# **Beyond the Standard Model**

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CLUSTER OF EXCELLENCE QUANTUM UNIVERSE

### **Outline**

#### > Part 1:

- What motivates us to look beyond the Standard Model?
- Experimental techniques

#### > Part 2:

- Example: dark matter
  - WIMP searches at the LHC
  - Axion detectors at DESY
- Outlook: the future of the LHC and beyond



### **Outline**

#### > Part 1:

- What motivates us to look beyond the Standard Model?
- Experimental techniques
- > Part 2:
  - Example: dark matter
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    - Axion detectors at DESY
  - Outlook: the future of the LHC and beyond



# **The Standard Model in 2025**



#### **Standard Model of Elementary Particles**

#### A success story: particle predictions (1)

- > Example: Top quark
  - Predicted in 1973 to explain observed CP violations in kaon decays
  - Observed at Tevatron (Fermilab, U.S.) in 1995
    - First mass estimate: 176 ± 13 GeV
    - Predicted before discovery to be > 160 GeV
  - Top quark mass now known at precision of < 1 GeV

 $- M_{TOP} = 172.76 \pm 0.3 \text{ GeV} (PDG, 2019)$ 



#### A success story: particle predictions (2)

#### > Example: Higgs boson

- Predicted in 1964 by Brout, Englert, Higgs
- Discovered in July 2012 at LHC (CERN)
- Mass of 125 GeV
  - Within range previously predicted by SM



#### A success story: precision tests (1)

- > Example: magnetic moment of the electron
  - Intrinsic quantity arising from electron spin

$$\vec{\mu} = g \, \frac{q}{2m} \, \vec{S}$$



- Depends on g-factor
  - Classic quantum mechanics for a point-like Dirac particle: g = 2
  - Quantum field theory  $\rightarrow$  loop quantum corrections:  $g \neq 2$

#### A success story: precision tests (1)

- > Example: magnetic moment of the electron
  - Measurement using a single electron in a Penning trap
  - Comparison of cyclotron and precession frequencies







#### A success story: precision tests (1)

- > Example: magnetic moment of the electron
  - Results from latest Harvard measurement [Hanneke et al, PRL 100 (2008) 120801]
    - Using a one-electron quantum cyclotron

 $g/2 = 1.001 \ 159 \ 652 \ 180 \ 73 \ (28)$  [0.28 ppt] (measured)  $g(\alpha)/2 = 1.001 \ 159 \ 652 \ 177 \ 60 \ (520)$  [5.2 ppt] (predicted)



- Measured value agrees with SM prediction at precision better than 1 part per billion
- Note that calculated value depends on  $\alpha$ , which is taken from other measurements
  - Can also use g/2 measurement as input to extract  $\alpha$

#### A success story: precision tests (2)

- > Production cross-sections of common and rare processes
  - Measure how often a certain reaction occurs in the LHC's proton-proton collisions
  - Compare to the rates calculated via the SM



DESY.

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# Why look beyond the SM?



# **Missing pieces: gravity**

- > Gravity not described by SM
  - Various approaches to describe gravity with a quantum field theory have failed
  - Theory of Everything: SM + General Relativity
  - Unification at Planck scale 10<sup>19</sup> GeV
    - Electroweak force and gravity are of the same order





# **Missing pieces: dark matter**

- > Various sources of astrophysical evidence for existence of DM
  - Galactic rotation curves
  - Motion of galactic clusters
  - Gravitational lensing





# **Missing pieces: dark matter & dark energy**

- > No candidates for dark matter (DM) or dark energy (DE)
  - DM and DE content determined from CMB as measured by Planck satellite



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#### **Conceptual issues within the SM**

- > Many assumptions introduced ad-hoc, without underlying theory motivation
  - 26 free parameters, including all fermion masses
  - Why three lepton and quark generations?
  - Why do the fermion masses differ by at least 12 orders of magnitude?



#### The strong CP problem (1)

- > QCD Lagrangian for massive quarks contains a CP violating term
- > Amount of CP violation depends on parameter  $\theta^*$ , which can take values in [0,1]

- > Strong CP violation  $\rightarrow$  non-zero neutron electric dipole moment:  $d_N = (5.2 \ 10^{-16} e \ cm) \theta^*$
- > Measured from Larmor precession of neutron spin in antiparallel and parallel E and M fields
- > Measurements constrain dipole moment to  $|d_N| < 10^{-26} e \text{ cm} \rightarrow \theta^* < 10^{-10}$

>  $\theta^* = 0$  indicates extreme fine-tuning

### The strong CP problem (2)

- Possible solution via the Peccei-Quinn mechanism
- > Relate  $\theta^*$  to a new physical field with a global chiral U(1) symmetry
- > Field has tilted Mexican hat potential
- > Spontaneous breaking of  $U(1) \rightarrow$  pseudo-Goldstone boson: axion
- > VEV of axion field leads to  $\theta^* = 0$ 
  - No fine tuning!

> Axion also a dark matter candidate (see later).





# **The Hierarchy Problem**

- > SM contains an elementary scalar particle (Higgs)
  - Vulnerable to quantum loop corrections of arbitrary high scales



- No BSM physics  $\rightarrow$  SM valid up to Planck scale O(10<sup>19</sup> GeV)
  - Higgs mass should be 16 orders of magnitude larger than the measured 125 GeV
- > BSM solutions:

. . .

- Supersymmetry: additional loops to cancel divergent loops
- Extra dimensions
- Composite Higgs models

# **The Hierarchy Problem**

- > SM contains an elementary scalar particle (Higgs)
  - Vulnerable to quantum loop corrections of arbitrary high scales



- > No BSM physics  $\rightarrow$  SM valid up to Planck scale O(10<sup>18</sup> GeV)
  - Higgs mass should be 16 orders of magnitude larger than the measured 125 GeV
- > BSM solutions:

. . .

- Supersymmetry: additional loops to cancel divergent loops
- Extra dimensions
- Composite Higgs models

#### **Matter-antimatter imbalance**

- Equal amounts of matter and antimatter created in the Big Bang (B=0)
- > Observable universe completely dominated by matter (B>0)
- > What caused this imbalance?

#### Sakharov conditions

- 1. Baryon number violating processes
- 2. C and CP violation
- 3. Processes out of thermal equilibrium

Excellent review of Sakharov conditions by D. Perepelitska [link]



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#### Sakharov conditions

- 1. Baryon number violating processes
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- 3. Processes out of thermal equilibrium

• CP violation observed in the SM

- Kaon and B-meson system
- Not sufficiently large to explain imbalance
- Need additional sources of CP violation!
  - E.g. from neutrino sector
  - E.g. from extended Higgs sector models

# Muon g-2 (1)

> Anomalous magnetic moment of the muon in analogy to that of the electron

 $\vec{\mu} = g \, \frac{q}{2m} \, \vec{S}$ 

- > Loop quantum corrections: g≠2
- > Anomalous magnetic moment: a = (g-2)/2



> Sensitive to large range of possible quantum corrections, including possible BSM contributions

# Muon g-2 (2)

- Storage ring with polarised muons in magnetic field  $\rightarrow$  measure precession frequency
- > Measurements at BNL (2004) first revealed tension with SM of 2.6 $\sigma$  significance
- > Subsequent measurements at Fermilab (2021) yielded combined significance of  $4.2\sigma$





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# Muon g-2 (3)

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- > Measurements at BNL (2004) first revealed tension with SM of 2.6 $\sigma$  significance
- > Subsequent measurements at Fermilab (2021) yielded combined significance of  $4.2\sigma$
- > Most precise measurement of muon g-2 to date: 127 parts-per-billion precision!





Credit: Muon g-2 collaboration

# Muon g-2 (4)

> Meanwhile on the theory front: new SM calculations based on Lattice QCD



Credit: A. Boccaletti et al., arXiv:2407.10913, 2024

#### **Flavour anomalies**

- > Tension with SM predictions in various precision measurements of B-meson decays
- Possible violation of Lepton Flavour Universality (LFU)
  - LFU: SM interactions same for all lepton flavours
  - Only differences due to different lepton masses
- In general two types of processes:
  - − b  $\rightarrow$  s |+|- (neutral currents): µ vs. e
  - − b → c lv (charged currents): τ vs.  $\mu/e$
- > In different experiments since 2013:
  - BarBar, Belle, LHCb



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#### **Flavour anomalies: R**<sub>D\*</sub>

- > SM prediction:  $R_{D^*} = 1$
- > Measurement deviates by >  $3\sigma$

$$R(D^*) = rac{\mathcal{B}(B o D^* \, au^- \, ar{
u}_ au)}{\mathcal{B}(B o D^* \, \ell^- \, ar{
u}_\ell)} \quad ext{where} \; \ell = e ext{ or } \mu$$

- > Anomalies could be due to presence of new particles (leptoquarks, charged Higgs bosons, ...)
  - Heavy charged Higgs bosons couple preferentially to heavier leptons



#### Many questions. Many possible answers!

#### **Open questions in the SM:**

**Beyond SM theories:** 



. . .

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**Beyond SM theories:** 



. . .

# **Experimental Techniques**

#### **Basic recipe for collider searches**

- > Many ingredients needed
- > Simple recipe common to most searches
- > Refined by each individual analysis team



#### **Ingredient 1: a particle collider**

- > LHC a discovery machine!
  - Highest centre-of-mass energies reached in a lab to date (14 TeV)
  - Hadron collider: different partonic initial states and effective centre-of-mass energies



#### **Ingredient 2: detectors**

- > ATLAS, CMS two general-purpose detectors capable of capturing Higgs-boson decay products
- > LHCb, ALICE, ... specialised detectors for heavy-flavour and heavy-ion physics, respectively
  - Also capable of searching for certain types of new phenomena



ATLAS

CMS
# **Ingredient 3: data**

- > Collect detector data during LHC periods of operation (runs)
- > Focus here on proton-proton collisions
- > Three runs at different centre of mass energies





### **Ingredient 3: data and simulation**

#### > Detector data

- Real data taken with a detector
- Different datasets for different LHC operation periods
- Mix of various different processes



#### > MC simulation

- Generate well-defined process
  - SM or BSM expectation
  - Typically just one process per sample
- All "truth" information accessible
- Need to be careful to simulate realistic detector conditions



# **Ingredient 4: collaborations**

- > Large international collaborations for each detector
- > Hundreds to thousands of scientists, engineers, technicians who
  - Operate the detector
  - Reconstruct and calibrate the detector data
  - Provide and operate computing and simulation tools
  - Perform the data analysis







#### **Basic recipe: search concept**

> Search for a specific signal "S" in a data sample composed of a potential signal and background "B"

SM ttbar production

Irreducible background

> Typically S << B

Signal Heavy Higgs boson decaying to ttbar









# How to find a needle in a haystack?

- > Typically S << B
- > Isolate small signal from huge dataset

**Signal** (a.k.a. the needle)



T.G. McCarthy



# How to find a needle in a haystack?



>

# What type of signal are we looking for?

> Most generally put: we search for a significant deviation from the SM prediction

- > Different search strategies
  - Cut-and-count method
  - Bump hunt
  - Tail hunt

. . .



Each comes with its own set of advantages/disadvantages!

# **Bump Hunting**

- > Search for a localised deviation in the distribution of a variable of interest
  - Typically: invariant mass



# **Bump Hunting**

- > Search for a localised deviation in the distribution of a variable of interest
  - Typically: invariant mass
- > Most recent successful example:
  - Higgs boson discovery (2012, CERN)





DESY.

- > Search for a tail enhancement in the distribution of a variable of interest
- > Typical examples:
  - Resonances beyond reach of the LHC



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tt invariant mass

- Search for a tail enhancement in the distribution of a variable of interest >
- Typical examples: >
  - Resonances beyond reach of the LHC
  - Non-resonant production of new particles
    - E.g. dark matter or dark energy



ATLAS

 $\sqrt{s} = 13 \text{ TeV}, 139 \text{ fb}^{-1}$ 

Signal Region

 $10^{-7}$ 

10<sup>6</sup>

Data

Standard Model w. unc.

VBF Z( $\rightarrow$  II /  $\nu\nu$ ) + jets

 $Z(\rightarrow \nu\nu)$  + jets

- > Search for a tail enhancement in the distribution of a variable of interest
- > Typical examples:
  - Resonances beyond reach of the LHC
  - Non-resonant production of new particles
- > Advantages:
  - Sensitive to processes that cannot be by bump hunts
- > **Disadvantages**:
  - Tails of distributions suffer from low statistics
  - Often sizeable systematic uncertainties
    - E.g. due to missing higher-order calculations



### What if new particles are less obvious to spot?

> Bump hunt assumes "signal sitting on top of background":  $S + B = |s|^2 + |b|^2$ 

#### What if new particles are less obvious to spot?

- > Bump hunt assumes "signal sitting on top of background":  $S + B = |s|^2 + |b|^2$
- > Quantum mechanics: two processes with same initial and same final state will interfere!
  - $|s + b|^2 = |s|^2 + 2 \operatorname{Re}(s b) + |b|^2 = S + I + B$  → Interference!!

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  - $|s + b|^2 = |s|^2 + 2 \operatorname{Re}(s b) + |b|^2 = S + I + B$  → Interference!!



#### **Interference searches**

- > Prominent example: decay of a heavy Higgs boson A/H to a top-antitop quark pair
- > Cutting-edge experimental techniques needed: statistical treatment, high-resolution reconstruction, ...



### Back to our haystack...







#### **Recipe step 1: collect the data**

- > LHC collision rate: 40 MHz of collision events
- > Typical event size (raw detector data): 1.6 MB
- > Petabytes of data, most of it not very interesting (known physics, low-energy collisions)



DESY.

# **Recipe step 1: collect the data with triggers**



- > Triggers = event filters based on fast pattern recognition algorithms
- > Both hardware (L1) and software (HLT) based algorithms
- > HLT algorithms a slightly simplified version of full offline reconstruction algorithms
- > Both standard triggers (e.g. single-electron triggers) and triggers optimised for unusual signature.
- > Careful optimisation of trigger algorithms crucial: If you don't trigger on a signature, its events are lost!

### **Recipe step 2: reconstruct and identify the particles**



### **Recipe step 2: reconstruct and identify the particles**

> Example: top-antitop quark production with one hadronic, one leptonic top-quark decay





- > Apply selection criteria (cuts) to reduce background
- > Signal-enriched region (signal region)
- > Additional cuts based on differences in kinematic distributions



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- > Signal-enriched region (signal region)
- > Additional cuts based on differences in kinematic distributions



3-jet mass (GeV/c<sup>2</sup>)

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- > Signal-enriched region (signal region)
- > Additional cuts based on differences in kinematic distributions



- > Apply selection criteria (cuts) to reduce background
- > Signal-enriched region (signal region)
- > Additional cuts based on differences in kinematic distributions



- > Can refine signal regions using machine-learning algorithms
  - Exploit small differences in various kinematic variables
  - Exploit correlations between variables



12

10

a. u.

Ŏ.0

0.2

0.4

**ANN** Output

0.6



1.0

0.8

# A final signal region







#### **Recipe step 4: estimate backgrounds**

- > Monte Carlo simulation is one option for well-known (=calculated) processes
  - Check validity in signal-depleted control regions and derive corrections if needed
- > Data-driven estimates needed in some cases
  - Instrumental backgrounds (related to detector effects)
    - Jets with high EM component faking electrons
    - Backgrounds from detector noise
    - ...

. . .

- Processes with large cross-section that would require large MC statistics
  - Mostly multijets at the LHC
- Known modeling limitations
  - Missing higher-order processes



#### **Recipe step 4: sidebands**

- > Assume known signal region (= location in the spectrum)
- > Fit background in sidebands (= adjoining parts of the spectrum, signal depleted)
- > Extrapolate to signal region



#### **Recipe step 4: control regions**

- > Same idea as with sidebands but using a modified selection to define a control region
  - Orthogonal to signal region, signal depleted
- > Must be carefully designed to
  - Be signal depleted

. . .

- Be enriched in background of interest
- Close enough to SR to avoid biases



#### **Recipe step 5: estimate systematic uncertainties**

- > Various different sources:
  - Modeling uncertainties, e.g. unknown higher-order corrections
  - Experimental uncertainties, e.g. uncertainties on electron energy measurement
- > Propagate to final spectrum
- > Uncertainties degrade sensitivity to signal



## **Recipe step 6: unblind**

- > Signal region(s) blinded until analysis strategy finalised
  - That is: not allowed to look at the data in the signal regions
  - Optimise strategy based on MC simulation and control region data only



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  - That is: not allowed to look at the data in the signal regions
  - Optimise strategy based on MC simulation and control region data only
- > Unblind once strategy is solid and "frozen"





# A final signal region



#### **Recipe step 7: statistical data analysis**

- > Two consecutive statistical tests in BSM searches:
  - Quantify agreement between data and SM prediction ("Any interesting deviation?")
  - Quantify (dis)agreement between data and BSM hypothesis ("limit setting")
- > Based on profile likelihood fit of SM prediction to data (prediction can vary within uncertainties)


#### **Quantify agreement with SM prediction**

- > Null hypothesis H<sub>0</sub>: SM only, no BSM
- > **p-value**: probability that H<sub>0</sub> produces deviation at least as extreme as the one observed
- Simple example: cut-and-count



#### **Quantify agreement with SM prediction**

- > Null hypothesis H<sub>0</sub>: SM only, no BSM
- > **p-value**: probability that H<sub>0</sub> produces deviation at least as extreme as the one observed
- > Or quote **significance** instead:

 $Z = \Phi^{-1}(1-p)$ 

> where  $\Phi^{-1}$  is inverse of cumulative Gaussian



#### **Quantify agreement with BSM hypothesis H**<sub>1</sub>

- > If excess was found: test agreement with BSM ... and open the champagne ;)
- > If no excess was found: test degree to which H<sub>1</sub> is excluded by data (limit setting)



#### **Quantify agreement with BSM hypothesis H**<sub>1</sub>

- > Usually, setup is more complicated: many bins, many signal regions
- Construct a likelihood function that quantifies data/MC agreement in all bins

$$L(D|\mu, \boldsymbol{\theta}) = \underbrace{\prod_{j=1}^{M} \prod_{i=1}^{N} \operatorname{Pois}(n_{i,j}|\mu, \boldsymbol{\theta})}_{\operatorname{Poisson terms}} \cdot \underbrace{\prod_{NP} f(\boldsymbol{\theta}^{(NP)})}_{\operatorname{Constraint terms}}$$

Further reading: Lecture by G. Cowan [link]



#### **Quantify agreement with BSM hypothesis H**<sub>1</sub>

- > CL(s+b) probability to falsely reject signal because it is too similar to background
- > Confidence level
  - H<sub>1</sub> excluded at 95% CL if CL(s+b) < 0.05</p>



- > Problem:
  - Danger to falsely reject  $H_1$  even if separation between  $H_1$  and  $H_0$  is poor, i.e. sensitivity to  $H_1$  is low
- > Solution:
  - CL(s) = CL(s+b)/[1-CL(b)]
- > Confidence level
  - H<sub>1</sub> excluded at 95% CL if CL(s) < 0.05</li>



#### A final result

> The famous "Brazilian" plot, showing observed and expected exclusion limits with error bands



#### **Search recipe summary**

Ingredients

steps

Recipe



- > Pick and study a signal of interest (MC simulation)
- > Select subset of events enriched in signal (signal region)
- > Estimate backgrounds and systematic uncertainties
  - Often via control regions enriched in background
- > Test agreement between SM prediction and data



Discovery!Null resultCharacterise signal ...<br/>and open the champagneDerive constraints on<br/>BSM models



# **BONUS SLIDES**

## **Event simulation**

- > Simulate possible signals based on theoretical models
  - Optimise sensitivity of searches
- > Simulate background processes
  - Compare predictions to data and look for deviations
  - Some background processes can be simulated very accurately...
  - ... others not (see data-driven estimates later)
- > Estimate systematic uncertainties
  - Create different background predictions within experimental uncertainties
  - E.g. top mass known with ±1 GeV uncertainty
    - → Simulate top quark pair production for  $m_{top}$  (central) and  $m_{top}$  (central)±1 GeV

# **Simulation step by step**

> Hard processes (large momentum transfers): perturbative QCD



- hard scattering
- (QED) initial/final state radiation

# Simulation step by step



- hard scattering
- (QED) initial/final state radiation
- partonic decays, e.g.  $t \rightarrow bW$
- parton shower evolution
- nonperturbative gluon splitting

# Simulation step by step



- hard scattering
- (QED) initial/final state radiation
- partonic decays, e.g.  $t \rightarrow bW$
- parton shower evolution
- nonperturbative gluon splitting
- colour singlets
- colourless clusters
- cluster fission
- cluster  $\rightarrow$  hadrons
- hadronic decays

# Think outside the (black)box!

- > Many different event generators available for HEP/LHC
  - Choice depends on process, required precision, ...
    - E.g. matrix-element generators: MadGraph, Powheg
    - E.g. matrix-element + parton-shower generators: Pythia, Herwig
  - Important to understand differences and subtleties to not treat them as blackboxes!

"[...] remember that the programs **do not represent a dead collection of established truths**, but rather one of many possible approaches to the problem of multiparticle production in high-energy physics, at the frontline of current research. **Be critical!**"

From the manual of the Pythia5 MC generator



## **Further aspects**

- > Simulate interactions of (collider) stable particle with detector material
  - Geant4, Delphes, ...
- > Specifically for hadron colliders (LHC, Tevatron, ...):
  - **Underlying Event**: simulate interactions of additional partons within same two protons
  - **Pile-up**: simulate interactions of additional protons in the same bunch crossing

> Further reading:

lecture by M. Seymour and M. Marx [link]



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# **Cut-and-count method**

- > Select (**cut**) events that you expect to be consistent with signal (signal region)
- > **Count** data events in signal region and compare with number of expected SM events
- > Calculate significance of deviation from SM prediction (accounting for uncertainties)



### **Cut-and-count method**

- > Select (**cut**) events that you expect to be consistent with signal (signal region)
- > **Count** data events in signal region and compare with number of expected SM events
- > Calculate significance of deviation from SM prediction (accounting for uncertainties)

- > Advantage: suited for low-stat regions, model agnostic
- **Disadvantage**: single bin  $\rightarrow$  vulnerable to fluctuations  $\rightarrow$  less sensitive

