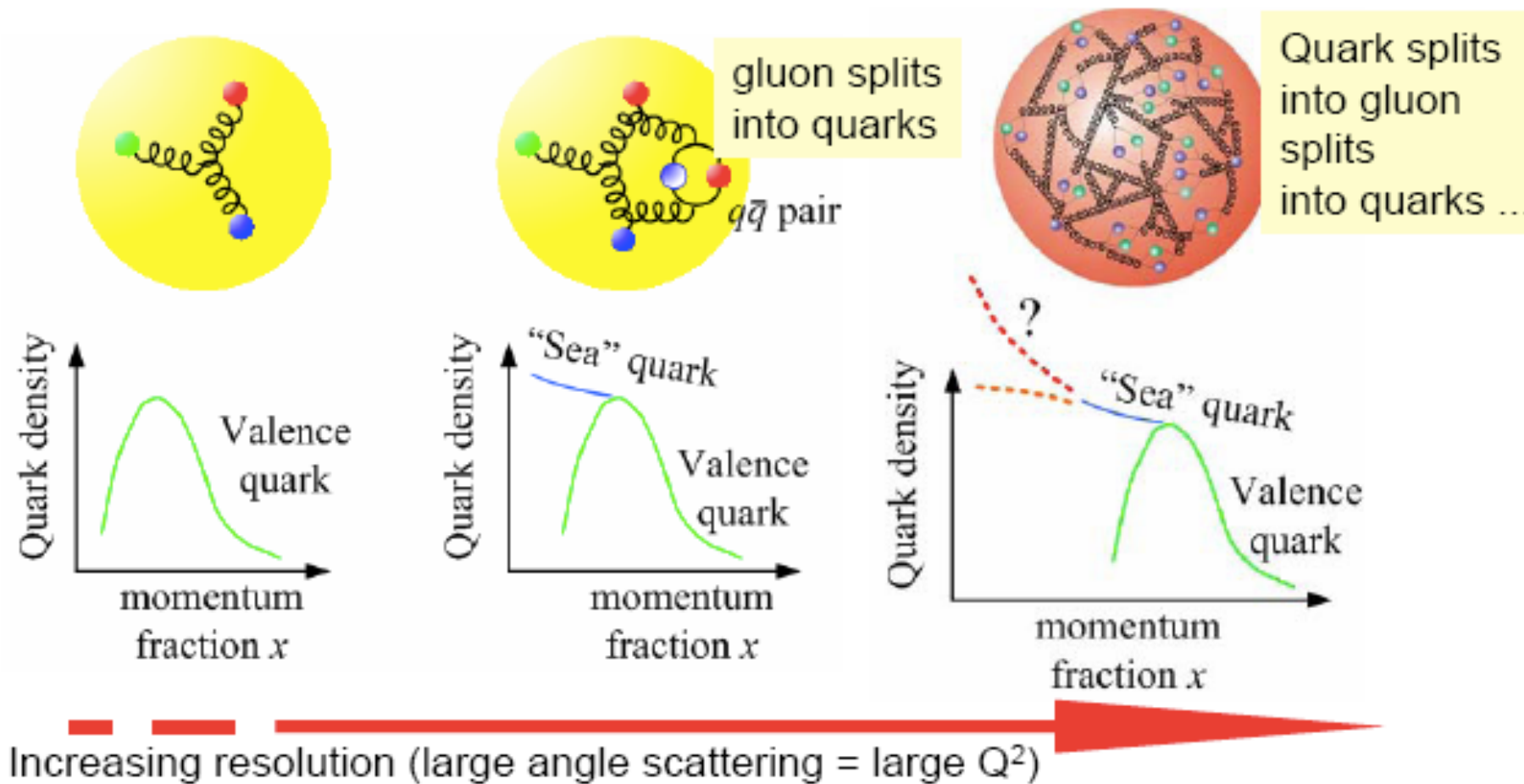
- F_2 is called the proton structure function and $f(x)$ the individual parton densities
- The Bjorken scaling variable x is the fraction of proton momentum carried by the struck quark

How are the $f_i(x)$?

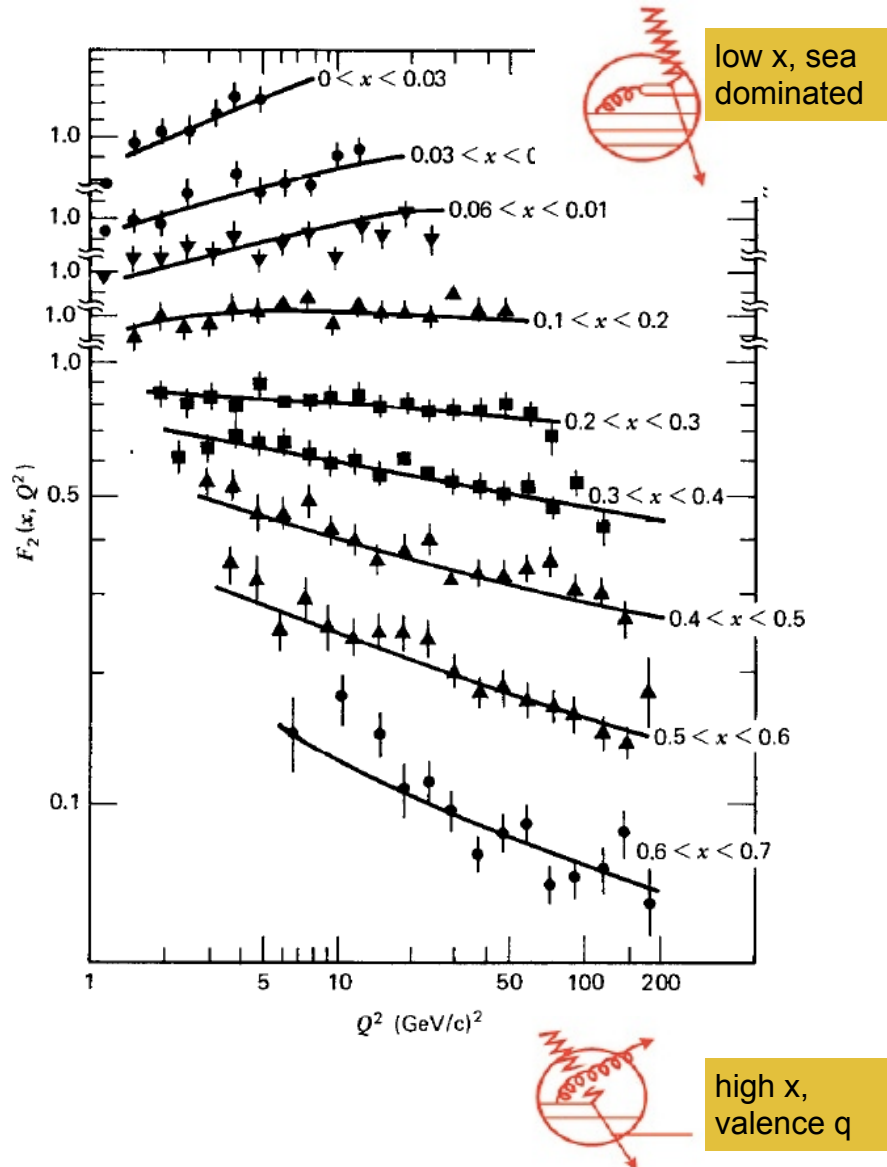


These functions are called PDF (parton density functions)

A proton picture



Scaling violations



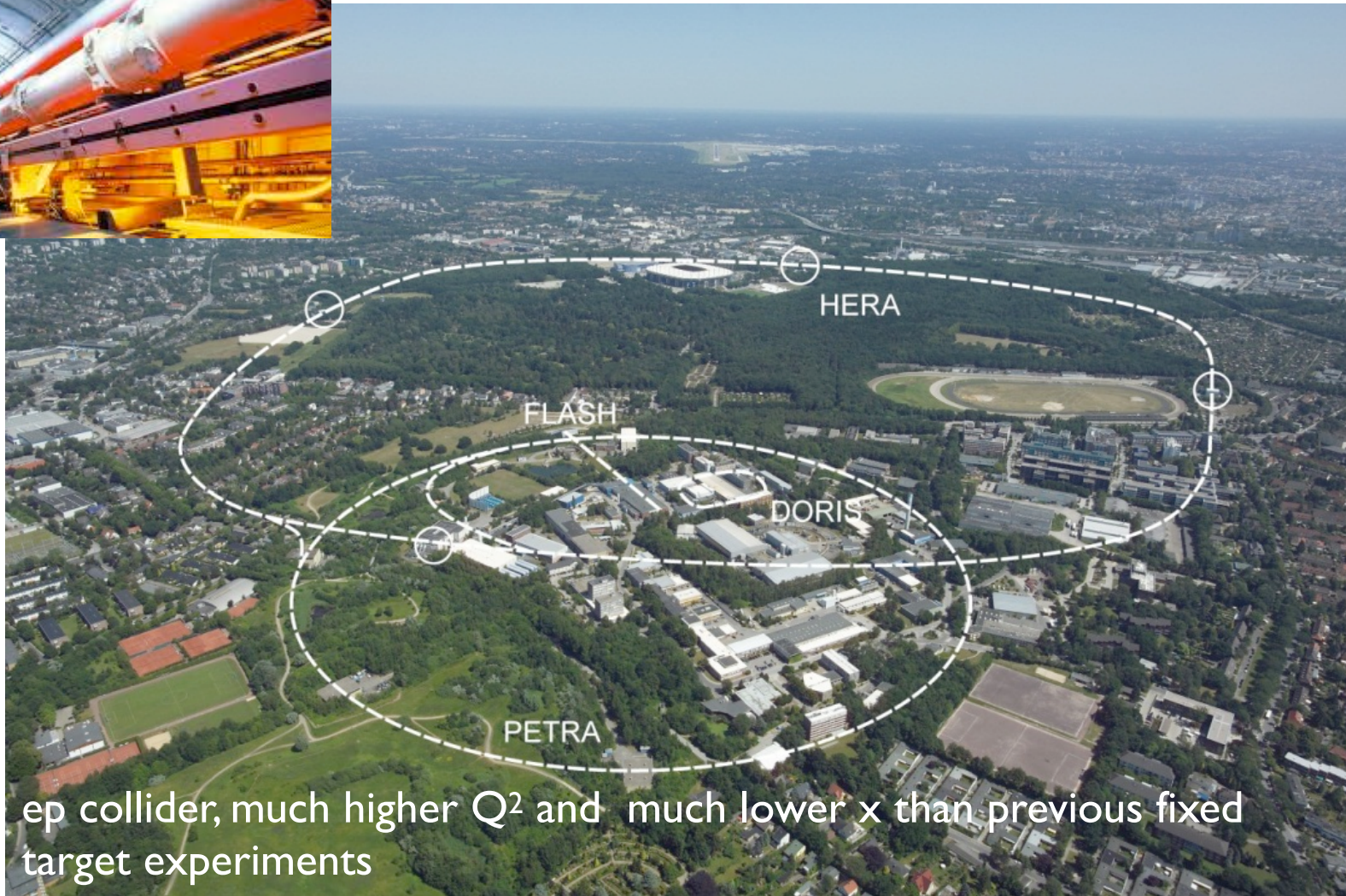
By increasing further the energy, scaling violations were observed:

F_2 increases with Q^2 at low x
And decreases with Q^2 at high x

What happens:

- Quarks emit gluons, at low x more and more sea quarks are created and F_2 increases; at high x the valence quarks loose momentum emitting gluons and F_2 decreases
- The line is pQCD, and it perfectly describes the data, over many orders of magnitude

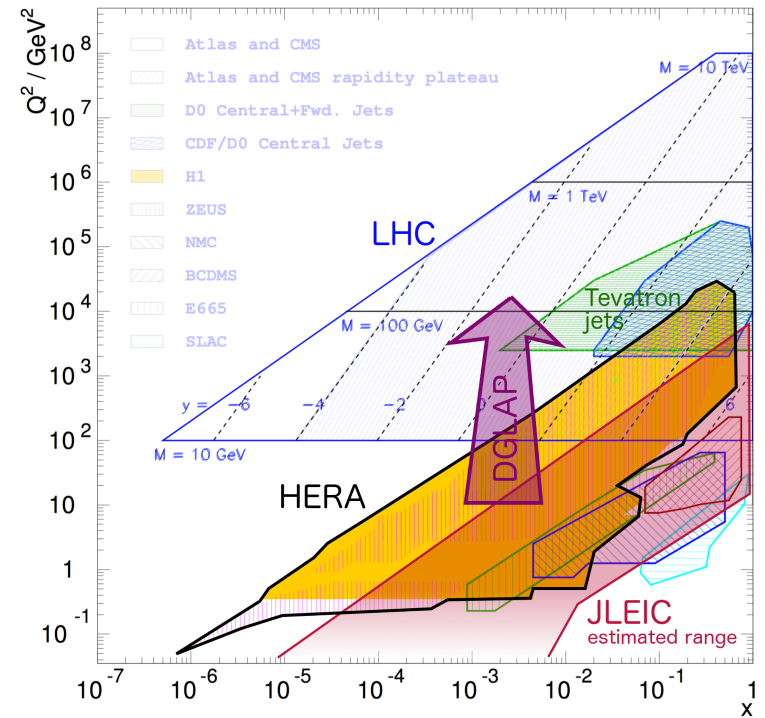
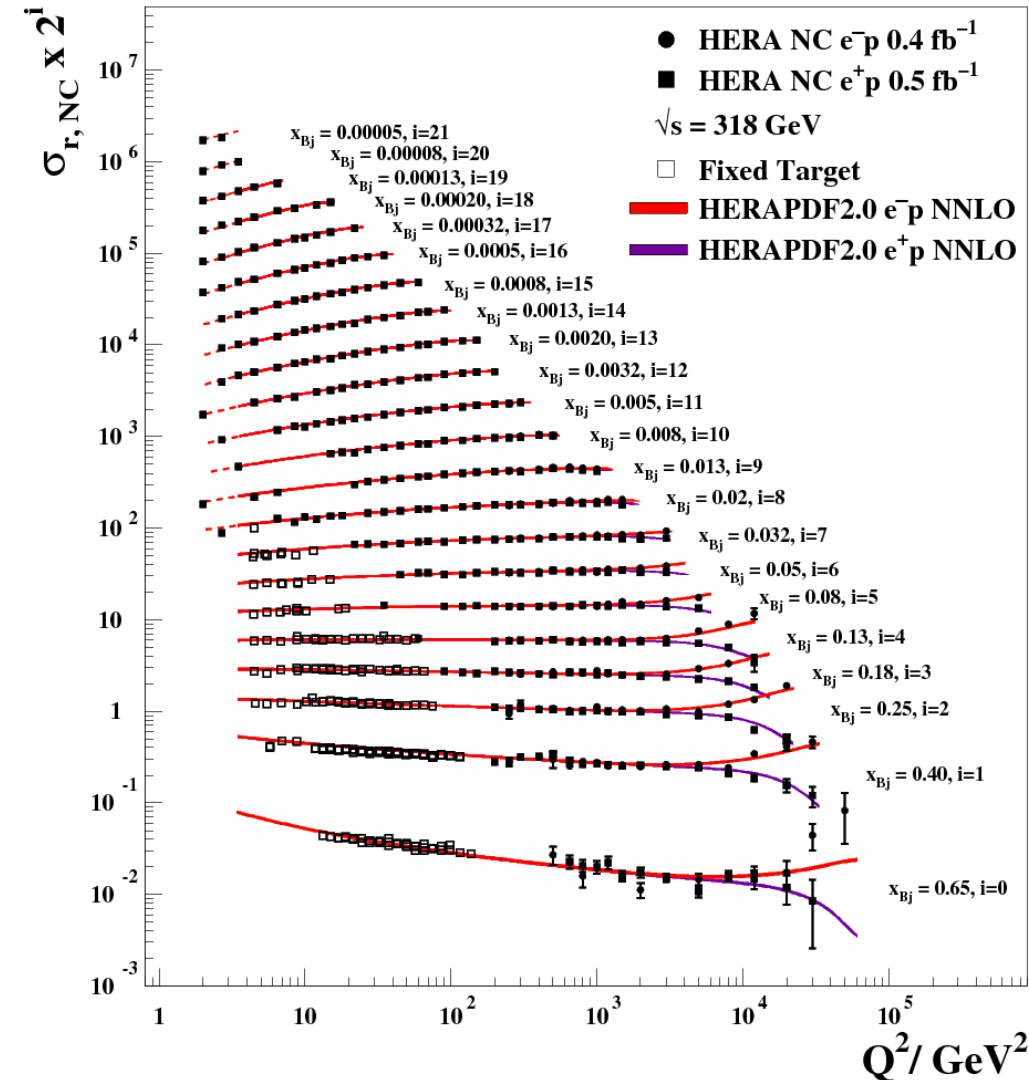
HERA at DESY (1992-2007)



ep collider, much higher Q^2 and much lower x than previous fixed target experiments

HERA at DESY

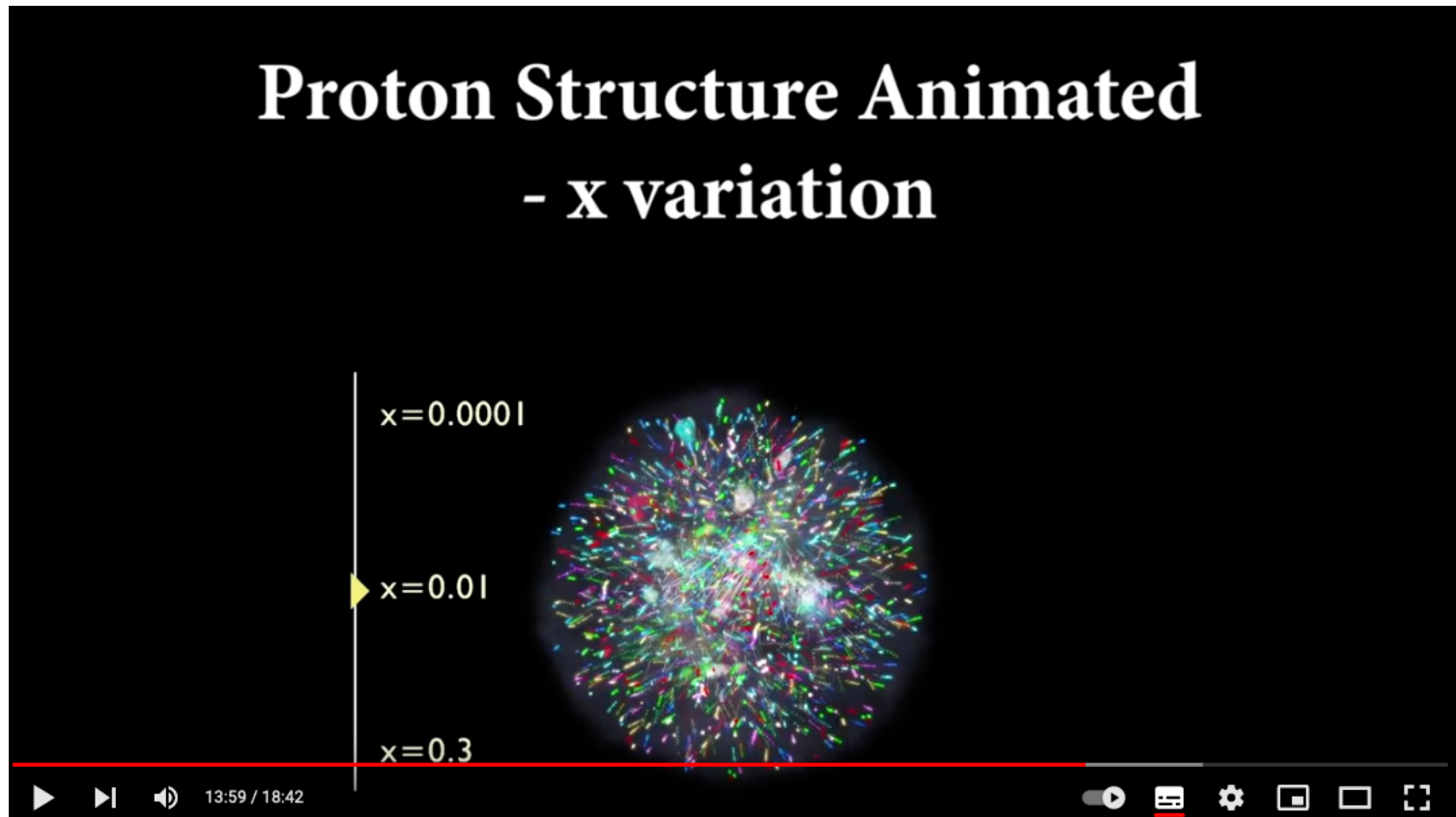
H1 and ZEUS



Plot J. Kretzschmar, S. Schmitt

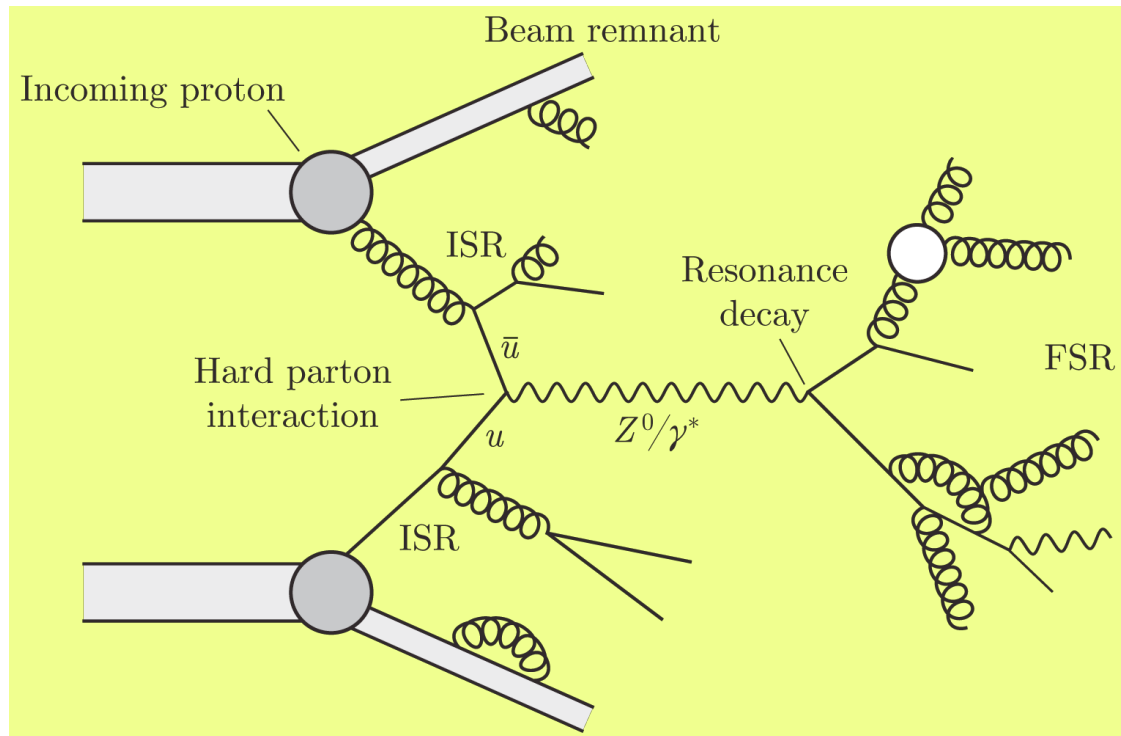
Picture of a proton

<https://www.youtube.com/watch?v=G-9l0buDi4s>
13:27-15:37



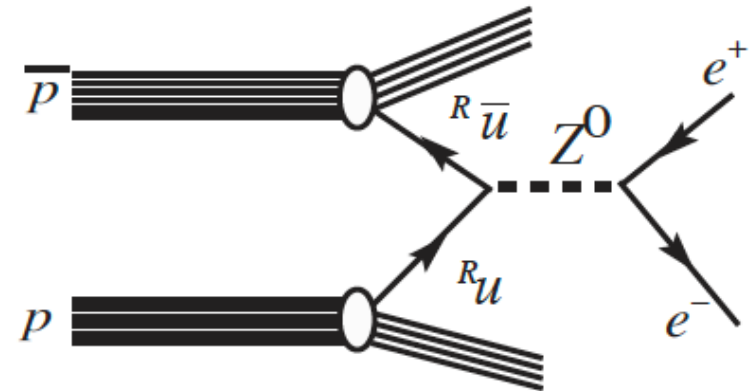
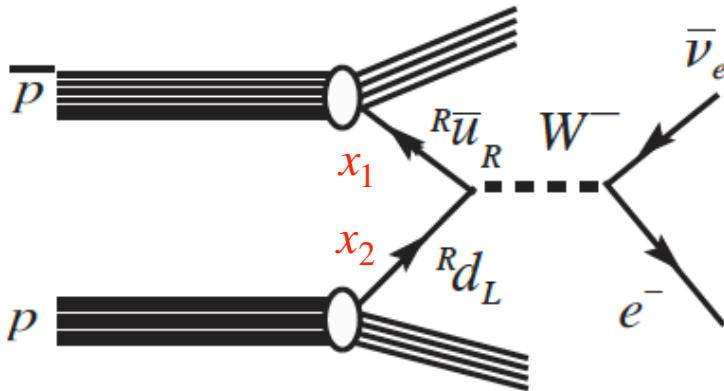
Credit: EIC

In summary



PDFs enter any of the cross sections in pp collisions. If you will do an LHC analysis, you will hear a lot about it (CTEQ, MSTW, NNPDF, etc..) and you will have to take them into account, at least as a systematic.

Example: W, Z production



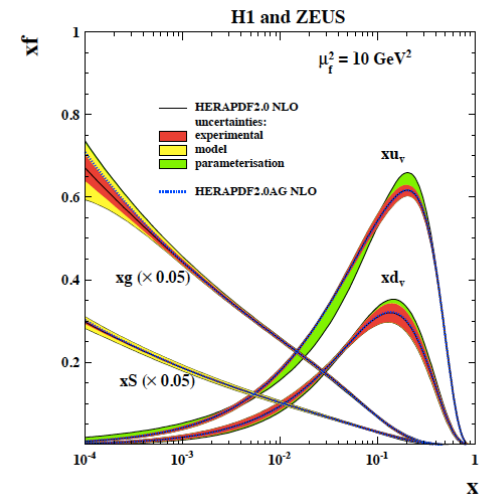
Each quark would have $\sim x$ of the beam energy, so the effective c.m. energy $\sqrt{s}$ becomes:

$$M_W^2 = 4x_1 E_{\bar{p}} x_2 E_p = x_1 x_2 s$$

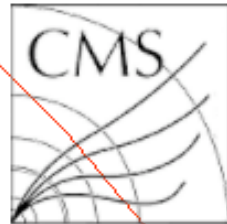
$$M_W \simeq x \cdot \sqrt{s}, M_W = 80 \text{ GeV}, \sqrt{s} = 450 \text{ GeV}$$

$$x \simeq 0.18$$

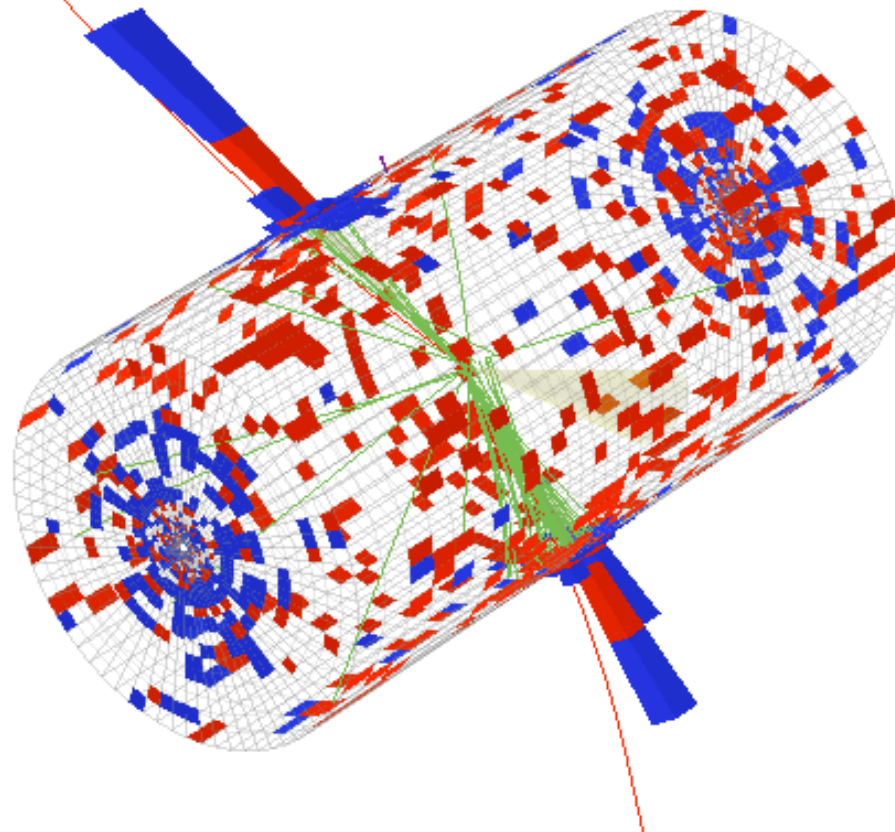
In valence region



Jets at the LHC



CMS Experiment at LHC, CERN
Data recorded: Fri Oct 5 12:29:33 2012 CEST
Run/Event: 204541 / 52508234
Lumi section: 32



Jets algorithms

But how do you combine particles and build a jet and compare to theory?

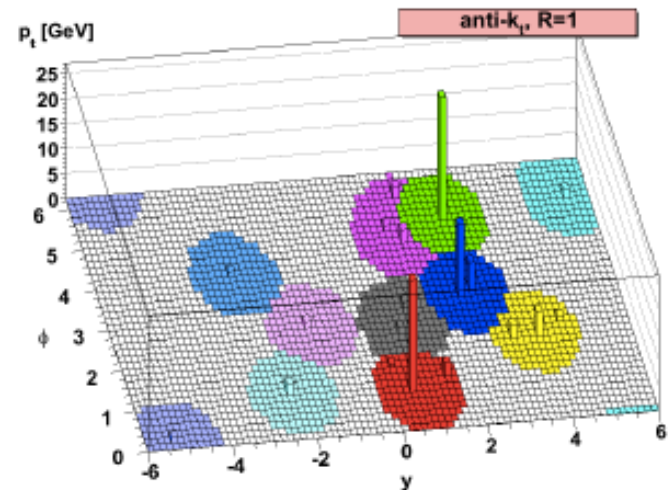
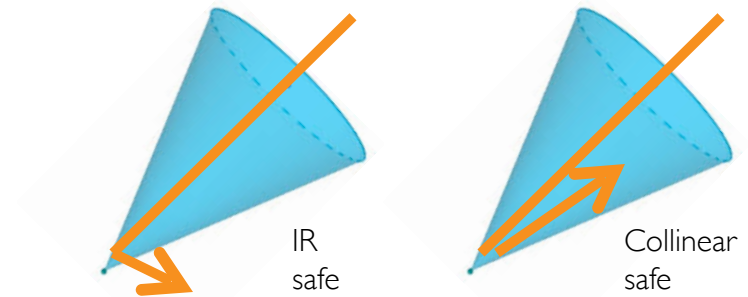
In order to be theoretical sound a jet algorithm:

- must be infrared safe -> soft radiation should not change jet
- must be collinear safe -> collinear radiation should not change jet
- must be easy to implement in a computer code, applicable to reconstructed objects
- must be fast, etc.

Typically define a distance:

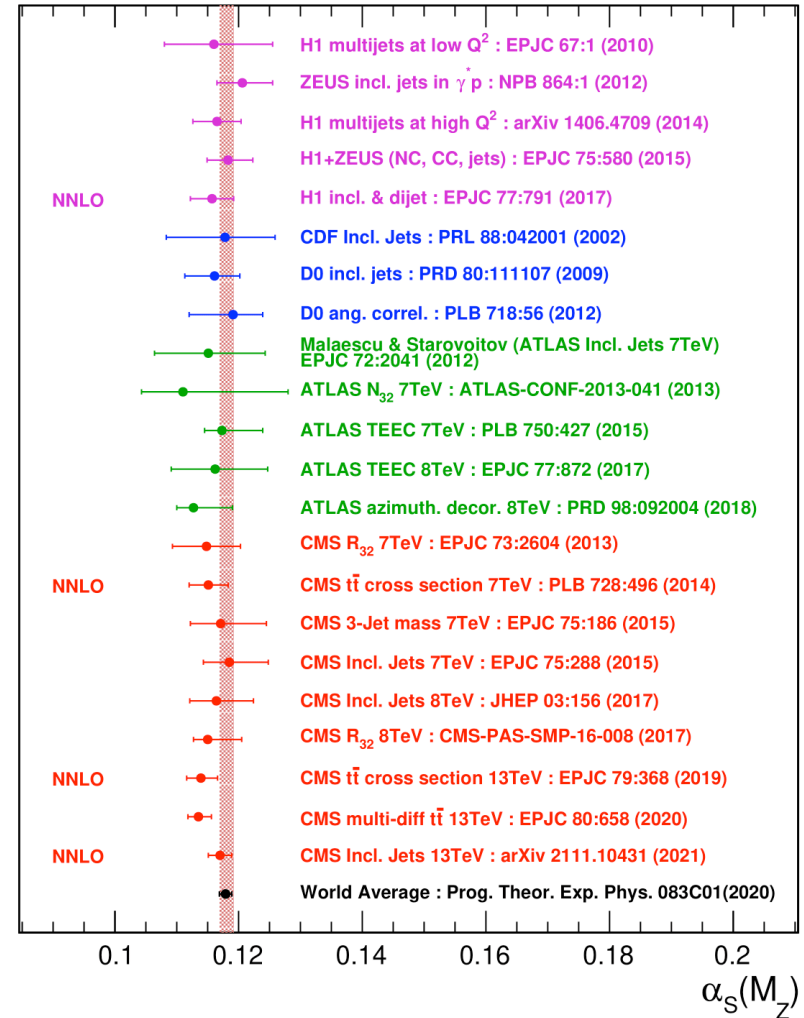
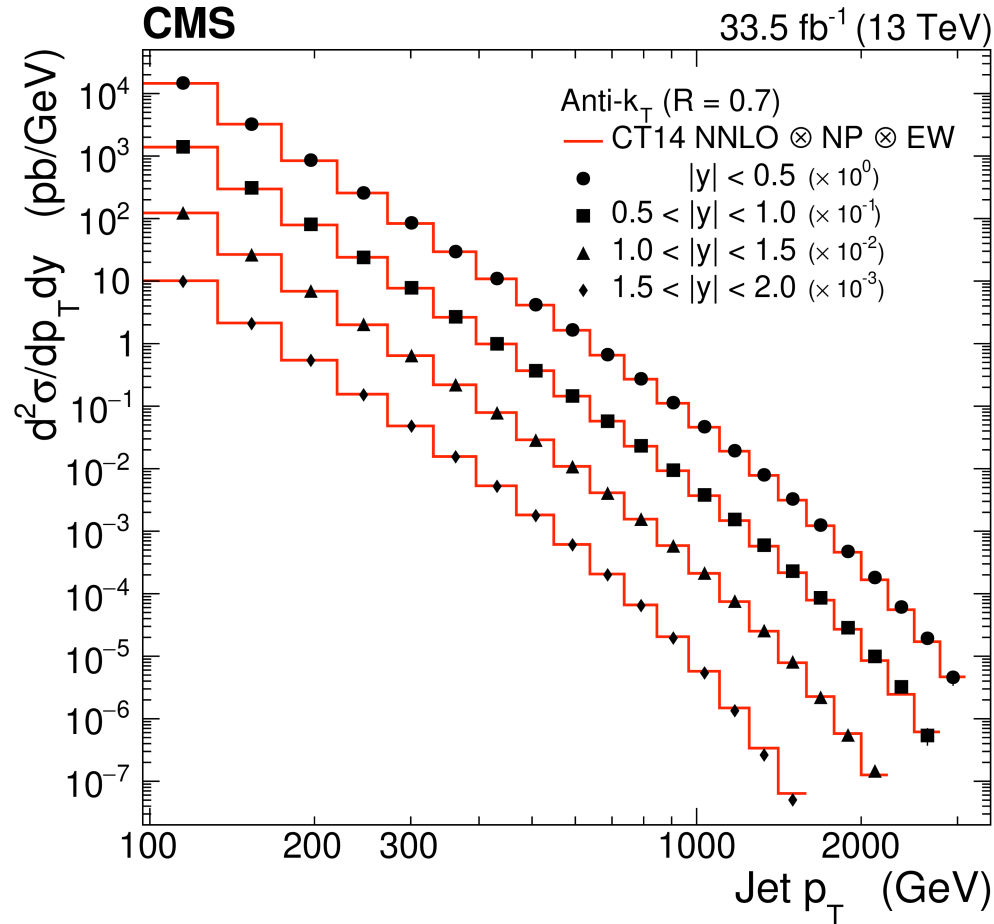
$$d_{ij} = \min(k_{ti}^{2p}, k_{tj}^{2p}) \frac{\Delta_{ij}^2}{R^2},$$
$$d_{iB} = k_{ti}^{2p},$$

Identify the smallest distance in η, ϕ and recombine objects until no entities are left

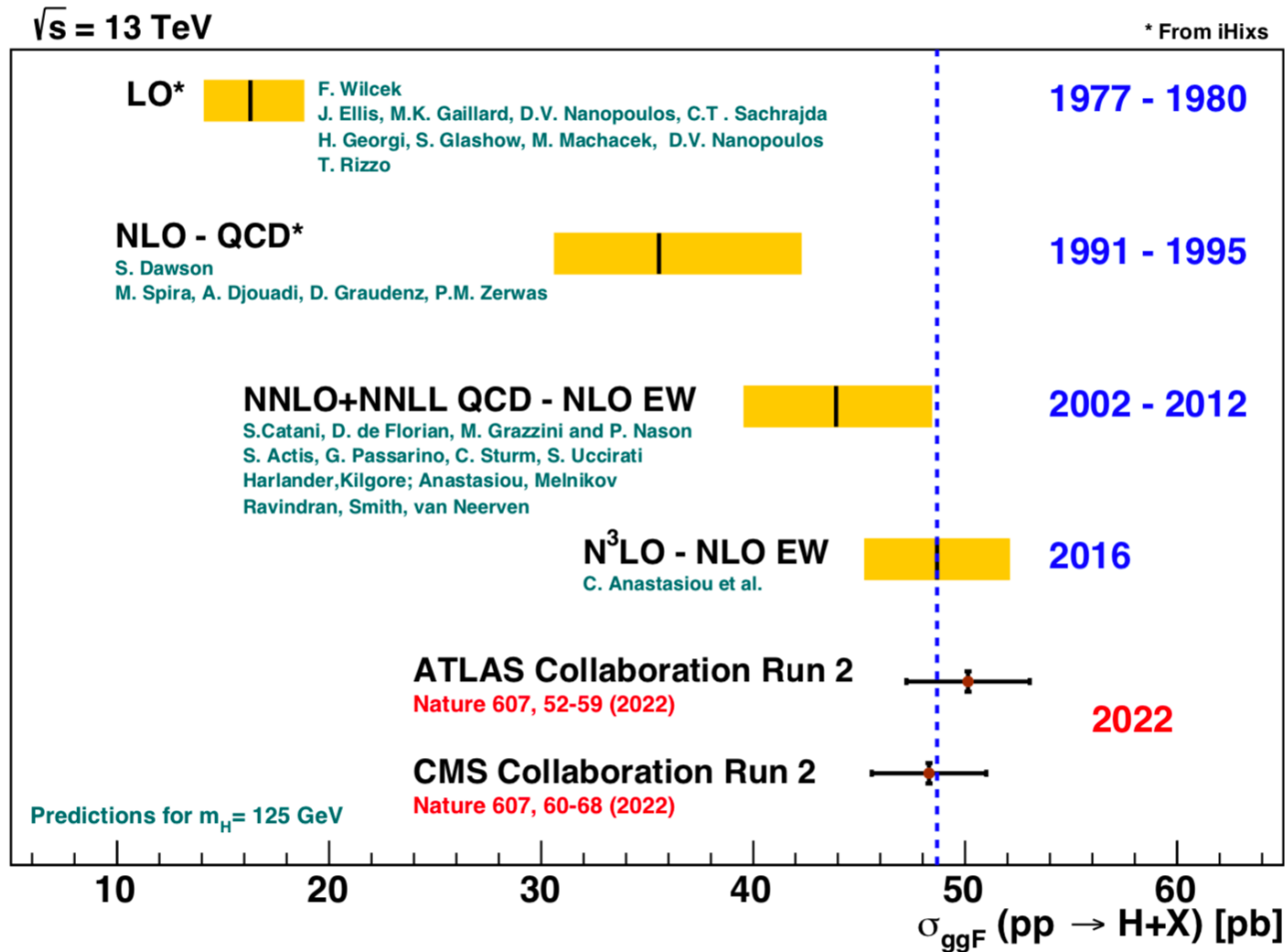


Most popular algorithm now at the LHC: anti- k_T – corresponds to $p_{\perp}$. It is the one which has more „round“ shapes. Usually $R=0.4, 0.6$ used.

Jet cross sections



QCD and the Higgs



Backup